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INFINITELY MANY MONOTONE LAGRANGIAN TORI IN  $\mathbb{R}^6$ 

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ABSTRACT. We construct infinitely many families of monotone Lagrangian tori in  $\mathbb{R}^6$ , no two of which are related by Hamiltonian isotopies (or symplectomorphisms). These families are distinguished by the (arbitrarily large) numbers of families of Maslov index 2 pseudo-holomorphic discs that they bound.

## 1. INTRODUCTION

The study and classification of Lagrangian submanifolds in symplectic manifolds is a central topic of modern symplectic topology; in spite of spectacular advances in the last few decades, it remains poorly understood, even in very simple symplectic manifolds such as the standard symplectic vector space  $(\mathbb{R}^{2d}, \omega_0)$ .

By a celebrated result of Gromov, there are no closed exact Lagrangian submanifolds in  $\mathbb{R}^{2d}$ , and in fact any closed Lagrangian in  $\mathbb{R}^{2d}$  must bound some pseudo-holomorphic discs of non-zero area [10]. (This is in sharp contrast with the situation for immersed Lagrangians, see e.g. [6].) Thus, the nicest condition that one could impose on a closed Lagrangian submanifold  $L \subset \mathbb{R}^{2d}$  is for it to be *monotone*, i.e. that the symplectic area of discs with boundary on  $L$  is (positively) proportional to their *Maslov index*.

The simplest examples of monotone Lagrangians in  $\mathbb{R}^{2d}$  are the tori obtained as products of  $d$  circles of equal radius,  $L = S^1(r) \times \cdots \times S^1(r)$ . In the early 1990s Chekanov found the first examples of Lagrangian tori in  $\mathbb{R}^{2d}$  that cannot be related to product tori by Hamiltonian isotopies (or symplectomorphisms) [3] (see also [7]). Subsequent work of Chekanov and Schlenk has led to more examples, the so-called *monotone twist tori* [4]; the number of tori produced by this construction grows exponentially with the dimension, but remains finite for all  $d$ .

More recently, Renato Vianna's thesis [12] shows that  $\mathbb{CP}^2$  contains at least one new kind of monotone Lagrangian torus besides product and Chekanov tori; this result was recently improved to show that  $\mathbb{CP}^2$  contains *infinitely many* non-isotopic monotone Lagrangian tori [9, 13].

In this paper, we construct infinitely many families of monotone Lagrangian tori in  $\mathbb{R}^6$ , no two of which are related by symplectomorphisms. Specifically, the invariants

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that we use to distinguish these tori are the algebraic counts of Maslov index 2 pseudo-holomorphic discs whose boundary passes through a given point (see §3.1); these invariants were already used by Eliashberg-Polterovich to distinguish the Chekanov torus in  $\mathbb{R}^4$  [7] and in much of the subsequent work [4, 12, 13].

**Theorem 1.** *For each integer  $n \geq 0$ , and for any choice of monotonicity constant, there exists a monotone Lagrangian torus  $L \subset (\mathbb{R}^6, \omega_0)$  such that there are  $n + 2$  distinct Maslov index 2 classes in  $\pi_2(\mathbb{R}^6, L)$  for which the algebraic count of pseudo-holomorphic discs passing through a point of  $L$  is non-zero (and the sum of these counts is  $2^n + 1$ ). Therefore, for different  $n$  these tori cannot be related by symplectomorphisms.*

**Remark.**

- (1) Taking the product of these tori with circles of the appropriate radius, we also obtain infinitely many examples in  $\mathbb{R}^{2d}$  for all  $2d \geq 6$  (similarly distinguished by counts of Maslov index 2 pseudo-holomorphic discs).
- (2) For  $n = 1$  our tori are most likely symplectomorphic to standard product tori. For  $n = 0$  they can be shown to be symplectomorphic to the product of a circle in  $\mathbb{R}^2$  with the monotone Chekanov torus in  $\mathbb{R}^4$ .
- (3) Vianna's recent result concerning the existence of infinitely many monotone Lagrangian tori in  $\mathbb{CP}^2$  ([13], see also [9]) should also imply a result similar to Theorem 1, by considering the preimages of these tori under the natural projection map from the unit sphere  $S^5 \subset \mathbb{R}^6$  to  $\mathbb{CP}^2$ . However, the construction we give here is substantially simpler.
- (4) Monotonicity plays a key role in the construction. Indeed, after arbitrarily small Lagrangian isotopies (not preserving monotonicity), our tori become Hamiltonian isotopic to standard product tori.
- (5) The least elementary part of our argument is the discussion of orientations of moduli spaces. The reader unwilling to delve into these should be content to work with mod 2 counts of holomorphic discs; the number of Maslov index 2 classes for which the algebraic count of discs is non-zero mod 2, and the number of integer points in their convex hull inside  $\pi_2(\mathbb{R}^6, L) \simeq \mathbb{Z}^3$ , are in fact sufficient to distinguish the monotone tori we construct for different  $n$ .

**Acknowledgements.** While the methods of this paper are elementary, some of the key conceptual ideas come from the joint work of the author with Mohammed Abouzaid and Ludmil Katzarkov [1], and from Renato Vianna's thesis [12] (see §5). I thank all three of them for helping shape my thoughts on this subject. I also thank Felix Schlenk and the anonymous referees for their careful comments.

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## 2. KÄHLER REDUCTION AND MOSER FLOW ON THE REDUCED SPACE

Our main object of study is the manifold

$$(1) \quad X = \{(x, y, z, w) \in \mathbb{C}^4 \mid xy = h(z, w)\},$$

where for  $n \geq 0$ ,

$$(2) \quad h(z, w) = cz^n + c^{-1}w - 1,$$

for  $c \gg 1$  a constant (e.g.,  $c = 10$ ). As a complex manifold  $X$  is isomorphic to  $\mathbb{C}^3$  via projection to the coordinates  $(x, y, z)$ , as  $w = c(xy + 1) - c^2z^n$ . We equip  $X$  with the Kähler form

$$(3) \quad \omega_X = \frac{i}{2}dz \wedge d\bar{z} + \frac{i}{2}dw \wedge d\bar{w} + \kappa \left( \frac{i}{2}dx \wedge d\bar{x} + \frac{i}{2}dy \wedge d\bar{y} \right),$$

where  $\kappa > 0$  is a small positive constant to be determined below. We note that up to a rescaling of the  $x$  and  $y$  coordinates  $\omega_X$  is simply the restriction to  $X$  of the standard Kähler form of  $\mathbb{C}^4$ .

The action of  $S^1$  on  $X$  by

$$(4) \quad e^{i\theta} \cdot (x, y, z, w) = (e^{i\theta}x, e^{-i\theta}y, z, w)$$

is Hamiltonian, with moment map

$$(5) \quad \mu_X = \frac{\kappa}{2}(|x|^2 - |y|^2).$$

We will consider the reduced space

$$(6) \quad X_{red} = \mu_X^{-1}(0)/S^1.$$

As a complex manifold,  $X_{red}$  can be naturally identified with  $\mathbb{C}^2$  via projection to the coordinates  $(z, w)$ . Indeed, for fixed  $(z, w)$  the part of the conic  $xy = h(z, w)$  where  $|x| = |y|$  consists of a single  $S^1$ -orbit; the reduced space is therefore naturally a smooth complex manifold, even though  $\mu_X^{-1}(0)$  is singular at the fixed points of the  $S^1$ -action, i.e. where  $h(z, w) = 0$  and  $x = y = 0$ .

**Lemma 1.** *The reduced Kähler form on  $X_{red} \simeq \mathbb{C}^2$  is given by*

$$(7) \quad \omega_{red} = \frac{i}{2}dz \wedge d\bar{z} + \frac{i}{2}dw \wedge d\bar{w} + \frac{i\kappa}{4} \frac{dh \wedge d\bar{h}}{|h|} = \omega_0 + \frac{\kappa}{2} dd^c(|h|).$$

(As expected this form is singular along the complex curve  $h(z, w) = 0$ .)

*Proof.* Given any point of  $X_{red}$  where  $h(z, w) \neq 0$ , we choose a local square root of  $h$ , and observe that a local section of the quotient map from  $\mu_X^{-1}(0)$  to  $X_{red}$  is given by setting  $x = y = h(z, w)^{1/2}$ . By definition, the reduced Kähler form  $\omega_{red}$  agrees with the pullback of  $\omega_X$  under this local section map. Setting  $x = y = h^{1/2}$ , we find that

$$dx \wedge d\bar{x} + dy \wedge d\bar{y} = 2d(h^{1/2}) \wedge d(\bar{h}^{1/2}) = \frac{1}{2|h|} dh \wedge d\bar{h}.$$

The first part of (7) follows immediately by substitution into (3). The second equality follows from the observation that

$$dd^c(|h|) = 2i\partial\bar{\partial}(h^{1/2} \cdot \bar{h}^{1/2}) = \frac{i}{2|h|}dh \wedge d\bar{h}.$$

□

Next we recall the following explicit form of Moser's lemma in the Kähler case.

**Lemma 2.** *Let  $\omega_0$  and  $\omega_1 = \omega_0 + dd^c\varphi$  be two Kähler forms on a complex manifold. Denote by  $g_t = (1-t)g_0 + tg_1$  the Kähler metric corresponding to the Kähler form  $\omega_t = \omega_0 + tdd^c\varphi$  for  $t \in [0, 1]$ , by  $\xi_t = -\nabla_{g_t}(\varphi)$  the gradient of  $\varphi$  with respect to  $g_t$ , and by  $\psi_t$  the isotopy generated by  $\xi_t$  wherever it is well-defined. Then  $\psi_t^*(\omega_t) = \omega_0$ . Moreover, when  $\omega_0 = d\theta_0$  is exact, setting  $\theta_t = \theta_0 + td^c\varphi$ , the pullback  $\psi_t^*(\theta_t)$  differs from  $\theta_0$  by an exact form.*

*Proof.* The result follows from Moser's trick and the observation that

$$\omega_t(\xi_t, \cdot) = -g_t(\xi_t, J\cdot) = d\varphi(J\cdot).$$

Thus,  $\iota_{\xi_t}\omega_t = -d^c\varphi$ , and

$$\frac{d}{dt}(\psi_t^*\omega_t) = \psi_t^*\left(\frac{d}{dt}\omega_t + L_{\xi_t}\omega_t\right) = \psi_t^*(dd^c\varphi + d\iota_{\xi_t}\omega_t) = 0.$$

Similarly, in the exact case,

$$\frac{d}{dt}(\psi_t^*\theta_t) = \psi_t^*\left(\frac{d}{dt}\theta_t + L_{\xi_t}\theta_t\right) = \psi_t^*(d^c\varphi + \iota_{\xi_t}(d\theta_t) + d(\iota_{\xi_t}\theta_t)) = \psi_t^*(d\iota_{\xi_t}\theta_t)$$

is exact as claimed. □

Applying this to the case at hand, we obtain:

**Lemma 3.** *Let  $U$  be the complement of an arbitrarily small neighborhood of  $h^{-1}(0)$  inside an arbitrarily large ball in  $\mathbb{C}^2$ . Then there exists a constant  $\kappa_0 > 0$  (depending on  $U$ ) and an isotopy  $(\psi_\kappa)_{\kappa \in [0, \kappa_0]}$  defined on  $U$ ,  $\psi_0 = \text{id}$ , such that for all  $\kappa \in (0, \kappa_0)$ ,  $\psi_\kappa$  gives an exact symplectomorphism between  $U \subset (\mathbb{C}^2, \omega_0)$  and  $\psi_\kappa(U) \subset (X_{\text{red}}, \omega_{\text{red}})$ .*

*Proof.* Let  $\Omega$  be a compact subset of  $\mathbb{C}^2 \setminus h^{-1}(0)$  whose interior contains the closure of  $U$ . On  $\Omega$ , the function  $|h|$  is smooth and has bounded derivatives, and the Kähler metric  $g_\kappa$  associated to  $\omega_{\text{red}} = \omega_0 + \frac{\kappa}{2}dd^c(|h|)$  is bounded between fixed multiples of the standard metric  $g_0$  for all  $\kappa \in [0, 1]$ . Thus, the vector field  $\xi_\kappa = -\frac{1}{2}\nabla_{g_\kappa}|h|$  is smooth and has bounded norm on  $\Omega$ . Applying Lemma 2, the isotopy  $\psi_\kappa$  generated by  $\xi_\kappa$  is well-defined on  $U$  for small enough  $\kappa$  and gives the desired symplectomorphisms. □

3. MONOTONE TORI IN  $X_{red}$  AND  $X$ 

**3.1. An enumerative invariant of monotone Lagrangians.** Before proceeding with our construction, we recall some basic facts about holomorphic discs and the invariant we use to distinguish our tori. (See also [7, 2, 12].)

Let  $L$  be a closed oriented spin Lagrangian submanifold in a symplectic manifold  $(M^{2d}, \omega)$  equipped with a compatible almost-complex structure  $J$ . When  $M$  is non-compact we always assume that  $\omega$  is convex at infinity (in our case, this follows from the properness and strict plurisubharmonicity of the Kähler potential).

Given a  $J$ -holomorphic map  $u : (D^2, \partial D^2) \rightarrow (M, L)$ , the Maslov index  $\mu([u]) \in 2\mathbb{Z}$  is the homotopy class of the loop of Lagrangian spaces given by  $TL$  along the boundary of  $u$  (relative to a trivialization of  $u^*TM$ ). The deformation of  $u$  as a  $J$ -holomorphic map is governed by a Cauchy-Riemann type operator (in the integrable case, an honest  $\bar{\partial}$  operator) on the space of sections of  $u^*TM$  taking values in  $u^*TL$  along the boundary. The index of this operator is  $\text{ind}(\bar{\partial}) = d + \mu([u])$ , and when it is surjective (i.e.,  $u$  is *regular*) the space of pseudo-holomorphic maps is locally a smooth manifold of this dimension.

Assume now that  $L$  is monotone, and fix a homotopy class  $\beta \in \pi_2(M, L)$  with  $\mu(\beta) = 2$ . We consider the moduli space of  $J$ -holomorphic discs with one boundary marked point  $1 \in \partial D^2$ , i.e. the quotient

$$(8) \quad \mathcal{M}_1(L, \beta, J) = \{u : (D^2, \partial D^2) \rightarrow (M, L) \mid \bar{\partial}_J u = 0, u_*[D^2] = \beta\} / \text{Aut}(D^2, 1).$$

Since  $\mu(\beta) = 2$  takes the smallest possible positive value, and the monotonicity of  $L$  guarantees that the symplectic area of discs is positively proportional to their Maslov index, discs in the class  $\beta$  have the smallest possible symplectic area. Therefore, bubbling can be excluded *a priori*. Moreover, all  $J$ -holomorphic discs in the class  $\beta$  are somewhere injective, and so a generic choice of  $J$  ensures their regularity.  $\mathcal{M}_1(L, \beta, J)$  is then a smooth compact manifold of dimension  $d + \mu(\beta) - 2 = d$ .

Fix an orientation and a spin structure on  $L$ . The spin structure determines an orientation of  $\mathcal{M}_1(L, \beta, J)$  (cf. [8, 5]), and the degree of the evaluation map

$$\begin{aligned} ev : \mathcal{M}_1(L, \beta, J) &\rightarrow L, \\ [u] &\mapsto u(1) \end{aligned}$$

is then a well-defined integer – essentially, a signed count of  $J$ -holomorphic discs in the class  $\beta$  whose boundary passes through a given point of  $L$ . Moreover, a generic path between two regular almost-complex structures  $J_0$  and  $J_1$  determines an oriented cobordism between  $\mathcal{M}_1(L, \beta, J_0)$  and  $\mathcal{M}_1(L, \beta, J_1)$ , which shows that the degree of the evaluation map is independent of the chosen regular  $J$ . We denote its value by  $n(L, \beta) \in \mathbb{Z}$ .

**Definition.** We call  $n(L, \beta) \in \mathbb{Z}$  the *algebraic count* of pseudo-holomorphic discs in the class  $\beta$  passing through a point of  $L$ .

By the same cobordism argument, the algebraic counts  $n(L, \beta)$  are invariant under isotopies of  $L$  among monotone Lagrangian submanifolds; and they are also invariant under simultaneous deformations of the symplectic form on  $M$  and of the Lagrangian submanifold  $L$ , as long as convexity at infinity and monotonicity are preserved. Another invariance property concerns symplectomorphisms of  $M$ : if  $L' = \phi(L)$  for some symplectomorphism  $\phi$ , then  $\mathcal{M}_1(L, \beta, J) \simeq \mathcal{M}_1(L', \phi_*\beta, \phi_*J)$ , and so (with compatible choices of orientations and spin structures) we have  $n(L, \beta) = n(L', \phi_*\beta)$ .

As pointed out in the introduction, the reader unwilling to deal with spin structures and orientations of moduli spaces should be content to work with  $n(L, \beta) \bmod 2$ .

**3.2. A monotone torus in  $X_{red}$ .** Let  $T_{std} = \{(z, w), |z| = |w| = 1\}$  be the standard product torus in  $(\mathbb{C}^2, \omega_0)$  equipped with the standard Kähler form and the standard complex structure. The following is well-known (see e.g. [5]; we sketch the proof for completeness):

**Lemma 4.**  *$T_{std}$  is a monotone Lagrangian torus in  $(\mathbb{C}^2, \omega_0)$ . There are two families of holomorphic discs of Maslov index 2 with boundary on  $T_{std}$ , which can be parametrized by the maps  $u_\alpha : z \mapsto (z, e^{i\alpha})$  and  $v_\alpha : z \mapsto (e^{i\alpha}, z)$  for  $e^{i\alpha} \in S^1$ . These discs are all regular, and for a suitable choice of spin structure on  $T_{std}$  the algebraic count of discs passing through a point of  $T_{std}$  is +1 for each of the two families.*

*Proof.* The maps  $u_\alpha$  and  $v_\alpha : (D^2, \partial D^2) \rightarrow (\mathbb{C}^2, T_{std})$  obviously define holomorphic discs. To calculate their Maslov index, we note that the pullback bundle  $u_\alpha^*(T\mathbb{C}^2)$  can be identified with the direct sum of two trivial holomorphic line bundles in such a way that, at a point  $e^{i\theta} \in \partial D^2$ , the pullback of  $TT_{std}$  splits into the direct sum of the real lines  $\ell_1 = e^{i\theta}\mathbb{R} \subset \mathbb{C}$  in the first factor and  $\ell_0 = \mathbb{R} \subset \mathbb{C}$  in the second factor.

Thus, the Maslov index of  $u_\alpha$  is equal to the sum of the Maslov indices of the two families of lines  $\ell_1$  and  $\ell_0$  in  $\mathbb{C}$ , namely  $2 + 0 = 2$ . Furthermore, the regularity of  $u_\alpha$  follows from the surjectivity of the  $\bar{\partial}$  operator for complex-valued functions on the disc with boundary conditions in  $\ell_1$  (resp.  $\ell_0$ ) (as follows e.g. from the reflection principle). Similarly for  $v_\alpha$ .

To see that these are the only Maslov index 2 discs, we observe that  $\beta_1 = [u_\alpha]$  and  $\beta_2 = [v_\alpha]$  generate  $\pi_2(\mathbb{C}^2, T_{std}) \simeq \pi_1(T_{std}) = \mathbb{Z}^2$ , so by linearity the Maslov index of a disc with boundary on  $T_{std}$  is equal to twice its algebraic intersection number with the union of the coordinate axes. For holomorphic discs, positivity of intersection implies that a Maslov index 2 disc in  $(\mathbb{C}^2, T_{std})$  intersects only one of the two coordinate axes  $z = 0$  and  $w = 0$ , transversely, and at a single point.

If for example the holomorphic disc  $u : (D^2, \partial D^2) \rightarrow (\mathbb{C}^2, T_{std})$  is disjoint from the line  $w = 0$ , then applying the maximum principle to the projection to the  $w$  coordinate, we find that  $w \circ u : (D^2, \partial D^2) \rightarrow (\mathbb{C}^*, S^1)$  must take some constant value  $e^{i\alpha}$ . Meanwhile, the projection to the  $z$  coordinate has a single zero of order 1, which means that  $z \circ u : (D^2, \partial D^2) \rightarrow (\mathbb{C}, S^1)$  is a biholomorphism from the unit disc to

itself, i.e. the identity map up to reparametrization. Thus  $u$  is equivalent to  $u_\alpha$  up to reparametrization. Similarly for the other case where the disc is disjoint from  $z = 0$  and intersects  $w = 0$  once.

Finally, the moduli space  $\mathcal{M}_1(L, \beta_1, J_0)$  consists of reparametrizations of the discs  $u_\alpha$ , e.g. the maps  $z \mapsto (e^{i\beta}z, e^{i\alpha})$  for  $(e^{i\beta}, e^{i\alpha}) \in S^1 \times S^1$ . Thus  $\mathcal{M}_1(L, \beta_1, J_0) \simeq T^2$ , and the evaluation map to  $T_{std}$  is a diffeomorphism; choosing the “standard” spin structure ensures that this diffeomorphism is orientation-preserving [5], hence  $n(L, \beta_1) = +1$ . Similarly for the other class  $\beta_2$ .  $\square$

Next we observe that  $T_{std}$  lies away from the complex curve

$$(9) \quad C = h^{-1}(0) = \{(z, w) \in \mathbb{C}^2 \mid cz^n + c^{-1}w - 1 = 0\},$$

and that the disc  $u_\alpha$  intersects  $C$  transversely at  $n$  distinct points, where the  $z$  coordinate takes the values

$$z_k = e^{2\pi i k/n} c^{-1/n} (1 - c^{-1} e^{i\alpha})^{1/n},$$

while  $v_\alpha$  is disjoint from  $C$ .

The regularity of the discs  $u_\alpha$  and  $v_\alpha$  implies that they deform smoothly under small isotopies of  $T_{std}$ . Thus, for small enough values of the constant  $\kappa$ , denoting by  $\psi_\kappa$  the isotopy constructed in Lemma 3, the Lagrangian torus

$$T_{red} = \psi_\kappa(T_{std})$$

in  $(X_{red}, \omega_{red})$  again bounds two families of Maslov index 2 holomorphic discs  $u'_\alpha$  and  $v'_\alpha$ , representing the homotopy classes  $\beta'_1 = (\psi_\kappa)_*(\beta_1)$  and  $\beta'_2 = (\psi_\kappa)_*(\beta_2)$ . We obtain:

**Lemma 5.** *For  $\kappa > 0$  small enough,  $(X_{red}, \omega_{red})$  contains a monotone Lagrangian torus  $T_{red}$ , disjoint from  $C = h^{-1}(0)$ , which bounds exactly two families of Maslov index 2 holomorphic discs, representing classes  $\beta'_1, \beta'_2$  that span  $\pi_2(X_{red}, T_{red}) \simeq \mathbb{Z}^2$ . These discs are all regular, and for a suitable spin structure their algebraic counts are  $n(T_{red}, \beta'_1) = n(T_{red}, \beta'_2) = +1$ . Moreover, the discs in the class  $\beta'_1$  intersect  $C$  transversely in  $n$  distinct points, while those in the class  $\beta'_2$  are disjoint from  $C$ .*

**Remark.** While  $\omega_{red}$  is singular along  $C$ , it can still be integrated over a disc that intersects  $C$  transversely, so the notion of monotonicity still makes sense. In fact, symplectic area can also be defined as the integral of the Liouville form

$$\theta_{red} = d^c(\frac{1}{4}|z|^2 + \frac{1}{4}|w|^2 + \frac{\kappa}{2}|h|)$$

along the boundary of a disc. Perhaps even better, we can modify  $\omega_{red}$  in a neighborhood of  $C$  (disjoint from  $T_{red}$ ) by a small exact deformation so as to cure its lack of smoothness; this can be achieved simply by replacing  $|h|$  by a smooth function  $\rho(|h|)$  in the expression for the Kähler potential (taking  $\rho : [0, \infty) \rightarrow [0, \infty)$  to be any smooth, convex function which agrees with identity outside of  $[0, \epsilon]$  and has vanishing odd derivatives at the origin). This modification does not affect the properties of the isotopy  $\psi_\kappa$  away from  $C$ , nor the symplectic areas of holomorphic discs.



*Proof of Lemma 5.* The existence and regularity for small  $\kappa$  of the two families of holomorphic discs  $u'_\alpha$  and  $v'_\alpha$  with boundary on  $T_{red} = \psi_\kappa(T_{std})$  representing the classes  $\beta'_1$  and  $\beta'_2$ , obtained as smooth deformations of the discs  $u_\alpha$  and  $v_\alpha$  under the isotopy, is a direct consequence of the regularity of the latter discs.

Since the isotopy is exact ( $\psi_\kappa^*(\theta_{red})$  agrees with the standard Liouville form  $\theta_0$  up to an exact term), the symplectic areas of the discs are preserved, which proves the monotonicity of  $T_{red}$ . Moreover, Gromov compactness implies that  $T_{red}$  does not bound any other Maslov index 2 holomorphic discs: if such discs existed for arbitrarily small  $\kappa$ , taking the limit of a subsequence with  $\kappa \rightarrow 0$  would yield a contradiction.

Finally, because the discs  $u_\alpha$  and  $v_\alpha$  deform smoothly under the isotopy of  $T_{std}$  to  $T_{red}$ , for small  $\kappa$  the discs  $u'_\alpha$  and  $v'_\alpha$  continue to intersect  $C$  transversely, and the algebraic counts remain unchanged (in fact the evaluation maps  $ev : \mathcal{M}_1(T_{red}, \beta'_i, J_0) \rightarrow T_{red}$  remain diffeomorphisms).  $\square$

**3.3. A monotone torus in  $X$ .** From now on we fix the value of the constant  $\kappa > 0$  so that the conclusion of Lemma 5 holds. We then construct a Lagrangian torus  $T$  in  $(X, \omega_X)$  by lifting  $T_{red}$  to  $\mu_X^{-1}(0)$ :

**Definition.** We denote by  $T$  the preimage of  $T_{red}$  under the projection map from  $\mu_X^{-1}(0) \subset X$  to  $X_{red}$ , i.e.

$$(10) \quad T = \{(x, y, z, w) \in X \mid (z, w) \in T_{red} \text{ and } |x| = |y|\}.$$

We also denote by  $\pi : X \rightarrow X_{red}$  the projection to the  $(z, w)$  coordinates,

$$(11) \quad \pi(x, y, z, w) = (z, w).$$

**Lemma 6.**  $T$  is a monotone Lagrangian torus in  $(X, \omega_X)$ .

Conceptually, this follows from the observation that  $T$  is the image of  $T_{red}$  under the monotone Lagrangian correspondence between  $X_{red}$  and  $X$  induced by  $\mu_X^{-1}(0)$ . A more elementary argument is as follows.

*Proof.* Since the restriction of  $\omega_X$  to  $\mu_X^{-1}(0)$  agrees with the pullback of  $\omega_{red}$  via the projection map  $\pi$ ,  $\omega_X|_T$  is the pullback of  $\omega_{red}|_{T_{red}}$  under the projection from  $T \subset \mu_X^{-1}(0)$  to  $T_{red} \subset X_{red}$ , i.e. it vanishes, and  $T$  is Lagrangian.

Let  $u : (D^2, \partial D^2) \rightarrow (X, T)$  be a disc with boundary on  $T$  (not necessarily holomorphic), and denote by  $\gamma : S^1 \rightarrow T$  its boundary loop. Perturbing  $u$  if necessary, we can assume that it avoids the fixed point set  $F = \{x = y = 0\}$  (which has real codimension 4). In terms of the Liouville form

$$(12) \quad \theta_X = d^c(\tfrac{1}{4}|z|^2 + \tfrac{1}{4}|w|^2 + \tfrac{\kappa}{4}|x|^2 + \tfrac{\kappa}{4}|y|^2),$$

the symplectic area of  $u$  is given by the integral of  $\theta_X$  along the boundary loop  $\gamma$ . However, along  $\mu_X^{-1}(0)$  we have  $|x|^2 = |y|^2 = |h|$ , and  $|x|^2 + |y|^2$  achieves its fiber-wise minimum so its derivative vanishes in all directions tangent to the fibers of  $\pi$ .

Therefore, at every point of  $\mu_X^{-1}(0)$  the 1-form  $\theta_X$  coincides with

$$\pi^*\theta_{red} = d^c(\frac{1}{4}|z|^2 + \frac{1}{4}|w|^2 + \frac{\kappa}{2}|h|).$$

Denoting by  $u_{red} = \pi \circ u : (D^2, \partial D^2) \rightarrow (X_{red}, T_{red})$  and  $\gamma_{red} = \pi \circ \gamma : S^1 \rightarrow T_{red}$  the projections of  $u$  and  $\gamma$ , we conclude that

$$(13) \quad \int_{D^2} u^*\omega_X = \int_{S^1} \gamma^*\theta_X = \int_{S^1} \gamma^*(\pi^*\theta_{red}) = \int_{S^1} \gamma_{red}^*(\theta_{red}) = \int_{D^2} u_{red}^*(\omega_{red}),$$

i.e. the disc  $u$  and its projection  $u_{red}$  have the same symplectic areas. Meanwhile, away from the fixed point locus  $F$ , denote by

$$(14) \quad \mathcal{L}_{\mathbb{R}} = \mathbb{R} \cdot (ix, -iy, 0, 0) \quad \text{and} \quad \mathcal{L} = \mathbb{C} \cdot (ix, -iy, 0, 0)$$

the real and complex spans of the vector field generating the  $S^1$ -action. Then  $\mathcal{L}$  is a trivial holomorphic subbundle of  $TX$ , and  $TX/\mathcal{L} \simeq \pi^*TX_{red}$ , i.e. away from  $F$  we have a short exact sequence of holomorphic vector bundles

$$(15) \quad 0 \longrightarrow \mathcal{L} \longrightarrow TX \xrightarrow{d\pi} \pi^*TX_{red} \longrightarrow 0.$$

Along  $T$ , we have a similar short exact sequence of real subbundles,

$$(16) \quad 0 \longrightarrow \mathcal{L}_{\mathbb{R}} \longrightarrow TT \xrightarrow{d\pi} \pi^*TT_{red} \longrightarrow 0.$$

Since the trivial subbundles  $(u^*\mathcal{L}, \gamma^*\mathcal{L}_{\mathbb{R}})$  do not contribute to the Maslov index,  $\mu([u])$  can be computed by considering the quotient bundles  $(u^*(TX/\mathcal{L}), \gamma^*(TT/\mathcal{L}_{\mathbb{R}})) \simeq (u_{red}^*(TX_{red}), \gamma_{red}^*(TT_{red}))$ . In other terms,

$$(17) \quad \mu([u]) = \mu([u_{red}]).$$

Comparing (13) and (17), we find that the proportionality between Maslov index and symplectic area for discs in  $X_{red}$  with boundary on  $T_{red}$  implies the same proportionality for discs in  $X$  with boundary on  $T$ .  $\square$

**Lemma 7.** *The projection  $u_{red} = \pi \circ u : (D^2, \partial D^2) \rightarrow (X_{red}, T_{red})$  of a holomorphic disc  $u : (D^2, \partial D^2) \rightarrow (X, T)$  is a holomorphic disc, and  $\mu([u_{red}]) = \mu([u])$ .*

*Conversely, let  $u_{red} : (D^2, \partial D^2) \rightarrow (X_{red}, T_{red})$  be a holomorphic disc that intersects  $C = h^{-1}(0)$  transversely in  $k$  points, and fix a point  $p_0 \in T$  such that  $\pi(p_0) = u_{red}(1)$ . Then there are exactly  $2^k$  holomorphic discs  $u : (D^2, \partial D^2) \rightarrow (X, T)$  such that  $\pi \circ u = u_{red}$  and  $u(1) = p_0$ . Moreover, if  $u_{red}$  is regular then all these discs are regular.*

*Proof.* The first statement follows immediately from the holomorphicity of  $\pi$  and the Maslov index calculation in the proof of Lemma 6 (equation (17)).

For the second part, let  $u_{red}$  be a holomorphic disc in  $X_{red}$  that intersects  $C$  transversely, with  $u_{red}^{-1}(C) = \{t_1, \dots, t_k\} \subset D^2$ , and let  $u$  be a lift of  $u_{red}$  to a disc in  $X$  with boundary on  $T$ . Along the holomorphic disc  $u$ , the product  $xy = h(z, w)$  has simple zeroes at  $t_1, \dots, t_k$ , i.e.  $u$  intersects  $\pi^{-1}(C) = \{x = 0\} \cup \{y = 0\}$  transversely at the  $k$  points  $u(t_1), \dots, u(t_k)$ . The quotient  $q = x/y$  then defines a meromorphic function on the disc, which has either a simple zero or a simple pole at each of  $t_1, \dots, t_k$ , and

no other zeroes or poles. Moreover, on the boundary we have  $|x| = |y|$ , so  $q$  maps the unit circle to itself.

Given any function  $\varepsilon : \{1, \dots, k\} \rightarrow \{\pm 1\}$ , set

$$(18) \quad \vartheta_\varepsilon(z) = \prod_{j=1}^k \left( \frac{z - t_j}{1 - \overline{t_j}z} \right)^{\varepsilon(j)},$$

which is a meromorphic function on the unit disc, mapping the unit circle to itself, and with simple zeroes (resp. poles) at all  $t_j$  such that  $\varepsilon(j) = +1$  (resp.  $-1$ ).

Thus, choosing  $\varepsilon(j) = \text{ord}_{t_j}(q)$  according to the poles and zeroes of  $q = x/y$  along the disc  $u$ , we find that  $\vartheta_\varepsilon$  and  $q$  have the same zeroes and poles on the unit disc, and their ratio defines a nowhere vanishing holomorphic function on the unit disc, taking values in the unit circle at the boundary. By the maximum principle this function is constant, i.e. there exists  $e^{i\theta} \in S^1$  such that  $q = e^{i\theta} \vartheta_\varepsilon$ .

By construction the holomorphic functions  $(h \circ u_{red}) \vartheta_\varepsilon^{\pm 1}$  only have double zeroes, and so we can choose square roots

$$\zeta_\pm = ((h \circ u_{red}) \vartheta_\varepsilon^{\pm 1})^{1/2},$$

with  $\zeta_+/\zeta_- = \vartheta_\varepsilon$  and  $\zeta_+\zeta_- = h \circ u_{red}$ . We obtain that along the disc  $u$  the coordinates  $x$  and  $y$  are given by

$$x = e^{i\theta/2} \zeta_+ \quad \text{and} \quad y = e^{-i\theta/2} \zeta_-,$$

for some  $e^{i\theta/2} \in S^1$ . Conversely, these formulas determine holomorphic lifts of  $u_{red}$  for all  $\varepsilon : \{1, \dots, k\} \rightarrow \{\pm 1\}$  and for all  $e^{i\theta/2} \in S^1$ , and the condition that  $u(1) = p_0$  determines the normalization factor  $e^{i\theta/2}$  uniquely for given  $\varepsilon$ . Hence there are  $2^k$  lifts of  $u_{red}$  as claimed, determined by the choice of whether  $x$  or  $y$  vanishes at each point where  $u_{red}$  intersects  $C$ .

Finally, we note that none of the lifts  $u$  pass through the fixed point locus of the  $S^1$ -action (since  $x$  and  $y$  do not vanish simultaneously). Thus, pulling back the exact sequences (15) and (16) along  $u$ , we find that the holomorphic vector bundle  $u^*TX$  admits a trivial holomorphic line subbundle  $u^*\mathcal{L}$ , with a trivial real subbundle at the boundary  $u_{|S^1}^*\mathcal{L}_\mathbb{R}$ . Since the  $\bar{\partial}$  operator for complex-valued functions on the disc with the trivial real boundary condition  $\mathbb{R} \subset \mathbb{C}$  on the unit circle is surjective, the surjectivity of the  $\bar{\partial}$  operator on sections of  $u^*TX$  with boundary conditions  $u_{|S^1}^*(TT)$  is equivalent to that of the  $\bar{\partial}$  operator on the quotient bundle  $u^*TX/u^*\mathcal{L} \simeq u_{red}^*TX_{red}$  with boundary conditions  $u_{|S^1}^*(TT)/u_{|S^1}^*(\mathcal{L}_\mathbb{R}) \simeq u_{red|S^1}^*(TT_{red})$ . Thus, the regularity of  $u$  is equivalent to that of  $u_{red}$  as claimed.  $\square$

**Corollary 8.** *There are  $n + 2$  distinct Maslov index 2 classes in  $\pi_2(X, T)$  for which the algebraic count of pseudo-holomorphic discs is non-zero, and for a suitable choice of spin structure the sum of these counts is  $2^n + 1$ .*

*Proof.* By Lemma 7, the holomorphic discs of Maslov index 2 bounded by  $T$  are lifts of those bounded by  $T_{red}$  in  $X_{red}$ , which are determined by Lemma 5.

The discs representing the class  $\beta'_2 \in \pi_2(X_{red}, T_{red})$  are disjoint from  $C$ , hence they admit a unique lift up to the  $S^1$ -action. Denoting by  $\hat{\beta}_2 \in \pi_2(X, T)$  the class of these lifts, the moduli space  $\mathcal{M}_1(T, \hat{\beta}_2, J_0)$  is an  $S^1$ -bundle over  $\mathcal{M}_1(T_{red}, \beta'_2, J_0)$ , and the evaluation map to  $T$  is equivariant with respect to the  $S^1$ -action; thus the evaluation map  $ev : \mathcal{M}_1(T, \hat{\beta}_2, J_0) \rightarrow T$  is again a diffeomorphism, and its degree is  $\pm 1$ .

Meanwhile, the discs representing the class  $\beta'_1 \in \pi_2(X_{red}, T_{red})$  intersect  $C$  transversely in  $n$  points (cf. Lemma 5), so by Lemma 7 they can be lifted in  $2^n$  different ways up to the  $S^1$ -action. Observe that elements of  $\pi_2(X, T) \simeq \mathbb{Z}^3$  are determined by their intersection numbers with the three hypersurfaces  $x = 0$ ,  $z = 0$ , and  $w = 0$ . Thus, the lifts live in  $n + 1$  different classes  $\hat{\beta}_{1,\ell} \in \pi_2(X, T)$ ,  $\ell = 0, \dots, n$ , depending on the intersection number of the lifted disc with the hypersurface  $x = 0$ ; each value of  $\ell$  is achieved by  $\binom{n}{\ell}$  of the  $2^n$  lifts. The moduli space  $\mathcal{M}_1(T, \hat{\beta}_{1,\ell}, J_0)$  then projects to  $\mathcal{M}_1(T_{red}, \beta'_1, J_0)$  with fiber a union of  $\binom{n}{\ell}$  circles. The evaluation map  $ev : \mathcal{M}_1(T, \hat{\beta}_{1,\ell}, J_0) \rightarrow T$  is thus an unramified  $\binom{n}{\ell}$ -sheeted covering.

To determine the orientations, we briefly recall the construction in [8, Chapter 8] (see also [5, Prop. 5.2] for a simpler presentation that suffices for the case at hand). A spin structure on  $T$  determines a trivialization of its tangent bundle along the boundary of a holomorphic disc  $u$ . Using this trivialization, the  $\bar{\partial}$  operator can be deformed to the direct sum of a complex linear operator and a  $\bar{\partial}$  operator for sections of a trivialized complex vector bundle with trivial real boundary condition (namely, the tangent bundles to  $X$  and  $T$  along the boundary of  $u$ , with the trivialization determined by the spin structure). Since the kernel of the latter operator can be identified with the tangent space to  $T$  at the marked point, an orientation of  $T$  then determines an orientation of the tangent space to the moduli space at  $u$ .

In our case, we choose the spin structure on  $T$  to be standard along the orbits of the  $S^1$ -action and consistent under the splitting (16) with that previously chosen on  $T_{red}$ . Thus, the preferred trivialization of  $TT$  along the boundary of a holomorphic disc  $u$  agrees with that induced via (16) by the trivialization of  $TT_{red}$  along the boundary of  $u_{red} = \pi \circ u$  and the natural trivialization of the trivial line bundle  $\mathcal{L}_{\mathbb{R}}$ . The orientation at  $u$  of the moduli space of holomorphic discs in  $(X, T)$  then agrees with that induced by the orientation at  $u_{red}$  of the moduli space of holomorphic discs in  $(X_{red}, T_{red})$  and the chosen orientation of the orbits of the  $S^1$ -action. With this understood, the orientation-preserving nature of the evaluation maps for discs in  $(X_{red}, T_{red})$  implies that the evaluation maps for discs in  $(X, T)$  are also orientation-preserving, i.e. the degrees are positive.  $\square$

(For the reader working mod 2, we note that the odd values of  $n(T, \beta)$  are achieved for  $\hat{\beta}_2$  and those  $\hat{\beta}_{1,\ell}$  for which  $\binom{n}{\ell}$  is odd, including the extremal cases  $\hat{\beta}_{1,0}$  and  $\hat{\beta}_{1,n}$ .)

## 4. PROOF OF THEOREM 1

In light of Corollary 8 and the invariance properties of the algebraic counts  $n(T, \beta)$ , the only thing that remains to be done is to construct an isotopy between the Kähler form  $\omega_X$  on (a bounded subset of)  $X \simeq \mathbb{C}^3$  and the standard Kähler form. We will again rely on Moser's trick (Lemma 2). We denote by

$$(19) \quad \Phi_1 = \frac{\kappa}{4}|x|^2 + \frac{\kappa}{4}|y|^2 + \frac{1}{4}|z|^2$$

the Kähler potential for the standard (up to rescaling) Kähler form on  $\mathbb{C}^3$ ,

$$\omega_1 = dd^c \Phi_1 = \frac{i}{2} dz \wedge d\bar{z} + \kappa \left( \frac{i}{2} dx \wedge d\bar{x} + \frac{i}{2} dy \wedge d\bar{y} \right).$$

The Kähler potential for  $\omega_X$  is

$$\Phi_X = \Phi_1 + \frac{1}{4}|w|^2,$$

where we recall that  $w$  is determined as a function of the coordinates  $(x, y, z)$  by

$$(20) \quad w = c(xy + 1) - c^2 z^n.$$

The estimate that ensures the existence of the Moser flow is the following:

**Lemma 9.** *Given any bounded subset  $B \subset \mathbb{C}^3$ , there exist positive constants  $C$  and  $M$  such that the real-valued function  $\varphi = C\Phi_1 - \Phi_X$  is bounded above by  $M$  on  $B$ , and the connected component  $\Omega$  of  $\varphi^{-1}((-\infty, M])$  which contains  $B$  is compact.*

*Proof.* We equip  $\mathbb{C}^3$  with the Euclidean metric for which the positive definite quadratic form  $\Phi_1$  is the square of the distance to the origin (i.e., a rescaling of the usual metric).

Let  $R > 0$  be such that  $B$  is contained within the ball  $B(0, R)$  of radius  $R$  (for this metric), denote by  $K$  the supremum of  $\frac{1}{4}|w|^2/\Phi_1$  in  $B(0, 2R) \setminus B(0, R)$ , and set  $C = 2K + 1$ . Then in  $B(0, 2R) \setminus B(0, R)$  we have

$$K\Phi_1 \leq \varphi = (C - 1)\Phi_1 - \frac{1}{4}|w|^2 \leq 2K\Phi_1,$$

and the upper bound continues to hold inside  $B(0, R)$ .

Then inside  $B(0, R)$  we have  $\varphi \leq 2K\Phi_1 \leq 2KR^2$ , while in  $B(0, 2R) \setminus B(0, \sqrt{3}R)$  we have  $3KR^2 \leq K\Phi_1 \leq \varphi$ . Thus, setting  $M = \frac{5}{2}KR^2$ , there is a connected component  $\Omega$  of  $\varphi^{-1}((-\infty, M])$  for which  $B(0, R) \subset \Omega \subset B(0, \sqrt{3}R)$ .  $\square$

Choosing  $B$  to be a polydisc in  $\mathbb{C}^3$  large enough to contain  $T$ , and taking  $C$  as in Lemma 9, we now apply Lemma 2 to the Kähler forms  $\omega_X$  and  $C\omega_1$ , to construct an exact isotopy  $\psi_t$  such that  $\psi_1^*(C\omega_1) = \omega_X$ . Because the isotopy is generated by the negative gradient of  $\varphi = C\Phi_1 - \Phi_X$  (with respect to a varying family of Kähler metrics), the values of  $\varphi$  decrease along the flow. Thus, the compact subset  $\Omega \supset B$  constructed in Lemma 9 is preserved, so the isotopy is well-defined everywhere in it, and in particular in  $B$ .

Since the isotopy is exact,  $\psi_t(T)$  is a monotone Lagrangian torus in  $\mathbb{C}^3$  equipped with the Kähler form  $\omega_t = Ct\omega_1 + (1 - t)\omega_X$ , and the algebraic counts of Maslov

index 2 holomorphic discs remain constant along the isotopy. For  $t = 1$  we obtain a monotone Lagrangian torus in  $(\mathbb{C}^3, C\omega_1)$  with the desired properties. Rescaling the coordinate axes by suitable constant factors, we obtain a monotone Lagrangian torus in  $\mathbb{C}^3$  equipped with the standard Kähler form, and by further rescaling we obtain tori with arbitrary monotonicity constants and the same algebraic counts of pseudo-holomorphic discs.

## 5. COMMENTS ON THE CONSTRUCTION

Our construction is inspired by ideas from mirror symmetry, and more precisely the Strominger-Yau-Zaslow (SYZ) conjecture, whereby the mirror of a given Kähler manifold is constructed geometrically from a Lagrangian torus fibration on the complement of a complex hypersurface. The numbers of Maslov index 2 discs bounded by the fibers exhibit discontinuities across a set of *walls* which separate the fibration into *chambers*, each with its own enumerative behavior; each chamber corresponds to a distinguished coordinate chart on the mirror (cf. [1, §2] and [2]).

In a given Lagrangian fibration, the vast majority of fibers are not monotone, and the counts of Maslov index 2 discs are not invariant under Hamiltonian isotopies. However, by deforming the fibration suitably it is often possible to arrange the existence of a monotone fiber in any given chamber. For example, the complement of a smooth cubic in  $\mathbb{CP}^2$  admits a Lagrangian torus fibration with 3 singular fibers and infinitely many chambers; Vianna's constructions in [12, 13] can be understood as modifying the fibration to place the monotone fiber in a prescribed chamber.

The construction of Theorem 1 relies on the fact that  $\mathbb{C}^3$  can be presented as a conic bundle  $\{xy = h(z, w)\}$  over  $\mathbb{C}^2$  with a discriminant curve  $h^{-1}(0) \subset \mathbb{C}^2$  of arbitrarily large degree. SYZ mirror symmetry for conic bundles over toric varieties has been studied in detail in [1], where it was shown that the chamber structure is governed by the tropical geometry of  $h^{-1}(0)$  (or, in more classical terms, by the various manners in which product tori in  $\mathbb{C}^2$  can be linked with  $h^{-1}(0)$ ). Thus, by increasing the degree of  $h$  we can exhibit Lagrangian torus fibrations on open dense subsets of  $\mathbb{C}^3$  (namely, those points where  $z$  and  $w$  are nonzero) with arbitrarily many chambers. Choosing the coefficients of  $h$  suitably ensures the existence of monotone fibers in the “most interesting” chamber. (In fact, choosing  $h$  to be analytic rather than algebraic one could obtain a single fibration with infinitely many chambers, with monotone representatives corresponding to all the values of  $n$  in our main construction at once.)

Another perspective on the construction comes from singularity theory: projecting the conic bundle  $X \simeq \mathbb{C}^3$  to the coordinate  $w$  presents it as an unfolding of the  $A_{n-1}$  singularity  $xy = cz^n$ . The  $A_{n-1}$  Milnor fiber contains non-displaceable monotone Lagrangian tori (cf. [1, Corollary 9.1] and [11]). The examples of Theorem 1 can be obtained by transporting these tori along a circle in the  $w$  coordinate; even though

the unfolding makes the ambient manifold contractible and the tori displaceable, the distinctive enumerative features of the tori in the fibers persist.

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