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### Title

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1 **Cation and Anion Topotactic Transformations in Cobaltite Thin Films Leading to**  
2 **Ruddlesden-Popper Phases**

3  
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32  
33 **Keywords**

34 Topotactic transformations, Ruddlesden-Popper, Cobaltite thin films, Magnetic oxides

35  
36 **Abstract**

37 Topotactic transformations involve structural changes between related crystal  
38 structures due to a loss or gain of material while retaining a crystallographic relationship.

1 The perovskite oxide  $\text{La}_{0.7}\text{Sr}_{0.3}\text{CoO}_3$  (LSCO) is an ideal system for investigating phase  
2 transformations due to its high oxygen vacancy conductivity, relatively low oxygen  
3 vacancy formation energy, and strong coupling of the magnetic and electronic  
4 properties to the oxygen stoichiometry. While the transition between cobaltite  
5 perovskite and brownmillerite (BM) phases has been widely reported, further reduction  
6 beyond the BM phase lacks systematic studies. In this work, we study the evolution of  
7 the physical properties of LSCO thin films upon exposure to highly reducing  
8 environments. We observe the rarely-reported crystalline Ruddlesden-Popper (RP)  
9 phase, which involves the loss of both oxygen anions and cobalt cations upon annealing  
10 where the cobalt is found as isolated Co ions or Co nanoparticles. First principles  
11 calculations confirm that the concurrent loss of oxygen and cobalt ions is  
12 thermodynamically possible through an intermediary BM phase. The strong correlation  
13 of the magnetic and electronic properties to the crystal structure highlights the potential  
14 of utilizing ion migration as a basis for emerging applications such as neuromorphic  
15 computing.

## 16 17 **1. Introduction**

18 Ion migration-induced modification of physical properties is an emerging research  
19 direction in the search for tunable materials that can revolutionize the growing field of  
20 neuromorphic computing.<sup>[1-3]</sup> Among the candidate materials, perovskite oxides with  
21 the chemical formula  $ABO_3$  are of interest because of their wide range of physical  
22 properties, high oxygen ion conductivities, and the multitude of related phases such as  
23 the Grenier ( $ABO_{2.7}$ ), brownmillerite (BM,  $ABO_{2.5}$ ), square planar (SP,  $ABO_2$ ),<sup>[4,5]</sup> and  
24 Ruddlesden-Popper ( $A_{n+1}B_nO_{3n+1}$  where  $n = \text{integer}$ )<sup>[6,7]</sup> phases. Previous studies on  
25 these materials have focused on the impact of the  $A$  and  $B$  stoichiometry,<sup>[8,9]</sup> however  
26 recent studies have turned to the oxygen stoichiometry where topotactic  
27 transformations can occur between related structural phases due to a loss or gain of  
28 oxygen ions while retaining a relationship in the crystallographic orientation.<sup>[10-12]</sup>  
29 Relative to the parent perovskite phase, oxygen deficiency,  $\delta$  (where  $0 < \delta < 1$ ), is  
30 accommodated by a lowering of the average  $B$ -ion valence state and a change in the  
31 local coordination environment from corner-shared  $BO_6$  octahedra in the perovskite  
32 phase, to alternating layers of  $BO_6$  octahedra and  $BO_4$  tetrahedra in the BM phase (see  
33 structural diagrams in **Figure 1(k and m)**). Further reduction from the BM phase can  
34 lead to an in-plane  $BO_4$  coordination in the SP phase, or even one of the  
35 Ruddlesden-Popper phases, where both  $B$  and oxygen ions are partially depleted from  
36 the parent perovskite phase. As a result, these structural changes lead to substantial  
37 modification to the physical properties.

38

1 A gradual progression between the perovskite and BM phases have previously been  
2 demonstrated in several complex oxide systems, including  $\text{La}_{0.7}\text{Sr}_{0.3}\text{CoO}_3$ ,<sup>[13,14]</sup>  
3  $\text{La}_{0.67}\text{Sr}_{0.33}\text{MnO}_3$ ,<sup>[15]</sup> and  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ ,<sup>[16]</sup> by the deposition of ultrathin Gd layers of  
4 varying thickness. The Gd getter layer experienced a spontaneous redox reaction to  
5 form  $\text{GdO}_x$ , leaching oxygen ions from the underlying complex oxide thin films and  
6 resulting in a topotactic transformation, which then leads to a change in the magnetic  
7 and electrical properties in these complex oxides. Other studies focused on the  $\text{SrFeO}_{3-\delta}$   
8 and  $\text{SrCoO}_{3-\delta}$  systems where a reversible transformation between the stable BM  
9  $\text{SrFeO}_{2.5}$  ( $\text{SrCoO}_{2.5}$ ) phase and the metastable  $\text{SrFeO}_3$  ( $\text{SrCoO}_3$ ) perovskite phase was  
10 observed with an applied electrical field or under optimized *oxidizing* annealing  
11 conditions.<sup>[17-22]</sup> With further *reduction*, BM  $\text{SrFeO}_{2.5}$  films could transform to  
12 infinite-layer  $\text{SrFeO}_2$  SP phase.<sup>[21,23]</sup> However, in the cobaltite system, further *reduction*  
13 of BM  $\text{SrCoO}_{2.5}$  films led to amorphous films or nano-twinned,  
14 tetrahedrally-coordinated phases<sup>[22,24]</sup> rather than the formation of  $\text{SrCoO}_2$  SP phase or  
15 even the  $\text{Sr}_2\text{CoO}_4$ <sup>[25,26]</sup> Ruddlesden-Popper phase. Therefore, this work investigates the  
16 mechanisms and the phase stability associated with cobaltite topotactic transformations.

17  
18 A series of topotactic transformations were initiated in Sr-doped lanthanum cobaltite  
19 ( $\text{La}_{0.7}\text{Sr}_{0.3}\text{CoO}_{3-\delta}$ , LSCO) thin films exposed to anneals under highly reducing  
20 conditions. At this Sr-doping level, the perovskite phase is the equilibrium phase,  
21 characterized by coincident metal (M)-to-insulator (I) and  
22 ferromagnetic (FM)-to-paramagnetic transitions at the Curie temperature,  $T_C \sim 240$  K,<sup>[27]</sup>  
23 a high oxygen vacancy conductivity, and relatively low oxygen vacancy formation  
24 energy.<sup>[28]</sup> The anneals were performed using either a Mg-trap annealing system<sup>[29]</sup> or a  
25 gas evolution system<sup>[30]</sup> which enabled the exploration of wide temperature/pressure  
26 phase space ranging from room temperature to 1000 °C and oxygen partial pressures  
27 from  $10^{-25}$  to  $10^{-1}$  atm (see **Figure 1(a)**). X-ray absorption (XA) spectroscopy, and  
28 scanning transmission electron microscopy (STEM) showed that these structural  
29 transformations involved a change of the Co local coordination from a mixture of  
30  $\text{Co}^{3+}/\text{Co}^{4+}$  ions in octahedral coordination in the perovskite phase to  $\text{Co}^{2+}$  ions in  
31 octahedral coordination in a  $\text{La}_{1.4}\text{Sr}_{0.6}\text{Co}_{1+\nu}\text{O}_{4-\delta}$  Ruddlesden-Popper (RP) phase (where  
32  $0 \leq \nu < 1$  and  $0 < \delta < 1$ ) formed under the most reducing conditions. Raman  
33 spectroscopy and first principles calculations suggest that the formation of the RP phase  
34 involves the loss of both oxygen anions and Co ions through an intermediary BM phase.  
35 As a result, the magnetic properties evolved between various FM and antiferromagnetic  
36 (AFM) phases, and the room temperature resistivity spanned eight orders of magnitude.  
37 Compared to the perovskite and BM phases widely reported in the previous literature,  
38 we successfully observed the formation of the RP phase with its own distinct physical

1 and functional properties. Furthermore, the combination of experiment and theory  
2 enabled us to elucidate the formation mechanisms for each of the reduced phases.

## 3 4 **2. Experimental and Theoretical Methods**

5 Epitaxial LSCO thin films (thickness of 16~28 nm) were deposited on (001)-oriented  
6 LSAT substrates by pulsed laser deposition with a laser pulse frequency of 1 Hz and  
7 laser fluence of  $\sim 0.8 \text{ J cm}^{-2}$ . During the growth, the substrate temperature was held at  
8 700 °C and the oxygen pressure was 300 mTorr. The films were cooled slowly to room  
9 temperature after the deposition with an oxygen pressure of 300 Torr to ensure proper  
10 oxygen stoichiometry. The LSCO films were annealed for 1 hour either in a gas  
11 evolution system which consists of a tube furnace connected to a high vacuum system  
12 capable of achieving oxygen partial pressures from  $10^{-12}$  to 0.1 atm and temperatures  
13 up to 1000 °C,<sup>[30]</sup> or a Mg-based oxygen trap system capable of achieving oxygen partial  
14 pressures down to  $10^{-25}$  atm and temperatures up to 400 °C.<sup>[29]</sup> In the gas evolution  
15 system, the base pressure was maintained at  $\sim 10^{-10}$  atm, and high-purity oxygen  
16 (>99.99%) of different pressures ranging from  $10^{-12}$  to  $10^{-7}$  atm was introduced into the  
17 annealing chamber using the computer-controlled metal-seated valve.<sup>[30]</sup> During the  
18 Mg-trap annealing experiments, the Mg-based oxygen trap and annealing chamber was  
19 maintained at 1 atm total pressure, and the low oxygen partial pressure was generated  
20 by flowing ultrahigh purity argon gas through a heated Mg powder bed. The trace  
21 amount of oxygen in ultrahigh purity argon reacts with Mg, creating further reduction  
22 in the oxygen partial pressure as it flows into the chamber.<sup>[29]</sup> All of the pressures quoted  
23 in the following sections are referring to the oxygen partial pressures,  $P_{O_2}$ .

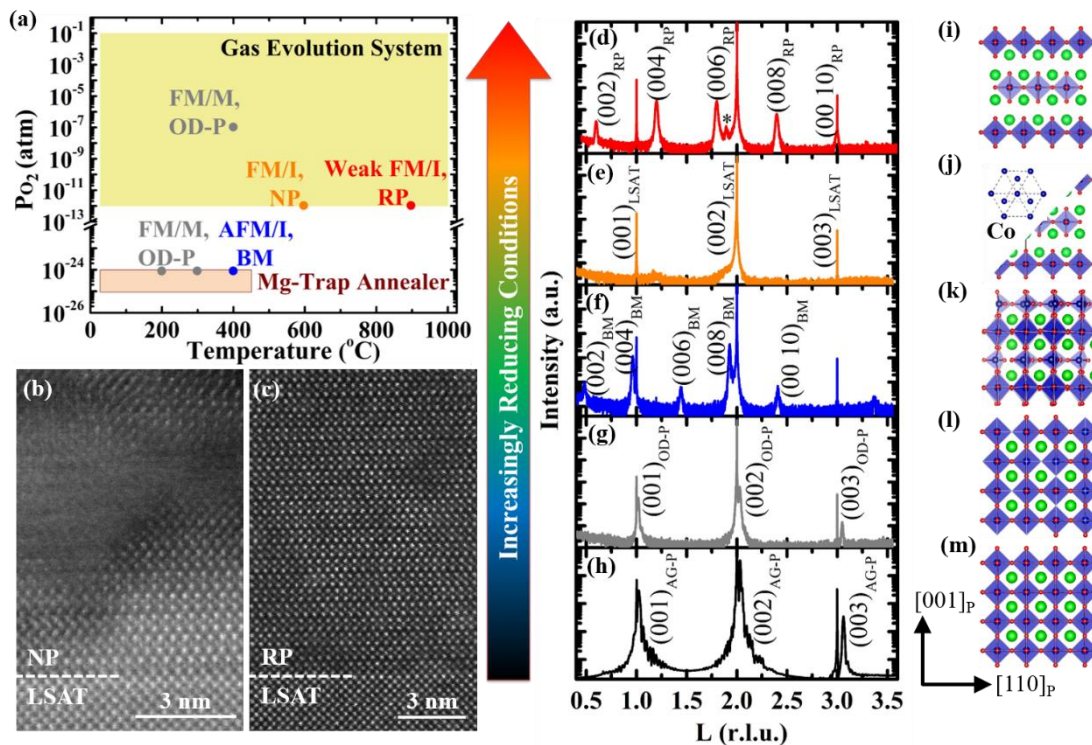
24  
25 The structural properties of the films were characterized by x-ray reflectivity (XRR)  
26 and high-resolution x-ray diffraction (XRD) using either a Bruker D8 Discover or  
27 Rigaku Smartlab four-circle diffractometer using Cu  $K_{\alpha 1}$  x-rays (8.04 keV). The XRD  
28 data for the AG-P LSCO sample was obtained at Beamline 7-2 at the Stanford  
29 Synchrotron Radiation Light Source using an x-ray energy of 14 keV. The XRR curves  
30 were fit using GenX software to determine the thickness, roughness, and density of the  
31 films.<sup>[31]</sup> A Lakeshore cryogenic probe station was used to measure the film resistivity  
32 upon warming from 80 K with the van der Pauw geometry. The bulk magnetic  
33 properties were measured using a Quantum Design VersaLab or Physical Property  
34 Measurement System vibrating-sample magnetometer (VSM) with the magnetic field  
35 applied along the in-plane [100] substrate direction. Soft x-ray magnetic spectroscopy  
36 at the Co  $L$ -edge was performed at 80 K at beamlines 4.0.2 and 6.3.1 at the Advanced  
37 Light Source (ALS) using total electron yield detection (TEY, which provides surface  
38 sensitive measurements to the top 5-10 nm of the sample), and luminescence yield

1 detection (LY, which probes the full film thickness<sup>[32]</sup>). The x-rays were incident upon  
2 the sample at a 30° grazing angle along the in-plane [100] substrate direction. X-ray  
3 magnetic circular dichroism (XMCD) spectra were calculated as the difference between  
4 XA spectra acquired using right/left circularly polarized x-rays with a 1.93 T magnetic  
5 field oriented parallel to the propagation direction of the circularly polarized x-rays.  
6 X-ray linear dichroism (XLD) spectra were calculated as the difference between XA  
7 spectra acquired using *s*- and *p*-polarized x-rays such that the x-ray *E*-vector is  
8 respectively parallel to the in-plane [010] substrate direction or 30° away from the [001]  
9 substrate direction, due to the grazing-incidence geometry of the measurements.

10  
11 High angle annular dark field (HAADF) STEM images and electron energy loss  
12 spectroscopy (EELS) mappings were acquired by a double corrector JEOL ARM 300F  
13 TEM. A 30 μm condenser aperture was selected for EELS acquisition. The convergent  
14 and collection semi-angles are 21 μm and 90 μm, respectively. The samples used for  
15 TEM characterizations were made by standard focus ion beam (FEI Helios NanoLab)  
16 lift-out method. Raman spectra were measured at room temperature using a Horiba  
17 Jobin Yvon T64000 Raman spectrometer. The excitation light of 488 nm was focused  
18 onto the sample using a 100x objective. The signal was collected in a backscattering  
19 geometry and excitation light was blocked by a notch filter. Photoluminescence (PL)  
20 spectra were taken using a home-built system coupling an inverted microscope to an  
21 imaging spectrometer. The sample was excited with 405 nm light under a 20x objective.  
22 Excitation light was rejected by a long pass filter.

23  
24 First principles calculations were performed using the Quantum Espresso code  
25 (v6.4.1),<sup>[33,34]</sup> which solves the Kohn-Sham equations of DFT using plane waves and  
26 pseudopotentials. In particular we used DFT+*U* (*U* = 3 eV as justified in previous  
27 calculations),<sup>[35]</sup> with the PBE generalized gradient approximation<sup>[36]</sup> for the  
28 exchange-correlation functional and the projected augmented wave pseudopotentials  
29 from the PSLibrary<sup>[37]</sup> (v1.0.0 for La, Sr and O, and v0.3.1 for Co). A previously  
30 optimized BM structure<sup>[35]</sup> was used to calculate the formation energy of Co or O  
31 vacancies in the BM phase, which was modeled with a 36-atom orthorhombic cell with  
32 the stoichiometry of La<sub>0.63</sub>Sr<sub>0.37</sub>CoO<sub>2.5</sub>. The initial RP phase was constructed from the  
33 La<sub>2</sub>CoO<sub>4</sub> structure (ID: mp-27494) from the Materials Project,<sup>[38]</sup> where we substituted  
34 37.5% La by Sr in this 28-atom orthorhombic cell. Defective BM structures and the RP  
35 structure were then optimized within the orthorhombic lattice symmetry, using a  
36 plane-wave cutoff of 1224 eV and a Monkhorst-Pack k-point grid<sup>[39]</sup> with the resolution  
37 between 0.02-0.03 Å<sup>-1</sup>. The convergence thresholds for energy, force and pressure were  
38 set to 2.0×10<sup>-4</sup> eV per formula unit (f.u.), 0.02 eV Å<sup>-1</sup> per f.u. and 0.5 Kbar, respectively.

1

2 **3. Results and Discussion**

3

4 **Figure 1.** (a) Phase diagram of the structural, magnetic, and electrical properties of  
 5 LSCO films exposed to anneals under highly reducing conditions. (b-c) HAADF STEM  
 6 images of the NP and RP samples, respectively. (d-h) XRD curves, and (i-m) crystal  
 7 structure diagrams for the annealed LSCO films. The XRD curves show sharp, high  
 8 intensity peaks arising from the LSAT substrate and weaker film peaks arising from the  
 9 (00L) planes of the respective structure. In (d), the \* peak results from the sample holder.  
 10 In (i-m), the green and red atoms represent La/Sr and oxygen ions, respectively, while  
 11 Co ions (blue) sit in the center of the octahedra/tetrahedra. As shown in (j), this NP  
 12 phase consisted of both the polycrystalline grains of the RP phase. The metallic Co  
 13 nanoparticles are not observed due to their small size, low density, and/or their  
 14 misalignment relative to the zone axis of the image.

15

16 The range of possible annealing conditions available with the gas evolution and Mg-trap  
 17 annealing systems is indicated by the shaded regions in **Figure 1(a)**, while the symbols  
 18 represent the conditions explored in this work. Upon exposure to increasingly reducing  
 19 conditions (*i.e.*, lower oxygen partial pressure and/or higher temperature), the LSCO  
 20 thin films gradually underwent several topotactic transformations as revealed through  
 21 XRR (**Figure S1**) and XRD measurements (**Figure 1(d)-(h)**). The XRR curves reveal  
 22 substantial changes in the film thickness, density, and roughness associated with each  
 23 of these transformations. **Table S1** and **S2** list the parameters obtained from fitting the

1 XRR curves using GenX software.<sup>[31]</sup> For anneals up to 600 °C/10<sup>-12</sup> atm  $P_{O_2}$ , a trend  
2 of decreasing density and increasing film thickness and roughness can be observed, as  
3 expected for perovskite-related materials with increasing oxygen deficiency.<sup>[40,41]</sup> In  
4 particular, the LSCO thin film annealed at 600 °C/10<sup>-12</sup> atm  $P_{O_2}$ , experiences a 50.5%  
5 increase in the total film thickness (18.6 nm to 28.0 nm) and 29.9% decrease in the main  
6 layer density (6.79 g cm<sup>-3</sup> to 4.76 g cm<sup>-3</sup>). Finally, increasing the annealing temperature  
7 to 900 °C/10<sup>-12</sup> atm  $P_{O_2}$  leads to a recovery of the film density to 6.28 g cm<sup>-3</sup> (22.6%  
8 decrease from the as-grown perovskite (AG-P) sample) and roughness, accompanied  
9 with a small decrease in thickness to 17.3 nm (5.46% decrease). Therefore, the XRR  
10 results suggest that these highly reduced films have experienced substantial structural  
11 modification upon annealing.

12  
13 Analysis of  $\omega$ -2 $\theta$ XRD curves (**Figure 1(d)-(h)**) enable the identification of the phases  
14 present after each reducing anneal. Reciprocal space maps (RSMs, **Figure S2**) show  
15 that the thin films remain coherently strained to the underlying  
16 (LaAlO<sub>3</sub>)<sub>0.3</sub>(Sr<sub>2</sub>TaAlO<sub>6</sub>)<sub>0.7</sub> (LSAT) substrate regardless of annealing conditions.  
17 **Figure 1(h)** shows the expected series of (00L) peaks for the perovskite phase with an  
18 out-of-plane lattice parameter of 3.806 Å. Upon annealing at 200 °C and  
19 300 °C/10<sup>-24</sup> atm  $P_{O_2}$ , as well as 400 °C/10<sup>-7</sup> atm  $P_{O_2}$ , the same series of (00L) peaks can  
20 be observed (**Figure 1(g)**), indicating that the perovskite phase is maintained. However,  
21 the film peaks are shifted to slightly lower 2 $\theta$  values compared to the AG-P phase. For  
22 the sample annealed 400 °C/10<sup>-7</sup> atm  $P_{O_2}$ , the out-of-plane lattice parameter increases  
23 slightly to 3.812 Å which is consistent with the 6.0% decrease in density obtained from  
24 the XRR curves, and consistent with an oxygen deficient perovskite (OD-P) phase.<sup>[42,43]</sup>

25  
26 Annealing the LSCO thin films at 400 °C/10<sup>-24</sup> atm  $P_{O_2}$  resulted in the transformation  
27 to the BM phase, as characterized by the dramatic shift of the main (00L) film peaks to  
28 the low angle side of the LSAT substrate peak, as well as the appearance of prominent  
29 half-order peaks due to the quadrupling of the unit cell from the alternating octahedral  
30 and tetrahedral layers. The lattice parameter for this BM phase is 16.053 Å. The XRR  
31 curves indicate a 6.7% increase in total film thickness and 13% decrease in density  
32 accompany the transformation to the BM phase, consistent with prior studies of the  
33 perovskite-BM transformation in SrCoO<sub>3- $\delta$</sub> <sup>[1,10,17]</sup> and La<sub>0.7</sub>Sr<sub>0.3</sub>CoO<sub>3- $\delta$</sub> <sup>[13]</sup> films. The  
34 BM La<sub>0.7</sub>Sr<sub>0.3</sub>CoO<sub>2.5</sub> phase was found to be metastable and slowly transformed back to  
35 the perovskite phase over a period of several months at room temperature in air, even  
36 when capped with 5 nm Gd/5 nm Au to try to prevent oxygen reincorporation into the  
37 film.

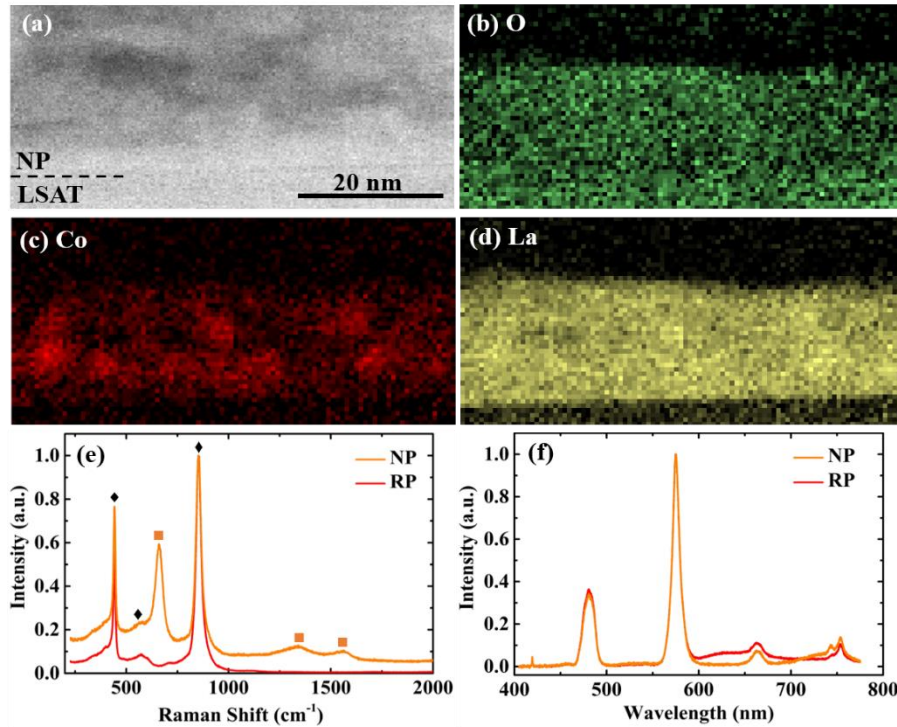
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1 Upon annealing at  $600\text{ }^{\circ}\text{C}/10^{-12}\text{ atm }P_{O_2}$ , the film peaks disappear as shown in **Figure**  
2 **1(e)**. This absence of film peaks could be explained by amorphization of the LSCO thin  
3 film or the formation of a nano-textured phase where the film breaks up into small  
4 crystalline regions in which the planes are not oriented parallel to the substrate surface.  
5 HAADF STEM images (**Figure 1(b)**) demonstrated that after annealing, this sample  
6 consisted primarily of polycrystalline grains of the RP phase separated by amorphous  
7 regions/regions of low crystallinity, or regions misaligned to the zone axis of the image.  
8 The out-of-plane lattice parameter of this polycrystalline RP structure is  $12.25 \pm 0.21$   
9  $\text{\AA}$ , where the (001) planes are not perfectly aligned with the underlying LSAT substrate  
10 due to the presence of defects and dislocations at the film-substrate interface.  
11 Furthermore, EELS elemental mapping in **Figure 2** demonstrates that this thin film has  
12 a non-uniform Co distribution while maintaining more uniform distributions of the La  
13 and O ions. In **Figure 2(e)**, the Raman spectra showed peaks at 683, 1366, and 1590  
14  $\text{cm}^{-1}$ , which can be assigned to the Raman lines of Co nanoparticles.<sup>[44-46]</sup> Therefore,  
15 we refer to this sample as the nanoparticle (NP) sample. The formation of the Co  
16 nanoparticles accounts for the loss of Co ions needed in order to form the RP phase,  
17 however their small size, low density, and/or random orientation precludes their  
18 observation in XRD or STEM measurements. Similar effects have been observed in the  
19 electrodes of solid oxide fuel cells where nickel particles exsolved from nickel  
20 oxides.<sup>[47,48]</sup> Due to their small size, the Co nanoparticles are not observed in the XRD  
21 curves or STEM images.

22  
23 As the LSCO thin films were annealed at  $900\text{ }^{\circ}\text{C}/10^{-12}\text{ atm }P_{O_2}$ , a new epitaxial phase  
24 emerged with a pattern of peaks distinct from the perovskite or BM phases. These peaks  
25 can be indexed to an out-of-plane lattice parameter of  $12.89\text{ \AA}$ . A lack of thickness  
26 fringes (**Figure S3**) indicates that compared to the AG-P sample, this phase has large  
27 interfacial roughness and d-spacing variations, further confirmed by XRR in **Figure S1**  
28 and **Table S2**. HAADF STEM imaging (**Figure 1(c)**) confirmed that this sample  
29 consists of a single crystalline  $\text{La}_{1.4}\text{Sr}_{0.6}\text{Co}_{1+\nu}\text{O}_{4-\delta}$  RP structure<sup>[7]</sup> with an out-of-plane  
30 lattice parameter of  $12.83 \pm 0.05\text{ \AA}$  which is coherently strained to the underlying LSAT  
31 substrate. Raman spectra showed that the Co nanoparticles were no longer present,  
32 however, PL spectra (**Figure 2(f)**) show multi-peaked emission spanning the visible  
33 and near-infrared wavelengths (from 480 to 755 nm). Similar spectra have been  
34 observed from isolated  $\text{Co}^{2+}$  ions in a variety of host crystals,<sup>[49-51]</sup> suggesting that these  
35 PL features also arise from excess Co ions that are generated when the LSCO thin films  
36 are reduced. Unlike the BM phase, this RP phase is stable under ambient conditions for  
37 a timeframe of years, as determined through XRD measurements.

38



1

2 **Figure 2.** (a) EELS elemental mapping of the NP sample taken at the substrate-film  
 3 interface showing maps of the (b) oxygen, (c) cobalt, and (d) lanthanum edges.  
 4 (e) Raman and (f) PL spectra of the NP (orange) and RP (red) samples. Raman lines  
 5 from the LSAT substrate<sup>[52]</sup> are denoted with the black diamond symbols (◆), while  
 6 those from the cobalt nanoparticles are denoted with the orange squares (■). In (f), all  
 7 of the PL features arise from the excess Co ions in the NP and RP films.

8

9 Density functional theory (DFT) calculations further support the assignment of the RP  
 10 phase to the 900 °C annealed sample, as the calculated structural, magnetic and  
 11 electronic properties (**Table S3**) all showed good agreement with experimental  
 12 measurements. In particular, the computed out-of-plane lattice parameter decreased by  
 13 19.5 % in the transformation from the BM phase (15.86 Å) to the RP phase (12.76 Å),  
 14 consistent with the experimentally observed 20% change from BM (16.053 Å) to the  
 15 900 °C annealed sample (12.83 Å). A G-type AFM ordering with insulating properties  
 16 was identified in the calculations with a small net magnetic moment  $\sim 0.25 \mu_B$  per Co  
 17 ion, consistent with the high resistivity and weak FM properties identified below 25 K  
 18 (as further discussed below). Moreover, our calculated formation energy of cobalt and  
 19 oxygen vacancies in the BM phase (see **Table S4** and the related discussion) revealed  
 20 that the BM to RP phase transition is thermodynamically possible; indeed we found that  
 21 the cobalt vacancy requires lower energy to form than the oxygen vacancy in the BM  
 22 phase, either at the standard condition (27 °C/1 atm) or at the 600 °C/ $10^{-12}$  atm  $P_{O_2}$   
 23 annealing condition, and their formation energies are comparable at the 900 °C/ $10^{-12}$

1 atm  $P_{O_2}$  annealing condition. This result indicates that the loss of cobalt and oxygen ions in the BM phase is likely, which is a necessary step to form the RP phase and justifies the appearance of Co nanoparticles detected in experiments.

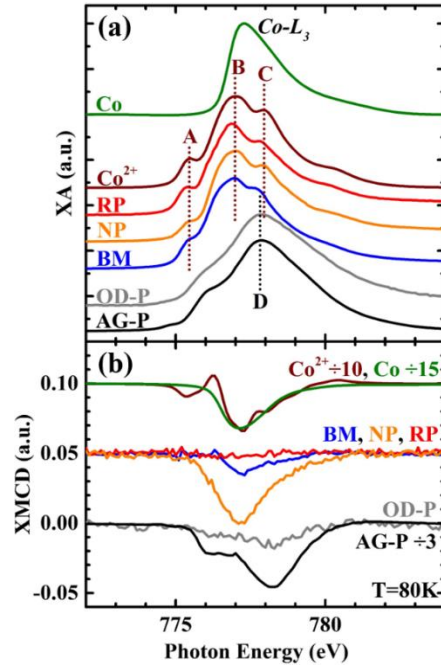
4  
5 XA spectra (**Figure 3(a) and S4**) were acquired in order to detect the change in Co valence state and local coordination associated with the topotactic transformations. Reference spectra for  $Co^{2+}$  ions in octahedral coordination ( $CoFe_2O_4$ <sup>[53]</sup>) and metallic Co are included for comparison. The AG-P sample agrees with literature data for mixed valence  $Co^{3+}/Co^{4+}$  ion in octahedral coordination.<sup>[54,55]</sup> The OD-P sample shows only subtle changes compared the AG-P sample in the form of slightly less defined spectral features. On the other hand, the XA spectra of the highly reduced phases (BM, NP, and RP) show clear signatures of  $Co^{2+}$  ions in octahedral coordination with subtle differences in the intensities of the three main spectral features denoted with (A)-(C) dotted lines. The decrease in the Co valence state in the highly reduced phases is expected in order to maintain charge neutrality upon increase in oxygen deficiency,  $\delta$ . The subtle spectral differences for the highly reduced phases likely arise from the fact that the Co ions in the BM sample are in mixed octahedral/tetrahedral coordination, while those in the NP and RP samples also have contributions from the Co nanoparticles and isolated Co ions, respectively. In the ideal RP structure with the chemical formula of  $La_{1.4}Sr_{0.6}CoO_4$ , the average Co valence state is  $Co^{2.6+}$ ; however, our annealed NP and RP samples are likely Co-rich with stoichiometry of  $La_{1.4}Sr_{0.6}Co_{1+v}O_{4-\delta}$ , where  $0 \leq v < 1$ , resulting in lower average Co valence state.

23  
24 XMCD measurements at the Co  $L_{3,2}$  absorption edges (**Figure 3(b)**) provide complementary information on the element/coordination specific contributions to the FM properties of the annealed LSCO thin films. Compared to the AG-P sample, the saturation magnetization ( $M_S$ ) of the OD-P films decreased by 60% as the oxygen vacancies break up the  $Co^{3+} - O^{2-} - Co^{4+}$  double exchange network<sup>[56,57]</sup> and potentially introduces local AFM structures for Co ions near vacancies.<sup>[35,58]</sup> While the XA spectra for the BM, NP, and RP samples were similar (**Figure 3(a)**), stark differences exist in the XMCD spectra. No FM signal was detected for the RP sample at 80 K, and the BM sample showed a weak FM signal with spectral features matching that of  $Co^{2+}$  ions in octahedral coordination. The XMCD magnitude of the BM sample is only 4.7% of the  $CoFe_2O_4$  reference spectra. In contrast, the sample referred to as the NP phase shows a broad negative peak without the multiplet structure typically found in complex oxides and is more reminiscent of metallic Co atoms. Fitting of the XA and XMCD spectra for this sample (**Figure S5**) shows that it can be considered as a mixture consisting of ~ 77% of RP phase and ~ 23% metallic Co, consistent with the Raman spectra. As

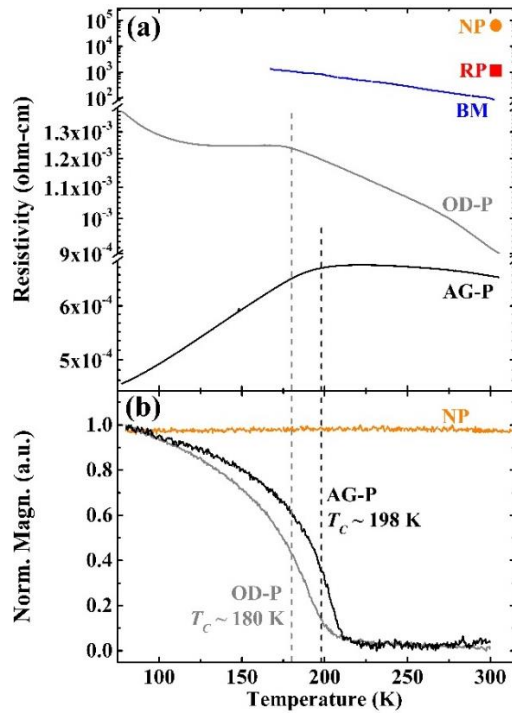
1 shown in **Figure S6**, the hysteresis loops show that this phase has a substantially lower  
2 coercivity compared to the AG-P phase (*i.e.*,  $H_C = 0.1$  T compared to  $H_C \sim 1.25$  T,  
3 respectively), consistent with Co nanoparticles.<sup>[59,60]</sup>

4  
5 The bulk magnetic and electrical properties of the annealed LSCO thin films are shown  
6 in **Figure 4**. The resistivity data was normalized to the thin film volume, while the  
7 magnetization data was normalized to 1. In the resistivity measurement, the transition  
8 temperature was determined as the temperature where the curve has a local maximum  
9 (*i.e.*  $dR/dT=0$ ),<sup>[61,62]</sup> while the Curie temperature was determined as the temperature  
10 corresponding to the peak in  $|dM/dT|$ .<sup>[63]</sup> Using these metrics, the transition  
11 temperatures are in good agreement between the two measurements. For the AG-P  
12 sample, the M-to-I and FM-to-PM transitions coincide at  $T_C \sim 198$  K. The oxygen  
13 deficiency in the OD-P phase led to a decrease in  $T_C$  to 180 K and an increase in  
14 resistivity across the entire temperature range studied. The increase in resistivity is most  
15 dramatic at low temperatures where an additional insulating phase emerges for  
16 temperatures below  $\sim 125$  K.<sup>[64,65]</sup> The highly reduced phases (BM, NP, and RP) had  
17 room temperature resistivity values several orders of magnitude higher than the  
18 perovskite phases. The high resistivity values for the NP and RP phases prevented  
19 resistivity measurements at lower temperatures. The BM phase displayed purely  
20 insulating temperature dependence and no detectable magnetic signal over the  
21 temperature range studied, consistent with the AFM properties reported for BM  
22  $\text{SrCoO}_{2.5}$ .<sup>[66]</sup> XLD measurements suggest that these AFM properties also remain in the  
23  $\text{La}_{0.7}\text{Sr}_{0.3}\text{CoO}_{2.5}$  phase studied here (**Figure S7**). The weak FM signal from the BM  
24 phase measured in the XMCD spectrum (**Figure 3(b)** blue curve) was not detected in  
25 the magnetization-temperature plot in **Figure 4(b)**, mainly due to the detection  
26 sensitivity difference between the two measurements. In addition to the insulating  
27 properties, the NP phase displayed FM properties with a nearly constant magnetization  
28 value of  $847 \text{ emu cm}^{-3}$  up to the highest measurement temperature of 370 K. This  
29 magnetization value was normalized to the estimated volume of all the Co nanoparticles  
30 (*i.e.*, 23% of the total NP film volume, based on XA spectra fitting results), and the  
31 measured  $M_S$  of these Co nanoparticles corresponds well to the reported value for  
32 metallic Co (*i.e.*,  $M_S = 1352 \text{ emu cm}^{-3}$  compared to  $M_S \sim 1400 \text{ emu cm}^{-3}$ ,<sup>[67,68]</sup>  
33 respectively). The high  $T_C$  value in the NP sample is also consistent with the presence  
34 of FM Co nanoparticles embedded within an insulating matrix. The RP phase has a  
35 weak FM phase with low remanent magnetization which appears at temperatures below  
36  $\sim 25$  K (not shown).

37



1  
 2 **Figure 3.** (a) Co  $L_{3,2}$  XA and (b) XMCD spectra acquired at 80 K for LSCO thin films  
 3 after reducing anneals. Reference spectra for  $\text{Co}^{2+}$  ions in octahedral coordination<sup>[53]</sup>  
 4 and metallic Co are also included. The XA spectral features of  $\text{Co}^{2+}$  ions are denoted  
 5 with (A-C) dotted lines and the main peak of the  $\text{Co}^{3+}/\text{Co}^{4+}$  ions with a (D) dotted line.  
 6



7  
 8 **Figure 4.** Film-averaged (a) resistivity and (b) normalized magnetization as a function  
 9 of temperature for LSCO thin films after reducing anneals. A magnetic field of 0.08 T  
 10 was applied along the [100] substrate direction during the magnetization measurements.  
 11

1 **Figure 1(a)** summarizes the temperature/oxygen partial pressure phase space explored  
2 in order to initiate a wide range of topotactic transformations in perovskite LSCO thin  
3 films. Under mild reducing conditions ( $T \leq 300^\circ\text{C}$  at  $10^{-24}$  atm  $P_{O_2}$ , and  $400^\circ\text{C}$  at  
4  $10^{-7}$  atm  $P_{O_2}$ ), oxygen deficiency resulted in a slight expansion of the perovskite unit  
5 cell volume, a change in the magnetic properties (decrease in  $T_C$  and  $M_S$ ), and an  
6 increase in the resistivity due to the disruption of the  $\text{Co}^{3+} - \text{O}^{2-} - \text{Co}^{4+}$  double exchange  
7 network. Increasing the annealing temperature to  $400^\circ\text{C}$  at  $10^{-24}$  atm  $P_{O_2}$ , resulted in  
8 the formation of the BM phase, which displayed AFM/I properties with a trace FM  
9 signal from  $\text{Co}^{2+}$  ions. This metastable phase slowly transformed back to the perovskite  
10 phase over a period of several months in ambient conditions. Annealing at  
11  $600^\circ\text{C}/10^{-12}$  atm  $P_{O_2}$  led to the formation of small Co nanoparticles embedded in a  
12 polycrystalline matrix of the RP phase characterized by FM/I properties by low  
13 coercivity and an elevated Curie temperature,  $T_C > 370$  K. Notably, this structure was  
14 characterized by a dramatic increase in total film thickness (50.5%) and a sharp drop in  
15 density (-29.9%) compared to the AG-P thin film. Finally, an epitaxial RP structure ( $a$   
16  $= b = 3.868 \text{ \AA}$ ,  $c = 12.89 \text{ \AA}$ ) was obtained after annealing at the most reducing condition  
17 explored ( $900^\circ\text{C}/10^{-12}$  atm  $P_{O_2}$ ). Once formed, this phase remained stable under  
18 ambient conditions, and it displayed high resistivity and weak FM properties at  
19 temperatures below  $\sim 25$  K with low remanent magnetization.

#### 21 **4. Conclusions**

22 In summary, a series of topotactic transformations involving the loss of both oxygen  
23 anions and cobalt cations were observed in  $\text{La}_{0.7}\text{Sr}_{0.3}\text{CoO}_{3-\delta}$  thin films upon annealing  
24 under highly reducing conditions. First principles calculations were conducted to  
25 interpret experiments and characterize the observed phases. These phases not only  
26 include the oxygen-deficient perovskite and BM phases, but the RP phase which has  
27 been rarely reported in the cobaltite topotactic transformations and requires the loss of  
28 cobalt cations. A composite phase consisting of FM Co nanoparticles embedded in an  
29 insulating RP matrix was observed at an intermediate annealing condition of  
30  $600^\circ\text{C}/10^{-12}$  atm  $P_{O_2}$ . These Co nanoparticle precipitates re-dissolved into the oxide  
31 matrix upon annealing, forming interstitial Co ions in the RP phase under the most  
32 reducing condition investigated. DFT calculations further confirmed that the formation  
33 of the RP phase and Co nanoparticles is thermodynamically possible, and the calculated  
34 structural, magnetic and electronic properties supported the experimental  
35 measurements. Each structure possessed its own distinct set of physical properties  
36 including FM/M, AFM/I, and two types of FM/I phases. The ability to control the  
37 physical properties by movement of ion migration suggest their potential for further  
38 exploration into the reversibility and order parameter tuning by electric fields for

1 applications such as neuromorphic devices.

2

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