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<https://escholarship.org/uc/item/6kd3t4w4>

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Publication Date

2020-04-08

DOI

10.1093/cid/ciaa388

Peer reviewed

1 **Antibiotic stewardship implementation and patient-level antibiotic use at**
2 **hospitals with and without on-site Infectious Disease specialists**

3

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14

15 **Running title:** Antibiotic use and ID specialists

16

17 **Keywords:** antibiotic stewardship, Infectious Disease specialist, antibiotic use

18

19 **Summary:** Across an integrated healthcare network, patients at hospitals with an
20 on-site ID specialist received fewer total antibiotics, fewer broad-spectrum
21 antibiotics, and more narrow-spectrum antibiotics than patients at hospitals without
22 an ID specialist. ID specialists may be important for antibiotic stewardship.

23

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30

31 **Abstract:** 247

32 **Word count:** 2,997

1 **Abstract**

2 **Objectives:** Many US hospitals lack Infectious Disease (ID) specialists, which may
3 hinder antibiotic stewardship efforts. We sought to compare patient-level antibiotic
4 exposure at Veterans Health Administration (VHA) hospitals with and without an on-
5 site ID specialist, defined as an ID physician and/or ID pharmacist.

6 **Methods:** This retrospective VHA cohort included all acute-care patient-admissions
7 during 2016. A mandatory survey was used to identify hospitals' antibiotic
8 stewardship processes and their access to an on-site ID specialist. Antibiotic use
9 was quantified as days-of-therapy (DOTs) per days-present and categorized based
10 on National Healthcare Safety Network definitions. A negative binomial regression
11 model with risk adjustment was used to determine the association between
12 presence of an on-site ID specialist and antibiotic use at the level of patient-
13 admissions.

14 **Results:** Eighteen of 122 (14.8%) hospitals lacked an on-site ID specialist; there
15 were 525,451 (95.8%) admissions at ID hospitals and 23,007 (4.2%) at non-ID sites.
16 In the adjusted analysis, presence of an ID specialist was associated with lower total
17 inpatient antibacterial use (OR 0.92, 95% CI, 0.85-0.99). Presence of an ID specialist
18 was also associated with lower use of broad-spectrum antibacterials [OR 0.61 (95%
19 CI, 0.54-0.70) and higher narrow-spectrum beta-lactam use [OR 1.43 (95% CI, 1.22-
20 1.67)]. Total antibacterial exposure (inpatient plus post-discharge) was lower among
21 patients at ID versus non-ID sites [OR 0.92 (95% CI, 0.86-0.99).

22 **Conclusions:** Patients at hospitals with an ID specialist received antibiotics in a
23 way more consistent with stewardship principles. The presence of an ID specialist
24 may be important to effective antibiotic stewardship.

1

2

3 **Introduction**

4 Antibiotic resistance is a public health crisis that is largely driven by the use
5 of antibiotics. Antibiotic stewardship programs (ASPs) improve antibiotic-prescribing
6 while also decreasing inappropriate antibiotic use. ASPs are therefore an important
7 tool to combat the emergence and spread of antibiotic resistant bacteria.

8 Randomized-controlled trials demonstrating the effectiveness of ASPs have
9 involved interventions led by Infectious Disease (ID) specialists, i.e. an ID physician
10 with or without an ID pharmacist [1-6]. However, approximately a quarter of US
11 hospitals have no access to on-site ID specialists [7, 8]. Hospitals without on-site ID
12 specialists have had success reducing antibiotic use by collaborating with remote ID
13 specialists [6, 9-11], but it is unclear if ID specialists are a prerequisite for effective
14 stewardship.

15 The Veterans Health Administration (VHA), the largest integrated healthcare
16 system in the United States, has been a leader in advancing antibiotic stewardship.
17 In 2011, the VHA created a national Antimicrobial Stewardship Taskforce (ASTF) to
18 facilitate the implementation of antibiotic stewardship activities [12]. In 2014, the
19 VHA enacted a directive that mandated every VHA hospital to develop and maintain
20 an ASP [13]. This mandate also applied to hospitals where no on-site ID specialist
21 was available.

22 In this study, we sought to compare the structure, processes and outcomes of
23 ASPs at VHA hospitals with and without on-site ID specialists two years after the
24 VHA directive went into effect. We also aimed to determine whether a patient's

1 exposure to antibiotics differed whether or not an ID specialist was present at that
2 hospital.

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Methods

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Ethics

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15 The institutional review board (IRB) of the University of Iowa and Iowa City
16 Veterans Health Care System approved this study. Waiver for informed consent was
17 granted by the IRB for this retrospective cohort.

Comparing stewardship structure and processes at sites with and without ID specialists

18
19
20 An ID specialist was defined as a pharmacist or physician who had completed
21 a formal post-graduate residency or fellowship training program in ID. To identify
22 hospitals with an on-site ID specialist, we used data from a mandatory antibiotic
23 stewardship survey of all VHA hospitals. This survey was administered by the VHA's
24 ASTF and the Healthcare Analysis and Information Group between 12/30/2015 and
25 1/15/2016. The survey was to be completed by an individual at each hospital who
26 was knowledgeable about the hospital's antibiotic stewardship activities.

27 The presence of an ID-trained physician with formal post-graduate ID
28 fellowship training was determined by a positive response to the following two
29 survey questions:

- 30
- Does your facility offer an inpatient ID consultation service?

- 1 • Please provide the number of the Infectious Disease physicians who provide
2 clinical services to inpatients at your facility (full-time and part-time).

3 A pharmacist with formal ID residency training was considered to be present
4 at the facility if, per survey responses, the hospital's designated Antibiotic
5 Stewardship Pharmacy Champion had either 1) completed an American Society of
6 Health-System Pharmacists (ASHP) accredited specialty residency in Infectious
7 Diseases, or 2) had Current Board of Pharmaceutical Specialties (BPS) certification
8 in Pharmacotherapy with added Qualifications in Infectious Diseases BCPS-AQID.

9

10 Additional hospital characteristics and antibiotic stewardship processes were
11 also extracted from the survey. We assumed responses to the survey reflected
12 available resources and stewardship processes in 2016.

13 **Measuring antibiotic use**

14 A retrospective cohort was created that included all patient-admissions to an
15 acute-care bed at a VHA hospital during 2016, the year of the above-mentioned
16 survey. Using the Veterans Affairs Informatics and Computing Infrastructure (VINCI),
17 national administrative data was collected from the VHA's Corporate Data
18 Warehouse. This included data on patient demographics, antibiotic use, and
19 comorbidities, as defined by International Classification of Diseases, Ninth Revision
20 (ICD-9) and Tenth Revision (ICD-10) codes [14]. Inpatient and post-discharge
21 antibiotic use was collected from the bar-coding medication administration record
22 (BCMA) and outpatient medication files, respectively.

23 Inpatient antibiotics included all antibacterial agents administered via the
24 following routes: intravenous, intramuscular, digestive tract (e.g. oral), or
25 respiratory tract, as defined by the National Healthcare Safety Network (NHSN) [15].

1 Post-discharge antibiotics included oral outpatient antibacterials dispensed during
2 the last three days of a hospitalization or the day following discharge. We assumed
3 that all outpatient antibacterials dispensed during this time frame were initiated by
4 the patient on the day following discharge and were taken for a duration equal to
5 the days-supply of the dispensed prescription [16]. Post-discharge injectable
6 antibacterials were not included, because most VHA hospitals use contract, non-
7 VHA pharmacies to administer outpatient parenteral antimicrobial therapy (OPAT)
8 [17]. Post-discharge antibacterials administered via the respiratory tract were not
9 included, because these were rarely prescribed. All antibiotic classifications were
10 based on NHSN definitions (supplemental table 1) [15].

11 For each patient-admission, antibacterial use and time at risk for antibacterial
12 exposure were summarized as days of therapy (DOT) and days-present,
13 respectively. Total antibacterial exposure per admission was defined as inpatient
14 DOT (any route of administration) plus post-discharge oral DOT [18].

15 **Statistical analysis**

16 Continuous variables were compared with the student's t test, and
17 categorical variables were compared with the chi-square test.

18 Using a patient admission-level analysis, antibacterial use among all patient-
19 admissions at ID sites was compared to antibacterial use among all patient-
20 admissions at non-ID sites. First, unadjusted comparisons were made using
21 negative binominal generalized estimating equations that only adjusted for intra-
22 hospital clustering. Next, adjusted comparisons were made by adjusting for intra-
23 hospital clustering in addition to patient demographics (age, gender, race), obesity,
24 service type (e.g. proportion of total days-present on a medical versus surgical
25 service), intensive care unit (ICU) versus non-ICU (e.g. proportion of total days-

1 present that were in an ICU), individual comorbidities, immunosuppression status,
2 and severity of illness, as measured by the acute physiology and chronic health
3 evaluation (APACHE) score. Missing values for the APACHE score were assumed to
4 be normal; missing values were uncommon except for albumin and bilirubin
5 (supplemental table 2). In all regression models, DOT was the dependent variable,
6 and the log of days-present was included as an offset variable to account for the
7 time of exposure of each patient-admission.

8 Certain variables were not included in the adjusted analysis. First,
9 adjustments were not made for diagnosis-related groups or infection diagnoses, in
10 contrast to prior studies [19, 20]. In one prior study, the infectious syndrome
11 diagnosed upon admission was often incorrect [21]; therefore, adjustment for
12 diagnoses could eliminate important inter-facility differences in antibacterial use.
13 Second, adjustments were not made for VHA hospital complexity, which reflects
14 three categories: 1) patient population, 2) clinical services complexity and 3)
15 education and research. The hospital complexity variable was not entered into the
16 model because it was moderately correlated to the presence of an ID specialist
17 (Pearson's correlation coefficient = -0.53 , $p < 0.01$). Finally, adjustments were not
18 made for antibiotic stewardship resources or processes, as the acquisition of these
19 resources and implementation of these processes were likely facilitated by the
20 presence of an ID specialist. A proportion of hospitals lacked an on-site microbiology
21 laboratory, which is an important but expensive resource that hospitals may be
22 reluctant to establish, regardless of an ID specialist's recommendations. Therefore,
23 a sensitivity analysis was performed excluding hospitals that lacked an on-site
24 microbiology laboratory.

1 All statistical analyses were performed using SAS version 9.4 (SAS Institute,
2 Cary, NC).

3 **Results**

4 There were 18 (14.8%) hospitals without an ID specialist and 104 (85.2%)
5 sites with an ID specialist. Nearly all (99.0%) sites with an ID specialist had at least
6 one ID physician, who was either part-time (n=20) or full-time (n=83); 1 (1.0%) site
7 had an ID pharmacist without any ID physicians. Thirty-nine sites (32.0%) had both
8 an ID physician and ID pharmacist.

9 All 18 sites without an on-site ID specialist reported seeking advice from
10 another VHA hospital's ID physician via telemedicine or electronic consults. The
11 frequency of consulting with other hospitals' ID physicians was not reported.

12 Sites without an ID specialist were smaller than sites with an on-site ID
13 specialist (Table 1) . Sites without an ID specialist were also lower complexity
14 facilities and significantly less likely to have an ICU (61.1% vs. 93.3%, $p<0.01$). An
15 on-site microbiology laboratory was present at 83.3% of non-ID and 96.2% of ID
16 sites ($p=0.07$).

17 *Antibiotic stewardship resources and processes*

18 An antibiotic stewardship policy existed at 94.4% and 93.3% of non-ID and ID
19 sites, respectively (Table 2). Sites with an on-site ID specialist were significantly
20 more likely to report full-time employment equivalents (FTEE) devoted to antibiotic
21 stewardship (71.8% vs. 33.3%, $p<0.01$).

22 An antibiotic stewardship provider champion was more commonly designated
23 at sites with on-site ID specialists (94.2% vs. 77.8%, $p=0.04$), and the provider
24 champion was usually an ID physician (87.5%). In comparison, hospital without an

1 on-site ID specialist had designated the following individuals as the provider
2 champion for stewardship: an inpatient internal medicine physician (33.3%),
3 another type of provider (27.8%), nobody (22.2%), or a physician administrator
4 (16.7%) (Table 2).

5 An antibiotic stewardship pharmacist champion was identified at 94.4% and
6 96.2% of non-ID and ID sites, respectively. Differences were noted across non-ID
7 and ID sites in the proportion of pharmacist champions who had completed a
8 general residency training program and/or had sought antibiotic stewardship
9 certification (Table 2).

10 Antibiotic stewardship processes were frequently used across all sites, as
11 shown in Table 3. These processes included prior approval, routine audits, timely
12 review of positive blood cultures, and education. While nearly all sites reported an
13 annual antibiogram, monitoring antibiotic use as defined daily doses or DOT was
14 only performed at 33.3% of non-ID sites and 57.7% of ID sites ($p=0.06$).

15 *Description of patient-admission cohort*

16 There were 548,458 patient-admissions during 2016, including 23,007 (4.2%)
17 at the 18 non-ID hospitals and 525,451 (95.8%) at the 104 ID hospitals. The median
18 age of all patient-admissions was 68 years (IQR 61-74); 520,287 (94.9%) were male,
19 and 389,588 (71.0%) were white. Differences in patient-admission characteristics
20 between non-ID and ID sites are shown in Table 4.

21 *Patient admission-level analysis of antibacterial use*

22 Table 5 compares antibacterial exposure between patient-admissions
23 (hereafter “patients”) at ID and non-ID hospitals. In unadjusted comparisons,
24 differences in total inpatient antibacterial among patients at ID and non-ID hospitals
25 did not reach statistical significance [OR 0.92 (95% CI, 0.85-1.01)], but in the

1 adjusted analysis, patients at ID sites received fewer total inpatient antibacterials
2 [OR 0.92 (95% CI, 0.85-0.99)].

3 In the unadjusted analysis, patients at ID sites received fewer broad-
4 spectrum antibacterial agents predominantly used for community-acquired
5 infections [OR 0.64 (95% CI, 0.56-0.74)], more antibacterial agents predominantly
6 used for resistant gram-positive infections [OR 1.22 (95% CI, 1.05-1.42)] and more
7 narrow-spectrum beta-lactam agents [OR 1.54 (95% CI, 1.31-1.83)]. However, in the
8 adjusted analysis, differences were only noted in two drug categories: patients at ID
9 sites received fewer broad-spectrum antibacterials predominantly used for
10 community-acquired infections [OR 0.61 (95% CI, 0.54-0.70)] and more narrow-
11 spectrum beta-lactam agents [1.43 (95% CI, 1.22-1.67)].

12 Total antibacterial exposure was lower among patients at ID sites in both the
13 unadjusted and adjusted analyses, but the difference only reached statistical
14 significance in the adjusted analysis [unadjusted: OR 0.97 (95% CI 0.89-1.06);
15 adjusted OR 0.92 (95% CI, 0.86-0.99)].

16 In a sensitivity analysis that excluded the 7 hospitals without an on-site
17 microbiology laboratory, the findings from the adjusted analysis remained largely
18 unchanged. Total antibacterial exposure no longer significantly differed among
19 patients at ID an non-ID sites, but the OR changed by only 0.02 (0.92 to 0.94,
20 supplemental table 3).

21 **Discussion**

22 In this cross-sectional study of patients admitted to 122 VHA acute-care
23 hospitals, presence of an on-site ID specialist was independently associated with
24 receiving fewer broad-spectrum antibacterials for community-onset infections, more
25 narrow-spectrum antibacterials, and fewer total antibacterials. These differences

1 were noted in the context of a high degree of antibiotic stewardship implementation
2 across sites with and without ID specialists.

3 Core principles of antibiotic stewardship include selecting narrow-spectrum
4 agents when feasible, using antibiotics only when necessary, and prescribing
5 antibiotics for the shortest effective duration [22]. Based on our findings, it appears
6 that these stewardship principles were more broadly applied to patients at hospitals
7 with ID specialists.

8 We speculate that ID specialists, which we defined as ID physicians and ID
9 pharmacists, may mediate these changes in antibiotic-prescribing through a variety
10 of different mechanisms. First, ID physicians who are consulted to see hospitalized
11 patients may recommend the use of more narrow-spectrum antibiotics and the
12 discontinuation of unnecessary antibiotic therapy. ID pharmacists may provide
13 similar feedback through their interactions with prescribers. Second, the presence
14 of an ID specialist may help enhance institutional knowledge about optimal
15 antibiotic-prescribing. For example, having an ID specialist on-site enables a
16 hospital 1) to develop ID training programs for pharmacists and physicians, and 2)
17 to provide trainees the opportunity to rotate on an ID service. Trainees exposed to
18 ID specialists may be more likely to adopt stewardship principles and, in turn,
19 promote these principles to their colleagues. Third, the presence of an ID specialist
20 may facilitate the acquisition of stewardship resources and the effective
21 implementation of other stewardship processes. Hospital administrators may be
22 more willing to provide dedicated FTEs for stewardship activities if there is a
23 specialist with an ID-specific skill set to take on the role. Clinicians may be more
24 receptive to feedback on their antibiotic-prescribing when the feedback is coming
25 from an ID specialist. Furthermore, ID specialists themselves may help convey the

1 importance of dedicated salary support and other resources that facilitate
2 stewardship.

3 In our cohort, there were some key differences in stewardship resources at ID
4 and non-ID sites. We chose not to adjust for these differences, because it was
5 unclear how many of these differences reflected the influence (or lack thereof) of an
6 ID specialist—the primary effect we sought to measure. In a sensitivity analysis, we
7 excluded sites without an on-site microbiology laboratory, and our findings
8 remained largely unchanged. In this sensitivity analysis, the confidence interval for
9 total antibacterial exposure (inpatient plus post-discharge) crossed 1.0—perhaps
10 due to the smaller sample size—but the direction of the effect still favored less use
11 among patients at ID sites.

12 Our finding that antibacterial use was lower among patients at ID versus non-
13 ID sites contributes to the existing literature that has demonstrated the importance
14 of ID specialists in reducing unnecessary antibiotic use [1-6]. A cluster-randomized
15 trial evaluated three strategies for ASP implementation across 15 small hospitals
16 that lacked on-site ID specialists but had telephone access to remote ID specialists
17 [6]. Reductions in total and broad-spectrum antibiotics were only achieved in the
18 cluster of hospitals that had remote ID specialists both pro-actively monitoring
19 microbiologic results and managing antibiotic restrictions. These findings suggest
20 that the active involvement of ID specialists, even if not on-site, can be an effective
21 approach to stewardship. Other smaller non-randomized studies have found that
22 the involvement of remote ID specialists in stewardship activities can reduce
23 antibiotic use [9-11, 23]. All non-ID sites in our study's cohort reported
24 communicating with off-site ID specialists, but only one of the sites identified an off-
25 site ID specialist as their stewardship champion. Based on our personal

1 communication with this specific site, the off-site ID specialist was not actively
2 engaged in stewardship activities and was instead responding only to ID consult
3 requests.

4 Our findings do not suggest that hospitals without on-site ID specialists
5 cannot improve antibiotic-prescribing. In fact, a recent crossover trial found that
6 hospitals without ID specialists were able to implement prospective audit-and-
7 feedback and, in turn, reduce antibiotic use [24]. In the VHA cohort we describe, it is
8 possible that the non-ID hospitals were achieving reductions in antibiotic use that
9 could not be detected by our cross-sectional design.

10 To our knowledge, this is the largest study to evaluate the association
11 between the presence of an on-site ID specialist and patients' antibiotic exposure. It
12 adds to the growing body of literature demonstrating the benefits that ID specialists
13 provide to hospitalized patients [25-30]. It also highlights the importance of
14 developing and maintaining an ID specialist workforce, a need that is even more
15 acute given the recent decline in fellowship applicants to ID physician training
16 programs [31].

17 Several limitations to our study should be acknowledged. First, all survey
18 responses were self-reported and were not validated. Many hospitals indicated that
19 they were using specific stewardship processes, but we were unable to assess how
20 well these processes had been implemented. Such a validation would have been
21 challenging, as it would have involved in-depth assessments of all 122 sites.
22 Second, it is difficult to measure the isolated effect of having an ID specialist,
23 because the ID specialist may influence antibiotic-prescribing in ways that cannot
24 be quantified. We have proposed some potential explanations for how an ID
25 specialist can have hospital-level effects on antibiotic-prescribing, but these

1 explanations cannot be verified using our data. Third, our evaluation focused solely
2 on whether an ID physician or ID pharmacist were present on-site, but this does not
3 necessarily indicate their direct involvement in stewardship activities. We were
4 unable to measure the time an ID specialist devoted to local stewardship activities,
5 which would have been a more direct measurement of ID engagement in ASPs.
6 Fourth, given the cross-sectional design of our study, it is unclear whether patterns
7 of antibiotic use reflect the influence of the ID specialist versus unrelated effects,
8 such as institutional norms. Fifth, our model adjusted for several patient-level
9 factors that could be associated with antibiotic use, many of which were included in
10 previously published risk-adjustment models [19, 20]. There is no established
11 approach for risk-adjustment when assessing antibiotic use with patient admission-
12 level data, so we acknowledge other approaches may also be valid. Sixth, because
13 VHA hospital complexity was correlated with the presence of an ID specialist, we
14 were only able to adjust for 2 of its components (i.e. patient population and clinical
15 services). It remains unclear if the third component of hospital complexity (i.e.
16 educational and research programs) influences antibiotic use. Finally, our estimates
17 of total antibiotic exposure did not include post-discharge intravenous antibiotics or
18 post-discharge antibiotic use in patients who were transferred to post-acute care
19 facilities, such as skilled nursing facilities. We suspect that these situations
20 represented a minority of patients who received post-discharge antibiotics.

21 In conclusion, patients at hospitals with ID specialists received more narrow-
22 spectrum antibacterials, fewer broad-spectrum antibacterials and fewer total
23 antibacterials than patients at hospitals without ID specialists. The wider availability
24 of ID physicians and ID pharmacists may facilitate improvements in antibiotic-
25 prescribing that, in turn, may slow the spread of antibiotic resistant bacteria.

1

2 **Acknowledgement:** none

3

4 **Financial support:** This work was supported in part by a Career Development
5 Award (DJL) from the VA Health Services Research and Development Service (CDA
6 16-204).

7

8 **Conflict of interest:** The authors report no conflicts of interest.

9

10 **Disclaimer:** The views expressed in this article are those of the authors and do not
11 necessarily reflect the position or policy of the Department of Veterans Affairs or the
12 United States Government.

13

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1 **Table 1. Characteristics of 122 VHA hospitals, stratified by the presence of**
 2 **an on-site ID specialist**

	On-site ID specialists N=104	No on-site ID specialists N=18	p-value
Admissions per month, mean (SD)	424.4 (244.0)	107.2 (57.6)	<0.01
Hospital location, n (%)			
Urban	99 (95.2)	10 (55.6)	<0.01
Rural	5 (4.8)	8 (44.4)	
Hospital complexity, n (%)^{1,2}			
1a, 1b, or 1c	82 (78.8)	0	<0.01
2	15 (14.4)	9 (50.0)	
3	7 (6.7)	9 (50.0)	
Intensive care unit, n (%)	97 (93.3)	11 (61.1)	<0.01
Microbiology laboratory on- site, n (%)	100 (96.2)	15 (83.3)	0.07

- 3 1. The Veterans Health Administration classifies its medical facilities at the
 4 following levels of complexity: 1a, 1b, 1c, 2, or 3. A hospital's complexity
 5 level is based on its patient population, clinical services, education and
 6 research. The most complex hospitals are level 1a, and the least complex are
 7 level 3.
 8 2. For this category, a comparison was made between the number of level 1
 9 facilities versus the number of level 2/3 facilities.

10

1 **Table 2. Antibiotic stewardship resources at 122 VHA hospitals, stratified**
 2 **by the presence of an on-site ID specialist**

Antibiotic stewardship resources	On-site ID specialists N=104	No on-site ID specialists N=18	p-value
Leadership commitment, n (%)			
ASP policy exists	97 (93.3%)	17 (94.4%)	1.00
Any FTEEs dedicated to stewardship	74 (71.8%)	6 (33.3%)	<0.01
Accountability and drug expertise, n (%)			
Stewardship provider champion	98 (94.2%)	14 (77.8%)	0.04
Training of stewardship provider champion			
Infectious Diseases	91 (87.5%)	0	<0.01
Inpatient IM physician	6 (5.8%)	6 (33.3%)	<0.01
Physician administrator	0	3 (16.7%)	<0.01
Other type of provider ¹	1 (1.0%)	5 (27.8%)	<0.01
Stewardship pharmacist champion	100 (96.2%)	17 (94.4%)	0.56
Training of stewardship pharmacist champion ²			
• General residency ³	80 (76.9%)	9 (50%)	0.02
• ID training ⁴	40 (38.5%)	0	<0.01
• Stewardship certification ⁵	42 (40.4%)	13 (72.2%)	0.01

3 ASP=antibiotic stewardship program; FTEEs = full-time employment equivalent;

4 ID=Infectious Disease; IM=Internal Medicine; OPAT= outpatient parenteral

5 antibiotic therapy

- 6 1. Other type of provider includes an off-site ID physician (n=1), advanced
 7 practice nurse (n=1), a nursing home provider (n=1), an outpatient physician
 8 (n=1), and a pulmonologist (n=1).
 9 2. The categories listed are not mutually exclusive. For example, a pharmacist
 10 may have had general residency training while also earning stewardship
 11 certification.
 12 3. Completed an accredited general residency accredited by the American
 13 Society of Health-System Pharmacists or holds a current Board of Pharmacy
 14 Specialties (BPS)-certification in Pharmacotherapy.
 15 4. Current BPS certification with added qualification in ID and/or completed an
 16 American Society of Health-System Pharmacists accredited ID-specialty
 17 residency.
 18 5. Obtained certification in antibiotic stewardship from the Society for Infectious
 19 Diseases Pharmacists (SIDP) or Making a Difference in Infectious Diseases
 20 Pharmacotherapy (MAD-ID).

1 **Table 3. Antibiotic stewardship processes at 122 VHA hospitals, stratified**
 2 **by the presence of an on-site ID specialist**

	On-site ID specialists N=104	No on-site ID specialists N=18	p-value
Antibiotic stewardship interventions, n (%)			
Prior approval for targeted antibiotics	94 (90.4%)	15 (83.3%)	0.41
Routine audits of targeted antibiotics at day 1-2 ¹	80 (76.9%)	12 (66.7%)	0.38
Routine audits of targeted antibiotics at discharge ¹	49 (47.1%)	8 (44.4%)	0.83
Blood culture review ²	69 (66.4%)	9 (50%)	0.18
Automatic stop orders	80 (76.9%)	15 (83.3%)	0.76
Clinical pathways or guidelines for specific inpatient conditions	89 (85.6%)	15 (83.3%)	0.73
Monitoring, education and feedback, n (%)			
Monitor antibiotic use ³	60 (57.7%)	6 (33.3%)	0.06
Submit data to NHSN AU option	37 (35.6%)	2 (11.1%)	0.04
Annual antibiogram	102 (98.1%)	18 (100%)	1.00
Education ⁴	75 (72.1%)	11 (61.1%)	0.34
Feedback to groups of providers	41 (35.3%)	4 (26.7%)	0.51

3 ID=Infectious Disease; MRSA=methicillin-resistant *Staphylococcus aureus*; NHSN AU
 4 option=National Healthcare Safety Network's Antimicrobial Use and Resistance
 5 option

- 6 1. Routine audits refer to systematic reviews of patient-level use of targeted
 7 antibiotics at least 3-4 times per week
 8 2. Antibiotic stewardship team reviews positive blood cultures in a timely
 9 fashion
 10 3. Hospital-level antibiotic use is monitored as DDDs (defined daily doses)
 11 and/or DOTs (days of therapy).
 12 4. Face-to-face group presentations to educate providers on prudent antibiotic
 13 prescribing

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1 **Table 4. Characteristics of patient-admissions in VHA acute-care hospitals**
 2 **during 2016, stratified by the presence of an on-site ID specialists**

	Total N=548,458	On-site ID specialists N=525,451	No on-site ID specialists N=23,007
Age, median (IQR)	68 (61-74)	68 (61-74)	68 (60-76)
Male gender, n (%)¹	520,287 (94.9)	498,400 (94.9)	21,887 (95.1)
Race, n (%)			
White	389,588 (71.0)	370,321 (70.5)	19,267 (83.7)
Black	114,208 (20.8)	112,121 (21.3)	2,087 (9.1)
Other/missing	44,662 (8.1)	43,009 (8.2)	1,653 (7.2)
Obesity, n (%)	187,372 (34.1)	179,343 (34.1)	8,029 (34.9)
Modified APACHE score, median (IQR)²	24 (16-33)	24 (16-33)	24 (16-33)
Comorbidities			
Alcohol abuse	107,371 (19.6)	102,009 (19.4)	5,362 (23.3)
CHF	159,188 (29.0)	153,082 (29.1)	6,106 (26.5)
COPD	210,395 (38.4)	199,268 (37.9)	11,127 (48.4)
Dementia	210,395 (38.4)	229,100 (43.6)	10,025 (43.6)
Diabetes	54,406 (9.9)	74,748 (14.2)	3,262 (14.2)
Drug abuse	239,125 (43.6)	18,181 (3.5)	694 (3.0)
Liver disease, severe	239,125 (43.6)	69,565 (13.2)	2,778 (12.1)
Neurological disorders, other	78,010 (14.2)	16,044 (3.1)	515 (2.2)
Paralysis	78,010 (14.2)	110,296 (21.0)	4,449 (19.3)
PVD	18,875 (3.4)	140,265 (26.7)	5,651 (24.6)
Renal failure	72,343 (13.2)		
	16,559 (3.0)		
	114,745 (21.0)		
	145,916 (26.6)		
Immunosuppressed³	33,737 (6.2)	32,809 (6.2)	928 (4.0)
Admitting service, n (%)			
Medicine	434,291 (79.2)	412,461 (78.5)	21,830 (94.9)
Surgery	114,167 (20.8)	112,990 (21.5)	1,177 (5.1)
ICU stay, n (%)	85,990 (15.7)	83,874 (16.0)	2,116 (9.2)
Days-present per admission, median (IQR)	4 (2-6)	4 (2-6)	4 (2-6)
Infectious Diagnoses			

Biliary tract infection	4,137 (0.8)	4,025 (0.8)	112 (0.5)
COPD, acute exacerbation	29,065 (5.3)	26,667 (5.1)	2,398 (10.4)
Intra-abdominal infection	7,797 (1.4)	7,506 (1.4)	291 (1.3)
Osteo-articular infection	9,813 (1.8)	9,476 (1.8)	337 (1.5)
Pneumonia	34,694 (6.3)	32,359 (6.2)	2,335 (10.2)
Skin and soft tissue infection	26,098 (4.8)	24,637 (4.7)	1,461 (6.4)
Urinary tract infection	35,312 (6.4)	33,690 (6.4)	1,622 (7.1)

1 Abbreviations: APACHE=Acute Physiology and Chronic Health Evaluation; COPD=chronic obstructive pulmonary
2 disease; CHF=congestive heart failure; ID=infectious diseases; ICU=intensive care unit; IQR=interquartile range;
3 PVD=peripheral vascular disease

- 4 1. If the gender value was missing, it was classified as male.
5 2. The modified APACHE score does not include comorbidities, as these were adjusted for separately.
6 3. The immunosuppressed category includes either having a diagnosis of lymphoma, leukemia, HIV/AIDs, or
7 organ transplantation during the 12 months prior to admissions OR receipt of an immunosuppressive
8 medication, which was defined as follows: prednisone or steroid equivalent at a dose ≥ 20 mg/day during
9 the 30 days prior to admission, chemotherapy within the 30 days prior to admission, or an anti-rejection
10 medication, biologic agent or a disease-modifying anti-rheumatic drug (DMARD) within the 3 month prior
11 to admission

1 **Table 5. Patient admission-level antibiotic use in VHA acute-care hospitals during 2016, stratified by**
 2 **the presence of an on-site ID specialist**

National Healthcare Safety Network (NHSN) antibacterial categories	On-site ID specialists N=525,45 1	No on-site ID specialists N=23,007	Unadjusted comparison^{2,3} RR (95% CI)	Adjusted comparison^{2,4} RR (95% CI)
Inpatient antibacterial exposure, mean (SE) DOT per 1000 days-present				
Broad-spectrum antibacterial agents predominantly used for community-acquired infections	112.9 (2.9)	175.9 (11.6)	0.64 (0.56-0.74)	0.61 (0.54-0.70)
Broad-spectrum antibacterial agents predominantly used for hospital-onset infections	104.2 (2.5)	93.1 (5.5)	1.12 (0.99-1.27)	1.01 (0.89-1.13)
Antibacterial agents predominantly used for resistant gram-positive infections	73.8 (2.1)	60.5 (4.3)	1.22 (1.05-1.42)	1.09 (0.95-1.26)
Narrow-spectrum beta-lactam agents	77.5 (2.4)	50.2 (3.9)	1.54 (1.31-1.83)	1.43 (1.22-1.67)
Total antibacterials¹	464.2 (7.1)	502.9 (19.3)	0.92 (0.85-1.01)	0.92 (0.85-0.99)
Inpatient + post-discharge antibacterial exposure, mean (SE) DOT per 100 admissions				
Total antibacterial exposure	380.7 (6.3)	391.1 (15.9)	0.97 (0.89-1.06)	0.92 (0.86-0.99)

3 Abbreviations: SE = standard error, DOT = days of therapy, RR = rate ratio, CI = confidence interval.

4 1. Total antibacterials include the 4 NHSN antibacterial categories listed plus all other antibacterial agents (supplemental table
 5 1).

6 2. DOT was the dependent variable, and the log of days-present was included as an offset variable to account for the time of
 7 exposure of each patient-admission.

8 3. Unadjusted comparisons were made using negative binominal generalized estimating equations that adjusted for intra-
 9 hospital clustering.

- 1 4. Adjusted comparisons were made by adjusting for intra-hospital clustering, patient demographics (age, gender, race),
2 obesity, service type (e.g. proportion of total days-present on a medical versus surgical service), intensive care unit (ICU)
3 versus non-ICU (e.g. proportion of total days-present that were in an ICU), individual comorbidities, immunosuppression
4 status, and severity of illness, as measured by the acute physiology and chronic health evaluation (APACHE) score.