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Advancements in conventional and 3D printed feed spacers in membrane modules

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57 **Abstract**

58 Flat-sheet and spiral-wound modules are used for gas separation,
59 pervaporation, reverse osmosis, nanofiltration, ultrafiltration, microfiltration,
60 electro-dialysis, electro-deionization, membrane distillation and forward
61 osmosis membrane separations. Feed channel spacers are an integral part of
62 both module types – providing mechanical support for a cross-flow channel
63 through the module and, in most cases, promoting mixing to enhance mass
64 transfer, which helps reduce concentration polarization and fouling.
65 However, enhanced mass transfer comes at a cost of increased hydraulic
66 pressure losses and stagnant zones wherever a spacer filament touches a
67 membrane surface; these stagnant zones exacerbate membrane fouling and
68 make cleaning more difficult. Efforts to improve feed spacer performance
69 largely focus on adjusting the chemistry or geometry of the spacer to
70 mitigate these challenges. Additive manufacturing, a.k.a., 3D printing, offers
71 new degrees of freedom in feed spacer design and production, which opens
72 up a new area of research in membrane technology. This review critically
73 assesses the peer-reviewed literature on conventional net- or mesh-style
74 feed spacers in addition to various novel spacer geometries and chemistries
75 produced via 3D printing. We further review and evaluate conventional
76 spacer manufacturing methods and discuss advantages and disadvantages
77 of 3D printed spacers.

78

79 **Keywords**

- 80 Spiral-wound; Plate-and-frame; Feed spacer; Fouling; Scaling; Pressure drop;
- 81 3D printing

82 **1. Introduction**

83 Polymeric membranes for liquid and gas separations are packaged into
84 modules comprising three primary form factors: flat-sheet membranes in
85 either (1) spiral-wound elements (SWEs) or (2) flat-sheet plate-and-frame
86 (P&F) stacks as well as (3) cylindrical membranes in the form of bundled
87 hollow-fiber, capillary and tubular modules [1,2]. Key to the manufacturing
88 and operation of the first two module types is the inclusion of spacers on
89 both sides of the membrane to hold flow channels open, support the
90 membranes under operating conditions, and enhance mass transfer near the
91 membrane interfaces. Spacers are used in all SWE and P&F membrane
92 applications, and are of particular interest for aqueous separations such as
93 reverse osmosis (RO), nanofiltration (NF), ultrafiltration (UF), forward osmosis
94 (FO), membrane distillation (MD), pervaporation (PV), and ion exchange (IX)
95 membrane processes [1,2]. Herein, we focus our critical review on SWE and
96 P&F form factors, both of which require a feed spacer to provide mechanical
97 separation between neighboring membrane flat-sheets.

98 Spacers are used in both the feed and permeate channels of
99 membrane elements. Feed channel spaces are used to hold the channel
100 open to flow and to improve mixing and mass transfer through the reduction
101 of concentration polarization (CP) [3-6]. Permeate channel spacers hold the
102 channel open to enable permeate to leave the module, but may have a role
103 in mixing in membrane contactor processes (e.g., forward osmosis, electro-
104 dialysis). For applications where there is a transmembrane hydraulic

105 pressure drop (e.g., RO, NF, UF, MF), the permeate spacer is also designed to
106 adequately support the membrane under pressure to prevent channel
107 collapse. In these applications, permeate spacers are typically made thinner
108 and with a less porous structure [7-9].

109 The research community has focused far more on feed spacers than
110 permeate spacers. This in part because the feed channel is where the more
111 complex mass transfer related phenomena occur (i.e., fouling, CP). Fouling
112 mitigation in particular has been a research priority in the membrane field
113 for many years, and many have identified the spacer as a design feature of
114 elements that can be changed to mitigate fouling [i.e., fouling, CP]. This has
115 resulted in a vast majority of the spacer design research to be relegated to
116 feed spacers. Much of this work is conceptualized in NF/RO desalination and
117 UF protein separation applications.

118 Regardless of where the spacer is within the module, one of the
119 primary drawbacks of spacers is the creation of pressure drop along the
120 channel. This pressure drop is particularly problematic in high crossflow
121 when attempting to minimize CP and fouling [10]. This pressure drop may be
122 exacerbated by fouling, which may clog up spacers [11]. Numerous studies
123 have focused on improving the hydrodynamic design of conventional net-
124 type feed spacers to: (1) maximize mixing and turbulence [12], (2) minimize
125 the pressure drop [13,14], (3) prevent the dead zones to mitigate membrane
126 fouling [15,16] and (4) minimize the contact and compressive stress on
127 membrane surface to avoid damaging the membrane selective layer [17].

128 Although these studies succeeded in improving some aspects of feed
129 spacer performance, most of them only considered net-type feed spacer
130 geometries. While computational fluid dynamics (CFD) studies have shown
131 that feed spacer geometries that go beyond net-type spacers could
132 theoretically improve spacer performance [18-20], these complex structures
133 are not manufacturable using conventional techniques. Conventional
134 manufacturing of net-type spacers such as extrusion, molding, vacuum
135 foaming or filament gluing lack resolution, accuracy and conformational
136 versatility in forming intricate spacer shapes.

137 Emerging additive manufacturing approaches (i.e. 3D printing) has
138 offered additional degrees of freedom in designing and manufacturing feed
139 spacers. 3D printing enables the development of exotic structures that would
140 be impossible to manufacturing using traditional extrusion methods. As
141 these printing methods continue to evolve and exhibit improved speed,
142 pricing and resolution, new opportunities around spacer design have
143 emerged in recent years.

144 Other review articles [21-24] on 3D printing in membranes have
145 provided a broad discussion on 3D printed membranes, spacers and
146 elements without a focus on spacer manufacturing and metrics evaluation.
147 We believe this review will provide more focused insight on applications of
148 3D printing approaches specifically for spacers. We provide this review in the
149 context of how these new manufacturable designs have enabled improved
150 fouling resistance, enhanced mass transfer and reduced pressure drop. This

151 review will also compare different spacer manufacturing techniques in terms
152 of performance benefits and commercial viability. This review will be limited
153 in scope to liquid filtration applications with flat-sheet P&F and SWE type
154 membrane modules.

155 **2. Attempts to Improve Spacer Performance**

156 Before specifically addressing the use of additive manufacturing in
157 building new spacers, we provide a brief assessment of the research
158 categories for feed spacer improvement research. We assess both material
159 and geometry selection below.

160 *2.1. Material Improvement*

161 Much of the research in identifying new spacer material has been an
162 attempt to address fouling issues associated with feed spacers in membrane
163 modules. Results have concluded, somewhat counterintuitively, that spacers
164 may in fact exacerbate fouling near the spacer itself due to stagnant zone
165 formation [10,25]. A number of previous studies have sought to improve the
166 fouling resistance of spacers by modifying the spacer material or surface.
167 Many of these studies, summarized in Table 1, have attempted to
168 functionalize the spacer surfaces, particularly for biofouling.

169 Alleviating biofouling through spacer modification has largely focused
170 on surface chemistries designed to inhibit the bacterial activity and/or to
171 improve the spacer hydrophilicity. Many of these studies explored the use of
172 metal or metal oxides coatings that greatly improved the long term
173 permeate flux and reduced the foulant attachment [26-29]. Hydrophilic

174 polymers have also been functionalized on spacer surfaces either by grafting
 175 [30–32] or plasma treatment [33,34]. Carbon-based materials such as CNT or
 176 graphene oxide have been considered due to their demonstrated biotoxicity
 177 [35]. However, most of the surface treatments were performed after the
 178 manufacturing of spacer. Such approaches could result in weak surface
 179 attachment or heterogeneous distribution of the coating material. These
 180 challenges may limit lifetime and functionality of the modification.

181

182 **Table 1.** Spacer surface modifications and their effect in performance
 183 improvement.

Spacer material	Spacer modification	Application	Effect	Reference
N/A (from FilmTec™ SW30-2514)	Surface coating with silver nanoparticles via silver nitrate solution immersion	RO	Lower flux decay rate. Higher (95%) TDS rejection than unmodified membrane and spacer. Ability to mitigate biofouling.	[Yang, 2009]
Polypropylene	Surface coating with silver nanoparticles via sonochemical deposition	UF	Complete bacteria reduction after 3hrs in static liquid condition. Only 2.4% biofilm attachment during long-term crossflow test.	[Ronen, 2015]
Polypropylene	Surface coating with zinc oxide nanoparticles via sonochemical deposition	UF	99.9% bacteria reduction after 3hrs in static liquid condition. Almost no accumulation of biofilm in crossflow test.	[Ronen, 2013]
Polypropylene	Surface coating with zinc oxide nanorods via chemical bath deposition	UF	Much lower bacteria attachment and higher permeability than clean PP spacer in both flow through mode and crossflow condition.	[Thamaraiselvan, 2019]
Polypropylene	Surface grafting with spacer arm	RO	An order of magnitude lower bacterial attachment than the	[Hausman, 2009]

	(glycidyl methacrylate) and Cu(II) charged metal chelating ligands		virgin PP spacer.	
Polypropylene	Sputter coating with silver, copper or gold with different thickness	NF/RO	Thicker spacer and low linear flow velocities reduced the pressure drop increase in a long period of time. Coating could delay the fouling rate but does not prevent fouling.	[Araújo, 2012]
Polypropylene	Surface modification by polydopamine coating and poly(ethylene glycol) grafting	NF/UF	Over 99.9% reduction in BSA and over 75% lower intensity of bacteria in static liquid condition. Did not inhibit biofouling in long-term biofouling test in MFS.	[Miller, 2012]
Polypropylene	Surface functionalization by diethylene glycol ether via plasma polymerization	RO	Spacers modified at certain plasma energy density could yield reduced biomass attachment.	[Reid, 2014]
High density polyethylene /polypropylene (80/20 wt %)	Surface functionalization by poly(sulfobetaine methacrylate) (pSBMA) zwitterionic polymer via plasma polymerization	RO	Approximately 70% reduced bacterial attachment in 24hr static liquid suspension. Did not significantly inhibit biofouling in continuous tests in MFS.	[Jabłońska, 2020]
Polypropylene	Surface modification by pQAs via ATRP	UF	Better 'short distance' localized antibacterial activity but worse 'long distance' capacity than nanosilver coated spacers.	[Ronen, 2016]
Polypropylene	Surface coating by polydopamine, polydopamine-graft-poly(ethylene glycol) or copper	UF/NF/RO	Copper coated spacers reduced pressure drop and biomass accumulation, but hydrophilic polymer modification did not.	[Araújo, 2012]
Polypropylene	Surface functionalization by silver, SiO ₂ nanoparticles,	RO	Silver coated spacers outperformed other spacers in terms of biofouling control.	[Rice, 2018]

Polypropylen e-CNTs	TMPSi-TiO ₂ nanoparticles or graphene oxide. Blending CNT with PP spacer via injection molding	RO	High loading of CNTs filler induced stronger antifouling against BSA. Hybrid spacers showed 7-8 times lower foulant attachment than plain PP spacer.	[Kitano, 2019]
Titanium metal, plastic	Dip coating plastic spacer with GNPs to make it conductive	MF/UF/NF/ RO	The conductive spacers could help the in-situ cleaning of membrane to mitigate foulants and improve the flux in an electrochemical system.	[Abid, 2017]
Polyamide	TPMS (Gyr-tCLP hybrid) spacers coated by fluorinated silica (FS) and a variety of graphene oxide or graphene nanosheets	MD	FS surface coating resulted in the largest antiscaling enhancement with a 74% lower scalant attachment on the spacer and 60% lower scalant attachment on the membrane than the pristine uncoated spacer.	[Thomas, 2021]

184

185 *2.2. Spacer Structural Improvement*

186 A number of studies have attempted to explore the “optimum” net-
187 type spacer filament thickness, spacing and angle. Details of these efforts
188 and the relevant spacer performance improvement are listed in Table 2.
189 Generally, the change of flow pattern should induce changes in mass
190 transfer, pressure drop and CP. Some of the design features include filament
191 spacing (distance between two consecutive filaments), filament height
192 (diameter of filament), hydrodynamic angle (interior angle between two
193 adjacent spacer filaments relative to feed flow) and flow attack angle (the
194 angle between the flow and hydrodynamic angle). Larger filament spacing
195 could elongate the streamline between two filaments, leading to a lower

2196 critical Reynolds number to achieve vortex shedding [36]. Thicker filaments
2197 usually result in large wakes of fluid past transverse filaments [37] and aid
2198 the formation of secondary recirculation region able to disrupt the boundary
2199 layer and enhance mass transfer [12]. According to Park et al. [38], spacer
2200 thickness is an important factor in reducing differential pressure and fouling.
2201 A 28-mil feed spacer exhibited 78% higher normalized differential pressure
2202 (NDP) increment than the 34-mil spacer in a 659 hr RO filtration test.
2203 Furthermore, the thicker spacer distributed the fouling load more evenly,
2204 enhanced membrane cleaning efficiency and prolonged the membrane
2205 lifecycle [38]. In terms of the hydrodynamic angle and flow attack angle, a
2206 proper combination of these angles with filament size and spacing could
2207 reach a balance between mass transfer, pressure drop and fouling [39-41].
2208 Novel cross section profiles of filaments, such as oval, wing-like or triangle
2209 shaped spacers, have been considered for reducing pressure drop and
2210 fouling or improving mass transfer [19,20,42]. Studies [43] have evaluated
2211 the transverse filament position in the feed channel, where submerged type
2212 of filament alignment (all transverse filaments are located at the feed
2213 channel bottom) showed best flux and biofouling reduction at the cost of
2214 larger pressure drop. Spacer filaments could also intertwine into different
2215 woven states. Gu et al. [3] demonstrated that the fully woven spacers (where
2216 filaments are all interlaced together) provided higher flux and lower
2217 concentration polarization than other shapes although with slightly higher
2218 pressure drop compared with other woven states.

219 Simple geometrical adjustments, such as a change of filament location,
 220 varying filament size and mesh length, altering hydrodynamic and flow
 221 attack angle are achievable for conventionally extruded net-type or ladder
 222 spacers. These modifications are also assessable by most CFD software
 223 packages. Complex spacer geometries such as multi-layer spacers [44],
 224 twisted filaments [4] and spacers with triply periodic minimal surface (TPMS)
 225 structures [5,45-48], are exceedingly difficult with conventional
 226 manufacturing methods. Therefore, most recent studies have adopted 3D
 227 printing to fabricate novel spacer structures.

228

229 **Table 2.** Spacer structural optimizations and their effect on spacer

230 performance

Spacer type	Structural modification	Method	Effect	Reference
Net-type spacers	Hydrodynamic angle, transverse filament size and location	Crossflow test	Hydrodynamic angle of 90° yielded 50% higher flux than 0°. Flux increased by up to 9% by increasing filament size from 0.7mm to 1.07mm.	[Da Costa, 1994]
Net-type spacers	Hydrodynamic angle, mesh size, thickness, filament diameter and voidage	Crossflow test, pressure drop and mass transfer models	40% voidage and 50°-120° hydrodynamic angle attributed to optimal pressure drop and mass transfer at low crossflow velocities. 60%-70% voidage and 70°-90° hydrodynamic angle reduced pressure drop and enhanced mass transfer at high crossflow velocities.	[Da Costa, 1994]
Net-type spacers	Hydrodynamic angle (β)	Crossflow test	At low flow rate, the spacer with 80° hydrodynamic angle had lowest overall cost. At high flow rate, the spacer with 45°-60° hydrodynamic angle had lowest overall cost.	[Da Costa, 1991]
Net-type spacers	Transverse filament location,	CFD	Submerged spacer was desired for better mass transfer. Short filament spacing resulted	[Cao, 2001]

	filament spacing		in higher mass transfer at the cost of pressure drop.	
Ladder-type spacers	Filament spacing, filament size	CFD	Larger filament spacing elongated the streamline between two filaments, decreased critical Re number and slightly reduced the friction factor. Larger filament size led to larger recirculation area, enhanced mass transfer but augmented friction factor.	[Gerald, 2002]
Ladder-type spacers	Transverse filament location	CFD, crossflow test	Concentration polarization of cavity spacers is independent of the distance to channel inlet. Higher concentration polarization was observed with longer channel length for non-cavity spacers (transverse filaments opposite to membrane).	[Gerald, 2002]
Net-type spacers	Ratio of filament spacing to channel height (λ/a), flow attack angle (α)	Wind tunnel test	Flow attack angle could alter the pressure drop by a factor of 30 and change the mass transfer by 2.7 times. A proper combination of these geometric parameters could balance mass transfer, mixing and energy costs.	[Zimmerer, 1996]
Net-type spacers	λ/a , channel length, α and β	CFD, flat channel electrode experiment	The optimal spacer geometry is set at $\lambda/a=4$, $\alpha=30^\circ$ and $\beta=120^\circ$, which led to the optimal Sherwood number (Sh) in a broad range of power number (Pn).	[Li, 2002], [Li, 2004]
Net-type spacers	Filament spacing to diameter ratio (L/D), β	Direct numerical simulations, crossflow test	Local shear stress and pressure drop decreased with increasing L/D and increased with higher β .	[Koutsou, 2007]
Net-type spacers	α	CFD	45° flow attack angle generated higher mass transfer and lower pressure drop than 90° orientation.	[Fimbres-Weihs, 2007]
Net-type spacers	α	Direct observation through the membrane (DOTM)	0° flow attack angle yielded the best fouling performance.	[Neal, 2003]
Zigzag spacers	Filament cross section, tilt angle	CFD	Enhanced mass transfer, lowest pressure drop, and decreased fouling were achieved if oval	[Amokran, 2016]

			spacers were tilted at 20°.	
N/A	Filament cross section	CFD	Triangular spacers had higher concentration minimization ability and pressure drop. Circular spacers had the lowest energy consumption.	[Ahmad, 2005]
Net-type spacers	Filament cross section	CFD	Concave (spherical) spacer could drastically reduce the pressure drop and simultaneously maintained high strain rate compared with other spacer configurations.	[Ranade, 2006]
Ladder-type spacers	Transverse filament location	A 2D numerical model based on fundamental transport equations	The submerged spacers exhibited lowest biomass accumulation, lowest flux decline and salt concentration in permeate but with highest pressure drop.	[Radu, 2010]
Ladder-type spacers, diamond type spacers	Filament diameter, mesh length and arrangement of the spacer filaments	Crossflow test	The 3-layer spacer had an improvement in flux, mass transfer and concentration polarization compared with 2-layer spacer, but it also came with higher pressure loss.	[Schwinge, 2004]
Net-type spacers	Spacer layers, filament shape, λ/a , α and β	CFD	The optimal multi-layer spacer showed 30% higher Sherwood number and only 40% of the power consumption compared with optimal non-woven spacer.	[Li, 2005]
Net-type spacers	Spacer layers, filament shape, filament diameter, spacer height, α and β	Electrodialysis measurements	Thinner middle layer could reduce the power consumption by 30% while still maintained 20% higher mass transfer than 2-layer spacers.	[Balster, 2006]
Net-type spacers	Filament configuration, α and β	CFD	Fully woven spacers, although with a slightly higher pressure drop, provided higher flux and lower concentration polarization than other shapes. Lower β induced lower flux and larger concentration polarization. Pressure drop was very sensitive to α .	[Gu, 2017]
Ladder-type, triple, wavy and submerged spacers	Spacer configuration	CFD	Ladder-type spacers had the highest spacer configuration efficacy (SCE) when the Re is lower than 120 while the wavy spacers took priority in SCE at higher turbulence.	[Kavianipour, 2017]
Helically	Filament shape	Forced	The helical shaped spacer	[Fritzmann

microstructured spacers		crossflow test in submerged membrane bioreactors (MBR)	improved the critical flux of membrane by 100% and reduced fouling by a factor of 7.5	[Sreedhar, 2018]
TPMS spacers	TPMS shapes	Crossflow BWRO and UF tests	The incorporation of TPMS spacers enhanced flux, reduced biofouling and pressure drop.	[Sreedhar, 2018]

231

232 2.3. Conventional Spacer Manufacturing

233 In the last few decades, polymers have been processed into different
 234 shapes via different processing techniques [49–52]. Most feed channel
 235 spacers are made of thermoplastics, which are commonly extruded or
 236 molded. However, these conventional processing techniques limit spacer
 237 geometries to conventional ladder- and net-type structures. Novel or exotic
 238 spacer geometries are not processable using extrusion and molding complex
 239 geometries with small feature sizes is costly. This section mainly discusses
 240 the most widely used conventional processes for fabricating spacers and the
 241 limitations of these methods. Details of each conventional manufacturing
 242 technique are summarized in Table 3.

243

244 **Table 3.** Conventional spacer manufacturing techniques, material, process
 245 and limitations.

Manufacturing technique	Material	Process	Limitations	References
Extrusion	Thermoplastics, metal, ceramic, composite	Feed the material into a hopper, compress, heat and mix the material in the extruder, which subsequently	Low resolution. Only able to form net-type spacers. Low accuracy and precision due to die swell.	[Grida, 2003], [Anand, 1980], [Mount, 2017], [Piau, 1990],

		extrudes the material through a die to form spacer filaments. After extrusion, the filaments are usually welded, glued or bonded together.	Inhomogeneity and defects in filaments.	[Kissi, 1997], [Ahmad, 1995], [Schwinge, 2004], [Smythe, 2020]
Injection molding	Thermoplastics, composite	The plastic pellets are compressed and molten in a barrel and subsequently injected into a mold under high pressure. The mold then cools down and ejects the spacer out.	Low resolution, accuracy and precision. Low manufacturing speed.	[Singh, 2017], [Khosravani, 2019]
Vacuum forming	Thermoplastics	Melts and shapes thermoplastic film sheet in a mold to produce desired products.	Low resolution, accuracy and precision. Low manufacturing speed.	[Sawada, 1989], [Leite, 2018]

246

247 2.3.1. Extrusion

248 Extrusion is a widely used method in processing polymeric, ceramic,
249 metal and composite materials into a variety of structures such as films, rods
250 and tubes. Feed channel spacer filaments are usually manufactured by either
251 barrel extruder or rotary extruder. The most common extrusion process
252 involves feeding the polymer into a hopper, heating and mixing the material
253 in the extruder, which subsequently extrudes the material through a die to
254 form desired structure. The spacer filament usually exhibits some degrees of
255 twisting due to the shear force during extrusion. The first layer of extruded
256 filaments are placed in parallel and additional layers are usually welded [53],
257 glued [54] or fusion bonded [55] at a specific angle with the first layer to
258 form the spacer. This angle determines the shape of the mesh (e.g., diamond
259 or square netted spacers). Extrusion can also be used to form composite

260 spacer filaments by mixing filler material with polymer and can be made into
261 a continuous process.

262 *2.3.2. Injection Molding*

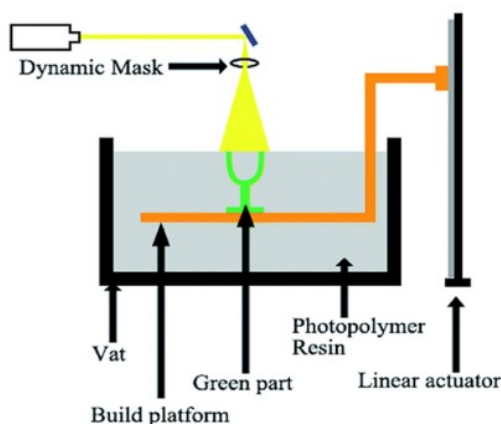
263 Injection molding is an important manufacturing process capable of
264 producing large quantities of plastic parts with high dimensional tolerance at
265 high speed. Injection molding is considered as a near net-shape
266 manufacturing process that does not require further finishing process [56]. In
267 injection molding process, the plastic pellets are compressed and molten in a
268 barrel and subsequently injected into a mold under high pressure. The
269 molten plastic is kept in the mold at high pressure for a certain period of
270 time to shape and solidify the product while the mold is cooling down. The
271 product is then ejected out of the mold before new plastics are injected into
272 the mold. Depending on the actual material and processing requirement, a
273 whole injection molding cycle usually takes 2 seconds to 2 minutes. Due to
274 low costs, fast production and minimal wastage, injection molding has been
275 used for producing metal [57], ceramic [58], composite [59] and powder [60]
276 materials. Injection molding has also been used for producing net-shaped
277 spacers [35].

278 **3. 3D Printing in Spacer Manufacturing**

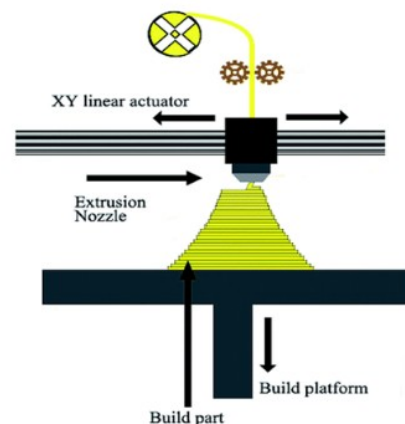
279 *3.1. 3D Printing Techniques Relevant to Spacer Manufacturing*

280 3D printing is become a popular topic in membrane fabrication [61-
281 64]. In this section, we review the 3D printing techniques relevant to spacer
282 manufacturing. Most 3D printing techniques manufacture product layer by

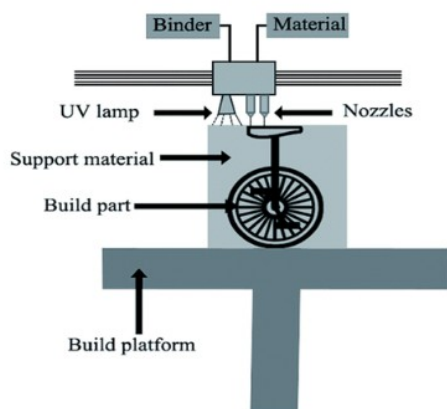
283 layer which enables the forming of delicate and complex morphological
 284 features such as a variety of intricate structures proposed in novel feed
 285 spacer designs. Additionally, most 3D printing methods (with a few
 286 exceptions) can form spacers with a high-quality surface finish, which is
 287 important for fouling and pressure drop control during filtration. Basic
 288 illustrations of the relevant printing techniques discussed in this review are
 289 illustrated in Figure 1. Detailed descriptions of these techniques, their
 290 advantages and disadvantages have been illustrated in our previous paper
 291 on 3D printed membranes [65].



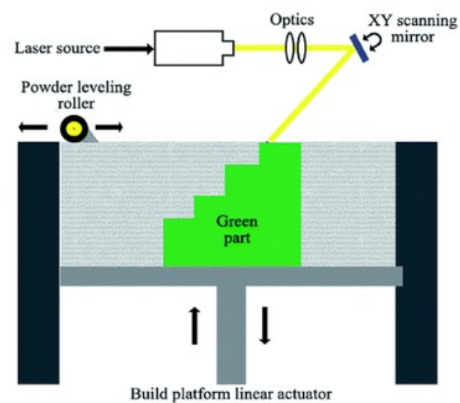
(a). VAT polymerization



(b). Material extrusion



(c). Material jetting/Polyjet



(d). Powder bed fusion

292

293 **Figure 1.** Schematics of 3D printing techniques used in feed spacer
294 manufacturing. Reproduced from [66]. This figure is best viewed in color.
295

296 *3.2. Critical Analysis of Spacer Manufacturing Methods*

297 3.2.1. Key Manufacturing Metrics

298 Most 3D printing methods offer higher resolution, accuracy and
299 precision than conventional extrusion, although they are inferior in printing
300 speed and operational cost. We define these metrics below and compare
301 them between manufacturing techniques in Table 4. This assessment of
302 metrics is provided prior to the literature review, so that terminology and
303 comparisons between the technologies can be better described when
304 evaluating the literature.

305 3.2.1.1. Resolution

306 Resolution is defined as the minimum feature size that can be clearly
307 depicted on the product. In spacer manufacturing, controlling spacer
308 thickness, which determines channel height, is dependent on vertical (z-
309 direction) resolution. Most spacers can have thicknesses ranging from
310 hundreds of microns to several millimeters [23], and thus, have modest
311 resolution requirements (unless elaborate surface features are required)
312 enabling many 3D printing methods to be employed. Controlling spacer and
313 filament thickness enables tuning CP, pressure drop [67] and foulant
314 accumulation and localization in spacer grid [68].

315 Traditional extrusion (excluding FDM, an extrusion based 3D printing)
316 is able to provide resolution below 100 μm [69]. However, the nature of

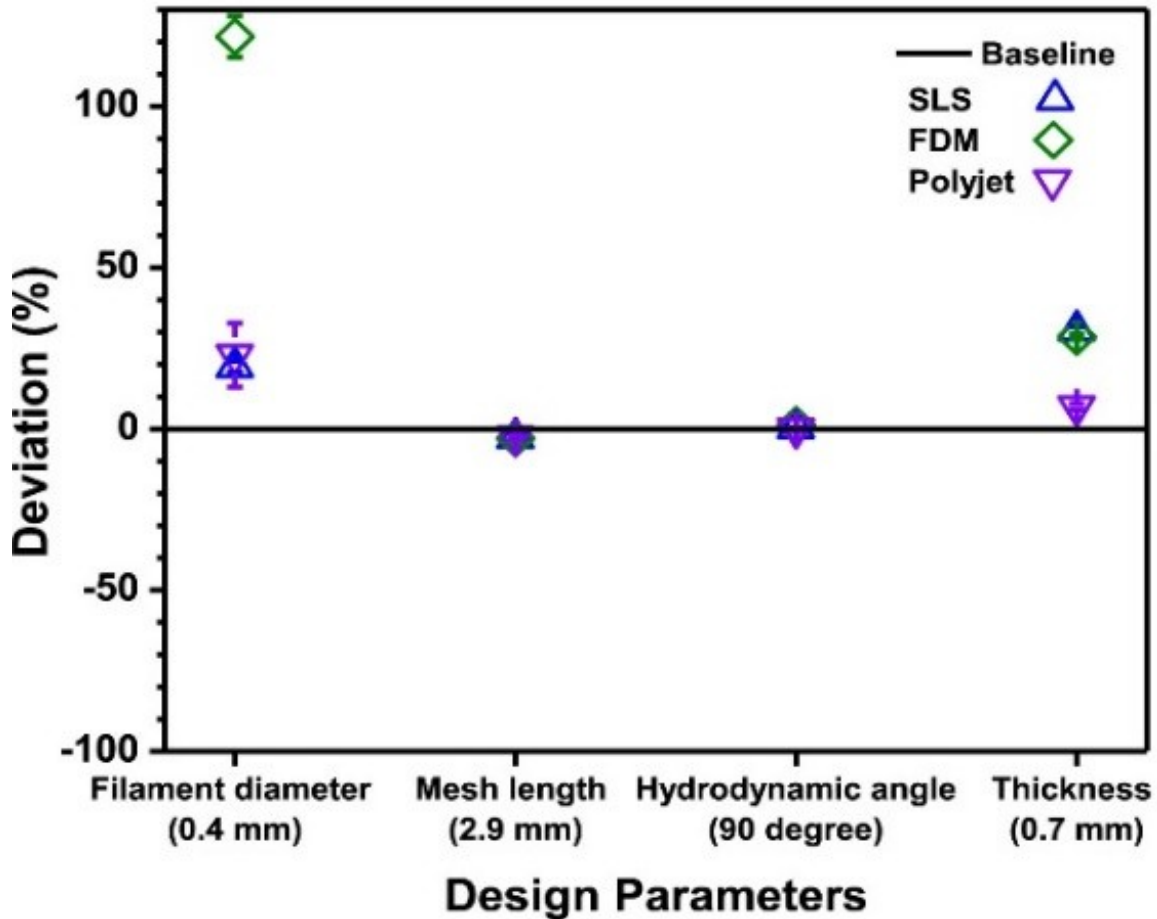
317 conventional extrusion relies on dies and restricts the ability to precisely
318 build filaments with different dimensional or morphological requirements
319 (without making a new dye for each set of dimensions). Conventional
320 extrusion is able to form a few shapes such as rods and films. However,
321 conventionally fabricated spacers have low horizontal resolution as they are
322 welded with extruded filaments. Although previous studies indicate the
323 possibility to control porosity of extruded products [70], it is difficult for most
324 extrusion techniques to form complex pore structures or geometries within
325 filament layers.

326 3D printing techniques enable the formation of far more complex
327 structures. The complexity of these structures is limited by the resolution.
328 However, based on the resolution level of various 3D printing methods and
329 spacer feature size range reported in our previous review paper [65], the
330 resolution requirement due to feature size could be met by at least one or
331 more 3D printing techniques. The feature size of the feed spacer discussed
332 here refers to the minimum of spacer grid size or the thickness of the spacer
333 filament.

334 3.2.1.2. Accuracy

335 Accuracy is defined as the structural deviation between the
336 manufactured part and the model. Accuracy is an important factor in spacer
337 manufacturing since the flow conditions in membrane modules are always
338 predicted by models. Therefore, it is particularly important for a
339 manufacturing method to make materials that could accurately represent

340 the dimensions interpreted in the model. In spacer manufacturing,
341 dimensional accuracy is particularly important as many feed spacers are
342 manufactured based on CFD models, where closeness of spacer
343 configuration to the simulated structure plays a key role in its performance.
344 Die swell often occurs in polymer extrusion, in which the polymer is
345 compressed to enter the die and partially expands and recovers to its
346 original shape after leaving the die due to the viscoelasticity of polymer
347 chains [71]. Therefore, the diameter of the extruded filaments is always
348 larger than the die size, and the swelling ratio depends on both polymer
349 physical properties (e.g., density, viscosity and molecular weight distribution)
350 [72] and extrusion conditions (e.g., die geometry, shear stress, shear rate
351 and temperature) [73]. As reported by Anand et al. [74], the swelling ratio of
352 PP extrudate is at least 1.4, which makes it difficult to maintain unique size
353 for each extruded filament for accuracy perspective. As reported by Tang et
354 al. [75], there are theoretical models that predict the swelling ratio, which
355 could be impacted by extrusion processing parameters (shear rate and
356 temperature), die geometry, characteristics of polymer (molecular weight
357 distribution) and filler. In terms of accuracy concern in 3D printing, Tan et al.
358 [76] demonstrated that material jetting (PJT) showed less deviation in spacer
359 structural parameters than powder-based or extrusion-based printing
360 techniques, as illustrated in Figure 2.



361

362 **Figure 2.** Deviation on spacer structural parameters for SLS, FDM and PJT
 363 [76]. This figure is best viewed in color.

364 3.2.1.3. Precision

365 Precision is defined as the structural difference between individually
 366 manufactured parts. Precision is an equally important metric in spacer
 367 fabrication as repeatability is a must for producing spacers with similar
 368 performance in SWE. When assessing the precision, tolerance is usually
 369 considered as an important standard to represent acceptable dimensional
 370 variation between printed products. The tolerance of FDM and SLA is around
 371 $\pm 0.15\%$, and SLS could reach a precision level of $\pm 0.3\%$. MJ has the highest
 372 tolerance level at $\pm 0.1\%$, indicating its precise manufacturing efficiency.

373 Conventional extrusion provides a relatively decent precision, as the
374 deviation in spacer filament thickness was reported to be less than 2% in a
375 commercial net-type spacer [46].

376 3.2.1.4. Manufacturing Speed

377 Extrusion is a continuous process that enables rapid spacer formation
378 in a roll-to-roll process. 3D printing is inherently batch, making its production
379 speed substantially lower. On the other hand, most 3D printing methods
380 need to achieve high quality in order to maintain details. Advances in 3D
381 printing have increased process speed. For example, increasing the travel
382 speed of the printer's nozzle could save significant amount of printing time.
383 When printing thicker filaments, using bigger nozzle or print head could
384 deposit greater quantities of materials. Printing a thicker layer with fewer
385 number of prints could also yield the same product with reduced printing
386 time. Maintaining a high temperature in the nozzle could enable "smooth
387 printing" and avoid issues such as filament grinding due to incomplete
388 melting of the filament [77].

389 3.2.1.5. Manufacturing Size

390 The size of the spacer is an important commercial viability metric as it
391 could directly impact the scalability of membrane modules. In terms of
392 manufacturing size, it is important to consider whether forming a large-scale
393 spacer is appropriate for a certain technique. The width of feed spacers is
394 usually relevant to the length of the membrane module. These elements can
395 be between several to 40 m² [10,78] in an industrial-scale membrane

396 module. The spacer size is usually relevant to the scale of the print bed.
397 Many spacers with 1 m width and 0.5-0.7 m length could be easily printed
398 out for an industrial-scale 8040 SWE. However, manufacturing size could
399 restrict many 3D printing techniques to make spacers for larger scale
400 modules that requires over 20m² area. Extrusion is good at manufacturing
401 large-scale filaments as there is little size limitation on the length of the
402 extruded filaments. Therefore, when welding these filaments together, the
403 width of the spacer structure is also unrestricted. However, many modules
404 are much smaller with the smallest typically being 1.8 inches in diameter
405 and 12 inches long. Therefore, most 3D printing techniques, such as PBF, VP,
406 FDM, have expanded their printing dimensions rapidly over the past few
407 decades.

408 3.2.1.6. Cost

409 Membrane sheet and spacers are two major costs in membrane
410 module, and both depend largely on their materials of construction. For
411 example, PVDF and PES UF membranes and polyamide RO SWEs sell for
412 about \$10-20/m² [79,80]. Based on a price quotation from Delstar in 2018,
413 31 mil mesh spacer costs \$0.35/linear ft and 80 mil rib spacer costs
414 \$1.3/linear ft. Wholesale membrane prices, which can run \$4-6/m² for RO flat
415 sheet membrane [81], are likely to drive the cost of a membrane module.
416 While exact market pricing and a breakdown of module costs based on
417 component is not publicly available, the spacer cost should not exceed the
418 membrane cost for a module. This is a substantial limitation of 3D printed

419 spacers. While cost data is not available for these newly developed spacers,
420 they are likely to be far more expensive than conventional spacers in the
421 near term.

422 In terms of module cost, a BW-4040 RO module could cost as little as
423 \$240 at \$30/m². An SW30-8040 RO module could cost as little as \$390 at
424 \$14/m². An TW30-2540 RO module could cost as little as \$170 at \$63/m² and
425 a TW30-1812 RO module only costs \$27 at \$84/m². Expensive 3D printed
426 spacers will raise the overall cost of membrane module. Therefore, whether
427 it is worthwhile to reduce the pressure drop or fouling to a certain level for in
428 increased module cost remains a question.

429 3.2.1.7. Structural strength

430 Spacer filaments must be elastic enough to be rolled or placed into a
431 module without breakage or fraying. The flexural modulus of PP, for example,
432 is approximately 1.5 GPa and its flexural strength is around 40 MPa [82],
433 which indicates that PP is an easily bendable polymer, but also vulnerable to
434 breakage under high stress. Compared with net-type spacers made of PP,
435 most 3D printed spacers with other materials show better flexural
436 performance. Some examples include [76]:

- 437 1. The ABSplus™ used for FDM printed spacers has a flexural strength of
438 65-75 MPa and flexural modulus of 1.7-2.2 GPa [83].
- 439 2. The EOS PA2200 for SLS printed spacers has a flexural modulus of 1.5
440 GPa [84].

441 3. The acrylic based monomer (VeroClear RGD810) used for PJT printed
442 spacers has a flexural modulus of 2.2-3.2 GPa and a flexural strength of
443 75-110 MPa [85].

444 In addition to mechanical properties, it is also important to control the
445 spacer porosity as higher porosity always leads to lower strength. Strength
446 could also be reduced by internal defects, surface fracture or material
447 anisotropy. Extrusion and subsequent welding may generate some structural
448 inhomogeneities and defects in the spacer filaments. For example, under
449 many circumstances, defects such as weld lines or internal pores are
450 commonly observed during welding. While extruding polypropylene and
451 polyethylene, melt fracture occurs as helical distortion on the filaments when
452 they exit the die at a high speed. Therefore, maximum extrusion speed for
453 most thermoplastic materials is usually below 750 rpm [86] to maintain
454 mechanical strength of the filament. The mechanical anisotropy frequently
455 observed in FDM printed parts is generally at the level of 50%, which could
456 increase the uncertainty of mechanical vulnerability and potentially damage
457 the spacer strength.

458 3.2.1.8. Surface Finish

459 A smooth surface finish on feed spacers is important since sharp-edged
460 or rough surfaces could damage the membrane surface. In conventional
461 extrusion, a rough surface is generally due to flow instability problems such
462 as melt fracture and sharkskin [87], which typically result from high shear
463 stress applied on the polymer and the loss of adhesion between polymer and

464 extruder wall [88,89]. According to the roughness measurement conducted
465 by Tan et al. [76], spacer surface with average roughness (Ra) values below
466 20 nm is considered smooth while Ra values higher than 100 nm are
467 considered rough. Products printed by photopolymerization techniques such
468 as SLA, DLP and PJT usually have smooth surface finishes due to the nature
469 of crosslinked epoxy materials. Parts printed by SLS exhibit relatively rough
470 or even porous surfaces due to the powder melting and sintering process.

471 3.2.1.9. Environmental and Safety Metrics

472 The manufacturing of polymeric feed spacers will inevitably generate
473 hazardous chemicals, including ultrafine particles (UFPs, particles less than
474 100 nm) and volatile organic compounds (VOCs). The extrusion of PP could
475 emit organic compounds such as pentane, propane and butane [90].
476 Compared with most 3D printing process, extrusion typically has high
477 production rate that requires large amount of PP pellets to be fed at the
478 same time. Since most hot-melt extruders have degassing screws to release
479 residual volatile vapors, oligomers or decomposed materials, use of large
480 quantities of organic compounds could impose safety and health risks for
481 employees and nearby environment. The subsequent welding of spacer
482 filaments has been reported to generate a range of other airborne
483 contaminants [91].

484 Most 3D printing processes also generate a variety of hazardous
485 chemicals. Studies have shown that the FDM printing of ABS filaments could
486 release UFPs [92] and VOCs (mainly styrene) [93]. In SLS, during the powder

487 delivery and sintering step it is easy for the operator to breathe in some
 488 powder dissipating in air. In VP processes, since large amounts of organic
 489 solvents are used for dissolving the resin, these volatile solvents could not
 490 only result in health risk, but also yield large quantity of toxic organic waste.
 491 During spiral wound module filtration, leaching of chemicals, especially
 492 surface coating from spacer into water could potentially release hazardous
 493 materials into the concentrate stream. Therefore, manufacturing safety
 494 infrastructure (i.e., ventilation, solvent capture systems, etc.), careful
 495 handling of chemicals, use of green solvents, and use of materials with
 496 reduced leaching are particularly important for the purpose of reducing
 497 health and environmental risks.

498 **Table 4.** Comparison on key metrics between 3D printing techniques and
 499 conventional extrusion

3D printing technique	Resolution	Accuracy	Material	Thickness per layer	Manufacturing speed	Manufacturing size	Reference
Conventional extrusion	sub-100µm	Dimensional tolerance of less than ±2% Die swell ratio of PP at least 1.4	Thermoplastics, metal, ceramic	N/A	Up to 750rpm for thermoplastics	No size limit	[Grida, 2003], [Liang, 2008], [Haidari, 2018]
SLS	70-100µm	Dimensional tolerance of ±0.3% Lower limit of ±0.3mm	Thermoplastics (Nylon), metal and ceramic powders	EOS GmbH: 0.06-0.15mm	EOS GmbH: 20mm/hr Up to 60mm/hr	Up to 750 x 550 x 500 mm	[Low, 2017], [Deckard, 1997]
SLA	Formlabs : 25-300µm Protolabs : X/Y: 200dpi	Dimensional tolerance of ±0.15% Lower limit of	Photopolymers	0.025mm-0.1mm Protolabs: 0.05mm	20-36mm/hr	Up to 1500 x 750 x 500 mm (industrial)	[Hull, 1984], [Low, 2017]

	Z: 62.5dpi 3D Systems: 50µm	±0.01mm					
DLP	Formlabs : X/Y: 35-100µm (depends on projector) Z: 25-300µm	Forecast 3D: ±0.05mm	Photopolymers	0.025mm-0.1mm	20-36mm/hr	192 x 120 x 230 mm	[Low, 2017]
FDM	10-300µm	Dimensional tolerance of ±0.15% Lower limit of ±0.2mm	Thermoplastics, polymer-based composites, ceramic slurries and clays, metal powders	Stratasys: 0.17mm - 0.33mm Ultimaker: 0.1mm-0.33mm	50-150mm/hr	Up to 1000 x 1000 x 1000 mm (industrial)	[Crump, 1992], [Low, 2017]
PJT/MJT	Stratasys : Z: 27µm Protolabs : XY: 305µm Z: 30µm	Stratasys: 14-600µm	Photopolymers	Stratasys: 14-28µm	17mm/hr	490 x 391 x 200 mm	[Stratasys, 2015]

500

501 3.2.1.10. Technology Readiness Levels (TRL)

502 As defined by NASA [94], the TRL represents a range of levels (0-9)

503 that estimates the commercial maturity of technologies. This standard

504 identifies level 0 indicates that a technology is entirely conceptual, while

505 level 9 indicates the technology is fully commercial and proven. Based on

506 this definition, conventional extrusion for spacers could be classified as level

507 9. Lezama-Nicolás et al. [95] reported the TRL of most 3D printing

508 techniques, such as those reportedly used for spacer prototyping (PBF, ME,

509 MJ, VP) fall in the range of level 6 to 7. The actual use of these techniques for

510 spacer manufacturing are somewhat lower (Level 3-6). This does make

511 comparison a bit difficult because some 3D printing techniques are not fully
512 commercial as of yet.

513 *3.2.2. Assessment of Manufacturing Techniques by Quantification* 514 *of Key Metrics*

515 In order to assess the overall spacer performance and commercial
516 viability of the conventional extrusion and 3D printing techniques, we ranked
517 each manufacturing method based on the aforementioned metrics in the
518 range of 0 to 5. Resolution, accuracy, precision, structural integrity and
519 surface finish were classified into spacer manufacturing benefits in actual
520 spacer applications while fouling/scaling, CP, pressure drop and cleanability
521 were considered as performance benefits in scientific publications. According
522 to Pratofiorito et al. [96], spacer fouling was quantified by the fouling layer
523 thickness (μm) per unit width of the spacer filament operated under 25 bar
524 and 0.2ms^{-1} crossflow velocity. As indicated by previous literature [97,98], CP
525 in spacer-filled channels could be quantified with CP modulus, as defined in
526 equation (1).

$$527 \quad M = \frac{c_m - c_p}{c_b - c_p} \quad (1)$$

528 Here c_m is the concentration on membrane surface, c_b is the bulk solution
529 concentration and c_p is the permeate concentration. The pressure drop is
530 quantified by normalizing the pressure drop with spacer length (or pressure
531 gradient, kPa/m)[98]. The commercial viability metrics included cost, TRL,
532 printing speed, size and environmental and safety. Levels of each metric are

533 defined in Table 5. Assessment of all the manufacturing techniques in terms
 534 of key metrics levels were summarized in Table 6.

535

536 **Table 5.** Definition of each level of the metrics

Level	0	1	2	3	4	5
Resolution	Unable to control dimension at all	Able to form basic structures, such as pores (lower than 1 mm)	Lower than 80 μm	30-80 μm	Able to form smooth surface and sub-30 micron scale features	Able to form nanometer scale features
Accuracy	Unable to reach designed shape at all	Able to form general shape of the design, dimensional deviation larger than 50%	Dimensional deviation between 20%-50%	Dimensional deviation between 2%-20%	Dimensional deviation between 0.5%-2%	Dimensional deviation under 0.5%
Precision	Unable to form similar shapes	Able to form a certain shape, but with different dimensions	Able to form similar shapes with dimensional variation between 2-10%	Dimensional variation between 0.5-2%	Dimensional variation between 0.2%-0.5%	Dimensional variation under 0.2%
Structural integrity	Unable to form intact structure	Able to form structure, but deforms after manufacturing	More than 50% lower modulus or strength than parts made by the same material	5-20% lower modulus or strength than parts made by the same material	Similar modulus or strength as parts made by the same material	Higher modulus or strength as parts made by the same material
Surface finish	Roughness largely affects shape	Basic shape formed, but roughness at mm level	Roughness at micron level	Roughness between 100 nm-1 μm	Roughness between 10-100 nm. Could see roughness under microscope	Roughness below 10 nm
Fouling/	Fouling	Fouling	Fouling	Fouling	Fouling	Fouling

scaling control	layer thicker than 100 μm	layer 50-100 μm	layer 20-50 μm	layer 10-20 μm	layer 5-10 μm	layer thinner than 5 μm
Concentration polarization	CP modulus higher than 2	CP modulus 1.5-2	CP modulus 1.3-1.5	CP modulus 1.1-1.3	CP modulus 1.05-1.1	CP modulus below 1.05
Pressure drop	Pressure gradient higher than 100	Pressure gradient 80-100	Pressure gradient 60-80	Pressure gradient 30-60	Pressure gradient 10-30	Pressure gradient below 10
Cleanability	Irreversible and irrecoverable fouling	Irreversible fouling. Could be cleaned by chemical cleaning	Irreversible & reversible fouling, irreversible-dominant. Cleaned by long-term physical cleaning	Reversible dominant fouling. Cleaned by physical cleaning	Foulants only on the surface. Minor physical cleaning	No need to clean at all
Cost	Over \$100/ m^2	\$60/ m^2 - \$100/ m^2	\$30/ m^2 - \$60/ m^2	\$10/ m^2 - \$30/ m^2	\$5/ m^2 - \$10/ m^2	Under \$5/ m^2
TRL	0-2	2-3	3-5	5-7	7-8	9
Printing speed	Under 1 cm/hr	1 cm/hr-2 cm/hr	2 cm/hr-6 cm/hr	6 cm/hr-10 cm/hr	10 cm/hr - 1 m/hr	Above 1 m/hr
Size	Under 10 cm^2	10 cm^2 -100 cm^2	100 cm^2 - 500 cm^2	500 cm^2 - 1000 cm^2	1000 cm^2 -2 m^2	Above 2 m^2
Environmental and safety	Large amount of unrecyclable hazardous waste, UFPs and VOCs. Unsafe to operate with PPE.	Some unrecyclable hazardous waste, UFPs and VOCs. Safe to operate with PPE.	Reduced amount of recyclable waste, UFPs and VOCs. But needs PPE and takes long time to clean the machine.	Minor amount of recyclable waste, UFPs and VOCs. Needs PPE and cleaning after operation.	Trace amount of recyclable waste. Needs to wipe the machine after operation.	No waste and hazardous chemicals produced at all.

537

538 **Table 6.** Key metrics of each manufacturing techniques in terms of (a).
539 manufacturing benefits and (b). performance benefits and (c). commercial
540 viability of each manufacturing method.
541

Manufacturing technique	Resolution	Accuracy	Precision	Structural integrity	Surface finish	Manufacturing benefits	Relative manufacturing benefits
--------------------------------	-------------------	-----------------	------------------	-----------------------------	-----------------------	-------------------------------	--

Conventional extrusion + welding	2	2	3	2	3	12	0
SLS	3	5	4	4	4	20	0.6667
SLS (15 years ago)*	3	4	4	3	3	17	0.4167
SLA	4	5	5	5	5	24	1
DLP	4	5	5	5	5	24	1
FDM	4	5	5	4	5	23	0.9167
PJT/MJT (a)	4	5	5	5	4	23	0.9167

542

Manufacturing technique	Fouling/scaling control	Concentration polarization	Pressure drop	Cleanability	Performance benefits	Relative performance benefits
Conventional extrusion + welding	2	2	3	3	10	0
SLS	4	4	4	4	16	0.6
SLS (15 years ago)*	3	3	4	3	13	0.3
SLA	4	4	3	4	15	0.5
DLP	4	4	3	4	15	0.5
FDM	4	5	2	4	15	0.5
PJT/MJT (b)	4	3	3	4	14	0.4

543

544

545

Manufacturing technique	Cost	TRL	Speed	Size	Environmental and safety	Commercial viability	Relative commercial viability
Conventional extrusion + welding	5	5	5	5	2	22	1
SLS	3	3	2	4	2	14	0.6364
SLS (15 years ago)*	2	2	2	3	2	11	0.5

SLA	2	3	2	4	2	13	0.5909
DLP	2	3	2	3	2	12	0.5455
FDM	2	3	4	4	2	15	0.6818
PJT/MJT	2	3	1	4	2	12	0.5455

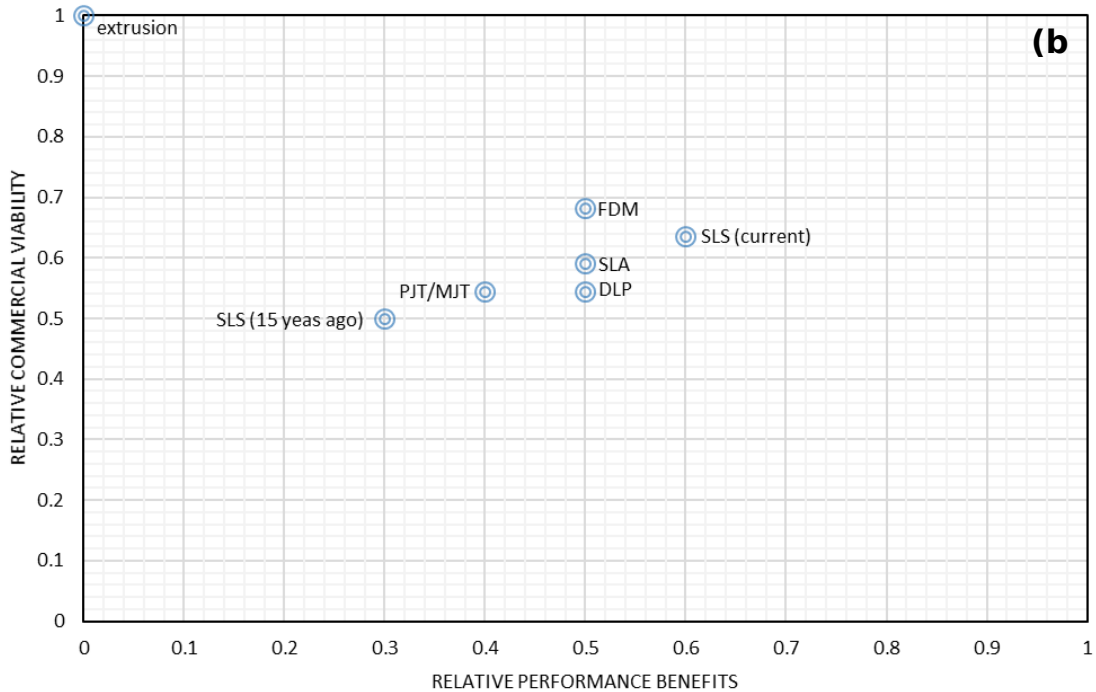
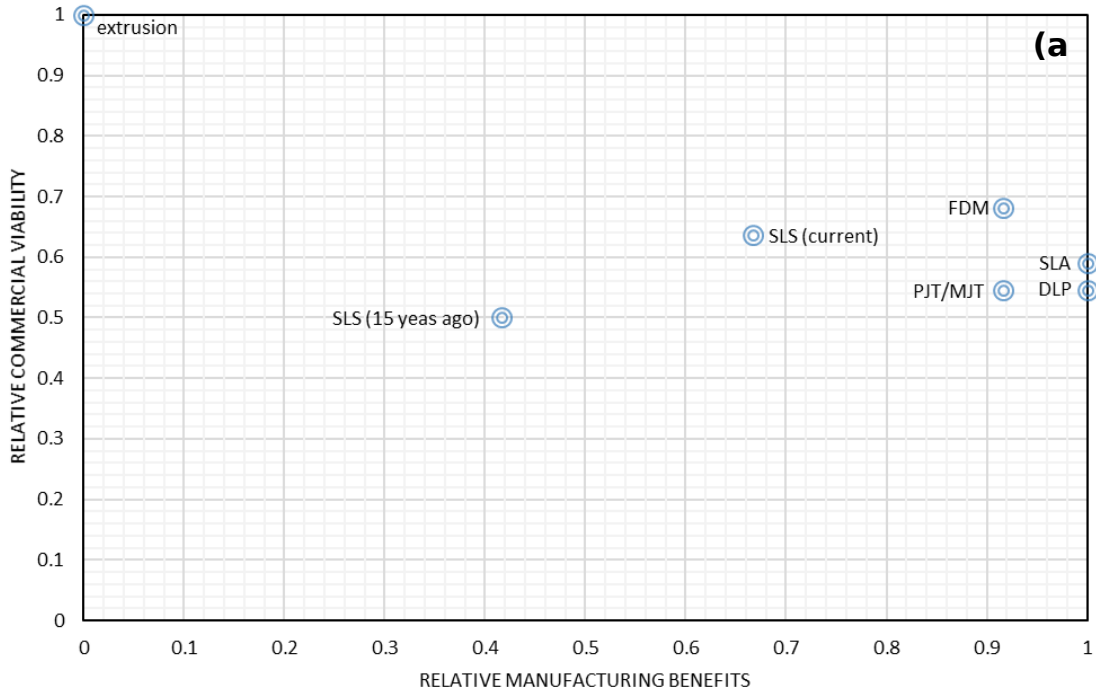
546

547 Note: This is a snapshot in time and will change over time.

548 * The benefits and commercial viability were evaluated based on the SLS
549 spacers printed by Li et al. [44] and Blaster et al. [99].

550

551 A single quadrant chart displaying spacer performance and
552 manufacturing benefits against commercial viability for all manufacturing
553 techniques was shown in Figure 3. Conventional extrusion is far more viable
554 than the 3D printing techniques, but it exhibits less ability to build complex
555 spacer structures. We also recognize that this chart is a snapshot of time and
556 the benefits and commercial viability of all 3D printing methods are expected
557 to improve in the future, as demonstrated by the progress in SLS. There are
558 some other non-quantifiable metrics, such as logistics, and material
559 availability, that may depend on location.



560

561 **Figure 3.** 4-quadrant chart showing relative commercial viability versus
 562 relative manufacturing (a) and performance benefits (b) and of different
 563 spacer manufacturing techniques. Relative values were calculated based on
 564 the benefits and commercial viability of conventional extrusion set at (0,1).
 565 This figure is best viewed in color.

566

567 4. 3D Printed Spacers

568 This section will summarize recent studies on using a variety of 3D
 569 printing techniques in printing functional feed spacers for various membrane
 570 applications. Table 7 summarizes the application, geometry, material and
 571 printing method of various 3D printed feed channel spacers reported in
 572 recently published papers.

573 **Table 7.** Application, material, printing technique and geometry of various
 574 3D printed feed
 575 channel spacers.

Application	Spacer geometry	Material	Printing technique	Remarks	Reference
Membrane separation	Modified filaments, twisted tapes, multilayer spacers	N/A	SLS	The optimal multi-layer spacer showed 30% higher Sherwood number and only 40% of the power consumption compared with optimal non-woven spacer.	[Li, 2005], [Li, 2003]
Electrodialysis desalination	Single and multilayer spacers with various filament geometries	N/A	SLS	Single layer spacer with 60° rectangular twisted filament had the highest mass transfer. Hybrid multi-layer spacer had 20% higher mass transfer than standard non-woven spacers.	[Balster, 2006]
RO, UF	TPMS	Polyamide 2202	SLS	The incorporation of TPMS spacers enhanced flux, reduced biofouling and pressure drop.	[Sreedhar, 2018]
MD	TPMS	Polyamide 2202	SLS	3D printing different TPMS spacers to control scaling in MD.	[Thomas, 2019]
MD	TPMS	Polyamide 2202	SLS	The best TPMS spacers had 60% higher water flux and 63% higher heat transfer coefficient.	[Thomas, 2018]
MD	TPMS	Polyamide 2202	SLS	The 3D Gyroid spacer showed improved flux, 85% water recovery and the best organic fouling mitigation capacity.	[Castillo, 2019]
MD	TPMS	Polyamide	SLS	There was a marginal flux	[Thomas,

		2202		improvement (up to 17%) by installing the Schwartz P style TPMS spacer in AGMD. However, a pronounced pressure drop decrease of 50% was achieved.	[2021]
UF	TPMS	Polyamide 2202	SLS	Thicker tCLP-TPMS spacers still exhibited 16.67% lower fouling resistance and 13.33% lower membrane cleaning resistance than the thinner net spacers.	[Sreedhar, 2020]
Wastewater treatment	Net-type	PP	SLS	Higher printing energy induced stronger mechanical properties but lower accuracy of spacers.	[Tan, 2016]
NF	Honeycomb-shaped hexagonal	Nylon powder	SLS	A thinner fouling layer with higher turbulent kinetic energy was formed on the hexagonal spacer, which achieved a flux increase of 26.4%.	[Park, 2021]
MF	Hill like, wavy, perforated	Polyamide	SLS	The wavy spacer with perpendicular flow direction enabled higher turbulence kinetic energy and surface shear rate than the hill-like spacers. At high permeate fluxes (40 and 60 LMH) the spacer perforated with small holes (1 mm) exhibited 25% lower fouling rate than non-perforated spacers.	[Tan, 2019]
Wastewater treatment	Net-type	Polyamide-12 (PA 2200)	SLS, FDM, Polyjet	All 3D printed spacers showed higher mass transfer than commercial spacers. Compared the impact of 3D printing technique on membrane performance and fouling.	[Tan, 2017]
RO, UF	Ladders, herringbones, helices	ABS	FDM	High mass transfer coefficients observed on all three types of 3D printed spacers.	[Shrivastava, 2008]
FO	Diamond-shaped	ABS, PP and PLA	FDM, Polyjet	Compared impact of AM technique and material on printing precision, mechanical properties, filtration performance and fouling resistance of spacers.	[Yanar, 2018]
FO	Diamond-shaped, hexagonal	PP	Polyjet	The hexagonal spacers could reduce reverse solute flux by 50%, improve flux and	[Yanar, 2020]

				antifouling performance.	
RO, NF	Diamond-shaped spacers with modified filament angle and mesh size	Urethane acrylate polymer	Polyjet	Both numerical modelling and MFS studies indicated 3D printed spacers had lower pressure drop and low biofouling impact on performance.	[Siddiqui, 2016]
UF	Double-helix form twisted filament	N/A	Polyjet	The novel spacers showed enhanced mass transfer and selectivity in crossflow condition.	[Fritzman, 2014]
UF	Net spacers with 1,2,3 helices along the filament	Acrylate monomer, BV-007	DLP	3-helical spacers showed lower pressure drop, higher average specific flux and best fouling mitigation performance.	[Kerdi, 2020]
Submerged membrane filtration systems	Double-helix form twisted filament	N/A	Polyjet	The novel spacers showed improved flux, reduced aeration rate and higher antifouling efficiency.	[Fritzman, 2013]
MF/UF	Herringbone, TPMS gyroid	Visijet M3 Crystal (UV curable plastic)	Multijet	The TPMS gyroid spacer showed 81% and 93% flux enhancement in blood and plasma mimicking solution tests and 23% higher pressure drop.	[Dang, 2021]
UF	Static mixing spacer design	N/A	SLA	The novel spacer design could help improve mass transfer and reduce pressure drop.	[Liu, 2013]
UF	1-hole, 2-hole and 3-hole perforated spacers	Liquid resin (acrylate monomer, BV-007)	DLP	1-hole spacer provided 75% flux enhancement and fouling reduction. 3-hole spacer provided 54% less pressure drop at the cost of smaller flux increase.	[Kerdi, 2018]
FO	Hole-type spacers	Liquid resin (acrylate monomer, BV-007)	DLP	Perforated spacer was found to increase the permeate flux slightly more than standard spacer. Perforated spacer exhibited severer flux decline and better pressure drop control than standard spacer.	[AlQattan, 2021]
UF	Spacers with cylindrical column type nodes	Liquid resin (acrylate monomer, BV-007)	DLP	The column spacers created wider clearance zone, which induced twice higher flux, two folds of energy consumption reduction and three times lower pressure drop compared with standard	[Ali, 2019]

				spacers.	
FO	Turbospacers composed with microturbines	acrylate monomer (BV-007)	DLP	The turbospacers showed approximately 15% lower flux decline, 2.5 times lower foulant resistance and 2 folds less pressure drop compared with standard spacers.	[Ali, 2021]
UF	Turbospacers composed with microturbines	acrylate monomer (BV-007)	DLP	The turbospacers showed 4 times lower pressure drop, over 3 times higher specific permeate flux and 2.5 folds lower specific energy consumption than standard spacer.	[Ali, 2020]

576

577 4.1. SLS Printed Spacers

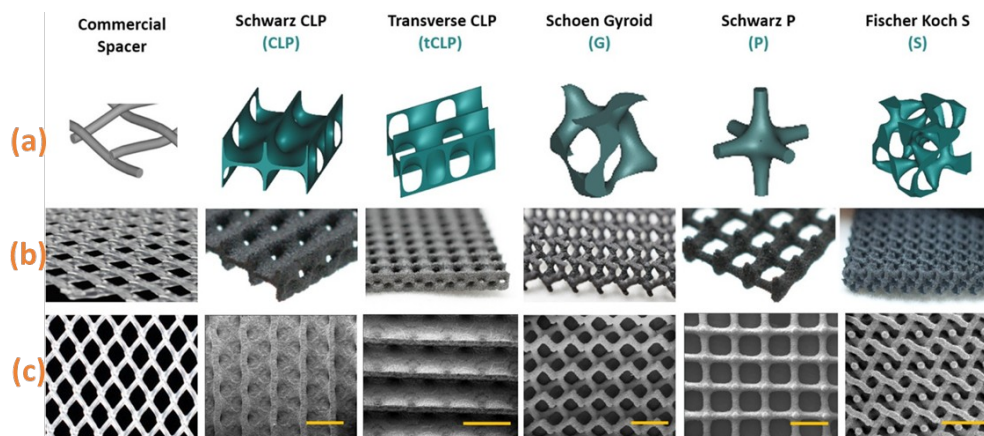
578 The first 3D printed feed spacer was made and tested by Li et al.
579 [44,100] via SLS. In this work, intricate geometries were embedded into 2
580 layers of nonwoven net spacer meshes to form a multi-layer spacer
581 structure. The authors compared the mass transfer enhancement of these
582 spacer structures experimentally and also concluded that CFD simulation of
583 these complex spacers were unreliable due to their complex geometry.
584 Additionally, the 3D printed multi-layer spacer comprising non-woven nets as
585 outer layer and twisted structure as middle layer displayed 30% higher
586 Sherwood number at the same power consumption level and 60% lower
587 crossflow power consumption at the same mass transfer compared with
588 optimal non-woven spacer. This is the earliest research that relied on 3D
589 printed multi-layer spacers with experimentally confirmed higher mass
590 transfer and lower power consumption, which avoids the dependence on CFD
591 simulation when a novel spacer design is proposed.

592 Blaster et al. [99] investigated the mass transport behavior of both
593 single and multi-layer SLS printed spacers to decrease CP in electro dialysis
594 desalination. Single layer spacers were printed by Li et al. [44] via SLS and
595 they were also assembled into multi-layer spacers for mass transport
596 studies. The influence of spacer geometry, including the filament angle,
597 shape and flow attack angle was studied for the purpose of optimizing the
598 structure of single spacers. For single-layer spacers, due to the creation of
599 swirling flows, the 3D printed spacer with filament angle of 60° in a
600 rectangular twisted shape displayed the highest mass transfer. The multi-
601 layer spacers combining middle spacer with round filaments and a flow
602 attack angle of 45° with two thin net spacers on the outside, exhibited 40%
603 higher enhancement in mass transfer than a 3D printed single spacer.
604 However, the authors also found multi-layer spacers incorporating the same
605 outer layers, but a commercial non-woven spacer as mid-layer showed the
606 same mass transfer enhancement, but 30 times lower power consumption
607 compared with the multi-layer spacer mentioned above. This study
608 successfully tackled the problem of CP in electro dialysis by incorporating
609 multi-layer spacers consisting various spacer assembly. However, it did not
610 conduct the CFD simulation to model the flow pattern inside the multi-layer
611 spacers, which is important in studying the impact of spacer geometry on
612 mass transfer. It is also interesting to study whether these spacers could
613 impact the ion transport or their impact on the boundary layer in
614 electro dialysis.

615 Sreedhar et al. [46] designed novel spacers structures based on
616 previously optimized TPMS mathematical architectures for the purpose of
617 flux improvement and fouling mitigation in UF and RO processes. TPMS is a
618 mathematically design surface developed by Schwarz [101,102] with
619 minimal surface area at a given boundary. The TPMS spacers with various
620 structures used in this research are shown in Figure 4. There is no self-
621 intersection and any enfolded surface in its internal channels, which is
622 beneficial for mass transfer and could help enhance the flow. The spacers
623 with 3 different TPMS structures were printed via SLS converted from
624 predesigned CAD files. The printed spacers were subsequently placed on the
625 feed side of the commercial polyamide and polyethersulfone membranes.
626 Control samples were made by incorporating commercial net spacer on the
627 same membranes. The membranes with spacers printed in Gyroid structure
628 exhibited an 15.5% and 38% higher flux than those used with a commercial
629 spacer in brackish water RO and UF tests, respectively. In the biofouling test
630 compared with commercial spacer, this spacer showed 91% reduction in
631 cells attached to membrane surface. This work utilizes the ability of 3D
632 printing to form a theoretically optimized structure and offers a great
633 potential for a variety of TPMS structures in feed spacer applications. Thomas
634 et al. [5] demonstrated the success of the TPMS spacers in tuning the flux-
635 pressure drop tradeoff relation in membrane distillation by forming new
636 spacers from the combination of tCLP and Gyroid TPMS structures. Since
637 pristine tCLP spacer enhanced flux at the cost of tremendously increasing

638 pressure drop, the authors formed the hybrid spacer with tCLP structure in
639 the midsection and the Gyroid structure at the ends. The hybrid spacer
640 exhibited similar flux enhancement with higher antifouling ability and 60%
641 reduction in pressure drop compared with the tCLP spacer. In another work
642 [45] the authors compared five novel TPMS spacers with commercial spacer
643 in terms of water flux and heat transfer in direct contact membrane
644 distillation (DCMD). They observed the tCLP spacer, with the highest surface
645 area to volume ratio, induced highest turbulence and exhibited 60% higher
646 water flux with 63% higher film heat transfer coefficient compared with the
647 commercial spacer. In another study [47], the same research group
648 demonstrated the 3D printed TPMS spacers only presented 17% flux
649 improvement in air gap membrane distillation (AGMD). However, compared
650 with commercial net spacer, a 50% lower pressure drop was observed by
651 installing the Schwartz P style TPMS spacer in AGMD, which indicates the
652 opportunity to simultaneously enhance flux and reduce the operating energy
653 cost in an MD plant. Thomas et al. [103] compared the antiscaling
654 performance of 3D printed polyamide TPMS (Gyr-tCLP hybrid) spacers coated
655 by fluorinated silica (FS) and a variety of graphene oxide or graphene
656 nanosheets. In the DCMD experiment it was found out that the FS surface
657 coating resulted in the largest enhancement in antiscaling performance with
658 a 74% and 60% reduced scalant attachment on the spacer and membrane,
659 respectively. The minimized scaling was mainly attributed to the increased
660 spacer surface roughness, which consequently led to higher hydrophobicity

661 and reduced surface-free energy to weaken the scalant-spacer surface
 662 interaction. In another work [48] they compared the fouling and cleaning
 663 efficiency of commercial net-type PP spacers with 3D printed tCLP TPMS
 664 spacers. Although the tCLP spacers were printed with higher thickness of 2.3
 665 mm, they still exhibited approximately 16.67% lower fouling resistance and
 666 13.33% lower membrane cleaning resistance than the 1.2 mm net spacers.
 667 This result suggests that the spacer design could impact the shear stress in
 668 spacer-membrane contact area and consequently influence the membrane
 669 fouling and cleaning efficiency. In another paper Castillo et al. [104] studied
 670 the impact of the tCLP and Gyroid TPMS spacers on organic fouling mitigation
 671 in direct contact MD (DCMD). The authors found out both TPMS spacers could
 672 greatly enhance the permeate flux (up to 200%) compared with 30-70% flux
 673 enhancement by the commercial spacer. The 3D printed Gyroid spacer
 674 exhibited only 12% flux decline in organic fouling test and 85% water
 675 recovery, which was primarily attributed to the tortuous internal geometry of
 676 this Gyroid design that can repel the foulants.



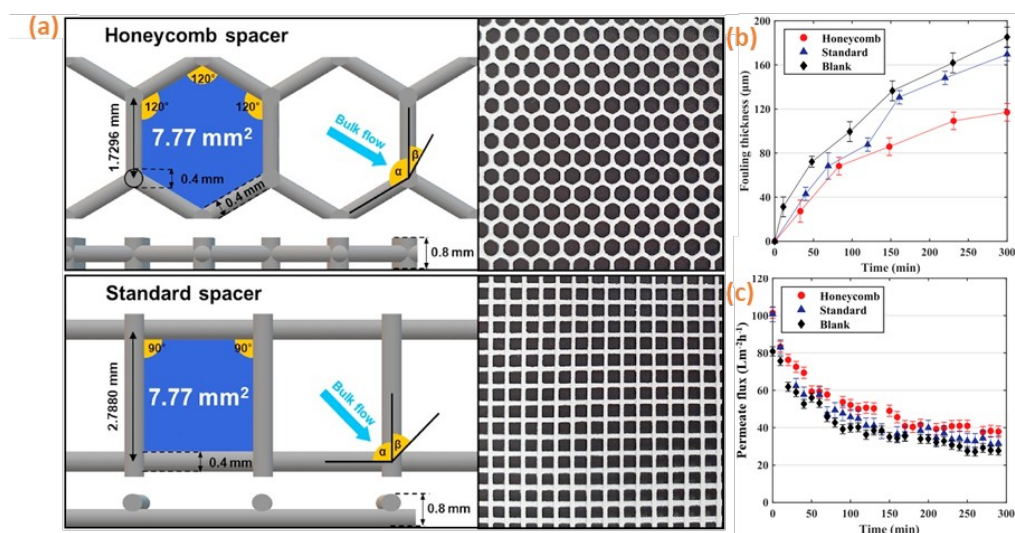
677

678 **Figure 4.** 3D printed TPMS feed spacers in different structures: (a) Volume
679 element; (b) Photographs and (c) Top view SEM images. Reproduced from
680 [45]. This figure is best viewed in color.

681 Tan et al. [105] investigated impact of printing conditions on the
682 mechanical properties of SLS printed polypropylene (PP) net-type spacers. In
683 order to avoid damages on both themselves and the membrane surfaces
684 during the rolling-up process of the spiral wound module, spacers with high
685 ultimate strength, considerable Young's modulus and small dimensional
686 variation are preferred. Their work indicated that Young's modulus and
687 ultimate strength of the printed net-type samples show a positive correlation
688 with the printing energy density. However, when higher energy density was
689 applied, larger dimensional variations appeared on both spacer height (40-
690 100%) and filament diameter (100-300%). The structural inaccuracy will not
691 only impact the mechanical properties, but also, spacer surface finish and
692 their performance in the spiral wound module.

693 Park et al. [106] demonstrated SLS printed honeycomb-shaped
694 hexagonal spacers (Figure 5(a)) could effectively mitigate fouling in NF spiral
695 wound module. It is demonstrated in Figure 5(b) that compared with the SLS
696 printed diamond-shaped standard spacers where a thick fouling layer of
697 175.5 μm was observed, the fouling layer formed on the hexagonal spacers
698 structured as a unique mountain shape. This unique shape of the fouling
699 layer also came with higher turbulent kinetic energy that reduced CP.
700 Therefore, under high fouling conditions, the use of hexagonal spacers could

701 achieve a flux increase of 26.4% compared with only 9% flux increase by
 702 installing the standard spacers, as illustrated in Figure 5(c).

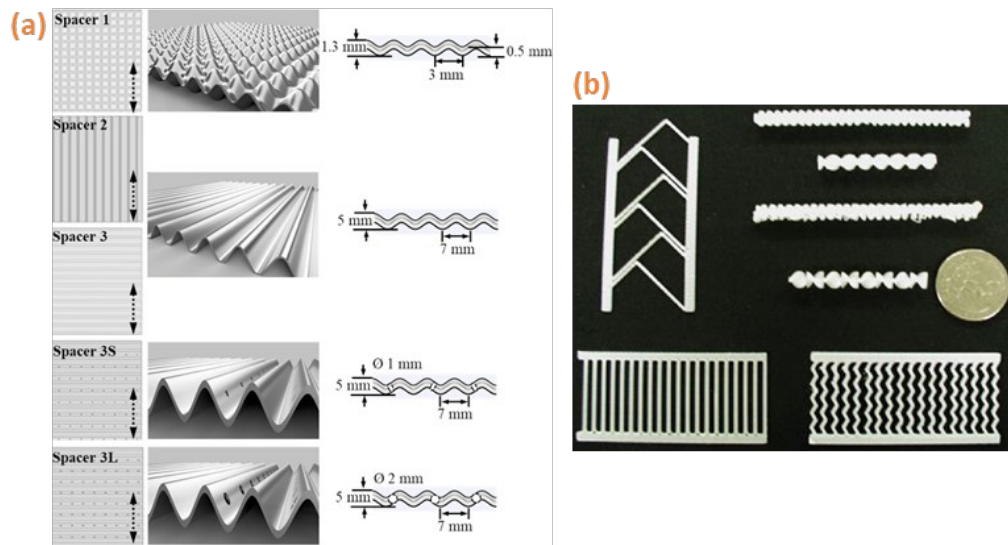


703

704 **Figure 5.** (a) 3D printed hexagonal honeycomb spacer and standard spacer.
 705 Comparison on (b) fouling layer thickness and (c) permeate flux of the spiral
 706 wound module with honeycomb-shaped spacer (red), standard spacer (blue),
 707 and empty feed channel (black) under high fouling conditions: (CaCl₂: 2 g/L;
 708 humic acid: 20 mgC/L; pressure: 20 bar; velocity: 0.35 m/s). Reproduced
 709 from [106]. This figure is best viewed in color.

710 Tan et al. [107] studied the fouling mitigation of various 3D printed
 711 vibrating spacers in a submerged flat-sheet membrane MF system. Hill-like
 712 spacer and wave-like spacers with different feed flow orientation and
 713 perforation sizes were printed by an SLS printer, as shown in Figure 6(a). The
 714 wavy spacer with perpendicular flow direction were superior to a hill-like
 715 spacer and away spacer with parallel flow direction as the perpendicular
 716 flow enabled higher turbulence kinetic energy and surface shear rate in the
 717 wavy structure. In terms of spacer perforation, perforated spacers did not
 718 show obvious enhancement at low permeate flux (20 LMH) with low fouling
 719 extent. However, at high permeate fluxes (40 and 60 LMH) the spacer

720 perforated with small holes (1 mm) exhibited approximately 25% lower
721 fouling rate than non-perforated spacers.



722

723 **Figure 6.** (a) Spacer 1: SLS printed hill-like spacer. Spacer 2, 3: SLS printed
724 non-perforated wavy-like spacers with different orientation to feed flow.
725 Spacer 3S, 3L: SLS printed feed spacers with 1 mm (spacer 3S) and 2 mm
726 (spacer 3L) perforation. Reproduced from [107]. (b). FDM printed
727 herringbone and helically shaped spacers. Reproduced from [108]. This
728 figure is best viewed in color.

729 4.2. FDM Printed Spacers

730 Shrivastava et al. [108] printed ladder-shaped, herringbone-shaped
731 and helically shaped spacers via FDM, as shown in Figure 6(b). The purpose
732 of their work was to explore the effect of each spacer shape on CP in UF and
733 RO processes and propose a reliable guide for designing better spacers. The
734 authors demonstrated large mass transfer coefficients observed in their
735 electrochemical measurements of mass transfer on all three types of
736 spacers. The results of ladder-shaped spacer showed little derivation from
737 the CFD simulation, but the herringbone and helical shaped spacers
738 produced large discrepancies between the electrochemical measurements

739 and their CFD simulation. This also brings a further question that the current
740 measurements in electrochemical measurements may not be directly
741 translated to UF and RO since they are flow processes rather than diffusion
742 processes.

743 *4.3. Polyjet/Multijet Printed Spacers*

744 Yanar et al. [109] compared the mechanical properties, water flux,
745 reverse solute flux and fouling behavior of 3D printed honeycomb spacers
746 with commercial PP spacers in forward osmosis (FO). The PP spacers were
747 printed by a Polyjet printer and the PLA and ABS spacers were printed by a
748 FDM printer. The authors looked into the dimensional derivation of these
749 spacers by comparing them to the thicknesses designed in the CAD files. The
750 ABS spacer was found to have the worst precision with a 11.93% higher
751 thickness (962.6 μm) due to its well-known swelling behavior. The PP spacer,
752 with a thickness of 835.2 μm , also displayed some deviation due to material
753 shrinkage during Polyjet printing. The PLA spacer showed only 0.34% width
754 deviation and a thickness of 857.1 μm and it also showed the highest yield
755 strength of 0.433 MPa. The PP, PLA and commercial spacers all showed
756 strong elasticity during the tensile test, while the ABS spacer demonstrated
757 plastic behavior. In terms of water and reverse solute flux, the ABS spacer
758 was found to have higher water flux (2.52 gMH) and similar solute flux as the
759 commercial spacer due to its smooth surface finish. Although PP and PLA
760 spacers exhibited lower water flux than commercial and ABS spacers, they
761 demonstrated much better membrane fouling performance. Recently the

762 same group incorporated Polyjet printed PP spacers in flat sheet FO
763 membranes to reduce membrane surface shear stress, which could assist in
764 reduction of fouling and reverse solute flux [110]. The authors printed two
765 hexagonal honeycomb spacers with vertical and horizontal orientation to
766 compare their performance in FO. They found out the vertically oriented
767 honeycomb spacers exhibited identical shear stress in all the three directions
768 while the horizontally oriented spacer had uneven shear stress distribution
769 on its filaments. Therefore, vertically oriented spacer exhibited 50% reverse
770 solute flux reduction and 56% less foulant adhesion than horizontally
771 oriented spacers. Their research indicates that selection of printing condition
772 and spacer material are important in spacer performance as polymeric
773 materials shrink or swell differently in various condition, which can result in
774 different surface finish and dimensional accuracy. Their paper also suggested
775 that in terms of spacer geometry, other than macroscopic shape, filament
776 orientation also matters in membrane performance since it can directly
777 affect the surface stress distribution.

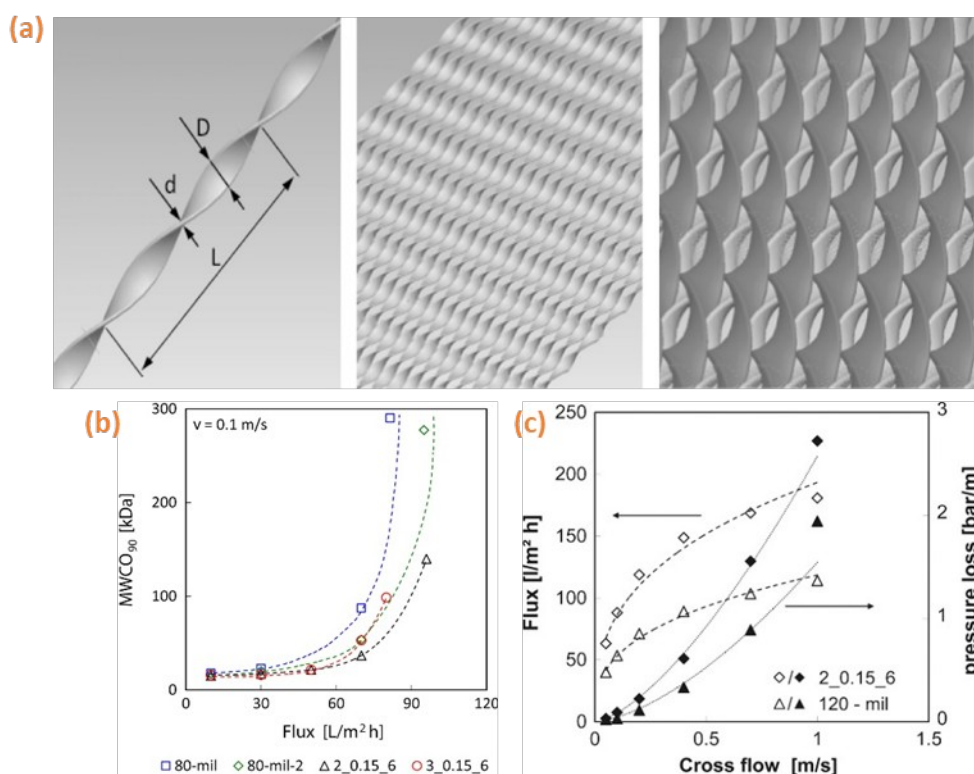
778 Siddiqui et al. [111] compared the 3D printed mesh-like diamond
779 shape feed spacers with conventional spacers based on the combined
780 analysis of numerical modeling and experimental membrane fouling
781 simulator (MFS) studies. One set of spacers were printed via Polyjet printing
782 following the same geometry of conventional spacer. Another set was Polyjet
783 printed with the same thickness but larger mesh-size and smaller filament
784 angle. The hydrodynamic behavior of the spacers was numerically modeled

785 by COMSOL and the fouling behavior was studied via a specific MFS setup.
786 The conventional spacer and the 3D printed spacer with the same geometry
787 displayed similar hydrodynamic and biofouling behavior based on the
788 numerical modeling and MFS results. The 3D printed spacer with modified
789 geometry displayed a 60% lower pressure drop at a specific flow rate in the
790 hydrodynamic modeling and MFS test. MFS test also showed that at a fixed
791 biomass accumulation the modified 3D printed spacers had 34% lower
792 pressure drop, indicating lower impact of biofouling on the spacer. This study
793 successfully demonstrated a precise way to form a desired geometry via
794 Polyjet printing and proposed a numerical-experimental combined strategy in
795 developing spacers in other applications such as FO and MD.

796 Fritzmann et al. [4] printed microstructured spacers (MSS) with twisted
797 double-helix filament (Figure 7(a)) and compared it with commercial net
798 spacers for the purpose of understanding the impact of spacer geometry on
799 UF process. The spacers were printed via Objet rapid prototyping, a typical
800 Polyjet technology and they comprised two layers of double-helix form
801 filaments partially fit into each other in opposite twist orientation with 80%
802 porosity. The 3D printed spacers exhibited improved mass transfer
803 performance and a 50% higher flux than net spacers at the cost of higher
804 pressure drop in crossflow condition, as shown in Figure 7(c). As indicated in
805 Figure 7(c), the printed spacers showed flux between 70-180 LMH and
806 pressure loss from 0-240 bar/m with 0-1 m/s flow rate. In Figure 7(b) the
807 printed spacers show lower $MWCO_{90}$ than the net spacers. Since higher flux

808 yielded stronger CP, the MWCO of dextran solution increased substantially
809 for all spacers types. As a comparison, Kerdi et al. [112] printed net-type
810 spacers composed of 1,2,3 helices along the filaments via DLP (79.5%,
811 79.4% and 79.1% porosity respectively). Increase in number of helices was
812 found to enhance the flux and lower the pressure drop. The 3-helical spacer
813 was found to have 640 LMH/bar average specific flux at 0.162 m/s flow rate
814 and 430 LMH/bar average specific flux at 0.188 m/s flow rate. When the flow
815 rate increased from 0.009 m/s to 0.304 m/s, the 3-helical spacer had
816 pressure drop increased from 20.9 Pa/m - 63,615 Pa/m, which was lower
817 than spacers with 1 or 2 helices. The OCT images also demonstrated that the
818 3-helical spacers perform the best (bio)fouling mitigation than spacers with
819 fewer helices. In another paper, Fritzmann et al. demonstrated the use of
820 these Polyjet printed MSS spacers in flat sheet submerged membrane
821 bioreactors (MBR) and studied the reduction in air sparging, energy
822 consumption and membrane fouling [113]. The helical shape in the filament
823 could increase the shear force applied on membrane and create new path for
824 the bubbles in the MBR, which was able to improve bubble cleaning
825 efficiency. In critical flux measurements, the authors found a 100% flux
826 increase by implementing the MSS spacers in the feed channel. The printed
827 spacers could also reduce the aeration rate by 7.5 times at high crossflow
828 velocities without influencing the other process performance. Based on this,
829 they proposed that the best way to improve antifouling efficiency is by
830 employing these MSS spacers at high crossflow velocity. These spacers also

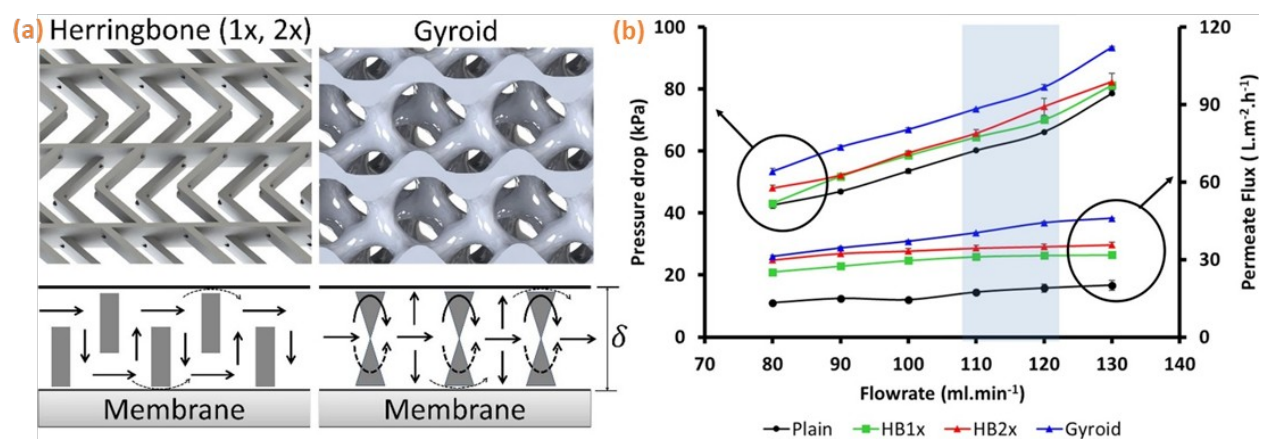
831 demonstrated possible potential in reducing energy consumption when
 832 placed in the side stream for fluids with higher solid loading. However, the
 833 authors did not study mechanical properties of the spacers especially the
 834 shear modulus since there might be deformation under high velocity
 835 crossflow condition. Also, the paper should also demonstrate the potential
 836 damage on membrane surface imposed by the sharp edge of the twist
 837 helical filament and also accuracy and precision requirements when printing
 838 these intricate spacer structures.



839

840 **Figure 7.** (a) PJT printed double-helix form twisted filament (left), single
 841 layer of twisted elements (middle), and two-layer spacer (right). Reproduced
 842 from [113]. (b) Comparison on helical and net spacers on molecular weight
 843 at 90% dextran rejection (MWCO₉₀) at 0.1 m/s. Feed solution contains a
 844 variety of dextran at different molecular weight. (c) Flux and pressure loss
 845 dependency on cross flow velocity for helical and net spacers. Reproduced
 846 from [4]. This figure is best viewed in color.

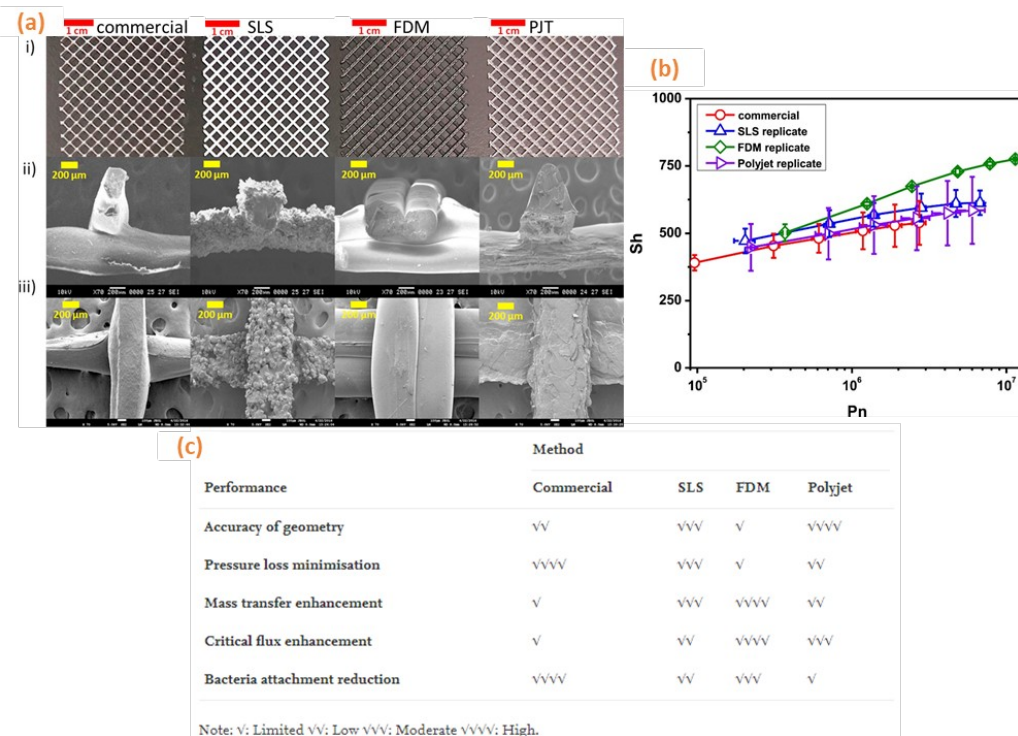
847 Dang et al. [114] compared the flux enhancement and pressure drop
 848 induced by herringbone spacers and the TPMS spacer in MF and UF narrow
 849 channels, as shown in Figure 8(b). Figure 8(a) shows the spacer structures
 850 with different feature sizes between 100-400 μm in both herringbone and
 851 TPMS Gyroid shapes printed by a multijet 3D printer. The TPMS spacer
 852 exhibited significantly higher flux enhancement than the herringbone
 853 spacers as it showed 81% and 93% flux enhancement in blood and plasma
 854 mimicking solution tests, although it also came with 23% higher pressure
 855 drop.



856 **Figure 8.** (a) CAD designs of herringbone spacers (top left), TPMS-Gyroid
 858 spacer (top right) and corresponding flow patterns in the feed channel
 859 (bottom). (b) Comparison on pressure drop and permeate flux at different
 860 flow rate in feed channel without spacer, with two types of herringbone
 861 spacers and TPMS-Gyroid spacer. Reproduced from [114]. This figure is best
 862 viewed in color.

863 In another paper, Tan et al. [76] compared the performance and
 864 accuracy of commercial feed spacers with polyamide spacers printed via
 865 SLS, FDM and Polyjet (Figure 9(a)). FDM printed spacers exhibited smoother
 866 surface, but lower accuracy than spacers printed by SLS and Polyjet. In terms

867 of membrane performance, the SLS, FDM, Polyjet printed spacers showed
 868 higher mass transfer and flux than the commercial spacers, as indicated in
 869 the Sherwood number - Power number correlation in Figure 9(b). Spacers
 870 printed by FDM displayed the highest improvement in mass transfer and
 871 critical flux, which indicated their highest potential in fouling and CP
 872 reduction. Now, in addition to accuracy, surface finish is also important
 873 structural property that will impact spacer performance. As shown in Figure
 874 9(a), (c), although FDM has the lowest accuracy it produces smooth surface,
 875 as reflected in its highest enhancement of mass transfer. This paper also
 876 suggests that there is always a tradeoff between mass transfer enhancement
 877 and pressure loss, and spacers printed by these techniques could not avoid
 878 such tradeoff.



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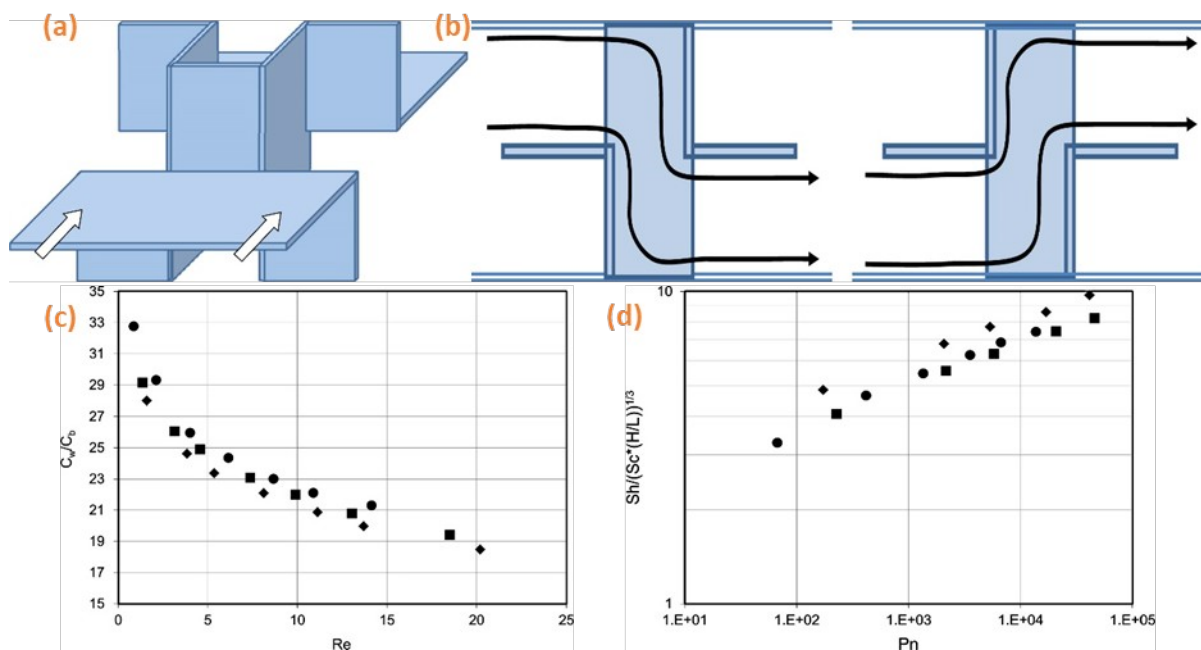
880 **Figure 9.** (a) SLS, FDM, Polyjet printed and commercial net-type spacers,
881 with front view and top view morphologies under SEM. (b) The Sherwood
882 number - Power number relationship of all 4 types of spacers. (c) Comparison
883 on geometrical accuracy and UF performance of all 4 types of spacers.
884 Reproduced from [76]. This figure is best viewed in color.

885

886 *4.4. SLA Printed Spacers*

887 Liu et al. [115] proposed a specific design of static mixing spacer
888 element, which was able to lead the fluid adjacent to the upper and lower
889 membrane surfaces into a flow channel for the purpose of enhancing mixing
890 of the flow, as demonstrated in Figure 10(a). Multiple spacer elements were
891 assembled together to create flow path, allowing feed flow to be mixed well,
892 as demonstrated by the flow streamlines in Figure 10(b). Compared with the
893 regular feed spacer, which generated mixing usually by creating turbulence
894 or fluid vortices at the cost of higher pressure drop and energy consumption,
895 this static mixing spacer was able to mix the fluid flowing through the top
896 and bottom boundaries. Based on the Sherwood number - Power number
897 correlation, this novel spacer displayed similar mass transfer coefficient at
898 high power inputs and a 20% higher mass transfer at low power inputs
899 compared with the conventional spacer (Figure 10(d)). The authors also
900 found lower wall concentration for the static mixing spacers compared with
901 diamond spacers (Figure 10(c)), suggesting better mass transfer. However,
902 this spacer design is still limited for membrane processes as its feed channel
903 pressure drop is even higher than the conventional spacers. Additionally, the
904 authors did not mention whether the sharp edge of the spacer could damage

905 the membrane surface. The accuracy and surface finish of the spacer were
 906 also not studied as they could impact the fluid mixing and boundary layer
 907 flow in spiral wound module.



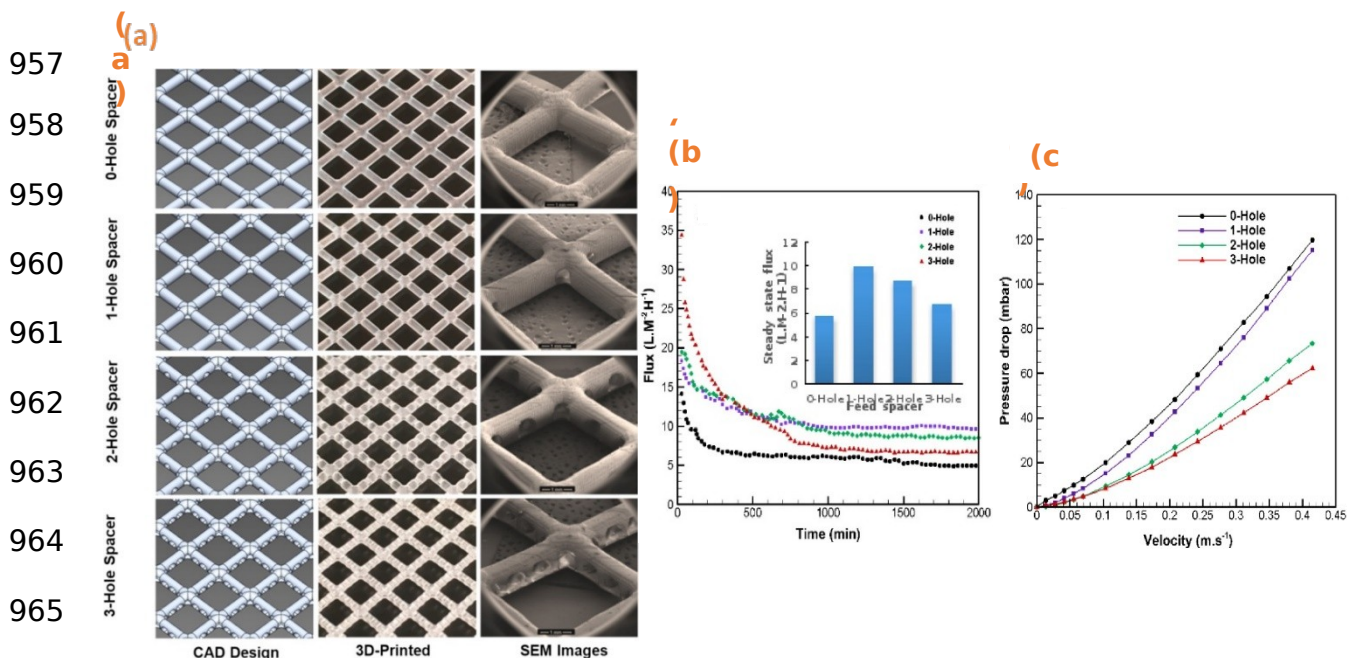
908 **Figure 10.** (a) Static mixing spacer design. Flow direction is defined by the
 909 arrow. (b) Streamlines of flow in the static mixing spacer (cross section
 910 view). (c) Concentration polarization modulus as a function of Re for different
 911 spacers. (d) Scaled Sherwood number as a function of Power number for
 912 different spacers. Circle—conventional spacer; diamond—13 equally spaced
 913 spacer 1 elements, square—13 equally spaced spacer 2 elements. Feed
 914 pressure: 120 kPa; dextran concentration: 5.0 kg/m^3 . Reproduced from [115].
 915 This figure is best viewed in color.

917 4.5. DLP Printed Spacers

918 Kerdi et al. [116] proposed a series of perforated spacers, which can be
 919 printed precisely with a commercial DLP printer with a resolution of $25 \mu\text{m}$.
 920 Spacers perforated with one, two, three holes were printed and compared
 921 with the standard spacer without perforation (Figure 11(a)). The spacers
 922 were placed on the feed side of a commercial UF membrane and tested in a
 923 crossflow system under two sets of experiment conditions: constant feed

924 pressure at 60 kPa or constant feed flow rate at 12 L/hr. In both case it was
925 found that all the perforated spacers displayed a higher flux, lower pressure
926 drop and reduction in fouling compared with the unperforated spacer. As
927 demonstrated in Figure 11(b) and (c), under constant feed pressure, the 1
928 hole perforated spacer demonstrated the best flux performance by an
929 increase of 75% and a small reduction in pressure drop of 15%. The 3-hole
930 spacer showed a much better efficiency in reduction of pressure drop (54%)
931 at the cost of a lower improvement in flux (17%). The authors also studied
932 the flow pattern between the perforated filaments and found out that the
933 micro-jets passing through the filaments were able to fill the dead zones thus
934 improving the flux. The turbulent jet within the spacer could also generate
935 membrane cleaning and reduce fouling. The CFD simulation on the micro-jets
936 indicated that the unsteadiness intensity of the micro-jets and the shear
937 stress in the cell is observed to be higher for 1-hole spacers than other
938 perforated spacers, which explains the better flux and fouling reduction that
939 1-hole spacer provided. The same research group also evaluated the use the
940 perforated spacers (with holes at filament intersections) in FO systems in
941 another paper [117]. The perforated spacer was found to increase the
942 permeate flux by 43% while the standard spacers showed 40% permeate
943 flux increase when using water as feed and 0.6 M NaCl as draw solution.
944 However, when using Shale Gas Produced Water (SGPW) as feed solution,
945 the perforated spacers exhibited severe flux decline due to the presence of
946 holes that aided the scaling coverage on the membrane area. The benefit of

947 the holes on the perforated spacers lies in its capacity to minimize energy
 948 consumption, which was reflected by no pressure drop increase while
 949 incorporating the spacer. The presence of the holes on the spacer filament
 950 intersections also improved the cleaning efficiency since they provided a
 951 strong fluid shear force. Compared with the pressure driven process, the use
 952 of perforated spacers in FO showed less improvements on flux increase and
 953 fouling mitigation since these holes could generate stronger flow turbulence
 954 at higher pressure. The driving force of FO primarily came from
 955 concentration gradient, which is unable to promote the turbulence compared
 956 with higher external pressure.



966 **Figure 11.** (a) DLP printed 0-Hole, 1-Hole, 2-Hole and 3-Hole perforated net
 967 spacers. (b) Long-term permeate flux in a UF crossflow cell with different
 968 spacers installed. Seawater was used as feed solution with constant initial
 969 pressure (60 kPa). (c) Feed channel pressure drop as a function of average
 970 crossflow velocity. Reproduced from [116]. This figure is best viewed in color.

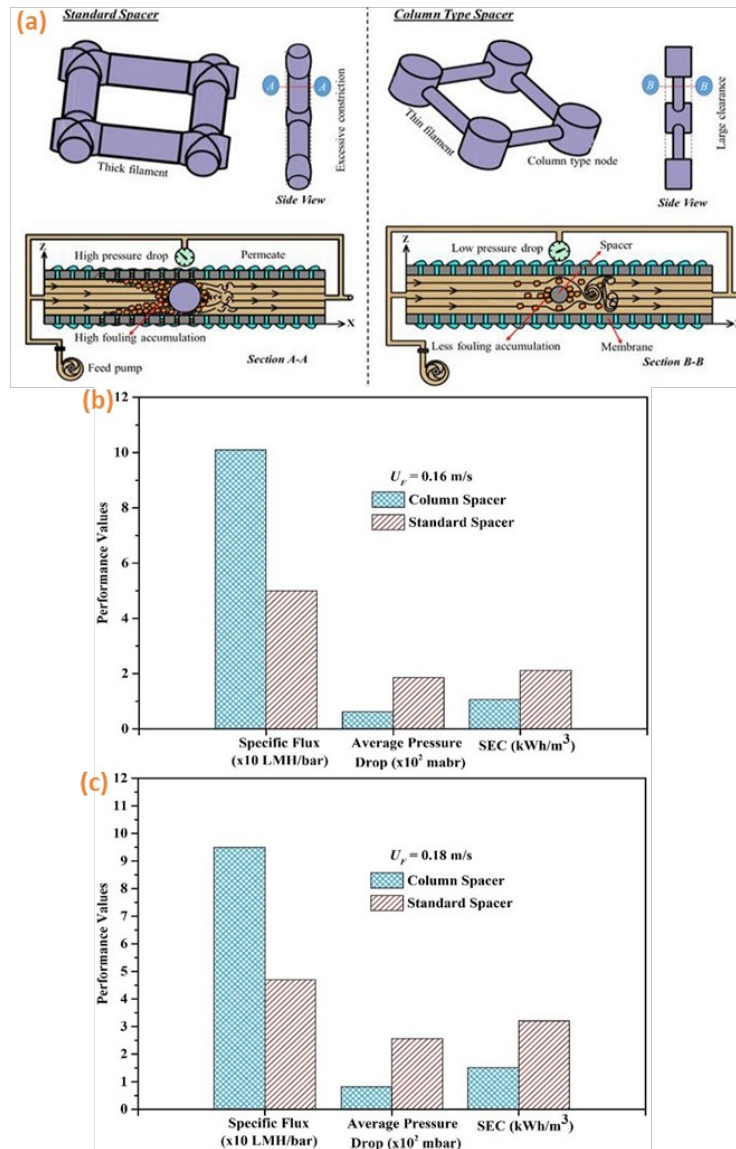
971 Ali et al. [6] improved the spacer performance by reducing the
972 thickness of spacer filament. With a thinner spacer filament that increased
973 the clearance between the filament and membranes, the novel column
974 spacers had the same structure and dimensions as the standard non-woven
975 symmetric spacer, as shown in Figure 12(a). The column spacers were
976 printed by DLP using acrylate monomer as printing material. The
977 hydrodynamics in the flow channel was modeled by CFD and the spacer
978 performance was tested in crossflow system on top of commercial UF
979 membranes. Due to wider clearance zone, the flow velocity was significantly
980 higher for the column spacer. This wider clearance zone also yielded to
981 substantial reduction in pressure drop, shear stress and dead zone area.
982 Based on the findings in Figure 12(b) and (c), the specific flux (flux produced
983 per unit pressure drop) of the channel with column spacer was tested to be
984 twice as high as the specific flux with the standard spacer at different
985 crossflow velocity. Two-fold reduction in specific energy consumption (SEC,
986 energy consumption to produce unit permeate flux) and three times lower
987 pressure drop were observed for column spacer compared with standard
988 spacer. Additionally, Optical Coherence Tomography (OCT) images
989 demonstrated much less fouling layer accumulated on the membrane
990 surface while incorporating the column spacer. This reduction in fouling
991 agrees with the CFD results that stronger membrane washing was achieved
992 by enlarging the clearance zone between membrane and filaments. In
993 another work [118], the same research group printed novel hole-pillar spacer

994 configuration with perforation on the spacer intersection by DLP. The spacer
995 performance was analyzed in a UF crossflow setup at different operating
996 pressures. Compared with the 3D printed net spacers, the hole-pillar spacers
997 exhibited approximately 22% lower pressure drop than the net spacers.
998 Additionally, the fluid velocity produced by the hole-pillar spacers is higher
999 than that in the net spacers due to micro-jet formation by the perforation,
1000 which consequently eliminated the dead zone. This could also produce lower
1001 fouling for hole-pillar spacers. In terms of permeate flux in the UF test, hole-
1002 pillar spacers showed a 75% higher flux gain at 0.5 bar and 63% higher flux
1003 than net spacer at 1.0 bar. Ali et al. [119] demonstrated the use of DLP in
1004 printing turbospacers composed of a series of microturbines. Compared with
1005 conventional diamond spacers, the turbospacers showed approximately 15%
1006 lower flux decline, 2.5 times lower foulant resistance and 2 folds less
1007 pressure drop in the lab-scale FO experiment. The high performance of the
1008 turbospacers was due to the exploitation of the kinetic energy from the feed
1009 flow to rotate the turbines and create flow turbulence. Based on this
1010 advantage, the turbospacers were also used in low pressure UF process to
1011 reduce fouling formation [120]. Compared with standard symmetric non-
1012 woven spacers, the implementation of turbospacers lowered the average
1013 pressure drop by 4 times and increased the specific permeance flux by over
1014 3 times in a 48-hr filtration test, which contributed to the 2.5 folds lower
1015 specific energy consumption for the turbospacers. The OCT images also

1016 demonstrated minimized accumulation of foulants by using turbospacers
1017 during the UF experiment.

1018 Based on the above work, the DLP technique is able to print the
1019 spacers with a more complex configuration due to its higher resolution and
1020 precision. However, this method is limited to photopolymer resins. Currently,
1021 only acrylate monomers have been successfully printed into feed spacers via
1022 this technique.

1023



1024

1025 **Figure 12.** (a) DLP printed standard net-type spacers and column-type
 1026 spacers and their corresponding fouling pattern in crossflow cell. Comparison
 1027 on specific flux, average pressure drop and SEC for crossflow cells with
 1028 column and standard spacers at 0.16 m/s (b) and 0.18m/s (c). (d). DLP
 1029 printed turbospacer and its lower foulant resistance in FO. Reproduced from
 1030 [6,119]. This figure is best viewed in color.

1031

1032 5. Discussion

1033 Most of the efforts described here have focused on improving spacer
 1034 design through the use of intricate structures that are non-manufacturable

1035 using conventional approaches. These designs are intended to yield specific
1036 performance benefits such as improved mixing (to lower CP and fouling)
1037 along with reduced pressure drop. Some of these structures (TPMS) were
1038 designed with mathematically proven minimal internal surface to reduce
1039 pressure drop and increase flow turbulence [46]. Others with novel shapes
1040 (helical [4], herringbone [108], perforated [116] etc.) could help shape the
1041 flow field, based on proper CFD simulation, thereby improving mixing, mass
1042 transfer and reduce fouling. All these novel structures benefited spacer
1043 performance due to their “flow friendly” configurations. Such spacers could
1044 have value in liquid filtration processes such as MF, UF, NF, FO, RO, and MD if
1045 they could be made in a scalable and inexpensive way.

1046 Based on the review above, many printed spacers used in pressure-
1047 driven process are tested under low pressure condition such as UF. However,
1048 in reality spacers are commonly used in RO processes that requires higher
1049 pressure. 3D printed spacers are usually designed for specific purposes. For
1050 example, the TPMS structure demonstrated by Sreedhar et al. [46] designed
1051 for BWRO and UF to reduce pressure drop and biofilm development. The
1052 perforated spacers proposed by Kerdi et al. [116] and the turbospacers
1053 proposed by Ali et al. [120] are predominantly used for fouling mitigation of
1054 low pressure membrane filtration. It is recommended that 3D printed spacers
1055 could allow for a wider range of pressure tolerance in order to be used in
1056 higher pressure processes. This could impose higher level of requirements on

1057 the printed spacers to reduce pressure drop and fouling at a much higher
1058 operating pressure.

1059 It is noticeable that most of the 3D printed spacers discussed above
1060 are made and tested at lab-scale, and scale-up challenges are generally
1061 observed in terms of spacer manufacturing and module performance. For
1062 example, the build-up volume of a typical DLP printer is $132 \times 74 \times 130$
1063 mm, with a printing speed at 80 mm/hr [121]. The largest SLA printer is
1064 capable of handling $2100 \times 700 \times 800$ mm build volume [121]. However,
1065 most membrane modules require membrane area to be above 20 m^2 , which
1066 exceeds the dimensions of most 3D printed spacers. On the other hand,
1067 many 3D printed spacers are only tested and modeled at lab scale. Scaling
1068 up in a real membrane module could indicate a completely different flow
1069 field, which leads to unpredicted mass transfer, pressure drop and fouling
1070 behavior.

1071 We did notice a gap in one performance metric throughout these
1072 studies: spacer deformation. When designing spacer structures, most studies
1073 did not take into consideration issues such as the embossing of the
1074 membrane on the spacer (particularly for permeate spacers). Lee et al. [122]
1075 demonstrated that membranes could stretch for more open feed spacer
1076 constructions, but permeate spacer embossing is a problem for higher
1077 pressure membrane processes, particularly at higher pressures or with more
1078 open spacers [7]. Embossing can cause membrane stretching and can lead
1079 to defect formation and loss of selectivity. Much of this gap can be attributed

1080 to the general focus on feed spacers for new spacer designs rather than
1081 permeate spacers.

1082 A research opportunity may be to develop spacers that can adapt to
1083 dynamic operation of modules. Compaction of the membrane in higher
1084 pressure environments may open up the feed channel and allow spacers to
1085 shift or vibrate. This movement may damage the membrane through
1086 abrasion, which in turn, causes a loss of selectivity. This issue highlights the
1087 need to address the surface finish of printed spacer technology, since rough
1088 spacer surfaces will be more prone to abrade the membrane surface. Largely
1089 absent from the papers we reviewed, improved surface finish is critically
1090 important since the spacer will contact the membrane during module
1091 assembly and operation.

1092 Due to these issues, commercial viability of 3D printed spacers
1093 remains a question. The commercial risks for considering printed spacers go
1094 beyond just questions about deformation and surface finish, which have yet
1095 to be fully assessed by the research community. Cost remains a primary
1096 concern. Based on the study conducted by Tan et al. [76] and results in
1097 section 3, FDM is a likely candidate for making spacers with the advantages
1098 of additive manufacturing combined with commercial viability. However, we
1099 found that most of the studies reviewed here focused entirely on spacer
1100 performance with little discussion on commercial viability. It is evident that
1101 additive manufacturing is not an inexpensive method of making spacers for
1102 membrane processes that are used at large scales. Looking back a few

1103 decades, Da Costa et al. [13,14,37] did some cost analysis for conventional
1104 spacer designs, but these efforts have not translated to spacers
1105 manufactured through 3D printing. Liang et al. [123] used a techno-
1106 economic model to evaluate the total processing of multi-layer spacers in
1107 SWM module based on the mass transfer and pressure drop obtained from
1108 CFD model. The total SWM module processing cost derived from techno-
1109 economic model was composed of pretreatment cost, capital unit cost that
1110 included both membrane and spacer cost, energy cost which included both
1111 the energy used to generate inlet pressure and energy consumed by
1112 pressure loss. They demonstrated that the cost associated with pressure
1113 drop of multi-layered spacers in long channels was negligible. This study
1114 provided a convincing module processing cost, but did not address the
1115 manufacturing cost of spacers. In particular, the slow manufacturing speed
1116 of additive manufacturing leads to higher unit cost. In addition, most of these
1117 studies did not discuss other commercial viability metrics such as
1118 manufacturing size, TRL, and how much hazardous waste they produce.
1119 These drawbacks offset the value proposition of using exotic geometries
1120 that, while interesting, seem to be more of a scientific exploration rather
1121 than impactful technology development.

1122 One 3D printed spacer technology has been commercialized.
1123 AquaMembranes has developed a process that enables the printing of
1124 spacers *directly onto an RO membrane* rather than as a standalone spacer
1125 [124,125]. These spacer-imprinted RO membranes have been assembled

1126 into spiral wound modules. The printed spacers enable the use of more
1127 densely packed membranes into spiral wound modules and have been shown
1128 to increase the membrane area of a typical 4040 or 8040 module by up to
1129 40% over conventional with a commensurate increase in module permeate
1130 flow [126]. Additionally, the printed spacer pattern showed lower pressure
1131 drop that, they claim, could bring a potential annual global energy saving of
1132 \$1.4 billion [127].

1133 What is particularly interesting about this approach is that they,
1134 amongst many others cited in this review, are focusing on a high volume yet
1135 low value product of water. In the water space, the levelized cost of water is
1136 quite sensitive to the membrane module cost. This suggests that their
1137 process may not be too expensive as to drastically change the cost of the
1138 module overall. We will note that other membrane processes that involve the
1139 production of purification of higher value chemicals (e.g., industrial gases,
1140 organic liquids, foods and pharmaceuticals) may be less sensitive to the
1141 module price, and therefore, tolerate higher overall module costs when using
1142 exotic spacers. The benefits of these spacers may also be more prominent
1143 when using compressible fluids like gases or higher viscosity fluids.

1144 **6. Conclusions and Future Directions**

1145 This review summarizes a broad range of 3D printing techniques for
1146 spacers used in membrane processes for liquid separations. These studies
1147 have largely focused on increasing mixing and mass transfer while lowering
1148 pressure drop, CP and fouling propensity. These studies have achieved these

1149 goals through the use of exotic spacer geometries that cannot be
1150 manufactured using conventional manufacturing processes. While these
1151 efforts have produced interesting science and supported the development of
1152 computational tools for predicting mass transfer in membrane modules,
1153 much work is needed to bridge these studies to commercial products. It may
1154 be prudent to consider spacer options for higher value products or for cases
1155 where mass transfer limitations are limiting factors for module performance

1156 Even with the critique above, there are opportunities for lab-scale
1157 research with spacers. Spacers offer a unique opportunity to access the
1158 interior of a membrane module with chemistry or other stimuli. There have
1159 already been uses of spacers to deliver anti-fouling chemistry to module feed
1160 channels. Other chemistries may likewise be deliverable to the feed channel
1161 through feed spacers. Proposing these types of approaches would need to
1162 demonstrate a clear value proposition to the separation application that they
1163 would be supporting and show a clear opportunity for manufacturability.

1164 There are additional opportunities in stimuli-responsive materials that
1165 can adapt to variable conditions. The emergent field of 4D printed materials
1166 [128] offer unique opportunities for spacers to adapt to changing conditions
1167 within a membrane module. If we can create spacers that offer controllable
1168 pressure drop, mass transfer, and CP using responsive deformation,
1169 membrane modules may be adaptable to changing operating conditions. 4D
1170 printing combines 3D printing with smart materials to produce different
1171 devices, such as electro-responsive shape-changing sensors [129], thermal-

1172 responsive robotic actuators [130] and shape memory occlusion devices
1173 [131]. 4D printing has also been successful in forming light-responsive
1174 membranes, and it would be interesting to see if light or other stimuli could
1175 be incorporated into modules to change how membranes, or spacers, might
1176 behave in changing conditions. Other stimuli, such as electric or magnetic
1177 fields would be interesting to explore given the recent emergence of
1178 electromembrane processes [132-135]. There may be opportunities for
1179 spacers to play a role in these types of processes. Additive manufacturing
1180 may play a key role in developing these kinds of materials and structures.

1181 With opportunities for new science around spacer design continuing to
1182 emerge, the field of spacer design is quite active in the research community.
1183 However, it is imperative that we identify high value opportunities for spacer
1184 design and utilization in membrane processes in order to overcome the
1185 inherent limitations of additive manufacturing. Innovations in additive
1186 manufacturing that drive down cost and increase speed may also enable
1187 broader use of 3D printed spacers. With such innovations, many of the
1188 concepts described here may begin to see commercial use over time leading
1189 to numerous and valuable benefits.

1190

1191 **Authors Declaration**

1192 The authors declare this manuscript has been approved by all named
1193 authors. Every person contributing to this manuscript and satisfying the
1194 authorship has been listed. We also declare that we have followed the

1195 intellectual property regulations of our institutions and there are no
1196 impediments to publication in terms of intellectual property.

1197

1198 **Conflict of Interest Statement**

1199 The authors declare that they have no known conflicts of interests or
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