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1 **Regulation of Southwestern United States Precipitation by non-ENSO**
2 **Teleconnections and the Impact of the Background Flow**

3

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9 In this study, we analyze drivers of non-El Niño Southern Oscillation (ENSO) precipitation
10 variability in the Southwest United States (SWUS) and the influence of the atmospheric basic
11 state, using atmosphere-only and ocean-atmosphere coupled simulations from the Community
12 Earth System Model version 2 (CESM2) large ensemble. A cluster analysis identifies three
13 main wavetrains associated with non-ENSO SWUS precipitation in the experiments: a
14 meridional ENSO-type wavetrain, an arching Pacific North American-type (PNA) wavetrain,
15 and a circumglobal zonal wavetrain. The zonal wavetrain cluster frequency differs between
16 models and ENSO phase, with decreased frequency during El Niño and the coupled runs, and
17 increased frequency during La Niña and the atmosphere-only runs. This is consistent with an
18 El Niño-like bias of the atmospheric circulation in the coupled model, with strengthened
19 subtropical westerlies in the central and eastern North Pacific that cause a retraction of the
20 waveguide in the midlatitude eastern North Pacific. As such, zonal wavetrains from the East
21 Asian Jet Stream (EAJS) are more likely to be diverted southward in the East Pacific in the
22 coupled large ensemble, with a consequently smaller role in driving SWUS precipitation
23 variability. This study illustrates the need to reduce model biases in the background flow,
24 particularly relating to the jet stream, in order to accurately capture the role of large-scale
25 teleconnections in driving SWUS variability and improve future forecasting capabilities.

26 **1. Introduction**

27 One of the greatest scientific challenges in the field of atmospheric dynamics is providing
28 skillful climate/weather predictions beyond the traditional two-week time horizon and into
29 the subseasonal to seasonal (S2S) range (Vitart et al. 2017). This challenge is particularly
30 notable for precipitation prediction in the SWUS, which is plagued by low prediction skill
31 compared to other regions (Kumar and Chen 2020, Roy et al. 2020, Becker et al. 2022). In
32 addition, the region contains the most populous and agriculturally productive state in the
33 United States, California. As a result, improved prediction of precipitation is of utmost
34 importance for local, state, and federal bodies to properly allocate and manage limited water
35 resources in the SWUS (e.g., Sengupta et al. 2022).

36 The importance of improved prediction has been especially illustrated by the recent
37 persistent multi-year drought in the region (Seager and Henderson 2016, Swain et al. 2014,
38 Swain 2015). As of 2016, it was estimated to have resulted in billions of dollars in economic

39 losses as well as shortages of water for rural consumption, agriculture, hydroelectric power,
40 and other usages (Lund et al. 2018). However, despite numerous studies over the past decade
41 analyzing the mechanisms regulating SWUS precipitation, there is little consensus regarding
42 the drivers of the drought-inducing atmospheric circulation, and there are many gaps to fill
43 regarding our understanding of SWUS precipitation variability.

44 In this study, we are primarily concerned with large-scale atmospheric patterns and
45 teleconnections that regulate SWUS precipitation. Although SWUS precipitation is brought
46 by midlatitude cyclones and associated atmospheric rivers (ARs) that form over the northern
47 Pacific Ocean during boreal winter (Ralph and Dettinger 2011, Dettinger 2013, Rutz et al.
48 2014, Payne and Magnusdottir 2014), known limits in atmospheric predictability (Lorenz
49 1963) make it impossible to predict individual storms and ARs on S2S timescales. Despite
50 this, there may still be potential to predict the large-scale atmospheric circulation pattern that
51 regulates AR landfall and frequency (e.g., DeFlorio et al. 2019). AR landfall and SWUS
52 precipitation anomalies are strongly associated with the presence of trough or ridge
53 conditions in the midlatitude Eastern North Pacific (ENP). A trough results in a strengthened
54 subtropical East Pacific jet, which guides more storms and ARs toward the SWUS, while a
55 ridge is associated with a weakened subtropical jet and decreased storm and AR activity in
56 the SWUS (e.g., Gibson et al. 2020, Mundhenk et al. 2016, Swain et al. 2017, Teng and
57 Branstator 2017, Payne and Magnusdottir 2016). Therefore, identifying the dominant S2S
58 drivers of trough or ridge conditions in the ENP provides a path for improving SWUS
59 precipitation prediction and areas to focus future model development.

60 Traditionally, ENSO has been the primary tool for SWUS precipitation prediction on
61 seasonal (and to a lesser extent subseasonal) timescales. This is because of its large effect on
62 the Northern Hemisphere atmospheric circulation during boreal winter and its slow evolution
63 on seasonal timescales. During an average El Niño, Eastern tropical Pacific warm SST
64 anomalies drive deep convection that leads to the propagation of Rossby waves to the
65 midlatitudes (Hoskins and Karoly 1981), resulting in an extension of the Northern subtropical
66 Pacific jet and wet conditions in the SWUS (Trenberth 1997, Horel and Wallace 1981,
67 Ropelewski and Halbert 1986, L'Heureux et al. 2015, Deser et al. 2018). During an average
68 La Niña, the opposite occurs, with a weakening of the jet and dry conditions in the SWUS.

69 However, the ENSO-SWUS precipitation relationship is dependent on the spatial and
70 temporal evolution of SST's (Lee et al. 2018, Patricola et al. 2020), can be dominated by

71 noise (Kumar and Chen 2020, Zhang et al. 2018, Swenson et al. 2019), and may vary
72 nonlinearly with ENSO strength (Jong et al. 2016, Garfinkel et al. 2019). Therefore, the
73 observed ENSO response in any particular period can deviate significantly from the
74 composite ENSO response. Notably, the teleconnection has appeared weaker during the
75 recent decade and persistent drought conditions (Lee et al. 2018). The water years 2013-14
76 and 2014-15 (defined as a November through March season) experienced severe drought
77 conditions, despite neutral and weakly positive ENSO conditions, respectively. The following
78 historically strong 2015-16 El Niño only resulted in average SWUS rain, followed by the
79 region unexpectedly experiencing a brief respite during the ENSO-neutral 2016-17 deluge
80 (Wang et al. 2017). Clearly, the ENSO state has not been sufficient to provide skillful
81 predictions of SWUS precipitation during this time period, and it is necessary to find non-
82 ENSO drivers of precipitation that can provide additional predictive skill.

83 There are many potential non-ENSO drivers of SWUS precipitation that researchers have
84 explored. First, these include non-ENSO SST variability, such as Western Pacific tropical
85 SST (Hartmann 2015, Seager and Henderson 2016, Watson et al. 2016, Lee et al. 2015) and
86 Indian Ocean SST (Siler et al. 2017, Seager and Henderson 2016), as well as sea ice
87 concentration variability (Cohen et al. 2017, Lee et al. 2015), all of which may drive
88 midlatitude circulation responses. Additionally, there may be a role for the Madden Julian
89 Oscillation (MJO), due to its slow eastward propagation of organized tropical convection
90 with a semi-regular period of 30-90 days (Zhang 2005). The MJO has been found to excite
91 different midlatitude circulation patterns depending on its phase (Arcodia et al. 2020, Moon
92 et al. 2011, Riddle et al. 2013, Roundy et al. 2010), and it plays a role for subseasonal
93 prediction (Mundhenk et al. 2018, Henderson et al. 2016, Stan et al. 2022, Lim et al. 2021)
94 and potentially even seasonal prediction (Peng et al. 2019, Peings et al. 2022). Lastly, there
95 are teleconnection patterns associated with internal midlatitude atmospheric dynamics, which
96 may be intrinsic modes of the atmosphere that may also be excited by outside forcing. The
97 most prominent of these teleconnections include the PNA pattern (Li et al. 2019, Lopez and
98 Kirtman 2019) and the circumglobal teleconnection patterns (CGT's; Branstator 2002,
99 Branstator and Teng 2017, Hoskins and Ambrizzi 1993), which are associated with Rossby
100 wavetrains guided by the jet stream that can set up trough or ridge conditions in the ENP
101 (Teng and Branstator 2017).

102 Although many potential non-ENSO teleconnections have been explored, and there are
103 indications that including these non-ENSO drivers can lead to improved predictions (e.g.,
104 through machine learning; Gibson et al. 2021), there is still a long way to go in providing
105 skillful S2S predictions of SWUS precipitation. In addition, there is still a need to explore
106 how non-ENSO SWUS precipitation variability can vary depending on the ENSO state and
107 model choice. ENSO may modulate the tropical mean state that regulates tropical convective
108 variability (e.g., MJO intensity and propagation; Liu et al. 2016, Kang et al. 2021) as well as
109 the extratropical atmospheric background flow that is instrumental for Rossby wave
110 propagation and breaking. Similarly, different model setups introduce their own unique
111 biases in the mean state, feedbacks, and model physics and parameterizations that may
112 influence SWUS teleconnection variability. Recent studies show that even in S2S forecasting,
113 mean state biases quickly emerge after initialization (Garfinkel et al. 2022). In addition, there
114 may be large differences in tropical convective variability between atmospheric models often
115 used in hindcast experiments and coupled models typically used in forecasting (e.g., MJO
116 propagation; Woolnough et al. 2007, DeMott et al. 2019), as well as between models and
117 observations.

118 Due to these issues, we supplement our analysis of observations and reanalysis data with
119 the fully coupled CESM2 large ensemble experiment (Rodgers et al. 2021) and the
120 atmosphere-only CESM2 Atmospheric Model Intercomparison Project (AMIP) experiment
121 (NCAR Climate Variability and Change Working Group). This allows for a robust
122 assessment and reduction of the influence of internal variability in statistical analyses for
123 studying how a state-of-the-art climate model represents the key teleconnections that
124 influence SWUS rainfall, during different ENSO states, as well as with either a freely
125 evolving ocean or with prescribed observational SST. During our analysis of the model
126 experiments and observational and reanalysis dataset, we aim to answer the following major
127 questions with regards to subseasonal monthly variability of SWUS precipitation:

- 128 1. What are the dominant non-ENSO teleconnection patterns that interfere with the
129 expected ENSO-SWUS precipitation teleconnection?
- 130 2. How do the different teleconnections interact with the ENSO basic state?
- 131 3. How well are these teleconnections represented in models, and how might that affect
132 SWUS precipitation prediction?

133 Section 2 presents the data and methodology used. Section 3 describes results from our
134 analyses, and Section 4 contains the conclusions and a discussion of the main findings.

135 **2. Data and Diagnostics**

136 *a. Observational and Reanalysis Data*

137 For historical global atmospheric variables, we use monthly data from the ERA5 global
138 reanalysis product (Hersbach et al. 2020), which uses a data assimilation system to constrain
139 observations from 1940-present with a horizontal spatial resolution of 31 km and 137 vertical
140 levels. We use historical SST from the Extended Reconstructed Sea Surface Temperature
141 version 5 (ERSSTv5) dataset, which is a monthly global sea surface temperature dataset
142 derived from the International Comprehensive Ocean-Atmosphere Dataset (ICOADS)
143 Release 3.0, with data from 1854 to present on a 2.0° by 2.0° grid. Historical precipitation
144 over the United States is taken from the Climate Prediction Center (CPC) monthly rain gauge
145 data set over the years 1948-present on a 0.25° by 0.25° grid.

146 *b. Model Experiment Data*

147 The model data comes from two experiments running CESM2. The first set of
148 simulations is the CESM2 large ensemble (LENS2; Rodgers et al. 2021), which uses the fully
149 coupled version. We use the first 50 ensemble members, which use the original Coupled
150 Model Intercomparison Project 6 (CMIP6) biomass burning protocol and simulate the period
151 1850-2100 (Danabasoglu et al. 2020). The second set of simulations (GOGA) uses the
152 atmosphere-only component of CESM2, the Community Atmosphere Model version 6
153 (CAM6), on a 1.25° by 0.9° horizontal grid with 32 vertical levels and a model top at 2.26
154 hPa. GOGA is a ten ensemble-member experiment where CAM6 is forced by prescribed
155 global monthly SST from ERSSTv5 over the period 1880-2021, having been branched from
156 the 11th LENS2 member on January 1st, 1880 through perturbations to the air temperature
157 field.

158 *c. Data Treatment and Climate Indices*

159 Each dataset is trimmed to a common time interval, 1948-2020, which is also used to
160 calculate climatological fields. Additionally, SST anomalies are calculated after first
161 subtracting the global mean SST at each timestep to account for the global warming trend.
162 All data are analyzed in either monthly or seasonal averages during NDJFM periods.

163 To represent ENSO, we use the Niño 3 index, calculated as the areal average of SST
164 anomalies over the eastern tropical Pacific Ocean (210°E-270°E, 5°S-5°N). Analyses have
165 also been tested using the Niño 3.4 index, which captures more central Pacific ENSO
166 variability, but the results are similar. SWUS precipitation is calculated as an areal average
167 over land within the box (235°E-251°E, 31°N-40°N). This region includes most of
168 California, Nevada, Utah, and Arizona.

169 We perform linear regressions to measure and then remove the anomalies associated with
170 ENSO, when analyzing non-ENSO mechanisms. This is performed individually at each grid
171 point, such that for a variable X at latitude ϕ , longitude λ , and timestep i , the linear part of X
172 dependent on ENSO is calculated as

$$173 \quad X_{ENSO}^{\phi,\lambda,i} = a * ENSO_i + b$$

174 where a and b are constants derived from a simple linear regression between the ENSO 3
175 index and variable $X^{\phi,\lambda}$ over all timesteps i . Note that when calculated using variable
176 anomalies, b is zero. Using this, we can also calculate “non-ENSO” anomalies by subtracting
177 the linear ENSO anomalies from the total anomaly

$$178 \quad X_{non-ENSO} = X - X_{ENSO}$$

179 where we have omitted the subscripts i , ϕ , and λ for simplicity. Analyses have also been
180 performed using a quadratic least squares regression to account for the influence of nonlinear
181 ENSO dependence, but results are similar and the main conclusions do not change.

182 *d. Clustering Algorithm*

183 The following analyses use an algorithm that places map patterns into separate clusters.
184 Before clustering, we reduce the dimensionality of the data by using extended empirical
185 orthogonal functions (EEOF’s), where we select multiple variables, inputting the anomalies
186 of these variables during selected timeframes and locations. For example, later analyses use
187 monthly 200 hPa meridional wind and streamfunction anomalies during NDJFM months over
188 a longitude-latitude box (180°E-260°E, 20°N-70°N). After this selection, we compute the
189 first 20 EEOF’s.

190 After computing the EEOF’s, we perform the clustering using a Gaussian Mixture Model
191 (GMM) algorithm from the Scikit-learn library in Python (Pedregosa et al. 2011). Each
192 timestep is a sample data point with dimensionality equal to the number of selected EEOF’s.

193 The clustering algorithm iteratively solves for N clusters from the data points, where N is a
 194 user-defined input, and each cluster is defined by a multivariate Gaussian probability
 195 distribution. Due to the possibility of local maxima, the clustering algorithm is randomly
 196 initiated 100 separate times. The highest scoring result is saved according to the Bayesian
 197 Information Criterion (BIC) score. After calculating the N clusters, each data point can be
 198 assigned to the cluster for which it has the highest probability (according to the multivariate
 199 Gaussian probability distributions).

200 Using a GMM has distinct advantages over the common clustering algorithm k-means,
 201 which can be formulated as a primitive version of the GMM expectation-maximization
 202 algorithm. While k-means has spherical distributions shapes, fixed partitions, and single
 203 cluster membership, GMM allows for elliptic distribution shapes, overlapping clusters, and
 204 probabilistic cluster membership. As such, GMM is more flexible and advantageous when
 205 analyzing monthly mean data that contains multiple overlapping atmospheric patterns.

206 *e. Stationary Wavenumber of Rossby Waves*

207 We use the 200 hPa mean flow to calculate the wavenumber for stationary Rossby waves
 208 from linear theory using a Mercator coordinate transform as in Hoskins and Ambrizzi (1993),
 209 where K_s is the stationary wavenumber, U is the zonal wind, a is the Earth's radius, ϕ is
 210 latitude, and β_M is the Mercator coordinate equivalent of the meridional gradient of absolute
 211 vorticity.

$$212 \quad K_s = a \left(\frac{\beta_M \cos \phi}{U} \right)^{1/2}$$

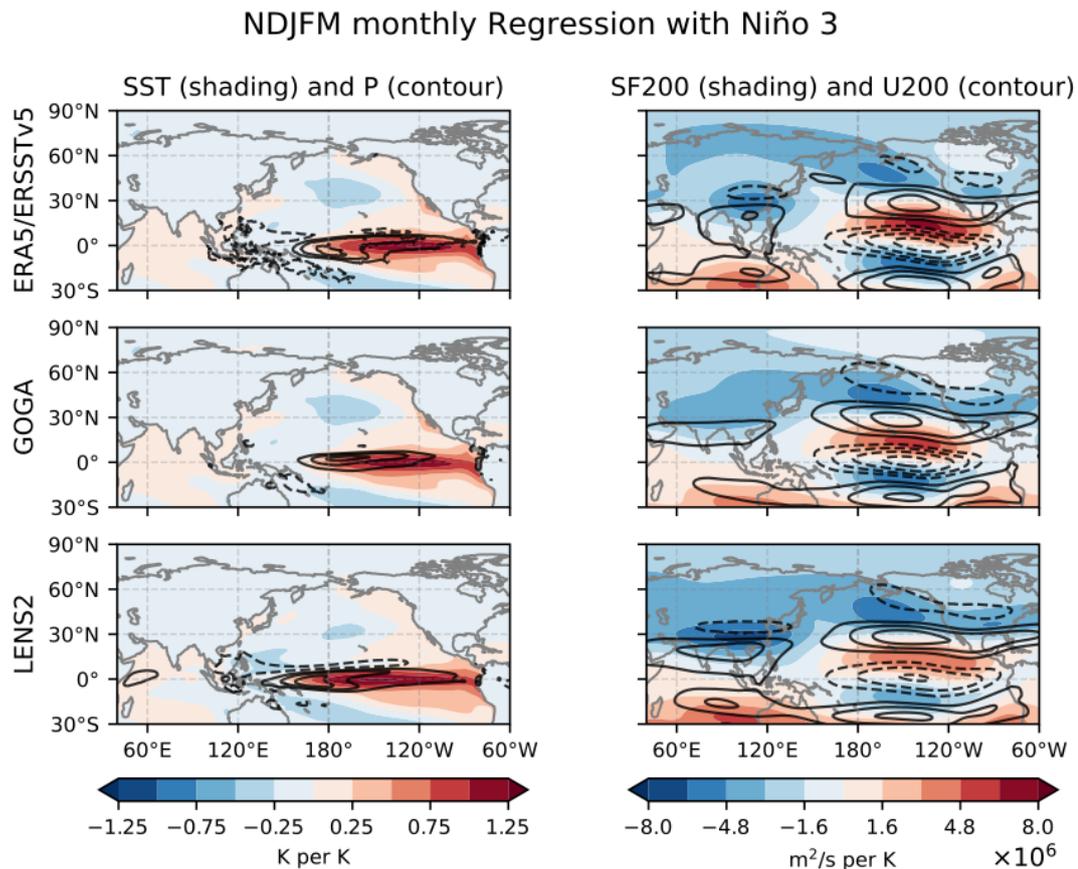
$$213 \quad \beta_M = \left(2\Omega - \left(\frac{1}{\cos \phi} \frac{\partial}{\partial \phi} \right)^2 (U \cos \phi) \right) \frac{\cos^2 \phi}{a^2}$$

214 We interpret the stationary wavenumber as follows. Under the assumption that locally the
 215 medium is varying only in the meridional direction, the zonal wavenumber k is constant, so
 216 that for each zonal wavenumber, the meridional wavenumber l can be deduced from the
 217 following: $K_s^2 = k^2 + l^2$. This implies that for stationary, linear Rossby wave solutions, a
 218 wave with zonal wavenumber k is restricted to regions of $K_s > k$, or else l will be imaginary
 219 and the waves will decay. Put another way, linear waves are refracted towards regions with
 220 higher K_s . Naturally, this places larger restrictions on short waves with higher zonal

221 wavenumbers, particularly in waveguides along the jet streams. However, even larger scale
 222 waves with smaller wavenumbers will be refracted by the medium. While many of the
 223 assumptions of linear theory are not strictly valid, it can be useful for qualitative analysis.

224 3. Results

225 Our goal is to analyze non-ENSO mechanisms and how they regulate SWUS precipitation
 226 while interacting with ENSO. However, it is important to recognize that ENSO variability
 227 may differ between reanalysis, GOGA, and LENS2. This may impact the teleconnection
 228 strength between ENSO and SWUS precipitation, as well as how ENSO interacts with other
 229 non-ENSO mechanisms. In the first section we briefly compare ENSO tropical SST and
 230 convective variability, its induced large-scale atmospheric response, and the strength of the
 231 ENSO teleconnection with SWUS precipitation in each of the model experiments and
 232 reanalysis, before analyzing non-ENSO variability in the latter sections.



233
 234 **Fig. 1.** Regression (left column) of monthly NDJFM SST (shading) and monthly NDJFM precipitation
 235 (contours) with the Niño 3 index in ERA5/ERSSTv5, GOGA and LENS2. Contour interval in left column
 236 is $1.0 \text{ mm day}^{-1} \text{ K}^{-1}$, with zero contour omitted. Regression (right column) of monthly NDJFM SF200
 237 (shading) and monthly NDJFM 200 hPa zonal wind (contours) with Niño 3 index in ERA5, GOGA, and
 238 LENS2. Contour interval in right column is $1.5 \text{ m s}^{-1} \text{ K}^{-1}$, with zero contour omitted.

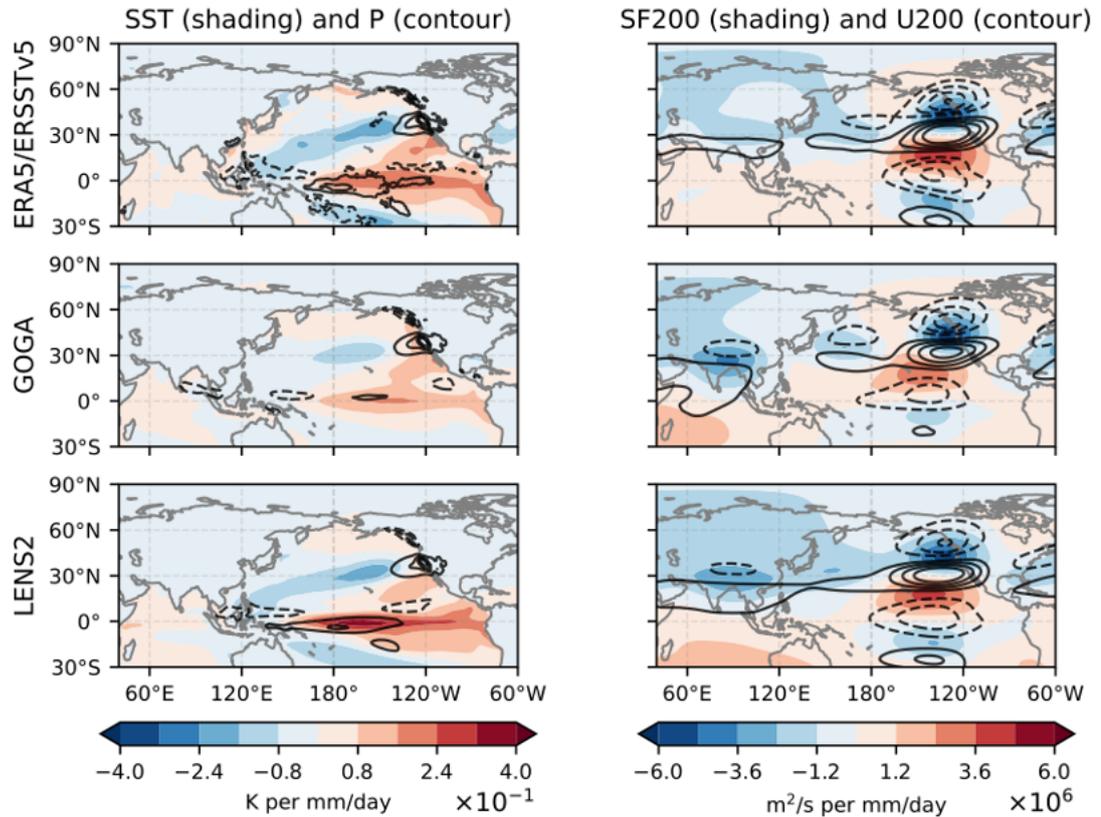
239 *a. Comparison of model variability of the ENSO-SWUS precipitation teleconnection*

240 In Figure 1, we display the regressed fields associated with the Niño 3 index during
241 NDJFM months. The SST expression of ENSO (left column) is nearly identical between
242 ERSSTv5 and GOGA, as expected due to the experimental design. However, in LENS2
243 there is a westward extension of the warm SST pool during El Niño as previously shown by
244 Capotondi et al. (2020). As a result, the tropical atmospheric precipitation response is also
245 shifted westward. However, this shift is not clearly manifested in a different ENSO
246 extratropical response in LENS2, compared to GOGA and observations.

247 When comparing 200 hPa streamfunction (SF200) and 200 hPa zonal wind (U200), each
248 dataset displays a similar meridional wavetrain in the central-eastern Pacific, which results in
249 a trough in the extratropical North Pacific and strengthened subtropical North Pacific
250 westerlies (Fig. 1 right panels). Calculating the longitude of maximum jet strengthening, we
251 find that it occurs at 142.5° W in ERA5, 148.75° W in LENS2, and 155° W in GOGA. Thus,
252 despite nearly identical monthly SST variability in GOGA and ERA5, there is about a 12°
253 longitude westward shift in the jet strengthening maximum in GOGA. In contrast, despite a
254 westward shift in warm tropical SST and convection in LENS2, the jet response maximum is
255 shifted eastward relative to GOGA. This indicates that the zonal location of tropical warming
256 and convection associated with ENSO is not necessarily a good predictor of small zonal shifts
257 in the extratropical response, which could be important for SWUS precipitation prediction.

258 This concept is further elucidated when we construct regression maps with monthly
259 NDJFM SWUS precipitation (Figure 2). While we might have initially expected a weaker
260 ENSO-SWUS precipitation teleconnection in LENS2 due to the westward tropical convection
261 shift (Patricola et al. 2020), this is clearly not the case. When analyzing the relationship
262 between SWUS precipitation and tropical SST, it appears that GOGA has the weaker ENSO-
263 SWUS rain relationship, in contrast to stronger relationships in LENS2 and ERA5/ERSSTv5,
264 which exhibit stronger SST and precipitation signals in the ENSO region. Potentially, this
265 may be related to the aforementioned shifts in the ENSO-induced jet response, where an
266 eastward shift results in a stronger SWUS precipitation response. However, it is also
267 important to remember that GOGA uses prescribed SST in the extratropics, so the lack of air-
268 sea feedbacks may also weaken this relationship, such as by changing feedbacks in Rossby
269 wave forcing or storm feedbacks.

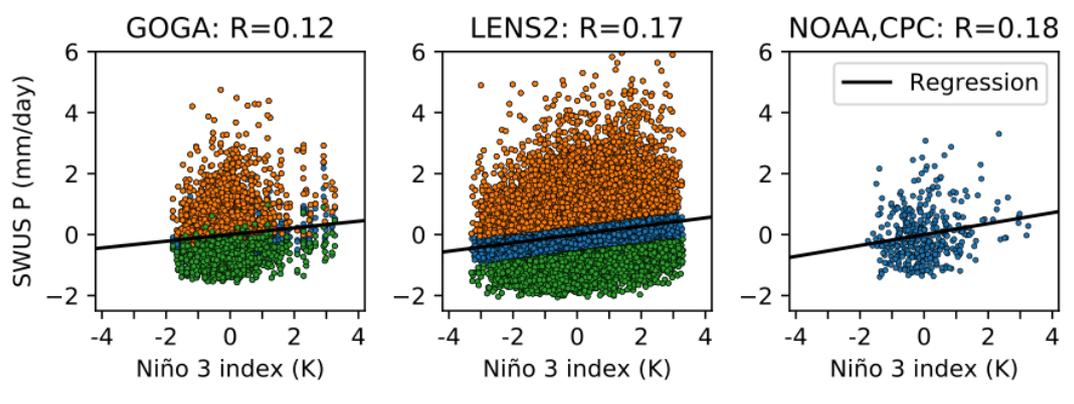
NDJFM monthly Regression with SWUS P



270
 271 **Fig. 2.** Regression (left column) of NDJFM monthly SST (shading) and precipitation (contours) with
 272 SWUS precipitation in ERA5/ERSSTv5, GOGA and LENS2. Contour interval in left column is
 273 $0.5 \text{ mm day}^{-1} \text{ per mm day}^{-1}$, with zero contour omitted. Regression (right column) of NDJFM monthly
 274 SF200 (shading) and U200 (contours) with SWUS precipitation in ERA5, GOGA, and LENS2. Contour
 275 interval in right column is $1.0 \text{ m s}^{-1} \text{ per mm day}^{-1}$, with zero contour omitted.

276 When analyzing the SF200 and U200 patterns regressed with SWUS precipitation (Figure
 277 2 right column), we identify similar patterns in the ENP in each dataset, with a trough and
 278 associated strengthened subtropical East Pacific westerlies. However, outside this region,
 279 there are numerous differences between GOGA, LENS2, and ERA5. Both LENS2 and ERA5
 280 display significant signal from ENSO, with a meridional wavetrain in the central-eastern
 281 Pacific and strong negative zonal mean SF200 responses in the midlatitudes that resemble the
 282 ENSO regressed responses. In GOGA, there are also similarities to the regressed ENSO
 283 pattern, but the influence is weaker. GOGA exhibits stronger hints of a zonal pattern with
 284 troughs over East Asia, east of Japan, and in the ENP, which does not overlap with the ENSO
 285 regressed response. Similar patterns have been identified in previous studies, associated
 286 either with atmospheric internal variability or convection in the western tropical Pacific
 287 (Gibson et al. 2020, Swain et al. 2017, Teng and Branstator 2017).

288 As expected, ENSO is the dominant climate pattern associated with SWUS precipitation
 289 in each of ERA5, LENS2, and GOGA, although the connection appears weaker in GOGA.
 290 However, SWUS precipitation is highly variable, and ENSO only explains a small fraction of
 291 its variance. Figure 3 shows the correlation between the Niño 3 index and SWUS
 292 precipitation at monthly timescales, where it is under 0.2 for each model and in observations
 293 (at seasonal time scales, the correlation is ~ 0.26 for GOGA and ~ 0.42 for observations and
 294 LENS2). Despite this low correlation, the dominant ENSO signal in the large-scale
 295 atmospheric dynamics makes it difficult to ascertain the role of non-ENSO teleconnection
 296 patterns. To address this, we next analyze non-ENSO anomalies during wet and dry SWUS
 297 periods with similar background ENSO states.

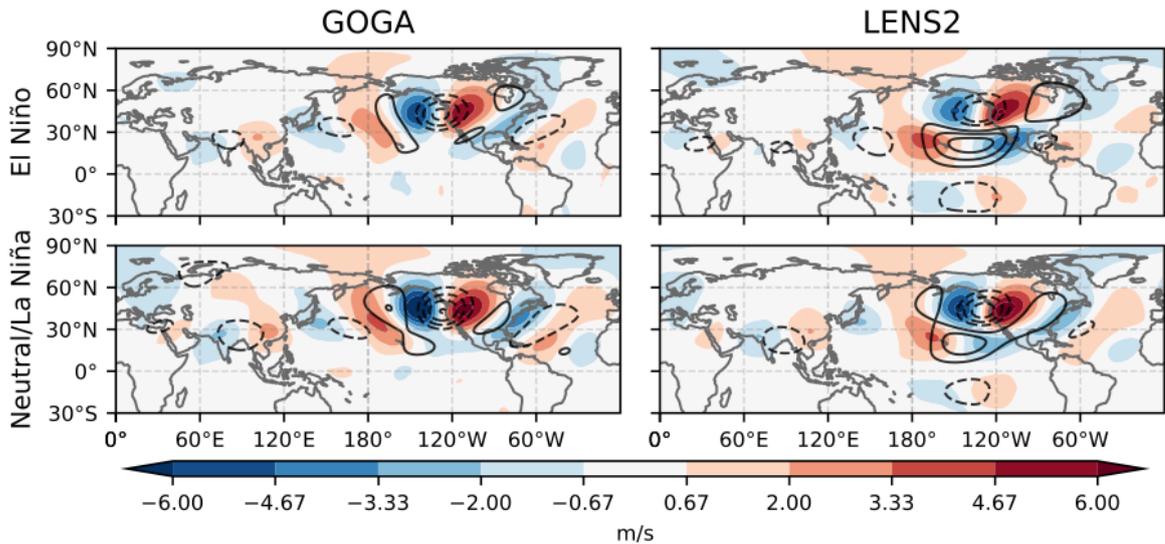


298
 299 **Fig. 3.** Distribution of SWUS P anomalies as a function of the Niño 3 index for NDJFM months in GOGA
 300 (left), LENS2 (middle), and observations (right). The black line is the regression between SWUS P and
 301 Niño 3. Orange points indicate wet SWUS rain months used. Green points indicate dry SWUS rain months
 302 used.

303 *b. Variability of the ENSO-SWUS precipitation teleconnection in LENS2 and GOGA*

304 Focusing on non-ENSO teleconnections, we first calculate non-ENSO anomalies by
 305 regressing out the Niño 3 index as described in section 2c. Then, we create composites for
 306 NDJFM months with high non-ENSO SWUS rainfall minus low non-ENSO SWUS rainfall.
 307 In GOGA, which has ten ensemble members, we composite the three wettest minus the three
 308 driest ensemble members at each timestep. Note that in this case, each group has identical
 309 SST variability. In LENS2, which has a freely-evolving ocean, we take the wettest 30%
 310 minus the driest 30% of months over all the data. Using non-ENSO anomalies in conjunction
 311 with the composite method is effective at removing the ENSO signal from our analyses. We
 312 perform the analysis on NDJFM months rather than seasons, due to the larger sample size,
 313 although results are overall similar. Composite analyses are not performed on reanalysis data
 314 due to the small sample size.

NDJFM monthly V200 and SF200: High minus Low SWUS P



315
 316 **Fig. 4.** Difference between high versus low SWUS rainfall months in GOGA (left column) and LENS2
 317 (right column), and during positive ENSO (top row) and neutral/negative ENSO (bottom row). In each
 318 panel, 200 hPa meridional wind (shading) and 200 hPa streamfunction (contour) is plotted. The contour
 319 interval is $2.5 \cdot 10^6 \text{ m}^2 \text{ s}^{-1}$. The zero contour is omitted.

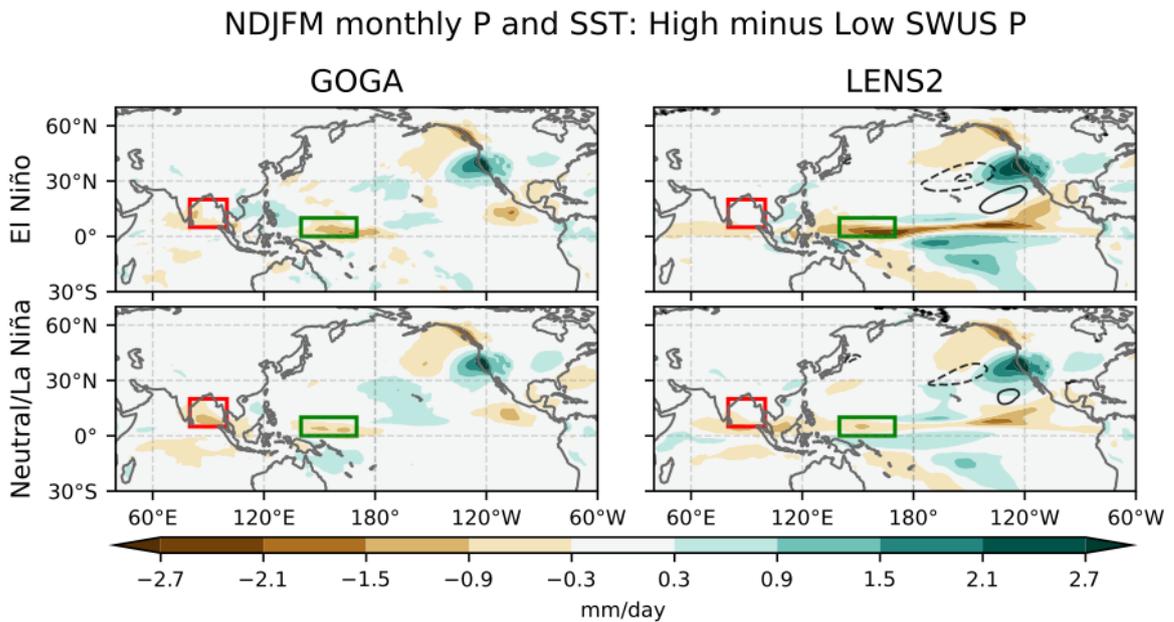
320 In anticipation that the ENSO background may affect which non-ENSO teleconnections
 321 drive SWUS precipitation variability, we compare El Niño periods where Niño 3 anomalies
 322 are greater than 0.5° C to neutral/La Niña periods where Niño 3 anomalies are less than 0.5°
 323 C . In addition, due to the wider distribution of Niño 3 anomalies in LENS2, only months with
 324 anomalies of magnitude 3.3° C and less are considered, in line with the historical record.

325 Figure 4 displays the composite results for 200 hPa meridional wind (V200) and SF200.
 326 There are two clear dominant patterns that are associated with non-ENSO SWUS
 327 precipitation. First, there is a zonal wavenumber-5 CGT with troughs over northern Africa,
 328 eastern India, the subtropical west Pacific, the ENP, and the Atlantic oceans. This pattern is
 329 the dominant pattern in GOGA during both ENSO states, as well as being present in LENS2
 330 neutral/La Niña months. Comparatively, the pattern is weaker in LENS2 El Niño months.
 331 This result supports the previous findings of Teng and Branstator (2017) regarding the
 332 significant role CGT's may play in ENP ridges and troughs.

333 The second dominant pattern in Figure 4 is a meridional El Niño-like wavetrain in the
 334 central-eastern Pacific, with a ridge in the subtropical East Pacific and trough in the ENP.
 335 This pattern is most apparent in LENS2 El Niño months, which coincidentally had the
 336 weakest zonal pattern. The pattern is also apparent, albeit slightly weaker, in LENS2

337 neutral/La Niña months. In GOGA, the meridional wavetrain signal is much weaker if
 338 present at all.

339 Although the meridional wavetrain resembles an El Niño response, it is not a result of
 340 linear ENSO variability, due to the composite method and removal of linearly regressed
 341 ENSO anomalies. To affirm this and identify tropical forcing patterns for the circulation
 342 patterns in Figure 4, identically constructed composites for non-ENSO precipitation and non-
 343 ENSO SST are displayed in Figure 5. Analyzing SST first, there is no GOGA SST signal as
 344 expected. By contrast, LENS2 contains weak SST signals in the tropics and stronger SST
 345 signals in the extratropics, which are likely driven by the atmospheric circulation. All tropical
 346 SST differences are less than 0.25 K, so it appears that tropical SST variability is only weakly
 347 related to the differences in SWUS precipitation. However, it is possible that SST anomalies
 348 induced by the atmospheric variability may feedback on and modulate the atmospheric
 349 circulation in LENS2, even if they are not the direct drivers (e.g., Watanabe and Kimoto
 350 2000, Lau and Nath 1996).



351
 352 **Fig. 5.** As in Figure 4, but for precipitation (shading) and SST (contours). Contour interval is 0.25 K, with
 353 zero contour omitted. The red box is the NEIO (80°E-100°E, 5°N-20°N). The green box is the rainA
 354 region (140°E-170°E, 0°N-10°N).

355 Despite the lack of significant tropical SST differences, there are still significant tropical
356 precipitation differences that appear unforced by SST and may explain the different
357 circulation patterns present in each model experiment and ENSO state. First, in LENS2, there
358 is a tropical Pacific precipitation pattern that resembles a southward shift and weakening of
359 the Intertropical Convergence Zone (ITCZ). This pattern is stronger during LENS2 El Niño
360 months relative to neutral/La Niña months, while not showing any significant presence in
361 GOGA. The meridional wavetrain varied in a similar way with model experiment and ENSO
362 state, so this tropical precipitation pattern may be associated with the meridional wavetrain
363 pattern.

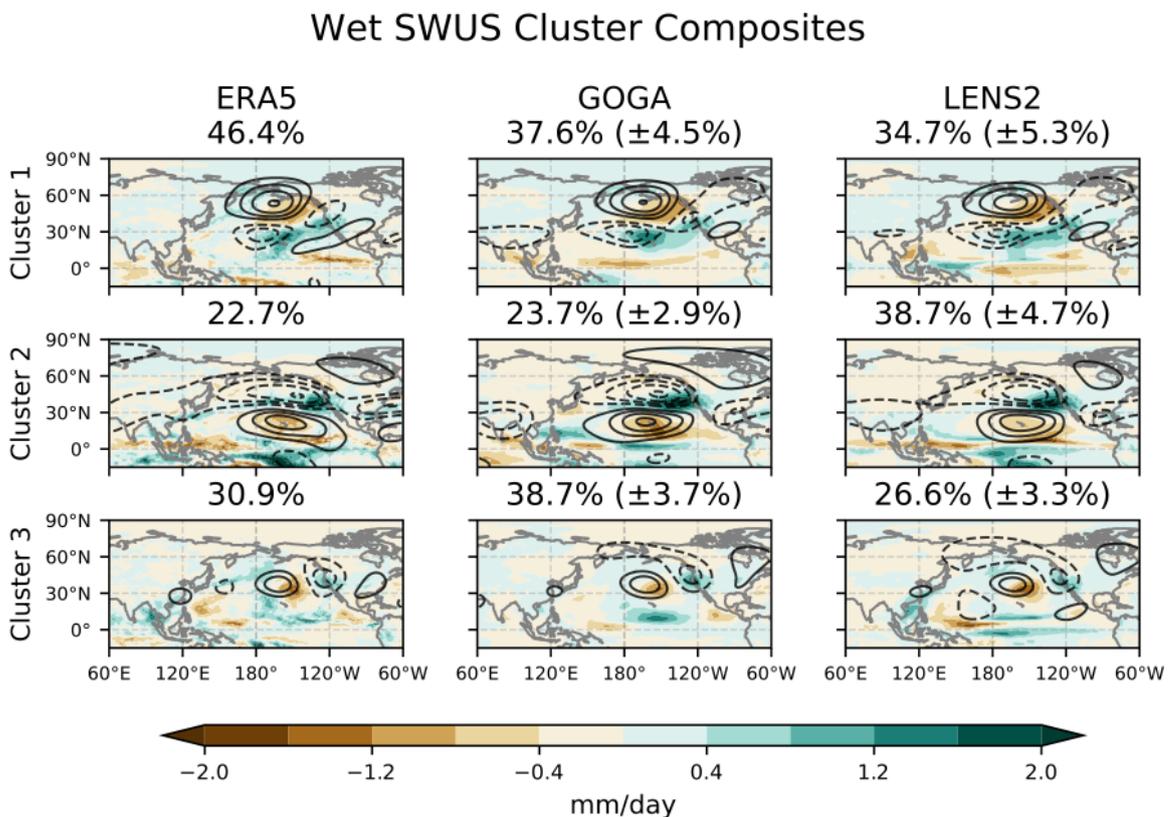
364 When we analyze precipitation in the West Pacific and Indian Ocean in Fig. 5, we note
365 two significant features. First, there is a common signal in the rainA region (140°E - 170°E ,
366 0°N - 10°N), highlighted by the green box, during each ENSO phase and each model
367 experiment. Teng and Branstator (2017) found this precipitation signal to be associated with
368 zonal wavetrain patterns that set up ridges or troughs in the ENP. Second, we highlight in red
369 the region in the Northeast Indian Ocean (NEIO), which only contains a precipitation signal
370 during GOGA neutral/La Niña months. Due to its proximity to the EAJS, we hypothesize that
371 this precipitation signal may be related to the zonal wavetrain pattern. Later, in section 3e, we
372 will analyze the atmospheric responses to precipitation in each of these regions to investigate
373 why the rainA signal is common for each model experiment and ENSO phase, while the
374 NEIO precipitation signal is strongest during GOGA neutral/La Niña months.

375 *c. Clustering to identify unique teleconnections that bring SWUS precipitation*

376 So far, we have visually identified two non-ENSO circulation patterns that can regulate
377 SWUS precipitation: a zonal CGT and an East Pacific meridional wavetrain. However, it is
378 possible that there are other unidentified patterns hidden within the composite, as it is
379 difficult to disentangle individual circulation patterns. Additionally, the composite method
380 offers no quantitative measure of the frequency of occurrence for individual patterns. To
381 address this, we use a clustering algorithm to analyze wet SWUS months individually and to
382 identify unique circulation patterns associated with SWUS precipitation. Then, we can assess
383 the relative frequencies of the unique patterns, the tropical forcing associated with each
384 pattern, and how the cluster frequencies change in each model set-up and with different
385 ENSO backgrounds.

386 The method used for clustering anomalies is described in section 2d. Using non-ENSO
 387 SWUS precipitation, input months are selected as the wettest 30% of NDJFM months from
 388 the ten GOGA ensemble members and the wettest 30% of NDJFM months from the first ten
 389 ensemble members of LENS2, in order to equalize the influence from each one. Input
 390 variables include the first 20 EEOF's of non-ENSO V200 and non-ENSO SF200 over the
 391 ENP and North America (180°E-260°E, 20°N-70°N).

392 We find that searching for 3 clusters produces the best results, based on the BIC score and
 393 by visual inspection. Composites are constructed by assigning each month to one of the
 394 calculated clusters. The composites for precipitation and SF200 are displayed in Figure 6,
 395 along with the relative frequency of each cluster within each model experiment. Cluster
 396 frequencies and composites are also calculated for ERA5, although the results are less
 397 statistically reliable due to the smaller sample size. Additionally, we calculate the cluster
 398 frequencies for each ensemble member individually, then calculate the standard deviation of
 399 the ensemble spread.



400
 401 **Fig. 6.** Composite non-ENSO anomalies for each cluster in ERA5, GOGA, and LENS2. Monthly NDJFM
 402 SF200 (contours) and precipitation (shading). Contour interval is $2.5 \cdot 10^6 \text{ m}^2/\text{s}$. Zero contour is omitted.
 403 Frequency of each cluster in each dataset is displayed in subplot title, with ensemble member standard
 404 deviation in parentheses.

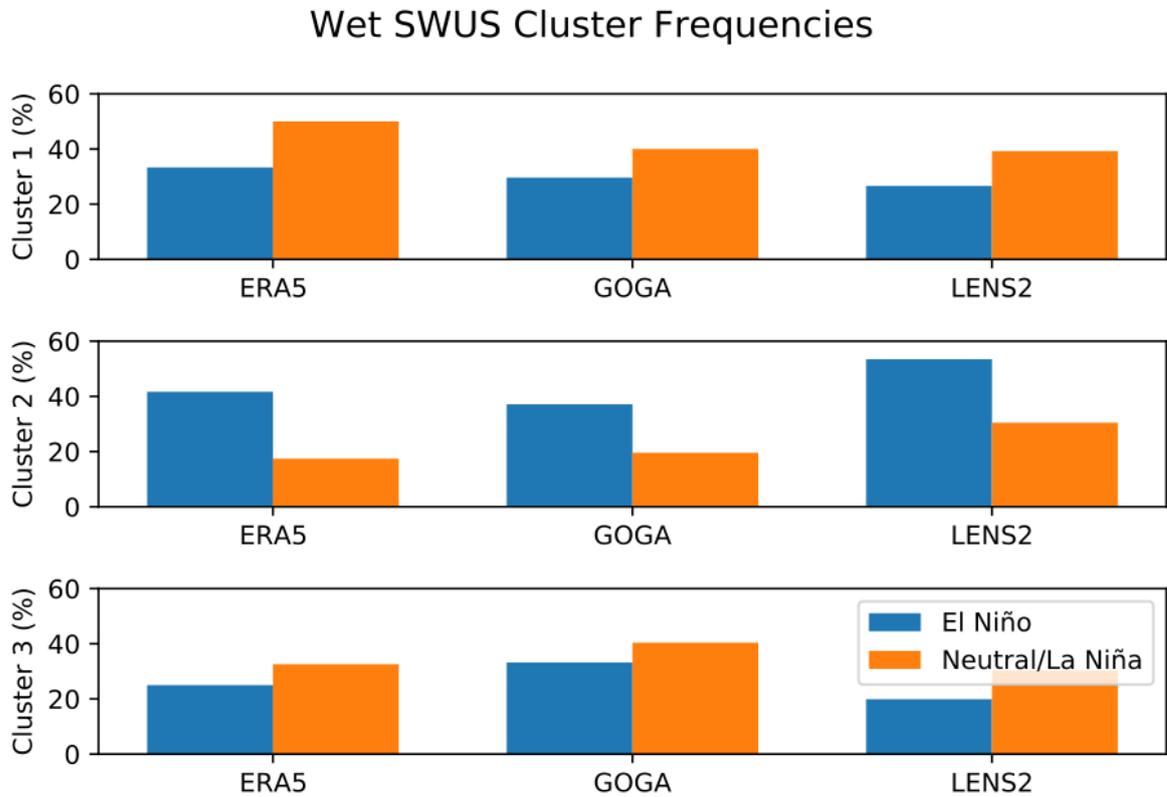
405 Cluster 1 (top row) can be described as an arching wavetrain that strongly resembles the
406 PNA teleconnection pattern and is similar to patterns found previously associated with non-
407 ENSO precipitation in the SWUS (e.g., Li et al 2019, Lopez and Kirtman 2019, Jiang et al.
408 2022). The cluster is associated with different tropical precipitation patterns in each dataset.
409 In ERA5, there are only very weak tropical anomalies. By contrast, the arching wavetrain is
410 associated with precipitation in the West Pacific rainA region in GOGA. In LENS2, it is
411 associated with a quadrupole of precipitation anomalies over the equatorial and off-equatorial
412 Pacific. These differences highlight a potentially strong role for internal atmospheric
413 variability for Cluster 1. Despite this, Cluster 1 is the most common cluster in ERA5 and
414 GOGA, and second most common in LENS2, despite not being one of the wavetrains
415 identified earlier in our composite analysis.

416 Cluster 2 (middle row) is associated with a meridional wavetrain, shifted slightly
417 westward relative to the meridional wavetrain found earlier (Figure 4 right column), so that it
418 resembles more closely the regressed ENSO response (Figure 1). This pattern, compared to
419 the other clusters, is associated with stronger precipitation anomalies in the tropical Pacific.
420 In particular, in each model experiment this cluster is associated with a north-south dipole of
421 precipitation in the central tropical Pacific, as well as a north-south dipole of opposite sign in
422 the tropical West Pacific. This cluster is nearly twice as common in LENS2 (38.7%) as it is in
423 ERA5 (22.7%) and GOGA (23.7%).

424 Lastly, Cluster 3 is a zonal wavetrain that resembles the composite atmospheric pattern
425 associated with SWUS precipitation in GOGA (Figure 4 left column). It is a zonal
426 wavenumber 5 wavetrain that propagates through the EAJIS and sets up a trough over the
427 ENP region. It is associated with excess precipitation in Southeast China and the off-
428 equatorial central North Pacific in each dataset, although this signal is weaker in GOGA
429 relative to ERA5 and LENS2. This cluster is more frequent in GOGA (38.7%) than it is in
430 LENS2 (26.6%) and ERA5 (30.9%).

431 In addition to variations with model, cluster frequencies may also depend on the ENSO
432 background state, which may modulate non-ENSO variability (e.g., by changing preferential
433 non-ENSO convection patterns in the tropics, propagation of Rossby waves in the
434 extratropics, etc.). Figure 7 displays the frequency for each cluster during El Niño and
435 neutral/La Niña backgrounds for each experiment. For all datasets, the zonal and arching
436 wavetrain clusters are more prevalent during neutral/La Niña backgrounds than during El

437 Niño, while the meridional wavetrain cluster is more prevalent during El Niño backgrounds.
 438 The fact that each of ERA5, GOGA, and LENS2 displays similar cluster relationships with
 439 ENSO phase, despite their differences, indicates the robustness of this dependence on ENSO.

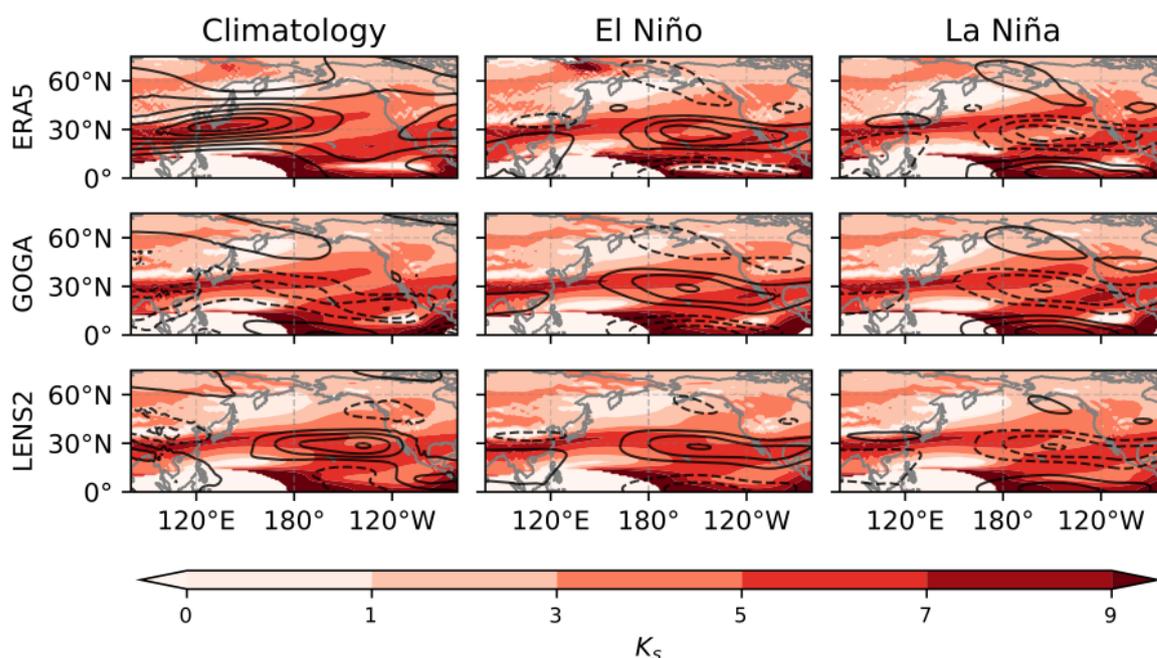


440
 441 **Fig. 7.** Cluster frequencies for each cluster in Figure 6 for each dataset and ENSO phase.

442 *d. Role of the background flow on Rossby waveguide characteristics*

443 So far, we have identified three clusters associated with non-ENSO SWUS rainfall and
 444 found that both model choice and the ENSO background state influence cluster frequency.
 445 There are many potential causes for these differences, such as differences in tropical
 446 convective variability (e.g., MJO, ITCZ), modulations of Rossby wave source and
 447 propagation by the atmospheric mean state, and different internal midlatitude atmospheric
 448 dynamics. All of these likely play a role in modulating cluster frequencies, and each may be
 449 affected by model setup and ENSO phase. However, for the rest of this paper, we will focus
 450 on the waveguide effect of the atmospheric mean state, with a brief discussion of the
 451 differences in tropical convective variance and Rossby wave source in Section 3f. We now
 452 turn to how changes in the background flow due to model biases and ENSO can affect the
 453 characteristics of the waveguide in the North Pacific, with potentially significant effects on
 454 Rossby wavetrains that impact SWUS precipitation.

NDJFM U200 and Stationary Wavenumber



455

456 **Fig. 8.** (left) NDJFM climatological U200 for ERA5 (top) and corresponding biases in GOGA (middle)
 457 and LENS2 (bottom), as well as NDJFM climatological stationary wavenumber in ERA5, GOGA, and
 458 LENS2. (middle) NDJFM U200 regressed response to El Niño and corresponding stationary wavenumber
 459 for ERA5, GOGA, and LENS2. (right) As in middle column but for La Niña. Contour intervals are 10 m/s
 460 (top left) and 2.5 m/s (elsewhere). In each panel, U200 is contoured, and stationary wavenumber is shaded.

461 To investigate the role of the basic state on Rossby wave propagation, we calculate the
 462 ERA5 NDJFM climatological U200 and the mean U200 biases in GOGA and LENS2,
 463 respectively (Figure 8 left column). We also calculate the climatological NDJFM stationary
 464 wavenumber for each experiment (section 2e).

465 In ERA5, the climatological jet stream over Southeast Asia forms a narrow strip of
 466 maximum K_s that serves as an effective waveguide through the EAJ. This waveguide
 467 extends toward the Eastern North Pacific, while a separate waveguide forms in the
 468 tropical/subtropical Eastern Pacific that is later associated with the Atlantic jet stream.

469 In the model experiments, LENS2 displays stronger zonal wind biases in the North
 470 Pacific that have more significant effects on the stationary wavenumber field, compared to
 471 GOGA. While GOGA is characterized by a similar North Pacific waveguide as in reanalysis,
 472 LENS2 displays a nearly 10 m/s strengthening of the subtropical eastern Pacific westerlies,
 473 which is associated with a strong increase in the meridional vorticity gradient in the central
 474 and eastern subtropical Pacific, with a smaller decrease on the poleward side of the jet. This

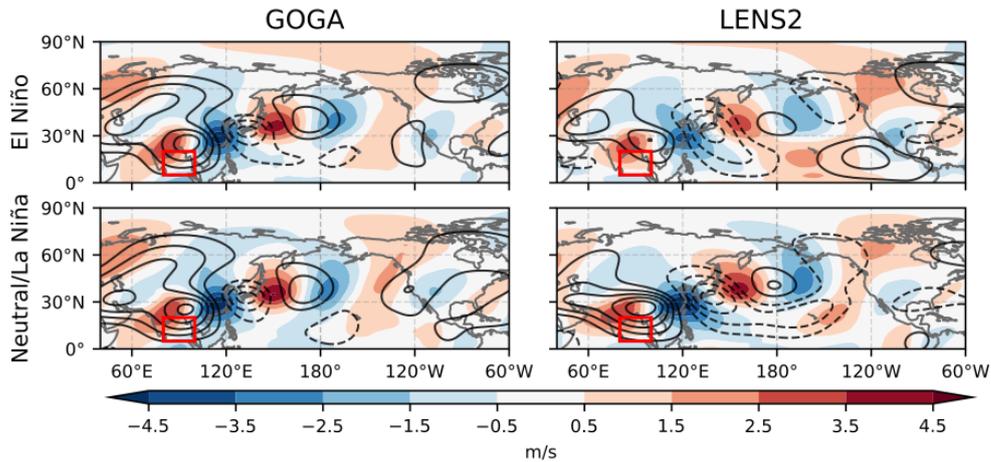
475 is associated with a southward shift in the waveguide, with a westward retraction in the
476 midlatitude ENP region and eastward extension in the subtropics.

477 This change in the waveguide can have significant effects on Rossby wave propagation,
478 particularly for high wavenumber (short length) waves. Considering regions with $K_s \geq 5$,
479 where CGT's of zonal wavenumber 5 are theoretically bound, both ERA5 and GOGA are
480 characterized by a North Pacific waveguide that extends towards the ENP, so that
481 wavenumber 5 wavetrains from the EAJS propagate zonally into the ENP. In LENS2,
482 changes in the East Pacific waveguide cause the same waves to deviate southward to join the
483 southern waveguide, at least according to linear theory and on a climatological basis.

484 Similarly, differences in the background flow due to ENSO may alter the stationary
485 wavenumber field and Rossby wave propagation. Figure 8 displays the regressed U200
486 anomalies associated with El Niño (middle column) and La Niña (right column), as well as
487 the average stationary wavenumber field that occurs during each ENSO phase. Notably, the
488 ENSO driven jet response is similar to the mean bias in LENS2, and it has similar effects on
489 the waveguide. During El Niño, there is a westward retraction of the midlatitude waveguide
490 in the North Pacific, while in La Niña there is greater eastward extension into the midlatitude
491 ENP region. Curiously, La Niña can be thought to counteract the LENS2 mean state bias,
492 such that during LENS2 La Niña, the waveguide is similar to that which occurs during ERA5
493 and GOGA El Niño.

494 The dependence of the waveguide on model mean state biases and ENSO phase may
495 partially explain some of the cluster frequency dependences found earlier, particularly for the
496 zonal wavetrain pattern, which has a higher zonal wavenumber. For example, during La
497 Niña, EAJS zonal wavetrains may propagate into the ENP more frequently, due to the
498 eastward, northward extension of the waveguide relative to El Niño. Through identical
499 reasoning, GOGA may be dominated by the zonal wavetrain variability more than LENS2. In
500 this way, it appears that large-scale changes in the background flow, due to ENSO, model
501 biases, or other variabilities, may result in significant changes to the waveguide, Rossby wave
502 propagation, and potentially teleconnection sign and strength.

NDJFM monthly V200 and SF200: High minus Low NEIO P



503

504 **Fig. 9.** Difference between high versus low Northeast Indian Ocean rainfall months in GOGA (left
 505 column) and LENS2 (right column), as well as in positive ENSO (top row) and neutral/negative ENSO
 506 (bottom row). 200 hPa meridional wind (shading) and 200 hPa streamfunction (contour) is plotted. The
 507 contour interval is $1.5 \cdot 10^6 \text{ m}^2 \text{ s}^{-1}$, with zero contour omitted. NEIO precipitation region is indicated by
 508 red box.

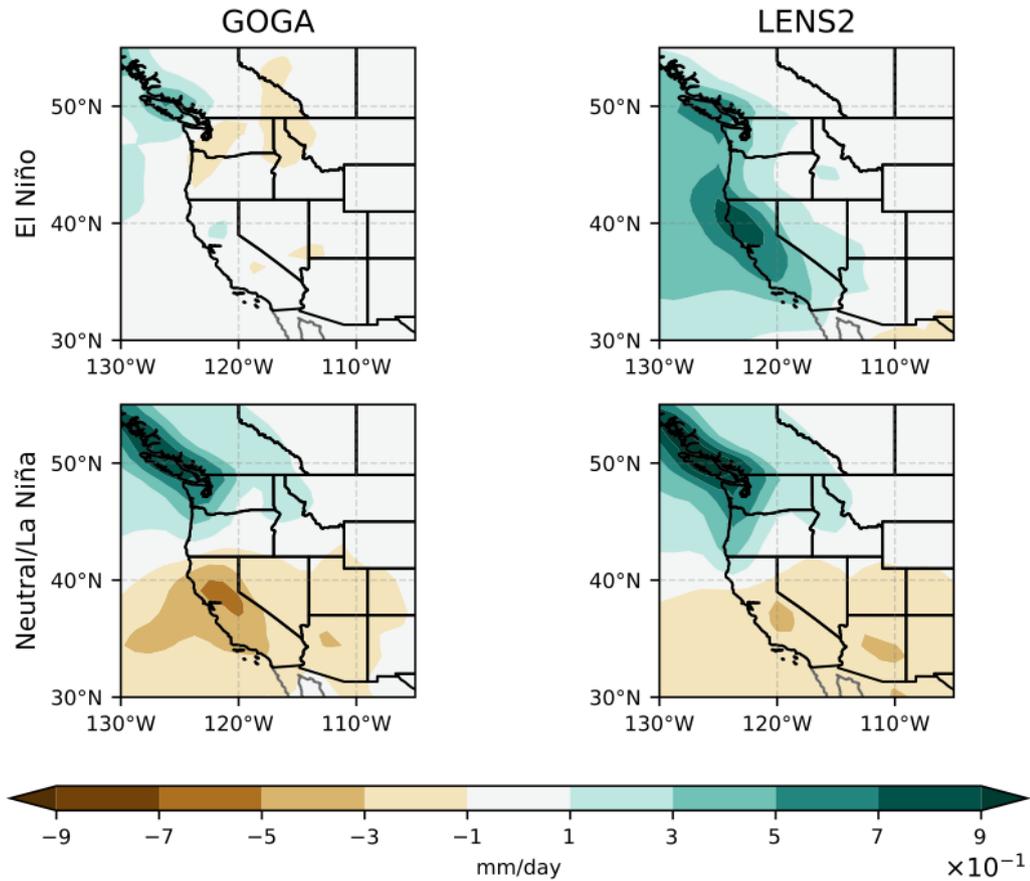
509 *e. Effect of the background state on SWUS precipitation teleconnections*

510 Although we have demonstrated how changes in the background flow can affect the
 511 waveguide, it is still necessary to confirm its effects on Rossby wave propagation and SWUS
 512 precipitation. We now construct composites on rainfall in the NEIO (red box from Figure 5)
 513 and in the rainA region (green box from Figure 5), to demonstrate how the waveguide affects
 514 two types of Rossby wavetrains. Composites are calculated in an identical manner to Figures
 515 4 and 5, but replacing SWUS precipitation with the NEIO or rainA precipitation, in order to
 516 analyze the non-ENSO response to NEIO and rainA precipitation.

517 In Figure 9, the atmospheric circulation associated with NEIO precipitation consists of a
 518 zonally oriented wavetrain in the EAJS, which is similar in each model experiment and
 519 ENSO phase. However, significant differences emerge as the wavetrain propagates
 520 downstream towards the East Pacific.

521 During GOGA El Niño months, the wavetrain deviates southward in the East Pacific
 522 before continuing zonally eastward. Contrarily, in GOGA neutral/La Niña months, the
 523 wavetrain continues to propagate zonally eastward through the midlatitudes over the ENP and
 524 the United States. This aligns with the subtropical bias of the East Pacific waveguide during
 525 El Niño, relative to La Niña. Due to the meridional shift of the wavetrain, NEIO precipitation
 526 is associated with a neutral SWUS rain response in GOGA El Niño months and a dry SWUS
 527 in GOGA neutral/La Niña months (Figure 10).

NDJFM monthly P response: High minus Low NEIO P

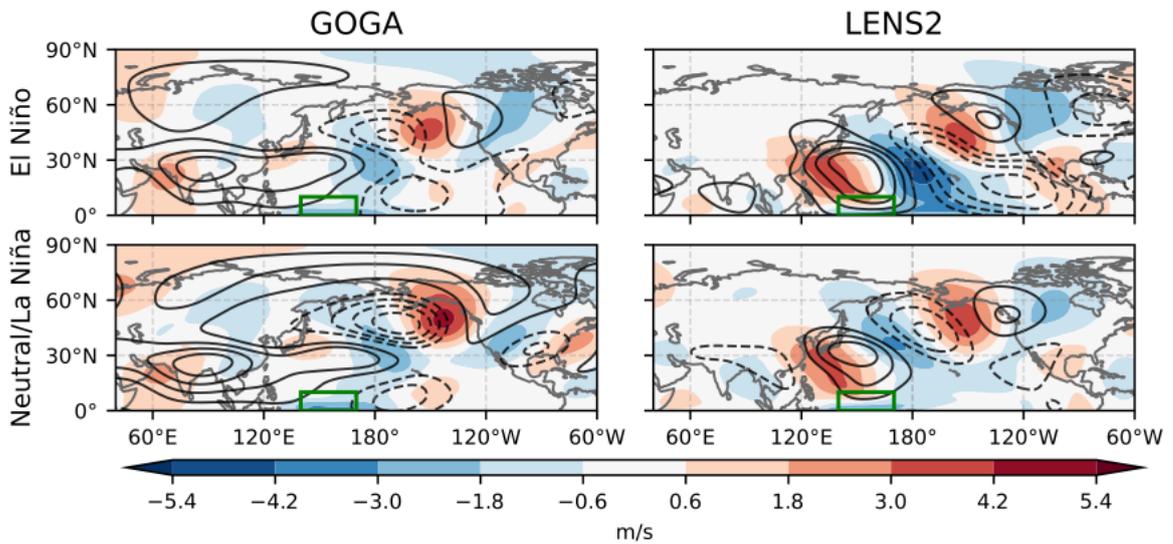


528
 529 **Fig. 10.** Difference between high versus Northeast Indian Ocean rainfall months in GOGA (left column)
 530 and LENS2 (right column), as well as in positive ENSO months (top row) and neutral/negative ENSO
 531 (bottom row). In each panel, Western North America precipitation (shading) is plotted.

532 Analyzing LENS2, there is a notable similarity between the circulation pattern during
 533 LENS2 neutral/La Niña months and GOGA El Niño months. However, this is not surprising
 534 considering that the waveguide and stationary wavenumber field during LENS2 La Niña was
 535 similar to that during GOGA El Niño. Slight differences do lead to a slightly drier SWUS in
 536 LENS2 neutral/La Niña months.

537 During LENS2 El Niño months, there appears to be even further southward deviation of
 538 the EAJWS wavetrain once it approaches the East Pacific, so that the weak trough over Alaska
 539 in LENS2 neutral/La Niña months has shifted southward into the ENP. However, it is
 540 important to note that NEIO precipitation during LENS2 El Niño is associated with relatively
 541 higher precipitation variability in the central tropical Pacific, relative to La Niña and GOGA,
 542 which may obfuscate the response. In any case, NEIO precipitation is associated with a wet
 543 SWUS during El Niño in LENS2, contrasting the drier response during neutral/La Niña
 544 months.

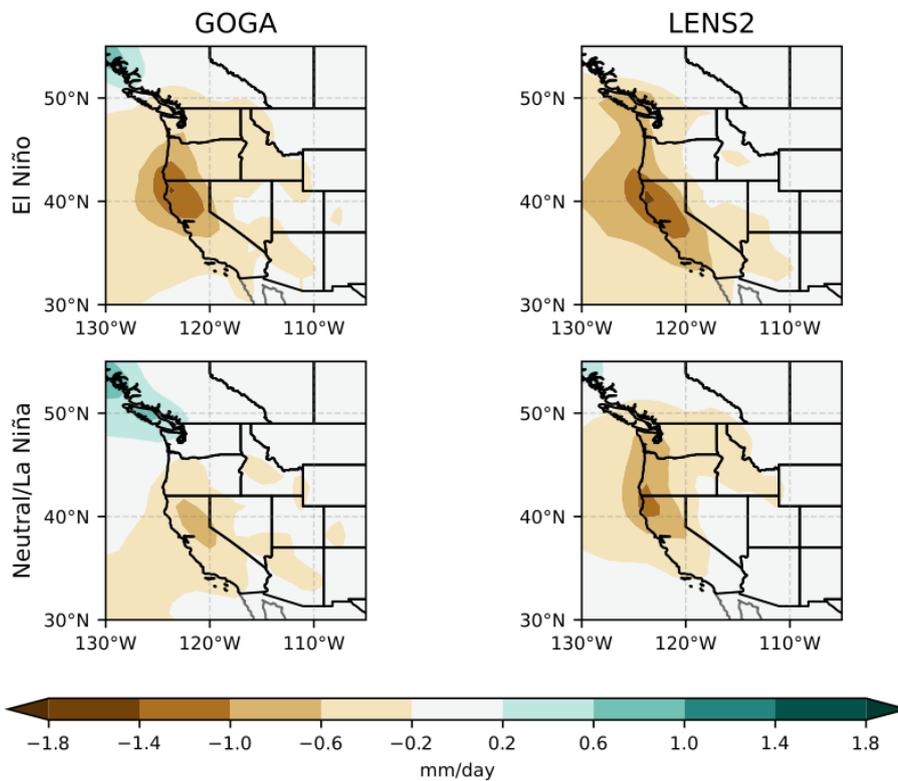
NDJFM monthly V200 and SF200: High minus Low rainA P



545

546 **Fig. 11.** Difference between high versus low rainA rainfall months in GOGA (left column) and LENS2
 547 (right column), as well as in positive ENSO (top row) and neutral/negative ENSO (bottom row). 200 hPa
 548 meridional wind (shading) and 200 hPa streamfunction (contour) is plotted. The contour interval is $1.5 \cdot$
 549 $10^6 \text{ m}^2 \text{ s}^{-1}$. The zero contour is omitted. rainA precipitation region is indicated by green box.

NDJFM monthly P response: High minus Low rainA P



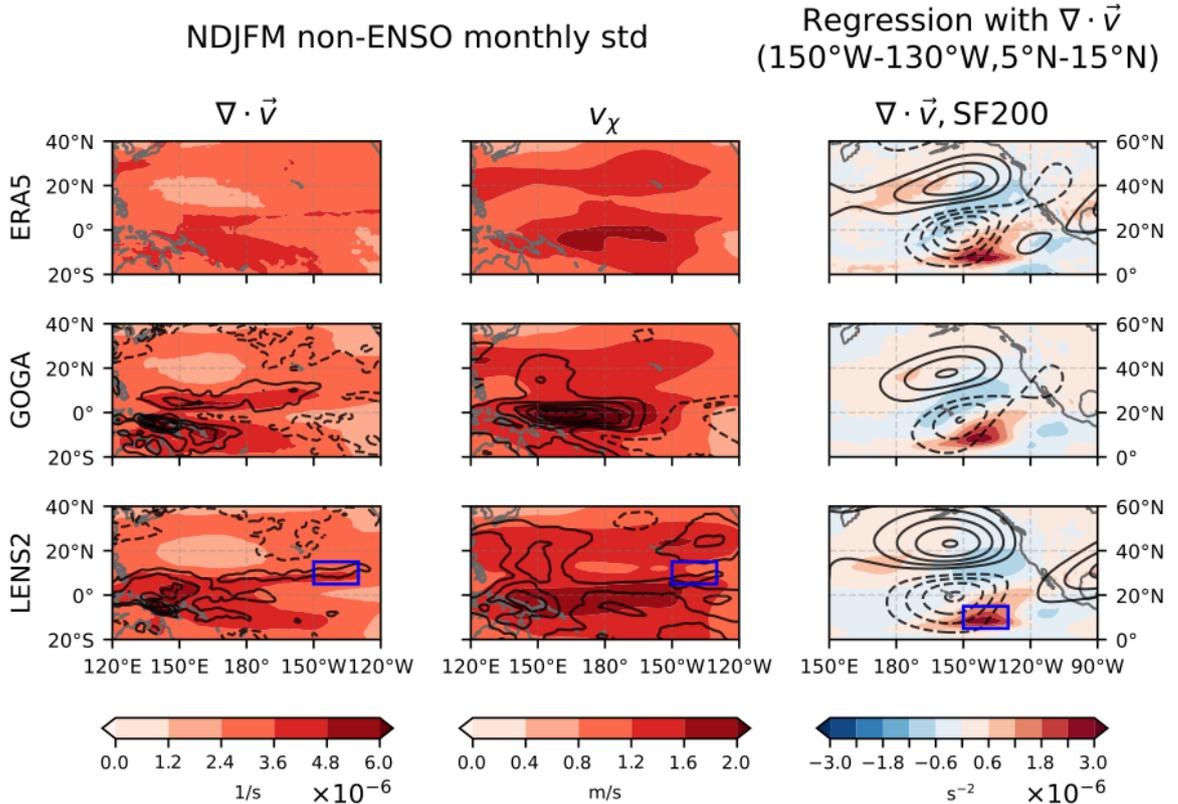
550

551 **Fig. 12.** Difference between high versus low rainA rainfall months in GOGA (left column) and LENS2
 552 (right column), as well as in positive ENSO months (top row) and neutral/negative ENSO (bottom row). In
 553 each panel, Western North America precipitation (shading) is plotted.

554 Lastly, we have analyzed the response to precipitation in the rainA region (Figure 11). It
555 is associated with an arching wavetrain response that resembles the PNA, and thus may be
556 related to Cluster 1. Comparing El Niño to neutral/La Niña in GOGA, there does seem to be a
557 slight southward shift during El Niño, but it is not significantly enough to change the dry
558 SWUS response (Figure 12). In LENS2, there are more impacts from covarying tropical
559 precipitation patterns in the central Pacific, but the wavetrains are largely similar and set up a
560 ridge in the ENP, associated with dry anomalies in the SWUS. Thus, differences in the
561 waveguide due to model bias and ENSO did not significantly alter Rossby wave propagation
562 enough to alter SWUS rain anomalies. In this way, it is likely that the role of the waveguide
563 is more important for short wavelength zonal wavetrains than long wavelength arching
564 wavetrains. This may potentially explain why the zonal wavetrain cluster frequency differs
565 between GOGA and LENS2, while the arching wavetrain cluster frequency is comparable for
566 both.

567 *3f. Potential role of tropical forcing and Rossby wave source*

568 While we have shown how biases in the waveguide can modulate zonal wavetrains and
569 likely affect the frequency of the Cluster 3 zonal wavetrain pattern, we still do not have an
570 adequate explanation for the increased frequency in LENS2 (38.7%) for the Cluster 2
571 meridional wavetrain, relative to GOGA (23.7%) and ERA5 (22.7%). Due to the strong
572 tropical precipitation signal associated with SWUS precipitation in the LENS2 composites
573 (Figure 5) and in Cluster 2 (Figure 6), the frequency difference is likely related to tropical
574 forcing. In particular, we are interested in two possible mechanisms related to tropical
575 forcing. First, there is the amount of tropical convective activity (represented by standard
576 deviation), where we expect that if LENS2 has increased convective activity in the tropics,
577 there might be increased activity in the meridional Cluster 2 pattern. Second, the LENS2
578 subtropical Pacific jet bias, which increases the meridional vorticity gradient in the vicinity of
579 the strengthening, may increase the sensitivity of Rossby wave source to meridional
580 divergent outflow (Sardeshmukh and Hoskins 1988). In this section, we briefly analyze and
581 link these two possibilities to the meridional wavetrain pattern by comparing the tropical
582 upper level divergence activity between each of LENS2, GOGA, and ERA5, and analyzing
583 the extratropical response to a region of increased tropical divergence activity in LENS2.



584
585 **Fig. 13:** (left) non-ENSO NDJFM standard deviation of monthly 200 hPa divergence (shading) and bias
586 from ERA5 (contour). (middle) as in left column but for the irrotational meridional wind. Contour intervals
587 are $0.5 \cdot 10^{-6} \text{ s}^{-1}$ (left) and 0.15 m/s (middle), with zero contour omitted. (right) Normalized regression
588 response to divergence in blue box ($150^{\circ}\text{W}-130^{\circ}\text{W}, 5^{\circ}\text{N}-15^{\circ}\text{N}$) for 200 hPa divergence (shading) and
589 streamfunction (contour). Contour interval is $1 \cdot 10^6 \text{ m}^2 \text{ s}^{-1}$, with zero contour omitted.

590 Analyzing the standard deviation of tropical divergence (Figure 13 left), most of the
591 variance is associated with the north Pacific ITCZ and the South Pacific Convergence Zone
592 (SPCZ) in each of the datasets. However, both LENS2 and GOGA exhibit increased activity
593 over the Western Pacific and the ITCZ, relative to ERA5. The regions of increased
594 divergence activity are associated with regions of increased meridional divergent flow
595 activity (Figure 13 middle). In GOGA, this increased activity occurs in the equatorial central-
596 western Pacific, between the SPCZ and the ITCZ. In LENS2, there is increased meridional
597 divergent flow activity in the Eastern and Western Pacific, in particular. We expect that
598 regions of increased meridional wind activity are also associated with increased variance in
599 Rossby wave source from the advection of the mean state vorticity and potentially Rossby
600 waves propagating to the extratropics.

601 To relate the tropical divergence activity differences to the meridional wavetrain
602 frequency, we now choose a region at the eastern tip of the ITCZ where LENS2 exhibits
603 increased activity relative to both GOGA and ERA5 (blue box, $150^{\circ}\text{W}-130^{\circ}\text{W}, 5^{\circ}\text{N}-15^{\circ}\text{N}$),

604 which is associated with lobes of increased meridional divergent flow activity to the north
605 and south. We calculate the non-ENSO regression response to the areal mean of divergence
606 in this region (Figure 13 right), normalized by the standard deviation in LENS2 ($1.85 \cdot$
607 10^{-6} s^{-1}), which was nearly 20% higher than the standard deviation in GOGA ($1.60 \cdot$
608 10^{-6} s^{-1}) and in ERA5 ($1.56 \cdot 10^{-6} \text{ s}^{-1}$). Positive (negative) divergence is associated with a
609 meridional wavetrain that weakens (strengthens) the subtropical jet and induces a dry (wet)
610 response in the SWUS. Thus, there appears to be a clear link between the overestimation of
611 tropical convective activity and the higher frequency of the meridional Cluster 2 wavetrain
612 pattern in LENS2.

613 It is less clear, however, whether the subtropical jet bias affects the wavetrain response to
614 the tropical divergence. Based on previous studies (e.g., Wang et al. 2020, Garfinkel and
615 Hartmann 2010), we would have expected an equal tropical divergence to produce a stronger
616 wavetrain amplitude in LENS2 due to the increased meridional vorticity gradient. However,
617 while there is a change in orientation of the wavetrain in ERA5 and GOGA compared to
618 LENS2, the amplitude of the response is similar in ERA5 while much weaker in GOGA. It is
619 possible that other factors are affecting the extratropical response, such as air-sea feedbacks
620 in the extratropics or the specific shape of the tropical convective pattern, which complicates
621 the situation. Idealized modeling studies that prescribe a basic state wind and tropical heating
622 would likely be required to separate and diagnose the effect of the jet bias from other
623 variabilities.

624 *3g. Implications for future climate change*

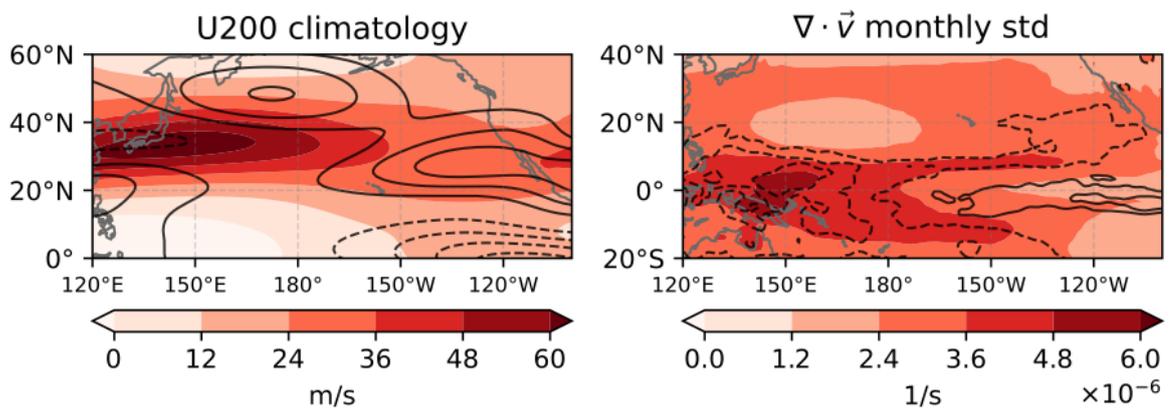
625 While the focus of this study is on analyzing model variability, it is interesting to examine
626 how regional climate variability may change in the future due to global warming. As such,
627 we now briefly compare the LENS2 historical period (1948-2020) with the simulation of the
628 last 3 decades of the 21st century in LENS2 (2071-2100), based on the SSP3 RCP 7.0
629 scenario.

630 Figure 14 displays the future change in the basic state zonal wind, as well as the future
631 change in the tropical divergence activity. Similar to previous multi-model studies, there is an
632 extension of the subtropical jet in the Pacific in future simulations under LENS2 (Allen and
633 Luptowitz 2017, Wang et al. 2022). This strengthening in the subtropics increases the
634 meridional vorticity gradient and results in a strengthened southward shift of the waveguide
635 (i.e., in the direction of the LENS2 model bias). Previous studies have shown that such future

636 changes in the basic state may result in eastward shifted teleconnection patterns (Zhou et al.
 637 2020, Wang et al. 2022). In addition, there may be similar effects as previously discussed for
 638 zonal wavetrains and Rossby wave source. Besides the basic state wind, there is an increase
 639 in divergent wind activity in the equatorial East Pacific, in line with previous studies that
 640 have found increased MJO precipitation activity in the East Pacific (e.g., Wang et al. 2022,
 641 Maloney et al. 2019), and a decrease in West Pacific divergent wind activity.

642 These changes are likely associated with the El Niño-like warming of the tropical East
 643 Pacific in future LENS2 (not pictured), and they may alter the frequencies of teleconnection
 644 patterns affecting SWUS precipitation. In fact, we find that when calculating cluster
 645 frequencies in future LENS2 simulations using the historical cluster patterns, there is a
 646 decrease in the arching Cluster 1 (34.7% \rightarrow 29.9%), an increase in the meridional Cluster 2
 647 (38.7% \rightarrow 45.2%) and a slight decrease in zonal Cluster 3 (26.6% \rightarrow 24.9%). The decrease in
 648 Cluster 1 and increase in Cluster 2 is in agreement with the increase in East Pacific divergent
 649 flow activity and decrease in West Pacific divergent flow activity. It is consistent with the
 650 mechanisms we describe in section 3f and also consistent with the previously found
 651 relationship between ENSO and cluster frequency (Figure 7), suggesting that projected El
 652 Niño-like climate change reinforces the existing model biases in LENS2. This illustrates how
 653 model bias may affect future projections of SWUS precipitation, a region where climate
 654 projections are notoriously uncertain (e.g., Gershunov et al. 2019).

LENS2 NDJFM 200 hPa historical (shading) and future change (contours)



655 **Fig. 14:** (left) LENS2 historical NDJFM U200 climatology (shading) and change in LENS2 future SSP370
 656 scenario (contour). Contour level is 2 m/s, with zero contour omitted. (right) non-ENSO NDJFM standard
 657 deviation of monthly 200 hPa divergence in LENS2 historical (shading) and change in LENS2 future
 658 SSP370 scenario (contour). Contour interval is $0.3 \cdot 10^{-6} \text{ s}^{-1}$, with zero contour omitted.

660 **4. Summary and Conclusions**

661 In this study, we have analyzed monthly wintertime SWUS precipitation variability in
662 reanalysis and in both a coupled (LENS2) and atmosphere-only model setup (GOGA). The
663 objective of the study was threefold: 1) extract the dominant non-ENSO teleconnection
664 patterns that influence SWUS precipitation during the cool season (NDJFM), 2) reveal the
665 influence of the background state on the non-ENSO teleconnections and 3) compare the
666 frequency and fidelity of these teleconnection patterns in LENS2 and GOGA.

667 Composite analyses suggest that non-ENSO SWUS precipitation in GOGA is strongly
668 associated with zonal wavetrains, while in LENS2 meridional wavetrains have a stronger
669 influence. The meridional wavetrain is associated with precipitation in the tropical central
670 and eastern Pacific that resembles a southward shift or weakening of the ITCZ. Meanwhile,
671 the zonal wavetrain is potentially associated with Indian Ocean and West Pacific
672 precipitation, similar to findings from Teng and Branstator (2017). A clustering algorithm
673 that extracts non-ENSO patterns associated with wet SWUS winter months also supports and
674 refines these results. The algorithm identifies three major clusters: an arching wavetrain that
675 resembles the PNA, a meridional “ENSO-like” wavetrain over the central North Pacific, and
676 a zonal CGT-type wavetrain pattern. The zonal wavetrain pattern most often occurs in
677 GOGA, while the meridional wavetrain pattern occurs most commonly in LENS2. The
678 meridional wavetrain cluster displayed strong associations with tropical Pacific precipitation,
679 in contrast to the PNA-type cluster, which displayed only weak tropical precipitation
680 anomalies, in agreement with previous studies separating the influence of the PNA and
681 ENSO-type teleconnections (Li et al. 2019, Lopez and Kirtman 2019).

682 Since LENS2 and GOGA use the same atmospheric model (CAM6), these differences
683 cannot be attributed to differences in atmospheric model physics or
684 parameterizations. However, differences in their ocean representations do lead to differences
685 in the atmospheric mean state. In GOGA, the background flow over the North Pacific is
686 similar to the ERA5 reanalysis, with an EAJS waveguide that extends northward and
687 eastward across the Pacific into the ENP. In contrast, LENS2 has a westerly bias in the
688 eastern Pacific subtropical westerlies, which leads to a westward retraction of the midlatitude
689 waveguide and extension of the subtropical waveguide associated with the southward shift of
690 the meridional vorticity gradient. The LENS2 bias is similar to an El Niño forced response,

691 which is associated with a westward retracted (eastward extended) East Pacific midlatitude
692 waveguide during El Niño (La Niña).

693 The differences in background flow, and thus the waveguide, alter how remote forcing
694 affects SWUS precipitation. For example, NEIO precipitation excites a zonally oriented
695 wavetrain (wavenumber 5) in the EAJIS in both ensembles, but the wave propagates
696 differently in the East Pacific depending on the waveguide characteristics in GOGA versus
697 LENS2. This is also true for differences between each ENSO phase, and it results in differing
698 patterns on SWUS precipitation in response to the NEIO remote forcing. The influence of the
699 waveguide is not as pronounced for larger scale arching wavetrains. For example, the arching
700 wavetrain in response to tropical West Pacific precipitation is similar in GOGA and LENS2
701 and for each ENSO phase, resulting in similar rain responses in the SWUS.

702 In summary, variations in atmospheric basic state due to different SST variability, while
703 using the same atmospheric model, may significantly affect teleconnections that regulate
704 SWUS precipitation and their frequency, although there are other factors that also likely play
705 an important role such as the variance of tropical convective activity and the modulation of
706 Rossby wave source by the mean state vorticity gradient. As shown by previous studies (e.g.,
707 Henderson et al. 2017), models must accurately model both tropical convective variability
708 and the atmospheric mean state, or else forecast accuracy of remote extratropical regions will
709 likely be limited. Even in the field of S2S and seasonal prediction, where models are
710 initialized from observational data and only run for a short period of time, persistent model
711 biases quickly emerge that may affect forecast fidelity (Garfinkel et al. 2022), and
712 understanding these biases in the S2S/seasonal prediction models is critical to achieve higher
713 skill in S2S prediction of SWUS P.

714 Understanding these biases will likely require a combination of analysis of model output
715 from operational forecast models, such as from the S2S and NMME (Kirtman et al. 2014)
716 databases, and idealized modeling studies which can prescribe tropical heating and basic
717 states (e.g., Watanabe and Kimoto 2000, Wang et al 2020, Henderson et al. 2017). There is a
718 continued need to refine our understanding of systematic biases in long-range prediction
719 models to inform potential avenues for model improvement and higher prediction skill.

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724 *Data Availability Statement.*

725 ERA5 data was provided by the Copernicus Climate Change Service at
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727 ERSSTv5 data provided by the NOAA PSL, Boulder, Colorado, USA, from their website
728 at <https://psl.noaa.gov>. LENS2 and GOGA ensemble data provided by NCAR from their
729 website at <https://www.cesm.ucar.edu/>.

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