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## **Title**

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#### Production of  $e^+e^-$  Pairs Accompanied by Nuclear Dissociation in Ultra-Peripheral Heavy Ion Collisions

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We present the first data on  $e^+e^-$  pair production accompanied by nuclear breakup in ultraperipheral gold-gold collisions at a center of mass energy of 200 GeV per nucleon pair. The nuclear breakup requirement selects events at small impact parameters, where higher-order corrections to the pair production cross section should be enhanced. We compare the pair kinematic distributions with two calculations: one based on the equivalent photon approximation, and the other using lowest-order quantum electrodynamics (QED); the latter includes the photon virtuality. The cross section, pair mass, rapidity and angular distributions are in good agreement with both calculations. The pair transverse momentum,  $p_T$ , spectrum agrees with the QED calculation, but not with the equivalent photon approach. We set limits on higher-order contributions to the cross section. The  $e^{\frac{1}{T}}$  and  $e^- p_T$  spectra are similar, with no evidence for interference effects due to higher-order diagrams.

Electron-positron pairs are copiously produced by pho- ton interactions in the strong electromagnetic fields of

fully stripped colliding heavy nuclei (cf. Fig. 1); the field strength at the surface of the ions reaches  $10^{20}$  V/cm! At a center of mass energy of  $\sqrt{s_{NN}} = 200 \text{ GeV}$  per nucleon pair, the production cross section is expected to be 33,000 b, or 4,400 times the hadronic cross section [\[1,](#page-6-1) [2\]](#page-6-2).

The electromagnetic fields are strong enough, with coupling  $Z\alpha \approx 0.6$ , (Z is the nuclear charge and  $\alpha \approx 1/137$ the fine-structure constant), that conventional perturbative calculations of the process are questionable. Many groups have studied higher-order calculations of pair production. Some early coupled-channel calculations predicted huge (order-of-magnitude) enhancements in the cross section [\[3\]](#page-6-3) compared to the leading-order perturbative calculations.

Ivanov, Schiller and Serbo [\[4\]](#page-6-4) followed the Bethe-Maximon approach [\[5\]](#page-6-5), and found that at RHIC, Coulomb corrections to account for pair production in the electromagnetic potential of the ions reduce the cross section by 25% compared to the lowest-order result. For high-energy real photons incident on a heavy atom, these Coulomb corrections are independent of the photon energy and depend only weakly on the pair mass [\[5\]](#page-6-5). However, for photons with intermediate energies, there is a pair-mass dependence, and also a difference between the  $e^+$  and  $e^-$  spectra due to interference between different order terms [\[6\]](#page-6-6).

In contrast, initial all-orders calculations based on solving the Dirac equation exactly in the ultra-relativistic limit [\[7](#page-6-7)] found results that match the lowest-order perturbative result [\[8\]](#page-6-8). However, improved all-orders calculations have agreed with the Coulomb corrected calculation [\[9](#page-6-9)]. These all-orders calculations do not predict the kinematic distributions of the produced pairs.

Any higher-order corrections should be the largest close to the nuclei, where the photon densities are largest. These high-density regions have the largest overlap at small ion-ion impact parameters, b. Small-b collisions can be selected by choosing events where the nuclei undergo Coulomb excitation, followed by dissociation. The dissociation also provides a convenient experimental trigger. Pair production accompanied by mutual Coulomb excitation should occur at smaller  $b$ , and have larger higherorder corrections than for unaccompanied pairs.

Previous measurements of  $e^+e^-$  pair production were at much lower energies [\[10,](#page-6-10) [11](#page-6-11)]. The cross sections, pair masses, angular and  $p_T$  distributions generally agreed with the leading-order QED perturbative calculations. These studies did not require that the nuclei break up, and so covered a wide range of impact parameters.

This letter reports on electromagnetic production of  $e^+e^-$  pairs accompanied by Coulomb nuclear breakup in  $\sqrt{s_{NN}} = 200$  GeV per nucleon pair Au-Au collisions [\[12\]](#page-6-12), as is shown in Fig. 1. An  $e^+e^-$  pair is produced from two photons, while the nuclei exchange additional, independent photons, which break up the nuclei. We require that there be no hadronic interactions, which is



FIG. 1: Schematic QED lowest-order diagram for  $e^+e^-$  production accompanied by mutual Coulomb excitation. The dashed line shows the factorization into mutual Coulomb excitation and  $e^+e^-$  production.

roughly equivalent to setting the minimum impact parameter  $b_{min}$  at twice the nuclear radius,  $R_A$ , *i.e.* about 13 fm. The Coulomb nuclear breakup requirement selects moderate impact parameter collisions  $(2R_A < b < \approx 30$ fm) [\[13,](#page-6-13) [14\]](#page-6-14). Except for the common impact parameter, the mutual Coulomb dissociation is independent of the  $e^+e^-$  production [\[15](#page-6-15), [16\]](#page-6-16). The cross section is

$$
\sigma(AuAu \to Au^*Au^*e^+e^-) = \int d^2bP_{ee}(b)P_{2EXC}(b) \tag{1}
$$

where  $P_{ee}(b)$  and  $P_{2EXC}(b)$  are the probabilities of  $e^+e^$ production and mutual excitation, respectively at impact parameter b. The decay of the excited nucleus usually involves neutron emission.  $P_{2EXC}(b)$  is based on experimental studies of neutron emission in photodissociation [\[17](#page-6-17)]. For small b, a leading-order calculation of  $P_{2EXC}(b)$  may exceed 1. A unitarization procedure is used to correct  $P_{2EXC}(b)$  to account for multiple interactions [\[14,](#page-6-14) [17\]](#page-6-17).

The most common excitation is a giant dipole resonance (GDR). GDRs usually decay by single neutron emission. Other resonances decay to final states with higher neutron multiplicities. In mutual Coulomb dissociation, each nucleus emits a photon which dissociates the other nucleus. The neutrons are a distinctive signature for nuclear breakup.

We consider two different pair production calculations for  $P_{ee}(b)$ . The first uses the equivalent photon approach (EPA) [\[1\]](#page-6-1), which is commonly used to study photoproduction. The photon flux from each nucleus is calculated using the Weizsäcker-Williams method. The photons are treated as if they were real [\[2\]](#page-6-2). The  $e^+e^-$  pair production is then calculated using the lowest-order diagram [\[18\]](#page-6-18). The photon  $p_T$  spectrum for a photon with energy  $k$  is given by [\[19,](#page-6-19) [20\]](#page-6-20)

$$
\frac{dN}{dp_T} \approx \frac{F^2(k^2/\gamma^2 + p_T^2)p_T^2}{\pi^2(k^2/\gamma^2 + p_T^2)^2}
$$
\n(2)

where F is the nuclear form factor and  $\gamma$  is the Lorentz boost of a nucleus in the laboratory frame. This calculation uses a Woods-Saxon distribution with a gold radius of 6.38 fm and a 0.535 fm skin thickness [\[21\]](#page-6-21). The individual photon  $p_T$  are added in quadrature to give the pair  $p_T$ . This is a minor simplification, but should have little effect on the result. For  $e^+e^-$  pairs visible in STAR, the typical photon  $p_T \approx 3$  MeV/c, for a pair  $p_T \approx 5$  MeV/c.

The second calculation is a lowest-order quantum electrodynamics (QED) calculation for pair production [\[22\]](#page-6-22). The main difference between this calculation and the EPA approach is that the QED calculation includes photon virtuality. In the relevant kinematic range, the results of the calculations differ mainly in the pair  $p_T$  spec-trum [\[23](#page-6-23)]. In the QED calculation, the pair  $p_T$  is peaked at 20 MeV/c, higher than with the EPA.

One unavoidable difficulty in studying this reaction at an ion collider is that  $e^+e^-$  pairs are dominantly produced with a forward-backward topology. The angle between the electron momentum and the two-photon axis in the two-photon rest frame,  $\theta^*$ , is usually small. Only a small fraction of the pairs are visible in a central detector, limiting the statistics.

This analysis presents data taken in 2001 with the Solenoidal Tracker at RHIC (STAR) detector at the Relativistic Heavy Ion Collider (RHIC). Tracks were reconstructed in a large cylindrical time projection chamber (TPC) [\[24\]](#page-6-24) embedded in a solenoidal magnetic field. The track position and specific energy loss  $(dE/dx)$  were measured at 45 points at radii between 60 and 189 cm from the collision point. Many of the tracks used in this analysis had low transverse momenta,  $p_T$ , and curved strongly in the magnetic field, and therefore had less than 45 reconstructable points. This analysis used data taken in a 0.25 T magnetic field (half the usual value).

This analysis used about 800,000 events selected by a minimum bias trigger [\[25](#page-6-25)]. This trigger selected events where both gold nuclei broke up, by detecting events with one or more neutrons in zero degree calorimeters (ZDCs) [\[26\]](#page-6-26) upstream and downstream of the collision point. The two ZDC hits were required to be within 1 nsec of each other. With the beam conditions and ZDC resolution, this selected events along the beam line within  $\approx 30$  cm of the detector center.

The signature for  $e^+e^-$  production is two reconstructed tracks which formed a primary vertex along the beamline and which had specific energy losses consistent with those of electrons. This analysis considered events with less than 5 reconstructed tracks. The tracks were projected from the TPC toward the beamline. Tracks that passed within 3.9 cm of the beam interaction region (in the xy plane) were used to find the primary vertex. The vertex position was the best-fit intersection of the tracks. The uncertainties on the vertex position were dominated by multiple scattering. If any track had a distance of closest approach (DCA) to the vertex greater

than 4.0 cm, the track with the largest DCA was removed and the vertex refit. This process continued until all of the tracks had DCAs less than 4.0 cm. The analysis accepted events with a vertex containing exactly 2 tracks. Up to two additional non-vertex tracks were allowed in the event, to account for random backgrounds.

Tracks were required to have  $p_T > 65$  MeV/c and pseudorapidity  $|\eta|$  < 1.15. In this region, the tracking efficiency was above 80%. Tracks were also required to have momenta  $p < 130$  MeV/c, where  $dE/dx$  allowed good electron/hadron separation. In this region, the identification efficiency was almost 100%, with minimal contamination. Pairs were required to have masses 140 MeV  $< M_{ee} < 265$  MeV. The pair mass spectrum falls steeply with increasing  $M_{ee}$ , so few leptons from pairs were expected with higher momenta. Pairs were required to have  $p_T < 100$  MeV/c and rapidity  $|Y| < 1.15$ . The pair cuts remove a very few background events, but leave the signal intact. These cuts selected a sample of 52 events.

The data were corrected for efficiency using simulated events based on the equivalent photon calculation and the standard STAR detector simulation and reconstruction programs. The distributions of the number of hits and track fit quality, the vertex radial positions and track distance of closest approach matched in the data and simulations [\[12](#page-6-12)].

The resolutions were found to be 0.017 for pair rapidity, 0.01 for track rapidity and 6 MeV for pair mass. The pair  $p_T$  resolution varied slightly with  $p_T$ , but averaged about 4 MeV/c. After accounting for this  $p_T$  smearing, the efficiency was found to be independent of  $p_T$ .

There are two backgrounds in this analysis. Incoherent (mostly hadronic) backgrounds produce both likesign and unlike-sign pairs, at a wide range of  $p_T$ . Based on a study of like-sign and of higher  $p_T$  pairs, we estimate that this background is 1 event. Coherent backgrounds are due to photoproduction of mis-identified  $\pi^+\pi^-$  pairs on one of the nuclei. This background is peaked at higher  $M_{ee}$  than real  $e^+e^-$  pairs. From the known  $\rho^0$ and direct  $\pi^{+}\pi^{-}$  cross sections [\[13,](#page-6-13) [21](#page-6-21)], and electron mis-identification probabilities, the contamination is estimated to be less than 0.1 events. Backgrounds from other electromagnetic processes should be even smaller. The background from cosmic rays is suppressed to a negligible level by the ZDC coincidence requirement.

The luminosity was determined by counting hadronic interactions with at least 8 charged tracks. This criteria selects 80% of all hadronic gold-gold interactions [\[12](#page-6-12), [27\]](#page-6-27). After compensating for the different neutron multiplicities in the hadronic and  $e^+e^-$  samples (the ZDC timing resolution depends on the number of neutrons) and assuming a total hadronic cross section of 7.2 barns [\[13\]](#page-6-13), we find a total integrated luminosity of  $94 \pm 9 \text{ mb}^{-1}$ .

The major systematic errors were due to uncertainties in the tracking efficiency (6.4% per track, or 13% total), vertexing  $(8.5\%)$  and in the luminosity  $(10\%)$  [\[12](#page-6-12)]. The



<span id="page-5-0"></span>FIG. 2: (a) The pair mass distribution, (b) pair  $p_T$ , (c) pair rapidity and (d) pair  $cos(\theta')$  distributions. The data (points) are compared with predictions from the EPA (solid histogram) and lowest-order QED (dashed histogram) calculations. The error bars include both statistical and systematic errors.

uncertainties due to backgrounds and particle identification were much smaller and are neglected. These uncertainties were added in quadrature, giving a 18.5% total systematic uncertainty.

Figure [2a](#page-5-0) shows the cross section for Au Au<sup>→</sup> Au<sup>∗</sup>Au<sup>∗</sup>  $e^+e^-$  as a function of pair mass, within our kinematic fiducial region: track  $p_T > 65$  MeV/c, track pseudorapidity  $|\eta| < 1.15$ , pair rapidity  $|Y| < 1.15$  and pair mass 140 MeV  $\langle M_{ee} \rangle$  = 265 MeV. The data are compared to the equivalent photon (solid) and QED (dotted) calculations. Monte Carlo events were generated using the two calculations, and then filtered to match the acceptances used here. Both calculations match the pair mass data.

Figure [2b](#page-5-0) shows the cross section as a function of pair  $p_T$ . The equivalent photon (solid) and QED (dashed) calculations are very different for  $p_T < 15$  MeV/c. The difference is due to the inclusion of the photon virtuality in the QED calculation. The data agree with the QED calculation, but not with the equivalent photon calculation.

Figure [2c](#page-5-0) shows the cross section as a function of pair rapidity. The broad peak around  $Y = 0$  is due to the detector acceptance. Selecting tracks with pseudorapidities  $|\eta|$  < 1.15 preferentially chooses events with small pair rapidity. The data agrees with both calculations.

Figure [2d](#page-5-0) shows the angular distribution  $cos(\theta')$  between the  $e^+$  momentum and the beam axis, in the pair rest frame. There is a small (usually  $< 5$  mrad) difference between  $\theta'$  and  $\theta^*$  since the photon  $p_T$  rotates the  $\gamma\gamma$  rest frame slightly with respect to the beam axis. The distribution in Fig. [2d](#page-5-0) is the convolution of the detector acceptance (largest at small  $cos(\theta')$ ) with the production distribution, which is peaked at large  $cos(\theta')$ . The agreement between the data and the calculations is good.

Within the kinematic range 140 MeV  $\langle M_{ee} \rangle$  < 265 MeV, pair rapidity  $|Y|$  < 1.15, track  $p_T > 65$  MeV/c and  $|\eta|$  < 1.15, we find a cross section of  $\sigma = 1.6 \pm$  $0.2$  (stat) $\pm 0.3$  (syst) mb. This is in reasonable agreement with the equivalent photon prediction of 2.1 mb and the QED calculation of  $\sigma_{OED} = 1.9$  mb. At a 90% confidence level, higher order corrections to the cross section,  $\Delta \sigma =$  $\sigma - \sigma_{QED}$ , must be within the range  $-0.5\sigma_{QED} < \Delta \sigma <$  $0.2\sigma_{QED}$ .

At leading-order, the electron and positron momentum spectra are identical. However, interference with higher order corrections can create charge-dependent spectral differences [\[6\]](#page-6-6). For some kinematic variables, 30-60% asymmetries may occur [\[28\]](#page-6-28). A study of  $e^+e^-$  production in sulfur-nucleus collisions at  $\sqrt{s_{NN}} = 20$  GeV per nucleon pair found that the positrons had a higher average



<span id="page-6-29"></span>FIG. 3: The  $p_T$  spectra of the produced electrons and positrons, along with the comparable EPA and QED calculations. In both calculations, the electron and positron spectra are identical. Spectra from the two calculations are similar; the data agrees with both of them.

energy than the electrons [\[10\]](#page-6-10). Figure [3](#page-6-29) compares the  $p_T$ spectra of the produced electron and positron; the two spectra are very similar. No asymmetry is seen beyond the experimental uncertainties.

In addition, we have measured the fraction of events with a single neutron in each ZDC to be  $0.06 \pm 0.04$  (3) out of 52). This is consistent with the single neutron fraction observed in similarly tagged  $\rho$  photoproduction [\[13\]](#page-6-13), supporting the notion of independence assumed in the factorization, Eq. (1).

In conclusion, we have observed  $e^+e^-$  production accompanied by nuclear excitation in gold on gold ion collisions at a center of mass energy of 200 GeV per nucleon pair. The cross section, pair mass and angular and rapidity distributions are in agreement with two calculations, one using equivalent photons, and the other a lowestorder QED calculation. The pair  $p_T$  spectrum agrees with the QED calculation, but not the equivalent photon calculation. We find that lowest-order QED describes our data and set a limit on higher-order corrections to the cross section,  $-0.5\sigma_{QED} < \Delta \sigma < 0.2\sigma_{QED}$  at a 90% confidence level. The electron and positron  $p_T$  spectra are similar, with no evidence of higher order corrections due to interference.

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