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**TREE DECOMPOSITION with APPLICATIONS
to CONSTRAINT PROCESSING**

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Abstract

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Tree Decomposition with Applications to Constraint Processing

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Abstract

This paper studies the possibility of removing redundant information from a given knowledge base and restructuring it in the form of a tree to enable efficient problem solving routines. We offer a novel approach that guarantees removal of all redundancies that hide a tree structure. We develop a polynomial-time algorithm that, given an arbitrary constraint network, either extracts (by edge removal) a precise tree representation from the path-consistent version of the network or acknowledges that no such tree can be extracted. In the event of the latter, the tree generated may serve as a good approximation to the original network.

1 Introduction

Redundancy in constraint-based reasoning can be a mixed blessing. On one hand, redundant constraints can be used to explicate incompatible assignments that otherwise would be tried by a search algorithm. On the other hand, the presence of redundant constraints forces the search algorithm to make additional tests. The latter case is particularly aggravating when problems expressible in tree-structured networks are enriched with redundant constraints: If a tree is available, the problem can be solved in a backtrack-free manner, but when a tree is loaded with redundant information, the correct ordering of the search is obscured and unnecessary consistency checks are required.

The problem addressed in this paper is as follows. Given a binary constraint network, find whether it can be transformed into a tree-structured network without loss of information. If the answer is yes, find such a tree; if the answer is no, acknowledge failure.

This paper develops a polynomial-time algorithm that, given an arbitrary network, generates a tree T with the following characteristics. If any tree representation can be extracted by deleting edges (i.e., binary constraints) from the path-consistent version of the network, T represents the network exactly. However, if no tree representation can be extracted by such deletion, the fact is acknowledged, and T may serve as an approximation to the original network. Furthermore, when the given path-consistent network is minimal, we can issue the stronger guarantee that if the tree T generated by our algorithm fails to represent the network, then no tree representation exists, even allowing for the introduction of edges that were absent in the original path-consistent network.

The algorithm works as follows. We examine all triplets of variables, identify the redundancies that exist in each triplet, and assign weights to the edges in accordance with

the redundancies discovered. The tree generated, T , is a maximum-spanning-tree relative to these weights and a (polynomial-time) test is then conducted to establish whether the tree represents the network precisely.

An added feature of the algorithm is that when the tree generated is recognized as an approximation, it can be further tightened by adding edges until a precise representation is achieved. This technique may be regarded as an alternative redundancy-removal scheme, one accompanied with polynomial complexity and performance guarantees, to the technique proposed in [1].

The general issue of removing redundancies has been investigated in the literature of relational databases [9, 2], as well as in the context of constraint networks [1]. The algorithm proposed here is related also to the problem of decomposing a *relation* that was treated in [2] and will be discussed in detail in Section 7. While the method in [2] takes as input a relation (i.e., the set of satisfying assignments), here the relation is not given and the input consists of an unsolved constraint network.

2 Preliminaries and nomenclature

We first review the basic concepts of constraint satisfaction [3, 7].

A **network of binary constraints** consists of a set of variables $\{X_1, \dots, X_n\}$ and a set of binary constraints on the variables. The **domain** of variable X_i , denoted by D_{X_i} or D_i , defines the set of values X_i may assume. A **binary constraint**, R_{ij} , on variables X_i and X_j , defined by $R_{ij} \subseteq D_i \times D_j$ it specifies the *allowed* pairs of values for X_i and X_j . If a pair (x, y) is allowed by the constraint R_{ij} we denote $R_{ij}(x, y) = 1$, else $R_{ij}(x, y) = 0$. Thus R_{ij} denotes a set of pairs while $R_{ij}(x, y)$ is a predicate that is true iff $(x, y) \in R_{ij}$.

A binary constraint R_{ij} is **tighter**¹ than R'_{ij} (or conversely R'_{ij} is more **relaxed** than R_{ij}), denoted by $R_{ij} \subseteq R'_{ij}$, if every pair of values allowed by R_{ij} is also allowed by R'_{ij} . The most relaxed constraint is the **universal** constraint which allows all pairs of the Cartesian product.

An assignment of a value to each variable that satisfies all the constraints is called a **solution**. The set of all solutions to network R constitutes a relation, denoted by $rel(R)$, whose attributes are the variables names. Formally, $rel(R) = \{x_1, \dots, x_n \mid \forall i, j (x_i x_j) \in R_{ij}\}$. Two networks with the same variable set are **equivalent** if and only if they represent the same set of solutions.

A binary constraint network is associated with a **constraint graph**, where node i represents variable X_i , and an edge between nodes i and j represents a **direct constraint**, R_{ij} , between them, which is not the universal constraint. Other constraints are **induced** by paths connecting i and j . The constraint induced on i and j by a path of length m through nodes $i_0 = i, i_1, \dots, i_m = j$, denoted by R_{i_0, i_1, \dots, i_m} , represents the **composition** of the constraints along the path. Namely, a pair of values $x \in D_{i_0}$ and $y \in D_{i_m}$ is allowed by the path constraint, if there exists a sequence of values $v_1 \in D_{i_1}, \dots, v_{m-1} \in D_{i_{m-1}}$ such that $R_{i_0, i_1}(x, v_1)$, $R_{i_1, i_2}(v_1, v_2)$, ..., and $R_{i_{m-1}, i_m}(v_{m-1}, y)$ are all evaluated to 1.

A network whose direct constraints are tighter than any of its induced path constraints is called **path consistent**. Formally, a path P of length m through nodes i_0, i_1, \dots, i_m is path consistent, if and only if $R_{i_0, i_m} \subseteq R_{i_0, i_1, \dots, i_m}$. Similarly, arc (i, j) is arc-consistent if for

¹It should be "at least as tight as" but we use the shorter term "tighter" for convenience.

any value $x \in D_i$, there exists a value $y \in D_j$ such that $R_{ij}(x, y)$. A *network* is arc and path consistent if all its arcs and paths are arc and path consistent, respectively. Any network can be converted into an equivalent arc and path consistent form in time $O(n^3)^2$ [8, 10].

Not every relation can be represented by a binary constraint network. The best network approximation of a given relation is called the **minimal network**; its constraints are the projections of the relation on all pairs of variables, namely, each pair of values allowed by the minimal network participates in at least one solution. Thus, the minimal network displays the tightest constraints between every pair of variables. Being a projection of the solution set, the minimal network is always arc and path consistent. Montanari showed that the minimal network is unique. An equivalent definition of the minimal network is:

Definition 1 [10]. A binary network R is minimal if for any network R' equivalent to R , R is tighter than R' .

3 Problem statement

We now define the **tree decomposability problem**. First, we introduce the notion of **tree decomposition**.

Definition 2 A network R is **tree decomposable** if there exists a tree-structured network T , on the same set of variables, such that R and T are equivalent (i.e., represent the same relation). T is said to be a **tree decomposition** of R , and the relation ρ represented by R is said to be tree decomposable (by T). A network R is **tree reducible** if it contains a tree-structured subnetwork T such that R is decomposable by T , and for all $(i, j) \in T$, $T_{ij} = R_{ij}$, namely the constraints in T are taken *unaltered* from R .

The **tree decomposability problem** for networks is defined as follows. Given a network R , decide if R is tree decomposable. If the answer is positive find a tree decomposition of R , else, acknowledge failure. The **tree reducibility problem** is defined as follows. Given a network R , let $path(R)$ be the network resulting from applying arc and path-consistency to R . Decide if $path(R)$ is tree-reducible. If the answer is positive find a tree reduction of $path(R)$, else acknowledge failure.

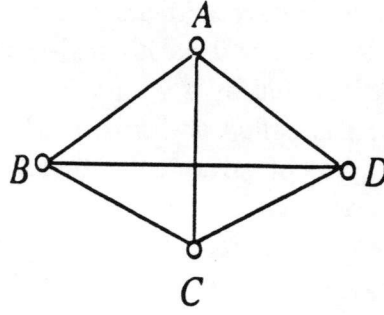
Example 1 Consider the network R having 4 variables A, B, C, D , with domains

$$D_A = 2, 3 \quad D_B = 2, 3, 4 \quad D_C = 2, 3, 4 \quad D_D = 2, 6.$$

The constraints are indicated explicitly in Figure 1.

In any order by which we will look for a solution we will have to test all six constraints. This network is tree-reducible. The constraints R_{BC}, R_{CD} , and R_{BD} are redundant and can be deleted. By recognizing this redundancy, we generate a representation that is much more effective; a consistent solution can be recovered by testing three constraints only. One can easily recognize now that the constraints between A and each of B, C, D stands for the requirement that the value of A divides the values of B, C and D , respectively. This example can be scaled up to any number of variables, thus reducing constraint testing from $O(n^2)$ to $O(n)$.

²Actually, the complexity is $O(n^3k^3)$, where k is the domain size; however, for simplicity, we assume the domain size is constant.



$$\begin{aligned}
 R_{AB} &= \{(2, 2)(2, 4)(3, 3)\} \\
 R_{AC} &= \{(2, 2)(2, 4)(3, 3)\} \\
 R_{AD} &= \{(2, 2)(2, 6)(3, 6)\} \\
 R_{BC} &= \{(2, 2)(2, 4)(4, 2)(4, 4)(3, 6)(2, 3)(3, 4)\} \\
 R_{CD} = R_{BD} &= \{(2, 2)(2, 6)(4, 2)(4, 6)(3, 6)\}
 \end{aligned}$$

Figure 1: An example of a binary network.

Example 2 Consider network R_2 whose variables A, B, C, D, E , all have bi-valued domains $\{0, 1\}$. The constraint graph is given in Figure 2. The constraints are:

$$\begin{aligned}
 R_{AB} = R_{AC} = R_{BD} = R_{BE} = R_{CD} = R_{CE} &= \{(0, 1)(1, 0)(1, 1)\} \\
 R_{AE} &= \{(0, 0)(0, 1)(1, 1)\}
 \end{aligned}$$

In this case the tree $T = \{AB, AC, AD, AE\}$ is the only tree-decomposition of this network.

The rest of the paper is organized as follows. Sections 4, 5, and 6 describe the tree decomposition scheme, while Section 7 presents related work. Proofs of theorems can be found in the appendix.

4 Tree decomposition schemes

Tree decomposition comprises two subtasks: searching for a skeletal spanning tree, and determining the link constraints on that tree. If the input network is minimal, the second subtask is superfluous because, clearly, the link constraints must be taken unaltered from the corresponding links in the input network, namely, decomposability coincides with reducibility. We shall, therefore, first focus attention on minimal networks, and postpone the treatment of general networks to Section 7. Our problem can now be viewed as searching for a tree skeleton through the space of spanning trees. Since there are n^{n-2} spanning trees on n vertices (Cayley's Theorem [6]), a method more effective than exhaustive enumeration is required.

The notion of **redundancy** plays a central role in our decomposition schemes. Consider a consistent path $P = i_0, i_1, \dots, i_m$. Recall that in the minimal network the direct constraint

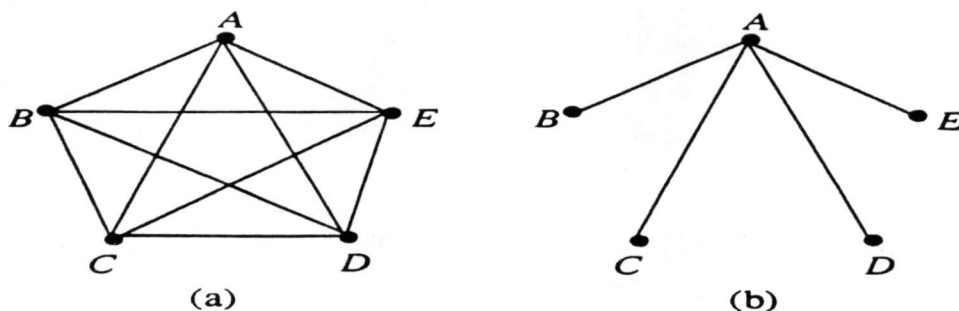


Figure 2: Constraint graphs for Example 2. Note that arc BC, DE in (a) denoted universal constraints.

R_{i_0, i_m} is tighter than the path constraint R_{i_0, i_1, \dots, i_m} . If the two constraints are identical we say that edge (i_0, i_m) is **redundant** with respect to path P ; it is also said to be redundant in the cycle C consisting of nodes $\{i_0, i_1, \dots, i_m\}$. If the direct constraint is strictly tighter than the path constraint, we say that (i_0, i_m) is **nonredundant** with respect to P (or nonredundant in C). Another interpretation of redundancy is that any instantiation of the variables $\{i_0, i_1, \dots, i_m\}$ which satisfies the constraints along P is allowed by the direct constraint R_{i_0, i_m} . Conversely, nonredundancy implies that there exists at least one instantiation which violates R_{i_0, i_m} .

Definition 3 Let T be a tree, and let $e = (i, j) \notin T$. The unique shortest path in T connecting i and j , denoted by $P_T(e)$, is called the **supporting path** of e (relative to T). The cycle $C_T(e) = P_T(e) \cup \{e\}$ is called the **supporting cycle** of e (relative to T).

Theorem 1 Let $G = (V, E)$ be a minimal network. G is decomposable by a tree T if and only if every edge in $E - T$ is redundant in its supporting cycle.

Theorem 1 gives a method of testing whether a network G is decomposable by a given tree T . The test takes $O(n^3)$ time, as there are $O(n^2)$ edges in $E - T$, and each redundancy test is $O(n)$.

Illustration: Consider Example 1. Tree $T_1 = \{AB, AC, AD\}$ is a tree decomposition, since edges BC, BD and CD are redundant in triangles $\{A, B, C\}$, $\{A, B, D\}$ and $\{A, C, D\}$, respectively. On the other hand, $T_2 = \{AD, BD, CD\}$ is not a tree decomposition since edge AB is nonredundant in triangle $\{A, B, D\}$ (indeed, the tuple $(A = 2, B = 3, C = 3, D = 6)$ is a solution of T_2 , but is not a solution of the network).

An important observation about redundant edges is that they can be deleted from the network without affecting the set of solutions; the constraint specified by a redundant edge is already induced by other paths in the network. This seems to suggest the following decomposition scheme. Repeatedly select an edge redundant in some cycle C , delete it from the network, and continue until there are no cycles in the network or there are no redundant edges. Algorithm *Brute-force decomposition (BFD)* is depicted in Figure 3.

Algorithm Brute-force Decomposition (BFD)

1. $N \leftarrow E$;
2. while there are redundant edges in N do
3. select an edge e which is redundant in some cycle C , and set
 $N \leftarrow N - \{e\}$
4. if N forms a tree then G is decomposable by N
5. else G is not tree decomposable;

Figure 3: *BFD* – A brute-force algorithm for tree-decomposition.

Theorem 2 Let G be a minimal network. Algorithm *BFD* produces a tree T if and only if G is tree-decomposable by T .

To prove Theorem 2, we must show that if the network is tree decomposable, any sequence of edge removals will generate a tree. A phenomenon which might prevent the algorithm from reaching a tree structure is that of a **stiff cycle**, i.e., one in which every edge is nonredundant (e.g. cycle $\{B, D, C, E\}$ in Example 2). It can be shown, however, that one of the edges in such a cycle must be redundant in another cycle when the network is tree decomposable.

The proof of Theorem 2 rests on the following three lemmas, which also form the theoretical basis to Section 5.

Lemma 1 Let G be a path consistent network and let $e = (i_0, i_m)$ be an edge redundant in cycle $C = \{i_0, i_1, \dots, i_m\}$. If $C' = \{i_0, i_1, \dots, i_k, i_{k+1}, \dots, i_m\}$ is an interior cycle created by chord (i_k, i_{k+1}) , then e is redundant in C' .

Lemma 2 Let G be a minimal network decomposable by a tree T , and let $e \in T$ be a tree edge redundant in some cycle C . Then, there exists an edge $e' \in C$, $e' \notin T$, such that e is redundant in the supporting cycle of e' .

Lemma 3 Let G be a minimal network decomposable by a tree T . If there exist $e \in T$ and $e' \notin T$ such that e is redundant in the supporting cycle of e' , then G is decomposable by $T' = T - \{e\} \cup \{e'\}$.

Algorithm *BFD*, though conceptually simple, is highly inefficient. The main drawback is that in Step 3 we might need to check redundancy against an exponential number of cycles. In the next section we show a polynomial algorithm which overcomes this difficulty.

5 Tree, triangle and redundancy labelings

In this section we present a new tree decomposition scheme, which can be regarded as an efficient version of *BFD*, whereby the criterion for removing an edge is essentially precomputed. To guide *BFD* in selecting redundant edges, we first impose an ordering on the edges, in such a way that nonredundant edges will always attain higher ranking than redundant ones. Given such ordering, we do not remove edges of low ranking, but apply the dual method instead, and construct a tree containing the preferred edges by finding a maximum weight spanning tree (MWST) relative to the given ordering. This idea is embodied in the following scheme.

Algorithm *TD*

Input: a minimal network R ,

Output: a tree decomposition of R if one exists.

1. $w < -$ romana tree labeling of G ;
2. $T < -$ romanMWST of G w.r.t. w ;
3. test whether G is decomposable by T ;
4. if the test fails G is not tree decomposable; else return the tree T .

Figure 4: *TD* - A family of tree decomposition algorithms.

Definition 4 Let $G = (V, E)$ be a minimal network. A labeling w of G is an assignment of weights to the edges, where the weight of edge $e \in E$ is denoted by $w(e)$. w is said to be a **tree labeling** if it satisfies the following condition. If G is tree decomposable, then G is decomposable by tree T if and only if T is a MWST of G with respect to w .

Finding a tree labeling essentially solves the tree decomposability problem, simply following the steps of algorithm Tree-decomposition (*TD*) shown in Figure 4. *TD* stands for a family of algorithms, each driven by a different labeling w . Steps 2-4 can be implemented in $O(n^3)$: Step 2 can use any MWST algorithm, such as the one by Prim, which is $O(n^2)$ (see [6]); Steps 3-4, deciding whether G is decomposable by T , are $O(n^3)$ as explained in Section 4 (Theorem 1).

We now turn our attention to Step 1, namely computing a tree labeling. This will be done in two steps. We first introduce a necessary and sufficient condition for a labeling to qualify as a tree labeling, and then synthesize an $O(n^3)$ algorithm that returns a labeling w satisfying this condition. As a result, with this labeling the total running time of *TD* is bounded by $O(n^3)$.

Definition 5 Let $G = (V, E)$ be a minimal network. A labeling w of G is called a **redundancy labeling**, if it satisfies the following condition. For any tree T and any two edges, $e' \in E - T$ and $e \in T$, such that e is on the supporting cycle $C_T(e')$ of e' , if G is decomposable by T then

$$(i) \quad w(e') \leq w(e). \quad (1)$$

$$(ii) \quad e \text{ is redundant in } C_T(e') \text{ whenever } w(e') = w(e). \quad (2)$$

Lemma 4 Let w be any labeling of a minimal network G . w is a tree labeling if and only if w is a redundancy labeling.

Having established this equivalence, the next step is to construct a labeling that satisfies conditions (1) and (2).

Definition 6 A labeling w of network G is a **triangle labeling**, if for any triangle $t = \{e_1, e_2, e_3\}$ the following conditions are satisfied.

(i) If e_1 is redundant in t then

$$w(e_1) \leq w(e_2), \quad w(e_1) \leq w(e_3). \quad (3)$$

(ii) If e_1 is redundant in t and e_2 is nonredundant in t then

$$w(e_1) < w(e_2). \quad (4)$$

Conditions (3) and (4) will be called **triangle constraints**.

Illustration: Consider the minimal network of Example 2. Analyzing redundancies relative to all triangles leads to the triangle constraints depicted in Figure 5. Each node in the figure represents an edge of the minimal network, and an arc $e_1 \rightarrow e_2$ represents the triangle constraint $w(e_1) < w(e_2)$ (for clarity, all arcs from bottom layer to top layer were omitted). It so happens that only strict inequalities were imposed in this example. A triangle labeling w can be easily constructed by assigning the following weights:

$$w(AB) = w(AC) = w(AD) = w(AE) = 3,$$

$$w(BD) = w(BE) = w(CD) = w(CE) = 2$$

$$\text{and } w(BC) = w(DE) = 1.$$

Note that the tree $T = \{AB, AC, AD, AE\}$, which decomposes the network, is a MWST relative to these weights, a property that we will show to hold in general.

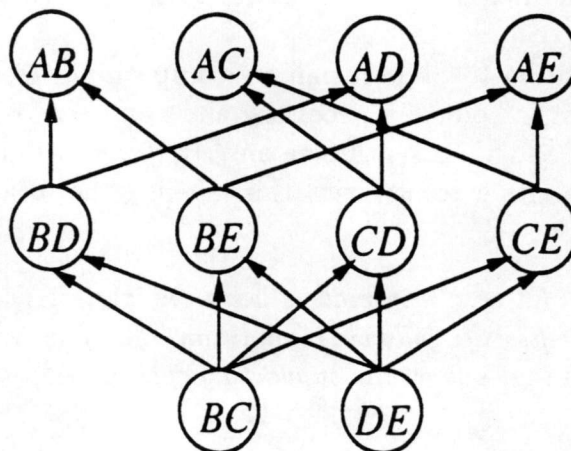


Figure 5: Triangle constraints for Example 2.

Clearly, conditions (3) and (4) are easy to verify as they involve only test on triangles. In Lemma 6 we will indeed show that they are sufficient to constitute a redundancy labeling, hence a tree labeling. Moreover, a labeling satisfying (3) and (4) is easy to create primarily because, by the following Lemma 5, such a labeling is guaranteed to exist for any path consistent (hence for any minimal) network. Note that this is by no means obvious, because there might be two sets of triangles imposing two conflicting constraints on a pair (a, b) of edges; one requiring $w(a) \leq w(b)$, and the other $w(a) > w(b)$.

Lemma 5 *Any path consistent network admits a triangle labeling.*

Algorithm TL

Input: An arc and path-consistent network R ,

Output: A triangle labeling w .

1. create directed graph $G_1 = (V_1, E_1)$ with $V_1 = E$ and $E_1 = \Phi$;
2. for each triangle $t = \{e_i, e_j, e_k\}$ in G do
if edge e_i is redundant in t then add arcs $e_i \rightarrow e_j$ and $e_i \rightarrow e_k$ to G_1 ;
3. set $G_2 = (V_2, E_2)$ as the superstructure of G_1 ; $V_2 = \{C_1, \dots, C_e\}$.
4. compute a topological ordering w for V_2 ;
5. for $i := 1$ to $|V_2|$ do
6. for each edge e in C_i do
 $w(e) \leftarrow w(C_i)$;

Figure 6: TL- an algorithm for constructing a triangle labeling.

The idea behind triangle labelings is that all redundancy information necessary for tree decomposition can be extracted from individual triangles rather than cycles. By Lemma 1, if an edge is redundant in a cycle, it must be redundant in some triangle. Contrapositively, if an edge is nonredundant in all triangles, it cannot be redundant in any cycle, and thus must be included in any tree decomposition. To construct a tree decomposition, we must therefore include all those necessary edges (note that they attain the highest ranking) and then, proceed by preferring edges which are nonredundant relative to others. The correctness of the next lemma rests on these considerations.

Lemma 6 *Let G be a minimal network. If w is a triangle labeling of G then it is also a redundancy labeling.*

We can conclude that:

Theorem 3 *Let G be a minimal network and assume TD uses a triangle labeling w of G . G is tree-decomposable iff TD finds a tree-decomposition of G .*

From here on we will assume that the labeling w computed by TD in step 1 is a triangle labeling. What remains to be shown is that, given any minimal network $G = (V, E)$, a triangle labeling can be formed in $O(n^3)$ time. Algorithm Triangle labeling (TL), shown in Figure 6, accomplishes this task.

Let us consider the TL algorithm in detail. First, it constructs a graph, G_1 , that displays the triangle constraints. Each node in G_1 represents an edge of G , and arc $u \rightarrow v$ stands for a triangle constraint $w(u) \leq w(v)$ or $w(u) < w(v)$. The construction of G_1 (Steps 1-3) takes $O(n^3)$ time, since there are $O(n^3)$ triangles in G , and the time spent for each triangle is constant.

Consider a pair of nodes, u and v , in G_1 . It can be verified that if they belong to the same strongly-connected component (i.e., they lie on a common directed cycle)³, their weights must satisfy $w(u) = w(v)$. If they belong to two distinct components, but there exists a directed path from u to v , their weights must satisfy $w(u) < w(v)$. These relationships

³A strongly connected component of a directed graph is a maximal set of node U such that for every pair A and B in U , there is a directed cycles containing A and B .

can be effectively encoded in the *superstructure* of G_1 [6]. Informally, the superstructure is formed by collapsing all nodes of the same strongly-connected component into one node, while keeping only arcs that go across components. Formally, let $G_2 = (V_2, E_2)$ be the superstructure of G_1 . Node $C_i \in G_2$ represents a strongly-connected component, and a directed arc $C_i \rightarrow C_j$ implies that there exists an edge $u \rightarrow v$ in G_1 , where $u \in C_i$ and $v \in C_j$. Identifying the strongly connected components, and consequently constructing the superstructure (Step 4), takes $O(n^3)$ (a time proportional to the number of edges in G_1 [6]).

It is well-known that the superstructure forms a DAG (directed acyclic graph), moreover, the nodes of the DAG can be topologically ordered, namely they can be given distinct weights w , such that if there exists an arc $i \rightarrow j$ then $w(i) < w(j)$. This can be accomplished (Step 4) in time proportional to the number of edges, namely $O(n^3)$. Finally, recall that each node in G_2 stands for a strongly-connected component, C_i , in G_1 , which in turn represents a set of edges in G . If we assign weight $w(C_i)$ to these edges, w will comply with the triangle constraints, and thus will constitute a triangle labeling. Since all steps are $O(n^3)$, the entire algorithm is $O(n^3)$.

These considerations are summarized in the following theorem.

Theorem 4 *given a path-consistent network R , algorithm TL generates a triangle labeling of R in $O(n^3)$ steps. \square*

Corollary 1 *Tree-decomposability of a minimal network G can be decided in $O(n^3)$ steps. Furthermore, if it exists, a tree decomposition of G can be generated in $O(n^3)$.*

6 Tree decomposition of arbitrary networks

Given an arbitrary network R (not necessarily minimal), we wish to determine whether R is tree decomposable. If it were the case that any tree-decomposable network becomes minimal by enforcing path-consistency, then our algorithm TD preceded by path-consistency would have offered a solution for the general case. This property of tree-decomposable networks was not proven in general, nor could we find a counter example. We believe this property to be correct hence we pose it in a form of a conjecture:

Conjecture 1 *Any path consistent network that is tree-decomposable is minimal.*

Even assuming that this conjecture is false, our method can still accomplish the decomposition task in those cases where path consistency is known to produce the minimal network. This is accomplished by supplementing TD with a preprocessing routine that enforces path-consistency (which takes $O(n^3)$ steps). Call the augmented algorithm TD^* . Theorem 3 leads to the following observation:

Theorem 5 *Algorithm TD^* is complete for the following classes of networks:*

1. *Tree reducible networks*
2. *Path-consistent Row-convex networks*
3. *Binary (0, 1) networks*
4. *Distributive networks*

Row-convex networks involve constraint matrices having consecutive sequences of 1's [12]. Distributive networks employ relations for which the composition operation is distributive over intersection [10].

As an important consequence of Theorem 5 we note that, in case the given network is tree reducible, algorithm TD^* is guaranteed to find a decomposing tree. This follows from the fact that if we enforce arc and path-consistency on any tree reducible network the resulting network is minimal (see proof of Theorem 5 step 1 in the appendix). Consequently, we have

Corollary 2 *Algorithm TD^* is complete for deciding tree reducibility. If conjecture 1 is correct the algorithm is complete for tree-decomposition as well.*

These results suggest another application of TD^* – redundancy removal. Given a network R (not necessarily tree decomposable), it is sometimes desirable to remove as many redundant edges as possible. Our scheme provides an effective heuristics, alternative to that of [1]. We first apply the TD^* algorithm and, in case the tree generated does not represent the network precisely, we add nonredundant edges until a precise representation obtains.

TD^* can also be used for approximation: Given a network R , find a tree network which constitutes a good approximation of R . The tree T , generated by TD^* provides an upper bound of R , as it enforces only a subset of the constraints. The quality of this approximation should therefore be evaluated in terms of the tightness, or specificity, of T .

Conjecture 2 *The tree T generated by TD^* is most specific in the following sense: no other tree T' , extracted from the network, satisfies $rel(T') \subset rel(T)$.*

Although we could find no proof yet, the conjecture has managed to endure all attempts to construct a counterexample.

7 Related work; decomposing a relation

The problem of tree-decomposition was solved for general relations. Given a relation ρ , the problem is to determine whether ρ is tree decomposable. We first describe how TD can be employed to solve this problem, and then compare it with the solution presented in [2].

We start by generating the minimal network M from ρ . We do this by projecting ρ on each pair of variables. We then apply TD to solve tree decomposability for M . If M is not tree decomposable, ρ cannot be tree decomposable; because otherwise, there would be a tree T satisfying $\rho = rel(T) \subset rel(M)$, violating the minimality of M [10]. If M is decomposable by the generated tree T , we still need to test whether $rel(T) = \rho$ (note that M may not represent ρ precisely). This can be done by comparing the sizes of the two relations; ρ is decomposable by T if and only if $|\rho| = |rel(T)|$. Generating M takes $O(n^2 |\rho|)$ operations, while $|rel(T)|$ can be computed in $O(n)$ time [4]; thus, the total time of this method is $O(n^2 |\rho|)$.

An alternative solution to the problem was presented in [2]. It computes for each edge a numerical measure, w , based on the frequency that each pair of values appears in the relation. First, the following parameters are computed:

$n(X_i = x_i)$ = number of tuples in ρ in which variable X_i attains value x_i .

$n(X_i = x_i, X_j = x_j)$ = number of tuples in ρ in which both $X_i = x_i$ and $X_j = x_j$. Then, each edge $e = (i, j)$ is assigned the weight

$$w(e) = \sum_{x_i, x_j \in X_i, X_j} n(x_i, x_j) \log \frac{n(x_i, x_j)}{n(x_i)n(x_j)}. \quad (5)$$

It has been shown that this labeling, w , is indeed a tree labeling, also requiring $O(n^2 | \rho |)$ computational steps.

Comparing the two schemes, the method presented in this paper has three advantages. First, it does not need the precision required by the log function. Second, it offers a somewhat more effective solution in cases where ρ is not available in advance but is observed incrementally through a stream of randomly arriving tuples. Finally, it is conceptually more appealing, since the removal of each edge is meaningfully justified in terms of being redundant.

8 Conclusions

The problem addressed in this paper is best viewed as a task of "knowledge compilation" [11, 5], in which knowledge specified in one form is compiled into a more manageable form, so as to accommodate a given stream of queries. The compilation task treated in this paper concerns the decomposition of a constraint network into a tree - a structure known to facilitate tractable answers to a wide spectrum of queries.

The paper develops a tractable decomposition scheme that requires $O(n^3)$ time and solves the problem for minimal networks and for any path-consistent network from which a tree decomposition can be extracted by deleting edges. Moreover, the technique is complete for several classes of networks for which path consistency produces the minimal network. Row-convex and distributive networks are two such classes.

The theoretical contribution of this paper lies in delineating the extent to which one can generate trees and remove redundancies by examining only triplets of variables. That such local examination could be sufficient for certain classes of networks is an intriguing finding, and should add to our general understanding of dependency and redundancy in constraint networks.

We can only speculate about the applicability of this method for large, real-life problems. The method can certainly be useful for guiding removal of redundancies and for generating tree-networks that provide upper bound approximations. However, the prospects for uncovering tree structures in real-life databases, while a possibility, may be rather dim; we suspect that, in practice, most networks will not be tree-decomposable. In such cases, the effectiveness of our technique would rest upon the goodness of the approximation provided by the tree generated and how well the redundancies discovered are exploited.

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Appendix: Proofs of Theorems

Theorem 1 Let $G = (V, E)$ be a minimal network. G is decomposable by a tree T if and only if every edge in $E - T$ is redundant in its supporting cycle.

Proof. Assume G is decomposable by T . Suppose there is an edge $(i, j) \in E - T$ which is nonredundant relative to its supporting path P_{ij} . Thus, there exists an instantiation of the variables on P_{ij} which satisfies the constraints along P_{ij} , but the pair of values (x, y) , assigned to variables i and j , is disallowed by R_{ij} . Since the network is arc consistent, this instantiation can be extended to a complete solution of T . However, since the pair (x, y) is disallowed by R_{ij} , T is not equivalent to G , and thus cannot be a tree decomposition; contradiction.

The other direction is rather obvious. If any edge in $E - T$ is redundant in its supporting cycle, it can be deleted from the network without affecting the set of solutions. Thus, T is equivalent to G , and it is a tree decomposition. \square

Lemma 1 Let G be a path consistent network and let $e = (i_0, i_m)$ be an edge redundant in cycle $C = \{i_0, i_1, \dots, i_m\}$. If $C' = \{i_0, i_1, \dots, i_k, i_{k+l}, \dots, i_m\}$ is an interior cycle created by chord (i_k, i_{k+l}) , then e is redundant in C' .

Proof. From path consistency we have

$$R_{i_k, i_{k+l}} \subseteq R_{i_k, i_{k+1}, \dots, i_{k+l}}. \quad (6)$$

Composition of constraints preserves tightness, thus

$$R_{i_0, \dots, i_k, i_{k+l}, \dots, i_m} \subseteq R_{i_0, \dots, i_k, i_{k+1}, \dots, i_{k+l}, \dots, i_m}. \quad (7)$$

Since (i_0, i_m) is redundant in C , we have

$$R_{i_0, \dots, i_k, i_{k+1}, \dots, i_{k+l}, \dots, i_m} \subseteq R_{i_0, i_m}. \quad (8)$$

From (A-2) and (A-3) we obtain

$$R_{i_0, \dots, i_k, i_{k+l}, \dots, i_m} \subseteq R_{i_0, i_m}. \quad (9)$$

From path consistency, $R_{i_0, i_m} \subseteq R_{i_0, \dots, i_k, i_{k+l}, \dots, i_m}$, and thus (i_0, i_m) is redundant in C' . \square

Lemma 2 Let G be a minimal network decomposable by a tree T , and let $e \in T$ be a tree edge redundant in some cycle C . Then, there exists an edge $e' \in C, e' \notin T$, such that e is redundant in the supporting cycle of e' .

Proof: Assume that the vertices along C are v_1, \dots, v_m , where $e = (v_1, v_m)$. Without loss of generality, we may assume that v_1 is not a leaf in T (otherwise, reverse the order of the vertices along C). Let k be the highest index such that there exists a path $P_{1,k}$ in T from v_1 to v_k not passing through v_m . Note that $k > 1$ since v_1 is not a leaf.

Consider the path $P = P_{1,k} \cup \{e\}$ which is entirely contained in T . There exists a path in T connecting vertex v_{k+1} to a unique vertex, v , on P . Clearly $v = v_m$; otherwise, there would be a path in T from v_1 to v_{k+1} not passing through v_m , violating the assumption that v_k is the highest such vertex. Therefore, there exists a path in T from v_{k+1} to v_m . Let $P_{k+1,m}$ denote this path.

Let $e' = (v_k, v_{k+1})$. The supporting cycle of e' is

$$C_T(e') = P_{1,k} \cup \{(v_k, v_{k+1})\} \cup P_{k+1,m} \cup \{e\}. \quad (10)$$

To complete the proof we now show that e is redundant in $C_T(e')$. From Lemma 1, since e is redundant in C , it is also redundant in the quadrangle $\{v_1, v_k, v_{k+1}, v_m\}$. However, (v_1, v_k) and (v_{k+1}, v_m) are redundant with respect to their supporting paths, $P_{1,k}$ and $P_{k+1,m}$, respectively. Thus, e is redundant in $C_T(e')$. \square

Lemma 3 Let G be a minimal network decomposable by a tree T . If there exist $e \in T$ and $e' \notin T$ such that e is redundant in the supporting cycle of e' , then G is decomposable by $T' = T - \{e\} \cup \{e'\}$.

Proof: By Theorem 1, we need to show that every edge is redundant with respect to its supporting path relative to T' . Let (i, j) be any edge in $E - T'$, and let P be its supporting path in T' . Consider an instantiation of the variables on P which satisfies the constraints along P . Let x and y be the values assigned to i and j , respectively, by this instantiation. We will show that they are also allowed by the direct constraint $R_{i,j}$.

Since the network is arc consistent, we can extend this partial instantiation to include the rest of the variables, in accordance with the constraints of T' . Since e is redundant in its supporting cycle in T' (it is redundant in $C_T(e') = C_{T'}(e)$), the instantiation satisfies the direct constraint represented by e . Thus, since $T \subseteq T' \cup \{e\}$, the instantiation satisfies all the constraints of T . Since T is a tree decomposition, the pair (x, y) is allowed by $R_{i,j}$. \square

Theorem 2 Let G be a minimal network. Algorithm *BFD* produces a tree T if and only if G is decomposable by T .

Proof: Clearly, if *BFD* produces a tree, it constitutes a tree decomposition. Conversely, we will show that if the network is tree decomposable, *BFD* produces a tree decomposition.

We claim that during the execution of *BFD* the following invariant is maintained: there exists a tree decomposition T such that $T \subseteq N$.

Initially the invariant holds since the network is decomposable by some tree $T \subset E = N$. Now assume that the invariant holds before edge e is deleted from N . e is deleted since it is redundant in some cycle C . If $e \notin T$, then the invariant trivially holds after the deletion of e . If $e \in T$ then, according to Lemma 2, there exists an edge $e' \notin T$ such that e is redundant in its supporting cycle. Then, from Lemma 3, $T' = T - \{e\} \cup \{e'\}$ is a tree decomposition of G , and $T' \subseteq N$. Hence, the invariant holds after e is deleted.

To complete the proof we need to show that upon termination N constitutes a tree. Suppose N contains a cycle C . Since N always contains a tree decomposition T , there is an edge $e \in C$ which is redundant in its supporting cycle, and thus can be deleted. Thus, when *BFD* terminates N forms a tree. \square

Lemma 4 Let w be a labeling of a minimal network G . w is a tree labeling if and only if w is a redundancy labeling.

Proof: If G is not tree decomposable, the theorem trivially holds. Now assume G is tree decomposable. We use a well-known fact from graph theory, called the *MWST property*, which says that a tree T is a MWST if and only if every nontree edge is an edge of minimum weight in its supporting cycle.

if part: Let w be a redundancy labeling of G . We shall show that w is also a tree labeling; namely, for any tree $T \subseteq E$, G is decomposable by T if and only if T is a MWST with respect to w .

Let $T \subseteq E$ be a tree decomposition of G . From condition (1) and the MWST property, we conclude that T is a MWST with respect to w .

Conversely, let T be a MWST with respect to w . We show that if G is decomposable by a tree T' , then it is also decomposable by T . The proof is by induction on $k = |T' - T|$, namely the number of edges contained in T' but not in T .

Clearly, for $k = 0$, G is decomposable by $T = T'$. Now assume that if G is decomposable by T' , such that $|T' - T| = k$, then it is also decomposable by T . We have to show that if G is decomposable by tree T' , such that $|T' - T| = k + 1$, then it is also decomposable by T .

Let T' be a tree decomposition, where $|T' - T| = k + 1$. Let e be an edge in $T - T'$. Clearly, in $C_{T'}(e)$, its supporting cycle relative to T' , there are edges of $T' - T$; let E' denote this set of edges. We first show that there exists an edge $e' \in E'$ such that $w(e') \leq w(e)$.

Consider $T - \{e\}$. Deleting e from T divides T into two subtrees T_1 and T_2 . At least one of the edges in E' connects a vertex in T_1 with a vertex in T_2 ; let e' denote such an edge. We observe that e is in the supporting cycle of e' relative to T . Then, by applying the MWST property to T , $w(e') \leq w(e)$.

Consider again $C_{T'}(e)$. From condition (1) $w(e) \leq w(e')$, hence $w(e) = w(e')$. From condition (2) we conclude that e' is redundant in $C_{T'}(e)$. By Lemma 3, $T'' = T' - \{e'\} \cup \{e\}$ is a tree decomposition of G . Furthermore, $|T'' - T| = k$. Thus, by the induction hypothesis G is decomposable by T .

only if part: Let w be a tree labeling of G . We shall show that w is a redundancy labeling.

Suppose w is not a redundancy labeling. Then, there exists a tree decomposition of G , $T \subseteq E$, and a nontree edge e' , having supporting cycle $C_T(e')$, for which either condition (1) or condition (2) is violated. There are two cases depending on which condition is violated.

Case 1: If condition (1) is violated then there exists a tree edge $e \in C_T(e')$, such that $w(e) < w(e')$. By the MWST property, T is not a MWST relative to w . However, G is decomposable by T , and hence, w is not a tree labeling; contradiction.

Case 2: If condition (2) is violated then there exists a tree edge $e \in C_T(e')$, such that $w(e) = w(e')$, but e is nonredundant in $C_T(e')$. Clearly, $T' = T - \{e\} \cup \{e'\}$ is a MWST relative to w . However, T' is not a tree decomposition, since e is nonredundant in $C_{T'}(e) = C_T(e')$, its supporting cycle in T' . Thus, w is not a tree labeling; contradiction. \square

Lemma 5 Any path consistent network admits a triangle labeling.

Proof: Suppose not. Therefore, there are two conflicting constraints, namely, there is a pair of edges $e', e'' \in E$, for which one set of triangle constraints requires $w(e') > w(e'')$, whereas

another set of triangle constraints requires $w(e') \leq w(e'')$. Together, there exists a sequence of edges $e' = e_1, e_2, \dots, e_k = e'', \dots, e_m = e'$ for which the triangle constraints require

$$w(e_1) \leq \dots \leq w(e_k) \leq \dots \leq w(e_l) < w(e_{l+1}) \leq w(e_{l+2}) \leq \dots \leq w(e_m). \quad (11)$$

Without loss of generality we can rename the edges, and the constraints may be written as

$$w(e_1) \leq \dots \leq w(e_{m-1}) \leq w(e_m) < w(e_{m+1}), \quad (12)$$

where $e_{m+1} = e_1$, and the strict inequality is last. Let t_2, \dots, t_m, t_{m+1} be the corresponding sequence of triangles, namely, t_i contains edges e_{i-1} and e_i for $i = 2, \dots, m+1$.

We now show by induction that for all $i, 2 \leq i \leq m$, there exists a cycle C_i containing e_1 and e_i , in which e_1 is redundant.

For $i = 2$, triangle t_2 contains e_1 and e_2 , and imposes the constraint $w(e_1) \leq w(e_2)$. Hence, e_1 is redundant in $C_2 = t_2$.

Now assume that there exists a cycle C_i containing e_1 and e_i , in which e_1 is redundant. Consider triangle t_{i+1} . It contains both e_i and e_{i+1} , and from the triangle constraint, e_i is redundant in t_{i+1} . Let v_1, v_2 and v_3 be the vertices of t_{i+1} , where $e_i = (v_1, v_2)$. Clearly, vertices v_1 and v_2 lie on C_i . There are two cases depending on the location of v_3 .

Case 1: v_3 is not in C_i . Let the third edge of t_{i+1} (besides e_i and e_{i+1}) be c_{i+1} , and let $C_{i+1} = C_i - \{e_i\} \cup \{e_{i+1}, c_{i+1}\}$. Clearly, e_1 is redundant in C_{i+1} .

Case 2: v_3 is in C_i . Therefore, e_{i+1} is a chord of C_i , and it divides C_i into two interior cycles, C_{i1} that contains e_1 and e_{i+1} , and C_{i2} . By Lemma 1, since e_1 is redundant in C_i , it is also redundant in $C_{i+1} = C_{i1}$.

We have now proved that there exists a cycle containing e_1 and e_m in which e_1 is redundant. However, e_1 and e_m are adjacent (they are both contained in triangle t_{m+1}). Therefore, from Lemma 1, e_1 is redundant in t_{m+1} . On the other hand, triangle t_{m+1} imposes the constraint $w(e_m) < w(e_1)$, implying that e_1 is nonredundant in t_{m+1} , thus contradiction. \square

Lemma 6 Let G be a minimal network. If w is a triangle labeling of G then it is also a redundancy labeling.

Proof: If G is not tree decomposable, the theorem trivially holds. Now assume G is decomposable by tree T . Let $e' \notin T$ and $e \in T$ be edges such that e is on $C_T(e')$, the supporting cycle of e' . We need to show:

(i) $w(e') \leq w(e)$.

(ii) If $w(e') = w(e)$ then e is redundant in $C_T(e')$.

Assume the vertices of $C_T(e')$ are v_1, \dots, v_s , where $e' = (v_1, v_s)$ and $e = (v_m, v_{m+1})$. To simplify notation, we may assume without loss of generality $e \neq (v_{s-1}, v_s)$ (otherwise, we may reverse the order of the vertices along $C_T(e')$).

(i) We first show that $w(e') \leq w(e)$. Let e_i ($i = 1, \dots, m+1$) denote edge (v_i, v_s) , and let C_i be its supporting cycle. Let t_i be the unique triangle containing edges e_i and e_{i+1} . By Lemma 1, e_i is redundant in t_i , for $i = 1, \dots, m$. Consider the sequence of triangles t_1, \dots, t_m . In t_i , $1 \leq i \leq m-1$, we have $w(e_i) \leq w(e_{i+1})$, and in triangle t_m we have $w(e_m) \leq w(e)$. Together we have:

$$w(e') = w(e_1) \leq w(e_2) \leq \dots \leq w(e_m) \leq w(e). \quad (13)$$

(ii) Now assume $w(e') = w(e)$. We can replace the inequalities in Eq. refEq13 by equalities:

$$w(e') = w(e_1) = w(e_2) = \dots = w(e_m) = w(e). \quad (14)$$

From Eq. 14 we conclude that edge e_{i+1} is redundant in triangle t_i , for $i = 1, \dots, m - 1$; otherwise, we would have $w(e_{i+1}) > w(e_i)$, violating the equality. Similarly, e is redundant in t_m .

Finally, to show that e is redundant in $C_T(e') = C_1$, we prove by induction on j that e is redundant in C_{m-j} , for $j = 0, \dots, m - 1$.

For $j = 0$ we have to show that e is redundant in C_m . e is redundant in t_m , and e_{m+1} is redundant in its supporting cycle C_{m+1} , thus e is redundant in C_m . Now assume that e is redundant in C_{m-j} . Since e_{m-j} is redundant in t_{m-j-1} , e is also redundant in C_{m-j-1} , which completes the induction. \square

Theorem 3 Let G be a minimal network and assume TD uses a triangle labeling w of G . G is tree-decomposable iff TD finds a tree-decomposition of G .

Proof: clear.

Theorem 4 Given a path-consistent network R , algorithm TL generates a triangle labeling of R in $O(n^3)$ steps.

Proof: The proof is outlined in the text. \square

Corollary 1 Tree decomposability of a minimal network G can be decided in $O(n^3)$ steps. Furthermore, if it exists, a tree decomposition of G can be generated in $O(n^3)$.

Proof: Algorithm TD decides whether a tree-decomposition exists, and if it does the algorithm generates such one (Theorem 3). Since the complexity of generating triangle labeling is $O(n^3)$ and since the complexity of TD without the weight generation step is also $O(n^3)$ the overall complexity is $O(n^3)$. \square

Theorem 5 Algorithm TD^* is complete for the following networks:

1. Tree reducible networks
2. Row-convex networks
3. Binary (0, 1) networks
4. Distributive networks

Proof: Parts 2 and 3 follows from the fact that row-convex networks [12] and distributive networks [11] were shown to be minimal following the application of path-consistency. Regarding tree reducible network, one can show first, that a tree-network which is closed by path-consistency is minimal. The reason is that any pair of values allowed by a unique path of tree-edges can be extended to a full solution and therefore will appear in the minimal

network. A tree reducible network, R , must have an equivalent tree subnetwork, R' , containing a subset of its edges. Lets denote by $path(R)$ the network resulting from applying path-consistency to R . Since R is tighter than R' , $path(R)$ is tighter than $path(R')$. Since $path(R')$ is minimal and since the two networks are equivalent, $path(R)$ is minimal as well. \square

Corollary 2 Algorithm TD^* is complete for deciding tree reducibility.

Proof: If the network is tree reducible, the constraints on that tree are minimal. Therefore, the constraints on the tree to be uncovered are minimal. Consequently, when applying path-consistency to the whole network, it is applied to the tree subnetworks as well, and consequently, the minimal network is generated (Theorem 5 (1)). It is known that the application of TD^* to the minimal network is complete. \square