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Genomic sequence of the xylose fermenting, insect-inhabiting yeast, Pichia stipitis

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# ABSTRACT

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- 2 Xylose is a major constituent of angiosperm lignocellulose, so its fermentation is important for
- 3 bioconversion to fuels and chemicals. Pichia stipitis is the best-studied native xylose fermenting
- 4 yeast. Genes from *P. stipitis* have been used to engineer xylose metabolism in *Saccharomyces*
- 5 cerevisiae, and the regulation of the P. stipitis genome offers insights into the mechanisms of
- 6 xylose metabolism in yeasts. We have sequenced, assembled and finished the genome of *P*.
- 7 stipitis. As such, it is one of only a handful of completely finished eukaryotic organisms
- 8 undergoing analysis and manual curation. The sequence has revealed aspects of genome
- 9 organization, numerous genes for biocoversion, preliminary insights into regulation of central
- metabolic pathways, numerous examples of co-localized genes with related functions, and
- 11 evidence of how P. stipitis manages to achieve redox balance while growing on xylose under
- 12 microaerobic conditions.

# INTRODUCTION

- 14 Xylose is a five-carbon sugar that makes up about 15 to 25% of all hardwoods and agricultural
- 15 residues. 1 Its fermentation is therefore essential for the economic conversion of lignocellulose
- to ethanol.<sup>2-4</sup> *Pichia stipitis* Pignal (1967) is a predominantly haploid, homothallic,
- 17 hemiascomycetous yeast<sup>5-7</sup> that has the highest native capacity for xylose fermentation of any
- 18 known microbe. 8, 9 Fed batch cultures of *P. stipitis* produce up to 47 g/L of ethanol from xylose
- at 30°C<sup>10</sup> with ethanol yields of 0.35 to 0.44 g/g xylose (Fig. 1), 11 and they are capable of
- 20 fermenting sugars from hemicellulosic acid hydrolysates with a yield equivalent to about 80% of
- 21 the maximum theoretical conversion efficiency. 12
- 22 P. stipitis Pignal (1967) was originally isolated from insect larvae. It is closely related to several
- 23 yeast endosymbionts of passalid beetles<sup>13</sup> that inhabit and degrade white-rotted hardwood.<sup>14, 15</sup>
- 24 It forms yeast-like buds during exponential growth, hat-shaped spores, and pseudomycelia (Fig.
- 25 <u>2</u>). The genomic sequence reveals numerous features such as cellulases, xylanase, and other
- degradative enzymes that would enable survival and growth in a wood-inhabiting, insect-gut
- 27 environment.<sup>13</sup> *P. stipitis* has the capacity to grow on and ferment xylan<sup>16, 17</sup>, and to use all of
- 28 the major sugars found in wood. In addition, it has been reported to use low-molecular weight
- 29 lignin moieties.<sup>18</sup>
- 30 P. stipitis has been a source of genes for engineering xylose metabolism in Saccharomyces
- 31 cerevisiae. 19 Although metabolic engineering and adaptive evolution of S. cerevisiae for xylose

- 32 fermentation has been successful to varying degrees, 20-22 it does not possess the regulatory
- mechanisms that coordinate ethanol production with xylose.<sup>23</sup> Unlike S. cerevisiae, which
- 34 regulates fermentation by sensing the presence of glucose, *P. stipitis* induces fermentative
- activity in response to oxygen limitation. <sup>24-26</sup> P. stipitis shunts most of its metabolic flux into
- 36 ethanol, and produces very little xylitol, but its xylose fermentation rate is low relative to S.
- 37 cerevisiae on glucose. Increasing the capacity of *P. stipitis* for rapid xylose fermentation could
- 38 therefore greatly improve its usefulness in commercial xylose fermentations.
- We have sequenced the *P. stipitis* genome to better understand the biology, metabolic
- 40 machinery, and regulatory networks in this native xylose- fermenting yeast. The P. stipitis
- 41 genome sequence, predicted genes, and annotations are available through the JGI Genome
- 42 Portal at www.jgi.doe.gov/pichia. The results reveal a versatile lower eukaryote that has unusual
- 43 genetic and regulatory features for converting lignocellulosic feedstocks into ethanol and other
- 44 useful chemicals.

# RESULTS

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# General genome features and comparative genomics

- 47 The 15.4 Mbp genome of *P. stipitis* genome was sequenced using a whole-genome shotgun
- 48 approach and finished to high quality (< 1 error in 100,000). The JGI assembler, JAZZ<sup>27</sup> was
- 49 used to assemble 261,986 reads into 96 scaffolds with 8.8x coverage and 4.4% gaps. The
- assembly was then finished, gaps were closed, and the scaffolds were linked into 8
- 51 chromosomes ranging from 3.5 to 0.97 Mbp, which is similar to results from pulsed field
- electrophoresis with various other strains of *P. stipitis*. The finished chromosomes have no
- gaps except one in the centromere region of chromosome 1,
- 54 The JGI Annotation Pipeline predicted 5,841 genes. A majority (4,204, or 72%), have a single
- exon, which is typical for a yeast genome (Table 1). Average gene density, which is similar on
- all 8 chromosomes, is 56%. Average gene, transcript, and protein lengths are 1.6 kb, 1.5 kb
- and 493 amino acids, respectively. ESTs support 2,252 (40%) of the predicted genes, and an
- absolute majority is supported by protein homology; including 4,879 (84%) with strong homology
- 59 in other fungi. Best bi-directional BLAST analysis of the gene models against the *D. hansenii*
- 60 genome identified putative orthologs for 4,912 (84%) of the *P. stipitis* genes. These had an
- average identity of 58% at the amino acid level and average coverage of 91% in alignments
- between the orthologs. No data base match was found for 154 ORFs. Additionally, analysis of
- 63 conservation between the genomes of P. stipitis and D. hansenii at the DNA level using VISTA

tools<sup>29</sup> provided support for exons in 3,940 (67.5%) of the *P. stipitis* genes. Approximately half

(2,750) of the gene models had been manually curated at the time of publication.

#### Functional portrait

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67 Protein function can be tentatively assigned to about 70% of the genes according to KOG

68 (clusters of orthologous groups) classifications.<sup>30</sup> They are roughly equally split between 3 major

69 categories: cellular processes and signaling, information storage and processing, and

70 metabolism (Fig. 3). Protein domains were predicted in 4,083 (70%) of gene models. These

71 include 1,712 distinct Pfam domains.

We used the PhIGs tool (Phylogenetically Inferred Groups, 30 http://phigs.org) to compare the

gene set of P. stipitis with the gene sets of five other yeasts - Saccharomyces cerevisiae,

74 Candida glabrata, Kluyveromyces lactis, Debaromyces hansenii and Yarrowia lipolytica - whose

75 genomes have also been sequenced, assembled, and reported (Fig. 4). 31, 32 This analysis

revealed 25 gene families representing 72 proteins that are specific to *P. stipitis* (Table 2).

77 These show no significant homology to any known proteins; neither do they have any predicted

domains. P. stipitis and D. hansenii share 151 gene families that are not found in the other 3

79 genomes used in this comparison. At the same time the *P. stipitis* gene set was missing 81

80 gene families relative to the other 5 yeast genomes in the analysis, which represents 442

81 individual proteins.

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The most frequent domains in the *P. stipitis* genome include protein kinases, helicases,

transporters (sugar and MFS), and domains involved in transcriptional regulation (fungal specific

transcription factors, RNA recognition motifs and WD40 domains). A majority of domains are

shared with other hemiascomycota. These range from 1,534 domains common with *S. pombe* 

86 to 1,639 with *D. hansenii*. One of the few *P. stipitis*-specific domains (Table S1) belongs to

87 glycosyl hydrolase Family 10, a subgroup of cellulases and xylanases. The only Family 10

glycosyl hydrolase in the *P. stipitis* genome is <u>XYN1</u>. Among the domains consistently present

in hemiascomycetous yeasts, more than twenty were not found in the P. stipitis genome

including transposon-related domains removed from P. stipitis gene set by masking genomic

sequence. These include the integrase core domain, rve, which integrates a DNA copy of a

viral genome into the host chromosome, 33 RUT\_2, which is indicative of a mobile element such

as a retrotransposon, 34 and the HHH domain, which is found in non-sequence specific DNA

binding proteins. Several gene families expanded in *P. stipitis* show some sequence similarity to

hyphally regulated cell wall proteins, cell surface flocculins, agglutinin-like proteins, and

- 96 cytochrome p450 non-specific monooxygenases, Members of these expanded families,
- 97 however, are poorly conserved and often occur near chromosome termini (within 35,000 bp)
- 98 where repeated sequences are prevalent.

# Syntenic relationships

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- 100 Co-linearity between chromosomal blocks has been reported in plants, animals, 35 and closely
- related yeast genomes, e.g. Saccharomyces sensu stricto. 35, 36 Co-linearity is harder to find in a
- more diverse set of fungal genomes.<sup>32</sup> With the relatively recent divergence between *P. stipitis*
- and *D. hansenii*, chromosomal segments that retain the ancestral gene groupings can be
- identified. The set of 3,209 genes determined to be orthologous from the PhIGs analysis were
- used to link regions between the two genomes that represent orthologous chromosomal
- segments with a minimum of four linking genes that are uninterrupted by other orthology
- segments in either genome. A total of 263 orthology segments were found, encompassing 4456
- 108 (76.3%) genes and 10,950,900 bp in the *P. stipitis* genome, and 4689 (75.8%) genes and
- 109 9,057,788 bp in the *D. hansenii* genome. On average, each block in the *P. stipitis* genome
- encompasses 16.9 genes and is 41.6 kb in length. The largest of these orthologous
- 111 chromosomal segments, 125 genes, which is 301.9 kb in length and encompasses 125 genes,
- is between *P. stipitis* chromosome 6 and *D. hansenii* chromosome F (Fig. 5).

#### Metabolic functions

- 114 Sugar transport: P. stipitis possesses genes for a number of transporters that are similar to
- 115 putative xylose transporters from *Debaromyces hansenii* (NCBI AAR06925)<sup>37</sup> and *Candida*
- intermedia (GXF1, EMBL AJ937350; GXS1, EMBL AJ875406).<sup>38</sup> C. intermedia GXF1 has the
- 117 closest similarity to the previously described, closely related SUT1, SUT2 and SUT3 genes of P.
- 118 stipitis and to the *P. stipitis* SUT4 gene that was identified in the present genome sequence
- (supplemental Fig. 1). Notably, SUT2 and SUT3 are each located very near one end of
- 120 chromosomes 4 and 6, respectively, and our EST data has not shown that they are expressed.
- 121 Glycolytic and pentose phosphate pathways: All of the genes for xylose assimilation, the
- 122 oxidative pentose phosphate pathway (PPP), glycolysis, the tricarboxylic acid cycle (TCA) and
- ethanol production were present in isoforms similar to those found in other yeasts (Fig. 6). The
- 124 XYL1, XYL2 and XKS1 (XYL3) genes, which are required for xylose assimilation, were present
- in a single copy each. There are, however, several aldo/keto reductases homologous to XYL1
- 126 (e.g. GCY1-3) and a family of sorbitol dehydrogenases with homology to XYL2.

127 Glucose 6-P-dehydrogenase (ZWF1), and 6-phosphogluconate dehydrogenase (GND1) 128 generate NADPH necessary for cell growth and xylose assimilation by their roles in the 129 oxidative phase of the PPP. Transcripts of the latter are strongly induced by growth on xylose 130 under both aerobic and oxygen limiting conditions (Fig. 6). Transketolase (TKT1) is used twice 131 in the non-oxidative phase of the PPP. It is strongly induced on xylose, and is one of the most 132 abundant transcripts in the cell under those conditions. A gene for a second transketolase-like 133 protein is present, but it is closer in structure to dihydroxyacetone synthase (DHA1) or 134 formaldehyde transketolase. 135 P. stipitis has a gene for a bacterial-like ribose-5-phosphate isomerase B (RPI1). This is 136 structurally similar to the *lacB* for galactose-6-P isomerase, which is found in *Streptococcus*, 137 Staphylococcus, Lactococcus, and other bacteria. Proximal to RPI1, is SPS23, which codes for 138 a glucose 1-dehydrogenase. A second glucose 1-dehydrogenase (DHG2) is also present. RPI1 139 is relatively uncommon in yeasts and fungi. All three of these genes are similar to bacterial 140 homologs (S3). The genome also includes a yeast ribose-5-phosphate ketol-isomerase (RKI1). 141 Transcripts for *PGI1*, *PFK1*, and *PFK2* were all induced on xylose under oxygen limitation, but 142 were relatively low under aerobic conditions (Fig. 6). Glyceraldehyde-3-phosphate 143 dehydrogenase isoform 3 (TDH3), which generates NADH and is the gateway for glycolysis, 144 was induced by oxygen limitation on both glucose and xylose. Transcript levels for PDC1 and 145 ADH1 might not be sufficient for high rates of ethanol production on xylose under oxygen-limited 146 conditions. The genome also codes for five NADP(H)-coupled alcohol dehydrogenases (ADH3, 147 4, 5, 6 and 7), which might be important in maintaining cofactor balance between NADH and 148 NADPH. Transcripts for mitochondrial isocitrate dehydrogenases (<u>IDH1</u>, <u>IDH2</u>) are elevated on 149 xylose under oxygen-limited conditions, as are those for malate dehydrogenase (MDH1), 150 fumarase (FUM1), and succinic dehydrogenase (SDH1). The transcript for 2-ketoglutarate 151 dehydrogenase (KGD1), which generates NADH in the TCA cycle, was reduced during 152 cultivation on xylose. 153 Responses of other transcripts to carbon sources and oxygen limitation: P. stipitis 154 possesses an NAD-specific glutamate dehydrogenase (GDH2), a glutamate decarboxylase 155 (GAD2), and two NADP-dependent succinate semialdehyde dehydrogenases (UGA2, UGA22), 156 which constitute a bypass that can convert α-ketoglutarate into succinate and NADH into 157 NADPH when cells are growing on xylose. The NADH-specific GDH2 is elevated on xylose 158 under oxygen limitation, while the NADPH-linked glutamate dehydrogenase 3 (GDH3) is not.

159 The increased level of GDH2 could also account for the decreased level of KGD2 when cells 160 are growing on xylose. 161 Distinctly different sets of genes are strongly induced under oxygen-limited growth on glucose 162 and xylose (Table S2). On xylose, the transcript for fatty acid synthase 2 (FAS2) and the 163 stearoyl-CoA desaturase, (OLE1), are strongly induced under oxygen limitation. This induction 164 corresponds with the onset of ethanol production. The FAS2 transcript is about 1/3 as abundant 165 under the other three conditions tested. *OLE1* is about five fold higher under oxygen limitation when growing on either carbon source. Transcripts for the Ca<sup>++</sup>-transporting P-type ATPase, 166 <u>PCM1</u>, are about 5-fold higher than the aerobic level when cells are grown under oxygen 167 168 limiting conditions. Transcript levels for the high-affinity inorganic phosphate transporter. 169 PHO84, are induced about 10-fold under oxygen limiting conditions. 170 Genes for polysaccharide degradation: Aside from its capacity for xylose fermentation, P. 171 stipitis has several genes and gene families that make it particularly suitable for bioconversion 172 of lignocellulosics. These include an unusual xylanase, several endoglucanases, and numerous 173 β-glucosidases. A blast analysis of the genome with *Trichoderma reesei*, *Bacillus* Family 10 174 and Family 11 xylanases, and the xylanase (XynA) previously reported as cloned from P. stipitis NRRL Y-11543<sup>39</sup> did not turn up any homologous proteins in the *P. stipitis* CBS 6054 genome, 175 176 and a P. stipitis xylanase (XYN1) became apparent only during manual annotation. It appears 177 to be a Family 10 glucosidase, but it is not closely related to any other known yeast 178 glycosidases. Domain analysis found this protein to be one of only four Pfam domains unique 179 to P. stipitis among the eight fungi examined. It is, however, highly similar to six Family 10 180 glycoside hydrolases found in *Phanerochaete chrysosporium*. Physically, XYN1 is found near 181 one terminus of chromosome 4. Our EST data did not provide evidence for its expression. 182 Three endo, and three exo glucanases (glycoside hydrolases) are represented in the P. stipitis 183 genome. The endo-1,4-β-glucanases (EGC1, EGC2, and EGC3) are fairly closely related and 184 all belong to glycoside hydrolase Family 5. ECG2 is strongly expressed in cells growing on 185 xylose (Table S2). The three exoglucanases (<u>EXG1</u>, <u>EXG2</u>, <u>EXG3</u>) are somewhat more 186 diverse. Two of these appear to be glucan 1,3- $\beta$ -glucosidases but the function of the third is 187 less certain. The presence of active 1,3-β-glucosidases (laminarinases) can be expected since 188 passalid beetles are known to digest wood containing fungal hyphae, which have large 1,3β–glucan components.<sup>40</sup> These glycoside hydrolases belong to a family that has relatively low 189 190 substrate specificity. In addition, P. stipitis has three Family 17 soluble cell wall glucosidases

- 191 (SCW4.1, SCW4.2 and SCW11) along with two Family 17 exo-1,3-β-glucanases (BGL2, BOT2),
- all of which are most likely involved in cell wall expansion and growth.
- The *P. stipitis* genome includes sequences for seven  $\beta$ -glucosidases (<u>BGL1-7</u>) belonging to
- 194 glycosyl hydrolase Family 3. Enzymes in this family can have activity against cellobiose or
- 195 xylobiose. Of these seven genes, <u>BGL4</u> codes for a protein most similar to classical cellobiases
- or gentiobiases that have been studied in other yeasts and fungi and <u>BGL7</u> is expressed the
- 197 most when cells are growing on xylose (S2).
- The genome contains two sequences for  $\beta$ -mannosidases (<u>BMS1</u>, <u>MAN2</u>) that belong to
- 199 glycoside hydrolase Family 2, and which are probably responsible for the capacity of this yeast
- 200 to grow on and ferment mannan oligosaccharides. Two endo-1,6- $\alpha$ -mannosidases (<u>DCW1</u>,
- 201 DFG5) are also present, but these are most likely involved in yeast cell wall expansion during
- growth, rather than with external polysaccharide degradation, since both are present when cells
- are growing on either glucose or xylose.
- 204 *P. stipitis* can readily use both glucose and maltose. It has four separate genes for  $\alpha$ -
- glucosidase (<u>MAL6</u>, <u>7</u>, <u>8</u> and <u>9</u>). *P. stipitis* also possesses a gene for a putative Family 31  $\alpha$ -
- 206 glucosidase/ $\alpha$ -xylosidase (Y/C1), of which its closest orthologs are bacterial in origin. Of these,
- transcripts, only *MAL8* was detected when cells were grown on xylose.
- 208 The genome contains almost 60 ORFs that are identified as chitinases according to KOG
- 209 classification. Only four of these (CHT1, CHT2, CHT3, CHT4), however, appear to be true
- 210 chitinases that might be involved in degradation of insect or fungal cell walls. Many of the
- remaining models are mucin-like proteins that occur in multiple copies throughout the genome.
- 212 <u>MUC1</u> appears at least four times in nearly identical copies. Segments of MUC1 proteins exist
- in approximately 25 copies in the genome, suggesting expansion through frequent duplication.
- 214 **Respiration system:** The respiration system of *P. stipitis* differs from that of *S. cerevisiae* in
- 215 many aspects. First, as has been documented previously, *P. stipitis* has a SHAM-sensitive
- terminal alternative oxidase (AOX1 or STO1) that enables the cells to oxidize ubiquinone.<sup>41</sup> S.
- 217 cerevisiae lacks this alternative oxidase. P. stipitis has genes coding for the complete proton-
- 218 translocating NADH dehydrogenase complex (Complex I), which is also lacking in *S. cerevisiae*.
- 219 Based on these differences, Transcript levels for <u>AOX1</u> are up regulated on xylose under
- aerobic conditions and on glucose under oxygen limitation, but was not found on xylose under
- 221 oxygen limitation.

222 **Aromatic catabolism:** The P. stipitis genome includes a number of genes that appear to be 223 involved in aromatic catabolism. Most conspicuous is a family of salicylate hydroxylases 224 (NHG1.1, NHG 1.2, NHG2, NHG3, NHG4) that are similar to homologs from Pseudomonas 225 putida and a series of plant-related proteins. These are not clustered, but rather are scattered 226 throughout the genome. Only NHG2 shows conservation relative to D. hansenii. The rest of the 227 genes and their surrounding loci have no identity to proteins found in C. albicans or D. hansenii. 228 These findings suggest that the genes for salicylate hydroxylase are the result of relatively 229 recent introduction and amplification. 230 Alternative codon usage: P. stipitis uses the alternative yeast nuclear codon (12) that substitutes serine for leucine when CUG is specified. 42 To understand this feature better we 231 232 examined whether or not CUG codon usage was evenly distributed in the genome. A count of 233 CUG usage showed 15,265 occurrences in 4238 ORFs, or about 72% of all gene models (S4). 234 Nine out of the 21 ORFs having 18 or more CUGs in the gene model occurred at or near a 235 terminus of chromosomes 4, 8, 7 or 1. All gene models having a large number of CUGs in the 236 open reading frame were large (>2,500 bp), very large (>5,000 bp), repetitive, hypothetical, or 237 poorly defined. A plot of expression level vs. CUG usage for 94 annotated ORFs that contained 238 CUG codons generally showed higher expression levels with lower CUG frequency. Two 239 exceptions were the conserved sequences <u>ENA5</u> and <u>SEC31</u>, which were both highly 240 expressed and which contained 4 and 14 CUGs, respectively (SF2). 241 Adjacent and proximal genes with related functions: This study found numerous intriguing 242 instances of adjacent and proximal genes with related functions. These included genes for 243 pentose phosphate metabolism, glycolysis, urea metabolism, sugar assimilation and possibly 244 aromatic catabolism. 245 XYL1 is adjacent to a putative gene for MIG1 (CREA), which is a transcription factor involved in 246 glucose repression. This is a complex locus that includes two other transcriptional regulators 247 (SPT8 and STB4) and sorbitol dehydrogenase (SOR4) within about 19.8 kbp. The putative 248 sugar transporter, XUT2 is adjacent to SOR3, which appears to be L-arabinitol 4-249 dehydrogenase that is highly similar to XYL2, and SOR3 is in turn is adjacent to formaldehyde 250 transketolase, DHA1, which is a homolog to transketolase, TKT1. This latter gene is 251 immediately adjacent to one of the two principal genes for NADH-coupled alcohol 252 dehydrogenase activity, ADH2. OLE1, which converts fatty acids into unsaturated fatty acids, is 253 also in this locus.

254 A gene for DUR1 (DUR1,2, urea amidolyase) - which codes for both urea carboxylase, and 255 allophanate hydrolase activities - is immediately adjacent to DUR3.1, which codes for urea 256 transport, on chromosome 1. This latter protein shares strong similarity with the second gene 257 for urea transport, DUR3.2, which is located on one terminus of chromosome 6, and DUR5.1, 258 which is elsewhere on chromosome 6. Multiple copies of urea transporters (e.g. DUR4, DUR5.2, 259 DUR5.3, DUR8) are found throughout the genome, which suggests that this function might be 260 required at a high level. 261 β-Glucosidases were often found adjacent or proximal to genes with related functions. For 262 example, on either side of the Family 5 β-1,4 endoglucanase EGC2 one finds BGL5 and the 263 probable hexose transporter, HXT2.4. BGL6 is adjacent to EGC1, and BGL3 is adjacent to the 264 sugar transporter, SUT3. BGL1 is adjacent to SUT2 on chromosome 4. Both of the putative β-265 mannosidases (BMS1, MAN2) are adjacent or proximal to putative lactose permeases (LAC3 266 and LAC2, respectively). 267 One of the most conspicuous examples of tandem genes with related functions was found in a 268 putative MAL3 locus (Fig. 7). This site extends over approximately 16 kbp on chromosome 6. 269 Two out of the six genes appear to be conserved in *C. albicans*, and four out of the six are 270 conserved in D. hansenii. The site contains the putative maltose permease MAL3, and the α-271 glucosidase, AGL1. Adjacent but in an opposite orientation to MAL3, is the putative maltose 272 permease, MAL5, which is adjacent to YIC1, a putative  $\alpha$ -glucosidase belonging to glycosyl 273 hydrolase Family 31. Most of its closest orthologs appear to be bacterial genes (S3). Flanking 274 this complex of four genes are the putative fungal transcriptional regulatory protein, SUC1.2, which is similar to MAL-activator proteins in the complex MAL3 locus of S. cerevisiae, 43 and a 275 276 second putative fungal-specific regulatory protein, SUC1.4. Elsewhere in the genome, on 277 chromosome 6, the  $\alpha$ -glucosidase, *MAL8*, is immediately adjacent to the maltose permease, 278 MAL4. 279 The putative salicylate hydroxylases also appear to have permeases, oxidases or genes coding 280 for aromatic degradation proximal to them on the chromosome. For example, NHG4 is flanked 281 by two acetyl coenzyme A oxidases (POX1 and ACOX2), and NHG1.1 and NHG1.2 are each 282 adjacent to the transporters HOL41 and HOL42, respectively. Adjacent to NHG3 is the putative 283 allantoate permease, DAL10 and nearby is an aromatic ring hydroxlase, SAL1. Proximal to 284 NHG1.1 is a putative cinnamyl Co-A reductase (CAD1) and a gene for 5-carboxymethyl-2-285 hydroxymuconate delta-isomerase, (*UMH1*), both of which could have roles in aromatic 286 catabolism. Also proximal to NHG1.2 is the fumarylacetoacetate hydralase, FML1, which is

similar to genes for proteins involved in aromatic degradation. Finally <u>NHG2</u>, the only gene in this family that has any conservation in *D. hansenii*, is flanked on either side by the E1 component of α-ketoglutarate dehydrogenase, <u>KGD1</u>, and a probable oxidoreductase.

A few other examples of tandem gene structures were noted. Two <u>MUC1</u>-like models (<u>MUC1.7</u> and <u>MUC1.10</u>), segments of which also occur in multiple copies, are adjacent to one another in chromosome 8. Two copies of similar, but not identical ESS1 genes (<u>ESS1.1</u>, <u>ESS1.2</u>), which code for peptidyl-prolyl cis-trans isomerase, exist in tandem adjacent to a hypothetical protein that occurs in multiple copies (e.g. <u>HMC1</u>). Two <u>MUC1</u>-like models (<u>MUC1.7</u> and <u>MUC1.10</u>), segments of which also occur in multiple copies, are adjacent to one another in chromosome 8.

# Viral and transposon elements

We identified a number of transposable elements using a composite library of fungal repeats. 44 The most abundant elements include LTR retrotransposons Tdh5, Tdh2, Tse5, pCal, most of which were previously reported in hemiascomycetes including the *D. hansenii* genome, 45 and single copies of DNA mediated elements Ty1-I, Mariner-5, and Folyt1 were reported earlier in fungi. 46 We have identified multiple copies of a highly variable element that appears to be similar to the transposons Tdh5 and Tdh2, which we have termed Tps5. These are scattered throughout the genome with one well-defined locus on each chromosome (S4). Portions of these elements are actively transcribed and can be detected as ESTs (S2). Certain genes in proximity of these repeat elements appear in multiple copies throughout the genome (e.g., 10 copies of HMC-related genes).

#### DISCUSSION

By aligning gene models with expression profiles and vista analyses, we were able to determine gene conservation, expression, and linkage patterns. Domain analysis was more useful in identifying the genes absent from *P. stipitis* than in highlighting those present, because the latter tend to be widespread rather than unique. The high number of homology based gene models (84%), is probably attributable to improved identification resulting from better data sets and the quality of our EST library. The average gene density falls between those of *D. hansenii* and *Y. lipolytica* and is in line with their relative genome sizes.

## Codon usage

Three lines of evidence point to *P. stipitis* using alternative yeast nuclear codon system (12), in which CUG codes for serine rather than leucine. The first is that *P. stipitis* appears to be closely

related to other yeasts that use this system.<sup>62</sup> Second, the *Sh ble* gene can impart resistance to Zeocin in *P. stipitis* after its CUG codons are engineered into different leucine codons, but the native gene does not.<sup>42</sup> Third, the genome contains the characteristic <u>tRNA(Ser)CAG</u> gene that is used to transfer serine to the nascent polypeptide.<sup>63, 64</sup> The high frequency of CUG usage in large putative ORFs occurring at chromosome termini has not been previously reported.

# Syntenic relationships

*P. stipitis* chromosomes are evolving through both translocations within the genome and local inversion. Translocations within any one chromosome do not appear to be favored over sites in other chromosomes. The large number of genome rearrangements in yeasts seemingly obliterates any meaningful syntenic relationships except between the most closely related yeast species. In the present study only one strain was sequenced, so we cannot draw conclusions about the frequency of translocations within the species, however, we used MAUVE<sup>47</sup> to compare the synteny of fully assembled yeast genomes over greater taxonomic distances (*P. stipitis* vs. *D. hansenii*, *C. albicans*, and *S. cerevisiae*), and we observed increasing fragmentation with taxonomic divergence (data not shown). This technique, however, is based on nucleotide sequence not protein identity, and it could not show whether local assemblages of genes with related function were conserved over groups retained by chance. The high rates of genomic rearrangement observed here between *P. stipitis* and *D. hansenii* are consistent with previously reported rates of rearrangement for the closely-related species *D. hansenii* and *C. albicans*.<sup>48</sup>

# Regulation

Fermentation requires coordinated regulation of the central metabolic pathways because the substrate is being converted into more reduced and more oxidized portions at the same time. This process is complicated during the conversion of xylose, since some oxygen is necessary to enable cell growth. The EST analysis gave clear evidence of transcript levels in response to carbon source and aeration. The ESTs also produced a high-quality genomic sequence and annotations for *P. stipitis* to provide insights into the biology of this organism.

Genes for xylose assimilation were found only in the absence of glucose. *GND1* and *TKT1* were significantly elevated on xylose, which reflects the increased activity of the PPP for xylose metabolism. *PGI1*, *PFK1* and *PFK2* were elevated most with cells growing on xylose under oxygen-limited conditions. Presumably elevated *PGI1* is necessary to cycle F6P through the

oxidative PPP while *PFK1* and 2 take F6P into glycolysis. *GLK1* was elevated in cells growing on xylose aerobically, which could reflect carbon catabolite de-repression.

The P. stipitis genome has many traits that suit it well for the fermentation of xylose and other sugars from lignocellulose. The CBS 6054 strain was isolated from insect larvae, and other yeast strains closely related to P. stipitis have been isolated from the guts of wood-inhabiting passalid beetles, <sup>14</sup> which suggests that this yeast has evolved to inhabit an oxygen- limited environment rich in partially digested wood. The presence of numerous genes for endoglucanases and  $\beta$ -glucosidases, along with xylanase, mannanase, and chitinase activities suggests that these yeasts could be metabolizing polysaccharides in the beetle gut. No clear evidence was found for enzymes capable of degrading lignin-related compounds, but many genes were present for salicylate catabolism. Various strains of P. stipitis previously have been reported to ferment cellobiose to ethanol, <sup>49-51</sup> so it is likely that these are active during growth and fermentation. Exo-1,4-cellobiohydrolases, which are responsible in part for the degradation of cellulose, produce cellobiose from cellulose and most endo-1,4-xylanases produce a mixture of xylose, xylobiose and xylotriose.  $\beta$ -glucosidases and  $\beta$ -xylosidase activities are therefore very useful traits because cellobiose and xylobiose fermentation can increase cellulose saccharification when combined with cellulose saccharification.

#### Respiration and redox balancing:

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- 367 Excess NADH is generated during growth on xylose,<sup>52</sup> which necessitates some mechanism to
- balance cofactor oxidation. *KGD*2, which generates NADH in the TCA cycle, was three times
- 369 higher in cells growing on glucose over those on xylose. Gdh2 consumes NADH while
- 370 generating NAD+, and leads into a pathway that eventually consumes NADH while generating
- 371 NADPH. A similar pathway was previously engineered in *S. cerevisiae* to reduce cofactor
- imbalances when cells are growing on xylose,<sup>53</sup> but it appears to exist naturally in *P. stipitis*.
- 373 P. stipitis has a complete mitochondrial respiration system including NADH dehydrogenase
- 374 Complex I. S. cerevisiae lacks Complex I, so it has less capacity for ATP generation through
- oxidative phosphorylation. The presence of *AOX1* suggests that this yeast can scavenge for
- oxygen when it is present in trace amounts, but the exact role of this enzyme in xylose
- 377 metabolism is not clear since AOX1 transcripts were present at a lower level when cells were
- 378 growing on xylose under oxygen limiting conditions.
- 379 The abundance of genes for NADP(H) oxidoreductase reactions suggests that *P. stipitis* is
- 380 capable of various strategies for balancing NAD and NADP-specific cofactors under oxygen

381 limiting conditions. Not least among these is FAS2, which appears to be highly active when 382 cells are growing under oxygen limited conditions on xylose, and which could be a redox sink for 383 the cell. 384 Fas2 synthesizes long chain acyl-CoA precursors of fatty acids from malonyl-CoA, Acetyl-Co-A, 385 NADH and NADPH. As such, it could serve as a reductant sink when cells are growing under 386 oxygen limitation on xylose. Genes were present for the other activities in glutamate 387 dehydrogenase shunt, but transcripts were not detected, so further transcriptional and 388 metabolite studies are required to determine how this bypass might function. Transcripts for 389 fatty acid synthesis including OLE1 and, particularly, FAS2 were elevated in oxygen limited, 390 xylose-grown cells (XOL), indicating that substantial amounts of reductant might be channeled 391 into lipid synthesis under oxygen limitation. More reductant can be stored for each gram of 392 carbon in lipid than in ethanol, so this might enable the cells to consume excess reductant when 393 growing on xylose under oxygen limiting limited conditions. 394 **Functional localization** 395 Co-location of a gene from an expanded family with a gene having different but related function 396 (e.g. a permease with a hydrolase for maltose) seems to occur with high frequency in *P. stipitis*. 397 As we show here, co-location occurs between genes that have totally different origins – and 398 different members of the same closely related gene family are found co-located with various 399 genes having functions that are each related to members of that family in different ways. For 400 example, this was observed for the salicylate hydroxylases and the SUT family of sugar 401 transporters. 402 Similar examples are known in yeast. Members of multi-gene families are often found near S. 403 cerevisiae telomers and are repeated elsewhere in the genome. Zakian has proposed that the 404 concentration of multigene families in the telomere-adjacent regions may reflect a recombination mediated dispersal mechanism. <sup>54</sup> The fact that some *P. stipitis* genes at chromosome termini 405 406 are found proximal to genes with related functions deeper within the chromosomes suggests 407 that duplication or translocation might confer a survival advantage. 408 Genes in telomeric regions might be under less selective pressure due to silencing. In S. 409 cerevisiae the COMPASS histone methyltransferase carries out telomeric silencing of gene expression, 55 and the *P. stipitis* genome contains a homolog (SET1). Without selective 410 411 pressure, genes in the telomeric regions might diverge more rapidly. We noted that genes

412	occurring at chromosome termini often had a high frequency of CUG usage, which might be
413	indicative of genetic drift.
414	The proximal co-location of glucosidases to corresponding sugar transporters and urea
415	amidolyase adjacent to urea permease, suggests that these loci might be co-regulated. In S.
416	$\it cerevisiae$ , genes for $\it lpha$ -glucosidase and maltose permease are adjacent. Each complete MAL
417	locus consists of maltose permease, maltase, and a transcription activator. <sup>59, 60</sup> The MAL loci
418	each map to the telomeric region of a different chromosome. <sup>61</sup> The observations reported here
419	extend functional co-location to endoglucanase, $\beta\text{-glucosidase},$ and urea metabolism.
420	Co-regulated genes distal from one another are physically co-localized in nuclear
421	"transcriptional factories". Osborne et al. have proposed that linked genes are more likely to
422	occupy a transcriptional factory than genes in trans. In the human transcriptional map, genes
423	occur in gene dense regions with increased gene expression. <sup>56</sup> Adjacent eukaryotic genes are
424	more frequently co-expressed than is expected by chance and co-expressed neighboring genes
425	are often functionally related. For example, in Arabidopsis, 10% of the genes occur in 266
426	groups of large-co-expressed chromosomal regions distributed throughout the genome. <sup>57</sup> The
427	model advanced by Bartlett et al. <sup>58</sup> encapsulates the advantages of proximal co-location of
428	actively transcribed genes: The concentration of RNA polymerase II is 1000-fold higher in a
429	transcription factory than in the whole nucleus; modifications occurring during transcription leave
430	the promoter open to new transcript initiation; after being released at the termination, promoters
431	in the vicinity of a transcription factory are more likely to encounter machinery for transcriptional
432	initiation again.
433	The adjacency of DUR1,2 and DUR3.1 in a single locus is notable because DUR1,2 has
434	merged the functions for urea carboxylase and allophanate hydrolase activities into a single
435	protein, urea amidolyase. In bacteria, genes for sequential reactions in biochemical pathways
436	are often found in operons. In higher eukaryotes evolution tends to favor the fusion of proteins
437	coding for sequential related biochemical functions. In yeasts for example, separate genes code
438	for sequential steps in uracil synthesis. <u>URA3</u> codes for orotidine-5'-phosphate (OMP)
439	decarboxylase while two isozymes, <u>URA5</u> and <u>URA10</u> , code for orotate
440	phosphoribosyltransferase. In <i>A. niger a URA3 homolog, PYRF</i> is present and two isozymes
441	code for both uridine 5'- monophosphate synthase and orotate phosphoribosyltransferase. In
442	Xenopus tropicalis and Populus trichocarpa only genes for the fused proteins are present.

# Conclusions

444 Clearly the *P. stipitis* genome is endowed with numerous genes and physiological features that 445 enable it to ferment a wide variety of sugars derived from lignocellulose. Surprisingly it also 446 seems to have a high capacity for cellobiose degradation. Evidence for lignin degradation is 447 less clear, but also present. 448 Because this is a completely finished genome, we have been able to discern structural features 449 that suggest evolutionary aspects: When genes with related functions are found proximal to 450 one another, the combined gene activities enhance survival. The separate genes can occur in 451 different regions of the genome, but proximal location could affect their mutual function and the 452 probability of co-inheritance. Duplicated genes might persist in the genome because activities 453 of their gene products are limiting and an increased copy number confers a selective 454 advantage. Following duplication, co-location with various other related genes could further 455 increase their functions and perhaps contribute to differentiation. In this model regulation of 456 expression is not just a function of transcriptional activators on individual promoters, but also the 457 product of the coding and non-coding elements in the locus. 458 One implication of this study is that expression, and perhaps regulated co-expression, may 459 depend greatly upon location in the genome. Aside from co-location, other chromosomal 460 elements such as transcriptional activators may be important for migration of promoters to 461 transcriptional factories. Alternately, such factories might arise dynamically by the co-location of 462 multiple genes under control of similar cis-acting promoters and transcriptional activators. 463 Expression mapping or detailed study of the corresponding cis-acting promoters could provide 464 more insight. If some gene families persist in multiple copies simply from the advantage of 465 higher transcript levels, then evolution toward higher promoter strength would seem sufficient. If 466 they have been acquired from divergent sources, however, codon usage might also limit 467 translational expression. 468 If chromosomal co-location does affect expression, this would have strong implications with 469 respect to the design and placement of genes for metabolic pathway engineering.

# **METHODS**

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#### Yeast strain

Pichia stipitis Pignal (1967), synonym Yamadazyma stipitis (Pignal) Bilon-Grand (1989), (NRRL

473 Y-11545 = ATCC 58785 = CBS 6054 = IFO 10063) was obtained as a lyophilized powder from

Dr. Cletus P. Kurtzman of the USDA ARS Culture Collection (NRRL), Peoria IL. It was revived

475 and streaked on YPD agar to obtain isolated colonies. A single colony was transferred to 150 476 ml of YPD broth. To test for contamination, the overnight was observed under the microscope 477 and streaked in both YPD and LB plates. For fermentation studies, cells were grown in 125 ml 478 Erlenmeyer flasks containing 50 ml of 1.67 g/l yeast nitrogen base (YNB) with 2.27 g/l urea and 479 80 g/l xylose. The YNB and urea solutions were filter sterilized in a 20x solution and added to 480 the sugar, which was sterilized separately by autoclaving. For mRNA preparation, cells were 481 growing in yeast extract, peptone, dextrose (YPD), which was prepared as described in Kaiser et al. 65 except that sugars were autoclaved separately from the basal medium. Yeast peptone 482 483 xylose (YPX) was similar to YPD but replaced dextrose with xylose. Preparation of mRNA was by the method previously described.<sup>42</sup> 484

# **DNA** preparation

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- 486 Yeast genomic DNA was prepared following the protocol of Burke et al. 66 Two extra
- 487 phenol:chloroform/chloroform extractions and ethanol precipitation were carried out. To prevent
- shredding of the DNA, the sample was never vortexed. The final gDNA concentration was 500
- 489 ng/µl as determined by optical density at 260 nm.

# cDNA library construction and sequencing:

- 491 P. stipitis CBS 6054 was grown at 30 °C in 200 ml of either YPD or YPX in either a 2.8 I flask
- shaken at 300 rpm or a 500 ml flask shaken at 50 rpm. Aerobic cultures were inoculated with a
- low cell density (0.025 mg/ml), shaken at 200 rpm and harvested at a cell density of less than
- 494 0.5 mg/ml. Oxygen limited cultures were inoculated with a high cell density (2.5 mg/ml), shaken
- at 100 rpm and harvested at 5 mg/ml. Cells were collected by centrifugation at 4 °C and 9279 x
- 496 g. Cells were suspended in water and centrifuged at 835xg for 5 min. Cells were then frozen in
- 497 liquid N<sub>2</sub>. Poly A+ RNA was isolated from total RNA for all four *P. stipitis* samples using the
- 498 Absolutely mRNA Purification kit (Stratagene, La Jolla, CA). cDNA synthesis and cloning was
- a modified procedure based on the "SuperScript plasmid system with Gateway technology for
- 500 cDNA synthesis and cloning" (Invitrogen). 1-2 μg of poly A+ RNA, reverse transcriptase
- 501 SuperScript II (Invitrogen) and oligo dT primer (5'- GACTAGTTCTA
- 502 GATCGCGAGCGCCC TTTTTTTTTTTTTTTT -3') were used to synthesize first strand
- 503 cDNA. Second strand synthesis was performed with E. coli DNA ligase, polymerase I, and
- 504 RNaseH followed by end repair using T4 DNA polymerase. The Sall adaptor (5'- TCGACC
- 505 CACGCGTCCG and 5'- CGGACGCGTGGG) was ligated to the cDNA, digested with Notl
- 506 (NEB), and subsequently size selected by gel electrophoresis (1.1% agarose). Size ranges of

- 507 cDNA were cut out of the gel (L: 600-1.2kb, M: 1.2kb-2kb, H: >2kb) and directionally ligated into
- the Sall and Notl digested vector pCMVsport6 (Invitrogen). The ligation was transformed into
- 509 ElectroMAX T1 DH10B cells (Invitrogen).
- 510 Library quality was first assessed by PCR amplification the cDNA inserts of 20 clones with the
- 511 primers M13-F (GTAAAACGACGCCAGT) and M13-R (AGGAAACAGCTATGACCAT) to
- 512 determine insert rate. Clones for each library were inoculated into 384 well plates (Nunc) and
- grown in LB for 18 hours at 37 C. DNA template for each clone was prepared by RCA and
- 514 sequenced using primers (FW: 5'- ATTTAGGTGACACTA TAGAA and RV 5' -
- 515 TAATACGACTCACTATAGGG) using Big Dye chemistry (Applied Biosystems). The average
- read length and pass rate were 753 (Q20 bases) and 96%, respectively.

# 517 EST sequence processing and assembly:

- 518 The JGI EST Pipeline begins with the cleanup of DNA sequences derived from the 5'and 3' end
- reads from a library of cDNA clones. The Phred software<sup>67</sup> is used to call the bases and
- 520 generate quality scores. Vector, linker, adapter, poly-A/T, and other artifact sequences are
- removed using the Cross\_match software, <sup>67</sup> and an internally developed short pattern finder.
- Low quality regions of the read are identified using internally developed software, which masks
- regions with a combined quality score of less than 15. The longest high quality region of each
- read is used as the EST. ESTs shorter than 150 bp are removed from the data set. ESTs
- 525 containing common contaminants such as Escherichia coli, common vectors, and sequencing
- 526 standards are also removed from the data set.
- 527 EST Clustering is performed ab-initio, based on alignments between each pair of trimmed, high
- 528 quality ESTs. Pair-wise EST alignments are generated using the Malign software (Chapman,
- et. al., Unpublished), a modified version of the Smith-Waterman algorithm, <sup>68, 69</sup> which was
- developed at the JGI for use in whole genome shotgun assembly. ESTs sharing an alignment
- of at least 98% identity, and 150 bp overlap are assigned to the same cluster. These are
- relatively strict clustering cutoffs, and are intended to avoid placing divergent members of gene
- families in the same cluster. However, this could also have the effect of separating splice
- variants into different clusters. Optionally, ESTs that do not share alignments are assigned to
- the same cluster, if they are derived from the same cDNA clone.
- 536 EST cluster consensus sequences were generated by running the Phrap software<sup>67</sup> on the
- 537 ESTs comprising each cluster. All alignments generated by malign are restricted such that they
- 538 will always extend to within a few bases of the ends of both ESTs. Therefore, each cluster

looks more like a 'tiling path' across the gene, which matches well with the genome based assumptions underlying the Phrap algorithm. Additional improvements were made to the phrap assemblies by using the 'forcelevel 4' option, which decreases the chances of generating multiple consensi for a single cluster, where the consensi differ only by sequencing errors.

#### **Genome Assembly**

The initial data set was derived from four whole-genome shotgun (WGS) libraries: one with an insert size of 3 KB, two with insert sizes of 8 KB, and one with an insert size of 35 KB. The reads were screened for vector using cross\_match, then trimmed for vector and quality. Reads shorter than 100 bases after trimming were then excluded. The data was assembled using release 1.0.1b of Jazz, a WGS assembler developed at the JGI. A word size of 14 was used for seeding alignments between reads. The unhashability threshold was set to 50, preventing words present in more than 50 copies in the data set from being used to seed alignments. A mismatch penalty of -30.0 was used, which will tend to assemble together sequences that are more than about 97% identical. The genome size and sequence depth were initially estimated to be 16.5 MB and 9.3, respectively. The assembly contained 394 scaffolds, with 16.4 MB of sequence, of which 4.5% was gap. The scaffold N/L50 was 5/1.46 MB, while the contig N/L50 was 21/262 KB. The sequence depth derived from the assembly was 8.77 ± 0.05.

# Gap closure and finishing

To perform finishing, initial read layouts from the *P. stipitis* whole genome shotgun assembly were converted into our Phred/Phrap/Consed pipeline.<sup>71</sup> Following manual inspection of the assembled sequences, finishing was performed by resequencing plasmid subclones and by walking on plasmid subclones or fosmids using custom primers. All finishing reactions were performed with 4:1 BigDye to dGTP BigDye terminator chemistry (Applied Biosystems). Repeats in the sequence were resolved by transposon-hopping 8kb plasmid clones. Fosmid clones were shotgun sequenced and finished to fill large gaps, resolve large repeats or to resolve chromosome duplications and extend into chromosome telomere regions. Finished chromosomes have no gaps and the sequence has less than 1 error in 100,000 bp.

## Gene prediction and annotation

The JGI Annotation Pipeline combines a suite of gene prediction and annotation methods.

Gene prediction methods used for analysis of the *P. stipitis* genome include *ab initio* Fgenesh, <sup>72</sup>

570	homology-based Fgenesh+ (www.softberry.com) and Genewise,73 and an EST-based method
571	estExt [Grigoriev, unpublished]. Predictions from each of the methods were taken to produce
572	'the best' single gene model per every locus. The best model was determined on basis of
573	homology to GenBank proteins and EST support.
574	Every predicted gene was annotated using Double Affine Smith-Waterman alignments
575	(www.timelogic.com) with Swissprot and KEGG proteins. Protein domains were predicted using
576	InterProScan <sup>74, 75</sup> against various domain libraries (Prints, Prosite, PFAM, ProDom, SMART,
577	etc). Individual annotations have been then summarized according to Gene Ontology, <sup>76</sup>
578	eukaryotic orthologous groups (KOGs),30 and KEGG metabolic pathways.77
579	Phylogenetic tree reconstruction of sequenced fungal genomes
580	A multiple sequence alignment of 94 single copy genes present in 26 taxa was constructed
581	using the MUSCLE 3.52 program, <sup>78</sup> trimmed using Gblocks 0.91b and was used as input for the
582	maximum likelihood tree reconstruction program PHYML (4 rate categories, gamma +
583	invariants, 100 bootstrap replicates) resulting in a fully resolved tree with all but one node
584	having bootstrap values of 100. Figure 4 represents the portion of the tree describing
585	relationships between the genomes of interest for this analysis.
586	Comparative analysis of the 6 yeast genomes
587	Comparisons of the phylic patterns of gene family distributions of Pichia stipitis and five hemi-
588	ascomycete yeasts (P. stipitis, S. cerevisiae, C. glabrata, K. lactis, D. hansenii and Yarrowia
589	lipolytica) were done using the PhIGs orthology database. The PhIGs resource generated
590	clusters of genes at each node on the evolutionary tree representing the descendents from a
591	single ancestral gene existing at that node. This allows for the comparisons of the
592	presence/absence patterns of gene families across the six species avoiding confusion from
593	paralogous genes. In this analysis, gene families specific to a single species are defined as
594	those having a minimum of two family members.
595	Expression analysis
596	To enable complete sampling of the expressed genes, we generated four separate EST libraries
597	by growing cells on glucose or xylose under aerobic or oxygen limited conditions. A set of
598	19,635 P. stipitis ESTs was sequenced from the four libraries and clustered into 4,085
599	consensus sequences. Ninety-four percent (3,839) of the clusters were mapped to the genome
600	and the numbers of hits for each consensus cluster was used to estimate EST frequency under

each growth condition. An absolute majority of unplaced ESTs had problems with the sequences so the data indicates completeness and accurateness of genome assembly. Only 44% of the transcripts were represented by more than one EST cluster-hit under any one of the four growth conditions. The cluster-hit enumeration represents only a single biological sample for each off the four conditions, so these observations must be interpreted with care and be limited to the 200 to 400 most abundant gene models in which at least 1 transcript was recovered under each of the four conditions. However the relative abundances of these ESTs under each of the four conditions provided a preliminary expression analysis.

# **Nucleotide sequence accession**

[Note: accession numbers in process]

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# 

# **TABLES**

# Table 1. General characteristics of several yeast genomes

Species	Genome	Avg	Total	Avg	Avg	Avg	Maximum	Source
	Size	G+C	CDS	Gene	G+C	CDS	CDS size	
	(Mb)	Content		Density	in	size	(codons)	
		(%)		(%)	CDS	(codons)		
					(%)			
P. stipitis	15.4	41.1	5841	55.9	42.7	493	4980	JGI
S. cerevisiae	12.1	38.3	5807	70.3	39.6	485	4911	Dujon <sup>32</sup>
C. glabrata	12.3	38.8	5283	65.0	41.0	493	4881	Dujon <sup>32</sup>
K. lactis	10.6	38.7	5329	71.6	40.1	461	4916	Dujon <sup>32</sup>
D. hansenii	12.2	36.3	6906	79.2	37.5	389	4190	Dujon <sup>32</sup>
Y. lipolytica	20.5	49.0	6703	46.3	52.9	476	6539	Dujon <sup>32</sup>

# Table 2 Phyletic patterns of yeast protein families<sup>1</sup>

Pattern <sup>2</sup>	Familes	Proteins			
Families universal to all- Genes that occur more than once in each genome and have no					
matches to any other fungal genomes.					
sckdyp	2343	16,922			
Families missing in one species					
_ckdyp	35	184			
s_kdyp	54	359			
sc_dyp	35	184			
sck_yp	106	549			
sckd_p	351	1977			
sckdy_	81	442			
Species-specific families					
s	35	92			
_c	5	12			
k	21	53			
d	30	87			
y_	121	338			
р	25	72			

<sup>&</sup>lt;sup>1</sup> Data generated using the PhIGs tool (Phylogenetically Inferred Groups), <a href="http://phigs.org">http://phigs.org</a>

<sup>633 &</sup>lt;sup>2</sup> Abbreviations: p, *P. stipitis*; s, *S. cerevisiae*; c, *C. glabrata*; k, *K. lactis*; d, *D. hansenii*; y, Y. 634 *lipolytica* 

635	FIGURES
636	Figure 1.
637	Fermentation of xylose by Pichia stipitis CBS 6054 in minimal medium
638	Figure 2.
639 640 641	Morphology under various conditions. (A) <i>Pichia stipitis</i> growing exponentially with bud scars; (B) <i>P. stipitis</i> hat-shaped spores seen from top and side; (C) Pseudomycelia formed under carbon-limited continuous culture. Photo by Thomas Kuster, USDA, Forest Products
642	Laboratory.
643	Figure 3.
644	Distribution of gene models as determined by KOG (clusters of orthologous groups)
645	classification.
646	Figure 4.
647	Phylogenetic tree of seven sequenced hemiascomycetous yeast genomes based on multiple
648	alignment of 94 single copy genes conserved in 26 taxonomic groups (see Methods). Numbers
649	next to each branch correspond to the number of families (clusters) specific to a genome or a
650	group of genomes leading to this node.
651	Figure 5.
652	Orthologous chromosomal segments observed between Pichia stipitis and Debaryomyces
653	hansenii.
654	Figure 6.
655	Expression of transcripts in the central metabolic pathways of <i>Pichia stipitis</i> . Cells were grown
656	batch-wise on minimal defined medium under four conditions: glucose aerobic (GA), xylose
657	aerobic (XA), glucose oxygen limited (GOL) and xylose oxygen-limited (XOL). cDNA was
658	harvested and sequenced.

**Figure 7.** 

The *MAL3* locus of *Pichia stipitis*. Two putative a-glucosidases (*YIC1*, *AGL1*) and two putative maltose permeases (*MAL3*, *MAL5*) are co-located along with two putative fungal transcriptional regulators (*SUC1.2*, *SUC1.4*) within 16 kbp on chromosome 6.

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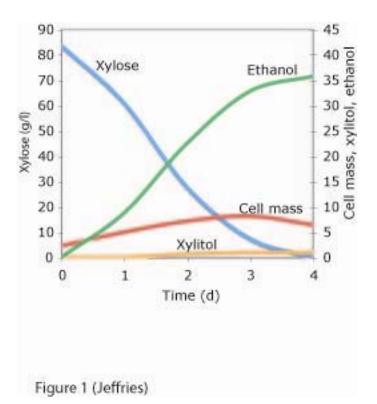
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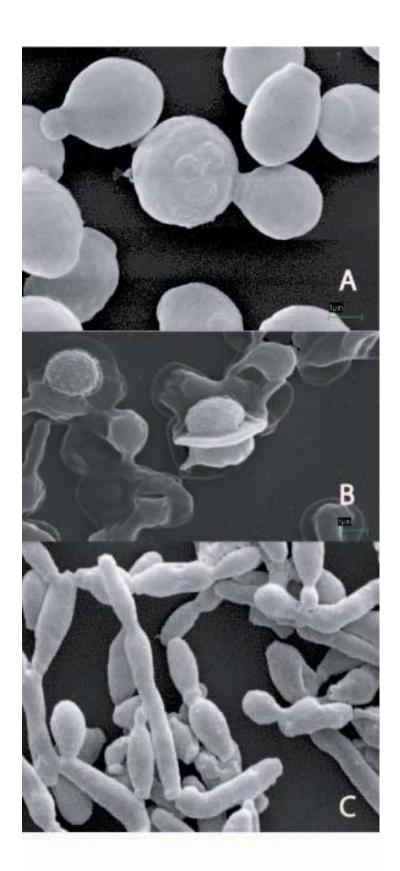
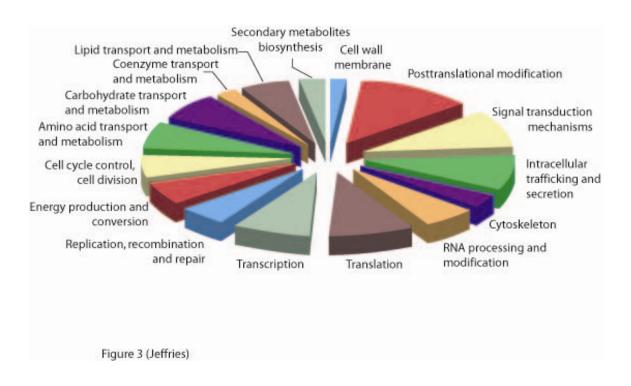
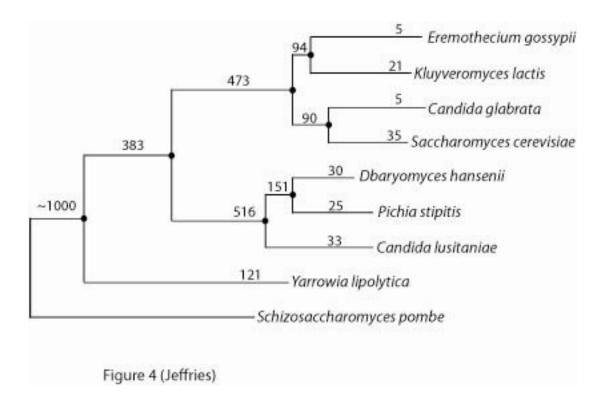


Figure 2 (Jeffries)





# Pichia stipitis chromosomes Chr Chr Chr Chr Chr Chr Chr 1.1 1.2 Debaryomyces hansenii Chromosomes colors Chr A Chr B Chr C Chr D Chr E Chr F Chr G

Figure 5 (Jeffries)

