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Authors

Straus, Sabrina

Yadavalli, Sai Divya

Allievi, Sara

et al.

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Seven Years of The Transcarotid Artery Revascularization Surveillance Project, Comparison To Transfemoral Stenting And Endarterectomy

Sabrina Straus, BS, Sai Divya Yadavalli, MD, Sara Allievi, MD, Andrew Sanders, MD, Roger B. Davis, ScD, Mahmoud B. Malas, MD, Grace J. Wang, MD, Vikram S. Kashyap, MD, Jack Cronenwett, MD, Raghu L. Motaganahalli, MD, Brian Nolan, MD, Jens Eldrup-Jorgensen, MD, Marc Schermerhorn, MD

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1 **TITLE:** Seven Years of The Transcarotid Artery Revascularization Surveillance Project,
2 Comparison To Transfemoral Stenting And Endarterectomy

3

4 **SHORT TITLE:** Comparing transcarotid artery revascularization, transfemoral carotid artery
5 stenting, and carotid endarterectomy

6

7 **AUTHORS & AFFILIATIONS:** Sabrina Straus, BS^{1, 2}; Sai Divya Yadavalli, MD¹; Sara
8 Allievi, MD^{1, 3}; Andrew Sanders, MD¹; Roger B. Davis, ScD¹; Mahmoud B Malas, MD²; Grace
9 J Wang, MD⁴; Vikram S Kashyap, MD⁵; Jack Cronenwett, MD⁶; Raghu L Motaganahalli, MD⁷;
10 Brian Nolan, MD⁸; Jens Eldrup-Jorgensen, MD⁸; Marc Schermerhorn, MD¹

11 1. Department of Surgery, Division of Vascular and Endovascular Surgery, Beth Israel
12 Deaconess Medical Center, Harvard Medical School, Boston, MA, USA. Electronic
13 address: mscherm@bidmc.harvard.edu.

14 2. Department of Surgery, Division of Vascular and Endovascular Surgery, UC San Diego
15 (UCSD), San Diego, California

16 3. Department of Vascular Surgery, Fondazione IRCCS Cà Granda Ospedale Maggiore
17 Policlinico, Milan, Italy

18 4. Division of Vascular Surgery and Endovascular Therapy, Department of Surgery,
19 Hospital of the University of Pennsylvania, Philadelphia, PA.

20 5. Frederik Meijer Heart and Vascular Institute, Corewell Health, Grand Rapids, MI

21 6. Section of Vascular Surgery, Dartmouth Medical School, 1 Medical Center Drive,
22 Lebanon, NH.

1 7. Division of Vascular Surgery, Department of Surgery, Indiana University School of
2 Medicine, Indianapolis, IN.

3 8. Division of Vascular and Endovascular Therapy, Department of Surgery, Maine Medical
4 Center, Portland, ME.

5
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14
15 **Conflicts of Interest**

16 None

17
18 **Corresponding author**

19 Marc L. Schermerhorn, MD, FACS

20 Beth Israel Deaconess Medical Center

21 110 Francis Street, Suite 5B

- 1 Boston, MA 02215
- 2 Telephone: 617-632-9971
- 3 E-mail: mscherm@bidmc.harvard.edu
- 4
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1 **ARTICLE HIGHLIGHTS**

2 **Type of Research:** Retrospective analysis of prospectively collected data from the Vascular
3 Quality Initiative

4
5 **Key Findings:** From a database encompassing over 50,000 transcarotid artery revascularization,
6 25,000 transfemoral carotid artery stenting, and 120,000 carotid endarterectomy patients, our
7 analysis revealed transfemoral carotid artery stenting was associated with higher rates of in-
8 hospital stroke/death compared with both transcarotid artery revascularization and carotid
9 endarterectomy. Given the relatively smaller differences in stroke/death outcomes between
10 transcarotid artery revascularization and endarterectomy, determining clinical significance
11 becomes paramount in assessing the overall risk-benefit profile of these two interventions.

12

13 **Take home Message:** While transfemoral carotid artery stenting may be beneficial for select
14 patients, the decision between carotid endarterectomy and transfemoral carotid artery
15 revascularization should be multifactorial.

16

17 **Table of Contents Summary (50 Words):** In this retrospective review of over 50,000
18 transcarotid artery revascularization, 25,000 transfemoral carotid artery stenting, and 120,000
19 carotid endarterectomy patients, transfemoral carotid artery stenting had the highest rates of
20 stroke/death. Given the relatively smaller differences in stroke/death rates between transcarotid
21 artery revascularization and endarterectomy, evaluation of additional outcomes is important.

22

1 **ABSTRACT**

2 **Objective:** This study utilizes the latest data from the Vascular Quality Initiative (VQI), which
3 now encompasses over 50,000 transcatheter aortic valve replacement (TAVR) procedures, to offer
4 a sizeable dataset for comparing the effectiveness and safety of TAVR, transfemoral aortic
5 valve replacement (TfAVR), and aortic endarterectomy (AEA). Given this substantial dataset, we are
6 now able to compare outcomes overall and stratified by symptom status across revascularization
7 techniques.

8 **Methods:** Utilizing VQI data from September 2016 to August 2023, we conducted a risk-
9 adjusted analysis by applying inverse probability of treatment weighting to compare in-hospital
10 outcomes between TAVR vs TfAVR, AEA vs TfAVR, and TAVR vs AEA. Our primary outcome
11 measure was in-hospital stroke/death. Secondary outcomes included myocardial infarction and
12 cranial nerve injury.

13 **Results:** A total of 50,068 patients underwent TAVR, 25,361 patients underwent TfAVR, and
14 122,737 patients underwent AEA. TAVR patients were older, more likely to have coronary artery
15 disease, chronic kidney disease, and undergo coronary artery bypass grafting/percutaneous
16 coronary intervention as well as prior contralateral AEA/CAS compared to both AEA and TfAVR.
17 TfAVR had higher odds of stroke/death when compared with TAVR (2.9% vs 1.6%, aOR=1.84,
18 95% CI:1.65-2.06; P<.001) and AEA (2.9% vs 1.3%, aOR=2.21, 95% CI:2.01-2.43; P<.001).
19 AEA had slightly lower odds of stroke/death compared with TAVR (1.3% vs 1.6%, aOR=0.83,
20 95% CI:0.76-0.91; P<.001). TfAVR had lower odds of cranial nerve injury compared with TAVR
21 (0.0% vs 0.3%, aOR=0.00, 95% CI:0.00-0.00; P<.001) and AEA (0.0% vs 2.3%, aOR=0.00,
22 95% CI:0.0-0.0; P<.001) as well as lower odds of myocardial infarction compared with AEA
23 (0.4% vs 0.6%, aOR=0.67, 95% CI:0.54-0.84; P<.001). AEA compared with TAVR had higher

1 odds of myocardial infarction (0.6% vs 0.5%, aOR=1.31, 95% CI:1.13-1.54; P<.001) and cranial
2 nerve injury (2.3% vs 0.3%, aOR=9.42, 95% CI:7.78-11.4; P<.001).

3 **Conclusions:** While tfCAS may be beneficial for select patients, the lower stroke/death rates
4 associated with CEA and TCAR are preferred. When deciding between CEA and TCAR, it's
5 important to weigh additional procedural factors and outcomes such as myocardial infarction and
6 cranial nerve injury, particularly when stroke/death rates are similar. Additionally, evaluating
7 subgroups that may benefit from one procedure over another is essential for informed decision-
8 making and enhanced patient care in the treatment of carotid stenosis.

9
10 **Key words:** Carotid revascularization; transcarotid artery revascularization; transfemoral carotid
11 artery stenting; carotid endarterectomy

12

1 MANUSCRIPT

2 **Introduction**

3 Among the various approaches for carotid revascularization, carotid endarterectomy
4 (CEA) and transfemoral carotid artery stenting (tfCAS) are the most established options.¹ While
5 CEA is the standard treatment, tfCAS was introduced as an alternative in high-risk patients with
6 high-grade asymptomatic (>70%) or symptomatic stenosis.^{2, 3}

7 After FDA approval in 2015,⁴ transcarotid artery revascularization (TCAR) emerged as a
8 potential alternative, providing cerebral protection through its flow reversal mechanism and
9 eliminating the need for aortic arch crossing.^{5, 6} Several retrospective studies have found TCAR
10 consistently outperforms tfCAS, with lower rates of stroke/death during in-hospital stay and at 1-
11 year follow-up.^{3, 8} When comparing stroke/death outcomes between TCAR and CEA, studies
12 have found no significance differences; however, when stratifying by symptomatic status, studies
13 present conflicting results regarding outcomes.^{7, 8, 9, 10} Nevertheless, TCAR's low reported
14 stroke/death rates have led to its expanded indications and adoption by a considerable portion of
15 physicians.^{11, 12, 13, 14, 15}

16 When comparing CEA with tfCAS, higher in-hospital, 30-day, and long-term
17 stroke/death rates have been observed for tfCAS in symptomatic patients, with no statistical
18 difference in asymptomatic patients in retrospective studies and metaanalyses.^{16, 17, 18, 19} The
19 findings from Carotid Revascularization Endarterectomy vs. Stenting Trial (CREST) align with
20 these observations, attributing the lack of significance in the asymptomatic subanalysis to lower
21 statistical power.²⁰ The insufficient statistical power and lack of consensus emphasize the
22 necessity for a thorough evaluation of in-hospital outcomes across all three procedures. Now

1 with over 50,000 TCAR patients in the VQI dataset, there is a substantial sample size to provide
2 updated insights into the comparative safety of TCAR, tfCAS, and CEA and stratify by symptom
3 status.

4 5 **Methods**

6 Data Source

7 This is a retrospective cohort study using the Society for Vascular Surgery Vascular
8 Quality Initiative (SVS-VQI) registry database (www.vqi.org). The VQI database includes
9 information from over 1,000 international participating medical centers and provides de-
10 identified data on major vascular procedures. Importantly, the TCAR Surveillance Project
11 mandates that institutions conducting TCAR procedures adhere to the requirement of entering all
12 TCAR and CAS data into the VQI registry to be eligible for Medicare reimbursement. The
13 STROBE guidelines (<https://www.strobe-statement.org/>) were used to ensure proper reporting of
14 methods, results, and discussion. Ethical approval for this study was provided by the VQI
15 Research Advisory Committee and the Institutional Review Board at the Beth Israel Deaconess
16 Medical Center. The need for informed consent was waived due to the retrospective and de-
17 identified nature of the data.

18

19 Patient Cohort

20 From September 2016 to August 2023, all patients undergoing CAS (n=92,217),
21 including both TCAR and tfCAS, and CEA (n=126,582) in the VQI were identified, aligning
22 with the commencement of the TCAR Surveillance Project. Patients under 18 years-old were
23 excluded. In the CAS cohort, patients with non-atherosclerotic lesions (i.e., trauma, dissection,

1 and fibromuscular dysplasia) (n=3,438), more than one treated lesion (n=1,019), tandem internal
2 carotid artery lesion >70% stenosis (n=3,132) and planned intracerebral intervention (n=1,498)
3 were excluded. In the CEA cohort, patients undergoing other concomitant endovascular
4 procedures, CABG, or other arterial interventions were excluded (n=3,607). Records with
5 missing information regarding procedure type (TCAR, tFCAS, CEA) or symptom status were
6 excluded.

7 8 Variable definitions and outcomes

9 The estimated glomerular filtration rate (eGFR) was calculated using the new Chronic
10 Kidney Disease Epidemiology (CKD-EPI) Creatinine Equation (2021).²¹ Patients were grouped
11 based on eGFR as follows: eGFR>45 mL/min/1.73m², eGFR 30-45 mL/min/1.73m², eGFR<30
12 mL/min/1.73m², or preoperative dialysis (regardless of eGFR). Preoperative anemia was defined
13 as hemoglobin <10 g/dL.²² Symptom status was defined as the presence of ipsilateral ocular,
14 ipsilateral cortical TIA, or ipsilateral stroke symptoms documented in medical records within six
15 months prior to the index procedure in accordance with SVS reporting standards.²³

16 The primary outcome was the composite variable in-hospital stroke/death. Secondary
17 outcomes included in-hospital stroke, death, myocardial infarction (MI), composite variable
18 stroke/death/MI, cranial nerve injury (CNI), prolonged length of stay (≥ 2 days),²⁴ and bleeding.
19 Stroke, MI, CNI, and bleeding were all defined according to the VQI criteria. Stroke was defined
20 as the presence of symptoms lasting 24 hours or longer, involving neurological or visual deficits.
21 MI was indicated by troponin level, EKG, or diagnosed clinically according to American College
22 of Cardiology criteria.²⁵ CNI was defined as any occurrence of cranial nerve injuries (CN7, CN9,
23 CN10, CN12) that began after the procedure. Bleeding was defined as procedure-related

1 bleeding resulting in surgical repair or intervention, such as percutaneous thrombin injection or
2 covered stent placement.

3

4 Statistical Analysis

5 We compared demographics, comorbidities, and procedural characteristics across the
6 three procedures (TCAR, tfCAS, CEA). Categorical variables were presented as counts and
7 percentages and were compared using Pearson's Chi-square or Fisher's exact tests as
8 appropriate. Continuous variables were presented as mean \pm standard deviation and compared
9 using Student's t-test or median IQR. For non-normal distribution, the Wilcoxon rank sum test
10 was utilized.

11 To account for differences among the three groups of patients, we used inverse
12 probability of treatment weighting (IPTW) based on propensity scores.^{26, 27} In contrast to
13 pairwise propensity score matching, IPTW offers the advantage of leveraging the entire sample
14 without constraints on the covariates included in the model. By accounting for covariates related
15 to treatment selection, such as background demographics, comorbidities, symptom status,
16 procedure history, and surgery year, IPTW ensures thorough adjustment and efficient utilization
17 of all available data.²⁸ The covariates used were age, sex, race, BMI, hypertension, diabetes,
18 coronary artery disease (CAD), prior congestive heart failure (CHF), preoperative smoking,
19 chronic obstructive pulmonary disease (COPD), renal dysfunction, anemia, symptomatic
20 amaurosis, symptomatic hemispheric TIA, symptomatic stroke, prior Coronary Artery Bypass
21 Grafting (CABG)/Percutaneous Coronary Intervention (PCI), prior contralateral CEA/CAS, %
22 stenosis of ipsilateral and contralateral carotid, and surgery year. Sensitivity analysis tested for
23 differences after trimming extreme variables, but no differences were noted. We compared the

1 weighted treatment groups in terms of baseline characteristics to assess the success of the
2 propensity score weighting in achieving balance across the groups. Standardized mean
3 differences (SMDs) were calculated for each covariate, and an SMD $<.10$ was considered
4 indicative of a balanced distribution. Tests that compared all 3 groups simultaneously by using
5 chi-squared tests involving higher degrees-of-freedom and F-tests for continuous predictors were
6 conducted as appropriate. The in-hospital outcomes were reported in terms of adjusted rates and
7 odds ratios for each of the treatment comparisons. A post-hoc analysis was also conducted to
8 confirm that this study was sufficiently powered. As a subanalysis, we evaluated in-hospital
9 outcomes, stratifying by symptom status.

10 Stata MP version 17.0 was used to combine datasets, define variables, and stratify data by
11 carotid revascularization methodology. All subsequent statistical analyses were performed using
12 R version 4.3.1 (<http://www.r-project.org>). All tests were two-sided and p-values $<.05$ were
13 considered significant.

14

15 **Results**

16 All Patients

17 After exclusions, a total of 50,068 (25%) patients underwent TCAR, 25,361(13%)
18 patients underwent tfCAS, and 122,737 (62%) patients underwent CEA during the study period
19 (Table I). Before IPTW, TCAR patients compared with tfCAS and CEA were older, had more
20 CAD and CKD, and were more likely to undergo CABG/PCI as well as prior contralateral
21 CEA/CAS (Table I). Patients who received tfCAS were more likely to undergo local anesthesia
22 (81% vs 13% vs 6%; $P<.001$), had minimal protamine usage (12% vs 88% vs 75%; $P<.001$), and

1 experienced the shortest procedure duration (67 ± 40 vs 70 ± 55 vs 117 ± 45 ; $P<.001$) compared to
2 TCAR and CEA respectively.

3 Adjusted rates for baseline characteristics after IPTW were included (Table I). In-hospital
4 outcomes were reported for the overall patient population (Table II), as well as for symptomatic
5 (Table III) and asymptomatic patients (Table IV), comparing TCAR vs tfCAS, CEA vs tfCAS,
6 and TCAR vs CEA. Adjusted stroke/death rates per year by procedure type were also plotted
7 (Figure 1).

8 Prior to adjustment, the in-hospital stroke/death rates were highest for tfCAS compared to
9 TCAR and CEA (2.8% vs 1.5% vs 1.3%). After adjustment (Table II), tfCAS compared with
10 TCAR had higher odds of stroke/death (2.9% vs 1.6%; aOR=1.84, 95% CI:1.65-2.06; $P<.001$).
11 For secondary outcomes, tfCAS also had higher odds of stroke (2.1% vs 1.3%; aOR=1.58, 95%
12 CI:1.39-1.79; $P<.001$), death (1.1% vs 0.4%, aOR=2.80, 95% CI:2.29-3.42; $P<.001$),
13 stroke/death/MI (3.2% vs 2.0%, aOR=1.62, 95% CI:1.46-1.80; $P<.001$), and prolonged length of
14 stay (35.5% vs 29.6%; aOR=1.31, 95% CI:1.27-1.36; $P<.001$) compared to TCAR. However,
15 tfCAS had lower odds of CNI (0.0% vs 0.3%; aOR=0.00, 95% CI:0.00-0.00; $P<.001$) and
16 bleeding (0.4% vs 0.8%; aOR=0.52, 95% CI:0.41-0.67; $P<.001$).

17 Similarly, tfCAS compared with CEA had higher odds of stroke/death (2.9% vs 1.3%;
18 aOR=2.21, 95% CI:2.01-2.43; $P<.001$) as well as secondary outcomes: stroke, death,
19 stroke/death/MI, and prolonged length of stay (Table II). However, tfCAS had lower odds of in-
20 hospital MI (0.4% vs 0.6%; aOR=0.67, 95% CI:0.54-0.84; $P<.001$), CNI (0.0% vs 2.3%;
21 aOR=0.00, 95% CI:0.00-0.00; $P<.001$), and bleeding (0.4% vs 1.0%; aOR=0.38, 95% CI:0.30-
22 0.47; $P<.001$).

1 On the other hand, CEA compared with TCAR had lower odds of stroke/death (1.3% vs
2 1.6%; aOR=0.83, 95% CI:0.76-0.91; P<.001), stroke (1.1% vs 1.3%; aOR=0.84, 95% CI:0.76-
3 0.93; P<.001), and death (0.3% vs 0.4%, aOR=0.76, 95% CI:0.64-0.92; P=.004), similar odds of
4 stroke/death/MI (1.9% vs 2.0%, aOR=0.94, 95% CI:0.87-1.02; P=.14), and higher odds of MI
5 (0.6% vs 0.5%, aOR=1.31, 95% CI:1.13-1.54; P<.001), prolonged length of stay (30.1% vs
6 29.6%; aOR=1.02, 95% CI:1.00-1.05; P=.047), CNI (2.3% vs 0.3%; aOR=9.42, 95% CI:7.78-
7 11.4; P<.001), and bleeding (1.0% vs 0.8%; aOR=1.38, 95% CI:1.22-1.57; P<.001).

8

9 Symptomatic Patients

10 TfCAS compared with TCAR still had higher odds of stroke/death (4.4% vs 2.4%;
11 aOR=1.87, 95% CI:1.59-2.21; P<.001) and notably death (1.9% vs 0.6%; aOR=3.35, 95%
12 CI:2.50-4.48; P<.001) and prolonged length of stay (52.2% vs 41.1%; aOR=1.56, 95% CI:1.47-
13 1.66; P<.001) (Table III). Similarly, tfCAS compared with CEA still had higher odds of
14 stroke/death (4.4% vs 2.1%; aOR=2.18, 95% CI:1.91-2.48; P<.001) and prolonged length of stay
15 (52.2% vs 42.9%; aOR=1.45, 95% CI:1.38-1.53; P<.001). Nevertheless, tfCAS still had the
16 lowest rates of CNI compared with both TCAR and CEA.

17 CEA compared with TCAR still had lower odds of stroke/death (2.1% vs 2.4%;
18 aOR=0.86, 95% CI:0.75-0.99; P=.039) and stroke (1.8% vs 2.1%; aOR=0.84, 95% CI:0.72-0.98;
19 P=.029), although death was no longer significant (0.5% vs 0.6%; aOR=0.83, 95% CI:0.62-1.10;
20 P=.2). Furthermore, CEA still had higher odds of in-hospital MI (0.6% vs 0.4%; aOR=1.44, 95%
21 CI:1.05-1.96; P=.022), prolonged length of stay (42.9% vs 41.1%; aOR=1.08, 95% CI:1.03-1.12;
22 P<.001), CNI (2.8% vs 0.3%; aOR=8.60, 95% CI:6.20-11.9; P<.001).

1 Asymptomatic Patients

2 TfCAS still had higher odds of stroke/death compared with TCAR (2.1% vs 1.3%;
3 aOR=1.68, 95% CI:1.44-1.96; P<.001) and CEA (2.1% vs 1.0%; aOR=2.15, 95% CI:1.87-2.47;
4 P<.001). When comparing tfCAS with TCAR, tfCAS patients had similar although now
5 statistically significant lower odds of MI (0.3% vs 0.5%; aOR=0.67, 95% CI:0.48-0.94; P=.020).
6 CEA compared with TCAR still had lower odds of stroke/death (1.0% vs 1.3%; aOR=0.78, 95%
7 CI:0.70-0.88; P<.001). The remaining outcomes remained similar to the overall unstratified
8 population (Table IV).

9

10 **Discussion**

11 By utilizing a modern database with almost 200,000 carotid revascularization procedures,
12 our study reveals important, updated findings regarding the comparative safety of carotid
13 revascularization techniques. In the overall population, rates of stroke and stroke/death were
14 statistically lowest for CEA compared to TCAR and tfCAS. When comparing the difference in
15 stroke/death rates between the three groups, the difference in stroke/death rates between TCAR
16 and CEA (0.3%) is relatively smaller compared to that of tfCAS to TCAR (1.3%) or tfCAS to
17 CEA (1.6%). As a result, when comparing CEA and TCAR, additional outcomes such as MI and
18 CNI should factor into clinical decision-making. Particularly, when evaluating CNI and length of
19 stay, TCAR consistently exhibited superior outcomes compared with CEA. As a result, the
20 choice between TCAR versus CEA should be based on patient risk factors and anatomy, using
21 shared decision making. On the other hand, the relatively larger discrepancies in stroke/death
22 outcomes associated with tfCAS may suggest that tfCAS should be reserved for select
23 circumstances, such as prohibitive neck anatomy.²⁹

1 When comparing TCAR with CEA, previous literature has found that TCAR performs
2 similar to CEA in terms of post-operative outcomes for all patients and when stratifying by
3 symptomatic status, consistent with our current findings.^{9, 10} In previous studies comparing in-
4 hospital outcomes between TCAR and CEA using VQI data, both analyses found no statistically
5 significant differences of stroke/death when analyzing the general patient population.^{9, 14} The
6 second study also found no statistical difference in stroke/death/MI (OR, 1.4; 95% CI, 0.9-2.1;
7 $P=.18$). Similarly, in three separate meta-analyses, no statistical differences were found between
8 TCAR and CEA stroke or stroke/death outcomes in the overall population as well as the
9 symptomatic and asymptomatic cohorts.^{13, 30, 31} In terms of statistical significance, our findings
10 likely reached significance due to the substantially larger pool of TCAR patients, which
11 exceeded the largest meta-analysis study cohort ($n=18,300$) by nearly 32,000 patients. In a more
12 recent and expansive VQI study involving 21,234 TCAR patients, CEA was associated with
13 slightly lower odds of in-hospital stroke/death when compared with TCAR (1.7% vs 2.0%;
14 $aOR=0.82$, 95% CI:0.72-0.95; $P<.001$), in line with our findings.⁷ However, a significant
15 limitation of these studies was the failure to delineate the preoperative symptom (amaurosis,
16 hemispheric TIA, stroke) when adjusting for symptomatic status. Previous studies have
17 demonstrated varying outcomes for patients presenting with amaurosis, hemispheric TIA, and
18 stroke, with TCAR patients showing a higher incidence of preoperative strokes, while CEA
19 patients tend to exhibit more preoperative ocular TIAs.^{32, 33, 34} Consequently, amalgamating these
20 diverse symptom statuses into a single 'symptomatic' variable could introduce bias into the
21 analysis. Therefore, by preserving the distinction between these different symptom statuses
22 during IPTW, we ensured a more nuanced and granular analysis.

1 With regards to in-hospital MI and CNI, we found that TCAR consistently had lower
2 odds compared with CEA. In line with our findings, a systematic review and metaanalysis
3 comparing TCAR with CEA found that TCAR was associated with a lower incidence of MI and
4 CNI.³⁰ While TCAR exhibited lower odds compared to CEA, it is noteworthy that the disparity
5 in MI rates was relatively small. Additionally, although TCAR demonstrated a 2.0% lower CNI
6 rate compared with CEA, the presence of a 0.3% CNI rate remains significant, given the
7 potential impact on patient quality of life.³⁵ As a result, our findings are consistent with previous
8 studies, indicating that stroke/death outcomes, as well as MI and CNI are significant factors to
9 consider when choosing between TCAR and CEA. However, further research is required to
10 ascertain whether these statistically significant differences translate into clinically meaningful
11 outcomes for patients, and more data on patient preferences are warranted.^{37, 38, 39, 40}
12 Additionally, physicians should account for anatomic considerations, procedure duration,
13 anesthesia type, and procedural costs.^{24, 36} With regards to anatomical considerations, CEA has
14 been indicated for heavily calcified stenosis, angulated ICA, or low bifurcation where TCAR is
15 not indicated. On the other hand, TCAR is often utilized for patients with a high bifurcation,
16 prior endarterectomy, or other neck surgery/radiation that does not involve the base of the neck.⁴¹

17 When comparing TCAR with tfCAS and CEA with tfCAS, our study revealed worse
18 outcomes for tfCAS, regardless of symptom status. Both arch manipulation and incomplete
19 embolic protection are commonly cited factors thought to account for this increased risk of
20 stroke/death associated with tfCAS.⁴² While prior randomized control trials have found tfCAS
21 stroke/death rates to be higher compared with TCAR and CEA, the low event rate and small
22 patient numbers resulted in the inability to detect a statistically significant difference.¹⁹
23 Nevertheless, this stroke/death difference may still be considered clinically important to patients

1 and physicians. In a randomized control trial comparing tfCAS with CEA for patients with
2 asymptomatic stenosis, the trial reported stenting was noninferior to endarterectomy, despite the
3 higher stroke/death rates with tfCAS (2.9% vs 1.7%; $P=.33$).⁴⁴ The Second Asymptomatic
4 Carotid Surgery Trial (ACST-2) also found no statistical difference despite tfCAS having a
5 stroke/death rate of 3.7% compared to 2.7% of CEA.⁴⁵

6 Beyond considering the comparative stroke/death rates, it is also important to
7 contextualize these outcomes over time and within the framework of acceptable standards. When
8 investigating stroke/death rates over time, TCAR and CEA remain similar (with the exception of
9 2016 potentially attributable to the initiation of the TCAR Surveillance Project). In contrast,
10 tfCAS stroke/death rates have remained high throughout the years with the exception of 2016 as
11 well as a slight decrease in 2019. In terms of acceptable standards, the American Heart
12 Association (AHA), Society for Vascular Surgery (SVS), and European guidelines recommend
13 30-day stroke/death rates to be <6% for symptomatic patients and <3% for asymptomatic
14 patients based on the North American Symptomatic Carotid Endarterectomy Trial, European
15 Carotid Surgery Trial, Asymptomatic Carotid Atherosclerosis Trial, and Asymptomatic Carotid
16 Surgery Trial.^{46, 47, 48, 49, 45} However, prior studies have shown that at least 1/3 of 30-day
17 stroke/death events occur post-discharge, and therefore, these in-hospital rates are
18 underestimating adverse outcomes.^{50, 51} Consequently, there is a growing consensus that
19 acceptable in-hospital stroke/death rates should be reevaluated, with suggestions proposing ~4%
20 for symptomatic cases and ~2% for asymptomatic cases.^{52, 53, 54, 55, 56} In this context, our results
21 indicate that while both CEA and TCAR fall within the threshold of acceptability, tfCAS would
22 not meet these benchmarks for either symptomatic or asymptomatic patients. These findings

1 substantiate existing safety concerns surrounding tfCAS and emphasize the importance of careful
2 patient selection.

3 While tfCAS did have the lowest rates of CNI compared to both TCAR and CEA, the
4 relatively larger stroke/death rates support the prioritization of TCAR and CEA, with the use of
5 tfCAS for select indications such as patients with a tracheostomy, prior neck surgery, significant
6 plaque at the TCAR sheath access site, or contralateral CNI.^{57, 58} Nevertheless, CMS has
7 expanded tfCAS indications to include asymptomatic patients and patients at standard risk for
8 adverse events from CEA without a need for registry participation or center certification. The
9 label expansion will also allow a larger number of patients and physicians access to tfCAS.^{59, 60}
10 The current analysis highlights the importance of continued investigation with registry data
11 collection, randomized clinical trials such as CREST 2, and observational studies to ensure
12 patient safety.⁶¹

13 While our study brings additional insight to the field through analysis of a larger TCAR
14 population on the comparative safety of TCAR, tfCAS, and CEA, it is important to acknowledge
15 the following limitations. First, our study was observational and subjected to the limitations
16 inherent in a retrospective study, including the presence of unmeasured confounding.
17 Furthermore, the lack of data concerning the nature of carotid lesions, stroke severity,
18 Instructions for Use status, and the clinical significance of CNI, MI, and stroke on quality of life
19 precludes further analysis on these topics. Additionally, our findings predominantly address in-
20 hospital outcomes, limiting our ability to draw conclusions regarding long-term outcomes and
21 recurrence rates. VQI captures >95% of TCAR patients, however, many CEA and tfCAS patients
22 are not included in the VQI dataset, potentially limiting the comprehensiveness of the results in
23 reflecting national practice patterns and variations across different specialties. Moreover,

1 physician information is also blinded, which prevents an analysis of outcomes by specialty (e.g.,
2 vascular surgery vs interventional radiology vs cardiology). While specific breakdowns are not
3 provided, the VQI does include a substantial number of cardiologists and interventional
4 radiologists, particularly since the merger of the ACC NCDR dataset into the VQI in 2021.
5 Furthermore, during the initial years of our analysis, TCAR and tfCAS were exclusively
6 recommended for high-risk patients. Consequently, these early years may have led to a higher
7 occurrence of adverse outcomes compared to CEA. To control for this, we incorporated the year
8 of surgery into our model. Of note, this potential bias would not hamper a comparison of TCAR
9 with tfCAS. Nevertheless, the recent increase in TCAR utilization and respective decrease in
10 tfCAS use may impact outcomes, further underscoring the importance of continuously updating
11 clinical data to reflect current practice patterns. Additionally, it is noteworthy that not all
12 physicians may possess expertise in all three techniques, and some patients may be ineligible for
13 a certain procedure. Consequently, continuous research is needed to address the current
14 limitations of the VQI to facilitate the selection of the most appropriate revascularization
15 technique tailored to individual clinical or regional contexts. A randomized trial comparing CEA,
16 TCAR, and tfCAS, coupled with ongoing monitoring of outcomes using registry data, holds
17 significance in optimizing patient care. Nonetheless, the present findings serve to guide shared
18 decision-making discussions when exploring treatment options.

19

20 **Conclusion**

21 In the VQI registry, TCAR had clinically similar rates of stroke/death compared to CEA.
22 On the other hand, tfCAS in-hospital outcomes proved inferior to the other two procedures, with
23 higher rates of stroke/death. As a result, this 7-year analysis underscores the comparability of

- 1 TCAR and CEA, highlights the relatively poor outcomes of tfCAS, and serves as a valuable
- 2 resource for shared decision-making in revascularization.

3

4

Journal Pre-proof

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15

Table I: Baseline characteristics of patients undergoing CEA, TCAR, or tFCAS for carotid stenosis

	Baseline comparison				Adjusted Rates			
	TCAR	TFCAS	CEA	<i>P value</i>	TCAR	TFCAS	CEA	<i>P value</i>
N	50068	25361	122737					
Age mean (SD) ¹	73.5 (8.59)	70.5 (9.45)	71.0 (8.90)	< .001	72.2 (0.05)	72.8 (0.06)	71.1 (0.03)	.774
Female Sex	37.3%	36.2%	39.4%	< .001	37.3%	36.2%	39.4%	< .001
White Race	89.9%	88.7%	89.8%	< .001	89.5%	89.3%	89.9%	< .001
Hispanic Ethnicity	4.4%	4.0%	3.3%	< .001	4.5%	4.1%	3.3%	< .001
Symptomatic	24.7%	36.9%	29.6%	< .001	27.6%	32.1%	29.3%	< .001
Diabetes	39.0%	39.5%	37.1%	< .001	39.1%	39.7%	37.1%	< .001
CAD ²	53.7%	50.8%	39.6%	< .001	52.9%	53.8%	39.7%	< .001
CHF ³	16.7%	16.8%	12.1%	< .001	16.4%	18.1%	12.2%	< .001
CKD ⁴	38.8%	34.9%	24.0%	< .001	37.8%	37.7%	24.7%	< .001
COPD ⁵	24.7%	25.3%	22.9%	< .001	25.0%	24.9%	22.9%	< .001
CABG/PCI ⁶	38.6%	36.9%	33.4%	< .001	37.3%	39.8%	33.6%	< .001
Prior Contralateral CEA/CAS	16.2%	15.1%	12.4%	< .001	15.4%	16.7%	12.5%	< .001
Prior Ipsilateral CEA/CAS	12.6%	17.2%	1.4%	< .001	12.4%	17.4%	1.5%	< .001
Betablocker	53.7%	50.8%	49.8%	< .001	53.3%	52.1%	49.9%	< .001
ACE-Inhibitor	54.2%	49.8%	53.6%	< .001	53.8%	50.3%	53.7%	< .001
Aspirin	89.8%	84.5%	83.9%	< .001	89.9%	84.0%	83.9%	< .001
Anticoagulant	4.0%	4.5%	12.8%	< .001	3.9%	4.3%	12.9%	< .001
Antiplatelets	89.1%	76.8%	38.5%	< .001	88.8%	76.8%	38.6%	< .001
Statin	90.2%	83.2%	85.9%	< .001	90.2%	83.6%	85.9%	< .001
Ipsilateral Stenosis >80%	47.0%	52.1%	44.6%	< .001	48.4%	52.2%	45.6%	< .001

¹ Standard Deviation (SD)² Coronary Artery Disease (CAD)³ Congestive Heart Failure (CHF)⁴ Chronic Kidney Disease (CKD)⁵ Chronic Obstructive Pulmonary Disorder (COPD)⁶ Coronary Artery Bypass Grafting / Percutaneous Coronary Intervention (CABG/PCI)

Table II: Postoperative outcomes for all carotid stenosis patients

				TFCAS vs TCAR		TFCAS vs CEA		CEA vs TCAR	
	TCAR	TFCAS	CEA	aOR [CI]	P value	aOR [CI]	P value	aOR [CI]	P value
In-hospital Stroke	1.3%	2.1%	1.1%	1.58 (1.39-1.79)	<.001	1.88 (1.68-2.10)	<.001	0.84 (0.76-0.93)	<.001
In-hospital Death	0.4%	1.1%	0.3%	2.80 (2.29-3.42)	<.001	3.66 (3.10-4.32)	<.001	0.76 (0.64-0.92)	.004
Perioperative MI	0.5%	0.4%	0.6%	0.88 (0.69-1.13)	.3	0.67 (0.54-0.84)	<.001	1.31 (1.13-1.54)	<.001
Stroke or Death	1.6%	2.9%	1.3%	1.84 (1.65-2.06)	<.001	2.21 (2.01-2.43)	<.001	0.83 (0.76-0.91)	<.001
Stroke, Death, MI	2.0%	3.2%	1.9%	1.62 (1.46-1.80)	<.001	1.72 (1.58-1.88)	<.001	0.94 (0.87-1.02)	.14
Length of Stay > 2 days	29.6%	35.5%	30.1%	1.31 (1.27-1.36)	<.001	1.28 (1.24-1.32)	<.001	1.02 (1.00-1.05)	.047
Cranial Nerve Injury	0.3%	0.0%	2.3%	0.00 (0.00-0.00)	<.001	0.00 (0.00-0.00)	<.001	9.42 (7.78-11.4)	<.001
Bleeding	0.8%	0.4%	1.0%	0.52 (0.41-0.67)	<.001	0.38 (0.30-0.47)	<.001	1.38 (1.22-1.57)	<.001

*IPTW accounted for the following variables: age, sex, race, BMI, hypertension, diabetes, CAD, CHF, preoperative smoking, COPD, renal dysfunction, anemia, symptomatic amaurosis, symptomatic hemispheric TIA, symptomatic stroke, CABG / PCI, prior contralateral CEA/CAS, % ipsilateral and contralateral occlusion, and surgery year.

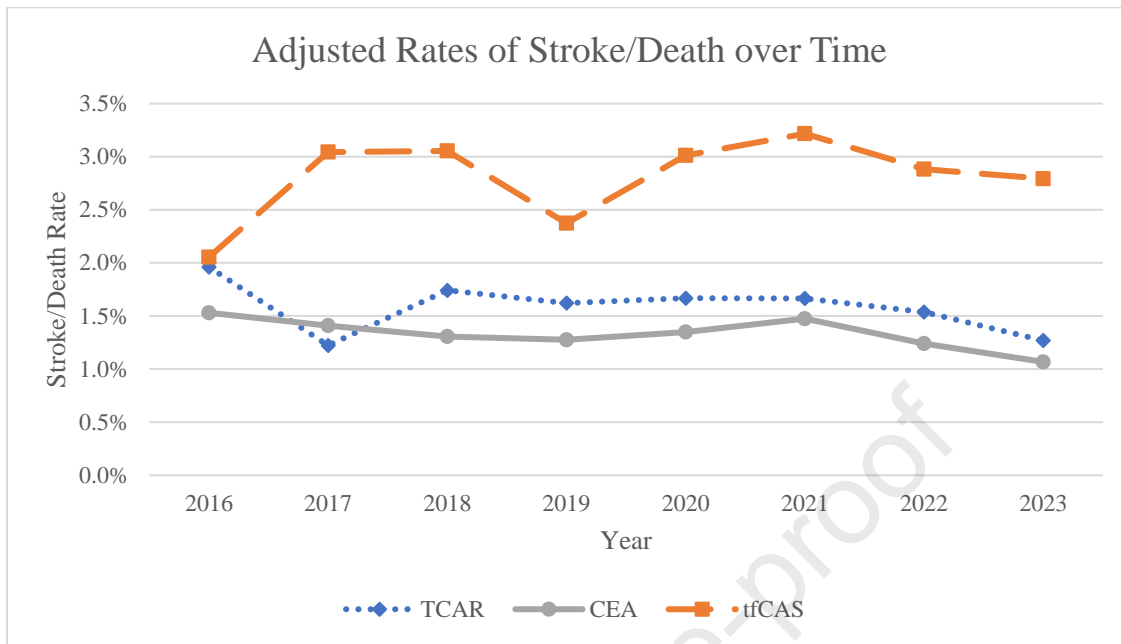
Table III: Postoperative outcomes for symptomatic carotid stenosis patients

				TFCAS vs TCAR		TFCAS vs CEA		CEA vs TCAR	
	TCAR	TFCAS	CEA	aOR [CI]	P value	aOR [CI]	P value	aOR [CI]	P value
In-hospital Stroke	2.1%	3.0%	1.8%	1.46 (1.21-1.76)	<.001	1.73 (1.48-2.02)	<.001	0.84 (0.72-0.98)	.029
In-hospital Death	0.6%	1.9%	0.5%	3.35 (2.50-4.48)	<.001	4.06 (3.26-5.05)	<.001	0.83 (0.62-1.10)	.2
Perioperative MI	0.4%	0.6%	0.6%	1.39 (0.93-2.07)	.10	0.97 (0.71-1.32)	.8	1.44 (1.05-1.96)	.022
Stroke or Death	2.4%	4.4%	2.1%	1.87 (1.59-2.21)	<.001	2.18 (1.91-2.48)	<.001	0.86 (0.75-0.99)	.039
Stroke, Death, MI	2.8%	4.8%	2.6%	1.78 (1.53-2.08)	<.001	1.89 (1.67-2.14)	<.001	0.94 (0.83-1.08)	.4
Length of Stay > 2 days	41.1%	52.2%	42.9%	1.56 (1.47-1.66)	<.001	1.45 (1.38-1.53)	<.001	1.08 (1.03-1.12)	.001
Cranial Nerve Injury	0.3%	0.0%	2.8%	0.00 (0.00-0.00)	<.001	0.00 (0.00-0.00)	<.001	8.60 (6.20-11.9)	<.001
Bleeding	0.8%	0.5%	1.3%	0.58 (0.39-0.87)	.009	0.36 (0.25-0.51)	<.001	1.61 (1.28-2.04)	<.001

Table IV: Postoperative outcomes for asymptomatic carotid stenosis patients

				TFCAS vs TCAR		TFCAS vs CEA		CEA vs TCAR	
	TCAR	TFCAS	CEA	aOR [CI]	P value	aOR [CI]	P value	aOR [CI]	P value
In-hospital Stroke	1.1%	1.7%	0.9%	1.57 (1.32-1.86)	<.001	1.94 (1.66-2.27)	<.001	0.81 (0.71-0.91)	<.001
In-hospital Death	0.3%	0.7%	0.2%	2.13 (1.59-2.85)	<.001	3.07 (2.37-3.98)	<.001	0.69 (0.54-0.88)	.003
Perioperative MI	0.5%	0.3%	0.6%	0.67 (0.48-0.94)	.020	0.53 (0.39-0.73)	<.001	1.26 (1.06-1.51)	.011
Stroke or Death	1.3%	2.1%	1.0%	1.68 (1.44-1.96)	<.001	2.15 (1.87-2.47)	<.001	0.78 (0.70-0.88)	<.001
Stroke, Death, MI	1.7%	2.4%	1.5%	1.42 (1.23-1.64)	<.001	1.56 (1.37-1.77)	<.001	0.91 (0.82-1.01)	.067
Length of Stay > 2 days	25.3%	27.6%	24.7%	1.12 (1.07-1.18)	<.001	1.16 (1.11-1.21)	<.001	0.97 (0.94-1.00)	.027
Cranial Nerve Injury	0.2%	0.0%	2.2%	0.00 (0.00-0.00)	<.001	0.00 (0.00-0.00)	<.001	9.67 (7.64-12.3)	<.001
Bleeding	0.7%	0.4%	0.9%	0.49 (0.36-0.68)	<.001	0.38 (0.29-0.51)	<.001	1.29 (1.12-1.50)	<.001

Figure 1: Adjusted rates of stroke/death overtime for TCAR, tfCAS, and CEA



*Note: 2023 data includes up until mid-August