

# Lawrence Berkeley National Laboratory

## LBL Publications

### Title

Known unknowns: indirect energy effects of information and communication technology

### Permalink

<https://escholarship.org/uc/item/52b5s099>

### Journal

Environmental Research Letters, 11(10)

### ISSN

1748-9318

### Authors

Horner, Nathaniel C  
Shehabi, Arman  
Azevedo, Inês L

### Publication Date

2016-10-01

### DOI

10.1088/1748-9326/11/10/103001

Peer reviewed

## Known unknowns: indirect energy effects of information and communication technology

This content has been downloaded from IOPscience. Please scroll down to see the full text.

2016 Environ. Res. Lett. 11 103001

(<http://iopscience.iop.org/1748-9326/11/10/103001>)

View [the table of contents for this issue](#), or go to the [journal homepage](#) for more

Download details:

IP Address: 131.243.223.51

This content was downloaded on 31/07/2017 at 23:21

Please note that [terms and conditions apply](#).

You may also be interested in:

[Comparing the magnitude of simulated residential rebound effects from electric end-use efficiency across the US](#)

Brinda A Thomas, Zeke Hausfather and Inês L Azevedo

[The energy and greenhouse-gas implications of internet video streaming in the United States](#)

Arman Shehabi, Ben Walker and Eric Masanet

[Worldwide electricity used in data centers](#)

Jonathan G Koomey

[A perspective on cost-effectiveness of greenhouse gas reduction solutions in water distribution systems](#)

Thomas P Hendrickson and Arpad Horvath

[Life-cycle assessment of computational logic produced from 1995 through 2010](#)

S B Boyd, A Horvath and D A Dornfeld

[Preparing US community greenhouse gas inventories for climate action plans](#)

Michael Blackhurst, H Scott Matthews, Aurora L Sharrard et al.

[A comparative analysis of the greenhouse gas emissions intensity of wheat and beef in the United States](#)

Kelly Twomey Sanders and Michael E Webber

[Energy benchmarking of commercial buildings: a low-cost pathway toward urban sustainability](#)

Matt Cox, Marilyn A Brown and Xiaojing Sun

## Environmental Research Letters



## TOPICAL REVIEW

## Known unknowns: indirect energy effects of information and communication technology

## OPEN ACCESS

## RECEIVED

31 December 2015

## REVISED

28 July 2016

## ACCEPTED FOR PUBLICATION

9 August 2016

## PUBLISHED

5 October 2016

Nathaniel C Horner<sup>1</sup>, Arman Shehabi<sup>2</sup> and Inês L Azevedo<sup>1</sup><sup>1</sup> Department of Engineering and Public Policy, Carnegie Mellon University, 5000 Forbes Avenue, Pittsburgh, PA 15213, USA<sup>2</sup> Lawrence Berkeley National Laboratory, 1 Cyclotron Road MS 90R2002, Berkeley, CA 94720, USAE-mail: [nch@cmu.edu](mailto:nch@cmu.edu)**Keywords:** ICT, indirect energy effects, green computing, e-services

Original content from this work may be used under the terms of the [Creative Commons Attribution 3.0 licence](https://creativecommons.org/licenses/by/4.0/).

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

**Abstract**

**Background.** There has been sustained and growing interest in characterizing the net energy impact of information and communication technology (ICT), which results from indirect effects offsetting (or amplifying) the energy directly consumed by ICT equipment. These indirect effects may be either positive or negative, and there is considerable disagreement as to the direction of this sign as well as the effect magnitude. Literature in this area ranges from studies focused on a single service (such as e-commerce versus traditional retail) to macroeconomic studies attempting to characterize the overall impact of ICT. **Methods.** We review the literature on the indirect energy effect of ICT found via Google Scholar, our own research, and input from other researchers in the field. The various studies are linked to an effect taxonomy, which is synthesized from several different hierarchies present in the literature. References are further grouped according to ICT service (e.g., e-commerce, telework) and summarized by scope, method, and quantitative and qualitative findings. **Review results.** Uncertainty persists in understanding the net energy effects of ICT. Results of indirect energy effect studies are highly sensitive to scoping decisions and assumptions made by the analyst. Uncertainty increases as the impact scope broadens, due to complex and interconnected effects. However, there is general agreement that ICT has large energy savings potential, but that the realization of this potential is highly dependent on deployment details and user behavior. **Discussion.** While the overall net effect of ICT is likely to remain unknown, this review suggests several guidelines for improving research quality in this area, including increased data collection, enhancing traditional modeling studies with sensitivity analysis, greater care in scoping, less confidence in characterizing aggregate impacts, more effort on understanding user behavior, and more contextual integration across the different levels of the effect taxonomy.

**1. Background**

The rapid growth and adoption of information and communication technology (ICT) such as computers, mobile devices, sensors, and networks can profoundly affect how—and how much—energy is used by society. On the one hand, ICT itself consumes energy, which is a *direct energy effect*. On the other hand, ICT enables us to make existing products and services more efficient as well as create ‘e-substitutes’ for physical products, which are *indirect energy effects*. Other, higher-order indirect energy effects occur when the introduction of ICT causes a change in consumption or production elsewhere in the economy.

The ‘digital revolution’ has coincided with an increasing focus on environmental sustainability, and potential synergies between ICT deployment and efforts to mitigate environmental and climate impacts are popular topics of discussion among researchers, policymakers, and industry stakeholders. These synergies might be either positive or negative—ICT indirect effects could either offset or amplify direct energy effects—and characterizing this overall balance has been of great interest, as evidenced by the emergence of an *ICT for Sustainability* research community (Hilty and Aebischer 2015), two special issues in the *Journal of Industrial Ecology* (Rejeski 2002, Masanet and Matthews 2010) and one in *Resources, Conservation, and*

*Recycling* (Kooimey 2002), an OECD effort to link statistical indicators between the ICT and environment research fields (Roberts 2009), increasing work in ‘green computing’ from the computer science literature (e.g., Auweter *et al* 2012), and a variety of other reports (e.g., Erdmann *et al* 2004, Jørgensen *et al* 2006). Motivations behind ICT energy research are diverse: some seek a new carbon-abatement lever in the face of dire climate projections, while others hope to highlight the benefits of an industry often in the spotlight for its energy consumption.

There is, in fact, abundant cheerleading for ICT’s ability to aid the cause of energy efficiency, even as there are rumblings about potential false promise, with the general media frequently publishing articles reflecting either one or the other viewpoint. Indeed, uncertainty regarding the magnitude and even the sign of ICT energy effects persists. Generally in the positive synergy camp are Romm *et al* (1999), the American Council for an Energy-Efficient Economy (e.g., Elliott *et al* 2012, Laitner and Ehrhardt-Martinez 2008) and the Center for Climate and Energy Solutions (e.g., Seidel and Ye 2012), who anticipate ICT-enabled energy efficiency gains across broad sectors of the economy. The ‘SMARTer 2030’ report (Accenture 2015) estimates a greenhouse gas (GHG) abatement potential of 20% by 2030 due to ICT deployment<sup>3</sup>. More cautionary is Rattle (2010), who, in chapters 5 and 6 of *Computing Our Way to Paradise?*, argues that higher-order effects are likely to swamp these sorts of energy savings projections.

In contrast, Berkhout and Hertin (2004) argue for moving ‘beyond the dichotomy between pessimism and optimism’ to recognize that the relationship between ICT and energy impacts is ‘complex, interdependent, deeply uncertain and scale-dependent.’ Other literature reviews point to an ambiguous net impact or acknowledge that this complexity and uncertainty confound attempts to verify a general belief that the net energy savings of ICT will be positive (Yi and Thomas 2007, Erdmann and Hilty 2010, Kooimey *et al* 2013, Börjesson Rivera *et al* 2014).

Our paper builds on this previous work. First, we answer the call made by Börjesson Rivera *et al* (2014) for standardization in the terms used across the literature by synthesizing the various published categorizations of ICT impacts into a common taxonomy (section 2). Second, we review studies of individual ICT services—which constitute the bulk of the literature—providing a quantitative snapshot of the range of anticipated energy effects (section 3). Next, we discuss higher-order energy impacts of ICT deployment, an area with much less solid quantitative treatment in the literature (section 4). We conclude by summarizing the literature and highlighting directions for further research.

<sup>3</sup> Many, but not all, of the GHG emissions abatements result from decreased energy use.

## 2. Taxonomy of ICT energy effects

*Direct energy consumption* refers to energy used during the operation, manufacture, and disposal of ICT equipment. While this definition reflects common usage in the ICT energy literature, we note that it may contrast usage elsewhere—for instance, in economic input-output analysis—where direct energy use may be synonymous with operational energy consumption, and manufacturing and disposal energy are sometimes described as indirect effects (Green and Mathur 2008). Figure 1 shows past estimates and forecasts of ICT operational<sup>4</sup> energy consumption. The variation results from differing scopes (i.e., the equipment types included) and assumptions about equipment penetration, usage, and growth. For context, worldwide ICT direct operational electricity consumption has been estimated to be 655–710 TWh for 2007 and 905 TWh for 2012 (Malmmodin *et al* 2010, Van Heddeghem *et al* 2014). These site electricity estimates are generally on the order of 3%–5% of total electricity consumption for their respective scopes.

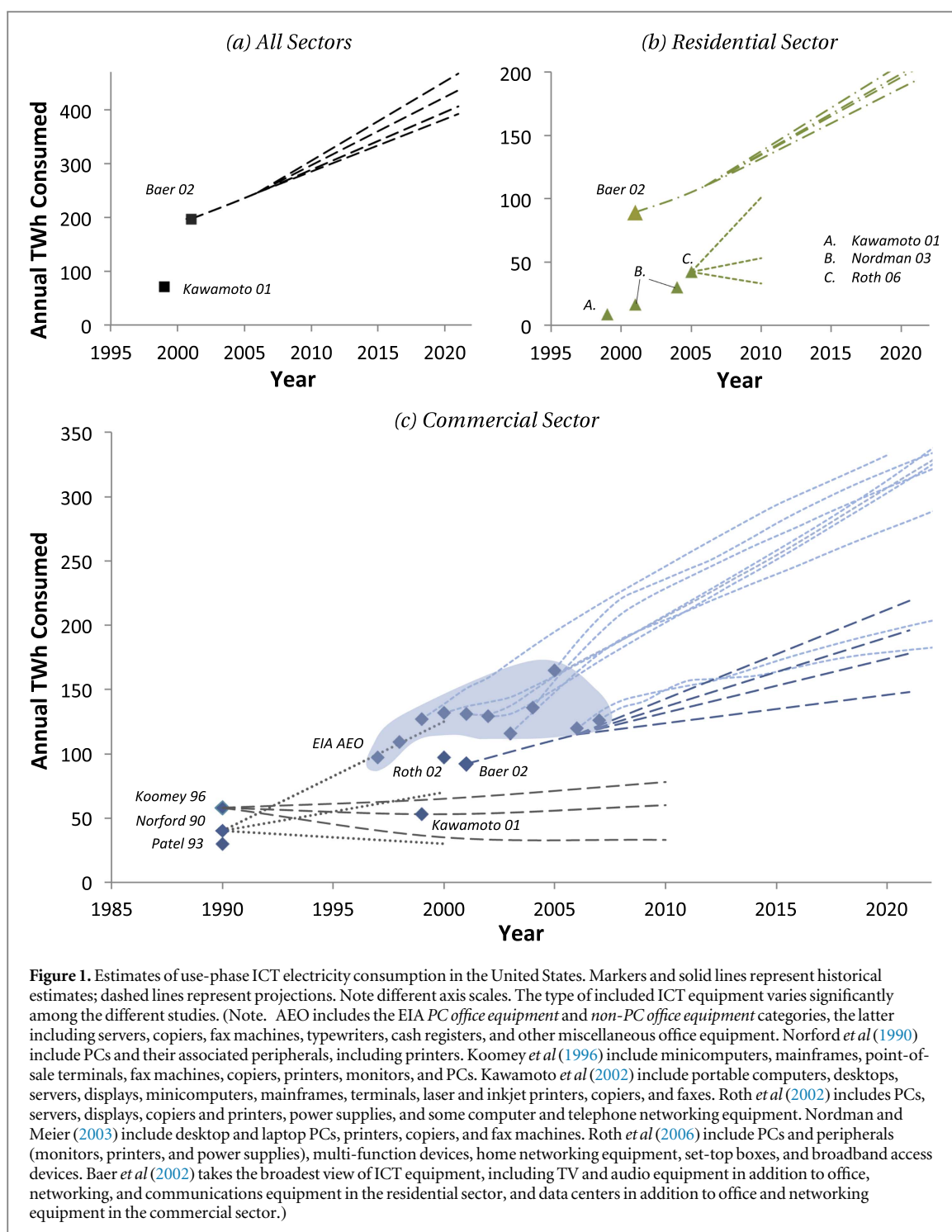
In addition to the broad sector estimates in figure 1, one subset of ICT operational energy use that has received careful study is energy consumption in data centers. After nearly doubling between 2000 and 2005, consumption growth is now nearly flat, having grown only 4% from 2010 to 2014 (Kooimey 2008, 2011, Shehabi *et al* 2016). This reduction is driven by virtualization and consolidation of data center processing in ‘cloud’ facilities and by increasing focus on energy-efficient data center IT infrastructure.

Energy consumption during other parts of the ICT equipment lifecycle—i.e., manufacture and disposal—is often called *embodied energy* and can be a non-trivial component of ICT equipment’s direct energy use. The relative significance of embodied energy to operational energy varies by component and by scope of analysis. Williams (2011) observes that manufacturing energy accounts for well over half of the lifetime energy consumption for laptop computers and memory chips but less than 20% for logic chips<sup>5</sup>. At the data center level, Masanet *et al* (2013) estimate that operational energy dwarfs embodied energy<sup>6</sup>. The difference between laptop computers and data centers stems from the higher utilization rate of servers; to a lesser extent, the additional energy consumption of cooling needed in data center facilities also has an impact. At an even broader level, Raghavan and Ma (2011) estimate that the embodied energy of the entire Internet infrastructure is roughly equivalent to its operational

<sup>4</sup> I.e., not including manufacturing or disposal energy consumption.

<sup>5</sup> See figure 2 in referenced paper and figure 9 in Kooimey *et al* (2013) for a comparison of embodied versus operational energy for different ICT components and devices.

<sup>6</sup> Masanet *et al* (2013) report emissions, rather than energy, but their emissions estimates are derived from an energy model and the U.S. average fuel mix and are thus proportional to energy consumption.



energy consumption over its lifetime, which is partly due to the fact that network cabling has high embodied energy but no operational energy use.

However, direct energy use is likely the simplest and least important ICT energy effect (Allenby and Unger 2001). The indirect energy effects are likely to be of much greater magnitude (Koomey *et al* 2013), owing to the breadth of the various mechanisms by which ICT services alter energy use. Furthermore, the electrical efficiency of computing has consistently doubled every 1.5 years (Koomey *et al* 2011), meaning that each kWh of direct energy use has the potential for

ever-larger associated indirect effects. Table 1 breaks out individual effects, organizes them into a taxonomy of increasing scope (see also Azevedo 2014), and maps them to other terms used in the literature, while figure 2 shows this taxonomy graphically.

First, ICT adoption leads to *efficiency* in and *substitution* for conventional products and services. Efficiency improvement occurs when, for example, smart building technology reduces air conditioning energy consumption by tailoring climate-control to the real-time needs of building occupants. An example of substitution is the replacement of air travel with

**Table 1.** Taxonomy of ICT energy effects. Scope of effect increases from top to bottom. The third column provides an example of each effect type related to the deployment of Global Positioning System (GPS) technology<sup>a</sup>.

Taxonomy described in this paper			Alternate taxonomies			
Effect	Scope	GPS System Example	Hilty	Berkhout & Hertin	Williams	Rattle
Embodied energy	Direct	Energy to produce a GPS system	1 <sup>st</sup> -order	Direct effects	ICT infrastructure and devices	
Operational energy		Energy to operate a GPS system				
Disposal energy		Energy to dispose of a GPS system at end-of-life				
Efficiency	Indirect: Single-service	More efficient traffic flow due to GPS-enhanced routing	2 <sup>nd</sup> -order	Indirect effects	Applications	Optimization
Substitution		Replacement of paper-based maps				Substitution
Direct rebound	Indirect: Complementary services	More travel due to lower cost of traffic congestion	3 <sup>rd</sup> -order	Structural & behavioral effects	Effects on economic growth and consumption patterns	Induction
Indirect rebound		Energy consumed during time saved by more efficient travel				Supplementation
Economy-wide rebound (Structural change)		Indirect: Economy-wide				GPS enables autonomous vehicles and causes growth of intelligent transportation system manufacturing
Systemic Transformation	Indirect: Society-wide	Autonomous vehicles alter patterns in where people choose to live and work			Systemic effects on technology convergence & society	

<sup>a</sup> Alternate taxonomies are from Hilty *et al* (2006), Berkhout and Hertin (2004), Williams (2011), and Rattle (2010).

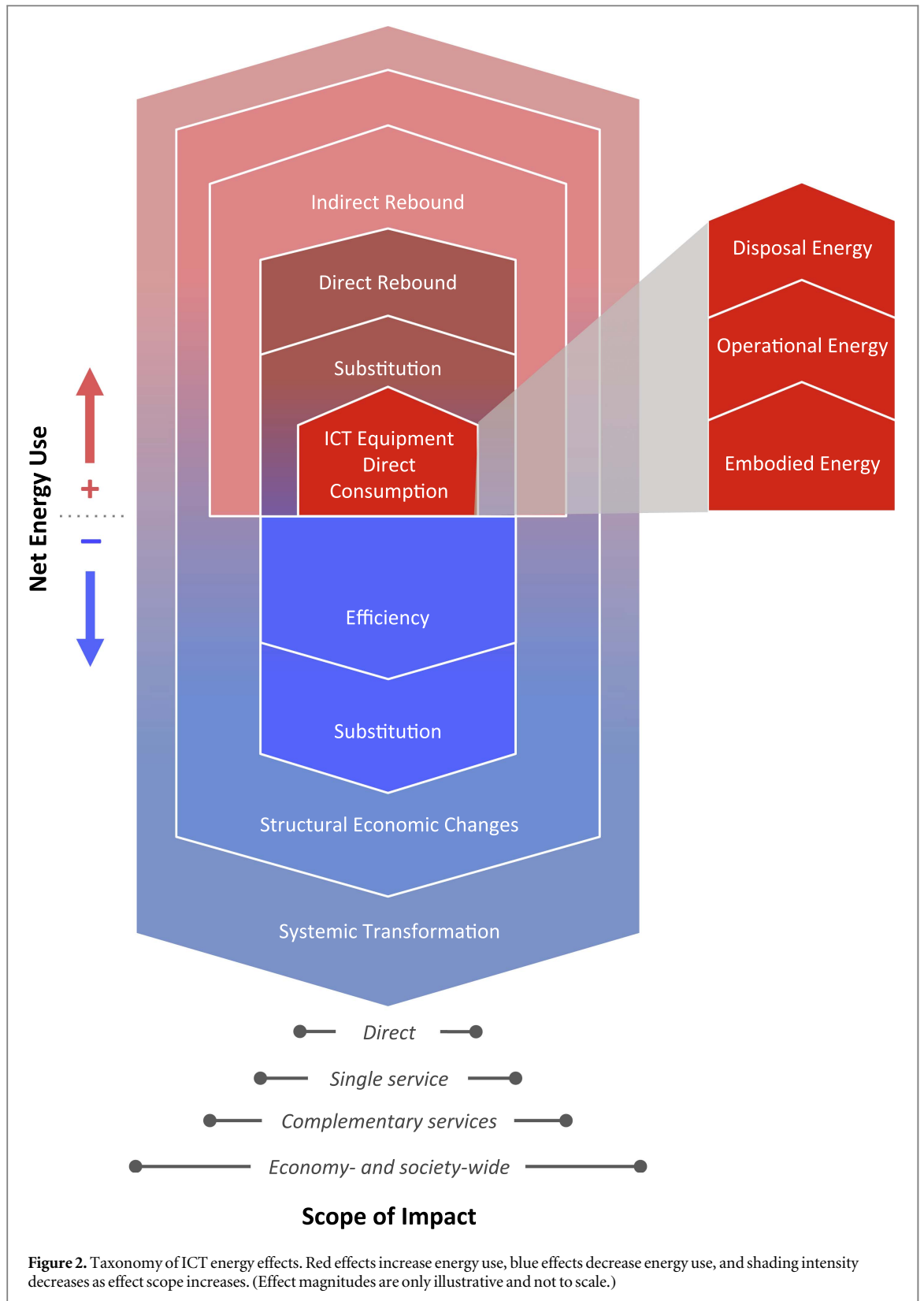
teleconferencing. There is no guarantee, however, that the substituted ICT service will be less energy intensive than the conventional service it replaces, and even evaluation of simple cases is not always straightforward. An electronic billboard, for instance, may use more energy than a static, printed billboard, since it uses electricity to display the image (Young 2013). This energy consumption can be compared to the energy required to print the same image. However, the electronic version also avoids energy associated with changing the billboard—i.e., sending a worker out to make the switch. An additional complication is that the services are not strict functional equivalents: the electronic version allows animated displays, which may lead to higher success rates and profits—perhaps making energy consumption per successful ‘target’ lower even as per-billboard consumption is higher.

Any energy reduction achieved through efficiency or substitution can be plagued by *rebound effects*, in which expected gains are offset by induced additional consumption. Borenstein (2013), Azevedo (2014), and Gillingham *et al* (2015) provide comprehensive introductions to rebound effect types. Rebound is typically broken into direct rebound, indirect rebound, and

economy-wide effects. *Direct rebound* effects are energy service own-price-elasticity effects: as prices fall (due to improvements in efficiency or productivity), substitution and income effects increase consumption. For an ICT example, if an e-book is less costly than a conventional book, then consumers might purchase more books. Direct rebound is constrained by saturation: there is a limit to the number of books people will buy, no matter how cheap they become. Alternatively, these savings could be spent on other goods and services, which are *indirect rebound* effects. Indirect rebound effects result from cross-price elasticity of demand for other products and services due to increased real consumer income<sup>7</sup>.

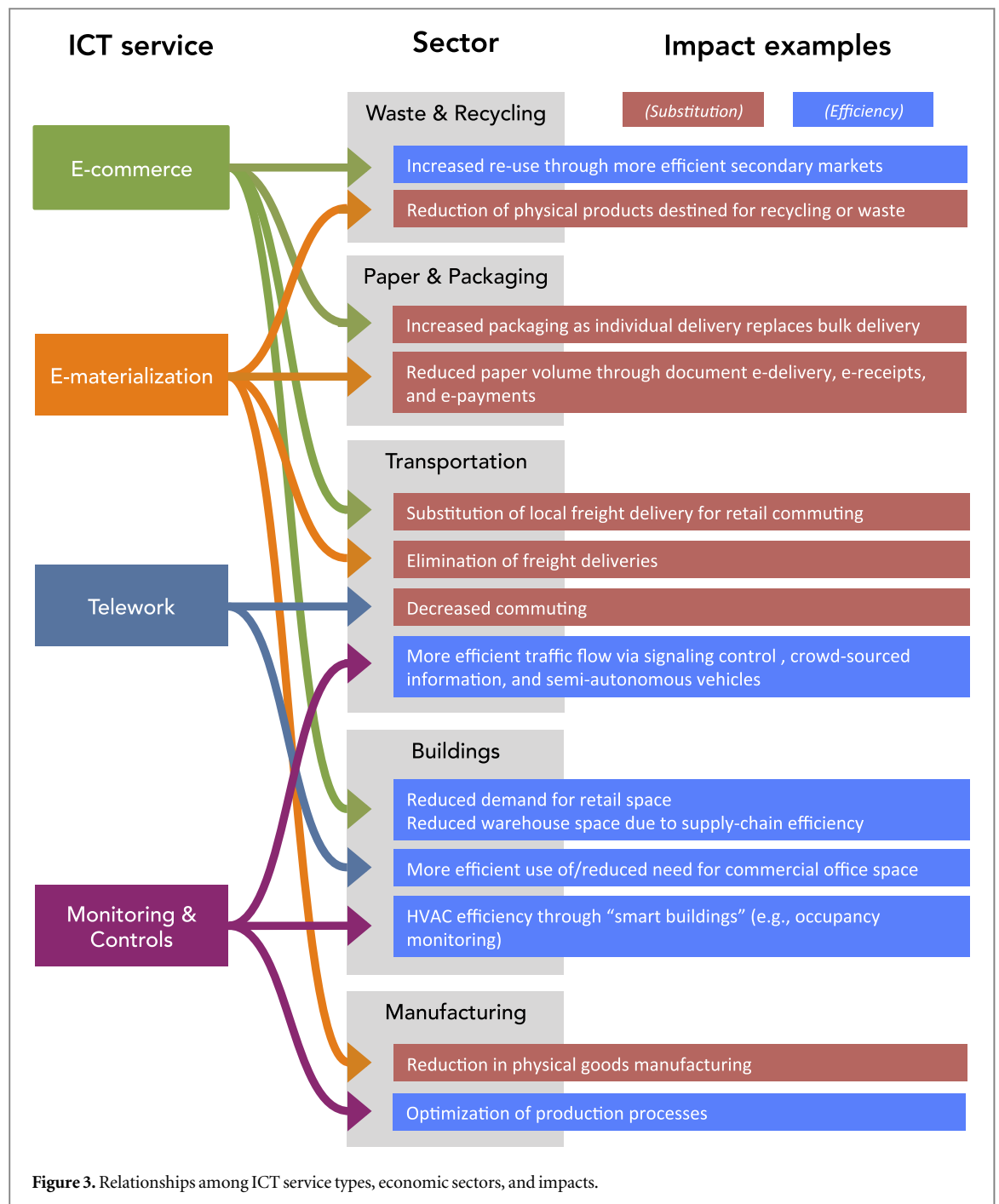
*Economy-wide* effects occur when the ICT introduction causes macroeconomic adjustments across economic sectors. That is, the ICT industry can promote or inhibit growth in other sectors of the economy, inducing structural changes that have energy use implications of their own. For example, e-commerce

<sup>7</sup> Note that direct and indirect *rebound* do not correspond to the distinction between direct and indirect *energy effects* used in this and other papers; all rebound effects are indirect energy effects. See first two columns of table 1.



is having broad effects on the logistics industry (Hesse 2002), including growth in urban freight vehicle sales and changing patterns in distribution center floor space (Harrington 2015), increased trucking and adoption of new pricing strategies by freight carriers (Shorr Packaging Corp 2015), and use of more specialized packaging and a broader range of box sizes (Mohan et al 2014).

Finally, *transformational effects* refer to the altering of human preferences and economic and social institutions caused in part by the development of ICT (Greening et al 2000, Plepys 2002). Historical examples include the advent of the telephone and automobile, which heavily altered where and how people lived and worked. We might conceive of a similar transformation (one of many possible ICT-enhanced



futures) in which the fundamental constraints on where people live and work continue to loosen: e-commerce and home delivery make proximity to traditional retail outlets less important, seamless telework results in less commuting, and driverless vehicles allow for more productive use of the commuting time.

As noted by Börjesson Rivera *et al* (2014), the existing literature uses several different sets of terms for this hierarchy of effects. The right half of table 1 maps the most commonly used categorizations to the taxonomy used in this paper.

ICT energy effects can be broadly grouped into first-order impacts due to direct consumption,

second-order effects resulting from process changes, such as efficiency, and third-order effects due behavioral and economic changes (Berkhout and Hertin 2004, Hilty *et al* 2006). Williams (2011) adds a fourth level, essentially breaking third-order effects into rebound effects and broader systemic change.

Rattle (2010) categorizes indirect effects into five categories: optimization, substitution, induction, supplementation, and creation. The first two map directly to efficiency and substitution, while induction, supplementation, and creation align loosely with (or, perhaps more strictly, are special cases of) direct, indirect, and economy-wide rebound effects, respectively.



### 3. Indirect single-service effects

Though it is important to take a systemic, holistic view of ICT energy consumption (Allenby and Unger 2001), tractability concerns dictate that researchers attempting detailed quantitative estimates of energy impacts look at specific applications separately. These granular studies, which often use a life-cycle assessment (LCA) approach, can identify the key factors driving energy use and highlight opportunities for reduction in individual processes. However, they do so at the expense of scope, typically addressing only substitution and efficiency effects. Bull and Kozak (2014) discuss the challenges of LCA specific to the ICT domain. For a sample evaluation of ICT-related LCA studies, see Schmidt and Pizzol (2014).

In this section, we review literature estimating energy consumption impacts attributable to the introduction of four ICT services—e-commerce, e-materialization, telework, and monitoring and controls—across the building, transport, manufacturing, packaging, and waste sectors. (See figure 3.) These four services were selected due to their broad impacts and coverage in the literature, but there are other energy-relevant ICT services, such as computer-aided design, which has expanded beyond drafting software to cover process planning, engineering, and quality control (European Commission Directorate-General for the Information Society and Media 2009). Furthermore, increased computing power has enabled system designers to solve more complex problems using optimization, modeling, and simulation techniques and thus more comprehensively cover the ‘solution space,’ yielding products with greater function, lower cost, less embodied energy, and increased use-phase efficiency (European Commission Directorate-General for the Information Society and Media 2009).

The aerospace industry provides a particularly clear example of the evolution of engineering design from manual methods to reliance on computational modeling and simulation. ICT has transformed all levels of aircraft design. First, the ability to solve complex design optimization problems supports development and use of new materials as well as enhanced design of aircraft components, such as airplane wings (Obayashi 1998, Keane and Nair 2005). In particular, multidisciplinary design optimization allows joint consideration of structures and aerodynamics in the design process (Sobieszcanski-Sobieski and Haftka 1997, Kennedy *et al* 2014). These efforts lead to both reduced material use in production as well as increased efficiency in flight. Second, ICT has made integration throughout the systems engineering process more efficient (Hobday *et al* 2005). Commoditization and outsourcing of components can increase the energy efficiency of production, although potential increases in the transport involved in a global supply chain may increase energy use (Siikavirta *et al* 2002). Finally, ICT has replaced wind-tunnel testing and even

some flight testing, decreasing the manufacturing and embodied energy of physical prototypes and reducing fuel use (Airbus 2016).

Similar effects could doubtless be found in other manufacturing or material-intensive industries, including consumer goods, automobiles, and construction (Basbagill *et al* 2013, European Commission Directorate-General for the Information Society and Media 2009).

#### 3.1. E-commerce

E-commerce, the buying and selling of goods and services using electronic networks, includes familiar business-to-customer (B2C) Internet outlets like eBay and Amazon, but it also includes back-end business-to-business (B2B) functions such as services that enable just-in-time inventory management. Though focused on GHG emissions, table 1 in Siikavirta *et al* (2002) outlines different means by which e-commerce affects energy consumption throughout the supply chain.

A review of e-commerce studies, summarized in table 2, shows mixed results. On balance, most studies find a positive potential energy savings, though this conclusion is not universal, and results are highly sensitive to assumptions (Hesse 2002). The series of studies examining book retail is instructive on this point, since these analyses were completed by the same research community<sup>8</sup> using similar methods with similar (though not identical) system boundaries.

In the transport sector, a switch from brick-and-mortar retail to electronic retail changes how products are delivered to the consumer, with personal travel and bulk freight delivery to stores replaced by home delivery. E-commerce may make ‘last mile’ transport more efficient due to optimization of shipping routes by delivery companies, but it can increase energy use by substituting air for ground freight. It also lowers package density, since traditional stores receive multiple items in each box, while home delivery entails fewer items per box, leading to higher embodied packaging energy (Williams and Tagami 2002). Additionally, the long reach of e-commerce gives retailers the capacity to serve geographically larger markets, which could increase cost efficiency at the expense of energy efficiency. Most e-commerce studies focus on these transport and packaging effects. Among those in table 2, key sensitivities driving results are population density (related to last-mile delivery), freight mode, product return rate, trip allocation (proportion of multipurpose trips), and packaging type.

As an example of how system assumptions affect results, we highlight the *negative* 500% net savings (that is, a 5x increase in energy consumption) from Matthews *et al* (2002). The primary driver of results in this study is transport distance, which is a function of population density. This particular estimate reflects

<sup>8</sup> With the exception of Romm *et al* (1999) and Kim *et al* (2008).

**Table 2.** Summary of e-commerce studies. Net savings is energy savings of the ICT service vs. the conventional baseline. Where point estimates rather than ranges are provided, the value is placed in the *High* column, though it may be an average. *Qualitative conclusion* is an assessment of where the bulk of the evidence in the study falls ▲/▼ indicate positive and negative ICT energy savings, respectively; ◇/▼ represents a balanced finding (i.e., savings offset or are balanced between positive and negative results depending on parameters). Assignment of these icons is based on the original authors' results discussion in each paper, augmented by our interpretation. *Method* abbreviations are: LCA = Life Cycle Assessment, EIO = Economic Input-Output, MC = Monte Carlo, SD = System Dynamics.

Study	Service	Region	Effects						Sectors					Metrics		Net Savings		Method		
			Direct	Efficiency	Substitution	Direct rebound	Indirect rebound	Economy-wide rebound	Transformation	Transport	Buildings	Manufacturing	Packaging	Waste	Air emissions	Energy	Low		High	Qualitative conclusion
Siikavirta <i>et al</i> (2002)	Food retail	Finland		■											■		18%	87%	▲	Simulation
Romm <i>et al</i> (1999)	Book retail	US	■	■														93%	▲	Calculation
Matthews <i>et al</i> (2001a)	Book retail	US		■											■	■		16%	▲	LCA (EIO)
Matthews <i>et al</i> (2001b)	Book retail	US		■											■	■	-7%	9%	◇	LCA (EIO)
Scott Matthews <i>et al</i> (2002)	Book retail	US		■											■		-32%	18%	◇	LCA (EIO)
Matthews <i>et al</i> (2002)	Book retail	Japan	■	■											■		-500%	28%	◇	LCA (Process)
Williams and Tagami (2002)	Book retail	Japan	■	■											■		-51%	44% <sup>a</sup>	▼	LCA (Process)
Kim <i>et al</i> (2008)	Book retail	US		■											■	■		51%	▲	Simulation
Sivaraman <i>et al</i> (2007)	DVD rental	US	■	■											■	■	23%	50%	▲	LCA (Hybrid)
Shehabi <i>et al</i> (2014)	DVD rental	US	■	■											■	■		35%	▲	LCA (Hybrid)
Weber <i>et al</i> (2010)	Music retail	US	■	■											■	■	-97% <sup>b</sup>	71%	◇	LCA (Process) w/ MC
Erdmann <i>et al</i> (2004)	"Tele-shopping"	EU-15	■	■		■									■	■		1%	◇	Simulation w/ SD
Weber <i>et al</i> (2008)	Consumer retail	US	■	■											■	■	<0 <sup>c</sup>	36%	▲	LCA (Process) w/ MC
Edwards <i>et al</i> (2010)	Consumer retail	UK		■											■			n/a <sup>b</sup>	▲	Simulation

<sup>a</sup> Derived from seeking the minimum value for e-retail. Main scenario results were all negative.

<sup>b</sup> This study does not report the scenario differences from the Monte Carlo runs, so the bounds shown here are the maximum possible positive and negative savings based on the confidence intervals reported in the study. It is likely, however, that there is correlation among the scenarios and the range is not this large. Median savings estimates are reported as 20%-30%.

<sup>c</sup> The study does not report results in enough detail to determine the full range of values, but cites a 20% probability that the traditional channel has lower energy use than the e-commerce channel.

<sup>d</sup> Last mile transport only, so results not comparable to other studies in this table. Generally, e-commerce had much lower per-item energy use in this study.

**Table 3.** Summary of e-materialization studies. See table 2 caption for explanation of symbols and abbreviations.

Study	Service	Region	Effects					Sectors					Metrics		Net Savings			Method	
			Direct	Efficiency	Substitution	Direct rebound	Indirect rebound	Economy-wide rebound	Transformation	Transport	Buildings	Manufacturing	Packaging	Waste	Air emissions	Energy	Low		High
Seetharam <i>et al</i> (2010)	Video delivery	US	■	■	■				■	■	■	■	■	■	■	21%	70%	▲	LCA (Process)
Shehabi <i>et al</i> (2014)	Video delivery	US	■	■	■				■	■	■	■	■	■		-1%		◇	LCA (Process)
Weber <i>et al</i> (2010)	Music delivery	US	■	■	■				■	■	■	■	■	■		-30%	90%	▲	LCA (Process) w/MC
Mayers <i>et al</i> (2014)	Game delivery	UK	■	■	■				■	■	■	■	■	■		-32%	-5%	▼	LCA (Process)
Moberg <i>et al</i> (2010)	News media	EU	■	■	■				■	■	■		■	■		60%		▲	LCA (Process)
Erdmann <i>et al</i> (2004)	Virtual goods	EU-15	■	■	■	■			■	■	■	■	■	■		0%	11%	▲	Scenarios w/ SD
Gard and Keoleian (2002)	Library journals	US	■	■	■				■	■	■	■	■	■		-64%	69%	◇	LCA (Process)
Zurkirch and Reichart (2000)	Mail delivery	Switzerland	■	■	■				■	■	■	■	■	■		-80%	0%	▼	LCA (Process)
Zurkirch and Reichart (2000)	Phone book	Switzerland	■	■	■				■		■	■	■	■		0%	93%	▲	LCA (Process)

<sup>a</sup> Zurkirch and Reichart (2000) use Ecopoints, an LCA metric that is a weighted combination of a suite of environmental effects.

**Table 4.** Summary of telework studies. See table 2 caption for explanation of symbols and abbreviations.

Study	Region	Effects						Sectors		Metrics		Net Savings	Method	
		Direct	Efficiency	Substitution	Direct rebound	Indirect rebound	Economy-wide rebound	Transformation	Transport	Buildings	Air emissions			Energy
Romm <i>et al</i> (1999)	US	■	■	■					■		■	Savings = 1.5% of residential & commercial electricity	▲	Calculation
Aebischer and Huser (2000)	Germany			■	■			■			■	24% reduction in vehicle travel	▲	Empirical survey
Aebischer and Huser (2000)	Switzer-land	■	■	■					■		■	-115 to 282 kWh/y/telecommuter, saved depending on frequency	◇	Case study
Baer <i>et al</i> (2002)	US	■	■	■					■		■	32 TWh electricity saved in 2001; 48-216 TWh by 2021 <sup>a</sup>	◇	Scenario analysis
Robert <i>et al</i> (2002)	US			■	■			■			■	5.1 million gals. gasoline saved over 68K employees for 1 year	▲	Empirical survey + calculation
Hopkinson and James (2003)	UK	■	■	■	■			■	■		■	0-50% commercial space saved; Commute decrease; business travel inconclusive	▲	Case study
Erdmann <i>et al</i> (2004)	EU-15	■	■	■	■			■	■	■	■	Telework & virtual meetings energy savings 1%	▲	Scenarios w/ SD simulation
Scott Matthews <i>et al</i> (2005)	US, Japan	■	■	■				■	■		■	0.01-0.4% net national energy savings	◇	Calculation
Roth <i>et al</i> (2008)	US	■	■	■	■			■	■	■	■	7-80 MJ annual savings per telecommuter	▲	LCA (hybrid)
Kitou and Horvath (2008)	US	■	■	■	■			■	■	■	■	Avg direct energy cost savings of 18%	▲	Simulation w/ MC

<sup>a</sup> The study does not break out the proportion of ICT direct energy use allocated to solely telework applications, so the net effect is ambiguous. These are efficiency and substitution savings due to telework, without deducting increases in direct energy use. Overall ICT impacts in this study (for teleworking and other services) vary based on scenario.

**Table 5.** Summary of monitoring and controls studies. See table 2 caption for explanation of symbols and abbreviations.

Study	Service	Region	Effects					Sectors			Metrics		Net Savings			Method	
			Direct	Efficiency	Substitution	Direct Rebound	Indirect Rebound	Economy-wide rebound	Transformation	Transport	Buildings	Manufacturing	Air Emissions	Energy	Low		High
Ganti <i>et al</i> (2010)	Vehicle routing	Urbana, IL		■								■		6%	13%	▲	Experiment + calculation Simulation
Ericsson <i>et al</i> (2006)	Vehicle routing	Lund, Sweden		■								■			8%	▲	
Gonder (2008)	Vehicle drivetrain	n/a		■								■		2%	4%	▲	Simulation
Huang <i>et al</i> (2008)	Vehicle drivetrain	US		■								■		1%	3%	▲	Simulation
Brown <i>et al</i> (2014)	Vehicle automation	US		■	■	■				■				-173%	95%	◇	Kaya Identity estimation
Erdmann <i>et al</i> (2004)	Intelligent Transport	EU-15		■	■	■						■	■	■	-3%	▼	Scenarios w/ SD simulation
Erdmann <i>et al</i> (2004)	BEMS	EU-15		■	■	■				■		■	■	4%	6%	▲	Scenarios w/ SD simulation
Erdmann <i>et al</i> (2004)	Supply chain/process mgmt	EU-15		■	■	■						■	■	0%	2%	▲	Scenarios w/ SD simulation
Meyers <i>et al</i> (2010)	Residential energy	US		■									■	33%	62%	▲	Calculation
Mattern <i>et al</i> (2010)	Smart meters	n/a		■									■	2%	4%	▲	Meta-analysis
Davis <i>et al</i> (2013)	Smart meters	n/a		■									■	1%	3%	▲	Meta-analysis
Doukas <i>et al</i> (2007)	BEMS	Greece		■									■		10%	▲	Case study
Yuvraj <i>et al</i> (2010)	HVAC	San Diego, CA		■									■	10%	15%	▲	Pilot deployment
Yuvraj <i>et al</i> (2011)	HVAC	San Diego, CA		■									■	8%	16%	▲	Pilot deployment
Henderson and Waitner (2013)	BEMS	DC		■									■	7%	23%	▲	Case study
Rogers <i>et al</i> (2013)	BMS & Ind. Process	US		■									■	5%	75%	▲	Literature review
Masanet (2010)	Industrial controls	US		■									■		■	▲	Empirical data analysis

<sup>a</sup> Per small or medium sized manufacturer.

the high-density Tokyo scenario, in which customers live within half a kilometer of a bookstore and are thus likely to walk or ride a bicycle when shopping. In the e-commerce case, courier trucks are used for delivery. As a result, e-commerce requires ten times as much total transport energy compared to traditional retail. The Tokyo result is, of course, an outlier when compared to the U.S. scenarios and the other Japan scenarios in the same paper. However, it represents a valid model of the system and is thus a particularly clear—if extreme—example of how results are driven by the assumed characteristics of the system.

In the buildings sector, Romm *et al* (1999) estimate a potential for 53 billion kWh in operational and construction energy reductions in retail, warehouse, and office space due to B2C e-commerce from 1997 to 2007. Mechanisms for achieving this reduction primarily include shrinkage, consolidation, or replacement of brick-and-mortar retail outlets but also, e.g., more efficient use of hotel rooms through Internet bookings and auctions. In the B2B segment, they estimate supply-chain efficiency will reduce inventories by 25%–35%, leading to elimination of 1 billion square feet of warehouse space from 1995 levels. Matthews and Hendrickson (2002) find a net reduction in logistics energy use through the centralization of inventory, much of which is likely enabled by ICT.

Through greater coupling between consumers and producers, e-commerce may reduce overproduction. E-commerce also leads to more efficient secondary markets. Through sites like eBay, Craigslist, and Freecycle, goods that were either destined for the landfill or sitting unused in storage are put to use, eliminating waste, avoiding some manufacturing, and reducing storage requirements. At the same time, these secondary markets can induce energy consumption, specifically in transport (Rattle 2010, p 71).

### 3.2. E-materialization

In addition to altering delivery channels for physical products, Internet-based retail allows for the substitution of some products with electronic equivalents, i.e., *e-materialization*, *virtualization*, or *digitization*. Consumer examples include electronic versus print newspapers, e-books versus bound books, and streaming audio and video versus physical media such as CDs and DVDs. In business operations, e-materialization can lead to reduction in paper communications and records. The theoretical energy impacts of e-materialization across the transport, manufacturing, packaging, and waste sectors are straightforward: elimination of physical products eliminates the need to manufacture, package, transport, and dispose of those products. Offsetting these gains is the direct energy consumption of the ICT used to deliver the virtual substitutes.

Results from e-materialization studies are summarized in table 3. Online media streaming (versus shipping CDs/DVDs by mail) is a popular e-materialization use

case, and comparison of results for this service highlights the variability common to LCA studies, even when the dynamics of the service are well known and fairly straightforward. Additionally, Bull and Kozak (2014) argue that the inherent complexity and interconnectedness of ICT systems weaken LCA's ability to provide meaningful comparative results.

Key assumptions driving this variability include energy consumption by the network and end-user devices, media file size, and media re-use; the electronic delivery option becomes less competitive as network energy, file size, and frequency of re-use increase.

As we did above, we highlight an example study with wide-ranging results. Gard and Keoleian (2002) investigate six different scenarios comparing electronic and paper library journals, finding effects ranging from a 643% increase to a 69% savings in energy use. The large increase in energy use for digital journals occurs in a scenario in which each article is read a thousand times (spread across 100 different libraries). Multiple readings skew the results in favor of paper journals, since each read beyond the first is essentially free, whereas each read of an electronic copy incurs ICT energy consumption. However, subsequent scenarios added printing and copying of articles and personal transport to and from the library, which reduced the advantage of the traditional publication. The 69% savings occurred when readers drive to the library to read the paper copy but can access the digital copy from home. Clearly, some of the scenarios are less reflective than others of how the journal publication system exists today; yet, fifteen years ago, these conclusions identified factors which could inform the evolution of this system. For instance, providing library patrons access to journal articles from home not only increases convenience, but can flip the net savings effect for this service from negative to positive—in some sense, rendering concerns about direct ICT consumption of this service moot.

### 3.3. Telework

Telework refers to the use of virtual collaboration and teleconferencing software, networks, and electronic file systems to enable employees to work remotely from an alternate location. Telework can potentially reduce energy used in personal transport as employees avoid commuting by working from home and as face-to-face meetings are replaced by teleconferencing. In the buildings sector, home offices might increase residential energy consumption while decreasing commercial consumption through higher utilization of existing offices (through space-sharing) and avoided new construction.

Table 4 summarizes estimates of these energy effects. Varying greatly in method and scope, the telework studies do not lend themselves to comparing quantitative results, so we report findings specific to each study rather than savings percentages. Many of the studies are optimistic about energy savings, while

some are more guarded—either finding savings to be modest in the overall energy picture or finding that savings can be positive or negative depending on parameters. The most important driver of savings is frequency of teleworking; infrequent telecommuters may cause a net increase in energy use due to redundancy in home and central offices, whereas regular telecommuters allow for larger reductions in commercial consumption.

Importantly, while a few of these studies do incorporate some aspects of direct rebound—usually by acknowledging that personal errands usually combined with the work commute must be undertaken separately—broader rebound considerations are not included, and thus these results may be optimistic. Conversely, Aebischer and Huser (2000) note a reason for net benefits being *underestimated*: the definition of teleworking in most studies excludes those workers for whom ICT enables self-employment.

### 3.4. Monitoring and controls

ICT has increased the frequency and precision with which we are able to monitor and control energy-consuming processes, enabling a higher degree of process optimization. Table 5 summarizes a wide range of studies across the transport, buildings, and manufacturing sectors. While the energy savings are positive in most of these studies—being, as they are, focused on efficiency—most do not account for the direct ICT energy use, and so the net savings will be less than reported.

ICT deployment in the transport sector is broad and multiscale. Focusing our discussion on road vehicles, components like the fuel injectors and throttle are monitored and controlled in real time to optimize fuel economy and provide fault-detection alerts; at the system level, networked vehicles and road infrastructure sensors monitor traffic, enable rerouting, and inform variable speed limits. Route optimization studies find fuel savings on the order of 10%, with additional savings of 1%–4% achievable through utilization of route information in adaptive drivetrain control. Other ICT-enablers include weigh-in-motion sensors (reducing truck diesel consumption), car- and ride-sharing (reducing urban car ownership), and real-time bus tracking (increasing appeal or convenience of public transport). Brown *et al* (2014) provide a comprehensive review of various vehicle automation technologies and summarize literature estimates of eleven energy effects they might yield. Langer and Vaidyanathan (2014) describe the ways in which ICT-enabled ‘smart-freight’ can reduce energy use in cargo transport.

Turning to buildings, Meyers *et al* (2010) estimate that average U.S. residences waste around 40% of their primary<sup>9</sup> energy consumption due to inefficiency.

<sup>9</sup> The authors conduct their analysis on primary energy—i.e., ‘inclusive of energy use upstream in the fuel cycle.’

Much of this waste is addressable by ICT interventions. Smart meter technology coupled with displays can provide real-time load information, which should cause a rational (in the classical economic sense) customer to reduce consumption. However, many studies find underwhelming savings from smart metering (Mattern *et al* 2010), and such studies may be biased (Davis *et al* 2013) or confounded by the Hawthorne effect, in which participants alter their behavior simply because they are aware that the study is taking place (Schwartz *et al* 2013).

Building energy management systems (BEMS), including technology like programmable thermostats and occupancy sensors, can reduce the need for human hands (and minds) to make routine energy-saving interventions. BEMS match heating, ventilating, and air conditioning (HVAC) operation to required load and analyze consumption patterns to detect faults. Empirical studies of BEMS have found energy savings of 7%–23%. Rogers *et al* (2013) estimate reductions of between \$37 and \$85 billion in annual energy costs by ‘intelligent efficiency’ technologies in the commercial and manufacturing sectors by the year 2035<sup>10</sup>. Aebischer and Huser (2000) express some concern over both rebound and direct consumption, positing that those installing advanced lighting control technology may be more likely to also wire more lights and noting that standby consumption for such systems is also higher. The advent of low-power sensors and controllers (Kooimey *et al* 2013) may mitigate this last concern.

In manufacturing, industrial control systems increase efficiency, fault-detection, and productivity, reducing per-unit energy consumption and wastage (Baer *et al* 2002, Berkhout and Hertin 2004). The vision for achieving this potential energy savings through *smart manufacturing* is laid out in the DOE’s 2015 Quadrennial Technology Review (U.S. Department of Energy 2015) and a European Commission report (European Commission Directorate-General for the Information Society and Media 2009). Actual savings results are hard to tease out, as modern manufacturing processes are already heavily integrated with ICT, with much of the publicly-available insight coming from DOE case studies (Masanet 2010, U.S. Department of Energy 2015). Nonetheless, ICT is a key enabler in energy-efficient manufacturing (Bunse *et al* 2011, Duflou *et al* 2012), and industry stakeholders are emphasizing ICT-enabled efficiency over the next decade (Smart Manufacturing Leadership Coalition 2011, Davis *et al* 2012).

ICT monitoring and control has also proved beneficial in the power sector, enabling more aggressive demand-side management (DSM); however, many DSM programs simply shift use to reduce peak loads rather than avoid the energy use overall (Palensky and

<sup>10</sup> See table 4 in the reference for a list of savings ranges for specific energy efficiency technologies in the commercial sector.

Dietrich 2011). If, however, such load shifting ultimately avoids the construction of power plants or deployment of diesel generators, then the embodied energy of that infrastructure is saved.

#### 4. Indirect complementary, economy-wide, and systemic effects

Service-specific studies like those discussed above can highlight individual pathways for ICT to alter energy consumption, but they rarely address the higher-order effects beyond efficiency and substitution. These rebound and systemic effects are crucial to an integrated picture of whether—or under which conditions—ICT services lead to in a net increase or decrease in system-wide societal energy use. If obtaining conclusive results for a particular service can be complex and uncertain, the macro picture is even more so.

The inability to draw concrete conclusions reflects, in large part, uncertainty regarding the rebound effect for ICT and the inability to disentangle root causes of interrelated economic effects. The dynamics of these effects are hugely dependent upon human behavior, which is laden with uncertainty and confounds efforts to achieve the full technical potential of ICT interventions (Sui and Rejeski 2002).

While rebound could apply to all the services discussed in section 3, telework-related rebound seems to have garnered the most interest in the literature—though a similar discussion surrounding more recent innovations such as ride-sharing services and self-driving cars is also emerging. Matthews *et al* (2005) note that indirect effects from telework are likely much larger than the energy savings from substitution, though the sign could be positive or negative depending on which type of effect dominates. Mokhtarian (2009) notes that travel in the U.S. has increased over the decades during which ICT might have been expected to reduce it and explains a number of ways, ranging from direct rebound through systemic change, in which ICT could stimulate more travel. For instance, ICT might generally decrease the personal cost of travel by making transportation more efficient, cheaper, and productive, and people might respond by commuting farther or taking more vacations. The model in Erdmann *et al* (2004) presumes *a priori* that this phenomenon of actually increasing energy use via large rebound—known as backfire (Sorrell 2009)—will exist for intelligent transportation systems.

However, it should be noted that these studies rely on either theorizing or speculative models rather than empirical results. Indeed, empirical data are hard to come by, with a recent review of ICT rebound studies highlighting a literature heavier on conjecture and discussion than on results (Gossart 2015). A set of case studies conducted in the EU find ICT-related rebound effects from e-commerce and telework ranging from 14% to 73% (Jørgensen *et al* 2006, table 3.10). Yet,

even the careful attempt to base model parameters on empirical findings found in Mokhtarian (1998) demonstrates the uncertainty in assigning parameter values and the high sensitivity of the results to these assumptions.

Another way of estimating rebound effects is to analyze macroeconomic data. Choo *et al* (2005) attempt to assess the aggregate effect of telecommuting on vehicle miles traveled (VMT) empirically using an econometric time-series model on macroeconomic variables. They find that telecommuting had a statistically significant reduction on VMT, but note that the average magnitude of this reduction estimated by the model seems implausibly large. They rely on external evidence to argue that the actual reduction is at the low end of their estimated confidence interval.

This macroeconomic approach can be applied to the overall ICT net effect as well. A batch of studies conducted near the turn of the century note that several years of accelerated decrease in energy intensity of the U.S. economy might in part be attributed to both structural and efficiency changes caused by ICT adoption (Romm *et al* 1999, Laitner *et al* 2001, Laitner 2002). Several economic studies test this sort of hypothesis using regression—i.e., estimating coefficients representing the relationship between energy consumption and explanatory variables like ICT investment, gross domestic product, and population. Laitner and Ehrhardt-Martinez (2008) estimate that each kWh of direct electricity consumption by ICT equipment is responsible for between 6 and 14 kWh in energy savings in the US through efficiency and substitution. Other econometric studies of the U.S. and Japan find that ICT investment has led to decreased energy intensity (Takase and Murota 2004, Ishida 2015), though the latter study suggests that as developed economies complete their energy-reducing transition from ‘smokestack’ industries to ICT industries, ICT will eventually lead to increasing energy use due to the economic income effect.

In a sector-by-sector analysis of energy trends, however, Murtishaw and Schipper (2001) attribute decreasing energy intensity of the U.S. economy from 1988 to 1998 to structural economic changes rather than efficiency gains, and they are unable to conclude that these structural changes resulted from ICT. Cho *et al* (2007), looking at individual sectors of the South Korean economy, find mixed results. Furthermore, Koomey *et al* (2013) note that economic models are generally not good at assessing situations where the structure of the economy is undergoing rapid change and that disentangling and attributing broad effects are difficult.

Other researchers use scenario analysis to consider sets of plausible alternate pathways, rather than trying to model existing dynamics. The hope is that thinking about how possible futures could unfold will make us better prepared to monitor and direct progress. Possible energy benefits highlighted in ICT futures include



information access promoting ‘environmental literacy’ in consumers (Rejeski 1999, Berkhout and Her-  
tin 2004), innovation and agility in businesses (Atkinson and McKay 2007, Koomey 2012), and the easier integration of distributed and renewable gen-  
erators on the electric grid (Baer *et al* 2002).

A 2002 RAND report uses scenarios to assess pos-  
sible ICT impacts on electricity consumption through  
2021 (Baer *et al* 2002). The report includes estimates of  
direct ICT electricity use, efficiency gains resulting  
from BEMS and industrial process controls, and indir-  
ect effects from telework and e-commerce. (Since the  
report focuses on electricity use, these effects generally  
do not include impacts in the transport sector.) The  
ICT-driven electricity effect in the year 2021 ranges  
from *negative* 203 TWh to *positive* 200 TWh across the  
four scenarios.

Hilty *et al* (2006) take a scenario-based approach  
for Europe, though the study looks at other environ-  
mental impacts beyond energy. Unlike the RAND  
study, although there is uncertainty in overall future  
energy consumption (with total energy consumption  
in Year 2020 increasing by 37% in the worst-case sce-  
nario but decreasing by 17% in the best case), the  
expansion of ICT universally decreases overall energy  
consumption versus the counterfactual base case  
where the level of ICT deployment remains constant.  
This decrease was small in aggregate, which the  
authors explain is the result of ICT-related energy sav-  
ings in one area (e.g., process control) being offset by  
ICT-related energy gains in another (e.g., increased  
freight transport). Additionally, their model incorpo-  
rates elasticity values that temper energy savings  
potential with significant rebound effects (Erdmann  
*et al* 2004).

Which future will manifest is hard to guess, with a  
recent review of macroeconomic studies showing  
inconclusive results (Erdmann and Hilty 2010,  
table 1). Rejeski (1999) highlights ways in which ICT-  
enabled changes sweep beyond the effects usually ana-  
lyzed in these studies, changing ‘the notions of prop-  
erty and ownership, the boundaries affecting  
jurisdiction, the dynamics of value creation, and the  
nature of competition.’ The energy impacts of such  
systemic changes are all but impossible to quantify.

## 5. Conclusion

### 5.1. Persistent uncertainty in understanding the net energy effects of ICT

While both conceptual discussion and analytical  
modeling of ICT energy and environmental impacts  
have been occurring for nearly two decades, the jury is  
still out on the net effects of ICT adoption for several  
reasons. First, the complexity and variability of ICT  
deployment schemes make it difficult to isolate a  
standard implementation to analyze. Second, the lack  
of empirical data on how human users interact with

ICT systems hinders the ability to assess actual energy  
effects. Third, the difficulties in disentangling the  
causes of interconnected effects lead to a tendency to  
fall back on theory—and on modeling exercises that  
conform to these theories, particularly where rebound  
is concerned. Finally, as the impact scope increases up  
the effect taxonomy (table 1), the potential effect’s  
magnitude and uncertainty increase dramatically. The  
emerging theme from service-specific studies suggests  
a consensus that ICT has large energy saving *potential*,  
but that the *realization* of that potential is by no means  
assured. In studies of rebound and systemic effects, the  
uncertainty only increases.

The variation of results in tables 2–5 should drive  
home the conclusion that uncertainty plagues even the  
study of basic efficiency and substitution effects in  
fairly narrow, specific ICT applications. These differ-  
ing results demonstrate a simple truth: it is possible to  
integrate ICT into a system in very inefficient ways—  
the mere addition of ICT to a system is not sufficient  
for net energy savings. The current state of under-  
standing can be summarized with three related state-  
ments: the *technical potential* of ICT net energy savings  
is likely positive; the sign and magnitude of *realized* net  
energy savings are highly sensitive to the specific  
instantiation of ICT and how users interact with it;  
and, finally, the actual net energy effect is unclear and  
difficult to assess, especially when higher-order  
impacts are considered.

### 5.2. Research priorities

Though the overall net effect of ICT is likely to remain  
unknown, our review of the literature suggests several  
guidelines for improving the utility of research in this  
area, described below and summarized in table 6.  
While some of these guidelines should already be  
normal research practice, they are not universally  
employed. Others will no doubt increase the burden  
on researchers and raise the bar for meaningful studies  
in this area; nonetheless, we believe their adoption is  
necessary to move the field towards greater under-  
standing of ICT’s true impact on energy use.

*Collect and make publicly available data on energy  
use for a wide range of ICT technologies, strategies and  
systems.* In a 2009 survey, the majority of experts rated  
the quality and availability of data to assess ICT’s effect  
on energy efficiency as *Poor* or *Very Poor* (Hilty  
*et al* 2009). Gathering more data in empirical studies  
allows assessment of how ICT systems are actually  
being deployed and used, further elucidating how spe-  
cific conditions and parameters affect energy con-  
sumption and characterizing the ‘ICT energy savings  
gap’—the degree to which the realized energy perfor-  
mance of ICT fails to attain its estimated potential. At a  
broader level, a large-scale, survey-based data collec-  
tion initiative similar to the EIA’s Residential Energy  
Consumption Survey and Commercial Buildings  
Energy Consumption Survey for IT systems deployed

**Table 6.** Guidelines for conducting future research on quantifying ICT indirect effects.

Issue	Remediation guidelines
Lack of empirical data	<ul style="list-style-type: none"> <li>• Conduct more empirical case studies; transition from ‘back-of-the-envelope’ calculations and scoping studies. Focus on measuring realized savings rather than on estimating potential savings.</li> <li>• Broaden data collection and benchmarking programs such as DOE’s energy consumption surveys and Center of Expertise programs (Lawrence Berkeley National Laboratory 2016) to collect and publish more comprehensive ICT deployment and energy use data.</li> <li>• In econometric work, focus on natural experiments to provide more evidence that results are ICT-driven.</li> <li>• Reconsider conducting studies where insufficient data to make robust conclusions exist.</li> <li>• Exhaustively document limitations and their anticipated effect on study conclusions.</li> </ul>
Overly simplified point estimates	<ul style="list-style-type: none"> <li>• Integrate Monte Carlo techniques to cover a broader range of inputs.</li> <li>• Conduct sensitivity analysis and report results.</li> <li>• Focus on identification of key parameters rather than on quantifying the aggregate impact.</li> </ul>
Inconsistent system boundaries	<ul style="list-style-type: none"> <li>• Refrain from face-value comparison of studies with different scopes.</li> <li>• When comparing new results to previous work, exhaustively document differences in data, methods, and assumptions.</li> <li>• Publish complete data, assumptions, and results so that others can fully replicate the study and can make adjustments to scope and assumptions to aid comparison with other work.</li> </ul>
Narrow effect scoping	<ul style="list-style-type: none"> <li>• Integrate higher-order impacts wherever possible.</li> <li>• Increase inclusion of behavioral aspects of ICT service deployment.</li> </ul>

in the residential, commercial, industrial, and transportation sectors would be helpful in providing insight into deployment strategies and baseline energy consumption measurements.

*Use simulation and sensitivity analysis more broadly in impact estimates.* Many studies use point values or relatively narrow ranges for input parameters. As a result, the estimated energy impacts reflect one or two specific views of ICT deployment and ultimately do little to advance the aggregate understanding of the ICT energy effect, since a different set of assumptions can usually be found that negates or reverses the findings. Exploring more of the solution space using stochastic modeling techniques such as Monte Carlo simulation would allow for statistically robust identification of driving factors and greater insight into the uncertainty surrounding such estimates. See Weber *et al* (2008) for an example of how LCA can be enriched with Monte Carlo techniques.

*Pay more attention to study scope, particularly when comparing different studies.* In lieu of providing a broader range of results in each study, one might argue that a sufficient set of separate point estimates can be aggregated to provide a bigger picture view. Such meta-analysis, indeed, formed part of the early vision for this paper. However, data sets, modeling methods, assumptions, and scopes vary so greatly as to make a straightforward synthesis of estimates nearly impossible.

*Focus on identification of key parameters rather than aggregate impacts.* Rather than focusing too heavily on estimating aggregate impacts—an exercise that, as this review shows, is unlikely to yield satisfying results—researchers should focus on identification of important parameters driving the energy use in ICT-infused systems (as several of the LCA studies do). Armed with such information, both public and private decision

makers can design and implement intelligent, tailored, ICT-enabled systems that adapt to minimize energy use in deployment.

*Increase focus on the behavioral aspect of ICT services.* The studies here are generally technical in nature, depending heavily on assumptions about system structure and human behavior that may not reflect ground truth (Hesse 2002). Focusing on behavioral aspects of ICT systems in concert with their technical properties would teach us how to align energy savings with user priorities. Amazon’s shipping policies provide an illustrative example of how ICT pulls towards more energy consumption while also providing greater possibilities for reducing it through behavioral nudging. While faster delivery methods (e.g., same-day delivery, drones) are likely to be more energy-intensive, Amazon has created incentive programs both for consolidating deliveries<sup>11</sup> and for using slower, more flexible freight modes where possible<sup>12</sup>. Since behavior can shift the direction of ICT impact, researching these sorts of ways to more precisely tailor ICT-enabled services to consumer needs could help temper the energy costs of ICT without appreciably sacrificing the quality of the customer experience.

*Integrate higher-order effects.* Few of the studies reviewed here address both second-order and third-order effects concurrently. Studies that present

<sup>11</sup> ‘Subscribe-and-save’ offers a discount on a periodic shipment (e.g., monthly) to replenish consumable goods. The predictability of the order allows Amazon to use slower modes as well as group recurring items into a single shipment. Amazon Pantry requires customers to fill a box with eligible items before it can be shipped, which incurs a flat fee. Add-on-items are small items that can be ordered through Amazon but that will not ship individually—they must be combined with other items. (Of course, some of these policies likely induce consumption, reducing the energy savings.)

<sup>12</sup> By offering video streaming credits to customers who choose a ‘no-rush’ delivery option.

estimates of substitution and efficiency savings without addressing higher-order effects risk painting an overly simplistic picture of the ICT dynamic. Researchers should find ways to do more synthesis and integration across the taxonomy—i.e., evaluating possible higher-order effects in concert with an estimate of direct consumption, substitution, and efficiency. We can envision, for instance, a study examining whether the rapid growth of streaming video has increased the amount of content watched and placing this rebound estimate in the context of the direct energy use and substitution effects.

Notwithstanding these suggestions, developing an accurate and complete picture of the net energy effect of ICT remains a difficult task. However, we can continue to gain insight if we recognize that the specific implementation details, user behavior, and evolution over time are critically important and should not be oversimplified in the quest to compute an effect magnitude. Understanding the system dynamics as comprehensively as possible while remaining cognizant of limitations is a crucial step in ensuring that, as ICT continues its inevitable infusion in our economy and society, it functions as a dampener on energy consumption growth and a force multiplier for energy efficiency.

## Acknowledgments

This work was funded by the Department of Engineering and Public Policy at Carnegie Mellon University and by the Center for Climate and Energy Decision Making (SES-0949710 and SES-1463492), through a cooperative agreement between the National Science Foundation (NSF) and Carnegie Mellon University. Work at Lawrence Berkeley National Laboratory is supported by the US Department of Energy under contract number DE-AC02-05CH11231. We wish to thank Jonathan Koomey for his helpful comments on this manuscript and the two anonymous referees for their feedback. An abbreviated version of these findings have been published as Chapter 5 of Shehabi *et al* (2016), a federally sponsored report on U.S. data center energy use.

## References

- Accenture 2015 SMARTer2030: *ICT Solutions for 21st Century Challenges* ([http://smarter2030.gesi.org/downloads/Full\\_report2.pdf](http://smarter2030.gesi.org/downloads/Full_report2.pdf))
- Aebischer B and Huser A 2000 *Networking in Private Households: Impacts on Electricity Consumption* Swiss Federal Office of Energy ([http://bfe.admin.ch/php/modules/enet/streamfile.php?file=00000006772\\_01.pdf](http://bfe.admin.ch/php/modules/enet/streamfile.php?file=00000006772_01.pdf))
- Agarwal Y, Balaji B, Dutta S, Gupta R K and Weng T 2011 Duty-cycling buildings aggressively: the next frontier in HVAC control *Proc. 10th Int. Conf. on Information Processing in Sensor Networks (Chicago)* (Piscataway, NJ: IEEE) pp 246–57 ([http://ieeexplore.ieee.org/xpls/abs\\_all.jsp?arnumber=5779042](http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=5779042))
- Agarwal Y, Balaji B, Gupta R, Lyles J, Wei M and Weng T 2010 Occupancy-driven energy management for smart building automation *Proc. 2nd ACM Workshop on Embedded Sensing Systems for Energy-Efficiency in Building (Zurich)* (New York: ACM) pp 1–6 (<http://dl.acm.org/citation.cfm?id=1878433>)
- Airbus 2016 Simulation and Tests (<http://airbus.com/innovation/proven-concepts/in-design/simulation-and-tests/>)
- Allenby B and Unger D 2001 Information technology impacts on the US energy demand profile *E-Vision 2000: Key Issues that Will Shape Our Energy Future* (Washington, DC: RAND Corporation) ([www.rand.org/pubs/conf\\_proceedings/CF170z1-1.html](http://www.rand.org/pubs/conf_proceedings/CF170z1-1.html))
- Atkinson R D and McKay A S 2007 Digital prosperity: understanding the economic benefits of the information technology revolution *Technical Report ITIF*, Washington, D. C. ([http://itif.org/files/digital\\_prosperity.pdf](http://itif.org/files/digital_prosperity.pdf))
- Atkyns R, Blazek M and Roitz J 2002 Measurement of environmental impacts of telework adoption amidst change in complex organizations: AT&T survey methodology and results *Resour. Conservation Recycling* **36** 267–85
- Auweter A *et al* 2012 *ICT as Key Technology Against Global Warming (Lecture Notes in Computer Science vol 7453)* (Berlin: Springer) (<http://link.springer.com/10.1007/978-3-642-32606-6>)
- Azevedo M L I 2014 Consumer end-use energy efficiency and rebound effects *Annu. Rev. Environ. Resour.* **39** 393–418
- Baer W S, Hassell S and Vollaard B A 2002 *Electricity Requirements for a Digital Society* (Santa Monica, CA: RAND Corporation) ([www.rand.org/pubs/monograph\\_reports/MR1617.html](http://www.rand.org/pubs/monograph_reports/MR1617.html))
- Basbagill J, Flager F, Lepech M and Fischer M 2013 Application of life-cycle assessment to early stage building design for reduced embodied environmental impacts *Build. Environ.* **60** 81–92
- Berkhout F and Hertin J 2004 De-materialising and re-materialising: digital technologies and the environment *Futures* **36** 903–20
- Borenstein S 2013 A microeconomic framework for evaluating energy efficiency rebound and some implications *National Bureau of Economic Research Working Paper No 19044* (<http://nber.org/papers/w19044>)
- Börjesson Rivera M, Håkansson C, Svenfelt Å and Finnveden G 2014 Including second order effects in environmental assessments of ICT *Environ. Model. Softw.* **56** 105–15
- Brown A, Gonder J and Repac B 2014 An analysis of possible energy impacts of automated vehicle *Road Vehicle Automation (Lecture Notes in Mobility)* ed G Meyer and S Beiker (Cham: Springer) pp 137–53 ([http://link.springer.com/10.1007/978-3-319-05990-7\\_13](http://link.springer.com/10.1007/978-3-319-05990-7_13))
- Bull J G and Kozak R A 2014 Comparative life cycle assessments: the case of paper and digital media *Environ. Impact Assess. Rev.* **45** 10–8
- Bunse K, Vodicka M, Schönsleben P, Brüllhart M and Ernst F O 2011 Integrating energy efficiency performance in production management-gap analysis between industrial needs and scientific literature *J. Cleaner Prod.* **19** 667–79
- Cho Y, Lee J and Kim T-Y 2007 The impact of ICT investment and energy price on industrial electricity demand: dynamic growth model approach *Energy Policy* **35** 4730–8
- Choo S, Mokhtarian P L and Salomon I 2005 Does telecommuting reduce vehicle-miles traveled? An aggregate time series analysis for the US *Transportation* **32** 37–64
- Davis A L, Krishnamurti T, Fischhoff B and de Bruin W B 2013 Setting a standard for electricity pilot studies *Energy Policy* **62** 401–9
- Davis J, Edgar T, Porter J, Bernaden J and Sarli M 2012 Smart manufacturing, manufacturing intelligence and demand-dynamic performance *Comput. Chem. Eng.* **47** 145–56
- Doukas H, Patlitzianas K D, Iatropoulos K and Psarras J 2007 Intelligent building energy management system using rule sets *Build. Environ.* **42** 3562–9
- Duflou J R, Sutherland J W, Dornfeld D, Herrmann C, Jeswiet J, Kara S, Hauschild M and Kellens K 2012 Towards energy and resource efficient manufacturing: A processes and systems approach *CIRP Ann.—Manuf. Technol.* **61** 587–609
- Edwards J B, McKinnon A C and Cullinane S L 2010 Comparative analysis of the carbon footprints of conventional and online

- retailing: a last mile perspective *Int. J. Phys. Distrib. Logist. Manage.* **40** 103–23
- Elliott N, Molina M and Trombley D 2012 *A Defining Framework for Intelligent Efficiency* (Washington, DC: American Council for an Energy Efficient Economy) (<http://aceee.org/sites/default/files/publications/researchreports/e125.pdf>)
- Erdmann L and Hilty L M 2010 Scenario analysis: exploring the macroeconomic impacts of information and communication technologies on greenhouse gas emissions *J. Ind. Ecol.* **14** 826–43
- Erdmann L, Hilty L M, Goodman J and Arnfalk P 2004 *The Future Impact of ICTs on Environmental Sustainability* (Seville: Institute for Prospective Technological Studies) (<http://library.certh.gr/libfiles/PDF/EU-EKETA-1447-THEFUTURE-IMPACT-EUR-21384en-AUG-2004-PP64.pdf>)
- Ericsson E, Larsson H and Brundell-Freij K 2006 Optimizing route choice for lowest fuel consumption—potential effects of a new driver support tool *Transp. Res. C* **14** 369–83
- European Commission Directorate-General for the Information Society and Media 2009 *ICT and Energy Efficiency: the Case for Manufacturing, Recommendations of the Consultation Group* (Luxembourg: European Commission) ([ftp://ftp.cordis.europa.eu/pub/fp7/ict/docs/micro-nanosystems/smart-manufacturing\\_en.pdf](ftp://ftp.cordis.europa.eu/pub/fp7/ict/docs/micro-nanosystems/smart-manufacturing_en.pdf))
- Ganti R K, Pham N, Ahmadi H, Nangia S and Abdelzahr T F 2010 GreenGPS: a participatory sensing fuel-efficient maps application *Proc. 8th Int. Conf. on Mobile Systems, Applications, and Services* (San Francisco) (New York: ACM) pp 151–64 (<http://dl.acm.org/citation.cfm?id=1814450>)
- Gard D L and Keoleian G A 2002 Digital versus print: energy performance in the selection and use of scholarly journals *J. Ind. Ecol.* **6.2** 115–32
- Gillingham K, Rapson D and Wagner G 2015 The rebound effect and energy efficiency policy ([http://papers.ssrn.com/sol3/papers.cfm?abstract\\_id=2550710](http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2550710))
- Gonder J D 2008 Route-based control of hybrid electric vehicles *SAE Technical Paper* (<http://papers.sae.org/2008-01-1315/>)
- Gossart C 2015 Rebound effects and ICT: a review of the literature *ICT Innovations for Sustainability (Advances in Intelligent Systems and Computing vol 310)* ed L M Hilty and B Aebischer (Berlin: Springer) pp 435–48
- Green K P and Mathur A 2008 Measuring and reducing Americans' indirect energy use *AEI Energy and Environment Outlook* ([http://aei.org/wp-content/uploads/2011/10/20081204\\_EEONo2g.pdf](http://aei.org/wp-content/uploads/2011/10/20081204_EEONo2g.pdf))
- Greening L A, Greene D L and Difiglio C 2000 Energy efficiency and consumption—the rebound effect—a survey *Energy Policy* **28** 389–401
- Harrington D 2015 *From First Mile to Last Mile: Global Industrial & Logistics Trends* Colliers International ([www.colliers.com/-/media/files/marketresearch/global/2015-global-reports/global-logistics-2015.pdf](http://www.colliers.com/-/media/files/marketresearch/global/2015-global-reports/global-logistics-2015.pdf))
- Henderson P and Waitner M 2013 *Real-Time Energy Management: A Case Study of Three Large Commercial Buildings in Washington, D.C.* (Washington, DC: Natural Resources Defense Council) (<http://nrdc.org/business/casestudies/files/tower-companies-case-study.pdf>)
- Hesse M 2002 Shipping news: the implications of electronic commerce for logistics and freight transport *Resour. Conservation Recycling* **36** 211–40
- Hilty L M and Aebischer B (ed) 2015 *ICT Innovations for Sustainability (Advances in Intelligent Systems and Computing vol 310)* (Cham: Springer) (<http://link.springer.com/10.1007/978-3-319-09228-7>)
- Hilty L M, Arnfalk P, Erdmann L, Goodman J, Lehmann M and Wäger P A 2006 The relevance of information and communication technologies for environmental sustainability—a prospective simulation study *Environ. Model. Softw.* **21** 1618–29
- Hilty L M, Coroama V C, de Eicker M O, Ruddy T F and Müller E 2009 *The Role of ICT in Energy Consumption and Energy Efficiency* (St. Gallen, Switzerland: EMPA) ([http://library.eawag-empa.ch/empa\\_publications\\_2009\\_open\\_access/EMPA20090243.pdf](http://library.eawag-empa.ch/empa_publications_2009_open_access/EMPA20090243.pdf))
- Hobday M, Davies A and Prencepe A 2005 Systems integration a core capability of the modern corporation *Ind. Corp. Change* **14** 1109–43
- Hopkinson P and James P 2003 *UK Report on National SUSTEL Fieldwork, Sustainable Telework* (<http://webfarm.ustrive.net/~flexiworker/pdf/Case%20studies.pdf>)
- Huang W, Bevilacqua D M, Schnick S and Li X 2008 Using 3D road geometry to optimize heavy truck fuel efficiency *11th Int. IEEE Conf. on Intelligent Transportation Systems, 2008.* (Beijing, 12–15 Oct 2008) (Piscataway, NJ: IEEE) pp 334–9 ([http://ieeexplore.ieee.org/xpls/abs\\_all.jsp?arnumber=4732656](http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=4732656))
- Ishida H 2015 The effect of ICT development on economic growth and energy consumption in Japan *Telemat. Inform.* **32** 79–88
- Jørgensen M S, Andersen MM, Hansen A, Wenzel H, Thoning T, Pedersen J U, Falch M, Rasmussen B, Olsen S I and Willum O 2006 *Green Technology Foresight about Environmentally Friendly Products and Materials* Danish Environmental Protection Agency (<http://www2.mst.dk/udgiv/publications/2006/87-7052-216-2/pdf/87-7052-217-0.pdf>)
- Kawamoto K, Koomey J G, Nordman B, Brown R E, Ann Piette M, Ting M and Meier A K 2002 Electricity used by office equipment and network equipment in the US *Energy* **27** 255–69
- Keane A and Nair P 2005 *Computational Approaches for Aerospace Design: the Pursuit of Excellence* 1st edn (Chichester: Wiley) ISBN: 978-0-470-85540-9
- Kennedy G J, Kenway G K W and Martins J 2014 High aspect ratio wing design: optimal aerostructural tradeoffs for the next generation of materials *Proc. AIAA Science and Technology Forum and Exposition (SciTech)* (National Harbor, MD) (<http://arc.aiaa.org/doi/pdf/10.2514/6.2014-0596>)
- Kim J, Xu M, Kahhat R, Allenby B and Williams E 2008 Design and assessment of a sustainable networked system in the US; Case study of book delivery system *IEEE International Symposium on Electronics and the Environment, 2008, (San Francisco, 19–22 May 2008)* (Piscataway, NJ: IEEE) pp 1–5 ([http://ieeexplore.ieee.org/xpls/abs\\_all.jsp?arnumber=4562874](http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=4562874))
- Kitou E and Horvath A 2008 External air pollution costs of telework *Int. J. Life Cycle Assess.* **13** 155–65
- Koomey J G 2002 Information technology and resource use: editor's introduction to the special issue *Resour. Conservation Recycling* **36** 169–73
- Koomey J G 2008 Worldwide electricity used in data centers *Environ. Res. Lett.* **3** 034008
- Koomey J G 2011 *Growth in Data Center Electricity Use 2005 to 2010* (Oakland, CA: Analytics) (<http://mediafire.com/file/zzqna34282frr2f/koomeydatacenterlectuse2011finalversion.pdf>)
- Koomey J G 2012 5 ways to harness info tech to fight climate change, (<https://gigaom.com/2012/02/16/5-ways-to-harness-information-technology-to-fight-climate-change/>)
- Koomey J G, Berard S, Sanchez M and Wong H 2011 Implications of historical trends in the electrical efficiency of computing *IEEE Ann. Hist. Comput.* **33** 46–54
- Koomey J G, Matthews H S and Williams E 2013 Smart everything: will intelligent systems reduce resource use? *Annu. Rev. Environ. Resour.* **38** 311–43
- Koomey J G, Piette M, Cramer M and Eto J H 1996 Efficiency improvements in US office equipment: expected policy impacts and uncertainties *Energy Policy* **24** 1101–10
- Laitner J A 2002 Information technology and US energy consumption: energy hog, productivity tool, or both? *J. Ind. Ecol.* **6** 13–24
- Laitner J A and Ehrhardt-Martinez K 2008 *Information and Communication Technologies: The Power of Productivity* (Washington, D.C.: American Council for an Energy-Efficient Economy) (<http://aceee.org/sites/default/files/publications/researchreports/E081.pdf>)
- Laitner J A, Koomey J G, Worrell E and Gumerman E 2001 *Re-estimating the Annual Energy Outlook 2000 Forecast using*

- Updated Assumptions about the Information Economy (Allied Social Science Association Meetings, New Orleans, 7 Jan 2001)* (<http://enduse.lbl.gov/Info/LBNL-46418.pdf>)
- Langer T and Vaidyanathan S 2014 *Smart Freight: Applications of Information and Communications Technologies to Freight System Efficiency* (Washington, DC: American Council for an Energy-Efficient Economy) (<http://indiaenvironmentportal.org.in/files/file/Smart%20Freight.pdf>)
- Lawrence Berkeley National Laboratory 2016 Center of Expertise for Energy Efficiency in Data Centers (<https://datacenters.lbl.gov/>)
- Malmmodin J, Moberg Å, Lundén D, Finnveden G and Lövehagen N 2010 Greenhouse gas emissions and operational electricity use in the ICT and entertainment & media sectors *J. Ind. Ecol.* **14** 770–90
- Masanet E R 2010 Energy benefits of electronic controls at small and medium sized U.S. manufacturers *J. Ind. Ecol.* **14** 696–702
- Masanet E R and Matthews H S 2010 Environmental applications of information and communication technology *J. Ind. Ecol.* **14** 685–862 (special issue)
- Masanet E R, Shehabi A and Koomey J G 2013 Characteristics of low-carbon data centres *Nat. Clim. Change* **3** 627–30
- Mattern F, Staake T and Weiss M 2010 ICT for green: how computers can help us to conserve energy *Proc. 1st Int. Conf. on Energy-Efficient Computing and Networking (Passau, Germany, 13–15 April 2010)* (New York: ACM) pp 1–10 (<http://dl.acm.org/citation.cfm?id=1791316>)
- Matthews H S and Hendrickson C T 2002 The economic and environmental implications of centralized stock keeping *J. Ind. Ecol.* **6** 71–81
- Matthews H S, Hendrickson C T and Soh D L 2001a Environmental and economic effects of e-commerce: a case study of book publishing and retail logistics *Transp. Res. Rec.: J. Transp. Res. Board* **1763** 6–12
- Matthews H S, Hendrickson C T and Soh D L 2001b The net effect: environmental implications of e-commerce and logistics *Proc. 2001 IEEE Int. Symp. on Electronics and the Environment*, (Denver, 7–9 May 2001) (Piscataway, NJ: IEEE) pp 191–5 (<http://cmu.edu/gdi/docs/environmental-and-economic.pdf>)
- Matthews H S and Williams E 2005 Telework adoption and energy use in building and transport sectors in the United States and Japan *J. Infrastruct. Syst.* **11** 21–30
- Matthews H S, Williams E, Tagami T and Hendrickson C T 2002 Energy implications of online book retailing in the United States and Japan *Environ. Impact Assess. Rev.* **22** 493–507
- Mayers K, Koomey J G, Hall R, Bauer M, France C and Webb A 2014 The carbon footprint of games distribution *J. Ind. Ecol.* **19** 402–15
- Meyers R J, Williams E D and Matthews H S 2010 Scoping the potential of monitoring and control technologies to reduce energy use in homes *Energy Build.* **42** 563–9
- Moberg Å, Johansson M, Finnveden G and Jonsson A 2010 Printed and tablet e-paper newspaper from an environmental perspective—a screening life cycle assessment *Environ. Impact Assess. Rev.* **30** 177–91
- Mohan A M 2014 E-commerce packaging pitfalls & opportunities, Packaging World (<http://packworld.com/trends-and-issues/distribution/e-commerce-packaging-pitfalls-opportunities>)
- Mokhtarian P L 1998 A synthetic approach to estimating the impacts of telecommuting on travel *Urban Stud.* **35** 215–41
- Mokhtarian P L 2009 If telecommunication is such a good substitute for travel, why does congestion continue to get worse? *Transp. Lett.: Int. J. Transp. Res.* **1** 1–7
- Murtishaw S and Schipper L 2001 Disaggregated analysis of US energy consumption in the 1990s: evidence of the effects of the internet and rapid economic growth *Energy Policy* **29** 1335–56
- Nordman B and Meier A K 2003 Energy Consumption of Home Information Technology ([http://energy.lbl.gov/controls/\\_not-used/homeit/](http://energy.lbl.gov/controls/_not-used/homeit/))
- Norford L, Hatcher A, Harris J, Roturier J and Yu O 1990 Electricity use in information technologies *Annu. Rev. Energy* **15** 423–53
- Obayashi S 1998 Multidisciplinary design optimization of aircraft wing planform based on evolutionary algorithms *IEEE Int. Conf. on Systems Man and Cybernetics* vol 4 (Piscataway, NJ: IEEE) pp 3148–53
- Palensky P and Dietrich D 2011 Demand side management: demand response, intelligent energy systems, and smart loads *IEEE Trans. Ind. Inform.* **7** 381–8
- Plepys A 2002 The grey side of ICT *Environ. Impact Assess. Rev.* **22** 509–23
- Raghavan B and Ma J 2011 The energy and emergy of the Internet *Proc. 10th ACM Workshop on Hot Topics in Networks (Cambridge, MA, 14–15 Nov 2011)* (New York: ACM) p 9 (<http://dl.acm.org/citation.cfm?id=2070571>)
- Rattle R 2010 *Computing Our Way to Paradise?: The Role of Internet and Communication Technologies in Sustainable Consumption and Globalization* (Lanham, MD: Rowman & Littlefield)
- Rejeski D W 1999 Electronic impact *Environ. Forum* **16** 32–8 (<https://www.eli.org/the-environmental-forum/electronic-impact>)
- Rejeski D W 2002 E-commerce, the Internet, and the environment *J. Ind. Ecol.* **6** 1–61 (special issue)
- Roberts S 2009 Measuring the Relationship between ICT and the Environment ([http://oecd-ilibrary.org/science-and-technology/measuring-the-relationship-between-ict-and-the-environment\\_22168775423](http://oecd-ilibrary.org/science-and-technology/measuring-the-relationship-between-ict-and-the-environment_22168775423))
- Rogers E A, Elliott R N, Kwatra S, Trombley D and Nadadur V 2013 *Intelligent Efficiency: Opportunities, Barriers, and Solutions* (Washington, DC: American Council for an Energy Efficient Economy) (<http://aceee.org/sites/default/files/publications/researchreports/e13j.pdf>)
- Romm J, Rosenfeld A and Herrmann S 1999 *The Internet Economy and Global Warming: A Scenario of the Impact of E-Commerce on Energy and the Environment* (Arlington, VA: Center for Energy and Climate Solutions) (<http://infohouse.p2ric.org/ref/04/03784/0378401.pdf>)
- Roth K W, Goldstein F and Kleinman J 2002 *Energy Consumption by Office and Telecommunications Equipment in Commercial Buildings Volume I: Energy Consumption Baseline* (Cambridge, MA: Arthur D. Little, Inc.) ([http://biblioite.ethz.ch/downloads/Roth\\_AD\\_L\\_1.pdf](http://biblioite.ethz.ch/downloads/Roth_AD_L_1.pdf))
- Roth K W, Ponoum R and Goldstein F 2006 *U.S. Residential Information Technology Energy Consumption in 2005 and 2010* (Cambridge, MA: TIAX, LLC) ([http://biblioite.ethz.ch/downloads/residential\\_information\\_technology\\_energy\\_consumption\\_2006.pdf](http://biblioite.ethz.ch/downloads/residential_information_technology_energy_consumption_2006.pdf))
- Roth K W, Rhodes T and Ponoum R 2008 The energy and greenhouse gas emission impacts of telecommuting in the US *IEEE Int. Symp. on Electronics and the Environment, 2008, (San Francisco, 19–22 May 2008)* (Piscataway, NJ: IEEE) pp 1–6 ([http://ieeexplore.ieee.org/xpls/abs\\_all.jsp?arnumber=4562945](http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=4562945))
- Schmidt J H and Pizzol M 2014 *Critical Review of Four Comparative Lifecycle Assessments of Printed and Electronic Communication* Aalborg, Denmark ([http://lca-net.com/files/Review\\_of\\_four\\_LCAs\\_on\\_printed\\_versus\\_electronic\\_media.pdf](http://lca-net.com/files/Review_of_four_LCAs_on_printed_versus_electronic_media.pdf))
- Schwartz D, Fischhoff B, Krishnamurti T and Sowell F 2013 The Hawthorne effect and energy awareness *Proc. Natl Acad. Sci.* **110** 15242–6
- Seetharam A, Somasundaram M, Towsley D, Kurose J and Shenoy P 2010 Shipping to streaming: is this shift green? *Proc. 1st ACM SIGCOMM Workshop on Green Networking (New Delhi, 30 Aug–3 Sept 2010)* (New York: ACM) pp 61–8 (<http://dl.acm.org/citation.cfm?id=1851304>)
- Seidel S and Ye J 2012 *Leading by Example: Using Information and Communication Technologies to Achieve Federal Sustainability Goals* (Arlington, VA: Center for Climate and Energy Solutions) (<http://c2es.org/docUploads/federal-sustainability-ict.pdf>)
- Shehabi A, Smith S, Sartor D, Brown R, Herrlin M, Koomey J, Masanet E, Horner N, Azevedo I and Lintner W 2016 *United States Data Center Energy Usage Report* (Berkeley, CA:

- Lawrence Berkeley National Laboratory) ([http://eetd.lbl.gov/sites/all/files/lbnl-1005775\\_v2.pdf](http://eetd.lbl.gov/sites/all/files/lbnl-1005775_v2.pdf))
- Shehabi A, Walker B and Masanet E R 2014 The energy and greenhouse-gas implications of internet video streaming in the United States *Environ. Res. Lett.* **9** 054007
- Shorr Packaging Corp 2015 The Amazon Effect: Impacts on Shipping and Retail ([www.shorr.com/packaging-news/2015-06/amazon-effect-impacts-shipping-and-retail](http://www.shorr.com/packaging-news/2015-06/amazon-effect-impacts-shipping-and-retail))
- Siikavirta H, Punakivi M, Kärkkäinen M and Linnanen L 2002 Effects of e-commerce on greenhouse gas emissions: a case study of grocery home delivery in Finland *J. Ind. Ecol.* **6** 83–97
- Sivaraman D, Pacca S, Mueller K and Lin J 2007 Comparative energy, environmental, and economic analysis of traditional and e-commerce DVD rental networks *J. Ind. Ecol.* **11** 77–91
- Smart Manufacturing Leadership Coalition 2011 *Implementing 21st Century Smart Manufacturing, Workshop Summary Report* (Smart Manufacturing Leadership Coalition) ([https://smartmanufacturingcoalition.org/sites/default/files/implementing\\_21st\\_century\\_smart\\_manufacturing\\_report\\_2011\\_0.pdf](https://smartmanufacturingcoalition.org/sites/default/files/implementing_21st_century_smart_manufacturing_report_2011_0.pdf))
- Sobieszcanski-Sobieski J and Haftka R T 1997 Multidisciplinary aerospace design optimization: survey of recent developments *Struct. Optim.* **14** 1–23
- Sorrell S 2009 Jevons' Paradox revisited: the evidence for backfire from improved energy efficiency *Energy Policy* **37** 1456–69
- Sui D Z and Rejeski D W 2002 Environmental impacts of the emerging digital economy: the e-for-environment e-commerce? *Environ. Manage.* **29** 155–63
- Takase K and Murota Y 2004 The impact of IT investment on energy: Japan and US comparison in 2010 *Energy Policy* **32** 1291–301
- U.S. Department of Energy 2015 *Advanced Sensors, Control, Platforms, and Modeling for Manufacturing (Smart Manufacturing): Technology Assessment, Quadrennial Technology Review* (Washington, DC: US Dept of Energy) (<http://energy.gov/sites/prod/files/2015/02/f19/QTR%20Ch8%20-%20Smart%20Manufacturing%20TA%20Feb-13-2015.pdf>)
- Van Heddeghem W, Lambert S, Lannoo B, Colle D, Pickavet M and Demeester P 2014 Trends in worldwide ICT electricity consumption from 2007 to 2012 *Comput. Commun.* **50** 64–76
- Weber C, Hendrickson C T, Jaramillo P, Matthews H S, Nagengast A and Nealer R 2008 Life cycle comparison of traditional retail and e-commerce logistics for electronic products: a case study of buy.com. *Carnegie Mellon University Green Design Institute Report* (<http://cmu.edu/gdi/docs/life-cycle-comparison.pdf>)
- Weber C, Koomey J G and Matthews H S 2010 The energy and climate change implications of different music delivery methods *J. Ind. Ecol.* **14** 754–69
- Williams E 2011 Environmental effects of information and communications technologies *Nature* **479** 354–8
- Williams E and Tagami T 2002 Energy use in sales and distribution via e-commerce and conventional retail: a case study of the Japanese Book Sector *J. Ind. Ecol.* **6** 99–114
- Yi L and Thomas H R 2007 A review of research on the environmental impact of e-business and ICT *Environ. Int.* **33** 841–9
- Young G 2013 *Illuminating the Issues: Digital Signage and Philadelphia's Green Future Technical Report* Philadelphia, PA: SCRUB: Public Voice for Public Space ([http://scenic.org/storage/documents/Digital\\_Signage\\_Final\\_Dec\\_14\\_2010.pdf](http://scenic.org/storage/documents/Digital_Signage_Final_Dec_14_2010.pdf))
- Zurkirch M and Reichart I 2000 Environmental impacts of telecommunication services, *Greener Management International* Winter 2000 p 70