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Parents Recall of, and Reactions to, School-Based BMI Reports.

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# **Journal**

Childhood obesity (Print), 15(8)

### **ISSN**

2153-2168

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# **Publication Date**

2019-12-01

### DOI

10.1089/chi.2019.0061

Peer reviewed

#### Parents recall of, and reactions to, school-based BMI reports

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**Acknowledgements:** We extend tremendous gratitude to all of the schools that supported this study and all of the students and families who participated. This work was funded by NIH/NHBLI R01 HL120666. The funder had no role in the design, collection, analysis, and interpretation of data; in the writing of the manuscript; or in the decision to submit the manuscript for publication. Trial registration: ClinicalTrials.gov NCT02088086.

Author Disclosure Statement: No competing financial interests exist

**Keywords:** Body mass index (BMI) reports; Parental recall; School health intervention; Childhood overweight and obesity

**Background:** Current evidence demonstrates that, while a widely-used tool for childhood obesity prevention, school-based body mass index (BMI) reports do not effectively reduce pediatric obesity. The purpose of this study was to examine the presumed mechanisms by which BMI reporting might impact child weight by assessing parents': 1) recall of BMI reports, 2) accuracy in recalling child overweight status; and 3) reactions to BMI reports.

**Methods:** Mailed surveys were completed by 2,205 racially/ethnically diverse parents of 3rd-7th grade students participating in the *Fit Study* who had either been exposed to 1 year of child BMI reporting (1 report) or 2 years (2 reports).

Results: After 1 year of BMI reporting, parents of children with overweight were less likely (OR=0.7, 95% CI: 0.5, 0.9) to recall receiving a BMI report and less likely (OR=0.2, 95% CI: 0.1, 0.3) to accurately recall their child's weight status from the BMI report, compared to parents of children with healthy weight. Differences in accuracy of child weight-status recall persisted after 2 years of BMI reporting exposure. Only 22% of parents of children at risk for overweight and with overweight reported being surprised by the results.

Conclusions: Parents' recall of receiving a school-based BMI report is low, as is the accuracy of recall of child's overweight status. Additionally, parents' surprise at, and concern for, BMI results is limited. Current BMI reports may be ineffective at reducing pediatric obesity due to their lack of salience and ability to compel meaningful behavior change among parents.

#### INTRODUCTION

Body mass index (BMI) screening and reporting is widely implemented in public schools to address pediatric obesity, which impacts nearly 1 in 5 children in the United States. BMI reports are intended to compel parents to make healthy dietary and physical activity changes for their children with overweight or obesity, leading to improvements in child weight status. A recent systematic review suggests that current school-based BMI reports do not reduce childhood obesity, warranting a critical analysis of the presumed mechanism by which BMI reporting might impact child weight.

First, for BMI reports to influence parent behavior related to their child's health and weight, reports must be salient to parents, remaining prominent in their minds over an extended period of time. Results from prior studies are inconclusive, however, regarding how likely parents are to remember even receiving a BMI report.<sup>4-7</sup> And even though many states require BMI assessments in multiple grades, no prior studies have evaluated the impact of consecutive years of BMI reporting on parent recall of report receipt.<sup>8</sup>

Secondly, BMI reports should also help parents correctly identify their child's weight status, so that appropriate behavior modifications can be made, as necessary, to improve student weight. Prior research demonstrates that parents have poor recognition of their child's weight status, 6,9,10 particularly parents of children with overweight or obesity. While providing parents with a BMI report containing their child's weight status, along with healthy behavior-modification tips, could help parents more accurately recognize their child's weight status and compel positive

weight-related changes,<sup>3,12</sup> current evidence in this area is mixed. Prior studies conducted among primarily white populations<sup>6,9</sup> suggest that parent accuracy in identifying their child's overweight status does not significantly increase following BMI reporting. Results from an English study (conducted with 66% white parents) showed that the proportion of parents who correctly recognized their child was overweight increased 16% after BMI reporting;<sup>11</sup> however recognition remained relatively low, with only 38% of parents accurately recalling their child's weight status post-reporting. Further evidence is needed to determine if BMI reports actually help improve parental recognition of their child's weight status, particularly among parents from diverse racial/ethnic backgrounds.

Finally, in addition to helping parents accurately recognize their child's weight status, BMI reports must also be understood and viewed as providing relevant, motivating information by parents, if they are to compel positive behavior changes in response to the results. BMI reporting shows promise for increasing parental recognition of the health risks associated with child overweight in non-white ethnic groups. Few studies to date have examined whether parents find reports helpful or whether reports provide concerning or surprising information about child weight status.

To address these gaps, this paper uses parent survey data to examine racially/ethnically-diverse elementary and middle school parents': 1) recall of BMI reports, 2) accuracy in recalling child overweight status; and 3) reactions to BMI reports.

#### **METHODS**

Study Design. This repeated cross-sectional study used parent survey data from the Fit Study, a 3-year, cluster-randomized trial examining the impact of school-based BMI reporting on pediatric obesity. 15 Students in the Fit Study (grades 3-7 in California public schools) were recruited in two cohorts during the 2014-15 and 2015-16 school years. Participating students in the intervention arm had their BMI assessed in school in the spring of 2015 and 2016; students in grades 5-8 also participated in 5 fitness tests that assessed strength, flexibility, and aerobic capacity. 16 BMI reports were sent to parents in October of each year, approximately 6 months after the student's BMI had been assessed. BMI reports, described previously, 17 classified children as 'Overweight' (≥95th percentile), 'At-risk-of-overweight' (≥85th percentile and <95th), 'At a healthy weight' (≥5th and <85th percentile) or 'Underweight' (<5th percentile) based on CDC definitions. 18 In BMI report pre-tests, parents preferred "at-risk for overweight" and "overweight" to the CDC's "overweight" and "obese" when describing students in the ≥85th through the >95th and ≥95th percentiles for BMI, respectively, so these terms were used in all Fit Study BMI reports.<sup>17</sup> Students in grades 5-8 were randomly assigned to receive either the standard BMI report or a report that also included fitness results. The reverse side of all reports included colorful pictures with family-oriented health information (e.g. "Physical activity is important for children and adults of all ages. Being active as a family is good for everyone;" "Set a rule that no one can spend longer than 2 hours per day playing video games, watching TV, and using the computer (except for school work);" and "Make half your plate fruits and vegetables") and a suggestion that parents talk to their schools about how to make them healthier places for students.<sup>17</sup> This study was approved by UC Berkeley's Committee for the Protection of Human Subjects.

Parent follow-up survey sample. The present study is limited to parents or caregivers (hereafter referred to as parents) who received a BMI report for their child in Fall 2015 or Fall 2016 (surveys mailed to 6,010 parents). In Spring 2016, ~25 parents from 27 intervention schools (n=698) were randomly selected to receive a survey, roughly 6 months after BMI reports had been mailed. Researchers used stratified sampling to achieve a 2:1 ratio of students at risk for overweight/ with overweight to students with underweight/ healthy weight. Although the original study protocol only included a single follow-up survey, after results from 2016 revealed low parental recall of BMI reports, researchers sent a second follow-up survey to all intervention-arm parents (n=5,312) in Spring 2017. Parents completing a survey in Spring 2017 had previously received either 1 or 2 BMI reports.

Researchers sent a pre-notification postcard to all selected parents prior to mailing the baseline survey. The postcard indicated that a survey would arrive within one week and asked parents to complete it. The following week, parents received a paper survey that included a pre-addressed, stamped return envelope and a one-dollar bill. The survey also included a website URL that parents could use to complete the survey online. Parents who did not return a completed survey within three weeks received another mailed survey. Previous research indicates that pre-notification postcards, monetary incentives, and duplicate surveys significantly increase response rates. <sup>19</sup> Parents received one survey in English and one survey in Spanish or Chinese, based on school district policy.

Survey content. The 2016 and 2017 surveys asked parents if they recalled receiving a report with their child's height and weight results from the previous school year and, if so, how the report had categorized their child's weight status (overweight, at risk for overweight, at a healthy weight, or underweight). To aid in parents' recall of the report, the 2017 survey included an image of an actual report (visual prompt; Figure 1), measuring 2.5 x 3 inches on the printed page. Both surveys asked parents to report their relationship to their child; the 2016 survey also asked parents to self-report their race/ethnicity, gender, and height and weight.

The 2016 survey assessed parent reactions to the report by asking if parents: (1) understood the information on the report; (2) found the report helpful for themselves; (3) were concerned about their child's results; (4) were surprised about their child's results. Parents responded to each question using a 5-point Likert scale and responses were converted into a binary score of agreement ("strongly disagree/disagree/neither agree nor disagree").

Statistical Analyses. Parents whose child was absent for height and weight measurements (n=121) were dropped from analyses. A logistic mixed effects regression model including study year and child grade, sex, weight status, and race was run to assess potential predictors of parental survey response. An indicator variable was set to 1 if a parent accurately recalled their child's reported weight status (accuracy). Mixed effects logistic regression models assessed associations between accuracy and recall of receiving a report (recall). Model 1 was limited to parents who had received only 1 BMI report and assessed whether receiving the survey with the visual (Figure 1) in 2017 versus no visual in 2016 increased recall or accuracy. Model 2 was limited to parents surveyed in 2017 (who could have received 1 or 2 reports) to examine whether

the number of reports received was associated with recall or accuracy. Model 3, limited to parents of children in grades 5 and above, compared recall and accuracy by report type (BMI only or BMI+fitness). All models included a random effect for school (model 3 also included a random effect for student) and controlled for: study year (when appropriate); time elapsed between mailing of BMI report and survey completion; school-level proportion of students who qualify for free or reduced-price meals (a proxy for socioeconomic status); and child race/ethnicity, grade, sex, and weight status. Statistical analyses were performed using Stata SE v15.1 (StataCorp LLC, College Station, Texas).

#### **RESULTS**

A total of 2,205 parent surveys were returned representing data on unique students (369 were returned in 2016 and 1,836 were returned in 2017, with 1,249 surveys completed after having received 1 BMI report and 956 after having received 2 BMI reports; 124 parents completed 2 follow-up surveys and we excluded their second survey). Race was the only significant predictor of parent response rates: 28% for African-American students, 32% for Hispanic students, 48% for white students, and 52% for Asian students (p=<0.001). Table 1 presents demographic characteristics of students whose parents responded to a survey. Among parents contributing demographic information (parent demographic questions were only asked on the 2016 survey; n=369), 41% were Hispanic, 26% were non-Hispanic white, 25% were non-Hispanic Asian, and 5% were non-Hispanic Black. Additionally, 30% had a high school degree or less; 24% attended some college; 33% were college graduates; and 14% attended graduate school. Eighty-four percent were female.

Recall of report. Recall was 53% among parents who received 1 BMI report and 71% among parents who received 2 reports. Among parents who had only received 1 BMI report (model 1), parents who saw the visual prompt had greater recall than those without a visual prompt (OR 1.9, 95% CI: 1.2, 3.2). Among parents surveyed in 2017 (model 2), those who had received 2 prior reports had greater recall than those who had received only 1 prior report (OR 1.5, 95% CI: 1.1, 2.1). In model 2, African-American parents had half the odds of recalling the report as Hispanic parents (OR 0.5, 95% CI: 0.3, 0.97). There were no differences in recall rates with white parents as the reference group. Report type (BMI only vs. BMI-plus-fitness; model 3) was not associated with recall (OR 1.0, 95% CI: 0.7, 1.3).

Table 2 presents recall rates by child weight status following 1 and 2 years of BMI reporting. Compared to parents of children with healthy-weight, parents of children with underweight were significantly more likely (OR=2.2, 95% CI: 1.0, 4.8) to recall receiving a BMI report after 1 year of BMI reporting while parents of children with overweight were significantly less likely (OR=0.7, 95% CI: 0.5, 0.9). There were no significant differences by child weight status after 2 years of BMI reporting, however parents of children with overweight maintained a trend toward lower recall (OR=0.7, p=0.053).

Accurate recall of child's weight status. Among parents who remembered receiving a report, 81% accurately recalled how the report had classified their child's weight status. Accuracy did not differ by having received 2 (opposed to 1) reports, report type, child race, or child gender. Accuracy significantly differed by child weight status (Table 2). After one year of exposure to

BMI reporting, parents of children at-risk for overweight (OR=0.6, 95% CI: 0.4, 0.8), and parents of children with overweight (OR=0.4, 95% CI: 0.3, 0.6) were less likely to accurately recall their child's weight status from the BMI report compared to parents of children with healthy weight. Differences persisted after 2 years of exposure to BMI reporting for parents of children at-risk for overweight (OR=0.4, 95% CI: 0.3, 0.6) and parents of children with overweight (OR=0.4, 95% CI: 0.2, 0.5).

Parental perceptions of BMI reports. Table 3 summarizes parents' perceptions of the BMI report among the 114 parents completing a survey in 2016 who remembered receiving the report, stratified by child's weight status. Most parents (93%) understood the report, 78% found the report helpful for themselves, and 62% found the report helpful for their child. Parents of children at-risk for overweight and with overweight were more likely to be concerned by results than parents of children with underweight or healthy-weight (51% vs. 7%; p <0.001). Only 22% of parents of children at-risk for overweight and with overweight reported being surprised by the results.

## DISCUSSION

This study provides several possible explanations for the results to date from studies showing no impact of school-based BMI reporting on pediatric obesity. We found that parents often did not remember receiving a mailed BMI report, and when they did, they often inaccurately recalled their child's weight status. Although parents of children at-risk for overweight and with overweight typically found BMI reports helpful, their surprise and concern at BMI results was

limited. Current BMI reports may, therefore, be not be sufficiently salient to compel meaningful behavior change among parents and reduce pediatric obesity.

In the present study, 53% of parents remembered receiving their first BMI report, which was mailed 5-7 months prior to parent surveys. This finding mirrors results from Kubik et al, in which 49% of parents surveyed by mail (N=790) recalled receiving a BMI report after 2 months. Other studies have reported higher recall rates using phone interviews, 4-6 but phone interviews likely inflate recall rates due to social desirability bias and the ability of interviewers to provide additional prompts and recall cues. Thus, roughly 1 in 2 parents (~50%) appear to remember receiving BMI reports without extensive prompting after 1 year of reporting. In the present study, recall increased to 71% among parents who had received two BMI reports, suggesting that repeated BMI reporting could increase parent attention to BMI reports.

We found that parents of children with a BMI ≥95th %tile were significantly less likely to remember receiving a BMI report than parents of children with healthy weight, regardless of prior reporting. This could be related, in part, to parental denial about their child's higher weight status. <sup>20</sup> This is particularly concerning given that effectiveness of BMI reporting depends on motivating behavior change among parents of children with overweight, in particular. Findings from the present study contrast with findings by Johnson, which suggested that parents of children outside the normal BMI range were more likely to remember BMI reports. Researchers in that study combined parents of children with underweight (N=63) and parents of children with overweight and obesity (N=105) into one category, however, masking results for each weight category.<sup>5</sup>

Commented [KM1]: We have an error in the final manuscript where this said "Kalich." I'm correcting for posterity

Parents who saw a picture of the BMI report on their survey had twice the odds of recalling receipt of a BMI report than parents who did not. While this finding suggests that visual aids enhance parent recall, they would not be expected to increase the efficacy of BMI reporting.

Accurate recall of child weight status from BMI reports was significantly lower among parents of children at-risk for overweight and with overweight compared to parents of children with healthy weight. These findings are consistent with those from Johnson et al, who reported that 65% of parents of children with overweight accurately recalled their child's weight status compared to 74% of parents of children with normal weight.<sup>5</sup> Based on results from the present study, only 35% of parents of children at-risk for overweight and 27% of parents of children with overweight remember receiving a BMI report and accurately recall their child's overweight status after 1 year of exposure to BMI reporting. After 2 years of exposure, the numbers increased to 46% and 42%, respectively, although the increase is driven by an increase in recall of BMI reports, not an increase in accurate recall of child weight status. Thus, while receiving more than 1 report increases parents' recall of the report, it does not increase accurate recall of their child's reported weight status. In contrast, 94% of parents in a randomized controlled trial accurately recalled their child's reported weights status when the information came from a doctor, <sup>21</sup> suggesting that parents may place greater value on results received from a doctor versus their child's school. Only 2 weeks elapsed between physician notification and assessed recall, however, which may explain greater parent accuracy in that study.

Similar to findings from Johnson et al, almost all parents in the present study agreed that they understood the BMI report.<sup>5</sup> Most parents found the reports helpful for themselves. While parents of children at-risk for overweight and with overweight were far more likely to find BMI results concerning and surprising than parent of children with healthy weight, only half found the results concerning and 20% found the results surprising, which may support the lack of positive weight-related behavior change post-BMI report receipt, as prior research has indicated that parents who change their perception of their child's weight status are more likely to subsequently engage in behavior change.<sup>22,23</sup> Lack of concern among parents who are told their child has overweight may stem from parent perceptions that school-based BMI reports misclassify children as overweight (due to more muscular body types or "baby fat") and beliefs that there are many more aspects to health than weight alone.<sup>24,25</sup> Regardless, parents who find BMI report information neither concerning nor surprising are unlikely to make changes to their child's dietary or physical activity behaviors,<sup>22,23</sup> further reducing the pool of parents who are susceptible to the impact of BMI reports.

This study had several limitations. Despite oversampling and using best recruitment practices, <sup>19</sup> our response rate was only 36%, which could limit the generalizability of our findings. Further, white and Asian parents were more likely to respond to the survey than African American and Hispanic parents, which could impact our ability to detect differences by parent race/ethnicity and further limit generalizability. In addition, very few parent respondents received the BMI + fitness report, preventing us from definitively examining differences by report type. While less than 3% of participating students had missing BMI data each year, students with missing or implausible follow-up BMI data had a higher BMI z-score at baseline and were more likely to be

African American than students with complete data, which could also impact generalizability. Moreover, all survey data were self-report, which is prone to recall and social desirability bias. Additionally, while our survey drew from questionnaires used in similar research, <sup>26</sup> our questions related to parental understanding, concern, and surprise may not have fully captured all aspects of these complex constructs. Finally, in analyses, we used school-level free or reduced-price meal data as a proxy for socio-economic status (SES), but results could have been strengthened with SES information at the individual level.

This study provides several possible explanations for why current BMI reports are not effective at reducing pediatric obesity. Parents often do not remember receiving a mailed BMI report, and when they do, they often inaccurately recall their child's overweight status. Although parents of children with overweight and obesity typically find BMI reports helpful, their surprise at, and concern for, BMI results is limited. Parents of children with overweight and obesity are more likely to make health behavior changes for their child, but fewer than 1 in 3 do so with the consultation of a medical professional. Current BMI reports may be ineffective at reducing pediatric obesity due to their lack of salience and ability to compel meaningful behavior change among parents.

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TABLES
Table 1: Characteristics of parents responding to the survey and child characteristics, n (%)

survey and child characteristics, n (%)						
Responding parent characteristics <sup>A</sup>	(n=675)					
Parent sex: Female	553 (83%)					
Parent race						
Hispanic	292 (43%)					
Non-Hispanic White	179 (27%)					
Non-Hispanic Asian	147 (22%)					
Non-Hispanic Black	26 (5%)					
Parent education						
High-school or less	204 (31%)					
Some college	187 (28%)					
College graduate	187 (28%)					
Graduate school	88 (13%)					
Child characteristics B	(n=2,416)					
Child race						
Hispanic	1,097 (45%)					
Non-Hispanic White	503 (21%)					
Non-Hispanic Asian	656 (27%)					
Non-Hispanic Black	75 (3%)					
Child weight status <sup>C</sup>						
BMI <5th %tile	89 (4%)					
BMI ≥5th & <85th %tile	1, 413 (60%)					
BMI ≥58th & <95th %tile	439 (19%)					
BMI ≥95 <sup>th</sup> %tile	418 (18%)					

BMI ≥95<sup>th</sup> %tile 418 (18%)

F/U: Follow-up; FRPM: free or reduced-price meals.

^Limited to parents responding in 2015 and 2016, when demographics were assessed.

Blackludes data on all students, including children of parents who answered surveys in 2017

Cample was stratified in 2015 and 2016 to ensure that two-thirds of parents who received surveys had a child with a BMI at or above the 85<sup>th</sup> percentile.

Table 2. Parent recall of BMI reports and child weight status by years of exposure to BMI reporting

	1 year of exposure to BMI reporting <sup>A</sup>			2 years of exposure to BMI reporting <sup>B</sup>				
	Recalled receiving BMI report (N=656)		Accurately recalled child weight status (N=519)		Recalled receiving BMI report (N=753)		Accurately recalled child weight status (N=620)	
Child baseline weight status	Percent	Odds ratio [95% CI]	Percent	Odds ratio [95% CI]	Percent	Odds ratio [95% CI]	Percent	Odds ratio [95% CI]
Healthy weight	58%	=	88%	=	72%	-	90%	-
Underweight	78%	2.2* [1.0, 4.8]	72%	0.3* [0.1, 0.7]	79%	1.2 [0.6, 2.6]	84%	0.6 [0.2, 1.4]
At-risk for overweight	50%	0.9 [0.7, 1.2]	70%	0.3* [0.2, 0.5]	65%	0.8 [0.5, 1.1]	66%	0.2* [0.1, 0.4]
Overweight	42%	0.7* [0.5, 0.9]	56%	0.2* [0.1, 0.3]	63%	0.7 [0.5, 1.0]	63%	0.2* [0.1, 0.3]

<sup>&</sup>lt;sup>A</sup> Out of 1,249 parents who received 1 BMI report, 656 recalled receiving the report (37 responses were missing). Among the 656 parents who recalled receiving the report, 519 accurately recalled their child's weight status.

<sup>B</sup> Out of 956 parents who received 2 BMI reports, 753 recalled receiving the report (5 responses were missing). Among the 753 parents who recalled receiving the report, 620 accurately recalled their child's weight status.

\*p<0.05.

Table 3. Parent reactions to BMI report information, among those who remember receiving a BMI report.

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		Child's weight status <sup>B</sup>					
	Overall <sup>A</sup>	BMI <85th %tile	BMI ≥85th %tile	P-value for			
	N=114	N=43	N=71	weight status <sup>C</sup>			
Understood report	93%	85%	97%	0.05			
Found report helpful	78%	76%	80%	0.64			
Found results concerning	35%	7%	51%	<0.001			
Found results surprising	17%	8%	22%	0.06			

A Data are from 2016 survey among parents who had received a BMI report in prior 6 months

BSample was stratified in 2016 to over-represent students with a BMI ≥ 85th %tile

CP-values from two-sided Fisher's exact tests