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## ORIGINAL ARTICLE

# CCNE1 and survival of patients with tubo-ovarian high-grade serous carcinoma: An Ovarian Tumor Tissue Analysis consortium study





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#### Abstract

**Background:** Cyclin E1 (CCNE1) is a potential predictive marker and therapeutic target in tubo-ovarian high-grade serous carcinoma (HGSC). Smaller studies have revealed unfavorable associations for CCNE1 amplification and CCNE1 overexpression with survival, but to date no large-scale, histotype-specific validation has been performed. The hypothesis was that high-level amplification of CCNE1 and CCNE1 overexpression, as well as a combination of the two, are linked to shorter overall survival in HGSC.

**Methods:** Within the Ovarian Tumor Tissue Analysis consortium, amplification status and protein level in 3029 HGSC cases and mRNA expression in 2419 samples were investigated.

**Results:** High-level amplification (>8 copies by chromogenic *in situ* hybridization) was found in 8.6% of HGSC and overexpression (>60% with at least 5% demonstrating strong intensity by immunohistochemistry) was found in 22.4%. CCNE1 high-level amplification and overexpression both were linked to shorter overall survival in multivariate survival analysis adjusted for age and stage, with hazard stratification by study (hazard ratio [HR], 1.26; 95% CI, 1.08-1.47,  $p = .034$ , and HR, 1.18; 95% CI, 1.05-1.32,  $p = .015$ , respectively). This was also true for cases with combined high-level amplification/overexpression (HR, 1.26; 95% CI, 1.09-1.47,  $p = .033$ ). CCNE1 mRNA expression was not associated with overall survival (HR, 1.00 per 1-SD increase; 95% CI, 0.94-1.06;  $p = .58$ ). CCNE1 high-level amplification is mutually exclusive with the presence of germline BRCA1/2 pathogenic variants and shows an inverse association to RB1 loss.

**Conclusion:** This study provides large-scale validation that *CCNE1* high-level amplification is associated with shorter survival, supporting its utility as a prognostic biomarker in HGSC.

**KEYWORDS**

*CCNE1* amplification, cyclin E1 expression, high-grade serous carcinoma, ovarian cancer, prognosis

## INTRODUCTION

Cyclin E1 (*CCNE1*) is a potential predictive marker and therapeutic target in tubo-ovarian high-grade serous carcinoma (HGSC).<sup>1,2</sup> *CCNE1* has three main functions in cell-cycle progression.<sup>3</sup> First, it is involved in the formation of prereplication minichromosome maintenance protein complexes, which bind origins of DNA replications as cells reenter G1- from G0-phase of the cell cycle. Second, by forming a complex, it activates the cyclin-dependent kinase CDK2 to phosphorylate several targets including RB1, which subsequently abandons its inhibition of E2F transcription factors and initiates the transition from G1 to S phase.<sup>3</sup> CDK2 inhibition by cyclin-dependent kinase inhibitors 1 (CDKN1a/p21) is dependent on normal TP53 function. Third, the CDK2/*CCNE1* complex promotes centrosome duplication.<sup>3,4</sup> Normal *CCNE1* protein levels are tightly regulated, peaking in late G1 and decreasing as cells progress through S phase.<sup>5</sup> In neoplasia, *CCNE1* protein overexpression is uncoupled from the cell cycle.<sup>6</sup> Constitutive overexpression of *CCNE1*, but not of *CCND1* or *CCNA*, induces chromosomal instability and a modest degree of polyploidy.<sup>6</sup> The mechanisms by which *CCNE1* causes chromosomal instability are not entirely understood, but it has been suggested that cells with deregulated *CCNE1* prematurely enter S phase with inadequate nucleotide pools, causing replication stress with faulty replication forks engendering DNA double-stranded breaks.<sup>7,8</sup>

In ovarian carcinoma, *CCNE1* amplification has been associated with resistance to platinum-based chemotherapy and shorter overall survival.<sup>9,10</sup> However, the cutoff for amplification varies among studies. Larger studies such as The Cancer Genome Atlas project reported only a suggestive trend toward shorter overall survival ( $p = .0718$ ) and another study of 179 HGSC showed evidence for a significant association only with progression-free survival.<sup>11,12</sup> Amplification of the chromosomal region 19q12 containing the *CCNE1* gene is common (20%) in HGSC, which across all tumor sites ranks third in frequency after endometrial carcinosarcoma and urothelial carcinoma.<sup>13</sup> *CCNE1* amplification is inversely associated with germline pathogenic *BRCA1/2* variants, which becomes mutually exclusive for high-level amplifications (defined by >8 copies).<sup>14,15</sup> *CCNE1* high-level amplified HGSC require proficient homologous recombination, including *BRCA1/2* function to maintain cell viability.<sup>14,15</sup> *CCNE1* high-level amplification is the lead alteration for both the copy number signature 6 and the fold-back inversion mutation signature, which characterize homologous recombination-proficient HGSC.<sup>16,17</sup> Patients with HGSC and homologous recombination-proficient tumors

do not respond well to chemotherapy or poly (ADP-ribose) polymerase (PARP) inhibitors. For example, PARP maintenance therapy for patients with homologous recombination-proficient HGSC and partial chemotherapy response resulted in a median progression-free survival of 8.3 months compared with 21.9 months for patients with homologous recombination-deficient HGSC.<sup>18</sup>

Although no association of *CCNE1* mRNA expression with survival in HGSC has been observed,<sup>15,19</sup> *CCNE1* protein overexpression has been associated with unfavorable outcomes in ovarian carcinomas, albeit only in studies conducted before the era of histotype-specific analysis.<sup>20-22</sup> Two recent studies suggested that the combination of *CCNE1* amplifications and *CCNE1* overexpression is associated with shorter survival.<sup>15,23</sup> We recently validated a *CCNE1* chromogenic *in situ* hybridization (CISH) assay orthogonally against other copy number assays to be applicable on tissue microarrays and refined the cutoff for immunohistochemistry to detect *CCNE1* high-level gene amplifications.<sup>15</sup>

Here, our objectives were to validate previously reported associations of *CCNE1* alterations with overall survival; assess correlations between *CCNE1* high-level gene amplifications, *CCNE1* mRNA, and *CCNE1* protein expression; and explore associations with selected biomarkers in a large cohort of HGSC samples from the international Ovarian Tumor Tissue Analysis (OTTA) consortium.

## METHODS

### Study cohort

Twenty studies from the OTTA consortium participated in the current study.<sup>24</sup> Each study enrolling patients received local ethics review board approval (Table S1). Tissue microarrays were constructed from formalin-fixed paraffin-embedded tumor specimens obtained from debulking surgery representing each tumor with one to three cores, 0.6 to 1.0 mm in size. For both CISH and immunohistochemistry (IHC), data were successfully obtained in 3029 samples from patients with HGSC. Clinical covariates, time to follow up, and status were centrally standardized. Cases were collected during the pre-PARP inhibitor era. Platinum-based chemotherapy was given in the majority as adjuvant therapy after primary debulking surgery or as neoadjuvant chemotherapy. Information on specific drugs was not collected. Previously generated IHC data within the OTTA consortium for TP53, CDKN2A, and RB1 were used.<sup>25-27</sup>

## CCNE1 DNA CISH

A previously published in-house CISH protocol using a commercial digoxigenin (DIG)-labeled CCNE1 DNA probe (Empire Genomics, Buffalo, NY, USA) was used.<sup>15</sup> Deparaffinized 4- $\mu$ m tissue microarray sections were pretreated with proteinase K (3 min) and citrate-based antigen retrieval buffer at 80°C (1 h) followed by pepsin (45 sec), and then dehydrated and air-dried. Hybridization with the DIG-labeled CCNE1 probe was performed at 37°C for 16 to 18 hours in HybEZ II (Advanced Cell Diagnostics, Minneapolis, MN, USA). A levamisole solution was used (15 min) to remove endogenous alkaline phosphatase activity, followed by a blocking solution (30 min) of 10% normal sheep serum, 2% bovine serum albumin, and 0.05% Tween-20. An alkaline phosphatase-conjugated sheep anti-DIG antibody (dilution 1:800; Roche, Basel, Switzerland) was incubated for 2 h. An alkaline phosphatase substrate was applied, and the reaction was stopped with 50 mM Tris, 150 mM NaCl, and 10 mM KCl buffer when slides reached the desired intensity of staining. Counterstaining was performed with hematoxylin.

## CCNE1 immunohistochemistry

Four- $\mu$ m sections from tissue microarrays were deparaffinized, rehydrated, and subjected to heat-induced epitope retrieval on the DAKO Omnis platform (Agilent Technologies, Santa Clara, CA, USA), followed by incubation with the CCNE1 antibody (1:600, clone EP126, Cell Marque, Rocklin, CA, USA; 30-10R-30) at room temperature and in the EnVision FLEX (Agilent Technologies). The reaction was visualized using 3,3-diaminobenzidine tetrahydrochloride for 10 min and counterstained with hematoxylin.

## CCNE1 CISH and IHC scoring

The CCNE1 CISH assay was previously orthogonally validated to detect CCNE1 high-level amplifications (presence of clusters >8 copies) against a digital polymerase chain reaction and nCounter Cancer CN Assay.<sup>15</sup> CCNE1 protein expression showed a wide and relatively even distribution from 5% to 90% of positive tumor cells, but previous receiver operating characteristic curve analysis established an optimal cutoff for IHC to detect high-level amplification at >60% overall staining cells with at least 5% showing strong intensity.<sup>15</sup> The interpretation of tissue microarrays was performed by three pathologists. Training was provided on a set of 90 HGSC cases guided by illustrated examples. Subsequently, interobserver reproducibility was tested on 415 cases. The interobserver observer reproducibility for paired observers achieved a Cohen's kappa of 0.48, 0.55, and 0.77 for CISH and 0.65, 0.75, and 0.85 for IHC using a binary categorization. Subsequently, examples of discordant cases were discussed at a multiheaded microscope to further align

interpretational thresholds and equivocal categories were allowed both for CISH and IHC.<sup>15</sup> Each observer subsequently scored approximately one-third of the cases by using the following criteria for CISH: score 0, no clusters = negative for high-level amplification (CCNE1<sup>nonamp</sup>); score 1, equivocal favor negative; score 2, equivocal favor high; and score 3, nuclear clusters of CISH signal = high-level amplification (CCNE1<sup>amp</sup>); and for IHC score 0, <60% positive tumor cells (CCNE1<sup>lo</sup>); score 1, equivocal favor low; score 2, equivocal favor high; and score 3,  $\geq$ 60% positive tumor cells with at least 5% strongly staining cells (CCNE1<sup>hi</sup>).

## CCNE1 mRNA expression by NanoString

Formalin-fixed paraffin-embedded tumor specimens ( $n = 2419$ ) with partial overlap to the previous specimens (1612/3029) were obtained from additional cores or sections.<sup>28</sup> RNA extraction methods, assay run parameters, data processing, and control/reference samples were as previously described.<sup>29</sup> CCNE1 mRNA expression was assessed using the NanoString nCounter technology; the CCNE1 target sequence was CCTCCAGACACCAGTGCGTGCTCCCGATGCTGCTATGGAAGGTGCTACTTGACCTAAGGGACTCCCACAACAACAAA GCTTGAAGCTGTGGAGGGCCAC, and CCNE1 mRNA data were normalized against housekeeping genes.<sup>29</sup> Quality assurance of the assay was previously performed with high duplicate sample correlation.<sup>19,30</sup>

## Statistical analyses

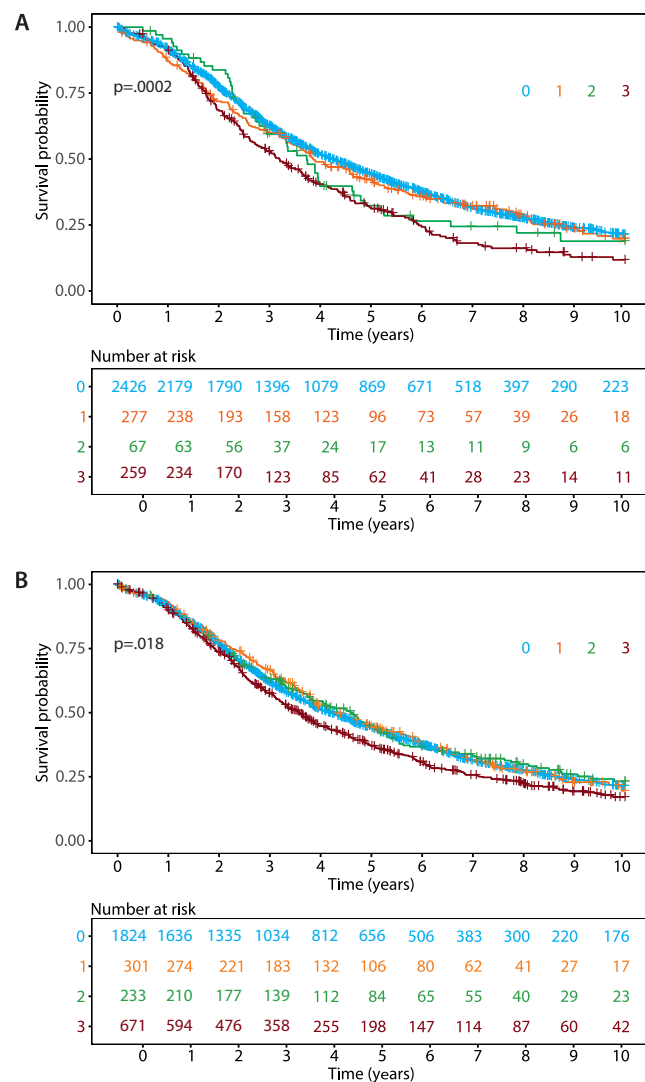
Correlations between CCNE1 mRNA, gene amplification (ISH), and protein (IHC) overexpression were measured using Pearson correlation coefficients. Chi-square proportions testing was undertaken to evaluate clinical and molecular variables across CCNE1 combinations. Univariate and multivariate survival analyses of CCNE1 profiles were performed. Overall survival (death from any cause) was the primary end point. Potential survival bias introduced by the time between diagnosis and study enrollment was moderated by left truncation. Deaths potentially unrelated to HGSC were right censored at 10 years from diagnosis. The Kaplan-Meier method, alongside log-rank testing, was used to assess overall survival by CCNE1 profile. Multivariate Cox proportional hazards regression modeling, stratified by the OTTA study, complemented this analysis through estimation of hazard ratios (HRs) with 95% CIs. The covariates, age, stage, completeness of surgical cytoreduction (residual disease vs. no residual disease [sensitivity analysis]), and CCNE1 profiles were adjusted for, and different baseline hazards of OTTA studies were stratified, in multivariate models. Scaled Schoenfeld residuals assessed the assumption of proportional hazards. All statistical analyses were performed using RStudio v1.1.463 or GraphPad Prism v7.02. Statistical significance was defined by  $p < .05$ .



## RESULTS

### Prevalence of CCNE1 high-level amplification and association with overall survival

CCNE1 CISH showed high-level amplification (score 3) in 259/3029 (8.6%) cases and 2426/3029 (80.2%) demonstrated no evidence of amplification (score 0). The remainder were equivocal with 67/3029 (2.2%) favored high-level amplification (score 2), and 277/3029 (9.1%) not favored (score 1). Kaplan–Meier survival analysis showed a significantly different overall survival among the groups (log-rank  $p = .00016$ ; Figure 1A). In multivariate analysis adjusted for age and stage and stratified for the OTTA study, CCNE1 high-level amplified HGSC showed an HR of 1.26 (95% CI, 1.08–1.47) compared with the reference group with no evidence of amplification (Table 1). Data on the completeness of surgical cytoreduction were available for a subset of cases (66.9%) and, within this group, a sensitivity analysis



**FIGURE 1** Kaplan–Meier overall survival analyses for (A) CISH score levels and (B) IHC score levels. CISH indicates chromogenic *in situ* hybridization; IHC, immunohistochemistry

adjusted for age, stage, completeness of surgical cytoreduction, and stratified for the OTTA study, resulted in the same HR of 1.27 (95% CI, 1.06–1.52; Table S2).

### Prevalence of CCNE1 protein overexpression and association with overall survival

CCNE1 IHC showed overexpression (score 3) in 671/3029 (22.2%) cases and 1824/3029 (60.2%) had low CCNE1 protein levels (score 0). The remainder were equivocal, with 233/3029 (7.7%) favored to express high protein levels (score 2) and 301/3029 (9.9%) favored to express low levels (score 1). Kaplan–Meier survival analysis showed a significantly different survival between the groups (log-rank  $p = .021$ ; Figure 1B). In multivariate analysis adjusted for age and stage, and stratified for OTTA study, CCNE1 high protein level HGSC showed an HR of 1.18 (95% CI, 1.05–1.32) compared with the group with low CCNE1 protein levels (Table 1). In a sensitivity multivariate analysis adjusted for age, stage, completeness of surgical cytoreduction, and stratified for OTTA study, a similar HR of 1.20 (95% CI, 1.05–1.37) was obtained (Table S2).

### Associations of combined CCNE1 high-level amplification and protein level with overall survival

After binarization of CISH and IHC scores into scores 0/1 versus scores 2/3, 265/326 (81.3%) of high-level amplified cases showed high CCNE1 protein levels and, conversely, 2064/2703 (76.4%) of non–high-level amplified cases showed low CCNE1 protein levels. We then combined CCNE1 CISH and IHC into four groups (Figure 2, Table S3): first, negative for CCNE1 high-level amplification with low CCNE1 protein expression (CCNE1<sup>nonamp\_lo</sup>) comprising 68.1% (2064/3029) of the cases; second, negative for CCNE1 high-level amplification but with CCNE1 protein overexpression (CCNE1<sup>nonamp\_hi</sup>) comprising 21.1% (639/3029); third, CCNE1 high-level amplification but low CCNE1 protein expression (CCNE1<sup>amp\_lo</sup>) comprising 2.0% (61/3029); and fourth, CCNE1 high-level amplification with CCNE1 protein overexpression (CCNE1<sup>amp\_hi</sup>) comprising 8.8% (265/3029) of cases (Table 1). Kaplan–Meier survival analysis showed a significantly different overall survival among the groups (log-rank  $p < .0001$ , Figure 2). Patients in the CCNE1<sup>amp\_hi</sup> group had a 5-year survival rate of 28.3% compared with 41.9% in the CCNE1<sup>nonamp\_lo</sup> group (Table 1). This difference remained significant in multivariate modeling, following adjustment for age and stage and stratified for the OTTA study. The CCNE1<sup>amp\_high</sup> group had a higher risk of death (HR, 1.26; 95% CI, 1.09–1.47) compared with the reference CCNE1<sup>nonamp\_lo</sup> group (Table 1). In a sensitivity analysis adjusted for age, stage, completeness of surgical cytoreduction, and stratified for the OTTA study, the hazard ratio for the CCNE1<sup>amp\_high</sup> group compared with the reference CCNE1<sup>nonamp\_lo</sup> group was 1.20 (95% CI, 1.00–1.43; Table S2).

**TABLE 1** Multivariate association between the expression and amplification of CCNE1 and overall survival in high-grade serous ovarian carcinoma ( $n = 3029$ )

| CCNE1 profile              | No. <sup>a</sup> | 5-year survival (% ± SE) | Hazard ratio (95% CI) <sup>b</sup> | <i>p</i>          |
|----------------------------|------------------|--------------------------|------------------------------------|-------------------|
| CCNE1 CISH score 0         | 2426             | 41.9 ± 1.1               | Referent                           | .034 <sup>c</sup> |
| CCNE1 CISH score 1         | 277              | 40.2 ± 3.1               | 0.98 (0.84–1.14)                   |                   |
| CCNE1 CISH score 2         | 67               | 32.1 ± 6.2               | 0.97 (0.72–1.31)                   |                   |
| CCNE1 CISH score 3         | 259              | 29.5 ± 3.0               | 1.26 (1.08–1.47)*                  |                   |
| CCNE1 IHC score 0          | 1824             | 41.6 ± 1.2               | Referent                           | .015 <sup>c</sup> |
| CCNE1 IHC score 1          | 301              | 43.0 ± 3.0               | 0.99 (0.85–1.16)                   |                   |
| CCNE1 IHC score 2          | 233              | 42.8 ± 3.4               | 0.92 (0.78–1.10)                   |                   |
| CCNE1 IHC score 3          | 671              | 35.4 ± 2.0               | 1.18 (1.05–1.32)*                  |                   |
| CCNE1 <sup>nonamp_lo</sup> | 2064             | 41.9 ± 1.2               | Referent                           | .033 <sup>c</sup> |
| CCNE1 <sup>nonamp_hi</sup> | 639              | 41.0 ± 2.1               | 1.04 (0.93–1.16)                   |                   |
| CCNE1 <sup>amp_lo</sup>    | 61               | 37.8 ± 6.6               | 0.97 (0.71–1.34)                   |                   |
| CCNE1 <sup>amp_high</sup>  | 265              | 28.3 ± 3.0               | 1.26 (1.09–1.47) <sup>c</sup>      |                   |

Abbreviations: CCNE1, cyclin E1; CCNE1<sup>amp</sup>, CCNE1 high-level amplification; CCNE1<sup>hi</sup>, CCNE1 protein overexpression by immunohistochemistry; CCNE1<sup>lo</sup>, negative for CCNE1 protein overexpression by immunohistochemistry; CCNE1<sup>nonamp</sup>, negative for CCNE1 high-level amplification; CISH, chromogenic in situ hybridization; HGSC, high-grade serous ovarian carcinoma; HR, hazard ratio; IHC, immunohistochemistry; OS, overall survival.

<sup>a</sup>The same cohort was assessed in univariate survival analysis.

<sup>b</sup>HR adjusted for patient age and stage, with stratification by the Ovarian Tissue Tumor Analysis study; Cox proportional regression modeling was used to calculate *p* values and define significance.

<sup>c</sup>Statistically significant values.

\* $p < .05$ .

### Associations of combined CCNE1 high-level amplification and protein expression with clinical parameters and biomarkers in HGSC

The univariate associations of the combined groups with clinicopathological parameters are shown in Table 2. Patients diagnosed with CCNE1 high-level amplified HGSC were older, with a trend toward a higher likelihood of residual disease after debulking surgery. No associations were observed for stage (International Federation of Gynecology and Obstetrics I, II [locoregional] compared with International Federation of Gynecology and Obstetrics III/IV [distant]) or the timing of the primary chemotherapy regimen (adjuvant vs. neo-adjuvant; Table S4). For subsets with available data, the four groups showed significant associations with TP53 IHC (available data for 65.9% of cases), BRCA1/2 germline variant (available data for 31.5% of cases), CDKN2A IHC (available data for 64.2% of cases), and RB1 IHC status (available data for 71.1% of cases; Table 3). Normal TP53 IHC was most prevalent in the CCNE1<sup>nonamp\_lo</sup> group. However, the abnormal TP53 IHC patterns, which are surrogates for the functional groups of TP53 mutations,<sup>31</sup> were not different. Germline BRCA1/2 mutations were rarely present in CCNE1 high-level amplified HGSC. Only two HGSC cases had protein-truncating deleterious BRCA2 variants and both cases had a CCNE1 CISH score of 2 (equivocal favor high). The CCNE1<sup>amp\_high</sup> group had the highest frequency of CDKN2A block expression, a surrogate for RB pathway activation, but there were no cases with complete absence of CDKN2A

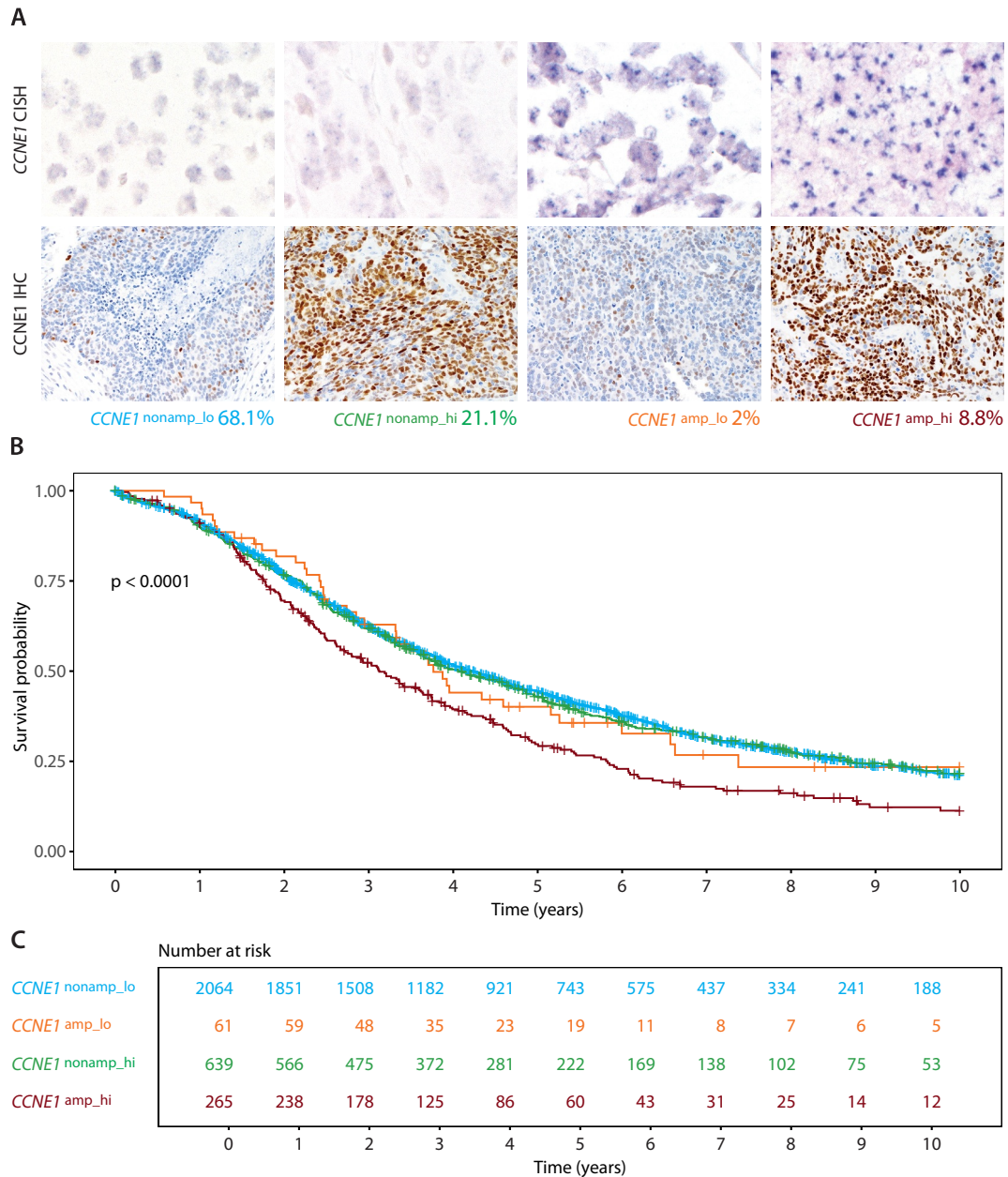
expression, a surrogate for a deleterious deletion of CDKN2A. CCNE1 high-level amplification was inversely associated with loss of RB1.

### CCNE1 mRNA expression by NanoString in HGSC

For 1612/3029 overlapping cases with CCNE1 mRNA expression, there was moderate correlation between CCNE1 mRNA expression and CISH scores ( $r = 0.478$ ) and CCNE1 IHC scores ( $r = 0.544$ ; Figure 3). CCNE1 mRNA expression was also different across the four combined groups, with the highest level observed in CCNE1<sup>amp\_high</sup>, followed by CCNE1<sup>amp\_lo</sup> and CCNE1<sup>nonamp\_hi</sup> (Figure 3). Lastly, we evaluated the associations of CCNE1 mRNA expression with overall survival in 2419 HGSC cases. The clinicopathological characteristics of these cases are shown in Table S5. When considering a 1-SD increase in CCNE1 mRNA expression score, there was no association with overall survival (HR, 1.00; 95% CI, 0.94–1.06,  $p = .96$ ; Table S6). This also was the case when using a cutoff at the top 10% versus the remainder (HR, 1.06; 95% CI, 0.88–1.27,  $p = .53$ ; Table S6).

### DISCUSSION

In this study, we validate the association of combined CCNE1 high-level gene amplification and CCNE1 protein overexpression with overall survival in a large cohort of patients with HGSC from the



**FIGURE 2** (A) CCNE1 DNA CISH and IHC combinations resulting in four groups: CCNE1<sup>nonamp\_lo</sup> CISH showing no high-level amplification and IHC <60% positive tumor cell nuclei, CCNE1<sup>nonamp\_hi</sup> CISH showing no high-level amplification and IHC >60% positive and >5% strongly staining tumor cell nuclei, CCNE1<sup>amp\_lo</sup> CISH showing high-level amplification and IHC <60% positive tumor cell nuclei, CCNE1<sup>amp\_hi</sup> CISH showing high-level amplification, and IHC >60% positive and >5% strongly staining tumor cell nuclei. (B) Kaplan-Meier overall survival analysis for four combined CISH/IHC groups. (C) Risk table indicating the number of patients within the cohort that are at risk of death, observed at a yearly. CISH, chromogenic *in situ* hybridization; IHC, immunohistochemistry

OTTA consortium. Our results demonstrate that assessing CCNE1 at a DNA copy-number level and protein level is a more robust determinant of prognosis than mRNA expression. We also confirm that CCNE1 high-level amplification is essentially mutually exclusive with pathogenic *BRCA1/2* germline alterations and associated with biomarker changes in the *RB1* pathway.

For the association of CCNE1 protein expression with survival, the genomic context seems to matter. The fairly large group of CCNE1<sup>nonamp\_hi</sup> shows a similar survival compared with the

CCNE1<sup>nonamp\_lo</sup> reference but longer survival relative to the CCNE1<sup>amp\_hi</sup> group. Both the CCNE1<sup>nonamp\_hi</sup> and CCNE1<sup>amp\_hi</sup> group express similarly high protein levels but CCNE1<sup>amp\_hi</sup> express higher mRNA levels than CCNE1<sup>nonamp\_hi</sup>, suggesting that amplification-driven CCNE1 overexpression is due to higher transcriptional activity, whereas CCNE1 overexpression in CCNE1<sup>nonamp\_hi</sup> cases may be more dependent on protein stabilization or lack of degradation.<sup>32</sup> We, however, demonstrate that differences in CCNE1 mRNA expression were not associated with overall survival in HGSC. We

**TABLE 2** Clinicopathological parameters by combined CCNE1 protein and amplification status ( $n = 3029$ )

| Clinicopathological variable                   | CCNE1 profile              |                            |                         |                         | $p^a$              | Total           |
|--|----------------------------|----------------------------|-------------------------|-------------------------|--------------------|-----------------|
|  | CCNE1 <sup>nonamp_lo</sup> | CCNE1 <sup>nonamp_hi</sup> | CCNE1 <sup>amp_lo</sup> | CCNE1 <sup>amp_hi</sup> |                    |                 |
| Number of cases, $n$ (%) <sup>b</sup>          | 2064 (68.1)                | 639 (21.1)                 | 61 (2.0)                | 265 (8.8)               |                    | 3029 (100.0)    |
| Age at diagnosis, years                        |                            |                            |                         |                         |                    |                 |
| Mean $\pm$ SD                                  | 60.9 $\pm$ 11.4            | 61.7 $\pm$ 10.9            | 65.0 $\pm$ 9.11         | 65.0 $\pm$ 9.8          |                    | 61.5 $\pm$ 11.2 |
| Median   | 61                         | 62                         | 66                      | 65                      |                    | 62              |
| Range  | 21–93                      | 30–92                      | 40–84                   | 38–91                   |                    | 21–93           |
| Stage, $n$ (%) <sup>c</sup>                    |                            |                            |                         |                         | .3848              |                 |
| FIGO I, II (locoregional)                      | 350 (17.0)                 | 124 (19.4)                 | 9 (14.8)                | 41 (15.5)               |                    | 525 (17.3)      |
| FIGO III, IV (distant)                         | 1714 (83.0)                | 515 (80.6)                 | 52 (85.2)               | 224 (84.5)              |                    | 2527 (82.7)     |
| Completeness of survival cytoreduction         |                            |                            |                         |                         | .0563 <sup>d</sup> |                 |
| No residual disease, $n$ (%) <sup>c</sup>      | 555 (40.7)                 | 200 (44.5)                 | 10 (34.5)               | 61 (33.2)               |                    | 826 (40.8)      |
| Residual disease present, $n$ (%) <sup>c</sup> | 809 (59.3)                 | 249 (55.5)                 | 19 (65.5)               | 123 (66.9)              |                    | 1200 (59.2)     |
| Unknown, $n$ <sup>c</sup>                      | 700                        | 190                        | 32                      | 81                      |                    | 1003            |

Abbreviations: CCNE1, cyclin E1; CCNE1<sup>amp</sup>, CCNE1 high-level amplification; CCNE1<sup>hi</sup>, CCNE1 protein overexpression by immunohistochemistry; CCNE1<sup>lo</sup>, negative for CCNE1 protein overexpression by immunohistochemistry; CCNE1<sup>nonamp</sup>, negative for CCNE1 high-level amplification; FIGO, International Federation of Gynecology and Obstetrics.

<sup>a</sup>Chi-square testing was used to calculate  $p$  values. Statistically significant values shown;  $p < .05$ .

<sup>b</sup>The proportion of cases in each score stratum is given as a percentage of the total patients examined.

<sup>c</sup>The proportion of cases is given as a percentage of the total cases within each score stratum.

<sup>d</sup>Chi-square testing to compare the proportions of cases with absent vs. present residual disease status. This does not include cases in which residual disease status was unknown.

obtained consistent HRs close to 1.0 by studying 2419 samples in the current study, confirming the results from a previous OTTA study.<sup>19</sup> Despite the strong correlation of mRNA with amplification status and protein levels, the lack of survival association may be caused by dilution of the mRNA signal in tumor bulk analysis with varying tumor cellularity compared with the spatially controlled CISH and IHC assays. The survival differences of the two groups with high CCNE1 protein levels still creates a conceptual dilemma because it is the protein that is exerting the function, and the mechanism of protein accumulation should not matter, unless there is a difference in the timing of expression in relation to the cell cycle or the functional quality of CCNE1 protein. In high CCNE1-expressing breast cancer, CCNE1 can be proteolytically cleaved into low-molecular weight derivatives.<sup>33</sup> An alternative explanation might be that in the CCNE1<sup>amp\_hi</sup> group, other oncogenes coamplified with CCNE1 on 19q12, such as *URI* contribute to survival.<sup>34</sup>

Although we confirm that the group of CCNE1<sup>amp\_hi</sup> is associated with the shortest overall survival, we also show that this association is mainly driven by DNA copy-number status, achieving a better stratification than protein level. However, protein level seems to provide additional information by singling out the small group of CCNE1<sup>amp\_lo</sup>, which in the main analysis had a similar HR compared with the reference CCNE1<sup>nonamp\_lo</sup>. In a sensitivity analysis including residual tumor, the HR was more similar to the high-risk group CCNE1<sup>amp\_hi</sup>. However, this was not statistically significant with the small case numbers in the CCNE1<sup>nonamp\_lo</sup> subgroup, and this

sensitivity analysis may have introduced bias for the small subgroups that are not comparable to the overall cohort. This raises a question about the importance of the level of CCNE1 protein expression in the context of CCNE1 high-level amplification. Both CCNE1<sup>amp\_hi</sup> and CCNE1<sup>amp\_lo</sup> groups are similar regarding clinical parameters (i.e., age, residual disease) and rarely harbored *BRCA1/2* germline alterations; loss of *RB1* was uncommon. Although this suggests no difference and assessment of the DNA copy number status would be sufficient, both groups differed in regard to the abnormal block *CDKN2A* expression status, which was highest in CCNE1<sup>amp\_hi</sup>, indicating a higher *RB1* pathway dependent on the CCNE1 protein level. Based on our observed differences in survival and CCNE1 mRNA expression, together with previous study findings,<sup>15,23</sup> we interpret that CCNE1<sup>amp\_hi</sup> is different from CCNE1<sup>amp\_lo</sup>. By focusing on the CCNE1<sup>amp\_hi</sup> group, IHC can be used to screen HGSC samples for CCNE1 overexpression followed by copy-number assessment for clinical trial inclusion, which would pragmatically circumvent the limited sensitivity of CCNE1 IHC. However, the clinical significance of this relatively small group remains uncertain. We cannot entirely exclude a misclassification based on the CISH or IHC assay. Future studies should use full-section IHC to exclude potential intratumoral heterogeneity of the protein expression and alternative copy-number assays for the small group of CCNE1<sup>amp\_lo</sup> tumors. However, some CCNE1 high-level amplified tumors may not express high protein levels. The Cancer Genome Atlas reported that low *CDKN2A* mRNA expression is mutually exclusive with CCNE1

**TABLE 3** Univariable associations with selected biomarkers by combined CCNE1 protein and amplification status ( $n = 3029$ )

| Molecular marker <sup>b</sup>           | Status                    | CCNE1 profile <sup>a</sup> |                            |                         |                         | $p^c$               | Total <sup>d</sup> |
|---|---------------------------|----------------------------|----------------------------|-------------------------|-------------------------|---------------------|--------------------|
|   |                           | CCNE1 <sup>nonamp_lo</sup> | CCNE1 <sup>nonamp_hi</sup> | CCNE1 <sup>amp_lo</sup> | CCNE1 <sup>amp_hi</sup> |                     |                    |
| TP53                                    | Abnormal                  | 1202 (89.9)                | 413 (94.3)                 | 42 (100.0)              | 172 (95.6)              | .0008 <sup>e</sup>  | 1829 (91.6)        |
|   | Normal                    | 135 (10.1)                 | 25 (5.7)                   | 0 (0.0)                 | 8 (4.4)                 |                     | 168 (8.4)          |
|   | Unknown                   | 727                        | 201                        | 19                      | 85                      |                     | 1032               |
| Abnormal TP53 IHC patterns <sup>f</sup> | Abnormal OE               | 830 (69.1)                 | 296 (71.7)                 | 31 (73.8)               | 120 (69.8)              | .8706               | 1277 (69.8)        |
|   | Abnormal CA               | 311 (25.9)                 | 98 (23.7)                  | 10 (23.8)               | 46 (26.7)               |                     | 465 (25.4)         |
|   | Abnormal CY               | 61 (5.1)                   | 19 (4.6)                   | 1 (2.4)                 | 6 (3.5)                 |                     | 87 (4.8)           |
| BRCA1/2 germline pathogenic variant     | Present                   | 111 (16.9)                 | 33 (16.5)                  | 0 (0.0)                 | 2 (2.9)                 | .0020 <sup>e</sup>  | 146 (15.3)         |
|   | Absent                    | 546 (83.1)                 | 167 (83.5)                 | 28 (100.0)              | 67 (97.1)               |                     | 808 (84.7)         |
|   | Unknown                   | 1407                       | 439                        | 33                      | 196                     |                     | 2075               |
| CDKN2A                                  | Normal                    | 630 (48.2)                 | 125 (29.7)                 | 12 (30.8)               | 33 (18.6)               | <.0001 <sup>e</sup> | 800 (41.2)         |
|   | Abnormal block positive   | 591 (45.2)                 | 288 (68.4)                 | 24 (61.5)               | 144 (81.4)              |                     | 1047 (53.9)        |
|   | Abnormal complete absence | 86 (6.6)                   | 8 (1.9)                    | 3 (7.7)                 | 0 (0.0)                 |                     | 97 (5.0)           |
|   | Unknown                   | 757                        | 218                        | 22                      | 88                      |                     | 1085               |
| RB1                                     | Normal (retained)         | 1153 (81.1)                | 402 (83.6)                 | 44 (97.8)               | 187 (91.2)              | .0001 <sup>e</sup>  | 1786 (83.0)        |
|   | Abnormal (loss)           | 269 (18.9)                 | 79 (16.4)                  | 1 (2.2)                 | 18 (8.8)                |                     | 367 (17.0)         |
|   | Unknown                   | 642                        | 158                        | 16                      | 60                      |                     | 876                |
| Total <sup>c</sup>                      |                           | 2064 (68.1)                | 639 (21.1)                 | 61 (2.0)                | 265 (8.8)               |                     | 3029 (100.0)       |

Abbreviations: CA, complete absence; CCNE1, cyclin E1; CCNE1<sup>amp</sup>, CCNE1 high-level amplification; CCNE1<sup>hi</sup>, CCNE1 protein overexpression by immunohistochemistry; CCNE1<sup>lo</sup>, negative for CCNE1 protein overexpression by immunohistochemistry; CCNE1<sup>nonamp</sup>, negative for CCNE1 high-level amplification; CY, cytoplasmic; IHC, immunohistochemistry; OE, overexpression.

<sup>a</sup>CCNE1 profile amplification is defined by chromogenic *in situ* hybridization and, and protein expression is defined by immunohistochemistry.

<sup>b</sup>The proportion of cases with a particular molecular marker status is given as a percentage of the total patients examined in each CCNE1 profile. This does not include cases where mutational status was unknown.

<sup>c</sup>The proportion of cases in each CCNE1 profile is given as a percentage of the total patients examined. This does not include cases where mutational status was unknown.

<sup>d</sup>Chi-square testing was used to calculate  $p$  values. This does not include cases where mutational status was unknown.

<sup>e</sup>Statistically significant values;  $p < .05$ .

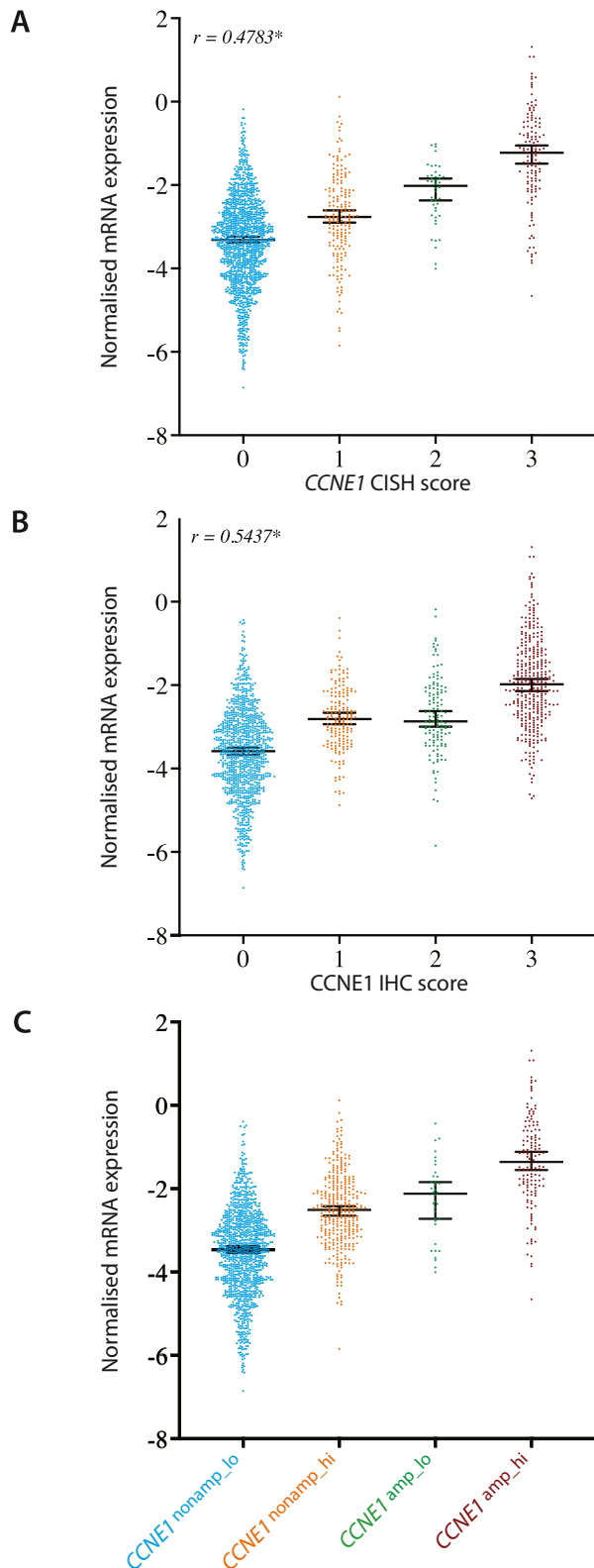
<sup>f</sup>TP53 type of abnormal mutation-type immunohistochemical pattern: OE, CA, and CY.

amplification.<sup>11</sup> We observed a small number of cases with loss of CDKN2A protein (a surrogate for CDKN2A deep deletions) in the CCNE1<sup>amp\_lo</sup> but not in the CCNE1<sup>amp\_hi</sup>, suggesting that another concurrent RB1 pathway alteration could prevent CCNE1 protein overexpression in the context of CCNE1 high-level DNA amplifications.

Our results confirm that CCNE1 high-level DNA amplifications are essentially mutually exclusive with pathogenic BRCA1/2 germline alterations. The two exceptional cases with pathogenic BRCA2 germline variants that were grouped as CCNE1<sup>amp\_hi</sup> were scored as equivocal favor high by CISH. These rare cases of “double classifiers” may require additional assays such as validated homologous recombination deficiency assays or copy number signatures to assign as homologous recombination-deficient or homologous recombination-proficient. From a treatment perspective, the CCNE1<sup>amp\_hi</sup> group had a shorter survival likely in part because of lower response to

platinum-based chemotherapy, which correlates with insensitivity to PARP inhibitors. Therefore, the CCNE1<sup>amp\_hi</sup> group may not respond to PARP inhibitors, making CCNE1<sup>amp\_hi</sup> a candidate biomarker that could be used as a negative predictive test for PARP inhibitors. This hypothesis could be tested in secondary analyses of clinical trials that include unselected HGSC patients treated with PARP inhibitors.<sup>18</sup>

Novel treatment approaches are required for women diagnosed with CCNE1<sup>amp\_hi</sup> HGSC.<sup>35</sup> Bowtell and colleagues observed decreased tumorigenesis in CDK2-knockout HGSC cell lines with CCNE1 amplifications. However, the CDK2 inhibitor, dinaciclib, did not suppress tumorigenesis, probably because it is not entirely specific for CDK2.<sup>36</sup> Perhaps a more specific CDK2 inhibitor could be tested on patients with HGSC and CCNE1<sup>amp\_hi</sup> HGSC. It remains to be seen whether redundancies in the CDK2/CCNE1 pathway (CDK1 for CDK2, CCNE2 for CCNE1) observed in normal cells pose another challenge of targeting this pathway in cancers.<sup>3,37</sup> In a post hoc



**FIGURE 3** (A) Correlation of CCNE1 DNA CISH score with normalized mRNA expression. (B) Correlation of CCNE1 protein IHC score with normalized RNA expression. (C) Association of four combined CISH/IHC groups with normalized RNA expression. Pearson's correlation analysis given by  $r$ . \* $p < .05$ . CCNE1 indicates cyclin E1; CISH, chromogenic *in situ* hybridization; IHC, immunohistochemistry

analysis of a clinical trial investigating the Wee1 inhibitor adavosertib in combination with gemcitabine, CCNE1-amplified tumors were more likely to respond.<sup>38</sup> Through phosphorylation of the CDK1/CCNB complex, Wee1 kinase is an inhibitor of the G2/M transition, which is more critical for HGSC with deficient G1/S transitions. Notably, in a recent phase 2 trial, adavosertib has also shown promising response rates in CCNE1 overexpressing recurrent HGSC regardless of amplification status.<sup>39</sup> Alternatively, using a CRISPR-Cas9-screen, *PKMYT1*, which encodes a protein kinase also involved in G2/M transition, was identified as a synthetic lethal target for CCNE1 high-expressing cells, which were sensitive to inhibition by a selective *PKMYT1* inhibitor.<sup>40</sup> This suggests that perhaps both CCNE1 expression and amplification status should be assessed when testing CCNE1 as predictive marker for new molecular therapy.

Although the main function of CCNE1 is in cell-cycle progression, the main oncogenic effect may be independent from proliferation. High proliferating HGSCs are associated with longer survival, likely because of better response to standard chemotherapy,<sup>27,28</sup> whereas CCNE1 alterations are associated with shorter survival and poor response to chemotherapy. CCNE1 protein expression is only weakly correlated with proliferation markers (Ki67, minichromosome maintenance complex component 3).<sup>28</sup> Although uncontrolled cell-cycle entry remains the main known function of CCNE1, overall, these data suggest that much of CCNE1's oncogenic function is related to a premature S-phase entry resulting in chromosomal instability rather than increased proliferation.<sup>7,8</sup>

The main limitation of our study was the assay resolution. We did not count the DNA copy number ratio by using a CEP19 control probe but focused on the presence of CCNE1 clusters as a surrogate for high-level amplification defined by >8 copy numbers, which was previously orthogonally validated using the NanoString CNV assay and digital polymerase chain reaction.<sup>15</sup> Not using ratios prevented us from assessing low-level gains. The prevalence of CCNE1 high-level amplifications is approximately half compared with previous studies reporting CCNE1 amplification (frequency of 20%), which is due to the higher cutoff we used.<sup>11,12</sup> Our present study used CISH analysis, which is a well-established and clinically adopted technique to interrogate genetic amplification such as evaluation of *ERBB2* amplification in breast and gastric cancer. However, next-generation sequencing (NGS)-based assays such as whole-genome/exome sequencing or targeted panel sequencing are being increasingly used in the clinical setting to provide more comprehensive molecular characterization of tumors, including copy number alterations. In contrast to CISH (or fluorescence *in situ* hybridization) assays that provide spatially focused analysis that evaluates signals only from carcinoma cells, the NGS-based assays typically use bulk tumor samples in which tumor content can vary, and it may have lower sensitivity compared with spatially controlled assays such as *in situ* hybridization, particularly from samples with low tumor content in the settings of core needle biopsies or posttreatment (neoadjuvant chemotherapy) samples. Another consideration is that CISH analysis generally requires less amount of tumor tissue than NGS-based analysis, which may be relevant in cases

in which a limited amount of diagnostic tissue is available. Future studies are required to determine the clinical utility and limitations of NGS-based assay for *CCNE1* copy number evaluation. There were limited data annotations for some analyses because of missing data for residual disease and germline *BRCA1/2* status.

In conclusion, our large-scale validation with survival data supports the notion that *CCNE1* is the most promising biomarker to define the largest subgroup of homologous recombination-proficient HGSC. *CCNE1* high-level amplifications should be studied as negative predictive markers for current standard therapies (chemotherapy, PARP inhibitors) and should be evaluated in clinical trials assessing novel treatment approaches. We propose to focus initially on the *CCNE1*<sup>amp,hi</sup> group; *CCNE1* IHC could be used as a screening tool, followed by an assessment of DNA copy number status.

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### AUTHOR CONTRIBUTIONS

**Eun-Young Kang:** Immunohistochemical protein and chromogen in situ hybridization scores collection and manuscript draft and revision. **Ashley Weir:** Analyses. **Kyo Farrington:** Immunohistochemical protein and chromogen in situ hybridization scores collection. **Paul D.P. Pharoah:** Statistical advice. **Susan J. Ramus:** Study conception, design, and supervision. **Martin Koebel:** Study conception, design, and supervision; immunohistochemical protein and chromogen in situ hybridization scores collection; and manuscript draft and revision. All authors contributed through collection, curation, and maintenance of respective consortia-based, or local institution, collections of patient samples including recruitment and consenting of patients, clinical care, abstraction of clinical data, and updating of outcome and follow-up data. All authors revised the manuscript and approved submission of the final version.

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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