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# Discovery of non-HLA antibodies associated with cardiac allograft rejection and development and validation of a non-HLA antigen multiplex panel: From bench to bedside

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### **Abstract**

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DISCLOSURE

The authors of this manuscript have conflicts of interest to disclose as described by the *American Journal of Transplantation*. DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request. SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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We analyzed humoral immune responses to nonhuman leukocyte antigen (HLA) after cardiac transplantation to identify antibodies associated with allograft rejection. Protein microarray identified 366 non-HLA antibodies (>1.5 fold, P<.5) from a discovery cohort of HLA antibody negative, endothelial cell crossmatch-positive sera obtained from 12 cardiac allograft recipients at the time of biopsy-proven rejection. From these, 19 plasma membrane proteins and 10 autoantigens identified from gene ontology analysis were combined with 48 proteins identified through literature search to generate a multiplex bead array. Longitudinal sera from a multicenter cohort of adult cardiac allograft recipients (samples: n = 477 no rejection; n = 69 rejection) identified 18 non-HLA antibodies associated with rejection (P<.1) including 4 newly identified non-HLA antigenic targets (DEXI, EMCN, LPHN1, and SSB). CART analysis showed 5/18 non-HLA antibodies distinguished rejection vs nonrejection. Antibodies to 4/18 non-HLA antigens synergize with HLA donor-specific antibodies and significantly increase the odds of rejection (P < .1). The non-HLA panel was validated using an independent adult cardiac transplant cohort (n = 21 no rejection; n = 42 rejection, >1R) with an area under the curve of 0.87 (P < .05) with 92.86% sensitivity and 66.67% specificity. We conclude that multiplex bead array assessment of non-HLA antibodies identifies cardiac transplant recipients at risk of rejection.

### Keywords

autoantibody; autoantigen; clinical research/practice; heart transplantation/cardiology; histocompatibility; immunogenetics; microarray/protein array; organ transplantation in general; rejection; translational research/science

### 1 | INTRODUCTION

Long-term outcomes remain largely unchanged and graft loss due to chronic rejection is a significant problem.<sup>1–3</sup> Donor-specific HLA antibodies (DSAs) contribute to antibody-mediated rejection (AMR)<sup>4–7</sup> and acute cell-mediated rejection (ACR)<sup>8–10</sup> after cardiac transplant. However, a number of heart transplant patients present with AMR in the absence of DSAs,<sup>11</sup> suggesting antibodies against non-HLA antigens may be associated with an increased risk of AMR. This is further supported by reports that antibodies to vimenten,<sup>12–14</sup> MHC class I polypeptide-related sequence A (MICA),<sup>15,16</sup> angiotensin II receptor type 1,<sup>17,18</sup> and endothelial cell (EC)-specific antibodies<sup>19,20</sup> are associated with AMR and cardiac allograft vasculopathy (CAV). Additionally, antibodies to non-HLA antigens have been associated with poor outcomes in other organs.<sup>21,22</sup>

ECs are the first point of contact between the allograft and the recipient's immune system and therefore a source of non-HLA antigens that can stimulate a humoral immune response. The endothelial cell crossmatch (ECXM) using primary human aortic ECs (HAECs) and the XM One assay have been shown to identify patient sera containing antibodies against ECs. <sup>20,23</sup> However, the utility of cell-based assays is limited, as they do not identify the antigen that binds the non-HLA antibody. Consequently, our understanding of the breadth of non-HLA antigens that elicit a humoral response leading to poor allograft outcomes is hampered by our inability to detect and characterize non-HLA antibodies.

We sought to identify and validate non-HLA antibodies associated with cardiac allograft rejection. We screened a set of ECXM<sup>+</sup> sera from cardiac allograft recipients diagnosed with rejection, in the absence of HLA or MICA DSAs<sup>20</sup> using protein microarrays containing full-length human protein antigens. Non-HLA antibody targets associated with EC plasma membrane and autoantigens were classified using a bioinformatics and gene ontologic approach. These, with non-HLA antigens identified through literature search were conjugated to a multiplex bead array for high throughput validation using multicenter and single-center cohorts of adult cardiac allograft recipients. Using this proteomics approach, we report the discovery and validation of a novel panel of non-HLA antigens associated with cardiac allograft rejection.

### 2 | MATERIALS AND METHODS

### 2.1 | Ethics statement

The UCLA institutional review board (12–000656 and 11–000577) approved the use of serum and endomyocardial biopsy (EMB) samples used in this study and all research participants gave written informed consent.

### 2.2 | Study populations and sample collection

- **2.2.1** | **Discovery cohort**—The discovery cohort was identified from cardiac allograft recipients transplanted at UCLA between 2001 and 2005 that tested positive by ECXM posttransplant and were negative for HLA DSA and MICA antibodies (n = 12; Table 1). ECXM were performed as previously described. <sup>20</sup> HLA antibodies were identified using a Single Antigen Luminex assay (One Lambda). Demographics are listed in Table 1. Rejection was diagnosed by EMB according to the International Society for Heart and Lung Transplantation (ISHLT) criteria<sup>24</sup> and as previously reported. <sup>25</sup>
- **2.2.2** | **Multicenter cohort**—The multicenter cohort was a sub-study of the phase 3 clinical trial sponsored by Novartis (www.ClinicalTrials.gov NCT00300274). For this substudy, we obtained IRB approval from 9 participating centers including the University of Puerto Rico; Cleveland Clinic; Drexel College of Medicine; Intermountain Medical Center; Medical University of South Carolina; Methodist Hospital Research Institution; Tufts Medical Center; University of California, Los Angeles; and Washington University. This study included 115 subjects with 546 available posttransplant sera (average of 4.7 samples tested per patient, range of 1–9 samples per patient), protocol EMB collected at 3, 6, 12, and/or 24 months and for cause graded for rejection according to the 1990 ISHLT criteria. <sup>26,27</sup> Sera were tested for non-HLA antibodies by Luminex and analyzed for association with rejection using EMB obtained within -21/+2 day (n = 477 no rejection; n = 69 rejection, grade 1B). The median in days from sera collection to EMB for all samples was 0 (P< .39). Posttransplant, 39 patients were maintained on triple-drug immunosuppression (tacrolimus, mycophenolate mofetil, and corticosteroids) and 76 patients received tacrolimus, everolimus, and corticosteroids.
- **2.2.3** | **Single-center cohort**—The single-center cohort consisted of 63 heart transplant recipients transplanted at UCLA between 2009–2016 for which a serum sample with a

paired biopsy were available. Rejection (n = 42, ACR > 1R) was scored according to the ISHLT criteria. The median in days from sera collection to EMB for samples was 0 (P < .26). Posttransplant, patients were maintained on triple-drug immunosuppression.

### 2.3 | Protein microarray

Serum antibodies were profiled with a human protein microarray as previously described. <sup>23,28</sup> The InVitrogen Human ProtoArray v5.0 were analyzed after probing with 12 rejection<sup>+</sup> ECXM<sup>+</sup> sera, and 6 rejection<sup>-</sup> ECXM<sup>-</sup> sera to serve as technical controls. The slides were scanned using a GenePix 4100A fluorescence microarray scanner and GenePix Pro 6.0 software (Molecular Devices). Array normalization and analysis was performed using Prospector 2.0 software (Life Technologies) as previously described. <sup>23</sup> Gene ontologic analysis of the 366 antigens was assessed by DAVID gene ontology (https://david.ncifcrf.gov) to identify enriched biological themes as previously described. <sup>29</sup>

### 2.4 | Multiplex non-HLA antibody panel (Luminex)

The non-HLA multiplex bead panel, engineered and provided by Immucor, Inc, included 67 non-HLA antigens (Table S1) conjugated to polystyrene beads. Forty  $\mu$ L of antigen-coated beads were incubated with 10  $\mu$ L of serum for 30 minutes. After washing beads were stained with 50  $\mu$ L of phycoerythrin (PE) conjugated goat anti-human IgG diluted 1:10 in buffer and incubated in the dark on a shaking platform for 30 minutes. Antibody binding was reported as the median fluorescence intensity (MFI) of IgG binding on the Luminex 100 (Luminex).

A receiver operating characteristic curve (ROC) analysis was performed to determine the optimal cutoff (using Youden's Index and the value nearest to [0, 1]) as well as a 1000 MFI cutoff for each of the 18 significant non-HLA antibodies. Both 'Youden's Index' and 'nearest' approaches lead to similar ranges (192–961 MFI) of empiric optimal cutoffs per bead (data not shown). However, a positive threshold of MFI > 1000 was chosen for analyses (1) to ensure confidence and fewer false positives, (2) areas under the curve per the ROC analysis was comparable across all 3 methods, and (3) our prior experience with Luminex-based solid phase antibody detection methods suggested using the higher threshold. Non-HLA antibodies were assessed in sera isolated from 13 healthy controls who provided informed written consent and compared to the patient samples from the multicenter cohort by 1-way ANOVA (Figure S1).

### 2.5 | RNA-sequencing data analysis

Publically available RNA-sequencing data<sup>30</sup> were obtained (https://www.proteinatlas.org/) for cardiac, kidney, lung, and liver tissues and human umbilical vein endothelial cells (HUVECs). RNA-sequencing results are reported as number of transcripts per kilobase million (TPM) and protein expression is defined as >1.0.

### 2.6 | Histopathology and immunohistochemistry

IHC conducted on EMB (n = 6, 3 rejection and 3 no rejection) from the multicenter cohort that were positive for non-HLA antibodies (either Sjogren syndrome antigen B [SSB] or endomucin [EMCN] antibodies). IHC was performed by the Translational Pathology Core Laboratory at UCLA following a standardized protocol $^{31}$  with anti-SSB (Abcam, ab75927)

and anti-endomucin (Novus Bio, NBP1–92150). Slides were reviewed by a single cardiopathologist and imaged with a Nikon 90i microscope with NIS Elements software version 3.0 (Nikon).

### 2.7 | Statistics

For testing differences in the demographic data, t and Fisher exact tests were used for continuous variables and categorical variables, respectively. Odds ratios associating non-HLA antibodies with cardiac allograft rejection were determined to be significantly greater than 1 by Fisher exact tests with P < .1. A P < .1 threshold for significance was set following standard practice for variable selection<sup>32</sup> and to prevent exclusion of antibodies that may interact with each other. All tests were 2-tailed, and confidence intervals (95%) were constructed assuming the estimates of standard error are asymptotically normal. To adjust for confounding due to the presence of HLA DSA, a random effects logistic model was used to account for multiple factors generated per patient. Wald tests were used to compare odds ratios. An ROC analysis was performed via a logistic regression model for all 18 non-HLA antibodies on patients with and without HLA DSAs. All preceding analyses were performed using Stata Statistical Software (StataCorp. 2015; Release 14).

In cluster analysis, ordering of the non-HLA markers, circle size and illustrative boxes were based on pairwise correlation coefficients. The analysis was performed using hierarchal clustering with complete linkage and Euclidean distance in the R Corrplot application (https://github.com/taiyun/corrplot). The rpart function in the R library (the R software package version 3.4.0, http://www.r-project.org/) was used to perform a recursive partitioning regression analysis and build a classification tree. In order to avoid over-fitting and to select a parsimonious set of predictor variables, the maximum depth of any node of the final tree (the root node counted as depth 0) was set to 3, and the minimum number of observations that must exist in a node, in order for a split to be attempted was set to 5. All other parameters were set to their default values.

### 3 | RESULTS

# 3.1 | Discovery of non-HLA antibodies associated with cardiac allograft rejection by protein microarray analysis

A discovery cohort contained sera from 12 cardiac transplant recipients without HLA or MICA DSA, but with biopsy-proven rejection and with positive reactivity in the ECXM (median of 117 MCS; range: 62–529 MCS) (Table 1). An additional 6 sera that were rejection negative, ECXM negative, and MICA DSA negative were used as controls.

The 18 sera (12 rejection<sup>+</sup>/ECXM<sup>+</sup> and 6 rejection<sup>-</sup>/ECXM<sup>-</sup> controls) were hybridized to protein microarrays. Bioinformatic analysis of the microarrays identified 366 antigens with increased fluorescence intensity (>1.5-fold; *P*<.05) in sera isolated from rejection<sup>+</sup>/ECXM<sup>+</sup> patients compared to rejection<sup>-</sup>/ECXM<sup>-</sup> controls (Figure 1).

# 3.2 | Development of a high throughput multiplex bead array displaying non-HLA antigens

Next, gene ontologic analysis of the 366 non-HLA antigens was performed to identify those that represented potential target antigens expressed on the EC surface that may have contributed to a positive ECXM. From this analysis, 19 plasma membrane antigens and 10 autoantigens were expressed and conjugated to Luminex beads for high throughput testing (Table S1, Antigens 1–19). An additional 48 non-HLA antigens identified from published literature as correlating to rejection (9 cardiac, 30 renal, 8 lung, and 1 liver) were also included in the bead set (Figure 1; Table S1, Antigens 20–67).

### 3.3 | Identification of non-HLA antibodies associated with cardiac allograft rejection

The multiplex bead array was used to screen sera samples isolated from heart transplant patients enrolled in a multicenter clinical trial. Patients in the rejection group experienced at least 1 histologically proven rejection episode (grade 1B). The demographics of this cohort are listed in Table 2. There were no significant differences between patient groups with respect to age, race, sex, end-stage disease, induction therapy, presence of mechanical circulatory support, or patient/donor HLA-A, -B, -DR mismatch (Table 2).

Five hundred forty-six serum samples from 115 recipients (n = 477 no rejection; n = 69rejection, grade 1B) collected longitudinally with paired EMB were tested for non-HLA antibodies by multiplex analysis. The distribution of rejection grades is listed in Table 3. Eighteen non-HLA antibodies were significantly associated with rejection (Figure 2A, grade 1B, odds ratio >1, 95% CI, P < .1). These included 4 non-HLA antibodies that target antigens newly described as being associated with allograft rejection including EMCN, dexamethasone-induced transcript (DEXI), latrophilin 1 (LPHN1) and SSB. Seven non-HLA antibodies to cytoskeletal proteins were also identified including: myosin, vimentin (VIM), actin, keratin 8 (KRT8), keratin 18 (KRT18), tubulin<sup>33</sup> (TUBa1b), and agrin<sup>34</sup> (AGRN). Non-HLA antibodies to 3 enzymes were also identified: enolase 1 (ENO1), peroxisomal trans-2-enoyl-coA reductase<sup>35</sup> (PECR), and glyceraldehyde-3-phosphate dehydrogenase (GAPDH).<sup>36</sup> Additionally, non-HLA antibodies were identified to bind the cytokines, IL-21 and CXCL11, as well as the kinases protein kinase C<sup>37</sup> (PRKCH) and Fms related tyrosine kinase 3 ligand (FLT3LG) (Table 4). To further characterize the 18 non-HLA antibodies significantly associated with rejection (Figure 2A) in healthy controls and patient samples, box plots showing the distribution of the MFI (y-axis) are shown in Figure S1. Within each trio from left to right, the first box shows the healthy controls (n = 13). The next, shows the no rejection samples from the multicenter cohort (n = 477) and the last box shows the rejection samples (n = 69) for each of the 18 non-HLA antibodies (x-axis). Significant differences (1-way ANOVA, P < .05) among the MFI distributions occurred between healthy controls, no rejection samples, and rejection samples for the 18 non-HLA antibodies identified to be significantly associated with rejection except for PECR, CXCL11, and FLT3LG.

The odds ratios were adjusted to remove the confounder of HLA DSAs (Figure 2B, grade 1B, odds ratio >1, 95% CI, P < .1; HLA DSA<sup>+</sup> samples n = 26 [37.6%] in rejection and n = 106 [22.2%] in nonrejection samples). Applying this criterion, 10 of 18 non-HLA antibodies

remained significantly associated with cardiac allograft rejection (95% CI, P < .1). The odds ratios for antibody binding to the remaining non-HLA antigens on the multiplex panel were not significantly different (not shown).

To determine if the number of non-HLA antibodies is increased in rejection sera we tallied the number of non-HLA antibody specificities per sample and plotted it as a percentage of the total number of samples. The median number of non-HLA antibodies per sample was significantly increased (P<.001) in sera collected at the time of rejection (3, interquartile range 1–5) compared to nonrejection samples (1, interquartile range 1–3; Figure 3). As shown, the distribution of the number of antibodies per sample shifts to the right in rejection samples.

## 3.4 | Identification of non-HLA antibodies that independently associate with and classify cardiac allograft rejection

A correlation matrix analysis evaluated clustering of non-HLA antibodies associated with rejection. Non-HLA antibodies significantly associated with cardiac allograft rejection selectively cluster into 9 groups (Figure 4, red boxes). The size of the dot/color correlates to the association between the non-HLA antibodies in rejection samples. Three of 4 newly identified non-HLA antibodies do not cluster with any other non-HLA antibodies—SSB, EMCN, and LPHN1—and are independently associated with cardiac allograft rejection. AGRN, KRT18, ENO1, and GAPDH are found independently. In comparison, non-HLA antibodies targeting the 11 remaining antigens are found in clusters and, therefore, are not independently informative.

To supplement the individual odds ratios, CART explored potential interactions and synergies of non-HLA antibodies differentiating nonrejection from rejection samples (Figure 5). Five non-HLA antibodies (KRT18, GAPDH, AGRN, ENO1, and EMCN) including 1 newly described EMCN were informative classifiers of rejection in the CART analysis at a range of strengths (800–4900 MFI, values rounded to the nearest 100). The root node, KRT18, that includes all 546 sera, 13% of which are rejection samples (69/546 = 0.13) splits at an MFI > 1500 into child nodes. As the algorithm progresses from the root node to terminal nodes at the bottom of the decision tree, rejection and nonrejection are classified. The algorithm accurately identifies nonrejection in 86% of the 546 sera analyzed (Figure 5, far left). The 5 non-HLA targets identified as nodes in the CART analysis are also independently associated with rejection (Figure 4, identified by dotted line boxes). The other 13 non-HLA antibodies identified to be significant in Figure 2A were included in the CART analysis but trimmed from the analysis because they did not add additional discrimination power.

### 3.5 | Non-HLA antibodies synergize with HLA DSAs in cardiac allograft rejection

To determine if HLA DSAs and non-HLA antibodies are synergistic in cardiac rejection, we used logistic regression (Figure 6, n = 546 samples). The odds ratio of cardiac allograft rejection in the presence of HLA DSA alone is 2.3, and 14/18 non-HLA antibodies initially found to be significantly associated with cardiac allograft rejection retained significance in comparison to those samples without any antibodies (HLA DSA or non-HLA antibodies, P

< .1). Comparison of the effect of HLA DSA alone or HLA DSA and any of the non-HLA antibodies identified as associated with cardiac allograft rejection indicates SSB, Myosin, VIM or PRKCH synergistically interact with HLA DSA to significantly increase the odds of rejection (Figure 6, brackets, P< .1).

### 3.6 | Validation in an independent single-center cohort

We next sought to validate the 18 non-HLA antibodies (log values of MFI) to classify rejection using an independent single-center cohort (n = 63). The demographics of this cohort are listed in Table 2. There were no significant differences between patient groups with respect to age, sex, race, end-stage disease, induction therapy, and presence of pretransplant mechanical circulatory support or patient/donor HLA-A, -B, -DR mismatch. Patients in the rejection group had rejection<sup>24</sup> of grade 1R or higher (Table 5). ROC shows that the set of 18 non-HLA antibodies associated with cardiac allograft rejection significantly differentiated the 42 patients with rejection (area under the curve [AUC] = 0.87, P < .05 with 92.86% sensitivity and 66.67% specificity) (Figure 7A). After removing the 17 patients with HLA DSA (Figure 7B), ROC analysis identified an AUC of 0.92 and sensitivity and specificity of 88.89% and 68.42%, respectively (P < .05) in the independent cohort of cardiac transplant recipients (n = 46 patients without HLA DSA, 19 with no rejection and 27 with rejection).

### 3.7 | Tissue expression of non-HLA antigens associated with cardiac allograft rejection

To confirm that the non-HLA antigens associated with cardiac allograft rejection are expressed in vivo, publicly available RNA-seq data<sup>30</sup> from cardiac, kidney, lung, and liver tissues as well as HUVECs was assessed (Figure 8A). Transcripts for all of the non-HLA antigens were found to be expressed in cardiac tissue (TPM > 1), as well as additional tissues with the exception of myosin which is specific for cardiac tissue. IHC was performed to identify the tissue localization of SSB and EMCN in EMB tissues from cardiac allograft rejection patients. Representative images of biopsies from 3 patients in the multicenter cohort show SSB is a nuclear protein in the EC microvasculature. EMCN is expressed in the membrane and cytoplasm of cardiomyocytes and in the microvasculature (Figure 8B). A similar expression for SSB and EMCN was seen in biopsies without rejection (data not shown).

### 4 | DISCUSSION

We used a proteomics approach to identify antibodies to 18 non-HLA antigens as significantly associated with cardiac allograft rejection. Four of 18 targets (EMCN, DEXI, LAPHN, and SSB) are newly described as being associated with cardiac transplant rejection. Transcripts for each of the non-HLA targets discovered are expressed in cardiac, liver, kidney, and lung tissues, with the exception of cardiac myosin, which is exclusive to cardiac tissue. We further confirmed, that 2/4 novel targets (SSB and EMCN) are expressed in EMB.

Three of 4 newly described targets (EMCN, LAPHN, and SSB) are found independent of other non-HLA antibodies, indicating they are independent markers of rejection. Further, the absence of EMCN antibodies was associated with nonrejection in the CART analysis

designed to explore synergistic effects. The ability of the 18-non-HLA antigen panel to classify rejection was validated using an independent single-center cohort of adult cardiac transplant recipients. ROC analysis showed the panel correctly classifies rejection 87% of the time (AUC = 0.87, P < .05).

We observed the median number of non-HLA antibodies in rejection sera was significantly higher than in nonrejection samples (3, interquartile range 1-5 vs 1, interquartile range 1-3; P<.001). Allograft damage due to rejection may therefore stimulate antibody production to self-antigens. Non-HLA antibodies may also function to mediate rejection. We also identified non-HLA antibodies present in nonrejection samples suggesting association with underlying disease etiologies. While we do not yet know whether these antibodies are directly mediating injury or reflecting an inflammatory process, they may be clinically useful to identify patients at risk of rejection or poor outcomes.

A model of HLA DSA and non-HLA antibodies showed synergies with rejection. The odds of rejection were significantly increased when antibodies to SSB, myosin, VIM, or PRKCH were present with HLA DSAs, in comparison to samples with HLA DSAs alone. Whether this reflects a 2-hit mechanism of injury or HLA mediated remodeling of the actin cytoskeleton remains to be determined.

The 4 novel non-HLA antigen targets include EMCN, DEXI, LPHN1, and SSB. EMCN is a mucin-like sialoglycoprotein that inhibits assembly of focal adhesions. It is highly expressed in HAEC and microvascular EC.<sup>42</sup> DEXI is a protein of unknown function implicated in autoimmune disease<sup>43</sup> and immunoglobulin A deficiency.<sup>44</sup> LPHN1 is a G protein–coupled receptor with roles in cell adhesion and signal transduction.<sup>45</sup> SSB antibodies are found in patients with systemic lupus erythematosus (SLE) and Sjogren's syndrome. Mothers with SSB antibodies are at an increased risk for neonatal lupus associated with fetal congenital heart block.

Additionally, we found 14 non-HLA autoantibodies that were previously reported in cardiac allograft rejection including myosin, vimentin, actin, KRT8, KRT18, tubulin, and GAPDH. While autoantibodies to agrin, ENO1, PECR, IL-21, CXCL11, PRKCH, and FLT3LG were previously associated with renal allograft rejection. Our findings are consistent with studies showing vimentin and myosin autoantibodies are associated with acute rejection. <sup>14,46</sup> Antivimentin and myosin antibodies are also associated with development of CAV in heart transplant. 12,47 Cardiac allograft rejection was also associated with anti-cytoskeletal EC antibodies to actin, keratin, and tubulin. 48 Joosten et al 34 identified agrin autoantibodies in 44% of patients with transplant glomerulopathy after kidney transplant. Antibodies to GAPDH were previously associated with dilated cardiomyopathy<sup>36</sup> and SLE.<sup>49</sup> Furthermore, GAPDH has been found in exosomes<sup>50</sup> and at increased concentrations of cell free DNA in rheumatoid arthritis. 38 ENO1 antibodies have been associated with membranous nephropathy in kidney transplant.<sup>39</sup> Antibodies to PECR are associated with transplant glomerulopathy in kidney transplant. Antibodies to the chemokine CXCL11 and cytokine IL-21 have been associated with chronic renal allograft injury.<sup>52</sup> Additionally, the transcription level of CXCL11 has been shown to be increased in patients with AMR by the molecular microscope<sup>40</sup>; therefore, antibodies to CXCL11 may be generated in response to

the increased expression of CXCL11 produced during rejection. PRKCH is a calcium-independent serine/threonine protein kinase involved in the differentiation of pre–B cell receptors and regulation of epithelial cell tight junction and is involved in proliferation and apoptosis in cancer models. FLT3LG antibodies were associated with AMR in kidney allografts.<sup>23</sup>

Limitations of this study include the retrospective nature and largely white population of the studied cohorts and hence the need to confirm these findings in a larger, multicenter prospective study. Additionally, the multicenter cohort and single-center cohorts were graded according to different ISHLT criteria,  $1990^{27}$  and 2004, <sup>24</sup> respectively, according to how the data were originally published or scored clinically. Thus, to compare the results between cohorts, we included ACR 1R rejection episodes to validate the panel of 18 non-HLA antigen targets to predict rejection in the single-center cohort. Additionally, the diagnosis of AMR was likely underreported in the multicenter cohort using the earlier 1990 ISHLT scoring <sup>27</sup> criteria and the histologic evidence for these non-HLA antibodies has not been clearly defined.

The CART was used to identify synergies and potential interactions among the 18 significant non-HLA antibodies that were identified in the odds ratio analysis. We acknowledge the limitation of considering the selected MFI values as definitive due to the low number of rejection sera positive for each non-HLA antibody.

A positive threshold of MFI > 1000 was used for the odds ratio analyses performed in this study. However, this may have resulted in lower sensitivity, but higher specificity in calling rejection. Going forward, the positive threshold for non-HLA antibodies will have to be established by individual labs until enough data can be generated by the field to support a common cutoff similar to the process that was used for HLA single antigen. The protein microarray expressed in a baculovirus system, may not be posttranslationally modified in the same way as proteins expressed in human cells although, they provide some posttranslational modifications. <sup>41</sup> In addition, certain non-HLA antibodies such as AT1R could not be conjugated to Luminex beads to be included in the panel. We acknowledge that non-HLA protein antigens excluded during our discovery strategy may be biologically relevant and merit additional investigation.

Validation of these non-HLA antigens, assessment of their evolution, and relationship to rejection and other clinical factors including mechanical circulatory devices is needed. Longitudinal analysis including pretransplant time points is needed to determine the role of preformed and/or de novo non-HLA antibodies in rejection and long-term graft outcome. Another question is which of these antibodies are autoantibodies or antibodies to minor antigen differences between the donor/recipient.<sup>52</sup> In summary, using a combination of high throughput proteomics and bioinformatics, we identified an informative panel of non-HLA antigens that can identify antibodies associated with cardiac allograft rejection and upon validation could be extended to other solid organ transplants. We envision using the panel to support clinical decision making as part of the protocols for noninvasive monitoring, for biopsy interpretation, and recalcitrant rejection.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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### **Abbreviations:**

ACR acute cell-mediated rejection

AGRN agrin

AMR antibody-mediated rejection

**AUC** area under the curve

**CART** Classification and Regression Tree

**CXCL11** C-X-C motif chemokine ligand 11

**DEXI** dexamethasone-induced transcript

**DSA** donor-specific antibody

EC endothelial cell

ECXM endothelial cell crossmatch

**EMB** endomyocardial biopsy

**EMCN** endomucin

**ENO1** alpha enolase

**FLT3LG** Fms-related tyrosine kinase 3 ligand

**GAPDH** glyceraldehyde 3-phosphate dehydrogenase

**HAEC** human aortic endothelial cell

**HUVEC** human umbilical vein endothelial cell

**IHC** immunohistochemistry

IL interleukin

**ISHLT** International Society for Heart and Lung Transplantation

**KRT18** keratin 18

**KRT8** keratin 8

**LPHN1** latrophilin 1

**MFI** median fluorescence intensity

MICA MHC class I polypeptide-related sequence A

**PECR** peroxisomal *trans*-2-enoyl-CoA reductase

**PRKCH** protein kinase C eta

**ROC** receiver operating characteristic curve

**SLE** systemic lupus erythematosus

**SSB** Sjogren syndrome antigen B

**TUBa1b** tubulin alpha 1 b

VIM vimentin

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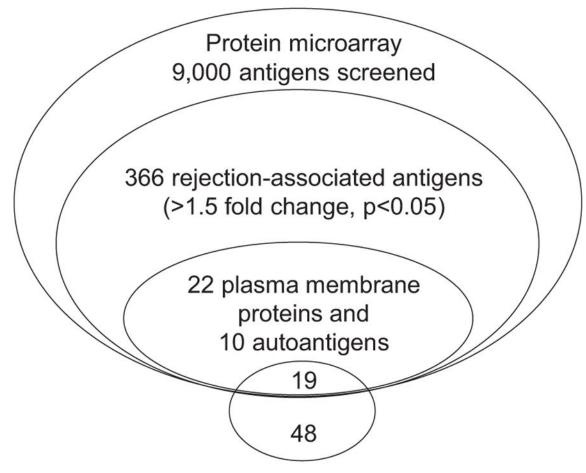
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# Multiplex Bead Array 19 antigens identified from microarray analysis

## 48 antigens identified by literature search

### FIGURE 1.

Non-HLA antibody discovery and generation of a high throughput multiplex bead set. Non-HLA antibodies associated with cardiac allograft rejection were identified by protein microarray analysis (9000 full length proteins) using sera from 12 heart transplant patients with biopsy proven rejection in the absence of HLA donor specific antibodies (DSA), but with positive endothelial cell crossmatch (ECXM) (n = 12). 366 proteins binding non-HLA antigens were identified (>1.5 fold increase, P<.05) compared to control sera from rejection negative, DSA negative, and ECXM negative heart transplant patients (n = 6). Of the 366, gene ontology analysis identified 22 plasma membrane proteins and 10 autoantigens. Nineteen of these, with an additional 48 proteins identified by literature search as associated with solid organ rejection, were conjugated to polystyrene beads to generate a multiplex panel of non-HLA proteins for downstream high throughput testing of non-HLA antibodies

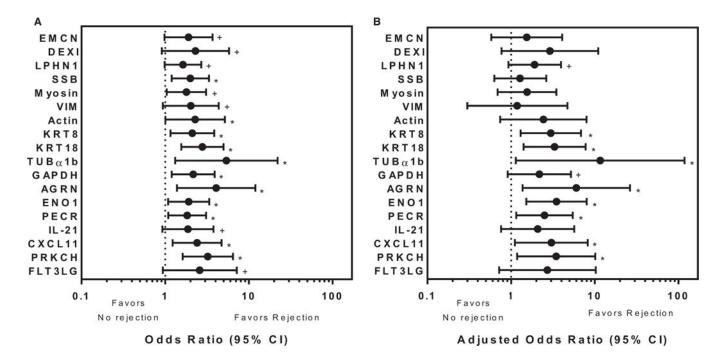


FIGURE 2.

Non-HLA antibodies significantly associated with cardiac allograft rejection. High throughput multiplex bead analysis was used to identify non-HLA antibodies in sera from a multicenter adult cardiac transplant cohort. A, Antibodies to 18 non-HLA antigens (y-axis) were identified to be significantly associated with rejection with an odds ratio >1 (x-axis; n = 69 rejection sera acute-cellular rejection [ACR] 1b, n = 477 nonrejection sera). Four of these, endomucin, dexamethasone-induced transcript, latrophilin 1 and Sjogren syndrome antigen B, are newly described in relationship to transplant rejection. Bars represent the 95% confidence interval (CI). The odds ratios for antibody binding to the remaining non-HLA antigens on the multiplex panel that were not significantly different from 1 are not shown. \*Denotes P < .1, \*Denotes P < .05. B, Odds ratios (x-axis) of the 18 non-HLA antibodies identified in A were adjusted to remove the effects of HLA donor specific antibodies using a random effects logistic regression (n = 26 rejection sera ACR 1b, n = 106 nonrejection sera). Antibodies to 10/18 non-HLA antigens are significantly associated with rejection with odds ratio >1. Bars represent the 95% CI. The odds ratios for antibody binding to the remaining 49 non-HLA antigens not significantly different from 1 are not shown. <sup>+</sup>Denotes P < .1, \*Denotes P < .05

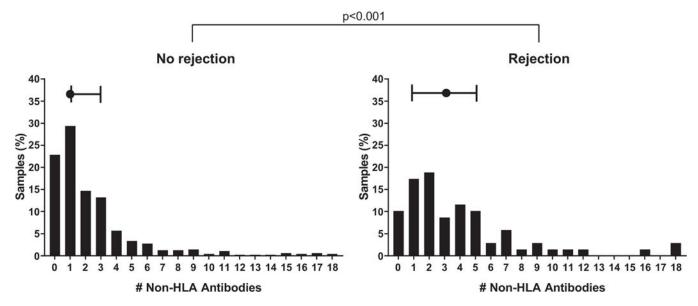
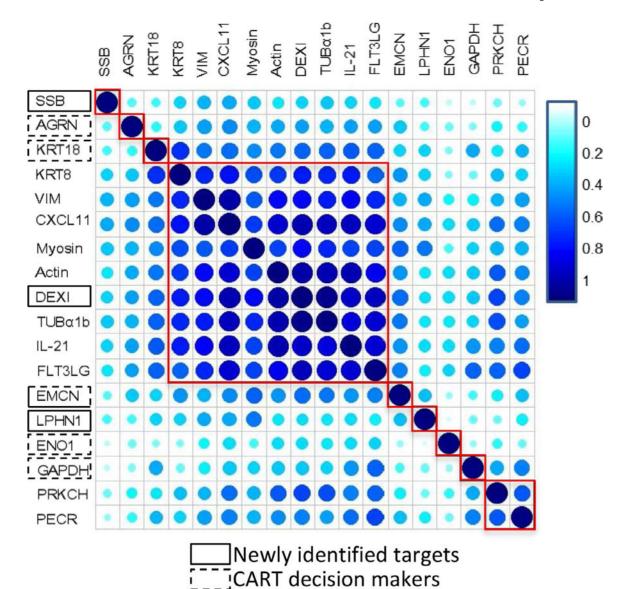


FIGURE 3.

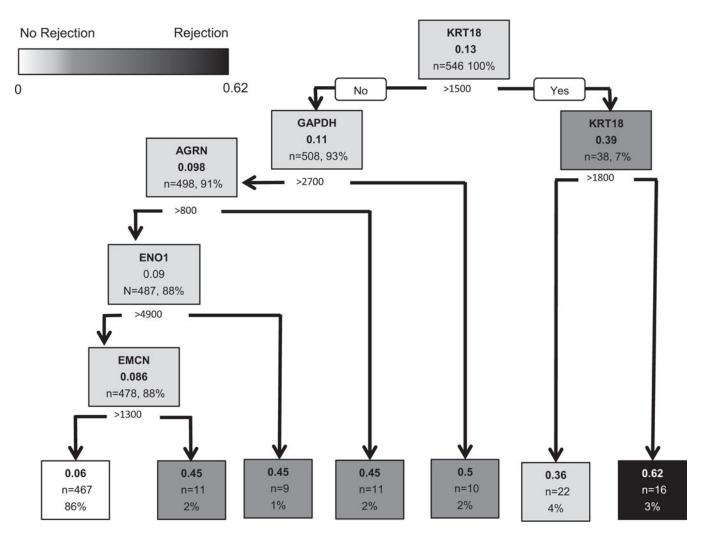
Heart allograft rejection is associated with an increased number of non-HLA antibodies. The number of non-HLA antibodies identified by multiplex analysis is significantly increased (P < .001) in sera obtained at the time of rejection in comparison to nonrejection. The median number of non-HLA antibodies identified from rejection samples (n = 69) is 3 (interquartile range: 1–5) in comparison to 1 (interquartile range: 1–3) in nonrejection samples (n = 477). Horizontal bar indicates interquartile range. Black circle indicates median



### FIGURE 4.

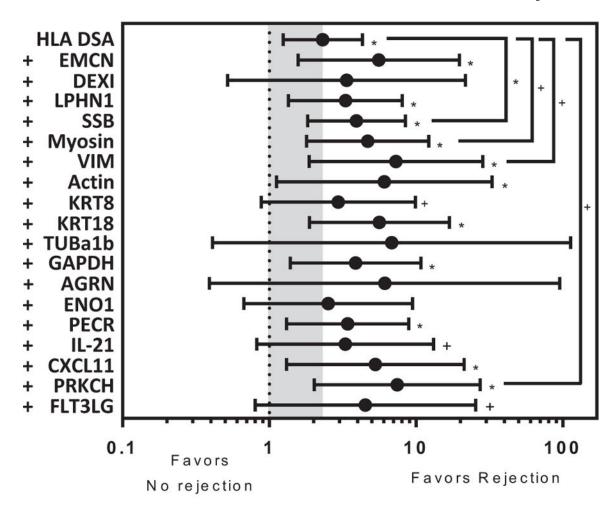
Correlation matrix analysis showing hierarchical clustering of non-HLA antibodies in cardiac allograft rejection sera. The matrix describes correlation of non-HLA antibodies found in sera of rejection patients. Non-HLA antibodies associated with cardiac allograft rejection (n = 69/546) selectively cluster into 9 groups (red boxes). The coefficient of correlation (scale bar at right) is indicated by the dot color and size and describes the tendency that the non-HLA antibodies will be identified independently or together in the sera of rejection patients. Dark blue and large dots along the diagonal represent a marker's perfect correlation with itself. Correlation to other non-HLA antibodies is evaluated off the diagonal and indicated by the dot color and size (smaller dot size and lighter color indicates lower correlation; blue color indicates positive correlation). Three of the 4 non-HLA antibodies that are newly identified in this study (Sjogren syndrome antigen B, endomucin [EMCN], and latrophilin 1) are independently associated with rejection and do not group with other non-HLA antibodies. In comparison, non-HLA antibodies to 11 other antibodies

cluster together and are not considered independently informative. At left, solid boxes indicate non-HLA antigens newly identified in this study; antigens identified in the CART analysis (shown in Figure 5) are indicated by dotted line boxes. EMCN is boxed in both a solid and dotted line designating it as newly identified, and identified in the CART analysis



### FIGURE 5.

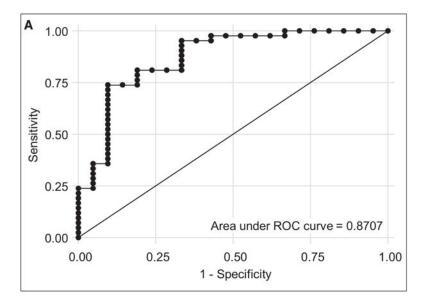
Classification algorithm identifying non-HLA antibodies that predict cardiac allograft rejection. Classification and regression tree (CART) analysis showing a binary decision tree that assesses the classification of rejection based on non-HLA median fluorescence intensity (MFI) in sera isolated from cardiac allograft transplant patients (n = 546 sera). The algorithm selects MFI values which optimize the differentiation of the sera into rejection and nonrejection categories at each node in the tree. For display, selected MFI's were rounded to the nearest 100. The root node, KRT18, that includes all 546 sera, 13% of which are rejection samples (69/546 = 0.13) splits at an MFI > 1500 into child nodes. As the algorithm progresses to terminal nodes, 86% (n = 467) of nonrejection samples are correctly identified (far left). Sera samples with KRT18 MFI > 1500 strongly correlate to cardiac allograft rejection (far right). KRT18 appears in the model twice as nodes are split recursively in decision tree construction. Scale bar indicates association with rejection with lighter terminal node boxes correlating to nonrejection

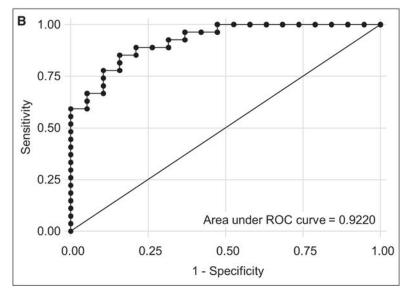


### Odds Ratio (95% CI)

#### FIGURE 6.

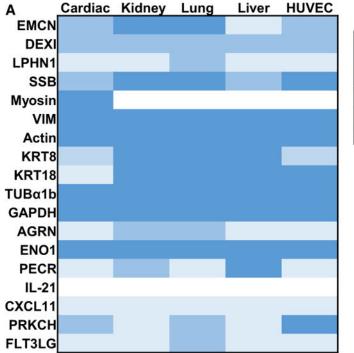
Synergy of non-HLA antibodies and HLA donor specific antibodies (DSA) in cardiac allograft rejection. Logistic regression models (using 546 samples) showing the odds ratio (x-axis) of HLA DSA alone or HLA DSA plus each non-HLA antibody (y-axis) in comparison to no antibodies. The gray shaded box highlights the significant association of cardiac allograft rejection when HLA DSA alone is present (HLA DSA, odds ratio = 2.31, P < .05). The odds ratio of 14 of the 18 non-HLA antibodies initially identified as significantly associated with cardiac allograft rejection retain significance in this model ( $^*P$ < .05,  $^+P$ < < .1). When conditions are compared, the odds ratio of HLA DSA with non-HLA antibody raised against Sjogren syndrome antigen B, Myosin, vimentin and PRKCH with cardiac allograft rejection are significantly increased in comparison to HLA DSA alone suggesting synergistic interactions between the 2 types of antibodies (brackets,  $^*P$ < .05,  $^+P$ < .1). Bars represent the 95% confidence interval (CI)



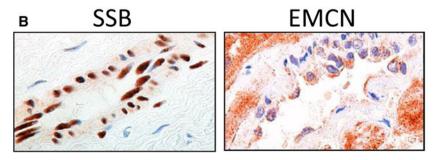


### FIGURE 7.

Validation of the panel of 18 non-HLA antibodies for the prediction of cardiac allograft rejection using an independent single-center adult cardiac transplant cohort. A, ROC analysis identified an area under the curve (AUC) of 0.87 and sensitivity and specificity of 92.86% and 66.67%, respectively (P < .05) in an independent cohort of cardiac transplant recipients (n = 63 patients, 21 with no rejection and 42 with rejection). B, After removing those patients with HLA donor-specific antibodies (DSAs), ROC analysis identified an AUC of 0.92 and sensitivity and specificity of 88.89% and 68.42%, respectively (P < .05) in the independent cohort of cardiac transplant recipients (n = 46 patients without HLA DSAs, 19 with no rejection and 27 with rejection)



Expression
(TPM)
0-1
1-10
11-50
>50



### FIGURE 8.

Non-HLA antigenic targets are expressed in human tissues and endothelial cells. A, mRNA expression of the 18 non-HLA antibody antigens associated with cardiac allograft rejection from publically available data of the 18 non-HLA antibodies in cardiac, kidney, lung, and liver tissue as well as HUVEC. B, Expression of Sjogren syndrome antigen B (SSB) and endomucin (EMCN) on cardiac biopsies. Immunohistochemistry of biopsies taken at the time of rejection shows expression of SSB and EMCN proteins on cardiac endothelium. Micrographs are representative of biopsies tested from 3 cardiac transplant recipients from the multicenter cohort. SSB is a nuclear protein expressed in endothelial cell (EC) microvasculature. EMCN is expressed in the membrane and cytoplasm of some EC in the microvasculature and in all cardio myocytes

### TABLE 1

Discovery cohort demographics (rejection samples)

	n = 12
Age (y), mean $\pm$ SD	$44 \pm 19$
Sex (male), n (%)	9 (75)
Race	
White, n (%)	7 (58)
Black, n (%)	1 (8)
Asian, n (%)	2 (17)
Undisclosed, n (%)	2 (17)
End-stage disease, n (%)	
Idiopathic cardiomyopathy	8 (58)
Coronary artery disease	2 (17)
Congenital heart disease	2 (8)
Retransplants, n (%)	0 (0)
Mechanical circulatory support, n (%)	4 (33)
HLA donor-specific antibodies, n (%)	0 (0)
Biopsy time from transplant, mean (d), range (d)	67 (20–222)
Antibody-mediated rejection, n (%)	6 (50)
CD68 <sup>+</sup>	6 (50)
C4d <sup>+</sup>	4 (33)
Endothelial cell swelling	3 (25)
Acute cell-mediated rejection 1R	9 (75)
Serum time from biopsy, mean (d), range (d)	14 (0-76)

Patient demographics

TABLE 2

	Multicenter adult			Single-center adult		
Patients	No rejection n = 59	Rejection n = 56 P-value	P-value	No rejection n = 21	Rejection n = 42 P-value	P-value
Age (y), mean $\pm$ SD	$52\pm11$	$51 \pm 11$	.43	$50 \pm 14$	$50\pm12$	.91
Sex (male), n (%)	47 (80)	46 (82)	.82	15 (71)	29 (69)	66:
Race						
White, n (%)	37 (63)	46 (82)	.04	12 (57)	25 (60)	90.
End-stage disease, n (%)						
Idiopathic cardiomyopathy	25 (42)	28 (50)	.27	7 (33)	15 (36)	66.
Coronary artery disease	12 (20)	14 (25)		0	1 (2)	
Congenital heart disease	0 (0)	1 (2)		2 (10)	3 (7)	
Myocarditis	0 (0)	1 (2)		0	1 (2)	
Valvular heart disease	1 (2)	0 (0)		0	0	
Other etiology	21 (36)	12 (21)		12 (57)	22 (52)	
Induction therapy, n (%)	15 (25)	19 (34)	.41	5 (24)	11 (26)	.73
Mechanical circulatory support, n (%)	14 (24)	15 (27)	80.	9 (43)	11 (26)	.25
HLA-A, -B, -DR mismatches 3, n/total (%)	53/55 (96)	45/48 (94)	99:	20/21 (95)	42/42 (100)	4.

### TABLE 3

### Multicenter cohort biopsy results (N = 546)

	n (%)
No rejection	298 (54.6)
Acute cell-mediated rejection (ACR) 1A	179 (32.8)
ACR 1B	50 (9.2)
ACR 2	11 (2.0)
ACR 3A	8 (1.5)
ACR 4	0 (0.0)
Antibody-mediated rejection	$0{(0.0)}^a$
Mixed rejection	0 (0.0)

 $<sup>^</sup>a$ AMR was likely underreported in the multicenter cohort using the earlier 1990 ISHLT scoring  $^{28}$  criteria.

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**TABLE 4** 

Non-HLA antibodies significantly associated with cardiac allograft rejection

Gene symbol	Gene name	Cellular localization	Originally described in	Reference
EMCN	Endomucin	Plasma membrane and cytosol	Heart	a
DEXI	Dexamethasone induced transcript	Plasma membrane and cytosol	Heart	a
LPHN1	Latrophilin 1	Plasma membrane	Heart	a
SSB	Sjogren syndrome antigen B (autoantigen La)	Nucleus	Heart	a
Myosin	Myosin	Cytoskeleton	Heart	46,47
VIM	Vimentin	Cytoskeleton	Heart	12
Actin	Actin	Cytoskeleton	Heart	48
KRT8	Cytokeratin 8	Cytoskeleton	Heart	48
KRT18	Cytokeratin 18	Cytoskeleton	Heart	48
TUBa Ib	Tubulin, a1b	Cytoskeleton	Heart	33
GAPDH	Glyceraldehyde-3-phosphate dehydrogenase	Plasma membrane and cytosol	Heart	36
AGRN	Agrin	Plasma membrane and cytosol	Kidney	34
ENOI	Alpha-enolase	Plasma membrane and cytosol	Kidney	39
PECR	Peroxisomal trans-2-enoyl-CoA reductase	Peroxisomes	Kidney	35
IL-21	Integrin 21	Secreted	Kidney	51
CXCLII	Chemokine (C-X-C motif) ligand 11	Secreted	Kidney	51
PRKC	Protein kinase C	Secreted	Kidney	37
FLT3LG	Fms-like tyrosine kinase-3 ligand	Intracellular and plasma membrane	Kidney	23

<sup>&</sup>lt;sup>a</sup>Newly described here.

### TABLE 5

Single-center cohort biopsy results (N = 63)

	n (%)
No rejection	21 (33.3)
Acute cell-mediated rejection (ACR) 1R	31 (49.2)
ACR 2R	2 (3.2)
ACR 3R	2 (3.2)
Antibody-mediated rejection	5 (7.9)
Mixed rejection	2 (3.2)