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Waring's Theorem for Binary Powers

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Abstract

A natural number is a *binary k 'th power* if its binary representation consists of k consecutive identical blocks. We prove an analogue of Waring's theorem for sums of binary k 'th powers. More precisely, we show that for each integer $k \geq 2$, there exists a

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positive integer $W(k)$ such that every sufficiently large multiple of $E_k := \gcd(2^k - 1, k)$ is the sum of at most $W(k)$ binary k 'th powers. (The hypothesis of being a multiple of E_k cannot be omitted, since we show that the gcd of the binary k 'th powers is E_k .) Also, we explain how our results can be extended to arbitrary integer bases $b > 2$.

1 Introduction

Let $\mathbb{N} = \{0, 1, 2, \dots\}$ be the natural numbers and let $S \subseteq \mathbb{N}$. The principal problem of additive number theory is to determine whether every integer N (resp., every sufficiently large integer N) can be represented as the sum of some *constant* number of elements of S , not necessarily distinct, where the constant does not depend on N . For a superb introduction to this topic, see [10].

Probably the most famous theorem of additive number theory is Lagrange's theorem from 1770: every natural number is the sum of four squares [6]. Waring's problem (see, e.g., [16, 17]), first stated by Edward Waring in 1770, is to determine $g(k)$ such that every natural number is the sum of $g(k)$ k 'th powers. (A priori, it is not even clear that $g(k) < \infty$, but this was proven by Hilbert in 1909.) From Lagrange's theorem we know that $g(2) = 4$. For other results concerning sums of squares, see, e.g., [4, 9].

If every natural number is the sum of k elements of S , we say that S forms a *basis* of order k . If every sufficiently large natural number is the sum of k elements of S , we say that S forms an *asymptotic basis* of order k .

In this paper, we consider a variation on Waring's theorem, where the ordinary notion of integer power is replaced by a related notion inspired from formal language theory. Our main result is Theorem 4 below. We say that a natural number N is a *base- b k 'th power* if its base- b representation consists of k consecutive identical blocks. For example, 3549 in base 2 is

$$1101\ 1101\ 1101,$$

so 3549 is a base-2 (or binary) cube. Throughout this paper, we consider only the *canonical* base- b expansions (that is, those without leading zeros). The binary squares

$$0, 3, 10, 15, 36, 45, 54, 63, 136, 153, 170, 187, 204, 221, 238, 255, 528, 561, 594, 627, \dots$$

form sequence [A020330](#) in Sloane's *On-Line Encyclopedia of Integer Sequences* [15]. The binary cubes

$$0, 7, 42, 63, 292, 365, 438, 511, 2184, 2457, 2730, 3003, 3276, 3549, 3822, 4095, 16912, \dots$$

form sequence [A297405](#).

Notice that a number $N > 0$ is a base- b k 'th power if and only if we can write $N = a \cdot c_k^b(n)$, where

$$c_k^b(n) := \frac{b^{kn} - 1}{b^n - 1} = 1 + b^n + \dots + b^{(k-1)n}$$

for some $n \geq 1$ such that $b^{n-1} \leq a < b^n$. (The latter condition is needed to ensure that the base- b k 'th power is formed by the concatenation of blocks that begin with a nonzero digit.)

Such a number consists of k consecutive blocks of digits, each of length n . For example, $3549 = 13 \cdot c_3^2(4)$. We define

$$\mathcal{S}_k^b := \{n \geq 0 : n \text{ is a base-}b \text{ } k\text{'th power}\} = \{a \cdot c_k^b(n) : n \geq 1, b^{n-1} \leq a < b^n\}.$$

The set \mathcal{S}_k^b is an interesting and natural set to study because its counting function is $\Omega(N^{1/k})$, just like the ordinary k 'th powers. It has also appeared in a number of recent papers (e.g., [1]). However, there are two significant differences between the ordinary k 'th powers and the base- b k 'th powers.

The first difference is that 1 is not a base- b k 'th power for $k > 1$. Thus, the base- b k 'th powers cannot, in general, form a basis of finite order, but only an asymptotic basis.

A more significant difference is that the gcd of the ordinary k 'th powers is always equal to 1, while the gcd of the base- b k 'th powers may, in some cases, be greater than one. This is quantified in Section 2. Thus, it is not reasonable to expect that every sufficiently large natural number can be the sum of a fixed number of base- b k 'th powers; only those that are also a multiple of the gcd can be so represented.

2 The greatest common divisor of \mathcal{S}_k^b

Theorem 1. *For $k \geq 1$ define*

$$\begin{aligned} A_k &= \gcd(\mathcal{S}_k^b), \\ B_k &= \gcd(c_k^b(1), c_k^b(2), \dots), \\ C_k &= \gcd(c_k^b(1), c_k^b(2), \dots, c_k^b(k)), \\ D_k &= \gcd(c_k^b(1), c_k^b(k)), \\ E_k &= \gcd\left(\frac{b^k - 1}{b - 1}, k\right). \end{aligned}$$

Then $A_k = B_k = C_k = D_k = E_k$.

Proof. $A_k = B_k$: If d divides B_k , then it clearly also divides all numbers of the form $a \cdot c_k^b(n)$ with $b^{n-1} \leq a < b^n$ and hence A_k .

On the other hand if d divides A_k , then it divides $c_k^b(1)$. Furthermore, d divides $b^{n-1} \cdot c_k^b(n)$ and $(b^{n-1} + 1)c_k^b(n)$ (both of which are members of \mathcal{S}_k^b provided $n \geq 2$). So it must divide their difference, which is just $c_k^b(n)$. So d divides B_k .

$B_k = C_k$: Note that d divides B_k if and only if it divides $c_k^b(1)$ and also $c_k^b(n) \bmod c_k^b(1)$ for all $n \geq 1$. Now it is well known that, for $b \geq 2$ and integers $n, k \geq 1$, we have

$$b^n \equiv b^{n \bmod k} \pmod{b^k - 1}.$$

Hence

$$\begin{aligned}
c_k^b(n) &= 1 + b^n + \dots + b^{(k-1)n} \equiv 1 + b^{n \bmod k} + \dots + b^{(k-1)n \bmod k} \pmod{b^k - 1} \\
&\equiv 1 + b^a + \dots + b^{(k-1)a} \pmod{b^k - 1} \\
&\equiv 1 + b^a + \dots + b^{(k-1)a} \pmod{c_k^b(1)} \\
&\equiv c_k^b(a) \pmod{c_k^b(1)},
\end{aligned}$$

where $a = n \bmod k$. Thus any divisor of C_k is also a divisor of B_k . The converse is clear.

$D_k = E_k$: It suffices to observe that

$$\begin{aligned}
c_k^b(k) &= 1 + b^k + \dots + b^{(k-1)k} \\
&\equiv \overbrace{1 + 1 + \dots + 1}^k \pmod{b^k - 1} \\
&\equiv k \pmod{b^k - 1} \\
&\equiv k \pmod{\frac{b^k - 1}{b - 1}} \\
&\equiv k \pmod{c_k^b(1)}.
\end{aligned}$$

$B_k = E_k$: Every divisor of B_k clearly divides D_k , and above we saw $D_k = E_k$. We now show that every prime divisor of E_k divides B_k to at least the same order, thus showing that every divisor of E_k divides B_k . We need the following classic lemma, sometimes called the “lifting-the-exponent” or LTE lemma [2]:

Lemma 2. *If p is a prime number and $c \neq 1$ is an integer such that $p \mid c - 1$, then*

$$\nu_p\left(\frac{c^n - 1}{c - 1}\right) \geq \nu_p(n),$$

for all positive integers n , where $\nu_p(n)$ is the p -adic valuation of n (the exponent of the highest power of p dividing n).

Fix an integer $\ell \geq 1$ and let p be a prime factor of E_k . On the one hand, if $p \mid b^\ell - 1$, then by Lemma 2 we get that

$$\nu_p(c_k^b(\ell)) = \nu_p\left(\frac{b^{k\ell} - 1}{b^\ell - 1}\right) \geq \nu_p(k) \geq \nu_p(E_k),$$

since $E_k \mid k$. Hence $p^{\nu_p(E_k)} \mid c_k^b(\ell)$. On the other hand, if $p \nmid b^\ell - 1$, then $p^{\nu_p(E_k)}$ divides $c_k^b(\ell) = \frac{b^{k\ell} - 1}{b^\ell - 1}$ simply because $p^{\nu_p(E_k)}$ divides the numerator but does not divide the denominator. In both cases, we have that $p^{\nu_p(E_k)} \mid c_k^b(\ell)$, and since this is true for all prime divisors of E_k , we get that $E_k \mid c_k^b(\ell)$, as desired. \square

Remark 3. For $b = 2$, the sequence E_k is sequence [A014491](#) in Sloane’s *Encyclopedia*. We make some additional remarks about the values of E_k in Section 5.

In the remainder of the paper, for concreteness, we focus on the case $b = 2$. We set $c_k(n) := c_k^2(n)$ and $\mathcal{S}_k := \mathcal{S}_k^2$. However, everything we say also applies more generally to bases $b > 2$, with one minor complication that is mentioned in Section 5.

3 Waring’s theorem for binary k ’th powers: proof outline and tools

We now state the main result of this paper.

Theorem 4. *Let $k \geq 1$ be an integer. Then there is a number $W(k) < \infty$ such that every sufficiently large multiple of $E_k = \gcd(2^k - 1, k)$ is representable as the sum of at most $W(k)$ binary k ’th powers.*

Remark 5. The fact that $W(2) \leq 4$ was proved in [8].

Proof sketch. Here is an outline of the proof. All of the mentioned constants depend only on k .

Given a number N , a multiple of E_k , that we wish to represent as a sum of binary k ’th powers, we first choose a suitable power of 2, say $x = 2^n$, and think of N as a degree- k polynomial p evaluated at x . For example, we can represent N in base 2^n ; the “digits” of this representation then correspond to the coefficients of p .

Similarly, the integers $c_k(n), c_k(n+1), \dots, c_k(n+k-1)$ can also be viewed as polynomials in $x = 2^n$. By linear algebra, there is a unique way to rewrite p as a linear combination of $c_k(n), c_k(n+1), \dots, c_k(n+k-1)$, and this linear transformation can be represented by a matrix M that depends only on k , and is independent of n .

At first glance, such a linear combination would seem to provide a suitable representation of N in terms of binary k ’th powers, but there are three problems to overcome:

- (a) the coefficients of $c_k(i)$, $n \leq i < n+k$, could be much too large;
- (b) the coefficients could be too small or negative;
- (c) the coefficients might not be integers.

Issue (a) can be handled by choosing n such that $2^n \approx N^{1/k}$. This guarantees that the resulting coefficients of the $c_k(n)$ are at most a constant factor larger than 2^n . Using Lemma 7 below, the coefficients can be “split” into at most a constant number of coefficients lying in the desired range.

Issue (b) is handled by not working with N , but rather with $Y := N - D$, where D is a suitably chosen linear combination of $c_k(n), c_k(n+1), \dots, c_k(n+k-1)$ with large positive integer coefficients. Any negative coefficients arising in the expression for Y can now be offset by adding the large positive coefficients corresponding to D , giving us coefficients for the representation of N that are positive and lie in a suitable range.

Issue (c) is handled by rounding down the coefficients of the linear combination to the next lower integer. This gives us a representation, as a sum of binary k ’th powers, for some smaller number $N' < N$, where the difference $N - N'$ is a sum of at most k^2 terms of the form $2^i/d$, where d is the determinant of M . However, the base-2 representation of $1/d$ is, disregarding leading zeros, actually periodic with some period p . By choosing an appropriate small multiple of a binary k ’th power corresponding to k copies of this period,

we can approximate each $2^i/d$, and hence $N - N'$, from below by some number N'' that is a sum of binary k 'th powers.

The remaining error term is $Q := N - N' - N''$, which turns out to be at most some constant depending on k . Since N is a multiple of E_k and N' and N'' are sums of binary k 'th powers, it follows that Q is also a multiple of E_k . With care we can ensure that Q is larger than the Frobenius number of the binary k 'th powers, and hence Q can be written as a sum of elements of \mathcal{S}_k . On the other hand, since Q is a constant, at most a constant number of additional binary k 'th powers are needed to represent it. This completes the sketch of our construction. It is carried out in more detail in the rest of the paper. \square

Remark 6. In what follows, we spend a small amount of time explaining that certain quantities are actually constants that depend only on k . By estimating these constants we could come up with an explicit bound on $W(k)$, but we have not done so.

3.1 Expressing multiples of $c_k(n)$ as a sum of binary k 'th powers

As we have seen, a number of the form $a \cdot c_k(n)$ with $2^{n-1} \leq a < 2^n$ is a binary k 'th power. But how about larger multiples of $c_k(n)$? The following lemma will be useful.

Lemma 7. *Let $a \geq 2^{n-1}$. Then $a \cdot c_k(n)$ is the sum of at most $\lceil \frac{a}{2^{n-1}} \rceil$ binary k 'th powers.*

Proof. Clearly the claim is true for $2^{n-1} \leq a < 2^n$. Otherwise, define $b := \lceil \frac{a}{2^{n-1}} \rceil$ and $c := (2^n - 1)b - a$, so that $0 \leq c < 2^n - 1$. Then $a = (b - 2)(2^n - 1) + d_1 + d_2$, where $d_1 = \lfloor (2^n - 1) - \frac{c}{2} \rfloor$ and $d_2 = \lceil (2^n - 1) - \frac{c}{2} \rceil$. A routine calculation now shows that $2^{n-1} \leq d_1 \leq d_2 < 2^n$, and so $a \cdot c_k(n)$ is the sum of b binary k 'th powers. \square

3.2 Change of basis and the Vandermonde matrix

In what follows, matrices and vectors are always indexed starting at 0. Recall that a Vandermonde matrix

$$V(a_0, a_1, \dots, a_{k-1})$$

is a $k \times k$ matrix where the entry in the i 'th row and j 'th column, for $0 \leq i, j < k$, is defined to be a_i^j . The matrix is invertible if and only if the a_i are distinct.

Recall that $c_k(n) = 1 + 2^n + 2^{2n} + \dots + 2^{(k-1)n}$. For $k \geq 1$ and $n \geq 0$ we have

$$\begin{bmatrix} c_k(n) \\ c_k(n+1) \\ \vdots \\ c_k(n+k-1) \end{bmatrix} = M_k \begin{bmatrix} 1 \\ 2^n \\ \vdots \\ 2^{(k-1)n} \end{bmatrix}, \quad (1)$$

where $M_k = V(1, 2, 4, \dots, 2^{k-1})$. For example,

$$M_4 = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 4 & 8 \\ 1 & 4 & 16 & 64 \\ 1 & 8 & 64 & 512 \end{bmatrix}.$$

Let a natural number Y be represented as an \mathbb{N} -linear combination

$$Y = a_0 + a_1 2^n + \cdots + a_{k-1} 2^{(k-1)n}.$$

Then, multiplying Eq. (1) on the left by

$$[b_0 \ b_1 \ \cdots \ b_{k-1}] := [a_0 \ a_1 \ \cdots \ a_{k-1}] M_k^{-1},$$

we get the following expression for Y as a \mathbb{Q} -linear combination of binary k 'th powers:

$$Y = b_0 c_k(n) + b_1 c_k(n+1) + \cdots + b_{k-1} c_k(n+k-1).$$

It remains to estimate the size of the coefficients b_i , as well as the sizes of their denominators.

The Vandermonde matrix is well studied (e.g., [12, pp. 43, 105]). We recall one basic fact about it.

Lemma 8. *The determinant of $V(a_0, a_1, \dots, a_{k-1})$ is*

$$\prod_{0 \leq i < j < k} (a_j - a_i).$$

We now define d_k to be the determinant of M_k , and ℓ_k to be the largest of the absolute values of the entries of M_k^{-1} . Note that, by Lemma 8, d_k is positive. Also, Laplace's formula tells us that $M_k^{-1} = M'_k d_k^{-1}$, where M'_k is the adjugate (classical adjoint) M'_k of M_k . Furthermore, since M_k has integer entries, so does M'_k .

Proposition 9. *We have $0 < d_k < 2^{k^3/3}$ for $k \geq 1$.*

Proof. By the formula of Lemma 8 we know that

$$d_k = \prod_{0 \leq i < j < k} (2^j - 2^i) < \prod_{0 \leq i < j < k} 2^j = 2^{k^3/3 - k^2/2 + k/6} < 2^{k^3/3}$$

for $k \geq 1$. □

Our next result demonstrates that ℓ_k , the absolute value of the largest entry in M_k^{-1} , is bounded above by a constant.

Proposition 10. *We have $\ell_k < 34$.*

Proof. As is well known (see, e.g., [5, Exercise 1.2.3.40]), the i 'th column in the inverse of the Vandermonde matrix $V(a_0, a_1, \dots, a_{k-1})$ consists of the coefficients of the polynomial

$$p(x) := \frac{\prod_{\substack{0 \leq j < k \\ j \neq i}} (x - a_j)}{\prod_{\substack{0 \leq j < k \\ j \neq i}} (a_j - a_i)}.$$

We also observe that if

$$(x - b_1)(x - b_2) \cdots (x - b_n) = x^n + c_{n-1}x^{n-1} + \cdots + c_1x + c_0,$$

is a polynomial with real roots, then the absolute value of every coefficient c_i is bounded by

$$|c_0| + \cdots + |c_{n-1}| \leq \prod_{1 \leq i \leq n} (1 + |b_i|).$$

Putting these two facts together, we see that all of the entries in the i 'th column of $V(a_0, a_1, \dots, a_{n-1})^{-1}$ are, in absolute value, bounded by

$$P_k(i) := \frac{\prod_{\substack{0 \leq j < k \\ j \neq i}} (1 + |a_j|)}{\prod_{\substack{0 \leq j < k \\ j \neq i}} |a_j - a_i|}.$$

Now let's specialize to $a_i = 2^i$. We get

$$P_k(i) := \frac{\prod_{\substack{0 \leq j < k \\ j \neq i}} (2^j + 1)}{\prod_{\substack{0 \leq j < k \\ j \neq i}} |2^j - 2^i|} \leq \frac{\prod_{0 \leq j < k} (2^j + 1)}{\prod_{\substack{0 \leq j < k \\ j \neq i}} |2^j - 2^i|}.$$

To finish the proof of the upper bound, it remains to find a lower bound for the denominator

$$Q_k(i) := \prod_{\substack{0 \leq j < k \\ j \neq i}} |2^j - 2^i|.$$

We claim, for $k \geq 2$, that

$$Q_k(0) \geq Q_k(1) \tag{2}$$

and

$$Q_k(1) \leq Q_k(2) \leq \cdots \leq Q_k(k-1). \tag{3}$$

To see (2), note that $Q_k(0) = \prod_{2 \leq j < k} (2^j - 1)$ and $Q_k(1) = \prod_{2 \leq j < k} (2^j - 2)$. On the other hand, by telescoping cancellation we see, for $1 \leq i \leq k-2$, that

$$\frac{Q_k(i)}{Q_k(i+1)} = \frac{2^{k-1} - 2^i}{(2^{i+1} - 1)2^{k-2}} < \frac{2^{k-1}}{3 \cdot 2^{k-2}} = \frac{2}{3},$$

which proves (3). Hence $Q_k(i)$ is minimized at $i = 1$. Now

$$\begin{aligned} \ell_k &\leq \frac{\prod_{0 \leq j < k} (2^j + 1)}{Q_k(i)} \leq \frac{\prod_{0 \leq j < k} (2^j + 1)}{Q_k(1)} \\ &= \frac{\prod_{0 \leq j < k} (2^j + 1)}{\prod_{2 \leq j < k} (2^j - 2)} < 2 \cdot 3 \cdot \prod_{j \geq 2} \frac{2^j + 1}{2^j - 2} \doteq 33.023951743 \cdots < 34. \end{aligned}$$

□

Remark 11. The tightest upper bound seems to be $\ell_k < 5.194119929183 \cdots$ for all k , but we did not prove this.

3.3 Expressing fractions of powers of 2 as sums of binary k 'th powers

In everything that follows, k is an integer greater than 1.

Lemma 12. *Let $f > 1$ be an odd integer. Define $e = \lfloor \log_2 f \rfloor$, so that $2^e < f < 2^{e+1}$. Let m be the order of 2 in the multiplicative group of integers modulo f . Then for all integers $j \geq 1$, the number*

$$\left\lfloor \frac{2^{jm+e}}{f} \right\rfloor$$

is a binary j 'th power, whose base-2 representation consists of j repetitions of a block of size m .

Proof. Since m is the multiplicative order of 2 modulo f , we have $2^m - 1 = fq$ for some positive integer q . Then

$$\frac{1}{f} = \frac{q}{2^m - 1} = q \sum_{i \geq 1} 2^{-im}.$$

Multiplying by 2^{jm+e} and splitting the summation into two pieces, we see that

$$\begin{aligned} \frac{2^{jm+e}}{f} &= q \cdot 2^{jm+e} \sum_{i \geq 1} 2^{-im} \\ &= q \cdot 2^{jm+e} \sum_{1 \leq i \leq j} 2^{-im} + q \cdot 2^{jm+e} \sum_{i > j} 2^{-im} \\ &= q \cdot 2^e \cdot \frac{2^{jm} - 1}{2^m - 1} + \frac{2^e}{f}. \end{aligned} \tag{4}$$

Since $2^e < f$, the right-hand side of Eq. (4) is the sum of an integer and a number strictly between 0 and 1. It follows that

$$\left\lfloor \frac{2^{jm+e}}{f} \right\rfloor = q \cdot 2^e \cdot \frac{2^{jm} - 1}{2^m - 1}.$$

It remains to see that $q \cdot 2^e$ is in the right range: we must have $2^{m-1} \leq q \cdot 2^e < 2^m$.

To see this, note that

$$q \cdot 2^e = \frac{2^m - 1}{f} \cdot 2^e = \frac{2^{m+e}}{f} - \frac{2^e}{f},$$

and, since $0 < 2^e/f < 1$, it follows that

$$\frac{2^{m+e}}{f} - 1 < q \cdot 2^e < \frac{2^{m+e}}{f}.$$

Rewriting gives

$$2^{m-1} - 1 < 2^{m-1} \left(\frac{2^{e+1}}{f} \right) - 1 < q \cdot 2^e \leq \left(\frac{2^e}{f} \right) 2^m < 2^m,$$

or $2^{m-1} \leq q \cdot 2^e < 2^m$, as desired. \square

Lemma 13. *Let g be an integer with $g = 2^\ell \cdot f$, where $f \geq 1$ is odd. Then for all $n \geq kf + \ell + \log_2 f$, the number $\lfloor \frac{2^n}{g} \rfloor$ can be written as the sum of at most 2^{kf-1} binary k 'th powers and an integer t with $0 \leq t \leq 2^{kf-1}$.*

Proof. There are two cases: (a) $f = 1$ or (b) $f > 1$.

(a) $f = 1$: Using the division algorithm write $n - \ell = rk + i$ for $0 \leq i \leq k - 1$. Since $n \geq \ell$ we have

$$\left\lfloor \frac{2^n}{g} \right\rfloor = \frac{2^n}{g} = 2^{n-\ell} = 2^{rk+i} = 2^i(2^{rk} - 1) + 2^i.$$

The base-2 representation of $2^{rk} - 1$ is clearly a binary k 'th power. Take $t = 2^i$.

(b) $f > 1$: Let $e = \lfloor \log_2 f \rfloor$ and let m be the order of 2 in the multiplicative group of integers modulo f .

Using the division algorithm, write $n - \ell - e = rkm + i$ for some i with $0 \leq i \leq km - 1$. Note that since $n \geq kf + \ell + \log_2 f \geq km + \ell + e$ we have $r \geq 1$.

Then

$$\begin{aligned} \frac{2^n}{g} &= \frac{2^{rkm+i+\ell+e}}{2^\ell \cdot f} = \frac{2^{rkm+i+e}}{f} \\ &= 2^i \cdot \frac{2^{rkm+e}}{f} = 2^i \left\lfloor \frac{2^{rkm+e}}{f} \right\rfloor + t, \end{aligned}$$

with $0 \leq t < 2^i$. Now take the floor of both sides and apply Lemma 12. \square

3.4 The Frobenius number

Let S be a set and x be a real number. By xS we mean the set $\{xs : s \in S\}$.

Let $S \subseteq \mathbb{N}$ with $\gcd(S) = 1$. The Frobenius number of S , written $F(S)$, is the largest integer that cannot be represented as a non-negative integer linear combination of elements of S . See, for example, [13].

As we have seen, $\gcd(\mathcal{S}_k) = E_k = \gcd(k, 2^k - 1)$. Thus $\gcd(E_k^{-1}\mathcal{S}_k) = 1$. Define F_k to be the Frobenius number of the set $E_k^{-1}\mathcal{S}_k$. In this section we give a weak upper bound for F_k .

Lemma 14. *For $k \geq 2$ we have $F_k \leq 2^{k^2+k}$.*

Proof. Consider $T = \{g_1, g_2, g_3\}$ where $g_1 = 2^k - 1$, $g_2 = (2^k - 2)\frac{2^{k^2}-1}{2^k-1}$, and $g_3 = (2^k - 1)\frac{2^{k^2}-1}{2^k-1}$. We have $T \subseteq \mathcal{S}_k$. Let d be the greatest common divisor of T . Then d divides $g_3 - g_2 = \frac{2^{k^2}-1}{2^k-1}$ and $g_1 = 2^k - 1$. So d divides D_k . On the other hand, clearly, A_k divides d , while from Theorem 1 we know that $A_k = D_k = E_k$. Hence, $d = E_k$.

Clearly $F(E_k^{-1}\mathcal{S}_k) \leq F(E_k^{-1}T)$. Furthermore, since $g_1 \mid g_3$, it follows that $F(E_k^{-1}T) = F(\{E_k^{-1}g_1, E_k^{-1}g_2\})$. By a well-known result (see, e.g., [13, Theorem 2.1.1, p. 31]), we have $F(\{a, b\}) = ab - a - b$, and the desired claim follows. \square

Remark 15. We compute explicitly that $F_2 = 17$, $F_3 = 723$, $F_4 = 52753$, $F_5 = 49790415$, and $F_6 = 126629$.

4 The complete proof

We are now ready to fill in the details of the proof of our main result, Theorem 4. We recall the definitions of the following quantities that will figure in the proof:

- $c_k(n) = 1 + 2^n + \dots + 2^{(k-1)n}$;
- $E_k = \gcd(k, 2^k - 1)$ is the greatest common divisor of the set \mathcal{S}_k of binary k 'th powers;
- F_k is the Frobenius number of the set $E_k^{-1}\mathcal{S}_k$;
- d_k is the determinant of the Vandermonde matrix $M_k = V(1, 2, \dots, 2^{k-1})$;
- ℓ_k is the largest of the absolute values of the entries of M_k^{-1}

We will show that, for $k \geq 1$, there exists a constant $W(k)$ such that every integer $N > F_k E_k$ that is a multiple of $E_k = \gcd(k, 2^k - 1)$ can be written as the sum of $W(k)$ binary k 'th powers.

Proof. The result is clear for $k = 1$, so let us assume $k \geq 2$ and that N is a multiple of E_k . Define $Z = (F_k + 1)E_k$. In the proof there are several places where we need N to be “sufficiently large”; that is, greater than some constant $C > Z$ depending only on k ; some are awkward to write explicitly, so we do not attempt to do so. Instead we just assume N satisfies the requirement $N > C$. The cases $F_k E_k < N \leq C$ are then handled by writing N as a sum of a constant number of elements of \mathcal{S}_k .

Let $X := N - Z$. Let c be a constant specified below, and let n be the largest integer such that $2^n < cX^{1/k}$; we assume N is sufficiently large so that $n \geq 1$.

First we explain how to write $X = Y + D$, where

- (a) $Y < c_k(n)$; and
- (b) D is an \mathbb{N} -linear combination of $c_k(n), \dots, c_k(n + k - 1)$ with all coefficients sufficiently large.

To do so, define $Q = c_k(n) + \dots + c_k(n + k - 1)$, and $R = \lfloor X/Q \rfloor$. We have now obtained RQ (a good approximation of X), which is an \mathbb{N} -linear combination of $c_k(n), \dots, c_k(n + k - 1)$ with every coefficient equal to R . Note that $0 \leq X - RQ < Q$.

We now improve this approximation of X using a greedy algorithm, as follows: from $x - RQ$ we remove as many copies as possible of $c_k(n + k - 1)$, then as many copies as possible of $c_k(n + k - 2)$, and so forth, down to $c_k(n)$. More precisely, for each index $i = k - 1, k - 2, \dots, 0$ (in that order) set

$$r_i = \left\lfloor \frac{X - RQ - \sum_{i < j < k} r_j c_k(n + j)}{c_k(n + i)} \right\rfloor,$$

and then put

$$D := RQ + r_0 c_k(n) + r_1 c_k(n + 1) + \dots + r_{k-1} c_k(n + k - 1).$$

By the way we chose the r_i , we have $0 \leq r_{k-1} < 2$ and $0 \leq r_i < c_k(n+i+1)/c_k(n+i) < 2^{k-1}$ for $0 \leq i \leq k-2$. Furthermore, $0 \leq y < c_k(n)$. Define $e_i = R + r_i$ for $0 \leq i < k$. Then $D = \sum_{0 \leq i < k} e_i c_k(n+i)$.

Since $Y < c_k(n)$, we can express Y in base 2^n as $Y = a_0 + a_1 2^n + \dots + a_{k-1} 2^{(k-1)n}$, where each a_i is an integer satisfying $0 \leq a_i < 2^n$.

Apply the transformation discussed above in Section 3.2, obtaining the \mathbb{Q} -linear combination

$$Y = \sum_{0 \leq i < k} b_i c_k(n+i).$$

It follows that $X = \sum_{0 \leq i < k} (e_i + b_i) c_k(n+i)$.

Furthermore, from Section 3.2 we know that each b_i is at most $k\ell_k \cdot 2^n$ in absolute value, and the denominator of each b_i is at most d_k .

Now we want to ensure that, for $0 \leq i < k$, it holds

$$2^{n+i-1} \leq e_i + b_i < c' 2^n, \tag{5}$$

where $c' > 0$ is a constant depending only on k . We choose the constant c mentioned above to get the bound (5).

Pick $c > 0$ such that $c^{-k} = (2^{k-2} + k\ell_k + 1) 2^{k^2-k+1}$. Then we have

$$\begin{aligned} e_i + b_i &\geq R - k\ell_k \cdot 2^n > \frac{X}{Q} - k\ell_k \cdot 2^n - 1 > \frac{2^{kn} c^{-k}}{2 \cdot 2^{(k-1)(n+k)+1}} - k\ell_k \cdot 2^n - 1 \\ &= 2^n \left(c^{-k} 2^{-k^2+k-1} - k\ell_k - 2^{-n} \right) > 2^{n+k-2} \geq 2^{n+i-1}, \end{aligned}$$

as desired.

For the upper bound, recalling that our choice of n implies that $cX^{1/k} \leq 2^{n+1}$, we have

$$e_i + b_i < R + 2^{k-1} + k\ell_k 2^n \leq \frac{X}{Q} + 2^{k-1} + k\ell_k 2^n < \frac{2^{k(n+1)} c^{-k}}{2^{(k-1)(n+k-1)}} + 2^{k-1} + k\ell_k 2^n,$$

and a routine calculation shows that $e_i + b_i \leq c' 2^n$, where the c' depends only on k .

The only problem left to resolve is that the $e_i + b_i$ need not be integers. Write $X = X_1 + X_2$, where

$$X_1 := \sum_{0 \leq i < k} \lfloor e_i + b_i \rfloor c_k(n+i).$$

Thanks to (5), we can use Lemma 7 to rewrite X_1 as a sum of a constant number of binary k 'th powers. Then

$$X_2 = \sum_{0 \leq i < k} a_i c_k(n+i),$$

where $0 < a_i < 1$ is a rational number with denominator d_k . Writing $a_i = v_i/d_k$, we see

$$\begin{aligned} X_2 &= \sum_{0 \leq i < k} \frac{v_i}{d_k} \sum_{0 \leq j < k} 2^{(n+i)j} \\ &= \sum_{0 \leq i, j < k} v_i \cdot \frac{2^{(n+i)j}}{d_k} \\ &= \sum_{0 \leq i, j < k} v_i \left\lfloor \frac{2^{(n+i)j}}{d_k} \right\rfloor + X_3, \end{aligned}$$

where $0 \leq X_3 < d_k \cdot k^2$.

By Lemma 13 we know that, provided $(n+i)j > kd_k + 2 \log_2 d_k$, each term $\left\lfloor \frac{2^{(n+i)j}}{d_k} \right\rfloor$ is the sum of a constant number of binary k 'th powers, plus an error term that is at most 2^{kd_k} . Thus, provided n (and hence N) are large enough, this will be true for all exponents except those corresponding to $j = 0$. Those exponents are not a problem, since for $j = 0$ we have $\left\lfloor \frac{2^{(n+i)j}}{d_k} \right\rfloor = 0$, because $d_k > 1$ for $k > 1$. It follows that $X_4 := X_2 - X_3$ is the sum of a constant number of binary k 'th powers.

Putting this all together, we have expressed

$$N = X_1 + X_4 + X_3 + Z,$$

where X_1 and X_4 are both the sum of a constant number of binary k 'th powers, and $X_3 + Z$ is bounded below by $(F_k + 1)E_k$ and above by a constant. Now N , X_1 , and X_4 are all multiples of E_k , so the ‘‘error term’’ $X_3 + Z$ must also be a multiple of E_k . Furthermore, the error term is larger than $E_k F_k$ and hence is representable as a sum of a constant number of binary k 'th powers. \square

5 Final remarks

Everything we have done in this paper is equally applicable to expansions in bases $b > 2$, with one minor complication: it may be that if b is not a prime, then the base- b expansion of $1/d$ might not be purely periodic (after removing any leading zeros), but only ultimately periodic. This adds a small complication in Section 3.3. However, this case can easily be handled, and we leave the details to the reader.

The bounds we obtained in this paper for $W(k)$ are very weak — at least doubly exponential — and can certainly be improved. We leave this as work for the future. For example, we have

Conjecture 16. Every natural number > 147615 is the sum of at most nine binary cubes. The total number of exceptions is 4921.

Remark 17. We have verified this claim up to 2^{27} .

There is another approach to Waring's theorem for binary powers that could potentially give much better bounds for $W(k)$. For sets $S, T \subseteq \mathbb{N}$ define the *sumset* $S + T$ as follows:

$$S + T = \{s + t : s \in S, t \in T\}.$$

We make the following conjecture:

Conjecture 18. Writing C_n for the set $\{a \cdot c_k^2(n) : 2^{n-1} \leq a < 2^n\}$ of cardinality 2^{n-1} (i.e., the kn -bit binary k 'th powers), for $n, k \geq 1$, all the elements in the sumset

$$C_n + C_{n+1} + \cdots + C_{n+k-1},$$

are actually represented *uniquely* as a sum of k elements, one chosen from each of the summands.

If this conjecture were true — we have proved it for $1 \leq k \leq 3$ — it would prove that \mathcal{S}_k has positive density, and hence, by a result of Nathanson [11, Theorem 11.7, p. 366], that it is an asymptotic additive basis. From this we could obtain better bounds on $W(k)$.

In the light of our results, it seems natural to ask about the set \mathcal{T}_1^b of positive integers k such that $\gcd(\mathcal{S}_k^b) = 1$. Indeed, we have that the elements of \mathcal{T}_1^b are exactly the integers k such that \mathcal{S}_k^b forms an asymptotic additive basis for \mathbb{N} . It turns out that \mathcal{T}_1^b has a natural density, and even more can be said: since $\left(\frac{b^k-1}{b-1}\right)_{k \geq 1}$ is a Lucas sequence, we can employ the same methods of [14] to prove the following result:

Theorem 19. For all integers $g \geq 1$, $b \geq 2$, the set \mathcal{T}_g^b of positive integers k such that $\gcd(\mathcal{S}_k^b) = g$ has a natural density, given by

$$\mathbf{d}(\mathcal{T}_g^b) = \sum_{d \geq 1 \text{ coprime with } b} \frac{\mu(d)}{L_b(dg)},$$

where μ is the Möbius function and $L_b(x) := \text{lcm}(x, \text{ord}_x(b))$, where $\text{ord}_x(b)$ is the multiplicative order of b , modulo x . In particular, the series converges absolutely.

Furthermore, $\mathbf{d}(\mathcal{T}_g^b) > 0$ if and only if $\mathcal{T}_g^b \neq \emptyset$ if and only if $g = \gcd\left(L_b(g), \frac{b^{L_b(g)}-1}{b-1}\right)$.

Also, employing the methods of [7], the counting function of the set $\{g \geq 1 : \mathcal{T}_g^b \neq \emptyset\}$ can be shown to be $\gg x/\log x$ and at most $o(x)$, as $x \rightarrow +\infty$. Note only that, in doing so, where in [7] results of Cubre and Rouse [3] on the density of the set of primes p such that the rank of appearance of p in the Fibonacci sequence is divisible by a fixed positive integer m are used, one should instead use results on the density of the set of primes p such that $\text{ord}_p(b)$ is divisible by m — for example, those given by Wiertelak [18].

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