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Authors

Monti, Joseph M Srivastava, Ishan Silbert, Leonardo E <u>et al.</u>

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Fractal dimensions of jammed packings with power-law particle size distributions in two and three dimensions

Joseph M. Monti¹,^{1,*} Ishan Srivastava¹,² Leonardo E. Silbert¹,³ Jeremy B. Lechman,¹ and Gary S. Grest¹

¹Sandia National Laboratories, Albuquerque, New Mexico 87185, USA

²Center for Computational Sciences and Engineering, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA ³School of Math, Science, and Engineering, Central New Mexico Community College, Albuquerque, New Mexico 87106, USA

Static structure factors are computed for large-scale, mechanically stable, jammed packings of frictionless spheres (three dimensions) and disks (two dimensions) with broad, power-law size dispersity characterized by the exponent $-\beta$. The static structure factor exhibits diverging power-law behavior for small wave numbers, allowing us to identify a structural fractal dimension d_f . In three dimensions, $d_f \approx 2.0$ for $2.5 \leq \beta \leq 3.8$, such that each of the structure factors can be collapsed onto a universal curve. In two dimensions, we instead find $1.0 \leq d_f \leq 1.34$ for $2.1 \leq \beta \leq 2.9$. Furthermore, we show that the fractal behavior persists when rattler particles are removed, indicating that the long-wavelength structural properties of the packings are controlled by the large particle backbone conferring mechanical rigidity to the system. A numerical scheme for computing structure factors for triclinic unit cells is presented and employed to analyze the jammed packings.

An underlying theme in the study of granular materials is the ability to determine the structural arrangement of the grains that constitute a static, mechanically stable, particle packing. In regular thermal systems, the small wave number limit of the static structure factor relates to the mechanical properties of the system [1]. For disordered and amorphous jammed packings of frictionless and monodisperse spheres, the small wave number (q) behavior of the structure factor S(q) expresses a suppression of density fluctuations at large length scales termed hyperuniformity, i.e., $S(q) \sim q$ [2,3]. When particle size dispersity is introduced into the packing, the observed hyperuniformity is lost, even for a bidisperse packing of spheres [4]. Though this behavior can be reconciled through an appropriate combination of the partial structure factor contributions due to the different particle size species [1,5,6], the procedure is only manageable over a restricted range of dispersity. It is not uncommon for colloidal suspensions to exhibit fractal properties [7]: Namely, that for small to intermediate wave numbers, the structure factor diverges as $S(q) \sim q^{-d_f}$, which defines the (structural) fractal dimension d_f . What remains unclear is the extent to which broad, continuously distributed dispersity influences the structure of sphere packings. We address this question in this Letter using power-law size distributions of spherical particles.

For power-law size distributions, the number of particles with diameters D in a small increment between D and $D + \Delta D$ is $N(D)\Delta D \propto D^{-\beta}\Delta D$, where β is the distribution exponent. Most studies of the packings of *d*-dimensional spheres with a power-law size distribution have focused on the conditions under which one can achieve full packing. The most well-known example is the Apollonian packing, in

which space is filled with *d*-dimensional spheres by iteratively fitting the next sphere into the largest available void. As each new particle is jammed by its neighbors, the packing is inherently mechanically stable [8]. The resulting packings have a fractal dimension $d_f^{Ap} = 1.3057...$ in two dimensions (2D) and 2.4739... in three dimensions (3D) [8–10]. Aste [11] conjectured that the full packing is possible for power-law distributions with β between $d_f^{Ap} + 1$ and d + 1, while Botet *et al.* [12] showed that size distributions with $3.8 \le \beta < 4$ are space filling in 3D. Several randomized packing strategies have been employed that produce power-law particle assemblies, including the random sequential addition algorithm (RSA) [13,14] that iteratively fills space with progressively smaller spheres, and packing-limited growth strategies [15,16] that nucleate and swell particles until jamming. These packings have a fractal-like structure with $d_f \equiv \beta - 1$.

In this Letter, we take a more physically motivated approach to generate packings using discrete element method (DEM) simulations to compress a dilute assembly of powerlaw distributed particles until jamming. Using large-scale simulations with particle size ratios of up to 300 in 2D and up to 200 in 3D, we show that the fractal dimensions of these packings computed from S(q) are $d_f \approx 2.0$, independent of β in 3D. In 2D, $d_f \sim 1$ for small β and saturates at $d_f \approx 1.34$ for larger β . This is in contrast to results for the RSA packing method, for which Cherny *et al.* [13] found $d_f = \beta - 1$ in 1D and 2D.

In the DEM simulations, particles interact via frictionless, damped, purely repulsive Hookean springs. The normal force \mathbf{F}_n between contacting particles *i* and *j* separated by $\mathbf{r}_{ij} = \mathbf{r}_i - \mathbf{r}_j$ is [17,18]

$$\mathbf{F}_{n} = k_{n} \delta \frac{\mathbf{r}_{ij}}{|\mathbf{r}_{ij}|} - M_{\text{eff}} \gamma_{n} \mathbf{v}_{n}, \qquad (1)$$

^{*}Corresponding author: jmmonti@sandia.gov

TABLE I. Particle size distribution parameters and structural properties: physical dimension *d*, size distribution exponent β , maximum particle size ratio λ , representativity ratio $L/\lambda\sigma$, total particle count *N*, packing volume fraction ρ , nonrattler particle packing volume fraction $\rho_{\rm NR}$, rattler particle number fraction $\phi_{\rm R}$, and packing fractal dimension d_f . In 3D, the fractal range is insufficient to obtain d_f for $\beta = 3.3$, $\lambda = 32$.

d	β	λ	$L/\lambda\sigma$	Ν	ρ	$ ho_{ m NR}$	$\phi_{ m R}$	d_f
2	2.1	300	29.8	459 651	0.932	0.873	0.824	0.97 ± 0.01
2	2.3	200	46.9	1 4 3 0 1 6 4	0.943	0.896	0.620	1.14 ± 0.01
2	2.5	200	63.9	5 0 20 1 3 1	0.950	0.918	0.420	1.28 ± 0.01
2	2.7	100	62.7	2762800	0.934	0.907	0.239	1.33 ± 0.01
2	2.9	100	54.0	3 089 316	0.925	0.898	0.179	$1.34~\pm~0.01$
3	2.5	200	8.8	2848307	0.763	0.699	0.995	2.05 ± 0.05
3	2.75	150	6.7	2060317	0.772	0.669	0.996	$2.0~\pm~0.02$
3	3.0	150	4.9	2089645	0.812	0.691	0.997	2.02 ± 0.01
3	3.3	100	5.4	3 187 515	0.857	0.745	0.977	1.97 ± 0.01
3	3.3	50	9.2	3260524	0.832	0.744	0.862	1.97 ± 0.01
3	3.3	50	5.4	652 106	0.839	0.746	0.911	1.97 ± 0.01
3	3.3	32	12.9	3 2 5 9 1 5 6	0.814	0.737	0.772	
3	3.3	32	5.4	232 797	0.818	0.738	0.810	
3	3.6	50	6.1	1 851 063	0.839	0.797	0.527	$2.0~\pm~0.01$
3	3.8	50	6.8	3 739 236	0.822	0.791	0.338	2.0 ± 0.01

where k_n is the spring stiffness set equal to unity and $\delta = (D_i + D_j)/2 - |\mathbf{r}_{ij}|$ is the overlap in terms of the diameters D_i and D_j . The second term on the right-hand side penalizes relative normal velocity \mathbf{v}_n with strength proportional to the effective particle mass $M_{\text{eff}} = M_i M_j / (M_i + M_j)$ and a damping coefficient γ_n set equal to 0.5. Particle mass densities are set to unity so that $M_i = \pi D_i^3/6$.

Particle sizes fall in the range $\sigma \leq D \leq \lambda \sigma$, where λ denotes the maximum size ratio and σ is the diameter of the smallest particle, which is set to unity and is used to nondimensionalize q. Systems are required to have at least ten particles with diameters larger than $0.95\lambda\sigma$ in 3D and 50 such particles in 2D, meaning that the total number of particles, N, depends upon both λ and β —see Table I for system details. β is selected from $2.5 \leq \beta \leq 3.8$ in 3D and $2.1 \leq \beta \leq 2.9$ in 2D; these ranges separately include the Apollonian packing exponents $\beta^{Ap} \approx 2.31$ (2D) and $\beta^{Ap} \approx 3.47$ (3D). In 3D, particle counts become intractable for $\beta \rightarrow 4.0$ with large λ . Simulated λ values vary depending on d, β , and computational limits to obtain sufficient scaling regimes to reliably extract the fractal dimension d_f , or to isolate the role of λ specifically.

Packings are generated with the GRANULAR package in LAMMPS [19] using a constant-pressure protocol [20,21]. Our simulations use an efficient particle-size-based neighbor binning algorithm [22–25] that has been used to study both bidisperse and power-law distributed systems [21,26,27]. The simulation box is periodic, initially cubic (square in 2D), and dilute with particles placed randomly without overlaps. Packing proceeds by imposing an isotropic applied pressure tensor \mathbf{P}_a with diagonal components set to a constant, p_a , and off-diagonal components set to zero, and stops when the internal pressure tensor matches \mathbf{P}_a and the kinetic energy per particle is small. The simulation cell deforms from cubic to slightly

triclinic in order to relax off-diagonal stress components—we introduce a mathematical procedure for calculating S(q) for triclinic unit cells in the Appendix. Simulations conducted in 2D constrain motion to the *x*-*y* plane and use a 2D applied pressure tensor but are otherwise identical to the 3D simulations. Here, we combine results using both $p_a = 10^{-6}k_n/\sigma$ and $10^{-4}k_n/\sigma$ without significantly affecting structural measures as both pressures produce packings in the small overlap limit [20].

In this Letter, we report results of individual simulations for each set of parameters. Table I includes the ratio of the equivalent simulation cell length L to the largest physical length scale $\lambda\sigma$, where $L \equiv V^{1/d}$ in terms of the jammed simulation cell volume V. With $L/\lambda\sigma > 1$, simulations are self-averaging because the cell is composed of $\sim (L/\lambda\sigma)^d$ small volume replicas. Furthermore, we will show that the fractal properties of the packing are independent of the quality of the packing, i.e., when mechanical equilibrium is not exactly (numerically) satisfied, so long as the largest particles are nearly at rest.

Recent studies employing DEM simulations to generate packings of systems composed of power-law size distributions [26] or power-law cumulative mass distributions [29–32] have demonstrated that the packing volume fraction (area fraction in 2D) ρ strongly depends on both the power-law exponent characterizing the distribution and the distribution span. These simulations showed, in 2D [29] and 3D [26,30–32], that ρ reaches a maximum for distributions with $d < \beta < d + 1$, and that increasing λ produces denser packings with other parameters held constant, similar to particle insertion techniques such as the Apollonian packing. This dependence of ρ on structural parameters is also indicated in Table I. Monti et al. [26] provided evidence that the distribution of contacts between particles of disparate sizes shifts over the same range of exponents: For β approaching d + 1, the largest particles in the packing tend to be stabilized by a saturation of small particle neighbors, while for β approaching d, the backbone force network supporting the applied pressure is composed primarily of the largest particles. Indeed, in the latter case, only these largest particles are mechanically stable, i.e., they are held in place by sufficiently many neighboring stable particles. Without performing a systematic structural analysis of the packings, however, it is unclear if such changes in particle connectivity with distribution exponent are reflected in the position correlation functions.

Packings composed of power-law or power-law-like size distributions generally possess an abundance of mechanically unstable particles, termed rattlers. Nonrattler particles are identified through an iterative procedure [33] by isolating those with at least d stable neighbors. The fraction of all particles that are rattlers is denoted $\phi_{\rm R}$ and the corresponding values are listed in Table I. Similar to the particle volume fraction, $\phi_{\rm R}$ depends on both β and λ , with the largest values found for large λ and $\beta \leq d$. Figure 1 shows snapshots of prototypical systems in 3D (full system) and 2D (partial system) with rattlers indicated in color, with $\phi_{\rm R} = 0.993$ and 0.497, respectively. Note that while the nonrattler particles shown in Fig. 1(a) number fewer than 10^4 , most of the total particle volume is contained in these particles. Table I also enumerates the particle volume fraction contributed exclusively by nonrattler particles $\rho_{\rm NR}$. Rattler particle positions are somewhat arbitrary



FIG. 1. OVITO snapshots [28] of jammed systems with maximum sphere diameter $\lambda \sigma = 100$ in 3D with $\beta = 3.0$ (a) and 2D with $\beta = 2.3$ (b). Nonrattler particles are shaded light to dark to indicate increasing diameter. Rattler particles are shown in purple, with fraction of rattlers $\phi_{\rm R} = 0.993$ in 3D and 0.497 in 2D.

depending on their size relative to the pore space they inhabit and by how many other rattlers are nearby; several examples of pockets of rattlers are visible in Fig. 1(b). Because rattlers generally originate from the small particle limit of the size distribution [26], noisiness associated with their positions has the most significant effect at small length scales, and we will show that the estimate of the packing fractal dimension is unaffected by removing them.

The static structure factor S(q) is shown in Fig. 2 for $\beta = 3.3$ in 3D for three values of λ , focusing on small to intermediate q values. S(q) rolls over to a constant value below $q \sim 2\pi/\lambda$, indicating that the packing structure is uniform over the corresponding (large) length scales in this regime, which extends down to $q \sim 2\pi\sigma/L$. For intermediate q, S(q) exhibits power-law, i.e., fractal, scaling for which $S(q) \sim q^{-d_f}$ in terms of the fractal dimension. The power-law best fit from least-squares fitting over $0.08 \leq q \leq 0.8$ in Fig. 2 gives $d_f = 1.97 \pm 0.01$ for the broadest fractal regime, for $\lambda = 100$. The figure shows that the fractal regime grows as λ increases, because λ acts as the cutoff length scale of fractal behavior—this



FIG. 2. Static structure factors S(q) for 3D packings with $\beta = 3.3$ and the indicated maximum particle size ratios λ . Open and solid symbols respectively distinguish systems with greater and lesser particle numbers *N* listed in Table I.



FIG. 3. (a) S(q) in 3D for the indicated β , with $\lambda = 200$ ($\beta = 2.5$), $\lambda = 150$ ($\beta = 2.75$ and 3.0), $\lambda = 100$ ($\beta = 3.3$), and $\lambda = 50$ ($\beta = 3.6$ and 3.8). (b) The same data, plotted as $q^* = q\alpha(\beta)$ with $\alpha(\beta) = \{1.00, 1.06, 1.13, 1.46, 2.21, 3.61\}$ for $\beta = \{3.8, 3.6, 3.3, 3.0, 2.75, 2.5\}$.

must be the case, as $\lambda\sigma$ is the only large physical length scale that falls in between σ and *L*. The presence of the rollover in *S*(*q*) below $q \sim 2\pi/\lambda$ signifies that increasing system size at constant λ broadens the range of homogeneous behavior at low *q*, but has no effect on the fractal regime. Figure 2 demonstrates that the rollover *q* value is independent of *N* by varying *N* for fixed $\lambda = 32$ and 50 (open and solid symbols). Note that *N* values are comparable for the open symbols and the $\lambda = 100$ data.

Results for S(q) for 3D packings comprising power-law size distributions with a range of exponents are plotted in Fig. 3(a). Values of λ for each system were chosen to give a consistent power-law scaling regime for estimating d_f from S(q). Least-squares fitting implies that the fractal dimension is independent of β in 3D with value $d_f \approx 2.0$, as suggested by the solid line drawn in Fig. 3(b). Figure 3(b) shows that the data collapse if q is scaled as $q^* = \alpha(\beta)q$, where $\alpha(\beta)$ are suitable (length-) scaling prefactors, with the arbitrary convention $\alpha(\beta = 3.8) = 1.0$; the associated $\alpha(\beta)$ values are given in the figure caption.

The independence of d_f from β in 3D is surprising given that every other packing quantity we computed depends on the input distribution; see Table I and Ref. [26]. Moreover, this result is at odds with a separate, conventional definition of d_f defined for collections of particles [34], which is



FIG. 4. S(q) in 2D for the indicated β , with $\lambda = 300$ ($\beta = 2.1$), $\lambda = 200$ ($\beta = 2.3$ and 2.5), and $\lambda = 100$ ($\beta = 2.7$ and 2.9).

obtained from extracting the exponent characterizing the power-law mass or size distribution without regard to particle configurations. This definition of fractal dimension implies $d_f(\beta) = \beta - 1$ for power-law size distributions, obviously distinct from our result, with the caveat that our simulations assume a random configuration of frictionless particles jammed from a well-mixed, dilute state.

Similarly to S(q) computed for 3D packings, S(q) for a range of β in 2D also exhibit power-law scaling regimes. Our results for 2D S(q) are plotted in Fig. 4. Unlike the 3D case, conversely, the estimated fractal dimensions in 2D show some dependence on β . We find that d_f increases from 0.97 ± 0.01 for the lowest value $\beta = 2.1$ to 1.27 ± 0.01 for $\beta = 2.5$ before appearing to saturate at approximately 4/3 for the largest values of β . Note that the largest λ simulated was 300 in 2D, and consequently the power-law regimes are broader in 2D compared to 3D.

One expects that 2D packings should be more sensitive to DEM packing protocol than 3D packings in that particles cannot be squeezed through constrictions formed between existing contacts, as they can in 3D. This effect produces pockets of trapped but mobile small particles and becomes more pronounced for distributions with $\beta \leq 2.5$, for which contacts between large particles are more frequent; see Fig. 1(b), for example. In 3D, particles smaller than nearby constrictions may be able to escape between pores, alleviating the effect to an extent. Particle insertion techniques have no analogy to this phenomenon because particles are static and insertion only depends on the local pore size.

A recent model and numerical work conducted by Cherny et al. [13] using an RSA algorithm for d-dimensional spheres (in 1D and 2D) produced assemblies with $d_f = \beta - 1$, as computed from static structure factor calculations, in addition to the associated mass-radius and pair-distribution functions. The authors also presented approximations to the effect that the 1D and 2D results could be extended to higher dimensions without changing the conclusion regarding d_f . The specific 2D exemplar considered in Ref. [13] was $\beta - 1 = d_f = 1.4$, which differs by roughly 0.2 from an estimate from our DEMobtained results (i.e., using the midpoint of the $\beta = 2.3$ and 2.5 results in Table I). However, the difference in β depen-



FIG. 5. S(q) for the smaller of the two (by *N*) 3D packings with $\beta = 3.3$ and $\lambda = 50$ for the jammed configuration ($\rho = 0.8392$) and three earlier-stage configurations with volume fractions within 1% of the jammed value. S(q) calculated after removing rattlers is designated "NR."

dence between our observations in 2D and especially 3D, and the findings of Cherny *et al.* [13] is irreconcilable, implying that the packing protocol fundamentally differentiates the final particle structures.

The most computationally intensive part of producing jammed packings is the final stage when the residual kinetic energy of small-size, mechanically unstable rattlers is quenched at nearly constant ρ . Rattler motions are confined to pores defined by the stationary large nonrattler particles constituting the stable structural backbone. Since the fractal behavior of S(q) spans $2\pi/\lambda \leq q < 1$, estimates of d_f should not depend on these still-mobile particles with size of order σ . To test this point, we computed S(q) as the $\beta = 3.3$ system approached jamming for three ρ values within 1% of the jammed volume fraction, $\rho = 0.8392$, where the last digit is given to differentiate the configurations. As Fig. 5 shows, S(q) is unchanged at these smaller ρ , implying that small-size rattlers are irrelevant to fractal behavior provided that the larger-scale structure is in place.

To further reinforce the notion that small-size rattlers do not influence d_f , they can be removed prior to calculating S(q). As indicated by ϕ_R , this procedure removes significant fractions of the particle count for all power-law packings, with a smaller impact on ρ_{NR} (see Table I). Figure 5 shows the resulting S(q) for $\beta = 3.3$ with rattlers removed, and illustrates that the fractal scaling is unaffected despite the removal of 91.1% of all particles, accounting for $\rho - \rho_{NR} = 0.093$ of the particle volume fraction. The reduction of N is reflected in the downwards shift of the data, while the behavior of S(q) for $q \sim 1$ implies that the loss of small particles does impact the structure over a range of high q of order the inverse size of the rattlers. This result supports the notion that the mechanically stable backbone dictates packing fractal behavior.

In summary, packings of power-law-distributed *d*-dimensional spheres were created using DEM simulations. This dynamics-based approach offers a counterpoint to packings generated through particle insertion which may not reflect physical processes and do not necessarily ensure mechanical stability. A scheme was introduced for calculating

static structure factors S(q) for triclinic simulation cells; computed S(q) for power-law packings were shown to be fractal. For 2D packings, d_f was weakly dependent on β for $2.1 \leq \beta \leq 2.9$, while in 3D, d_f was independent of size distribution for $2.5 \leq \beta \leq 3.8$ with constant value $d_f \approx 2.0$. These results differ from recent findings of packings created via an RSA technique which found $d_f = \beta - 1$ [13]. Our work demonstrated that the observed fractal behavior was insensitive to whether the packing was fully or only nearly jammed. Further, we showed that the removal of mechanically unstable particles before computing the structure factor did not affect d_f . Both of these results stem from the packings deriving stability from the largest particles most of all [26], and from smaller particles to increasing degrees with increasing β , correlating with a decrease in the overall number fraction of mechanically unstable particles.

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APPENDIX: STATIC STRUCTURE FACTORS FOR TRICLINIC CELLS

Static structure factors S(q) are calculated as

$$S(\mathbf{q}) = \frac{1}{N} \sum_{i,j=1}^{N} b_i b_j e^{-i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)}, \qquad (A1)$$

where b_i is the scattering length of particle *i*, and the summation is over all particle pairs in the unit cell. Since we are interested in the packing fractal dimension, we set $b_i = 1$. For cubic cells of length L, $\mathbf{q} = \frac{2\pi}{L} \mathbf{n}$, where $\mathbf{n} = [n_x, n_y, n_z]$ with $n_i = 0, \pm 1, \pm 2, \ldots$ For triclinic cells, calculation of S(q) requires the associated \mathbf{q} . These emerge naturally if the triclinic

cell is denoted by a transformation matrix H, which relates the (untransformed) reduced coordinates s_i of particle *i* within the domain to the transformed real-space coordinates \mathbf{r}_i from the affine deformation of the periodic cell. These transformed coordinates are

$$\mathbf{r}_i = \mathbf{L}_1 s_{i,1} + \mathbf{L}_2 s_{i,2} + \mathbf{L}_3 s_{i,3} = H \mathbf{s}_i, \qquad (A2)$$

where $\mathbf{s}_i = [s_{i,1}, s_{i,2}, s_{i,3}]$ are reduced-space coordinates such that $0 \leq s_{i,\alpha} \leq 1$, and the cell vectors \mathbf{L}_1 , \mathbf{L}_2 , and \mathbf{L}_3 tile the space, such that their concatenation forms H:

$$\boldsymbol{H} = (\mathbf{L}_1 \quad \mathbf{L}_2 \quad \mathbf{L}_3). \tag{A3}$$

If H is upper triangular, as in LAMMPS [19], it can be written as

$$\boldsymbol{H} = \begin{pmatrix} L_x & \alpha_{xy} & \alpha_{xz} \\ 0 & L_y & \alpha_{yz} \\ 0 & 0 & L_z \end{pmatrix}, \quad (A4)$$

where L_i represent the projected lengths of the triclinic cell along the Cartesian dimensions, and α_{ij} represent cell vector "tilts." Reciprocal vectors for the triclinic cell are obtained from the inverse of **H** as [35]

$$\boldsymbol{H}^{-1} = \begin{pmatrix} \boldsymbol{q}_1^T \\ \boldsymbol{q}_2^T \\ \boldsymbol{q}_3^T \end{pmatrix}, \tag{A5}$$

where T indicates the vector transpose. For a given integer vector **n** specifying a periodic image, any **q** can be defined in terms of H as

$$\mathbf{q} = 2\pi \boldsymbol{H}^{-T} \mathbf{n},\tag{A6}$$

with components

$$q_x = 2\pi \frac{n_x}{L_x},\tag{A7}$$

$$q_y = 2\pi \left(\frac{n_y}{L_y} - \frac{n_x \alpha_{xy}}{L_x L_y}\right),\tag{A8}$$

$$q_z = 2\pi \left[\frac{n_z}{L_z} - \frac{n_y \alpha_{yz}}{L_y L_z} + \frac{n_x (\alpha_{yz} \alpha_{xy} - L_y \alpha_{xz})}{L_x L_y L_z} \right].$$
(A9)

The dot product $\mathbf{q} \cdot \mathbf{r}_i$ is independent of H and only depends on the reduced coordinates \mathbf{s}_i :

$$\mathbf{q} \cdot \mathbf{r}_i = 2\pi \mathbf{H}^{-T} \mathbf{n} \cdot \mathbf{H} \mathbf{s}_i$$
$$= 2\pi \mathbf{n}^T \mathbf{s}_i.$$

S(q) is computed by choosing **n** and then calculating the corresponding $\mathbf{q} = 2\pi \mathbf{H}^{-T} \mathbf{n}$. Results for S(q) are spherically averaged for $q = |\mathbf{q}|$.

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