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# On nonnegatively curved hypersurfaces in $\mathbb{H}^{n+1}$

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## Abstract

In this paper we prove a conjecture of Alexander and Currier that states, except for covering maps of equidistant surfaces in hyperbolic 3-space, a complete, nonnegatively curved immersed hypersurface in hyperbolic space is necessarily properly embedded.

## 1 Introduction

Suppose that  $\phi : M^n \rightarrow \mathbb{R}^{n+1}$  is an immersed hypersurface with principal curvatures  $\kappa_1, \dots, \kappa_n$ . Then  $\phi$  is said to be

- convex at a point if  $\kappa_i \geq 0$  for all  $i = 1, \dots, n$ .
- of nonnegative Ricci curvature if  $\kappa_i (\sum_{k=1}^n \kappa_k) \geq \kappa_i^2$  for all  $i = 1, \dots, n$ .
- nonnegatively curved if  $\kappa_i \kappa_j \geq 0$  for all  $i, j = 1, \dots, n$ .

It is easily seen that up to orientation all three of the curvature conditions above are point-wise equivalent for hypersurfaces immersed in Euclidean space. An immersed hypersurface in Euclidean space is said to be locally convex if the hypersurface is locally supported by a hyperplane. It is not true that nonnegativity of the sectional curvatures alone implies local convexity of a hypersurface (cf. [23]).

The study of nonnegatively curved immersed hypersurfaces goes back to Hadamard, who showed that a compact, strictly convex, immersed surface in Euclidean 3-space is necessarily

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embedded [20]. This result was later extended in [28, 31, 11, 23] to such that a complete, non-negatively curved, nonflat, immersed hypersurface in Euclidean space is necessarily embedded as a boundary of convex body.

In this paper we consider oriented immersed hypersurfaces  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  in hyperbolic space. The following pointwise curvature conditions are no longer equivalent:

- (strictly) convex at a point if  $\kappa_i > 0$  for all  $i = 1, \dots, n$ .
- nonnegative Ricci curvature if  $\kappa_i(\sum_{k=1}^n \kappa_k) \geq n - 1 + \kappa_i^2$  for all  $i = 1, \dots, n$ .
- nonnegatively curved if  $\kappa_i \kappa_j \geq 1$  for all  $i, j = 1, \dots, n$ .
- (non-strictly) horospherically convex if  $\kappa_i \geq 1$  for all  $i = 1, \dots, n$ .

In fact, they are in strictly ascending order as listed above (cf. [15, 16, 1, 2]). Do Carmo and Warner [14] showed that a compact, convex, immersed hypersurface in hyperbolic space is necessarily embedded. For noncompact cases, even with strict convexity, a complete, immersed hypersurface in hyperbolic space may not be embedded [16] (see also [27], pg. 84). On the other hand, Currier [12] showed that a (non-strictly) horospherically convex, complete, immersed hypersurface in hyperbolic space is necessarily embedded and, if noncompact, a horosphere. Therefore one wonders whether a complete immersed hypersurface with nonnegative sectional curvature or even nonnegative Ricci curvature is necessarily embedded?

Naturally the embeddedness problem for a complete noncompact hypersurface in hyperbolic space is related to its asymptotic boundary at infinity. The asymptotic boundaries at infinity of complete hypersurfaces with nonnegative curvature in hyperbolic space have been studied in [16, 1, 2]. In [16], using hyperbolic Gauss maps and the geometry of horospheres, Epstein showed that a complete embedding of  $\mathbb{R}^2$  into  $\mathbb{H}^3$  with nonnegative Gaussian curvature has a single point asymptotic boundary at infinity. Epstein also showed [16] that a complete, strictly convex, immersed surface in  $\mathbb{H}^3$  with a single point asymptotic boundary at infinity is necessarily embedded as the analog of van Heijenoort's theorem [31] in hyperbolic 3-space. Epstein then asked if a complete immersed surface in  $\mathbb{H}^3$  with nonnegative Gaussian curvature is necessarily embedded. [16].

Later in [1, 2], based on a theorem of Volkov and Vladimirova [32] and the splitting theorem of Cheeger and Gromoll [10], Alexander and Currier showed that a complete, nonnegatively curved, embedded hypersurface in hyperbolic space has an asymptotic boundary at infinity of at most two points and, if two points, it is an equidistant surface about a geodesic line. Alexander and Currier then in [2] gave the precise statement of the conjecture as: Except for covering maps of equidistant surfaces in  $\mathbb{H}^3$ , every nonnegatively curved immersed hypersurface in  $\mathbb{H}^{n+1}$  is properly embedded. They also mentioned a sketch of a proof of this conjecture for higher

dimensions ( $n \geq 3$ ) suggested by Gromov. Their conjecture remains completely open in the case when  $n = 2$ .

In this paper we present proofs of the conjecture of Alexander and Currier for the case when  $n = 2$  as well as all higher dimensions ( $n \geq 3$ ). Our main theorem is as follows:

**Main Theorem.** *Except for covering maps of equidistant surfaces in  $\mathbb{H}^3$ , a complete, non-negatively curved, immersed hypersurface in hyperbolic space  $\mathbb{H}^{n+1}$  for  $n \geq 2$  is properly embedded.*

Our approach for solving the conjecture of Alexander and Currier in higher dimensions ( $n \geq 3$ ) is based on the recent work [4] on weakly horospherically convex hypersurfaces in hyperbolic space (cf. Definition 2.2), which may be considered as an extension of the embedding theorem in [16]. Please see Theorem 2.2 and Theorem 2.3 in Section 2. This approach was initiated by Epstein in [16]. One key issue is to derive the injectivity of the hyperbolic Gauss map. We will rely on the injectivity theorem of Schoen and Yau [25, 26], while Epstein [16] used the embeddedness. The other key issue is the size estimate for the asymptotic boundary at infinity. We will rely on the Hausdorff dimension estimate of Zhu [34], while Epstein's approach in [16] is based on similar results of Huber [21] for subharmonic functions.

To prove the conjecture of Alexander and Currier in dimension  $n = 2$ , we first establish a new proof of the classical result of Volkov and Vladimirova [32], which states that the only way to isometrically immerse the Euclidean plane  $\mathbb{R}^2$  in  $\mathbb{H}^3$  is as a covering map of an equidistant surface about a geodesic line or as a horosphere. Our proof of the main theorem is then based on the sharp growth estimate (4.5) in Lemma 4.2 for solutions to Gaussian curvature equations based on [21, 29, 30]. The key lower bound estimate for solutions to Gaussian curvature equations, which is needed to use [29, 30], is based on the non-collapsing result of Croke and Karcher [13] and a Harnack-type estimate from Li and Schoen [22]. Our approach in spirit is to show that a complete, noncompact, nonnegatively curved, nonflat, immersed surface in  $\mathbb{H}^3$  lies inside a horosphere, hence has an asymptotic boundary at infinity of exactly one point. Then the embeddedness follows from Epstein [16].

This paper is organized as follows: In Section 2 we introduce the geometry of horospherical metrics for weakly horospherically convex hypersurfaces in hyperbolic space and some framework from [18, 3, 4]. In Section 3 we apply the embedding Theorems 2.2 and 2.3 (see also [4]) to prove the conjecture of Alexander and Currier [2] in higher dimensions ( $n \geq 3$ ). In Section 4 we present the proof of the conjecture of Alexander and Currier [2] in the case when  $n = 2$ .

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## 2 Hyperbolic Gauss Maps and Horospherical Metrics

In this section we recall the definitions of hyperbolic Gauss maps and weak horospherical convexity to set our terminologies and notations. Readers are referred to the papers [15, 17, 18, 3, 4] for more details.

For  $n \geq 2$ , we denote Minkowski spacetime by  $\mathbb{R}^{1,n+1}$ , which is the vector space  $\mathbb{R}^{n+2}$  endowed with the Minkowski spacetime metric  $\langle \cdot, \cdot \rangle$  given by

$$\langle \bar{x}, \bar{x} \rangle = -x_0^2 + \sum_{i=1}^{n+1} x_i^2,$$

where  $\bar{x} \equiv (x_0, x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+2}$ . Then hyperbolic space, de Sitter space, and the positive null cone are given by the respective hyperquadrics

$$\begin{aligned} \mathbb{H}^{n+1} &= \{ \bar{x} \in \mathbb{R}^{1,n+1} : \langle \bar{x}, \bar{x} \rangle = -1, x_0 > 0 \}, \\ \mathbb{S}^{1,n} &= \{ \bar{x} \in \mathbb{R}^{1,n+1} : \langle \bar{x}, \bar{x} \rangle = 1 \}, \\ \mathbb{N}_+^{n+1} &= \{ \bar{x} \in \mathbb{R}^{1,n+1} : \langle \bar{x}, \bar{x} \rangle = 0, x_0 > 0 \}. \end{aligned}$$

We identify the ideal boundary at infinity  $\partial_\infty \mathbb{H}^{n+1}$  of hyperbolic space with the unit round sphere  $\mathbb{S}^n$  sitting at  $x_0 = 1$ .

**Definition 2.1.** (cf. [6, 15, 17]) Let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  denote an immersed oriented hypersurface in  $\mathbb{H}^{n+1}$  with unit normal  $\eta : M^n \rightarrow \mathbb{S}^{1,n}$ . The hyperbolic Gauss map

$$G : M^n \rightarrow \mathbb{S}^n$$

of  $\phi$  is defined as follows: for  $p \in M^n$ , the image  $G(p) \in \mathbb{S}^n$  is the point at infinity of the unique horosphere in  $\mathbb{H}^{n+1}$  passing through  $\phi(p)$  and whose outward unit normal at  $\phi(p)$  agrees with  $\eta(p)$ .

Given an oriented, immersed hypersurface  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  with unit normal vector field  $\eta : M^n \rightarrow \mathbb{S}^{1,n}$ , the light cone map  $\psi$  associated to  $\phi$  is defined by

$$\psi := \phi - \eta : M^n \rightarrow \mathbb{N}_+^{n+1}.$$

As the ideal boundary  $\mathbb{S}^n$  of  $\mathbb{H}^{n+1}$  is identified with the unit round sphere at  $x_0 = 1$ , we have

$$\psi = e^\rho(1, G), \tag{2.1}$$

where  $\psi_0 = e^\rho$  is the so-called horospherical support function of the hypersurface  $\phi$  [18]. Note that, in our convention given in Definition 2.1, horospheres with outward orientation

are the unique surfaces such that both the hyperbolic Gauss map and the associated light cone map are constant. Moreover, if  $x \in \mathbb{S}^n$  is the point at infinity of such a horosphere, then  $\psi = e^\rho(1, x)$  where  $\rho$  is the signed hyperbolic distance of the horosphere to the point  $\mathcal{O} = (1, 0, \dots, 0) \in \mathbb{H}^{n+1} \subseteq \mathbb{R}^{1, n+1}$ .

Considering the fact that horospheres are intrinsically flat, one can then use horospheres to define concavity/convexity for hypersurfaces in hyperbolic space.

**Definition 2.2.** (cf. [24, 18, 4]) *Let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be an immersed oriented hypersurface and let  $\mathcal{H}_p$  denote the horosphere in  $\mathbb{H}^{n+1}$  that is tangent to  $\phi(M)$  at  $\phi(p)$  whose outward unit normal at  $\phi(p)$  agrees with  $\eta(p)$ . We will say that  $\phi$  is weakly horospherically convex at  $p$  if there exists a neighborhood  $V \subset M^n$  of  $p$  so that  $\phi(V \setminus \{p\})$  stays outside of  $\mathcal{H}_p$ . Moreover, the distance function of the hypersurface to the horosphere does not vanish up to the second order at  $p$  in any direction.*

Due to [18], we have the following characterization of weakly horospherically convex hypersurfaces.

**Lemma 2.1.** ([18]) *Let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be an immersed oriented hypersurface. Then  $\phi$  is weakly horospherically convex at  $p$  if and only if the principal curvatures  $\kappa_1, \dots, \kappa_n$  of  $\phi$  at  $p$  are simultaneously  $> -1$ . In particular,  $\phi$  is weakly horospherically convex at  $p$  implies that  $dG$  is invertible at  $p$  and therefore the hyperbolic Gauss map of  $\phi$  is a local diffeomorphism.*

To realize this second statement, let  $\{e_1, \dots, e_n\}$  denote an orthonormal basis of principal directions of  $\phi$  at  $p$  and let  $\kappa_1, \dots, \kappa_n$  denote the associated principal curvatures. Then  $d\phi(e_i) = e_i$  and  $d\eta(e_i) = -\kappa_i e_i$  for  $i = 1, \dots, n$ , so as in [18], it follows that

$$\langle (d\psi)_p(e_i), (d\psi)_p(e_j) \rangle_{\mathbb{R}^{1, n+1}} = (1 + \kappa_i)^2 \delta_{ij} = e^{2\rho} \langle (dG)_p(e_i), (dG)_p(e_j) \rangle_{\mathbb{S}^n}, \quad (2.2)$$

where  $g_{\mathbb{S}^n}$  denotes the round metric on  $\mathbb{S}^n$ . Now given an immersed oriented weakly horospherically convex hypersurface  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$ , one can use the hyperbolic Gauss map (or light cone map) to induce a canonical locally conformally flat metric on  $M^n$  as follows:

**Definition 2.3.** ([16, 17, 18]) *Let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be an immersed oriented weakly horospherically convex hypersurface. Then the hyperbolic Gauss map  $G : M^n \rightarrow \mathbb{S}^n$  is a local diffeomorphism. We consider the locally conformally flat metric*

$$g_h = \Psi^* \langle \cdot, \cdot \rangle_{\mathbb{L}^{n+2}} = e^{2\rho} G^* g_{\mathbb{S}^n} \quad (2.3)$$

on  $M^n$  and call it the horospherical metric associated to the immersed oriented weakly horospherically convex hypersurface  $\phi$ .

For a weakly horospherically convex hypersurface  $\phi$ , its associated light cone map  $\Psi$  is spacelike and parameterizes a codimension 2 submanifold in  $\mathbb{R}^{1,n+1}$ .  $\phi$  and  $\eta$  provide two unit normal fields to  $\Psi$  and the second fundamental form is given by

$$II_{\Psi}(e_i, e_j) = \left( \frac{1}{1 + \kappa_i} \phi - \frac{\kappa_i}{1 + \kappa_i} \eta \right) g_h(e_i, e_j) \quad (2.4)$$

where  $\{e_1, \dots, e_n\}$  is an orthonormal basis of principal directions with respect to  $\phi$ . Hence, due to the Gauss equations in  $\mathbb{R}^{1,n+1}$ , the sectional curvatures of the horospherical metric  $g_h$  on  $M^n$  are given by

$$K_{g_h} \left( \frac{e_i}{1 + \kappa_i}, \frac{e_j}{1 + \kappa_j} \right) = 1 - \frac{1}{1 + \kappa_i} - \frac{1}{1 + \kappa_j} = \frac{\kappa_i \kappa_j - 1}{(1 + \kappa_i)(1 + \kappa_j)}. \quad (2.5)$$

When  $n \geq 3$ , the Schouten tensor then is given by

$$Sch_{g_h}(e_i, e_j) = \left( \frac{1}{2} - \frac{1}{1 + \kappa_i} \right) g_h(e_i, e_j). \quad (2.6)$$

When  $n = 2$ , instead, one considers the symmetric 2-tensor

$$P = -\nabla_{G^*g_{\mathbb{S}^2}} d\rho + d\rho \otimes d\rho - \frac{1}{2} (|d\rho|_{G^*g_{\mathbb{S}^2}}^2 - 1) G^*g_{\mathbb{S}^2}, \quad (2.7)$$

whose eigenvalues are

$$\frac{1}{2} - \frac{1}{1 + \kappa_1} \quad \text{and} \quad \frac{1}{2} - \frac{1}{1 + \kappa_2}, \quad (2.8)$$

whose trace is the Gaussian curvature

$$K_{g_h} = \frac{\kappa_1 \kappa_2 - 1}{(1 + \kappa_1)(1 + \kappa_2)}, \quad (2.9)$$

and whose divergence is  $2dK_{g_h}$ . Hence we get the Gaussian curvature equation

$$-\Delta_{G^*g_{\mathbb{S}^2}} \rho + 1 = K_{g_h} e^{2\rho}. \quad (2.10)$$

When the hyperbolic Gauss map  $G : M^n \rightarrow \mathbb{S}^n$  of a weakly horospherically convex hypersurface  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  is injective, one may push down the horospherical metric  $g_h$  onto the image

$$\Omega = G(M) \subset \mathbb{S}^n \quad (2.11)$$

to obtain the conformal metric

$$\hat{g}_h = (G^{-1})^* g_h = e^{2\hat{\rho}} g_{\mathbb{S}^n}, \quad (2.12)$$

where  $\hat{\rho} = \rho \circ G^{-1} : \Omega \rightarrow \mathbb{R}$ . When there is no confusion, we will also refer to this conformal metric  $\hat{g}_h$  as the horospherical metric. The correspondence between weakly horospherically convex hypersurfaces  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  in hyperbolic space and the conformal metric  $\hat{g}_h$  on the image  $\Omega$  of the Gauss map  $G$  have been promoted in [16, 18, 3, 4, 5]. The following result follows from the so-called global correspondence from [4, 5, 18] and will be useful to our work here.

**Theorem 2.1** (cf. [4, 5, 18]). *For  $n \geq 2$ , let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be a complete uniformly weakly horospherically convex hypersurface with injective hyperbolic Gauss map  $G : M^n \rightarrow \mathbb{S}^n$ . Then*

- *$\phi$  induces a complete conformal metric  $\hat{g}_h = e^{2\hat{\rho}}g_{\mathbb{S}^n}$  on the image  $\Omega = G(M) \subset \mathbb{S}^n$  with bounded curvature.*
- *More importantly, the asymptotic boundary  $\partial_\infty\phi(M) \subset \mathbb{S}^n$  at infinity of the hypersurface  $\phi$  in  $\mathbb{H}^{n+1}$  coincides with the boundary  $\partial\Omega \subset \mathbb{S}^n$  of the Gauss map image.*
- *One may use the image  $\Omega$  of Gauss map as the parameter space to reparametrize  $\phi$  so that the Gauss map*

$$G(x) = x : \Omega \rightarrow \mathbb{S}^n$$

and

$$\phi_t = \frac{e^{\rho+t}}{2}(1 + e^{-2(\rho+t)}(1 + |\nabla\rho|^2))(1, x) + e^{-(\rho+t)}(0, -x + \nabla\rho) \quad (2.13)$$

is the normal flow of the hypersurface  $\phi(M)$ .

The contribution of [3] is the use of the normal flow of a weakly horospherically convex hypersurface with injective hyperbolic Gauss map to possibly unfold the hypersurface into an embedded one. This is because the leaves of regular part of the normal flow are the same as the level surfaces of the geodesic defining function of the horospherical metric  $\hat{g}_h$  (cf. [3, 4]). For instance, it is observed in [3] that any horospherical ovaloid can be deformed along its normal flow into an embedded one. Consequently this leads to new proofs of Obata type theorems for horospherical ovaloids. In [4, 5], based on the global correspondence theorem, we established an extension of the embedding theorem of Epstein [16] as follows:

**Theorem 2.2.** (cf. [4, 5]) *For  $n \geq 2$ , let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be a complete uniformly weakly horospherically convex hypersurface with injective hyperbolic Gauss map  $G : M^n \rightarrow \mathbb{S}^n$ . Suppose that the asymptotic boundary  $\partial_\infty\phi(M)$  at infinity of the hypersurface is a disjoint union of smooth closed submanifolds in  $\mathbb{S}^n$ . Then, along the normal flow from the hypersurface, the leaves eventually become embedded.*

An argument similar to those in [31, 16] results in the following slight extension of the embedding theorem of Epstein [16].



**Theorem 2.3.** *For  $n \geq 2$ , let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be a complete, locally strictly convex, immersed hypersurface. Suppose that the asymptotic boundary  $\partial_\infty \phi(M)$  at infinity of the hypersurface is a single point in  $\mathbb{S}^n$ . Then the hypersurface is in fact embedded.*

*Proof.* For convenience of readers, we would like to present a proof based on the arguments in [31, 16], which are similar to those in [4]. Since the asymptotic boundary at infinity of the hypersurface is a single point in  $\mathbb{S}^n$ , one may find a family of round  $(n - 1)$ -spheres in  $\mathbb{S}^n$  to foliate the sphere  $\mathbb{S}^n$  with the point and its antipodal point deleted. Then the family of hyperplanes whose asymptotic boundary at infinity are the family of round  $(n - 1)$ -spheres foliates hyperbolic space. To finish the argument one simply needs to observe that, close to the first touch point of the hyperplanes and the hypersurfaces from the antipodal point, the hypersurface is locally embedded and the intersections of the hyperplanes and hypersurfaces are embedded convex topological spheres. Moreover, everything remains the same up to the end. The connectedness and convexity of the hypersurface force each intersection to be connected and convex. The embeddedness of the intersections is due to [14].  $\square$

### 3 Embeddedness in Higher Dimensions

In this section we consider noncompact hypersurfaces immersed in hyperbolic space with non-negative sectional curvature and present a proof for the conjecture of Alexander and Currier [2] in higher dimensions ( $n \geq 3$ ). Based on the injectivity of development maps of Schoen and Yau [25, 26] and the Hausdorff dimension estimates of Zhu [34], the proof of the conjecture of Alexander and Currier [2] is rather straightforward following our work in [4] and the brief summary in the previous section.

First of all, from the curvature relations (2.5), we have:

**Lemma 3.1.** *Suppose that  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  is a nonnegatively curved immersed hypersurface. Then  $\phi$  is weakly horospherically convex and the horospherical metric is also nonnegatively curved.*

*Proof.* It is easily seen that a nonnegatively curved hypersurface in hyperbolic space is weakly horospherically convex, in fact, it is strictly convex. Then the lemma is a simple consequence of (2.5).  $\square$

There does not seem to be any analog of Lemma 3.1 available if we consider nonnegative Ricci curvature for the hypersurface  $\phi$  instead. In higher dimensions ( $n \geq 3$ ), using the works in [25, 26, 34], we obtain the following:

**Lemma 3.2.** *For  $n \geq 3$ , let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be a complete, nonnegatively curved, immersed hypersurface. Then the hyperbolic Gauss map is a development map from  $(M^n, g_h)$  and injective. Moreover, the Hausdorff dimension of  $\partial G(M) = \mathbb{S}^n \setminus G(M)$  is zero.*

*Proof.* Due to the uniform weak horospherical convexity (strict convexity) of the hypersurface  $\phi$ , the completeness of the hypersurface implies the completeness of the horospherical metric  $g_h$ . In the light of Lemma 3.1,  $(M^n, g_h)$  is a complete, nonnegatively curved Riemannian manifold. Therefore the lemma follows from the injectivity theorem of Schoen and Yau in [25, 26] and the Hausdorff dimension estimates of Zhu in [34]. Notice that the theorem of Schoen and Yau only needs  $g_h$  to have nonnegative scalar curvature and the Hausdorff estimates of Zhu only need  $g_h$  to be Ricci nonnegative.  $\square$

One more ingredient for our proof of the conjecture of Alexander and Currier [2] in higher dimensions ( $n \geq 3$ ) is the following:

**Lemma 3.3.** *Suppose that  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  is a nonnegatively curved immersed hypersurface. Then along the normal flow (2.13) the hypersurface remains nonnegatively curved.*

*Proof.* For the normal flow (2.13) in hyperbolic space, one knows exactly how the principal curvatures evolve:

$$\kappa_i^t = \frac{\kappa_i + \tanh t}{1 + \kappa_i \tanh t}. \quad (3.1)$$

One may then calculate the sectional curvatures  $K_{ij}^t = \kappa_i^t \kappa_j^t - 1$  for  $t > 0$  to find

$$K_{ij}^t = \kappa_i^t \kappa_j^t - 1 = \frac{K_{ij}(1 - \tanh^2 t)}{(1 + \kappa_1 \tanh t)(1 + \kappa_2 \tanh t)} \geq 0, \quad (3.2)$$

where  $K_{ij}$  are the sectional curvatures of  $\phi$ .  $\square$

We are now ready to prove the conjecture of Alexander and Currier [2] in higher dimensions ( $n \geq 3$ ).

*Proof. (Main Theorem in higher dimensions)* For  $n \geq 3$ , let  $\phi : M^n \rightarrow \mathbb{H}^{n+1}$  be an immersed, complete, noncompact hypersurface with nonnegative sectional curvature. In the light of Lemma 3.2 the hyperbolic Gauss map  $G : M^n \rightarrow \mathbb{S}^n$  is injective and the Hausdorff dimension of  $\partial G(M) \subset \mathbb{S}^n$  is zero. According to Theorem 2.1 (cf. [4]), we have

$$\partial_\infty \phi(M) = \partial G(M).$$

Now, if  $\partial_\infty \phi(M) = \partial G(M)$  were empty, then  $\phi(M)$  would be compact. Moreover, since any set of Hausdorff dimension zero is totally disconnected, due to the splitting theorem of Cheeger and Gromoll [10], the asymptotic boundary  $\partial_\infty \phi(M) = \partial G(M)$  consists of either one single point or exactly two points.

When  $\partial_\infty \phi(M)$  is a single point, the result follows from Theorem 2.3. Assume  $\partial_\infty \phi(M)$  consists of exactly two points. We then first apply Theorem 2.2 (please also see [4]) and observe that along the normal flow the nonnegatively curved hypersurface  $\phi_t$  is embedded for

sufficiently large  $t$ . Notice that the nonnegativity of the sectional curvatures of  $\phi_t$  follows from Lemma 3.3. Therefore, by the rigidity result of Alexander and Currier [1, 2], for  $t$  sufficiently large the hypersurface  $\phi_t$  has to be an equidistant hypersurface about a geodesic line in hyperbolic space. This forces the hypersurface  $\phi$  to be an equidistant hypersurface in hyperbolic space. Thus the proof of the conjecture of Alexander and Currier [2] in higher dimensions ( $n \geq 3$ ) is complete.  $\square$

## 4 Embeddedness of Nonnegatively Curved Surfaces

In this final section we consider noncompact, complete surfaces immersed in  $\mathbb{H}^3$  with nonnegative Gaussian curvature and present a proof of the conjecture of Alexander and Currier [2] in dimension 2.

Suppose that  $\phi : M^2 \rightarrow \mathbb{H}^3$  is a complete, nonnegatively curved, immersed surface. We may assume the surface is locally strictly convex after a change of orientation, if necessary. Therefore the hyperbolic Gauss map  $G : M^2 \rightarrow \mathbb{S}^2$  is a local diffeomorphism, and the horospherical metric  $g_h$  is complete (cf. Theorem 2.1) and nonnegatively curved in the light of (2.9). In fact, the symmetric tensor  $P$  associated with the horospherical metric  $g_h$  satisfies

$$-\frac{1}{2}g_h < P < \frac{1}{2}g_h \quad (4.1)$$

according to (2.8). With the complex structure given by the horospherical metric  $g_h$  the Gauss map  $G$  is a conformal map into the Riemann sphere. Lemma 3.2 breaks down in dimension 2 because of the abundance of local holomorphic functions (the lack of Liouville Theorem). The search for a type of Picard theorem for holomorphic functions analogous to Lemma 3.2 in dimension 2 is technically much more difficult, though it seems to be a classic topic. We are going to rely on the growth estimate (4.5) in Lemma 4.2 based on [21, 29, 30] for the support function  $\rho$  as a solution to the Gaussian curvature equation (2.10). The novelty of our approach is to recognize that nonflatness implies that the asymptotic boundary at infinity consists of exactly one point and embeddedness then follows directly from the embedding theorem of Epstein [16] as a hyperbolic analog of the embedding theorem of van Heijenoort [31].

Let  $\pi : \widetilde{M}^2 \rightarrow M^2$  be the universal covering map. Then we consider the new parametrization  $\tilde{\phi} = \phi \circ \pi : \widetilde{M}^2 \rightarrow \mathbb{H}^3$  with the hyperbolic Gauss map  $\tilde{G} = G \circ \pi : \widetilde{M}^2 \rightarrow \mathbb{S}^2$  and the horospherical metric  $\tilde{g}_h = \pi^*g_h$  whose Gaussian curvature  $K_{\tilde{g}_h} = K_{g_h} \circ \pi \geq 0$ . Most importantly we have the symmetric tensor

$$\tilde{P} = P \circ \pi = -\nabla_{\tilde{G}^*g_{\mathbb{S}^2}}^2 \tilde{\rho} + d\tilde{\rho} \otimes d\tilde{\rho} - \frac{1}{2}(|d\tilde{\rho}|_{\tilde{G}^*g_{\mathbb{S}^2}}^2 - 1)\tilde{G}^*g_{\mathbb{S}^2},$$

where  $\tilde{\rho} = \rho \circ \pi$  and

$$-\frac{1}{2}\tilde{g}_h < \tilde{P} < \frac{1}{2}\tilde{g}_h. \quad (4.2)$$

It follows from Theorem 15 in [21] of Huber that  $(M^2, g_h)$  is parabolic when the surface  $\phi$  is nonnegatively curved. Therefore the universal cover  $\widetilde{M}^2$  of  $M^2$  is biholomorphic to the complex plane  $\mathbb{C}$ .

## 4.1 Flat Cases

In this subsection we present a proof to the following theorem of Volkov and Vladimirova [32]. Our proof paves a way for us to handle the nonflat cases in next subsection.

**Theorem 4.1** ([32]). *Let  $\phi$  be an isometric immersion from Euclidean plane to hyperbolic 3-space. Then  $\phi$  is either a covering map of an equidistant surface about a geodesic line in  $\mathbb{H}^3$  or it is an embedded horosphere.*

*Proof.* First of all it follows from (2.9) that  $K_{g_h} \equiv 0$  whenever  $K_\phi \equiv 0$ . Therefore  $(\mathbb{R}^2, g_h)$  is isometric to the Euclidean plane. Let  $z = (x, y)$  be the Euclidean coordinate for  $(\mathbb{R}^2, g_h)$  so that

$$|dz|^2 = g_h = e^{2\rho} G^* g_{\mathbb{S}^2}.$$

From the properties of the tensor  $P$ , we know that  $P$  is a symmetric 2-tensor, which is trace-free, divergence-free and bounded in the sense that

$$-\frac{1}{2}|dz|^2 < P < \frac{1}{2}|dz|^2.$$

Thus  $P$  is in fact constant since  $P$  is associated with a bounded holomorphic function on  $\mathbb{C}$ .

This implies that the principal curvatures of the surface are both constant (i.e. the surface is an isoparametric surface). Therefore it is a horosphere when  $P = 0$  and an equidistance surface when  $P \neq 0$  according to the classification of isoparametric surfaces in hyperbolic 3-space (cf. for example, [7, 8, 32]). So the proof is complete.  $\square$

We would like to point out that in the case when  $P = 0$  (i.e. when the surface is a horosphere), one in fact can explicitly find that

$$\rho(x, y) = \log(C[(x - x_0)^2 + (y - y_0)^2] + \frac{1}{4C}) \quad (4.3)$$

for some positive constant  $C$ .

## 4.2 Nonflat Cases

In this subsection we consider a complete, noncompact, nonnegatively curved, nonflat, immersed surface  $\phi : M^2 \rightarrow \mathbb{H}^3$ . We will focus on how to recognize and use the nonflatness. From Huber's result [21], we know the universal cover  $(\widetilde{M}^2, \widetilde{g}_h)$  is globally conformal to the Euclidean plane. Let  $z = (x, y)$  be the Euclidean coordinate for  $\widetilde{M}^2$  so that

$$e^{2\tilde{\rho}_0} |dz|^2 = \widetilde{g}_h = e^{2\tilde{\rho}} \widetilde{G}^* g_{\mathbb{S}^2}.$$

Rewrite the relation above as

$$|dz|^2 = e^{2(\tilde{\rho} - \tilde{\rho}_0)} \widetilde{G}^* g_{\mathbb{S}^2} = e^{2\rho_0} \widetilde{G}^* g_{\mathbb{S}^2}$$

for  $\rho_0 = \tilde{\rho} - \tilde{\rho}_0$  and consider the symmetric 2-tensor

$$P_0 = -\nabla_{\widetilde{G}^* g_{\mathbb{S}^2}}^2 \rho_0 + d\rho_0 \otimes d\rho_0 - \frac{1}{2}(|d\rho_0|_{\widetilde{G}^* g_{\mathbb{S}^2}}^2 - 1) \widetilde{G}^* g_{\mathbb{S}^2}. \quad (4.4)$$

It is perhaps helpful to think that with the Gauss map  $\widetilde{G}$  and support function  $e^{\rho_0}$ ,  $P_0$  corresponds to a ‘‘surface’’ in  $\mathbb{H}^3$  as in Theorem 2.1. What is this ‘‘surface’’? From the discussion in the flat cases in the previous subsection we know that it is a horosphere if  $P_0$  vanishes. The following is a simple calculation.

**Lemma 4.1.** *In the  $(x, y)$  coordinates*

$$\begin{aligned} (P_0)_{11} &= \partial_x^2 \tilde{\rho}_0 - \frac{1}{2}((\partial_x \tilde{\rho}_0)^2 - (\partial_y \tilde{\rho}_0)^2) + \tilde{P}_{11}, \\ (P_0)_{22} &= \partial_y^2 \tilde{\rho}_0 - \frac{1}{2}((\partial_y \tilde{\rho}_0)^2 - (\partial_x \tilde{\rho}_0)^2) + \tilde{P}_{22}, \\ (P_0)_{12} &= (P_0)_{21} = \partial_x \partial_y \tilde{\rho}_0 - (\partial_x \tilde{\rho}_0)(\partial_y \tilde{\rho}_0) + \tilde{P}_{12}, \end{aligned}$$

where

$$\tilde{P} = -\nabla_{\widetilde{G}^* g_{\mathbb{S}^2}}^2 \tilde{\rho} + d\tilde{\rho} \otimes d\tilde{\rho} - \frac{1}{2}(|d\tilde{\rho}|_{\widetilde{G}^* g_{\mathbb{S}^2}}^2 - 1) \widetilde{G}^* g_{\mathbb{S}^2}$$

is the Schouten tensor for the surface  $\tilde{\phi}$ .

The most important technical tool in this case is the following sharp growth estimates for solutions to Gaussian curvature equations based on [21, Theorem 10], [29, Lemma 3], and [30, Theorem 2.1]. We will present the proof in the next subsection.

**Lemma 4.2.** *Suppose that  $(\mathbb{R}^2, e^{2u}|dz|^2)$  is complete, noncompact, nonnegatively curved, and nonflat. If the Gaussian curvature is bounded, then*

$$u = -m \log \sqrt{1 + |z|^2} + o(\log \sqrt{1 + |z|^2}) \quad \text{as } |z| \rightarrow \infty \quad (4.5)$$

for some  $m \in (0, 1]$ .

We are now ready to prove that  $P_0$  vanishes.

**Lemma 4.3.** *The Schouten tensor  $P_0$  in (4.4) vanishes identically on  $\mathbb{R}^2$  and  $\rho_0$  is given as a solution in (4.3).*

*Proof.* First of all we know that  $P_0$  is trace-free and divergence-free since  $e^{2\rho_0}\tilde{G}^*g_{\mathbb{S}^2} = |dz|^2$  is flat. To show  $P_0$  is in fact identically zero one just needs to show  $|P_0| \in L^p(\mathbb{R}^2)$  for some  $p > 1$ , in the light of, for instance, [33, Theorem 3]. As the Gaussian curvature of  $\tilde{g}_h$  is bounded, by applying Lemma 4.2, we get

$$\tilde{\rho}_0 = -m \log \sqrt{1 + |z|^2} + o(\log \sqrt{1 + |z|^2}) \quad \text{as } |z| \rightarrow \infty$$

for some  $m \in (0, 1]$ . Then from (4.2) we know that

$$|\tilde{P}| \leq C e^{2\tilde{\rho}_0} \leq \frac{C}{(1 + |z|^2)^{\frac{m}{2}}}, \quad (4.6)$$

and hence  $|\tilde{P}|$  belongs to  $L^p(\mathbb{R}^2)$  for some large  $p > 1$ . From interior estimates to the Gaussian curvature equation

$$-\Delta \tilde{\rho}_0 = K_{\tilde{g}_h} e^{2\tilde{\rho}_0} \quad (4.7)$$

From the Schauder and  $L^p$  estimates of [19], we have

$$\begin{cases} R^{2-\frac{2}{p}} \|\partial^2 \tilde{\rho}_0\|_{L^p(B_R(0))} & \leq C(\|\tilde{\rho}_0\|_{C^0(B_{2R}(0))} + R^{2-\frac{2}{p}} \|K_{\tilde{g}_h} e^{2\tilde{\rho}_0}\|_{L^p(B_{2R}(0))}), \\ r \|\partial \tilde{\rho}_0\|_{C^0(B_r(z))} & \leq C(\|\tilde{\rho}_0\|_{C^0(B_{2r}(z))} + r^2 \|K_{\tilde{g}_h} e^{2\tilde{\rho}_0}\|_{C^0(B_{2r}(z))}). \end{cases} \quad (4.8)$$

From (4.6) and the first inequality of (4.8) as  $R \rightarrow \infty$ , we have  $\partial^2 \tilde{\rho}_0 \in L^p(\mathbb{R}^2)$  for any  $p$  sufficiently large since  $K_{\tilde{g}_h}$  is bounded. Meanwhile, from the second inequality of (4.8) and  $m \in (0, 1]$  for

$$r = (1 + |z|^2)^{\frac{m}{4}} < \frac{1}{2}|z|,$$

at least when  $|z| > 2\sqrt{2}$ , we get

$$|\partial \tilde{\rho}_0(z)| \leq \frac{C}{(1 + |z|^2)^{\frac{m}{4}}} (\log |z| + C),$$

which implies that  $|\partial \tilde{\rho}_0(z)|^2 \in L^p(\mathbb{R}^2)$  for  $p$  sufficiently large. Therefore, due to Lemma 4.1, it follows that  $|P_0| \in L^p(\mathbb{R}^2)$ .

With  $P_0 = 0$ , we now know that the support function  $\rho_0$  and the Gauss map  $\tilde{G}$  indeed induce a real ‘‘surface’’, which in fact is a horosphere. Thus the proof is complete.  $\square$

We are now ready to complete the proof of the conjecture of Alexander and Currier [2] in dimension 2.

*Proof of the Main Theorem in nonflat cases in dimension 2* From Lemma 4.3 we know  $\tilde{G}$  is an injective map which misses only one point  $q \in \mathbb{S}^2$ . So the covering map  $\pi$  is a diffeomorphism. From (4.3) and (4.5) we have

$$\tilde{\rho} = \rho_0 + \tilde{\rho}_0 = (2 - m) \log |z| + o(\log |z|), m \in (0, 1]$$

as  $z \rightarrow +\infty$ . So  $\tilde{\rho}(\tilde{G}^{-1}(\xi)) \rightarrow +\infty$  as  $\xi \rightarrow q$ , which, together with the proof of Lemma 3.2 of [4], implies that  $\partial_\infty \phi(M) = \{q\}$ . We remark that one may derive the same conclusion from [5]. From the embedding theorem of Epstein in [16], we know  $\phi$  is embedding.  $\square$

### 4.3 Proof of Lemma 4.2

In this subsection we prove Lemma 4.2. We start with [30, Theorem 2.1] as follows:

**Theorem 4.2.** ([30, Theorem 2.1]) *Let  $v(x, y)$  be a  $C^2$  positive solution of*

$$0 \leq -\Delta v \leq Ce^{2v}$$

*in a punctured neighborhood of the origin in  $\mathbb{R}^2$  for a constant  $C$ . Then either  $v$  has  $C^1$  extension to the origin or*

$$\lim_{|x| \rightarrow 0^+} \frac{v(x, y)}{\log(1/\sqrt{x^2 + y^2})} = m_1$$

*for some finite positive number  $m_1$ .*

**Remark 4.1.** *By considering  $v(x, y) - \inf v(x, y)$ , one can easily extend the above theorem to the case that  $v(x, y)$  is just bounded from below.*

To apply Theorem 4.2 we first take an inversion. Let  $\tilde{z} = \frac{z}{|z|^2}$  be the inversion map. Then

$$|dz|^2 = \frac{1}{|\tilde{z}|^4} |d\tilde{z}|^2 \text{ and } g = e^{2u} |dz|^2 = e^{2(u - 2 \log |\tilde{z}|)} |d\tilde{z}|^2 = e^{2v} |d\tilde{z}|^2$$

where

$$v(\tilde{z}) = u\left(\frac{\tilde{z}}{|\tilde{z}|^2}\right) - 2 \log |\tilde{z}|. \quad (4.9)$$

We then have

$$-\tilde{\Delta}v = K_g\left(\frac{\tilde{z}}{|\tilde{z}|^2}\right)e^{2v} = \tilde{K}_g e^{2v} \text{ in } \mathbb{R}^2 \setminus \{0\}. \quad (4.10)$$

It is clear that, in order to apply Theorem 4.2, we need to obtain a lower bound first for the conformal factor  $v$ . To this purpose we first observe that  $e^{-v}$  is a subharmonic function on  $(\mathbb{R}^2, e^{2v}|d\tilde{z}|^2)$ , that is,

$$\Delta_g e^{-v} = e^{-v} |\nabla_g v|^2 - e^{-v} \Delta_g v = e^{-v} (|\nabla_g v|^2 + \tilde{K}_g) \geq 0.$$

To obtain the lower bound, we recall [22, Theorem 1.2]. To state their theorem we consider a Riemannian manifold  $M$ ,  $x_0 \in M$ , and a radius  $r$  such that, if  $M$  has no boundary,  $r$  is less than half of the diameter of  $M$ ; if  $\partial M \neq \emptyset$ ,  $r < \frac{1}{5} \text{dist}(x_0, \partial M)$ .

**Theorem 4.3.** ([22, Theorem 1.2]) *Suppose that  $M^n$  is a Riemannian manifold with  $\text{Ric} \geq -(n-1)k$ . Let  $x_0 \in M$  and  $r$  given as above. Then for a nonnegative subharmonic function  $v$  we have, for a constant  $C$  depending only on the dimension and any  $\tau \in (0, \frac{1}{2})$ ,*

$$\sup_{B_{(1-\tau)r}(x_0)} v^2 \leq \tau^{-C(1+\sqrt{k}r)} \frac{1}{\text{vol}(B_r(x_0))} \int_{B_r(x_0)} v^2 d\text{vol}. \quad (4.11)$$

We therefore have, for the conformal factor  $v$  in (4.10),

$$\begin{aligned} \sup_{B_{(1-\tau)r}(x_0)} e^{-2v} &\leq \tau^{-C} \frac{1}{\text{vol}_g(B_r(x_0))} \int_{B_r(x_0)} e^{-2v} d\text{vol}_g \\ &\leq \tau^{-C} \frac{\text{vol}_{|d\tilde{z}|^2}(B_r(x_0))}{\text{vol}_g(B_r(x_0))}. \end{aligned} \quad (4.12)$$

Fortunately, we have a non-collapsing result in dimension 2 from [13, Theorem A] as follows:

**Theorem 4.4.** ([13, Theorem A]) *If  $(M^2, g)$  is complete and nonnegatively curved, then there exists a constant  $C(M)$  such that, for  $r \leq 1$ ,*

$$\text{vol}_g(B_r(x)) \geq C(M)r^2. \quad (4.13)$$

Thus, the fact that the conformal factor  $v$  is bounded from below follows from (4.12), (4.13), and the fact that  $\text{vol}_{|d\tilde{z}|^2}(B_r(x_0))$  is bounded. In fact, in this way we may conclude that  $v(x_0) \rightarrow \infty$  as  $\tilde{z}(x_0) \rightarrow 0$ .

Now we are ready to finish the proof of Lemma 4.2.

*Proof of Lemma 4.2* According to Theorem 4.2, we get

$$v(\tilde{z}) = m_1 \log \frac{1}{|\tilde{z}|} + o\left(\log \frac{1}{|\tilde{z}|}\right) \text{ as } \tilde{z} \rightarrow 0$$



for some constant  $m_1 > 0$ . Next we claim that  $m_1 \geq 1$  since the metric  $g = e^{2v}|d\tilde{z}|^2$  is complete and noncompact at the origin.

Assume otherwise  $m_1 < 1$ . Then let  $m_2 \in (m_1, 1)$  and  $r_s$  be sufficiently small so that

$$v < m_2 \log \frac{1}{|\tilde{z}|} \text{ for all } 0 < |\tilde{z}| < r_s,$$

which implies

$$\exp(v) < |\tilde{z}|^{-m_2} \text{ for all } 0 < |\tilde{z}| < r_s$$

and

$$\int_0^{r_s} \exp(v(t, 0)) dt < \int_0^{r_s} t^{-m_2} dt < \infty.$$

This contradicts the assumption that the metric  $g = e^{2v}|d\tilde{z}|^2$  is complete and noncompact at the origin.

Therefore, from (4.9), we have

$$u(z) = (2 - m_1) \log \frac{1}{|z|} + o(\log \frac{1}{|z|}) \text{ as } |z| \rightarrow \infty,$$

where  $m = 2 - m_1 \leq 1$ .

To see  $m > 0$  when  $g$  is nonnegatively curved and nonflat, we recall

$$-\Delta u = K_g e^{2u} \geq 0 \text{ in } \mathbb{R}^2.$$

Taking an approach similar to that in the proof of [29, Lemma 3], for  $0 < r_2 < r_1$ , we have that

$$r_2 \bar{u}'(r_2) = r_1 \bar{u}'(r_1) + \frac{1}{2\pi} \int_{r_2 < |z| < r_1} K_g e^{2u}, \quad (4.14)$$

where

$$\bar{u}(r) = \frac{1}{2\pi} \int_0^{2\pi} u(r \cos \theta, r \sin \theta) d\theta.$$

Then

$$|\bar{u}'(r)| \leq \frac{1}{2\pi} \int_0^{2\pi} |\nabla u(r \cos \theta, r \sin \theta)| d\theta$$

and therefore

$$\lim_{r_2 \rightarrow 0^+} r_2 \bar{u}'(r_2) = 0.$$

Plugging this back into (4.14), we have that

$$r_1 \bar{u}'(r_1) = -\frac{1}{2\pi} \int_{|z| < r_1} K_g e^{2u}.$$

Now, from  $u = m \log \frac{1}{|z|} + o(\log |z|)$  as  $|z| \rightarrow \infty$ , it follows that

$$\lim_{r_1 \rightarrow \infty} r_1 \bar{u}'(r_1) = -m = - \int_{\mathbb{R}^2} K_g e^{2u} < 0,$$

as  $K_g \geq 0$  and is not identically 0. □

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