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### **Title**

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Numerical study of the THM effects on the near-field safety of a hypothetical nuclear waste repository – BMT1 of the DECOVALEX III project. Part 1: Conceptualization and characterization of the problems and summary of results

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## **Abstract**

*Geological disposal of the spent nuclear fuel uses often the concept of multiple barrier systems. In order to predict the performance of these barriers, mathematical models have been developed, verified and validated against analytical solutions, laboratory tests and field experiments within the international DECOVALEX III project. These models in general consider the full coupling of thermal (T), hydraulic (H) and mechanical (M) processes that would prevail in the geological media around the repository. For Bench Mark Test no. 1 (BMT1) of the DECOVALEX III project, seven multinational research teams studied the implications of coupled THM processes on the safety of a hypothetical nuclear waste repository at the near-field and are presented in three accompany papers in this issue. This paper is the first of the three companion papers, which provides the conceptualization and characterization of the BMT1 as well as some general conclusions based on the findings of the numerical studies. It also shows the process of building confidence in the mathematical models by calibration with a reference T-H-M experiment with realistic rock mass conditions and bentonite properties and measured outputs of thermal, hydraulic and mechanical variables.*

## 1. Introduction

The DECOVALEX III project has two main objectives. The first objective is the validation of mathematical models and computer codes by validating against large-scale experiments. The second objective is to determine the relevance of THM processes on the safety of nuclear waste repositories. To achieve the second objective, hypothetical benchmark test problems with realistic geological background and material models/properties were proposed, where effects of THM couplings with typical repository designs, engineered barriers and host rocks are examined. The study on the benchmark problem BMT1 as presented in the three papers in this special issue looks at the implications of coupled THM processes on the near-field performance of a repository with different characterization of the fractured rocks.

The performance of a nuclear waste repository is dependent on two main components:

- i) the groundwater flow field since groundwater is the main agent of contaminant transport from the repository to the biosphere.
- ii) the structural integrity of the engineered and geological barriers.

The performance assessment (PA) of a repository is often performed with probabilistic approaches using computer codes, with Monte-Carlo simulations. Typically thousands of runs are performed in order to estimate the probabilistic distributions of radionuclide doses to potential receptors. It is therefore necessary to simplify the processes of contaminant migration in the different barriers. The analyses for the aspects of rock mechanics aspects, the engineered barriers and the hydrogeology usually provide information on the structural integrity of the rock mass and performance of the engineered barriers and the groundwater flow field. This information is then synthesized and simplified for defining the models for performance assessment. At present, the above information are usually provided separately, without considering the couplings between the thermal, mechanical (implication on structural integrity), and hydraulic processes (implications on flow field).

In the benchmark problem BMT1 of DECOVALEX III project, we performed scoping calculations in order to determine how the coupled processes could influence the permeability and flow fields, as well as the structural integrity of the geological and engineered barriers in the near-field of a typical repository .

This present paper is the first of three companion papers on BMT1 of DECOVALEX III. In this paper we introduce the subject, the conceptualization, characterization and technical definition of the problem and summarize the main conclusions.

BMT1 is divided into three phases that will be discussed in more details in the three companion papers:

- i) Phase A: Development and validation of THM models and computer codes through calibration against the measured output from an in-situ THM experiment at the Kamaishi mine in Japan. The objective of the calibration is to build confidence in the models and codes used by different research teams in the subsequent scoping calculations. The result of this phase is described in this paper.
- ii) Phase B [3]: Scoping calculations for a hypothetical repository in a continuous and homogeneous rock. The bentonite as an engineered barrier in the hypothetical repository is the same as the one used in the THM experiment at the Kamaishi mine. However, the permeability and strength characteristics of the rock mass are based on data obtained from sparsely fractured rock of the Canadian Shield. The results are reported in [Alain et al.]
- iii) Phase C [4]: Scoping calculations for a hypothetical repository similar to the one in Phase B, but with the additional consideration of discrete fractures intersecting the repository. The results are presented in [Jonny et al.]

## **2. General Definition of the BMT1 problem**

The BMT1 concerns a hypothetical nuclear waste repository in a granitic rock formation at a depth of 1000 m [5] with reference to an experimental site at Kamaishi Mine in Japan, where tunnels were excavated down to a depth of 600 m, and a variety of hydraulic, mechanical, geochemical tests have been performed as shown in Fig.1 [6]. Of particular relevance to the assessment proposed in BMT1 is a THM experiment probing the near field behaviour of the rock mass and buffer around a single waste container as shown in Fig.2 [7]. The type of bentonite used in the above experiment and the dimensions of the experimental borehole are comparable with the parameters of the conceptual design of the repository as shown in Fig.3 [5].

The conceptual design of the repository, as shown in Fig. 3 [5], consists of a series of parallel tunnels, where the wastes would be emplaced in vertical boreholes excavated in the floors of the tunnels. The centreline distance between the adjacent tunnels is 10 m and the centreline distance between adjacent vertical boreholes for waste emplacement is 4.44 m. The depth of each borehole is 4.13 m and the diameter is 2.22 m. The overpack for vitrified wastes would be emplaced into the boreholes, and a bentonite buffer material would be compacted around the overpack. The tunnels would also be backfilled with a mixture of gravel and clay after waste emplacement.

Performance assessment of the hypothetical repository will need answers to the following questions from numerical modeling of the THM processes:

- 1) What is the temperature evolution in the near-field?
- 2). How long would it take for the buffer to resaturate?
- 3) What are the stresses on the overpack and the buffer ? Will they be structurally stable?
- 4) How will the permeability and the flow field of the rock mass in the near-field evolve?
- 5) Is there a potential of rock mass failure in the near-field?
- 6) Last but not least, is the THM coupling important and which coupling can be ignored?

The THM mathematical models, implemented in computer codes, will be needed as tools to address the questions raised above. The work is divided into two phases:

- 1) Calibration of the code with the T-H-M experiment performed at the 550 m-Level gallery at the experimental site, illustrated in Fig. 1. This step is considered as crucial in building confidence in the codes' capabilities to take into account the main physical processes.
- 2). Use of the codes to perform scoping calculations of the near-field T-H-M behaviour of the generic design shown in Fig. 3, in order to address the above PA-related questions.

The hypothetical repository defined in the above has rather composite features: it is in fact based on one of the Japanese conceptual designs as shown Fig. 3 [5]. The buffer material is the same pure bentonite [8] used in a real THM experiment [7] at the Kamaishi mine in Japan as shown in Fig. 1. The in-situ state of stress and the natural thermal gradient are also based on Japanese geological data. however, the rock mass properties in term of strength and permeability are based on typical Canadian Shield's data.

### **3. Calibration with the in situ T-H-M Field Experiment at Kamaishi Mine**

The confidence building in the mathematical models and computer codes was conducted by calibration with an in situ T-H-M experiment for realistic rock mass conditions and bentonite properties using measured outputs of thermal, hydraulic and mechanical variables carried out, defined as phase A of BMT1. The experiment chosen for this work is the coupled in-situ THM experiment at the Kamaishi mine in Japan. Five research teams as shown in Table 1 simulated the in-situ THM experiment. A number of improvements to previous works performed during DECOVALEX II [9, 10] were suggested and tested in this study. The suggested improvements were tested using a simplified axisymmetric model of the in-situ THM experiment.

#### **3.1 Outline of the Kamaishi in situ T-H-M experiment**

A Schematic view of the Kamaishi in-situ THM experiment is shown in Fig.2 [7]. A granulated bentonite was compacted directly into the test pit, by layers of 10 cm in thickness. The initial water content (by weight) of the bentonite was 15%. A heater was installed in the test pit, surrounded by the bentonite. When bentonite was compacted within the last 50 cm of the pit, a concrete lid was installed for the remaining part. This lid was restrained by vertical steel bars connected to the ceiling of the drift, in order to restrict the vertical movements. A 40 cm deep water pool was then constructed on the floor of the test drift. The temperature at the center of the heater was maintained at 100°C during a heating phase of 258 days. The heater was then turned off, and the natural cooling phase took place for approximately 180 days. Measurements from the installed sensors were recorded during the complete heating and cooling phases.

### 3.2 The calibration against the in-situ THM experiment using a simplified axisymmetric model

A simplified calibration test case of the in-situ experiment was proposed and defined below. The case focuses on the THM behaviour of a radial line (with a radial distance  $r$  as the coordinate) from the centre of the heater, with the axisymmetric geometry as shown in Fig.4.

The desired output parameters are:  $T$ - the temperature (°C);  $u$ - the radial displacement (m);  $p$ - the pore pressure (Pa);  $\omega$ - the water content by weight (%);  $\sigma$ - the total radial stress (Pa); and  $\varepsilon_r$ ,  $\varepsilon_t$ - the radial and tangential strains, respectively. Time histories of these output parameters were calculated for both the heating and cooling phases.

The boundary conditions are defined as follows:

At  $r = 0.47$  m:

$T=100^\circ\text{C}$  (heating) and free temperature (cooling), Free displacement, Impermeable.

At  $r = 10$  m

$T = 12^\circ\text{C}$ ,  $u=0$ ,  $p=3.9$  kPa (equivalent to 0.4 m of water)

The initial conditions are as follows:



$T = 12\text{ }^\circ\text{C}$  and zero displacements and stresses everywhere;  $p = 3.9\text{ kPa}$  in the rock;  $\omega = 15\%$  in the bentonite.

### 3.3 Physical process and constitute models in different codes applied for BMT1A study

#### 3.3.1 The CNSC model

In the FRACON [9] code developed and used by the CNSC for the BMT1 study, the bentonite was assumed to be a poroelastic continuum of a generalized Biot's type. The physical processes considered are heat conduction, pore water flow in saturated/unsaturated porous media, vapor flow driven by temperature gradient, and mechanical deformation of the bentonite skeleton. These processes are described by the following governing equations.

The energy conservation equation is given as

$$\frac{\partial}{\partial x_i} \left( \kappa_{ij} \frac{\partial T}{\partial x_j} \right) + q = \rho C \frac{\partial T}{\partial t} \quad (1)$$

where  $T$  is the temperature,  $\kappa_{ij}$  is the heat conductivity tensor,  $q$  is the heat source (or sink),  $\rho$  is the bulk density and  $C$  is the specific heat.

The continuity equation of groundwater is given as

$$\begin{aligned} \frac{\partial}{\partial x_i} \left[ \frac{\rho_w k_{ij} K_r}{\mu} \left( \frac{\partial P}{\partial x_j} + \rho_w g_i \right) \right] - \frac{\partial}{\partial x_i} \left( D_{Tv} \frac{\partial T}{\partial x_i} \right) + \rho_w n \frac{dS_r}{dP} \frac{\partial P}{\partial t} - S_r B_w \frac{\partial P}{\partial t} \\ - \rho_w S_r \frac{\partial}{\partial t} \left( \frac{\partial u_r}{\partial x_r} \right) + \rho_w S_r [n\beta_w + (1-n)\beta_s] \frac{\partial T}{\partial t} = 0 \end{aligned} \quad (2)$$

where  $k_{ij}$  is the saturated permeability tensor;  $K_r$  the non-dimensional relative permeability of the unsaturated medium;  $S_r$  the degree of saturation;  $\mu$  the water viscosity;  $\rho_w$  the water density;  $D_{Tv}$  the thermal diffusivity of the vapor;  $n$  the porosity; and  $B_w$  the coefficient of water compressibility, respectively. The symbol  $\beta_w$  and  $\beta_s$  are the thermal expansion coefficients of water and solid, respectively.  $P$  is the water pressure.

The equilibrium equation is given as

$$G \frac{\partial^2 u_i}{\partial x_j \partial x_j} + (G + \lambda) \frac{\partial^2 u_j}{\partial x_i \partial x_j} + \chi(S) \frac{\partial P}{\partial x_i} - \beta K_d \frac{\partial T}{\partial x_i} + F_i = 0 \quad (3)$$

where,  $u_i$  is the displacement vector,  $G$  and  $\lambda$  are Lamé's elasticity constants,  $\beta$  is the volumetric thermal expansion coefficient of the solid matrix,  $\chi$  is Bishop's coefficient of effective stress,  $K_d$  is the bulk modulus and  $F_i$  is the body force

### 3.3.2 The JNC model

The behavior of the buffer material is influenced by the interdependence of thermal, hydraulic and mechanical phenomena. To simulate the water/vapor movement and heat induced water movement, the continuity equation used in the extended THAMES [9] code is as follows;

$$\left\{ \xi \rho_w D_\theta \frac{\partial \theta}{\partial \psi} (h_{,i} - z_{,i}) + (1 - \xi) \frac{\rho_w^2 g K}{\mu_l} h_{,i} \right\} + \{ \rho_w D_{Tv} T_{,i} \}_{,i} \quad (4)$$

$$- \rho_{wo} n S_r \rho_w g \beta_P \frac{\partial h}{\partial t} - \rho_w \frac{\partial \theta}{\partial \psi} \frac{\partial h}{\partial t} - \rho_w S_r \frac{\partial u_{i,i}}{\partial t} + \rho_{wo} n S_r \beta_T \frac{\partial T}{\partial t} = 0$$

where  $D_\theta$  is the isothermal water diffusivity,  $\theta$  the volumetric water content,  $\psi$  the water potential and  $K$  the intrinsic permeability. The symbol  $\xi$  is the relative saturation parameter so

that  $\xi=0$  when the medium is fully saturated,  $\xi=1$  otherwise.  $\mu_l$  is the viscosity of water,  $n$  the porosity,  $S_r$  the degree of saturation,  $\beta_p$  the compressibility of water,  $\beta_T$  the thermal expansion coefficient of water and  $z$  the elevation head. The variable  $u_i$  is the displacement vector,  $T$  is the temperature,  $h$  is the total head and  $t$  is time. The subscript 0 means the reference state.

The energy conservation equation takes into account the heat transfer by conduction and convection, as well as the energy change by evaporation. The equation is given as

$$\begin{aligned} & (\rho C_v)_m \frac{\partial T}{\partial t} + n S_r \rho_w C_{vl} V_{li} T_{,i} - K_{Tm} T_{,ii} + L \left\{ D_{\theta} \frac{\partial \theta}{\partial \psi} (h_{,i} - z_{,i}) \right\}_{,i} \\ & + n S_r T \frac{\beta_T}{\beta_p} \left\{ \xi D_{\theta} \frac{\partial \theta}{\partial \psi} (h_{,i} - z_{,i}) + (1 - \xi) \frac{\rho_w g K}{\mu_l} h_{,i} + D_T T_{,i} \right\}_{,i} \\ & + \frac{1}{2} (1 - n) \beta T \frac{\partial}{\partial t} (u_{i,j} + u_{j,i}) \delta_{ij} = 0 \end{aligned} \quad (5)$$

where  $(\rho C_v)_m$  is the specific heat of the material consisting of water and the solid particles,  $C_{vl}$  the specific heat of water,  $V_{li}$  the velocity vector of water,  $K_{Tm}$  the thermal conductivity of the medium consisting of water and the solid particles,  $L$  the latent heat of vaporization per unit volume,  $D_{\theta}$  the vapor diffusivity.

The equilibrium equation takes the swelling behavior of the partially saturated bentonite into account, written as

$$\left[ \frac{1}{2} C_{ijkl} (u_{k,l} + u_{l,k}) - F \pi \delta_{ij} - \beta \delta_{ij} (T - T_o) + \chi \delta_{ij} \rho_w g (h - z) \right] + \rho b_i = 0 \quad (6)$$

where  $C_{ijkl}$  is the elastic matrix,  $\rho$  the density of the medium and  $b_i$  the body force.  $\chi$  is the parameter for the effective stress,  $\chi=0$  for the unsaturated zone and  $\chi=1$  for the saturated zone. The symbol  $F$  is the coefficient relating to the swelling pressure process and  $\beta=(3G+2\lambda)\alpha_s$ , where  $\alpha_s$  is the thermal expansion coefficient of solid particles.

### 3.3.3 The KTH/SKI model

The governing equations of ROCKMAS [9] are as follows. The mass conservation of water and vapor, energy conservation and momentum conservation equations are given as

$$\begin{aligned}
& \alpha[S_r \rho_w + (1 - S_r) \rho_v] \\
& + \left[ n S_r \rho_w^0 \beta_p + n(\rho_w - \rho_v) C_s + n(1 - S_r) \frac{\rho_v}{\rho_w R T} \right] \frac{\partial P}{\partial T} \\
& + \left[ n S_r \rho_w^0 \beta_T + n(1 - S_r) \left( h \frac{\partial \rho_{vs}}{\partial T} + \frac{\rho_v P}{R T^2} \right) \right] \frac{\partial T}{\partial t} \\
& = \nabla \cdot \left[ \left( \frac{\rho_w k_w}{\mu_w} + D_{Pv} \right) \nabla P + \frac{\rho_w k_w}{\mu_w} \gamma_w \nabla z \right] - \nabla \cdot (D_{Tv} \nabla T)
\end{aligned} \tag{7}$$

$$\begin{aligned}
& \left[ (1 - n) \rho_s C_{vs} + \rho_w C_{ws} n S_r + \rho_v C_{pv} n(1 - S_r) + H_l \left( h \frac{\partial \rho_{vs}}{\partial T} - \frac{\rho_v P}{R T^2} \right) \right] \frac{\partial T}{\partial t} \\
& + \beta T_0 \frac{\partial e}{\partial t} + \nabla \cdot (C_{vw} q_w^m + C_{pv} q_v^m) \nabla T \\
& = \nabla \cdot [(K_M + L D_{Tv}) \cdot \nabla T + L D_{pv} \nabla P] \\
& - H_2 \frac{\partial (n S_r)}{\partial t} - \left( H_1 \frac{\rho_v}{\rho_w R T} + H_2 \frac{\partial (n S_r)}{\partial P} \right) \frac{\partial P}{\partial t}
\end{aligned} \tag{8}$$

$$\frac{\partial}{\partial x_j} [C_{ijkl} e_{kl} - \delta_{ij} \beta (T - T_0) - \delta_{ij} \zeta (S_r - S_{r0}) - \delta_{ij} \alpha S_r \bar{P}] + \bar{\rho}_s f = 0 \tag{9}$$

where,  $\alpha$  is the Biot's coupling constant,  $\gamma_w$  the fluid density by weight,  $\xi$  the coefficient of swelling,  $\bar{\rho}$  the average solid mass density,  $\rho_v$  the vapor mass density,  $\rho_{vs}$  the saturated vapor mass density,  $C_s$  the moisture capacity,  $e$  the volumetric elastic strain,  $R$  the specific gas constant,  $f$  the body force per unit mass,  $k_w$  the water permeability,  $K_M$  the thermal conductivity of solid-fluid mixture,  $D_v$  the effective molecular diffusivity of water vapor in air,  $L$  the latent heat of vaporization, and the  $C_{vs}$ ,  $C_{vw}$ ,  $C_{Pv}$  the volumetric specific heat capacity of solid, water and vapor, respectively.

### 3.3.4 The IRSN/CEA model

The model that has been implemented in the computer code Castem 2000 [?] for the simulation of coupled THM responses of unsaturated soils and is based on the equations governing the evolution of the chosen primary variables, which are the displacements  $\xi$ , the liquid water pressure  $p_l$  and the temperature  $T$ , and are obtained from conservation equations together with state laws.

The water mass conservation equation is

$$\begin{aligned} \frac{\partial}{\partial t}(\rho_l S_l \varphi) &= - \operatorname{div} \underline{q}_l - \operatorname{div} \underline{q}_v \\ &= + \operatorname{div} \left[ \frac{K k_{rl} \rho_l}{\eta_l} (\underline{\operatorname{grad}} p_l - \rho_l \underline{F}) \right] \\ &\quad + \operatorname{div} (D_T \underline{\operatorname{grad}} T) \end{aligned} \quad (10)$$

where  $K$  is the intrinsic permeability,  $k_{rl}$  the permeability relative to liquid water and  $\eta_l$  the dynamic viscosity of water. Symbol  $\underline{F}$  stands for body forces such as gravity.

The conservation of momentum is written as

$$\operatorname{div} \underline{\underline{\sigma}} + \rho \underline{F} = 0 \quad (11)$$

where  $\underline{\underline{\sigma}}$  stands for the total stress in the porous medium, and  $\rho$  is its equivalent density.

Finally, the conservation of energy is simply taken in a simplified form:

$$\rho C \frac{\partial T}{\partial t} - \operatorname{div} (K_T \cdot \underline{\operatorname{grad}} T) = q \quad (12)$$

where  $\rho C$  stands for the heat capacity of the equivalent porous medium,  $K_T$  is the heat conductivity and  $q$  represents a heat source.

The temperature equation is solved separately, whereas the water mass conservation and momentum conservation equations are solved together. Because of the strong non-linearity that are present in these equations, a fully implicit time integration scheme is used.

### 3.3.5 The INERIS/ANDRA model

The two-phase flow option in FLAC allows numerical modelling of the flow of two immiscible fluids through porous media. Some of these concepts are addressed below.

In a two-phase flow problem, the void space is completely filled by two fluids. One of the fluids (the liquid fluid, identified by the subscript  $lq$ ) wets the porous medium more than the other (the gas fluid, identified by subscript  $gz$ ). As a result, the pressure in the non-wetting fluid will be higher than the pressure in the wetting fluid. The pressure difference  $P_{gz}-P_{lq}$  is the capillary pressure  $P_c$ , which is a function of saturation  $S_{lq}$ . Darcy's law is used to describe the flow of each fluid. The effective intrinsic permeability is given as a fraction of the single-fluid intrinsic permeability. The fractions (or relative permeability) are functions of saturation,  $S_{lq}$ .

In addition to the mechanical balance of momentum, the mechanical constitutive equations and compatibility equation, some additional equations are used for coupled calculations, as described below.

The transport equations of liquid and gas components are described by the Darcy's law:

$$\begin{cases} q_i^{lq} = -k_{ij}^{lq} \kappa_r^{lq} \frac{\partial}{\partial x_j} (P_{lq} - \rho_{lq} g_k x_k) \\ q_i^{gz} = -k_{ij}^{lq} \kappa_r^{gz} \frac{\partial}{\partial x_j} (P_{gz} - \rho_{gz} g_k x_k) \end{cases} \quad (13)$$

where  $k_{ij}$  is the saturated mobility tensor and  $\kappa_r$  the relative permeability for the fluid.

The constitutive equations are

$$\begin{cases} S_{lq} \frac{\partial P_{lq}}{\partial t} = -\frac{K_{lq}}{\phi} \left[ \frac{\partial \zeta_{lq}}{\partial t} - \phi \frac{\partial S_{lq}}{\partial t} - S_{lq} \frac{\partial \varepsilon}{\partial t} \right] \\ S_{gz} \frac{\partial P_{gz}}{\partial t} = -\frac{K_{gz}}{\phi} \left[ \frac{\partial \zeta_{gz}}{\partial t} - \phi \frac{\partial S_{gz}}{\partial t} - S_{lq} \frac{\partial \varepsilon}{\partial t} \right] \end{cases} \quad (14)$$

where  $K_{lq}$ ,  $K_{gz}$  are liquid and gas bulk modules.

### 3.4 Comparison of results

Figure 5 and Figure 6 compare the temperature results by five models, at  $r=0.685\text{m}$  and  $r=1.45\text{m}$ , respectively. The calculated and measured values agree well and demonstrate that conductive heat transfer is the main heat transport mechanism. All models reproduced correctly the temperature behaviour during the complete heating-cooling phases, and the reasons for the discrepancies are most likely the differences in model geometry, mesh size, moisture-heat interaction models with different thermal diffusion laws and values of thermal diffusivities.

Figures 7 to 9 compare the water contents at  $r=0.54\text{m}$ ,  $r=0.685\text{m}$  and  $r=0.85\text{m}$ , respectively, as functions of time during both heating and cooling periods. The calculated results agree well with the measured ones at  $r=0.54\text{m}$  and  $r=0.85\text{m}$ , both qualitatively and quantitatively, for all teams except the INERIS/ANDRA model which may be caused mainly by the treatment of steel of the canister as a porous medium and the fact that the thermally driven water transport was ignored in the INERIS/ANDRA model. The major discrepancies exist at  $r=0.685\text{m}$  in all models except for the KTH/SKI model. The possible causes for such major discrepancies could be many, especially coupled porosity-permeability models, which was ignored in all models, and condensation- evaporation coupling effects, which was ignored in CEA/IRSN, CNSC, JNC and INERIS/ANDRA models.

Figure 10 compares the radial stress at  $r=0.54\text{m}$  as a function of time, where experimental data are available. For the CEA/IRSN, CNSC, KTH/SKI and JNC models, although large discrepancies still exist, the general trend among the models agrees fairly well with the measured data at this point. This indicates that the thermal expansion phenomenon is modelled fairly well by these teams, but not the swelling process, which may be the reason for the discrepancies.

Figures 11 and 12 compare radial strains at  $r=0.685\text{m}$  and  $1.45\text{m}$ , respectively. Although large discrepancies still exist among the models, a consistent trend can still be detected between the measured and calculated strains at  $r=0.685\text{m}$ . At  $r=1.45\text{m}$  in the rock, the JNC results of the strain is consistent with the experimental data in trend, and CNSC model results were under-predicted, due to the fixed outside boundary that is too close to the center (radial distance of  $3\text{ m}$ ).

### 3.5 Summary

A simplified axisymmetric model of the in situ THM experiment at the Kamaishi mine was simulated by five different numerical models. Although the model geometry is much simplified compared to the field test conditions, improved simulation of the general THM responses were obtained as compared to previous blind predictions performed within the DECOVALEX II project. The measures taken for improvement were:

- Parameter improvements (reduced rock mass permeability and rock mass thermal expansion by the KTH/SKI team, and increased thermal expansion coefficient and reduced swelling pressure constant of the buffer by the JNC team)
- Inclusion of the sealing of rock fractures by penetrating bentonite by the KTH/SKI team, which can explain the uniform (axisymmetric) wetting of the bentonite.
- An improved swelling/shrinking strain function combined with an increased thermal expansion of the bentonite giving a good match of the mechanical (stress, strain) behavior of the buffer by the KTH/SKI team.



·Use of higher  $E$  (Young's modulus) and  $\nu$  (Poisson's ratio) of the bentonite near the heater, and use of a "sealed" layer of rock around the bentonite by the CNSC team.

As a results of the above measures, the results from the simplified axisymmetric model used in the re-evaluation of the THM experiment at the Kamaishi mine showed general improvement over the original models used in the prediction phase during the DECOVALEX II project. In general, the mechanical behaviour of the buffer is complex with forces contributing from shrinking/swelling in all part of the bentonite, external stress from the thermal expansion of the heater and rock, and internal thermal expansion of the bentonite itself. However, a reasonable prediction of the mechanical behaviour can be done only if all relevant bentonite properties are known from laboratory tests.

#### **4. Scoping calculations for the near field**

The models which are used in the calibration of the Kamaishi THM experiment are used to perform scoping calculations of the near field of a hypothetical repository. The definition of the scoping calculation is given in this section.

From the conceptual design of the repository as shown in Fig. 3, and assuming repetitive symmetry, one web of the system, comprising one borehole, and a slice of rock and backfill as shown in Fig. 13 is considered.

For the rock mass, two main cases were considered:

- i) The rock mass is considered to be continuous (without explicitly presented fractures) and homogeneous. The scoping calculations for this case constituted phase B of BMT1 [3].
- ii) One discrete horizontal fracture intersects the centre of the emplacement borehole. A combination of this horizontal fracture and other intersecting fractures could also be considered. The scoping calculations for this case constituted phase C of BMT1 [4].

## 4.1 Rock mass properties

Initial rock mass permeability values of  $10^{-18}$ ,  $10^{-17}$  and  $10^{-19}$   $m^2$  were considered by the research teams, with the median value of  $10^{-18}$  defined as the base case. Additionally, the rock mass permeability was assumed to vary with the effective porosity according to the following function:

$$K = 2.186 \times 10^{-10} n^3 - 5.8155 \times 10^{-18} \quad (15)$$

where  $K$  is the intrinsic permeability ( $m^2$ ) and  $n$  is the effective porosity.

Equation (15), illustrated in Fig. 14, is derived from experimental data obtained from sparsely fractured rock of the Canadian Shield [13], with a permeability range between  $10^{-19}$  to  $10^{-17}$   $m^2$ .

The rock mass failure is assumed to be governed by the Hoek and Brown's criterion:

$$\sigma'_{1f} = \sigma'_1 - \sigma'_3 - (m\sigma'_c\sigma'_3 + s\sigma_c^2)^{0.5} \quad (16)$$

where  $\sigma'_{1f}, \sigma'_1, \sigma'_3$  are respectively the major effective principal stress at failure, the major effective principal stress and the minor effective principal stress, respectively. Symbols  $m=17.5$  and  $s=0.19$  are empirical constants typical of granitic rock of the Canadian Shield [14];  $\sigma_c=190\text{MPa}$  is the uniaxial compressive strength of intact rock samples.

## 4.2 Analysis sequence

For both phase B and C, the research teams performed the following sequence of simulation:

i) firstly, determine the effects of excavation by performing a steady-state analysis, with the boundary conditions as illustrated in Fig. 13. The output of this analysis consists of the

distribution of temperature, pore pressure, permeability, and factor of safety for rock mass failure. The ambient temperature of 45°C is consistent with Japanese thermal conditions at a depth of 1000m. A temperature of 20°C is assumed inside the tunnel in order to simulate cooling.

ii) secondly, perform transient analyses assuming that the buffer, backfill and heater are emplaced instantaneously at time  $t=0$ . The analysis shall be conducted for a period of at least 100 years. The results from the steady state analysis for excavation effects for temperature, stresses, and pore pressure in the rock mass would be used as initial conditions. For the buffer and backfill, the initial stresses were assumed to be zero, the initial temperature is 20°C, and the initial water content is 15 %. The heat output from the waste is shown in Fig.15 and is representative of Japanese spent fuel [5]. The boundary conditions for the transient analysis are similar to the ones during excavation, except for the lateral boundaries where zero normal displacement was imposed to simulate periodic symmetry conditions. The time evolution of temperature, water content and total stresses in the bentonite would be calculated at specific points. In the rock mass, the distribution of temperature, pore pressure, factor of safety against failure and permeability are required at specific times.

#### 4.3 Evaluation of coupling effects

For both the excavation phase, and the long term phase with emplaced buffer, backfill and heater, the analyses shall be performed with increasing degree of complexity of coupling [3, 4]. A comparison matrix will be established in order to compare the implications of various orders of complexity of the coupling, as shown in Table 2.

The above numerical simulations and results are presented in the two companion papers about BMT1 [, Millard et al., Rutqvist et al.]

### 5. Results, comparison and concluding remarks

In this paper, we provided the rationale and definition of a benchmark test called BMT1 to look at the implications of THM couplings on safety parameters in the near field of a hypothetical repository. This hypothetical repository possesses composite features since it is based on a Japanese design, with a Japanese bentonite used as buffer material and the heat output characteristics of Japanese spent fuel. However, the permeability and strength characteristics of the rock mass are based on typical properties of granites of the Canadian Shield.

In Phase A of BMT1, the T-H-M models used by the research teams are calibrated against the measured THM output of an in-situ heater experiment. All models could reasonably simulate the fundamental physical phenomena, with thermal output being best simulated and swelling stress in the bentonite being the most difficult parameter to simulate. This phase is an important step of conceptualization and characterization of the bentonite and rocks, with support of an in situ THM experiment, for the confidence building of the models and codes that were applied in phase B and C.

In phase B, the research teams used the T-H-M models to perform scoping calculations for a repository in a continuous and homogeneous rock mass. The results of phase B are reported in details in a companion paper [3]. The main conclusions of phase B are as follows. The fully coupled THM analysis predicted localized rock mass failure and also important features related to the buffer/backfill re-saturation, the rock mass permeability evolution and the swelling stress development in the buffer which might be overlooked by more simple analyses. The effects of coupling on the above features seem to be amplified when the rock mass permeability is lower. Temperature is the only output parameter which is not significantly influenced by coupling.

In Phase C, the research teams performed calculations with one or several water-bearing discrete fractures intersect the repository. The results of phase C are reported in details in a companion paper [4]. The main conclusions of phase C are as follows. As in phase B, the temperature field shows nearly no difference between THM, TH, TM calculations and is very similar to the one in phase B. The fracture(s) accelerates the re-saturation of the buffer/backfill

and prevents the de-saturation of the rock mass. From a mechanical point of view, the fracture(s) constitutes a zone of weakness and results in a more extended zone of damage as compared to the continuous scenario. TH or THM calculations produce very similar pore pressure fields. With respect to the stresses in the buffer, the conclusions are similar to the continuous case, with a predominant effect of pore pressure on total stresses, compared to the thermal stresses.

From the results of the BMT1 works, it appears that from a technical point of view the effect of coupling will be either short lived (several decades to 100 years) and would not impact on long-term ( thousands to hundred of thousand years) safety issues, or could be rectified by adequate design and operation methodology (e.g. avoid over-cooling the galleries). The influence of the host rock properties (e.g. permeability) on the long term safety seems to be much more important than coupling, since one has much less control over these properties. However, the short-term period where coupled processes are important corresponds to the construction, operation and pre-closure monitoring periods for most disposal systems. This period is crucial for repository design and construction, confidence building, demonstration purposes, and public acceptance. In order to interpret and assess the monitoring data collected during that pre-closure period, a fully coupled approach is necessary for PA analyses.

### **Acknowledgement**

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Table 1. Research team and simulation code

Research team	Simulation code
CNSC (Canada)	FRACON
JNC (Japan)	THAMES
KTH/SKI (Sweden)	ROCMAS
CEA/IRSN (France)	Castem 2000
INERIS/ANDRA (France)	FLAC

Table 2. Comparison of output for different degrees of T-H-M coupling

Output	Coupling			
	THM	TH	TM	HM
Temperature				NA*
Water content			NA	
Pore pressure			NA	
Stress/safety factor		NA		
Permeability		NA		

\* NA: not applicable

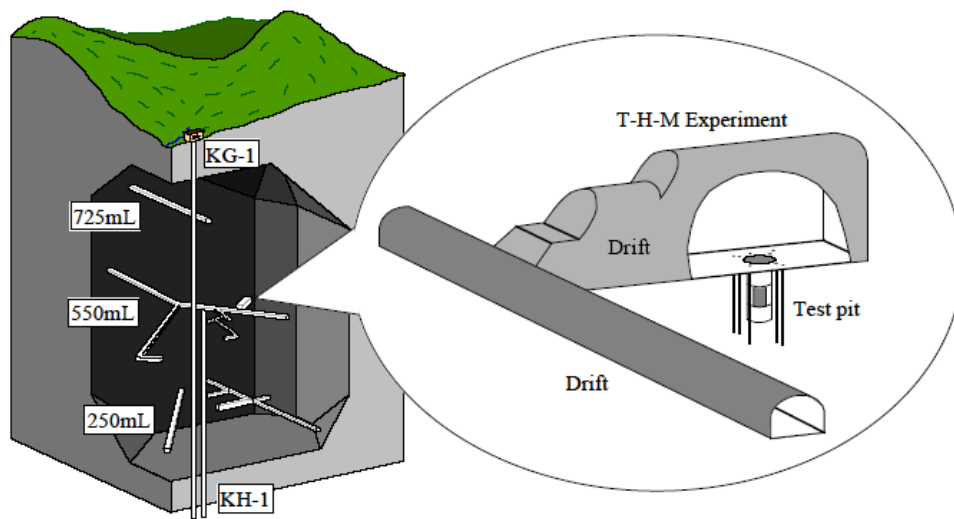


Fig.1. Underground experimental site

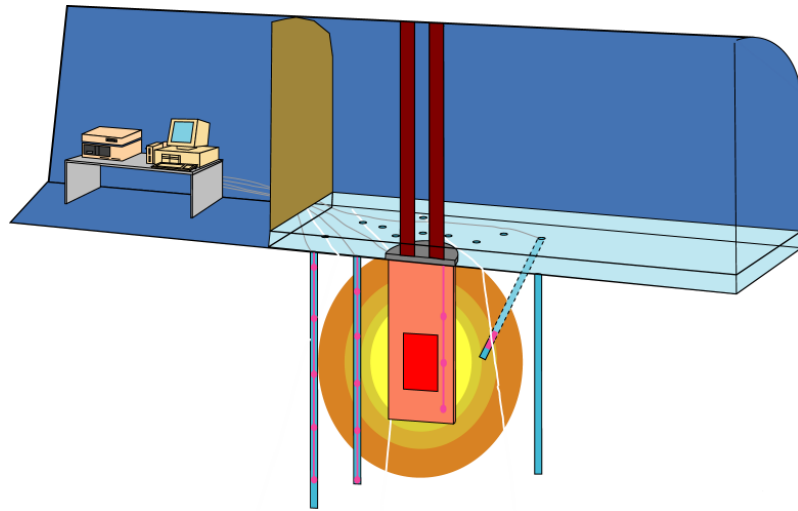


Fig.2. Schematic view of the THM experiment at the Kamaishi mine

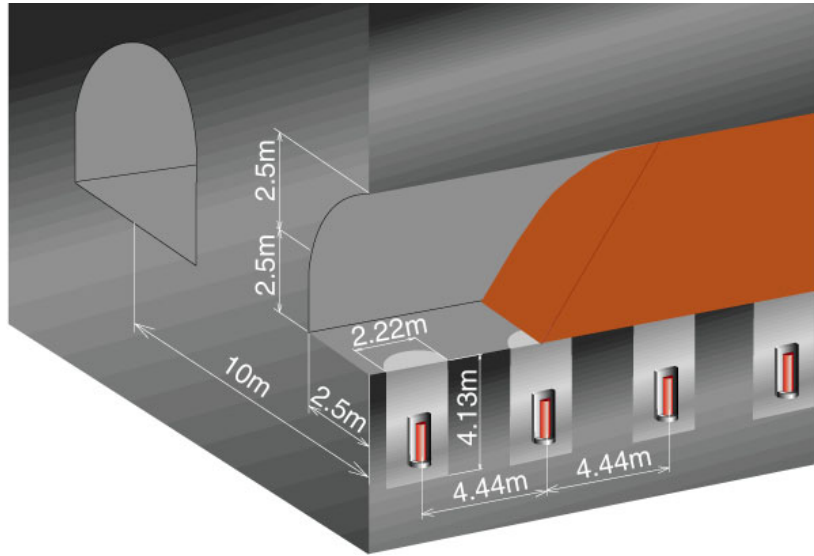


Fig.3. Conceptual design of hypothetical repository in Japan

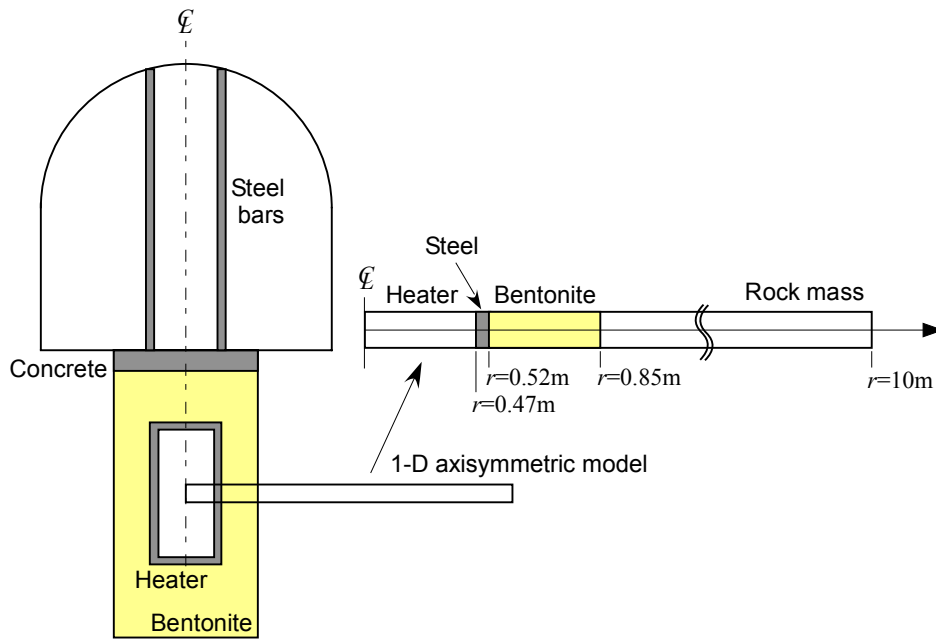


Fig.4. Geometry of the simplified axisymmetric model

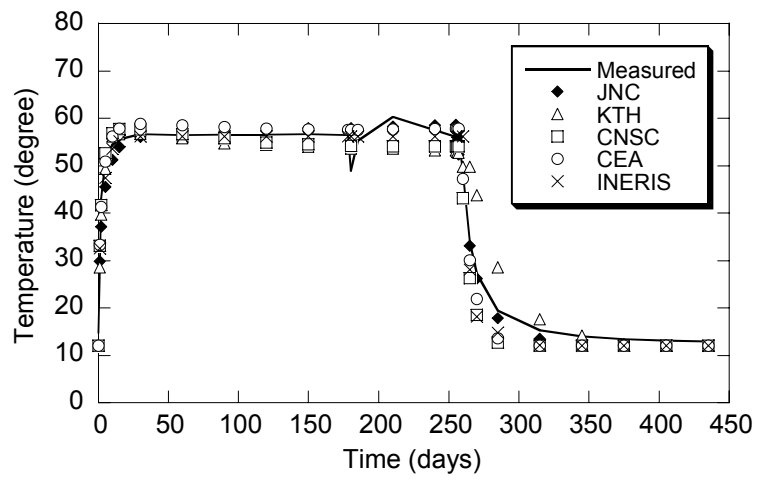


Fig. 5. Time histories of temperature at  $r=0.685m$

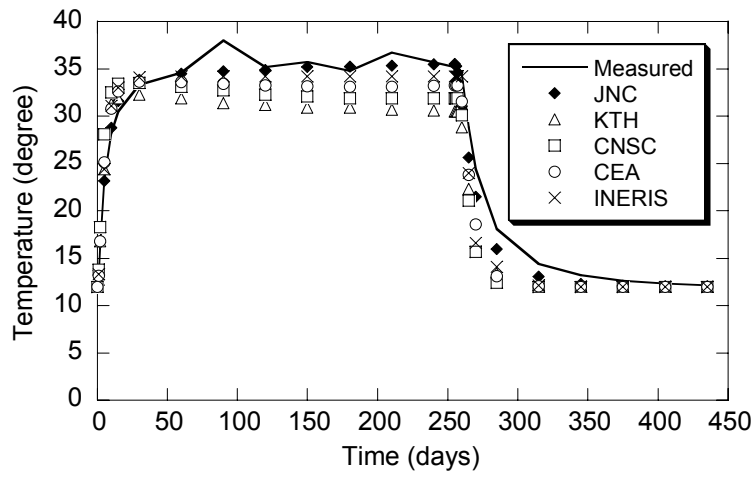


Fig. 6. Time histories of temperature at  $r=1.45m$

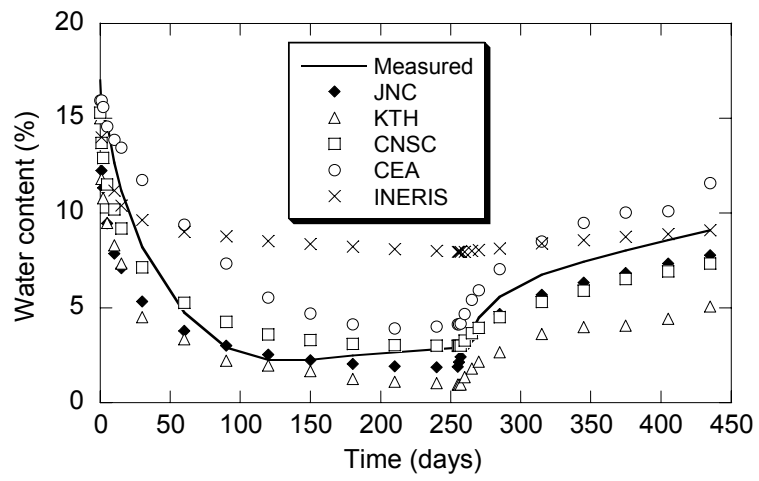


Fig. 7. Water contents at  $r=0.54\text{m}$



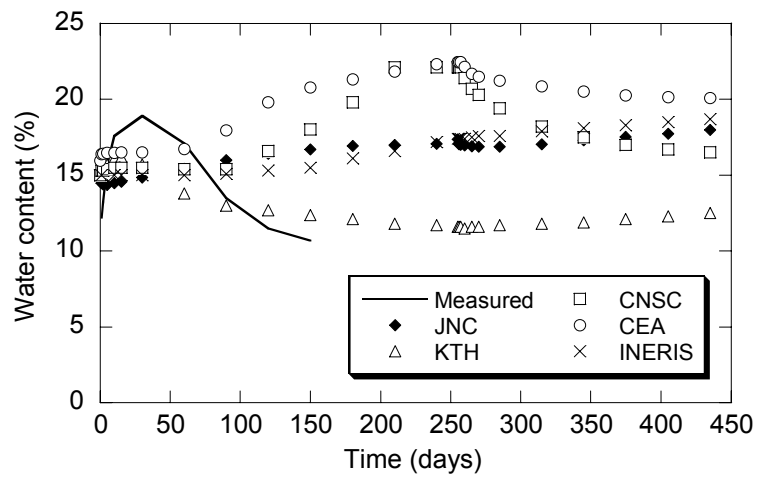


Fig. 8. Water contents at  $r=0.685\text{m}$

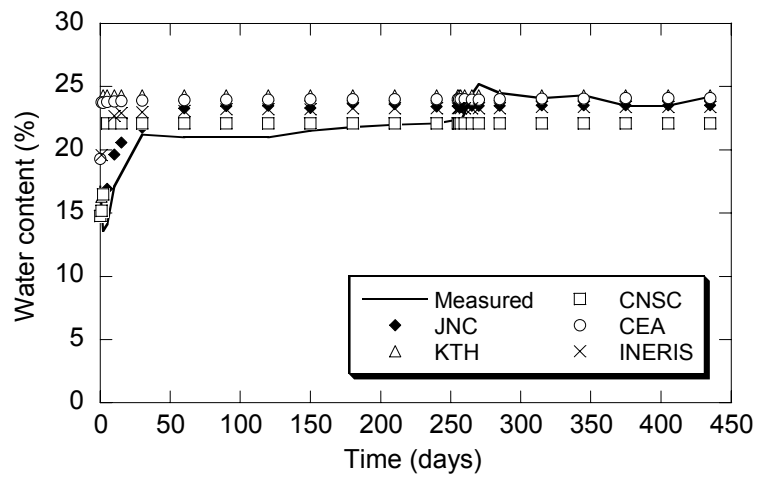


Fig. 9. Water contents at  $r=0.85m$

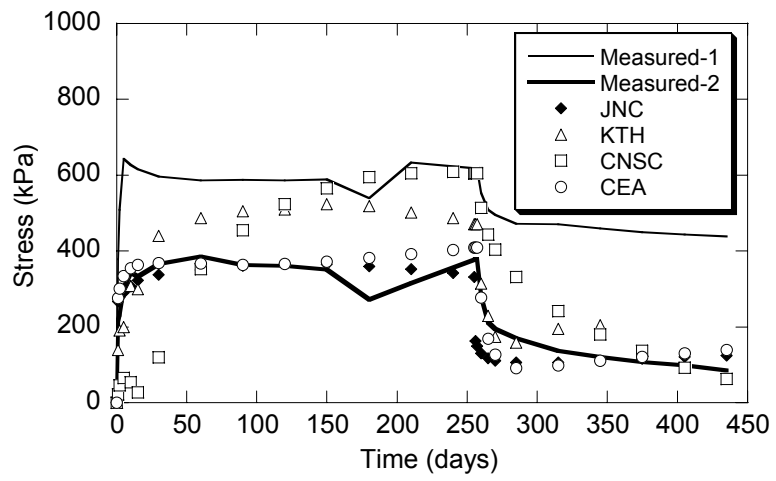


Fig. 10. Radial stress at  $r=0.54m$

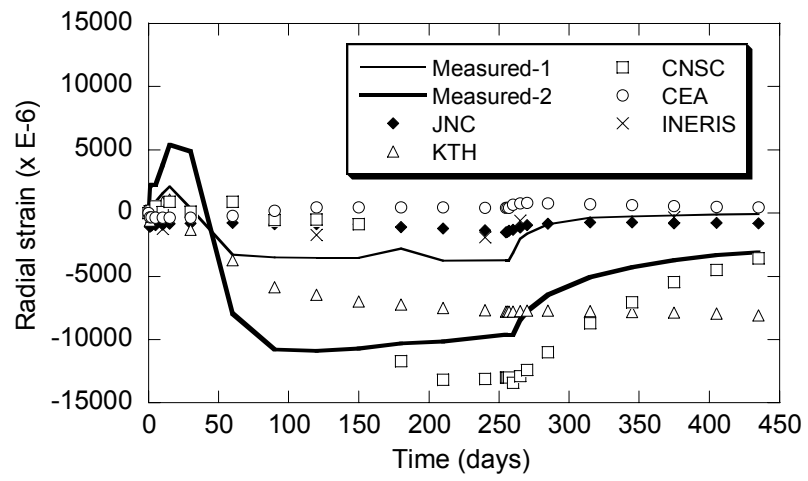


Fig. 11. Radial strains at  $r=0.685m$

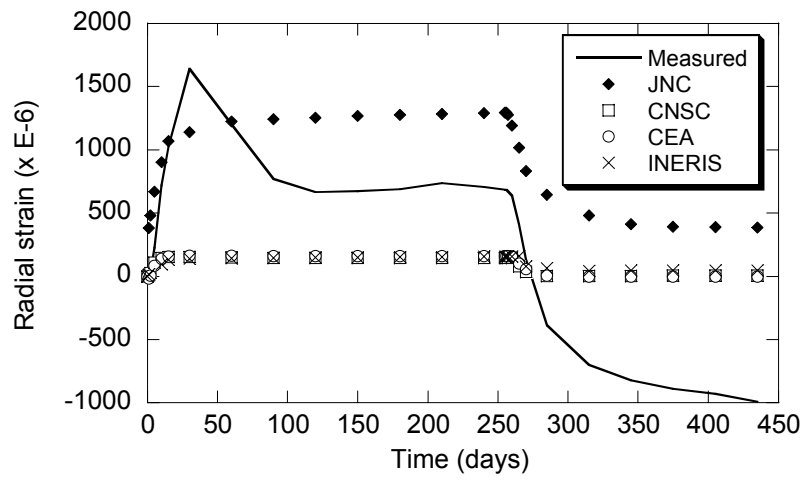


Fig. 12. Radial strains at  $r=1.45\text{m}$

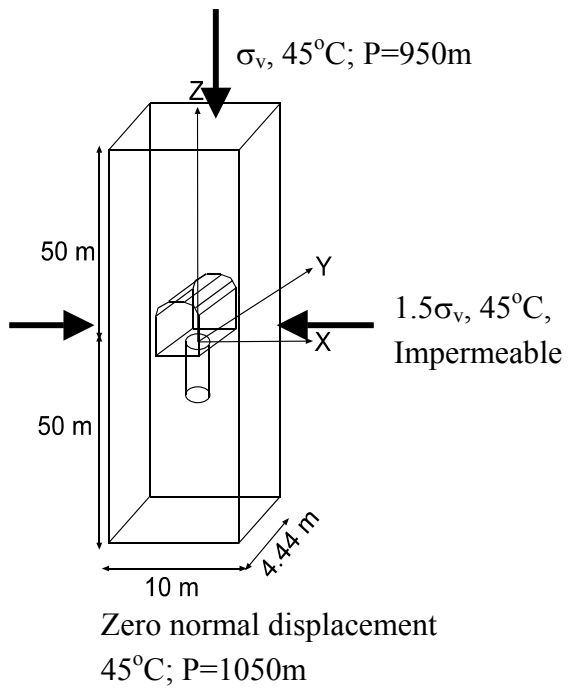


Fig. 13. Conceptual representation of repository near-field

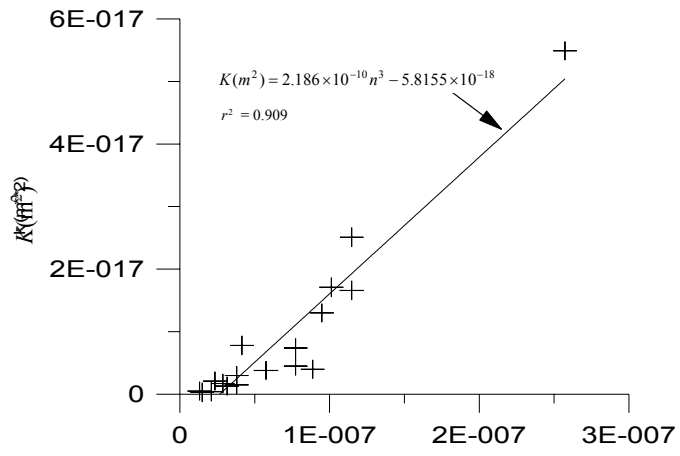


Fig. 14. Permeability-porosity function for rock mass

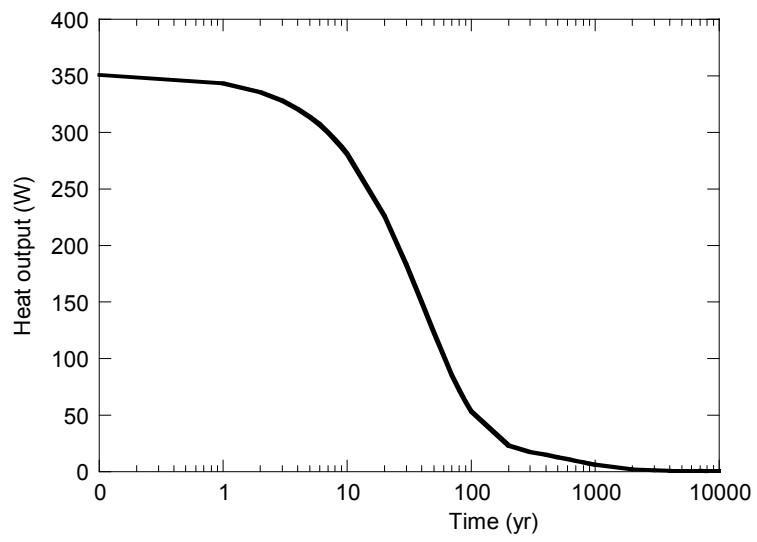


Fig. 15. Heat output from waste canister