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## Prenatal Air Pollution, Maternal Immune Activation, and Autism Spectrum Disorder

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### Abstract

**Background**—Autism Spectrum Disorder (ASD) risk is highly heritable, with potential additional non-genetic factors, such as prenatal exposure to ambient particulate matter with

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#### Conflict of interests

The authors declare they have no actual or potential competing interests. Joel Schwartz declares that he has testified on behalf of the U.S. Department of Justice in a case involving a Clean Air Act violation.

#### Ethical approval

Both KPSC and the University of Southern California Institutional Review Boards approved this study.

aerodynamic diameter < 2.5  $\mu\text{m}$  (PM<sub>2.5</sub>) and maternal immune activation (MIA) conditions. Because these exposures may share common biological effect pathways, we hypothesized synergistic associations of prenatal air pollution and MIA-related conditions would increase ASD risk in children.

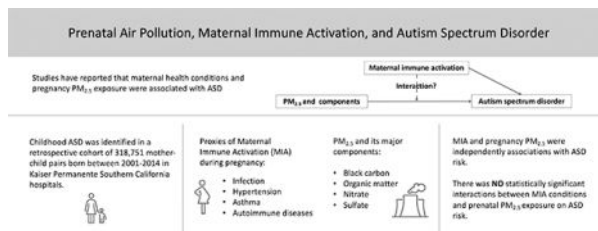
**Objectives**—This study examined interactions between MIA-related conditions and prenatal PM<sub>2.5</sub> or major PM<sub>2.5</sub> components on ASD risk.

**Methods**—In a population-based pregnancy cohort of children born between 2001–2014 in Southern California, 318,751 mother-child pairs were followed through electronic medical records (EMR); 4,559 children were diagnosed with ASD before age 5. Four broad categories of MIA-related conditions were classified, including infection, hypertension, maternal asthma, and autoimmune conditions. Average exposures to PM<sub>2.5</sub> and four PM<sub>2.5</sub> components, black carbon (BC), organic matter (OM), nitrate (NO<sub>3</sub><sup>-</sup>), and sulfate (SO<sub>4</sub><sup>2-</sup>), were estimated at maternal residential addresses during pregnancy. We estimated the ASD risk associated with MIA-related conditions, air pollution, and their interactions, using Cox regression models to adjust for covariates.

**Results**—ASD risk was associated with MIA-related conditions [infection (hazard ratio 1.11; 95% confidence interval 1.05–1.18), hypertension (1.30; 1.19–1.42), maternal asthma (1.22; 1.08–1.38), autoimmune disease (1.19; 1.09–1.30)], with higher pregnancy PM<sub>2.5</sub> [1.07; 1.03–1.12 per interquartile (3.73  $\mu\text{g}/\text{m}^3$ ) increase] and with all four PM<sub>2.5</sub> components. However, there were no interactions of each category of MIA-related conditions with PM<sub>2.5</sub> or its components on either multiplicative or additive scales.

**Conclusions**—MIA-related conditions and pregnancy PM<sub>2.5</sub> were independently associations with ASD risk. There were no statistically significant interactions of MIA conditions and prenatal PM<sub>2.5</sub> exposure with ASD risk.

## Graphical Abstract



## Keywords

Interaction; susceptibility; PM<sub>2.5</sub>; PM<sub>2.5</sub> chemical components; autism spectrum disorders

## 1. Introduction

Autism spectrum disorder (ASD) is characterized by deficits in social communication and interaction, and restricted, repetitive and stereotyped behaviors (American Psychiatric Association 2013). The estimated prevalence of ASD is 1 in 54 among 8-year-old children in the United States (Maenner et al. 2020). Although ASD risk is heritable, only

approximately 15–20% of diagnoses are due to spontaneous single gene or chromosomal mutations (Bai et al. 2019; Rylaarsdam and Guemez-Gamboa 2019); other factors that may contribute to increased risk or clinical severity, are not well understood and are likely multifactorial. Diagnoses of ASD can be made starting around age 2, and subtle social and communication impairment may be present earlier (Bacon et al. 2018; Charman and Baird 2002). Therefore, prenatal and early life environment has been the focus of autism environmental epidemiology.

Accumulating evidence indicates that prenatal ambient air pollutants, especially particulate matter (PM) with aerodynamic diameter  $< 2.5 \mu\text{m}$  ( $\text{PM}_{2.5}$ ), are modifiable environmental risk factors for ASD (Jo, Eckel, Wang, et al. 2019; Chun et al. 2020; Lam et al. 2016; Rahman et al. 2022).  $\text{PM}_{2.5}$  is a complex mixture of solid and liquid particles with varying sizes, chemical composition, and toxicity (Adams et al. 2015; Fong et al. 2019). A previous study from our group found that prenatal exposure to some key components of  $\text{PM}_{2.5}$  such as black carbon (BC), organic matter (OM), nitrate ( $\text{NO}_3^-$ ), and sulfate ( $\text{SO}_4^{2-}$ ) were associated with increased risk of ASD (Rahman et al. 2023). Understanding the effects of different  $\text{PM}_{2.5}$  components can help to better develop source-specific ambient air quality standards and prevention strategies.

Maternal infection (Zerbo et al. 2013; Jiang et al. 2016), hypertension (Maher et al. 2018), asthma (Theoharides et al. 2016), and autoimmune disease (Lyll et al. 2014) during pregnancy have been shown consistently to be associated with ASD and other neuropsychiatric or neurodevelopmental disorders in children (Estes and McAllister 2016; Malkova et al. 2012). These maternal health conditions may disturb the immune function of mothers and trigger systemic inflammation during pregnancy (Simoes et al. 2018; Patterson 2011). Therefore, they have been proposed to be proxies for maternal immune activation (O'Connor and Ciesla 2022; Estes and McAllister 2016).

Maternal immune activation is a potentially useful framework for understanding ASD neurobiology (Meldrum et al. 2013), but most children exposed to health conditions that are proxies for maternal immune activators (MIA) do not develop ASD. It has been hypothesized that subsequent additional exposures are required for ASD symptoms to occur, and co-existing risk factors sharing similar or complementary biological pathways may provide sufficient cause (Estes and McAllister 2016; Bilbo et al. 2018). Several studies have shown separate associations between prenatal environmental exposures including ambient air pollution (Rahman et al. 2022), nutritional intake (Li et al. 2019), social environment (Bhasin and Schendel 2007), and maternal health conditions (Zerbo et al. 2013; Lyll et al. 2014) during pregnancy on ASD risk, but there has been little examination of the interactive effects among these risk factors on ASD (X. Yu, Rahman, Wang, et al. 2022). Animal models have shown that PM and MIA conditions are both associated with impaired social communication in offspring (Carlezon et al., 2019; Jones et al., 2020; Klocke et al., 2018; Klocke et al., 2017; Zhang et al., 2018), and with increased oxidative stress and inflammatory cytokine levels (Leni, Künzi, and Geiser 2020; Smith et al. 2007). Therefore, we hypothesized that the co-exposure of prenatal  $\text{PM}_{2.5}$  or  $\text{PM}_{2.5}$  components and MIA-related conditions may have interactive effects on the subsequent risk of ASD. Synergies between MIA-related conditions and air pollution-induced ASD would have important

implications for a large co-burden of disease from these exposures and for the development of clinical and public health interventions to reduce ASD risk.

We tested this hypothesis using data from a large, population-based Southern California pregnancy cohort, because a large sample size is required to detect interactions between co-exposures. Information on ASD and diagnoses of maternal health conditions, proxies of MIA, were extracted from the electronic medical record (EMR). The large Southern California gradients in air pollution exposure during pregnancy were assessed using high-resolution spatiotemporal hybrid models (Van Donkelaar et al. 2019).

## 2. Methods

### 2.1 Study population

This study utilized a population-based retrospective pregnancy cohort that included mothers with singleton deliveries (n=370,723) at Kaiser Permanente Southern California (KPSC) hospitals between January 1, 2001 and December 31, 2014. KPSC is a large integrated healthcare system with over 4.5 million members across Southern California. KPSC membership reflects the diverse socioeconomic demographics in the study region (Koebnick et al. 2012). Information related to the mothers, including maternal address history, and to the children were extracted from high-quality integrated electronic medical records (EMR) maintained by KPSC. Addresses were geocoded using ArcGIS (ArcGIS 2021). Addresses based only on street name, 5-digit postal code, locality, or administrative unit were considered too uncertain to be geolocated into the correct grid used for exposure assignments.

A total of 51,152 births were excluded due to 1) missing gender, maternal race/ethnicity and age at delivery, implausible age of delivery or birth weight (n=666); 2) maternal age at delivery (n=159); 3) incomplete maternal residential address history in pregnancy or geocodes not suitable for exposure assignment (n=51,147). The final data analysis included 318,751 mother-child pairs with complete data on residential estimates of PM<sub>2.5</sub> composition exposures (Figure S1 in the supplement). Both KPSC and the University of Southern California Institutional Review Boards approved this study with waiver of individual subject consent.

### 2.2 ASD ascertainment

The outcome was ASD diagnosis before age 5. Children were followed from birth through EMR until clinical diagnosis of ASD, loss to follow-up, or age 5, whichever came first, as described previously (Coleman et al. 2015; Jo, Eckel, Wang, et al. 2019; Xiang et al. 2018; Xiang et al. 2015). The presence or absence of ASD in children was identified by International Classification of Diseases, Ninth Revision (ICD- 9) codes 299.0, 299.1, 299.8, 299.9 from the EMR records before October 1, 2015 (the date of KPSC implementation of ICD-10 codes) and subsequently ICD-10 codes F84.0, F84.5, F84.9 F84.0, F84.3, F84.5, F84.8, F84.9.

### 2.3 Air pollution exposure assessment

Monthly estimates of PM<sub>2.5</sub> and four major PM<sub>2.5</sub> chemical components [BC, OM, NO<sub>3</sub><sup>-</sup>, and SO<sub>4</sub><sup>2-</sup>] with a 1 km spatial resolution were estimated by a hybrid model (version V4.NA.02) that integrates chemical transport model outputs, satellite observations, and ground-based measurements as developed by the Atmospheric Composition Analysis Group at Washington University in St. Louis. The exposure model (Van Donkelaar et al. 2019) and its application to this cohort (Rahman et al. 2023), are described elsewhere. Exposures to PM<sub>2.5</sub> and these selected components were assigned to maternal address during the entire pregnancy and each of three trimesters. Exposures were time-weighted to account for changes in maternal addresses during pregnancy.

### 2.4 Conditions triggering maternal immune activation

We considered four broad categories of MIA-related conditions during pregnancy: infections, gestational hypertension, asthma, and autoimmune diseases. Any occurrence (incident or recurring) during pregnancy of each category of conditions was operationalized as a yes/no variable. The pregnancy period was defined as the time between the last menstrual period and the date of delivery. Autoimmune conditions were identified from 1 year before pregnancy and the pregnancy period, considering that most autoimmune diseases are chronic with long-lasting effects.

Since the cohort included births from 2001 to 2014, prior to KPSC adoption of ICD-10 codes (in 2015), only ICD-9 codes were used to identify each of the MIA-related conditions diagnoses during pregnancy (Table S1 in the supplement). The ICD-9 codes for maternal infection during pregnancy were based on Zerbo et al. (2013). The ICD-9 codes for hypertension were from Savitz et al. (2014). Asthma was categorized based on the ICD-9 code 493.xx and the usage of medication for asthma during pregnancy (Martinez et al. 2020). Maternal autoimmune disease classification was based on Croen et al. (2005), to which we added antiphospholipid antibody syndrome, aplastic anemia, dermatitis herpetiformis, giant cell arteritis, hemolytic anemia, Kawasaki's disease, and Sydenham chorea [from Lyall et al. (2014)].

### 2.5 Covariates

We included the priori known potential risk factors for ASD including child sex, maternal age at delivery, parity, and maternal history of severe comorbidities [>1 diagnosis of heart, lung, kidney, liver disease, or cancer](Xiang et al. 2015). We also adjusted for birth years as a non-linear term with 4 degrees of freedom to account for the non-linear increasing trend of ASD prevalence. An indicator variable for the season at conception (dry from April-October; wet from November-March) was used to adjust for the potential air pollution seasonality. In a sensitivity analysis adjusting for month of birth (instead of season), associations of air pollutants and of MIA-related conditions with ASD risk were similar, so only the adjustment for season was included in the final analyses. Maternal race/ethnicity, maternal education [ high school, some college, and > college] and neighborhood disadvantage index (Levy, Owens, and Sampson 2019) at birth have been shown to be associated with ASD in this cohort (Xin Yu, Rahman, Carter, et al. 2022) and were adjusted as socioeconomic covariates in this study. A missing indicator variable

was used for missing values in categorical covariates (parity [n = 17,860], education [n = 3,024]). We used the same set of covariates for models assessing ASD associations with MIA-related conditions during pregnancy, air pollution, and interactions to ensure that the estimated associations were comparable under the same modeling strategy.

## 2.6 Statistical analyses

The main associations of ASD with average air pollution exposures, any MIA (the presence of at least one category of MIA-related conditions) and 4 types of MIA-related conditions during pregnancy and each trimester were estimated as hazard ratios (HRs) using multivariable Cox proportional hazard models, adjusting for covariates described above. Children were followed from birth through the EMR until clinical diagnosis of ASD, loss to follow-up, or age 5, whichever came first. Standard errors were estimated using robust sandwich estimators to control for potential correlation within families. Air pollution exposures were modeled as continuous variables with linear effects, as modeling them using spline functions did not show evidence of non-linearity. Pregnancy air pollution exposures were scaled to the interquartile range (IQR) of each air pollutant to reflect the distribution of air pollutants in this cohort. Each category of MIA-related conditions (e.g., any infection) was represented as a binary indicator (1=present; 0=absent). The proportional hazards assumption of Cox proportional hazard models was assessed using the Schoenfeld residual plot (Schoenfeld 1982). No clear non-random patterns with follow-up time were observed.

We tested the interactions between each indicator of MIA-related conditions (the presence of any MIA during pregnancy or 4 indicators for each category) and  $PM_{2.5}$  and its components during pregnancy on ASD risk. The multiplicative interaction was examined by adding a multiplicative interaction term between the pollutant and MIA-related conditions to the Cox proportional hazard model, adjusting for the main effects and covariates. The associations between air pollutants and ASD for each MIA condition strata (present/absent) were estimated based on the multiplicative interaction models by reparametrizing the indicator of MIA. The additive interaction was estimated by post-hoc relative excess risk due to interaction (RERI) between each indicator MIA-related conditions variable and air pollution (continuous variables per IQR increase) using the method described in VanderWeele and Knol (2014) based on estimated coefficients from interaction models. Sensitivity analysis was conducted including all follow up until December 31, 2019 without censoring at age 5.

Two-sided statistical tests were applied at an alpha level of 0.05 and the uncertainty in estimates was reported by 95% confidence intervals (CIs). Data analyses were performed in R, version 4.2.

## 3. Results

There were 4,559 children (1.4%) diagnosed with ASD before age 5 (Table 1). The median age at diagnosis was 3.53 years. Children with ASD were 4.3 times more likely to be boys (n=3,703) than girls (n=856). Mothers of children with ASD were slightly older at delivery (31.3 years, IQR=27.5–35.3) than mothers of children without ASD (30.4 years, IQR=26.2–34.3). Mothers of children with ASD were more likely to have more



than high school education. The prevalence of gestational infection, hypertension, asthma, autoimmune diseases, and any MIA condition was 48.5%, 9.7%, 7.2%, 11.2%, and 59.4% respectively for the entire cohort. The prevalence of all five MIA indicators was generally higher in mothers of children with ASD than mothers of children without ASD.

PM<sub>2.5</sub> concentrations, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and BC during the study period decreased over time, while OM was relatively stable (Figure S2 in the supplement). The median levels of PM<sub>2.5</sub>, BC, OM, NO<sub>3</sub><sup>-</sup>, and SO<sub>4</sub><sup>2-</sup>, during pregnancy were 15.1 micrograms per meter-cubed (µg/m<sup>3</sup>) (IQR=13.1–16.9), 1.83 µg/m<sup>3</sup> (IQR=1.35–2.20), 6.40 µg/m<sup>3</sup> (IQR=5.41–7.39), 2.79µg/m<sup>3</sup> (IQR=2.33–3.49), and 1.47 µg/m<sup>3</sup> (IQR=1.23–1.73), respectively.

Pregnancy average PM<sub>2.5</sub> was associated with ASD risk [HR=1.07 (95% CI 1.03–1.12) per IQR=3.73 µg/m<sup>3</sup>] after adjustment for child sex, maternal race/ethnicity, maternal age at delivery, parity, maternal education, maternal history of pre-pregnancy severe comorbidities, neighborhood disadvantage index, birth year, and season at conception (Table 2), consistent with previous analysis in a subset of this cohort (Jo, Eckel, Wang, et al. 2019). The presence of MIA-related conditions during pregnancy was associated with higher risk of ASD [infection: HR=1.11 (95% CI 1.05–1.18); hypertension: HR=1.30 (95% CI 1.19–1.42); asthma: HR=1.22 (95% CI 1.08–1.38); autoimmune conditions: HR=1.19 (95% CI 1.09–1.30); any MIA: HR=1.17 (95% CI 1.10–1.25), respectively after adjusting for covariates.

No statistically significant interactions were observed between the five indicators of MIA-related conditions (4 categories and any MIA) and pregnancy average PM<sub>2.5</sub> on either multiplicative or additive scales (Table 3). The interactions between MIA-related conditions and pregnancy-average exposure of each PM<sub>2.5</sub> component exposure were also not statistically significant, except for the interaction between SO<sub>4</sub><sup>2-</sup> and autoimmune disease indicator (p-interaction=0.002) (Figure 1). For interactions between trimester specific PM<sub>2.5</sub> components exposures and MIA indicators (Figure S3–S5 in the supplement), only the interaction between the second trimester average SO<sub>4</sub><sup>2-</sup> and the autoimmune disease indicator was significant (p-interaction=0.001). However, if these interaction p-values were adjusted for false discovery rate for 100 comparisons [for 5 MIA indicators, 4 components and 4 exposure windows (all pregnancy and 3 trimester exposures)] the threshold p-value for significance would be 0.0005 after the Bonferroni correction, so the isolated SO<sub>4</sub><sup>2-</sup> interactions cannot be considered statistically significant.

We also examined the independent association of ASD risk with pregnancy PM<sub>2.5</sub> exposure and all four categories of MIA-related conditions in one co-adjusted model. The associations of ASD risk with pregnancy PM<sub>2.5</sub> exposure, each MIA-related conditions indicator and ASD were attenuated but remained statistically significant in the co-adjusted model (Table S2 in the supplement). Results were similar in sensitivity analysis with 6,366 ASD cases during follow up without censoring at age 5 (Table S3–S4 in the supplement).

#### 4. Discussion

Higher levels of average PM<sub>2.5</sub> exposures during pregnancy and the presence of each of the four broad categories of conditions that are maternal immune activators were associated



with ASD risk. However, we did not observe associations of ASD risk in children with interactions between prenatal PM<sub>2.5</sub> or its components and MIA-related conditions either on the multiplicative or additive scales.

The conceptual framework of MIA has been proposed in recent years for studying the effects of co-occurring prenatal environmental exposures with fetal neurodevelopment (O'Connor and Ciesla 2022; Estes and McAllister 2016). However, to our knowledge, this is the first large population-based cohort study explicitly examining the interactions of prenatal particulate air pollution exposure with a broad spectrum of maternal clinical conditions during pregnancy that are proxies of maternal immune activation on the risk of ASD in offspring. A previous cohort study from our group reported interactions between gestational diabetes mellitus and O<sub>3</sub> exposure on ASD risk (Jo, Eckel, Chen, et al. 2019). One case-control study showed that maternal immune biomarkers mediated associations between prenatal air pollution exposure and ASD risk (Volk et al. 2020). However, interactions between immune biomarkers or proxies of MIA and air pollution were not examined in that case-control study.

PM<sub>2.5</sub> is comprised of a heterogeneous mixture of solid and liquid particles with varying chemical composition that may have different toxicity. We have previously found that prenatal exposure to PM<sub>2.5</sub> components BC, OM, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> were associated with increased ASD risk (Rahman et al. 2023). Studies have shown that the association between prenatal PM<sub>2.5</sub> and fetal neurodevelopment may vary by exposure time windows (Pagalan et al. 2019; Raz et al. 2015). In the cohort used for this study, our previous research reported that PM<sub>2.5</sub> exposure during the first and second trimesters was associated with higher ASD risk (Rahman et al. 2022). Therefore, we also examined the interactions between trimester specific PM<sub>2.5</sub> exposure and MIA-related conditions. Similar patterns of associations were found as with the pregnancy average PM<sub>2.5</sub>. Overall, no effect modification by MIA-related conditions on the association between PM components and ASD risk was found in our study.

Combinations of different component factors, such as PM<sub>2.5</sub> and MIA-related conditions, may be required for disease development (Rothman 1976). Thus, it has been hypothesized that multiple risk factors sharing similar or complementary biological mechanisms are required for ASD to occur (Estes and McAllister 2016; Bilbo et al. 2018). Evidence from animal and *in vitro* studies has shown that both proxies of MIA and prenatal PM exposure may induce neuroinflammation of glial cells and oxidative stress, leading to impaired neurodevelopment (Baines et al. 2020; Morris et al. 2021; Di Domenico et al. 2020). Children with ASD also exhibit metabolic disruption, with increased oxidative stress (James et al. 2004; James et al. 2006; Gorrindo et al. 2013) and chronic neuroimmune activation in the central nervous system (Onore, Careaga, and Ashwood 2012; Vargas et al. 2005; Voineagu et al. 2011). Thus, it has been hypothesized that co-existing MIA-related conditions and high PM exposure may have synergistic effects on ASD risk. Although our results did not support this hypothesis, this “multiple-exposure” framework may be useful for identifying other multifactorial risk factors for developing ASD.

The present study has several strengths. The large study population provided statistical power to assess even modest associations of ASD risk with interactions between MIA-related conditions and prenatal air pollution, had these effects been present. Mother-child pairs were followed through the EMR in a single integrated healthcare system with standard diagnostic criteria, which helped avoid screening and ascertainment bias. The high-quality EMR also provided relevant confounders in an ethnically diverse sample of children. Current state-of-the-art high spatiotemporal air pollution exposure models were used to assign prenatal ambient exposures accounting for residential mobility.

We also acknowledge some limitations. Beyond clinical diagnoses of maternal immune activators, we lack biomarkers for MIA, which would be expensive to collect for a large cohort. Clinical symptoms and diagnoses of MIA-related conditions can often indicate immune dysregulation in mothers (Mor and Cardenas 2010), which may influence fetal development (Simoes et al. 2018; Patterson 2011). Thus, using the diagnoses of clinical conditions as proxies of MIA in a large population can also provide insights into the potential etiology of ASD. We also acknowledge the limitation of using ICD codes to extract information on maternal health conditions during pregnancy. We lack information on the severity of MIA-related conditions and may misclassify MIA-related conditions if mothers did not come for care if they had mild symptoms. Other epidemiological studies have examined interactions of air pollution with nutritional and genetic risks (Goodrich et al. 2018; Volk et al. 2014; Kim et al. 2017; X. Yu, Rahman, Wang, et al. 2022), but information on these risks was not available in this large cohort. Changes in residential addresses during pregnancy were accounted for in the pollutant exposure assignment, but the exposures away from home at work or elsewhere were unavailable. Since our main hypothesis was about interactions among MIA-related conditions and prenatal air pollution during pregnancy on ASD, we did not assess mediation of air pollution effects by MIA-related conditions. In the co-adjusted model with four categories of clinical conditions and pregnancy  $PM_{2.5}$  (Table S2 in the supplement) the estimated effect sizes were similar to the single exposure models (Table 2), suggesting that these effects of MIA-related conditions and  $PM_{2.5}$  were independent.

In conclusion, our findings do not support the hypothesis that ASD risk in children is associated with multiplicative or additive interaction in analyses of a broad spectrum of MIA-related conditions during pregnancy and prenatal air pollution exposure in this large population-based cohort. However,  $PM_{2.5}$  and four broad categories of conditions related to MIA were independently associated with ASD risk.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## CRediT authorship contribution statement

Xin Yu: Conceptualization, Formal analysis, Writing – original draft, Writing – review & editing.

Md Mostafijur Rahman: Conceptualization, Writing – original draft, Writing – review & editing.

Sarah A. Carter: Conceptualization, Writing – review & editing.

Jane C. Lin: Software, Validation, Data curation, Writing – review & editing.

Zimin Zhuang: Software, Data curation, Writing – review & editing.

Ting Chow: Software, Data curation, Writing – review & editing.

Frederick W. Lurmann: Resources, Writing – review & editing.

Michael J. Kleeman: Writing – review & editing.

Mayra P. Martinez: Project administration, Writing – review & editing.

Aaron van Donkelaar: Resources, Writing – review & editing.

Randall V. Martin: Resources, Writing – review & editing.

Sandrah P. Eckel: Methodology, Writing – review & editing.

Zhanghua Chen: Writing – review & editing.

Pat Levitt: Writing – review & editing.

Joel Schwartz: Writing – original draft.

Daniel Hackman: Writing – original draft, Writing – review & editing, Supervision.

Jiu-Chiuan Chen: Conceptualization, Methodology, Writing – review & editing.

Rob McConnell: Conceptualization, Writing – original draft, Writing – review & editing, Supervision, Funding acquisition.

Anny H. Xiang: Conceptualization, Writing – original draft, Writing – review & editing, Supervision, Funding acquisition.

## Data statement

KPSC Institutional Review Board approved this study, with waiver of informed consent with the condition that raw data remain confidential and would not be shared. Thus, due to the sensitive nature of these data, the data are not available to be shared.

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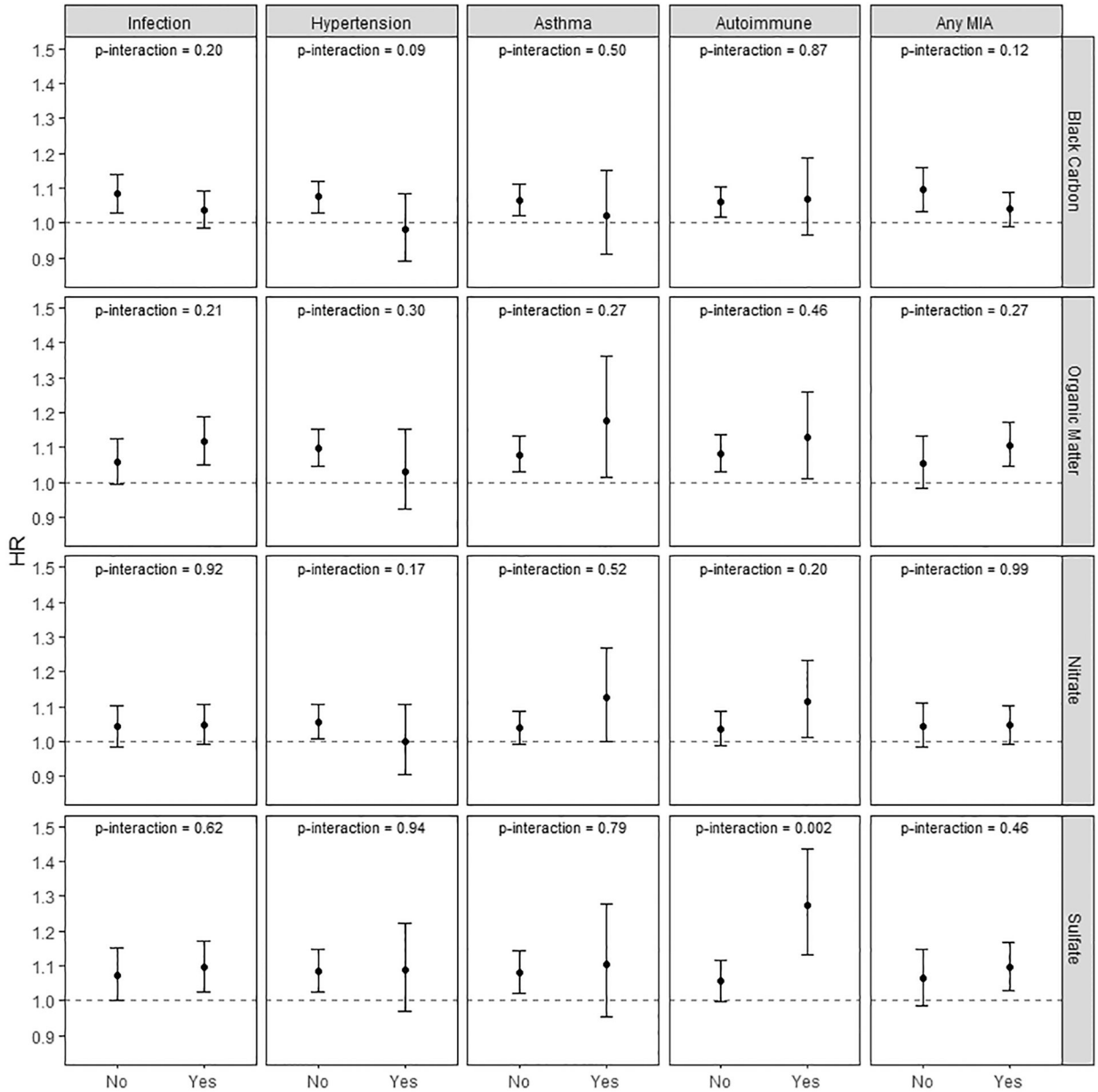
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### Highlights

Prenatal conditions of maternal immune activation (MIA) were associated with ASD.

Exposure to PM<sub>2.5</sub> and its major components during pregnancy were associated with ASD.

Prenatal MIA conditions unexpectedly did not augment effects of particles on ASD.



**Figure 1.** ASD risk associations with interactions between MIA indicators and the concentration of PM<sub>2.5</sub> components during pregnancy

Notes: Models were adjusted for child sex, maternal race/ethnicity, maternal age at delivery, parity, education, maternal history of severe comorbidities, neighborhood disadvantage index, birth year, and season.

Air pollutants were scaled to their interquartile range.

**Table 1**

Characteristics of children, with and without autism spectrum disorder (ASD)

Characteristics	Children, No. (%) or median [interquartile range]		
	Overall (n =318,751)	With ASD (n= 4,559)	Without ASD (n= 314,192)
Sex = Male (%)	163,182 (51.2)	3,703 (81.2)	159,479 (50.8)
Maternal age at delivery, median [IQR <sup>*</sup> ], years	30.4 [26.3, 34.3]	31.3 [27.5, 35.3]	30.4 [26.2, 34.3]
Maternal immune activation (MIA) conditions; N(%)			
Infection	154,894 (48.6)	2,396 (52.6)	152,498 (48.5)
Hypertension	30,762 (9.7)	616 (13.5)	30,146 (9.6)
Asthma	22,860 (7.2)	429 (9.4)	22,431 (7.1)
Autoimmune diseases	35,669 (11.2)	651 (14.3)	35,018 (11.1)
Any MIA	189,373 (59.4)	2,977 (65.3)	186,396 (59.3)
Parity; N (%)			
0	111,981 (35.1)	1,844 (40.4)	110,137 (35.1)
1	104,561 (32.8)	1,495 (32.8)	103,066 (32.8)
>=2	84,176 (26.4)	903 (19.8)	83,273 (26.5)
Unknown	18,033 (5.7)	317 (7.0)	17,716 (5.6)
Maternal Education; N(%)			
High school or lower	112,096 (35.2)	1,335 (29.3)	110,761 (35.3)
Some college	94,525 (29.7)	1,477 (32.4)	93,048 (29.6)
College graduate or higher	109,087 (34.2)	1,713 (37.6)	107,374 (34.2)
Unknown	3,043 (1.0)	34 (0.7)	3,009 (1.0)
Neighborhood disadvantage index [IQR] <sup>a</sup>	0.07 [-1.22, 1.48]	0.07 [-1.31, 1.53]	0.07 [-1.21, 1.48]
Race/ethnicity; N (%)			
Non-Hispanic white	81,050 (25.4)	956 (21.0)	80,094 (25.5)
Non-Hispanic black	29,773 (9.3)	447 (9.8)	29,326 (9.3)
Hispanic	161,415 (50.6)	2,300 (50.4)	159,115 (50.6)
Asian/Pacific Islander	39,974 (12.5)	744 (16.3)	39,230 (12.5)
Other	6,539 (2.1)	112 (2.5)	6,427 (2.0)
Any history of maternal severe comorbidities <sup>b</sup> ; N (%)	46,717 (14.7)	839 (18.4)	45,878 (14.6)
Year of birth; N (%)			
2001–2005	78,257 (24.6)	818 (17.9)	77,439 (24.6)
2006–2010	111,174 (34.9)	1,308 (28.7)	109,866 (36.0)
2011–2014	129,320 (40.6)	2,433 (53.4)	126,887 (40.4)

\* Abbreviations: IQR, interquartile range.

<sup>a</sup> Census tract level neighborhood disadvantage index. Higher values represent more deprived neighborhoods

<sup>b</sup> >=1 diagnosis of heart, lung, kidney, or liver disease; cancer.

**Table 2**

Adjusted hazard ratios and 95% confidence intervals for ASD associated with each air pollutant during pregnancy (in single pollutant models) and with each MIA (in single condition models)

	<b>HR<sup>a</sup> (95% CI)</b>
<b>Pregnancy Air Pollution Exposures<sup>b</sup></b>	
PM <sub>2.5</sub> per 3.73 µg/m <sup>3</sup>	1.07 (1.03, 1.12)
BC per 0.84 µg/m <sup>3</sup>	1.06 (1.02, 1.10)
OM per 1.98 µg/m <sup>3</sup>	1.09 (1.04, 1.14)
NO <sub>3</sub> <sup>-</sup> per 1.15 µg/m <sup>3</sup>	1.05 (1.00, 1.10)
SO <sub>4</sub> <sup>2-</sup> per 0.50 µg/m <sup>3</sup>	1.08 (1.03, 1.15)
<b>Maternal Immune Activation</b>	
Infection	1.11 (1.05, 1.18)
Hypertension	1.30 (1.19, 1.42)
Asthma	1.22 (1.08, 1.38)
Autoimmune <sup>c</sup>	1.19 (1.09, 1.30)
Any MIA <sup>d</sup>	1.17 (1.10, 1.25)

Abbreviations: CI, confidence interval; HR, hazard ratio

<sup>a</sup>Adjusted for child sex, maternal race/ethnicity, maternal age at delivery, parity, education, maternal history of severe comorbidities, neighborhood disadvantage index, birth year, and season.

<sup>b</sup>Each air pollutant was scaled to its interquartile range.

<sup>c</sup>Any autoimmune disease within 1 year prior or during pregnancy. The other three categories of MIA-related conditions were restricted to pregnancy.

<sup>d</sup>Any MIA represents the presence of at least one of the categories of MIA-related conditions.

**Table 3**The interaction between MIA-related conditions and pregnancy PM<sub>2.5</sub> on risk of ASD in children

		PM <sub>2.5</sub> -associated risk HR <sup>a</sup> (95% CI)	multiplicative p-interaction	additive RERI (95% CI)
Infection	No	1.07 (1.01, 1.13)	0.92	0.01 (−0.06, 0.08)
	Yes	1.07 (1.02, 1.13)		
Hypertension	No	1.08 (1.04, 1.13)	0.17	−0.07 (−0.20, 0.06)
	Yes	1.01 (0.92, 1.11)		
Asthma	No	1.07 (1.02, 1.11)	0.52	0.07 (−0.07, 0.20)
	Yes	1.11 (0.99, 1.25)		
Autoimmune <sup>b</sup>	No	1.06 (1.02, 1.11)	0.20	0.09 (−0.03, 0.22)
	Yes	1.13 (1.03, 1.25)		
Any MIA <sup>c</sup>	No	1.07 (1.01, 1.14)	0.99	0.01 (−0.06, 0.08)
	Yes	1.07 (1.02, 1.12)		

Abbreviations: CI, confidence interval; HR, hazard ratio; RERI, relative excess risk due to interaction

<sup>a</sup>Adjusted for child sex, maternal race/ethnicity, maternal age at delivery, parity, education, maternal history of severe comorbidities, neighborhood disadvantage index, birth year, and season. Results were scaled per interquartile (3.73 µg/m<sup>3</sup>) increase in PM<sub>2.5</sub> exposure.

<sup>b</sup>Any autoimmune disease within 1 year prior or during pregnancy. The other three categories of MIA-related conditions were restricted to pregnancy.

<sup>c</sup>Any MIA represents the presence of at least one of the categories of MIA-related conditions.