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Whole-genome characterization of lung adenocarcinomas lacking the RTK/RAS/RAF pathway

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## Whole-genome characterization of lung adenocarcinomas lacking alterations in the RTK/RAS/RAF pathway

A full list of authors and affiliations appears at the end of the article.

### SUMMARY

RTK/RAS/RAF pathway alterations (RPAs) are a hallmark of lung adenocarcinoma (LUAD). In this study, we use whole-genome sequencing (WGS) of 85 cases found to be RPA(-) by previous studies from The Cancer Genome Atlas (TCGA) to characterize the minority of LUADs lacking apparent alterations in this pathway. We show that WGS analysis uncovers RPA(+) in 28 (33%) of the 85 samples. Among the remaining 57 cases, we observe focal deletions targeting the promoter or transcription start site of *STK11* (n = 7) or *KEAP1* (n = 3), and promoter mutations associated with the increased expression of *ILF2* (n = 6). We also identify complex structural variations associated with high-level copy number amplifications. Moreover, an enrichment of focal deletions is found in *TP53* mutant cases. Our results indicate that RPA(-) cases demonstrate tumor suppressor deletions and genome instability, but lack unique or recurrent genetic lesions compensating for the lack of RPAs. Larger WGS studies of RPA(-) cases are required to understand this important LUAD subset.

### In Brief

Carrot-Zhang et al. perform whole-genome characterization of lung adenocarcinomas (LUADs) lacking RTK/RAS/RAF pathway alterations (RPAs) and identify mutations or structural variants in

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#### AUTHOR CONTRIBUTIONS

J.C.-Z., X.Y., S.D., and N.R. are the data/analysis/manuscript coordinators of this project. J.C.-Z., X.Y., H.Y.C., T.T., H.Z., M.C.Z., N.R., and M.I. generated raw calls; J.C.-Z., X.Y., A.D., J.S.D., T.C.S., C.K.W., H.Y.C., A.G.R., M.A.A.C., L.B., E.R., E.M.L., T.T., D.H., C.Y., T.H., Y.L., O.S., K.K., K.L.M., H.Z., J.J.-K.L., A.B., G.F.G., B.Z., W.-W.L., and M.I. performed the analyses; S.D., S.M., A.H.B., E.A.C., J.N.W., and D.J.K. contributed to the data interpretation; S.D., E.A.C., J.C.C., M.S.T., W.D.T., and R.G. provided the clinical interpretation; I.F. and J.C.Z. provided project administration; L.D., A.D.C., G.G., R.B., O.E., C.C.B., J.S., J.D.C., D.N.H., L.Y., P.W.L., J.N.W., D.J.K., E.K., B.P.B., K.A.H., N.R., M.M., and M.I. supervised the analyses; J.C.-Z., X.Y., S.D., J.N.W., D.J.K., M.M., R.G., and M.I. wrote the manuscript; all of the authors edited or reviewed the manuscript; M.M., R.G., and M.I. are the co-chairs of this project.

#### CONSORTIA

The members of the TCGA Research Network are Kanika Arora, Minita Shah, Jennifer Shelton, Reanne Bowlby, Verena Friedl, Mary Goldman, Brian Craft, David I. Heiman, Iman Hajirasouliha, Camir Ricketts, Pavana Anur, Kami E. Chiotti, Samantha J. Caesar[HYPHEN]Johnson, John A. Demchok, Martin L. Ferguson, Anab Kemal, Roy Tarnuzzer, Zhining Wang, Liming Yang, Paul T. Spellman, Benjamin Raphael, Rehan Akbani, Jingchun Zhu, Steven J.M. Jones, and Hui Shen.

#### DECLARATION OF INTERESTS

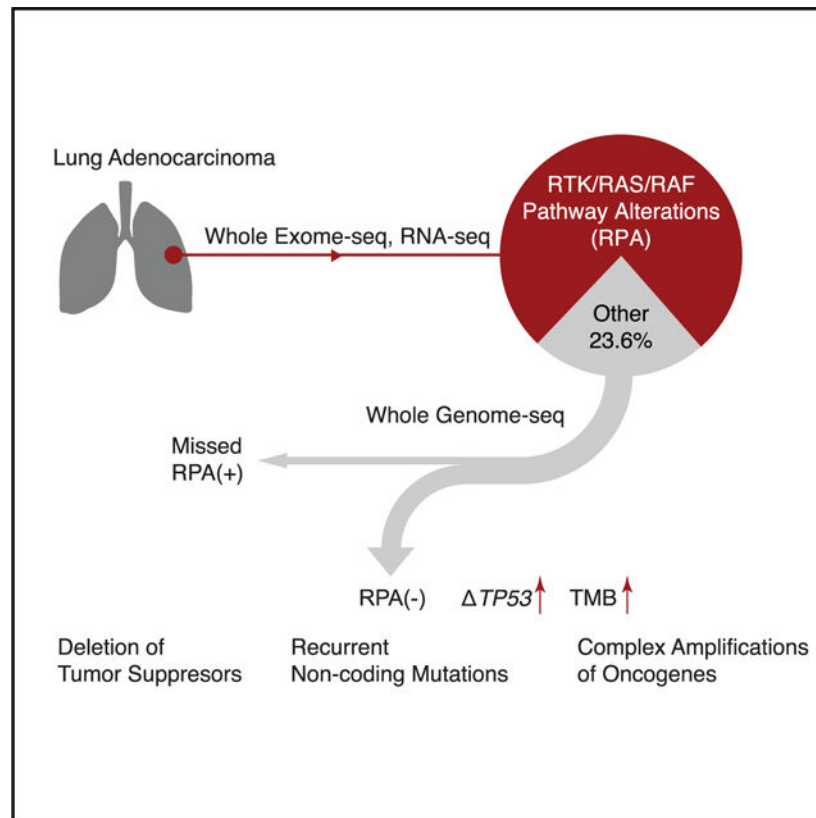
M.M. is listed as the inventor on the patent for the EGFR mutation analysis for lung cancer diagnosis licensed to LabCorp; holds research support from Bayer, Janssen, and Ono; and serves as scientific advisory board chair for OrigiMed. P.W.L. serves on the scientific advisory boards of AnchorDx and Progenity. D.J.K. has research support from Revolution Medicines and Genentech and is a consultant to AADi.

#### SUPPLEMENTAL INFORMATION

Supplemental Information can be found online at <https://doi.org/10.1016/j.celrep.2021.108707>.

both coding and non-coding spaces that define a unique entity of RPA(-) LUADs and potentially explain the underlying biology of this disease.

## Graphical Abstract



## INTRODUCTION

Lung adenocarcinoma (LUAD) is the most common lung malignancy and a leading cause of cancer death in the United States (Siegel et al., 2019). Most LUADs are driven by constitutive activation of mitogen-activated protein kinase (MAPK) signaling, which is, in turn, a consequence of alterations in receptor tyrosine kinases (RTKs), downstream RAS/RAF/MEK cascade proteins, and their regulators (Desai et al., 2014). Comprehensive studies using whole-exome (WES) and/or transcriptome sequencing (RNA-seq) have identified RTK/RAS/RAF pathway driver alterations in 70%–80% of LUADs (Campbell et al., 2016; Cancer Genome Atlas Research Network, 2014; Imielinski et al., 2012). Because driver alterations in RTK/RAS/RAF pathway genes such as *EGFR*, *BRAF*, *ALK*, *RET*, *ROS1*, and *KRAS* can be targeted by small-molecule inhibitors that significantly prolong survival, therapeutic decision making in LUADs is routinely directed by testing for many but not all RTK/RAS/RAF alterations (Herbst et al., 2018).

The remaining 20%–30% of cases, which we refer to as RTK/RAS/RAF pathway alteration-negative, or RPA(-), LUADs, pose a major clinical challenge in precision thoracic oncology

(Campbell et al., 2016). A key question is whether these RPA(-) LUADs represent a distinct RTK/RAS/RAF-independent entity that is associated with a unique evolutionary path and therapeutic sensitivities, or whether they have been mislabeled as RPA(-) due to technical factors (e.g., sample quality, limitations in the genomic profiling technologies). Specifically, apparent RPA(-) LUADs may harbor pathogenic variants in RTK/RAS/RAF pathway genes that are not adequately detected by WES, RNA-seq, or targeted gene panels such as those commonly used in large research studies or in clinical laboratories (Vinagre et al., 2013; Weischenfeldt et al., 2017).

We postulated that a more comprehensive analysis of candidate RPA(-) LUADs using whole-genome sequencing (WGS) in addition to WES and RNA-seq may illuminate more precisely the basic biology and also influence clinical management. We, therefore, performed WGS on LUAD samples from The Cancer Genome Atlas (TCGA) cohort that had appeared in previous analyses to lack an RTK/RAS/RAF pathway-activating alteration (Campbell et al., 2016). Since WGS is particularly effective in the identification of non-coding and structural genomic alterations, we hypothesized that analysis of those types of genome variation may reveal key features of RPA(-) LUAD biology.

## RESULTS

### Identification of RPA(-) LUADs

A previously published TCGA study of lung adenocarcinoma identified 383/501 (76%) of LUAD cases as RTK/RAS/RAF alteration positive, or RPA(+), using WES and RNA-seq (Table S1) (Campbell et al., 2016). Samples with activating mutations in *KRAS*, *EGFR*, *BRAF*, *ERBB2*, *MET*, *RIT1*, *NRAS*, *RAF1*, *HRAS*, *ARAF*, *MAP2K1*, or *SOS1*; loss of function mutations in *NFI* or *RASA1*; fusions in *ALK*, *ROS1*, *RET*, *MET*, or *NTRK2*; and amplification of *EGFR*, *ERBB2*, *KRAS*, *MET*, *FGFR1*, or *MAPK1* were classified as RPA(+) by WES and RNA-seq, which we henceforth designate as RPA(+)<sub>E</sub>. Among the remaining 118 LUADs, we performed WGS for 85 tumor-normal pairs (Figures 1A, 1B, and S1A) at an average of 73.5-fold ( $\pm 7.9$  SD) and 37.0-fold ( $\pm 5.8$  SD) coverage for tumor and matched normal samples, respectively, followed by somatic single-nucleotide variant (SNV), indel, copy number, and structural variant (SV) analysis (method details).

Our multi-step analysis schema is shown in Figure S1. In the first step, we re-analyzed the 85 RPA(-)<sub>E</sub> cases to determine whether there was truly no evidence of coding mutations in the RTK/RAS/RAF pathway. Surprisingly, we found that 20/85 cases harbored *KRAS* hotspot mutations, with 8 samples showing the recently targetable p.G12C mutation (Canon et al., 2019; Ostrem et al., 2013). Re-examination of the WES data for those samples confirmed the mutation calls for 16/20 samples, but the read support was insufficient to enable high-confidence variant calling without invoking the WGS information (Figures 1C and S1B). The poor WES coverage for those *KRAS* mutations was likely due to low capture efficiency (Clark et al., 2011) for the first coding exon of *KRAS*, which contains codons 12 and 13. In addition, the samples with *KRAS* mutations missed by WES showed lower tumor purity than did *KRAS*-mutated samples identified by WES (Figure S1C; Table S1), confirming that high sequencing depths were necessary for detecting the mutations reliably.

Among the 85 samples, we also identified 8 cases with somatic SV and copy-number alterations (SCNAs) in known RTK/RAS/RAF pathway members. Among those alterations, we found complex SVs driving high-level amplification and overexpression of oncogenes, including *EGFR* (n = 1) and *MAPK1* (n = 3). Further classification of complex SVs showed that the *EGFR* amplification was driven by a breakage-fusion-bridge cycle (BFBC) (Figure 1D). We also found deletions coupled with the loss of heterozygosity (LOH), resulting in the decreased expression of *RASA1* (n = 1) or *NFI* (n = 1) (Figures 1B and 1E; Table S2), both of which negatively regulate RTK/RAS/RAF signaling. The focal deletion (379-bp length) in *NFI* affected only a single exon that is not well represented in WES or RNA-seq, highlighting the advantage of WGS for the identification of focal SV events (Table S2). We also identified one case with *ARAF* amplification and one case with *NRG1* fusion, which are alterations previously shown to activate RTK/RAS/RAF signaling in LUADs (Fernandez-Cuesta et al., 2014; Imielinski et al., 2014). Interestingly, we found amplification and overexpression of *SOS1* in one case (TCGA-62-8399). Although *SOS1* mutations have been shown to activate RTK/RAS/RAF signaling (Cai et al., 2019), the role played in RTK/RAS/RAF activation by the amplification described here is unclear.

Overall, we identified 28 (33%) additional RPA(+) cases among the 85 RPA(-)<sub>E</sub> that had undergone WGS (Figure S1A). We labeled the remaining 57 cases as RPA(-)<sub>G</sub> LUADs because they lacked an RTK/RAS/RAF pathway alteration identified by WGS (as well as WES and RNA-seq). The remainder of the results reported here focus on that subset.

### Recurrent coding alterations in RPA(-)<sub>G</sub> LUADs

Having identified the 57 RPA(-)<sub>G</sub> LUAD cases, we sought to define their protein-coding driver alteration landscape. Among the coding sequences of 14,987 known protein-coding genes with a median expression RSEM = 1 in all of the TCGA LUAD tumors, we analyzed protein-altering indels and SNVs across 57 RPA(-)<sub>G</sub> LUADs to identify recurrently mutated genes. The algorithm uses a gamma Poisson regression background model (Imielinski et al., 2017) correcting for known covariates of LUAD mutation density (e.g., chromatin state, replication timing, GC content; method details). We found four tumor suppressor genes—*TP53*, *STK11*, *KEAP1*, and *SMARCA4*—significantly mutated in the RPA(-)<sub>G</sub> samples (false discovery rate [FDR] < 0.1; Figure 2A). GISTIC analysis (Mermel et al., 2011) of WGS-derived SCNAs identified significantly deleted regions harboring *SETD2* and significantly amplified regions harboring *NKX2-1*, *KAT6A*, *CCNE1*, *MDM2*, *MYC*, *MCL1*, and *MYCL* (FDR < 0.1; Figure 2A). Of note, we did not identify novel genes that were significantly mutated or amplified/deleted in the RTK/RAS/RAF pathway; in other words, we were unable to identify new putative driver alterations in an obvious candidate member gene of the RTK/RAS/RAF pathway, possibly owing to the limited sample size.

Our SV analysis (method details) identified simple, focal deletions (<100 kbp) targeting *STK11* and *KEAP1*, coupled with LOH, leading to the decreased expression of these genes (Figures 2B–2E; Table S2). In two cases (TCGA-86-7711 and TCGA-50-6592), the focal deletions targeted the promoter/transcription start site of *KEAP1* without altering the coding regions but resulted in the reduced expression of *KEAP1* (Figure 2B; Table S2). The identification of focal deletions within the gene bodies of *STK11*, *SMARCA4*, and *TP53*

was reinforced by concordant exon-skip-ping junctions in the corresponding RNA-seq data, suggesting that those transcriptomic variants were driven by DNA alterations and not by alternative splicing (Figures S2A–S2C). Alterations in *STK11* in *KRAS*-driven LUADs have been shown to be associated with immune exclusion and a poor response to immunotherapies (Skoulidis et al., 2018). We found that loss-of-function events in *STK11* were anti-correlated with the computationally estimated fraction of leukocytes in the tumor (Figure S2D). We then combined the 28 additional RPA(+)<sub>G</sub> cases identified from our cohort with 40 RPA(+)<sub>G</sub> cases that have WGS data from a previously published TCGA study (Imielinski et al., 2017) (Figure S1A). We found *STK11* focal deletions in 4/68 RPA(+)<sub>G</sub> samples, but found no significant difference in *STK11* deletion frequency between RPA(+)<sub>G</sub> and RPA(-)<sub>G</sub> ( $p = 0.22$ , Fisher's exact test), suggesting that this event may be equally prevalent in both LUAD types.

As opposed to 411 RPA(+) cases from the full TCGA LUAD cohort (including the 28 cases rescued from the RPA(-)<sub>E</sub> category by our WGS analysis), we found a significant enrichment of *TP53* mutations ( $p = 5.5 \times 10^{-4}$ , odds ratio [OR] = 2.97, Fisher's exact test), *KEAP1* mutations ( $p = 4.3 \times 10^{-4}$ , OR = 3.06), and *SMARCA4* ( $p = 3.4 \times 10^{-4}$ , OR = 4.16) in the RPA(-)<sub>G</sub> cases (Figure S2E) for genes listed in Figure 2A. When expanding the analysis to 239 COSMIC cancer genes, we found an additional enrichment of mutations in *NRG1* ( $p = 1.2 \times 10^{-5}$ , OR = 12.0), *ESR1* ( $p = 4.5 \times 10^{-4}$ , OR = 11.4), *BLM* ( $p = 1.1 \times 10^{-3}$ , OR = 12.4), and *FOXO3* ( $p = 2.3 \times 10^{-3}$ , OR = 9.29; Figures S2E and S2F). The RPA(-)<sub>G</sub> samples also showed significantly higher tumor mutation burden (TMB) in a linear regression model controlled for tumor purity (Figure S2G). We did not find additional differences between RPA(+)<sub>G</sub> and RPA(-)<sub>G</sub> LUADs in their molecular/clinical features (leukocyte fraction, genome doubling, degree of aneuploidy, age of diagnosis, and genetic ancestry) (Carrot-Zhang et al., 2020). We found that recent smokers (last smoking year <15) were enriched in the RPA(-)<sub>G</sub> group compared to the RPA(+) group, although mutagen activity associated with tobacco smoking activity (COSMIC SNV signature 4) was not different between the RPA(-)<sub>G</sub> group and the RPA(+)<sub>G</sub> group, controlling for tumor purity (Figure S2H).

### Recurrent non-coding alterations in RPA(-)<sub>G</sub> LUADs

We next asked whether the RPA(-)<sub>G</sub> cases harbored novel SNVs or indels outside the coding genome. We focused the search on regions nominated by a recent TCGA ATAC-seq (assay for transposase-accessible chromatin using sequencing) study that identified regions of open chromatin, and required that the region be identified in at least 2/44 LUAD samples subject to ATAC-seq (Corces et al., 2018). Open chromatin regions are associated with active promoters, enhancers, and transcription factor-binding sites, and thus may be targets of positive somatic selection (Khurana et al., 2016). When we analysed 60,572 total mutations in 139,841 LUAD-specific open chromatin regions (2.7% of the genome) using gamma Poisson regression with known covariates of LUAD passenger mutation density (method details), we found 3 loci that were nominally enriched (FDR < 0.25) in SNVs or indels, located near *ILF2*, *CUL2*, and *TSN* (Figure 3A). Applying the intuition that expressed and dosage-sensitive genes may be targets of noncoding alteration, we examined genes that were consistently expressed across TCGA LUAD (median RSEM > 10) and recurrently amplified

in RPA(-)<sub>G</sub> samples (see method details). This analysis yielded *ILF2* as the sole significant peak (FDR < 0.1; Figure 3B).

The promoter region of *ILF2* ( $p = 2.7 \times 10^{-6}$ , coefficient = 2, gamma Poisson regression) was mutated in 6/57 cases (Figure 3C). We used the FunSeq2 method to annotate the sequence motifs bound by transcription factors (Fu et al., 2014). All 6 mutations lay in the “sensitive” and “ultrasensitive” (i.e., highly conserved) regions of the genome (Khurana et al., 2013). One mutation (chr1:153643633, G → A) was predicted to disrupt a HOXB6 motif and another mutation (chr1:153643690, G → T) was predicted to disrupt an NR3C1 motif. We did not observe any mutational signature enriched in the 6 mutations. Moreover, RPA(-)<sub>G</sub> cases harboring *ILF2* promoter mutations showed increased expression of *ILF2*, compared to *ILF2*-wild-type cases (Figure 3D) or cases harboring other mutations within the ±10-kbp window of the promoter region (Figures S3A and S3B), after controlling for the local copy number of *ILF2* and tumor purity. However, non-coding mutations near *CUL2* did not affect *CUL2* expression, and intronic mutations in *TSN* showed a non-significant trend toward an increase in *TSN* expression ( $p = 0.066$ ). *ILF2* is located in chr1q21.3, which is frequently amplified in LUADs. In myeloma, increases in *ILF2* expression through amplification have been shown to promote tolerance of genomic instability and drive resistance to DNA-damaging therapies, through dysregulation of RNA splicing and DNA damage response pathways (Marchesini et al., 2017). Consistent with that role of *ILF2*, we found *ILF2* expression to be associated with increased SV burden ( $p = 0.01$ , coefficient = 0.9, negative binomial regression).

### Complex SV patterns in RPA(-)<sub>G</sub> LUADs

By integrating read depth changes with rearrangement breakpoint locations to generate junction-balanced genome graphs (method details), we analyzed genome graphs (Hadi et al., 2020) of the 57 RPA(-)<sub>G</sub> cases to identify complex patterns of structural variation. Analysis of subgraphs (<https://github.com/mskilab/gGnome>) in those graphs identified multiple instances of complex amplicons (18 double minute, 5 BFBC, 5 tyfonas, 52 pyrgo), as well as simple duplications (mean = 16.3 per sample; Figure 4A). Tyfonas are recently identified SV patterns comprising hundreds of high junction copy number (JCN) and fold-back inversion junctions (Hadi et al., 2020) that are enriched in cancers such as dedifferentiated liposarcomas and acral melanomas. As an example, we show an amplification of *NKX2-1* driven by tyfonas in Figure S4A. Pyrgo, which comprises “towers” of low copy duplication junctions (Hadi et al., 2020), were also found to drive the amplification of LUAD loci, including *NKX2-1* (Figure S4B).

Genes located inside double minute, BFBC, and tyfonas events were markedly enriched in expression outlier genes ( $p < 1 \times 10^{-16}$ , Mann-Whitney *U* test) relative to genes involved in pyrgo and simple duplication events (Figure 4B), suggesting that the former events were retained in the cancer cell due to the growth-promoting effects of altered gene expression. Although none of these complex SV types were correlated with *TP53* mutations, which are thought to generate genomic instability, there was a significantly higher incidence of simple deletions observed in the *TP53* mutant cases ( $p = 1 \times 10^{-4}$ , Mann-Whitney *U* test; Figure

4C). That association held true when including the 68 RPA(+)<sub>G</sub> samples and controlling for purity and RPA status ( $p = 2 \times 10^{-4}$ , coefficient = 12, linear regression).

Double minutes were the most common complex SV type seen in the RPA(-)<sub>G</sub> cases (12/57 samples; Figure 4A). Like extrachromosomal circular DNA segments, double minutes do not segregate symmetrically; thus, their dosage per cell is exquisitely responsive to selection pressure (Verhaak et al., 2019; Wu et al., 2019). As a result, at least one of the genes in any given double minute likely contributes to tumor development. To leverage this intuition, we focused on a relatively small double minute identified in case TCGA-55-5899 (Figure 4D). We found that this double minute fused and amplified multiple focal regions on chromosome 13 spanning 1.0 Mbp and resulted in the high-level gain (>10 copies) of 3 intact genes (*UBL3*, *SOX21*, and *LIG4*). Two of these, *UBL3* and *LIG4*, were overexpressed in TCGA-55-5899 relative to the full LUAD cohort with RNA-seq data (Figure 4E). Because we did not observe any genes to be amplified and overexpressed in more than one RPA(-)<sub>G</sub> case (Table S3), larger numbers of cases would have to be analyzed to gain an understanding of the possible role that genes amplified by double minutes play in driving RPA(-) LUADs.

## DISCUSSION

Although large-scale genomic studies have shown LUADs to be molecularly heterogeneous, the majority of LUAD cases share the common feature of RTK/RAS/RAF signaling activation through a genetic driver (Desai et al., 2014; Swanton and Govindan, 2016). A key question, however, is whether RPA(-) LUADs—which, by definition, lack RTK/RAS/RAF drivers—represent a biologically distinct entity. Our results suggest that they are heterogeneous but that they do share common biological features, including a high frequency of *TP53* mutations and high mutation burden. Those features tend to distinguish RPA(-) LUADs from their RPA(+) counterparts.

A key confounder when we try to define RPA(-) LUADs as a distinct entity is the technical limitation of reliably detecting RTK/RAS/RAF pathway genomic lesions in impure tumor samples. Strikingly, 28/85 cases in the present study that were previously found to be negative for any RTK/RAS/RAF lesion by WES and RNA-seq pipelines were subsequently shown by our WGS analysis to harbor a somatic RTK/RAS/RAF driver. The high prevalence of overlooked *KRAS* mutations is explained in part by low tumor purity and/or decreased probe affinity for the GC-rich exons in *KRAS* during WES library preparation (Clark et al., 2011). The recent discovery of small molecules with activity against *KRAS* p.G12C LUAD (Canon et al., 2019; Ostrem et al., 2013) highlights the importance of the precise identification of mutations at that locus. However, many of the challenges could be overcome by higher read depth (e.g., >40 × minimal on-target coverage), which is now routinely achieved by some clinical-grade target capture assays (Goodman et al., 2017; Zehir et al., 2017). Nevertheless, the high rate of missed RTK/RAS/RAF lesions in our WGS cohort tells a cautionary tale: false-negative calls should always be considered even when working with high-quality datasets.

Eight of the 28 rescued RPA(+)<sub>G</sub> cases in our WGS cohort harbored cryptic SV lesions (protein-coding fusions, SCNAs), which represent alternative mutational mechanisms for



(in)activating RTK/RAS/RAF genes (e.g., *EGFR* BFBC, focal deletions of *NF1* and *RASA1*). WGS is naturally adapted to detect such complex or subtle structural alterations (Hadi et al., 2020). We leveraged that capability to identify a spectrum of SV patterns among the 57 RPA(-)<sub>G</sub> cases. Notably, 9/85 (11%) samples in the cohort harbored focal deletions in *STK11* that were undetected by WES. Alterations in genes such as *STK11* and *KEAP1* have come into focus as possible prognostic and/or predictive biomarkers in patients with lung cancer (Arbour et al., 2018; Skoulidis et al., 2018); the inclusion of full genomic capture probe sets for those genes may become necessary in the near future to identify accurately samples with alterations. Double minutes, the most prevalent SV type among complex SVs in the RPA(-)<sub>G</sub> cases have recently been implicated in genomic plasticity, oncogene selection, and chromatin evolution (Verhaak et al., 2019). Further studies analyzing larger cohorts will be valuable for dissecting the role that they may play in driving RPA(-) LUAD biology in greater detail.

If the 57 RPA(-)<sub>G</sub> cases identified in this study represent a distinct biological entity of RTK/RAS/RAF-independent LUADs, then what pathway drives them to proliferate? Do RPA(-) LUADs show distinct genetic or therapeutic vulnerabilities? Although our analyses have nominated candidate drivers in LUAD (e.g., *ILF2*), it is unclear how tumors harboring an alteration in such genes would phenocopy the proliferative effect of RTK/RAS/RAF alteration. Perhaps the frequent SV-driven loss of tumor suppressors (e.g., *STK11*) or amplification of genes operating downstream of RTK/RAS/RAF signaling (e.g., *MYC*), which we observed in our RPA(-)<sub>G</sub> LUADs, can cooperate to fill this missing role (Sears et al., 2000). It is also possible that a small subset of RPA(-) tumors are still RTK/RAS/RAF driven but activate the pathway through epigenetic dysregulation or genetic alterations in genes that are less frequently altered in LUAD, and therefore are not detected by the statistical methods used in this study. Alternatively, the biology of RPA(-) LUADs may resemble that of other cancer types in which RTK/RAS/RAF alterations are rarely seen and are marked by early *TP53* loss and high TMB; those tumor subtypes may take a different evolutionary path that is less dependent on the sustained proliferative signaling that RTK/RAS/RAF activation provides (Chen et al., 2019; Drosten et al., 2014; Salgueiro et al., 2020). Such a path may accumulate key genetic alterations in a different order than RPA(+) tumors (Lee et al., 2019), begin in an alternate cell of origin, or undergo lineage switching in the course of evolution.

Our findings suggest that RPA(-) LUAD is likely to represent a heterogeneous entity and that the WGS of much larger cohorts of RPA(-) and RPA(+) LUADs would be necessary to fully address the nature of their underlying biology.

## STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

## RESOURCE AVAILABILITY

**Lead contact**—Further information and requests for data or code generated in this study should be directed to and will be fulfilled by the Lead Contact, Marcin Imielinski (mai9037@med.cornell.edu).

**Materials availability**—This study did not generate new unique reagents.

**Data and code availability**—Whole-genome BAM files of 85 RPA(–) samples ([https://portal.gdc.cancer.gov/repository?facetTab=files&filters=%7B%22op%22%3A%22and%22%2C%22content%22%3A%5B%7B%22op%22%3A%22in%22%2C%22content%22%3A%7B%22field%22%3A%22cases.project.program.name%22%2C%22value%22%3A%5B%22TCGA%22%5D%7D%7D%2C%7B%22op%22%3A%22in%22%2C%22content%22%3A%7B%22field%22%3A%22cases.project.project\\_id%22%2C%22value%22%3A%5B%22TCGA-LUAD%22%5D%7D%7D%2C%7B%22op%22%3A%22in%22%2C%22content%22%3A%7B%22field%22%3A%22files.experimental\\_strategy%22%2C%22value%22%3A%5B%22WGS%22%5D%7D%7D%5D%7D](https://portal.gdc.cancer.gov/repository?facetTab=files&filters=%7B%22op%22%3A%22and%22%2C%22content%22%3A%5B%7B%22op%22%3A%22in%22%2C%22content%22%3A%7B%22field%22%3A%22cases.project.program.name%22%2C%22value%22%3A%5B%22TCGA%22%5D%7D%7D%2C%7B%22op%22%3A%22in%22%2C%22content%22%3A%7B%22field%22%3A%22cases.project.project_id%22%2C%22value%22%3A%5B%22TCGA-LUAD%22%5D%7D%7D%2C%7B%22op%22%3A%22in%22%2C%22content%22%3A%7B%22field%22%3A%22files.experimental_strategy%22%2C%22value%22%3A%5B%22WGS%22%5D%7D%7D%5D%7D)) and raw mutation/SV calls generated during this study are released to Genomic Data Commons (<https://gdc.cancer.gov/about-data/publications/TCGALUAD>). The controlled data access is covered in dbGaP with the accession number dbGaP: phs000178 ([https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study\\_id=phs000178.v10.p8](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000178.v10.p8)). All software packages used in the study are available to the public and links to sources can be found in the Key resources table and Method details. Other analysis scripts are available upon request. Experimental model and subject details

In this study, we used tumor and matched normal samples collected by the Cancer Genome Atlas (TCGA) Research Network with informed consent under their local Institutional Review Boards. DNA samples from 85 lung cancer cases were processed by whole-genome sequencing, with associated clinicopathologic data collected by the TCGA.

## METHOD DETAILS

**Sample selection and whole-genome sequencing**—Eighty-five samples were selected for WGS, among 118 previously whole-exome sequenced TCGA LUAD samples that were negative for 1) activating mutations in *KRAS*, *EGFR*, *BRAF*, *ERBB2*, *MET*, *RIT1*, *NRAS*, *RAF1*, *HRAS*, *ARAF*, *MAP2K1* and *SOS1*; 2) loss-of-function mutations in *NF1* and *RASA1*; 3) fusions in *ALK*, *ROS1*, *RET*, *MET* and *NTRK2*; and 4) amplification in *EGFR*, *ERBB2*, *KRAS*, *MET*, *FGFR1* and *MAPK1*. The same criteria were applied to re-identify RPA(–) samples in the WGS analysis, except that overexpression (defined as a z-score greater than 1.96 for gene expression among the full TCGA LUAD samples) was additionally required to qualify an amplification as an oncogenic driving event.

DNA was sequenced using the Illumina HiSeq platform. Paired-end sequencing reads were aligned to hg19 using BWA (v0.6.2) (Li and Durbin, 2009) aln and processed through NovoSort (v1.03.01) to mark PCR duplicates (<http://www.novocraft.com/products/novosort/>), then through GATK (v3.4) (McKenna et al., 2010) for indel realignment (jointly for the normal and tumor samples). Base quality scores were recalibrated with GATK.

**Identification of somatic mutations and SCNAs**—Somatic SNVs were called by MuTect (v1.1.7) (Cibulskis et al., 2013), Strelka (v1.0.14) (Saunders et al., 2012) and LoFreq (v2.1.3a) (Wilm et al., 2012). Somatic indels were called by Strelka, Pindel (v0.2.5) (Ye et al., 2009) and Scalpel (v0.5.3) (Narzisi et al., 2014). Variants called by only one of the three callers were filtered out. Final VCFs were formatted to pass the EBI validator (v 0.4.3)

([https://vcftools.github.io/perl\\_module.html](https://vcftools.github.io/perl_module.html)). Variants were annotated for their effect (non-synonymous coding, nonsense, etc.) using snpEff (Cingolani et al., 2012b) based on human genome annotations from ENSEMBL. We further annotated the variants using snpEff (Cingolani et al., 2012b), snpSift (Cingolani et al., 2012a) and GATK VariantAnnotator module with information from COSMIC (Sondka et al., 2018), 1000 Genomes Project (Auton et al., 2015), ExAC (Karczewski et al., 2017), CIViC (Griffith et al., 2017), and UniProt (UniProt Consortium, 2019). Non-coding mutations were further annotated by Funseq2 (Fu et al., 2014). Mutational signatures were analyzed using SignatureAnalyzer (Kim et al., 2016).

Data on genetic ancestry, genome double, aneuploidy, leukocyte fraction and other clinical features were downloaded from the Genomic Data Commons (<https://portal.gdc.cancer.gov/>). GISTIC 2.0 (Mermel et al., 2011) was used to identify significant SCNAs using copy number segments generated by Titan (Ha et al., 2014). High-level amplification was defined by  $\log_2$ -transformed copy number ratios  $> 1$ . To calculate the allelic fraction of *KRAS* mutations in WGS and WES, we applied a custom script counting reads supporting the altered alleles and the reference alleles, respectively (Carrot-Zhang and Majewski, 2017). Reads with based quality and mapping quality lower than 30 were removed.

#### **Identification of structural variations and genome graph reconstructions—**

Somatic aberrant junctions (i.e., pairs of strand-specific disconnected loci that form neo-adjacencies in the cancer genome) were identified by SvABA v1.1.3 (Wala et al., 2018), using the default setting for tumor-normal pairs. We then used JaBbA, a junction balance analysis (Hadi et al., 2020) to reconstruct a genome graph for each sample through the application of a maximum likelihood model to high-resolution binned (200bp) normalized read depth and unfiltered SvABA junctions (after exclusion of small ( $< 1$  kbp) deletion-like junctions). The read depth input to JaBbA was calculated as the ratio between tumor and normal sample's WGS read counts in all 200bp genomic bins, corrected for guanine/cytosine content and 100-mer mappability. Subsequently, we used Circular Binary Segmentation (Olshen et al., 2004) with alpha parameter at  $1 \times 10^{-5}$  to derive a primary segmentation for each sample. The segmentation was later combined with SvABA-identified aberrant junctions to build the genome graph. The affine mapping between read depth signal and integer copy number is dictated by the hyperparameters ploidy and purity. We used the published purity and ploidy values from the GDC (<https://portal.gdc.cancer.gov/>). Any sample missing from that resource was supplemented by Sequenza (Favero et al., 2015), based on the allelic read counts at germline heterozygous sites using Samtools (Li et al., 2009). A total of 13 types of simple and complex SV events were annotated and visualized using gGnome (<https://github.com/mskilab/gGnome>), with the same default parameters as described in Hadi et al. (2020). SV burden per sample was defined by the number of junctions of simple SVs in that sample. Genome graphs drawn in copy number ~genomic coordinates plots are made with gTrack (<https://github.com/mskilab/gTrack>) and gGnome (<https://github.com/mskilab/gGnome>).

**Oncoprints, mutation barplots, and expression quantiles**—Genomic alterations affecting genes in the cohort were plotted with ComplexHeatmap (Gu et al., 2016) with the aforementioned definitions for SNVs and CNAs. Tumor mutation burden was calculated by dividing the total number of SNVs in the eligible mutation calling region proposed in (Li, 2014) by the total width of these regions (2429.397 Mbp). Expression quantiles and density plots are made with the gene's RSEM (RNA-seq by Expectation Maximization) values of the full set of 507 LUAD RNA-seq in TCGA. Mutation barplots (“lollipop”) are made with trackViewer package (<http://bioconductor.org/packages/release/bioc/html/trackViewer.html>).

**Differential alteration frequencies between RPA(-)<sub>G</sub> and RPA(+)<sub>G</sub>**—The frequency of genomic alterations in various genes were compared between RPA(-)<sub>G</sub> and RPA(+)<sub>G</sub> using Fisher's exact tests with false discovery rate (FDR) threshold below 0.1. To maximize statistical power, we only considered the variant types that can be detected both through WES and WGS, and compared the frequency of alteration in the RPA(-)<sub>G</sub> group (N = 57) to the rest of all the TCGA LUAD samples with WES-based variant calls from the PanCanAtlas (N = 411, <https://api.gdc.cancer.gov/data/1c8cfe5f-e52d-41ba-94da-f15ea1337efc>). Same method was used for clinical or molecular features, including smoking history, age of diagnosis, leukocyte fraction, genome doubling, degree of aneuploidy, genetic ancestry, primary disease stage (data available through GDC) using Fisher's exact test or Wilcoxon's Rank test. TMB comparison was performed based on WES-defined TMB values using linear regression controlling for tumor purity.

**SCISSOR analysis**—RNA-seq BAM files for TCGA LUAD samples were used as input to SCISSOR (Choi et al., 2021) for analysis of structural changes in RNA transcripts. Briefly, SCISSOR is a statistical method for unsupervised screening of a range of structural alterations in RNA-seq data including alternative splicing, intron retention, *de novo* splice sites, intra-/intergenic deletions, and alternative transcription start/termination. For each gene under consideration, SCISSOR aims to identify anomalous shapes in expression profile by considering aligned short read data through a base-level read pileup. To identify such profile shape changes, it quantifies the level of abnormality of each sample using a projection depth approach (Dang and Serfling, 2010; Donoho and Gasko, 1992) and then uses the statistics from the cohort to detect and rank shape outliers. Using reference exon coordinates derived from UCSC hg19 known genes, we constructed base-resolution read coverage data including intronic parts from the BAM files. For *TP53*, *STK11*, and *SMARCA4*, SCISSOR identified shape changes where the aligned coverage shape is significantly different from the majority of samples with a significance level  $1 \times 10^{-4}$ . This process confirmed the effects of focal, exon deletions on RNA transcripts.

**Recurrence analysis of genomic alterations**—A gamma-Poisson regression framework (fishHook) that takes into account different confounders that affect the mutation count in a population was used (Imielinski et al., 2017). fishHook allows for defining the genomic region of interest, called the hypotheses set, to be used for recurrence analyses. For coding mutation analysis, models were fitted with gene bodies as the hypotheses set. Non-synonymous, missense and truncating mutations were tested separately. We also corrected for multiple hypotheses on 47 genes identified by prior genomic studies on LUAD

(Campbell et al., 2016; Cancer Genome Atlas Research Network, 2014; Imielinski et al., 2012). For non-coding mutation analysis, lung-specific ATAC-seq peaks (<https://gdc.cancer.gov/about-data/publications/ATACseq-AWG>) defining open chromatin regions (Corces et al., 2018) were used as the hypotheses set. Lift over was used to map hg38 coordinates to hg19. ATAC peaks occurring at least 2 of 44 LUAD samples were used, and peaks within 100bp distance were merged. The model was fitted with the following covariates of the neutral mutation density: 1) Fraction of heterochromatic regions in each query interval. Heterochromatin annotation obtained from chromHMM on A549 cell line from Epigenomics Roadmap (Kundaje et al., 2015); 2) Gene expression data for LUAD for A549 cell line; 3) GC content in reference genome; 4) Replication timing from normal human epidermal keratinocytes; 5) DNA accessibility annotation from DNase-seq for A549 cell line. Besides genome-wide hypotheses, we also tested within the subset of ATAC peaks that overlaps recurrently amplified regions (Campbell et al., 2016) and with putative target gene median RSEM > 10 among LUAD samples.

Associations between non-coding mutation and target gene expression are evaluated through fitting an ordinary linear model to log RSEM values with the non-coding mutation presence and amplification status of *ILF2* gene.

## QUANTIFICATION AND STATISTICAL ANALYSIS

All statistical tests are carried out using R (v3.6.1) and Bioconductor (v3.10) and listed within the figure legends and Results. Fisher's exact tests are executed with "fisher.test" function, Wilcoxon's Rank test with "wilcox.test," ordinary linear models with "lm."

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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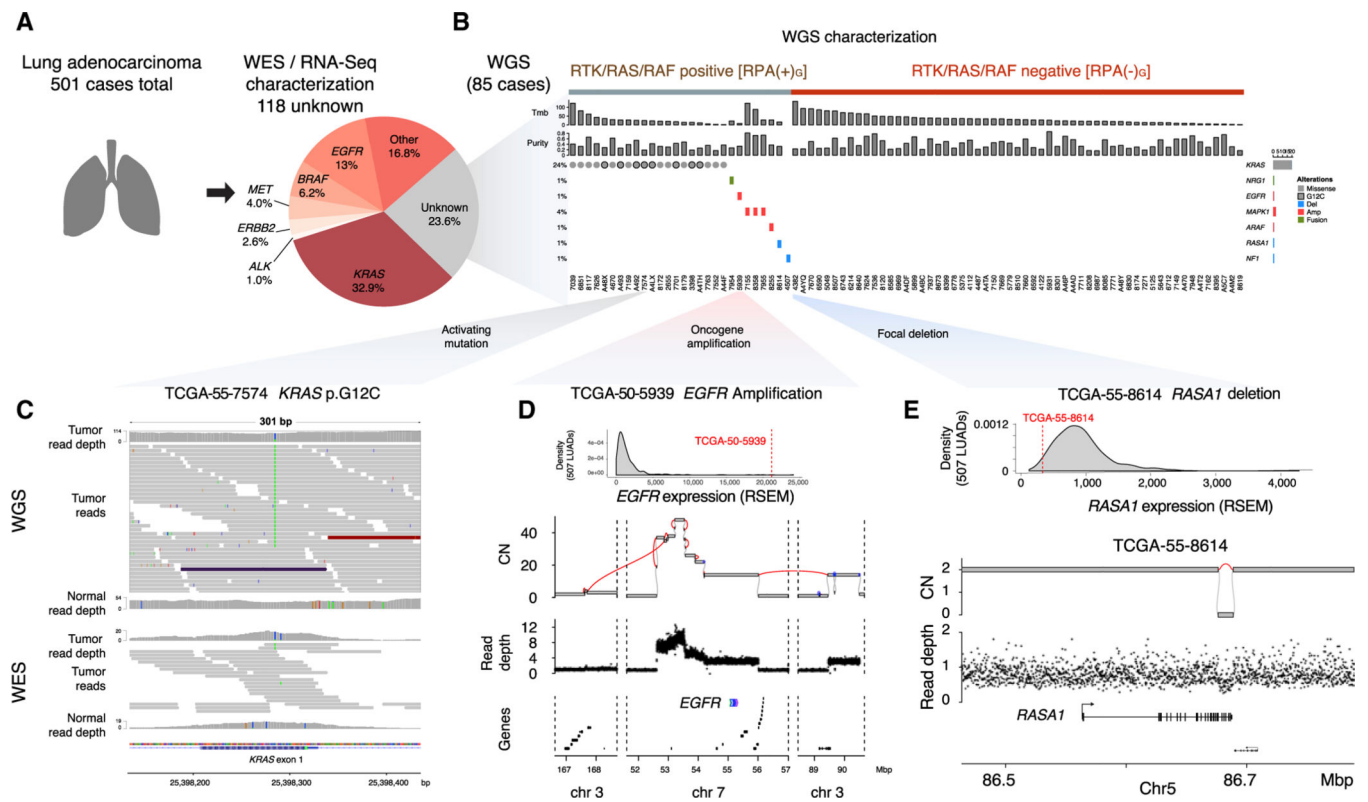


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### Highlights

- Whole-genome sequencing of LUAD(-) RPA reveals complex structural variations
- RPA(-) LUADs harbor focal deletions in tumor suppressors
- RPA(-) LUADs show elevated TMB and *TP53* mutation frequency
- *ILF2* promoter mutations are recurrent in RPA(-) LUADs



### Figure 1. Identification of RPA(-) LUADs

(A) Identification of 118 RPA(-)<sub>E</sub> LUAD cases from the 501 TCGA LUAD cohort, defined by WES or RNA-seq analysis. Eighty-five of the 118 samples were sent for WGS. The RTK/RAS/RAF pathway alterations used to define the RPA(+) or RPA(-) cases are listed in Table S1.

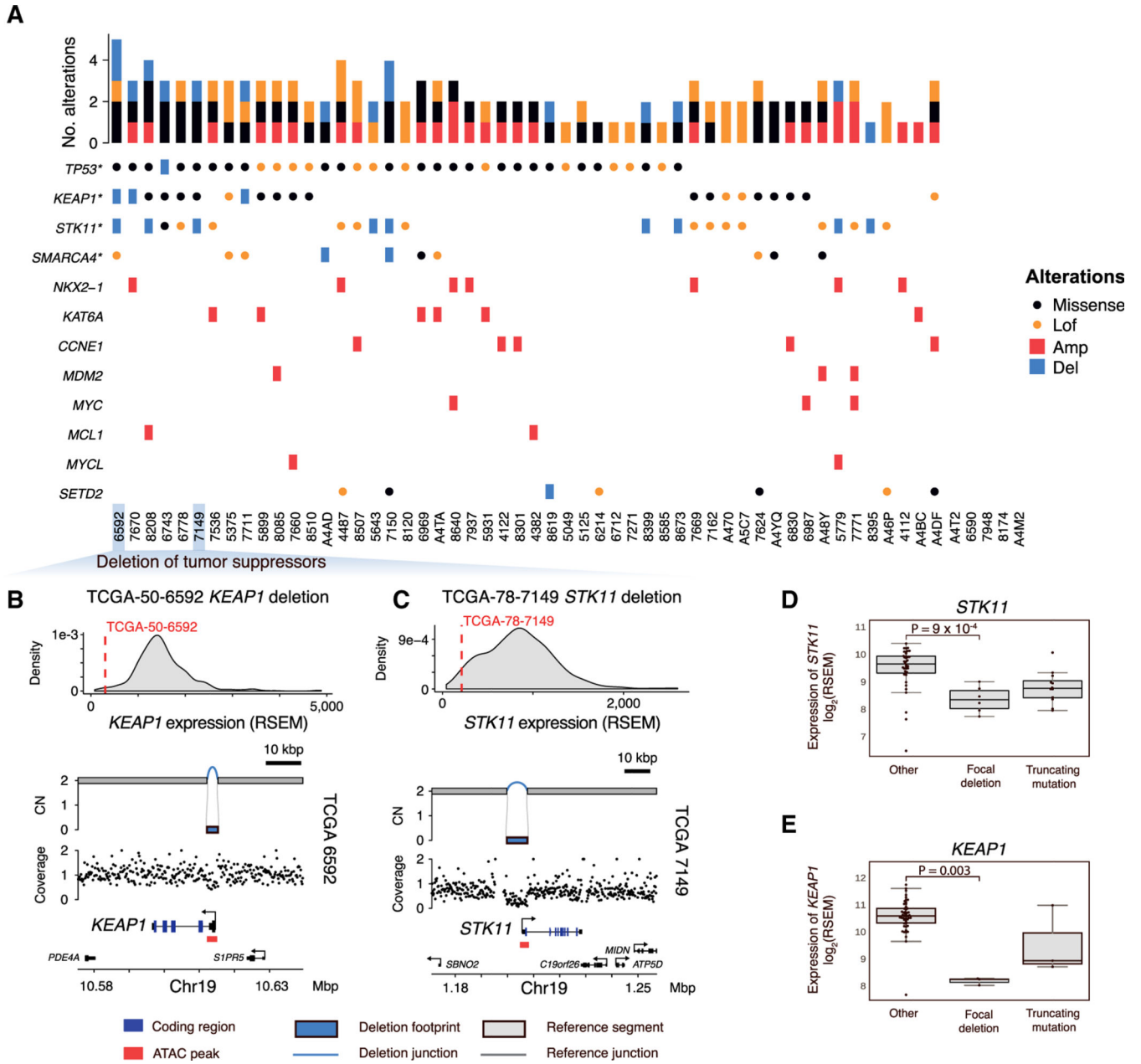
(B) WGS uncovered genomic alterations in the RTK/RAS/RAF pathway in 28/85 samples; 57/85 samples remain as RPA(-) after WGS analysis.

(C) Visualization of sequencing reads covering a *KRAS* p.G12C mutation in WGS (upper panel) and WES (lower panel) for sample (TCGA-55-7574). Both read depth and the number of reads supporting the mutation are higher in WGS than in WES.

(D) An example of *EGFR* amplification coupled with *EGFR* overexpression in TCGA-50-5939. In the second panel, purity-adjusted copy number and SV junctions (red lines) support a BFBC event underlying the amplification. Lower panels indicate WGS read depth and gene location in the region. CN, copy number.

(E) Example of a *RASA1* simple deletion spanning from exon 21 to the end of the gene (12 kbp) coupled with *RASA1* loss of expression in TCGA-55-8614.

See also Figure S1.

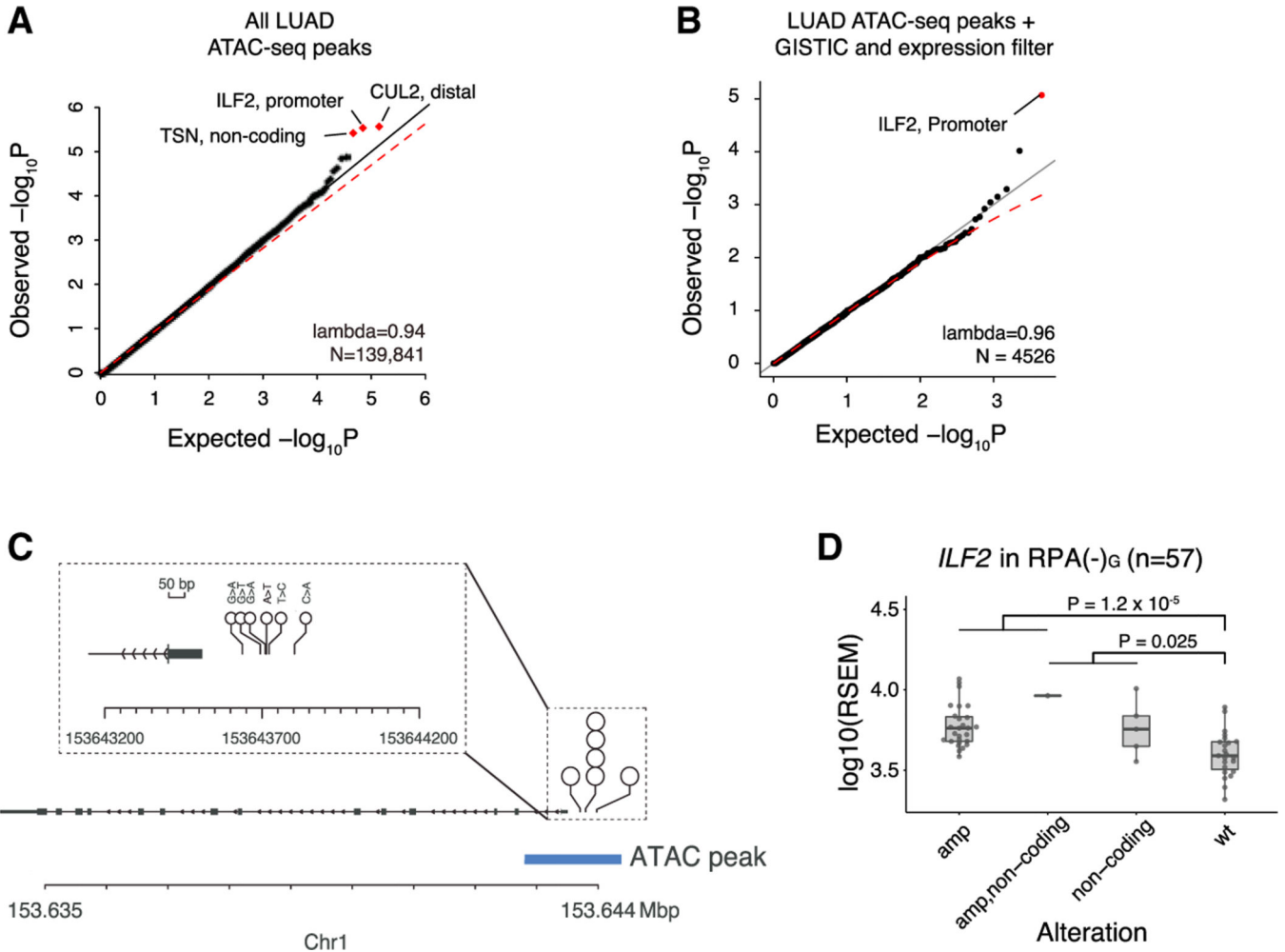


**Figure 2. Recurrent coding alterations in RPA(-)G LUADs**

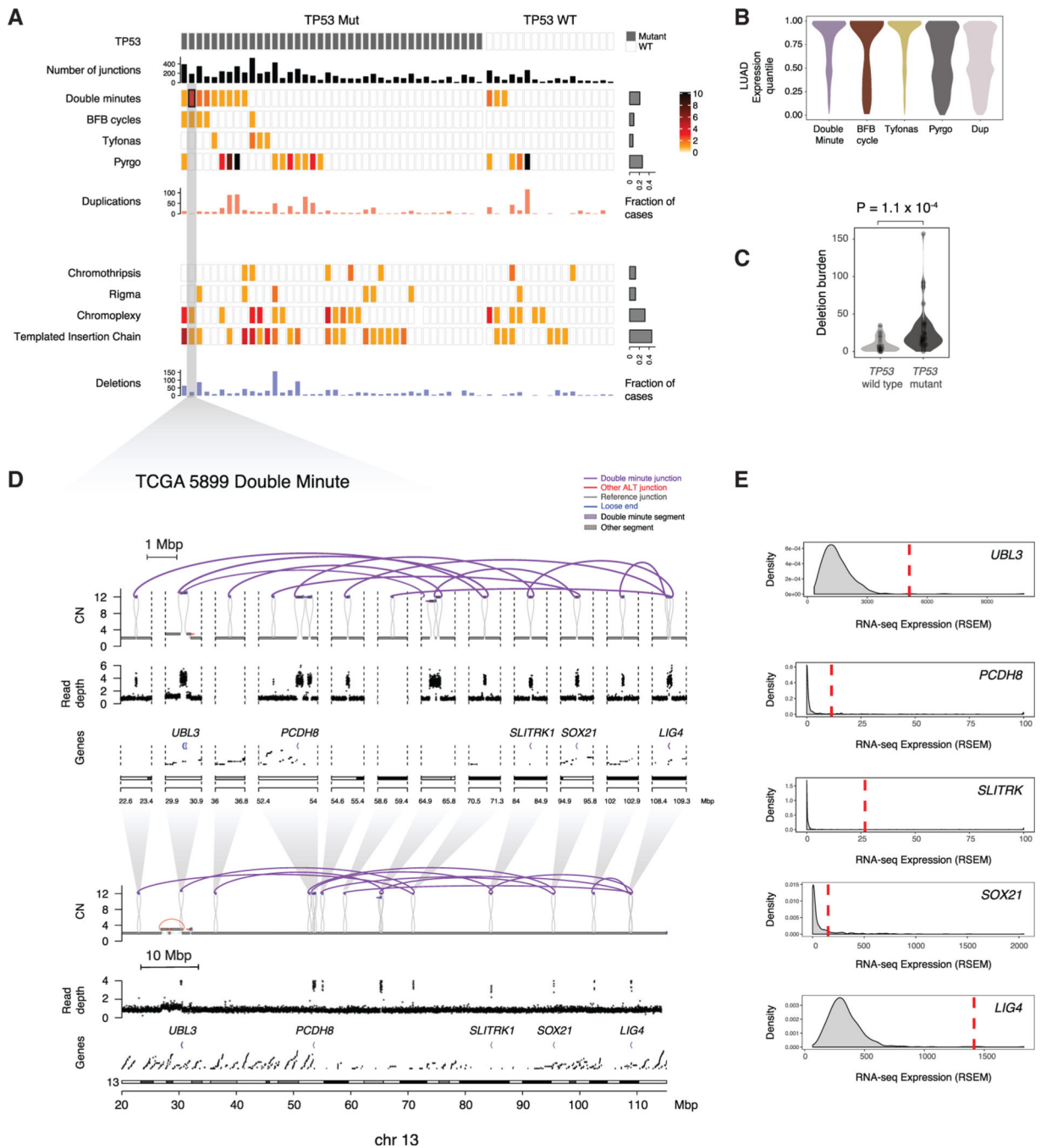
(A) Overview of genomic alterations in 57 RPA(-)G LUADs. Genes significantly mutated (\*) or significantly amplified/deleted in the RPA(-)G samples are listed.

(B and C) Example of *KEAP1* (3 kbp length) (B) and (C) *STK11* (8 kbp length) simple, homozygous deletion (CN = 0), resulting in loss of expression. The distribution of the *KEAP1* or *STK11* expression is plotted based on the full TCGA LUAD cohort.

(D) Expression comparison of samples with loss-of-function alterations in *STK11* and (E) *KEAP1* to other RPA(-)G LUAD samples. p values are calculated from Mann-Whitney *U* tests. Boxplots show median, interquartile range, and 1.5 times the interquartile range. See also Figure S1.



**Figure 3. Identification of *ILF2* promoter mutations in RPA(-)<sub>G</sub> LUADs**  
 (A) Three genes with non-coding mutations nominated through recurrence analysis across LUAD-related ATAC peaks (left). Red dots indicate loci with FDR < 0.25.  
 (B) Same as (A), but restricted to ATAC-seq peaks in genes with RSEM > 10 across TCGA LUAD and recurrently amplified in RPA(-)<sub>G</sub> samples. Red dots indicate FDR < 0.1.  
 (C) Among 57 RPA(-)<sub>G</sub> samples, 6 SNVs are observed in the promoter region of *ILF2*, all are located within ATAC-seq peaks.  
 (D) Expression comparison of RPA(-)<sub>G</sub> samples with *ILF2* promoter mutations and amplifications. p values are calculated from linear regression analysis correlating expression, adjusting for the local copy number of *ILF2* and purity. Boxplot shows median, interquartile range, and 1.5 times the interquartile range. See also Figure S3.



**Figure 4. Classification of SVs in RPA(-)G LUADs**

(A) Identification of simple and complex SV events. Upper panel: SVs resulting in copy-number gain (double minute, BFBC, tyfonas, pyrgo, simple duplication). Lower panel: SVs resulting in copy-number loss (chromothripsis, rigma, chromoplexy, templated insertion chain, simple deletion). Key indicates the range of event count of SV types observed in each sample.

(B) Expression quantile of genes located in SV types with copy-number gain.

(C) Simple deletion count is more significantly enriched in the *TP53* mutant RPA(-)<sub>G</sub> samples than in the *TP53*-wild-type RPA(-)<sub>G</sub> samples. p value is obtained from Mann-Whitney *U* test. Violin plots reflect kernel density estimations.

(D and E) Example of a double minute in TCGA-55-5899 spanning 3 genes (D), and (E) 2 of which (*UBL3* and *LIG4*) showed marked overexpression relative to RNA-seq data for the full TCGA LUAD cohort. See also Figure S4.

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## KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Deposited data		
TCGA somatic mutation, copy number, aneuploidy, genetic ancestry, clinical data	Genomic Data Commons; (Campbell et al., 2016; Carrot-Zhang et al., 2020)	<a href="https://gdc.cancer.gov/about-data/publications/pancanatlas">https://gdc.cancer.gov/about-data/publications/pancanatlas</a>
COSMIC	N/A	<a href="https://cancer.sanger.ac.uk/cosmic">https://cancer.sanger.ac.uk/cosmic</a>
1000 Genomes Project data	(Auton et al., 2015)	<a href="https://www.internationalgenome.org/">https://www.internationalgenome.org/</a>
ExAC	(Karczewski et al., 2017)	<a href="https://gnomad.broadinstitute.org/">https://gnomad.broadinstitute.org/</a>
CIVic	(Griffith et al., 2017)	<a href="https://civicdb.org/home">https://civicdb.org/home</a>
UniProt	(UniProt Consortium, 2019)	<a href="https://www.uniprot.org/">https://www.uniprot.org/</a>
TCGA ATAC-seq data	(Corces et al., 2018)	<a href="https://gdc.cancer.gov/about-data/publications/ATACseq-AWG">https://gdc.cancer.gov/about-data/publications/ATACseq-AWG</a>
TCGA normalized mRNA data	Genomic Data Commons	<a href="https://gdc.cancer.gov/about-data/publications/pancanatlas">https://gdc.cancer.gov/about-data/publications/pancanatlas</a>
Software and algorithms		
BWA v0.6.2	(Li and Durbin, 2009)	<a href="https://github.com/lh3/bwa">https://github.com/lh3/bwa</a>
NovoSort v1.03.01	N/A	<a href="http://www.novocraft.com/products/novosort/">http://www.novocraft.com/products/novosort/</a>
GATK v3.4	(McKenna et al., 2010)	<a href="https://github.com/broadinstitute/gatk">https://github.com/broadinstitute/gatk</a>
MuTect v1.1.7	(Cibulskis et al., 2013)	<a href="https://github.com/broadinstitute/mutect">https://github.com/broadinstitute/mutect</a>
Strelka v1.0.14	(Saunders et al., 2012)	<a href="https://github.com/Illumina/strelka">https://github.com/Illumina/strelka</a>
LoFreq v2.1.3a	(Wilm et al., 2012)	<a href="https://csb5.github.io/lofreq/">https://csb5.github.io/lofreq/</a>
Pindel v0.2.5	(Ye et al., 2009)	<a href="https://github.com/genome/pindel">https://github.com/genome/pindel</a>
Scalpel v0.5.3	(Narzisi et al., 2014)	<a href="https://github.com/hanfang/scalpel-protocol">https://github.com/hanfang/scalpel-protocol</a>
EBI validator v 0.4.3	N/A	<a href="https://github.com/EBIvariation/vcf-validator">https://github.com/EBIvariation/vcf-validator</a>
snpEff and snpSift	(Cingolani et al., 2012a, 2012b)	<a href="https://pcingola.github.io/SnpEff/">https://pcingola.github.io/SnpEff/</a>
Funseq2	(Fu et al., 2014)	<a href="https://github.com/khuranalab/FunSeq2_DC">https://github.com/khuranalab/FunSeq2_DC</a>
SignatureAnalyzer	(Kim et al., 2016)	<a href="https://github.com/broadinstitute/getzlab-SignatureAnalyzer">https://github.com/broadinstitute/getzlab-SignatureAnalyzer</a>
GISTIC 2.0	(Mermel et al., 2011)	<a href="http://portals.broadinstitute.org/cgi-bin/cancer/publications/pub_paper.cgi?mode=view&amp;paper_id=216&amp;p=t">http://portals.broadinstitute.org/cgi-bin/cancer/publications/pub_paper.cgi?mode=view&amp;paper_id=216&amp;p=t</a>
Titan	(Ha et al., 2014)	<a href="https://github.com/gavinha/TitanCNA">https://github.com/gavinha/TitanCNA</a>
SvABA	(Wala et al., 2018)	<a href="https://github.com/walaj/svaba">https://github.com/walaj/svaba</a>
JaBbA	(Hadi et al., 2020)	<a href="https://github.com/shyiko/jabba">https://github.com/shyiko/jabba</a>
Circular Binary Segmentation	(Olshen et al., 2004)	
Sequenza	(Favero et al., 2015)	<a href="https://cran.r-project.org/web/packages/sequenza/index.html">https://cran.r-project.org/web/packages/sequenza/index.html</a>
Samtools	(Li et al., 2009)	<a href="https://github.com/samtools">https://github.com/samtools</a>
gGnome	(Hadi et al., 2020)	<a href="https://github.com/mskilab/gGnome">https://github.com/mskilab/gGnome</a>
SCISSOR	(Choi et al., 2021)	<a href="https://github.com/hyochoi/SCISSOR">https://github.com/hyochoi/SCISSOR</a>
fishHook	(Imielinski et al., 2017)	<a href="https://github.com/mskilab/fishHook">https://github.com/mskilab/fishHook</a>