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# THE INFIMUM OF THE DUAL VOLUME OF CONVEX CO-COMPACT HYPERBOLIC 3-MANIFOLDS

#### FILIPPO MAZZOLI

ABSTRACT. We show that the infimum of the dual volume of the convex core of a convex co-compact hyperbolic 3-manifold with incompressible boundary coincides with the infimum of the Riemannian volume of its convex core, as we vary the geometry by quasi-isometric deformations. We deduce a linear lower bound of the volume of the convex core of a quasi-Fuchsian manifold in terms of the length of its bending measured lamination, with optimal multiplicative constant.

### Introduction

Let M be a complete hyperbolic 3-manifold, and let CM be its convex core, namely the smallest non-empty convex subset of M. Then M is said to be *convex co-compact* if CM is a compact subset. The notion of dual volume of the convex core  $V_C^*(M)$  arises from the polarity correspondence between the hyperbolic and the de Sitter spaces (see [Sch02, Section 1], [Maz20]). If M is a convex co-compact hyperbolic 3-manifold, then  $V_C^*(M)$  coincides with  $V_C(M) - \frac{1}{2}\ell_m(\mu)$ , where  $V_C(M)$  stands for the usual Riemannian volume of the convex core, and  $\ell_m(\mu)$  denotes the length of the bending measured lamination  $\mu$  with respect to the hyperbolic metric m of the boundary of the convex core of M. The aim of this paper is to study the infimum of  $V_C^*$ , considered as a function over the space  $\mathcal{QD}(M)$  of quasi-isometric deformations of a given convex co-compact hyperbolic 3-manifold M with incompressible boundary. In particular, we will prove

**Theorem A.** For every convex co-compact hyperbolic 3-manifold M with incompressible boundary we have

$$\inf_{M'\in\mathcal{QD}(M)}V_C^*(M')=\inf_{M'\in\mathcal{QD}(M')}V_C(M').$$

Moreover,  $V_C^*(M') = V_C(M')$  if and only if the boundary of the convex core of M' is totally geodesic.

When M is a quasi-Fuchsian manifold, Theorem A can be equivalently stated as

$$(1) V_C(M') \ge \frac{1}{2} \ell_{m'}(\mu')$$

for every  $M' \in \mathcal{QD}(M)$ , where  $\ell_{m'}(\mu')$  is the length of the bending measure of  $\partial CM'$ . As a consequence of the variation formulae of  $V_C$  [Bon98a] and of  $V_C^*$  [Maz21] (see also [KS09]), we will see in Corollary 4.1 that the multiplicative constant 1/2 appearing here is optimal, and it is realized near the Fuchsian locus.

Theorem A is to the dual volume as the following result of Bridgeman, Brock, and Bromberg is to the renormalized volume:

**Theorem** ([BBB19, Theorem 3.10]). For every convex co-compact hyperbolic 3-manifold M with incompressible boundary we have

$$\inf_{M'\in\mathcal{QD}(M)}V_R(M')=\inf_{M'\in\mathcal{QD}(M)}V_C(M').$$

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Moreover,  $V_R(M') = V_C(M')$  if and only if the boundary of the convex core of M is totally geodesic.

By the work of Thurston, if the compact 3-manfield with boundary  $N := M \cup \partial_{\infty} M$  is acylindrical, then there exists a unique convex co-compact structure  $M_0 \in \mathcal{QD}(M)$  whose convex core has totally geodesic boundary. In [Sto07] (see also [Sto02]) Storm proved that the infimum of the volume of the convex core function  $V_C: \mathcal{QD}(M) \to \mathbb{R}$  is equal to half the simplicial volume of the doubled manifold D(N). Moreover, the infimum is realized exactly when N is acylindrical, and it is achieved at  $M_0$ . Theorem A and [BBB19, Theorem 3.10] then imply that the same characterization holds true for the infimum of the dual volume and the renormalized volume, respectively. In the case of the renormalized volume  $V_R$ , such description of  $\inf V_R$  was first established by Pallete [Pal16], without making use of Storm's result. Bridgeman, Brock, and Bromberg [BBB21] recently introduced a notion of surgered gradient flow of the renormalized volume in the relatively acylindrical case, which allowed them to obtain new comparisons between the renormalized volume and the Weil-Petersson geometry of the deformation spaces of convex cocompact 3-manifolds, generalizing in particular the works of Brock [Bro03] and Schlenker [Sch13]. In the same work, a new proof of Storm's result in the acylindrical case is obtained by the authors as a biproduct of their analysis (see in particular [BBB21, Corollary 6.5]).

Dual volume, renormalized volume and Riemannian volume of the convex core are related by the following chain of inequalities:

$$V_C^*(M) := V_C(M) - \frac{1}{2}\ell_m(\mu) \le V_R(M) \le V_C(M) - \frac{1}{4}\ell_m(\mu) \le V_C(M).$$

Here the second inequality is due to Schlenker [Sch13], and the lower bound of  $V_R$  is proved in [BBB19, Theorem 3.7]. Observe in particular that Theorem A implies the aforementioned result [BBB19, Theorem 3.10] concerning the infimum of the renormalized volume. The request on M to have incompressible boundary is necessary, indeed it has been shown by Pallete [Pal19] that there exist Schottky groups with negative renormalized volume.

The proof of Theorem A we present here broadly follows the same strategy of the work of Bridgeman, Brock, and Bromberg [BBB19], with some necessary differences: the authors of [BBB19] interpret the renormalized volume as a function  $V_R$  over the Teichmüller space  $\mathcal{T}(\partial_\infty M)$  of the *conformal boundary at infinity* of M (by the works of Bers [Ber70], Maskit [Mas71], and Kra [Kra72]), and they estimate the difference  $|V_R - V_C|$  as one follows the (opposite of the) Weil-Petersson gradient flow of  $V_R$  on  $\mathcal{T}(\partial_\infty M)$ . In order to study the dual volume function, the analogy between the variation formula of the renormalized volume (see Krasnov and Schlenker [KS08, Lemma 5.8], or Section 1.6) and the dual Bonahon-Schläfli formula [Maz21] would tempt us to consider  $V_C^*$  as a function of the Teichmüller space  $\mathcal{T}(\partial CM)$ , seen as deformation space of *hyperbolic structures* on the boundary of the convex core of M. However, the hyperbolic structure on  $\partial CM$  is only conjecturally thought to provide a parametrization of the quasi-isometric deformation space of M. To avoid this difficulty, we rather focus our attention of a family of functions  $V_k^*$  approximating  $V_C^*$ , for which a similar procedure is possible.

Given k a real number in the interval (-1,0), we say that an embedded surface  $\Sigma_k \subset M$  is a k-surface if its first fundamental form (namely the restriction of the metric of M on the tangent space to  $\Sigma_k$ ) is a Riemannian metric with constant Gaussian curvature equal to k. Then, by the work of Labourie [Lab91], the complementary region of the convex core of M is foliated by k-surfaces, which converge to  $\partial CM$  as k goes to -1, and tend towards the conformal boundary at infinity  $\partial_{\infty} M$  as k goes to 0. The function  $V_k^*(M)$  is then defined to be the dual volume of the region  $M_k$  of M enclosed by its k-surfaces, one per each geometrically finite end of M. By the works of Labourie [Lab92a] and Schlenker [Sch06], the hyperbolic structures of the k-surfaces do provide a parametrization of  $\mathcal{QD}(M)$ , fact

that allows us to study  $V_k^*$  as a function over the Teichmüller space of  $\partial M_k$ . At this point, studying the Weil-Petersson gradient of  $V_k^*$  on  $\mathcal{T}(\partial M_k)$ , we prove that the difference between the dual volume and the standard volume of the regions  $M_k$  is well-behaved as one follows backwards the lines of the flow, and finally we deduce the statement of Theorem A by taking a limit for k that goes to -1. While the methods of [BBB19] for the study of the renormalized volume heavily rely on the relations between the geometry of the boundary of the convex core and the properties of the *Schwarzian at infinity* of  $\partial_{\infty}M$ , here we use a more analytical approach to determine the necessary bounds on the geometric quantities of the k-surfaces  $\partial_k M$  of M, which will guarantee us the existence and the good behavior of the flow of the Weil-Petersson gradient vector fields of  $V_k^*$ .

**Outline of the paper.** After the first section of background, we suggest the reader to initially move backwards (as for the flow of the gradient of the functions  $V_k^*$ ) while going through this exposition: in Section 4 the proof of Theorem A is described. Here the analogy with the work of Bridgeman, Brock, and Bromberg [BBB19] is manifest, the required technical ingredients (Lemma 3.4, Corollary 3.6 and Lemma 3.7) are formally very similar to the ones developed for the renormalized volume.

Section 3 focuses on the study of the Weil-Petersson gradient  $\operatorname{grad}_{WP}V_k^*$  of the dual volume functions  $V_k^*$  and the proofs of the ingredients mentioned above: in Lemma 3.4 we determine a lower bound of the norm of  $\operatorname{grad}_{WP}V_k^*$  in terms of the integral of the mean curvature of  $\partial M_k$  (which replaces the role of the length  $\ell_m(\mu)$  in the definition of the dual volume of the regions  $M_k$ ). In Corollary 3.6 we show that the flow of the vector field  $\operatorname{grad}_{WP}V_k^*$  is defined for all times, and in Lemma 3.7 we prove the existence of a global lower bound of the dual volumes  $V_k^*$  over  $\mathcal{QD}(M)$ . All the proofs of this section rely on differential-geometric methods and are consequences of an explicit description of the Weil-Petersson gradient of  $V_k^*$  developed in Proposition 3.2. This presentation of the vector field  $\operatorname{grad}_{WP}V_k^*$  is inspired by an orthogonal decomposition of the space of symmetric tensors due to Fischer and Marsden [FM75], and it involves the solution  $u_k$  of a simple PDE (equation (4)) over the k-surface  $\partial M_k$ . In particular, the proof of Corollary 3.6 will require us to have a uniform control of the  $\mathcal{C}^2$ -norm of the function  $u_k$ . Section 2 (and in particular Lemma 2.3) provides us this last ingredient, and it is essentially based on the classical regularity theory for linear elliptic differential operators (see e. g. [Eva98]), and on the following property of k-surfaces:

**Proposition** (see Proposition 2.1). For any  $k \in (0,1)$  and  $n \in \mathbb{N}$ , there exists a positive constant  $N_{k,n}$  such that for every convex co-compact hyperbolic 3-manifold M and for every incompressible k-surface  $\Sigma_k$  in M, the  $\mathscr{C}^n$ -norm of the mean curvature of  $\Sigma_k$  is bounded above by  $N_{n,k}$ .

The existence of such universal upper bound was proved (with weaker assumptions than the ones appearing above) by Bonsante, Danciger, Maloni, and Schlenker in [Bon+21, Proposition 3.8] for n = 0 (and the same strategy actually shows that the statement holds for any n), and its proof heavily relies on a compactness criterion for isometric immersions of surfaces established by Labourie [Lab91] (see also [Bon+21, Proposition 3.6]). As it will be manifest in the proof of Proposition 2.1, the constants  $N_{n,k}$  that we will produce are unfortunately not explicit.

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### 1. Preliminaries

1.1. **Hyperbolic** 3-manifolds. Let M be an orientable complete hyperbolic 3-manifold, namely a complete Riemannian 3-manifold with constant sectional curvature equal to -1, and let  $\Gamma$  be a discrete and torsion-free group of orientation-preserving isometries of the hyperbolic 3-space  $\mathbb{H}^3$ , such that M is isometric to  $\mathbb{H}^3/\Gamma$ . We define the *limit set* of  $\Gamma$  to be

$$\Lambda_{\Gamma} := \overline{\Gamma \cdot x_0} \cap \partial_{\infty} \mathbb{H}^3.$$

where  $\overline{\Gamma \cdot x_0}$  denotes the closure of the  $\Gamma$ -orbit of  $x_0$  in  $\overline{\mathbb{H}}^3 := \mathbb{H}^3 \cup \partial_\infty \mathbb{H}^3$ . It is simple to see that the definition of  $\Lambda_\Gamma$  does not depend on the choice of the basepoint  $x_0 \in \mathbb{H}^3$ . If  $\Gamma$  is non-elementary (i. e. it does not have any finite orbit in  $\overline{\mathbb{H}}^3$ ), then  $\Lambda_\Gamma$  can be characterized as the smallest closed  $\Gamma$ -invariant subset of  $\partial_\infty \mathbb{H}^3$  (see e. g. [Rat06, Chapter 12]). The complementary region  $\Omega_\Gamma$  of the limit set in  $\partial_\infty \mathbb{H}^3$  is called the *domain of discontinuity* of  $\Gamma$ .

1.2. **The convex core.** If  $\pi: \mathbb{H}^3 \to \mathbb{H}^3/\Gamma \cong M$  denotes the universal cover of M, then a subset C of M is *convex* if and only if  $\pi^{-1}(C)$  is convex in  $\mathbb{H}^3$ . If  $\Gamma$  is non-elementary, then every non-empty  $\Gamma$ -invariant convex subset of  $\mathbb{H}^3$  contains the *convex hull*  $C_{\Gamma}$  of  $\Gamma$ , which consists of the intersection of all half-spaces H of  $\mathbb{H}^3$  satisfying  $\overline{H} \supseteq \Lambda_{\Gamma}$  ( $\overline{H}$  stands for the closure of H in  $\overline{\mathbb{H}}^3$ ). The image  $CM := \pi(C_{\Gamma})$  describes a convex subset of M, called the *convex core* of M, which is minimal among the family of non-empty convex subsets of M.

Let now M be a *convex co-compact* hyperbolic 3-manifold, namely a non-compact complete hyperbolic 3-manifold whose convex core is compact. The boundary of the convex core  $\partial CM$  of M is the union of a finite collection of connected surfaces, each of which is totally geodesic outside a subset of Hausdorff dimension 1. As described in [CEM06], the hyperbolic metrics on the totally geodesic pieces "merge" together, defining a complete hyperbolic metric m on  $\partial CM$ . The locus where the boundary of the convex core is not flat is a geodesic lamination  $\lambda$ , i. e. a closed subset that is union of disjoint simple geodesics. The surface  $\partial CM$  is bent along  $\lambda$ , and the amount of bending can be described by a measured lamination  $\mu$ , called the *bending measure* of  $\partial CM$ . The  $\mu$ -measure along an arc k transverse to  $\lambda$  consists of an integral sum of the exterior dihedral angles along the leaves that k meets. By locally integrating the lengths of the leaves of the lamination in  $d\mu$ , we obtain the notion of length of the bending measure with respect to the hyperbolic structure m, which will be denoted by  $\ell_m(\mu)$ . For a more detailed description we refer to [CEM06, Section II.1.11], or [Bon88].

1.3. **Incompressible boundary.** When M is convex co-compact and  $\Gamma$  is a discrete and torsion-free subgroup of isometries of  $\mathbb{H}^3$  such that  $M \cong \mathbb{H}^3/\Gamma$ ,  $\Gamma$  acts freely and properly discontinuous on the domain of discontinuity  $\Omega_{\Gamma}$ , and the quotient of  $\mathbb{H}^3 \cup \Omega_{\Gamma}$  bt  $\Gamma$  determines a natural compactification of M, which will be denoted by  $\overline{M} = M \cup \partial_{\infty} M$ . Then M is said to have *incompressible boundary* if the inclusion  $S \to \overline{M}$  of each connected component S of  $\partial_{\infty} M$  induces an injection at the level of the fundamental groups. This implies in particular that any lift of the inclusion  $S \to \overline{M}$  to the universal covers  $\widetilde{S} \to \widetilde{\overline{M}}$  is a homeomorphism onto its image.

### 1.4. Constant Gaussian curvature surfaces.

**Definition 1.1.** Let S be an immersed surface inside a Riemannian 3-manifold N. The *first fundamental form I* of S is the Riemannian metric of S given by the restriction of the metric of S to the tangent spaces of S. If S admits a unitary normal vector field S is  $S \to T^1 N$ , we define its *shape operator B* to be the endomorphism of S given by S is S in S in S admits a unitary normal vector field S in S in S is S denotes the Levi-Civita connection of S. The trace of

the shape operator will be called the *mean curvature* of S, and the tensor  $II := I(B \cdot, \cdot)$  the *second fundamental form* of S.

Let  $\Sigma$  be a surface immersed in a hyperbolic 3-manifold M, with first and second fundamental forms I and II, and shape operator B. We denote by  $K_e$  its *extrinsic curvature*, i. e.  $K_e = \det B$ , and by  $K_i$  its *intrinsic curvature*, i. e. the Gaussian curvature of the Riemannian metric I. Then the Gauss-Codazzi equations of  $(\Sigma, I, II)$  can be expressed as follows:

$$K_i = K_e - 1,$$
 
$$(\nabla_U B)V = (\nabla_V B)U \quad \forall U, V,$$

where U and V are tangent vector fields to  $\Sigma$ , and  $\nabla$  is the Levi-Civita connection of the metric I.

**Definition 1.2.** Let  $\Sigma$  be an immersed surface inside a hyperbolic 3-manifold, and let  $k \in (-1,0)$ .  $\Sigma$  is a *k-surface* if its intrinsic curvature is constantly equal to k.

If  $\Sigma$  is a k-surface, then its extrinsic curvature  $K_e = k + 1$  is positive, since  $k \in (-1,0)$ . In particular,  $\Sigma$  is a (locally) strictly convex surface.

In every convex co-compact 3-manifold M, the subset  $M \setminus CM$  is the disjoint union of a finite number of geometrically finite hyperbolic ends  $(E_i)_i$ , each of which is homeomorphic to  $\Sigma_i \times (0, \infty)$ , for some compact orientable surface  $\Sigma_i$  of genus larger than or equal to 2. By the work of Labourie [Lab91], the sets  $E_i$  are foliated by embedded k-surfaces  $(\Sigma_{i,k})_k$ , with k that varies in (-1,0). The surfaces  $\Sigma_{i,k}$  approach the components of the pleated boundary  $\partial CM$  of the convex core of M as k goes to -1, and the components of conformal boundary at infinity  $\partial_\infty M$  as k goes to 0.

We will denote by  $M_k$  the compact region of M whose boundary  $\partial M_k$  consists of the union of the surfaces  $\bigcup_i \Sigma_{i,k}$ , and we will endow  $\partial M_k$  with the second fundamental form  $I_k$  defined by the normal vector field pointing towards  $\partial M_k$ , so that  $I_k$  is positive definite, and  $H_k$  is a positive function (observe that the eigenvalues of the shape operator have the same sign since  $K_e = \det B > 0$ ).

1.5. **Deformation spaces.** Let  $\Sigma$  be a compact orientable surface of genus larger than or equal to 2. The *Teichmüller space* of  $\Sigma$ , denoted by  $\mathcal{T}(\Sigma)$ , is the space of isotopy classes of hyperbolic metrics on  $\Sigma$ . Equivalently, in light of the Uniformization Theorem,  $\mathcal{T}(\Sigma)$  can be described as the space of isotopy classes of conformal structures over  $\Sigma$  (compatible with the choice of a fixed orientation on  $\Sigma$ ).

Since convex co-compact hyperbolic 3-manifolds are not closed, several different notions of deformation spaces can be introduced. In this exposition we will consider the *quasi-isometric* (or quasi-conformal) deformation space.

**Definition 1.3.** Given M, M' hyperbolic manifolds, a diffeomorphism  $M \to M'$  is a *quasi-isometric deformation* of M if it globally bi-Lipschitz. We denote by  $\mathcal{QD}(M)$  the space of quasi-isometric deformations of M, where we identify two deformations  $M \to M'$  and  $M \to M''$  if their pullback metrics are isotopic to each other.

Remark 1.4. By a Theorem of Thurston [Thu79, Proposition 8.3.4], two hyperbolic *n*-manifolds M and M' are quasi-isometric if and only if their fundamental groups  $\Gamma$ ,  $\Gamma'$  (as subgroups of the isometry group of  $\mathbb{H}^n$ ) are quasi-conformally conjugated, i. e. there exists a quasi-conformal self-homeomorphism  $\varphi$  of  $\partial_\infty \mathbb{H}^n$  such that  $\varphi \Gamma \varphi^{-1} = \Gamma'$ .

We denote by  $m_k(M) \in \mathcal{T}(\partial M_k) = \prod_i \mathcal{T}(\Sigma_i)$  the isotopy class of the hyperbolic metric  $(-k)I_k$ , where  $I_k$  is the first fundamental form of the k-surface  $\partial_k M$  of M. Then for every  $k \in (-1,0)$  we have maps

$$T_k: \quad \mathcal{QD}(M) \quad \longrightarrow \quad \mathcal{T}(\partial M_k)$$
 $M \quad \longmapsto \quad m_k(M).$ 

The convenience in considering foliations by k-surfaces relies in the following result, based on the works of Labourie [Lab92a] and Schlenker [Sch06]:

**Theorem 1.5.** If M has incompressible boundary the map  $T_k$  is a  $\mathscr{C}^1$ -diffeomorphism for every  $k \in (-1,0)$ .

In the non-incompressible case a similar statement can be recovered, replacing the role of the Teichmüller space  $\mathcal{T}(\partial M_k)$  with its quotient by the action of a suitable subgroup of the mapping class group of  $\partial M_k$  (see e. g. [Mar07, Theorem 5.1.3] for the corresponding statement concerning the conformal structure of the boundary at infinity).

As mentioned in the introduction, it is an open question, asked by W. P. Thurston, whether the same statement is true for the hyperbolic structures on the boundary of the convex core, which could be considered as the case k = -1 in Theorem 1.5. More precisely, the map  $T_{-1}$  is known to be continuously differentiable by [Bon98b], surjective by the work of Sullivan (described in [CEM06]), but there are no results concerning its injectivity.

1.6. **Dual volume.** Let *M* be a convex co-compact hyperbolic 3-manifold. If *N* is a compact convex subset of *M* with smooth boundary, we define the *dual volume* of *N* to be

$$V^*(N) := V(N) - \frac{1}{2} \int_{\partial N} H \, \mathrm{d}a,$$

where H stands for the mean curvature of  $\partial N$  defined using the inner normal vector field, and V(N) is the Riemannian volume of N. We refer to [Maz20] for a description of the relation between the notion of dual volume and the polarity correspondence between the hyperbolic and de Sitter spaces.

For every  $k \in (-1,0)$ , we set  $V_k^* \colon \mathcal{T}(\partial M_k) \to \mathbb{R}$  to denote the function that associates, with a hyperbolic structure  $m_k \in \mathcal{T}(\partial M_k)$ , the dual volume of the region  $\partial M_k'$  enclosed by the k-surfaces of the unique convex co-compact hyperbolic 3-manifold  $M' = T_k^{-1}(m_k)$  whose k-surfaces have hyperbolic structure  $m_k$ .

If  $(N_h)_h$  is a sequence of convex compact subsets approaching CM, then the integral of the mean curvature over  $\partial N_h$  approaches  $\ell_m(\mu)$ , the length of the bending measure  $\mu$  with respect to the hyperbolic structure of  $\partial CM$ . This suggests us to set the *dual volume of the convex core* of M as

$$V_C^*(M) := V(CM) - \frac{1}{2}\ell_m(\mu).$$

In [Maz21] a first order variation formula for the function  $V_C^*$  over  $\mathcal{QD}(M)$  is studied, called the *dual Bonahon-Schläfli formula*:

$$\mathrm{d}V_{C}^{*}\left(\dot{M}\right)=-\frac{1}{2}\,\mathrm{d}L_{\mu}\left(\dot{m}\right),$$

where  $\dot{m}$  denotes the first order variation of the hyperbolic metric on  $\partial CM$  along  $\dot{M}$ , and  $L_{\mu} : \mathcal{T}(\partial CM) \to \mathbb{R}$  is the function that associates with every hyperbolic structure m the length of the m-geodesic realization of  $\mu$ .

A strong similarity between dual and renormalized volumes is displayed by their variations formulae. The renormalized volume satisfies

$$\mathrm{d}V_R(\dot{M}) = -\frac{1}{2}\,\mathrm{d}\,\mathrm{ext}_{\mathcal{F}_\infty}(\dot{c}_\infty),$$

where  $\dot{c}_{\infty}$  denotes the first order variation of the conformal structure on  $\partial_{\infty}M$  along  $\dot{M}$ , and  $\exp_{\mathcal{F}_{\infty}}: \mathcal{T}(\partial_{\infty}M) \to \mathbb{R}$  is the function that associates with every conformal structure c the extremal length of the horizontal measured foliation of the Schwarzian at infinity of M with respect to c (see Schlenker [Sch17] for a proof of this relation).

1.7. **Norms on**  $TT(\Sigma)$ . First we introduce the necessary notation for the "Riemannian geometric tools" that will be used in the rest of the paper. Let (N,g) be a Riemannian manifold, and consider  $(e_i)_i$  a local g-orthonormal frame. Given T a symmetric 2-tensor on N, we define its g-divergence as the 1-form

$$(\operatorname{div}_g T)(X) := \sum_i ({}^g \nabla_{e_i} T)(e_i, X),$$

for every tangent vector field X. Similarly, the g-divergence of a vector field X is the function

$$\operatorname{div}_{g} X = \sum_{i} g({}^{g}\nabla_{e_{i}}X, e_{i}).$$

The Laplace-Beltrami operator can be expressed as  $\Delta_g f = \operatorname{div}_g \operatorname{grad}_g f$ . Given two symmetric tensors T, T', their scalar product is defined as

$$(T,T')_g := g^{ij} g^{hk} T_{ih} T'_{jk} = \operatorname{tr}(g^{-1} T g^{-1} T').$$

In particular, we set  $\operatorname{tr}_g T := (g,T)_g = \operatorname{tr}(g^{-1}T)$ . In the next sections it will also be useful to keep in mind the way that these operators change if with replace g with  $\lambda g$ , for some positive constant  $\lambda$ :

(2) 
$$\operatorname{div}_{\lambda g} T = \lambda^{-1} \operatorname{div}_{g} T, \quad \Delta_{\lambda g} f = \lambda^{-1} \Delta_{g} f, \quad \operatorname{d} a_{\lambda g} = \lambda^{n/2} \operatorname{d} a_{g},$$

(3) 
$$(T,T')_{\lambda g} = \lambda^{-2}(T,T')_g, \qquad \operatorname{tr}_{\lambda g} T = \lambda^{-1} \operatorname{tr}_g T,$$

if  $\dim N = n$ .

Let now  $\mathcal{M}$  be the set of Riemannian metrics on  $\Sigma$ , and let  $\mathcal{H}$  be the subset of the hyperbolic ones. The first order variations  $\dot{g}$  of elements of  $\mathcal{M}$  identify with smooth symmetric 2-tensors on  $\Sigma$ . The choice of a metric  $g \in \mathcal{M}$  determines a scalar product on  $T_g\mathcal{M}$ , which can be expressed as

$$(oldsymbol{\sigma}, au)_{FT,g} centcolon = \int_{\Sigma} (oldsymbol{\sigma}, au)_g \, \mathrm{d}a_g \, ,$$

where FT stands for Fischer-Tromba. We define  $S_2^{tt}(\Sigma,g)$  to be the space of those symmetric tensors  $\sigma$  that are traceless with respect to g (i. e.  $(\sigma,g)_g=0$ ) and g-divergence-free (i. e.  $\operatorname{div}_g \sigma=0$ , as defined above). Such tensors are also called *transverse traceless*. A simple way to characterize the space  $S_2^{tt}(\Sigma,g)$  is through *holomorphic quadratic differentials*. A holomorphic quadratic differential  $\phi$  on  $(\Sigma,g)$  is a  $\mathbb{C}$ -valued symmetric tensor that can be locally written as  $\phi=f\,\mathrm{d}z^2$ , where z is a local coordinate conformal to the metric g (and compatible with a given orientation), and f=f(z) is a holomorphic function. Transverse traceless tensors are exactly those 2-tensors that can be written as  $\mathrm{Re}\,\phi$ , for some  $\phi$  holomorphic quadratic differential on  $(\Sigma,h)$ .

It is shown in [Tro92] that, for every hyperbolic metric h,  $S_2^{tt}(\Sigma,h)$  coincides with

$$T_h \mathcal{H} \cap (T_h(\mathrm{Diff}_0(\Sigma) \cdot h))^{\perp},$$

where  $T_h(\mathrm{Diff_0}(\Sigma) \cdot h)$  is the tangent space to the orbit of h by the action of the group of diffeomorphisms of  $\Sigma$  isotopic to the identity, and  $(\cdot)^{\perp}$  is taken with respect to the scalar product  $(\cdot, \cdot)_{FT,h}$  on  $T_h\mathcal{M}$ . Therefore, if m = [h] denotes the isotopy class of a hyperbolic metric on  $\Sigma$ , we can identify  $S_2^{tt}(\Sigma,h)$  with  $T_m\mathcal{T}(\Sigma)$ , the tangent space at m to Teichmüller space  $\mathcal{T}(\Sigma) = \mathcal{H}/\mathrm{Diff_0}(\Sigma)$ , seen as the space of isotopy classes of hyperbolic metrics on  $\Sigma$ . Moreover, the restriction of the scalar product  $(\cdot, \cdot)_{FT,h}$  to  $S_2^{tt}(\Sigma,h)$  coincides with (a multiple of) the Weil-Petersson metric  $\langle \cdot, \cdot \rangle_{WP}$  (see Lemma 1.6 for the explicit multiplicative constant).

The Teichmüller space can also be endowed with another Finsler norm that arises from its conformal (or quasi-conformal) interpretation, namely the *Teichmüller norm*. The Teichmüller norm  $\|\cdot\|_{\mathcal{T}}$  of a tangent vector  $\dot{m} \in T_m \mathcal{T}(\Sigma)$  is the infimum of the  $L^{\infty}$ -norms of

the Beltrami differentials representing  $\dot{m}$ . It is not difficult to see that the Beltrami differential associated to the tangent direction  $2 \operatorname{Re} \phi$  coincides with  $v_{\phi}$ , the *harmonic Beltrami differential* associated to  $\phi$  (see e. g. [GL00] for a detailed description of these notions, and [Maz22, Lemma 1.2] for a direct computation of this relation). Moreover, the  $L^{\infty}$ -norm of  $v_{\phi}$  can be computed as follows

$$\|v_{\phi}\|_{\infty} = \frac{1}{\sqrt{2}} \sup_{\Sigma} \|\operatorname{Re} \phi\|_{h}.$$

We summarize what we observed in the following Lemma:

**Lemma 1.6.** For every hyperbolic metric h representing the isotopy class  $m \in \mathcal{T}(\Sigma)$ , the tangent space  $T_m\mathcal{T}(\Sigma)$  identifies with  $S_2^{tt}(\Sigma,h)$ . For every  $\dot{m} \in T_m\mathcal{T}(\Sigma)$  we have

$$\|\dot{m}\|_{WP} = \frac{1}{\sqrt{2}} \|\operatorname{Re} \phi\|_{FT,h},$$
  
$$\|\dot{m}\|_{\mathcal{T}} \le \frac{1}{\sqrt{2}} \sup_{\Sigma} \|\operatorname{Re} \phi\|_{h},$$

where  $\phi$  is a holomorphic quadratic differential such that  $2\operatorname{Re}\phi$  represents  $\dot{m}$  inside  $S_2^{tt}(\Sigma,h)$ .

### 2. Some useful estimates

In this section we determine estimates for the solution  $u_k$  of a certain linear PDE, defined over a k-surface lying inside an end of a convex co-compact hyperbolic 3-manifold with incompressible boundary. The function  $u_k$  will be later used to describe the Weil-Petersson gradient of the dual volume functions  $V_k^*$ , and the bounds produced in this section will play an important role in the study of its flow.

Given (N,g) a Riemannian manifold with Levi-Civita connection  ${}^g\nabla$  and area form  $\mathrm{d} a_g$ , we denote by  $H^n(N,\mathrm{d} a_g)$  the Sobolev space of real-valued functions f on N with  $L^2(N,\mathrm{d} a_g)$ -integrable weak derivatives  $({}^g\nabla)^i f$  for all  $i\leq n$ . The space  $H^n(N,\mathrm{d} a_g)$  is Hilbert if endowed with the scalar product

$$(f,f') := \sum_{i=0}^n \int_N (({}^g \nabla)^i f, ({}^g \nabla)^i f')_g \, \mathrm{d}a_g, \qquad f,f' \in H^n(N,\mathrm{d}a_g),$$

where  $(\cdot,\cdot)_g$  denotes the scalar product induced by g on the space of i-tensors over N. Given  $f: N \to \mathbb{R}$  a  $\mathcal{C}^n$ -function, we define its  $\mathcal{C}^n(N,g)$ -norm as

$$||f||_{\mathscr{C}^n(N,g)} := \sum_{i=0}^n \sup_{p \in N} \left\| ({}^g \nabla)^i f \right|_p \right\|_g,$$

where  $||T||_g = \sqrt{(T,T)_g}$ .

Let now  $h_k$  denote the hyperbolic metric  $(-k)I_k$  on the k-surface  $\partial M_k$ , with Levi-Civita connection  ${}^k\nabla$  and Laplace-Beltrami operator  $\Delta_k$  (here we consider  $\Delta_k u$  to be the trace of the Hessian of u). We define the linear differential operator  $L_k$  to be

$$L_k u := (\Delta_k - 2 \mathbb{1}) u = \Delta_k u - 2u.$$

Let A be the symmetric bilinear form on  $H^1(\partial M_k, da_k)$  with quadratic form

$$A(u,u) := -(L_k u, u) = \int_{\Sigma} (\|\mathrm{d}u\|_k^2 + 2u^2) \,\mathrm{d}a_k,$$

where  $\|\cdot\|_k$  and  $\mathrm{d}a_k$  denote the norm and the area form of  $h_k$ , respectively. By the Lax-Milgram's theorem (see e. g. [Bre11, Corollary 5.8]) applied to the Sobolev space  $H^1(\partial M_k, \mathrm{d}a_k)$  and to the coercive symmetric bilinear form A we have that, for every  $f \in L^2(\partial M_k, \mathrm{d}a_k)$ , there exists a unique weak solution  $u \in H^1(\partial M_k, \mathrm{d}a_k)$  of the equation  $L_k u = f$ . We will in particular denote by  $u_k$  the function satisfying

$$(4) L_k u_k = -k^{-1} H_k \Leftrightarrow \Delta_{I_k} u_k + 2k u_k = H_k,$$

where  $H_k$  denotes the mean curvature of the k-surface  $\partial M_k$ . By the classical regularity theory for linear elliptic PDE's (see e. g. [Eva98, Section 6.3]), the smoothness of the mean curvature  $H_k$  and the compactness of  $\partial M_k$  imply that the function  $u_k$  is smooth and it is a strong solution of equation (4).

By the work of Rosenberg and Spruck [RS94, Theorem 4], for every Jordan curve c in  $\partial_{\infty}\mathbb{H}^3$  there exist exactly two k-surfaces  $\widetilde{\Sigma}_k^{\pm}(c)$  asymptotic to c. A fundamental property of k-surfaces, which will crucial in Lemma 2.3, is described by the following Proposition.

**Proposition 2.1** ([Bon+21, Proposition 3.8]). Let  $k \in (-1,0)$  and  $n \in \mathbb{N}$ . Then there exists a constant  $N_{k,n} > 0$  such that, for every Jordan curve c in  $\partial_{\infty}\mathbb{H}^3$ , the mean curvature  $H_{c,k}$  of the k-surface  $\widetilde{\Sigma}_k(c) = \widetilde{\Sigma}_k^+(c) \sqcup \widetilde{\Sigma}_k^-(c)$  asymptotic to c satisfies

$$||H_{c,k}||_{\mathscr{C}^n(\widetilde{\Sigma}_k(c))} \leq N_{n,k}.$$

*Proof.* We briefly recall here the proof of this statement (which was stated in [Bon+21] for n = 0). k-surfaces satisfy the following compactness criterion:

**Proposition 2.2** ([Bon+21, Proposition 3.6]). Let  $k \in (-1,0)$ , and consider  $f_n : \mathbb{H}^2_k \to \mathbb{H}^3$  a sequence of proper isometric embeddings of the hyperbolic plane  $\mathbb{H}^2_k$  with constant Gaussian curvature k. If there exists a point  $p \in \mathbb{H}^2$  such that  $(f_n(p))_n$  is precompact, then there exists a subsequence of  $(f_n)_n$  that converges  $\mathscr{C}^{\infty}$ -uniformly on compact sets to an isometric immersion  $f : \mathbb{H}^2_k \to \mathbb{H}^3$ .

Fixed  $k \in (-1,0)$  and  $n \in \mathbb{N}$ , assume by contradiction that there exists a sequence of Jordan curves  $(c_m)_m$  such that the mean curvatures  $H_m = H_{c_m,k}$  of the k-surfaces  $\widetilde{\Sigma}_k(c_m)$  satisfy  $\|H_m\|_{\mathscr{C}^n(\widetilde{\Sigma}_k(c_m))} > m$ . Up to extracting a subsequence, there exists an  $i \leq n$  such that for every  $m \in \mathbb{N}$ 

$$\sup_{\widetilde{\Sigma}_k(c_m)} \left\| ({}^k \nabla)^i H_m \right\| > \frac{m}{n+1} = C_n m.$$

Now choose  $q_m \in \widetilde{\Sigma}_k(c_m)$  for which the norm of  $({}^k\nabla)^i H_m$  at  $q_m$  is  $\geq C_n m$ . Since each component of  $\widetilde{\Sigma}_k(c_m)$  is embedded and isometric to the hyperbolic plane  $\mathbb{H}^2_k$  (which is homogeneous), we can find a sequence of proper isometric embeddings  $f_m \colon \mathbb{H}^2_k \to \mathbb{H}^3$ , parametrizing a component of  $\widetilde{\Sigma}_k(c_m)$ , such that  $f_m(\bar{p}) = q_m$  for some fixed basepoint  $\bar{p} \in \mathbb{H}^2_k$ . Up to post-composing  $f_m$  by an isometry of  $\mathbb{H}^3$ , we can also assume that  $f_m(\bar{p}) = \bar{q}$  is fixed. In this way, we have found a sequence of proper isometric embeddings  $f_m \colon \mathbb{H}^2_k \to \mathbb{H}^3$  satisfying

- $f_m(\bar{p}) = \bar{q} \in \mathbb{H}^3$  is independent of  $m \in \mathbb{N}$ ;
- the mean curvature of the surfaces  $f_m(\mathbb{H}^2_k)$  at  $\bar{q}$  has some *i*-th order derivative that is unbounded as m goes to  $\infty$ .

This clearly contradicts the compactness criterion mentioned above.

From this result we can now obtain a uniform control on  $u_k$ :

**Lemma 2.3.** Let M be a convex co-compact hyperbolic 3-manifold. Then the function  $u_k : \partial M_k \to \mathbb{R}$ , solution of (4), satisfies

$$\frac{\max_{\partial M_k} H_k}{2k} \le u_k \le \frac{\min_{\partial M_k} H_k}{2k} = \frac{\sqrt{k+1}}{k} < 0.$$

Moreover, if M has incompressible boundary, then there exists a constant  $C_k > 0$  depending only on the intrinsic curvature  $k \in (-1,0)$ , and in particular not on the hyperbolic structure of M, such that

$$\max_{\partial M_k} \left\| {}^k \nabla^2 u_k \right\|_k \le C_k.$$

*Proof.* The first assertion is an immediate consequence of the maximum principle applied to  $u_k$  as a solution of the PDE (4). Moreover, since the product of the principal curvatures (i. e. the eigenvalues of the shape operator) of a k-surface is everywhere equal to k+1, the trace of the shape operator is bounded from below by  $2\sqrt{k+1}$  (see Remark 2.5 for an explanation of the equality  $\min_{\partial M_k} H_k = 2\sqrt{k+1}$ ).

The proof of the second part of the assertion requires more care. Let  $\Sigma_k$  be a connected component of the k-surface  $\partial M_k$ , and let  $\widetilde{M} \cong \mathbb{H}^3$  denote the universal cover of M. Since M is a convex co-compact hyperbolic 3-manifold with incompressible boundary, every component  $\widetilde{\Sigma}_k$  of the preimage of  $\Sigma_k$  in  $\widetilde{M}$  is stabilized by a subgroup  $\Gamma \cong \pi_1(\Sigma_k)$  of the fundamental group of M, acting by isometries on  $\widetilde{M}$ . Each of these subgroups  $\Gamma$  is quasi-Fuchsian (see e. g. [Kap09, Corollary 4.112 and Theorem 8.17] for a proof of this assertion), and the surface  $\widetilde{\Sigma}_k$  is a k-surface asymptotic to some Jordan curve in  $\partial_\infty \widetilde{M} \cong \partial_\infty \mathbb{H}^3$ . In particular, by Proposition 2.1, we can find a universal constant  $N_k = N_{2,k} > 0$  that satisfies

$$\|\tilde{H}_k\|_{\mathscr{C}^2(\widetilde{\Sigma}_k)} \le N_k.$$

Here we stress that the constant  $N_k$  does not depend on the hyperbolic structure of M, or  $\Sigma_k$ , but only on the value of  $k \in (-1,0)$ .

Our goal is now to make use of this control to obtain a uniform bound of the norm of the Hessian of  $u_k$ . For this purpose, we will need the following classical result of regularity for linear elliptic differential equations:

**Theorem 2.4** ([Eva98, Theorem 2, page 314]). Let  $m, n \in \mathbb{N}$  and  $U \subset \mathbb{R}^n$  a bounded open set. We consider a differential operator L of the form:

$$Lf = -\sum_{i,j=1}^{n} a^{ij}(x) \, \partial_{x_i,x_j}^2 f + \sum_{i=0}^{n} b^i(x) \, \partial_{x_i} f + c(x) f,$$

where  $a^{ij}=a^{ji},b^i,c\in\mathscr{C}^{m+1}(U,\mathbb{R})$ . Assume that L is uniformly elliptic, i. e. there exists a constant  $\varepsilon>0$  such that  $\sum_{i,j}a^{ij}(x)v_iv_j\geq \varepsilon\|v\|^2$  for all  $v\in\mathbb{R}^n$  and  $x\in U$ . If  $f\in H^1(U)$  is a weak solution of the equation  $Lf=\lambda$ , for some  $\lambda\in H^m(U)$ , then for every bounded open set V with closure contained in U, there exists a constant C, depending only on m, U, V and the functions  $a^{ij},b^i,c$ , such that

$$\|f\|_{H^{m+2}(V)} \leq C(\|\lambda\|_{H^m(U)} + \|f\|_{L^2(U)}),$$

where the Sobolev spaces  $H^{m+2}(V)$ ,  $H^m(U)$ , and  $L^2(U)$  are defined with respect to the Euclidean metric of  $U \subset \mathbb{R}^n$ .

The surface  $\widetilde{\Sigma}_k$  endowed with the lift of the hyperbolic metric  $h_k$  of  $\Sigma_k$  is isometric to the hyperbolic plane  $\mathbb{H}^2$ . In the rest of the proof, we will identify  $\widetilde{\Sigma}_k$  with the Poincaré disk model  $\mathbb{H}^2 := (B_1, g)$ , where  $B_1$  is the Euclidean ball of radius 1 and center 0 in  $\mathbb{C}$ , and g is the Riemannian metric

$$g = \left(\frac{2}{1 - |z|^2}\right)^2 |\mathrm{d}z|^2.$$

Now we choose U and V to be the g-geodesic balls of center  $0 \in B_1$  and hyperbolic radius equal to 2 and 1, respectively. The lift of the operator  $-L_k$  over U is clearly uniformly elliptic, because of the compactness of  $\overline{U}$  and its expression in coordinates:

$$-L_k f = -g^{ij}(\partial_{ij}^2 f - \Gamma_{ij}^h(g) \partial_h f) + 2f,$$

where  $\Gamma_{ij}^h(g)$  denote the Christoffel symbols of g. Again by the compactness of  $\overline{U}$  and  $\overline{V}$ , the norms of the Sobolev spaces  $\|\cdot\|_{H^j(U)}$  and  $\|\cdot\|_{H^j(V)}$ , computed with respect to the flat connection of  $B_1 \subset \mathbb{R}^2$  and the Euclidean volume form, are equivalent to the norms of the corresponding Sobolev spaces defined using the Levi-Civita connection of g and the g-volume form. Moreover, the bi-Lipschitz constants involved in the equivalence only

depend on a bound of the  $\mathscr{C}^{j+1}$ -norm of g over U, therefore they can be chosen to depend only on  $j \in \mathbb{N}$ . From now on, we will always consider the norms on the spaces  $H^j(U)$  and  $H^j(V)$  to be defined using the metric g and its connection.

Now we apply Theorem 2.4 to m=n=2, the operator  $-L_k$  and the functions  $f=\tilde{u}_k$ ,  $\lambda=-k^{-1}\tilde{H}_k$ , where  $\tilde{F}$  denotes the lift of the function F over  $\widetilde{\Sigma}_k$ : we can find a universal constant C>0 (depending only on the open sets U,V, that we chose once for all, and on the metric  $g|_U$ ) such that:

$$\|\tilde{u}_k\|_{H^4(V)} \le C(-k^{-1}\|\tilde{H}_k\|_{H^2(U)} + \|\tilde{u}_k\|_{L^2(U)}).$$

By the first part of Lemma 2.3,  $\|\tilde{u}_k\|_{\mathscr{C}^0(U)} \leq -(2k)^{-1} \|\tilde{H}_k\|_{\mathscr{C}^0(\mathbb{H}^2)}$ . In addition, we have

$$\|\tilde{u}_k\|_{L^2(U)} \leq \operatorname{Area}(U,g)^{1/2} \|\tilde{u}_k\|_{\mathscr{C}^0(U)} \leq -(2k)^{-1} \operatorname{Area}(U,g)^{1/2} \|\tilde{H}_k\|_{\mathscr{C}^0(\mathbb{H}^2)},$$

and

$$\|\tilde{H}_k\|_{H^2(U)} \le \text{Area}(U,g)^{1/2} \|\tilde{H}_k\|_{\mathscr{C}^2(\mathbb{H}^2)}.$$

In conclusion, we deduce that

$$\|\tilde{u}_k\|_{H^4(V)} \le -2k^{-1}C\operatorname{Area}(U,g)^{1/2}\|\tilde{H}_k\|_{\mathscr{C}^2(\mathbb{H}^2)}.$$

By the Sobolev embedding theorem (see e. g. [Bre11, Corollary 9.13, page 283]), given W an open set satisfying  $0 \in W \subset \overline{W} \subset V$ , the  $\mathscr{C}^2(W)$ -norm of  $\tilde{u}_k$  (again, computed with respect to the Levi-Civita connection of g) is controlled by a multiple of its  $H^4$ -norm over V, and the multiplicative factor depends only on W and V. Therefore, if we choose for instance  $W = B_{\mathbb{H}^2}(0,1/2)$  we get:

$$\|^k \nabla^2 \tilde{u}_k\|_{\mathscr{C}^0(W)} \leq C'(k) \|\tilde{H}_k\|_{\mathscr{C}^2(\mathbb{H}^2)}.$$

Now the desired statement easily follows. From relation (5) and the last inequality, we obtain a uniform bound of the Hessian of  $\tilde{u}_k$  over  $W \ni 0$ . Let now q be any other point of  $\mathbb{H}^2$ , and choose a g-isometry  $\varphi_q \colon B_1 \to B_1$  such that  $\varphi_q(0) = q$ . If we replace  $\tilde{u}_k$  and  $\tilde{H}_k \circ \varphi_q$  and  $\tilde{H}_k \circ \varphi_q$ , respectively, the exact same argument above applies, since the operator  $L_k$  and the norms  $\|\cdot\|_{H^j}$ ,  $\|\cdot\|_{\mathscr{C}^l}$  are invariant under the action of the isometry group of  $\mathbb{H}^2$  (and since  $\|\tilde{H}_k\|_{\mathscr{C}^2(\mathbb{H}^2)} = \|\tilde{H}_k \circ \varphi_q\|_{\mathscr{C}^2(\mathbb{H}^2)}$ ). In particular, this gives us a control of the norm of  ${}^k\nabla^2\tilde{u}_k$  over  $\varphi_q(W)$  for any point  $q \in \mathbb{H}^2$ , and the last part of our assertion follows.

Remark 2.5. The minimum of the mean curvature  $2\sqrt{k+1}$  is always realized. As described by Labourie in [Lab92b], whenever we have a k-surface  $\Sigma_k$  with first and second fundamental forms  $I_k$  and  $I_k$ , respectively, the identity map id:  $(\Sigma_k, I_k) \to (\Sigma_k, I_k)$  is harmonic, with Hopf differential  $\psi_k$  satisfying

$$2\operatorname{Re}\psi_k = I_k - \frac{H_k}{2(k+1)}I_k.$$

Its squared norm with respect to  $I_k$  can be expressed as follows

$$\|2\operatorname{Re}\psi_k\|_{I_k}^2 = \frac{H_k^2 - 4(k+1)}{(k+1)^2}.$$

In particular, at each zero of  $\psi_k$  (which necessarily exist because  $\chi(\Sigma_k) < 0$ ) we have  $H_k = 2\sqrt{k+1}$ .

We stress that, even if the maximum of the mean curvature  $H_k$  will clearly depend on the hyperbolic structure of M, Proposition 2.1 guarantees that  $\max H_k$  is controlled by a function of k independent on the geometry of M, as long as  $\partial M$  is incompressible.

We will make use of the upper bound  $u_k \le \frac{\sqrt{k+1}}{k}$  in Lemma 3.4, where we will determine a lower bound of the Weil-Petersson norm of the differential of  $V_k^*$  in terms of the integral of the mean curvature.

### 3. The gradient of the dual volume

The aim of this section is to describe the gradient of the dual volume function  $V_k^*$  with respect to the Weil-Petersson metric on the Teichmüller space of  $\partial M_k$  in terms of the function  $u_k$  studied in the previous section.

The first order variation of the dual volume of  $M_k$  as we vary the convex co-compact hyperbolic structure of M can be computed applying the *differential Schläfli formula* due to Rivin and Schlenker [RS00]. In particular, we have:

### **Proposition 3.1.**

$$\begin{split} \operatorname{d}(V_k^* \circ T_k) \left( \dot{M} \right) &= \frac{1}{4} \int_{\partial M_k} (\dot{I}_k, \mathbb{I}_k - H_k I_k)_{I_k} \operatorname{d}a_{I_k} \\ &= \frac{1}{4} \int_{\partial M_k} (\dot{h}_k, \mathbb{I}_k + k^{-1} H_k h_k)_{h_k} \operatorname{d}a_{h_k}, \end{split}$$

where  $\dot{I}_k = -k^{-1}\dot{h}_k$  is the first order variation of the first fundamental form on  $\partial M_k$  along the variation  $\dot{M}$ , and  $T_k \colon \mathcal{QD}(M) \to \mathcal{T}(\partial M_k)$  is the diffeomorphism introduced in Section 1.5.

A proof of this relation based on the result of Rivin and Schlenker can be found in [Maz21, Proposition 2.5]. From its variation formula, we can give an explicit description of the Weil-Petersson gradient of the dual volume function  $V_k^*$ , which will turn out to be useful for the study of its flow.

**Proposition 3.2.** The vector field  $\operatorname{grad}_{WP}V_k^*$  is represented by the symmetric 2-tensor  $2\operatorname{Re}\phi_k$ , where  $\phi_k$  is the (unique) holomorphic quadratic differential satisfying

$$\operatorname{Re} \phi_k = I I_k - {}^k \nabla^2 u_k + u_k h_k,$$

where  $u_k$  denotes the solution of equation (4).

*Proof.* Let  $\dot{m}_k$  denote a tangent vector to the Teichmüller space of  $\partial M_k$  at  $m_k$ . As described in Section 1.5, given any hyperbolic metric  $h_k$  representing the isotopy class  $m_k \in \mathcal{T}(\partial M_k)$ , we can find a unique transverse traceless tensor  $\dot{h}_k \in S_2^{tt}(\Sigma, h_k)$  representing  $\dot{m}_k$ . Assume for a moment that we can find a decomposition of the symmetric tensor  $I_k + k^{-1}H_kh_k$  of the following form:

$$\mathbf{I}_k + k^{-1}H_k h_k = S_{tt} + \mathcal{L}_X h_k + \lambda h_k,$$

where  $S_{tt}$  is a transverse traceless tensor with respect to  $h_k$ ,  $\mathcal{L}_X h_k$  is the Lie derivative of  $h_k$  with respect to a vector field X, and  $\lambda$  is a smooth function on  $\partial M_k$ . Then, by Proposition 3.1, we could express the variation of the dual volume  $V_k^*$  along a transverse traceless variation  $\dot{h}_k$  as follows:

$$dV_k^*(\dot{h}_k) = \frac{1}{4} \int_{\partial M_k} (\dot{h}_k, S_{tt} + \mathcal{L}_X h_k + \lambda h_k)_{h_k} da_{h_k}.$$

Since  $\dot{h}_k$  is traceless, the scalar product  $(\dot{h}_k, h_k)_{h_k} = \operatorname{tr}_{h_k}(\dot{h}_k)$  vanishes identically. Moreover, the  $L^2$ -scalar product between  $\dot{h}_k$  and  $\mathcal{L}_X h_k$  vanishes too, because  $\mathcal{L}_X h_k$  is tangent to the orbit of  $h_k$  by the action of Diff<sub>0</sub>( $\Sigma$ ) (see Section 1.7). In particular, we must have

$$dV_k^*(\dot{h}_k) = \frac{1}{4} \int_{\partial M_k} (\dot{h}_k, S_{tt})_{h_k} da_{h_k} = \frac{1}{8} (\dot{h}_k, 2S_{tt})_{FT, h_k}.$$

In light of Lemma 1.6, by varying the tangent vector  $\dot{m}_k \in T_{m_k} \mathcal{T}(\partial M_k)$ , we deduce that the tensor  $2S_{tt}$  is the element of  $S_2^{tt}(\Sigma, h_k)$  that represents  $\operatorname{grad}_{WP} V_k^*$ .

In conclusion, this argument shows us that, in order to prove our assertion, we need to determine a decomposition of the tensor  $I_k + k^{-1}H_kh_k$  of the form we described above,

with  $S_{tt} = II_k - {}^k\nabla^2 u_k + u_k h_k$ . For this purpose, we consider the following expression:

$$\begin{split} I\!\!I_k + k^{-1} H_k \, h_k &= (I\!\!I_k - {}^k \nabla^2 u_k + u_k \, h_k) + {}^k \nabla^2 u_k + (k^{-1} H_k - u_k) \, h_k \\ &= (I\!\!I_k - {}^k \nabla^2 u_k + u_k \, h_k) + \frac{1}{2} \mathcal{L}_{\operatorname{grad}_{h_k} u_k} h_k + (k^{-1} H_k - u_k) \, h_k, \end{split}$$

where we used the relation  $\mathcal{L}_{\operatorname{grad}_{h_k}u_k}h_k=2^k\nabla^2u_k$ . In this expression, the second term of the sum is of the type  $\mathcal{L}_Xh_k$ , while the third term has the form  $\lambda\,h_k$ . Therefore, by the argument above, it is enough to show that the first term is  $h_k$ -traceless and  $h_k$ -divergence-free. The trace of  $I_k - {}^k\nabla^2u_k + u_k\,h_k$  satisfies

$$\operatorname{tr}_{h_k}(I\!\!I_k-{}^k\nabla^2u_k+u_k\,h_k)=-k^{-1}H_k-\Delta_ku_k+2u_k.$$

This expression vanishes because  $u_k$  is a solution of equation (4). In order to compute the divergence of our tensor, we will need the following relations:

$$\operatorname{div}_{h_k} I\!\!I_k = -k^{-1} \operatorname{d} H_k, \qquad \operatorname{div}_g({}^g \nabla^2 f) = \operatorname{d}(\Delta_g f) + \operatorname{Ric}_g(\operatorname{grad}_g f, \cdot).$$

The first equality follows from the Codazzi equation  $({}^k\nabla_X B_k)Y = ({}^k\nabla_Y B_k)X$  satisfied by the shape operator  $B_k$  of  $\partial M_k$  (the Levi-Civita connections of  $h_k$  and the first fundamental form  $I_k$  are the same, since they differ by a multiplicative constant). The second relation is true for any Riemannian metric g, and we will apply it in the case  $g = h_k$  and  $f = u_k$ . Since  $h_k$  is a hyperbolic metric on a 2-manifold, we have  $\mathrm{Ric}_{h_k} = -h_k$ . Therefore

$$\operatorname{div}_{h_k}(\mathbf{I}_k - \nabla_k^2 u_k + u_k h_k) = -k^{-1} dH_k - d(\Delta_k u_k) + du_k + du_k$$
$$= d(-k^{-1}H_k - \Delta_k u_k + 2u_k),$$

where we used the relation  $\operatorname{div}_g(fg) = \operatorname{d} f$ . Again, the expression above vanishes because  $u_k$  solves equation (4). Then we have shown that  $I_k - {}^k \nabla^2 u_k + u_k h_k$  is a transverse traceless tensor, as desired.

Remark 3.3. In fact, the decomposition we presented for the tensor  $I_k + k^{-1}H_kh_k$  is related to the orthogonal decomposition of the space of symmetric tensors due to Fischer and Marsden [FM75]. Given g a hyperbolic metric, every symmetric tensor S admits an orthogonal decomposition of the following form:

$$S = S_{tt} + \mathcal{L}_X g + ((-\Delta_g f + f)g + {}^g \nabla^2 f),$$

where:

- $S_{tt}$  is transverse traceless with respect to g;
- $S_{tt} + \mathcal{L}_X g$  is tangent to the space of Riemannian metrics with constant Gaussian curvature equal to -1. In other words, if  $g' \mapsto K(g')$  denotes the operator that associates to the Riemannian metric g' its Gaussian curvature, then  $S_{tt} + \mathcal{L}_X g \in \ker dK_g$ ;
- $(-\Delta_g \ddot{f} + f)g + {}^g \nabla^2 f$  lies in the  $L^2$ -orthogonal of kerd $K_g$ .

Then, the expression

$$\mathbf{I}_k + k^{-1} H_k h_k = (\mathbf{I}_k - {}^k \nabla^2 u_k + u_k h_k) + 0 + ((k^{-1} H_k - u_k) h_k + {}^k \nabla^2 u_k) 
= (\mathbf{I}_k - {}^k \nabla^2 u_k + u_k h_k) + 0 + ((-\Delta_k u_k + u_k) h_k + {}^k \nabla^2 u_k)$$

is the Fischer-Marsden decomposition of  $I_k + k^{-1}H_k h_k$ , where  $f = u_k$ , X = 0 and  $S_{tt} = (I_k - {}^k\nabla^2 u_k + u_k h_k)$ .

Using this explicit description of the Weil-Petersson gradient of the dual volume function  $V_k^*$ , we can determine a lower bound of its norm in terms of the integral of the mean curvature:

**Lemma 3.4.** For every  $k \in (-1,0)$  we have

$$\|\mathrm{d}V_k^*\|_{WP}^2 \ge -\frac{\sqrt{k+1}}{2k} \int_{\partial M_k} H_k \, \mathrm{d}a_{I_k} - \frac{2\pi(k+1)}{k^2} |\chi(\partial M)|.$$

*Proof.* In what follows, we will prove the following expression:

(6) 
$$\|\mathbf{I}_k - \nabla_k^2 u_k + u_k h_k\|_{I_k}^2 = k u_k H_k - 2(k+1) + \operatorname{div}_{I_k} W,$$

for some tangent vector field W on  $\partial M_k$ . Assuming for the moment this relation, we deduce that

(Prop. 3.2 and Lemma 1.6) 
$$\|dV_k^*\|_{WP}^2 = \frac{1}{2} \int_{\partial M_k} \|\text{Re}\,\phi_k\|_{h_k}^2 \, da_{h_k}$$
$$= \frac{1}{2} \int_{\partial M_k} (-k)^{-2} \|\text{Re}\,\phi_k\|_{I_k}^2 \, (-k) \, da_{I_k}$$
$$= -\frac{1}{2k} \int_{\partial M_k} (ku_k H_k - 2(k+1)) \, da_{I_k},$$

where we used that  $h_k = (-k)I_k$  and relations (2), (3), and that the integral of the term  $\operatorname{div}_{I_k} W$  vanishes by the divergence theorem. By Lemma 2.3, we have  $u_k \leq \frac{\sqrt{k+1}}{k}$ , therefore we obtain

$$\|\mathrm{d}V_k^*\|_{WP}^2 \ge -\frac{\sqrt{k+1}}{2k} \int_{\partial M_k} H_k \, \mathrm{d}a_{I_k} - \frac{2\pi(k+1)}{k^2} |\chi(\partial M)|,$$

where we applied the Gauss-Bonnet theorem to say that the area of  $\partial M_k$  with respect to  $I_k$  is equal to  $-2\pi k^{-1}|\chi(\partial M)|$ .

The only ingredient left to prove is relation (6). For this computation, we will use the *Bochner's formula* (see e. g. [Lee18, page 223]):

$$(7) \qquad \frac{1}{2}\Delta_{g}\|\mathbf{d}f\|_{g}^{2} = \|{}^{g}\nabla^{2}f\|_{g}^{2} + g(\operatorname{grad}_{g}f,\operatorname{grad}_{g}\Delta_{g}f) + \operatorname{Ric}_{g}(\operatorname{grad}_{g}f,\operatorname{grad}_{g}f),$$

and the following expressions:

(8) 
$$\operatorname{div}_{g}(fX) = g(\operatorname{grad}_{g} f, X) + f \operatorname{div}_{g} X,$$

(9) 
$$\frac{1}{2}(\mathcal{L}_X g, T)_g = -(\operatorname{div}_g T)(X) + \operatorname{div}_g Y,$$

where X is a tangent vector field, f is a smooth function, T is a symmetric 2-tensor, and  $Y = T(X, \cdot)^{\sharp}$  is the vector field defined by requiring that g(Y, Z) = T(X, Z) for all vector fields Z. From now on, we will omit everywhere the dependence of the connections, norms, gradients, and the Laplace-Beltrami operator on the Riemannian metric g, and everything has to be interpreted as associated to  $g = I_k$ . Observe also that the Levi-Civita connection of  $I_k$  and  $I_k$  are equal, since these metrics differ by the multiplication by a constant and, in particular, the  $I_k$ - and  $I_k$ -Hessians coincide. Then we have:

(10) 
$$\|\mathbf{I}_{k} - \nabla^{2} u_{k} + u_{k} h_{k}\|^{2} = \|\mathbf{I}_{k} - \nabla^{2} u_{k} - k u_{k} I_{k}\|^{2}$$

$$= \|\mathbf{I}_{k}\|^{2} + \|\nabla^{2} u_{k}\|^{2} + k^{2} u_{k}^{2} \|I_{k}\|^{2} - 2(\mathbf{I}_{k}, \nabla^{2} u_{k}) +$$

$$- 2k u_{k}(\mathbf{I}_{k}, I_{k}) + 2k u_{k}(\nabla^{2} u_{k}, I_{k}).$$

First we focus our attention on the terms  $\|\nabla^2 u_k\|^2$  and  $(I_k, \nabla^2 u_k)$ . In order to simplify the notation, we say that two functions a and b on  $\partial M_k$  are equal "modulo divergence", and we write  $a \equiv_{\text{div}} b$ , if their difference coincides with the divergence of some smooth vector

field. Then we have:

$$(\mathcal{L}_{\operatorname{grad}_g f} g = 2^g \nabla^2 f) \qquad (\mathcal{I}_k, \nabla^2 u_k) = \frac{1}{2} (\mathcal{I}_k, \mathcal{L}_{\operatorname{grad} u_k} I_k)$$

$$(\operatorname{relation}(9)) \qquad \qquad \equiv_{\operatorname{div}} - (\operatorname{div} \mathcal{I}_k) (\operatorname{grad} u_k)$$

$$(\operatorname{div} \mathcal{I}_k = \operatorname{d} H_k) \qquad \qquad = -\langle \operatorname{grad} H_k, \operatorname{grad} u_k \rangle$$

$$(\operatorname{relation}(8)) \qquad \qquad = -\operatorname{div}(H_k \operatorname{grad} u_k) + H_k \Delta u_k$$

$$\equiv_{\operatorname{div}} H_k \Delta u_k.$$

The other terms in equation (10) are simpler to handle. In particular we have:

$$||\mathbf{I}_{k}||^{2} = H_{k}^{2} - 2(k+1),$$

$$||I_{k}||^{2} = 2,$$

$$(\mathbf{I}_{k}, I_{k}) = H_{k},$$

$$(\nabla^{2} u_{k}, I_{k}) = \Delta u_{k}.$$

Replacing all the relations we found in equation (10), we obtain:

$$\begin{aligned} \left\| \mathbf{I}_{k} - \nabla^{2} u_{k} + u_{k} h_{k} \right\|^{2} &\equiv_{\text{div}} H_{k}^{2} - 2(k+1) + \Delta u_{k} (\Delta u_{k} + k u_{k}) + 2k^{2} u_{k}^{2} + \\ &- 2H_{k} \Delta u_{k} - 2k u_{k} H_{k} + 2k u_{k} \Delta u_{k} \end{aligned}$$

$$= H_{k}^{2} - 2(k+1) + 2k^{2} u_{k}^{2} - 2k u_{k} H_{k} + \\ &+ \Delta u_{k} (\Delta u_{k} + 3k u_{k} - 2H_{k})$$

Finally, by replacing the expression of  $\Delta u_k = \Delta_{I_k} u_k$  from equation (4) in the equality above, we find that:

$$\|I_k - \nabla^2 u_k + u_k h_k\|^2 \equiv_{\text{div}} k u_k H_k - 2(k+1),$$

which is equivalent to relation (6).

Since the Weil-Petersson metric of the Teichmüller space is non-complete, a control from above of the quantity  $\| dV_k^* \|_{WP}$  would not suffice to guarantee the existence of the flow for every time. For this purpose, we rather study the  $L^{\infty}$ -norm of the Beltrami differentials equivalent to  $\operatorname{grad}_{WP}V_k^*$ , which gives a control with respect to the Teichmüller metric (that is complete). At this point, the estimates determined in Lemma 2.3 will play an essential role.

**Proposition 3.5.** There exists a constant  $D_k > 0$  depending only on the intrinsic curvature  $k \in (-1,0)$  such that

$$\|\operatorname{grad}_{WP} V_k^*\|_{\mathcal{T}} \leq D_k$$

where  $\|\cdot\|_{\mathcal{T}}$  denotes the Teichmüller norm on  $T\mathcal{T}(\partial M_k)$ .

*Proof.* Let  $m_k$  be a point of the Teichmüller space  $\mathcal{T}(\partial M_k)$  and let  $h_k$  be a hyperbolic metric in the isotopy class  $m_k$ . In Proposition 3.2, we showed that the vector field  $\operatorname{grad}_{WP} V_k^*$  at a

point  $m_k \in \mathcal{T}(\partial M_k)$  is represented by the transverse traceless tensor  $2 \operatorname{Re} \phi_k \in S_2^{tt}(\partial M_k, h_k)$ . Therefore by Lemma 1.6 we have

$$\|\operatorname{grad}_{WP} V_k^*\|_{\mathcal{T}} \leq \frac{1}{\sqrt{2}} \sup_{\partial M_k} \|\operatorname{Re} \phi_k\|_{h_k}.$$

Therefore it is enough to show that the norm  $\|I_k - {}^k\nabla^2 u_k + u_k h_k\|_{h_k}$  is uniformly bounded by a constant depending only on k. The norm of  $I_k$  is equal to  $-k^{-1}\sqrt{H_k^2 - 2(k+1)}$ , and  $\|u_k h_k\|_{h_k} = \sqrt{2} |u_k|$ . Therefore we have

$$\left\| I\!\!I_k - {}^k\nabla^2 u_k + u_k \, h_k \right\|_{h_k} \leq -k^{-1} \sqrt{\left\| H_k \right\|_{\mathscr{C}^0}^2 - 2(k+1)} + \left\| {}^k\nabla^2 u_k \right\|_{h_k} + \sqrt{2} \left\| u_k \right\|_{\mathscr{C}^0}.$$

Our assertion is now an immediate consequence of Proposition 2.1 and of Lemma 2.3.  $\Box$ 

**Corollary 3.6.** The flow  $\Theta_t$  of the vector field  $-\operatorname{grad}_{WP}V_k^*$  over  $\mathcal{T}(\partial M_k)$  is defined for all times  $t \in \mathbb{R}$ .

*Proof.* The assertion follows from the fact that the Teichmüller distance is complete, and on the bound shown in Proposition 3.5.

The last ingredient that we will need for the proof of Theorem A is the existence of some lower bound for the dual volume function  $V_k^*$ . To do so, we will make use of the properties of the dual volume proved in [Maz21], and of an upper bound for the length of the bending measure of the boundary of the convex core of a convex co-compact manifold with incompressible boundary, whose existence has been first proved by Bridgeman [Bri98], and it has been improved in later works (see [BC05]). We will make use of the best result currently known in this direction for convex co-compact manifolds with incompressible boundary, which is due to Bridgeman, Brock, and Bromberg [BBB19].

**Lemma 3.7.** For every  $k \in (-1,0)$  and for every convex co-compact hyperbolic 3-manifold M with incompressible boundary we have:

$$V_k^*(M) \geq F(k, \chi(\partial M)),$$

where F is an explicit function of the curvature  $k \in (-1,0)$  and the Euler characteristic of  $\partial M$ .

*Proof.* Since the *k*-surfaces foliate the complementary of the convex core CM, a simple application of the geometric maximum principle (see for instance [Lab00, Lemme 2.5.1]) shows that the *k*-surface  $\partial M_k$  is contained in  $N_{\mathcal{E}_k}CM$ , the  $\mathcal{E}_k$ -neighborhood of the convex core CM, for  $\mathcal{E}_k$  = arctanh  $\sqrt{k+1}$ . The dual volume of a convex set is a decreasing function with respect to the inclusion (see [Maz21, Proposition 2.6] for a proof of this assertion), therefore the quantity  $V_k^*(M)$  is bounded from below by the dual volume of the  $\mathcal{E}_k$ -neighborhood of the convex core. It is not difficult to show that for every  $\mathcal{E} > 0$  we have

$$V^*(N_{\varepsilon}CM) = V(CM) - \frac{\ell_m(\mu)}{4}(\cosh 2\varepsilon + 1) - \frac{\pi}{2}|\chi(\partial CM)|(\sinh 2\varepsilon - 2\varepsilon),$$

where  $\ell_m(\mu)$  denotes the length of the bending measured lamination on the boundary of the convex core of M (see e. g. [Maz21, Proposition 2.4]). By [BBB19, Theorem 2.16], the term  $\ell_m(\mu)$  is less or equal to  $6\pi|\chi(\partial M)|$ . Combining these observations, we deduce

that

$$\begin{split} V_k^*(M) &\geq V^*(N_{\mathcal{E}_k}CM) \\ &= V(CM) - \frac{\ell_m(\mu)}{4}(\cosh 2\varepsilon_k + 1) - \frac{\pi}{2}|\chi(\partial CM)|(\sinh 2\varepsilon_k - 2\varepsilon_k) \\ &\geq -\frac{\ell_m(\mu)}{4}(\cosh 2\varepsilon_k + 1) - \frac{\pi}{2}|\chi(\partial CM)|(\sinh 2\varepsilon_k - 2\varepsilon_k) \\ &\geq -\frac{\pi}{2}|\chi(\partial M)|(3\cosh \varepsilon_k + 3 + \sinh 2\varepsilon_k - 2\varepsilon_k), \end{split}$$

which proves the desired inequality.

### 4. The proof of Theorem A

This section is dedicated to the proof of the main theorem of our exposition, and to the proof of the optimality of the multiplicative constant appearing in (1).

Proof of Theorem A. Let M be a convex co-compact hyperbolic 3-manifold with incompressible boundary. We denote by  $M_t := \Theta_t(M)$  the hyperbolic 3-manifold obtained by following the flow of the vector field  $-\operatorname{grad}_{WP}V_k^*$ , which is defined for every  $t \in \mathbb{R}$  in light of Corollary 3.6. In order to simplify the notation, we will continue to denote by  $V_k^*$  the k-dual volume as a function over the space of quasi-isometric deformations of M. This abuse is justified by the fact that, for every  $k \in (-1,0)$ , a convex co-compact manifold is uniquely determined by the hyperbolic structures on its k-surfaces (see Theorem 1.5). We have

$$V_k^*(M) - V_k^*(M_t) = \int_0^t \|\mathrm{d}V_k^*\|_{M_s}^2 \,\mathrm{d}s.$$

By Lemma 3.7, the left hand side of the relation is bounded from above with respect to t. In particular, the integral on the right side has to converge as t goes to  $+\infty$ . Therefore we can find an unbounded increasing sequence  $(t_n)_n$  for which the Weil-Petersson norm  $\|\mathbf{d}V_k^*\|^2$  evaluated at  $M_{t_n}$  goes to 0 as n goes to  $\infty$ . Then, by Lemma 3.4, we have

$$\limsup_{n\to\infty}\int_{\partial M_{ln},k}H_k\,\mathrm{d}a_{I_k}\leq -4\pi k^{-1}\sqrt{k+1}|\chi(\partial M)|,$$

where  $M_{t_n,k}$  stands for  $(M_{t_n})_k$ , the region of  $M_{t_n}$  enclosed by its k-surfaces. Therefore we deduce:

$$\begin{split} V_k^*(M) &\geq \lim_{n \to \infty} V_k^*(M_{I_n}) = \lim_{n \to \infty} \left( V_k(M_{I_n}) - \frac{1}{2} \int_{\partial M_{I_n,k}} H_k \, \mathrm{d}a_{I_k} \right) \\ &\geq \inf_{M' \in \mathcal{QD}(M)} V_k(M') - \frac{1}{2} \limsup_{n \to \infty} \int_{\partial M_{I_n,k}} H_k \, \mathrm{d}a_{I_k} \\ &\geq \inf_{M' \in \mathcal{QD}(M)} V_k(M') + 2\pi k^{-1} \sqrt{k+1} |\chi(\partial M)|, \end{split}$$

where  $V_k(M')$  denotes the Riemannian volume of the region  $M'_k$  of M' enclosed by its k-surface. Observe that the term  $2\pi k^{-1}\sqrt{k+1}|\chi(\partial M)|$  is equal to  $-\frac{1}{2}\int_{\partial M'_k}H_k\,\mathrm{d}a_{I_k}$  when the boundary of the convex core of M' is totally geodesic.

Finally, by taking the limit as k goes to  $(-1)^+$ , we obtain that  $V_C^*(M) \ge \inf_{M'} V_C(M')$  for every convex co-compact structure M. This proves that

$$\inf_{\mathcal{QD}(M)} V_C^* \ge \inf_{\mathcal{QD}(M)} V_C.$$

On the other hand, the dual volume  $V_C^*(M) := V_C(M) - \frac{1}{2}\ell_m(\mu)$  is always smaller or equal to  $V_C(M)$ , so the other inequality between the infima is clearly satisfied.

If  $V_C^*(M) = V_C(M)$ , then the length of the bending measured lamination  $\mu$  of the convex core of M has to vanish, therefore  $\mu = 0$  or, in other words,  $\partial CM$  is totally geodesic.  $\square$ 

**Corollary 4.1.** For every quasi-Fuchsian manifold M we have  $V_C(M) \ge \frac{1}{2}\ell_m(\mu)$ , where m = m(M) and  $\mu = \mu(M)$  denote the hyperbolic metric and the bending measure of the boundary of the convex core of M, respectively. Moreover, for every positive  $\varepsilon$  and for every neighborhood U of a Fuchsian manifold  $M_0$  inside  $\mathcal{QD}(M_0) = \mathcal{QD}(M)$ , there exists a quasi-Fuchsian manifold  $M_{\varepsilon}$  in U that satisfies  $V_C(M_{\varepsilon}) < (\frac{1}{2} + \varepsilon)\ell_{m_{\varepsilon}}(\mu_{\varepsilon})$ , where  $m_{\varepsilon} = m(M_{\varepsilon})$  and  $\mu_{\varepsilon} = \mu(M_{\varepsilon})$ .

*Proof.* If M is quasi-Fuchsian, the infimum of the volume of the convex core over the space of quasi-isometric deformations  $\mathcal{QD}(M)$  is equal to 0, and it is realized on the Fuchsian locus.

For the second part of the statement, consider  $M_0$  a Fuchsian manifold whose convex core is a totally geodesic surface homeomorphic to  $\Sigma$  with hyperbolic metric  $m_0$ . Let  $\alpha \colon [0,1] \to \mathcal{QD}(M)$  be a path starting at  $\alpha(0) = M_0$  and for which the right derivative of the bending measure  $\dot{\mu}_0^+$  exists and it is equal to a non-zero measured lamination on  $\Sigma \sqcup \Sigma$ . A fairly explicit way to produce such a path is to choose a measured lamination  $\lambda \in \mathcal{ML}(\Sigma)$  and to consider the deformation of  $M_0$  given by the holonomies of pleated surfaces with bending Hölder cocycle equal to  $t\lambda$  and hyperbolic metric  $m_0$ , as t varies in [0,1] (compare with [Bon96]). Then, for every  $\varepsilon > 0$  we define

$$f_{oldsymbol{arepsilon}}(t) := V_C(oldsymbol{lpha}(t)) - \left(rac{1}{2} + oldsymbol{arepsilon}
ight) \ell_{m_t}(oldsymbol{\mu}_t) = V_C^*(oldsymbol{lpha}(t)) - oldsymbol{arepsilon}\,\ell_{m_t}(oldsymbol{\mu}_t), \quad t \in [0,1],$$

where  $m_t = m(M_t)$  and  $\mu_t = \mu(M_t)$  denote the hyperbolic metric and the bending measure of the boundary of the convex core of  $M_t = \alpha(t)$ . As shown in [KS09, equation (4)], we have

$$\left. \frac{\mathrm{d}}{\mathrm{d}t} \ell_{m_t}(\mu_t) \right|_{t=0^+} = \mathrm{d}(L_{\mu_0}) \left( \dot{m}_0 \right) + \ell_{m_0}(\dot{\mu}_0^+) = \ell_{m_0}(\dot{\mu}_0^+),$$

where we are using that  $\mu_0 = 0$  (here  $L_{\mu_0} : \mathcal{T}(\partial CM) \to \mathbb{R}$  is the function that associates with every hyperbolic structure m the length of the m-geodesic realization of  $\mu_0$ ). Then

$$\begin{split} f_{\varepsilon}(t) &= f_{\varepsilon}(0) + f_{\varepsilon}'(0)t + o(t;\varepsilon) \\ &= 0 + (\operatorname{d}(V_{C}^{*})_{M_{0}}(v) - \varepsilon \,\ell_{m_{0}}(\dot{\mu}_{0}^{+}))t + o(t;\varepsilon) \\ &= -\varepsilon \,\ell_{m_{0}}(\dot{\mu}_{0}^{+})t + o(t;\varepsilon). \end{split}$$

 $(V_C^* \in \mathscr{C}^1 \text{ and } M_0 \text{ minimum}) = -\varepsilon \ell_{m_0}(\dot{\mu}_0^+) t$ 

This proves that  $f_{\varepsilon}(t) < 0$  for t sufficiently small (depending on  $\varepsilon$ ), and therefore the existence of a quasi-Fuchsian manifold  $M_{\varepsilon}$  satisfying the desired properties.

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