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# GENERALIZED $q, t$ -CATALAN NUMBERS

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ABSTRACT. Recent work of the first author, Neguț and Rasmussen, and of Oblomkov and Rozansky in the context of Khovanov–Rozansky knot homology produces a family of polynomials in  $q$  and  $t$  labeled by integer sequences. These polynomials can be expressed as equivariant Euler characteristics of certain line bundles on flag Hilbert schemes. The  $q, t$ -Catalan numbers and their rational analogues are special cases of this construction. In this paper, we give a purely combinatorial treatment of these polynomials and show that in many cases they have nonnegative integer coefficients.

For sequences of length at most 4, we prove that these coefficients enumerate subdiagrams in a certain fixed Young diagram and give an explicit symmetric chain decomposition of the set of such diagrams. This strengthens results of Lee, Li and Loehr for  $(4, n)$  rational  $q, t$ -Catalan numbers.

## 1. INTRODUCTION

The last decade revealed deep, and yet partially conjectural connections [GORS14, GN15, GM13, GM14, GM16, GMV16, GMV17] of the HOMFLY-PT link homologies with various intricate constructions in algebraic combinatorics such as  $q, t$ -Catalan numbers of Garsia and Haiman [GH96], LLT polynomials [HHL+05], and the elliptic Hall algebra [SV13]. Some of these conjectures were recently proven (mostly for the torus knots and links) by Elias, Hogancamp and Mellit [EH19, Hog17, Mel17].

An interesting class of knots, which best fits in the framework of the above conjectures, are the so-called Coxeter links defined as closures of braids

$$\beta(a_1, \dots, a_n) = \ell_1^{a_1} \cdots \ell_n^{a_n} t_1 \cdots t_{n-1},$$

where  $\ell_i = t_{i-1} \cdots t_1 t_1 \cdots t_{i-1}$  are Jucys–Murphy elements and  $t_i$  are the standard braid group generators. Here  $a_i$  are arbitrary integers, but in this paper we will mostly assume  $a_i \geq 0$ , so that all crossings in the braid  $\beta(a_1, \dots, a_n)$  are positive.

Motivated by the geometry of the flag Hilbert scheme of points on the plane (see Section 2.2 and references therein) we can approximate the invariants of such knots with the following combinatorial expressions. Define

$$f(a_1, \dots, a_n) = \sum_T z_1^{a_1} \cdots z_n^{a_n} \prod_{i=2}^n \frac{1}{(1 - z_i^{-1})(1 - qtz_{i-1}/z_i)} \prod_{i < j} \omega(z_i/z_j), \quad (1.1)$$

where the sum is over standard tableaux  $T$  with  $n$  boxes,  $z_i$  is the  $(q, t)$ -content of the box labeled by  $i$  in  $T$ , and  $\omega(x) = \frac{(1-x)(1-qtq)}{(1-qx)(1-tx)}$ . A priori, this is a rational function in  $q$  and  $t$ , but we prove in Section 2.3 that it is always a polynomial in  $q$  and  $t$  with integer coefficients. This polynomial can be expressed as a sum over Tesler matrices with row sums  $a_i$  as in [GN15] and especially [AGH+12], where similar polynomials have already appeared.

In the special case when

$$a_i = S_i(m, n) := \left\lfloor \frac{im}{n} \right\rfloor - \left\lfloor \frac{(i-1)m}{n} \right\rfloor,$$

by [GN15] the function  $f(S_i(m, n))$  agrees with the *rational  $q, t$ -Catalan number*  $c_{m,n}(q, t)$ . By the main result of [Mel16], this is a polynomial in  $q$  and  $t$  with nonnegative coefficients. More precisely,

$$c_{m,n}(q, t) = \sum_D q^{\text{area}(D)} t^{\text{dinv}(D)},$$

where the sum is over all Dyck paths  $D$  in the  $m \times n$  rectangle and  $\text{area}(D)$ ,  $\text{dinv}(D)$  are certain combinatorial statistics (see for example [Hag08]). In the even more special case  $m = n + 1$ , we obtain  $a_i = S_i(n + 1, n) = 1$ , and the polynomial  $f(1, \dots, 1)$  agrees with the  *$q, t$ -Catalan number* of Garsia and Haiman [GH96].

Motivated by [GNR16, OR17], we expect that the beautiful combinatorics of  $q, t$ -Catalan numbers and their rational analogues can be generalized to the case of arbitrary  $a_i$ , possibly constrained by some inequalities. In fact, as we show in this paper, that varying  $a_i$  allows one to compute the invariants  $f(a_1, \dots, a_n)$  recursively, see Corollary 2.19 for the  $n = 4$  example.

Using the machinery of Tesler matrices, we prove the following result.

**Proposition 1.1.** *Suppose that  $a_i \geq 0$ . Then  $f(a_1, \dots, a_n)$  is a polynomial in  $q$  and  $t$ . At  $t = 1$ , this polynomial specializes to*

$$f(a_1, \dots, a_n) \Big|_{t=1} = \sum_{\mu \subseteq \lambda(a)} q^{|\lambda(a)| - |\mu|},$$

where  $\lambda(a) = (a_2 + \dots + a_n, a_3 + \dots + a_n, \dots, a_n)$ .

**Example 1.2.** For  $n = 2$ , one has

$$f(a_1, a_2) = [a_2 + 1]_{q,t} := q^{a_2} + q^{a_2-1}t + \dots + qt^{a_2-1} + t^{a_2}.$$

For  $n = 3$  and  $a_2 \geq a_3$  one has

$$f(a_1, a_2, a_3) = [a_2 + 2a_3 + 1]_{q,t} + qt[a_2 + 2a_3 - 2]_{q,t} + \dots + q^{a_3}t^{a_3}[a_2 - a_3 + 1]_{q,t}.$$

See Examples 2.16 and 2.17 for derivations of these formulas.

**Conjecture 1.3.** *If  $a_1 \geq a_2 \geq \dots \geq a_n \geq 0$ , then  $f(a_1, \dots, a_n)$  is a polynomial in  $q$  and  $t$  with nonnegative coefficients.*

For general  $a_1 \geq a_2 \geq \dots \geq a_n \geq 0$ , it is still an open problem to find an explicit statistics  $\text{stat}$  on partitions  $\mu$  such that

$$f(a_1, \dots, a_n) = \sum_{\mu \subseteq \lambda(a)} q^{|\lambda(a)| - |\mu|} t^{\text{stat}(\mu)}. \quad (1.2)$$

In this paper, we solve the problem for  $n = 4$ :

**Theorem 1.4.** *For  $a + 1 \geq b$ ,  $a + 1, b + 1 \geq c \geq 0$ , the polynomial  $F(a, b, c) := f(a_1, a, b, c)$  has nonnegative integer coefficients and can be written in the form (1.2). The statistics  $\text{stat}(\mu)$  arises from an explicit decomposition of the set of  $\mu \subseteq \lambda(a)$  into symmetric chains.*

See Section 3 for further details.

Since a symmetric chain specializes to  $q^k + q^{k-2} + \dots + q^{-k+2} + q^{-k}$  at  $t = q^{-1}$ , we immediately obtain the following corollary.

**Corollary 1.5.** *For  $a + 1 \geq b$ ,  $a + 1, b + 1 \geq c \geq 0$ , the coefficients of the specialization  $F(a, b, c)|_{t=q^{-1}}$  are unimodular in even and in odd degrees.*

**Remark 1.6.** By [GNR16, OR17] the specialization of  $f(a_1, \dots, a_n)$  at  $q = t^{-1}$  coincides with the part of the HOMFLYPT polynomial of the knot  $\beta(a_1, \dots, a_n)$ .

**Remark 1.7.** Our statistic and decomposition is different from that in [LLL14, LLL18]. In particular, some of their chains are not symmetric, but the authors show that partitions come in symmetric pairs.

We provide a recursion for  $F(a, b, c)$  and prove that the combinatorial expression also satisfies the recursion (see Sections 2.5 and 4.6).

The set of Young diagrams  $\mu$  contained in the diagram  $\lambda(a)$  is in bijection with the Demazure crystal [Kas93, Lit95] with highest weight  $(a_1, \dots, a_n)$  and Weyl group element  $c = t_1 \cdots t_{n-1}$ . The size of  $\mu$  can be easily expressed in terms of the weight of the corresponding element of the crystal basis. This observation leads to many interesting questions:

- What is the crystal-theoretic interpretation of the statistics `stat`?
- Is there a crystal-theoretic interpretation of the symmetric chains and the polynomials  $f(a_1, \dots, a_n)$ ?

**Remark 1.8.** In the terminology of [CD18], subdiagrams of  $\lambda(a)$  correspond to so-called  $s$ -Dyck paths, and it is shown in [CD18] that they are in bijection with remarkably many combinatorial objects, just as usual Catalan numbers are in bijection with trees, triangulations etc. It would be interesting to relate the results of [CD18] both to Demazure crystals and to the above statistics `stat`.

The paper is organized as follows. In Section 2, we discuss the algebraic aspects of the function  $f(a_1, \dots, a_n)$  (or equivalently  $F(a_2, \dots, a_n)$ ). The definition of the function  $f(a_1, \dots, a_n)$  is given in Section 2.1. In Section 2.2, we briefly recall its connection to flag Hilbert schemes and knot invariants; combinatorially inclined readers are welcome to skip this section. In Section 2.3, we connect  $f(a_1, \dots, a_n)$  to Tesler matrices and prove that they are indeed polynomials in  $q$  and  $t$ . In Section 2.5 we prove the recursion for  $n = 4$ . Section 3 contains the combinatorial expressions for  $F(a, b, c)$ . We also provide examples. In Section 4, we construct the symmetric chains underlying the combinatorial formulas explicitly and also prove the combinatorial formulas.

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## 2. THE ALGEBRAIC SIDE

**2.1. The formula.** Given a standard tableau  $T$  of size  $n$ , we define a vector  $z(T) = (z_i)_{1 \leq i \leq n}$ , where  $z_i$  is the  $(q, t)$ -content of the box in  $T$  labeled by  $i$ . The  $(q, t)$ -content of

the box with row and column coordinates  $(r, c)$ , is  $q^{c-1}t^{r-1}$ . For example, for the tableau

$$T = \begin{array}{|c|c|c|c|} \hline 4 & & & \\ \hline 3 & 5 & & \\ \hline 1 & 2 & 6 & 7 \\ \hline \end{array}$$

we have

$$z(T) = (1, q, t, t^2, qt, q^2, q^3).$$

By convention,  $z_1 = 1$ . We define the *weight* of a tableau  $T$  by

$$\text{wt}(T) = \text{wt}(z(T)) = \prod_{i=2}^n \frac{1}{(1 - z_i^{-1})(1 - qtz_{i-1}/z_i)} \prod_{i < j} \frac{(1 - z_i/z_j)(1 - qtz_i/z_j)}{(1 - qz_i/z_j)(1 - tz_i/z_j)}.$$

Note that some of the individual factors in this product (both in the numerator and denominator) could vanish, and the convention is that we simply ignore these factors. Given a vector of integers  $(a_2, \dots, a_n)$ , we define

$$F(a_2, \dots, a_n) = \sum_T z_2^{a_2} \cdots z_n^{a_n} \cdot \text{wt}(T), \quad (2.1)$$

where the summation is over all standard tableaux of size  $n$ .

**Proposition 2.1.** *For all integer vectors  $(a_2, \dots, a_n)$ , the function  $F(a_2, \dots, a_n)$  is a polynomial in  $q$  and  $t$  with integer coefficients.*

The proof is very similar to the computations in [GN15, Section 6.5], but we present it in Section 2.3 for completeness.

**Remark 2.2.** For  $a_2 = \dots = a_n = m$ , the polynomial  $F(a_2, \dots, a_n)$  agrees with the Fuss–Catalan polynomial, see [GN15] and [Mel16].

**Conjecture 2.3.** *For  $a_2 \geq a_3 \geq \dots \geq a_n \geq 0$ , the polynomial  $F(a_2, \dots, a_n)$  has nonnegative coefficients.*

In this paper, we prove this conjecture for  $n = 2, 3$  and 4 in the slightly more general case  $a_2 + 1 \geq a_3$ ,  $a_2 + 1, a_3 + 1 \geq a_4 \geq 0$ . In addition, we provide explicit combinatorial formulas for  $F(a_2, a_3, a_4)$  in this case (see Section 3).

**Remark 2.4.** Note that it is not enough to assume that  $a_i + 1 \geq a_i$  in the conjecture. For example,

$$\begin{aligned} F(0, 1, 2) = & q^8 + q^7t + q^6t^2 + q^5t^3 + q^4t^4 + q^3t^5 + q^2t^6 + qt^7 + t^8 + q^6t + q^5t^2 + q^4t^3 + q^3t^4 \\ & + q^2t^5 + qt^6 + q^5t + 2q^4t^2 + 2q^3t^3 + 2q^2t^4 + qt^5 - q^4t - q^3t^2 - q^2t^3 - qt^4. \end{aligned}$$

One can check that  $F(1, 2, 3)$  contains negative terms as well.

**2.2. Flag Hilbert schemes.** The definition of  $F(a_2, \dots, a_n)$  is motivated by the geometry of the flag Hilbert scheme of points on the plane, which we briefly review here.

The flag Hilbert scheme  $\text{FHilb}^n(\mathbb{C}^2)$  is defined as the moduli space of flags

$$\text{FHilb}^n(\mathbb{C}^2) = \{\mathbb{C}[x, y] = I_0 \supset I_1 \supset I_2 \supset \dots \supset I_n\},$$

where all  $I_k$  are ideals in  $\mathbb{C}[x, y]$  of codimension  $k$ . Similarly, the punctual flag Hilbert scheme  $\text{FHilb}^n(\mathbb{C}^2, 0)$  is defined as the set of such flags, where all  $I_k$  are supported at the origin.

The dilation action of  $(\mathbb{C}^*)^2$  on  $\mathbb{C}^2$  defined by  $(x, y) \mapsto (q^{-1}x, t^{-1}y)$  lifts to an action on both  $\text{FHilb}^n(\mathbb{C}^2)$  and  $\text{FHilb}^n(\mathbb{C}^2, 0)$ . The fixed points of this action correspond to the flags of monomial ideals, and it is easy to see that these are in bijection with standard Young tableaux of size  $n$ . The flag Hilbert scheme carries natural line bundles  $\mathcal{L}^k := I_{k-1}/I_k$  which are equivariant with respect to the action of  $(\mathbb{C}^*)^2$ . The weight of the line bundle  $\mathcal{L}_k$  at a fixed point corresponding to a standard tableau  $T$  equals the  $(q, t)$ -content  $z_k(T)$ . Note that the line bundle  $\mathcal{L}_1$  is trivial.

The results and conjectures in [GNR16, OR17] lead to the following conjecture.

**Conjecture 2.5.** *For all  $a_i$  the Khovanov–Rozansky homology of the closure of the braid  $\beta(a_1, \dots, a_n)$  (defined in the introduction) is isomorphic to the total sheaf cohomology*

$$H^\bullet(\text{FHilb}^n(\mathbb{C}^2, 0), \mathcal{L}_1^{a_1} \otimes \cdots \otimes \mathcal{L}_n^{a_n}).$$

For small values of  $n$ , the geometry of  $\text{FHilb}^n(\mathbb{C}^2, 0)$  can be described explicitly. For  $n = 2$  we have

$$\text{FHilb}^2(\mathbb{C}^2, 0) = \mathbb{P}^1, \quad \mathcal{L}_2 = \mathcal{O}(1),$$

so

$$H^\bullet(\text{FHilb}^2(\mathbb{C}^2, 0), \mathcal{L}_1^{a_1} \mathcal{L}_2^{a_2}) = H^\bullet(\mathbb{P}^1, \mathcal{O}(a_2)).$$

Furthermore, for  $a_2 \geq 0$  higher cohomology vanishes and the  $(\mathbb{C}^*)^2$ -equivariant character of the space of global sections agrees with  $F(a_2)$ . For  $n = 3$  the space  $\text{FHilb}^3(\mathbb{C}^2, 0)$  is a smooth cubic Hirzebruch surface, and the line bundles  $\mathcal{L}_1^{a_1} \mathcal{L}_2^{a_2} \mathcal{L}_3^{a_3}$  and their cohomology can be described explicitly for all  $a_1, a_2, a_3$ , see [GNR16]. In particular, for  $a_2 \geq a_3$  higher cohomology vanishes and the  $(\mathbb{C}^*)^2$ -equivariant character of the space of global sections agrees with  $F(a_2, a_3)$ .

However, for  $n \geq 4$  the spaces  $\text{FHilb}^n(\mathbb{C}^2, 0)$  become very singular and reducible. Still, they carry a natural virtual structure sheaf, and one can use virtual localization techniques to prove the identity

$$\chi_{(\mathbb{C}^*)^2}(\text{FHilb}^n(\mathbb{C}^2, 0), \mathcal{L}_1^{a_1} \otimes \cdots \otimes \mathcal{L}_n^{a_n}) = F(a_2, \dots, a_n).$$

Here on the left hand side, we obtain the  $(\mathbb{C}^*)^2$ -equivariant Euler characteristic which can be computed as an explicit sum over fixed points of  $(\mathbb{C}^*)^2$  or, equivalently, over standard Young tableaux. This sum agrees with (2.1). We refer the reader to [GN15] and [GNR16] for further details.

It is important to point out that, although the polynomial  $F(a_2, \dots, a_n)$  has a geometric interpretation, this does not immediately imply Conjecture 1.3. Indeed, for  $n = 2, 3$  this follows from vanishing of higher cohomology, but no such vanishing results are available yet for  $n \geq 4$ . It would be interesting to compare the results of this paper with the geometry of  $\text{FHilb}^4(\mathbb{C}^2, 0)$ .

**2.3. Tesler matrices.** To prove Proposition 2.1, we need to use the formalism of Tesler matrices, developed in [Hag11, AGH<sup>+</sup>12, GHX14]. Given a sequence  $a = (a_1, a_2, \dots, a_n)$  of nonnegative integers, we define a *Tesler matrix* to be an upper-triangular matrix  $M = (m_{ij})_{j \geq i}$  with nonnegative integer coefficients  $m_{ij} \geq 0$  satisfying a system of linear equations

$$m_{ii} + \sum_{j < i} m_{ji} - \sum_{j > i} m_{ij} = a_i \quad \text{for } 1 \leq i \leq n. \quad (2.2)$$

**Lemma 2.6.** *The set of Tesler matrices is finite for fixed  $a$ .*

*Proof.* Equation (2.2) can be rewritten as follows:

$$m_{ii} + \cdots + m_{nn} + \sum_{j < i, k \geq i} m_{jk} = a_i + \cdots + a_n. \quad (2.3)$$

Since all  $m_{ij}$  are nonnegative integers, we obtain  $m_{ij} \leq a_1 + \cdots + a_n$  for all  $i, j$ .  $\square$

Given a sequence  $(a_2, \dots, a_n)$ , we define a partition or Young diagram

$$\lambda(a) = (a_2 + \cdots + a_n, \dots, a_n)$$

(note that  $a_1$  is not used). Let us call a Tesler matrix *two-diagonal*, if  $m_{ij} = 0$  for  $j > i + 1$ .

**Lemma 2.7.** *There is a bijection between the set of two-diagonal Tesler matrices associated to  $a = (a_1, \dots, a_n)$  and the set of subdiagrams of  $\lambda(a_2, \dots, a_n)$ .*

*Proof.* If  $M$  is a two-diagonal Tesler matrix, then for  $i \geq 2$  (2.3) simplifies to

$$m_{ii} + \cdots + m_{nn} + m_{i-1,i} = a_i + \cdots + a_n,$$

while for  $i = 1$  we obtain

$$m_{11} + \cdots + m_{nn} = a_1 + \cdots + a_n.$$

This means that for  $i \geq 2$  the diagonal elements of  $M$  define a subdiagram of  $\lambda(a)$

$$m_{ii} + \cdots + m_{nn} \leq a_i + \cdots + a_n = \lambda_{i-1},$$

while  $m_{11}$  and all  $m_{i-1,i}$  are uniquely determined by the diagonal.  $\square$

We define the functions  $A(m)$  and  $B(m)$  by the equations

$$\begin{aligned} \sum_{m=0}^{\infty} A(m)z^m &= \frac{(1-z)(1-qtz)}{(1-qz)(1-tz)} = 1 - (1-q)(1-t) \frac{z}{(1-qz)(1-tz)} \\ &= 1 - (1-q)(1-t) \sum_{m=1}^{\infty} [m]_{q,t} z^m, \\ \sum_{m=0}^{\infty} B(m)z^m &= \frac{1-z}{(1-qz)(1-tz)} = \sum_{m=0}^{\infty} ([m+1]_{q,t} - [m]_{q,t}) z^m. \end{aligned}$$

**Theorem 2.8.** *For all  $a_i \geq 0$ , we have*

$$F(a_2, \dots, a_n) = \sum_M \prod_i B(m_{i,i+1}) \prod_{j>i+1} A(m_{i,j}), \quad (2.4)$$

where the sum is over all Tesler matrices  $M$  satisfying (2.2).

*Proof.* The proof is very similar to [GN15, Section 6.5], but we present it here for completeness. Consider the rational function

$$\Phi(z_1, \dots, z_n) = z_1^{a_1} \cdots z_n^{a_n} \prod_{i=2}^n \frac{1}{(1-z_i^{-1})(1-qtz_{i-1}/z_i)} \prod_{i<j} \omega(z_i/z_j).$$

One can prove that for  $a_i \geq 0$  the only poles of this function are at points  $(z_1, \dots, z_n)$  corresponding to the  $(q, t)$ -contents of all standard tableaux. Therefore (2.1) can be interpreted as a sum of residues at these poles, which equals to the residue at infinity.

The residue at infinity equals to the coefficient of the rational function

$$\prod_{i=2}^n \frac{1}{(1-z_i)(1-qtz_{i-1}/z_i)} \prod_{i<j} \omega(z_i/z_j)$$

at  $z_1^{a_1} \cdots z_n^{a_n}$ . On the other hand, we can expand the rational function as follows:

$$\begin{aligned} & \prod_{i=2}^n \frac{1}{(1-z_i)(1-qtz_{i-1}/z_i)} \prod_{i<j} \omega(z_i/z_j) \\ &= \prod_i \frac{1}{(1-z_i)} \times \prod_i \frac{(1-z_i/z_{i+1})}{(1-qz_i/z_{i+1})(1-tz_i/z_{i+1})} \times \prod_{j>i+1} \omega(z_i/z_j) \\ &= \sum_{m_{ii}} z_i^{m_{ii}} \times \sum_{m_{i,i+1}} B(m_{i,i+1}) \left(\frac{z_i}{z_{i+1}}\right)^{m_{i,i+1}} \times \sum_{m_{i,j}} A(m_{i,j}) \left(\frac{z_i}{z_j}\right)^{m_{i,j}}. \end{aligned} \quad (2.5)$$

The terms in the sum in (2.5) are parameterized by the exponents  $m_{ii}, m_{i,i+1}, m_{i,j}$  which can be combined in a single upper-triangular matrix  $M = (m_{ij})$ . Such a term contributes to  $z_1^{a_1} \cdots z_n^{a_n}$  if

$$m_{ii} + \sum_{j>i} m_{ij} - \sum_{j<i} m_{ji} = a_i,$$

which is precisely the Tesler matrix condition (2.2).  $\square$

**Corollary 2.9.** *For all  $a_i \geq 0$  the function  $F(a_2, \dots, a_r)$  is a polynomial in  $q$  and  $t$ .*

*Proof.* Indeed, by Lemma 2.6 there are finitely many terms in the sum (2.4), and for all  $m \geq 0$  both  $A(m)$  and  $B(m)$  are polynomials in  $q$  and  $t$ .  $\square$

**Corollary 2.10.** *The specialization of  $F(a_2, \dots, a_r)$  at  $t = 1$  agrees with the sum*

$$\sum_{\mu \subseteq \lambda(a)} q^{|\lambda(a)| - |\mu|},$$

where  $a = (a_2, \dots, a_n)$ .

*Proof.* It is clear that at  $t = 1$  the coefficients  $A(m)$  and  $B(m)$  specialize as follows:

$$A(m) \Big|_{t=1} = 0 \text{ for } m > 0, \quad A(0) \Big|_{t=1} = 1, \quad B(m) \Big|_{t=1} = q^m.$$

Therefore at  $t = 1$  the sum (2.4) specializes to the sum over two-diagonal Tesler matrices which by Lemma 2.7 correspond to subdiagrams  $\mu \subseteq \lambda(a)$ . The weight of such a two-diagonal Tesler matrix specializes to  $\prod_i q^{m_{i,i+1}} = q^{|\lambda(a)| - |\mu|}$ .  $\square$

**Corollary 2.11.** *For  $a_i \geq 0$  and  $a_n = 0$  we have*

$$F(a_2, \dots, a_{n-1}, 0) = F(a_2, \dots, a_{n-1}).$$

*Proof.* The last equation in (2.2) reads as

$$m_{nn} + \sum_{j<n} m_{jn} = a_n.$$

Hence if  $a_n = 0$ , we obtain  $m_{jn} = 0$  for all  $j$ . Therefore a Tesler matrix with parameters  $(a_1, a_2, \dots, a_{n-1}, 0)$  is just an  $(n-1) \times (n-1)$  Tesler matrix with row parameters  $(a_1, a_2, \dots, a_{n-1})$  completed with a column of zeroes. Since  $A(0) = B(0) = 1$ , the weight of a Tesler matrix in (2.4) does not change after adding this column.  $\square$



**2.4. Separating the sum.** It is useful to separate the sum (2.1) into two pieces. Clearly, for any tableau  $T$  either  $z_2 = q$  or  $z_2 = t$ . Let us call a standard tableau  $T$  *head-like* if  $z_2 = q$ . Given such a tableau, we define reduced weight  $\widetilde{\text{wt}}(T) = (1 - t/q)\text{wt}(T)$  and

$$H(a_2, \dots, a_n; q, t) = \sum_{z_2(T)=q} z_2^{a_2} \cdots z_n^{a_n} \cdot \widetilde{\text{wt}}(T).$$

Similarly to the proof of Proposition 2.1 one can prove that  $H(a_2, \dots, a_n)$  is a polynomial in  $q$  and  $t$  with integer coefficients.

**Remark 2.12.** The polynomial  $H(a_2, \dots, a_n)$  depends on  $a_2$  only by an overall factor of  $q^{a_2}$ :

$$H(a_2, \dots, a_n; q, t) = q^{a_2} \left( \sum_{z_2(T)=q} z_3^{a_3} \cdots z_n^{a_n} \cdot \widetilde{\text{wt}}(T) \right).$$

**Remark 2.13.** In the geometric setup of Section 2.2 the series  $H(a_2, \dots, a_n)$  computes the equivariant character of the pushforward  $\pi_*(\mathcal{L}_2^{a_2} \cdots \mathcal{L}_n^{a_n})$  at one of the fixed points on  $\text{FHilb}^2(\mathbb{C}^2, 0) = \mathbb{P}^1$ . Here  $\pi: \text{FHilb}^n(\mathbb{C}^2, 0) \rightarrow \text{FHilb}^2(\mathbb{C}^2, 0)$  is the natural projection.

The following is clear from the definition:

$$F(a_2, \dots, a_n) = \frac{1}{1 - t/q} H(a_2, \dots, a_n; q, t) + \frac{1}{1 - q/t} H(a_2, \dots, a_n; t, q). \quad (2.6)$$

Therefore any linear relation on  $H(a)$  implies a linear relation for  $F(a)$ .

**Lemma 2.14.** *Assume that  $H(a_2, \dots, a_n)$  is a polynomial in  $q$  and  $t$  with nonnegative coefficients, where all monomials  $q^i t^j$  satisfy  $i \geq j$ . Then  $F(a_2, \dots, a_n)$  is a polynomial in  $q$  and  $t$  with nonnegative coefficients.*

*Proof.* By linearity of (2.6) it suffices to prove the statement for a single monomial  $q^i t^j$  with  $i \geq j$ . In this case

$$\begin{aligned} \frac{q^i t^j}{1 - t/q} + \frac{q^j t^i}{1 - q/t} &= q^j t^j \frac{q^{i-j+1} - t^{i-j+1}}{q - t} = q^j t^j (q^{i-j} + \cdots + t^{i-j}) \\ &= q^i t^j + q^{i-1} t^{j+1} + \cdots + q^{j+1} t^{i-1} + q^j t^i. \end{aligned} \quad \square$$

**Corollary 2.15.** *Assume that the polynomial  $H(a_2, a_3, \dots, a_n)$  has nonnegative coefficients. Then for all sufficiently large  $N$  the polynomial  $F(N, a_3, \dots, a_n)$  has nonnegative coefficients.*

*Proof.* Indeed, by Remark 2.12 we have

$$H(N, a_3, \dots, a_n) = q^{N-a_2} H(a_2, \dots, a_n)$$

and for sufficiently large  $N$  all terms in it satisfy the condition in Lemma 2.14.  $\square$

As we will see below, writing the formulas for  $H(a; q, t)$  is much more efficient than the ones for  $F(a; q, t)$ , and the sums contain twice less terms.

**Example 2.16.** Consider the case  $n = 2$ . There is only one tableau with  $z_2(T) = q$ , and  $z(T) = (1, q)$ . A direct computation shows that  $\text{wt}(1, q) = \frac{1}{1-t/q}$ , so  $\widetilde{\text{wt}}(1, q) = 1$ . Therefore  $H(a) = q^a$ . By the proof of Lemma 2.14, this confirms Example 1.2.

**Example 2.17.** Consider the case  $n = 3$ . There are two tableaux with  $z_2 = q$  and

$$\text{wt}(1, q, q^2) = \frac{1}{(1-t/q)(1-t/q^2)}, \quad \text{wt}(1, q, t) = \frac{1}{(1-t/q)(1-q^2/t)}$$

while

$$\widetilde{\text{wt}}(1, q, q^2) = \frac{1}{(1-t/q^2)}, \quad \widetilde{\text{wt}}(1, q, t) = \frac{1}{(1-q^2/t)}.$$

We obtain

$$H(a, b) = \frac{q^{a+2b}}{(1-t/q^2)} + \frac{q^{at^b}}{(1-q^2/t)} = q^a(q^{2b} + q^{2b-2}t + \dots + t^b) = q^a \sum_{i=0}^b (q^2)^{b-i} t^i. \quad (2.7)$$

Note that (2.7) holds for any integer  $a$  and  $b \geq -1$ . Furthermore,  $H(a, -1) = 0$  for all integers  $a$ . For  $a \geq b \geq 0$  the conditions of Lemma 2.14 are satisfied, and  $F(a, b)$  has nonnegative coefficients. Using (2.6), one can confirm the explicit expression in Example 1.2 (see also Lemma 2.21).

**2.5. Recursion for  $n = 4$ .** The situation for  $n = 4$  is more interesting. We record here the reduced weights  $\widetilde{\text{wt}}(T)$  for all five head-like tableaux:

$$\begin{aligned} \widetilde{\text{wt}}(1, q, q^2, q^3) &= \frac{1}{(1-t/q^2)(1-t/q^3)}, & \widetilde{\text{wt}}(1, q, q^2, t) &= \frac{1}{(1-t/q^2)(1-q^3/t)}, \\ \widetilde{\text{wt}}(1, q, t, q^2) &= \frac{(1-t)}{(1-t^2/q^2)(1-q^2/t)(1-t/q)}, & \widetilde{\text{wt}}(1, q, t, t^2) &= \frac{1}{(1-q^2/t^2)(1-q/t)}, \\ \widetilde{\text{wt}}(1, q, t, qt) &= \frac{1-q}{(1-q^2/t)(1-q/t)(1-t/q)}. \end{aligned}$$

**Lemma 2.18.** *The polynomials  $H(a, b, c)$  satisfy the following recursion*

$$H(a, b, c) = H(a+1, b+1, c-1) + (qt)^c H(a+c, b-c) + \sum_{i=0}^{c-1} (qt)^{b+2c-2i} H(a-b-2c+4i).$$

*Proof.* Let us compute the contribution of all tableaux to  $H(a, b, c) - H(a+1, b+1, c-1)$ . Let  $\ell(T; a, b, c) = z_2^a z_3^b z_4^c$ . Then

$$\begin{aligned} \ell(1, q, q^2, q^3; a, b, c) &= q^{a+2b+3c} = \ell(1, q, q^2, q^3; a+1, b+1, c-1), \\ \ell(1, q, q^2, t; a, b, c) &= q^{a+2b} t^c, & \ell(1, q, q^2, t; a+1, b+1, c-1) &= q^{a+2b+3} t^{c-1}, \\ \ell(1, q, t, q^2; a, b, c) &= q^{a+2c} t^b, & \ell(1, q, t, q^2; a+1, b+1, c-1) &= q^{a+2c-1} t^{b+1}, \\ \ell(1, q, t, t^2; a, b, c) &= q^a t^{b+2c}, & \ell(1, q, t, t^2; a+1, b+1, c-1) &= q^{a+1} t^{b+2c-1}, \\ \ell(1, q, t, qt; a, b, c) &= q^{a+c} t^{b+c} = \ell(1, q, t, qt; a+1, b+1, c-1). \end{aligned}$$

Therefore the contributions of  $(1, q, q^2, q^3)$  and  $(1, q, t, qt)$  cancel, and

$$\begin{aligned} &H(a, b, c) - H(a+1, b+1, c-1) \\ &= q^{a+2b} t^c (1-q^3/t) \widetilde{\text{wt}}(1, q, q^2, t) + q^{a+2c} t^b (1-t/q) \widetilde{\text{wt}}(1, q, t, q^2) + q^a t^{b+2c} (1-q/t) \widetilde{\text{wt}}(1, q, t, t^2) \\ &= \frac{q^{a+2b} t^c}{(1-t/q^2)} + \frac{q^{a+2c} t^b (1-t)}{(1-t^2/q^2)(1-q^2/t)} + \frac{q^a t^{b+2c}}{(1-q^2/t^2)}. \end{aligned}$$

On the other hand, by (2.7) we obtain

$$(qt)^c H(a+c, b-c) = \frac{q^{a+2b}t^c}{(1-t/q^2)} + \frac{q^{a+2c}t^b}{(1-q^2/t)},$$

so

$$H(a, b, c) - H(a+1, b+1, c-1) - (qt)^c H(a+c, b-c) = -\frac{q^{a+2c}t^b}{(1-q^2/t^2)} + \frac{q^a t^{b+2c}}{(1-q^2/t^2)}.$$

Comparing this with the last term in the recurrence, we find

$$\begin{aligned} \sum_{i=0}^{c-1} (qt)^{b+2c-2i} H(a-b-2c+4i) &= \sum_{i=0}^{c-1} (qt)^{b+2c-2i} \cdot q^{a-b-2c+4i} \\ &= \sum_{i=0}^{c-1} q^{a+2i} t^{b+2c-2i} = q^a t^{b+2c} \frac{1-q^{2c}t^{-2c}}{1-q^2/t^2} = \frac{q^a t^{b+c} - q^{a+2c}t^b}{1-q^2/t^2}. \end{aligned}$$

□

**Corollary 2.19.** *The polynomials  $F(a, b, c)$  satisfy the recursion relation*

$$F(a, b, c) = F(a+1, b+1, c-1) + (qt)^c F(a+c, b-c) + \sum_{i=0}^{c-1} (qt)^{b+2c-2i} F(a-b-2c+4i).$$

Note that the entries  $a-b-2c+4i$  in the recurrence of Corollary 2.19 can become negative. However, the following symmetry relation holds.

**Proposition 2.20.** *We have for  $a > 0$*

$$F(-a) = -\frac{1}{(qt)^{a-1}} F(a-2).$$

*Proof.* By (2.6) and Example 2.16, we have

$$F(a) = \frac{1}{1-t/q} q^a + \frac{1}{1-q/t} t^a = q^a \frac{1-(t/q)^{a+1}}{1-t/q}.$$

Hence

$$F(-a) = q^{-a} \frac{1-(t/q)^{-a+1}}{1-t/q} = -q^{-a} (t/q)^{-a+1} \frac{1-(t/q)^{a-1}}{1-t/q} = -\frac{1}{(qt)^{a-1}} F(a-2).$$

□

Note that using Corollary 2.19 and Proposition 2.20,  $F(a, b, c)$  for  $a \geq b \geq c \geq 0$  can be reduced to  $F(a, b)$  for  $a \geq b \geq 0$  and  $F(a)$  for  $a \geq 0$ , which are given in Example 1.2.

Now we compute  $F(a, b)$  explicitly by separating the sum.

**Lemma 2.21.** *For  $b \geq -1$  and  $a \geq b-1$  we have*

$$F(a, b) = \sum_{i=0}^b \sum_{j=i}^{a+2b-2i} q^j t^{(a+2b-2i)-j}.$$

*Proof.* We may express  $F(a, b)$  in terms of  $H(a, b)$  by separating the sum as above. Using the expression for  $H(a, b)$  from Example 2.17 (note that this expression is valid for  $b \geq -1$  and any value of  $a$ ) this gives us

$$\begin{aligned} F(a, b) &= \frac{1}{1-t/q} q^a \sum_{i=0}^b (q^2)^{b-i} t^i + \frac{1}{1-q/t} t^a \sum_{i=0}^b (t^2)^{b-i} q^i \\ &= \frac{1}{q-t} \left( q^{a+1} \sum_{i=0}^b (q^2)^{b-i} t^i - t^{a+1} \sum_{i=0}^b (t^2)^{b-i} q^i \right) \\ &= \sum_{i=0}^b \frac{q^{a+2b+1-2i} t^i - t^{a+2b+1-2i} q^i}{q-t} = \sum_{i=0}^b \sum_{j=i}^{a+2b-2i} q^j t^{(a+2b-2i)-j}, \end{aligned}$$

where the last step is legal because we are assuming  $a \geq b-1$ .  $\square$

**Lemma 2.22.** *We have  $F(-1) = F(a, -1) = 0$  for  $a \geq -2$  and  $F(a, b, -1) = 0$  for  $a, b \geq 1$ .*

*Proof.* Since  $H(-1; q, t) = q^{-1}$ , Equation (2.6) implies  $F(-1) = 0$ . On the other hand, Lemma 2.21 immediately implies  $F(a, -1) = 0$ . Finally, by Corollary 2.19 we have

$$F(a-1, b-1, 0) = F(a-1+1, b-1+1, 0-1) + (qt)^0 F(a-1+0, b-1-0).$$

But by Corollary 2.11 we have  $F(a-1, b-1, 0) = F(a-1, b-1)$  and the lemma follows.  $\square$

The recursion of Corollary 2.19 implies the following ‘‘two-step’’ recursion. It has the advantage that it does not contain any negative arguments, which will be advantageous for the combinatorial analysis of Section 4.

**Lemma 2.23.** *For  $a \geq b-1$ ,  $a, b \geq c-1 \geq 0$ , we have*

$$\begin{aligned} F(a, b, c) &= F(a+2, b+2, c-2) + (qt)^c F(a+c, b-c) + (qt)^{c-1} F(a+c, b-c+2) \\ &\quad + \sum_{j=2}^{\min(a-b, 2c)} (qt)^{b+j} F(a-b+2c-2j) - \sum_{j=a-b+1}^1 (qt)^{b+j} F(a-b+2c-2j). \end{aligned}$$

**Remark 2.24.** If  $a > b$  then the last sum is empty. If  $a = b$  or  $a = b-1$  then the next to last sum is empty, and the last sum contains one or two terms, respectively.

*Proof.* Using the recurrence in Corollary 2.19 and then the same recurrence again on the term  $F(a+1, b+1, c-1)$ , we obtain

$$\begin{aligned} F(a, b, c) &= F(a+2, b+2, c-2) + (qt)^c F(a+c, b-c) + (qt)^{c-1} F(a+c, b-c+2) \\ &\quad + \sum_{i=0}^{c-1} (qt)^{b+2c-2i} F(a-b-2c+4i) + \sum_{i=0}^{c-2} (qt)^{b+2c-1-2i} F(a-b-2c+2+4i). \end{aligned}$$

The first three terms are the same as in the statement of the lemma. The last two sums can be combined to

$$\sum_{j=0}^{2c-2} (qt)^{b+2c-j} F(a-b-2c+2j),$$

or, reversing the order of the sum:

$$\sum_{j=2}^{2c} (qt)^{b+j} F(a-b+2c-2j). \quad (2.8)$$

If  $2c \leq a-b$  the corollary is proved. Otherwise we may break expression (2.8) above into two pieces to obtain

$$\sum_{j=2}^{a-b} (qt)^{b+j} F(a-b+2c-2j) + \sum_{j=\max(a-b+1,2)}^{2c} (qt)^{b+j} F(a-b+2c-2j),$$

or equivalently

$$\begin{aligned} \sum_{j=2}^{a-b} (qt)^{b+j} F(a-b+2c-2j) + \sum_{j=a-b+1}^{2c} (qt)^{b+j} F(a-b+2c-2j) \\ - \sum_{j=a-b+1}^1 (qt)^{b+j} F(a-b+2c-2j). \end{aligned}$$

Therefore, if we show that the middle sum above is 0 the corollary is proved. However, setting  $K = -a + b - 2c$  we have

$$\sum_{j=a-b+1}^{2c} (qt)^{b+j} F(a-b+2c-2j) = \sum_{-K \leq r \leq K-2} (qt)^{b-(K+r)/2} F(r),$$

where the sum is over only those  $r$  such that  $2|(K+r)$ . Since  $F(-1) = 0$  this can be split into

$$\sum_{2 \leq r \leq K} (qt)^{b-(K-r)/2} F(-r) + \sum_{0 \leq s \leq K-2} (qt)^{b-(K+s)/2} F(s),$$

where again the sum is only over  $r$  with  $2|(K+r)$ . However, applying Proposition 2.20 term-wise to the left sum gives precisely the opposite of the right sum.  $\square$

### 3. COMBINATORIAL EXPRESSIONS

In this section, we present a combinatorial formula for  $F(a, b, c)$  when  $a+1 \geq b$ ,  $a+1, b+1 \geq c \geq 0$ .

**3.1. Symmetric chain expression.** Recall that  $\lambda(a, b, c) = (a+b+c, b+c, c)$ . We set  $A = |\lambda(a, b, c)| = a+2b+3c$ ,  $\epsilon_{ij} = \max(0, i+j-b-c)$ , and  $m_{cj} = c-j \pmod{2}$  for convenience. Define the *symmetric chain* for  $k \leq \ell$  as

$$[k, \ell]_{q,t} = q^\ell t^k + q^{\ell-1} t^{k+1} + \dots + q^{k+1} t^{\ell-1} + q^k t^\ell.$$

We may write  $F(a, b, c)$  as a sum of symmetric chains.

**Theorem 3.1.** *For nonnegative integers  $a, b, c$  and  $a+1 \geq b$ ,  $a+1, b+1 \geq c$ , we have*

$$F(a, b, c) = \sum_{(i,j) \in \tilde{Q}} [i + \epsilon_{ij}, A - 2i - j]_{q,t},$$

where  $\tilde{Q} = \{(i, j) \mid 0 \leq j \leq c, j \leq i \leq b+c, 2i+2j \leq a+b+2c - m_{cj}\}$ .

The proof of Theorem 3.1 is given in Section 4, see in particular Corollary 4.14. For the various conditions appearing in  $\tilde{Q}$ , see the conditions for quasiheads in Table 3. Note that Theorem 3.1 immediately implies that the right hand side is symmetric in  $q$  and  $t$ .

**Remark 3.2.** Note that the conditions on  $i$  and  $j$  imply that  $i + \epsilon_{ij} \leq A - 2i - j$ . Namely, since  $i \leq b + c$ , we have  $i + \epsilon_{ij} \leq \max(b + c, i + j)$ . Furthermore, since  $2i + 2j \leq a + b + 2c$ , we have  $A - 2i - j = A - 2i - 2j + j \geq b + c + j$  which in turn is greater or equal to  $\max(b + c, i + j)$  given that  $j \geq 0$  and  $i \leq b + c$ .

**Remark 3.3.** Note that the interval  $[i + \epsilon_{ij}, A - 2i - j]$  of integers that appears in the symmetric chains in Theorem 3.1 will be called the *area range* in Section 4 as it is the range of the area statistic for the given symmetric chain.

**Remark 3.4.** Surprisingly, the identity  $H(a, b, c) = \sum_{(i,j) \in \tilde{Q}} q^{A-2i-j} t^{i+\epsilon_{ij}}$  does not hold in general, as the right hand side satisfies slightly different recursion relation, see Lemma 4.11.

**3.2. Combinatorial expression.** The symmetric chain expression of Theorem 3.1 leads to a purely combinatorial expression for  $F(a, b, c)$  as a sum of all subpartitions of  $\lambda(a, b, c)$  with two associated statistics. The *area statistics* for  $\lambda \subseteq \lambda(a, b, c)$  is given by

$$\text{area}(\lambda) = |\lambda(a, b, c)| - |\lambda|.$$

The second statistics requires a little more notation. We set  $L = a + b + c$ . Furthermore, we name the following cases:

- Case 1.**  $z \geq \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$
- (a)  $x + y - z + 2\epsilon_{yz} < L$
  - (b)  $x + y - z + 2\epsilon_{yz} \geq L$ 
    - (i)  $y + z < b + c$
    - (ii)  $y + z \geq b + c$

- Case 2.**  $z < \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$ .

With this, we are ready to define the *t-statistics*, where  $\lambda = (x, y, z)$  is a partition with  $x \geq y \geq z \geq 0$  and  $x \leq a + b + c$ ,  $y \leq b + c$ ,  $z \leq c$

$$\text{stat}(\lambda) = \begin{cases} x + \max(0, \lceil \frac{y-a}{2} \rceil, y + z - b - c, \lceil \frac{2y+z-L}{2} \rceil) & \text{in Case 1(a),} \\ -L + 2x + y - z + \max(0, \lceil \frac{L+z-x-a}{2} \rceil) & \text{in Case 1(b)(i),} \\ 2x + 3y + z - (a + 3b + 3c) & \\ \quad + \max(0, \lceil \frac{2b+2c-x-y}{2} \rceil, a + 2b + 2c - x - 2y) & \text{in Case 1(b)(ii),} \\ y + z & \text{in Case 2.} \end{cases} \quad (3.1)$$

Our main result is the following.




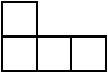
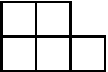
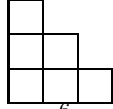



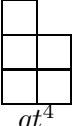

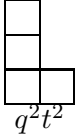
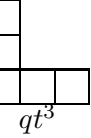
**Theorem 3.5.** *Let  $a, b, c$  be nonnegative integers with  $a + 1 \geq b$ ,  $a + 1, b + 1 \geq c$ . Then*

$$F(a, b, c) = \sum_{\lambda \subseteq \lambda(a, b, c)} q^{\text{area}(\lambda)} t^{\text{stat}(\lambda)}.$$

The proof of Theorem 3.5 is given in Section 4.7.

**Example 3.6.** Let us take  $a = b = c = 1$ , so that  $\lambda(1, 1, 1) = (3, 2, 1)$ . The subpartitions  $\lambda$  of  $(3, 2, 1)$  together with their monomial  $q^{\text{area}(\lambda)} t^{\text{stat}(\lambda)}$  are listed in Table 1, organized in the chains associated to Theorem 3.1.

TABLE 1. Subpartitions of  $(3, 2, 1)$  with their monomials  $q^{\text{area}(\lambda)}t^{\text{stat}(\lambda)}$ 

chains	subpartitions with statistics						
$[0, 6]_{q,t}$	$\emptyset$						
	$q^6$	$q^5t$	$q^4t^2$	$q^3t^3$	$q^2t^4$	$qt^5$	$t^6$
$[1, 4]_{q,t}$							
	$q^4t$	$q^3t^2$	$q^2t^3$	$qt^4$			
$[1, 3]_{q,t}$							
	$q^3t$	$q^2t^2$	$qt^3$				

**Remark 3.7.** Note that  $\text{stat}(\lambda)$  is in general different from  $\text{div}(\lambda)$  and  $\text{bounce}(\lambda)$ . As stated in [Hag08, Exercise 3.19],  $\text{div}(\lambda)$  is the number of cells  $x$  in  $\lambda$  such that  $\text{leg}(x) \leq \text{arm}(\lambda) \leq \text{leg}(x) + 1$ . Here  $\text{leg}(x)$  is the number of cells in  $\lambda$  above  $x$  in the same column as  $x$  and  $\text{arm}(x)$  is the number of cells in  $\lambda$  to the right of  $x$  in the same row as  $x$ . Then  $q^{\text{area}(\lambda)}t^{\text{div}(\lambda)}$  for the partitions in Table 1 read row by row, top to bottom, left to right are

$$q^6, q^5t, q^4t^2, q^3t^2, q^2t^4, qt^5, t^6, q^4t, q^3t^3, q^2t^3, qt^3, q^3t, q^2t^2, qt^4,$$

which differs from Table 1. Similarly, one may check that  $\text{bounce}(\lambda)$  is in general different from  $\text{stat}(\lambda)$ .

**Example 3.8.** Consider  $(a, b, c) = (1, 1, 2)$ , so that  $\lambda(1, 1, 2) = (4, 3, 2)$ . The subpartitions  $\lambda$  of  $(4, 3, 2)$  together with their monomial  $q^{\text{area}(\lambda)}t^{\text{stat}(\lambda)}$  are listed in Table 2 organized in the chains associated to Theorem 3.1.

**Remark 3.9.** As the parameter  $a$  becomes larger with respect to  $b$  and  $c$ , simplifications occur.






























- When  $a \geq b + c - 1$ , the statistics in (3.1) can be simplified by eliminating Case 2 and setting any expression that appears inside a “[ $\cdot$ ]” to 0. Moreover, in Table 3 the parameters  $\delta_{ij}$  and  $\delta^{EF}$  become uniformly 0 and the condition (4.3d) becomes unnecessary.
- When  $a \geq b + 2c$ , all the above simplifications hold. Moreover, in Table 3 the conditions (4.2c), (4.3c), and (4.5c) become unnecessary.

#### 4. PARTITION CHAINS AND PROOFS

In this section, we assume that  $a \geq b - 1$ ,  $a, b \geq c - 1$ . We provide four different indexing sets for symmetric chains that partition the set

$$\Lambda := \{\lambda \mid \lambda \subseteq \lambda(a, b, c) \text{ and } \lambda \text{ a partition}\}$$

TABLE 2. Subpartitions of  $(4, 3, 2)$  with their monomials  $q^{\text{area}(\lambda)}t^{\text{stat}(\lambda)}$

chains	subpartitions with statistics										
$[0, 9]_{q,t}$	$\emptyset$										
	$q^9$	$q^8t$	$q^7t^2$	$q^6t^3$	$q^5t^4$	$q^4t^5$	$q^3t^6$	$q^2t^7$	$qt^8$	$t^9$	
$[1, 7]_{q,t}$											
	$q^7t$	$q^6t^2$	$q^5t^3$	$q^4t^4$	$q^3t^5$	$q^2t^6$	$qt^7$				
$[1, 6]_{q,t}$											
	$q^6t$	$q^5t^2$	$q^4t^3$	$q^3t^4$	$q^2t^5$	$qt^6$					
$[2, 5]_{q,t}$											
	$q^5t^2$	$q^4t^3$	$q^3t^4$	$q^2t^5$							
$[3, 3]_{q,t}$											
	$q^3t^3$										

called tails, pseudoheads, heads, and quasiheads. The tails, pseudoheads, and quasiheads are defined as

$$\begin{aligned}
 \text{Set of } \textit{tails} & \quad T := \{T^{EF} \mid \text{conditions (4.2a)-(4.2c) on } E, F\}, \\
 \text{Set of } \textit{pseudoheads} & \quad P := \{P_{ij} \mid \text{conditions (4.3a)-(4.3d) on } i, j\}, \\
 \text{Set of } \textit{quasiheads} & \quad Q := \{Q_{st} \mid \text{conditions (4.5a)-(4.5c) on } s, t\},
 \end{aligned} \tag{4.1}$$

where  $T^{EF}$ ,  $P_{ij}$ , and  $Q_{st}$  are defined in Table 3 and for convenience  $A = a + 2b + 3c$  and  $L = a + b + c$  throughout this section. In addition, we write  $P = P^- \cup P^+$ , where

$$P^- = \{P_{ij} \in P \mid \delta_{ij} \leq \epsilon_{ij}\} \quad \text{and} \quad P^+ = \{P_{ij} \in P \mid \delta_{ij} > \epsilon_{ij}\}$$

and  $\epsilon_{ij}$  and  $\delta_{ij}$  are also given in Table 3.

Finally, we define the set of *heads*  $H = H^- \cup H^+$ , where  $H^- = P^-$  and

$$H^+ = \{(k, \ell, 0) \mid a < \ell \leq k < b + c\}.$$

For a negative head, the area range is the same as its area range as a pseudohead. For positive heads we set the area range to

$$R_k^\ell = [\ell, A - k - \ell].$$

**Example 4.1.** In terms of the indexing sets of Table 3, the symmetric chains in Table 1 of Example 3.6 from top to bottom correspond to the tails  $T^{00} = (3, 2, 1)$ ,  $T^{10} = (2, 2, 1)$ ,  $T^{01} = (3, 1, 1)$ , the pseudoheads (and heads)  $P_{00} = (0, 0, 0)$ ,  $P_{10} = (1, 1, 0)$ ,  $P_{11} = (1, 1, 1)$ , and the quasiheads  $Q_{00} = (0, 0, 0)$ ,  $Q_{10} = (1, 1, 0)$ ,  $Q_{11} = (1, 1, 1)$ , respectively. The tails are the largest partitions in the chain and the pseudoheads (heads, quasiheads) are the smallest partitions in each chain.



**Example 4.2.** The symmetric chains in Table 2 of Example 3.8 from top to bottom correspond to the tails  $T^{00} = (4, 3, 2)$ ,  $T^{10} = (3, 3, 2)$ ,  $T^{01} = (4, 2, 2)$ ,  $T^{11} = (3, 2, 2)$ ,  $T^{21} = (2, 2, 2)$ , the pseudoheads  $P_{00} = (0, 0, 0)$ ,  $P_{10} = (1, 1, 0)$ ,  $P_{11} = (1, 1, 1)$ ,  $P_{21} = (2, 2, 1)$ ,  $P_{22} = (2, 2, 2)$ , the heads  $H_{00} = (0, 0, 0)$ ,  $H_{10} = (1, 1, 0)$ ,  $H_{11} = (1, 1, 1)$ ,  $H_{20} = (2, 2, 0)$ ,  $H_{22} = (2, 2, 2)$ , and the quasiheads  $Q_{00} = (0, 0, 0)$ ,  $Q_{10} = (1, 1, 0)$ ,  $Q_{11} = (1, 1, 1)$ ,  $Q_{20} = (2, 2, 0)$ ,  $Q_{22} = (2, 2, 2)$ , respectively. The tails are the largest partitions in the chain and the heads are the smallest partitions in the chain. For the chain  $[2, 5]_{q,t}$ , the head and pseudohead are not the same.

The set of tails, pseudoheads, heads, and quasiheads are all in area preserving bijection. That is, if  $X, Y$  are one of the sets tails, pseudoheads, heads, and quasiheads and the area ranges for  $x \in X$  and  $y \in Y$  are  $R_x$  and  $R_y$ , respectively, then there is a bijection  $\Phi: X \rightarrow Y$  such that  $R_x = R_{\Phi(x)}$  for all  $x \in X$  (see Sections 4.1, 4.2 and 4.5).

In Section 4.4, we define chains (using the strings of Section 4.3) and prove in Theorem 4.8 that the chains partition  $\Lambda$ , the set of all subpartitions of  $\lambda(a, b, c)$ . In Section 4.6, using the quasiheads, we show that the combinatorial symmetric chain function  $G(a, b, c)$  satisfies the same recursions as  $F(a, b, c)$ , thereby proving Theorem 3.1. The proof of Theorem 3.5 is given in Section 4.7.

**4.1. Area preserving bijection between tails and pseudoheads.** We now construct an area preserving bijection between tails and pseudoheads.

**Lemma 4.3.** *Define maps  $\Psi$  and  $\Psi^{-1}$  by*

$$\begin{aligned}\Psi(E, F) &= (E + F - \epsilon^{EF}, F + \epsilon^{EF}), \\ \Psi^{-1}(i, j) &= (i - j + 2\epsilon_{ij}, j - \epsilon_{ij}).\end{aligned}$$

*Then  $\Psi$  induces a bijection from  $T$  to  $P$  via the rule that if  $\Psi(E, F) = (i, j)$  then*

$$(a + b + c - E, b + c - F, c) \mapsto (i, i, j).$$

*The inverse of this bijection is induced by  $\Psi^{-1}$  via the rule that if  $\Psi^{-1}(i, j) = (E, F)$  then*

$$(i, i, j) \mapsto (a + b + c - E, b + c - F, c).$$

*Moreover, if  $\Psi(E, F) = (i, j)$ , then  $R^{EF} = R_{ij}$ .*

*Proof.* First we show that  $\Psi$  is a bijection on  $\mathbb{Z}^2$ . Indeed, note that if either  $\Psi(E, F) = (i, j)$  or  $\Psi^{-1}(i, j) = (E, F)$  we have  $\delta^{EF} = \delta_{ij}$  and  $\epsilon^{EF} = \epsilon_{ij}$ . Hence, a simple computation shows that  $\Psi \circ \Psi^{-1}$  and  $\Psi^{-1} \circ \Psi$  are the identity on  $\mathbb{Z}^2$ . Moreover, it is apparent that  $\Psi$  preserves the area range. It remains to show that  $\Psi(T) \subseteq P$  and  $\Psi^{-1}(P) \subseteq T$ .

First let  $T^{EF} \in T$  and suppose  $\Psi(E, F) = (i, j)$ . We must show that the conditions in (4.3a)-(4.3d) hold:

- Condition (4.3a): The condition  $0 \leq j \leq c$  translates to  $0 \leq F + \epsilon^{EF} \leq c$  which is immediate from (4.2a).
- Condition (4.3b): The condition  $j \leq i \leq b + c$  translates to  $F + \epsilon^{EF} \leq E + F - \epsilon^{EF} \leq b + c$ . The left hand side follows from the left hand side of (4.2b). If  $\epsilon^{EF} = 0$ , then we have  $E + 2F \leq b + c$  so the right hand side follows. Otherwise the right hand side reduces to  $-F + b + c \leq b + c$  which follows from  $F \geq 0$ .
- Condition (4.3c): The condition  $4i + j \leq a + 3b + 3c$  translates to  $4E + 5F - 3\epsilon^{EF} \leq a + 3b + 3c$  which is (4.2c).

TABLE 3. Various indexing sets for chains

<i>Tails</i>	$T^{EF} = (a + b + c - E, b + c - F, c)$
Conditions	$0 \leq F \leq c - \epsilon_{EF} \quad (4.2a)$ $2\epsilon^{EF} \leq E \leq F + a \quad (4.2b)$ $4E + 5F - 3\epsilon^{EF} \leq a + 3b + 3c \quad (4.2c)$
Area range	$R^{EF} = [E + F, A - 2E - 3F + \max(\epsilon^{EF}, \delta^{EF})]$
Notation	$\epsilon^{EF} = \max(0, E + 2F - b - c)$ and $\delta^{EF} = \lceil \frac{E+F-a}{2} \rceil$
<i>Pseudoheads</i>	$P_{ij} = (i, i, j)$
Conditions	$0 \leq j \leq c \quad (4.3a)$ $j \leq i \leq b + c \quad (4.3b)$ $4i + j \leq a + 3b + 3c \quad (4.3c)$ $i - 2j \leq a \quad (4.3d)$
Area range	$R_{ij} = [i + \epsilon_{ij}, A - 2i - j + \max(0, \delta_{ij} - \epsilon_{ij})]$
Notation	$\epsilon_{ij} = \max(0, i + j - (b + c)), \quad \delta_{ij} = \lceil \frac{i + \epsilon_{ij} - a}{2} \rceil \quad (4.4)$
<i>Quasiheads</i>	$Q_{st} = (s, s, t)$
Conditions	$0 \leq t \leq c \quad (4.5a)$ $t \leq s \leq b + c \quad (4.5b)$ $2s + 2t \leq a + b + 2c - m_{ct} \quad (4.5c)$
Area range	$R_{st} = [s + \epsilon_{st}, A - 2s - t]$
Notation	$\epsilon_{st} = \max(0, s + t - (b + c))$ and $m_{ct} = (c - t) \pmod{2}$

- Condition (4.3d): The condition  $i - 2j \leq a$  translates to  $E - F - 3\epsilon^{EF} \leq a$  which follows from the right hand side of (4.2b).

Now let  $P_{ij} \in P$  and suppose  $\Psi^{-1}(i, j) = (E, F)$ . We must show that the conditions in (4.2a)-(4.2c) hold:

- Condition (4.2a): The condition  $0 \leq F \leq c - \epsilon^{EF}$  translates to  $0 \leq j - \epsilon_{ij} \leq c - \epsilon^{EF}$ . The left hand side follows from  $j \geq 0$  unless  $\epsilon_{ij} > 0$ , in which case it follows from  $i \leq b + c$ . The right hand side is equivalent to  $j \leq c$  (since  $\epsilon_{ij} = \epsilon^{EF}$ ).
- Condition (4.2b): The condition  $2\epsilon^{EF} \leq E \leq F + a$  translates to  $2\epsilon^{EF} \leq i - j + 2\epsilon_{ij} \leq j - \epsilon_{ij} + a$ . The left hand side is equivalent to  $j \leq i$  and the right hand side follows from (4.3d).
- Condition (4.2c): The condition  $4E + 5F - 3\epsilon^{EF} \leq a + 3b + 3c$  translates to  $4i - 4j + 8\epsilon_{ij} + 5j - 5\epsilon_{ij} - 3\epsilon^{EF} \leq a + 3b + 3c$  which follows from (4.3c).

□

**4.2. Area preserving bijection between pseudoheads and heads.** We now construct an area preserving bijection between pseudoheads and heads. Set  $\delta_k^\ell = \lceil \frac{\ell-a}{2} \rceil$  and  $\epsilon_k^\ell = \max(k + \delta_k^\ell - b - c, 0)$ .

**Lemma 4.4.** *Define maps  $\Theta$  and  $\Theta^{-1}$  by*

$$\begin{aligned}\Theta(i, j) &= (i + j - \delta_{ij}, i + \epsilon_{ij}), \\ \Theta^{-1}(k, \ell) &= (\ell - \epsilon_k^\ell, k - \ell + \epsilon_k^\ell + \delta_k^\ell).\end{aligned}$$

*Then  $\Theta$  induces a bijection from  $P$  to  $H$ , which is the identity on  $P^-$  and, if  $\Theta(i, j) = (k, \ell)$  it acts as  $(i, i, j) \mapsto (k, \ell, 0)$  on  $P^+$ . The inverse of this map is the identity on  $H^-$  and, if  $\Theta^{-1}(k, \ell) = (i, j)$ , then  $(k, \ell, 0) \mapsto (i, i, j)$  on  $H^+$ . Moreover if  $\Theta(i, j) = (k, \ell)$  then  $R_{ij} = R_k^\ell$ .*

*Proof.* First we show that  $\Theta$  is a bijection on  $\mathbb{Z}^2$ . Indeed, note that if either  $\Theta(i, j) = (k, \ell)$  or  $\Theta^{-1}(k, \ell) = (i, j)$  we have  $\delta_k^\ell = \delta_{ij}$  and  $\epsilon_k^\ell = \epsilon_{ij}$ . Hence, a simple computation shows that  $\Theta \circ \Theta^{-1}$  and  $\Theta^{-1} \circ \Theta$  are the identity on  $\mathbb{Z}^2$ . Moreover, it is apparent that  $\Theta$  preserves the area range.

Now suppose  $P_{ij} \in P^+$ , and  $\Theta(i, j) = (k, \ell)$ . We wish to show that  $(k, \ell, 0) \in H^+$ . This means we must verify the inequalities  $a < i + \epsilon_{ij} \leq i + j - \delta_{ij} < b + c$ . The first inequality is immediate because  $\delta_{ij} > \epsilon_{ij}$  is equivalent to  $i - \epsilon_{ij} > a$ . The second inequality is the same as  $\delta_{ij} + \epsilon_{ij} \leq j$  which is equivalent to  $i - 2j \leq a - 3\epsilon_{ij}$ . If  $\epsilon_{ij} = 0$ , this is the same as the pseudohead condition  $i - 2j \leq a$ . Otherwise, it is equivalent to the pseudohead condition  $4i + j \leq a + 3b + 3c$ . Finally, the last inequality is just  $i + j - (b + c) < \delta_{ij}$  which is immediate since the former is less than or equal to  $\epsilon_{ij}$  which is by assumption less than  $\delta_{ij}$ .

Now suppose  $H_k^\ell \in H^+$  and  $\Theta^{-1}(k, \ell) = (i, j)$ . We need to show that  $\delta_{ij} > \epsilon_{ij}$  as well as the pseudohead conditions (4.3a)-(4.3d) for  $i = \ell - \epsilon_k^\ell$  and  $j = k - \ell + \epsilon_k^\ell + \delta_k^\ell$  for any  $(k, \ell)$  such that  $a < \ell \leq k < b + c$ :

- $\delta_{ij} > \epsilon_{ij}$ . We have  $\delta_{ij} - \epsilon_{ij} = \delta_k^\ell - \epsilon_k^\ell = \min(-k + b + c, \delta_k^\ell)$ . But this is a positive number because  $k < b + c$  and  $\ell > a$ .
- Condition (4.3a): The condition  $0 \leq j \leq c$  translates to  $0 \leq k - \ell + \epsilon_k^\ell + \delta_k^\ell \leq c$ . The left side holds since all of  $k - \ell, \epsilon_k^\ell, \delta_k^\ell$  are nonnegative. Now, if  $\epsilon_k^\ell = 0$  then  $k + \delta_k^\ell \leq b + c \leq a + c + 1 \leq \ell + c$  which implies the right hand side. On the other hand, if  $\epsilon_k^\ell > 0$  the inequality becomes  $2k - \ell + 2\delta_k^\ell \leq b + c$  which would certainly hold if  $2k + 2\frac{\ell-a}{2} - \ell = 2k - a < b + 2c$ . But this is true since  $k < b + c$  and  $k \leq b + c - 1 \leq a + c$ .
- Condition (4.3b): The left hand side of the condition  $j \leq i \leq b + c$  translates to  $k - \ell + \epsilon_k^\ell + \delta_k^\ell \leq \ell - \epsilon_k^\ell$ , that is,  $k + 2\epsilon_k^\ell \leq 2\ell - \delta_k^\ell$ . If  $\epsilon_k^\ell = 0$  this says  $k \leq \lfloor \frac{3\ell+a}{2} \rfloor$ . But  $k \leq b + c - 1 \leq (a + 1) + (a + 1) - 1 = 2a + 1$ . On the other hand  $\ell > a$  implies  $\lfloor \frac{3\ell+a}{2} \rfloor \leq 2a + 1$ . If  $\epsilon_k^\ell > 0$  the left hand inequality reduces to  $3k \leq 2\ell - 3\delta_k^\ell + 2b + 2c = \lfloor \frac{\ell+a}{2} \rfloor + a + 2b + 2c$  which would certainly hold if  $2k + k = 3k \leq 2a + 2b + 2c$ . But  $2k \leq b + c - 2$  and  $k \leq 2a + 1$  so this holds (in fact strictly). Moreover, the righthand side easily holds as  $\ell - \epsilon_k^\ell \leq \ell \leq k < b + c$ .
- Condition (4.3c): The condition  $4i + j \leq a + 3b + 3c$  translates to  $3\ell - 3\epsilon_k^\ell + k + \delta_k^\ell \leq a + 3b + 3c$ . If  $\epsilon_k^\ell = 0$ , we have  $k + \delta_k^\ell \leq b + c$ . Hence it is enough to show that  $3\ell \leq a + 2b + 2c$ . But this is also true since  $k + \delta_k^\ell \leq b + c$  is equivalent to  $2k + \ell \leq a + 2b + 2c$  and  $\ell \leq k$ . On the other hand, if  $\epsilon_k^\ell > 0$  the inequality we

need to show reduces to  $3\ell - 2k - 2\delta_k^\ell \leq a$ . Since  $\ell - k \leq 0$  it suffices to show that  $\ell - 2\delta_k^\ell \leq a$ . But this is clear since  $\ell - 2\delta_k^\ell \leq \ell - 2\frac{\ell-a}{2} = a$ .

- Condition (4.3d): The condition  $i - 2j \leq a$  translates to  $3\ell - 2k - 3\epsilon_k^\ell - 3\delta_k^\ell \leq a$ . But this follows from  $\ell - 2\delta_k^\ell \leq a$  and  $\ell - k \leq 0$ .

This shows that  $\Theta$  induces a bijection from  $P^+$  to  $H^+$ . Extending this map to all of  $P$  by declaring it to be the identity on  $P^-$  is also a bijection as long as  $H^- \cap H^+ = \emptyset$ . Indeed, only partitions of the form  $(m, m, 0)$  may lie in both  $H^-$  and  $H^+$ . Moreover, being in  $H^-$  implies  $\delta_{m0} - \epsilon_{m0} \leq 0$  which means  $m \leq a$ . On the other hand, being in  $H^+$  would require  $a < m$ .  $\square$

**4.3. Strings.** We now consider the set of all partitions  $\Lambda$  which fit inside the partition  $\lambda(a, b, c) = (a + b + c, b + c, c)$ . We call such a partition  $(x, y, z)$  *positive* if  $z < \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$  and negative otherwise. Write  $\Lambda = \Lambda^- \cup \Lambda^+$ .

Let  $P_{ij} = (i, i, j)$  be a pseudohead with  $\Psi(E, F) = (i, j)$ . Suppose that  $T^{EF} = (p, q, c)$ . We define the string associated to  $P_{ij}$  and  $T^{EF}$  to be

$$S(P_{ij}) = S(T^{EF}) = \bigcup_{i \leq x < p} (x, i, j) \bigcup_{i \leq y < q} (p, y, j) \bigcup_{j \leq z \leq c} (p, q, z). \quad (4.6)$$

**Lemma 4.5.**  *$(p, q, c)$  is a partition containing  $(i, i, j)$  and is contained in  $\lambda(a, b, c)$ . Thus every  $S(P_{ij})$  is a nonempty set of partitions contained in  $\lambda(a, b, c)$ .*

*Proof.* It is clear that  $p = L - E \leq L$  by the left side of (4.2b). Furthermore,  $q = b + c - F \leq b + c$  by the left side of (4.2a). Obviously  $c \leq c$ . Hence  $(p, q, c)$  is contained in  $\lambda(a, b, c)$ .

Now  $p - q = L - E - (b + c - F) = a - E + F \geq 0$  by the right side of (4.2b). Furthermore,  $q = b + c - F \geq c$  follows from  $F \leq c$  (which comes from the right side of (4.2a)) unless  $b < c$ . If  $b < c$ , we must have  $b = c - 1$  so we just need to show  $q = (c - 1) + c - F \geq c$  or equivalently  $F \leq c - 1$ . Indeed, if  $F = c$  then  $\epsilon^{EF} = \max(2c + E - (2c - 1), 0) = \max(E + 1, 0) > 0$  by the left-hand side of (4.2b). Thus the right-hand side of (4.2a) implies  $F \leq c - 1$  contradicting the assumption  $F = c$ . This shows that  $(p, q, c)$  is indeed a partition.

Finally it is obvious that  $j \leq c$  and since we already showed that  $p \geq q$  all that remains to show is  $q \geq i$ . But this says  $b + c - F \geq i$  or  $b + c - (j - \epsilon_{ij}) \geq i$  which is equivalent to  $i + j - (b + c) \leq \epsilon_{ij}$  which follows immediately from (4.4).  $\square$

**Theorem 4.6.** *Let  $\mu \in \Lambda^-$ . Then there exists unique  $P_{ij} \in P$  such that  $\mu \in S(P_{ij})$ . Conversely, if  $\mu \in S(P_{ij})$  for some pseudohead  $P_{ij}$ , then  $\mu \in \Lambda^-$ .*

*Proof.* Let  $\mu = (x, y, z) \in \Lambda^-$ . Let us set:

$$\mathcal{E}(y, z) = y - z + 2\epsilon_{yz} \quad \text{and} \quad \mathcal{F}(y, z) = z - \epsilon_{yz}.$$

We prove the first statement in three cases.

- (1) First suppose  $x + \mathcal{E}(y, z) < L$ . Note that this corresponds to Case 1(a) in Section 3.2. To show uniqueness suppose  $\mu \in S(P_{ij})$  with tail  $T^{(L-p)(b+c-q)}$ .

If  $\mu$  is from the second union in (4.6), then  $\mu = (p, y, j)$  for  $i \leq y < q$ . Since  $\mathcal{E}(y, j) \geq \mathcal{E}(i, j)$  we have:

$$x + \mathcal{E}(y, z) = p + \mathcal{E}(y, j) \geq p + \mathcal{E}(i, j) = (L - \mathcal{E}(i, j)) + \mathcal{E}(i, j) = L.$$

If  $\mu$  is from the third union in (4.6), then  $\mu = (p, q, z)$  for  $j \leq z \leq c$ . Now  $q = b + c - \mathcal{F}(i, j)$  implies  $q + j \geq b + c$ . From this, it follows that  $\mathcal{E}(q, z) \geq \mathcal{E}(q, j)$ .

Since  $\mathcal{E}(q, j) \geq \mathcal{E}(i, j)$  as well we have:

$$x + \mathcal{E}(y, z) = p + \mathcal{E}(q, j) \geq p + \mathcal{E}(i, j) = (L - \mathcal{E}(i, j)) + \mathcal{E}(i, j) = L.$$

This means that  $\mu$  can only come from the first union, so that we must have  $i = y$  and  $j = z$ . Hence  $\mu$  can be in no other string than  $S(P_{yz})$ .

Now we show that  $\mu \in S(P_{yz})$ . First we need to check  $P_{yz}$  satisfies the pseudohead conditions:

- Condition (4.3a):  $0 \leq z \leq c$  is immediate.
- Condition (4.3b):  $z \leq y \leq b + c$  is immediate.
- Condition (4.3c):  $4y + z \leq a + 3b + 3c$ . If  $\epsilon_{yz} = 0$  then the original assumption becomes  $x + y - z < L$  and we also have  $y + z \leq b + c$ . Adding the first inequality to twice the second yields  $x + 3y + z < a + 3b + 3c$  and we are done since  $y \leq x$ . If  $\epsilon_{yz} > 0$  then the original assumption reduces directly to  $x + 3y + z < a + 3b + 3c$  so we are done for the same reason.
- Condition (4.3d):  $y - 2z \leq a$ . First suppose  $\epsilon_{yz} = 0$ . Now, since  $\mu$  is a negative partition we either have  $z \geq \lceil \frac{y-a}{2} \rceil$  which would mean  $2z \geq y - a$  and we would be done, or else,  $z \geq b + c - x$ . In the second case:  $\epsilon_{yz} = 0$  along with the original assumption imply  $x + y - z < L$ , and subtracting from this the inequality  $x + z \geq b + c$  gives  $y - 2z < a$ . Finally, if  $\epsilon_{yz} > 0$  then we have  $y + z > b + c$ . Subtracting three times this from  $4y + z \leq a + 3b + 3c$  (which we have already verified) gives  $y - 2z < a$ .

Now that  $P_{yz}$  is in fact a pseudohead it is obvious that  $\mu \in S(P_{yz})$  (in the first union) because  $x < L - \mathcal{E}(y, z)$ .

- (2) Now suppose  $x + \mathcal{E}(y, z) \geq L$  and  $y + z < b + c$ . Note that this corresponds to Case 1(b)(i) in Section 3.2. To show uniqueness suppose  $\mu \in S(P_{ij})$  with tail  $T^{(L-p)(b+c-q)}$ .

If  $\mu$  is from the first union in (4.6), then  $\mu = (x, i, j)$  for  $i \leq x < p$ . But this means  $x + \mathcal{E}(i, j) < L$ , that is,  $x + \mathcal{E}(y, z) < L$ , contradicting our assumption.

If  $\mu$  is from the third union in (4.6), then  $\mu = (p, q, z)$  for  $j \leq z \leq c$ . Now  $q = b + c - \mathcal{F}(i, j)$  where  $\mathcal{F}(i, j) = j$  because  $y + z < b + c$  means  $i + j < b + c$ . Thus  $q + j = b + c$  so  $q + z \geq b + c$ , that is,  $y + z \geq b + c$ , again contradicting our assumption.

This means that  $\mu$  can only come from the second union in (4.6). In this case,  $\mu$  is of the form  $(p, y, j)$  for  $i \leq y < q$ . In particular,  $x = p = L - \mathcal{E}(i, j) = L - \mathcal{E}(i, z)$ . But  $i + z \leq y + z < b + c$  so  $\epsilon_{iz} = 0$  and this reduces to  $x = L - i + z$ . Therefore,  $i = L + z - x$ , and we see  $\mu$  can be in no other string than  $S(P_{(L+z-x)z})$ .

Now we show that  $\mu \in S(P_{(L+z-x)z})$ . First we need to check that  $P_{(L+z-x)z}$  satisfies the pseudohead conditions:

- Condition (4.3a):  $0 \leq z \leq c$  is immediate.
- Condition (4.3b):  $z \leq L + z - x \leq b + c$ . The left hand side is immediate because  $x \leq L$ . On the other hand the first original assumption implies  $L + z - x \leq \mathcal{E}(y, z) + z$  and the second original assumption implies  $\mathcal{E}(y, z) = y - z$ . Thus  $L + z - x \leq y \leq b + c$ .
- Condition (4.3c):  $4(L + z - x) + z \leq a + 3b + 3c$ . Since  $\mu$  is a negative partition we have  $z \geq \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$ . First suppose that  $z \geq \lceil \frac{y-a}{2} \rceil$ . This along

with the fact that  $(L + z - x) + z \leq y + z < b + c$  implies that:

$$\begin{aligned} 4(L + z - x) + z &= (L + z - x) + 3(L + z - x + z) - 2z \\ &\leq y + 3(b + c) + (a - y) = a + 3b + 3c. \end{aligned}$$

Otherwise we must have  $z < \lceil \frac{y-a}{2} \rceil$ , but  $z \geq b + c - x$ . Now  $\lceil \frac{y-a}{2} \rceil > b + c - x$  means  $y > a + 2b + 2c - 2x$ . Since  $y < b + c - z$  this gives  $a + 2b + 2c - 2x < b + c - z$  which becomes  $2x - z > a + b + c$ . Adding this inequality to  $x + z \geq b + c$  (which is equivalent to the assumption on hand) we obtain  $3x > a + 2b + 2c$ . At this point we suppose for the sake of contradiction that  $4(L + z - x) + z > a + 3b + 3c$ . This means  $(L + z - x + z) + 3L + 3z - 3x > a + 3b + 3c$  which in light of the previous equation yields  $(L + z - x + z) + 3L + 3z > 2a + 5b + 5c$ . This in turn gives  $3L + 3z > 2a + 4b + 4c$  since  $L + z - x + z < b + c$ . Finally, we are left with  $3z > -a + b + c$ . But at the same time  $4(L + z - x) + z > a + 3b + 3c$  means  $4(L + z - x + z) - 3z > a + 3b + 3c$ . And again making use of  $L + z - x + z < b + c$  this implies  $3z < -a + b + c$ , which is a contradiction. Hence we must have  $4(L + z - x) + z \leq a + 3b + 3c$ .

- Condition (4.3d):  $(L + z - x) - 2z \leq a$ . Again,  $\mu$  is negative so we may consider two cases. First, if  $z \geq \lceil \frac{y-a}{2} \rceil$  then  $L + z - x \leq y$  implies  $L + z - x - 2z = (L + z - x) - y + a \leq a$ . On the other hand if  $z \geq b + c - x$  then  $(L + z - x) - 2z = L - x - z \leq L - b - c = a$ .

Now since  $P_{(L+z-x)z}$  is indeed a pseudohead, the facts that  $L - \mathcal{E}(L + z - x, z) = L - (L - x) = x$  and  $L + z - x \leq y < b + c - \mathcal{F}(L + z - z, z)$  (since the latter is equal to  $b + c - z$ ) imply that  $\mu \in S(P_{(L+z-x)z})$  (in the second union in (4.6)).

- (3) Now suppose  $x + \mathcal{E}(y, z) \geq L$  and  $y + z \geq b + c$ . Note that this corresponds to Case 1(b)(ii) in Section 3.2. To show uniqueness suppose  $\mu \in S(P_{ij})$  with tail  $T^{(L-p)(b+c-q)}$ .

If  $\mu$  is from the first union in (4.6), then  $\mu = (x, i, j)$  for  $i \leq x < p$ . This means that  $x + \mathcal{E}(y, z) = x + \mathcal{E}(i, j) < L$ , contradicting our assumption.

If  $\mu$  is from the second union in (4.6), then  $\mu = (p, y, j)$  for  $i \leq y < q$ . Thus  $y < b + c - \mathcal{F}(i, j)$  which is equivalent to  $y + j - (b + c) < \epsilon_{ij} \leq \epsilon_{yj}$  which implies  $\epsilon_{yj} = 0$  and  $y + j - (b + c) < 0$ , contradicting  $y + j = y + z \geq b + c$ .

This means that  $\mu$  can only come from the third union in (4.6), so that we must have  $x = p$  and  $y = q$ . Hence  $\mu$  can be in no other string than  $S(T^{(L-x)(b+c-y)})$ .

Now we show that  $\mu \in S(T^{(L-x)(b+c-y)})$ . First we need to check that  $T^{(L-x)(b+c-y)}$  satisfies the tail conditions (4.2a)-(4.2c) for  $E = L - x$  and  $F = b + c - y$ :

- Condition (4.2a):  $0 \leq F \leq c - \epsilon^{EF}$ . This means  $0 \leq b + c - y \leq c - \epsilon^{(L-x)(b+c-y)}$ . The left-hand side follows from  $y \leq b + c$ . The right-hand side says  $\epsilon^{(L-x)(b+c-y)} \leq y - b$ . We may assume  $\epsilon^{(L-x)(b+c-y)} = a + 2b + 2c - x - 2y$  because if it were 0 then the fact that  $z \leq c$  and  $y + z \geq b + c$  imply  $y - b \geq 0$  which would prove this side. Under this assumption what we need to show becomes  $x + 3y \geq a + 3b + 2c$ . But  $y + z \geq b + c$  implies that  $\mathcal{E}(y, z) = 3y + z - 2(b + c)$ , so the original assumption that  $x + \mathcal{E}(y, z) \geq L$  becomes  $x + 3y + z \leq a + 3b + 3c$  which implies what we wanted to show as  $z \leq c$ .
- Condition (4.2b):  $2\epsilon^{EF} \leq E \leq F + a$ . If  $\epsilon^{EF} = 0$  the left-hand side is immediate. Otherwise it is equivalent to  $x + 4y \geq a + 3b + 3c$ . This follows from  $x + 3y + z \leq a + 3b + 3c$  unless  $y < c$ . But this means we must have  $z > b$  to

obtain  $y + z \geq b + c$ . Since  $y \geq z$  this would give  $b \leq c - 2$  which is not allowed. The right hand side follows directly from  $x \geq y$ .

- Condition (4.2c):  $4E + 5F - 3\epsilon^{EF} \leq a + 3b + 3c$ . This reduces to  $4x + 5y + 3\epsilon^{(L-x)(b+c-y)} \geq 3a + 6b + 6c$ . If  $\epsilon^{(L-x)(b+c-y)} = 0$  we must have  $x + 2y \geq a + 2b + 2c$ . Adding three times this inequality to the inequality  $x - y \geq 0$  gives us what we desire. If  $\epsilon^{(L-x)(b+c-y)} > 0$  then  $\epsilon^{(L-x)(b+c-y)} = a + 2b + 2c - x - 2y$  and the inequality  $4x + 5y + 3\epsilon^{(L-x)(b+c-y)} \geq 3a + 6b + 6c$  reduces to  $x - y \geq 0$ .

Now we know that  $T^{(L-x)(b+c-y)}$  is a valid tail. Denote  $\Psi(L - x, b + c - y) = (i, j)$ . In order to show that  $\mu \in S(T^{(L-x)(b+c-y)})$  we need only verify that  $j \leq z$ . That is to say  $b + c - y + \epsilon^{(L-x)(b+c-y)} \leq z$ . If  $\epsilon^{(L-x)(b+c-y)} = 0$  this follows from the original assumption that  $y + z \geq b + c$ . Otherwise it reduces to  $a + 3b + 3c \leq x + 3y + z$ . But this is equivalent to the original assumption that  $x + \mathcal{E}(y, z) \geq L$  since  $y + z \geq b + c$  implies  $\epsilon_{yz} = y + z - (b + c)$ .

This concludes the proof of the first statement.

Now we prove the second statement. Suppose  $\mu = (x, y, z) \in S(P_{ij})$  for some pseudohead  $P_{ij}$ . We must show that  $z \geq \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$ . We use two cases:

- (1)  $\mu$  is in the first union in (4.6). We show  $z \geq \lceil \frac{y-a}{2} \rceil$ . We have  $y = i$  and  $j = z$  so this becomes  $j \geq \lceil \frac{i-a}{2} \rceil$ . But the latter is equivalent to  $2j \geq i - a$  which is equivalent to condition (4.3d).
- (2)  $\mu$  is in the second or third union in (4.6). We show  $z \geq b + c - x$ . First, if  $\epsilon_{ij} > 0$  then  $i + j > b + c$  directly implies  $j > b + c - x$  so that  $z > b + c - x$ . Now we assume  $\epsilon_{ij} = 0$ . Since  $x = L - \mathcal{E}(i, j)$  and  $z \geq j$  it would be enough to show  $j \geq b + c - (L - (i - j))$  which reduces to  $j \geq -a + i - j$  but this follows from condition (4.3d).

□

If  $H_k^\ell \in H^+$ , we define the *appendage* associated to  $H_k^\ell$  to be

$$A(H_k^\ell) = \{(k, \ell, z) \mid z < \min(b + c - k, \lceil \frac{\ell - a}{2} \rceil)\}.$$

**Theorem 4.7.** *Let  $\mu \in \Lambda^+$ . Then there exists unique  $H_k^\ell \in H^+$  such that  $\mu \in A(H_k^\ell)$ . Conversely, if  $\mu \in A(H_k^\ell)$  for some positive head  $H_k^\ell$ , then  $\mu \in \Lambda^+$ .*

*Proof.* Let  $\mu = (x, y, z) \in \Lambda^+$ . Note that this correspond to Case 2 in Section 3.2. Then it is immediate that  $\mu$  could only belong to the appendage  $A(H_x^y)$ . Since  $z < \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$  in particular  $0 < \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$ . This implies both  $x < b + c$  and  $y > a$  so (as  $y \leq x$ )  $H_x^y \in H^+$ . Since  $\mu$  is positive  $z < \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$ , so  $\mu \in A(H_x^y)$ .

Now if  $\mu = (x, y, z) \in A(H_k^\ell)$  for some head, then  $x = k$  and  $y = \ell$  and so the inequality  $z < \min(b + c - x, \lceil \frac{y-a}{2} \rceil)$  is clearly satisfied implying that  $\mu \in \Lambda^+$ . □

**4.4. Chains.** Suppose  $T^{EF} \in T$ . Set  $(i, j) = \Psi(E, F)$ . If  $P_{ij} \in P^+$  set  $(k, \ell) = \Theta(i, j)$ . We define the *chain* of  $T^{EF}$  to be

$$C(T^{EF}) = \begin{cases} S(P_{ij}) & \text{if } P_{ij} \in P^-, \\ S(P_{ij}) \cup A(H_k^\ell) & \text{if } P_{ij} \in P^+. \end{cases} \quad (4.7)$$

Our fundamental result concerning chains is the following.



**Theorem 4.8.**  $\Lambda$  is the disjoint union:

$$\Lambda = \bigcup_{T^{EF} \in \mathcal{T}} C(T^{EF}).$$

Moreover, for each integer  $m \in R^{EF} = [E + F, A - 2E - 3F + \max(\delta^{EF}, \epsilon^{EF})]$  there is precisely one element  $\mu \in C(T^{EF})$  with  $\text{area}(\mu) = m$ .

*Proof.* The first statement is immediate by combining Theorems 4.6 and 4.7.

Now fix  $T^{EF}$  and set  $(i, j) = \Psi(E, F)$ . If  $P_{ij} \in P^-$ , then by definition  $C(T^{EF}) = S(P_{ij})$ . By construction, this string has one partition of area  $m$  for each  $m \in [\text{area}(T^{EF}), \text{area}(P_{ij})]$ . But  $\text{area}(T^{EF}) = E + F$ . Moreover,  $\text{area}(P_{ij}) = A - 2i - j = A - 2E - 3F + \epsilon^{EF}$  and since  $P_{ij} \in P^-$  implies that  $\epsilon_{ij} = \max(\delta_{ij}, \epsilon_{ij}) = \max(\delta^{EF}, \epsilon^{EF})$  this means  $\text{area}(P_{ij}) = A - 2E - 3F + \max(\delta^{EF}, \epsilon^{EF})$ .

Now suppose  $P_{ij} \in P^+$ . Then  $C(T^{EF}) = S(P_{ij}) \cup A(H_k^\ell)$  has one partition of area  $m$  for each  $m \in [\text{area}(T^{EF}), \text{area}(P_{ij})]$  and one partition of area  $n$  for each  $n \in [\text{area}(H_k^\ell) - \min(b + c - k, \lceil \frac{\ell - a}{2} \rceil) + 1, \text{area}(H_k^\ell)]$ . Again,  $\text{area}(T^{EF}) = E + F$  and  $\text{area}(P_{ij}) = A - 2E - 3F + \epsilon^{EF}$  so it suffices to prove the two equations

$$\begin{aligned} \text{area}(H_k^\ell) - \min(b + c - k, \lceil \frac{\ell - a}{2} \rceil) + 1 &= A - 2E - 3F + \epsilon^{EF} + 1, \\ \text{area}(H_k^\ell) &= A - 2E - 3F + \max(\delta^{EF}, \epsilon^{EF}) = A - 2E - 3F + \delta^{EF}. \end{aligned}$$

However, we have

$$\begin{aligned} k + \ell &= (i + j - \delta_{ij}) + (i + \epsilon_{ij}) = 2i + j - (\delta_{ij} - \epsilon_{ij}) \\ &= 2(E + F - \epsilon^{EF}) + (F + \epsilon^{EF}) - (\delta^{EF} - \epsilon^{EF}) = 2E + 3F - \delta^{EF}, \end{aligned}$$

so that  $\text{area}(H_k^\ell) = A - (k + 1) = A - 2E - 3F + \delta^{EF}$  as desired. On the other hand

$$\begin{aligned} \min(b + c - k, \lceil \frac{\ell - a}{2} \rceil) &= \min(b + c - (i + j - \delta_{ij}), \lceil \frac{i + \epsilon_{ij} - a}{2} \rceil) \\ &= \min(b + c - (i + j) + \delta_{ij}, \delta_{ij}) = \delta_{ij} + \min(b + c - (i + j), 0) \\ &= \delta_{ij} - \epsilon_{ij} = \delta^{EF} - \epsilon^{EF}. \end{aligned}$$

Hence we have

$$\begin{aligned} \text{area}(H_k^\ell) - \min(b + c - k, \lceil \frac{\ell - a}{2} \rceil) &= A - 2E - 3F + \delta^{EF} - (\delta^{EF} - \epsilon^{EF}) \\ &= A - 2E - 3F + \epsilon^{EF}, \end{aligned}$$

which gives the other equation we wanted after adding 1 to both sides.  $\square$

Since  $\Psi$  and  $\Theta$  fix the area range, we can conclude the following statement.

**Corollary 4.9.** *Let  $X$  represent the set of heads, the set of pseudoheads, or the set of tails. Then  $\Lambda$  is the disjoint union of all chains which contain an element of  $X$ . Moreover, for  $x \in X$  and each  $m$  in the area range of  $x$ , there is precisely one element  $\mu$  of area  $m$  in the same chain as  $x$ .*



**4.5. Area preserving bijection between head and quasiheads.** We write  $Q = Q_{\leq}^- \cup Q_{>}^- \cup Q^+$ , where

$$\begin{aligned} Q_{\leq}^- &= \{Q_{st} \in Q \mid s+t \leq b+c, s \leq a\}, \\ Q_{>}^- &= \{Q_{st} \in Q \mid s+t > b+c\} \cup \{Q_{st} \in Q \mid s+t = b+c, s > a\}, \\ Q^+ &= \{Q_{st} \in Q \mid s+t < b+c, s > a\}, \end{aligned}$$

and  $H = P_{\leq}^- \cup P_{>}^- \cup H^+$ , where

$$P_{\leq}^- = \{P_{ij} \in P^- \mid i+j \leq b+c\} \quad \text{and} \quad P_{>}^- = \{P_{ij} \in P^- \mid i+j > b+c\}.$$

**Proposition 4.10.** *There is an area range preserving bijection from  $H$  to  $Q$ .*

*Proof.* We prove the proposition in three parts. First we show that the identity is an area range preserving bijection from  $P_{\leq}^-$  to  $Q_{\leq}^-$ . Then we define an area range preserving bijection from  $P_{>}^-$  to  $Q_{>}^-$ . Finally we define an area range preserving bijection from  $H^+$  to  $Q^+$ .

- (1) The set  $P_{\leq}^-$  is the set of triples  $(i, i, j)$  obeying the conditions (4.3a)-(4.3d) as well as the inequalities  $\delta_{ij} \leq \epsilon_{ij}$  and  $i+j \leq b+c$ . In light of (4.3b),  $\epsilon_{ij} = 0$  and  $\delta_{ij} \leq \epsilon_{ij}$  simply becomes  $i \leq a$ . But this in turn implies (4.3d). Moreover, adding  $i \leq a$  to  $3i+3j \leq 3b+3c$  gives condition (4.3c). Thus  $P_{\leq}^-$  is the set of triples  $(i, i, j)$  satisfying the four conditions in (4.3a) and (4.3b) as well as  $i+j \leq b+c$  and  $i \leq a$ . On the other hand,  $Q_{\leq}^-$  is the set of triples  $(s, s, t)$  satisfying the five conditions (4.5a)-(4.5c) as well as  $s+t \leq b+c$  and  $s \leq a$ . Since conditions (4.3a)-(4.3b) are equivalent to (4.5a)-(4.5b), if we can show that condition (4.5c) is implied by the other four conditions, it follows that  $Q_{\leq}^- = P_{\leq}^-$ . Indeed, adding the three inequalities  $s+t \leq b+c$ ,  $s \leq a$ , and  $t \leq c$  gives  $2s+2t \leq a+b+2c$ . This is strict unless we have equality in all of the three previous conditions. In particular, this would mean  $t=c$  so that  $m_{ct} = 0$ . Thus in any case  $2s+2t \leq a+b+2c - m_{ct}$ . Therefore,  $Q_{\leq}^- = P_{\leq}^-$ . Since for  $P_{ij} \in P_{\leq}^-$   $\max(0, \delta_{ij} - \epsilon_{ij}) = 0$ , we have  $R_{ij} = R_{st}$  if  $i=s$ ,  $j=t$ , so that the identity is an area range preserving bijection between the two sets.
- (2) Let  $\omega_{ij} = \max(0, \lceil \frac{2i+j-L}{2} \rceil)$  and define maps  $\Phi$  and  $\Phi^{-1}$  by

$$\Phi(i, j) = (i + \omega_{ij}, j - 2\omega_{ij}) \quad \text{and} \quad \Phi^{-1}(s, t) = (s - \omega_{st}, t + 2\omega_{st}).$$

Now if  $\Phi(i, j) = (s, t)$  or  $\Phi^{-1}(s, t) = (i, j)$ , it is clear that  $\omega_{ij} = \omega_{st}$ . From this it follows that  $\Phi^{-1} \circ \Phi$  and  $\Phi \circ \Phi^{-1}$  are the identity on  $\mathbb{Z}^2$ . We claim that  $\Phi$  induces an area range preserving bijection from  $P_{>}^-$  to  $Q_{>}^-$  via the rule that if  $\Phi(i, j) = (s, t)$ , then  $(i, i, j) \mapsto (s, s, t)$  with the inverse induced by  $\Phi^{-1}$  via the rule that if  $\Phi^{-1}(s, t) = (i, j)$ , then  $(s, s, t) \mapsto (i, i, j)$ .

First suppose  $P_{ij} \in P_{>}^-$ , so that conditions (4.3a)-(4.3d) are satisfied alongside  $\delta_{ij} - \epsilon_{ij} \leq 0$  and  $i+j > b+c$ . We need to check that if  $\Phi(i, j) = (s, t)$ , i.e.,  $s = i + \omega_{ij}$  and  $t = j - 2\omega_{ij}$ , then  $(s, t)$  satisfies conditions (4.5a)-(4.5c) and that  $s+t \geq b+c$  and  $(s+t = b+c) \implies s > a$ .

- Condition (4.5a):  $0 \leq t \leq c$ . This translates to  $0 \leq j - 2\omega_{ij} \leq c$ . The right hand side follows from  $j \leq c$  (see the right hand side of (4.3a)). If  $\omega_{ij} = 0$ , the left hand side follows from the left hand side of (4.3a). Otherwise it says that  $j \geq 2\lceil \frac{2i+j-L}{2} \rceil$ . Now  $\delta_{ij} - \epsilon_{ij} \leq 0$  is equivalent to  $i - \epsilon_{ij} \leq a$ , but  $i+j > b+c$  so

$\epsilon_{ij} > 0$  and this becomes  $i - (i + j - (b + c)) \leq a$  or  $-j \leq a - b - c$ . Adding this to (4.3c) yields  $4i \leq 2a + 2b + 2c$  or  $2i \leq L$ . This is enough to prove  $j \geq 2\lceil \frac{2i+j-L}{2} \rceil$  unless  $2i = L$  and  $j$  is odd. But then  $4i + j$  is odd and  $a + 3b + 3c$  is even so we have strictness in (4.3c), that is,  $4i + j < a + 3b + 3c$ . Hence adding this to  $-j \leq a - b - c$  results in  $4i < 2a + 2b + 2c$  which contradicts  $2i = L$ .

- Condition (4.5b):  $t \leq s \leq b + c$ . This translates to  $j - 2\omega_{ij} \leq i + \omega_{ij} \leq b + c$ . The left hand side follows from the left hand side of (4.3b). If  $\omega_{ij} = 0$ , then the right hand side comes from the right hand side (4.3b). Otherwise the right hand side says  $i + \lceil \frac{2i+j-L}{2} \rceil \leq b + c$  which follows from  $i + \frac{2i+j-L}{2} \leq b + c$  (which is equivalent to (4.3c)) since  $b + c$  is an integer.
- Condition (4.5c):  $2s + 2t \leq a + b + 2c - m_{ct}$ . This translates to  $2i + 2j - 2\omega_{ij} \leq a + b + 2c - m_{cj}$  (note that  $m_{c(j-2\omega_{ij})} = m_{cj}$ ). If  $\omega_{ij} = 0$  then  $2i + j \leq L$  so it suffices to show  $j \leq c - m_{cj}$  which is evident by the definition of  $m_{cj}$  and  $j \leq c$ . On the other hand if  $\omega_{ij} > 0$ , then proving  $2i + 2j \leq (2i + j - L) + a + b + 2c - m_{cj}$  suffices since  $(2i + j - L) \leq 2\omega_{ij}$ . But the former again reduces to  $j \leq c - m_{cj}$ .
- $s + t \geq b + c$ . This says  $i + j - \omega_{ij} \geq b + c$ . This is clear from the definition of  $P_{>}^-$  if  $\omega_{ij} = 0$  so suppose  $\omega_{ij} > 0$ . Now, as in the first bullet point,  $\delta_{ij} - \epsilon_{ij} \leq 0$  and  $i + j > b + c$  imply  $j \geq b + c - a$ . The latter is equivalent to  $2i + 2j - 2\frac{2i+j-L}{2} \geq 2b + 2c$ , or, dividing by 2,  $i + j - \frac{2i+j-L}{2} \geq b + c$ . But since  $b + c$  is an integer, this implies  $i + j - \lceil \frac{2i+j-L}{2} \rceil \geq b + c$  as desired.
- $(s + t = b + c) \implies s > a$ . This translates to, if  $i + j - \omega_{ij} = b + c$ , then  $i + \omega_{ij} > a$ . If  $\omega_{ij} = 0$ , the hypothesis would clearly contradict the assumption  $i + j > b + c$ . Thus we may assume  $\omega_{ij} > 0$  which means  $2i + j > L$ . Adding this to  $-i - j \geq -b - c - \omega_{ij}$  gives  $i > a - \omega_{ij}$  as desired.

Now suppose  $Q_{st} \in Q_{>}^-$ , so that conditions (4.5a)-(4.5c) are satisfied alongside  $s + t \geq b + c$  and  $(s + t = b + c) \implies s > a$ . We need to check that if  $\Phi^{-1}(s, t) = (i, j)$ , that is,  $i = s - \omega_{st}$  and  $j = t + 2\omega_{st}$ , then  $(i, j)$  satisfies conditions (4.3a)-(4.3c) as well as  $\delta_{ij} - \epsilon_{ij} \leq 0$  and  $i + j > b + c$ . (We do not need to check condition (4.3d) as adding  $-3i - 3j < -3b - 3c$  to (4.3c) yields  $i - 2j < a$ .)

- Condition (4.3a):  $0 \leq j \leq c$ . This translates to  $0 \leq t + 2\omega_{st} \leq c$ . The left hand side follows from  $0 \leq t$  (which is the left hand side of (4.5a)). Now, if either  $t - c$  or  $a + b$  are odd, then  $2s + 2t \leq a + b + 2c - m_{ct}$  implies  $2s + 2t < a + b + 2c$ , which is to say  $t + 2\frac{2s+t-L}{2} < c$  so that  $t + 2\omega_{st} \leq c$ . On the other hand if both  $t - c$  and  $a + b$  are even, then we can only deduce  $t + 2\frac{2s+t-L}{2} \leq c$  from (4.5c), but in this case  $\frac{2s+t-L}{2} = \omega_{st}$  so we still get what we want.
- Condition (4.3b):  $j \leq i \leq b + c$ . This translates to  $t + 2\omega_{st} \leq s - \omega_{st} \leq b + c$ . The right hand side follows from the right hand side of (4.5b). If  $\omega_{st} = 0$ , then the left hand side comes from the left hand side (4.5b). Now suppose  $\omega_{st} > 0$ . We need to show that  $t + 2\omega_{st} \leq s - \omega_{st}$ . The inequality we wish to show is equivalent to  $2t - 2s + 6\omega_{st} \leq 0$ . Since  $2\omega_{st}$  can be rewritten as  $2s + t - L + m_{Lt}$  this becomes  $4s + 5t \leq 3L - 3m_{Lt}$ .

First suppose that  $s + t > b + c$  and  $m_{Lt} \leq m_{ct}$ . Since twice (4.5c) reads  $4s + 4t \leq 2L + 2c - 2m_{ct}$  it suffices to prove  $t \leq a + b - c + 2m_{ct} - 3m_{Lt}$ , since the sum of the last two inequalities mentioned gives the one at the end of the last sentence. Since  $t \leq c$ , it suffices to show  $a + b \geq 2c - 2m_{ct} + 3m_{Lt}$ . Since  $s + t > b + c$ , we have  $-2s - 2t \leq -2b - 2c - 2$  which we can add to (4.5c)

to get  $a \geq b + 2 + m_{ct}$  or  $a - b \geq 2 + m_{ct}$ . Adding this to  $2b \geq 2c - 2$  yields  $a + b \geq 2c + m_{ct}$  which implies  $a + b \geq 2c - 2m_{ct} + 3m_{Lt}$  since we are assuming  $m_{Lt} \leq m_{ct}$ .

Now suppose that  $s + t > b + c$ , but  $0 = m_{Lt} < m_{ct} = 1$ . Since  $L + c$  must be odd, we have strictness in (4.5c), that is we have  $2s + 2t < a + b + 2c = L + c$ . Thus we have  $4s + 4t \leq 2L + 2c - 2$  so it suffices to prove  $t \leq a + b - c - 1$  since adding these gives  $4s + 5t \leq 3L - 3m_{Lt}$  as desired. Again,  $t \leq c$  so its enough to show  $a + b \geq 2c + 1$ . Since strictness of (4.5c) implies  $2s + 2t \leq a + b + 2c - 1$ , we can add this to  $-2s - 2t \leq -2b - 2c - 2$  to obtain  $a \geq b + 3$ . Since  $b \geq c - 1$ , this implies  $a + b \geq 2c + 1$  as desired.

Finally suppose that  $s + t = b + c$  (and so also  $s > a$ ). We need to show  $4(b + c) + t \leq 3L - 3m_{Lt}$ , or equivalently,  $t \leq 3a - b - c - 3m_{Lt}$ . When  $s + t = b + c$ , condition (4.5c) becomes  $a \geq b + m_{ct}$ . Thus  $s > a$  implies  $s \geq b + m_{ct} + 1$ , which in turn means  $t \leq c - m_{ct} - 1$ . In fact  $t \leq c - 2$  because if  $t = c - 1$  then we would have  $m_{ct} = 1$  and thus  $t \leq c - 2$ . Now since  $a \geq b + m_{ct} \geq c - 1 + m_{ct}$  this means  $t \leq c - 2 + (a - b - m_{ct}) + 2(a - c + 1 - m_{ct})$  or that  $t \leq 3a - b - c - 3m_{ct}$  which implies  $t \leq 3a - b - c - 3m_{Lt}$  unless  $0 = m_{ct} < m_{Lt} = 1$ . But in the latter case  $a + b$  must be odd so  $a \geq b$  implies  $a > b$ . Thus we have  $a \geq b + 1 \geq c$  so that  $t \leq c - 2 + (a - b - 1) + 2(a - c)$  or  $t \leq 3a - b - c - 3$ .

- Condition (4.3c):  $4i + j \leq a + 3b + 3c$ . This translates to  $4s + t - 2\omega_{st} \leq a + 3b + 3c$ . If  $\omega_{st} = 0$ , then  $2s + t \leq L$  which we add to two times the right hand side of (4.5b) to obtain  $4s + t \leq a + 3b + 3c$  as desired. Now suppose  $\omega_{st} > 0$ . Then  $4s + t - 2\omega_{st} = 4s + t - 2\lceil \frac{2s+t-L}{2} \rceil \leq 4s + t - 2\frac{2s+t-L}{2} = 2s + L \leq L + b + c$ , where the last inequality comes from the right hand of (4.5b).
- $\delta_{ij} - \epsilon_{ij} \leq 0$ . This reduces to  $i - \epsilon_{ij} \leq a$  which says that  $s - \omega_{st} - \max(0, s + t + \omega_{st} - (b + c)) \leq a$ . Since  $s + t \geq b + c$ , this is equivalent to  $-t - 2\omega_{st} \leq a - b - c$ . If  $\omega_{st} = 0$  then  $2s + t \leq L$  and which we may add to  $-2s - 2t \leq -2b - 2c$  to get  $-t \leq a - b - c$  as desired. If  $\omega_{st} > 0$  then it will suffice to show  $-t - 2\frac{2s+t-L}{2} \leq a - b - c$  but this reduces to  $-2s - 2t \leq -2b - 2c$ .
- $i + j > b + c$ . This means  $s + t + \omega_{st} > b + c$ . If  $\omega_{st} > 0$  this follows from  $s + t \geq b + c$ . Now suppose  $\omega_{st} = 0$ . Then  $2s + t \leq L$  which we may add to  $-s - t \leq -b - c$  to get  $s \leq a$ . Thus the assumptions  $s + t \geq b + c$  and  $(s + t = b + c) \implies s > a$  reveal that  $s + t > b + c$ .

This proves that  $\Phi$  induces a bijection from  $P_{>}^-$  to  $Q_{>}^-$ . Moreover if  $P_{ij} \in P_{>}^-$ , then  $\delta_{ij} \leq \epsilon_{ij}$  and  $i + j > b + c$ , so the area range reduces to  $R_{ij} = [2i + j - (b + c), A - 2i - j]$ . Now if  $\Phi(i, j) = (s, t)$ , then since by the above  $Q_{st} \in Q_{>}^-$  we have  $s + t \geq b + c$  so that we get  $R_{st} = [2s + t - (b + c), A - 2s - t]$ . Since it is clear that  $2i + j = 2s + t$  we see that  $R_{ij} = R_{st}$  and so the bijection induced by  $\Phi$  preserves the area range.

- (3) Define maps  $\Omega$  and  $\Omega^{-1}$  by

$$\Omega(k, \ell) = (\ell, k - \ell) \quad \text{and} \quad \Omega^{-1}(s, t) = (s + t, s).$$

Since  $\Omega$  is an invertible linear transformation (with inverse  $\Omega^{-1}$ ), it is immediate that  $\Omega^{-1} \circ \Omega$  and  $\Omega \circ \Omega^{-1}$  are the identity on  $\mathbb{Z}^2$ . We claim that  $\Omega$  induces an area range preserving bijection from  $H^+$  to  $Q^+$  via the rule that, if  $\Omega(k, \ell) = (s, t)$ , then  $(k, \ell, 0) \rightarrow (s, s, t)$  with inverse induced by  $\Omega^{-1}$  via the rule that, if  $\Omega^{-1}(s, t) = (k, \ell)$  then  $(s, s, t) \rightarrow (k, \ell, 0)$ .

First suppose that  $H_k^\ell \in H^+$  so that  $a < \ell \leq k < b + c$ , and that  $\Omega(k, \ell) = (s, t)$ , that is,  $s = \ell$  and  $t = k - \ell$ . We need to check inequalities (4.5a)-(4.5c) as well as  $s + t < b + c$  and  $s > a$ .

- Condition (4.5a):  $0 \leq t \leq c$ . This translates to  $0 \leq k - \ell \leq c$ . The left hand side is true because  $\ell \leq k$ . Moreover, since  $\ell > a \geq b - 1$ , the inequalities  $\ell \geq b$  and  $k < b + c$  give  $k - \ell < c$ .
- Condition (4.5b):  $t \leq s \leq b + c$ . This translates to  $k - \ell \leq \ell \leq b + c$ . Now  $k \leq b + c - 1 \leq 2a + 1 < 2\ell$  which established the left hand side. On the other hand  $\ell \leq k < b + c$  makes the right hand side obvious.
- Condition (4.5c):  $2s + 2t \leq a + b + 2c - m_{ct}$ . This translates to  $2k \leq a + b + 2c - m_{c(k-\ell)}$ . But  $k \leq b + c - 1$  and since  $a \geq b - 1$  also  $k \leq a + c$ . Since adding these gives  $2k \leq a + b + 2c - 1$  we are done.
- $s + t < b + c$ . This translates to  $\ell + k - \ell < b + c$ , that is,  $k < b + c$ , as assumed.
- $s > a$ . This says  $\ell > a$ , as assumed.

Now suppose that  $Q_{st} \in Q^+$  so that the inequalities (4.5a)-(4.5c) hold and we have  $s + t < b + c$  and  $s > a$ . We need to show that if  $\Omega^{-1}(s, t) = (k, \ell)$  then  $H_k^\ell \in H^+$ , that is,  $a < \ell \leq k < b + c$ . Since  $k = s + t$  and  $\ell = s$ , this says  $a < s \leq s + t < b + c$ . The left and right hand inequalities are those assumed above. The middle inequality follows from the left hand side of (4.5a).

This proves that  $\Omega$  induces a bijection from  $H^+$  to  $Q^+$ . Moreover if  $H_k^\ell \in H^+$ , then  $R_k^\ell = [\ell, A - k - \ell]$ . Now if  $\Omega(i, j) = (s, t)$ , then since by the above  $Q_{st} \in Q^+$ , we have  $s + t < b + c$  so  $\epsilon_{st} = 0$  and  $R_{st} = [s, A - 2s - t] = [\ell, A - 2\ell - k + \ell]$ . Thus  $R_k^\ell = R_{st}$  and so the bijection induced by  $\Omega$  preserves the area range.  $\square$

**4.6. Combinatorial recursion.** In this section, we show that the combinatorial expression of Theorem 3.1 also satisfies the recursion relations of Lemma 2.23 for  $c \geq 1$  and equals  $F(a, b, 0)$  and  $F(a, b, -1)$  for  $c = 0$  and  $c = -1$ , respectively.

Recall that the set of quasiheads is defined as

$$\tilde{Q}(a, b, c) = \{(i, j) \mid 0 \leq j \leq c, j \leq i \leq b + c, 2i + 2j \leq a + b + 2c - m_{cj}\}$$

where  $m_{cj} = c - j \pmod{2}$ . Define

$$H_{\text{comb}}(a, b, c) = \sum_{(i, j) \in \tilde{Q}(a, b, c)} q^{A-2i-j} t^{i+\epsilon_{ij}}, \quad (4.8)$$

where  $\epsilon_{ij} = \max(0, i + j - b - c)$ .

**Lemma 4.11.** *For  $a + 1 \geq b$ ,  $a + 1, b + 1 \geq c \geq 1$ , we have*

$$\begin{aligned} H_{\text{comb}}(a, b, c) &= H_{\text{comb}}(a + 2, b + 2, c - 2) + (qt)^c H(a + c, b - c) \\ &+ (qt)^{c-1} H(a + c, b - c + 2) + \sum_{2 \leq \ell \leq \min(2c, a-b)} q^{a+2c-\ell} t^{\ell+b} - \delta_{a,b-1} q^{a+2c} t^b \\ &- (\delta_{a,b} + \delta_{a,b-1}) q^{a+2c-1} t^{b+1}, \end{aligned} \quad (4.9)$$

where  $H(a, b)$  is given by (2.7).

*Proof.* Observe that if  $(a', b', c') = (a + 2, b + 2, c - 2)$ , then  $b' + c' = b + c$ ,  $a' + b' + 2c' = a + b + 2c$ ,  $m_{c'j} = m_{cj}$ . Therefore

$$\tilde{Q}(a', b', c') = \{(i, j) \mid 0 \leq j \leq c', j \leq i \leq b + c, 2i + 2j \leq a + b + 2c - m_{cj}\}.$$

We conclude that  $\tilde{Q}(a', b', c') \subseteq \tilde{Q}(a, b, c)$  and the difference of these two sets consists of  $(i, j) \in \tilde{Q}(a, b, c)$  with  $j = c$  or  $j = c - 1$ . In the former case, the inequalities have the form

$$c \leq i \leq b + c, \quad 2i \leq a + b \quad (4.10)$$

and the contribution to  $H_{\text{comb}}$  equals

$$\sum_{\substack{c \leq i \leq b+c \\ 2i \leq a+b}} q^{A-c-2i} t^{i+\max(0, i-b)}.$$

This sum breaks into two parts for  $c \leq i \leq b$  and for  $b + 1 \leq i$

$$\sum_{\substack{c \leq i \leq b \\ 2i \leq a+b}} q^{a+2b+2c-2i} t^i + \sum_{\substack{b+1 \leq i \leq b+c \\ 2i \leq a+b}} q^{a+2b+2c-2i} t^{2i-b}.$$

If  $a \geq b$ , the restriction  $2i \leq a + b$  in the first sum is redundant and so it becomes  $(qt)^c H(a + c, b - c)$ . On the other hand if  $a = b - 1$ , the first sum does not contain the  $i = b$  term  $q^{a+2c}t^b$  but  $(qt)^c H(a + c, b - c)$  does. Thus we conclude the above is equal to

$$(qt)^c H(a + c, b - c) - \delta_{a, b-1} q^{a+2c} t^b + \sum_{2b+2 \leq 2i \leq \min(2b+2c, a+b)} q^{a+2b+2c-2i} t^{2i-b}.$$

Similarly, in the case  $j = c - 1$  for  $a > b$  we obtain

$$c - 1 \leq i \leq b + c, \quad 2i \leq a + b + 1$$

and the contribution to  $H_{\text{comb}}$  equals

$$\sum_{\substack{c-1 \leq i \leq b+1 \\ 2i \leq a+b+1}} q^{a+2b+2c-2i+1} t^i + \sum_{\substack{b+2 \leq i \leq b+c \\ 2i \leq a+b+1}} q^{a+2b+2c-2i+1} t^{2i-1-b}.$$

If  $a > b$  the restriction  $2i \leq a + b + 1$  in the first sum is redundant and so it becomes  $(qt)^{c-1} H(a + c, b - c + 2)$ . On the other hand if  $a = b$  or  $a = b - 1$  the first sum does not contain the  $i = b + 1$  term  $q^{a+2c-1}t^{b+1}$  or the  $i = b$  term  $q^{a+2c}t^b$  but  $(qt)^{c-1} H(a + c, b - c - 2)$  does. Thus we conclude the above is equal to

$$(qt)^{c-1} H(a + c, b - c + 2) - (\delta_{a, b-1} + \delta_{a, b}) q^{a+2c-1} t^{b+1} + \sum_{2b+3 \leq 2i-1 \leq \min(2b+2c-1, a+b)} q^{a+2b+2c-2i+1} t^{2i-1-b}.$$

Finally,

$$\begin{aligned} \sum_{2b+2 \leq 2i \leq \min(2b+2c, a+b)} q^{a+2b+2c-2i} t^{2i-b} + \sum_{2b+3 \leq 2i-1 \leq \min(2b+2c-1, a+b)} q^{a+2b+2c-2i+1} t^{2i-1-b} \\ = \sum_{2b+2 \leq k \leq \min(2b+2c, a+b)} q^{a+2b+2c-k} t^{k-b}, \end{aligned}$$

where we combined terms with even and odd  $k$ . If we denote  $\ell = k - 2b$ , then

$$\sum_{2b+2 \leq k \leq \min(2b+2c, a+b)} q^{a+2b+2c-k} t^{k-b} = \sum_{2 \leq \ell \leq \min(2c, a-b)} q^{a+2c-\ell} t^{\ell+b}.$$

□

**Corollary 4.12.** *Let*

$$F_{\text{comb}}(a, b, c) = \frac{1}{1-t/q} H_{\text{comb}}(a, b, c; q, t) + \frac{1}{1-q/t} H_{\text{comb}}(a, b, c; t, q).$$

*Then for  $a+1 \geq b$ ,  $a+1, b+1 \geq c \geq 1$  we have*

$$\begin{aligned} F_{\text{comb}}(a, b, c) &= F_{\text{comb}}(a+2, b+2, c-2) + (qt)^c F(a+c, b-c) \\ &+ (qt)^{c-1} F(a+c, b-c+2) + \sum_{2 \leq \ell \leq \min(2c, a-b)} (qt)^{\ell+b} F(a-b+2c-2\ell) \\ &- \sum_{j=a-b+1}^1 (qt)^{b+j} F(a-b+2c-2j). \end{aligned} \quad (4.11)$$

*Proof.* This follows directly from Lemma 4.11, using (2.6), and Example 2.16. Also note that

$$\begin{aligned} \sum_{j=a-b+1}^1 (qt)^{b+j} F(a-b+2c-2j) &= \delta_{a,b} (qt)^{b+1} F(a-b+2c-2) \\ &+ \delta_{a,b-1} \left[ (qt)^b F(a-b+2c) + (qt)^{b+1} F(a-b+2c-2) \right]. \end{aligned} \quad \square$$

We need to check the base cases.

**Lemma 4.13.** *We have*

$$\begin{aligned} F_{\text{comb}}(a, b, 0) &= F(a, b, 0) \quad \text{for } a, b \geq 0, \\ F_{\text{comb}}(a, b, -1) &= F(a, b, -1) \quad \text{for } a, b \geq 1. \end{aligned}$$

*Proof.* For  $a, b \geq 0$  and  $c = 0$ , we have  $j = 0$  in  $\tilde{Q}(a, b, 0)$ , so  $0 \leq i \leq b$ . Therefore, by comparing (4.8) with (2.7)

$$H_{\text{comb}}(a, b, 0) = H(a, b),$$

and hence  $F_{\text{comb}}(a, b, 0) = F(a, b)$ . Furthermore, by Corollary 2.11 the first claim follows.

For  $a, b \geq 1$ , we have by Lemma 2.22 and the fact that  $F_{\text{comb}}(a, b, -1) = 0$  by definition that  $F(a, b, -1) = F_{\text{comb}}(a, b, -1) = 0$ .  $\square$

**Corollary 4.14.** *For nonnegative integers  $a, b, c$  and  $a+1 \geq b$ ,  $a+1, b+1 \geq c$ , we have  $F(a, b, c) = F_{\text{comb}}(a, b, c)$  proving Theorem 3.1.*

*Proof.* By Lemma 2.23 and Corollary 4.12,  $F(a, b, c)$  and  $F_{\text{comb}}(a, b, c)$  satisfy the same two step recursion. Hence the equality  $F(a, b, c) = F_{\text{comb}}(a, b, c)$  can be reduced to the equalities  $F(a, b, 0) = F_{\text{comb}}(a, b, 0)$  for  $a, b \geq 0$  and  $F(a, b, -1) = F_{\text{comb}}(a, b, -1)$  for  $a, b \geq 1$ . These are given in Lemma 4.13.  $\square$

**4.7. Proof of Theorem 3.5.** By Corollary 4.14, we have that  $F(a, b, c) = F_{\text{comb}}(a, b, c)$ . By Proposition 4.10, there is an area preserving bijection between quasiheads and heads. Combined with Corollary 4.9, there is also an area preserving bijection with pseudoheads and tails. Furthermore, each  $\lambda \subseteq \lambda(a, b, c)$  sits in precisely one chain indexed by a given pseudohead (or head). The proofs of Theorems 4.6 and 4.7 tell us, which chain  $\lambda$  sits in depending on the cases spelled out in Section 3.2:

Case	Chain membership
Case 1(a)	$\lambda \in C(P_{yz})$
Case 1(b)(i)	$\lambda \in C(P_{(L+z-x)z})$
Case 1(b)(ii)	$\lambda \in C(T^{(L-x)(b+c-y)})$
Case 2	$\lambda \in C(H_x^y)$

Now if the area range for a given chain is  $[r, R]$ , then due to the symmetry between  $q$  and  $t$  in each chain, we have

$$\text{stat}(\lambda) = r + R - \text{area}(\lambda) = r + R - A + x + y + z$$

for  $\lambda = (x, y, z)$ . Using the area ranges for pseudoheads, tails, and heads as given in Table 3 and the beginning of this section, this yields (3.1). In Case 1(a), we first obtain  $\text{stat}(\lambda) = x + \max(\epsilon_{yz}, \delta_{yz})$ , which is equal to  $x + \max(0, \lceil \frac{y-a}{2} \rceil, y + z - b - c, \lceil \frac{2y+z-L}{2} \rceil)$ . In Case 1(b)(i), we first obtain  $\text{stat}(\lambda) = -L + 2x + y - z + \max(\epsilon_{(L+z-x)z}, \delta_{(L+z-x)z})$ , but using that  $y + z \leq b + c$  and  $L + z - x \leq y$ , we obtain  $\epsilon_{(L+z-x)z} = 0$  and  $\delta_{(L+z-x)z} = \lceil \frac{L+z-x-a}{2} \rceil$ . Combined with Theorem 3.1 this proves Theorem 3.5.

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