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Discerning the Survival Advantage among Prostate Cancer Patients Treated with Radical Prostatectomy or Radiotherapy: The Limitations of Cancer Registry Data

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Abstract

Objective—To compare overall survival of patients who underwent radical prostatectomy or radiotherapy versus non-cancer controls in order to discern if there is a survival advantage according to prostate cancer treatment and the impact of selection bias on these results.

Patients and Methods—A matched cohort study was performed using the Surveillance, Epidemiology, and End Results (SEER)-Medicare linked database. We identified 34,473 patients age 66 to 75 years without significant comorbidity from who were diagnosed with localized prostate cancer treated with surgery or radiotherapy between 2004 and 2011. These patients were matched to a non-cancer control cohort. We compared the rates of all-cause mortality that occurred within the study period. We used Cox Proportional Hazards Regression analysis to identify determinants associated with overall survival.

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Conflict of interests: All authors have no conflicts of interest.

Results—Of the total 34,473 patients who were included in the analysis, 21,740 (63%) received radiation therapy and 12,733 (37%) received surgery. There was improved survival in patients treated with surgery (hazard ratio [HR], 0.35; 95% CI, 0.32-0.38) as well as with radiotherapy (HR, 0.72; 95% CI, 0.68-0.75) when compared to non-cancer controls. There was significantly improved overall survival among both treatment groups with most benefit observed among patients who underwent surgery (*log rank* $p < 0.001$).

Conclusions—Using population based data, treatment with either surgery or radiotherapy demonstrated improved overall survival when compared to a cohort of matched non-cancer controls. Treatment with surgery resulted in longer survival compared to those receiving radiation therapy. These results suggest inherent selection-bias due to unmeasured confounding variables.

Keywords

prostatectomy; prostate cancer; treatments; survival; utilization; outcomes

Introduction

Prostate cancer remains the most commonly diagnosed solid organ tumor among U.S. men with an estimated 220,800 new cases and 27,540 deaths in 2015.¹ Curative treatment options for prostate cancer include surgery and radiation.^{2,3} Driven by intensive PSA screening over the last quarter century, prostate cancer has witnessed a marked stage migration,⁴ toward a more indolent course in the majority of newly diagnosed cases.⁵ It has therefore been suggested that active surveillance may be the most appropriate treatment strategy for most newly diagnosed patients with low risk disease (clinical stage T1-2a, Gleason score ≤ 6 , PSA < 10 ng/ml).⁶ Despite this recommendation, a significant proportion of men eligible for active surveillance undergo curative therapy with either surgery or radiation.⁷

With increased concern regarding the over-diagnosis and overtreatment of prostate cancer, treatment decisions regarding primary therapy are understandably complex. Prior studies have questioned the perceived survival benefit in patients treated for prostate cancer.⁸ In a recent randomized clinical trial assessing men with clinically localized prostate cancer, radical prostatectomy did not reduce prostate cancer specific or overall mortality as compared to observation.⁹ In an attempt to ameliorate overtreatment and select patients most likely to benefit from treatment, guidelines have now incorporated life expectancy into the prostate cancer treatment decision-making process.⁶

Despite recent level 1 evidence concluding no significant difference in prostate cancer specific-mortality among men with localized disease treated versus those that underwent active monitoring¹⁰, physicians have had to use observational studies to answer clinical questions. Giordano et al. examined men treated from 1992 to 1999 with and without androgen deprivation for locally advanced prostate cancer to explore the effect of selection biases in observational studies.¹¹ They found men who underwent androgen deprivation had higher prostate cancer mortality despite clinical trial evidence that this treatment improves cancer mortality thus suggesting outcomes derived from observational studies should be used with caution.¹¹ Limitations in that study include results derived from historical data

(i.e. prior to year 2000) where results may not be applicable to modern cohort and relatively heterogeneous cohort of patients with advanced disease. In an attempt to further explore the impact of selection bias using contemporary observational data in the treatment of prostate cancer, we conducted a population-based matched cohort study comparing overall survival in men undergoing radical prostatectomy or primary prostate radiotherapy for localized prostate cancer to non-cancer controls. We hypothesize that selection for treatment of localized prostate cancer would lend to improved survival outcomes over non-cancer controls suggesting selection bias for men undergoing those particular treatments.

Patients and Methods

Data Sources

We used Surveillance, Epidemiology, and End Results (SEER)–Medicare data for analysis, which are composed of a linkage of population-based cancer registry data from 18 SEER areas with Medicare administrative data. The SEER program covers approximately 30% of the U.S. population, and the Medicare program provides benefits to 97% of Americans aged 65 years¹².

Study Population

Due to baseline differences between patient populations undergoing radiotherapy and surgery, we limited our analysis to only include patients expected to be candidates for either surgery or radiotherapy based on age and limited comorbid medical conditions. From the SEER-Medicare linked database, we identified 34,473 patients who met the following criteria: age 65–75 years, Charlson Comorbidity Index (CCI) scores of 0 or 1, localized prostate cancer (clinical stage T1/T2), diagnosed with prostate cancer between 2004 and 2011, and treated with radical prostatectomy or radiotherapy. To ensure data completeness and to allow enough follow-up time to evaluate treatment and hospitalization, we included only patients who had full medical insurance coverage provided by Medicare Part A and Part B during the 12 months before and after treatment and who were not Health Maintenance Organization members. Patients with a diagnosis of any other cancer prior or post to prostate cancer were excluded.

Control Group

Patients characteristics differ between surgery and radiotherapy patients with men treated with radiotherapy often older with increased comorbidities, therefore we matched each prostate cancer treatment group (surgery and radiotherapy) to non-cancer controls, by age, race/ethnicity, state, and Charlson Comorbidity Index¹³. Non-cancer controls were selected from a 5% random sample of Medicare beneficiaries aged 66 years and only included men without a prior cancer diagnosis at time of matching¹⁴.

Study Variables

Patient demographics, tumor characteristics, and treatments—Patient demographics and tumor characteristics at the time of diagnosis, including age, race/ethnicity, geographic region, census variables (urban/rural, education, poverty level), diagnosis year, grade and stage (T1/T2), were extracted from the PEDSF file. Tumor grade

is dichotomized into low (well differentiated and moderately differentiated) and high grade (poorly differentiated and undifferentiated). Treatment variables including surgery and radiotherapy were determined from Medicare claims. Comorbidity was assessed using the Klabunde modification of the CCI during the year before diagnosis.¹⁵ The Klabunde modification uses comorbid conditions identified by the CCI and incorporates the diagnostic and procedure data contained in Medicare physician (Part B) claims. Variables were categorized as in Table 1.

The primary exposure was the treatment received within 6 months after diagnosis, identified in the claims data using International Classification of Diseases 9th edition (ICD-9) procedure codes and Current Procedural Terminology (CPT) codes in Supplemental material 1. The primary outcome of interest was overall survival.

For descriptive purposes, patients were classified into two, mutually exclusive categories based on the treatment received within this initial period: radical prostatectomy (open, minimally invasive or perineal) and radiotherapy (external beam, brachytherapy or both) (see Supplemental material 1). Patients who received both radical prostatectomy and radiotherapy were excluded from analysis. CPT-4 code 55899 (unspecified male genitourinary procedure) may sometimes be used with an open radical prostatectomy administrative code to specify minimally invasive radical prostatectomy with robotic assistance for private health plans, but Medicare does not recognize this coding schema, and very few men had this combination of codes; therefore, this was not used to identify minimally invasive radical prostatectomy.

Statistical Analysis

For all prostate cancer groups, follow-up began at the date of diagnosis. The non-cancer control group's follow-up began at the pseudo-diagnosis date, which is the date of diagnosis of their matched prostate cancer cases. The primary outcome measure overall survival was calculated from the start of follow-up until the date of death (from the Medicare files) or the last follow-up. Overall survival for each prostate cancer treatment was compared with non-cancer controls.

Chi-square test was used to evaluate whether differences existed between cases and the non-cancer control group. The Kaplan-Meier method was used to calculate overall survival estimates. Differences were calculated using a log-rank test. Risk stratification into low and high risk disease was estimated based upon clinical stage and tumor grade. Patients were classified as having low-risk cancer if they had a T1 tumor and low histologic grade with high-risk disease including T1 or 2 tumor with high grade histology. Additionally, a multivariable Cox proportional hazard model was used to assess the influence of treatment type on outcome between the cases and control groups. To minimize potential selection bias, we used propensity score-based 1:1 matching algorithm. In this algorithm, a logistic regression model was performed controlling for all demographic and clinical variables to generate the predicted probability that is used for matching. The purpose of this matching is to create, based on existing covariates, a similar case and control cohort that will be used for further analysis. Although our greedy propensity score matching algorithm matched patients on several key variables, the proportion of case and control patients by race/ethnicity

variable is still significant after matching and that may influence survival outcome. Also previous studies have reported racial disparities in prostate cancer care, therefore we further stratified our Cox proportional hazard model base on four race/ethnicity groups. P values less than .05 were considered statistically significant. The SAS software program version 9.4 (SAS Institute, Cary, NC) was used to perform all data management and statistical analyses. This study was deemed exempt by the Institutional Review Boards at the University of Texas MD Anderson Center as well as the University of Texas Medical Branch.

RESULTS

Of the total 34,473 patients (median age: 66; range: 66-75) who were included in the analysis, 21,740 (63%) received radiation therapy (median age: 66; range: 66-75) and 12,733 (37%) received surgery (median age: 66; range: 66-75). The demographics of our prostate cancer study population are summarized in Table 1. The median follow-up time is 63 months (min, 1 month; max, 120 months) for study cohort, 71 months for low D'Amico risk patients and 62 months for high D'Amico risk patients.

When compared to the non-cancer control (median age: 66), there was no significant difference between the prostate cancer cohort and the non-cancer control group with exception of race/ethnicity ($p < 0.001$). The prostate cancer cohort had a significantly higher percentage of non-Hispanic blacks (52.4% vs. 47.6%) and race/ethnicity defined as other (52.3% vs. 47.7%), respectively (Table 1).

In multivariable analysis, there was improved survival in patients treated with surgery (hazard ratio [HR], 0.35; 95% CI, 0.32-0.38) as well as with radiotherapy (HR, 0.72; 95% CI, 0.68-0.75) when compared to non-cancer controls (Table 2). When stratified by race/ethnicity, improved survival persisted among patients regardless of race/ethnicity who received surgery or radiotherapy when compared to non-cancer control (all $p < 0.01$).

There was significantly improved overall survival among both treatment groups with most benefit seen among patients who underwent surgery (*log rank* $p < 0.001$) as seen in Figure 1. These findings persisted when prostate cancer patients were stratified according to by low and high-risk stratification as shown in Figure 2. Therefore, we would expect patients who received prostate cancer treatment would have a longer life expectancy. When comparing the rate of other cause mortality and overall mortality between prostate cancer and non-cancer control patients, respectively, we found a significantly increased cumulative incidence of overall deaths in the non-cancer control cohort ($p < 0.001$) (see Supplemental material 2).

DISCUSSION

In a cohort of men aged 66-75 identified from SEER-Medicare claims, while treatment with either surgery or radiotherapy was associated with improved overall survival, men treated with surgery had the longest survival when compared to men without cancer. Given the matching adjustments, these results suggest that some of the improved observed benefit is likely related to inherent selection-bias among men who are treated for prostate cancer which are most pronounced among men who underwent surgery due to unmeasured confounding variables.

Despite the difficulty in performing a randomized study between surgery and radiation for localized prostate cancer, due to patient choice and physician bias, a recent trial concluded low prostate cancer-specific mortality with no significant difference among men treated (surgery or radiotherapy) versus those who underwent active monitoring¹⁰. Prior to this landmark study, patients with localized prostate cancer decided on treatment of their primary tumor with either surgery or radiotherapy based on retrospective and observational data. While much observational data suggests either a slight advantage, with surgical excision or at least similar oncologic benefit, it is important to understand the limitations to this type of data. Given the benefit of treatment observed in those receiving treatment for prostate cancer compared with non-cancer controls, this study suggests potential limitations of using cancer registry data to compare survival outcomes in otherwise healthy men with prostate cancer.

Our study has several important findings. First, in a cohort of men who would theoretically be candidates for either surgery or radiotherapy because of age and good overall health, we found men who underwent surgery had the greatest overall survival benefit over radiation and the non-cancer control cohort. Studies using retrospective population-based cancer registry have noted similar selection bias in treating other malignancies¹⁴. Surgery and radiation for prostate cancer have come under scrutiny as many of these men have competing risks which may have a greater impact on their overall survival than their underlying prostate cancer⁸. Given the existence of these competing risks and the potential for their impact on physician recommendations, decisions regarding therapy is at risk of selection bias. These unmeasured confounding variables, inherent to using cancer registry data, likely account for a portion of the perceived survival benefit.

Second, we found an improved overall survival benefit independent of race/ethnicity when compared to a non-cancer control cohort. These results persisted for both men who underwent either surgery or radiotherapy with men who underwent surgery to have the greatest overall survival benefit. Racial disparities in prostate cancer care has been previously published, however, this is the first report to our knowledge of improved overall survival when compared to non-cancer controls regardless of treatment and independent of race/ethnicity. These findings are relevant given the uncertainty regarding inferior oncologic outcomes which may be due to increased cancer risk and/or socioeconomic determinants such as lesser availability and access to primary health care facilities in among black patients previously implicated with decreased survival^{16, 17}. It appears the use of big data such as SEER-Medicare introduces unmeasured confounders which impacts survival outcomes reporting regardless of race/ethnicity.

Third, we found men who underwent surgery to have the greatest overall survival benefit when compared to men who underwent radiotherapy or non-cancer controls. Men who undergo surgery are often younger and healthier as depicted in our study. While we attempted to control for this using a roughly homogeneous group of men who would theoretically be fit to undergo either treatment, we cannot control for inherent selection bias which likely contributes to this observation. Moreover, this unmeasured selection bias more often explains our observation of improved survival benefit among men who underwent surgery to non-cancer controls. Prior randomized data suggest improved overall survival benefit among men treated with radiotherapy or surgery for prostate cancer¹⁸⁻²⁰. While

clinical trials overcome concerns of internal validity, there are often concerns regarding external validity and generalizability— clinical trial enrollees tend to be younger and healthier than most cancer patients and often times represent highly selected patient subgroups²¹⁻²³. We caution against ignoring the level one evidence suggesting benefit to treatment for prostate cancer and do not condone abandoning surgery as a treatment option. However, our data does suggest that some of the observed survival benefit to surgery seen in observational studies may be contributed by selection bias. Furthermore, use of overall survival as a study endpoint and use of such data in guideline-based recommendations should be further scrutinized prior to making treatment recommendations.

It is not clear how this selection bias can be overcome, particularly when using population based data. Extensive modeling and statistical adjustments do not seem capable of overcoming physician judgment or limit these inherent biases. While randomized control trials are not plausible in this population, there are other potential options for effective comparisons. One option would be to prospectively enroll patients in observational studies of prostate cancer local therapy by creating a narrow inclusion criteria, required multi-specialty consultation, followed by patient choice for therapy. This would generate a more homogeneous population of men better fit for comparison of both oncologic and quality of life outcomes. In summary and as previously shown using older observational data, we also conclude results of observational studies which compare outcomes of different therapies should be viewed with some skepticism due to inherent selection bias.¹¹

While our findings are policy relevant, they must be interpreted in the context of the study design. First, SEER-Medicare is limited to men aged 65 years of age and older and our results may not be generalizable to younger men diagnosed with prostate cancer. Moreover, this study primarily analyzed healthy men with prostate cancer aged 66-70 years old (only 0.7% were >70 years) and further excluded patients treated with both prostatectomy and radiation who are clearly at increased risk of death. The combination of these two factors is likely to contribute significantly to the results in the survival analyses and account for some of the observed selection bias²⁴. Second, we excluded PSA values in the present study, due to preliminary evaluation of SEER data uncovered problems with the quality and interpretation of the PSA value²⁵. While this questions the validity of large datasets, prior studies have suggested the limited impact PSA may have on disease risk stratification with patients having similar tumor characteristics as those with complete data²⁶. Lastly, while we attempted to control for known predictors for survival, the findings are hypothesis-generating and there may be omitted variable bias. While we used the Charlson comorbidity index there may have been differences in health between surgery and radiotherapy groups that were not reflected in the Charlson comorbidity scores. However, observational studies reflect practice patterns and when compared with results from well-conducted randomized controlled trials they do not appear to overestimate treatment effects nor differ qualitatively^{22, 27}.

Conclusions

Using a large population based registry we demonstrated treatment of localized prostate cancer, with either surgery or radiotherapy, was associated with improved overall survival

benefit compared to non-cancer controls. Although the cohorts were matched, men treated with surgery appeared to have the greatest overall survival benefit. These results suggest inherent selection-bias due to unmeasured confounding variables.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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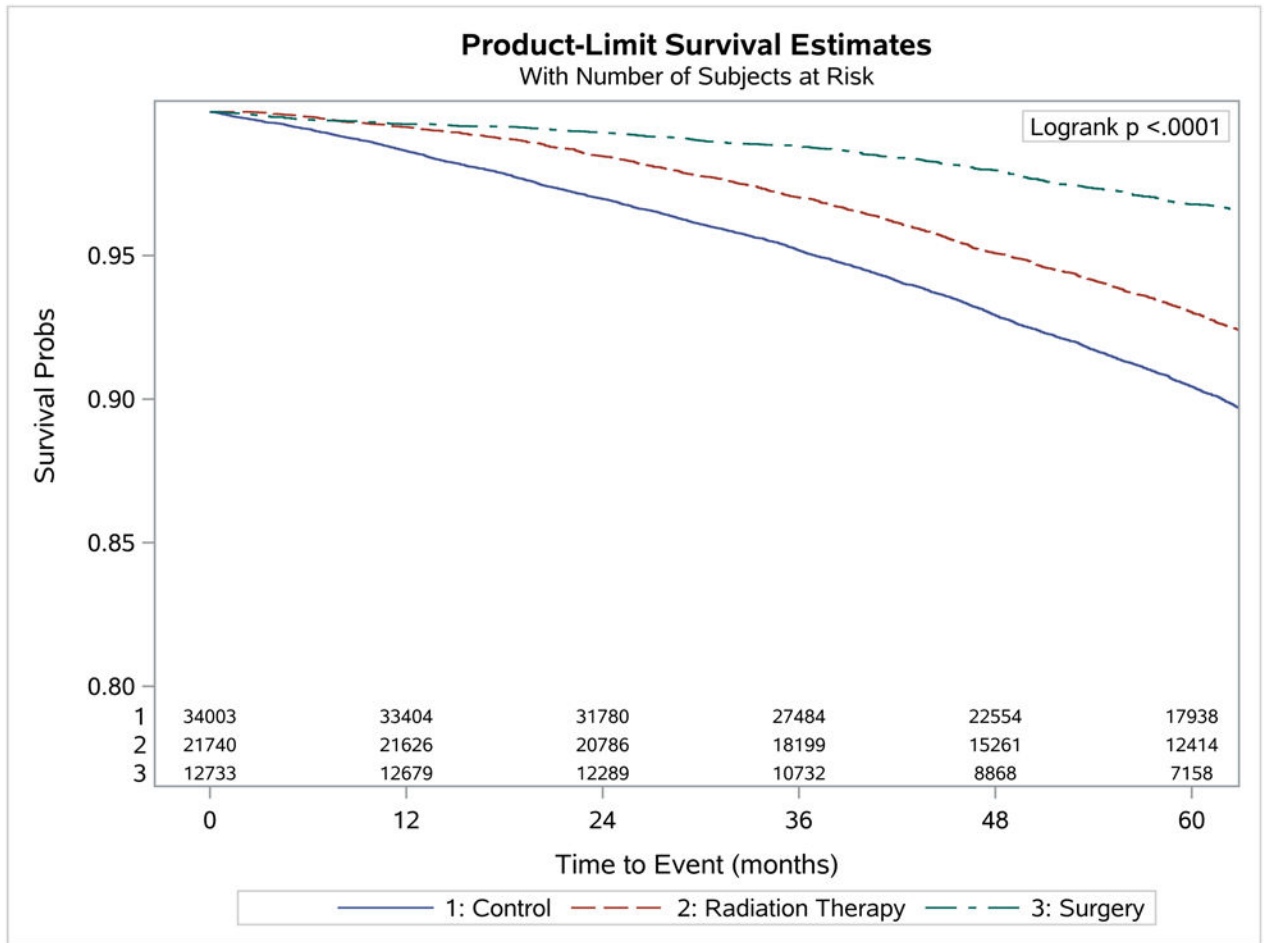


Figure 1.
Plots of Kaplan-Meier product limit estimates of survival

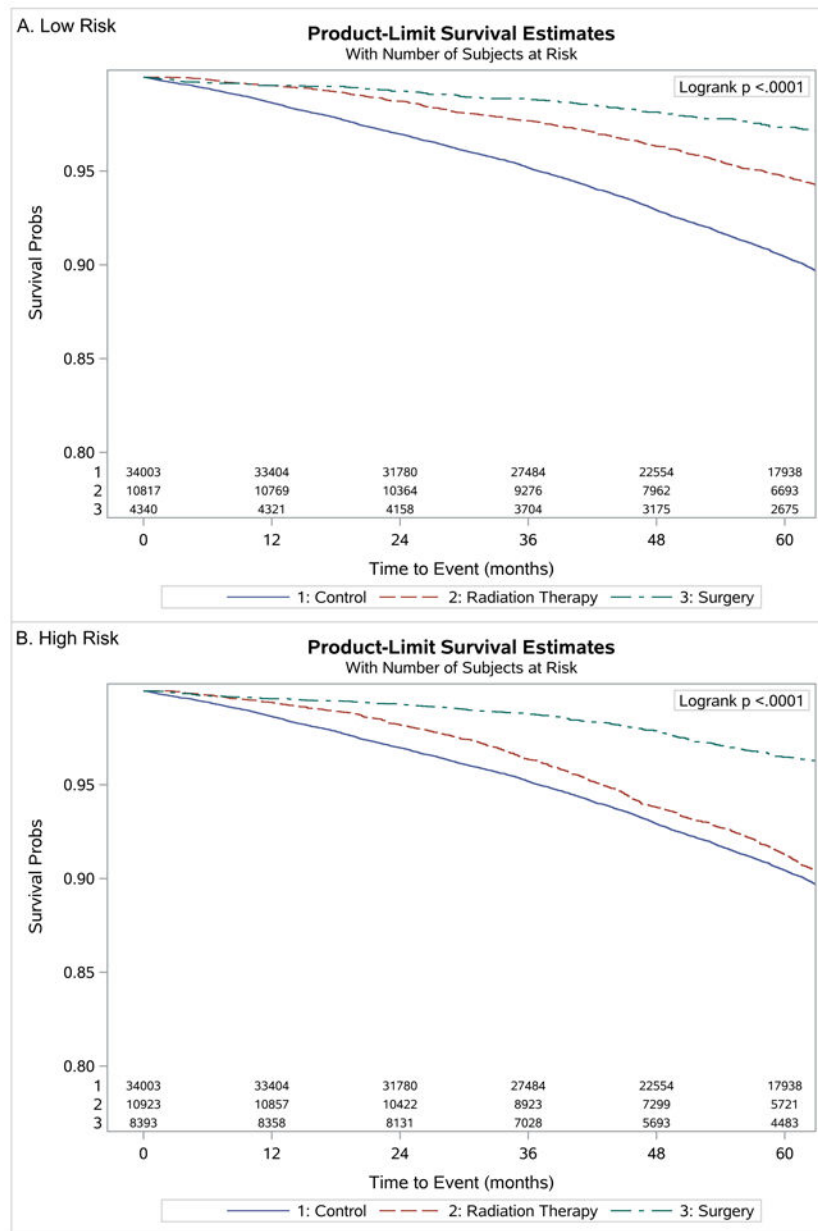


Figure 2. Plots of Kaplan-Meier product limit estimates of survival by risk. A, low risk. B, high risk.

Table 1

Demographics of patients diagnosed with prostate cancer

Characteristic	Category	Total	Prostate Cancer Patients				Non-Cancer Control									
			Surgery	%	RT	%	Total	%	Total	%						
Year of diagnosis																
	2004	9045	1520	11.9	3003	13.8		4523	13.1	4522	13.1				0.973	
	2005	8732	1475	11.6	2884	13.3		4359	12.6	4373	12.7					
	2006	9442	1609	12.6	3097	14.3		4706	13.7	4736	13.7					
	2007	9848	1832	14.4	3094	14.2		4926	14.3	4922	14.3					
	2008	9014	1709	13.4	2771	12.8		4480	13.0	4534	13.2					
	2009	8417	1647	12.9	2550	11.7		4197	12.2	4220	12.2					
	2010	7860	1592	12.5	2353	10.8		3945	11.4	3915	11.4					
	2011	6588	1349	10.6	1988	9.1		3337	9.7	3251	9.4					
																<0.001
																<0.001
Race/ethnicity	Non-Hispanic White	58339	10985	86.3	17974	82.7		28959	84.0	29380	85.2					
	Non-Hispanic Black	5622	773	6.1	2172	10.0		2945	8.5	2677	7.8					
	Hispanics	1624	309	2.4	503	2.3		812	2.4	812	2.4					
	Other	3361	666	5.2	1091	5.0		1757	5.1	1604	4.7					
																<0.001
State	California	19047	4427	34.8	5064	23.3		9491	27.5	9556	27.7					
	Connecticut	3632	536	4.2	1282	5.9		1818	5.3	1814	5.3					
	Georgia	9621	1199	9.4	3651	16.8		4850	14.1	4771	13.8					
	Hawaii	831	197	1.6	235	1.1		432	1.3	399	1.2					
	Iowa	4208	947	7.4	1168	5.4		2115	6.1	2093	6.1					
	Kentucky	4923	921	7.2	1498	6.9		2419	7.0	2504	7.3					
	Louisiana	4691	820	6.4	1546	7.1		2366	6.9	2325	6.7					
	Michigan	4243	738	5.8	1391	6.4		2129	6.2	2114	6.1					
	New Jersey	9364	1138	8.9	3532	16.3		4670	13.6	4694	13.6					
	New Mexico	1571	318	2.5	469	2.2		787	2.3	784	2.3					
	Utah	2244	507	4.0	634	2.9		1141	3.3	1103	3.2					
	Washington	4571	985	7.7	1270	5.8		2255	6.5	2316	6.7					
																0.892

Characteristic	Category	Total	Prostate Cancer Patients				Non-Cancer Control				
			Surgery	%	RT	%	Total	%	Total	%	p-value**
Charlson Comorbidity Score	0	52671	10306	80.9	16014	73.7	26320	76.4	26351	76.4	<0.001
	1	16275	2427	19.1	5726	26.3	8153	23.7	8122	23.6	0.781
Clinical Stage	T1	-	7406	58.2	13865	63.8	21271	-	-	-	<0.001
	T2	-	5327	41.8	7875	36.2	13202	-	-	-	
Tumor Grade	Low	-	4340	34.1	10817	49.8	15157	-	-	-	<0.001
	High	-	8393	65.9	10923	50.2	19316	-	-	-	

Note:

* P-value from the Chi-square between the surgery group and RT group;

** P-value from the Chi-square between the Prostate Cancer Patients and Non-Cancer Control.

Table 2

Multivariable Cox Proportional Hazards Regression: original cohort and stratified analysis by race/ethnicity

	HR	95% CI		P-value
Original cohort				
Treatment				
Non-cancer Control	1.00			
Surgery	0.35	0.32	0.38	<.001
Radiation Therapy	0.72	0.68	0.75	<.001
Race				
Non-Hispanic White	1.00			
Non-Hispanic Black	1.66	1.55	1.78	<.001
Hispanics	0.99	0.84	1.17	0.935
Other	0.79	0.70	0.89	0.002
Stratification:				
Non-Hispanic White				
Non-cancer Control	1.00			
Surgery	0.34	0.31	0.37	<.001
Radiation Therapy	0.74	0.70	0.78	<.001
Non-Hispanic Black				
Non-cancer Control	1.00			
Surgery	0.37	0.29	0.48	<.001
Radiation Therapy	0.61	0.53	0.70	<.001
Hispanics				
Non-cancer Control	1.00			
Surgery	0.42	0.24	0.72	0.002
Radiation Therapy	0.61	0.42	0.88	0.008
Other				
Non-cancer Control	1.00			
Surgery	0.36	0.24	0.55	<.001
Radiation Therapy	0.62	0.47	0.81	0.001