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# Ultrasound-guided Percutaneous Intercostal Cryoanalgesia for Multiple Weeks of Analgesia Following Mastectomy: A Case Series

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RG – study design, patient recruitment, performance of procedures, data collection, and writing of first and final draft of manuscript

JF – study design, patient recruitment, performance of procedures, data collection, and writing and approval of final draft of manuscript

MS – study design, patient recruitment, performance of procedures, data collection, and writing and approval of final draft of manuscript

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BK – study design, patient recruitment, performance of procedures, data collection, and writing and approval of final draft of manuscript

AW – study design, patient recruitment, and writing and approval of final draft of manuscript

AH – study design, patient recruitment, and writing and approval of final draft of manuscript

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BI – study design, patient recruitment, performance of procedures, data collection, and writing of first and final draft of manuscript

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**Conflicts of Interest:**

***Drs. Gabriel, Finneran, Swisher, Said, Sztain, Khatibi and Ilfeld:*** The University of California has received funding and product for other research projects from Myoscience (Fremont, CA) and Epimed (Farmers Branch, TX); infusion pump manufacturer Infutronics (Natick, MA); and a manufacturer of a peripheral nerve stimulation device, SPR Therapeutics (Cleveland, OH).

***Dr. Ilfeld:*** In addition to the above, Dr. Ilfeld's institution has received funding from a manufacturer of a long-acting bupivacaine formulation, Heron Pharmaceuticals.

***Drs. Wallace and Hosseini:*** No additional conflicts.

Ultrasound-guided percutaneous intercostal cryoanalgesia for multiple weeks of analgesia following  
mastectomy: a case series

Running title: Cryoanalgesia and mastectomy

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## Abstract

**Background:** Acute post-mastectomy pain is frequently challenging to adequately treat with local anesthetic-based regional anesthesia techniques due to its relatively long duration measured in multiple weeks.

**Case:** We report 3 cases in which preoperative ultrasound-guided percutaneous intercostal nerve cryoneurolysis was performed to treat pain following mastectomy. Across all postoperative days and all 3 patients, the mean pain score on the numeric rating scale (NRS) was 0 for each day. Similarly, no patient required any supplemental opioid analgesics during the entire postoperative period; and, no patient reported insomnia or awakenings due to pain at any time point. This was a significant improvement over historic controls.

**Conclusions:** Ultrasound-guided percutaneous cryoanalgesia is a potential novel analgesic modality for acute pain management which has a duration that better-matches mastectomy than other currently-described techniques. Appropriately powered randomized, controlled clinical trials are required to demonstrate and quantify both potential benefits and risks.

**Keywords:** Acute pain; Cryoneurolysis; Cryoanalgesia; Mastectomy; Regional anesthesia.

Poorly controlled post-mastectomy pain remains a challenge often leading to persistent postsurgical pain lasting months to years [1]. Due to its typical duration of multiple weeks, post-mastectomy pain is frequently challenging to adequately treat with local anesthetic-based regional anesthesia techniques which provide multiple hours or days of analgesia (e.g., single injection nerve blocks or continuous nerve blocks, respectively). Ultrasound-guided percutaneous cryoneurolysis is an alternative regional analgesic modality that reversibly induces peripheral nerve Wallerian degeneration using extremely cold temperatures, yet spares the endo-, peri-, and epineurium along which the nerve regenerates at approximately 1–2 mm/day. The result is a temporary sensory and motor nerve block with a duration measured in weeks and occasionally months without any delivery device to manage or infusion pump to remove [2]. While described extensively in the chronic pain literature [3], recently published case reports support the use of ultrasound-guided percutaneous cryoneurolysis for the treatment of acute postoperative pain [2,4–6]. Given its analgesic duration roughly corresponds to that of post-mastectomy pain, cryoanalgesia is a possible analgesic option. We now report 3 cases in which preoperative ultrasound-guided percutaneous intercostal nerve cryoneurolysis was performed to treat pain following mastectomy.

## Case Reports

All patients (n = 3) provided written, informed consent for unidentifiable inclusion in subsequent publications. The University of California San Diego Institutional Review Board (San Diego, CA) waives review requirements for case reports. A curvilinear ultrasound probe (C60, Sonosite) was used for all procedures. All patients had an ipsilateral ultrasound-guided paravertebral catheter inserted at either T3 or T4 lateral approximately 2.5 cm from the midline using a technique previously described [7], followed by an injection into the perineural catheter of ropivacaine 0.5% with epinephrine (1 : 400,000) in divided doses with gentle aspiration every 2–3 milliliters. Bilateral catheters were inserted for bilateral mastectomy procedures.

Immediately after placement of the paravertebral catheter(s), ultrasound-guided percutaneous cryoanalgesia was performed on 4 proximal ipsilateral intercostal nerves: T2-5, approximately 5 cm from the midline. The sterile field and ultrasound transducer cover used for the perineural catheter insertion were used for the subsequent cryoneurolysis procedure. The intercostal artery was identified caudad to the respective rib in the parasagittal plane with a short-axis view. Lidocaine 1% was injected subcutaneously to ensure topical anesthesia. A 14-gauge angiocatheter was advanced towards the target nerve leaving the tip within the internal intercostal muscle prior to reaching the nerve itself. The angiocatheter needle was withdrawn leaving the angiocatheter in place.

The 90 mm cryoprobe (Iovera Smart Tip, Myoscience, USA) was introduced *via* the angiocatheter and advanced to the T2 intercostal nerve under in plane ultrasound guidance (Fig. 1). Three cycles of 2 minutes of freezing followed by a 1-minute thawing period were applied and the probe subsequently withdrawn. This procedure was repeated on the next three intercostal nerves. For bilateral mastectomies, the cryoneurolysis procedure was repeated on the contralateral side.



All patients received a general anesthetic without complications. Within the recovery room the perineural catheter(s) were attached to portable pump(s) which infused ropivacaine 0.2% 8 ml/h basal with an optional patient-controlled 4 ml bolus available every 30 minutes (every 60 minutes for bilateral catheters). Catheters were removed the morning of postoperative day 2 prior to home discharge, and patients given a prescription for oxycodone (5 mg tablets) to be taken if needed. All patients were called by telephone on postoperative days 1–4, 7, 14, 21, and 28. Patient#1 was a 46-year-old woman with hormone receptor positive, Her2-negative breast cancer who underwent left-sided mastectomy and received a T4 paravertebral catheter. Patient#2 was a 45-year-old woman with hormone receptor positive, Her-2 negative invasive ductal carcinoma who underwent left-sided mastectomy with axillary sentinel node biopsy who received a T3 paravetebral catheter. Patient #3 was a 47-year-old woman with hormone receptor negative breast cancer who underwent bilateral mastectomy with left-sided axillary node biopsy and received bilateral T3 paravertebral catheters.

Across all postoperative days and all 3 patients, the mean pain score on the numerical rating scale (NRS) was 0 for each day (Fig. 2 which includes published controls for comparison). Similarly, no patient required any supplemental opioid analgesics in the entire postoperative period (Fig. 3 which includes published controls for comparison). Similarly, no patient reported insomnia or awakenings due to pain at any time point. No complications related to cryoanalgesia were reported in any patient.

## Discussion

We describe three cases in which preoperative ultrasound-guided percutaneous intercostal cryoanalgesia provided a nearly pain-free postoperative course following uni- and bilateral mastectomies without requiring supplemental oral analgesics. This is noteworthy considering this surgical procedure usually results in pain that outlasts a single-injection nerve block and breaks through even a continuous perineural local anesthetic infusion, resulting in supplemental opioid requirements [7].

We provided a continuous paravertebral nerve block to the three patients described in this report since there were no similar previously-published cases (that we are aware of) to suggest the degree of cryo-induced analgesia that might be expected, and we wanted to provide our current standard-of-care analgesics. In addition, our primary aim was to provide potent analgesia following perineural catheter withdrawal prior to discharge on postoperative day 2, and not replace the single-injection and/or continuous nerve blocks. However, as it happens, the cryoneurolysis appears to have provided significant benefit even during the ropivacaine infusion since these three patients reported almost no pain at all, while published controls from our own institution and receiving a similar continuous paravertebral ropivacaine infusion reported a median (interquartile) NRS of 3.6 (2.0–4.0) the day following mastectomy [7]. Apparent related benefits of the cryoanalgesia may be found in the increased quality of sleep, with these three patients reporting no insomnia due to pain, compared with 30%, 27%, 33%, and 20% for historic controls the nights of postoperative days 0, 3, 7, and 28, respectively [7].

Percutaneous ultrasound-guided cryoanalgesia has been previously described for a few acute pain indications, both perioperative and unrelated to surgery [2,4–6]. However, its application to mastectomy appears to be particularly suitable due to various factors, including (1) the near-total coverage of the surgical site with the blocks; (2) the relative insignificance of cryo-induced sensory and motor block;

and, (3) the similar durations of post-mastectomy pain and cryoneurolysis. Importantly, the development of persistent postsurgical pain is associated with the level of pain experienced in the immediate postoperative period; and multiple studies have demonstrated decreased chronic pain with improved analgesia in the 2–3 days following mastectomy [8,9]. Considering the duration of cryoanalgesia will usually match or extend beyond post-mastectomy pain—offering the possibility of a relatively pain-free surgical experience—this modality has the potential to significantly decrease the risk of persistent postsurgical pain.

Direct comparisons with continuous peripheral nerve blocks are unavailable, but some theoretical benefits of cryoneurolysis include an ultra-long duration of action, no catheter insertion/removal, no required infusion management/oversight, the lack of an infusion pump and anesthetic reservoir to carry, a considerably lower risk of infection, and no risk of local anesthetic toxicity, catheter dislodgement or fluid leakage [10]. Risks include bleeding, bruising, and—if the ice ball involves the skin—frostbite, alopecia, depigmentation and/or hyperpigmentation. Other disadvantages include the requirement of an initial capital investment for the cryoneurolysis machine (although subsequent per-patient costs are relatively low) [11]; a somewhat unpredictable duration of action [12]; and frequent dense sensory and motor blocks (less consequential following mastectomy, but significant for other surgical procedures and treated nerves) [3].

With over 50 years of clinical use, the published literature suggests cryoneurolysis has a level of safety surpassing traditional local anesthetic-based peripheral nerve blocks. However, it is noteworthy that two randomized, controlled investigations reported a statistically significant increase in the incidence of neuropathic pain for cryoneurolysis administered *via* the surgical incision in subjects at 8 weeks (resolving by 6 months) [13], 6 months and 1 year following open thoracotomy [14]. In contrast, the majority of randomized, controlled trials of cryoneurolysis *via* the surgical incision did not report any

increased risk of persistent postoperative pain, although a few additional small studies did note a possible association that did not reach statistical significance [15]. The reasons for these discrepancies remains unknown, and due to a myriad of confounding variables among studies, no causal inferences can be made without additional data. Considering the lack of reports of chronic pain following cryoneurolysis for other indications [3], this may be an issue related exclusively to thoracotomy—possibly related to the double-crush theory.

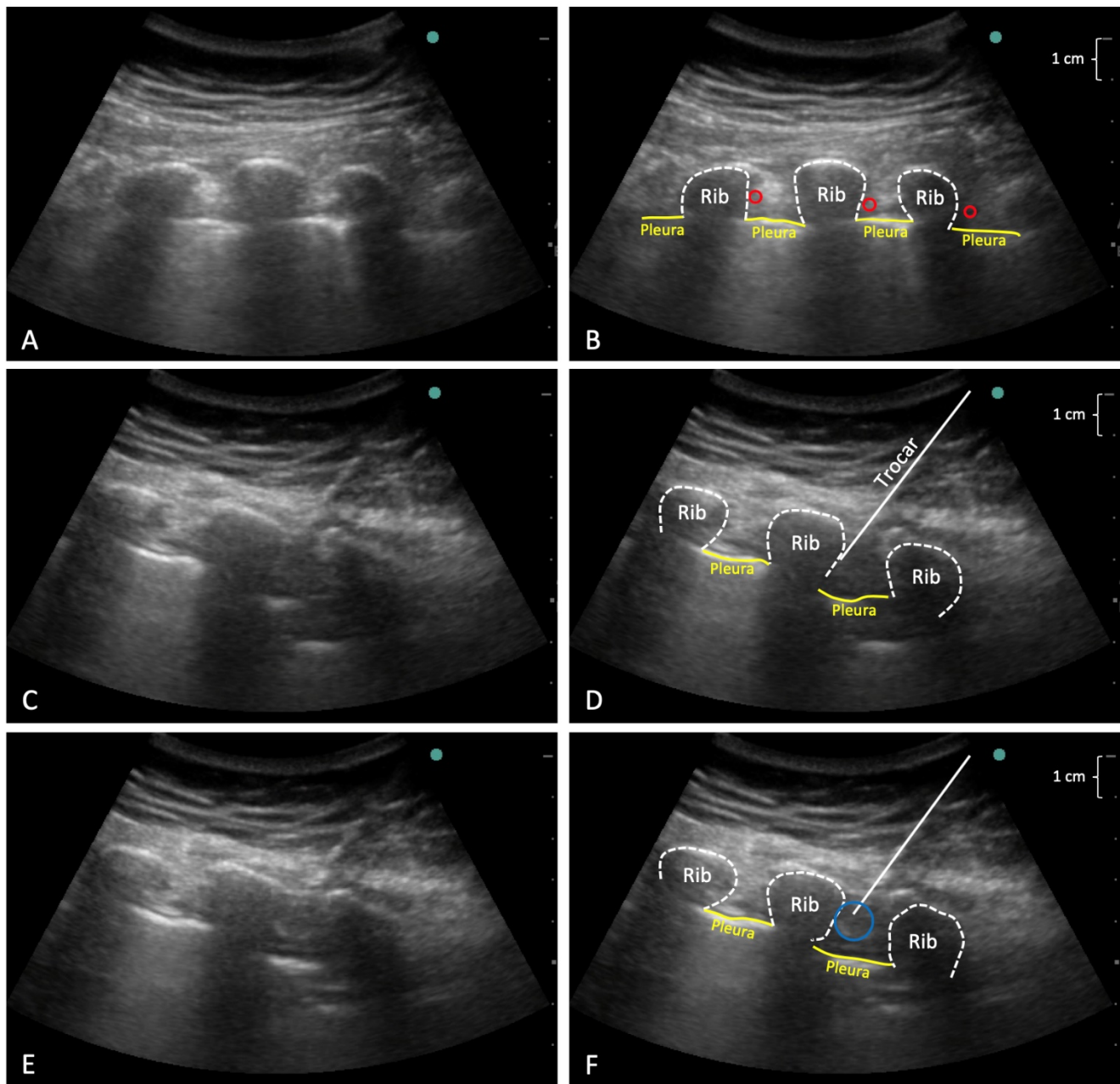
In summary, ultrasound-guided percutaneous cryoanalgesia is a potential novel modality for acute pain management following mastectomy. Benefits may potentially extend beyond the duration of the cryoneurolysis with a decrease in the incidence and severity of chronic postoperative pain. Appropriately powered randomized, controlled clinical trials are required to demonstrate and quantify both potential benefits and risks.

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## Legend for figures



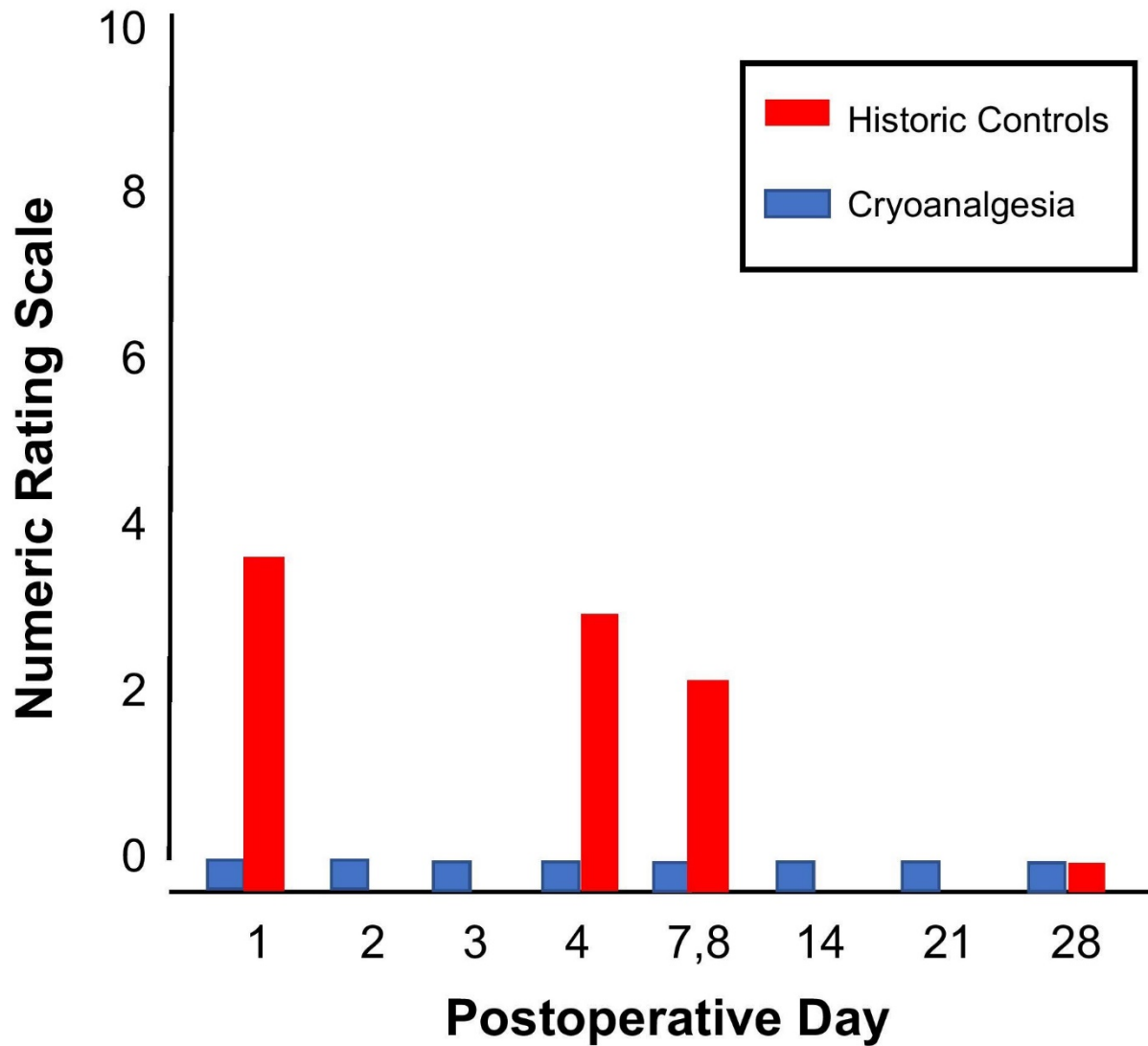
**Fig. 1.** Ultrasound image of cryoprobe advancing towards intercostal nerve. A) proximal short-axis view (5 cm lateral to spinous process) of ribs where intercostal nerve blocks are performed; B) rendering of Figure 1A labeling ribs, pleura, and intercostal artery (red circle); C) view with cryoprobe in-plane next

to target; D) rendering of Figure 1C with trocar labeled; E) view with ice-ball formation at tip of cryoprobe; F) rendering of Figure 1E with ice-ball labeled (blue circle).

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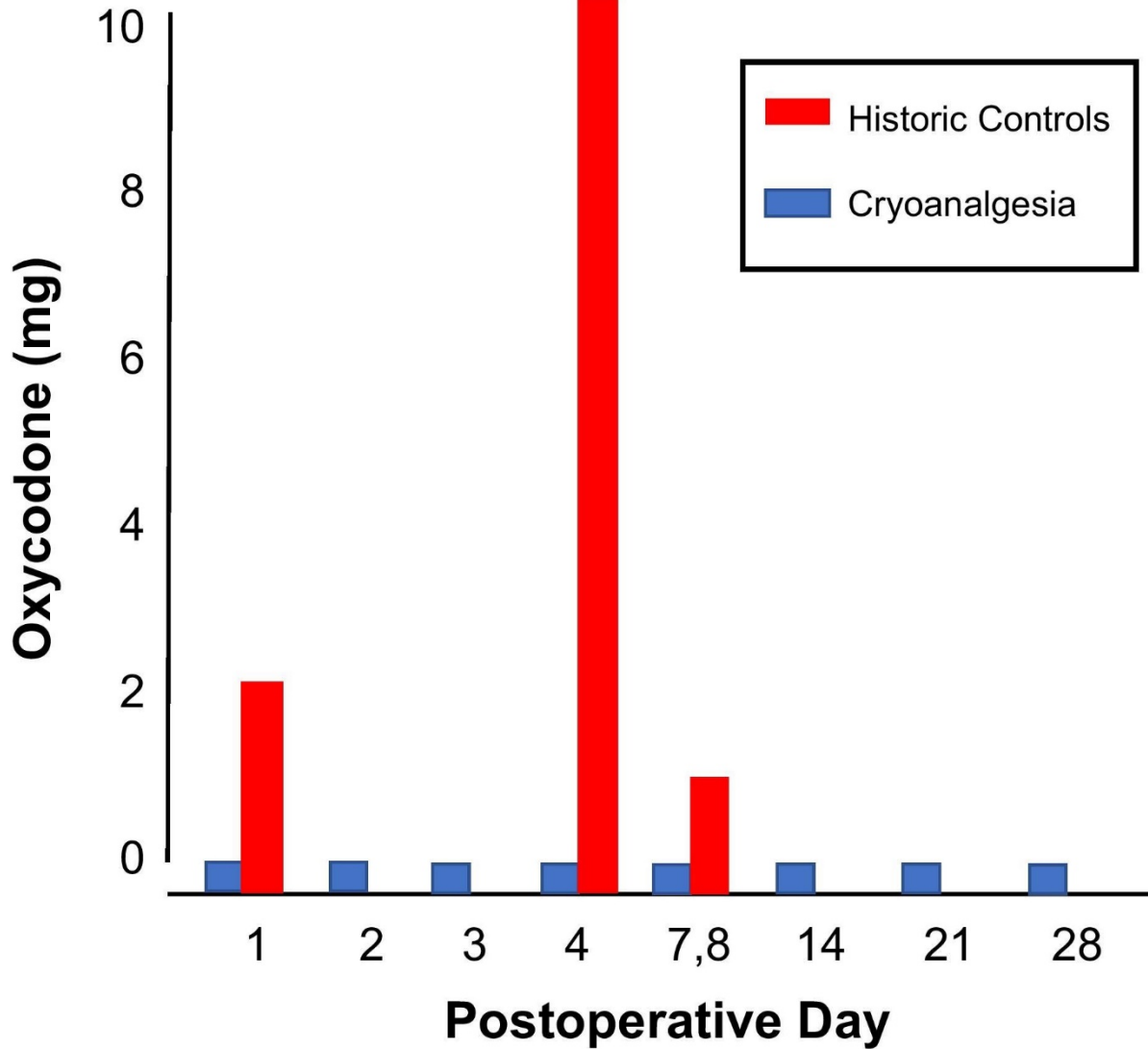


## Average Pain



**Fig. 2.** Bar plot demonstrating mean pain scores on the numerical rating scale (NRS) on various postoperative days in the cryoanalgesia cohort versus historical cohort (patients not receiving cryoanalgesia). Both cohorts had thoracic paravertebral catheters with a ropivacaine infusion for 3 days.

# Opioid Requirements



**Fig. 3.** Bar plot demonstrating median opioid consumption on various postoperative days in the cryoanalgesia cohort versus historical cohort (patients not receiving cryoanalgesia). Both cohorts had thoracic paravertebral catheters with a ropivacaine infusion for 3 days.