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Los Angeles

HIV-1 Vpr and HIV-2 Vpr modulate the DNA damage response

A dissertation submitted in partial satisfaction of the  
requirements for the degree of Doctor of Philosophy  
in Molecular Biology

by

Carina Sandoval

2023

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2023

## ABSTRACT OF THE DISSERTATION

HIV-1 Vpr and HIV-2 Vpr Modulate the DNA Damage Response

by

Carina Sandoval

Doctor of Philosophy in Molecular Biology

University of California, Los Angeles, 2023

Professor Oliver I. Fregoso, Chair

HIV-1 and HIV-2 are two evolutionarily distinct viruses that encode the accessory protein Vpr. Although many functions have been ascribed to HIV-1 and HIV-2 Vpr, the primary and conserved function of Vpr is unknown. Both HIV-1 and HIV-2 Vpr induce cell cycle arrest, modulate the DNA damage response (DDR), alter transcription, and engage the E3 ubiquitin ligase CRL4A<sup>DCAF1</sup> complex, causing host protein degradation. Moreover, most of the phenotypes described for Vpr involve the ability of Vpr to modulate the DNA damage response (DDR). Here, we aimed to identify the conserved and divergent functions of HIV-1 and HIV-2 Vpr and determine how Vpr enhances viral replication.

In Chapter 1, we wrote a review on viral modulation of DDR and the similarities between DDR and innate immunity. We report that many diverse viruses modulate the DDR at multiple steps to facilitate viral replication. Furthermore, we compare the DDR and innate immune response, as both pathways are responsible for sensing, signaling, and responding to aberrant nucleic acids.

In Chapter 2, we found that HIV-1 Vpr induces DNA damage and activates ATM-signaling in the absence of cell cycle arrest and engagement with the CRL4A<sup>DCAF1</sup> complex. These findings were novel as most functions described for accessory genes require host protein degradation. Yet, an

emerging idea in the field is that accessory genes can function independently of co-opting E3 ubiquitin ligase complexes. Furthermore, in primary macrophages, we found that Vpr-induced DNA damage activates NF- $\kappa$ B transcription through the ATM-NEMO pathway. Lastly, we found virion-delivered and *de novo* expressed Vpr induces DNA damage and activates NF- $\kappa$ B. These data propose Vpr enhances viral replication by promoting proviral transcription through NF- $\kappa$ B.

In Chapter 3, we found that HIV-2 Vpr induces DNA damage, activates DDR signaling, and promotes nuclear translocation of RelA, independent of CRL4A<sup>DCAF1</sup> engagement and cell cycle arrest, as conserved by HIV-1 Vpr. However, HIV-2 Vpr does not upregulate NF- $\kappa$ B target genes that HIV-1 Vpr upregulates. These data suggest that there are both conserved and divergent mechanisms by which HIV-1 and HIV-2 Vpr modulate the DDR.

In Chapter 4, we leveraged our understanding of viral modulation of the DDR to pose the hypothesis that DDR genes are rapidly evolving because they are in conflict with HIV-1. Here, we found that 14.6% of DDR genes show signatures of positive selection, and most of these are involved in homologous recombination. Through a CRISPR screen, we identified DDR genes that, when knocked out, altered HIV-1 infectivity. To investigate further, we focused on the candidate gene MUTYH and found that MUTYH protein levels are refractory to knock-down, overexpression, and interferon treatment.

In Chapter 5, we found that HIV-1 and HIV-2 Vpr induce DNA breaks independent from cell cycle arrest. Furthermore, we found that Vpr inhibits homologous recombination repair and that repression requires the engagement of the CRL4A<sup>DCAF1</sup> complex. These data propose that Vpr inhibits DNA repair through the degradation of an unknown host factor.

The dissertation of Carina Sandoval is approved.

Melody Li

Alexander Hoffmann

Donald Kohn

Samson Chow

Oliver I. Fregoso, Committee Chair

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2023

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## **DEDICATION**

For all the women that came before me and for all the women that will come after.  
Thanks to you, I am here, and because of you, is why I am here.

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**Sandoval C**, and Fregoso OI. HIV-1 Vpr-induced DNA damage activates NF- $\kappa$ B independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement. Manuscript submitted

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**Carina Sandoval** and Oliver Fregoso. “Vpr-induced DNA Damage is Independent of Cul4A<sup>DCAF1</sup> Recruitment and Alters Cellular Transcription.” Poster presentation, Retroviruses, Cold Spring Harbor Laboratory, virtual, May 2021.

**Carina Sandoval** and Oliver Fregoso. “Vpr-induced DNA Damage is Independent of Cul4A<sup>DCAF1</sup> Recruitment and Alters Cellular Transcription.” Poster presentation, MBI Recruitment, University of California Los Angeles, CA January 2021.

**Carina Sandoval** and Oliver Fregoso. “Engagement of the DNA Damage Response by HIV-1 and HIV-2 Vpr”. Oral Presentation, MBI Graduate Student Seminar, University of California Los Angeles, CA March 2020.

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## **CHAPTER 1: Viral Modulation of the DNA Damage Response and Innate**

### **Immunity: Two Sides of the Same Coin**

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## INTRODUCTION

To maintain genomic integrity, cells possess various mechanisms to repair, protect, and replicate genetic material. At the heart of this is the DNA damage response (DDR), a signaling cascade that functions to sense, signal, and respond to aberrant nucleic acid. However, in addition to maintaining genomic integrity, the DDR is exquisitely poised to regulate viral infection, since to the cell, viruses are essentially aberrant nucleic acids. In support of this, the connection between viral replication and the DDR has emerged in two primary roles: (1) viruses modulate DDR proteins and pathways required for viral replication; (2) there is significant crosstalk between the DDR and the innate immune response against viruses. These connections have been observed extensively across viral classifications and are relevant to a variety of both DNA and RNA viruses, including single-stranded DNA (ssDNA) and double-stranded DNA (dsDNA) viruses, positive (+) and negative (-) stranded RNA viruses, and retroviruses (a (+) RNA virus that relies on a dsDNA intermediate, which we separately classify here according to the Baltimore Classification [1]).

Here, we will break down the interaction of viruses with the DDR into four primary sections (**Figure 1**). First, we will discuss how viruses induce DNA damage and antagonize the sensing of this DNA damage. Second, we will discuss how viruses modulate the DDR signaling cascade – from mediators, to transducers, to effectors – with a focus on specific DNA, RNA, and retroviruses. Third, we will highlight the many cellular consequences of viral-induced modulation of the DDR. However, this will not be able to cite all the work that has gone into understanding the connections between viral replication and the DDR (for additional specialized topic reviews, see [2, 3] and others highlighted throughout the text). Additionally, some of the data we cite is limited and has yet to be corroborated. We understand the limitations this brings, yet we have included the work to demonstrate that examples exist across diverse viruses to substantiate the larger themes and

concepts we discuss. We aim to establish a comprehensive understanding of the important of the DDR in the numerous fields of virology.

## **Overview of the DNA Damage Response (DDR)**

The first step in activation of the DDR is induction of DNA damage. DNA damage occurs through endogenous pathways, such as DNA replication errors and reactive oxygen species generated during cellular metabolism, as well as exogenous factors, such as ultraviolet and ionizing irradiation, chemical mutagens, and viral replication. These genotoxic stresses can result in double-strand DNA breaks (DSBs), single-strand DNA breaks (SSBs), and single-base modifications such as mismatched bases, DNA adducts, or intra-strand crosslinks. Depending upon the type of damage, specific protein sensors are responsible for recognizing damaged DNA and initiating the DDR signaling. We will focus on sensing and signaling associated with DSBs and SSBs (**Figure 2**). DSBs are primarily recognized by the MRE11, Rad50, NBS1 (MRN) complex, leading to ATM activation and recruitment to sites of genotoxic stress. Active ATM phosphorylates various downstream effector proteins including histone variant  $\gamma$ H2AX, CHK2, and 53PB1 [4-6]. Alternatively, DSBs can be recognized by the DNA-PK holoenzyme (Ku70, Ku80, and DNA-PKcs) to be repaired by non-homologous end joining (NHEJ), a more error-prone process than homologous recombination (HR) repair and primarily occurs in the G1 phase of the cell cycle [4-6]. SSBs are sensed by RPA; when bound to ssDNA, RPA activates ATR, which stimulates downstream signaling proteins such as CHK1 [4-6]. Depending on the type and severity of the lesion, activation of the DDR results in various cellular outcomes such as DNA repair, cell cycle arrest, chromatin dynamics, and transcriptional changes.

## **Viral Manipulation of the DDR**

In the following section, we will discuss examples of how diverse viruses modulate all steps of the DDR, including induction of DNA damage, recognition by damage sensors, signaling via mediator, transducer, and effector proteins, and cellular consequences of the DDR (**Figure 2** and **Table 1**). We have focused on specific examples which we hope will convey three main points: (1) modulation of the DDR is conserved by diverse viruses, regardless of viral genome type or location of replication; (2) the DDR both enhances and inhibits viral replication; (3) specific proteins as well as DDR signaling pathways play important roles in viral replication. In addition, while not explicit to this section, we will also highlight some important examples of how the DDR and innate immunity are directly linked.

### **I. Induction and Recognition of Host DNA Damage**

Viruses induce host DNA damage Many examples exist that demonstrate induction of DNA damage during viral replication. Simian Virus 40 (SV40), a dsDNA virus, induces DNA damage via the large T antigen [7], and Human adenovirus type 12 (Ad12) induces chromosomal aberrations in human embryonic kidney cells (HEK) [8]. Influenza A (IAV) subtype H3N2, a segmented (-) RNA virus that replicates in the cytoplasm and the nucleus, causes DNA damage in leukocytes early during infection [9]. Human T-lymphotropic virus type 1 (HTLV-1), a retrovirus, induces DSBs during DNA replication through Tax [10]. While retroviruses may induce DNA damage through the process of integration [11, 12], it is becoming more apparent that retroviruses also induce DNA damage independently of integration, which we will describe throughout this review. However, what remains unclear for many of these viruses is how DNA damage occurs, whether viral-induced DNA damage is sensed and signaled by canonical cellular DDR pathways, and what role induction of DNA damage plays in viral replication and disease pathogenesis.

One example of a viral protein that potentially induces DNA damage is the lentiviral protein Vpr. Human Immunodeficiency Virus 1 (HIV-1) Vpr induces both SSBs and DSBs, independent of other lentiviral proteins [13-14]. While Vpr localizes to chromatin and is reported to bind DNA [16-18], it does not display any nuclease activity, suggesting Vpr may induce DNA damage through an indirect mechanism [14]. One possibility is that Vpr induces DNA damage indirectly by binding to chromatin and inhibiting DNA replication [19], leading to DNA damage following replication fork collapse. Another leading hypothesis is that Vpr induces DNA damage as a consequence of degradation of a DNA repair protein. Vpr recruits the host Cul4A<sup>DCAF1</sup> ubiquitin ligase complex and interacts with many host DDR proteins – including UNG2 [20, 21], HLTF [22, 23], SLX4 complex proteins MUS81 and EME1 [24, 25], EXO1 [26], TET2 [27], MCM10 [28], hHR23A [29], and SAMHD1 [30, 31] – yet degradation of most of these proteins has not been shown to be required for induction of DNA damage likely because the function of Vpr is complex and induces proteomic changes across the entire cellular landscape [ 32, 33].

One of the problems we face in the viral DDR field is that many of the central phenotypes of viral DDR modulation have not been tested directly or with methods that are easily reproducible. For example, induction of DNA damage has often been identified through detection of  $\gamma$ H2AX activation rather than probing for DNA damage directly. Utilizing  $\gamma$ H2AX in lieu of detecting DSBs or SSBs is problematic because activation of  $\gamma$ H2AX is not necessarily a direct indicator of DSBs or SSBs, and  $\gamma$ H2AX could potentially be activated by viruses in the absence of DNA damage. To ameliorate this, we recommend that the virologists move toward directly testing for DNA damage through more specific DNA damage assays such as the comet assay.

Viruses modulate DDR sensors

Subsequent to induction of DNA damage, viruses also modulate the primary sensors of this damage, including the MRN complex, RPA, and Ku70/80 (**Figure 2**). The MRN complex, which

is a major sensor of DSBs, has been shown to inhibit replication of many diverse viruses [34-36]. Thus, many viruses inhibit MRN. For DNA viruses such as adenoviruses, the MRN complex inhibits viral replication primarily by impairing viral DNA replication. To overcome this inhibition, Ad5 employs multiple E proteins to both relocalize and degrade components of the MRN complex [35, 37, 38]. Interestingly, not all Ad serotypes can overcome the MRN complex [39-41], indicating differences in the evolution of MRN antagonism. Another dsDNA virus, the herpesvirus Kaposi's Sarcoma-associated Herpesvirus (KSHV), antagonizes MRN through the viral LANA protein to block innate immune inhibition of viral replication and to support lytic reactivation [42]. Similar to adenoviral E proteins, LANA facilitates the relocation of the MRN complex to the cytoplasm. Additionally, RNA viruses such as rotavirus antagonize MRN by relocalizing the complex to the cytoplasm via viral proteins NSP2 and NSP5 [36], and the retrovirus HTLV-1 p30 directly binds to MRN components Rad50 and NBS1 to sequester and inhibit MRN complex formation [43]. MRN antagonism through sequestration and/or relocalization is conserved among diverse viruses, suggesting that evading damage detection by MRN is a strategy beneficial for productive infection.

Viruses also modulate the heterodimeric SSB sensor RPA (composed of RPA70, RPA32, and RPA14). However, unlike MRN antagonism, viruses primarily activate RPA – indicating that RPA enhances viral replication. For example, the Ad5 and Ad12 E1B-55K protein directly interacts with the host E1B-AP5 protein, which binds to the RPA component RPA32 in adenovirus replication centers. This is essential for ATR-dependent phosphorylation of RPA32, suggesting Ad5 and 12 regulate the ATR pathway through direct modulation of RPA phosphorylation [44]. For HIV-1, Zimmerman *et al.* showed that Vpr is responsible for inducing activated RPA foci in primary human CD4+ lymphocytes [19]. However, Vpr does not colocalize with RPA32 foci, suggesting Vpr may indirectly modulate RPA activity [15]. Thus, while less well defined than MRN antagonism, some viruses have evolved to activate RPA through direct and indirect mechanisms.

Finally, viruses antagonize the DSB sensor Ku70/80 complex, which is required for NHEJ-mediated DNA repair. For example, HTLV-1 transcriptionally silences Ku80 expression, inhibiting DNA-PK and innate immune activation [45]. Antagonism of Ku70/80 is important to the viral lifecycle as Ku70 directly recognizes a HTLV-1 reverse transcription intermediate (ssDNA90) and stimulates type 1 IFN and cytokine production, which together limit HTLV-1 infection before retroviral integration [46]. Therefore, Ku70/80 complex antagonism may be necessary to overcome innate immune sensing and to promote viral replication.

## II. DDR Signaling

Downstream of sensing DNA damage, there is a vast signaling cascade consisting of mediator, transducer, and effector proteins (**Figure 2**). Despite differences in genome type and where they replicate in the cell, DNA, RNA, and retroviruses have evolved several mechanisms to modulate the DDR effectively. And although virus-induced DDR signaling is broadly conserved across viruses, the viral classification does not necessarily correlate with the proteins and pathways modulated. Here we will discuss and exemplify the three primary mechanisms that viruses use to engage DDR signaling: activation, inhibition, and degradation (**Figure 2**).

Human Papilloma Virus (HPV) strains modulate many aspects of the DDR associated with ATR and ATM, predominantly through the early viral proteins E1, E2, E6, and E7. Most notable is the capacity of E6 and E7 to directly interact with p53 and Rb, two important regulator proteins in DDR signaling [47-49], respectively [50, 51]. By inhibiting p53, E6 directly affects the ATR and ATM pathways, which in turn alters cellular processes such as cell cycle, DNA repair, and transcription. In addition to Rb binding, Moody and Laimins showed that high-risk HPV-37 E7 directly interacts with ATM leading to phosphorylation of Ser1981, causing activation and further downstream phosphorylation of CHK2. Moreover, they observed phosphorylation of CHK1 by E7, which is typically associated with ATR signaling, further suggesting a potential role for ATR in

HPV replication [52]. Many of these signaling pathways are activated directly by viruses through alternative mechanisms and are important for viral replication. However, whether ATM and ATR are both activated by diverse HPV subtypes, whether this activation occurs in conjunction with inhibition of either p53 or Rb, and whether this is dependent on antagonism of MRN remains to be studied.

As previously discussed, adenoviruses impair ATM signaling through sequestration of the MRN complex. However, adenoviruses also directly impair DNA-PK signaling, highlighting the necessity to target multiple arms of the DDR. Because all adenoviruses encode a linear double-stranded genome, they are particularly vulnerable to DNA-PK, which functions by re-ligating DSBs with exposed ends. As a response, adenoviruses disable the DNA-PK pathway by proteasome mediated degradation of DNA ligase IV via the interaction of E4 and E1b proteins with the host Cul5 ubiquitin ligase complex [53, 54]. Consequently, this allows the virus to replicate efficiently without being antagonized by host repair machinery, which acts as an antiviral defense mechanism and highlights the capacity of canonical DDR associated proteins to exhibit antiviral innate immune functions.

The viral lifecycle of many RNA viruses is primarily cytosolic. Despite this, RNA viruses still take advantage of the DDR machinery that largely reside and function in the nucleus. Rotavirus, a double-stranded RNA virus, is an interesting example of an RNA virus that modulates the DDR. The viral proteins NSP2 and NSP5 noncanonically activate ATM signaling independent of DNA damage and  $\gamma$ H2AX activation and further relocalize ATM, CHK2, and the MRN complex from the nucleus to the cytoplasm [36]. Strikingly, ATM and CHK2 only interact with NSP5 in the presence of replicating viral genomes and inhibition of the ATM pathway reduces viral replication, suggesting that activation of these signaling pathways is important for viral genome replication [55]. Orthomyxoviruses are also of particular interest as they consist of a segmented (-) RNA genome that, unlike many RNA viruses, is shuttled to the nucleus for genome replication and

transcription. As such, these viruses also encode nuclear viral proteins to modulate the host DDR effectively. Specifically, the IAV viral protein NS1 suppresses RhoA and pRb signaling, directly activating the ATM signaling cascade [56]. In addition to these pathways, IAV infection modulates the protein abundance of various fundamental DDR proteins, such as Ku70, Ku80, Rad51,  $\gamma$ H2AX, and PCNA, all of which are critical for ATM, ATR, and DNA-PK signaling [57]. Altogether, this exemplifies the evolutionary importance of modulating nuclear DDR factors for all viruses and could suggest that engagement of the DDR drove nuclear replication of some RNA viruses such as orthomyxoviruses and retroviruses. Despite cellular localization of viral replication, viruses require host DDR factors that they do not encode to replicate and thus evolving to activate the DDR through both canonical and noncanonical mechanisms is crucial for viral replication.

### III. Cellular Consequences of DDR

Depending on the type and severity of the genomic lesion, activation of the DDR results in a myriad of cellular consequences, including but not limited to DNA repair, cell cycle arrest, chromatin dynamics, and transcriptional changes (**Figure 2**). In this section, we will highlight how diverse viruses utilize common mechanisms to alter the cellular consequences of the DDR. We will specifically focus on how DNA, RNA, and retroviruses dysregulate DNA repair, promote cell cycle arrest, confer changes in chromatin organization, and induce transcriptional changes.

#### Repair

One of the major consequences of modulating the DDR is the disruption of the five primary repair pathways: BER, NER, and MMR, which repair single-strand lesions, and HR and NHEJ, which repair DSBs. Disruption of DNA repair has been observed for many of the viruses that we have discussed thus far. Despite how much is known about viral modulation of DDR signaling, repair as a cellular consequence remains poorly understood. HR is one of the major repair pathways a cell utilizes extensively during late S and G2 phases of the cell cycle and can be activated in



response to DSBs, primarily via ATM signaling. Fittingly, many viruses that regulate ATM signaling also regulate HR. For example, HPV represses HR efficiency by 50-60% through the recruitment of a variety of DNA repair host factors such as Rad51, RPA70, BRCA1, and BRCA2 [58] away from chromatin and relocalization to the viral genome [59]. Consequently, these cells are more sensitive to exogenous genotoxic stress [60]. The role of DNA repair pathways in HIV infection is not well understood. In one system, HT1080 cells were transfected with pBHRF, a plasmid vector used to measure HR, in the presence or absence of transfected Vpr to assess HR repair of truncated GFP. In the presence of Vpr, GFP expression increased, suggesting Vpr enhances HR [61]. However, the effects of Vpr on HR remain unclear and it is crucial that the field uses similar systems and assays, such as the DR-GFP assay, to create reproducible and comparable data. This will also help to determine whether functions such as repression or activation of HR are directly beneficial to viral replication or a consequence of redistributing host DDR factors.

In addition to HR, other repair mechanisms can also play an important role in viral replication. As previously discussed, adenoviruses broadly inhibit the DNA-PK pathway by disrupting DNA ligase IV activity via proteasomal degradation, leading to the downregulation of NHEJ, which affects processes such as V(D)J recombination [54]. Though the role of DNA repair in RNA viruses remains understudied, it has been proposed that DNA repair is exploited during RNA virus infections. For example, IAV modulates and exploits MMR to promote cell survival during infection. An MMR activity assay, which utilizes a mismatch start codon on a luciferase expression plasmid, revealed that maintaining MMR activity is important for the IAV viral life cycle [62]. Strikingly, unlike HR or NHEJ, MMR activity leads to decreased transcription of antiviral innate immune factors, suggesting that affecting this particular DNA repair pathway has the additional cellular effect of dampening the innate immune response that would otherwise inhibit viral replication.

## Cell Cycle

Many viruses utilize the DDR to inhibit or activate cell cycle progression to facilitate an environment conducive to viral replication. Our current understanding is that certain cell cycle phases, such as S-phase, can promote viral replication, whereas the roles of others, such as G1 or G2/M, are still less clear. Here we will highlight different strategies viruses have evolved to both inhibit and promote cell cycle progression to benefit viral replication.

dsDNA viruses are the textbook example of cell cycle control by a virus, as they require a cell to be in S-phase in order to replicate their genomes [63]. This is because dsDNA viruses utilize much of the same machinery as the host to replicate DNA, including cellular DNA replication proteins and dNTPs. To achieve this, almost all dsDNA viruses encode early proteins that directly inhibit the master cell cycle regulators Rb and p53 [47-49] through degradation, relocalization, and/or sequestration [64, 65]. Some examples include HPV E6 and E7 proteins, adenovirus E1A and E1B proteins, and SV40 large T antigen, and has been extensively reviewed elsewhere [3, 66-68]. By studying how dsDNA viruses regulate S-phase, we have not only learned about mechanisms of viral replication but have also uncovered many molecular mechanisms underlying cell cycle regulation and the cellular consequences of dysregulation, such as cancer. Thus, viruses have been an instrumental tool in understanding viral and host biology.

Unlike the aforementioned DNA viruses that primarily push cells into a single cell cycle stage, coronaviruses represent a single family of RNA viruses that have all evolved to differentially regulate the cell cycle and display a range of cell cycle phenotypes. This is accomplished through an assortment of viral proteins, such as CoV-N, nsp13, p28, and ORF3/M, which converge on inhibiting cyclin-CDK complexes or upstream signaling cascades, such as p53, to induce cell cycle arrest. Consistent with the different viral proteins, the type of arrest induced varies between G0/G1, S, and G2/M [69-72]. Despite these differences, induction of cell cycle arrest is conserved

among coronaviruses and allows viruses to exploit host resources, such as translation and replication factors, that are essential for viral replication but are not encoded by the viral genome.

Many retroviruses also alter cell cycle; though the effects on cell cycle progression and viral replication seem to be distinct. For example, HTLV-1 infection allows cells to bypass the G1/S checkpoint despite DNA damage [73]. This is regulated by the interaction of HTLV-1 Tax with the cellular phosphatase Wip1, which dephosphorylates  $\gamma$ H2AX and RPA to bypass the DDR-initiated G1/S checkpoint [73]. Interestingly, Tax has more than one function and also mediates G2 accumulation through the direct binding and activation of Chk2 independent of ATM [74, 75]. Primate lentiviruses induce arrest, with at least three HIV-1 proteins implicated in a G1 (Tat) or G2/M (Vif and Vpr) arrest [76-79]. The primary role of cell cycle arrest in HIV-1 replication is unclear, but G2/M arrest has been proposed to promote viral expression [80] and/or prevent nuclear breakdown to exploit nuclear factors in cycling T cells. Lentiviruses also have the ability to infect non-dividing cells, such as macrophages and dendritic cells. While at least one study has shown that prevention of cell cycle progression into mitosis in monocyte-derived dendritic cells is important for LTR-mediated viral transcription [81], it will be important for the field to directly address the role of activating cell cycle-associated pathways in noncycling cells.

#### Chromatin-dynamics

Chromatin bound to damaged DNA must reorganize to allow DDR proteins to access damaged DNA and facilitate repair. Histone proteins bound to damaged DNA undergo post-translational modifications (PTMs), such as methylation, acetylation, phosphorylation, and ubiquitylation. This alters chromatin structure, DNA repair, and the local transcriptional environment. Thus, many viruses directly target histone modifying proteins to influence the availability and abundance of nuclear factors and ultimately enhance viral replication. Some of the more widely conserved viral targets, which we will specifically discuss here, are the ubiquitin ligase proteins RNF8 and RNF168 and the acetyltransferase Tip60.

RNF8 and RNF168 are ubiquitin-protein ligases that play key roles in DNA damage signaling by catalyzing and amplifying ubiquitylation of histones H2A and H2AX to promote the recruitment of DNA repair proteins at DSBs [82]. Viruses inhibit RNF8 and RNF168 through several diverse mechanisms, including degradation or relocation of RNF8 and limiting the recruitment of DDR proteins to sites of damage. For example, HSV-1 ICP0 degrades RNF8 and RNF168, causing the loss of H2A ubiquitylation and DNA repair factor recruitment to DNA damage sites; thus, inhibiting DDR signaling [83]. Similarly, HPV E7 directly binds to and inhibits RNF8, which again limits the recruitment of 53BP1 to radiation-induced damage sites and increases repair by HR [84]. The EBV immediate-early protein BZLF1/ZEBRA similarly antagonizes RNF8 by relocating RNF8 and 53BP1 away from sites of DNA damage and consequently inhibiting DNA damage repair [85]. HTLV-1 Tax relocating RNF8 from the nucleus to the cytoplasm stimulates the DDR and induces assembly of K63-pUb chains that also activate NF- $\kappa$ B [86]. Together, this exemplifies the central role ubiquitylation plays in viral modulation of the host DDR to alter the availability of DDR factors.

Viruses also alter the chromatin environment by modulating the host acetyltransferase Tip60, which is a component of the NuA4 complex that acetylates histones to regulate gene expression and DNA repair [87]. Tip60 also directly regulates DNA repair by acetylation and activation of ATM, independent of NuA4 [88]. Tip60 was first identified through its interaction with the HIV-1 transcriptional activator Tat [89]. While the precise role of the Tat-Tip60 interaction in HIV-1 replication remains unclear [90-92], binding of HTLV-1 p30<sup>II</sup> to Tip60 promotes acetylation, chromatin remodeling, and transcription of c-Myc target genes [93], suggesting this could be a conserved and important retroviral-host interaction.

In addition to altering the chromatin environment, Tip60 inhibits gene expression of several dsDNA viruses, including adenoviruses [94], herpesviruses [95-97], papillomaviruses [98-101], and the hepadnavirus HBV [102], and thus, DNA viruses have evolved diverse mechanisms to

antagonize Tip60. For example, adenovirus antagonizes Tip60 through the viral E1B55K and E4orf6. Both E1B55K and E4orf6 bind to Tip60 during infection and target it for proteasome-mediated degradation, causing cellular chromatin inaccessibility and promoting viral early gene transcription [94]. Recently, Tip60 was indicated to be upregulated in response to IAV infection and to activate type I IFN [103]. It remains to be seen whether Tip60 and other histone modifying proteins may have additional roles in response to viral infection.

### Transcriptional-Changes

Another major consequence of DNA damage is modulation of the cellular transcriptome. Specifically, DNA damage limits global transcription by inhibiting RNA polymerase II [104] and promoting activation of specific transcriptional pathways, such as NF- $\kappa$ B. Activation of NF- $\kappa$ B by DNA damage is dependent on the ATM-NEMO pathway and upregulates NF- $\kappa$ B-regulated genes important for facilitating cell survival by inhibiting apoptosis and mediating DNA repair [105-107] (reviewed in [108]).

Many viruses induce DNA damage causing transcriptional changes that benefit viral replication and are often linked to NF- $\kappa$ B [42, 109-112]. For example, HPV regulates transcription initiation by recruiting NF- $\kappa$ B through the viral helicase E1. Activation of NF- $\kappa$ B leads to the destabilization of E1, establishing a negative feedback loop to regulate E1-dependent genome amplification and NF- $\kappa$ B transcriptional changes [113]. Similarly, during HTLV-1 and HIV-1 co-infection, HTLV-1 Tax can regulate transcription initiation by facilitating the recruitment of NF- $\kappa$ B to the unintegrated HIV-1 LTR. Mechanistically, HTLV-1 Tax promotes the recruitment of the NF- $\kappa$ B subunits RelA and RelB to the HIV-1 LTR, which induces viral gene expression and facilitates viral replication [114]. HTLV-1 can also activate NF- $\kappa$ B through Tax-independent mechanisms, which promote survival and proliferation of HTLV-1 infected cells by upregulating genes responsible for proliferation and clonal expansion [115]. Interestingly, transcriptional changes induced by DNA damage enhance viral gene expression and promote viral replication. For example, DNA damage

via ultraviolet light or mitomycin C enhances transcription of the HIV-1 LTR [116], further suggesting that DNA damage can also alter viral transcription.

## **DISCUSSION**

Engagement and modulation of the DDR are central to the life cycles of a range of diverse viruses and are a phenotype that is broadly conserved beyond the viruses. Despite the diversity in viral genomes, mechanisms of replication, and subcellular localization, the commonality of DDR engagement collectively highlights the importance of engaging ATM, ATR, and DNA-PK signaling pathways as well as the individual proteins in these pathways to promote the viral lifecycle. Converse to the benefits of utilizing the DDR, many of these factors themselves have antiviral activity as well as connections to innate immunity. As such, it is evolutionarily imperative for viruses to engage and modulate the DDR.

Many questions remain as we are only just beginning to scratch the surface of the role the DDR plays in viral replication and even innate immunity. For example, it is still unclear for many viruses whether steps such as induction of DNA damage or cell cycle arrest are active processes required for viral replication or consequences of other steps in viral replication. One way we propose to tackle this is to look for evolutionary conservation within viral genera. While conserved “byproducts” may exist, conservation of function is a strong indicator of significance in viral replication. It will also be important to directly address the causal relationships between the many ways a specific virus engages the DDR. Many steps in DDR signaling are intertwined, and most viruses we discussed activate and/or repress multiple aspects of the DDR. Thus, how one phenotype may influence another, such as how viruses induce transcriptional changes as a cellular consequence of DNA damage or whether there is a correlation between repression of DNA repair and changes in chromatin dynamics, will be essential to identify important DDR-associated drivers of viral replication. Moreover, determining what viral proteins overcome DDR

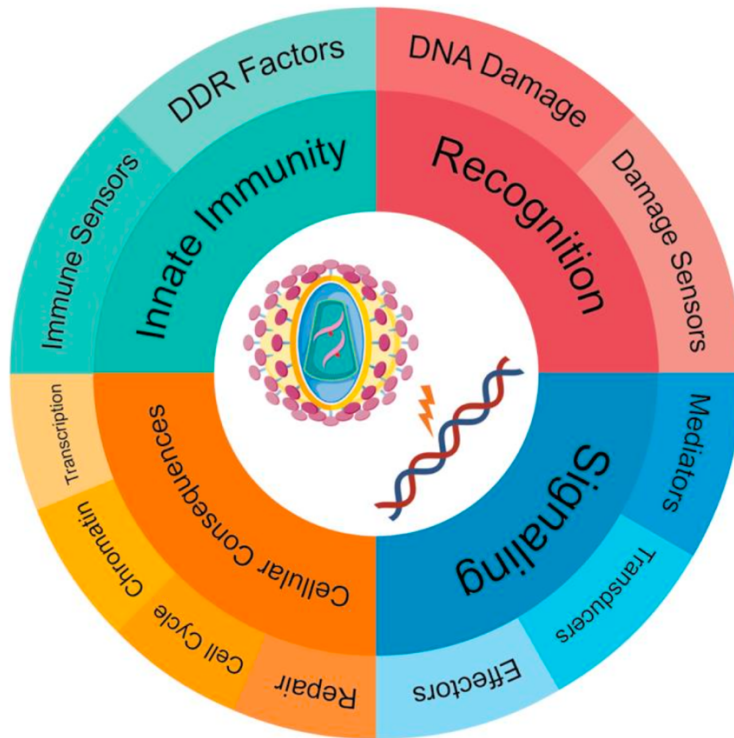
proteins acting as innate immune proteins, and what additional DDR factors have important roles in innate immunity, is paramount to uncovering the connection between these two interconnected signaling pathways. At the technical level, one aspect that must be addressed to help answer many of these questions is the use of consistent and reproducible assays that have been pioneered by the DDR field but are often overlooked by virologists.

We should consider how we can leverage the interconnection with the DDR to establish new therapeutics to treat viral infection. As the DDR is a major therapeutic target for cancer therapy, many drugs already exist that could be screened for antiviral roles, such as those found to inhibit SARS-CoV-2 replication [157]. Viral dysregulation of the DDR could also be used to selectively deplete infected cells. For example, a direct outcome of DNA damage is that infected cells are hypersensitive to additional DNA damage. In both HTLV-1 and HIV infected cells, induction of DNA damage or repression of DNA repair makes infected cells hypersensitive to additional low levels of exogenous DSBs [10, 15]. Moreover, patients with HPV+ head and neck tumors have increased sensitivity and long-term survival when treated with chemotherapy that induces DNA damage [158]. This concept of “synthetic lethality” has been proposed to treat many types of cancer that are deficient in DNA repair [159]. For example, BRCA1/2-deficient tumors are highly susceptible to inhibition of the DNA repair protein PARP1 due to the inability to repair double-strand breaks by HR or NHEJ [160, 161]. Given the ability of diverse viruses to induce DNA damage and/or antagonize DDR signaling and repair, we propose that a synthetic lethality approach may be feasible to selectively deplete infected cells. Based on the breadth of drugs available to induce low levels of genotoxic stress (including orphaned drugs and others that never made it to clinical trials), it will be important to thoroughly assess the efficacy of a synthetic lethality approach to killing infected cells *in vitro* and *in vivo*.

Lastly, DNA damage signaling and repair pathways critically maintain genomic integrity and exhibit high evolutionary conservation across eukaryotes. Despite this, the sequences of many

genes that comprise these pathways are marked by signatures of rapid evolution, called positive selection (PS). PS is often the consequence of long-standing evolutionary conflict and can be indicative of an important role in viral replication [179]. These signatures can be found in genes encoding proteins involved in specific DDR pathways, including HR and NHEJ, which have been shaped by recurrent PS [180]. Crystal structures of primate NHEJ factors XRCC4, Nbs1, and Pol $\lambda$  reveal PS sites located exclusively on exposed protein surfaces, supporting the idea that virus-host interactions are driving the rapid evolution of residues at these interfaces. Yet, due to the critical nature of NHEJ factors in cell survival, the functions of these genes are evolutionarily constrained, and sites of PS don't appear to alter their primary roles in DNA repair. In the context of viral evolution, however, persistent PS may lead to altered functions of viral genes, even major transformations of the viral lifecycle. For example, we briefly exemplified how a subset of RNA viruses like Orthomyxovirus deviate from many other RNA viruses in that they have evolved to replicate in the nucleus and contain viral genes that have evolved to carry out nuclear processes. This is also exemplified further by Retroviruses, which have evolved to reverse transcribe their RNA genome into DNA and effectively become a part of the host through integration of the viral DNA genome into the host genome. Together, this could suggest that evolution could be driving viruses to engage the DDR as we can observe that even RNA viruses such as Rotavirus that are predominantly cytosolic necessitate sequestration of nuclear DDR factors to replicate efficiently. As such, it's imperative that we further investigate and understand how RNA and Retroviruses like HIV engage and modulate the DDR.

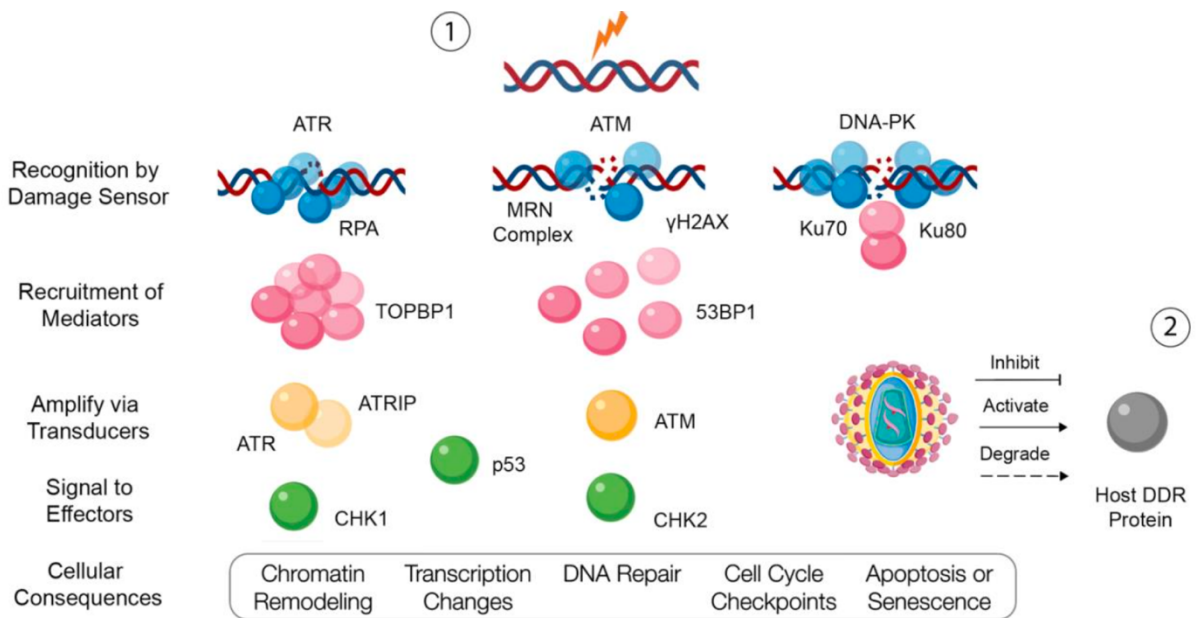




**Chapter 1 Figure 1. Four major features of interplay between viruses and the DDR.**

Viral engagement of the DDR embodies four major characteristics: Recognition, Signaling, Cellular Consequences, and Innate Immunity. Each of these four pillars can be further broken down into specific components that make up the larger characteristics that viruses have evolved to modulate either through a precise mechanism or as a consequence of infection. (1) Recognition initiates the DDR and is activated by DNA damage. Sensors recognize this DNA damage to activate ATM, ATR, or DNA-PK signaling. Viruses have evolved to modulate this step by inducing DNA damage and antagonizing damage sensors. (2) Signaling then occurs through a variety of mediator, transducer, and effector proteins. Viruses modulate downstream signaling by activating or inhibiting mediators, transducers, and effectors in the DDR. (3) Cellular consequences occur in response to recognition and signaling in the form of DNA repair, cell cycle checkpoints, chromatin remodeling, and transcriptional changes. Viruses can modify repair pathways, elicit specific cell cycle arrest, alter chromatin organization, and induce transcriptional changes (4)

Innate immunity is directly tied to these processes as DDR factors can elicit antiviral activity. Together, these four pillars represent the interplay between viruses and the DDR and exemplify how the DDR and innate immunity are directly interconnected and central to viral replication.



**Chapter 1 Figure 2. The DDR senses, signals, and responds to aberrant DNA through three primary pathways, ATM, ATR, and DNA-PK, that are modulated by viruses.**

(1) The DDR is a protein signaling cascade that maintains genome integrity. The DDR consists of sensors, which recognize specific DNA lesions, mediators and transducers that transmit this signal of damaged DNA, and effectors, which directly execute a cellular response. While many of these pathways are interconnected, in general, the ATR pathway is activated in response to SSBs, and ATM and DNA- PK pathways are activated in response to DSBs. DDR signaling induces cellular consequences, including DNA repair, cell cycle arrest, chromatin dynamics, and transcriptional changes. Shown are representative DDR proteins, pathways, and cellular consequences that are highlighted here with the exception of apoptosis and senescence. (2) Viruses have developed several mechanisms to activate, inhibit, or degrade various parts of these core signaling pathways. Modulation of these pathways ultimately leads to several cellular consequences which are beneficial to the viral lifecycle.

<b>Genome Type</b>	<b>Virus</b>	<b>Viral Proteins</b>	<b>Damage</b>	<b>Signaling</b>	<b>Repair</b>	<b>Cell Cycle</b>
<b>(+) RNA</b>	CoV	CoV-N, nsp13, p125	Stalled Forks	ATR	N/S	G0/G1, G2/M
<b>(-) RNA</b>	IAV	NS1	DSB	ATM, DNA-PK	Modulate MMR	G0/G1
<b>dsRNA</b>	Rotavirus	NSP2, NSP5	N/S	ATM	N/S	G2
<b>Retro</b>	HIV	Tat, Vif, Vpr	SSB, DSB	ATR ATM	Repress HR	G1, G2/M
<b>Retro</b>	HTLV	Tax, p30	DSB	ATM	Repress HR, Promote NHEJ	G1, G2
<b>DNA</b>	HPV	E1, E2, E6, E7	DSB, Stalled forks	ATM, ATR	Repress HR	G2
<b>DNA</b>	EBV	LMP-1, EBNA3C, ZEBRA	DSB	ATM	N/S	G2/M
<b>DNA</b>	Adenovirus	E4, E1a, E1b, E1B55K, E4orf6	N/S	ATM, ATR, DNA-PK	Repress NHEJ	Dysregulation

**Table 1. A summary of various viruses and the cellular consequences caused by viral modulation of the DDR**

This table summarizes the various viruses and the cellular consequences caused by viral modulation of the DDR. Damage, signaling, cell cycle, and DNA repair are four major phenotypes central to characterizing the ability of viruses to modulate the DDR that we recommend the field focus on moving forward. N/S indicates not shown.

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**CHAPTER 2: HIV-1 Vpr-induced DNA damage activates NF- $\kappa$ B independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement**

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## ABSTRACT

Lentiviral accessory genes enhance replication through diverse mechanisms. HIV-1 accessory protein Vpr modulates the host DNA damage response (DDR) at multiple steps through the degradation of host proteins, cell cycle arrest, DNA damage, and both activation and repression of DDR signaling. Vpr also alters host and viral transcription; however, the connection between Vpr-mediated DDR modulation and transcriptional activation remains unclear. Here, we determined the cellular consequences of Vpr-induced DNA damage using Vpr mutants that allow us to separate the ability of Vpr to induce DNA damage from CRL4A<sup>DCAF1</sup> complex dependent phenotypes including cell cycle arrest, host protein degradation, and repression of DDR. In both tissue-cultured U2OS cells and primary human monocyte-derived macrophages (MDMs), we found that Vpr induces DNA breaks and activates DDR signaling in the absence of cell cycle arrest and CRL4A<sup>DCAF1</sup> complex engagement. Moreover, through RNA-sequencing, we found that Vpr-induced DNA damage alters cellular transcription via activation of NF- $\kappa$ B/RelA signaling. NF- $\kappa$ B/RelA transcriptional activation was dependent on ATM-NEMO, as inhibition of NEMO resulted in loss of NF- $\kappa$ B transcriptional upregulation by Vpr. Furthermore, HIV-1 infection of primary MDMs validated NF- $\kappa$ B transcriptional activation during infection. Both virion delivered and *de novo* expressed Vpr induced DNA damage and activated NF- $\kappa$ B transcription, suggesting that engagement of the DDR can occur during early and late stages of viral replication. Together, our data support a model where Vpr-induced DNA damage activates NF- $\kappa$ B through the ATM-NEMO pathway, independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement. We propose this is essential to overcoming restrictive environments, such as macrophages, to enhance viral transcription and replication.

## INTRODUCTION

The DDR is a signaling cascade activated in response to exogenous and endogenous genotoxic stress, such as DNA breaks. The DDR consists of sensor proteins that sense the damaged DNA, mediator and transducer proteins that transmit the signal of damaged DNA, and effector proteins that elicit a cellular response. Typically, the DDR is divided into three main pathways based on the mediator kinases activated: Ataxia telangiectasia and Rad3 related (ATR), ataxia telangiectasia mutated (ATM), and DNA-dependent protein kinase (DNA-PK). Single-strand DNA breaks (SSBs) are primarily sensed by RPA to activate ATR signaling, while double-strand DNA breaks (DSBs) can be sensed by the MRN (MRE11, RAD51, and NBS1) or Ku70/80 complexes to activate either ATM or DNA-PK signaling, respectively (1,2). However, a significant amount of crosstalk exists between these pathways. DDR signaling leads to various cellular responses, such as DNA repair, cell cycle arrest (3), transcriptional changes (4,5), apoptosis, or senescence (6).

Many diverse RNA and DNA viruses have evolved to modulate the DDR to enhance viral replication (7,8). Primate lentiviruses, such as HIV-1, primarily engage the DDR through the accessory protein Vpr (9,10). Vpr is evolutionarily conserved amongst extant primate lentiviruses and is required for replication *in vivo* (11,12) and in macrophage (13,14) and dendritic cells (15) *in vitro*. Vpr is largely unique among the lentiviral accessory genes in that it is delivered by the incoming virion, allowing it to act early in viral replication, and is also expressed *de novo* from the integrated provirus, allowing it to act later in viral replication (16). Although the primary conserved function of Vpr remains elusive, viruses lacking Vpr have decreased proviral transcription in monocyte derived macrophages (MDMs) and dendritic cells (15), suggesting an important role for Vpr in viral transcription.

Emerging literature suggests that Vpr engages the DDR at multiple, potentially unique, steps. ATR activation by Vpr leads to cell cycle arrest and requires recruitment of the Cul4A<sup>DCAF1</sup>

complex, which has a primary role in DNA repair (17). Cul4A<sup>DCAF1</sup> complex recruitment by Vpr also leads to the degradation of many host proteins involved in the DDR, including CCDC137 (18), HLTF (19), UNG2 (20,21), SAMHD1 (22), Mus81/EME1 (23), EXO1 (24), TET2 (25), and MCM10 (26), as well as more global proteome remodeling (27). Moreover, Cul4A<sup>DCAF1</sup> complex recruitment is required for Vpr-mediated repression of DSB repair (28). In contrast, we have previously shown that the ability of Vpr to induce DNA damage does not correlate with cell cycle arrest or repression of DSB repair (28), suggesting that Vpr-induced DNA damage may have unique roles in enhancing lentiviral replication.

Both DNA damage and HIV-1 Vpr promote transcriptional changes involving NF- $\kappa$ B (29,30). In response to DSBs, ATM signaling promotes NF- $\kappa$ B transcriptional upregulation through the ATM-NEMO pathway (31). ATM and NEMO interact in the nucleus before translocating to the cytoplasm (32,33) to subsequently activate RelA nuclear translocation, promoter binding, and NF- $\kappa$ B transcriptional activation. Previous literature suggests that HIV-1 Vpr modulates NF- $\kappa$ B pathways through phosphorylation and ubiquitylation of TAK1 to enhance NF $\kappa$ B signaling (34) and altering the availability of the NF- $\kappa$ B p50-RelA heterodimer to inhibit NF- $\kappa$ B signaling (35). This proposes a testable model where Vpr-induced DNA damage alters the cellular environment to enhance viral replication by altering transcription through ATM-NEMO and NF- $\kappa$ B. Here, we aimed to identify the cellular consequences of Vpr-induced DNA damage and the connection between DNA damage and ATM activation, CUL4A<sup>DCAF1</sup> engagement, and transcriptional changes.

To determine the consequences of Vpr-induced DNA damage on cellular transcription, we used Vpr mutants that allow us to uncouple DNA damage from cell cycle arrest and Cul4A<sup>DCAF1</sup> complex host protein degradation. We found that Vpr does not require CUL4A<sup>DCAF1</sup> engagement to induce DNA breaks and activate DDR signaling in U2OS tissue-culture cells and primary human MDMs.

RNA-sequencing (RNA-seq) identified that wild-type HIV-1 Vpr and Vpr mutants that do not induce cell cycle arrest or engage the CRL4A<sup>DCAF1</sup> complex alter NF-κB associated cellular transcription. In support of this, we showed that Vpr proteins that only induce DNA damage all activated RelA nuclear translocation and upregulated NF-κB target genes BIRC3 and CXCL8. We further assessed the requirement for ATM and NEMO signaling in Vpr-mediated NF-κB activation. We found that inhibition of NEMO resulted in loss of NF-κB transcriptional upregulation in primary MDMs. HIV-1 infection and virus-like particle (VLP) delivery of Vpr in MDMs validated the upregulation of NF-κB target genes early during infection. Together, our data support a model where Vpr-induced DNA damage activates NF-κB through the ATM-NEMO pathway, independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> complex host protein degradation. This study further informs how lentiviral accessory proteins engage the DDR at multiple and unique steps, which remodels host environment and immune pathways to promote viral replication.

## RESULTS

### **HIV-1 Vpr induces DNA damage and alters cellular transcription independent of CRL4A<sup>DCAF1</sup> engagement and cell cycle arrest**

Given the connections between the DDR and cellular transcription, we set out to understand whether the ability of Vpr to induce DNA damage gives rise to transcriptional changes, and whether these transcriptional changes are distinct from those caused by Vpr-mediated cell cycle arrest and/or degradation of host proteins. We have previously shown that a Vpr mutant that is unable to cause cell cycle arrest (HIV-1 Q23-17 S79A) causes DNA damage (28), however this mutant still engages the DCAF1 component of the CRL4A<sup>DCAF1</sup> E3 ubiquitin ligase complex (36). To test the connection between transcriptional changes, cell cycle arrest, and host protein degradation, we used the HIV-1 Q23-17 Vpr mutant H71R, which does not bind the DCAF1 component of the CRL4A<sup>DCAF1</sup> E3 ubiquitin ligase complex and thus loses the ability to cause cell cycle arrest and degrade host proteins (28) (Fig. S1A). U2OS cells were infected with rAAV expressing either HIV-1 Q23-17 Vpr wild type (WT), Vpr H71R, Vpr Q65R, a mutant of Vpr that is largely functionally dead (37), or etoposide (positive control). DDR activation was assessed by immunofluorescence while DNA damage was assessed by the comet assay 24 hours post infection. Consistent with our previous results, HIV-1 Vpr WT induces DNA breaks (Fig. 1A) and activates the DDR marker  $\gamma$ H2A.x (Fig. 1B), while the nonfunctional Q65R mutant does not. In addition, we found that H71R induces DNA breaks (Fig. 1A) and activates  $\gamma$ H2A.x (Fig. 1B) at levels similar to Vpr WT. To validate this, we knocked-down DCAF1 in U2OS cells with shRNAs and found that Vpr can still induce DNA breaks and activate DDR signaling when DCAF1 is knocked down (Fig. S1B-D). Together, our data show that Vpr does not require DCAF1 or associated cellular responses to induce DNA damage and activate the DDR, and that the H71R Vpr mutant allows us to investigate the ability of Vpr to induce DNA damage in the absence of host protein degradation and cell cycle arrest.

Next, we asked if Vpr mutants that induce DNA damage in the absence of cell cycle arrest (H71R and S79A) and CRL4A<sup>DCAF1</sup> recruitment (H71R) alter cellular transcription. U2OS cells were infected with rAAV expressing HIV-1 Vpr WT, H71R, S79A, and Q65R, and RNA was collected at 12, 24, and 36 hours post infection. RNA-seq identified that Vpr WT, H71R and S79A, but not Q65R or empty vector, significantly alter cellular transcription 36 hours post infection when compared to untreated cells (Fig. 1C-E and Fig. S2A-B). Sixty-eight differentially expressed genes with pvalue <0.01 and FDR < 4.50E-05 were shared among Vpr WT, H71R, and S79A, indicative of genes potentially altered by Vpr-induced DNA damage (Fig. 1D and 1E). Gene ontology indicated the shared upregulated genes were enriched for positive regulation of NF-κB signaling and NF-κB signaling pathways, and transcriptional regulatory relationships unravelled by sentence-based text-mining (TRRUST) analysis (38) further identified RelA/NF-κB as a top transcription factor activated by Vpr (Fig. 1F-G, Fig. S2 A-B and Supplemental Files 1&2). Finally, we identified differentially expressed genes exclusively altered in Vpr WT expressing cells, Vpr WT and S79A expressing cells, and unique to both Vpr H71R and S79A mutant expressing cells (Supplemental Files 1 & 2). Collectively, our data show that Vpr alters cellular transcription in the absence of cell cycle arrest and host protein degradation, and further suggest that Vpr-induced DNA damage specifically alters RelA/NF-κB-mediated transcription.

### **HIV-1 Vpr-induced DNA damage activates RelA and promotes NF-κB transcription**

We next directly assayed whether Vpr mutants that can only induce DNA damage activate RelA/NF-κB. NF-κB activation was first validated by qRT-PCR for two NF-κB target genes identified in our RNA-seq, BIRC3 and CXCL8 (Fig. 1E), which are important for innate immunity and cell survival (39,40). U2OS cells were infected with rAAV expressing Vpr WT, H71R and Q65R for 24 or 36 hours. Consistent with the RNA-seq, Vpr WT and H71R upregulate BIRC3 and CXCL8 compared to untreated cells or Q65R mutant (Fig. 2A). qRT-PCR for BIRC3 and CXCL8



in DCAF1 knock-down cells further confirmed that DCAF1 recruitment is not required for HIV-1 Vpr to activate these two NF- $\kappa$ B target genes (Fig. S1E). To directly assess NF- $\kappa$ B activation, we assayed for RelA localization by immunofluorescence. As RelA is cytoplasmic when inactive and nuclear when active, we expect that Vpr WT and H71R will lead to nuclear translocation of RelA. Indeed, we found that Vpr WT and H71R activate nuclear translocation of RelA similar to the positive control, etoposide, while Vpr Q65R and empty vector do not (Fig 2B). Our data suggests that Vpr-induced DNA damage activates RelA and promotes NF- $\kappa$ B transcription.

To directly assess the global binding of RelA at NF- $\kappa$ B promoters we performed Cleavage Under Targets and Tagmentation (CUT&Tag) for RelA. U2OS cells were infected with rAAV expressing Vpr-T2A-mCherry WT, mutants, empty vector, etoposide, or TNF $\alpha$  (positive control). Expression of mCherry served as a proxy for Vpr levels in the cell; we found that Vpr WT and empty vector expressed similarly in U2OS cells (Fig. S3A). As seen previously, Vpr WT upregulated the NF- $\kappa$ B target gene, BIRC3, compared to empty vector (Fig. S3A), indicating that Vpr WT activated cellular transcription as expected. Furthermore, we found that Vpr WT caused global enrichment of RelA binding at NF- $\kappa$ B motifs, as normalized to empty vector (Fig. S3B). Gene browser tracks show that Vpr WT causes RelA binding at the NF- $\kappa$ B motif within the BIRC3 promoter (Fig. S3C), consistent with the expectation that Vpr is activating RelA and driving BIRC3 gene expression. We observed that global activation of RelA by Vpr mostly resembles etoposide-induced activation rather than TNF $\alpha$ -induced activation, as Vpr WT RelA binding sites correlate with etoposide RelA binding sites ( $R^2=0.72$ ) but not TNF $\alpha$  ( $R^2=0.47$ ) (Fig. S3C&D).

Even though equal titers of rAAV expressing Vpr WT and mutants were used, Vpr WT and mutants did not express to similar levels. Vpr S79A, H71R, and Q65R did not express well in this experiment, as assessed by mCherry transcript levels (Fig. S3A). Furthermore, Vpr S79A and H71R did not robustly activate BIRC3 (Fig. S3A). These data suggest that the mutants did not

express well enough to function as expected, and we were therefore unable to measure the effects of Vpr mutants on RelA promoter binding (Fig. S3 B, C & D). Taken together, our immunofluorescence and CUT&Tag data indicate that Vpr activates RelA and NF- $\kappa$ B transcription in the absence of cell cycle arrest, DCAF1 recruitment, and host protein degradation. Our data suggest that Vpr-induced DNA damage is sufficient to drive NF- $\kappa$ B activation.

### **HIV-1 Vpr-induced DNA damage activates ATM-NEMO signaling**

NF- $\kappa$ B is activated in response to many pathways and signals involved in DNA repair and innate immunity (41). One such pathway is ATM-NEMO, where ATM stimulates NEMO to activate RelA and thus NF- $\kappa$ B signaling (33). Previous work has shown that Vpr activates markers of both ATR and ATM signaling (28,42). While ATR is required for Vpr-induced cell cycle arrest, the extent of ATM activation and the cellular consequences of ATM activation without cell cycle arrest are unclear. We hypothesize that Vpr-induced DNA damage activates the ATM-NEMO pathway, which consequently promotes RelA/NF- $\kappa$ B transcription. To determine if Vpr-induced DNA damage activates ATM signaling in the absence of cell cycle arrest and DCAF1 recruitment, we measured activation of multiple DDR proteins including two DNA damage sensors, NBS1 and MRE11, and two downstream signaling transducers, 53BP1 and  $\gamma$ H2A.x. U2OS cells stably expressing NBS1-GFP or 53BP1-GFP were infected with rAAV expressing Vpr WT, H71R, and Q65R to assess DDR activation through live-cell imaging throughout infection. We found that Vpr WT and H71R promote the formation of NBS1 and 53BP1 foci at 26, 33, and 48 hours post infection (hpi), similar to the positive control etoposide, while the non-functional Q65R Vpr mutant was indistinguishable from untreated control cells (Fig. 3A and Fig. S4A-C). As many viruses activate or dysregulate DDR signaling through relocalization or sequestration of markers of the DDR, we next assayed for colocalization of the DNA damage sensors MRE11 and NBS1, and transducers 53BP1 and  $\gamma$ H2A.x. Both damage sensors MRE11 and NBS1, and transducers 53BP1 and  $\gamma$ H2A.x, colocalize in Vpr WT and H71R, similar to etoposide treated cells (Fig. 3B&C),

suggesting that Vpr-induced DNA damage activates, but does not dysregulate, classical ATM signaling.

To determine if NEMO is required for Vpr to upregulate NF- $\kappa$ B transcription, we inhibited NEMO using a cell-permeable NEMO binding domain (NBD) inhibitor peptide (43). U2OS cells were pretreated with NBD peptide and infected with rAAV expressing Vpr WT and mutants. We assessed upregulation of the NF- $\kappa$ B target genes BIRC3 and CXCL8 using qRT-PCR. As before, Vpr WT and H71R upregulated both BIRC3 and CXCL8, while Q65R and empty vector did not (Fig. 4). Furthermore, with the addition of the NBD, Vpr WT and H71R mutant lost the ability to upregulate BIRC3 and CXCL8 (Fig. 4), suggesting that Vpr requires NEMO activation to upregulate transcription of NF- $\kappa$ B target genes.

#### **Virion delivery of Vpr, through VLPs or HIV-1 $\Delta$ ENV infection, in primary MDMs activates ATM-NEMO signaling and promotes RelA/NF- $\kappa$ B transcription**

Together our data proposes a model where Vpr-induced DNA damage activates ATM and NEMO signaling resulting in RelA nuclear translocation and NF- $\kappa$ B transcriptional upregulation. We next tested this model in primary human MDMs, where Vpr enhances viral transcription and replication (14). Primary MDMs (Fig. S5A) were infected with virus-like particles (VLPs) carrying physiological levels of Vpr WT, H71R, or Q65R (Fig. S5B), and assayed for DNA damage, NF- $\kappa$ B activation, and NEMO dependence (Fig 5A). Similar to overexpression of Vpr in U2OS tissue culture cell lines, Vpr WT and H71R mutant induced DNA breaks and activated  $\gamma$ H2A.x compared to Vpr Q65R and empty VLPs (Fig. 5B-C). Consistent with our data in U2OS cells, Vpr WT and H71R, but not Q65R or empty VLPs, upregulated BIRC3 and CXCL8 similar to the TNF $\alpha$  positive control when compared to empty cells (Fig. 5D and Fig. S5C). Finally, NBD delivery prior to VLP infection blocked Vpr WT and H71R-mediated BIRC3 and CXCL8 transcriptional activation, but not Vpr-induced DNA damage (Fig 5C-D). These data indicate that physiological levels of virion-

delivered Vpr induces DNA damage that activates NF- $\kappa$ B transcription in a NEMO dependent manner in primary MDMs.

To determine if HIV-1 infection activates NF- $\kappa$ B target genes, we infected primary human MDMs from six different donors with HIV-1  $\Delta$ ENV and assayed for transcriptional changes at early (8 hours), intermediate (16 hours), and late (24 and 48 hours) timepoints post infection. HIV-1 infection was monitored by flow cytometry for HIV-1 core proteins and qPCR for unspliced transcripts (Fig. S5D-E), while NF- $\kappa$ B activation was measured by qRT-PCR for CXCL8 and BIRC3. TNF $\alpha$  was used as positive control (Fig. S5F). HIV-1  $\Delta$ ENV infection resulted in upregulation of CXCL8 and BIRC3 in MDMs as early as 8hpi in all donors, despite some donor-to-donor variability in the magnitude of upregulation (Fig. 5E and Fig. S5G-H), suggesting that HIV-1 infection upregulates Vpr-induced NF- $\kappa$ B target genes early during infection, consistent with VLP delivery of Vpr. Jointly, our data support a model where both incoming HIV-1 Vpr and *de novo* produced Vpr induces DNA damage that activates ATM-NEMO signaling to upregulate NF- $\kappa$ B transcription (Fig. 6).

## DISCUSSION

In this study, we tested the hypothesis that HIV-1 Vpr alters cellular transcription through induction of DNA damage and activation of DDR signaling. By leveraging well-characterized Vpr mutants that separate Vpr-induced DNA damage from cell cycle arrest and CRL4A<sup>DCAF1</sup> complex host protein degradation, we identified a current model where Vpr-induced DNA damage activates ATM-NEMO signaling, which stimulates RelA and upregulates NF- $\kappa$ B mediated transcription. Using U2OS and primary human MDMs, which allowed us for the first time to investigate how HIV-1 Vpr engages the DDR in this important cell type, we showed that Vpr induces DNA breaks and activates markers of ATM signaling independent of cell cycle arrest, CRL4A<sup>DCAF1</sup> complex recruitment, and host protein degradation. Moreover, we showed that Vpr-induced DNA damage correlates with RelA/NF- $\kappa$ B activation, as assayed by bulk RNA-seq, and RelA immunofluorescence. We validated the upregulation of two NF- $\kappa$ B target genes, BIRC3 and CXCL8, and showed that inhibition of NEMO ablates the ability of Vpr to upregulate NF- $\kappa$ B transcription. Finally, VLP delivery of Vpr and HIV-1 infection show that incoming virion-associated Vpr is sufficient to induce DNA damage and activates RelA/NF- $\kappa$ B transcription. In complement, rAAV expression of Vpr shows that *de novo* expressed Vpr can also induce DNA damage and activate RelA/NF- $\kappa$ B transcription. These data suggest that Vpr-induced DNA damage can play roles in both early and late stages of viral replication. Overall, our data support a model where Vpr-induced DNA damage activates ATM-NEMO signaling and upregulates RelA/NF- $\kappa$ B transcription in cell lines and primary human MDMs.

Although Vpr is a multifunctional and enigmatic protein, the DNA damage response is central to many of the phenotypes associated with Vpr. Previous reports from our lab and others have worked to untangle how and why Vpr engages the DDR. A consensus is emerging that Vpr engages the DDR at multiple potentially unique steps. Through recruitment of the CRL4A<sup>DCAF1</sup> ubiquitin ligase complex, Vpr degrades various DDR proteins, activates ATR signaling, represses

double-strand DNA break repair, and causes cell cycle arrest (28,44). However, as shown here, Vpr induces DNA damage and alters cellular transcription independent of its ability to engage the CRL4A<sup>DCAF1</sup> ubiquitin ligase complex and associated phenotypes. Functions independent of host protein degradation are unique among most lentiviral accessory genes, as their typical primary functions depend on subverting antiviral mechanisms through host protein degradation (45). These data further define the multifunctional nature of Vpr in enhancing lentiviral infection and support the model where Vpr engages the DDR at multiple independent steps.

Here, we have also shown that Vpr-induced DNA damage directly correlates with NF- $\kappa$ B transcriptional activation that is dependent on ATM signaling. We have demonstrated that Vpr activates markers of ATM signaling, such as MRE11, NBS1,  $\gamma$ H2A.x, and 53PB1, in a manner that resembles host activation rather than dysregulation. Antagonism or dysregulation of DNA damage sensors through relocalization or sequestration is a conserved mechanism among many viruses to evade innate immune detection (46). While we have shown that Vpr-induced DNA damage activates markers of ATM signaling in a manner that seems to facilitate classical ATM activation, with the end goal of activating transcription, it remains to be seen whether Vpr-mediated activation of ATR, which correlates with CRL4A<sup>DCAF1</sup> recruitment and cell cycle arrest, is classically activated or dysregulated. Moreover, how Vpr induces DNA breaks leading to both ATM and ATR activation is not understood.

Complementary studies have shown that Vpr modulates cellular (47) and viral transcription (48,49). In the context of cellular transcription, Vpr activates genes associated with innate immunity and proliferation in CD4<sup>+</sup> T cells (50) and promotes the expression of proinflammatory cytokines in MDMs and monocyte-derived dendritic cells (MDDCs) (15,25). Together this suggests that Vpr has a vital role in transcriptional reprogramming to potentially create a proinflammatory environment that is conducive for viral replication. Consistent with these studies,

we identified by bulk RNA-seq that Vpr regulates innate immune pathways that are either independent of or dependent on cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement and host protein degradation. Our data shows that Vpr downregulates TASOR target genes (Fig S2 D-F), which is consistent with the literature suggesting that SIV and HIV-2 Vpr counteract the human silencing hub (HUSH) repressor complex (51,52). Furthermore, we found that Vpr downregulates CXCL14, which aligns with the data suggesting that human papillomaviruses downregulates CXCL14 to enhance replication (53).

Our data also indicate that Vpr activates the RelA/NF- $\kappa$ B immune pathway without induction of cell cycle arrest and CRL4A<sup>DCAF1</sup> recruitment and host protein degradation. This is consistent with previous reports showing that Vpr activates NF- $\kappa$ B transcription via phosphorylation of TAK1 (34), an upstream regulator of NF- $\kappa$ B. We further found that Vpr-induced DNA damage activates ATM-NEMO signaling to upregulate RelA/NF- $\kappa$ B transcription, adding to the mechanistic understanding of NF- $\kappa$ B activation by Vpr. Through engagement with the CRL4A<sup>DCAF1</sup> complex, Vpr has also been found to repress NF- $\kappa$ B activation by altering the availability of the NF- $\kappa$ B p50-p65 heterodimer, thus limiting proinflammatory cytokine expression (35). While it remains to be understood what differentiates Vpr-mediated NF- $\kappa$ B activation from repression, whether Vpr engages CRL4A<sup>DCAF1</sup> complex seems to be a distinguishing factor. Furthermore, it is clear that in all cases Vpr carefully modulates NF- $\kappa$ B activation without globally activating interferon, which would inhibit viral replication. Thus, further studies understanding how Vpr manages to activate only aspects of NF- $\kappa$ B signaling (54,55) will help to define how Vpr may contribute to the ability of HIV to subvert the innate immune response.

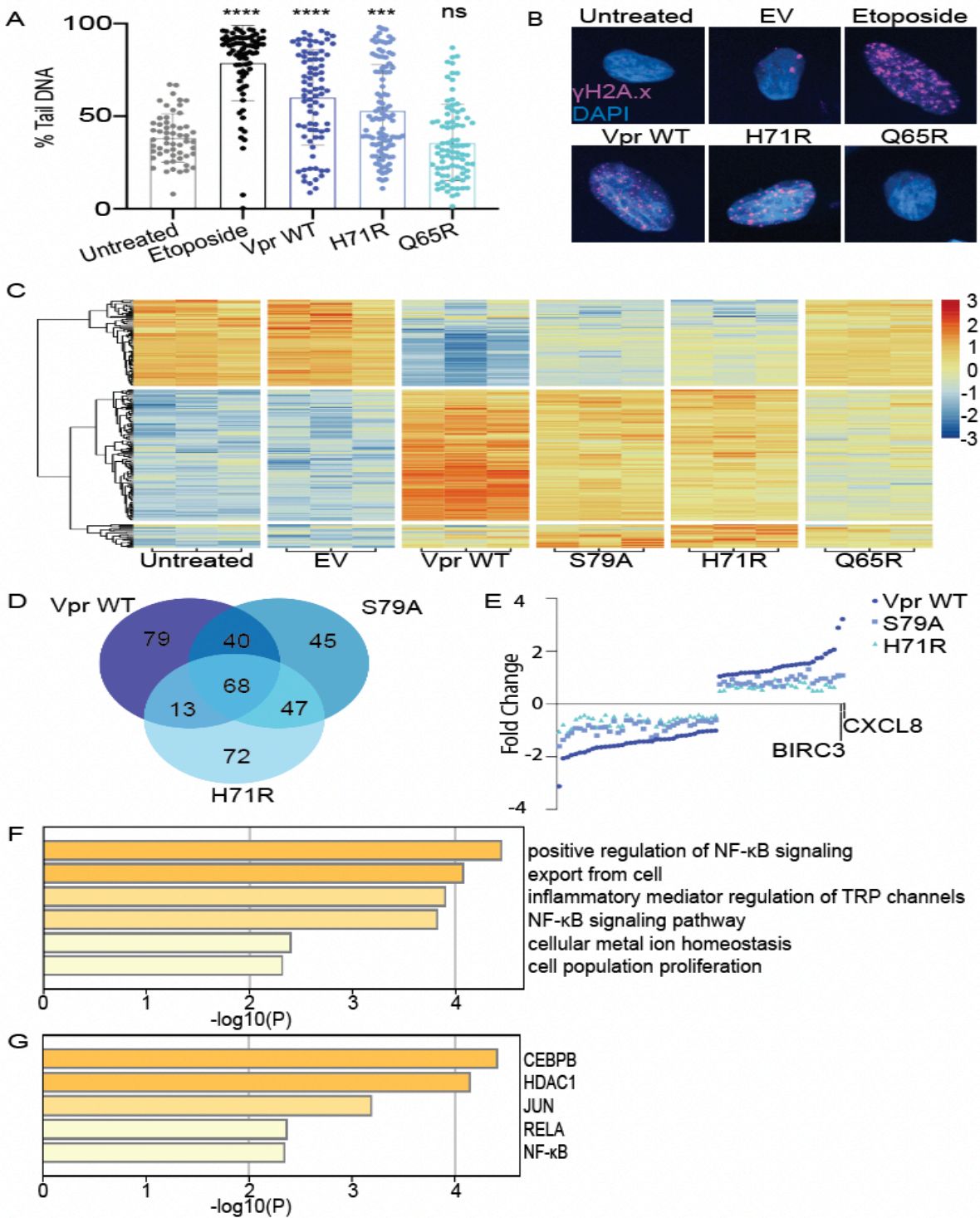
Although various studies have shown that Vpr also alters viral transcription the mechanisms are unclear and disparate. For example, Vpr-mediated LTR activation is associated with cell cycle arrest where the LTR is most transcriptionally active (56,57), degradation of host proteins such

as CCDC137 (18), and CRL4A<sup>DCAF1</sup> independent mechanisms (58). However, studies have shown that Vpr also promotes LTR transcription in noncycling cells (59,60) where induction of cell cycle arrest is absent and the necessity for degradation of specific host proteins in LTR activation has not been extensively examined. One benefit of Vpr activating NF- $\kappa$ B signaling via ATM is the potential direct enhancement of LTR transcription, as the HIV-1 LTR contains multiple NF- $\kappa$ B binding sites essential for viral gene expression. In addition to NF- $\kappa$ B, we further identified that Vpr activates CEBPB and JUN transcription factors involved in LTR activation. This is similar to Vpr-mediated de-repression of the LTR by removal of the transcriptional repressor ZBTB2 following ATR activation (61). Overall, Vpr WT and mutants that only induce DNA damage globally alter cellular transcription, upregulate NF- $\kappa$ B signaling and transcription factors that promote LTR-driven transcription, suggesting that Vpr-induced DNA damage is important for promoting LTR transcription to enhance viral replication.

Overall, our data suggest that during HIV infection, incoming Vpr and *de novo* expressed Vpr primes the cellular environment by activating RelA/NF- $\kappa$ B signaling to promote LTR transcription and enhance viral replication in macrophages. Our data aligns with the growing body of literature that supports the role of accessory proteins modulating the host environment and the DDR to promote viral replication through mechanisms independent of host protein degradation.



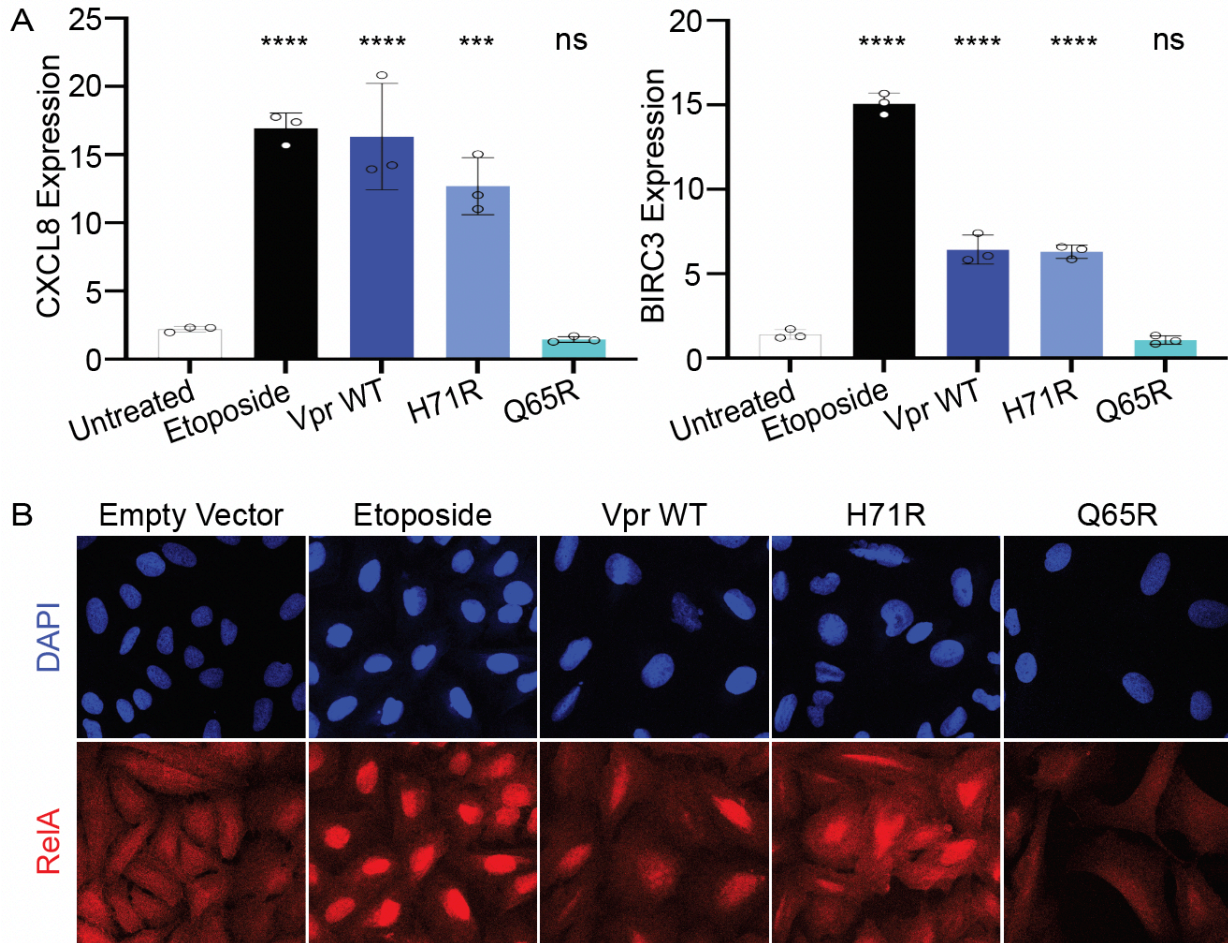
Figure 1



Chapter 2 Figure 1. HIV-1 Vpr induces DNA damage and alters cellular transcription independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement.

(A) Comet assay of U2OS cells infected with rAAV expressing 3xFLAG-tagged Vpr WT, H71R, Q65R, untreated (negative control), or 50uM etoposide (positive control). Percent tail DNA was quantified at 24 hours post infection (hpi) using the OpenComet plug-in for the ImageJ software. Each circle represents one cell. N=3, one representative experiment shown. (B) Representative immunofluorescence images of U2OS cells infected under the same conditions as Fig. 1A;  $\gamma$ H2A.x (magenta) and nuclei stained with DAPI (blue). Images were taken at 63x magnification. N=3, one representative experiment shown. EV, empty vector. (C) RNA-seq of U2OS cells at 36 hpi. Heatmap displays  $\text{Log}_2$  fold changes of upregulated genes in red and downregulated genes in blue. Each column represents a biological replicate, n=3 (D) Venn diagram of the top 100 upregulated and top 100 downregulated genes among Vpr WT, S79A, H71R, and Q65R. € Dot plot of the 68 conserved differentially expressed genes among Vpr WT, S79A, and H71R. BIRC3 and CXCL8 are highlighted as the two most upregulated genes ( $\text{Log}_2$  fold change) under all conditions. (F) Gene ontology and (G) TRRUST analysis of the 30 upregulated genes performed with Metascape software. Asterisk indicate statistical significance compared to untreated control, as determined by one-way ANOVA test (NS, nonsignificant; \*\*\*  $P < 0.0004$ , \*\*\*\*  $P < 0.0001$ ). Related to Figures S1 and S2.

Figure 2

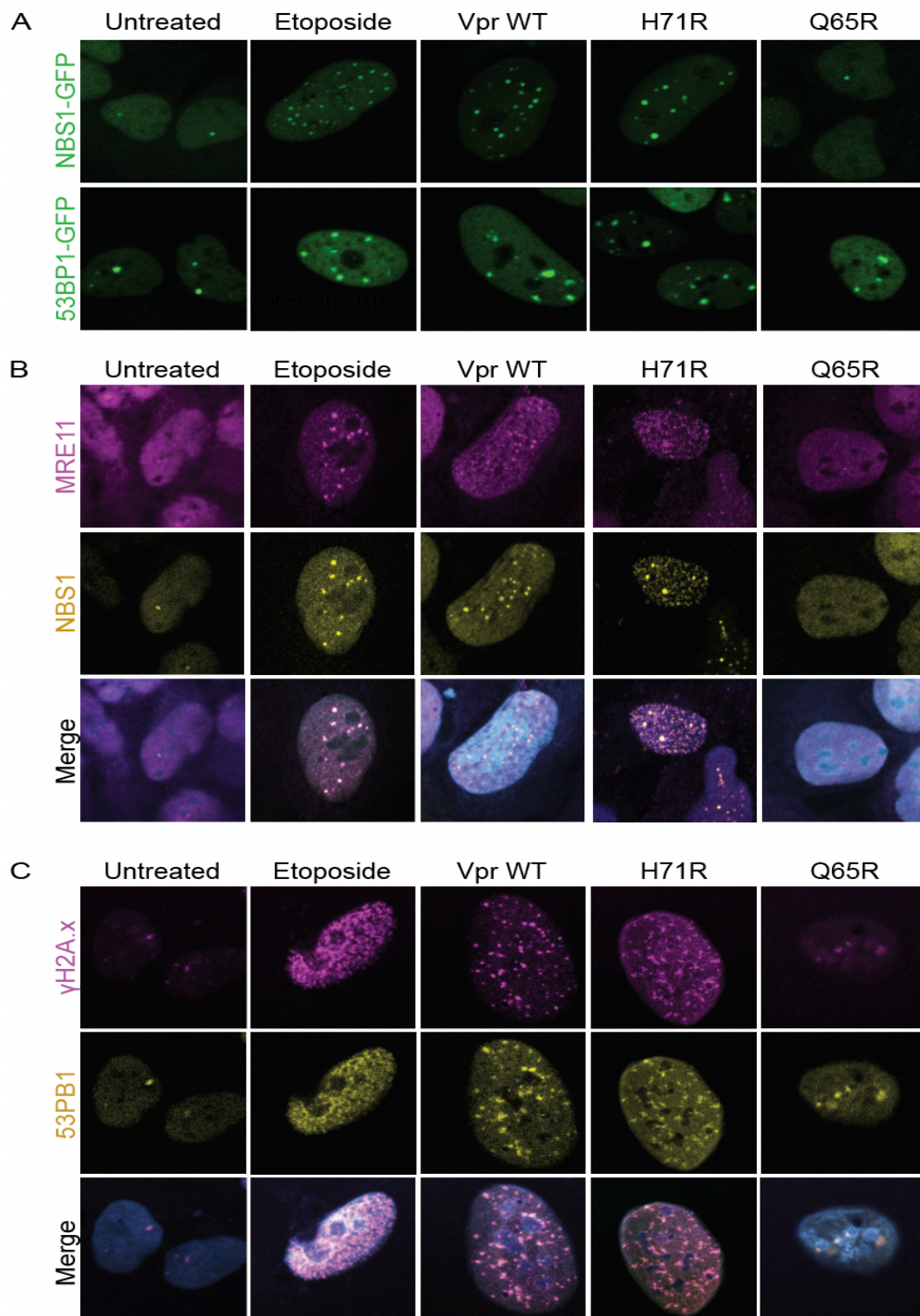


**Chapter 2 Figure 2. HIV-1 Vpr activates RelA and promotes NF-κB transcription independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement.**

(A) qRT-PCR validation of upregulated NF-κB target genes BIRC3 and CXCL8. Normalized expression ( $\Delta\Delta Ct$ ) was calculated by normalizing to GAPDH followed by calculating fold change to untreated or empty vector treated cells.  $n=3$ , one representative experiment shown. (B) Representative immunofluorescence images of U2OS cells infected under the same conditions as Fig. 1A; RelA (red) and nuclei stained with DAPI (blue). Images were taken at 63x magnification.  $n=3$ , one representative experiment shown. Asterisk indicate statistical significance

compared to untreated control, as determined by one-way ANOVA test (NS, nonsignificant; \*\*\*  
P< 0.0003, \*\*\*\* P< 0.0001). Related to Figure S3.

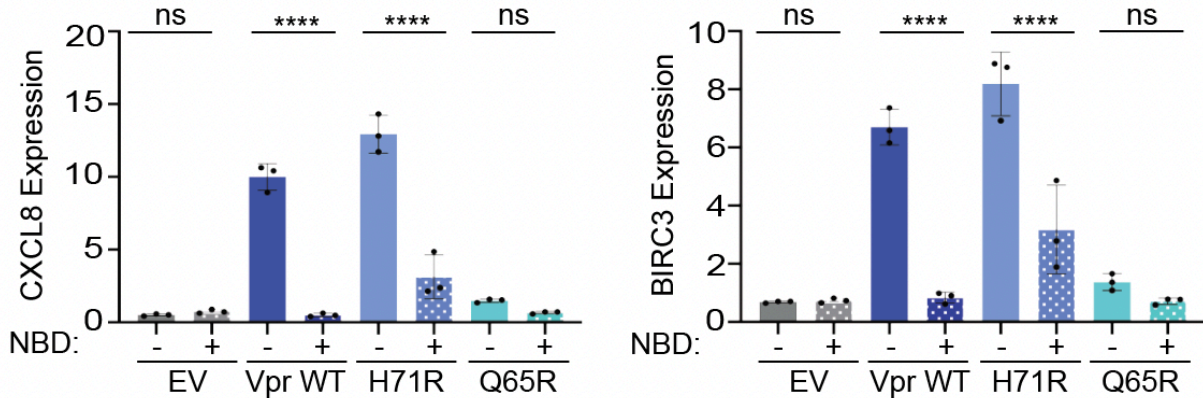
Figure 3



Chapter 2 Figure 3. Vpr-induced DNA damage activates ATM signaling independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement.

(A) Live-cell imaging of U2OS cells stably expressing NBS1-GFP or 53PB1-GFP. Cells were infected under the same conditions as Fig. 1A. and representative images were taken at 33 hpi at 63x magnification. n=3, one representative experiment shown. (B) Representative images of co-localization of DNA damage sensors MRE11 (magenta) and NBS1 (yellow) in U2OS NBS1-GFP or (C) DNA damage transducers  $\gamma$ H2A.x (magenta) and 53PB1 (yellow) in U2OS 53PB1-GFP cells infected under the same conditions as Fig. 1A. Representative images taken at 24 hpi at 63x magnification. n=3, one representative experiment shown. Related to Figure S4.

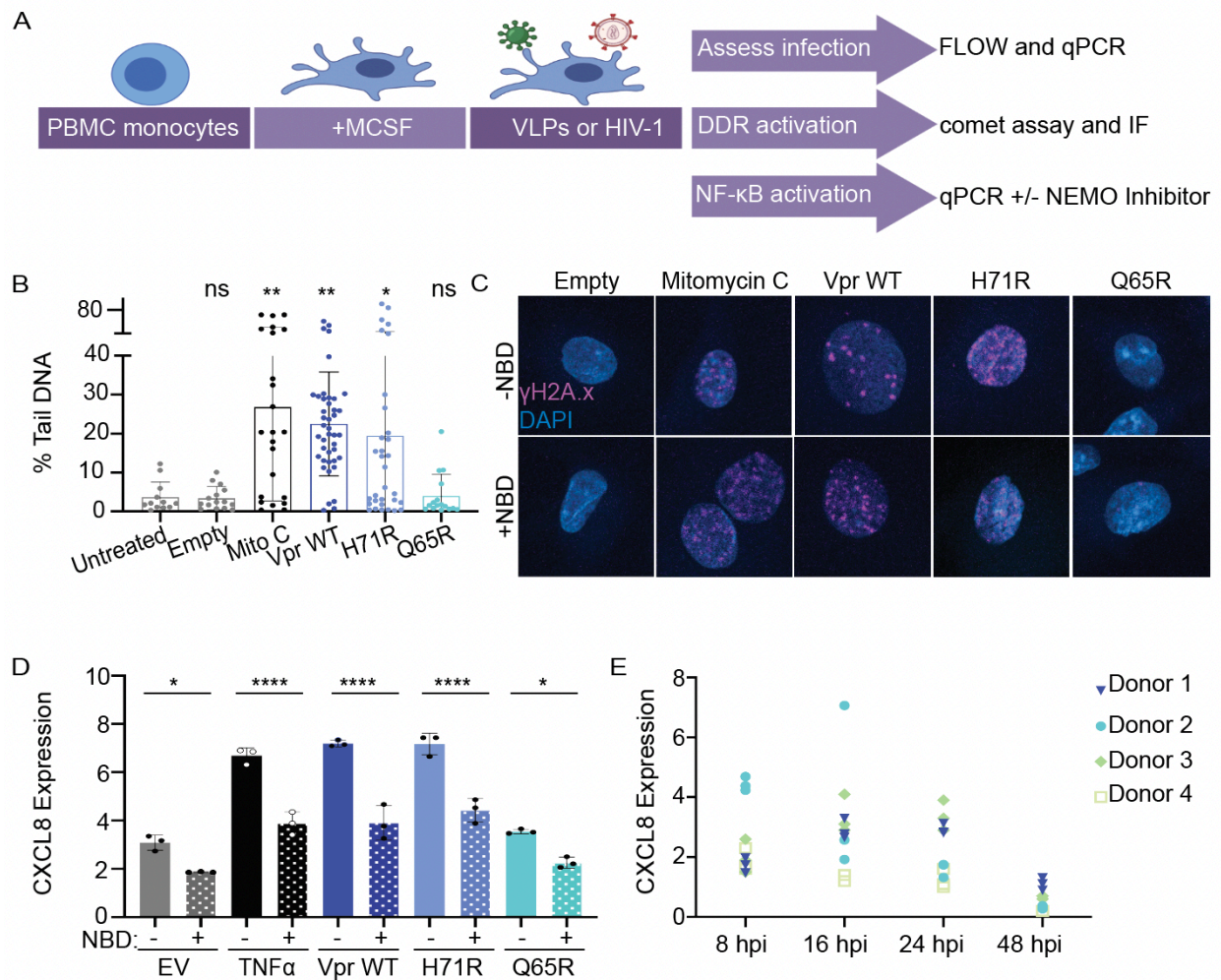
Figure 4



**Chapter 2 Figure 4. Vpr upregulates NF- $\kappa$ B transcription dependent on NEMO, yet independent of cell cycle arrest and CRL4<sup>DCAF1</sup> engagement.**

qRT-PCR of BIRC3 and CXCL8 in the presence or absence of Nemo-Binding Inhibitor peptide (NBD) at 36 hpi. U2OS cells were pretreated with 100uM of NBD for 2 hours prior to infection with rAAV expressing 3xFLAG-tagged Vpr WT, H71R, Q65R, empty vector (negative control). Normalized expression to GAPDH. Asterisk indicate statistical significance compared to untreated control, as determined by one-way ANOVA test (NS, nonsignificant; \*\*\*\* P < 0.0001).

Figure 5



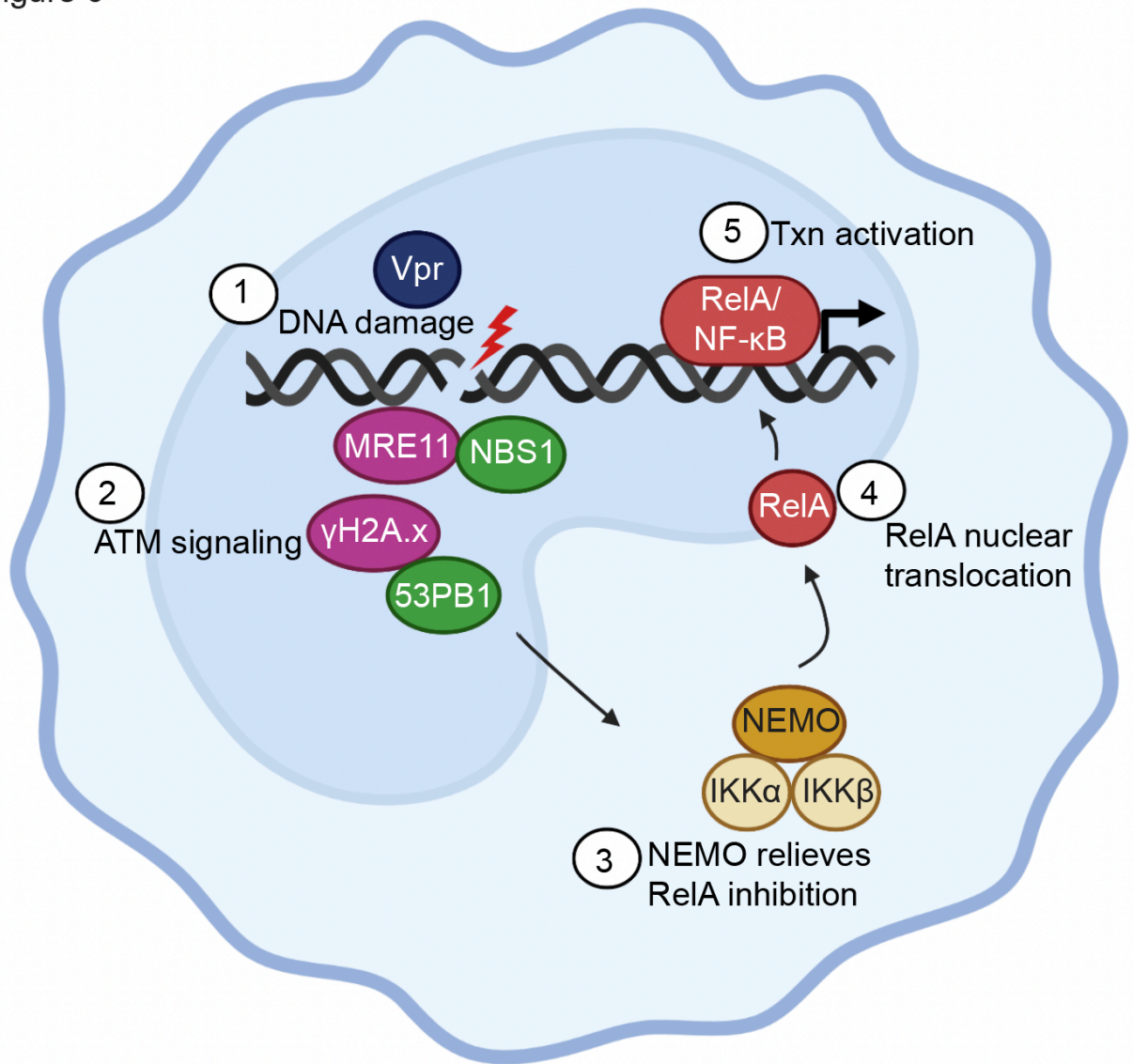
**Chapter 2 Figure 5. Vpr-induced DNA damage activates ATM-NEMO signaling and NF-κB transcription in primary MDMs early during infection.**

(A) Experimental schematic depicting the isolation and differentiation of primary human monocyte-derived macrophages (MDMs) from peripheral blood mononuclear cells (PBMCs) followed by delivery of Vpr via Virus-like Particles (VLPs) or HIV-1 infection. Infected MDMs were assayed for induction of DNA damage, activation of DDR signaling, and the upregulation of NF-κB target genes in the context of NEMO inhibitor. (B) Comet assay of MDMs treated with VLPs packaging 3xFLAG-tagged Vpr WT, H71R, Q65R, empty VLPs (negative control), or 25uM



Mitomycin C (positive control) for 8 hours. Comet assay analysis was performed as in Fig. 1A. n=2, one representative experiment shown. (C) Representative immunofluorescence images of MDMs infected with VLPs and treated or not with Nemo-Binding Inhibitor peptide (NBD) as in Fig. 5B;  $\gamma$ H2A.x (magenta) and nuclei stained with DAPI (blue). Images were taken at 100x magnification. n=3, one representative experiment shown. (D) qRT-PCR for CXCL8 of MDMs treated with NBD as in Fig. 5C with TNF $\alpha$  as positive control. n=3, one representative experiment shown. (E) qRT-PCR for CXCL8 of MDMs infected with HIV-1  $\Delta$ ENV for 8, 16, 24, and 48 hpi from four separate donors. Normalized expression to GAPDH. Asterisk indicate statistical significance compared to untreated control, as determined by one-way ANOVA test (NS, nonsignificant; \* P<0.03, \*\* P < 0.005, \*\*\*\* P< 0.0001). Related to Figure S5.

Figure 6

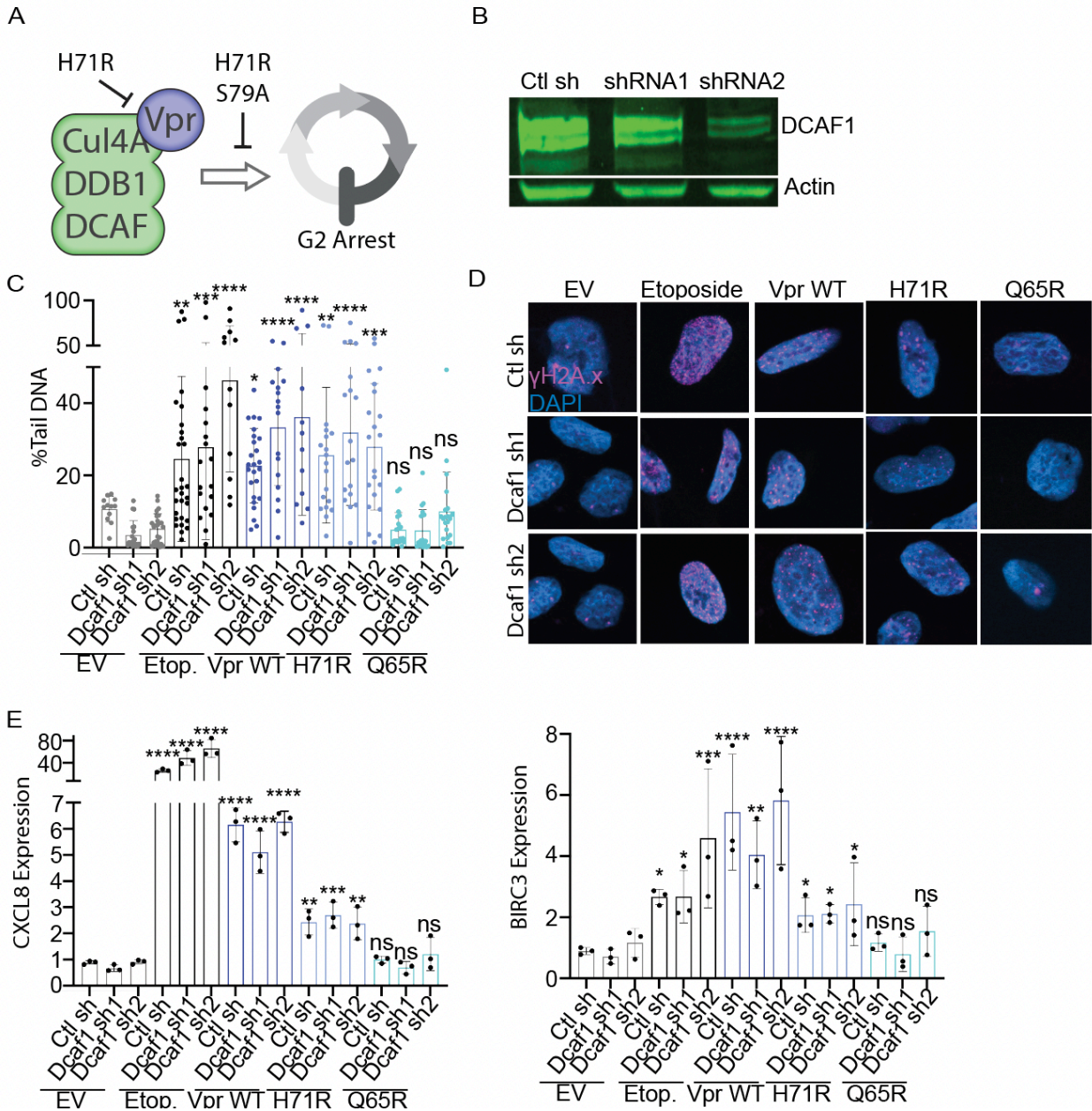


**Chapter 2 Figure 6. Model showing Vpr-induced DNA damage activates ATM and NEMO signaling resulting in RelA nuclear translocation and NF- $\kappa$ B transcriptional upregulation.**

1. Vpr induces double-strand DNA breaks independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> complex engagement. 2. Vpr-induced DNA damage activates markers of ATM signaling including MRE11, NBS1,  $\gamma$ H2A.x, and 53PB1. 3. ATM-DDR activation results in NEMO relieving RelA inhibition from inhibitor of NF- $\kappa$ B (IkB $\alpha$  and IkB $\beta$ ). 4. RelA translocates into the nucleus. 5. RelA

binds to NF- $\kappa$ B promoters and activates transcription (txn) of NF- $\kappa$ B target genes such as BIRC3 and CXCL8. (Schematic made on Biorender).

Figure Supplement 1



**Figure Supplement 1. Knockdown of DCAF1 does not abrogate the ability of Vpr to induce DNA damage, activate DDR signaling, or upregulate NF- $\kappa$ B target genes.**

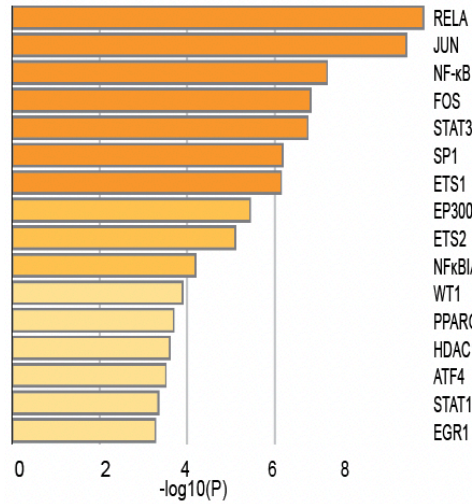
(A) Schematic representation of Vpr mutants used in these studies to decouple some Vpr functions. Vpr S79A and Vpr H71R do not induce cell cycle arrest and H71R also does not bind DCAF1 of the CUL4A<sup>DCAF1</sup> complex to degrade host proteins or remodel the proteome. Vpr Q65R

(not shown) is a largely non-functional negative control. (B) Western blot of endogenous DCAF1 U2OS cells to test stable knockdown with shRNA. Control (ctl) shRNA and two DCAF1-specific shRNAs (shRNA 1 and shRNA2) were used. Actin is used as a loading control. (C) Comet assay of DCAF1 knock-down cells infected with rAAV and analyzed as in Fig 1A. n=1. (D) Representative immunofluorescence images of DCAF1 knock-down U2OS cells infected under the same conditions as Fig. 1A.;  $\gamma$ H2A.x (magenta) and nuclei with DAPI (blue). Images were taken at 63x magnification. n=1, one representative image shown. (E) qRT-PCR for CXCL8 and BIRC3 in DCAF1 knock-down U2OS cells infected under the same conditions as Fig. 1A. n=1. Asterisk indicate statistical significance compared to untreated control, as determined by t-test (NS, nonsignificant; \*  $P < 0.02$ , \*\*  $P < 0.004$ , \*\*\*  $P < 0.0003$ , \*\*\*\*  $P < 0.0001$ ). EV, empty vector negative control; Etop., etoposide positive control.

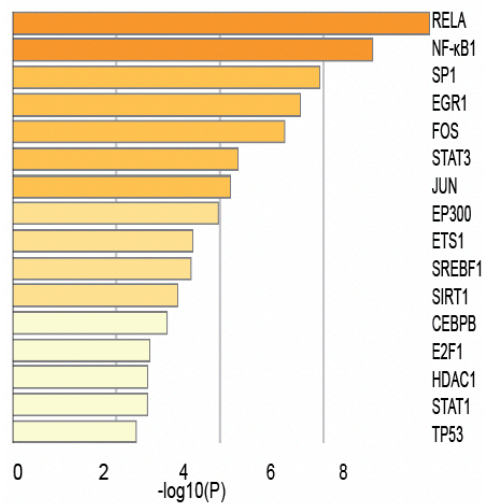
Figure Supplement 2

A Upregulated

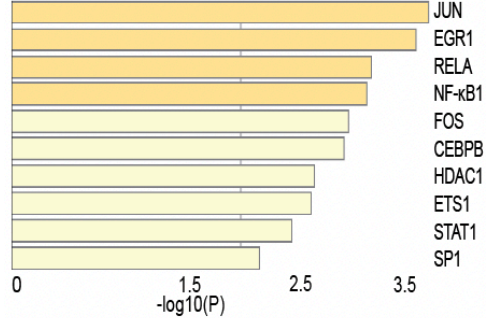
Vpr WT



Vpr S79A: Does not induce cell cycle arrest



Vpr H71R: Does not induce cell cycle arrest or bind the CRL4ADCAF1 complex



B

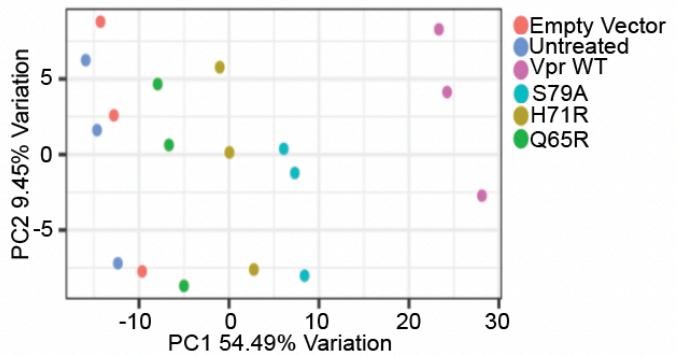


Figure Supplement 2. Vpr alters cellular transcription through diverse mechanisms that are either dependent or independent of cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement.

(A) Gene ontology using Metascape displaying TRRUST analysis for upregulated genes. (B) Principal component analysis (PCA) of the RNA-seq data showing the relatedness of the three biological replicates compared to empty vector or untreated cells.

Figure Supplement 3

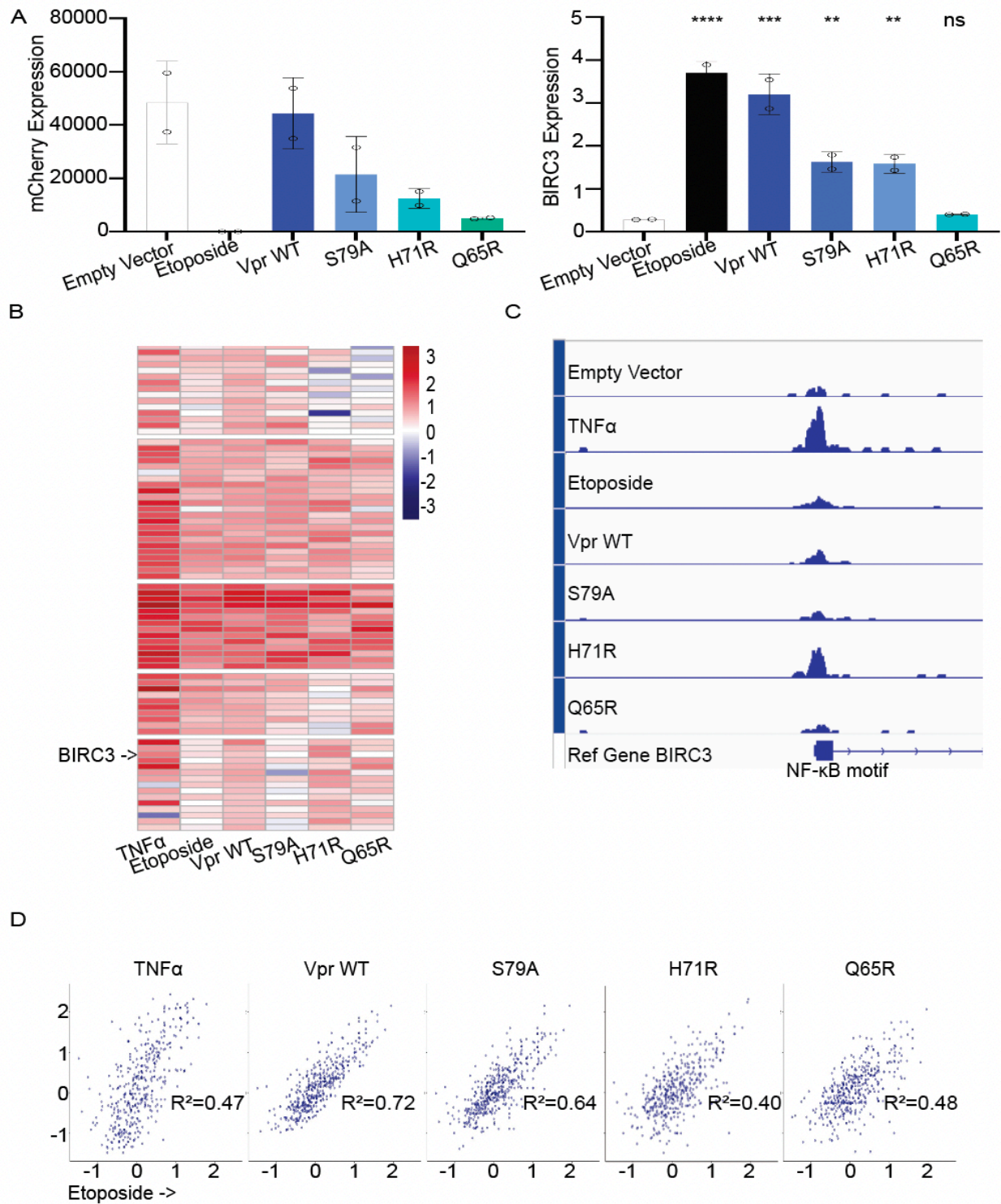
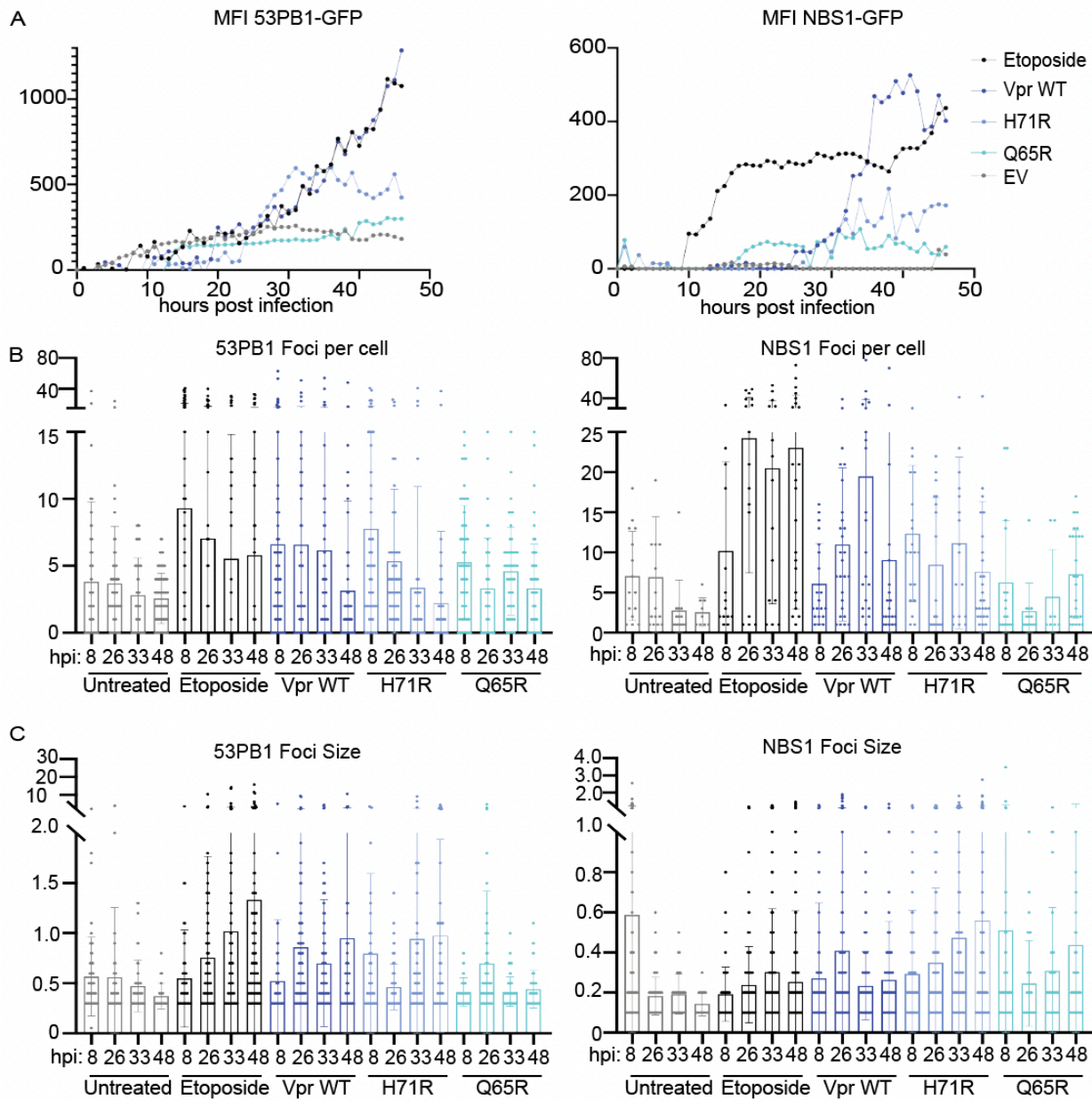


Figure Supplement 3. Vpr WT globally activates RelA to bind NF-κB promoters.



(A) qRT-PCR for mCherry (pscAAV-mCherry-T2A-Vpr) as proxy for Vpr expression in U2OS cells and for BIRC3. U2OS cells were infected with rAAV-2.5 ( $1.4 \times 10^8$  copies/well) expressing HIV-1 Vpr WT, Vpr mutants, empty vector negative control, 50  $\mu$ M etoposide (Sigma) for 36 hours, or stimulated with TNF $\alpha$  (Thermo) for 16 hours. Normalized expression to GAPDH. (B) Heatmap displays Log<sub>2</sub> fold changes depicting RelA enrichment at NF- $\kappa$ B motifs in red and depletion in blue. Each row represents a single NF- $\kappa$ B motif. The BIRC3 promoter contains an NF- $\kappa$ B motif and is found in the 5th cluster. (C) Genome Browser Tracks for the BIRC3 promoter. Reads mapped to the NF- $\kappa$ B motif in blue. (D) Sample correlations to etoposide. R2 displays fittest regression line.

Figure Supplement 4



**Figure Supplement 4. Vpr-induced DNA damage activates markers of ATM signaling.**

(A) Live-cell imaging taken on the IncuCyte of U2OS NBS1-GFP or 53PB1-GFP cells infected as in Fig. 1A for 48 hrs. n=2, one representative experiment shown. (B & C) Quantification of DNA damage induced in the live-cell imaging experiment in Fig. 3A at 8, 26, 33, and 48hpi. Foci per

cell and foci size were quantified using ImageJ software. Images were taken at 63x magnification using the LSM 900. n=3, one representative experiment shown. EV, empty vector

Figure Supplement 5

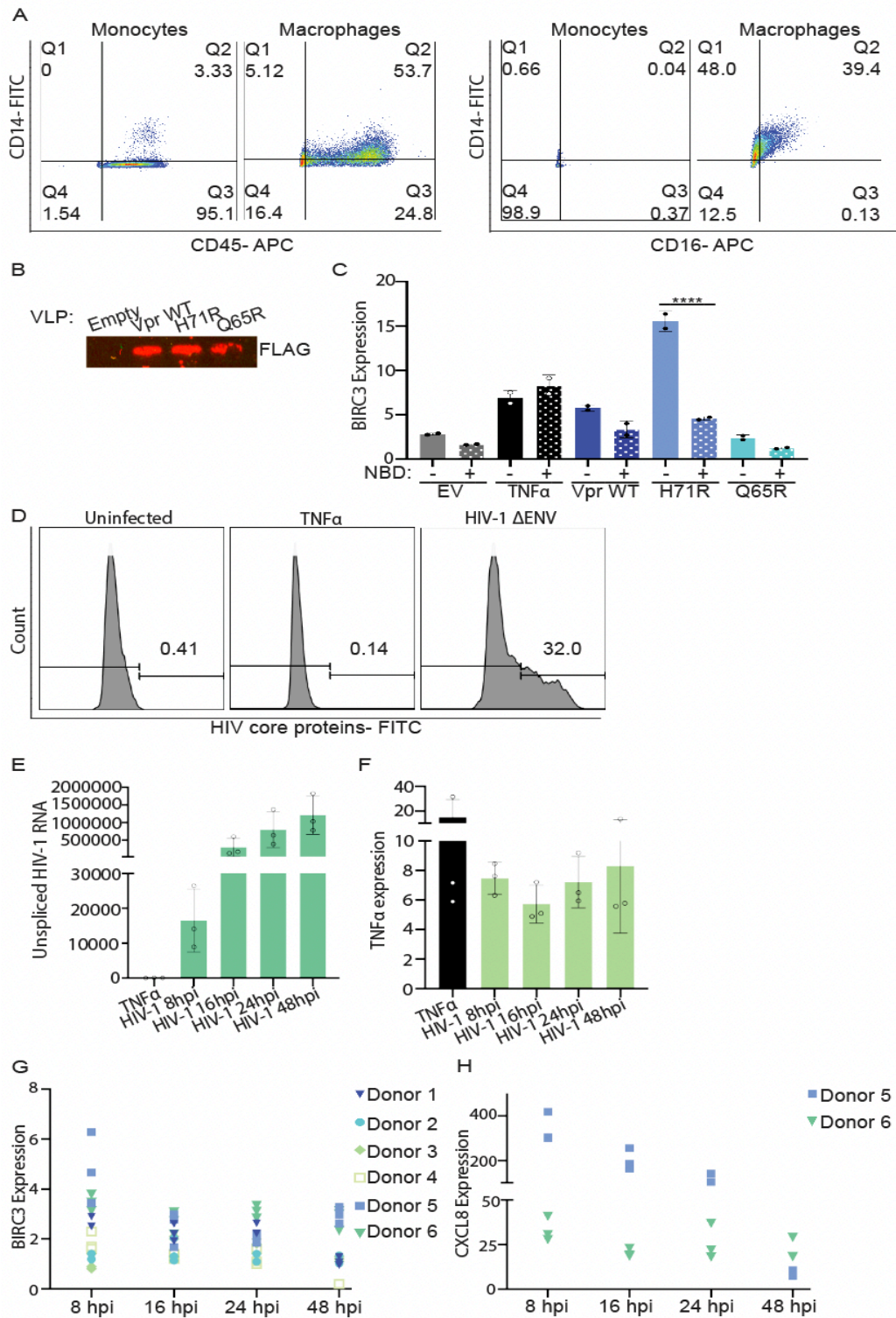


Figure Supplement 5. Infection of primary MDMs with VLPs packaging Vpr protein or HIV-1 infection upregulate NF- $\kappa$ B transcription dependent on NEMO.

(A) Flow cytometry plots displaying differentiation of PBMC-derived monocytes to macrophages. Primary MDMs are CD14<sup>+</sup>, CD45<sup>+</sup> and CD16<sup>+</sup>. n=6, one representative experiment shown. (B) Western blot of 3X FLAG-Vpr WT and mutants packaged in Virus-like particles (VLPs). (C) qRT-PCR for BIRC3 of MDMs treated with NBD as in Fig. 5C with TNF $\alpha$  as positive control. n=3, one representative experiment shown. (D) Histogram quantifying percent of infected MDMs with HIV-1  $\Delta$ ENV at 48hpi. n=6, one representative experiment shown. (E) qRT-PCR for unspliced HIV-1 RNA at 8, 16, 24 and 48hpi. n=6, one representative experiment shown. (F) qRT-PCR for TNF $\alpha$  of MDMs infected with HIV-1  $\Delta$ ENV at 8, 16, 24 and 48hpi. n=6, one representative experiment shown. (G & H) qRT-PCR for BIRC3 or CXCL8 of MDMs infected with HIV-1  $\Delta$ ENV at 8, 16, 24 and 48hpi in separate donors. n=6, (G) is all 6 donors, (H) is donors 5 and 6 in addition to those shown in Fig. 5E. Asterisk indicate statistical significance compared to untreated control, as determined by one-way ANOVA test (NS, nonsignificant; \*\*\*\* P<0.0001).

## MATERIALS AND METHODS

### Plasmids.

pcDNA-3xFLAG-Vpr and pscAAV-mCherry-T2A-Vpr WT and mutant plasmids were generated as previously described (28). For rAAV production, pHelper and pAAV-2.5 capsid plasmids were used (Addgene and (28)). For VLP production, psPAX2 and pmD2.G were used (Addgene). For HIV-1  $\Delta$ ENV production, Bru-GFP  $\Delta$ ENV was generated as previously described (62) and pmD2.G (Addgene). For lentivirus stable knock-down of DCAF1, pLKO.1 (Addgene), psPAX2(Addgene) and pmD2.G (Addgene) were used.

### Generation of Viruses.

rAAV vectors packaging the pscAAV-mCherry-T2A-Vpr WT or mutant plasmids were generated by transient transfection of HEK 293 cells using polyethyleneimine (PEI) as previously described (63). Virus-like particles (VLPs) packaging Vpr WT or mutant proteins were generated by transient transfection of HEK 293T using TransIT-LT1 (Mirus). VLPs were harvested 48hrs post transfection, concentrated through a 25% sucrose cushion at 24,000 rpm for 3 hrs at 4°C, and resuspended in PBS. Protein packaging was validated through western blot. HIV-1  $\Delta$ ENV pseudotyped with VSV-G were generated by transient transfection of HEK 293T using TransIT-LT1 (Mirus). Virus was collected 48hrs post transfection. Lentivirus expressing DCAF1 shRNAs were generated by transfection of HEK 293T using TransIT-LT1 (Mirus). To target the DCAF1 sequence (5' to 3') (AGCACTTCAGATTATCATCAA) we used shRNA 1: AATTCAAAAAGCTGAGAATACTCTTCAAGAACTCGAGTTCTTGAAGAGTATTCTCAGC. To target the DCAF1 sequence (GCTGAGAATACTCTTCAAGAA) we used the shRNA 2: AATTCAAAAAGCACTTCAGATTATCATCAACTCGAGTTGATGATAATCTGAAGTGCT. Media was changed 18 hours post transfection. Lentiviruses were harvested 48 hours post media change and filtered through a 0.45  $\mu$ m PES filter.

#### Cell Lines and Cell Culture.

Human bone osteosarcoma epithelial (U2OS), Human embryonic kidney (HEK) 293, and HEK 293T cells were cultured as adherent cells directly on tissue culture plastic (Greiner) in Dulbecco's modified Eagle's medium (DMEM) growth medium (high glucose, L-glutamine, no sodium pyruvate; Gibco) with 10% fetal bovine serum (FBS) (Gibco) and 1% penicillin-streptomycin (Gibco) at 37°C and 5% CO<sub>2</sub>. All cells were harvested using 0.05% trypsin-EDTA (Gibco). The panel of U2OS cells stably expressing 53PB1-GFP (64) and NBS1-GFP (65) were kindly provided by Claudia Lukas (University of Copenhagen, Denmark). Stable DCAF1 knock-down cells were generated by delivering fresh lentiviruses expressing DCAF1 shRNAs or empty vector to U2OS cells. U2OS with integrated lentiviral construct were selected for with 1ug/ml of Puromycin. Knock-down of DCAF1 was assessed through western blots.

#### Monocyte-Derived Macrophages (MDMs).

Human peripheral blood mononuclear cells (PBMCs) were obtained from human donors at the UCLA/CFAR Virology Core Laboratory. Primary monocytes were isolated from PBMCs by negative selection using the EasySep™ Human T Cell Isolation Kit (STEM CELL) and were differentiated into monocyte-derived macrophages (MDMs) by stimulation with 20ng/mL macrophage colony-stimulating factor (M-CSF) (R&D Systems). MDMs were cultured in Roswell Park Memorial Institute (RPMI) 1640 growth medium (L-Glutamine) with 10% fetal bovine serum (Gibco) at 37°C and 5% CO<sub>2</sub> for 7 days while replenishing media every 3 days.

#### Alkaline Comet Assay.

The alkaline comet assay and data analysis was performed as previously described (28), with minor changes. MDMs were infected with VLPs delivering Vpr WT and mutants at equal protein levels or 25uM Mitomycin C (Cayman). Cells were harvested with Accutase (STEM CELL) at 10

hrs post infection and resuspended in 0.5% low-melting-point agarose at 37°C. Images were acquired on the Zeiss Axio Imager Z1. Images were analyzed using the OpenComet plug-in for ImageJ.

RNA-sequencing.

Total RNA from U2OS cells was isolated using TRIzol® reagent (Invitrogen). RNA integrity was assessed using the Bioanalyzer TapeStation 4200 RNA High Sensitivity (Agilent). Library Preparation was completed using the KAPA mRNA HyperPrep Kit (Illumina) enriching for Poly(A) RNA with magnetic oligo-dT beads and attaching unique dual indexed adapters. Quality control of the library was done with the Bioanalyzer TapeStation 4200 D1000 High Sensitivity (Agilent). RNA was sequenced using the Hiseq3000 1x50 at the UCLA Technology Center for Genomics & Bioinformatics core. Reads were aligned to the Human h38 STAR genome and gene counts were determined using edgeR.  $\log_2$  reads per kilobase of transcript per million reads mapped (rpkm) were calculated for samples compared to untreated cells. Heatmap was generated using pheatmap hierarchical clustering on z-score  $\log_2$  rpkm. Gene ontology and TRRUST analysis were done on Metascape.

Quantitative Reverse Transcription PCR (RT-qPCR).

Total RNA was isolated using the PureLink™ RNA Mini Kit (Invitrogen). RNA was reverse transcribed using SuperScript IV First-Strand Synthesis System (Invitrogen) with Oligo(dT) primers. qPCR was performed with PowerTrack SYBR Green Master Mix (Thermo Fisher Scientific) on the LightCycler 480 System (Roche) with the following primers (5' to 3') BIRC3 AAGCTACCTCTCAGCCTACTTT and CCACTGTTTTCTGTACCCGGA, CXCL8 TTTTGCCAAGGAGTGCTAAAGA and AACCTCTGCACCCAGTTTTTC, TNFa CTCTTCTGCCTGCTGCACTTTG and ATGGGCTACAGGCTTGCTCACTC, HIV-1 unspliced RNA GCGACGAAGACCTCCTCAG and GAGGTGGGTTGCTTTGATAGAGA, and GAPDH



CAAGATCATCAGCAATGCCT and AGGGATGATGTTCTGGAGAG. mRNA levels were quantified by calculating  $\Delta\Delta Ct$ . Target transcript Ct values were normalized to the Ct value of the housekeeping gene GAPDH followed by calculating fold change to untreated or empty vector treated cells.

#### CUT&Tag.

U2OS cells were infected with rAAV-2.5 ( $1.4 \times 10^8$  copies/well) expressing HIV-1 Vpr WT, Vpr mutants or empty vector for 36 hours, or stimulated with TNF $\alpha$  (Thermo) for 16 hours. Cells were trypsinized and collected in PBS. CUT&Tag for human RelA was performed per epicypher CUTANA CUT&Tag protocol with 100,000 starting cells. SC-109 anti-human RelA antibody (Santa Cruz Biotechnology) was used at a dilution of 1:1 as the primary antibody per manufacturer protocols. The resulting library was sequenced on the HiSeq 3000 platform (Illumina) with a read length of 50bp. Low quality ends of reads were trimmed (cutoff  $q=30$ ), remaining adapter sequences were removed with cutadapt. Reads were aligned to the human genome (hg38) with bowtie with the following options: --non-deterministic --mm --phred33 --very-sensitive -x hg38. Aligned reads were deduplicated using picard to keep only unique reads with quality  $\geq 30$  for subsequent analysis. CUT&Tag peaks were called using MACS3 with the following settings: -f BAM -g hs -q 0.01 --keep-dup all --call-summits --nomodel. Read counts were normalized to library size by conversion to CPM with edgeR. To ensure that only high-quality peaks were analyzed, only genomic regions with reads  $< 50$ th percentile in the TNF $\alpha$  and HIV1 Vpr WT were analyzed. CPM fold changes were calculated relative to empty vector control and log<sub>2</sub> transformed.

#### Live-Cell Imaging.

U2OS-53PB1-GFP and U2OS-NBS1-GFP cells were imaged using the IncuCyte S3 Live-Cell Analysis Instrument (Sartorius). Mean Fluorescence Intensity (MFI) was calculated using the

Sartorius software. For higher resolution, U2OS-53BP1-GFP and U2OS-NBS1-GFP cells were imaged using the LSM 900. Foci per cell and foci size were analyzed using ImageJ.

#### Immunofluorescence.

Cells were plated in 6- or 24-well tissue culture plates (Greiner) and allowed to adhere overnight, then infected with VLP (equal protein expression), rAAV-2.5 ( $1.4 \times 10^8$  copies/well), or etoposide (Sigma). U2OS cells were permeabilized with 0.5% Triton X-100 in PBS at 4°C for 5 min and fixed in 4% PFA for 20 min, MDM cells were permeabilized with 0.1% Saponin (Fisher) in PBS at 4°C for 15 min and fixed in 4% PFA for 15 min. Cells were washed, incubated with blocking buffer (3% BSA, 0.05% Tween 20, and 0.04 NaN<sub>3</sub> in PBS for U2OS cells or 3% FBS in 0.1% Saponin for MDM cells) for 30 min. Cells were probed with appropriate primary antibodies (anti-γH2A.x Ser139, anti-53BP1, or anti-RelA(p65) [Cell Signaling], anti-GFP [Takara], and anti-MRE11 [Novous]) and then washed and probed with Alexa Fluor-conjugated secondary antibodies (Life Technologies). Nuclei were stained with diamidino-2-phenylindole (DAPI; Life Technologies). Images were acquired on the LSM 980.

#### Western Blots.

Protein was collected from cells as previously described (28). Membranes were blocked in intercept blocking buffer (Li-COR Biosciences). Immunoblotting was performed using mouse anti-FLAG M2 (Sigma-Aldrich), rabbit anti-Actin (Bethyl), or mouse anti-DCAF1 (Proteintech) for 1hr. Blots were incubated with secondary antibodies IRDye 800CW anti-Rabbit and IRDye 680RD anti-Mouse (Li-COR Biosciences) for 1 hr and then visualized using the Li-COR Odyssey M (Li-COR Biosciences).

#### HIV-1 ΔENV Infection.

MDMs were plated in 96-well tissue culture plates (Greiner) and allowed to adhere overnight. MDMs underwent spinfection with HIV-1  $\Delta$ ENV pseudotyped with VSV-G at 1200 $\times$  g for 90 min at 37°C. Infection was assessed 48 hrs after infection via RT-qPCR and Flow Cytometry.

#### Flow Cytometry.

Isolated monocytes and infected MDMs were lifted from tissue culture plates using accutase (STEM CELL). Cells were stained for CD14-FITC, CD45-APC, or CD16-APC (STEM CELL) for 30 min at 4°C, washed with PBS, fixed in 4% PFA for 15 min, permeabilized with 0.1% Triton X-100 in PBS at 4°C for 15 min, then washed with PBS. Cells were probed for HIV-1 core antigen-FITC KC57 (Beckman Coulter) for 1hr at 4°C then washed with PBS and resuspended in FACS buffer (5% FBS in PBS). Events were assessed by flow cytometry on the AttuneNxT (Thermo Fisher Scientific). At least 10,000 events were collected per run. Data was analyzed using FlowJo software.

#### Statistical Analyses.

All statistical analyses were performed using GraphPad Prism 9.

#### Author Contributions.

Conceptualization, C.S. and O.I.F; methodology, C.S.; Cut and Tag, A.G.; writing original draft C.S and O.I.F; writing, review and editing C.S. and O.I.F; visualization C.S. and O.I.F.; supervision and funding acquisition O.I.F. All authors have read and agreed to the published version of the manuscript.

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## **CHAPTER 3: Conserved and Divergent Engagement of the DDR by HIV-1 and HIV-**

### **2 Vpr**

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## INTRODUCTION

HIV-1 and HIV-2 encode accessory proteins that enhance viral replication through various mechanisms(1). Accessory proteins can directly interact with host proteins to usurp their cellular function or antagonize their antiviral activity. HIV-1 and HIV-2 are two evolutionarily distinct viruses that resulted from specific cross-species events. HIV-1 group M originated from cross-species transmission from chimpanzees infected with Simian immunodeficiency virus (SIV) into humans. In contrast, HIV-2 originated from cross-species transmission from sooty mangabeys infected with SIV into humans(2). Although HIV-1 and HIV-2 have two distinct lineages, they encode many similar accessory proteins. For example, HIV-1 encodes the accessory proteins Vpr, Vif, Vpu, and Nef, while HIV-2 encodes Vpr, Vif, Nef, and Vpx (3). Previous research has led to the understanding of most lentiviral accessory proteins. However, Vpr is the last accessory protein encoded by HIV-1 and HIV-2 without a known primary conserved function. Here, we aim to understand whether HIV-1 and HIV-2 Vpr are functionally similar.

Most phenotypes described for Vpr involve the ability of Vpr to modulate the DNA damage response (DDR)(4,5). First, HIV-1 and HIV-2 Vpr were found to induce G2/M cell cycle arrest, which requires engagement with the E3 ubiquitin ligase CRL4A<sup>DCAF1</sup> complex (6). Later, HIV-1 Vpr was described to cause degradation of many host proteins involved in the DDR, including CCDC137 (7), HLTF (8), UNG2 (9), SAMHD1 (10), Mus81/EME1 (11), EXO1 (12), TET2 (13), and MCM10 (14), as well as more global proteome remodeling (15). However, HIV-2 Vpr engages host DDR proteins differentially than HIV-1. HIV-2 Vpr does not degrade HLTF or UNG2 (16), two components of the DNA repair machinery. Furthermore, unlike HIV-1 Vpr, HIV-2 Vpr does not bind the host endonuclease SLX4 (4). Most recently, our lab identified that HIV-1 and HIV-2 Vpr inhibits DNA repair, which requires engagement with the E3 ubiquitin ligase CRL4A<sup>DCAF1</sup> complex (5). It is becoming clear that although there are many similarities in how HIV-1 and HIV-2 Vpr

engage the DDR, there are also differences that may explain the distinct cellular consequences caused by HIV-1 and HIV-2 Vpr. Overall, Vpr modulates the DDR at multiple and potentially unique steps. However, it is unknown if modulation of the DDR is conserved among HIV-1 and HIV-2 Vpr.

Recently, we have shown that HIV-1 and HIV-2 Vpr activates the DNA damage response (DDR). Both HIV-1 and HIV-2 Vpr induce double and single-strand DNA breaks, which activate markers of ATM signaling, such as  $\gamma$ H2A.X, MRE11, NBS2, and 53BP1 (see Chapter 1). Employing Vpr mutants that decouple Vpr functions (Table 2), we have shown that HIV-1 Vpr induces DNA breaks and activates classical ATM signaling without cell cycle arrest and engagement with the CRL4A<sup>DCAF1</sup> complex. Furthermore, we identified that Vpr-induced DNA damage activates RelA/NF- $\kappa$ B transcription, causing upregulation of two NF- $\kappa$ B target genes (BIRC3 and CXCL8) (see Chapter 1). However, it is unknown whether the ability to activate ATM signaling and cause upregulation of NF- $\kappa$ B target genes BIRC3 and CXCL8 are conserved by HIV-2 Vpr. Lastly, because Vpr is packaged in the virion, we asked if physiological levels of virion-delivered Vpr can activate the DDR and ATM signaling.

Here, we identified that HIV-2 Vpr induces DNA breaks and activates markers of ATM-signaling, suggesting that activation of the DDR is conserved among HIV-1 and HIV-2 Vpr. Furthermore, we found that HIV-2 Vpr WT and H76R mutant, which does not cause cell cycle arrest or engage the CRL4A<sup>DCAF1</sup> complex, promote translocation of RelA into the nucleus, suggesting activation of NF- $\kappa$ B. However, HIV-2 Vpr and mutants did not upregulate NF- $\kappa$ B target genes, BIRC3 and CXCL8, distinct from HIV-1 Vpr. These data suggest there are divergent cellular consequences among HIV-1 and HIV-2 Vpr engagement with the DDR. Lastly, we found that virion delivered HIV-1 and HIV-2 Vpr-activated markers of ATM signaling that resembled classical DDR activation rather than dysregulation. Our data suggest that HIV-1 and HIV-2 Vpr engage the DDR and

activate ATM signaling, yet the downstream consequences are divergent. Our work offers novel insight into the primary conserved function of Vpr and the ability to engage the DDR to enhance viral replication (as shown in Chapter 1).



## RESULTS

### **HIV-2 Vpr induces DNA damage and activates DDR signaling independent of CRL4A<sup>DCAF1</sup> engagement and cell cycle arrest, as conserved by HIV-1 Vpr**

We set out to understand whether the ability of HIV-1 Vpr to induce DNA damage, activate DDR signaling, and promote RelA/NF- $\kappa$ B transcription was conserved among HIV-2 Vpr. We have previously shown that the HIV-2 Rod9 Vpr mutant S84A causes DNA damage without cell cycle arrest (Li and Lopez). We have also shown that HIV-2 Rod9 Vpr H76R does not bind the DCAF1 component of the CRL4A<sup>DCAF1</sup> E3 ubiquitin ligase complex and does not induce cell cycle arrest (5), while the HIV-2 Rod9 Vpr Q70R is non-functional. These HIV-2 Vpr mutants allowed us to determine if HIV-2 Vpr engages the DDR without cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement as seen with HIV-1 Vpr. U2OS cells were infected with rAAV expressing either HIV-2 Rod9 Vpr wild type (WT), Vpr H76R, Vpr Q70R, or etoposide (positive control). Induction of DNA damage was assessed by comet assay, and activation of DDR signaling was assessed by immunofluorescence 24 hours post-infection. We found that HIV-2 Vpr WT induces DNA breaks (Fig. 1A) and activates the DDR marker  $\gamma$ H2A.x (Fig. 1B), while the nonfunctional Q70R mutant does not. In addition, we found that H76R induces DNA breaks (Fig. 1A) and activates  $\gamma$ H2A.x (Fig. 1B) at levels similar to Vpr WT. Our data indicate that HIV-2 Vpr induces DNA breaks and activates DDR signaling without cell cycle arrest and CRL4A<sup>DCAF1</sup> engagement, as seen with HIV-1 Vpr (see Chapter 1). These data suggest that the mechanism of engagement of the DDR is conserved by HIV-1 and HIV-2 Vpr.

### **HIV-2 Vpr activates RelA as HIV-1Vpr**

To determine if HIV-2 Vpr activates RelA, we employed immunofluorescence for RelA in U2OS cells. As RelA is cytoplasmic when inactive and nuclear when active, therefore we expected HIV-2 Vpr to promote RelA translocation similar to HIV-1 Vpr. We found that HIV-2 Vpr WT and H76R mutant promote RelA nuclear translocation similar to etoposide (positive control), while the Vpr Q70R and empty vector do not (Fig 1 C). These data suggest that the ability of Vpr to activate the DDR and activate RelA is conserved among HIV-1 and HIV-2 Vpr.

### **HIV-2 Vpr does not upregulate NF- $\kappa$ B target genes that HIV-1 Vpr upregulates**

Next, we tested the ability of HIV-2 Vpr to upregulate NF- $\kappa$ B transcription using qRT-PCR for NF- $\kappa$ B target genes BIRC3 and CXCL8. Although HIV-2 Vpr WT and mutants were expressed similarly to HIV-1 Vpr (Fig 1E), HIV-2 Vpr WT and mutants did not upregulate CXCL8 and BIRC3 (Fig 1D). These data were unexpected for two reasons, HIV-2 Vpr WT and H76R activate RelA, and HIV-1 Vpr WT promotes the expression of BIRC3 and CXCL8. These data suggest that the cellular consequences of Vpr activating the DDR are distinct among HIV-1 and HIV-2 Vpr.

### **Virion-delivered HIV-2 Vpr activates DDR signaling**

We next tested our hypothesis that virion-delivered (incoming) HIV-2 Vpr can activate the DDR. We generated VLPs that package HIV-1 Vpr WT or HIV-2 Vpr WT, although at different levels, and empty VLPs (Fig. 2D). Using live-cell imaging via IncuCyte, we tracked DDR activation throughout infection. U2OS cells stably expressing 53PB1-GFP or NBS1-GFP were infected with VLPs that packaged HIV-1 Vpr WT, HIV-2 Vpr WT, or empty particles, and cells were imaged up to 48hpi. Cells were treated with etoposide (positive control). We found that VLPs delivering HIV-1 and HIV-2 Vpr WT activated NBS1 and 53PB1, damage sensor and transducer, respectively (Fig 2A). Furthermore, since many viruses dysregulate DDR signaling through relocalization of

DDR markers, we assayed for co-localization of damage sensors and transducers in the context of HIV-1 and HIV-2 Vpr-induced DNA damage. We found both damage sensors, MRE11 and NBS1, and transducers 53PB1 and  $\gamma$ H2A.x, colocalize in cells treated with HIV-1 Vpr WT and HIV-2 Vpr WT VLPs, similar to etoposide-treated cells (Fig. 2B&C). These data suggest that incoming Vpr from HIV-1 and HIV-2 Vpr activates ATM signaling and do not dysregulate the DDR.

## DISCUSSION

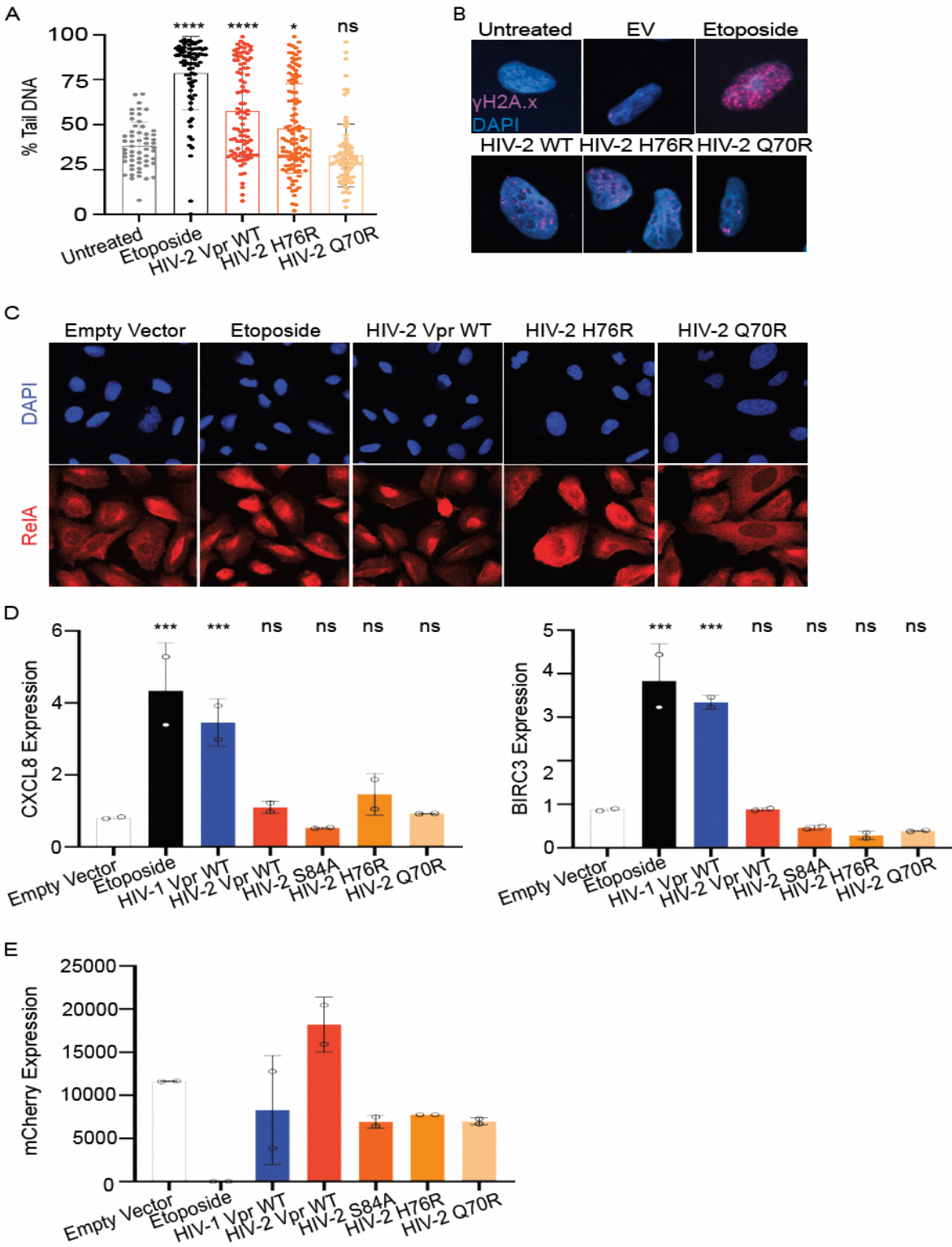
We tested the hypothesis that the ability of Vpr to activate the DDR and promote RelA/NF- $\kappa$ B transcription is conserved among HIV-1 and HIV-2 Vpr. Here we found that HIV-2 Vpr induces DNA damage and activates DDR signaling independent of CRL4A<sup>DCAF1</sup> engagement and cell cycle arrest similar to HIV-1 Vpr. Furthermore, we showed that HIV-2 Vpr WT and Vpr mutants that only induce DNA damage promote RelA translocation yet do not upregulate known NF- $\kappa$ B target genes that HIV-1 Vpr upregulates. These data suggest that although HIV-1 and HIV-2 Vpr similarly activate the DDR, the cellular consequence of HIV-1 and HIV-2 Vpr-induced DNA damage is distinct. Therefore, it will be essential to perform RNA-sequencing of HIV-2 Vpr WT and Vpr mutant infected cells to determine the effect of HIV-2 Vpr activating RelA on cellular transcription.

Vpr is delivered in the incoming virion and is thought to play an important role early during infection to enhance viral replication. We investigated whether virion-delivered HIV-1 and HIV-2 Vpr activate or regulate the DDR. We showed that VLPs delivering either HIV-1 or HIV-2 Vpr promote classical activation of ATM signaling. These data suggest that the virion-delivered HIV-1 and HIV-2 Vpr evolved a common mechanism to promote ATM activation, yet how activation of ATM signaling promotes viral replication is unknown. Furthermore, whether a common ancestor of HIV-1 and HIV-2 Vpr can also activate ATM signaling is unknown. Understanding if related SIV Vpr's engagement the ATM signaling will help determine if this is a novel or conserved function of Vpr. Our data suggest that incoming HIV-1 and HIV-2 Vpr activate DDR signaling. Furthermore, we found that HIV-2 Vpr induces DNA damage and activates DDR signaling independent of CRL4A<sup>DCAF1</sup> engagement and cell cycle arrest, similar to HIV-1 Vpr. Although HIV-2 WT and H76R mutants promote RelA nuclear translocation, HIV-2 Vpr does not upregulate known NF- $\kappa$ B target genes that HIV-1 Vpr upregulates. These data suggest that HIV-1 and HIV-2 Vpr have evolved to

activate ATM signaling and modulate RelA, yet the effects on NF- $\kappa$ B by HIV-2 Vpr are unclear and distinct from HIV-1 Vpr.

HIV-1 and HIV-2 activate the DDR through similar mechanisms, yet the effect on cellular transcription is distinct. HIV-1 and HIV-2 Vpr activate the DDR and promote RelA activation without engagement of the CRL4A<sup>DCAF1</sup> complex and associated phenotypes. These data suggest that HIV-1 and HIV-2 Vpr are not degrading an unknown host factor to activate the DDR. Alternatively, HIV-1 and HIV-2 Vpr may co-opt a different E3 ubiquitin ligase to target host proteins for degradation independent of DCAF1 binding. Nonetheless, it will be essential to determine how HIV-1 and HIV-2 Vpr activate RelA yet have distinct effects on cellular transcription. One reason why HIV-1 and HIV-2 Vpr have distinct cellular functions could be because HIV-2 encodes Vpx, a paralog of Vpr, that is described to function in similar ways as HIV-1. However, HIV-2 Vpx does not affect the cell cycle and has evolved to enhance viral replication in non-cycling cells. Therefore, it is necessary to determine which functions of HIV-1 Vpr are shared among HIV-2 Vpr versus HIV-2 Vpx. Overall, our work identified conserved and divergent functions among HIV-1 and HIV-2 Vpr that start to unravel the evolutionarily conserved function of Vpr.

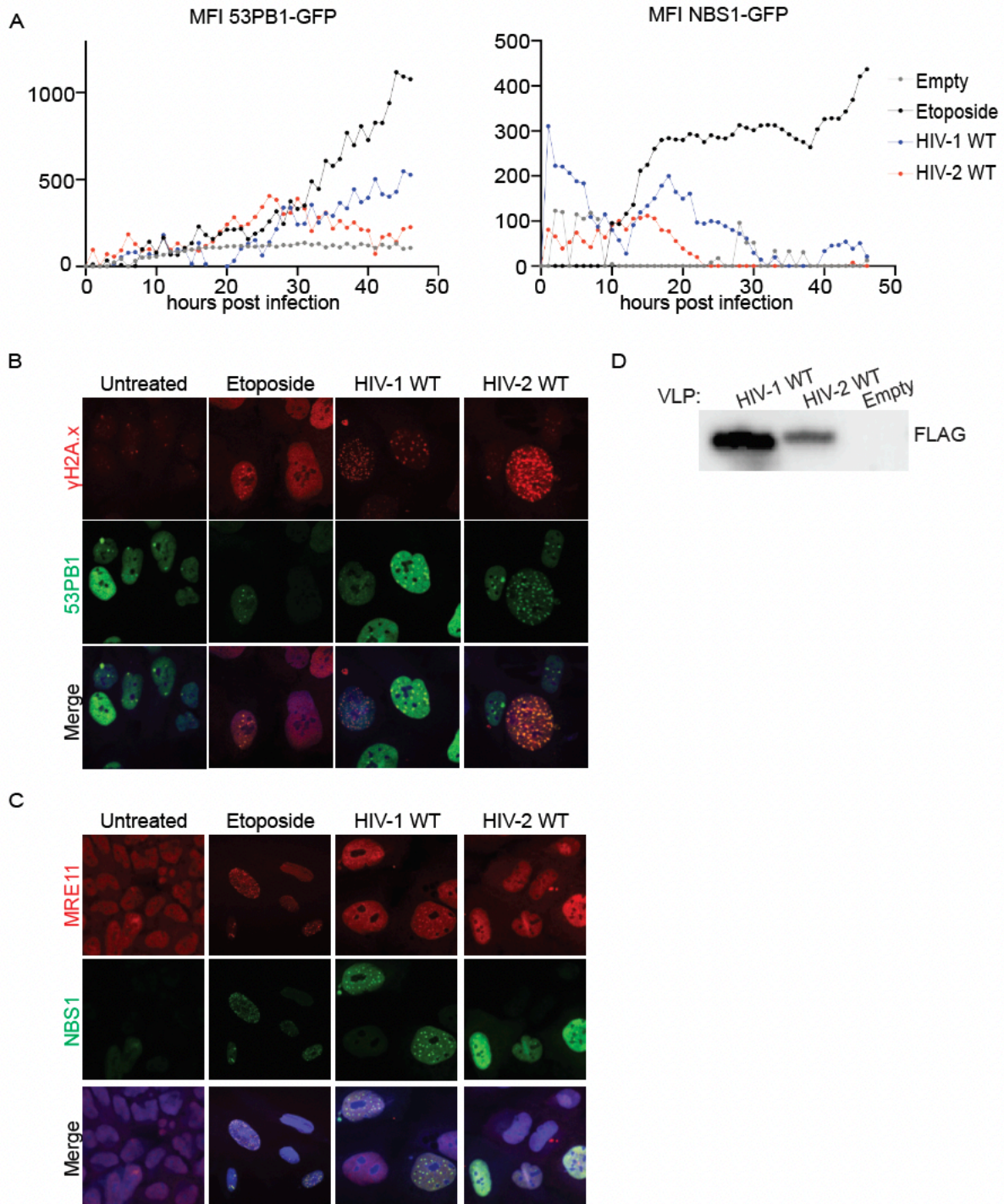
Figure 1



Chapter 3 Figure 1. HIV-2 Vpr induces DNA damage and promotes RelA translocation yet does not upregulate NF- $\kappa$ B target genes (BIRC3 and CXCL8) as HIV-1 Vpr.

(A) Comet assay of U2OS cells infected with rAAV expressing 3xFLAG-tagged HIV-2 Vpr WT, H76R, Q70R, untreated (negative control), or 50uM etoposide (positive control) at 24 hours. Percent tail DNA was quantified using the OpenComet plug-in for the ImageJ software. Each circle represents one cell. n=3, one representative experiment is shown. (B) Representative immunofluorescence images of U2OS cells infected under the same conditions as Fig. 1A;  $\gamma$ H2A.x (magenta) and nuclei stained with DAPI (blue). Images were taken at 63x magnification. n=3, one representative experiment shown. EV, empty vector. (C) Representative immunofluorescence images of U2OS cells infected under the same conditions as Fig. 1A; RelA (red) and nuclei stained with DAPI (blue). Images were taken at 63x magnification. n=3, one representative experiment shown. (D) qRT-PCR of upregulated NF- $\kappa$ B target genes BIRC3 and CXCL8 represented as log<sub>2</sub> normalized expression to GAPDH. 50uM etoposide and HIV-1 Vpr WT (positive controls) and untreated cells (negative control). n=3, one representative experiment shown. Asterisks indicate statistical significance compared to untreated control, as determined by one-way ANOVA test (NS, nonsignificant; \*P<0.01, \*\*\* P< 0.0003, \*\*\*\* P< 0.0001).

Figure 2



Chapter 3 Figure 2. Virus-like particles delivering HIV-1 and HIV-2 Vpr WT activate markers of ATM signaling.



(A) Live-cell imaging taken on the IncuCyte of U2OS NBS1-GFP or 53PB1-GFP cells infected with virus-like particles delivering HIV-1 and HIV-2 Vpr WT or empty virus-like particles (negative control) and 50uM etoposide (positive control). Cells were imaged for 48 hp. n=2, one representative experiment is shown. (B) Cells were treated as in Figure 2B. Representative images of co-localization of DNA damage transducers  $\gamma$ H2A.x (red) and 53PB1 (green) in U2OS 53PB1-GFP cells. Representative images were taken at 24 hp at 63x magnification. n=3, one representative experiment shown. (C) Cells were treated as in Figure 2B. Representative images of co-localization of DNA damage sensors MRE11 (red) and NBS1 (green) in U2OS NBS1-GFP cells. Representative images were taken at 24 hp at 63x magnification. n=3, one representative experiment shown. (D) Western blot of 3X FLAG-HIV-1 Vpr WT and 3X FLAG-HIV-2 Vpr WT protein packaged in VLPs.

	DNA Damage	Engages the CRL4A <sup>DCAF1</sup>	Cell Cycle Arrest	NF-κB transcription
HIV-1/HIV-2 Vpr WT	+/+	+/+	+/+	+/-
S79A/S84A	+/+	+/+	-/-	+/-
H71R/H76R	+/+	-/-	-/-	+/-
Q65R/Q70R	-/-	-/-	-/-	-/-

**Table 2. HIV-1 and HIV-2 Vpr mutants that decouple cellular effects caused by Vpr.**

HIV-1 and HIV-2 Vpr mutants that decouple cellular effects caused by Vpr. Highlighted in yellow are discoveries that were made through the work in Chapters 2 & 3 driven by Carina Sandoval. DNA damage was assessed via the comet assay (see Chapter 2). Engagement of CRL4A<sup>DCAF1</sup> was assessed via co-immunoprecipitation (see Chapter 5). Cell cycle arrest was assessed via propidium iodide stain flow cytometry (see Chapter 5). NF-κB transcriptional activation was assessed via RNA-seq for HIV-1 Vpr WT and mutants, while NF-κB transcriptional activation for HIV-2 Vpr WT and mutants was assessed by qRT-PCR for known HIV-1 target genes (see Chapter 2 & 3).

## MATERIALS AND METHODS

### Plasmids.

pcDNA-3xFLAG-Vpr and pscAAV-mCherry-T2A-Vpr WT and mutant plasmids were generated as previously described (22). For rAAV production, pHelper and pAAV-2.5 capsid plasmids were used (Addgene and 22). For VLP production, psPAX2 and pmD2.G were used (Addgene).

### Generation of Viruses.

rAAV vectors packaging the pscAAV-mCherry-T2A-Vpr WT or mutant plasmids were generated by transient transfection of HEK 293 cells using polyethyleneimine (PEI) as previously described (91). Virus-like particles (VLPs) packaging Vpr WT or mutant proteins were generated by transient transfection of HEK 293T using TransIT-LT1 (Mirus). VLPs were harvested 48hrs post-transfection, concentrated through a 25% sucrose cushion at 24,000 rpm for 3 hrs at 4°C, and resuspended in PBS. Protein packaging was validated through Western blot.

### Cell Lines and Cell Culture.

Human bone osteosarcoma epithelial (U2OS), Human embryonic kidney (HEK) 293, and HEK 293T cells were cultured as adherent cells directly on tissue culture plastic (Greiner) in Dulbecco's modified Eagle's medium (DMEM) growth medium (high glucose, l-glutamine, no sodium pyruvate; Gibco) with 10% fetal bovine serum (FBS) (Gibco) and 1% penicillin-streptomycin (Gibco) at 37°C and 5% CO<sub>2</sub>. All cells were harvested using 0.05% trypsin-EDTA (Gibco). The panel of U2OS cells stably expressing 53PB1-GFP and NBS1-GFP were kindly provided by Claudia Lukas (University of Copenhagen, Denmark).

### Alkaline Comet Assay.

The alkaline comet assay and data analysis were performed as previously described (22), with minor changes. U2OS cells were infected with VLPs delivering Vpr WT and mutants at equal protein levels. Images were acquired on the Zeiss Axio Imager Z1. Images were analyzed using the OpenComet plug-in for ImageJ.

#### Quantitative Reverse Transcription PCR (RT-qPCR)

Total RNA was isolated using the PureLink™ RNA Mini Kit (Invitrogen). RNA was reverse transcribed using SuperScript IV First-Strand Synthesis System (Invitrogen) with Oligo(dT) primers. qPCR was performed with PowerTrack SYBR Green Master Mix (Thermo Fisher Scientific) on the LightCycler 480 System (Roche) with the following primers (5' to 3') BIRC3 AAGCTACCTCTCAGCCTACTTT and CCACTGTTTTCTGTACCCGGA, CXCL8 TTTTGCCAAGGAGTGCTAAAGA and AACCTCTGCACCCAGTTTTTC, and GAPDH CAAGATCATCAGCAATGCCT and AGGGATGATGTTCTGGAGAG. mRNA levels were quantified by calculating  $\Delta\Delta C_t$ . Target transcript  $C_t$  values were normalized to the  $C_t$  value of the housekeeping gene GAPDH followed by calculating fold change to untreated or empty vector-treated cells.

#### Live-Cell Imaging.

U2OS-53PB1-GFP and U2OS-NBS1-GFP cells were imaged using the InCuCyte S3 Live-Cell Analysis Instrument (Sartorius). Mean Fluorescence Intensity (MFI) was calculated using the Sartorius software.

#### Immunofluorescence.

Cells were plated in 6- or 24-well tissue culture plates (Greiner) and allowed to adhere overnight, then infected with VLP (equal protein expression), rAAV-2.5 ( $1.4 \times 10^8$  copies/well), or etoposide (Sigma). U2OS cells were permeabilized with 0.5% Triton X-100 in PBS at 4°C for 5 min and fixed

in 4% PFA for 20 min. Cells were washed, incubated with blocking buffer (3% BSA, 0.05% Tween 20, and 0.04 NaN<sub>3</sub> in PBS for U2OS cells. Cells were probed with appropriate primary antibodies (anti- $\gamma$ H2A.x Ser139, anti-53BP1, or anti-RelA(p65) [Cell Signaling], anti-GFP [Takara], and anti-MRE11 [Novous]) and then washed and probed with Alexa Fluor-conjugated secondary antibodies (Life Technologies). Nuclei were stained with diamidino-2-phenylindole (DAPI; Life Technologies). Images were acquired on the LSM 980.

Western Blots.

Protein was collected from cells as previously described (5).

Statistical Analyses.

All statistical analyses were performed using GraphPad Prism 9.

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## **CHAPTER 4: Identifying Novel HIV-1 Restriction and Dependency Factors Involved in the DNA Damage Response**

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## INTRODUCTION

Various host proteins modulate HIV replication. Antiviral restriction factors are host proteins that limit replication, while dependency factors are host proteins that facilitate replication. For example, LEDGF is a dependency factor because it facilitates integration, an essential step of viral replication (1). In addition, the host DNA damage response (DDR) is a signaling cascade often modulated by diverse viruses to enhance viral replication(2,3). However, it is unknown whether proteins of the DDR can act as antiviral restriction factors or dependency factors during HIV-1 replication. Therefore, we aimed to identify novel HIV-1 antiviral restriction and dependency factors involved in the DDR to characterize new targets for HIV/AIDS therapeutics.

The DNA damage response (DDR) is a highly conserved and essential pathway responsible for safeguarding the genome from genotoxic assault (4). As the DDR must repair DNA with high fidelity to ensure cell survival, DDR pathways, and proteins are evolutionarily conserved. DDR genes are thought to be under strong purifying selection because mutations that change amino acids (non-synonymous) are selected against, as they may be detrimental to the organism. In contrast, genes under strong selective pressures to rapidly evolve will accumulate non-synonymous changes at a rate faster than expected, called positive selection (5). While most rapidly evolving genes are in conflict with pathogens such as viruses, some genes involved in the DDR show signatures of positive selection. Therefore, we wanted to explore this apparent paradox and test our hypothesis that DDR genes are rapidly evolving because they are in conflict with HIV-1.

We aim to identify the DDR proteins that regulate HIV-1 replication and understand mechanistically how these proteins regulate viral replication. To identify candidate DDR genes, we first hypothesized they would show signatures of rapid evolution, indicative of long-standing

evolutionary conflict with pathogens. Therefore, we performed a high-throughput assay to assess the selective pressure on every codon of 321 DDR genes, specifically looking for signatures of rapid evolution called positive selection. We identified that 14.6% (47/321) of these genes show signatures of positive selection on at least two or more amino acids. These data suggest that the DDR genes are being driven to evolve rapidly. We next hypothesized that the positive selection observed on DDR genes resulted from their essential roles in viral replication. To test this hypothesis, we knocked out DDR genes with signatures of positive selection using CRISPR/Cas9 in primary CD4+T cells from two independent donors and assayed for changes in HIV-1 infectivity. We identified candidate genes that increase viral infectivity compared to the non-targeting control, suggesting these are potential antiviral restriction factors. We also identified candidate genes that decreased viral infectivity compared to the non-targeting control, suggesting these are potential viral dependency factors.

To further investigate, we focused on two candidate genes, MUTYH and POLG. Both MUTYH and POLG increased viral replication when knocked-out in CD4+T cells, suggesting they may act as antiviral restriction factors during HIV-1 infection. We validated our two candidate genes, MUTYH and POLG, in Jurkat and THP-1 cells using a three-prong approach; knock-down, overexpression, and asked if they were induced by interferon as part of the antiviral interferon response. We found that knock-down of POLG was lethal and thus could not assess changes in infectivity. Furthermore, we found that shRNAs targeting both isoforms of MUTYH did not knock down protein levels despite the cells being puromycin resistant. Next, overexpression of MUTYH using the Dox inducible system resulted in a transient upregulation of MUTYH protein levels visualized through western blot. Lastly, we found that up to 100ng/ml of either interferon (IFN) IFN $\alpha$  or IFN $\beta$  did not modulate MUTYH protein levels over time. Together, these data suggest that MUTYH cellular levels seem tightly regulated in the cell and are unaffected by knock-down, overexpression, or interferon. It will be important to determine how MUTYH protein levels are

regulated and why MUTYH has six sites of positive selection. Following up on this study will identify DDR host factors that regulate HIV-1 replication, which will help further our understanding of DDR proteins and can inform the development of novel antiviral factors that may benefit human health.

## RESULTS

### **Identifying candidate HIV-1 host factors within the DDR that contain signature(s) of positive selection and are induced by IFN**

To systematically test the hypothesis that DDR genes are rapidly evolving due to conflict with HIV-1, our lab curated a list of 321 DDR genes and assessed the selective pressure of every codon. To do this, we first identified candidate genes and processed open reading frames (ORFs), obtained primate ortholog sequences, generated sequence alignments, and tested for codon evolution using PAML (6) (Fig. 1A). Strikingly, we identified that 14.6% (47/321) of these genes show signatures of positive selection on at least two or more amino acids, and most of these genes are involved in Homologous Recombination (HR) repair (Fig. 1B, (7)). Furthermore, we identified which of the 321 DDR genes are also induced by interferon (IFN) since many viruses activate IFN. In total, we found that 22 DDR genes have signatures of positive selection, 16 DDR genes are induced by IFN, and 6 DDR genes have both signatures of positive selection and are induced by IFN (Fig. 1C). Together, these data suggest that many DDR genes rapidly evolve even though they have a conserved role in safeguarding the genome and DNA repair.

To determine which of the 44 DDR genes identified are potential novel HIV-1 host factors, we performed a CRISPR screen and knocked-out each DDR gene using four gRNAs in primary CD4+T cells. Four non-targeting gRNAs (NTC) were used as negative controls. The gRNAs were complexed with Cas9 protein and electroporated into CD4+T cells, followed by infection with HIV-1 GFP reporter virus. Infectivity was assessed by GFP readout using flow cytometry. We expected that knockout of a restriction factor will enhance viral replication, while knockout of a dependency factor will decrease viral replication (Fig. 1D). Our approach was validated, as we were able to identify the previously known dependency factors LEDGF (Plum circles) and CXCR4 (Blue

circles) (1,8). As expected, our NTC (Brown circles) did not significantly change infectivity. In total, we identified many DDR genes that when knocked-out altered HIV-1 infectivity (Fig. 1E). We found that MUTYH (Green circles) knockout enhanced viral replication in three out of four gRNAs (Fig. 1F). We also identified POLG as a potential antiviral restriction factor. However, we could not validate these findings as knock-down of POLG was lethal in Jurkat and THP-1 cells (data not shown). Here, we tested the hypothesis that DDR genes show signatures of rapid evolution as a consequence of their conserved role in viral replication. We decided to focus on one candidate gene, MUTYH, to validate our findings and determine the mechanism by which MUTYH restricts viral replication.

### **The candidate gene, MUTYH, is rapidly evolving and is a potential HIV-1 antiviral restriction factor**

To validate our screen, we focused on the DDR gene called MUTYH. MUTYH is a base excision repair (BER) protein that repairs damaged DNA throughout the cell cycle (9). DNA lesion 8-oxo guanine ( $G^0$ ) is a product of oxidative stress that is repaired by BER. The Mono-functional DNA glycosylase (MUTYH) excises adenines that are misincorporated opposite to  $G^0$  to restore the original sequence (Fig. 2A). Positive selection analysis of MUTYH reveals 6 sites under rapid evolution. MUTYH contains two domains, HhH-GPD and NUDIX\_4, and displays positive selection at 35R, 51S, 89V, 320Q, 313R, and 425R (Fig. 2B). We decided to focus on MUTYH because, in our screen, the editing efficiency of MUTYH was calculated to be 42.1% by Tracking of Indels by Decomposition (Tide) analysis (Fig. 2C) and 3 of our 4 MUTYH gRNAs showed enhancement of viral replication when MUTYH was knocked-out (Fig. 2D). Therefore, we hypothesize that MUTYH is an antiviral restriction factor of HIV-1. We expect that knock-down of MUTYH will enhance viral replication, overexpression of MUTYH to decrease viral replication, and expect MUTYH to be IFN inducible.

## **MUTYH protein levels are refractory to change via knock-down, overexpression or treatment with IFN**

To determine if MUTYH is an antiviral restriction factor, we first knocked-down MUTYH using lentiviral shRNAs in HIV-1 relevant cell types, Jurkat and THP-1 cells. Using three different shRNAs targeting MUTYH or three PLKO non-targeting shRNAs, we did not detect knock-down of MUTYH in both Jurkat or THP-1 cells. To test the possibility that only a few cells successfully knocked-down MUTYH, we generated single cell clones that harbored the integrated shRNA targeting MUTYH. Although all cells collected were puromycin resistant, suggesting that they harbor the integrated shRNA, we did not detect consistent knock-down of MUTYH in Jurkat or THP-1 cells (Fig. 3 A &B). Only group 5 of the single cell clones targeted with shRNA3 (3-5) displayed a significant knock-down of MUTYH in Jurkat cells (Fig. 3A). The 3-5 Jurkat cells grew slowly and have not been tested for their effect on HIV-1 replication yet.

Furthermore, MUTYH-FLAG tagged was cloned into a lentiviral doxycycline (Dox) inducible plasmid (pMD145). Lentiviruses expressing the MUTYH or empty Dox inducible plasmids were generated and delivered to Jurkat or THP-1 cells and were selected for using puromycin. Jurkat or THP-1 cells stably expressing either MUTYH or empty vector (negative control) were treated with 1 $\mu$ g/ml of Dox, and endogenous MUTYH and MUTYH-FLAG protein was detected via western blot, and actin was used as loading control. Dox inducible overexpression of MUTYH was detected at 12 hrs post-Dox delivery. However, overexpression of MUTYH was lost at 24 and 36 hrs post-Dox delivery (Fig. 3C). These data suggest that MUTYH is only transiently overexpressed and that MUTYH levels are tightly regulated by the cell. Jurkat or THP-1 cells stably expressing either MUTYH or empty vector were induced with Dox and infected with HIV-1 replicating virus. Infectivity was assessed 2, 4, and 6 days post infection. Overexpression of MUTYH with Dox did not alter infectivity at day 2 (Fig. 3D), day 4, or day 6 post infection (data

not shown). Therefore, it will be important to test if adding Dox every twelve hours during infection, to keep MUTYH levels high, has an effect on viral replication.

Lastly, we wanted to understand if MUTYH was IFN inducible. We treated THP-1 and Jurkat cells with 1ng/ml, 10ng/ml, 20ng/ml, 50ng/ml, and 100ng/ml with human IFN $\alpha$  and IFN $\beta$  and assessed MUTYH protein levels via western blot 8 hrs post-delivery. We assessed protein levels for MxB (positive control) since IFN $\alpha$  and IFN $\beta$  stimulate MxB. As expected, we found MxB to be induced by IFN $\alpha$  and IFN $\beta$  in a titratable fashion. We found that MUTYH was not induced by either IFN $\alpha$  or IFN $\beta$  (Fig. 3E). These data suggest that MUTYH protein levels are not affected by IFN $\alpha$  and IFN $\beta$  at these concentrations within an 8 hour timeframe. Overall, we found that knock-down of MUTYH was not detected consistently in THP-1 and Jurkat cells. Inducible overexpression of MUTYH was transient and reached maximum protein levels at 12 hours post-Dox treatment. Lastly, MUTYH is not induced by either IFN $\alpha$  or IFN $\beta$  (Fig. 4). Together, MUTYH protein levels were difficult to modulate, which suggests that there are cellular mechanisms that tightly regulate MUTYH protein levels.

## DISCUSSION

In this study, we found that the DDR genes possess signatures of positive selection and are induced by IFN. We tested the hypothesis that rapidly evolving and/or IFN induced DDR genes are in evolutionary conflict with HIV-1. Through a screen in primary CD4+T cells, we found many DDR genes modulate HIV-1 replication and are potential restriction or dependency factors. We focused on one candidate gene, MUTYH, yet did not conclusively determine if MUTYH is or is not an HIV-1 restriction factor. We did find that MUTYH protein levels are refractory to change via knock-down, overexpression or treatment with interferon.

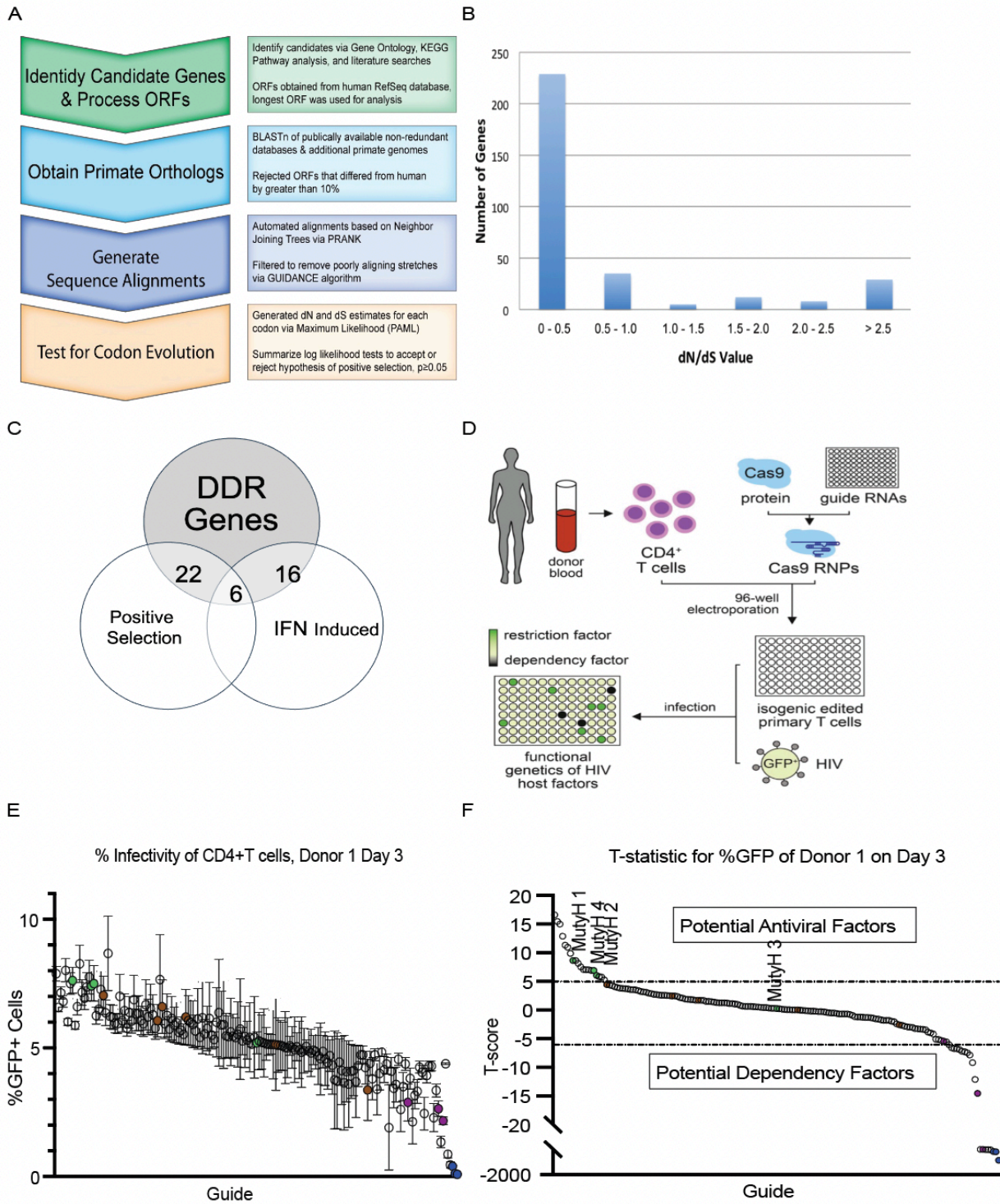
Future work will look at other candidate genes to determine which DDR genes are host factors involved in HIV-1 replication. Moreover, future work will determine the functional consequences of rapid evolution on MUTYH cellular function. To address the hypothesis that the rapid evolution we have observed on MUTYH is necessary to maintain the important cellular functions in BER, we will generate MUTYH with ancestral codons at sites of positive selection and test these “ancestral MUTYH” constructs for their ability to interact with other DNA repair machinery and to facilitate BER. We expect that if positive selection on MUTYH is essential for its role in BER, then reverting the sites to ancestral sequences will cause MUTYH to lose the ability to facilitate BER in the context of the modern human DDR. To identify ancestral MUTYH codons, we will use maximum likelihood-based ancestral reconstruction. We will clone human MUTYH from cDNA, and ancestral mutants will be generated by site-directed mutagenesis. In all experiments, modern human MUTYH will be used as a positive control, and a mutant MUTYH will be used as a negative control.

Together, our approach suggests that the DDR genes show signatures of rapid evolution and stimulation by the antiviral interferon response. These data propose that the DDR is in an evolutionary conflict with pathogen(s). Most DDR genes that show signatures of positive selection play roles in DNA repair and homologous recombination. Since many diverse RNA and DNA



viruses modulate the DDR, it is possible that viruses drive rapid evolution of DDR genes. For example, Adenovirus inhibits DNA repair by degradation of DNA ligase IV, thus preventing genome concatenation and facilitating viral replication (10). Additionally, HIV-1 Vpr inhibits DNA repair through an unknown mechanism that is likely dependent on host protein degradation. Yet, it is unknown how the DDR maintains its essential role of safeguarding the genome while rapidly evolving. Overall, future work will unravel the marvels of the DDR and shed light on the multifaceted job of the DDR with respect to genome maintenance and innate immune response.

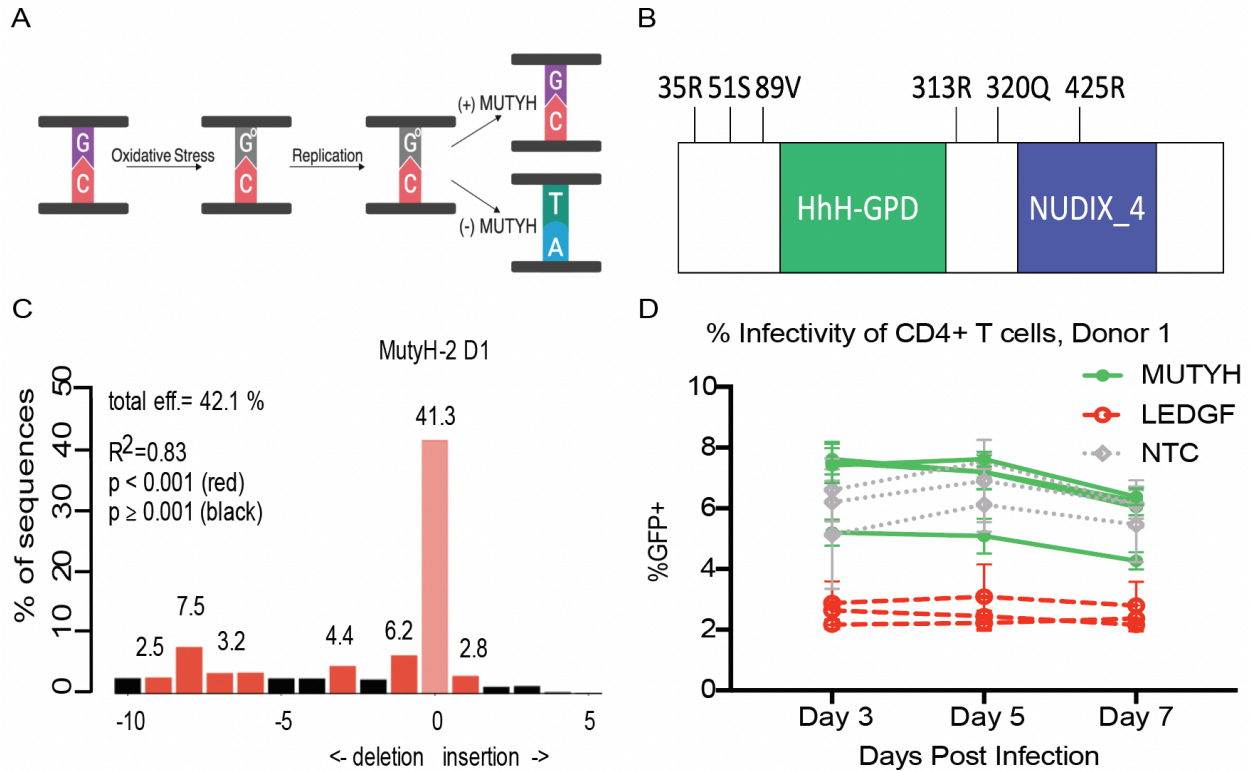
Figure 1



Chapter 4 Figure 1. Identifying candidate HIV-1 host factors that are DDR genes that contain signature(s) of positive selection and are induced by IFN.

(A) Overview of pipeline used to assess signatures of positive selection. This pipeline assessed positive selection of 321 DDR genes across an average of 15 primate species. (B) DDR genes show signatures of positive selection. Positive selection was measured via dN/dS values (rates of non-synonymous to synonymous codon substitutions). dN/dS values above 1.0 are indicative of positive selection. (C) Venn diagram depicting that there are 6 DDR proteins that are under positive selection and interferon induced. 22 DDR proteins that are only under positive selection. 16 DDR proteins that are only interferon induced. (D) CRISPR Cas9 approach to knockout DDR genes and assess HIV infectivity. Cas9 and gRNAs were delivered into primary CD4+T cells and subsequently infected with NL4-3 with IRES:GFP. Infectivity was assessed via Flow cytometry. (E) CRISPR/Cas9 knockout screen identified genes that alter HIV-1 infectivity. Data points represent average infected CD4+T cells, shown by GFP. MutyH (Green), LEDGF (Plum), and CXCR4 (Blue), Non-targeting controls (TC) (Brown). Error bars represent standard deviation from three replicates. (F) T-statistic analysis revealed both antiviral factors that increased viral infectivity and dependency factors that decreased viral infectivity. Data points represent T-score for %GFP+ cells. MutyH (Green), LEDGF (Plum), and CXCR4 (Blue).

Figure 2



**Chapter 4 Figure 2. The candidate gene, MUTYH, rapidly evolves and is a potential HIV-1 antiviral restriction factor.**

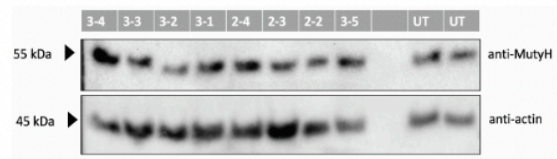
(A) MutyH is a base excision repair (BER) protein that repairs damaged DNA throughout the cell cycle. DNA lesion 8-oxo guanine ( $G^0$ ) is a product of oxidative stress that is repaired by BER. The Mono-functional DNA glycosylase (MUTYH) excises adenines that are misincorporated opposite to  $G^0$  to restore the original sequence. (B) Positive selection analysis of MUTYH reveals 6 sites under rapid evolution. MUTYH contains two domains, HhH-GPD and NUDIX\_4, and displays positive selection at 35R, 51S, 89V, 320Q, 313R, and 425R. Reference sequence *Angolan colobus*. (C) Tracking of Indels by Decomposition (Tide) analysis. Tide estimates the frequency of insertions and deletions (indels) in a pool of cells delivered with Cas9 and gRNAs. (D) CRISPR/Cas9 knockout of MUTYH caused an increase in infectivity at Day 3 compared to most non-target controls (NTC). Data points represent avg. infected CD4+T cells.

Figure 3

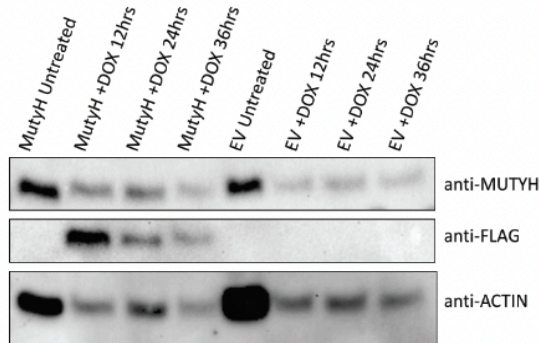
A Knock-down MUTHY in Jurkats single cell clone 3-5 using shRNA3



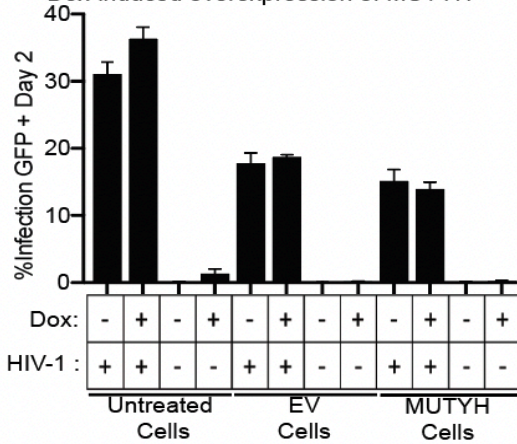
B No knock-down of MUTHY in THP-1 single cell clones



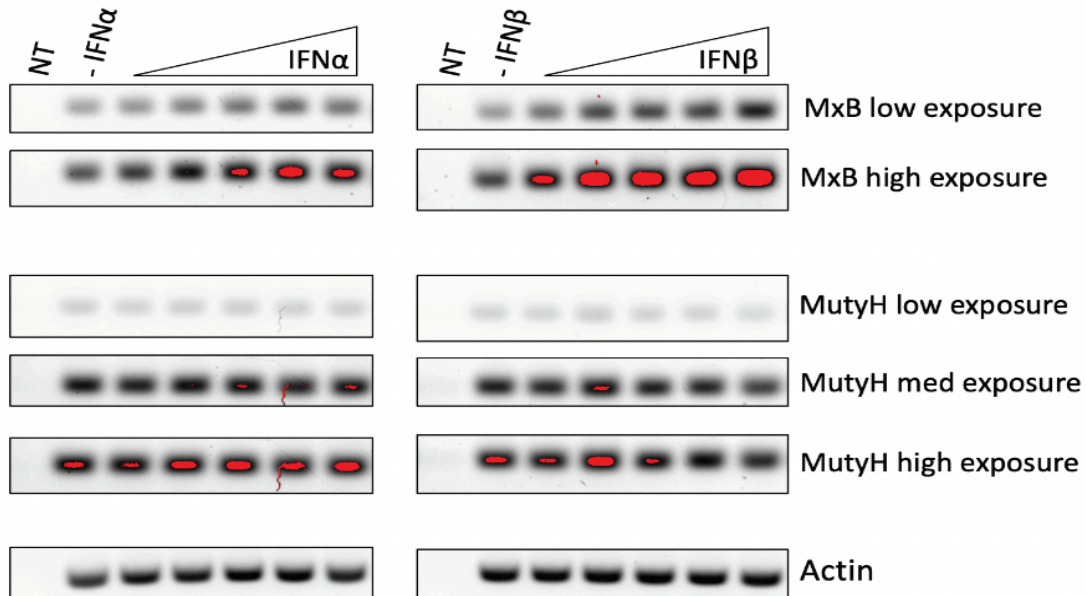
C Transient overexpression of MUTHY-FLAG in THP-1 cells at 12hrs post DOX treatment



D No change in HIV-1 infection after Dox-induced overexpression of MUTHY



E MUTHY is not induced by 1ng/ml, 10ng/ml, 20ng/ml 50ng/ml, 100ng/ml of human IFN $\alpha$  or IFN $\beta$



Chapter 4 Figure 3. MUTHY protein levels are refractory to change via knock-down, overexpression or treatment with IFN.

(A) Knock-down of MUTYH using three different shRNAs in Jurkat single cell clones. For example, a sample called 3-5 comes from cells integrated with shRNA3 from the 5<sup>th</sup> well. Untreated cells (UT) (B) Knock-down of MUTYH in THP-1 single cell clones.

(C) Dox inducible overexpression of MUTYH. Lentiviral delivery of MUTYH: FLAG or Empty vector: FLAG into THP-1 cells, followed by induction with 1µg/ml of doxycycline. (D) HIV-1 infected THP-1 cells stably expressing MUTYH or empty vector treated with or without 1µg/ml Dox. (E) THP-1 cells treated with IFNα or IFNβ did not stimulate MUTYH. THP-1 cells were treated with 1ng/ml, 10ng/ml, 20ng/ml, 50ng/ml, and 100ng/ml of human IFNα or IFNβ for 8 hours.

Figure 4



**Chapter 4 Figure 4. Conclusion for MUTYH in THP-1 and Jurkat cells**

MUTYH protein levels are refractory to change via knock-down, overexpression or treatment with IFN.

## MATERIALS AND METHODS

### Plasmids.

For lentivirus stable knock-down of MUTYH, pLKO.1 (Addgene), psPAX2(Addgene) and pmD2.G (Addgene) were used. For Dox-inducible overexpression of MUTYH, pCW57, pMD2.G, and JK3 (Addgene) were used. For HIV-1 Bru-GFP production, Bru-GFP was generated as previously described (11) and pMD2.G (Addgene).

### Generation of Viruses.

HIV-1 Bru-GFP virus was generated by transient transfection of HEK 293T using TransIT-LT1 (Mirus). Virus was collected 48hrs post transfection and filtered through a 0.45  $\mu\text{m}$  PVDF filter. Lentivirus expressing MUTYH shRNAs were generated by transfection of HEK 293T using TransIT-LT1 (Mirus). MUTYH shRNAs were provided by Molecular Screening Shared Resource (MSSR) at UCLA. Media was changed 18 hours post transfection. Lentiviruses were harvested 48 hours post media change and filtered through a 0.45  $\mu\text{m}$  PES filter. Lentivirus expressing the Dox inducible MUTYH plasmid were generated by transfection of HEK 293T using TransIT-LT1 (Mirus). Media was changed 18 hours post transfection. Lentiviruses were harvested 48 hours post media change and filtered through a 0.45  $\mu\text{m}$  PES filter.

### Cell Lines and Cell Culture.

Jurkat T lymphocytes and THP-1 monocytes were cultured as suspension cells in Roswell Park Memorial Institute (RPMI) 1640 growth medium (L-Glutamine) with 10% fetal bovine serum (Gibco) at 37°C and 5% CO<sub>2</sub>. Stable MUTYH knock-down cells were generated by delivering fresh lentiviruses expressing MUTYH shRNAs or empty vector to Jurkat or THP-1 cells. Stable inducible overexpression MUTYH cells were generated by delivering fresh lentiviruses expressing MUTYH inducible construct or empty vector to Jurkat or THP-1 cells. Cells with integrated lentiviral



construct were selected for with 2ug/ml of Puromycin for THP-1, 0.6ug/ml Puromycin for Jurkats. Knock-down of MUTYH or overexpression of MUTYH was assessed through western blot. HEK 293T cells were cultured as adherent cells directly on tissue culture plastic (Greiner) in Dulbecco's modified Eagle's medium (DMEM) growth medium (high glucose, L-glutamine, no sodium pyruvate; Gibco) with 10% fetal bovine serum (FBS) (Gibco) and 1% penicillin-streptomycin (Gibco) at 37°C and 5% CO<sub>2</sub>. Cells were harvested using 0.05% trypsin-EDTA (Gibco).

#### Western Blots.

Protein was collected from cells as previously described (12). Immunoblotting was performed using mouse anti-FLAG M2 (Sigma-Aldrich) and rabbit anti-Actin (Bethyl).

#### HIV-1 Bru-GFP Infection.

Jurkat or THP-1 cells were plated in 96-well tissue culture plates (Greiner). Cells underwent spinfection with HIV-1 Bru\_GFP at 1200× *g* for 90 min at 37°C. Infection was assessed 48 hrs after infection via Flow Cytometry for HIV-1 core proteins.

#### Flow Cytometry.

Jurkat or THP-1 cells were washed with PBS, fixed in 4% PFA for 15 min, permeabilized with 0.1% Triton X-100 in PBS at 4°C for 15 min then washed with PBS. Cells were probed for HIV-1 core antigen-FITC KC57 (Beckman Coulter) for 1hr at 4°C then washed with PBS and resuspended in FACS buffer (5% FBS in PBS). Events were assessed by flow cytometry on the AttuneNxT (Thermo Fisher Scientific). At least 10,000 events were collected per run. Data was analyzed using FlowJo software.

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**Chapter 5: HIV Vpr Modulates the Host DNA Damage Response at Two  
Independent Steps to Damage DNA and Repress Double-Strand DNA Break**

**Repair**

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## ABSTRACT

The DNA damage response (DDR) is a signaling cascade that is vital to ensuring the fidelity of the host genome in the presence of genotoxic stress. Growing evidence has emphasized the importance of both activation and repression of the host DDR by diverse DNA and RNA viruses. Previous work has shown that HIV-1 is also capable of engaging the host DDR, primarily through the conserved accessory protein Vpr. However, the extent of this engagement has remained unclear. Here, we show that HIV-1 and HIV-2 Vpr directly induce DNA damage and stall DNA replication, leading to the activation of several markers of double- and single-strand DNA breaks. Despite causing damage and activating the DDR, we found that Vpr represses the repair of double-strand breaks (DSB) by inhibiting homologous recombination (HR) and nonhomologous end joining (NHEJ). Mutational analyses of Vpr revealed that DNA damage and DDR activation are independent from repression of HR and Vpr-mediated cell cycle arrest. Moreover, we show that repression of HR does not require cell cycle arrest but instead may precede this long-standing enigmatic Vpr phenotype. Together, our data uncover that Vpr globally modulates the host DDR at at least two independent steps, offering novel insight into the primary functions of lentiviral Vpr and the roles of the DNA damage response in lentiviral replication.

## INTRODUCTION

Primate lentiviruses encode accessory proteins that enhance viral replication (1). This is achieved through direct interactions with host proteins to usurp their cellular functions or to antagonize their antiviral activity. HIV-1 encodes four accessory factors: Vpr, Vif, Vpu, and Nef. In addition, a subset of lentiviruses, including HIV-2, encode a paralog of Vpr, called Vpx (2). Of all the lentiviral accessory genes, vpr is the only gene with a still unknown primary function.

Despite this, Vpr is critical for the infectivity of HIV and related primate lentiviruses. In vivo, viruses lacking Vpr are attenuated compared to wild-type (WT) viruses, and the dominant viral species to emerge (i.e., most fit) have restored Vpr protein expression (3, 4). Furthermore, vpr is evolutionarily conserved by all extant primate lentiviruses (5). Together, this indicates that lentiviruses have maintained vpr for a highly important function. Of the many potential roles assigned to Vpr, activation of the host DNA damage response (DDR) and subsequent cell cycle arrest are the only phenotypes conserved by diverse Vpr orthologs (6–8). This conservation of function suggests that the engagement of the DDR is central to Vpr function.

The DDR is a protein signaling cascade that ensures the fidelity of the genome. It consists of sensors that recognize specific DNA lesions, mediators, and transducers, which transmit this signal of damaged DNA, and effectors, which directly execute a cellular response. Ataxia telangiectasia and Rad3 (ATR) (9), ataxia telangiectasia mutated (ATM) (10), and DNA-dependent protein kinase (DNA-PK) (11) are kinases at the head of the complex network that makes up the host DDR. The ATR kinase primarily responds to UV damage and replication stress, while ATM and DNA-PK participate in the repair of double-strand breaks (DSB) through homologous recombination (HR) and nonhomologous end joining (NHEJ), respectively (12). However, due to the essential role of the DDR, a tremendous amount of cross talk and redundancy exists between these kinases (13).

There is growing evidence that the DDR is important for viral replication, where it acts to both enhance and inhibit replication (14). For example, the DNA virus herpes simplex virus 1 (HSV-1)

induces replication fork collapse at sites of oxidative damage (15). This leads to double-strand breaks (DSB), which initiate activation of the ATM repair pathway. HSV-1 infection also activates ATR, and the inactivation of either pathway severely compromises HSV-1 replication. RNA viruses also engage the DDR; for example, Rift Valley fever virus activates markers of DNA damage such as  $\gamma$ H2AX and upregulates the ATM pathway but represses the ATR pathway (16). Contrary to enhancing viral replication, DDR proteins, such as DNA-PK (17), can activate an antiviral state upon sensing cytoplasmic DNA, while etoposide-induced DNA damage stimulates interferon via STING, ATM, and NF- $\kappa$ B (18–22). Together, these findings highlight the potential roles for the DDR in innate antiviral immunity and in enhancing viral replication.

Vpr engages the DDR at multiple steps. First, it causes G2 cell cycle arrest both in vivo and in vitro (7, 23–26). This arrest is dependent on ATR signaling, as it is blocked by the chemical inhibition of ATR (27). Moreover, Vpr-mediated cell cycle arrest requires interaction of Vpr with the Cul4A/DCAF1/DDB1 (CUL4ADCAF1) E3 ubiquitin ligase complex (28, 29), a cellular complex that is involved in many mechanisms of DNA repair (30, 31). Second, Vpr induces the expression, activation, and recruitment of DDR proteins, as assessed by immunofluorescence and Western blot analysis (32–34). Finally, in addition to the CUL4ADCAF1 ubiquitin ligase complex, Vpr interacts with and degrades many host DDR proteins, including UNG2 (35, 36), HLTF (37, 38), SLX4 complex proteins MUS81 and EME1 (34, 39), EXO1 (40), TET2 (41), MCM10 (42), and SAMHD1 (5, 43). Despite being one of the most highly conserved and robust phenotypes associated with Vpr, how Vpr engages the DDR at so many levels remains unclear.

Using a combination of DNA damage response assays, we monitored the induction of DNA damage, the early signaling events following DDR activation, and the cellular consequences associated with DNA damage and DDR activation. We found that Vpr engages the DNA damage response at two independent steps: it causes DNA damage and activates DDR signaling, and it represses double-strand DNA break repair. Using a panel of HIV-1 and HIV-2 Vpr mutants, we were able to separate these Vpr functions to show that while Vpr-induced DNA damage is

independent of most known Vpr-host protein interactions, repression of double-strand break repair is dependent on DCAF1 recruitment. Finally, we showed that repression of HR repair is not a consequence of Vpr-mediated G2 cell cycle arrest, as it occurs prior to G2 arrest. Our data indicate that lentiviruses both activate and repress the DDR via Vpr and further characterize a novel phenotype of Vpr that can help explain many of the roles that have long been associated with Vpr.



## RESULTS

Primate lentiviruses encode accessory proteins that enhance viral replication (1). This is achieved through direct interactions with host proteins to usurp their cellular functions or to antagonize their antiviral activity. HIV-1 encodes four accessory factors: Vpr, Vif, Vpu, and Nef. In addition, a subset of lentiviruses, including HIV-2, encode a paralog of Vpr, called Vpx (2). Of all the lentiviral accessory genes, *vpr* is the only gene with a still unknown primary function.

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We also tested a number of HIV-1 and HIV-2 Vpr isolates to determine if activation of the DDR by HIV-1 and HIV-2 Vpr was isolate specific or conserved by the greater diversity of HIV Vpr proteins. These include representative Vpr isolates from HIV-1 group M (subtype G), N, O, and P consensus sequences, as well as HIV-2 Vpr isolates from groups A and B and divergent groups. We found that all HIV-1 and HIV-2 Vpr proteins tested caused cell cycle arrest and increased the number of  $\gamma$ H2AX foci, indicative of DDR activation (Fig. S2). In total, our data highlight that a conserved function of HIV-1 and HIV-2 Vpr is the activation of the same markers of single- and double-strand DNA damage.

HIV-1 and HIV-2 Vpr expression damages DNA and induces replication stress.

The formation of  $\gamma$ H2AX, RPA32, and 53BP1 foci in cells expressing HIV-1 and HIV-2 Vpr suggests the presence of both SSB and DSB. However, it is also possible that Vpr leads to activation of these markers without causing actual DNA damage. Previous studies to identify Vpr-induced DNA damage using pulsed-field gel electrophoresis, which only reveals DSB, have been contradictory (48, 49). Here, we used the alkaline comet assay, which uses a high-pH (>10) buffer to denature supercoiled DNA and single-cell gel electrophoresis to reveal damaged DNA fragments, including both SSB and DSB (50). U2OS cells were infected with rAAV-Vpr for 20 h, and the extent of DNA damage within individual cells was measured by calculating the percent tail DNA, which is proportionate to the amount of damaged DNA within a cell (Fig. 2A). While uninfected and empty vector control cells had little appreciable damage, both HIV-1 and HIV-2 Vpr expression significantly increased levels of percent tail DNA, indicative of an increase in

damaged DNA (Fig. 2B). These results also correlate well with the IF data for  $\gamma$ H2AX, RPA32, and 53BP1, which show lower MFI for Vpr-induced DNA damage markers than etoposide treatment (Fig. 1B). We segregated the samples into two populations, below and above 20% tail DNA, to highlight the population of cells within each sample with a greater extent of damage (Fig. 2A and C). Whereas approximately 1% of uninfected and empty vector control cells had tail DNA above 20%, HIV-1 and HIV-2 Vpr expression resulted in 5% and 8% of cells above 20% tail DNA, respectively, indicating that the expression of Vpr leads to significant DNA damage.

As replication stress has been proposed to be a driver of this Vpr-induced DDR (51) and the activation of the DNA damage markers and cell cycle arrest (Fig. 1A and Fig. S1) are hallmarks of stalled DNA replication forks, we next determined whether Vpr expression leads to replication fork stalling via the DNA combing assay (52). This assay quantitates the length of replication tracks by incorporation of EdU (5-ethynyl-2'-deoxyuridine) into nascent DNA. U2OS cells were infected with rAAV-Vpr for 20 h, at which point EdU was added to the cells for 20 min. Hydroxyurea (HU), which stalls DNA replication by depleting deoxynucleoside triphosphate pools (53), was used as a positive control. We found that HIV-1 and HIV-2 Vpr significantly decreased EdU track lengths compared to those of the uninfected and empty vector controls (Fig. 2D). Consistent with DNA damage markers, there was no direct correlation between levels of Vpr expression and DNA replication during this 20-min window. However, cells expressing the highest levels of Vpr were largely not in S-phase during this window (Fig. S3), suggesting there is a threshold where Vpr expression robustly excludes cells from S phase. Like the comet and IF assays, the greatest amount of replication fork stalling was exhibited by the positive control, HU, suggesting that while the impairment of normal DNA replication by HIV-1 and HIV-2 Vpr is significant, it is not as detrimental to the cell as HU. Overall, our alkaline comet and DNA combing data show that Vpr directly engages the DDR by inducing DNA breaks and stalling DNA replication.

ATR senses stalled replication forks downstream of Vpr-induced DNA damage.

Our results indicate that Vpr directly damages DNA and stalls DNA replication (Fig. 2). However, whether DNA damage occurs prior to replication fork stalling or as a consequence of stalled replication forks is unclear. To differentiate between these two possibilities, we inhibited the fundamental DNA damage repair kinase ATR via the selective ATR inhibitor (ATRi) VE-821 (54). ATR acts as the primary signaling axis for replication stress and cell cycle checkpoints, where it is recruited during S phase through replication protein A (RPA) to stalled replication forks (9, 54). Here, it stabilizes replication forks from collapse, initiates the recruitment of repair proteins, and activates critical cell cycle checkpoints (9, 54). If Vpr-mediated DNA damage is due to stalled replication, we would expect ATR inhibition to increase DNA damage, as the cells would not be able to guard against replication fork collapse or initiate repair. However, if damage occurs before replication stress, we would expect the inhibition of ATR to alter fork progression but not DNA damage.

We first confirmed ATR inhibition mitigated Vpr-mediated cell cycle arrest for both HIV-1 and HIV-2 Vpr isolates tested (Fig. S4A). We also assayed for an effect of ATM inhibition (ATMi; KU-55933), as we found activation of repair markers associated with ATM activation (such as  $\gamma$ H2AX and 53BP1 in Fig. 1) but found no effect of ATMi on Vpr-mediated cell cycle arrest (Fig. S4B), consistent with previously published results (32, 49, 55). Next, to determine the effect of ATR inhibition on DNA damage by Vpr, we again used the alkaline comet assay. While all samples had proportionately increased levels of damage when ATR was inhibited, there was no significant difference for either HIV-1 or HIV-2 Vpr with or without ATRi (Fig. 3A and B). This suggests that ATR inhibition does not affect the ability of Vpr to generate DNA lesions.

In contrast, the DNA combing assay, which we used to determine the effect of ATR inhibition on stalled replication fork progression by Vpr, showed that replication track lengths were significantly shorter for HIV-1 and HIV-2 Vpr-expressing cells when ATR was inhibited (Fig. 3C), presumably due to fork collapse. Although the overall effects of ATRi are modest, which is likely due to the intertwined nature of DNA damage, sensing, and repair, our data from the comet and DNA

combing assays show that while Vpr-mediated DNA damage is independent of ATR signaling, the ability to stall DNA replication is not. Moreover, it indicates that Vpr first induces DNA damage, which leads to the activation of ATR and subsequent stalled replication forks, presumably to mitigate replication stress.

Vpr sensitizes cells to additional double-strand breaks.

As we established with the immunofluorescence and alkaline comet assay, HIV-1 and HIV-2 Vpr induce DNA damage-activating markers related to a wide variety of DNA lesions, such as SSB and DSB. While our data suggest that Vpr directly damages DNA, it is also possible that damage results from the inability of cells to repair preexisting damage, such as damage due to replication stress. To address this question, we tested the sensitivity of cells expressing Vpr against various chemotherapeutics that directly damage DNA or inhibit a repair mechanism to cause damage.

We began by testing the sensitivity of Vpr-treated cells to etoposide, which generates DSB by preventing the enzyme topoisomerase II from properly removing knots formed from DNA overwinding (56). Cells expressing Vpr were highly sensitized to etoposide treatment, where survival at even the lowest concentration (0.01  $\mu\text{M}$ ) decreased to 60 to 70% compared to that of uninfected and empty vector control cells (Fig. 4). This indicates that Vpr-expressing cells are unable to repair etoposide-induced DSB. We next tested sensitivity to HU. Prolonged exposure of cells to HU at high concentrations results in replication fork collapse and extensive DSB (57). Although Vpr-expressing cells were not sensitized to HU treatment at low concentrations, at higher concentrations of HU (>3.90  $\mu\text{M}$ ), where DSB are presumably present, survival of cells expressing HIV-1 and HIV-2 was significantly decreased compared to that of control cells (Fig. 4). Similar results were seen for the PARP1/2 inhibitor olaparib, which also leads to DSB due to the inability to repair DNA lesions (58) (Fig. 4). In contrast to the other chemotherapeutics, HIV-1 and HIV-2 Vpr expression did not dramatically hypersensitize cells to the interstrand cross-linking agent cisplatin (59) (Fig. 4), despite activating markers associated with interstrand cross-link (ICL) repair (6, 34). Altogether, the sensitivity assays indicate that Vpr-expressing cells specifically

show increased sensitivity to multiple chemotherapeutics that are capable of generating DSB by inhibiting crucial host repair mechanisms, suggesting that Vpr also inhibits the ability of cells to repair this damage.

Vpr inhibits double-strand break repair.

Because we observed that Vpr-expressing cells display hypersensitivity to the induction of exogenous DSB, we hypothesized that Vpr itself inhibits DNA break repair. To test this hypothesis, we used multiple independent green fluorescent protein (GFP)-based U2OS reporter cell lines that specifically monitor the repair of an I-SceI-induced DSB by either homologous recombination (HR), nonhomologous end joining (NHEJ), alternative NHEJ (alt-NHEJ), or single-strand annealing (SSA) (60, 61). Each cell line contains a GFP gene that is uniquely disrupted by an I-SceI restriction site and does not express GFP, as well as a truncated GFP donor sequence. Upon transfection and expression of I-SceI, this site is cut, and only proper repair by the indicated pathway results in GFP expression (Fig. 5A and B depict a schematic of HR and NHEJ cell lines, respectively). In addition to transfecting I-SceI alone, we also used combinations that included empty vector, HIV-1, or HIV-2 Vpr that express mCherry via a T2A ribosomal skipping sequence. Thirty hours later, we measured repair on a per-cell basis using flow cytometry for successful repair (GFP) and transfection efficiency (mCherry) (Fig. 5A and B).

We first tested the I-SceI reporter cell line for HR. While transfection of I-SceI alone or with empty vector control resulted in similar amounts of HR, we found that cells transfected with HIV-1 and HIV-2 Vpr decreased HR efficiency by 66% and 49%, respectively, when normalized to control cells at 100% (Fig. 5A and C). This indicates that HIV-1 and HIV-2 Vpr repress HR. Based on these results, we next tested the I-SceI reporter cell line that measures NHEJ, which is often utilized by cells to repair DSBs when HR is repressed (62). Similar to HR, HIV-1 Vpr expression also decreased NHEJ efficiency by 51% compared to that of wild-type cells. In contrast to HIV-1, HIV-2 Vpr did not significantly decrease NHEJ, as these cells were able to repair via NHEJ at 90% of wild-type levels (Fig. 5B and D), highlighting potential mechanistic differences between

HIV-1 and HIV-2 Vpr. Consistent with DNA damage and DNA replication, there was no correlation between Vpr expression (mCherry) and repair (GFP) based on flow plots (Fig. 5A and B). Finally, we tested the I-SceI reporter cell lines for alt-NHEJ and SSA repair mechanisms but found no significant change in repair compared to control cells (Fig. S5). Thus, based on the data from the four different I-SceI reporter cell lines, we have identified that both HIV-1 and HIV-2 Vpr repress double-strand break repair, in addition to inducing DNA damage.

Disconnect between induction of DNA damage and downregulation of repair machinery.

Our findings demonstrate that both HIV-1 and HIV-2 Vpr are capable of inducing DNA damage, stalling DNA replication, downregulating double-strand DNA break repair, and causing cell cycle arrest. However, it is unclear how these phenotypes are linked and what role(s) host protein interactions play. To address these questions, we further tested a subset of well-characterized HIV-1 and HIV-2 Vpr mutants for their ability to induce, signal, and respond to DNA damage via the alkaline comet assay, EdU immunofluorescence, HR I-SceI repair assay, and bivariate cell cycle analysis, respectively. We tested four mutants for each HIV-1 and HIV-2 Vpr. These include HIV-1 W54R/HIV-2 L59A Vpr mutants, which block the ability of HIV-1 Vpr to recruit and degrade the DNA glycosylase UNG2 (63); HIV-1 Q65R/HIV-2 Q70R Vpr, which renders Vpr unable to properly localize, multimerize, or recruit known host proteins, such as the Cul4ADCAF1 complex or UNG2 and, therefore, is largely functionally dead (33, 64, 65); HIV-1 S79A/HIV-2 S84A mutants, which render Vpr unable to cause cell cycle arrest or interact with TAK1 to activate canonical NF- $\kappa$ B (66, 67); and HIV-1 R80A/HIV-2 R85A Vpr mutants, which can still interact with Cul4ADCAF1 and degrade TET2 (41) but do not cause cell cycle arrest, presumably due to the requirement of an additional unknown host protein(s) (68). Moreover, as HIV-1 and HIV-2 Vpr differentially interact with and/or downregulate UNG2, HLTF, and the SLX4 complex (6, 37), by testing diverse Vpr orthologs we were further able to dissect the requirement(s) for previously reported Vpr-interacting proteins in inducing DNA damage, stalling DNA replication, downregulating HR repair, and causing cell cycle arrest.



Consistent with previously published results, all mutants except HIV-1 W54R/HIV-2 L59A Vpr failed to induce cell cycle arrest (Fig. 6A and Fig. S6B). In contrast to cell cycle arrest, only HIV-1 Q65R/HIV-2 Q70R Vpr lost the ability to damage DNA (Fig. 6B and Fig. S6C), indicating that damage of DNA occurs independently of cell cycle arrest and of the Vpr-host protein-protein interactions assayed here. When testing for the effects of Vpr on DNA replication, we found that, in addition to HIV-1 Q65R/HIV-2 Q70R Vpr, HIV-1 S79A/HIV-2 S84A Vpr mutants were unable to stall DNA replication (Fig. 6C), suggesting that activation of TAK1 is integral in the ability of Vpr to stall DNA replication. Finally, in concert with cell cycle arrest, all mutants except the HIV-1 W54R/HIV-2 L59A Vpr mutants failed to repress homologous recombination repair (Fig. 6D). Overall, our mutational analyses of HIV-1 and HIV-2 Vpr indicate that repression of HR and cell cycle arrest are correlated, and that these two phenotypes are independent of Vpr-induced DNA damage and downstream signaling. Moreover, by testing multiple mutants deficient for host factor recruitment, as well as comparing HIV-1 and HIV-2 Vpr orthologs, which differentially recruit host proteins, our results rule out most previously observed Vpr-interacting host proteins for a role in induction of DNA damage and repression of HR.

Repression of HR is not a consequence of Vpr-mediated cell cycle arrest.

The predominant phenotype of Vpr expression *in vivo* and *in vitro* is G2 cell cycle arrest. While it is unclear what leads to Vpr-mediated cell cycle arrest, G2 arrest depends on recruitment of the Cul4ADCAF1 ubiquitin ligase complex through a direct interaction of Vpr with DCAF1. Here, we have identified a new phenotype of Vpr, repression of HR, that tracks with G2 cell cycle arrest based on our Vpr mutant data (Fig. 6). However, whether repression of HR by Vpr is a consequence or potential driver of Vpr-mediated arrest remains unclear.

To address this, we first asked if Cul4ADCAF1 complex recruitment is also required for repression of HR by Vpr. We selected two mutants that have been previously shown to alter HIV-1 Vpr binding to DCAF1, L64A (28) and H71R (35), and further generated those mutants in HIV-2 Vpr (L69A and H76R, respectively). To validate if these mutants lost the ability to recruit DCAF1, we

immunoprecipitated FLAG-Vpr and probed for endogenous human DCAF1. In our hands, HIV-1 H71R/HIV-2 H76R no longer recruited DCAF1. However, HIV-1 L64A/HIV-2 L69A was still able to recruit the DCAF1 adaptor protein, though at a slightly lower level than wild-type Vpr (Fig. 7A). Consistent with recruitment of DCAF1, HIV-1 H71R/HIV-2 H76R, but not HIV-1 L64A/HIV-2 L69A, fully lost the ability to arrest cells (Fig. S7). We next tested these mutants for their ability to repress HR using the HR I-SceI repair assay. Again, consistent with DCAF1 binding and cell cycle arrest, HIV-1 H71R/HIV-2 H76R failed to repress HR, whereas HIV-1 L64A/HIV-2 L69A repressed HR to nearly WT Vpr levels (Fig. 7B). These data suggest that, similar to cell cycle arrest, repression of HR repair by Vpr requires DCAF1 binding.

To determine if repression of HR by Vpr requires G2 arrest or occurs independently of this arrest, we defined the cell cycle status (G1 or G2 phase) of DR-GFP cells that exhibited repair using Hoechst dye. Here, we first performed the DR-GFP assay as before. Cells were then stained with Hoechst dye to label DNA content, gated for Vpr expression (mCherry), and repair was measured for either total Vpr-expressing cells, Vpr-expressing cells in G1, or Vpr-expressing cells in G2. We would expect that if Vpr-mediated G2 arrest is required to repress HR, then Vpr-expressing cells in G2 would primarily show repressed HR when normalized to empty vector control cells. However, if G2 arrest is not required for Vpr to repress HR, then cells in G1 would also show a repression of HR in the presence of Vpr.

As seen previously, both HIV-1 and HIV-2 Vpr repressed total cellular HR, unlike the empty vector control. Vpr-expressing cells also showed strong repression of HR repair in G1 compared to that of empty vector control cells. However, Vpr-expressing cells did not repress HR in G2, as they were statistically indistinguishable from control cells (Fig. 7C). Together, these data indicate that Vpr-mediated repression of HR does not require G2 arrest but instead occurs primarily in the G1 phase of the cell cycle.

## DISCUSSION

Here, we show that HIV-1 and HIV-2 Vpr induce both double- and single-strand DNA breaks, leading to the recruitment of repair factors, including  $\gamma$ H2AX, RPA32, and 53BP1. These Vpr-induced DNA lesions are sensed by ATR and require NF- $\kappa$ B signaling to stall DNA replication. However, contrary to the induction of DNA damage and the activation of the DNA damage response, Vpr represses essential mechanisms of double-strand break repair, including homologous recombination repair (HR) and nonhomologous end joining (NHEJ). Mutational analysis of Vpr has identified that there is a disconnect between mutants that can damage DNA and those that can repress DNA repair and activate cell cycle arrest. Finally, we show that repression of HR is not a consequence of G2 cell cycle arrest. Overall, our data support a model where Vpr has two unique and independent mechanisms to modulate the host DDR. First, Vpr has the inherent ability to induce DNA damage, which is largely independent of known Vpr-binding host factors. This Vpr-induced damage is sensed by ATR and signals through NF- $\kappa$ B to block DNA replication fork progression. Second, through recruitment of the Cul4ADCAF1 complex, Vpr represses DNA double-strand break repair machinery, leading to a prolonged cell cycle to deal with the inability to repair DNA lesions.

Why would Vpr engage the DDR at two unique steps, and how would this help lentiviral replication? While it may seem counterintuitive to both activate and repress the DDR through unique mechanisms, Vpr is not the only viral protein, and lentiviruses are not the only viruses, to both activate and repress the DDR at different steps in viral replication (14). For example, human papillomaviruses (HPV) upregulate ATM to push cells away from NHEJ and toward HR, which is thought to enhance viral persistence and integration (69, 70). Interestingly, this also sensitizes HPV+ cells to exogenous genotoxic agents due to their inability to repair additional damage (71), as we have shown here for HIV Vpr (Fig. 4). Moreover, as Vpr has two unique phases in an infected cell, i.e., it is delivered early via the incoming virion and expressed *de novo* following

integration and gene expression, it is possible that these two distinct DDR-associated functions of Vpr are separated in the viral life cycle of an infected cell.

While it is possible that some of these DDR-associated phenotypes are indirect consequences of other effects of Vpr on the cell, such as induction of proinflammatory cytokines (72), this dual function of Vpr in engaging the DDR at multiple independent steps could help clarify some of the discrepancies in the Vpr literature and may directly explain many of the roles in viral replication attributed to Vpr (73–79). For example, DNA damage promotes nucleotide biosynthesis (80) and, thus, may enhance early events in HIV replication, such as reverse transcription. This is analogous to the degradation of SAMHD1 by lentiviral Vpx/Vpr (5, 81, 82) and could help to explain why Vpr from HIV-2, which encodes both Vpr and the paralogous Vpx protein, does not attenuate host repair machinery, or recruit host DDR proteins (6, 36, 37, 40, 41, 83), as efficiently as HIV-1 Vpr. The stalling of replication forks (Fig. 2D) could enhance integration by remodeling histones and prolonging the S phase. Integration could also be enhanced by attenuating double-strand break repair (Fig. 5), similar to the repression of HR and base excision repair by human T-lymphotropic virus 1 (HTLV-1), to facilitate viral integration (84–86). Moreover, the induction of DNA breaks (Fig. 2A to C) could enhance long terminal repeat-driven transcription by activating important DDR-responsive transcription factors, such as NF- $\kappa$ B and AP-1 (67, 87).

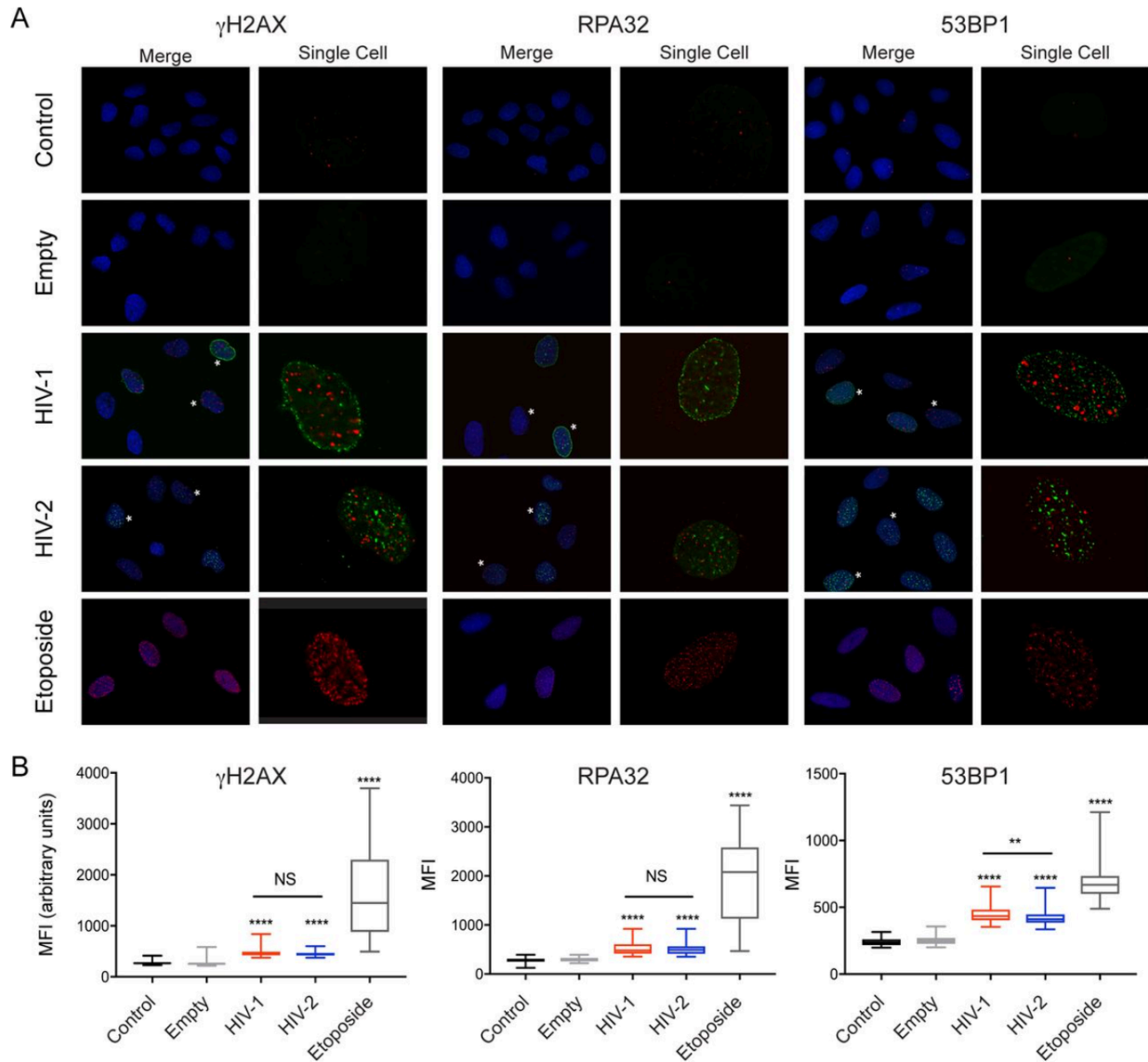
As the primary role of lentiviral accessory genes is to overcome antiviral restriction factors, our data also support a model where DDR proteins and/or pathways restrict HIV replication and are overcome by Vpr. This is consistent with the growing evidence that DDR proteins and pathways contribute to the innate immune response to pathogens (17–22). We have shown that, like Vpr-mediated cell cycle arrest, recruitment of the Cul4A ubiquitin ligase complex adaptor protein DCAF1 is required for repression of HR repair (Fig. 7). Vpr could be recruiting this complex away from a natural target or usurping it to degrade a host protein, which is consistent with the primary role of lentiviral accessory genes in viral replication, such as Vpx-mediated degradation of the antiviral DDR protein SAMHD1 (88, 89). While Vpr has been shown to recruit and degrade many

host proteins, through the combination of our mutant data and use of HIV-1 and HIV-2 Vpr orthologs (Fig. 6 and 7), we are able to rule out most known DDR-associated Vpr-interacting proteins (and potential cellular effects of Vpr) for roles in modulating the DDR as described here. Whether some of the remaining Vpr-interacting proteins we were unable to characterize, such as the endonuclease Exo1, are required for Vpr-mediated engagement of the DDR or whether novel undiscovered host proteins are required remains unclear. Moreover, whether modulation of DDR pathways is a direct primary effect of Vpr or a consequence of degradation of an antiviral host protein that is also integral to the DDR is also unclear. However, our data pinpoint double-strand DNA break repairs as important cellular pathways that warrant further investigation into both innate immunity and Vpr.

Our mutant data also show that the long-standing enigmatic cell cycle arrest caused by Vpr correlates with repression of HR, suggesting these two phenotypes are linked. As HR is upregulated in G2, one might expect Vpr to enhance this repair mechanism instead of inhibit it. Intriguingly, we find the majority of Vpr-mediated repression of HR occurs in cells that are currently in G1, not G2, suggesting that repression of HR precedes, and may initiate, G2 arrest. Based on this, we hypothesize that repression of HR, not cell cycle arrest, is the crucial phenotype associated with Vpr, and that understanding this process will give clearer insight into the primary function of Vpr in viral replication.

Thus, while it is clear that the DDR is a central hub that is essential for replication of many viruses in different phases of their life cycle, the precise roles of Vpr-mediated activation and repression of the DDR in HIV replication remain obscure. In establishing that Vpr activates and represses the DDR, we have clarified the multiple ways that Vpr modulates the host DDR and uncovered a new phenotype for Vpr that may precede cell cycle arrest, suppression of double-strand break repair. This will allow us to better define the primary evolutionarily conserved role of Vpr. Finally, our data indicate that Vpr expression has important implications for the development and treatment of HIV-associated diseases such as cancer, where induction of DNA damage and

deregulation of repair could serve to complicate tumorigenesis but also sensitize cells to chemotherapeutics, further highlighting the importance of Vpr in HIV replication and associated diseases.

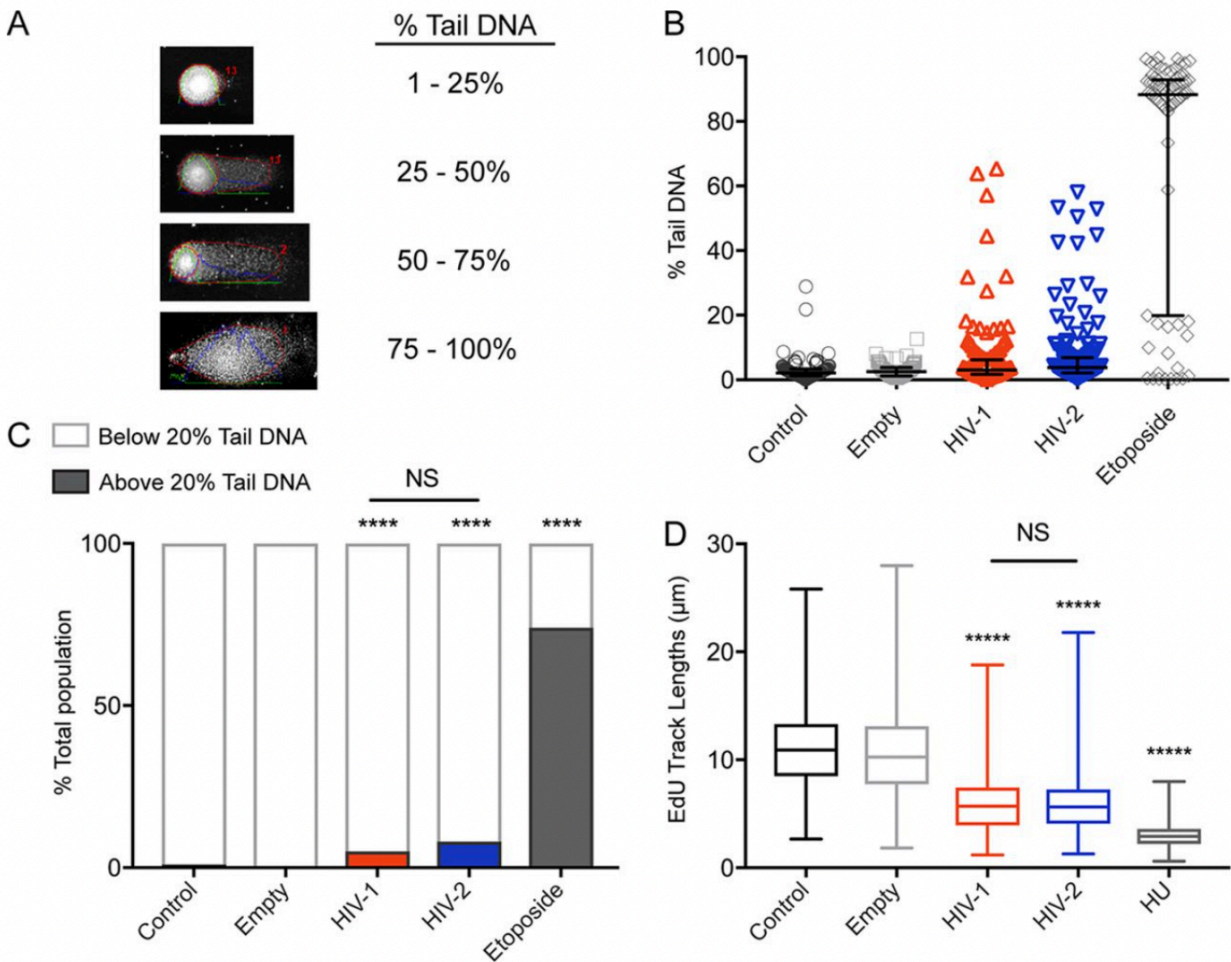


**Chapter 5 Figure 1. Activation of the DNA damage response is conserved between HIV-1 and HIV-2 Vpr.**

(A) Representative immunofluorescence images of U2OS cells infected with rAAV expressing 3 $\times$  FLAG-tagged HIV-1 and HIV-2 Vpr, control empty vector (no Vpr), or uninfected control for 20 h. Blue (DAPI) shows the nuclei, 3 $\times$ -FLAG Vpr is shown in green, and the phosphorylated DNA damage markers ( $\gamma$ H2AX, RPA32, and 53BP1) are shown in red. Asterisks indicate cells with either high or low Vpr expression. The single-cell images show only 3 $\times$ -FLAG Vpr and corresponding DNA damage marker. Images were taken at  $\times$ 63 magnification. (B) Mean

fluorescence intensity (MFI) of 100 cells per condition was quantified for all markers. Asterisks indicate statistical significance compared to empty vector control, as determined by Kruskal-Wallis tests (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ;  $n = 2$ , one representative experiment shown).

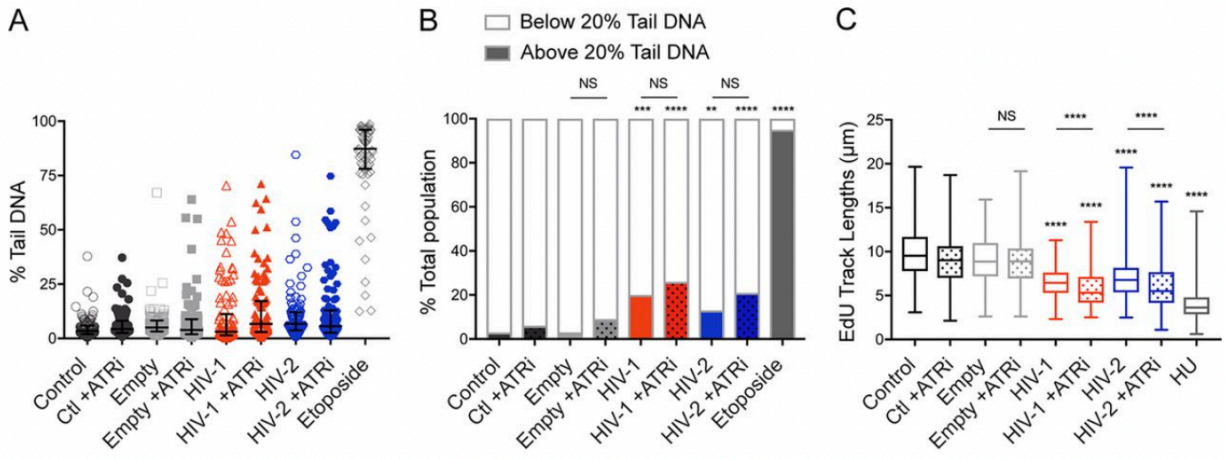




**Chapter 5 Figure 2. HIV-1 and HIV-2 Vpr damage DNA and stall DNA replication.**

(A) Visual representation taken from the alkaline comet assay of the four degrees of damage measured by percent tail DNA. Intensity profiles, lines, and numbers on the images were automatically generated by the OpenComet plug-in for the ImageJ software. (B) Distribution of the percent tail DNA measured for 100 cells per condition from one independent experiment using the OpenComet plug-in. U2OS cells were treated under the same conditions as those for Fig. 1A prior to being harvested for the comet assay. The bars represent the median with interquartile range;  $n = 3$ , one representative experiment shown. (C) A bar graph representation of the cells in panel B separated into two populations, below 20% tail DNA (unshaded) and above 20% tail DNA

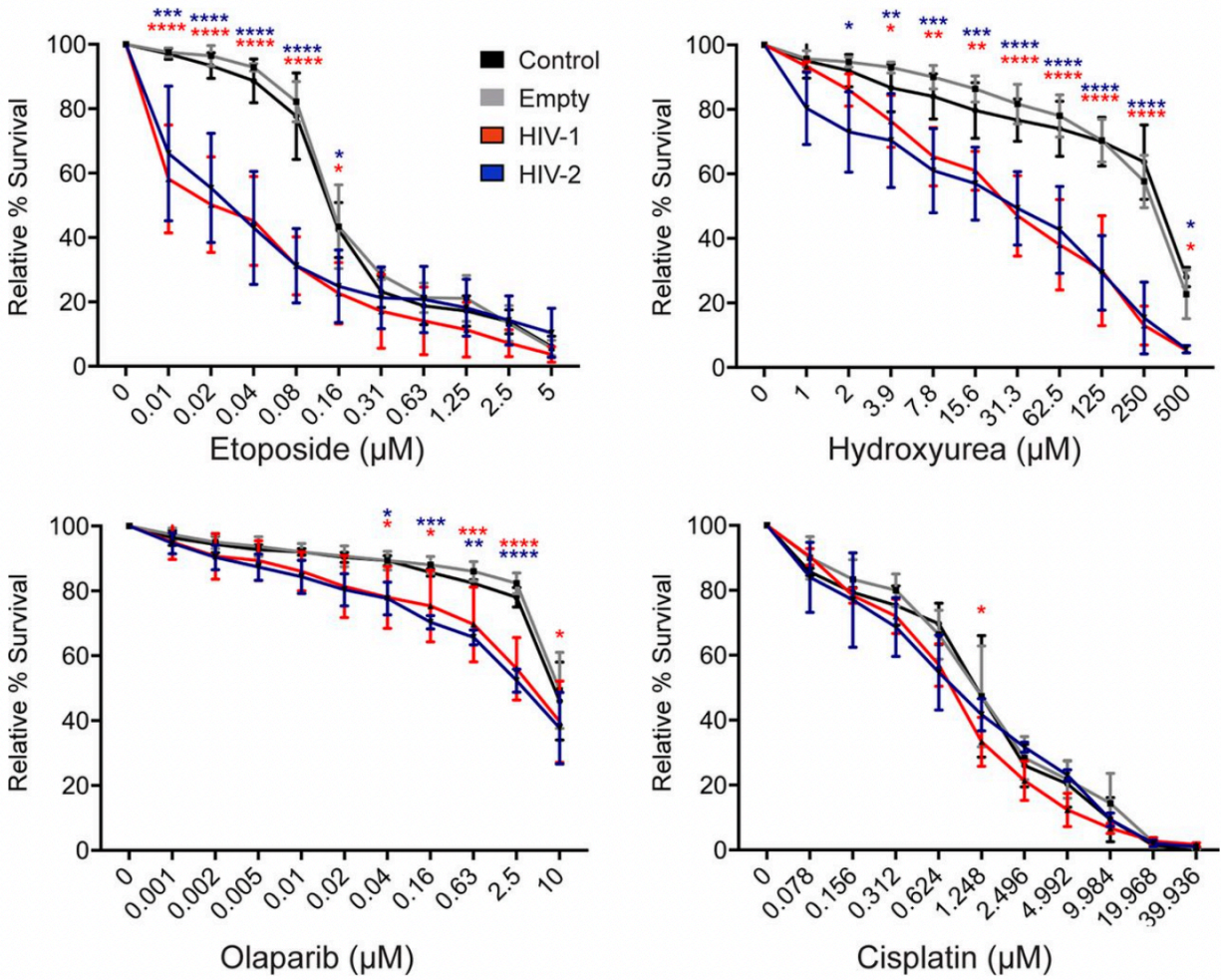
(shaded). Asterisks indicate statistical significance compared to empty vector control or HIV-1 compared to HIV-2 Vpr, as determined by chi-square test (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ;  $n = 3$ , one representative experiment shown). (D) A box and whiskers representation of the distribution of EdU track lengths ( $\mu\text{m}$ ). U2OS cells were treated under the same conditions as those shown in Fig. 1A. Asterisks indicate statistical significance for HIV-1, HIV-2, and hydroxyurea (HU) compared to the empty vector control, as determined by the Kruskal-Wallis test, while statistical difference between HIV-1 and HIV-2 was determined by the Mann-Whitney test (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ;  $n = 3$ , one representative experiment shown).



**Chapter 5 Figure 3. Vpr-induced DNA damage occurs prior to replication fork stalling and is independent of ATR.**

(A) U2OS cells treated under the same conditions as those for Fig. 1A were incubated with or without 10  $\mu\text{M}$  VE-821 ATR inhibitor (ATRi) for 20 h and then subjected to the alkaline comet assay as described for Fig. 2B. Graph shows quantification of percent tail DNA of 100 cells measured per condition, with the bars representing the medians and interquartile ranges. ATRi-treated conditions are shown in filled shapes ( $n = 3$ , one representative experiment shown). (B) A bar graph representation of the data from panel A, with the population separated as shown in Fig. 2C. Cells treated with ATRi above 20% tail DNA are represented as the shaded regions with dots. Asterisks indicate statistical significance as determined by chi-square test (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ;  $n = 3$ , one representative experiment shown). (C) Distribution of EdU track lengths ( $\mu\text{m}$ ) from cells treated under the same conditions as those for panel A. Cells treated with ATRi are represented as box plots with dots. Asterisks indicate statistical significance of empty vector with ATRi, HIV-1 with or without ATRi, HIV-2 with or without ATRi, and etoposide compared to empty vector without ATRi, as determined by the Kruskal-Wallis test, while statistical difference between empty vector, HIV-1, and HIV-2 with or

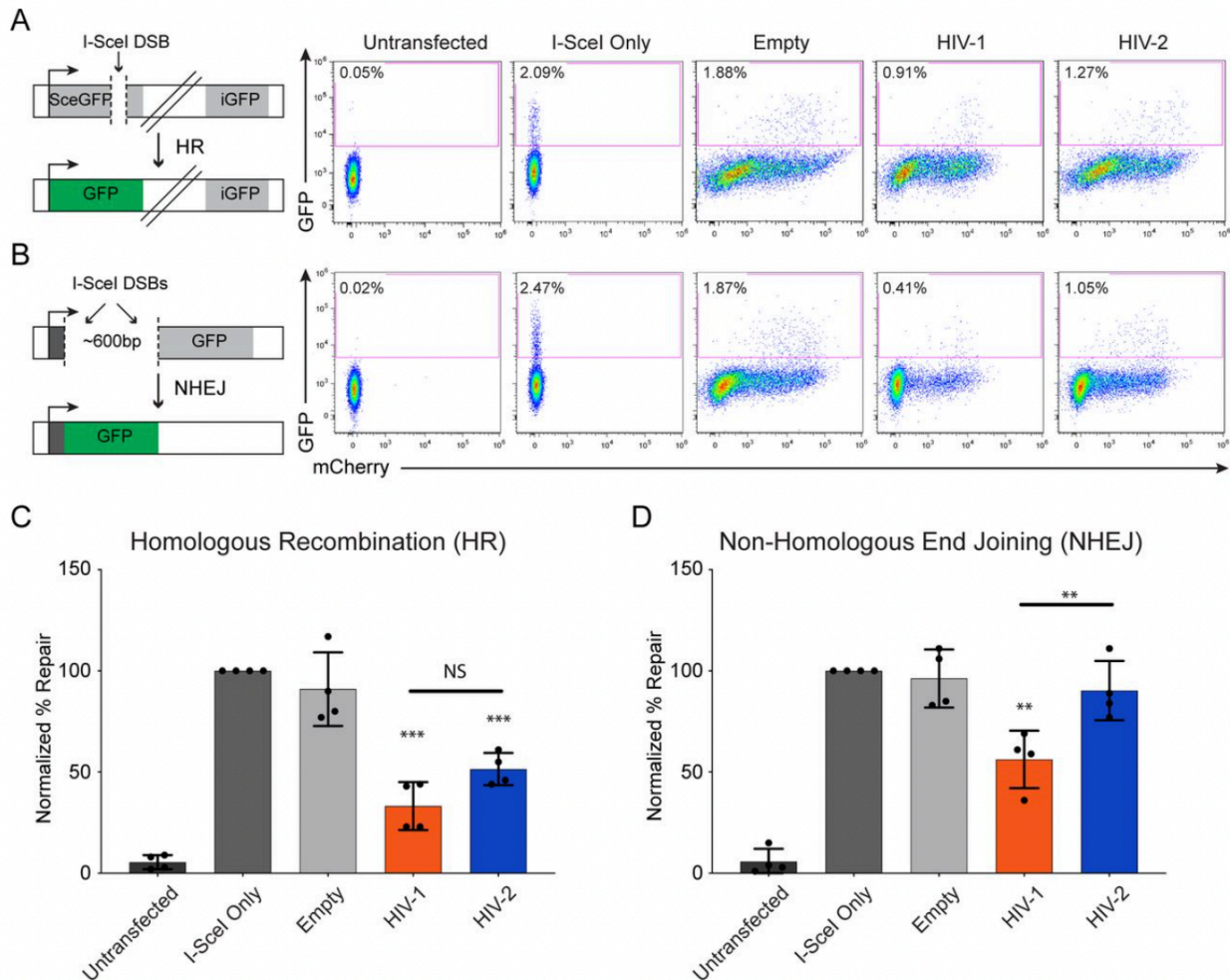
without ATRi was determined by the Mann-Whitney test (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ;  $n = 3$ , one representative experiment shown).



**Chapter 5 Figure 4. Cells expressing HIV-1 or HIV-2 Vpr are hypersensitive to exogenous double-strand DNA breaks.**

Sensitivities of the untreated control, empty vector control, HIV-1, and HIV-2 Vpr-expressing U2OS cells to etoposide, hydroxyurea, olaparib, and cisplatin were tested by incubating cells for 7 days in the corresponding drug at the indicated concentrations. Survival was analyzed by crystal violet staining for live cells compared to the no drug treatment. Sensitivity results are the means from three independent experiments ( $n = 3$ ), and error bars represent  $\pm$  standard deviations.

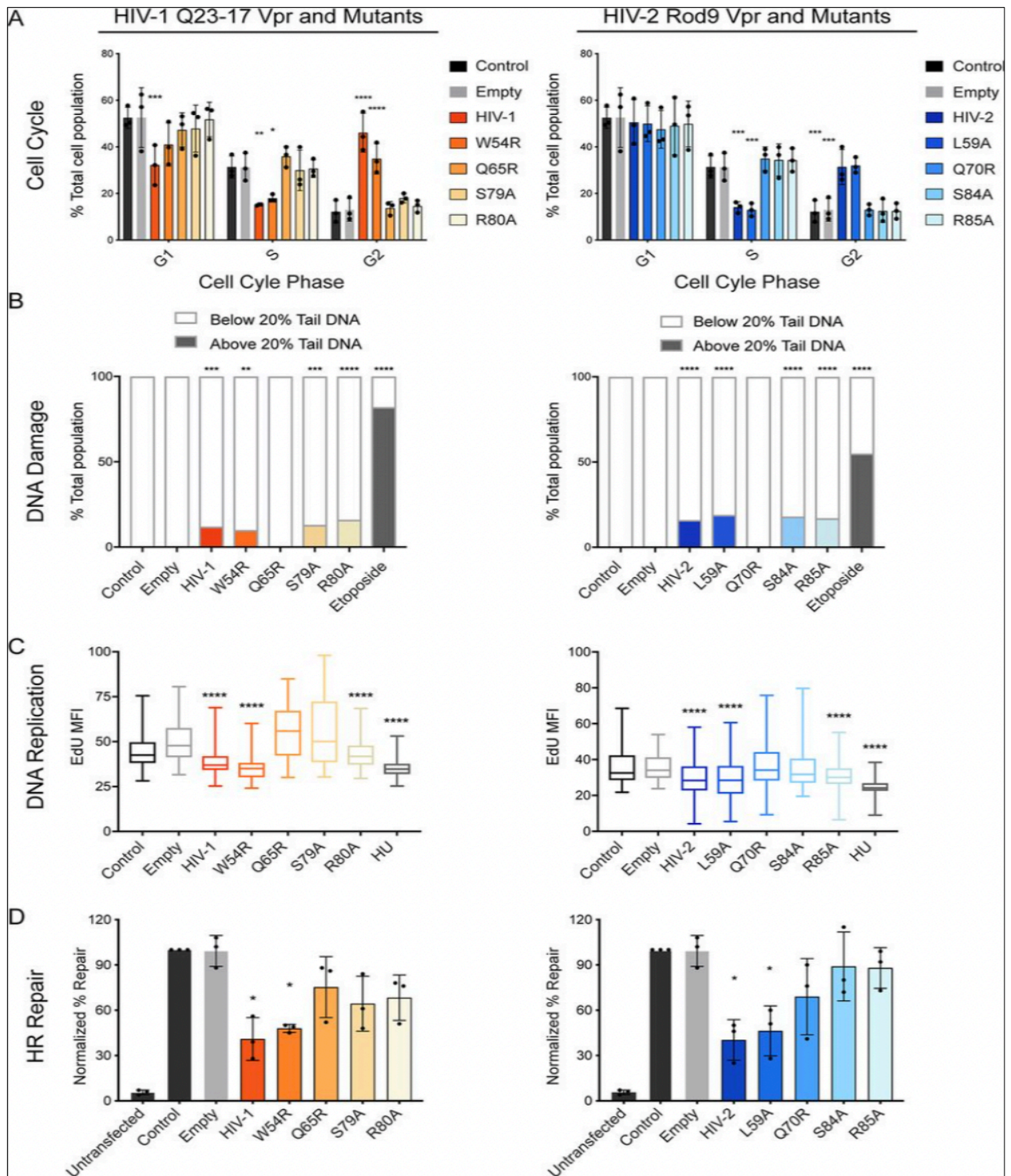
Asterisks indicate statistical significance compared to empty vector control, as determined by 2-way analysis of variance (\*,  $P < 0.05$ ; \*\*,  $P < 0.03$ ; \*\*\*,  $P < 0.002$ ; \*\*\*\*,  $P < 0.0001$ ).



**Chapter 5 Figure 5. HIV-1 and HIV-2 Vpr repress double-strand break repair.**

(A, left) Schematic of I-SceI-based homologous recombination (HR) U2OS reporter cell line (DR-GFP assay). (Right) Representative flow cytometry plots of one I-SceI repair assay experiment for HR repair. Cells were transfected for 30 h with the I-SceI plasmid alone or with either empty vector, HIV-1, or HIV-2 Vpr that expresses mCherry via a T2A ribosomal skipping sequence. Twenty thousand cells were measured per condition and gated for homologous recombination-

mediated DSB repair (GFP). (B, left) Schematic of I-SceI-based classical NHEJ U2OS reporter cell line (EJ5-GFP assay). (Right) Representative flow cytometry plots of one I-SceI repair assay experiment for NHEJ repair. Cells were treated and measured under the same conditions as those described for panel A. (C) I-SceI HR repair assay representing the average percent repair by homologous recombination from four experiments ( $n = 4$ ), normalized to the I-SceI-only condition. Cells were treated and measured using the same conditions as those described for panel A. Asterisks indicate statistical significance compared to empty vector control, as determined by a one-sample t test (theoretical mean set to the average value of the empty vector control), while statistical difference between HIV-1 and HIV-2 was determined by the Mann-Whitney test (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ). Error bars represent  $\pm$  standard deviations. (D) I-SceI NHEJ repair assay representing average percent repair by classical nonhomologous end joining from four experiments ( $n = 4$ ), normalized to the I-SceI-only condition. Cells were treated and measured under the same conditions as those described for panel A. Statistical analysis was determined with the same methods as those shown for panel C. Error bars represent  $\pm$  standard deviations.

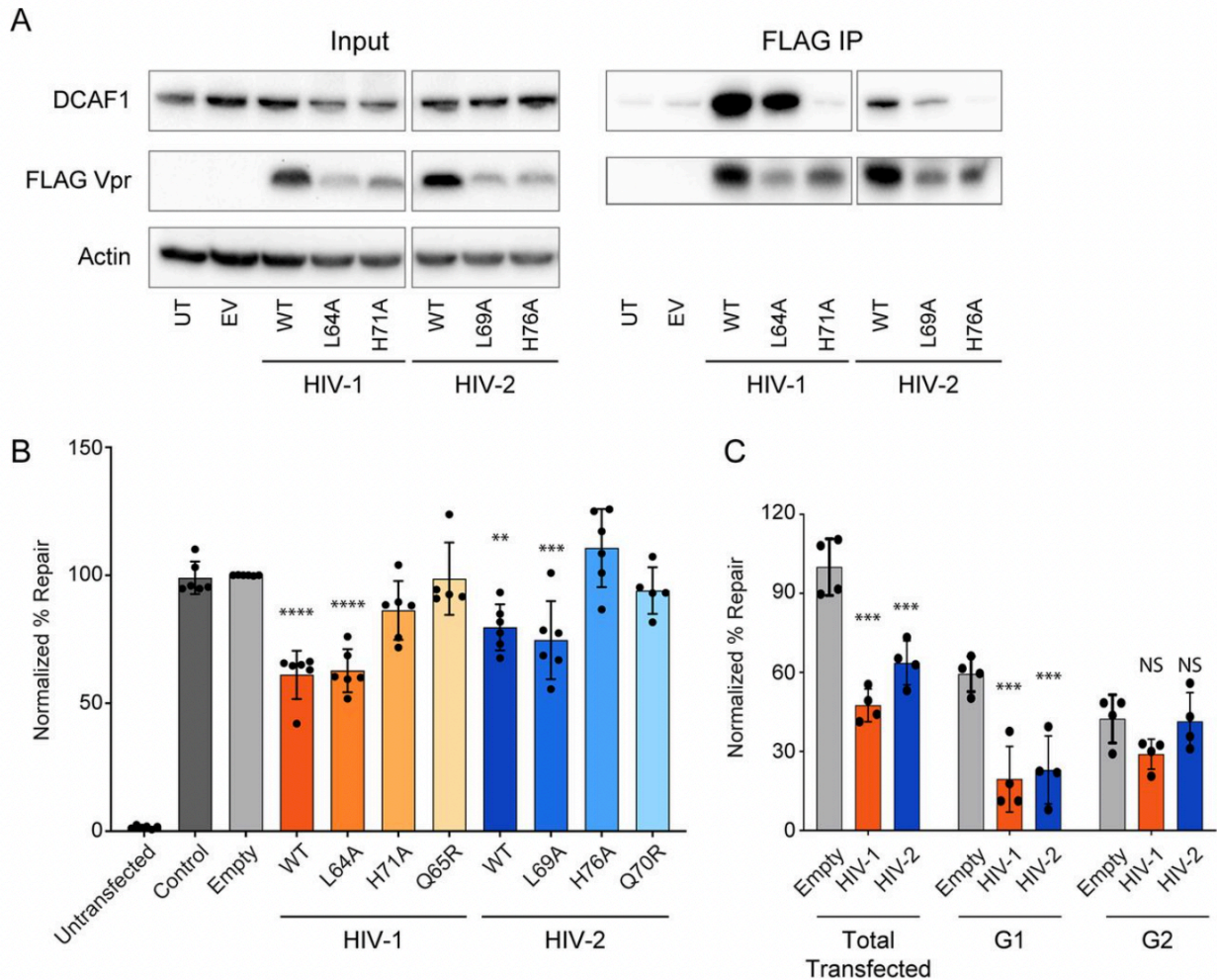


Chapter 5 Figure 6. Vpr-induced DNA damage is independent of repression of homologous

(A) Bivariate cell cycle analysis of synchronized U2OS cells infected with rAAV expressing 3x FLAG-tagged HIV-1 and HIV-2 Vpr, empty vector, or control uninfected cells for 38 h. The graph

shows the percentage of the population of 10,000 cells per condition in G1, S, and G2, measured using flow cytometry of cells stained for propidium iodide (PI; total DNA content) and EdU (DNA synthesis). Asterisks indicate statistical significance compared to empty vector control, as determined by Tukey's multiple-comparison test (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ;  $n = 3$ ). Error bars represent  $\pm$  standard deviations. (B) The alkaline comet assay for HIV-1 and HIV-2 Vpr mutants as represented in Fig. 2C with 100 cells measured per condition. U2OS cells were treated under conditions similar to those for Fig. 1A. Asterisks indicate statistical significance to empty vector control, as described for Fig. 2C ( $n = 3$ , one representative image shown). (C) Box and whisker plot representation of the distribution of EdU mean fluorescence intensity (MFI) for HIV-1 and HIV-2 Vpr mutants with cells treated under the same conditions as those for panel B. Asterisks indicate statistical significance to empty vector control, as determined by the Dunn's multiple-comparison test (NS, nonsignificant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ;  $n = 3$ , one representative experiment shown). (D) Experimental results from the I-SceI DR-GFP assay representing average percent repair by homologous recombination for HIV-1 and HIV-2 mutants, as described for Fig. 5C. Asterisks indicate statistical significance from empty vector control, as described for Fig. 5C ( $n = 3$ ).





**Chapter 5 Figure 7. Repression of homologous recombination by Vpr requires DCAF1 but does not require cell cycle arrest.**

(A, left) Representative Western blots of U2OS cells for endogenous DCAF1, transiently transfected 3×-FLAG Vpr, and endogenous actin as a loading control. (Right) Immunoprecipitations against 3×-FLAG, probed for endogenous DCAF1 and transiently transfected 3×-FLAG Vpr. (B) Experimental results from the I-SceI DR-GFP assay representing average percent repair by homologous recombination for HIV-1 and HIV-2 mutants, as described for Fig. 5C. Asterisks indicate statistical significance from empty vector control, as described for Fig. 5C (n = 6). (C) Experimental results from bivariate I-SceI DR-GFP-cell cycle assay. Cells were transfected for 30 h with the I-SceI plasmid alone or with either empty vector, HIV-1, or HIV-2 Vpr

that expresses mCherry via a T2A ribosomal skipping sequence and then labeled with Hoechst dye to label total DNA content. Twenty thousand cells were measured per condition. Total, G1, and G2 mCherry-expressing cell populations were gated for homologous recombination-mediated DSB repair (GFP). Asterisks indicate statistical significance from empty vector control, as described for Fig. 5C (n = 4).

## MATERIALS AND METHODS

### Plasmids.

pscAAV-mCherry-T2A-Vpr plasmids were generated by replacing GFP with mCherry from pscAAV-GFP-T2A-Vpr (6). HIV-2 A.PT (A.PT.x.ALI.AF082339) and HIV-2 G.CI.92 (G.CI.92.Abt96.AF208027) were synthesized as gBlocks (IDT) and subcloned into the pscAAV-mCherry-T2A-Vpr construct using standard cloning techniques. Vpr mutants were generated using site-directed mutagenesis (Q5 site-directed mutagenesis kit; NEB). pCBAScel was a gift from Maria Jasin (Addgene plasmid number 26477) (90).

### Cell lines and cell culture.

Human embryonic kidney (HEK) 293, HEK 293T, and human bone osteosarcoma epithelial (U2OS) cells were cultured as adherent cells directly on tissue culture plastic (Greiner) in Dulbecco's modified Eagle's medium (DMEM) growth medium (high glucose, l-glutamine, no sodium pyruvate; Gibco) with 10% fetal bovine serum (Gibco) and 1% penicillin-streptomycin (Gibco) at 37°C and 5% CO<sub>2</sub>. All cells were harvested using 0.05% trypsin-EDTA (Gibco). Transfections were performed with TransIT-LT1 (Mirus). The panel of U2OS cells containing an integrated reporter (DR-GFP, SA-GFP, EJ2-GFP, and EJ5-GFP) used in the I-SceI repair assays were kindly provided by Jeremy M. Stark (Beckman Research Institute of the City of Hope) (60).

### Generation of viruses.

AAV vectors were generated by transient transfection of HEK 293 cells using polyethyleneimine (PEI) as previously described (91). Levels of DNase-resistant vector genomes were quantified by inverted terminal repeat (ITR)-specific quantitative PCR (qPCR) using a linearized plasmid standard according to the method of Aurnhammer et al. (92).

### Western blots and coimmunoprecipitations.

Cells were lysed in radioimmunoprecipitation assay (RIPA) buffer (50 mM Tris-HCl [pH 8.0], 150 mM NaCl, 1 mM EDTA, 0.1% SDS, 1% NP-40, 0.5% sodium deoxycholate, Benzodase, protease inhibitor) and clarified by centrifugation at 14,500 × g for 10 min. Immunoprecipitations

were performed as previously described (6) using anti-FLAG affinity beads (Sigma). All samples were boiled in 4× sample buffer (40% glycerol, 240 mM Tris, pH 6.8, 8% SDS, 0.5% β-mercaptoethanol, and bromophenol blue) in preparation for SDS-PAGE using 4 to 12% Bis-Tris polyacrylamide gels and subsequently transferred onto a polyvinylidene difluoride membrane. Immunoblotting was performed using mouse anti-FLAG M2 (Sigma), mouse anti-actin (Thermo-Fisher), rabbit anti-DCAF1 (Cell Signaling), goat anti-mouse horseradish peroxidase (HRP; Invitrogen), and goat anti-rabbit HRP (Invitrogen).

#### DNA combing assay.

The DNA combing assay was adapted from reference 52. Cells were plated in 6-well tissue culture-treated plates (Greiner) at  $1.75 \times 10^6$  cells/well and allowed to rest overnight. Cells were then infected with rAAV 2.5 at equal titers ( $1.4 \times 10^8$  copies/well) or 500 μM hydroxyurea (Sigma) for 20 h. Following infection, cells were incubated with 10 μM EdU (Invitrogen) for 20 min, harvested, spun down, and resuspended in 1× phosphate-buffered saline (PBS; Gibco). The cell suspension was added and lysed with lysis buffer (50 mM EDTA, 0.5% SDS, 200 mM Tris-HCl, pH 7.5) directly on a silane-coated slide (Electron Microscopy) and then incubated for 5 to 8 min. After incubation, the slide was tilted at a 45° angle to allow the droplet to roll down and then fixed with 3:1 methanol acetic acid for 15 min after the slide was completely dry. The slide then was washed with 1× PBS, blocked with 3% bovine serum albumin (BSA) for 30 min, and stained with secondary EdU mixture (Click-IT EdU imaging kit; Invitrogen) and DNA (Yoyo-1; Life Technologies). Microscopy was performed using the Zeiss Axio Imager Z1, and images were analyzed using ImageJ.

#### Alkaline comet assay.

The alkaline comet assay was performed as previously described (50), with some minor changes. Cells were plated in 6-well tissue culture-treated plates (Greiner) at  $1.75 \times 10^6$  cells/well and allowed to rest overnight. Cells were then infected with rAAV 2.5 at equal titers ( $1.4 \times 10^8$  copies/well) or 50 μM etoposide (Sigma) for 20 h. Following infection, cells were then harvested,

spun down, and resuspended in 0.5% low-melting-point agarose at 37°C. Samples then were spread onto agarose-coated slides (Cell Biolabs) and allowed to solidify for 20 min at 4°C. After agarose solidification, samples were incubated in lysis buffer (10 mM Tris-HCl, pH 10, 2.5 M NaCl, 0.1 M EDTA, 1% Triton X-100) for 1 h and then in the alkaline running buffer (0.3 M NaOH, 1 mM EDTA) for 30 min and finally electrophoresed at 300 mA for 30 min, all done at 4°C. Samples then were washed in double-distilled water (ddH<sub>2</sub>O) and fixed in 70% ethanol at 4°C. Cells were stained with Yoyo-1 (Life Technologies) for 15 min at room temperature and then washed with ddH<sub>2</sub>O and dried overnight. Images were acquired on the Zeiss Axio Imager Z1. Images were analyzed using the OpenComet plug-in for ImageJ.

#### Cell cycle analysis.

U2OS cells were plated and either left unsynchronized or synchronized using serum starvation with 0.05% fetal bovine serum (FBS)-DMEM (Gibco) for at least 12 h. Cells were infected with rAAV 2.5 (600 copies/cell) for 38 h. For labeling with Hoechst, cells were incubated with Hoechst ready flow reagent (Invitrogen) as recommended. For labeling with propidium iodide, cells were fixed with ice-cold ethanol, and DNA was stained with 0.01 g/ml propidium iodide (Sigma-Aldrich) and RNase A in PBS. For bivariate labeling, cells were additionally pulse labeled with 10 μM EdU (Invitrogen) for at least 30 min. Pulse-labeled cells were then permeabilized with 0.01% Triton X-100 for 3.5 min and fixed with 4% paraformaldehyde (PFA) for 20 min. EdU was detected using Click-iT EdU Alexa Fluor 647 imaging kit (Invitrogen) followed by Hoechst or PI staining. Cells were assessed by flow cytometry on a FACSVERGE (BD). At least 10,000 cells were collected each run, and data were analyzed using FlowJo software.

#### Immunofluorescence.

Cells were plated in 6-well tissue culture-treated plates (Greiner) at  $1.75 \times 10^6$  cells/well and allowed to rest overnight. Cells were then infected with rAAV 2.5 at equal titers ( $1.4 \times 10^8$  copies/well) or 50 μM etoposide (Sigma) for 20 h. For the EdU-IF experiments, EdU was added to the cells for 20 min. Cells were then permeabilized with 0.5% Triton X-100 in PBS at 4°C for

5 min and fixed in 4% PFA for 20 min. Samples were then washed in 1× PBS and incubated with blocking buffer (3% BSA, 0.05% Tween 20, and 0.04 NaN<sub>3</sub> in PBS) for 30 min. Cells were probed with appropriate primary antibodies (anti-FLAG M2 [Sigma-Aldrich], anti-γH2AX, anti-RPA32 [GeneTex], or anti-53BP1 [Cell Signaling]) and then washed in PBST (0.05% Tween 20 in PBS) and probed with Alexa Fluor-conjugated secondary antibodies (Life Technologies). Nuclei were stained with diamidino-2-phenylindole (DAPI; Life Technologies). Secondary staining for EdU was added as the last step and stained twice to ensure signal. Images were acquired on the Zeiss Axio Imager Z1, and mean fluorescence intensity (MFI) was analyzed using ImageJ.

#### Sensitivity assays.

Sensitivity assays were performed as previously described (93), with minor changes. Cells were plated in 24-well plates at  $3 \times 10^3$  cells/well and allowed to settle overnight. Done in triplicate per sample, the corresponding amounts of drugs were added and infected with rAAV 2.5 in equal titers ( $9.9 \times 10^6$  copies/well) and then incubated for 7 days. On the 7th day, cells were washed with 1× PBS, fixed with 10% methanol and 10% acetic acid in water for 10 to 15 min, and stained with 0.1% crystal violet in methanol for 5 min. Plates were then washed with water and allowed to dry overnight, and the crystal violet was resolubilized with 300 μl 0.1% SDS in methanol for 2 h. A volume of 100 μl of the resolubilized dye was added to a 96-well, round-bottom plate (Greiner), and the absorbance was measured using a Gen5 (Biotek) plate reader at 595-nm wavelength.

#### I-SceI repair assays.

I-SceI repair assays were performed as previously described (94), with some minor changes. Cells were plated in 6-well plates at  $1.75 \times 10^6$  cells/well and allowed to settle overnight. Cells were transfected with 1.5 μg pBASce-1 and 0.5 μg of corresponding pscAAV using Lipofectamine 3000 (Invitrogen) in antibiotic- and serum-free medium. Prior to transfection, cell medium was changed to DMEM high-glucose (Gibco) and l-glutamine (Gibco) and 5% fetal bovine serum (Gibco) without antibiotics. Cells were allowed to incubate with transfection reaction for 30 to 48 h, harvested, fixed with 4% PFA, and resuspended in fluorescence-activated cell sorting buffer (3%

BSA in PBS). At least 20,000 cells/condition were measured through flow cytometry (Attune NxT), and data were analyzed using FlowJo.

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