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## Revisiting Social MPE: An Integration of Molecular Pathological Epidemiology and Social Science in the New Era of Precision Medicine

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### Abstract

**Introduction:** Molecular pathological epidemiology (MPE) is an integrative disciplinary area examining the relationships between various exposures and pathogenic signatures of diseases.

In line with the accelerating advancements in MPE, social science and its health-related

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§TU and SO contributed equally.

#### Author Contributions

A Nishi and S Ogino designed the project. J Dai, A Nishi, N Tran performed literature review and wrote the first version of the manuscript. G Dewey, Y Yamamoto, T Ugai and S Ogino analyzed the findings. All the authors revised the manuscript.

**Use of standardized official symbols:** Authors use HUGO (Human Genome Organisation)-approved official symbols for genes and gene products, including APOE, APP, BDNF, BRCA1, ERBB2, ESR1, MAPT, and PGR; all of which are described at [www.genenames.org](http://www.genenames.org). The gene symbols are italicized while protein symbols and non-official colloquial protein names are non-italicized.

#### Declaration of Interest

A Nishi is a consultant to Urbanic & Associates. Y Yamamoto is the Founder and CEO, Kyoto Angel Fund, Inc. in Japan. The authors have no other relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript apart from those disclosed.

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interdisciplinary areas have also developed rapidly. Accumulating evidence indicates the pathological role of social-demographic factors. We therefore initially proposed social MPE in 2015, which aims to elucidate etiological roles of social-demographic factors and address health inequalities globally. With the ubiquity of molecular diagnosis, there are ample opportunities for researchers to utilize and develop the social MPE framework.

**Areas covered:** Molecular subtypes of breast cancer have been investigated rigorously for understanding its etiologies rooted from social factors. Emerging evidence indicates pathogenic heterogeneity of neurological disorders such as Alzheimer's disease. Presenting specific patterns of social-demographic factors across different molecular subtypes should be promising for advancing the screening, prevention, and treatment strategies of those heterogeneous disease. This article rigorously reviewed literatures investigating differences of race/ethnicity and socioeconomic status across molecular subtypes of breast cancer and Alzheimer's disease to date.

**Expert Opinion:** With advancements of the multi-omics technologies, we foresee a blooming of social MPE studies, which can address health disparities, advance personalized molecular medicine, and enhance public health.

### Keywords

Alzheimer's disease; breast cancer; health inequality; heterogeneity; laboratory medicine; molecular pathological epidemiology; precision medicine; prevention; social science; social epidemiology

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## 1. Outline

The intention of this paper is to revisit social-MPE framework that was initially proposed in 2015. The first section, "Molecular pathological epidemiology in the modern era of precision medicine", provides the definition and main components of the general MPE framework. The second section, "Integration of social science and MPE", presents the latest concept of the social MPE framework. The third and fourth sections are to illustrate the application of social MPE in neoplasms (breast cancer as an example) and non-neoplastic diseases (Alzheimer's disease as an example), which were the main focus and novelty of the current study, followed by the conclusion section.

## 2. Molecular pathological epidemiology in the modern era of precision medicine

Pathology, which is a basic field of biomedical science, analyzes tissue morphologies and cellular molecular features of diseases to predict treatment and intervention outcome<sup>1</sup>. Epidemiology, which is the study of the distribution and determinants of disease in specified populations, provides conceptual and analytical frameworks for examining the associations between various exposures and health-related outcomes<sup>2</sup>. Although diverse technologies are employed by pathologists and epidemiologists alike, both pathology and epidemiology embrace a common goal of elucidating the etiologies and pathologies of diseases to promote population health<sup>3</sup>.

Due to the increasing availability of molecular pathological diagnostic tools and the breakneck development of laboratory technologies, the field of molecular pathology has advanced rapidly in the past few decades<sup>4</sup>. Nowadays, incorporation of molecular pathology into epidemiological research frameworks is becoming a mainstream in cancer epidemiology<sup>5</sup>. For example, several attempts have been made to generate a molecular classification of gastric cancer in order to facilitate the early detection of gastric cancer as well as the development of personalized treatment<sup>6,7</sup>. By integrating molecular pathology and epidemiology, our previous study has identified a panel of 13 mRNA to predict the overall survival of gastric cancer patients, which might be useful in clinical practice for informing personalized treatment options<sup>8</sup>. The integration of molecular pathology and epidemiology has been beneficial to both subjects. As a method-based discipline, epidemiology develops and standardizes analytical strategies to elucidate the association between exposures and outcomes, which provides both reproducibility and the potential of applying epidemiological method like causal inference toolbox (i.e., inverse probability weighting, marginal structural model, g-computation, and so on) to pathological studies<sup>9–13</sup>. Reciprocally, epidemiologists who utilize molecular pathology approaches can not only link exposures to molecular pathological biomarkers but also advance and refine disease classification systems and molecular mechanisms underlying the pathogenesis of the disease<sup>14,15</sup>, which further prompt the individualized therapeutic and preventive strategies<sup>16</sup>.

In parallel with the increasing incorporation between molecular pathology and epidemiology, molecular pathological epidemiology (MPE) has been proposed as an integrative research discipline which employs molecular pathological biomarkers to subclassify diseases and to shed light on interindividual differences with regard to specified epidemiological determinants of human population health<sup>5,17,18</sup>. The field of MPE is versatile, having integrated other scientific disciplines related to pathology such as microbiology and immunology<sup>5,19–21</sup>. The MPE paradigm conceptually stands on the unique disease principle and the disease continuum theory<sup>22,23</sup>. The unique disease principle is based on the concept that human diseases result from substantially complex interplay of alterations in epigenomes, transcriptomes, proteomes, metabolomes, microbiomes and interactomes, the combination of which is unique to each individual. Thus, each disease process in each human being should be distinctive from “the same disease process (under the traditional epidemiology paradigm)” in other people. The unique disease principle highlights the distinctiveness of disease pathogenesis within each human being<sup>22</sup>. The disease continuum theory emphasizes that different disease entities might have pathologically overlapping features and related etiologies<sup>23</sup>. For example, benign lymphoproliferative diseases have overlapping clinicopathological features with malignant lymphomas<sup>24</sup>. Moreover, neoplastic diseases often cause para-neoplastic syndromes with symptoms which may often be observed in non-neoplastic disease conditions<sup>23</sup>. Therefore, pathophysiology of seemingly diverse diseases in every single person within a specific study population should be considered as a combination of multiple phenotypes<sup>23</sup>. The integrated MPE model allows us to explore the novel molecular biomarkers, which were unavailable in the conventional epidemiology studies. Moreover, the MPE method can offer us a deeper understanding on the mechanisms underlying differential associations of risk factors with certain diseases subtypes through adding the molecular pathological signatures

into the causal chains, which play a critical role in the precision medicine initiative<sup>25</sup>. In line with the short-term milestone of the precision medicine initiative, there is an abundance of molecular pathological diagnostic tests on tumor specimens to study. Thus, utilization of the MPE method has been prevalent in cancer epidemiological research. But in fact, MPE can be readily applied to both neoplastic and non-neoplastic disease showing considerable interpersonal heterogeneity<sup>23,26</sup>. The importance of the MPE framework and its relevance have been discussed and emphasized in the international meetings<sup>27–30</sup> and the literature<sup>31–47</sup>.

### 3. Integration of social science and MPE

Social science is a discipline that studies societies and the relationships among individuals within those societies<sup>48</sup>. Social science (e.g., sociology) and its health-related interdisciplinary areas (social epidemiology) have also expanded significantly and concurrently with the booming development of MPE<sup>49,50</sup>. Social-demographic factors such as socioeconomic status/position, income inequality, social support and capital, neighborhood quality, gender and race/ethnicity can influence lifestyle and other exposure status of individuals and therefore determine human health<sup>49,51</sup>. As an interdisciplinary area of social science and epidemiology, social epidemiology is concerned with the way that social structures, institutions, and relationships influence health<sup>52</sup>. Social epidemiology is a method-based subject, which has sometimes adapted theories and analytical strategies from other fields of social science to cast light on population health-related questions<sup>50,53–59</sup>. One crucial goal of social epidemiology is to understand the biological mechanism of the interested social risk factors upon population health outcomes<sup>52</sup>.

Increasing evidence has indicated the pathological role of social-demographic factors, which have been linked to individuals' genetic or epigenetic alterations<sup>60,61</sup>. Social-demographic factors can exert influence on human disease outcome through managing lifestyle and other risk factors of individuals<sup>62,63</sup>. Herein, to deepen our knowledge on how social-demographic factors can affect disease pathogenic procedure and to better address health disparities through regulating social-demographic factors, it is imperative to incorporate social epidemiology (or social science in a broad manner) into MPE paradigm (referred to as "Social MPE"<sup>49</sup>) (Figure 1). Social MPE aims to offer a unified framework not only to examine the interpersonal heterogeneity of the disease pathologies in regard to the social disparities but also to explore the underlying pathological mechanism linking the social-demographic factors to disease development, which would both fulfill the need of precision medicine and meet the promising path of social epidemiology. For instance, in order to estimate the effect magnitudes of social inequalities on human health outcomes, we can compare the associations between social determinates and various molecular subtypes of human diseases.

By integrating social science and MPE studies, the social MPE framework enables us to detangle the underlying mechanisms of social-demographic factors on disease pathogenic progress, to better understand inter-personal disease heterogeneity, and to estimate the effect magnitude of social-demographic factors on certain disease molecular subtypes. Moreover, social MPE studies can expand our horizons from individual levels to aggregate levels and

therefore address the social disparities in global contexts. Although the MPE framework has been commonly employed in the neoplastic diseases (e.g., as colorectal, lung, and prostate cancers) due to the widespread of the molecular diagnosis, it can be readily applied to both neoplastic and non-neoplastic diseases hosting substantial interpersonal heterogeneity.

Social MPE may help elucidate etiologies of recent trends and changes in incidence of certain diseases in the context of economical and lifestyle development of modern human societies. A notable example of such trends is a recent increase in (so-called “early-onset”) cancers that occur in many different organs of adults before age 50 years. The reasons of this trend remain uncertain. Because of increasing impacts of cancer burden in young individuals, the USA National Cancer Institute designated “What are the underlying causes of the unexplained rising incidence in certain early-onset cancers?” as the top 2020 “Provocative Question”. Certain malignancies such as colorectal, endometrial, esophageal, pancreatic, and thyroid cancers had shown increases in their incidence since the 1950s, when many countries started showing extensive industrialization and economic growth accompanied by modern diet and lifestyle changes. Notably, incidence of these cancers in adults before age 50 has shown a delayed rise since the 1980s and 1990s. This phenomenon has led to the hypothesis that early life exposures may play etiological roles in those early-onset cancers, as they may put individuals at higher cancer risk for decades before clinically detectable cancers develop<sup>64</sup>. It is considered that the rise of early-onset cancers is likely tied to modern diet and lifestyle factors (especially in early life), which are in turn tightly linked to social and economic factors. Hence, to elucidate the etiologies of early-onset cancers, the approaches of lifecourse MPE and social MPE need to be integrated<sup>49,51</sup>.

Hereafter, we illustrate the social MPE approach using the breast cancer as an example for neoplasms and the Alzheimer’s disease as an example for non-neoplastic diseases to elaborate the application of social MPE framework.

#### 4. The roles of race/ethnicity and socioeconomic status in breast cancer heterogeneity

Breast cancer is the most common cancer and the leading cause of cancer death among females worldwide<sup>65</sup>. It is also the most common and the second leading cause of cancer death among US women<sup>66</sup>. Based on the combined status of ESR1 (estrogen receptor 1) overexpression, PGR (progesterone receptor) overexpression, and ERBB2 (HER-2) overexpression (or high-copy gain), breast cancer can be approximately divided into four major molecular subtypes, including luminal A (ERBB2–, either ESR1+ or PGR+), luminal B (ERBB2+, either ESR1+ or PGR+), ERBB2-enriched (ERBB2+, ESR1–, PGR–), and triple-negative (ERBB2–, ESR1–, PGR–)<sup>67–69</sup>. Due to its molecular heterogeneity, examination of ESR1 (ER), PGR (PR), and ERBB2 (HER-2) statuses has been incorporated into the routine clinical care of breast cancer in the US<sup>70,71</sup> (note that we comply with standardized protein nomenclature recommended by an international panel of experts<sup>72</sup>).

In addition, US population-based cancer registries were required to report the expression status of ESR1, PGR, and ERBB2 since 2010<sup>69</sup>. In 2019, a U.S. nationwide study utilized population-based incidence data of invasive breast cancers female aged ≥ 20 with diagnosis

between 2012 to 2016, which were collaboratively collected by the SEER program and the Centers for Disease Control and Prevention's National Program of Cancer Registries<sup>66</sup>. It reported that luminal A subtype, the least aggressive breast cancer subtype, was the most common subtypes (66%) in all racial/ethnic groups<sup>66</sup>. Moreover, treatment strategies for breast cancer varied across different molecular subtypes<sup>73</sup>. For instance, recommended systemic therapy for nonmetastatic breast cancer differs across molecular subtypes: patients with luminal A subtype receive endocrine therapy and sometimes chemotherapy, patients with ERBB2+ subtypes receive ERBB2-targeted therapy (ERBB2-enriched) and additional endocrine therapy (luminal B), and patients with triple-negative subtypes receive chemotherapy only<sup>73</sup>. As significant differences in demographic and clinical features across breast cancer subtypes have been reported<sup>74,75</sup>, breast cancer was exemplified here as a representative of neoplasm to demonstrate utility of the social MPE paradigm. To search for articles investigating the role of race/ethnicity in breast cancer heterogeneity, "race", "ethnicity", "subtype", "estrogen receptor", "progesterone receptor", "human epidermal growth factor receptor 2", and "breast cancer" were included as key words for the literature research. For socioeconomic status in breast cancer heterogeneity, "socioeconomic status", "subtype", "estrogen receptor", "progesterone receptor", "human epidermal growth factor receptor 2", and "breast cancer" were included as key words for research. The literature searches were conducted through PubMed. We identified 668 articles and found 12 articles being most relevant to the aim of exploring the association between race/ethnicity and breast cancer molecular subtypes<sup>74,76-86</sup>. In relation to socioeconomic status (SES), a total of 81 articles were identified, with four of them being most relevant<sup>87-90</sup>.

One recent study published in 2020 comprehensively explored the heterogeneity of the association between racial/ethnic groups and incidence of breast cancer molecular subtypes<sup>83</sup>. They included women diagnosed with invasive breast cancer between 2010 and 2015 from the 18 SEER registries in the US<sup>83</sup>. Compared with non-Hispanic Whites, African Americans, Hispanics, and Asian/Pacific Islanders were associated with increased incidence of luminal B, ERBB2-enriched, and triple-negative subtypes of breast cancer but not the luminal A subtype<sup>83</sup>. Asian/Pacific Islanders were associated with increased incidence of luminal B and ERBB2-enriched subtypes and decreased incidence of the triple-negative subtype compared with the luminal A subtype<sup>83</sup>. Based on data from the National Cancer Data Base, which is a national hospital-based cancer registry capturing nearly 73% of newly diagnosed breast cancer patients in the US, a study included women diagnosed with invasive breast cancer between 2010 and 2011 in the US<sup>76</sup>. Compared with the non-Hispanic Whites, they consistently reported the stronger association for African Americans and Hispanics with the increased incidence of triple-negative subtypes but not the luminal A subtype<sup>76</sup>. In addition, they found that non-Hispanic Asian/Pacific Islanders were associated with increased incidence of the ERBB2-enriched subtype<sup>76</sup>. Based on data of women diagnosed with invasive breast cancer and hosted in The Cancer Genome Atlas (TCGA), researchers reported that African Americans were associated with increased incidence of triple-negative and ERBB2-enriched subtypes but not luminal A subtypes<sup>78</sup>. In summary, compared with non-Hispanic Whites, positive associations have been consistently reported by three or more previous studies between African Americans and the increased incidence of triple-negative subtypes<sup>74,76,78,81-83</sup>, Hispanics and the increased incidence of



triple-native subtypes<sup>74,76,79,83</sup>, and Asian/Pacific Islanders and the increased incidence of luminal B subtypes<sup>74,77,83</sup> (Table 1).

By including African American and non-Hispanic White females diagnosed with invasive breast cancer between 1993 and 2019 at the University of Chicago Comprehensive Cancer Center, one cohort study found that African Americans with luminal A and ERBB2-enriched subtypes were associated with the worse overall survival, the worse recurrence-free survival, and the worse breast cancer-specific survival compared with the non-Hispanic Whites<sup>85</sup>. The positive association between African Americans and worse overall survival with luminal A has been consistently reported by another cohort study, which included women diagnosed with invasive breast cancer between 2008 and 2013 recorded by the New Jersey State Cancer Registry<sup>84</sup>. They further suggested that, compared with non-Hispanic Whites, African Americans tended to have the worse overall survival of luminal B and triple-negative subtypes. In addition to studies conducted in the US, researchers in New Zealand included women diagnosed with stage I-III breast cancer between 2000 and 2013 according to Waikato and Auckland Breast Cancer Registries<sup>80</sup>. They revealed that M ori and Pacific women with the luminal A subtype tended to have the worse breast cancer-specific survival compared with non-M ori/Pacific women<sup>80</sup>. Taken together, current evidence on differential associations of race/ethnicity with breast cancer prognosis according to molecular subtypes has been scarce. Given the significant subtype heterogeneity within the association between race/ethnicity and breast cancer risk, more studies are warranted to explore the heterogenous patterns for the prognosis of breast cancer in relation to different racial/ethnic groups (Table 1).

Racial/ethnic differences in breast cancer subtypes hold the promise of the incorporation of social-demographic factors into the MPE framework. However, to what extent of differences attributable to social race/ethnicity or biological race/ethnicity have not been thoroughly studied<sup>91</sup>. For example, *BRCA1* is a tumor suppressor gene and its loss-of-function alterations account for nearly 70% of triple-negative subtypes<sup>92</sup>. Although strong evidence has revealed that African Americans have the substantially higher incidence of triple-negative subtypes compared with non-Hispanic Whites, several studies have continuously showed that the incidence of germline *BRCA1* mutations is lower than that among women of European descent<sup>93,94</sup>. This indicated that additional genetic mechanisms apart from germline mutation of *BRCA1* may promote the carcinogenesis of triple-negative subtypes among African Americans. By comparing the gene expression profile of triple-negative subtypes between African Americans and non-Hispanic Whites, various results have been generated. For example, one study reported the similar gene expression pattern between these two groups and further concluded that the triple-negative subtypes in African Americans were not a unique disease compared to those in non-Hispanic Whites<sup>95</sup>. Whereas another study demonstrated a panel of gene signature featured by increased loss of *BRCA1* expression, increased activation of insulin-like growth factor 1 receptor and increased expression of vascular endothelial growth factor-activated genes in African Americans compared with non-Hispanic Whites<sup>96</sup>. In addition to the biological race, these differences may be attributable to the disparities in health and co-morbidity of diseases as well as the access to screening test<sup>91</sup>. Studies from Finland has indicated the difference of molecular subtype distribution between screening-detected and non-screening detected breast cancer,



which partially accounted for the better outcome of screening-detected cancer<sup>97</sup>. In the US, African American women experience deficiency of breast cancer screening tests and longer period between screening mammograms and follow-up, which might affect the stage of presentation as well as the survival of African American women with triple-negative subtypes<sup>98–100</sup>. Moreover, emerging evidence also suggested that health disparities might drive aggressive biology in African Americans with triple-negative subtypes<sup>91</sup>. In light of the widespread of the molecular diagnosis of breast cancer, future studies are warranted to explore the underlying biological mechanisms of breast cancer molecular subtypes, which may help us differentiate the influence of disparity and biology.

Lower SES has been a risk factor for both the incidence and prognosis of breast cancer<sup>101,102</sup>. Neighborhood-level SES, which was defined by education, unemployment characteristics, median household income, proportion of the population living 200% below the Federal Poverty Level, median rent, and median housing value of census tract of residence, has been largely utilized for studies based on cancer registry data<sup>88,103</sup>. By including women diagnosed with triple-negative and luminal A subtypes between 2000 and 2014 recorded by the California Cancer Registry, researchers revealed that compared with non-Hispanic Whites and Hispanics having the highest quintile of SES, those having the lowest quintile of SES were associated with increased incidence of triple-negative subtypes but not luminal A subtypes<sup>88</sup>. Solely employing the neighborhood median household outcome as the representative of the neighborhood SES, another study replicated the inverse association between high-SES neighborhoods and the increased incidence of triple-negative subtypes in non-Hispanic White females<sup>89</sup>. By including African Americans diagnosed with invasive breast cancer between 2005 and 2017 in the Women's Circle of Health and Women's Circle of Health Follow-up Study, a recent study published in 2020 indicated that, compared with census tracts characterized by high-SES neighborhoods, African Americans living in census tracts with intermediate- and low-SES neighborhoods tended to develop triple-negative subtypes<sup>90</sup>. In addition to studies carried out in the US, researchers in France included women diagnosed with invasive breast cancer between 2003 and 2013 recorded by the Breast Cancer Registry in Côte-d'Or<sup>87</sup>. No significant association between SES and any molecular subtypes of breast cancer was observed in French women<sup>87</sup> (Table 1).

In summary, studies aiming to interrogate the association between SES and molecular subtypes of breast cancer are still scarce. Conflicted association between SES and incidence rate of the triple-negative subtype among African Americans has also been observed<sup>88–90</sup>. Researchers have argued that the spurious null or even inverse association between SES and triple-negative subtype might be explained by obesity, which was not specific for any population group and would be induced by low consumption of healthy foods and sedentary behavior among low SES individuals<sup>104,105</sup>.

## 5. The roles of race/ethnicity and socioeconomic status in Alzheimer's disease

Alzheimer's disease, the most prevalent cause of dementia worldwide, is a neurodegenerative disease distinguished by two pathologies:  $\beta$ -amyloid plaque deposition

and neurofibrillary tangles of hyperphosphorylated microtubule associated protein tau (MAPT), causing reduced memory, language, executive and visuospatial, personality, and behavior functions<sup>106</sup>. Currently, there are four verifiable subtypes in Alzheimer's disease, characterized by neurofibrillary tangle spread, the distribution of accumulated MAPT pathology that impedes neural transport and communication systems within neurons<sup>107</sup>. These subtypes include typical Alzheimer's disease, which has balanced neurofibrillary tangle counts in the hippocampus and association cortex; limbic-predominant Alzheimer's disease, which mainly has neurofibrillary tangle counts in the hippocampus; hippocampal-sparing Alzheimer's disease, which mainly has neurofibrillary tangle counts in the association cortex; and minimal atrophy, which has nominal counts of grey matter atrophy<sup>108</sup>. While relatively extensive bodies of research have corroborated the utility of applying social MPE in neoplastic diseases such as gallbladder, colorectal, and breast cancer in identifying social risk factors related to disease etiology<sup>109</sup>, there is a paucity in literature applying the paradigm to non-neoplastic diseases. Socially dependent heterogeneity in Alzheimer's disease incidence, biomarker pathology, and intervention trajectory<sup>110,111</sup> make Alzheimer's disease useful in representing non-neoplastic diseases. Moreover, Alzheimer's disease is an especially interesting non-neoplastic disease to evaluate through the social MPE lens because it is a subtype of dementia and itself has multiple subtypes<sup>108,112</sup>.

To retrieve articles investigating the role of race/ethnicity in Alzheimer's disease heterogeneity, we included the following key words: "race", "ethnicity," "subtype," "incidence", "heterogeneity", "Alzheimer's disease," "dementia," "biomarkers," "molecular pathology," "medicine," and "treatment". For socioeconomic status in Alzheimer's disease, we included the following key words: "socioeconomic status", "education," "subtype," "heterogeneity", "Alzheimer's disease," "dementia," "biomarkers," "molecular pathology," "medicine," "and "treatment." The literature searches were conducted through PubMed. We identified 469 articles and found seven articles being most relevant to the aim of exploring the association between race/ethnicity and Alzheimer's disease molecular subtypes<sup>113-119</sup>. In relation to SES, a total of 345 articles were identified, with six of them being most relevant<sup>120-125</sup>. As a result of the review, we showed that social-demographic factors such as race and SES were both highly implicated in differences in Alzheimer's disease incidence, biomarker presentations, and neuropathology. We then suggested how race and SES might affect subtype incidence, warranting further study, and we maintained the need to employ the social MPE paradigm to advance precision medicine and equitable research practices.

There were several studies showing racially significant differences in dementia subtype incidence. In one longitudinal cohort study, Alzheimer's disease and vascular dementia, a subtype of dementia typically caused by reduced blood flow to the brain and leading to memory impairment as well as loss of executive functioning, were both found to exist at higher rates among African American individuals as compared to their non-Hispanic White counterparts<sup>126</sup>. Within the Cardiovascular Health Study cohort, 34.7 per 1,000 African Americans were found to have Alzheimer's disease as compared to 19.2 per 1,000 non-Hispanic Whites<sup>113</sup>. In addition, 27.2 per 1,000 African Americans were found to have vascular dementia as compared to 14.6 per 1,000 non-Hispanic Whites<sup>113</sup>. In another study, researchers from the Medical University of South Carolina evaluated the differential effect of stroke index on dementia subtypes between African Americans and non-Hispanic

Whites<sup>114</sup>. The study concluded that African Americans were more likely to be diagnosed with dementia 5 years after an ischemic stroke regardless of the dementia subtype<sup>114</sup>. However, unlike other dementia subtypes evaluated, the risk for Alzheimer's disease among African Americans with intervening strokes, defined as an additional stroke after the index stroke, was reduced when compared to that for non-Hispanic Whites<sup>114</sup>. This indicates that non-stroke cerebrovascular diseases, cardiovascular diseases, and metabolic diseases that lead to cognitive impairment may exist at a greater rate in African Americans before stroke than in non-Hispanic Whites before stroke<sup>114</sup>. In addition, intervening strokes may precipitate all dementia subtypes at a greater rate in African Americans than in non-Hispanic Whites, with the exception of Alzheimer's disease subtype<sup>114</sup>.

A recent research study marks the first attempt at implicating race as a demographic factor integral in etiological heterogeneity, modifying cerebrospinal fluid Alzheimer's disease biomarker levels and the relationship between white matter hyperintensity and cognition<sup>115</sup>. After discovering that African Americans had significantly lower levels of total MAPT (t-tau) and MAPT with phosphorylation at Threonine 181 (p-tau181), biomarkers for Alzheimer's disease in cerebrospinal fluid, researchers concluded that diagnostic techniques relying on normative datasets of MAPT pathology could preclude underdiagnosis of Alzheimer's disease in minority populations<sup>115,127</sup>. Another study also related the lower levels of MAPT (t-tau) and MAPT with phosphorylated Threonine at 181 (so-called p-tau181) in cerebrospinal fluid to the presence of the *APOE ε4* allele, an allele defined by the rs7412 and rs429358 single nucleotide polymorphisms (SNPs) and highly implicated in Alzheimer's disease incidence<sup>116</sup>. This study revealed a race by *APOE ε4* relationship on MAPT (t-tau) and MAPT with phosphorylated Threonine at 181 (p-tau181), wherein African Americans who carried the *APOE ε4* had significantly lower MAPT (t-tau) and MAPT with phosphorylated Threonine at 181 (p-tau181) concentrations compared to non-Hispanic White carriers<sup>116</sup>. Since African American non-carriers of *APOE ε4* did not have significantly different MAPT (t-tau) and MAPT with phosphorylated Threonine at 181 (p-tau181) concentrations as non-Hispanic Whites non-carriers of *APOE ε4*, researchers concluded that racial differences in genetic components must be considered in the amyloid/tau/neurodegeneration model for diagnosing Alzheimer's disease<sup>116,128</sup>. One review article on the various subtypes of Alzheimer's disease noted that more MAPT-related pathology was associated with hippocampal-sparing Alzheimer's disease, while less MAPT-related pathology was associated with limbic-predominant Alzheimer's disease<sup>129</sup>. It also noted that *APOE ε4* noncarriers were more likely to develop hippocampal-sparing Alzheimer's disease, while *APOE ε4* carriers were more likely to develop limbic-predominant and typical Alzheimer's disease<sup>119</sup>. As such, the study published in 2019 accurately predicted the alignment of *APOE ε4* allele presence with MAPT pathology concentration on the clinical subtype manifestation<sup>116,129</sup>.

These findings have complicated the recent trend towards MAPT-targeting Alzheimer's disease treatments, many of which have reached clinical trial stages<sup>130</sup>. For example, lithium chloride, a drug that has been shown to diminish MAPT phosphorylation in clinical trials for individuals with Alzheimer's disease, may be less effective for African American individuals as compared to non-Hispanic White individuals due to differential levels in MAPT with phosphorylated Threonine at 181 (p-tau181) biomarkers<sup>130</sup>. Other

possible Alzheimer's diseases treatments such as small interfering RNA and antisense oligonucleotides, which were suspected to diminish MAPT expression, might also be less effective for African American individuals as compared to non-Hispanic Whites, due to differential levels in MAPT (t-tau) biomarkers<sup>131</sup>. More research is needed to evaluate racial heterogeneity in the associated biological mechanisms underlying these drugs' efficacies.

In addition to heterogeneous cerebrospinal fluid biomarker concentrations, researchers identified heterogeneous functional connectivity within the default mode network, an imaging biomarker far more available than other diagnostic biomarkers of interest<sup>117,132</sup>. In non-Hispanic White populations, decreased default mode network connectivity between the precuneus and lateral temporal cortex and between the precuneus and the temporal pole was associated with greater cognitive impairment<sup>117</sup>. However, in African American populations, increased default mode network connectivity between both of these respective regions was associated with greater cognitive impairment<sup>117</sup>. These findings elucidated the advantage of using racially cognizant biomarkers in complement with traditional biomarker diagnostic tools such as positron emission tomography, cerebrospinal fluid, and resting state functional magnetic resonance imaging<sup>115,117,132,133</sup>. Doing so will mitigate under-diagnosis of Alzheimer's disease and refine clinical trial interpretations, thus advancing racialized precision medicine<sup>115</sup>. Monolithic clinical diagnostic consequences can also be diminished by increasing representation of racial minorities in diagnostic data, acknowledging racial biomarking impacts in diagnostic practices, and investigating the efficacy of novel race conscious diagnostic methods such as plasma MAPT with phosphorylated Threonine at 181 (pTau181) to APP (amyloid beta precursor protein; so-called A $\beta$ 1-42) ratio<sup>127</sup>.

In addition to differences in pathological mechanisms between African American and non-Hispanic White individuals, post-mortem autopsies comparing neuropathologies of African American and non-Hispanic White individuals showed that African American individuals were significantly more likely to have mixed Alzheimer's disease pathology. In one study, 71% of African American individuals with Alzheimer's disease dementia compared to 51% of non-Hispanic White individuals with Alzheimer's disease dementia showed Alzheimer's disease pathology in addition to at least one other Alzheimer's disease related pathology: Lewy bodies and/or macroscopic and microinfarcts<sup>118</sup>. Compounding risk in developing multiple Alzheimer's disease-related pathologies, African American individuals were also more likely to have comorbid cardiovascular disease than their non-Hispanic White counterparts<sup>119</sup>. In the same study, researchers seeking to ameliorate these comorbidities found African American subjects were 60% more likely to drop out of Alzheimer's disease clinical trials<sup>119</sup>. These points informed several insights regarding Alzheimer's disease precision research and Alzheimer's disease precision treatment. Firstly, any pharmacological treatment that exclusively treats Alzheimer's disease might be less effective for African American individuals—considering both multiple Alzheimer's disease related pathologies and comorbidities. Secondly, there is an urgent need to advance retention-strategies, prioritize trusting relationships between physician-scientists and racial minorities, and work to bolster race MPE research by dismantling systems of racial harm resembling the infamous Tuskegee Study in Alzheimer's disease clinical trials<sup>119,131,134</sup>. Finally, there is a continuing need to study the relationship between race and Alzheimer's disease subtype incidence. This can eventually evolve into identifying most practical yet effective racial biomarker

correlates, exploring how biological race or social-demographic factors associated with race influence Alzheimer's disease pathology, and advancing precision medicine from race MPE frameworks.

Social MPE also has considerable utility in relating SES to heterogeneous Alzheimer's disease outcome and molecular pathology. In the analysis of the viability of SES MPE (MPE research related to socioeconomic status) in non-neoplastic Alzheimer's disease, we operationalized SES as materials goods, occupation, and educational opportunity and attainment<sup>135</sup>. Verified through Mendelian randomization analyses, educational attainment and completing university have been shown to modify pathways in Alzheimer's disease, reduce genetic variants, and diminish the likelihood of developing Alzheimer's disease<sup>136</sup>. Specifically, less educated individuals, defined in one study as having attended primary school only, were over 3 times more likely to have developed Alzheimer's disease as compared to more educated individuals, defined as having attended intermediate or university level education<sup>120</sup>. In the same study, individuals with low occupational-based SES, operationalized through a socioeconomic occupation classification system involving education requirements, blue-collar versus white-collar categorization, and skills involved for the occupation, were 1.6 times more likely to develop Alzheimer's disease<sup>120</sup>. The protective effect of education attainment and occupation has been seen across multiple dementia subtypes including vascular dementia as well as aforementioned Alzheimer's disease<sup>120</sup>. In an evaluation of dementia subtype prevalence in a cross-sectional study in China, researchers found an increasing protective effect against Alzheimer's disease and vascular dementia with increased years of education, noting a slightly more potent protective effect of education against Alzheimer's disease as compared to vascular dementia<sup>121</sup>. Moreover, with respect to the farm laborer occupation, occupations such as non-farm laborer, official, and professional protected against both Alzheimer's disease and vascular dementia<sup>121</sup>. Complementing the results of this study, another research study following Roman Catholic nuns revealed that uneducated nuns were nearly twice as likely to lose cognitive function as compared to educated nuns<sup>122</sup>. This research study is particularly useful because it explicates how individuals living in similar adult conditions can be significantly more predisposed to Alzheimer's disease based on SES related factors before joining the congregation<sup>122</sup>.

What is critical to SES MPE in Alzheimer's disease is the special consideration of life course epidemiology, which posits that early harmful exposures contribute to downstream disease onset well into late adulthood (especially in the case of Alzheimer's disease)<sup>110,136</sup>. This paradigm has mostly been applied to critical theory models, the prototypical example being nutrition perturbations or other biosocial-demographic factors disrupting cell division and leading to greater coronary disease incidence later in life<sup>137</sup>. In the case of Alzheimer's disease, early life SES, as defined in one study as parental education, parental occupation, family size, country literacy rate, and country education rate, was associated with baseline cognition level during adulthood, but unrelated to cognitive decline and Alzheimer's disease incidence<sup>123</sup>. These findings suggested that metrics of SES unrelated to individual educational attainment, may not significantly impact Alzheimer's disease incidence. However, early lifetime SES' interaction with baseline cognition during adulthood as well as

individual education attainment's interaction with Alzheimer's disease incidence maintained critical theory models.

An individual's education attainment was also highly implicated in the molecular pathway leading to Alzheimer's disease onset. According to a recent study that analyzed data from the Alzheimer's Disease Neuroimaging Initiative, the extent of education was correlated with total brain volume in individuals with mild cognitive impairment diagnoses<sup>124</sup>. Seeing as mild cognitive impairment is the symptomatic stage that precedes Alzheimer's disease diagnosis, education reduces the likelihood of Alzheimer's disease onset through brain reserve mechanisms<sup>124,125</sup>. Interestingly, paraffin-embedded brain samples coupled with clinical data showed that education was not associated with neuropathologies such as cortical atrophy, hippocampal neurotic plaques, atherosclerosis, and Barak stages<sup>125,138</sup>. This conferred the cognitive reserve theory, which posited that education had no statistically significant effect on the aggregation of neuropathological biomarkers, but rather diminished the effect of these neuropathological biomarkers, possibly linked to the increased brain weight<sup>124,125</sup>. The diminishing effect of neuropathological biomarkers was most evident in the increased pathology required to cause cognitive impairment in more educated individuals as compared to less educated individuals<sup>139</sup>. Further, more educated individuals were more likely to develop hippocampal-sparing Alzheimer's disease, and less educated individuals were more likely to develop minimal-atrophy Alzheimer's disease<sup>129</sup>. This could be attributed to education protecting the hippocampus, which increased clinical burden of pathologies affecting the posterior cortex instead of the hippocampus<sup>119</sup>. Treatment based on the cognitive reserve theory currently include non-pharmaceutical interventions such as aerobic exercise, cognitive stimulation, and social stimulation, which increase brain-derived neurotrophic factor (BDNF)<sup>140</sup>. In addition to ascertaining heterogeneous pharmacological treatments by education differences, SES MPE in Alzheimer's disease should work to study and address social, political, and economic institutions that maintain educational disparity.

Admittedly, the explanation underlying how the cognitive reserve theory disrupts brain degradation was murky. Even more, the connection between SES and the biological mechanisms responsible for increased Alzheimer's disease incidences were even less clear<sup>112</sup>. However, current research, in addition to linking SES to Alzheimer's disease incidence and cognitive reserve theories, linked SES to heterogeneous comorbidities associated with Alzheimer's disease. For example, low SES was associated with depression comorbidity, while high SES is associated with hypertension comorbidity<sup>141</sup>. As such, physicians must consider how adverse effects of pharmacological treatment interact with SES-associated comorbidities. Cholinesterase inhibitors, one of the most common Alzheimer's disease treatments, have been cited to cause hypertension, which might adversely interact with high SES individuals who are at greater risk to hypertension comorbidity<sup>142</sup>. On the other hand, treating Alzheimer's disease comorbidities such as depression through pharmacological options such as tricyclic antidepressants might be less effective in individuals of low SES due to interaction with Alzheimer's disease<sup>143</sup>. Thus, SES-related precision medicine requires robust communication pipelines between various specialist health practitioners and general health practitioners. Further, more research is required to ascertain the biological mechanisms underlying SES-related incidence, the cognitive reserve theory, and associated comorbidities<sup>144</sup>.



## 6. Challenges in social MPE research

Since the novel framework of social MPE was introduced in 2015, an increasing but still limited number of studies have explored molecular heterogeneity within diseases in relation to diverse social-demographic factors, with only part of them having primary goals of applying the social MPE paradigm<sup>90,145</sup>. There exist several challenges in this inter-disciplinary field. First of all, the number of specimens available for molecular pathological tests is still limited, which prevents us from answering research questions of interest due to the deficient sample size. However, thanks to the research initiative on precision medicine, continuing efforts have been invested to examine molecular heterogeneity of multiple diseases, especially of neoplasms, which met the short-term goal of precision medicine<sup>8,25</sup>. Moreover, increasingly technological advances facilitate the analyses of various omics, including genomics, epigenomics, transcriptomics, proteomics, metabolomics, metagenomics, microbiome, immunomics, interactomics, etc<sup>23</sup>. In the past decade, there has been rapid and thorough development in high-throughput methods, ranging from traditional real-time polymerase chain reaction to more composite systems (e.g., next-generation sequencing) in the field of genomics<sup>57</sup>. Similarly, due to the advancement of next-generation sequencing method which can explore multiple facets of chromatin biology (e.g., DNA methylation, histone modification), the field of epigenomics evolved rapidly<sup>146</sup>. Likewise, the rapid development of high-throughput DNA sequencing technologies has given rise to the establishment of RNA-Seq in the field of transcriptomics<sup>147</sup>. In addition, the growth of next-generation sequencing endows increasing power of integrating genomics-transcriptomics and epigenomics-transcriptomics<sup>148</sup>. In the field of proteomics, to assess the host-pathogen interactions by quantifying protein expression, modification, and secretion, mass-spectrometry have been developed with higher sensitivity compared to protein microarrays<sup>149</sup>. The protein-protein interactions, as an example of interactome, are beneficiary of the advancement in proteomics<sup>150</sup>. Additionally, technical advancement in the fields of genomics, transcriptomics, and proteomics have been integrated with the discipline of immunology to gain a deep understanding of the mechanism of immune system functions<sup>151</sup>. Metabolomics, thought to be the closest representative of phenotype, provides a good understanding of the state of cellular and biological processes at different stages of growth or under disease conditions. To overcome the limitation of a large amount of uncharacterized metabolites and imprecise statistical validation for large-scale spectral assignments, novel approaches have been developed (e.g., integration of CSI:FingerID in SIRIUS4<sup>152</sup>, novel integrative metabolomics platforms<sup>153</sup>, and metabolic reaction network-based annotation<sup>154</sup>). Metagenomics is the study of a collection of genetic material (genomes) from a mixed community of organisms (usually refers to microbial communities). Due to the advancement of high-throughput approach, metagenomics sequencing has been employed to identify uncultured bacteria as well as novel viruses, which cannot be done by shotgun sequencing<sup>155</sup>. Likewise, the next-generation sequencing approach offers a more effective way to study complex microbial systems than ever before<sup>156</sup>. In parallel with the aforementioned increasing trend in molecular pathological tests and technical advancement, a growing body of publicly available datasets containing large-scale -omics profiles have been emerging, representing invaluable sources for the research community of the social MPE discipline<sup>157,158</sup>. For instance, TCGA hosted enormous genomics/



epigenetics profile (i.e., DNA sequence alterations, mRNA expression, DNA methylation, copy number variation, and so on) and the clinicopathological annotations of the cancer patients. By using TCGA, researchers could explore the association between different racial groups and the onset or multiple prognostic outcomes of molecular subtypes of breast cancer or any other cancers hosting considerable interpersonal heterogeneity, which should favor the management of patients with different races. Second, as molecular pathological tests are normally expensive, the prevalence of those tests might be considerably different between developed and developing countries. Therefore, social MPE studies might enlarge health disparities in the global context<sup>159,160</sup>. Also, the generalizability of the conclusions drawn from the resource-rich populations might be questionable due to the potential effect measure modifiers such as SES and health disparity status. Third, there are few experts with interdisciplinary knowledge of both social science and MPE. The training programs which could provide adequate professional level training in pathology, statistics, sociology, social science, and bioinformatics are also rare<sup>161</sup>. Moreover, in conformity with the limited number of experts and training programs, the current conceptual framework of MPE have not adequately integrated the social-demographic factors. Therefore, MPE studies might not be easily and smoothly conducted as should be expected. Collaboration between experts from different disciplines could be one solution to overcome this barrier. Fourth, current support for interdisciplinary science is scarce, which might be attributed to the less experts with transdisciplinary training, who could fairly evaluate the true value of interdisciplinary research<sup>162</sup>. Challenges in general MPE studies have been discussed detailly elsewhere<sup>3,18</sup>.

## 7. Conclusions

The integrative approach of social MPE, which has been originally proposed by our previous study and updated in the current study, enables us to bridge the knowledge gap of the underlying biological mechanisms of how social-demographic factors shape population health outcomes<sup>49</sup>. After publishing our initial paper on social MPE in 2015, we have seen a growing body of research applying the social MPE frameworks in non-neoplastic diseases as we mentioned above, which strengthens our former statement that social MPE could be applied to any disease<sup>49</sup>. With the advancement and ubiquity of high-throughput sequencing and microarray technologies, further social MPE research should and will be conducted, thereby fulfilling our ultimate goal of promoting population health in the global context through implementation of health policies based on evidence from social MPE studies. We also call for appropriate training programs in the integrative field of social epidemiology and molecular pathology. It is ideal to conduct social MPE research by one expert with transdisciplinary knowledge, which is beneficial for developing novel concepts and frameworks<sup>5</sup>.

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## Abbreviations:

**MPE**                      molecular pathological epidemiology

<b>SEER</b>	Surveillance, Epidemiology, and End Results
<b>SES</b>	socioeconomic status
<b>SNP</b>	single nucleotide polymorphism
<b>TCGA</b>	the Cancer Genome Atlas

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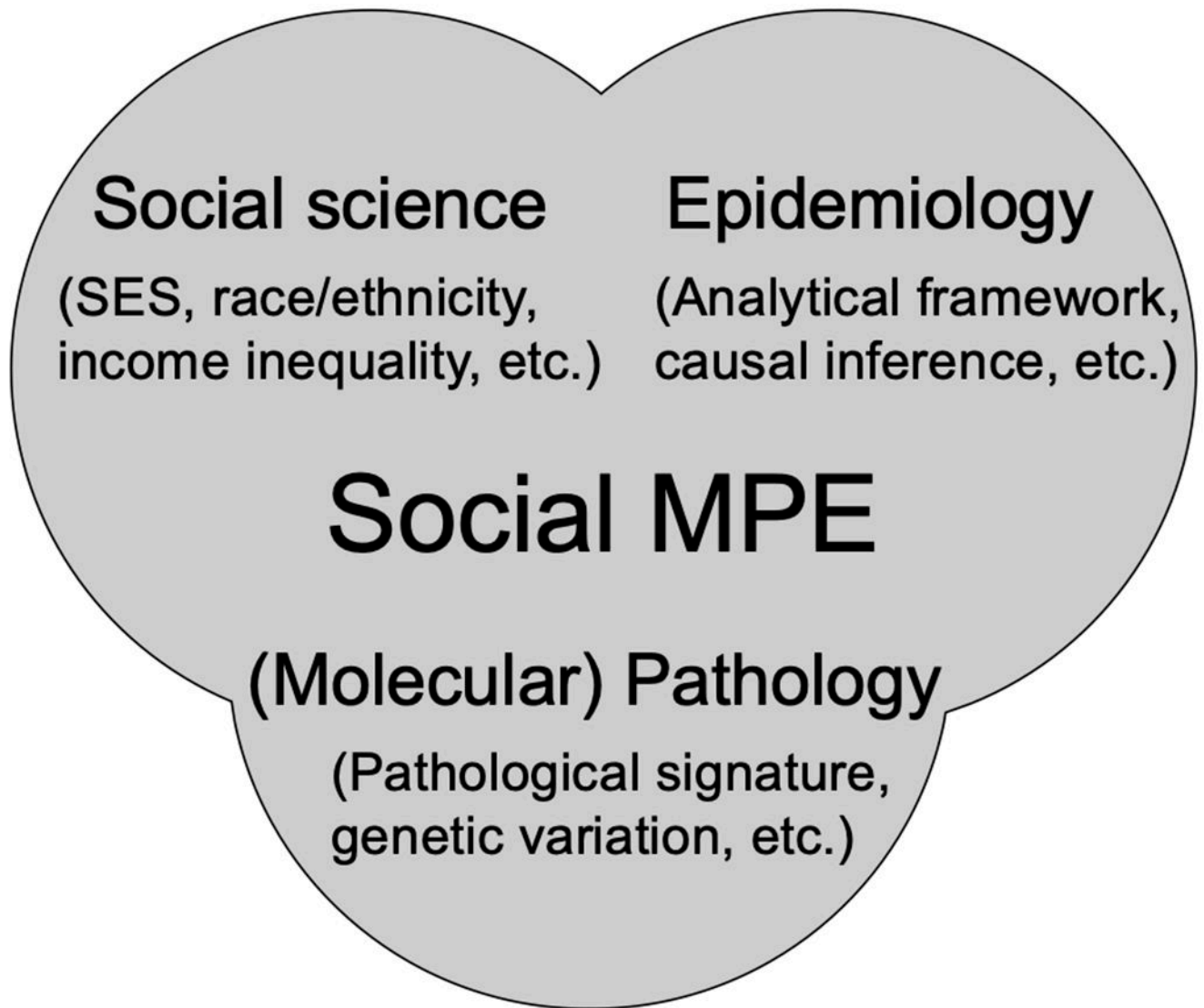
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### Article Highlights

- Social MPE is an integrative research discipline that relates social-demographic factors to heterogeneities in molecular pathological biomarkers and associated disease subtypes.
- Parallel advances in social sciences and MPE generate increasing opportunities to center social MPE frameworks in research and practice, which will deepen precision medicine initiatives.
- By evaluating breast cancer through the social MPE framework, the current study adds to evidence suggesting heterogeneous associations between race/ethnicity and socioeconomic status on neoplastic disease subtypes.
- By evaluating the role of race/ethnicity and socioeconomic status on Alzheimer's Disease etiology, this is the first study summarizing the application of social MPE framework on a non-neoplastic disease.
- We recommend transdisciplinary pathology training programs and increasing representation of minority populations in research studies to diminish health disparities and ensure the benefits of social MPE are equitably distributed.

### Expert opinion

Integration of molecular pathological epidemiology and social science can be a robust and promising approach to shed light on the etiology and pathology of diseases. Since we initially proposed the social MPE framework in 2015, we have witnessed a considerable proportion of studies explicitly or implicitly utilizing the social MPE paradigm to deal with the inter-person variation in neoplastic diseases with regard to the social factors (e.g., race/ethnicity and SES). Although most of the relevant publications have been targeting the neoplasms, a growing body of evidence has indicated the contribution of social MPE paradigm in non-neoplastic diseases that host considerable interindividual heterogeneity in the disease phenotype. This extension of research focus from neoplasms to both neoplastic and non-neoplastic disease is existing and may hopefully drive the precision medicine. In the next several years, more and more molecular pathological data of disease are expected to be collected not only from cancer registries, but also from hospitals. In company with the explosive expansion of digitized pathological and other clinical data, more pathologists with computation expertise will be in great demand. Herein, pathology training programs that provide pathologists the opportunity to learning principle of epidemiology and data science may be helpful for bridging the gap between pathology and data science as well as solving the increasing issue of non-reproducibility in research. As artificial intelligence has been studied to support decision-making in clinical medicine, hopefully, it may help reduce the measurement errors and therefore enhance the precision of disease outcomes as well as advance the utilization of social MPE framework in population health science. In addition to the outcome measurement, we were also concerned with the inconsistent measurements of the social factors, for example, various measurements exist for SES, which we believe is part of the reason leading to the diverse associations between social factors and molecular subtypes of diseases across studies. Therefore, a uniform workflow to measure social factors is needed for ensuring the comparability across studies. What is more, given that MPE is not a disease-based but method-based discipline, which can give rise to a number of frontiers like social-MPE, such as pharmacology-MPE, nutritional-MPE, and microbiology-MPE. As social factors, for example, the SES, could affect the intake of medicine and nutrition that perform a key role in the etiologies of most diseases, increasing effort, for example, developing novel paradigms, frameworks, and methodologies in these aforementioned and related disciplines, can be useful for explaining the identified associations and therefore advancing our understanding of the findings from the social-MPE. We foresee an increasing trend of social MPE studies which aim to present the differences of social-demographic factors across various molecular subtypes. Disease entities originally defined by organ site hosting substantial interpersonal heterogeneity may be accordingly divided into molecular subtypes, which will broaden our understanding of the disease etiology and pathology. Consequently, disease control, prevention, and treatment strategy will be tailored and advanced for certain sub-population (e.g., minorities, population with lower SES). Along with the emerging of social MPE studies, health disparities should be alleviated in the global context.



**Figure 1.**

The framework of social molecular pathological epidemiology (MPE). Social MPE is the integration of (molecular) pathology, epidemiology, and social science. The social MPE framework enables us to detangle the underlying mechanisms of social-demographic factors on disease pathogenic progress.

Table 1.

Studies evaluating the roles of race/ethnicity and socioeconomic status in breast cancer heterogeneity

Author, journal, year	Study population	Study design, number of participants, and follow-up	Results
Auguste et al., PLoS One, 2017	Women diagnosed with invasive breast cancer between 2003 and 2013 recorded by the Breast Cancer Registry in Côte-d'Or, France	Case-control study, n = 4,553	No significant association was observed between SES and breast cancer molecular subtypes in French women.  Compared with non-Hispanic Whites, African Americans and Hispanics tended to develop triple-negative (African Americans: OR = 2.0, 95% CI = 1.8-2.2; Hispanics: OR = 1.3, 95% CI = 1.2-1.5) and ERBB2-enriched subtypes (African Americans: OR = 1.4, 95% CI = 1.2-1.6; Hispanics: OR = 1.4, 95% CI = 1.2-1.6) but not the luminal A subtype. Non-Hispanic Asian/Pacific Islanders tended to develop luminal B (OR = 1.2, 95% CI = 1.1 to 1.4) and ERBB2-enriched (OR = 1.8, 95% CI = 1.5-2.1) but less likely to develop triple-negative subtypes (OR = 0.8, 95% CI = 0.7-0.9) than the luminal A subtype.
Howlander et al., JNCI-J. Natl. Cancer Inst, 2014	Women diagnosed with invasive breast cancer in 2010 from the 17 SEER registries in the US	Case-control study, n = 57,483	Compared with non-Hispanic Whites, African Americans tended to develop triple-negative (OR = 3.80, 95% CI = 2.46-5.87) and ERBB2-enriched subtypes but not the luminal A subtype (OR = 2.22, 95% CI = 1.10-4.47).  Compared with non-Hispanic Whites, African Americans, Hispanics, and American Indian/Alaska Natives tended to develop luminal B (African Americans: OR = 1.28, 95% CI = 1.23-1.34; Hispanics: OR = 1.20, 95% CI = 1.15-1.25; American Indian/Alaska Natives: OR = 1.36, 95% CI = 1.16-1.59), ERBB2-enriched (African Americans: OR = 1.64, 95% CI = 1.55-1.74; Hispanics: OR = 1.41, 95% CI = 1.33-1.50; American Indian/Alaska Natives: OR = 1.47, 95% CI = 1.17-1.85), and triple-negative subtypes (African Americans: OR = 2.4, 95% CI = 2.31-2.48; Hispanics: OR = 1.28, 95% CI = 1.23-1.34; American Indian/Alaska Natives: OR = 1.26, 95% CI = 1.07-1.49) but not the luminal A subtype. Asian/Pacific Islander tended to develop luminal B (OR = 1.19, 95% CI = 1.14-1.25) and ERBB2-enriched (OR = 1.66, 1.56-1.76) but less likely to develop triple-negative subtypes (OR = 0.91, 95% CI = 0.87-0.96) than the luminal A subtype.
Huo et al., JAMA Oncol, 2017	Women diagnosed with invasive breast cancer and hosted in The Cancer Genome Atlas	Case-control study, n = 930	Compared with non-Hispanic Whites, African Americans tended to have the worse overall survival with luminal A (HR = 1.64, 95% CI = 1.41-1.91), luminal B (HR = 1.54, 95% CI = 1.10-2.15), and triple-negative subtypes (HR = 1.28, 95% CI = 1.05-1.56).
Kong et al., JAMA Netw. Open, 2020	Women diagnosed with invasive breast cancer between 2010 and 2015 from the 18 SEER registries in the US	Case-control study, n = 239,211	Compared with non-M ori/Pacific women, M ori and Pacific women with luminal A subtype tended to have the worse breast cancer-specific survival (HR = 1.52, 95% CI = 1.06-2.18; HR = 1.55, 95% CI = 1.04-2.31, respectively).
Kulkarni et al., Cancer Health Disparities, 2019	Women diagnosed with invasive breast cancer between 2008 and 2013 recorded by the New Jersey State Cancer Registry	Cohort study, n = 32,770	Non-Hispanic Whites having higher neighborhood median household income were less likely to develop triple-negative subtypes than the luminal A subtype (OR = 0.99, 95% CI = 0.98-0.99). No significant association has been observed for African Americans.
Lawrenson et al., Cancer Causes Control, 2017	Women diagnosed with stage I-III breast cancer between 2000 and 2013 recorded by the combined Waikato and Auckland Breast Cancer Registries in New Zealand	Cohort study, n = 9,015	Compared with non-Hispanic Whites, African Americans were more likely to develop triple-negative subtypes but not the luminal A subtype (HR = 1.99, 95% CI = 1.44-2.75).
Linnenbringer et al., Breast Cancer Res Treat, 2020	Non-Hispanic Whites and African Americans diagnosed with Triple-negative and luminal A subtypes between 2006 and 2014 recorded by the California Cancer Registry	Case-control study, n = 81,499	
Liu et al., Cancers, 2019	Women aged 20 diagnosed with ductal carcinoma in situ from 1990 to 2015 with a median follow-up of 90 months from the 17 SEER registries in the US	Cohort study, n = 163,892, median follow-up = 90 months	



Author, journal, year	Study population	Study design, number of participants, and follow-up	Results
Martínez et al., Breast Cancer Res Treat, 2017	Non-Hispanic White and Hispanic female California residents aged 20 diagnosed with invasive breast cancer between 2004 and 2014	Case-control study, n = 129,488	Compared with non-Hispanic Whites, Hispanics tended to develop triple-negative (OR = 1.29, 95% CI = 1.23-1.35), ERBB2-enriched (OR = 1.19, 95% CI = 1.14-1.25), and luminal B subtypes (OR = 1.39, 95% CI = 1.31-1.48) but not the luminal A subtype.
Parise et al., Breast Cancer Res Treat, 2017	Women diagnosed with Triple-negative and luminal A subtypes between 2000 and 2014 recorded by the California Cancer Registry	Case-control study, n = 108,372	Non-Hispanic Whites and Hispanics having the lowest quintile of SES were more likely to develop triple-negative subtypes but not the luminal A subtype compared with those having the highest quintile of SES (OR = 1.15, 95% CI = 1.04-1.27; OR = 1.33, 95% CI = 1.05-1.68, respectively). No significant association has been observed for African Americans and Asian/Pacific Islander.
Parise et al., Cancer Epidemiol., 2014	Women diagnosed with invasive breast cancer between 2000 and 2011 recorded by the California Cancer Registry	Case-control study, n = 225,441	Compared with non-Hispanic Whites, Asian/Pacific Islanders tended to develop luminal B subtypes but not the luminal A subtype (OR = 1.17, 95% CI = 1.04-1.31).
Qin et al., Cancer Epidemiol. Biomarkers Prev, 2020	African Americans diagnosed with invasive breast cancer between 2005 and 2017 in the Women's Circle of Health and Women's Circle of Health Follow-up Study	Case-control study, n = 1,220	Compared with census tracts characterized by high-SES neighborhoods (T3), African Americans living in census tracts with intermediate- (T2) and low-SES neighborhoods (T1) tended to develop triple-negative subtypes but not the luminal A subtype (OR = 1.81, 95% CI = 1.20-2.71; OR = 1.95, 95% CI = 1.27-2.99, respectively; p-trend = 0.001).
Sineshaw et al., Breast Cancer Res Treat, 2014	Women diagnosed with invasive breast cancer between 2010 and 2011 hosted in the National Cancer Data Base	Case-control study, n = 260,577	Compared with non-Hispanic Whites, African Americans and Hispanics tended to develop triple-negative but not the luminal A subtype (African Americans: OR = 1.84, 95% CI = 1.77-1.92; Hispanics: OR = 1.17, 95% CI = 1.11-1.24). Non-Hispanic Asian/Pacific Islanders tended to develop ERBB2-enriched but not the luminal A subtype (OR = 1.45, 95% CI = 1.31-1.61).
Troester et al., JNCI-J. Natl. Cancer Inst, 2018	African American and non-Hispanic White females diagnosed with invasive breast cancer from the Carolina Breast Cancer Study Phase 3 (2008-2013)	Case-control study, n = 980	Compared with non-Hispanic Whites, African Americans tended to develop triple-negative but not the luminal A subtype (OR = 1.93, 95% CI = 1.27-2.93).
Zhao et al., Breast Cancer Res Treat, 2020	African American and non-Hispanic White females diagnosed with invasive breast cancer between 1993 and 2019 at the University of Chicago Comprehensive Cancer Center	Cohort study, n = 2,795, median follow-up = 6.9 years	Compared with non-Hispanic Whites, African Americans with luminal A and ERBB2-enriched subtypes tended to have the worse overall survival (HR = 1.56, 95% CI = 1.22-2.00; HR = 1.26, 95% CI = 0.84-1.88, respectively), the worse recurrence-free survival (HR = 1.53, 95% CI = 1.22-1.91; HR = 3.00, 95% CI = 1.36-6.60, respectively), and the worse breast cancer-specific survival (HR = 2.37, 95% CI = 1.60-3.50; HR = 4.17, 95% CI = 1.35-12.88, respectively). African Americans with the luminal A subtype tended to have the worse time-to-recurrence survival (HR = 1.67, 95% CI = 1.20-2.34).

Note: subtype definitions are as follows: luminal A = ERBB2 (HER2)-negative and [either ESR1 (ER)+ or PGR (PR)+]; luminal B = ERBB2+ and (either ESR1+ or PGR+); ERBB2-enriched = ERBB2+, ESR1-negative, PGR-negative; triple negative = ERBB2-negative, ESR1-negative, PGR-negative. We comply with standardized protein nomenclature recommended by an international expert panel<sup>40</sup>.

Abbreviation: CI, confidence interval; HR, hazard ratio; OR, odds ratio; SEER, Surveillance, Epidemiology, and End Results; SES, socioeconomic status.

**Table 2.**

Studies evaluating the roles of race/ethnicity and socioeconomic status in Alzheimer's disease heterogeneity

Author, journal, year	Study population	Study design, number of participants, and follow-up	Results
Barnes et al., <i>Neurology</i> , 2015	Deceased African American and non-Hispanic White individuals with Alzheimer's disease dementia from the Rush Alzheimer's Disease Clinical Core	Case-control study, n = 122	Compared with non-Hispanic Whites with Alzheimer's disease, African Americans with Alzheimer's disease tended to develop mixed pathology which could include Alzheimer's disease + Lewy bodies, Alzheimer's disease + infarct, or Alzheimer's disease + Lewy bodies + infarct (OR = 2.4, 95% CI = 1.10-5.20).
Brayne et al., <i>Brain</i> , 2010	Participants interviewed to establish dementia diagnoses and their brain donations from Medical Research Council Cognitive Function and Ageing Study, Cambridge City Over-75s Cohort study (CC75C; Vantaa 85+	Case-control study, n = 872	Compared with individuals with less formal education, individuals with more formal education per year tended to not have dementia diagnoses (OR = 0.89; 95% CI = 0.83-0.94). No significant association was observed between education and neurodegenerative or neurovascular pathology. Compared with individuals with less formal education, individuals with more formal education tended to have greater brain weight (OR = 1.14, 95% CI = 1.06-1.24).
Clark et al., <i>J Stroke Cerebrovasc Dis</i> , 2018	Non-Hispanic Whites and African Americans with a diagnosis of ischemic stroke prior to 2010	Cohort study, n = 68,758, follow-up = 5 years	Compared with non-Hispanic Whites with intervening stroke, African Americans with intervening stroke were less likely to receive Alzheimer's disease diagnosis and more likely to receive vascular dementia diagnosis (HR = .84, 95% CI = 0.71-0.98; HR = 1.67, 95% CI = 1.46-1.90, respectively). Compared with non-Hispanic Whites without intervening stroke, African Americans without intervening stroke were more likely to receive Alzheimer's disease diagnosis and vascular dementia diagnosis (HR = 1.38, 95% CI = 1.29-1.48; HR = 2.02, 95% CI = 1.86-2.20, respectively).
Fitzpatrick et al., <i>J Am Geriatr Soc</i> , 2004	Non-Hispanic Whites and African Americans dementia free Cardiovascular Health Studies participants between 1992 and 1994	Cohort study, n = 3,602, mean follow-up = 5.4 years	Compared with non-Hispanic Whites, African Americans tended to develop Alzheimer's disease subtype (African Americans: age-adjusted IR per 1,000 = 34.7; non-Hispanic Whites: age-adjusted IR per 1,000 = 19.2). Compared with non-Hispanic Whites, African Americans tended to develop vascular dementia subtype (African Americans: age-adjusted IR per 1,000 = 27.2; non-Hispanic Whites: age-adjusted IR per 1,000 = 14.6).
Howell et al., <i>Alzheimers Res Ther</i> , 2017	Older Americans to undergo clinical, neuropsychological, genetic, mild cognitive impairment, and cerebrospinal fluid analysis from 2013 to 2015 at Emory University	Case-control study, n = 1,355	Compared with non-Hispanic Whites with Alzheimer's disease, African Americans with Alzheimer's disease tended to have lower levels of MAPT (t-tau) and p-tau181 biomarkers in cerebrospinal fluid, which is related to increased limbic-predominant Alzheimer's disease subtype (African Americans: mean MAPT (t-tau), pg/mL = 72.8; non-Hispanic Whites: mean MAPT (t-tau), pg/mL = 103.8; African Americans: mean p-tau181, pg/mL = 25.3; non-Hispanic Whites: mean p-tau181, pg/mL = 34.2).
Karp et al., <i>Am J Epidemiol</i> , 2004	Initially nondemented subjects aged 75 years from the Kungsholmen Project, Stockholm, Sweden followed-up between 1987 and 1993	Cohort study, n = 931, follow-up = 3 years	Compared with individuals of high education attainment (>7 years), individuals of low education attainment (2-7 years) tended to have clinically diagnosed Alzheimer's disease (RR = 3.4, 95% CI = 2.0-6.0) Compared with individuals of high occupation SES, individuals of low occupation SES tended to have clinically diagnosed Alzheimer's disease (1.6, 95% CI = 1.0-2.5).
Kennedy et al., <i>Am J Geriatr Psychiatry</i> , 2017	Subjects with baseline data for comorbid disorders taken from a meta-database of 18 studies from the Alzheimer's Disease Cooperative Study and the Alzheimer's Disease Neuroimaging Initiative	Case-control study, n = 5,164	Compared with non-Hispanic Whites with Alzheimer's disease, mild cognitive impairment, or normal cognition, African Americans with Alzheimer's disease, mild cognitive impairment, or normal cognition tended to have cardiovascular comorbidities (OR = 2.10, 95% CI = 1.71-2.57). Compared with non-Hispanic Whites with Alzheimer's disease, mild cognitive impairment, or normal cognition, African Americans with Alzheimer's disease, mild cognitive impairment, or normal cognition

Author, journal, year	Study population	Study design, number of participants, and follow-up	Results
Misiura et al., <i>Transl Neurodegener</i> , 2020	African American and Non-Hispanic White individuals over the age of 64 with varying diagnoses on the spectrum of Alzheimer's disease according to consensus criteria	Case-control study, n = 137	tended to drop out of clinical trials (OR = 1.60, 95% CI = 1.15-2.21).  Decrease in cognitive performance is observed with decreased default mode network connectivity between midline core subsystem and dorsomedial subsystem for African Americans, while decrease in cognitive performance is observed with increased default mode network connectivity between midline core subsystem and dorsomedial subsystem for non-Hispanic Whites.
Morris et al., <i>JAMA Neurol</i> , 2019	African American and Non-Hispanic White individuals enrolled from January 1, 2004, to December 31, 2015 at the Knight Alzheimer Disease Research Center at Washington University	Case-control study, n = 1,255	Compared with non-Hispanic White carriers of the <i>aAPOE e4</i> allele, African American carriers of the <i>APOE e4</i> allele had lower mean (SE) concentrations of MAPT (t-tau) biomarkers in cerebrospinal fluid (African Americans: mean MAPT (t-tau) (SE), pg/mL = 269.67 (43.73); non-Hispanic Whites: mean MAPT (t-tau) (SE) = 463.54 (20.32); $P < 0.001$ ) Compared with non-Hispanic White carriers of the <i>APOE e4</i> allele, African American carriers of the <i>APOE e4</i> allele had lower mean (SE) concentrations of p-tau181 biomarkers in cerebrospinal fluid (African Americans: mean p-tau181 (SE), pg/mL = 48.77 (6.23) Non-Hispanic Whites: mean p-tau181 (SE), pg/mL = 74.98 (2.78); $P < 0.001$ . There were no significant racial differences in MAPT (t-tau) and p-tau181 concentration for individuals without an <i>APOE e4</i> allele.
Snowdon et al., <i>Journal of Clinical Epidemiology</i> , 1989	Roman Catholic nuns sharing similar lifestyle conditions but different educational attainment from the Mankato, Minnesota Province	Case-control study, n = 247	Compared with high-educated individuals (individuals with at least a bachelor's degree), low-educated individuals (individuals with less than a bachelor's degree tended to be more cognitively impaired (OR = 2.11, 95% CI = 0.88-5.03).
Wada et al., <i>J Alzheimers Dis</i> , 2018	Participants aged between 55 and 90 years and diagnosed as healthy controls, having mild cognitive impairment, or having Alzheimer's disease.	Case-control study, n = 825 for education and amyloid- $\beta$ deposition analysis, n = 1,304 for education and brain metabolism analysis	No significant association between education and both amyloid- $\beta$ deposition (n = 825) and brain metabolism (n = 1,304) for individuals with Alzheimer's disease and mild cognitive impairment.
Wilson et al., <i>NED</i> , 2005	Catholic nuns, priests and brothers from the Religious Orders Study followed-up periodically from 1994 with ongoing follow-ups since date of study	Cohort study, n = 999, mean follow-up number = 6.6	No significant association between early life SES to cognitive decline and Alzheimer's disease incidence.
Zhang et al., <i>Neuroepidemiology</i> , 2006	Community residents 55 years in Beijing, Shanghai, Chengdu and Xian with diagnoses of Alzheimer's disease or vascular dementia collected from 1997-1998	Cohort study, n = 34,807, follow-up = 6 months	Compared with individuals with <1 year of education, individuals with 1-6 years of education, individuals with 7-12, and individuals with 12+ years of education had increasing protection to Alzheimer's disease (OR = 0.3, 95% CI = 0.2-0.4; OR = 0.2, 95% CI = 0.2-0.4; OR = 0.2 95% CI = 0.1-0.3 to Alzheimer's disease respectively) and increasing protection to vascular dementia (OR = 0.7, 95% CI = 0.5-0.9; OR = 0.6, 95% CI = 0.3-0.9; OR = 0.3, 95% CI = 0.1-0.8 respectively). Compared with farm laborers, non-farmer laborers, officials, and professionals had greater protection to Alzheimer's disease (OR = 0.6, 95% CI = 0.4-0.8, OR = 0.3, 95% CI = 0.2-0.5, and OR = 0.2, 95% CI = 0.1-0.3 respectively) and greater protection to vascular dementia (OR = 0.8, CI = 0.5-1.2, OR = 0.7, 95% CI = 0.4-1.4, and OR = 0.1 95% CI = 0.1-0.4 respectively).

Abbreviation: CI, confidence interval; IR, incidence rate; OR, odds ratio; SE, standard error; SES, socioeconomic status.