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RESEARCH ARTICLE

Redox chemistry of plutonium and plutonium surrogates in vitrified nuclear wastes

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Abstract

Lanthanide borosilicate glasses containing Pu and the nonradioactive analog element Ce were subjects of an x-ray absorption spectroscopy investigation to quantify the +3/+4 ratio of these redox-sensitive elements. The data show that the dominant oxidation states are +4 for Pu and +3 for Ce. The data also indicate that the reductive potential of glasses can be quantified from a solution chemistry method adapted to glass chemistry, although allowances must be made for glass composition. These data can therefore be used to formulate glass compositions and processing schedules that lead to a controlled oxidation state of Pu in melts. Furthermore, the data show that Ce is a poor analog for Pu behavior in melts and that the suitability of surrogates can be assessed by the evaluation approach presented here. The method demonstrated in this paper can be used to estimate the oxidation states of a range of multi-valent elements as functions of temperature and composition with data from only a single redox couple.

KEYWORDS

borosilicate glass, cerium, LaBS glass, oxidation, plutonium, redox, reduction

1 | INTRODUCTION

The enigma of how to manage plutonium (Pu) that was produced by the world's nuclear powers during the Cold War has not yet been completely solved. According to the current US policy, 61.5 metric tons (t) of Pu that has been declared “excess” to the defense needs will be rendered unattractive for weapons use.¹ No single document describes disposition plans for the entirety of the U.S. surplus Pu inventory. Rather, disposition decisions depend on the form of the Pu, which can lead to different disposition pathways.¹ Several options have been considered for disposition of portions of this excess weapons Pu, including: (1) conversion to UO₂-PuO₂ mixed oxide fuel (MOX) and “burning” in reactors followed by disposal in a deep

geologic repository, (2) dilution and disposal in a deep geologic repository, and (3) immobilization in glass or ceramics.² The preferred alternative is to burn 34 t of the excess Pu as MOX fuel in commercially available nuclear power reactors (called the MOX Fuel Approach).^{3,4} However, the MOX Fuel Fabrication Facility Project was cancelled in October 2018 and National Environmental Policy Act (NEPA) analyses are currently in progress to evaluate alternate disposition paths for the 34 metric tons of Pu previously planned for the MOX Fuel Approach. Additionally, since numerous studies have shown the dilution and disposal option to be technically viable and capable of being executed in a reasonable time frame at a cost consistent with fiscal realities, the US Department of Energy (DOE) has decided to use the dilution and disposal option

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for disposition of 13.1 t of Pu.^{5,6} Six of the 13.1 t of Pu is in the form of impure wastes, scraps, and process residues while the remaining 7.1 t is comprised of non-pit metal and oxides which had previously been planned for disposition using the MOX Fuel Approach.⁴ Additional NEPA analyses will be required to determine the appropriate disposition path for the remainder of excess weapons Pu, which may include immobilization.

The UK currently stores the world's largest stockpile of civilian Pu—projected to reach 140 t.⁷ The current UK policy is as follows⁸:

“... for nuclear security reasons the preferred policy for managing the vast majority of UK civil separated plutonium is reuse and it therefore should be converted to MOX fuel for use in civil nuclear reactors. Any remaining plutonium whose condition is such that it cannot be converted into MOX will be immobilized and treated as waste for disposal.”

As a result, significant effort is ongoing to develop immobilization technologies for Pu through thermal processes to form glass, ceramics, and glass-ceramics.^{9–11}

Many nations in addition to the U.S. and UK have recently studied the immobilization of Pu as a disposition method. Australia, Russia, France, China, and other nations are researching various waste forms, particularly glass, ceramics, and glass-ceramics, for high-actinide wastes.^{12–16} The Australian Nuclear Science and Technology Organisation (ANSTO) has worked with the U.S. on weapons Pu immobilization and with the UK on immobilizing impure plutonium residues.¹³ Institutions in China have shown interest in actinides, An^{3+} and An^{4+} , immobilization using Nd^{3+} and Ce^{4+} as analogues in pyrochlore^{17,18} and zirconolite^{19,20} ceramics. One of the studies on zirconolite ceramics was published recently (2020) with UK collaborators, where zirconolite ceramic waste forms were synthesized by microwave sintering.¹⁹ Additionally, iron borophosphate glasses and glass ceramics are also being pursued within China for Pu(III) immobilization.¹⁵ Russia has also been interested in glass, ceramic, and glass ceramic forms for Pu and U immobilization,^{14,21,22} including garnet-based ceramics²³ and murataite glass ceramics.²⁴

One of the primary methods of stabilizing Pu waste is by vitrification. For example, PuO_2 , in the form of fired particulates, can be mixed with glass-forming precursors such as quartz (SiO_2), boric acid (H_3BO_3), K_2CO_3 , and Gd_2O_3 , or glass frit and melted at temperatures of $\approx 1150^\circ C$ or greater to form a durable waste form. The nature of Pu incorporation (i.e., network former, network modifier, oligomeric species, clusters, etc.) into various glass compositions and how it is affected by melt redox chemistry is still not fully understood. This will have significant effect on loading of Pu.

Glass chemistry plays a vital role in determining the waste loading and oxidation state of Pu. In traditional alkali/alkaline earth borosilicate glasses batched from less than 8 wt% Pu oxide (see for example the PNL 76-100, Karraker Frit, and Eller Frit compositions indicated in Table 1), Pu^{4+} has previously been identified as the predominant redox species using optical measurements.^{25,26} X-ray photoelectron spectroscopy (XPS) has been used to characterize the oxidation state of Pu in glass, and it was found that Pu^{4+} was the majority species, with Pu^{3+} accounting for the balance.^{27,28} Lopez et al.²⁹ reported that $\sim 90\%$ of the Pu dissolved in a borosilicate glass at $1200^\circ C$ was Pu^{4+} , with the balance made up by Pu^{3+} . The studies of Cachia et al.,³⁰ Lopez et al.,³¹ and Deschanel et al.³² demonstrate that the predominant form of Pu in these glasses is Pu^{4+} ; however, Bahl et al.³³ indicated that the solubility of Pu^{4+} is low in typical borosilicate glasses (1.5 wt% PuO_2 at $1200^\circ C$ melting temperature) but higher solubility can be obtained by increasing the melting temperature, controlling the f_{O_2} during melting, or increasing the basicity of the melt. Stefanovsky et al.^{21,22} report that Pu^{4+} in as fabricated glass may slowly oxidize to include small concentrations of Pu^{5+} and Pu^{6+} .

An alternative vitreous waste form is the LaBS or “Lof-fler” glass,³⁵ which is composed mainly of Si, B, and rare-earth elements, but devoid of alkalis. In these glasses, target waste loadings can be increased dramatically, with nominal PuO_2 concentrations well over 10 wt%. The lower concentrations or a complete lack of alkali and alkaline earth oxides in these new glass formulations affect the melt basicity, which in turn influences the melt and final glass redox chemistry. Furthermore, these glass formulations contain large concentrations of rare earth element neutron absorbing components such as La_2O_3 , Nd_2O_3 , and Gd_2O_3 , which may govern the structural chemistry and solubility limit of the incorporated Pu. One objective of this study is to evaluate the nominal Pu^{4+}/Pu^{3+} ratio in a typical borosilicate and in a LaBS melt. In conjunction with these data, and from data drawn from the literature, we aim to establish an algorithm by which the oxidation state of Pu can be known as a function of glass composition and processing temperature.

For the transition from laboratory-scale experiments to industrial-scale melter evaluations, researchers could benefit from the availability of a non-radioactive surrogate for Pu that accurately reproduces or predicts its behavior in the melt and in the final waste form. Although no single element can embrace the entire range of chemical and structural behaviors for Pu, it has been suggested that cerium (Ce) may be a promising candidate as a Pu-surrogate for the work discussed herein.^{29,30,36–42} A number of investigators have shown that Ce^{4+} is much more easily reduced than Pu^{4+} in traditional alkali

TABLE 1 Glass compositions evaluated in this study

Glass	PNL 76–100	Karraker Frit	Eller Frit	Pu10-IV	Pu11.4-L
Composition in mol% oxide					
Al ₂ O ₃	0	0	0.162	3.11	20.1
B ₂ O ₃	12.7	9.32	7.52	14.7	16.1
CaO	3.32	5.83	5.21	0	0
Cs ₂ O	0	0	0	0.274	0
Fe ₂ O ₃	0	0	0	2.28	0
Gd ₂ O ₃	0	0	0	2.41	2.26
K ₂ O	0	0	0.06	1.23	0
La ₂ O ₃	0	0	0	0	3.65
Li ₂ O	0	8.60	18.3	10.6	0
Na ₂ O	11.2	19.4	11.9	6.36	0
Nd ₂ O ₃	0	0	0	0	3.65
P ₂ O ₅	0	0	0	1.14	0
PuO ₂ or CeO ₂	2.00	0.13	0.41	2.85	4.53
SiO ₂	61.7	56.7	56.2	55.1	46.3
SrO	0	0	0	0	2.31
TiO ₂	3.46	0	0.276	0	0
ZnO	5.68	0	0	0	0
ZrO ₂	0	0	0	0	1.01
PuO₂ wt%	8.00	0.600	2.00	10.0	11.4
Basicity value^(a)	0.556	0.573	0.574	0.573	0.624
Melt					
Temperature	1250°C	1100°C	1000°C	1300–1500°C	1500°C
Duration	2+ h	4–8 h	12 h	1–3 h	4 h
Atmosphere	Oxygen	Air	Air	Air	Air
Reference	Karim ²⁷	Karraker ²⁵	Eller ²⁶	This work	This work

^(a)Basicity calculated using method and coefficients reported by McCloy et al.³⁴

boroaluminosilicate glasses;^{29–31,43} however, virtually no work has been done to compare the redox chemistry of Ce and Pu in LaBS glass. Thus, another objective of this work is to elucidate the effectiveness of Ce as a surrogate for Pu in LaBS and typical borosilicate glass melts.

2 | CHEMICAL BACKGROUND

Detailed information concerning the chemistry of metal ions, especially actinide ions, in oxide glass systems is unavailable for the complete range of possible processing conditions and/or compositional variables. However, in many cases, the redox and coordination chemistries of metal ions in oxide melts and glasses can be inferred from those in aqueous or complexing systems.^{44,45} Thus, a great deal of insight into the chemistry of actinides and their surrogates incorporated into oxide melts and glasses can be gained by first evaluating relevant studies per-

formed in aqueous systems. There are some general trends in the actinides, especially the early members (U, Np, Pu, and Am) that define the chemistry of these elements and assist in the search for a practical non-radioactive surrogate for Pu. We discuss some of these aspects of the relevant actinide chemistry in the subsections below.

2.1 | Redox chemistry of actinides and surrogates

Elemental Pu, the sixth member of the actinide series, has a ground state electronic configuration of [Rn]5f⁶7s². In general, Pu has been found to exist in oxidation states ranging from +3 to +7, with those from +3 to +5 being most relevant to glass melts. Many actinide elements are primarily available only in the +3 oxidation state in glass melts, for example, Ac, Am, Cm, Bk, Cf, and Fm. Another common oxidation state is +4, which is shared among

many actinides, including Th, U, and Np, and is the most stable state for Pu (Cotton 1980 pp. 1005–1046⁴⁶; Morss et al. 2006 pp. 974⁴⁷).

2.2 | Structural chemistry of actinides and surrogates

The coordination of +4 actinide species typically exhibits eightfold, dodecahedral or square antiprismatic coordination with oxygen. The actinide dioxides PuO₂, NpO₂, AmO₂, ThO₂, and UO₂ obtain eightfold coordination through crystallization in the fluorite structure. Similarly, eightfold antiprismatic coordination with oxygen occurs in Ce and Hf compounds and crystalline CeO₂ occurs in the fluorite structure (Cotton 1980 pp. 981–1004 and 824–831).⁴⁶ Pure HfO₂, however, does not crystallize into the fluorite structure but rather resembles the chemistry of ZrO₂, exhibiting sevenfold coordination with oxygen in the monoclinic (baddeleyite) structure.⁴⁶ High temperature or rare-earth or alkaline earth impurities can stabilize HfO₂ or ZrO₂ in the cubic fluorite structure.⁴⁸ In addition, new investigations have shown that Hf exists in borosilicate glass as an alkali Hf silicate complex (e.g., Na₂HfSi₆O₁₅).⁴⁹ Such an alkali Hf silicate complex has a structural arrangement similar to that of the Hf metallasiloxane complex first described by Motevalli et al.⁵⁰ However, because of the lack of alkali ions in the LaBS glass studied in this investigation, it is unlikely that a similar Pu complex will form.

In contrast, complexes of actinides in the +3 oxidation state usually exhibit sixfold octahedral coordination with oxygen (Cotton 1980 pp. 1005–1046⁴⁶; Morss et al. 2006, pp. 976⁴⁷). Likewise, the +3 lanthanides in the oxides, Gd₂O₃ for example, have sixfold coordination with oxygen.⁵¹ However, for +3 lanthanide compounds, coordination numbers of 7, 8, or 9 are also common (Cotton 1980 pp. 981–1004).⁴⁶

2.3 | Chemistry in melts and glasses

Consistent with the above observation that the most stable oxidation state for the trans-amerium elements in glasses is +3, a single oxidation state (+3) for curium (Cm) and americium (Am) in glass was reported by Assefa⁵² and Karraker,²⁵ respectively. Similarly, consistent with the above observation that in nature Th exists in the +4 oxidation state, Farges⁵³ and Caulder et al.⁴⁹ reported that Th and Hf, respectively, exist in glass in the single oxidation state of +4.

In typical waste glass formulations, Pu is most commonly observed in the +3 and +4 oxidation states.^{25–27,30–32,54,55} Bahl et al. have reported the simul-

taneous presence of Pu³⁺, Pu⁴⁺, and a higher oxidation species (likely Pu⁶⁺) in a glass melted with Si₃N₄.³³ Surrogates have been used for Pu in glass due to the difficulties obtaining and handling Pu ensuing from the large costs resulting from security, radioactivity, and safety issues. As a result, Ce has often been used as an analog for Pu because both exist in melt in the +3 and +4 oxidation states. However, the oxidation reaction for cerium in melts (Ce³⁺ + ¼O₂ = Ce⁴⁺ + ½O²⁻) is significantly more exothermic than the analogous reaction for Pu. Accordingly, Ce³⁺ is more stable at higher melt temperatures compared to Pu³⁺, and this fact makes comparisons challenging. On the other hand, Hf exists exclusively as a +4 ion yet has a different electron configuration than Pu - [Xe]4f¹⁴5d²6s². Similarly, Gd exists exclusively as a +3 ion. HfO₂ and Gd₂O₃ have been used as surrogates for Pu⁴⁺ and Pu³⁺, respectively for solubility studies.^{29,56,57} Based on the above discussion, Pu⁴⁺ might be expected to occur as an eightfold coordinated complex with oxygen in glass melts while, sixfold coordination is expected for Pu³⁺.

3 | EXPERIMENTAL METHOD

The glasses studied in this work were prepared at Pacific Northwest National Laboratory (PNNL). Glass samples were shipped to Lawrence Berkeley National Laboratory (LBNL), where they and appropriate reference materials were prepared for X-ray absorption spectroscopy (XAS) measurements. The samples were then sent to the Stanford Synchrotron Radiation Lightsource (SSRL), where LBNL and PNNL collaborators conducted the XAS measurements.

Two different sets of Pu- containing glass systems have been investigated in this work: Pu10-IV and Pu11.4-L along with their respective Ce-containing analogs Pu10S-IV and Pu11.4S-L. The nomenclatures used here for the Pu10-IV and Pu11.4-L glasses are different than those that may appear in internal reports or future publications by other authors.^a Here, the terminology Pu10-IV informs the reader that the glass was developed from a nominal Pu baseline waste glass composition containing 10.0 wt% PuO₂ (Pu10-IV). For the simulated (i.e., non-radioactive) glasses (Pu10S-IV and Pu11.4S-L) containing CeO₂ instead of PuO₂, the molar concentration of CeO₂ was kept identical to that of PuO₂. The base glass series is designated with -IV or with -L.

^a Pu10-IVa and Pu10-IVb have also been referred to as Pu16MC3-2.1#1 and Pu16MC3-2.1#2; Pu11.4-L has been termed V2 by Vienna et al.⁵⁸ as well as PuPNL-30-11.4. New nomenclatures have been used to make sample identifications more consistent with those used by Darab et al.³⁷ and to make this article more readable.

TABLE 2 Nominal and measured composition of Pu11.4-L frit (wt% oxide)

Oxide	Nominal	Measured
Al ₂ O ₃	21.49	21.54
B ₂ O ₃	11.74	11.71
Gd ₂ O ₃	8.59	8.61
La ₂ O ₃	12.43	12.35
Nd ₂ O ₃	12.83	12.80
SrO	2.51	2.51
SiO ₂	29.12	29.13
ZrO ₂	1.30	1.29
Total	100.00	99.94

The Pu10-IV glass is a typical borosilicate glass that is chemically similar to other borosilicate waste glass compositions containing Pu (Table 1). The main differences between Pu10-IV and other glasses are that the former contains four different alkali oxides instead of the typical Na₂O, and that the former contains rare earth oxides. Pu11.4-L is a LaBS glass that is devoid of alkalis, although it contains the alkaline earth SrO. The rare earth element oxides include Gd₂O₃, Nd₂O₃, and La₂O₃.

3.1 | Preparation of frits for plutonium-containing glasses

Two frit compositions were fabricated for melting with Pu or Ce. Batch material was made by mixing appropriate amounts of dry chemical reagents: SiO₂, Al₂O₃, H₃BO₃, BaCO₃, Cs₂CO₃, Fe₂O₃, Gd₂O₃, K₂CO₃, La₂O₃, Li₂CO₃, Na₂CO₃, Nd₂O₃, SrCO₃, ZrO₂, and Na₂PO₄. Batches of ~500 g were melted in Pt/10%Rh crucibles in a furnace (Deltech DT-31) at 1250°C for use in the Pu10-IV glass preparation or at 1450°C for use in the Pu11.4-L glass preparation. Melt duration in both cases was 1 h, after which the melt was quenched on a stainless-steel plate and then ground in a tungsten carbide mill for 3 min. Final Pu11.4-L frit composition was confirmed by chemical analysis. Samples were fused in KOH in a Ni crucible and dissolved in HNO₃. The solution was diluted and analyzed using inductively coupled plasma atomic emission spectroscopy (ICP-AES), with results summarized in Table 2.

3.2 | Preparation of low-fired PuO₂ source

The procedure for preparing the low-fired PuO₂ has been described in detail elsewhere.⁵⁸ Here we summarize the pertinent information. A 0.35 M nitric acid solution con-

TABLE 3 Results of radiochemical analysis on the starting Pu-nitric acid solution

Isotope	Concentration (g/L)
²³⁸ Pu	0.0000
²³⁹ Pu	0.1884
²⁴⁰ Pu	0.0129
²⁴¹ Pu	0.0004
²⁴¹ Am	0.0000
²⁴² Pu	0.0001
Total	0.2018

taining the Pu and related isotopes listed in Table 3 was boiled in approximately 0.25 L batches in a Teflon container at a temperature of 200–270°C.⁵⁸ After a 10-fold reduction in solution volume was achieved, the liquid was transferred to an alumina crucible and heated in a muffle furnace at a temperature of 270–300°C, forming a dried foamy material that was then calcined between 350°C and 400°C for 30 min for direct denitration/conversion to PuO₂.

3.3 | Preparation of plutonium- and cerium-containing glasses

Frits were mixed with appropriate amounts of PuO₂ source and melted in a Pt-10%Rh crucible in air. Melts were conducted in a radiological glove box. The Pu10-IV glasses were prepared by melting the frit/PuO₂ mixture at 1300°C for 2 h (glass Pu10-IVa), then at 1500°C for 1 h (glass Pu10-IVb). The Pu11.4-L glass was prepared by melting the frit/PuO₂ mixture at 1500°C for 4 h, stirring the melt with an Inconel rod for ~5 s after the first and second hours. Melts were quenched by placing the crucible in a water bath.^b

Selected samples were examined by powder X-ray diffraction to confirm dissolution of the PuO₂.⁵⁸ The composition of glass Pu11.4-L was confirmed using ICP-AES as shown in Table 4.

For the Ce-containing glasses, Pu10S-IVa, Pu10S-IVb, and Pu11.4S-L, CeO₂ was added to the baseline batch material, which was then melted directly (intermediate frits were not prepared). The Ce-containing glasses were prepared in exactly the same manner as their homologous Pu-containing counterparts. The [Ce⁴⁺]/[Ce_{total}] ratio in appropriate glass samples was determined using a wet chemical method discussed previously.⁴¹

^b Slightly different melting procedures were employed because the studies were performed at different times. The impacts are expected to be minimal.

TABLE 4 Nominal and measured composition of Pu11.4-L glass (wt% oxide)

Oxide	Nominal	Measured
Al ₂ O ₃	19.0	22.1
B ₂ O ₃	10.4	10.4
Gd ₂ O ₃	7.60	7.60
La ₂ O ₃	11.0	11.4
Nd ₂ O ₃	11.4	11.7
PuO ₂	11.4	9.20
SrO	2.20	2.30
SiO ₂	25.8	(a)
ZrO ₂	1.20	1.10

(a) SiO₂ concentration was not obtained due to experimental difficulty.

3.4 | XAS measurements

The Ce-containing glasses were ground to -325 mesh (<44 μm) in a WC mill and sealed with mylar tape in an aluminum holder. The solid reference materials CeO₂, Ce₂(SO₄)₃, and ²⁴²PuO₂ were also prepared in this manner. The Pu-containing glasses, in the form of fractured chips or shards, were sealed in 5 mm-diameter plastic centrifuge tubes by melting the caps directly to the tubes with a soldering iron. Aqueous solutions of 0.020 M Pu⁴⁺(aq) or Pu³⁺(aq) were prepared for measurement as well. The isotope used for the solutions was ²⁴²Pu with 0.05 mol% ²⁴¹Am as the principal transuranic impurity.

All X-ray absorption spectra discussed in this work were obtained at room temperature on beam line 4-1 at SSRL. Cerium or Pu L_{III}-edge X-ray absorption near-edge (XANES) data were obtained in fluorescence mode. References were simultaneously measured in transmission mode. The beam line used a Si (220) double crystal monochromator, standard ionization detectors, and a Ge solid state fluorescence detector developed at LBNL.⁵⁹ For each scan, the incident and fluorescence/transmission X-ray intensities were recorded as a function of X-ray energy, E, allowing the absorption coefficient, μ , to be determined.

Data were collected between 5673 eV and 6273 eV for Ce L_{III} XANES or between 18 000 eV and 18 600 eV for Pu L_{III}-edge XAS with the incident beam detuned 50% to decrease the contribution from higher harmonics. Monochromator step increments were 0.2 eV in the vicinity of the Ce L_{III} edge (5693–5733 eV) and 0.5 eV near the Pu L_{III} edge (18 025–18 075 eV). X-ray energies were calibrated using the CeO₂ or PuO₂ reference. Between two and five scans were taken for each sample, depending on the signal to background ratio, and then averaged. For each averaged set of XAFS data, the energy of the main absorption feature was taken as the absorption maximum rather than the half-height of the leading edge from feature for the purposes of this manuscript.

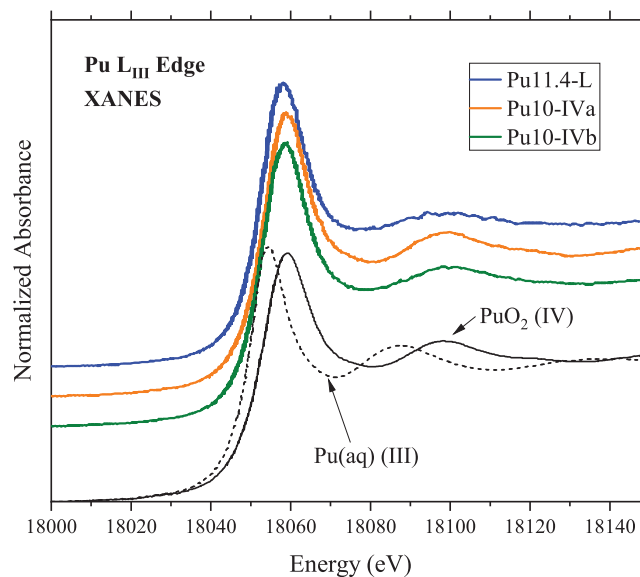


FIGURE 1 X-ray absorption near-edge structure of Pu-L_{III} edge in standards and glasses

4 | RESULTS

4.1 | Redox by chemical method

The $[\text{Ce}^{4+}]/[\text{Ce}_{\text{total}}]$ ratio in the Pu11.4S-L glass was determined to be 0.06 ± 0.01 using a proven wet chemical method.⁴¹ However, this method could not be performed on the Pu10S-IV glasses because these compositions contained considerable concentrations of Fe₂O₃, which interfered with the analysis.

4.2 | X-ray absorption measurements

Figure 1 shows a detail of the Pu L_{III}-edge XANES spectra obtained from the Pu-containing glasses along with those obtained from the PuO₂(s) and Pu³⁺(aq) reference materials. Note that the main peak position of the Pu in the glasses lines up closely with, but is slightly lower in energy than, that for the PuO₂(s) reference and the Pu⁴⁺(aq) reference (not shown). This indicates that Pu⁴⁺ is the predominant species in these glasses, but that a small contribution from Pu³⁺ (the main absorption peak, which occurs at a significantly lower energy than that for Pu⁴⁺, see below) also exists.

Figure 2 shows a detail of the Ce L_{III}-edge XANES region obtained from the Ce-containing glasses along with those obtained from the CeO₂ and Ce₂(SO₄)₃ reference materials. Note that the main peak position of the cerium in the glasses lines up closely to that of the Ce₂(SO₄)₃ reference, indicating that Ce³⁺ is the predominant species in these glasses. Antonio et al. found a sharp Ce³⁺ edge at 5723 eV in

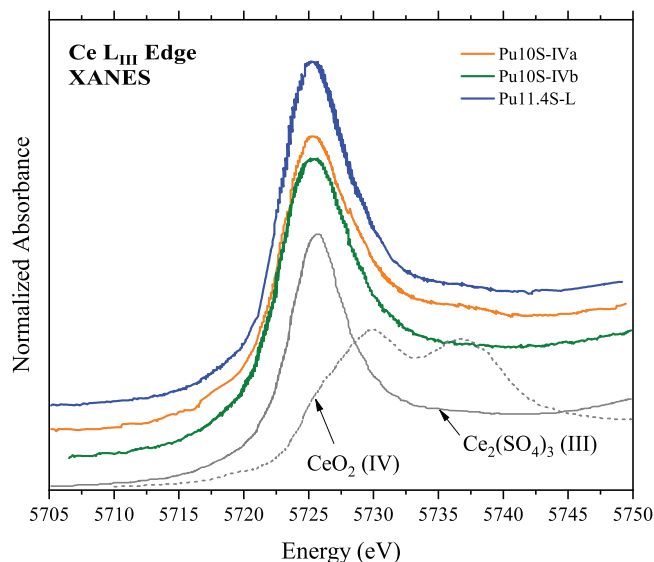


FIGURE 2 X-ray absorption near-edge structure of Ce-L_{III} edge in standards and glasses

Ce₂MoO₆, consistent with the results for Ce₂(SO₄)₃.⁶⁰ Furthermore, the XANES peak observed at approximately 5740 eV for the CeO₂ reference is characteristic of Ce⁴⁺ species in general,^{37,61–63} and as such can be used as a Ce⁴⁺ signature. The lack of any XANES feature near 5740 eV for the Pu1.4S-L glass indicates that an insignificant amount of Ce⁴⁺ is present. Some intensity is observed near 5740 eV for the Pu10S-IV glasses, however, indicating that a small contribution from Ce⁴⁺ is present in these samples.

5 | DISCUSSION

5.1 | Analysis of XAFS data

For a given element in a compound or material, the energy at which the main absorption edge occurs is sensitive to oxidation state.⁶⁴ For a compound or material with mixed metal oxidation states, the XAFS spectrum obtained can typically be approximated as a mixture of contributions from the X-ray absorptions from each of the individual metal oxidation states/environments. In many cases, the contributing XAFS features are not well-resolved, and the measured overall spectrum is a summation of the features from the individual species. Thus, the peak position, $E_{\text{peak}}^{\text{Pu-meas}}$, for a sample can be approximated using a typical rule-of-mixtures approach:

$$E_{\text{peak}}^{\text{Pu-meas}} = E_{\text{peak}}^{\text{Pu}^{4+}} x_{\text{Pu}^{4+}} + E_{\text{peak}}^{\text{Pu}^{3+}} x_{\text{Pu}^{3+}} \quad (1)$$

where $E_{\text{peak}}^{\text{Pu}^{4+}}$ and $E_{\text{peak}}^{\text{Pu}^{3+}}$ are the peak positions for pure Pu⁴⁺ and Pu³⁺ reference compounds, and $x_{\text{Pu}^{4+}}$ and $x_{\text{Pu}^{3+}}$ are the fractions of Pu⁴⁺ and Pu³⁺ in the glass with the sum of

$x_{\text{Pu}^{4+}}$ and $x_{\text{Pu}^{3+}}$ equal to unity. A similar expression can be written for the overall Ce peak position.

Here, we have used solid CeO₂, Ce₂(SO₄)₃, and PuO₂ as well as Pu⁴⁺(aq) as references for Ce⁴⁺, Ce³⁺, and Pu⁴⁺. Since there were no readily available pure Pu³⁺ solid reference materials, an aqueous solution containing Pu³⁺ was used instead. The measured peak positions, E_0 , obtained from these reference compounds (with known single oxidation state), which are summarized in Table 5, allowed us to define the values of $E_0(\text{Pu}^{4+})$, $E_0(\text{Pu}^{3+})$, $E_0(\text{Ce}^{4+})$, and $E_0(\text{Ce}^{3+})$. The peak positions for the Pu- and Ce-containing glasses studied here are also summarized in Table 5, as are the percentages of M³⁺ and M⁴⁺ determined from Equation (1).

The results summarized in Table 5 indicate that for the glass compositions and melt conditions employed here, Pu occurs predominantly (greater than ≈75%) as Pu⁴⁺ whereas Ce³⁺ is the principal oxidation state for cerium (greater than ≈95%). The Ce XANES results obtained for the Pu1.4S-L glass (i.e., >95% of the cerium occurs as Ce³⁺) are corroborated by the results obtained from the chemical analysis of the same glass (i.e., 94% of the cerium occurs as Ce³⁺). These results suggest that Ce is not a good surrogate for Pu for these glasses, due to the differences in oxidation state. However, the influence of using a Ce³⁺ surrogate for Pu³⁺ or a Ce⁴⁺ surrogate for Pu⁴⁺ on glass properties was not evaluated due to the challenge in producing glasses with purely Pu³⁺ and Ce⁴⁺, respectively.

5.2 | Glass redox chemistry

From the analyses discussed above, where it is apparent that Ce is an inappropriate surrogate for Pu for the glass compositions and processing conditions explored, it would be useful to obtain a more thorough understanding of the redox chemistry of Ce and Pu in glasses of differing composition and/or processing conditions that may define a set of conditions where Ce is a good surrogate for Pu, e.g., where Ce⁴⁺ is stable and dominant in the melt like Pu⁴⁺ under relative oxidizing conditions.

The redox chemistry of various metal species in glass-forming oxide melts has previously been investigated at various temperatures, primarily by Schreiber and co-workers,^{44,65,66} although information concerning the redox chemistry of Pu in such melts was not available. The details concerning Schreiber's work and subsequent analyses by this team are presented in [Supporting Information](#). One of the rudimentary expressions from these analyses is presented here:

$$\log \frac{[M^{(n-1)+}]}{[M^{n+}]} = \varepsilon_M (\text{melt}) - 0.25 \log [f_{\text{O}_2}] \quad (2)$$

TABLE 5 X-ray edge energies (given as white line feature maximum) measured in glasses and standards with estimated redox couple concentrations

Sample	Edge	E_0 (eV)	Reference value	M^{3+} (%)	M^{4+} (%)
PuO ₂	Pu L _{III}	18 059.2	E_0 (Pu ⁴⁺)	0	100
Pu ³⁺ (aq)	Pu L _{III}	18 054.5	E_0 (Pu ³⁺)	100	0
Pu10-IVa	Pu L _{III}	18 058.9		6 ± 5	94 ± 5
Pu10-IVb	Pu L _{III}	18 058.6		13 ± 5	87 ± 5
Pu11.4-L	Pu L _{III}	18 058.1		23 ± 5	77 ± 5
CeO ₂	Ce L _{III}	5729.9	E_0 (Ce ⁴⁺)	0	100
Ce ₂ (SO ₄) ₃	Ce L _{III}	5725.6	E_0 (Ce ³⁺)	100	0
Pu10S-IVa	Ce L _{III}	5725.3		>95	<5
Pu10S-IVb	Ce L _{III}	5725.2		>95	<5
Pu11.4S-L	Ce L _{III}	5725.1		>95	<5

TABLE 6 ϵ_M (melt) values for Pu and Ce in LaBS glasses

Sample	M	$[M^{3+}]/[M^{4+}]$	ϵ_M	ϵ_M
			(melt) Mean	(melt) Range
Pu10-IVa	Pu	0.064 ± 0.057	−1.4	−2.3 to −1.1
Pu10-IVb	Pu	0.15 ± 0.06	−1.0	−1.2 to −0.85
Pu11.4-L	Pu	0.30 ± 0.08	−0.70	−0.84 to −0.60
Pu11.4S-L	Ce	16 ± 3	+1.0	+0.9 to +1.1

where $[M^{(n-1)+}]/[M^{n+}]$ is the ratio of reduced to oxidized species in a melt, $\epsilon_M(\text{melt})$ is the relative reduction potential of the metal M in the melt, and f_{O_2} is the corresponding oxygen fugacity. Others have also measured actinide redox properties in individual borosilicate or silicate glasses (examples given in Refs.^{25–27,30,31,33,67}) but not in Pu11.4-L LaBS glass, nor as functions of glass composition.

For the Ce-containing Pu11.4S-L quenched glass studied here, only Ce³⁺ was detected by XANES, that is, $[Ce^{4+}]/[Ce_{\text{total}}]$ is less than ≈ 0.05 (the XAFS detection limit for Ce⁴⁺). The chemical analysis performed on this same glass allows us to get a more definitive assessment of the redox chemistry of Ce in the Pu11.4S-L glass and indicated that $[Ce^{4+}]/[Ce_{\text{total}}]$ was 0.06 (± 0.01). A reasonable value of $[Ce^{4+}]/[Ce_{\text{total}}]$ for this glass would thus be 0.06 ± 0.01 . We also determined the value of $[Pu^{4+}]/[Pu_{\text{total}}]$ for the glasses studied here directly from the XAFS results. As previously mentioned, chemical analyses could not be performed on the Pu10S-IV glasses, so there is no more definitive $[Ce^{4+}]/[Ce_{\text{total}}]$ value for these glasses.

The $[M^{4+}]/[M_{\text{total}}]$ values determined above were then converted to $[M^{3+}]/[M^{4+}]$ values (see Table 6). Assuming that the value of $[M^{3+}]/[M^{4+}]$ in the quenched glasses is representative of those in the corresponding

melts at melt temperature,^c the value of $\epsilon_M(\text{melt})$ of the appropriate couple in the melt can be calculated from Equation (2). The calculated values of $\epsilon_M(\text{melt})$ for the particular glass compositions and melt conditions employed here are summarized in Table 6. As in the previous section, the large difference between the mean value of $\epsilon_{Ce}(\text{Pu11.4S-L})$ of +1.0 for Ce in the Pu11.4S-L glass and the mean value of $\epsilon_{Pu}(\text{Pu11.4-L})$ of −0.7 for Pu in the Pu11.4-L glass substantiates a large difference between the redox chemistry of Ce and Pu in these glasses.

5.3 | Melt redox chemistry

While we have established in the preceding two sections that the redox chemistry of Ce and Pu are dramatically different for the glasses studied here, it would be beneficial to verify these results using an alternative based on melt chemistry.

The extensive work of Schreiber et al.,^{44,65,66} and in particular the Savannah River Laboratory-131 (SRL-131) glass and melt composition,⁶⁵ provides this opportunity, although as mentioned previously Pu is not included in these studies. A correlation in redox couples between melts of different compositions and processing temperatures, $\epsilon_M(\text{melt})$, with respect to that of SRL-131 melts at 1150°C, $\epsilon_M(\text{SRL})$, was identified based on the work of Schreiber (See the Supporting Information for details).⁶⁵ This relation is:

$$\epsilon_M(\text{melt}) = b \times \epsilon_M(\text{SRL}) + \delta [\pm 0.4 \text{ units}] \quad (3)$$

^c A reasonable assumption due to the rapid quenching of glasses relative to the kinetics of oxygen transport in the melt.

TABLE 7 Comparison of standard aqueous redox potentials with those in SRL-131 and Pu11.4-L glass melts

	I	II	III
Solvent	Water	SRL-131	Pu11.4-L
Value	ε_M^0	$\varepsilon_M(\text{SRL})^{\text{a}}$	$\varepsilon_M(\text{Pu11.4-L})^{\text{a}}$
Couple			
Ce ^{4+/3+}	+1.44 V ^{b)}	+0.2 ^{c)} −0.1 ^{d)}	+1.0 ^{e)}
Pu ^{4+/3+}	+0.97 V ^{f)}	−1.5 ^{c)}	−0.4 ^{g)} −0.7 ^{h)}

a) ε_M is a unitless value.

b) Versus SHE from Schreiber.⁴⁴

c) Value determined by extrapolating from the aqueous reduction potential listed in column I using Equation (4).

d) Experimentally measured value.⁴⁴

e) Mean value determined from Ce chemical/XANES data.

f) Versus NHE from Nugent.⁶⁸

g) Value determined by extrapolating from the Pu^{4+/3+} SRL-131 reduction potential predicted from the measured Ce^{4+/3+} potential listed in column II using Equation (5). Combined standard deviation is approximately ± 0.4 units.

h) Mean value determined from Pu XANES data.

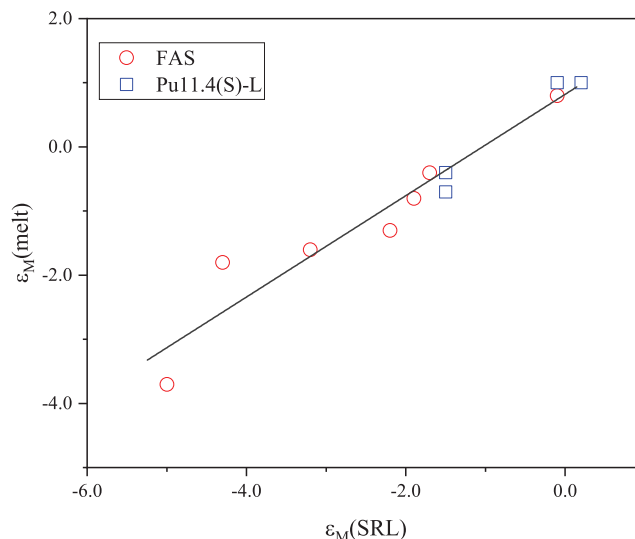
where b is equal to $T_{\text{SRL}}/T_{\text{melt}}$ (the ratio of the absolute melt temperature of the SRL-131 system to that of the melt system in question) and δ is related to b and the ratio of activity coefficients for the metal redox ions in a given melt, the oxygen fugacity (f_{O_2}) in the melt, and the number of electrons transferred in the redox couple. More details on derivation of Equation (3) are reported in [Supporting Information](#).

To obtain the alternative analyses of the melt redox chemistry of Ce and Pu desired in this study, Equation (3) needs to be evaluated. To do that, we need to (among other things) determine the value of $\varepsilon_{\text{Pu}}(\text{SRL})$, which is not part of Schreiber's data set.

To accomplish this, we have relied on Schreiber and co-workers,^{44,65,66} who demonstrated the useful comparability of the electromotive force series in silicate-based melts to those from aqueous solutions. For example, in redox reactions involving one electron, the standard reduction potential of 12 couples in aqueous solution, $\varepsilon_M^0(\text{aq})(\text{V}, \text{SHE})$, and the unitless relative (reference) reduction potential in alkali borosilicate SRL-131 melts at 1150°C, $\varepsilon_M(\text{SRL})$,⁴⁴ can be correlated ($R^2 = 0.97$) using the following expression:

$$\varepsilon_M(\text{SRL}) = -5.1 + 3.7(V^{-1})\varepsilon_M^0(\text{aq}) [\pm 0.4 \text{ units}] \quad (4)$$

The aqueous redox potentials for the Ce^{4+/3+} and Pu^{4+/3+} couples are listed in column I of Table 7.^{44,68} Using Equation (4), the reduction potentials for these couples in the SRL-131 melt were evaluated and are summarized in column II of Table 7. For the Pu11.4-L (and Pu11.4S-L) melts at 1500°C melt temperature, the value of b needed for

**FIGURE 3** Comparison of ε_M values between Pu11.4-L, FAS, and SRL glasses

Equation (3) was calculated to be 0.80 based on the relative melt temperatures. For the Pu11.4S-L melt, where the value of $\varepsilon_{\text{Ce}}(\text{Pu11.4S-L})$ was estimated to be 1.0 (Table 6), using the calculated value of $\varepsilon_{\text{Ce}}(\text{SRL})$ (Table 7), Equation (3) allows us to estimate the value of δ for the Pu11.4-L (and Pu11.4S-L) melts at 1500°C to be 0.8. Thus, for the Pu11.4-L melts at 1500°C in general,

$$\varepsilon_M(\text{Pu11.4-L}) = 0.80\varepsilon_M(\text{SRL}) + 0.8 \quad (5)$$

Using the calculated value of $\varepsilon_{\text{Pu}}(\text{SRL})$ (Table 7) and Equation (5), the value of $\varepsilon_{\text{Pu}}(\text{Pu11.4-L})$ was determined to be -0.4 (see Table 7). This result is within the experimental error of the measured range, which was determined from the Pu XANES data.

5.4 | Applicability of approach

The approach outlined and validated herein has clear applicability to a variety of related glass research areas. One example might include research in developing new glasses to influence the redox chemistry of species that are not commonly assessable due to safety reasons, such as Pu. In such a case, one could study the redox chemistry of other species, such as Fe, using a variety of techniques to assess the $[M^{(n-1)+}]/[M^{n+}]$ ratio and, in a similar fashion as exemplified herein, estimate the redox chemistry of species of interest in the same glass.

Another example would be using the redox chemistry of a species as a probe to assess the chemistry of the glass matrix (“solvent”) itself. Figure 3 shows a plot of $\varepsilon_M(\text{melt})$ versus $\varepsilon_M(\text{SRL})$ for data obtained from both the

Pu11.4-L glass system studied here (Table 6) and those of the FAS (forsterite-anorthite-silica) glass system also studied by Schreiber.⁶⁵ Both glass systems used the same melt temperature. Notice that the data fall on the same line. Analyses using Equation (3) allows one to determine that this coincidence of data means that the activity coefficients of species in these melts (through δ) are similar, which may mean the chemical behavior of these melts as a solvent is similar.

Lastly, one can use this approach to identify surrogates for Pu that would better match the desired redox properties than Ce. Consider two different sets of ionic metal species, M_a and M_b , in otherwise identical glass compositions melted under identical conditions. Using Equation (2), we can define the following:

$$\log \frac{[M_a^{(n-1)+}]}{[M_a^{n+}]} = \varepsilon_{M_a} - 0.25 \log[f_{O_2}] \quad (6)$$

$$\log \frac{[M_b^{(n-1)+}]}{[M_b^{n+}]} = \varepsilon_{M_b} - 0.25 \log[f_{O_2}] \quad (7)$$

Subtracting Equation (6) from Equation (7) and rearranging,

$$\log \frac{[M_a^{(n-1)+}]}{[M_a^{n+}]} = \log \frac{[M_b^{(n-1)+}]}{[M_b^{n+}]} + \varepsilon_{M_a} - \varepsilon_{M_b} \quad (8)$$

Comparing the $Ce^{3+}:Ce^{4+}$ and $Pu^{3+}:Pu^{4+}$ couples,

$$\log \frac{[Ce^{3+}]}{[Ce^{4+}]} = \log \frac{[Pu^{3+}]}{[Pu^{4+}]} + 1.7 \quad (9)$$

or more generally for a Pu-surrogate, M_a ,

$$\log \frac{[M_a^{3+}]}{[M_a^{4+}]} = \log \frac{[Pu^{3+}]}{[Pu^{4+}]} + \varepsilon_{M_a} + 0.7 \quad (10)$$

Figure 4 demonstrates the utility of this relationship in estimating the ratios of various redox couples as a function of the $Pu^{4+}/(Pu^{3+} + Pu^{4+})$. In this case the constant 0.7 is specific to this glass composition. Similar relationships were calculated for $Cr^{3+}/(Cr^{3+} + Cr^{6+})$ by Hrma.^{69,70} Figure 4 further confirms that Ce is not a good surrogate for Pu, at least in terms of redox chemistry in glass melts, for example between 0.0 and 0.5 $Pu^{4+}/(Pu^{4+} + Pu^{3+})$. Note that the chemical behavior of Fe has served as an analog and inspiration for the development of a class of the most stable chelators for Pu.⁷¹ Although Fe might not be a good surrogate for Pu for structural or solubility studies, once

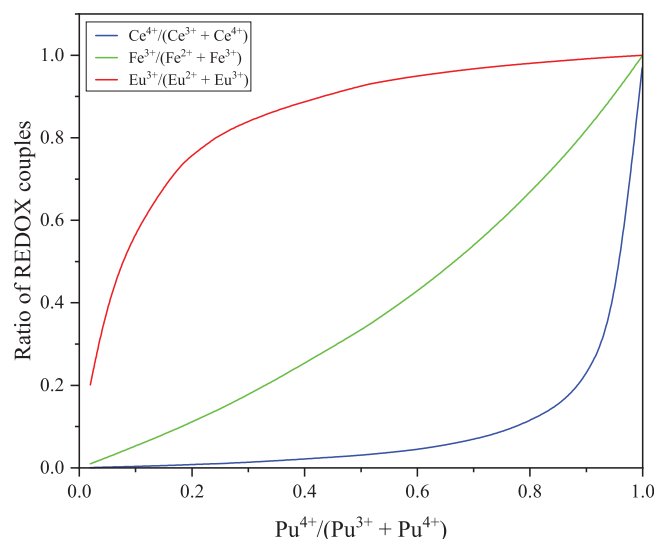


FIGURE 4 Ratio of selected redox couples in glass melts as a function of $Pu^{4+}/(Pu^{3+} + Pu^{4+})$

an estimate of the relative amounts of Pu^{4+} and Pu^{3+} is determined through a correlation with the determined Fe redox chemistry, the appropriate ratios of HfO_2 and Gd_2O_3 , as mentioned above, can then be used to estimate the solubilities of Pu^{4+} and Pu^{3+} , respectively. For those melts that are sufficiently oxidizing so that Pu is predominantly in the +4 oxidation state, HfO_2 alone might be a good surrogate for PuO_2 , although the coordination environment might be slightly different.⁴⁹

6 | CONCLUSIONS

Using XANES, we have demonstrated that for alkali borosilicate glasses batched with 10 wt% PuO_2 or equimolar CeO_2 and melted at 1300–1500°C in air, Ce is an inappropriate surrogate for Pu. A similar conclusion was achieved using XANES and chemical analyses for LaBS “Loffler” glasses batched with 11.4 wt% PuO_2 or equimolar CeO_2 and melted at 1500°C in air. For both glass systems, the Ce occurs predominantly (~95%) as Ce^{3+} whereas the Pu occurs as >77% Pu^{4+} with the remaining balance as Pu^{3+} . Further analyses of the melt redox chemistries of Ce and Pu in LaBS glass and comparisons with those from aqueous and SRL-131 glass-forming systems support the XANES and chemical results. Based on these combined results for the glasses studied here and for other glass-forming systems that may be more reducing, Ce would not be a good redox surrogate for Pu since the measured $[Ce^{4+}]/[Ce_{total}]$ ratio would remain relatively invariant at <0.05 for similar or more highly reducing melts, whereas the $[Pu^{4+}]/[Pu_{total}]$ ratio would vary significantly under the same conditions. Therefore, we conclude that Ce is a

poor analog for determining the behavior of Pu in alkali borosilicate and LaBS glass compositions. For LaBS glasses and glasses with higher oxidation potentials, Hf oxidation state is more similar to that of Pu, but with a different coordination environment (7) unless stabilized in the cubic structure by rare-earth or alkaline-earth additions. These analyses confirm that there is not a good single non-radioactive surrogate for Pu in glass melts. There is a reason that Pu resides in its own box on the periodic table.

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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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