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The Influence of Social Determinants on Receiving Outpatient Treatment with Monoclonal Antibodies, Disease Risk, and Efectiveness for COVID‑19

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ABSTRACT

BACKGROUND: Limited research has studied the infuence of social determinants of health (SDoH) on the receipt, disease risk, and subsequent efectiveness of neutralizing monoclonal antibodies (nMAbs) for outpatient treatment of COVID-19.

OBJECTIVE: To examine the influence of SDoH variables on receiving nMAb treatments and the risk of a poor COVID-19 outcome, as well as nMAb treatment efectiveness across SDoH subgroups.

DESIGN: Retrospective observational study utilizing electronic health record data from four health systems. SDoH variables analyzed included race, ethnicity, insurance, marital status, Area Deprivation Index, and population density.

PARTICIPANTS: COVID-19 patients who met at least one emergency use authorization criterion for nMAb treatment.

Shyam Rele, PhD, a former employee of The MITRE Corporation.

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MAIN MEASURE: We used binary logistic regression to examine the infuence of SDoH variables on receiving nMAb treatments and risk of a poor outcome from COVID-19 and marginal structural models to study treatment efectiveness.

RESULTS: The study population included 25,241 (15.1%) nMAb-treated and 141,942 (84.9%) non-treated patients. Black or African American patients were less likely to receive treatment than white non-Hispanic patients (adjusted odds ratio $(OR) = 0.86$; 95% CI $= 0.82-$ 0.91). Patients who were on Medicaid, divorced or widowed, living in rural areas, or living in areas with the highest Area Deprivation Index (most vulnerable) had lower odds of receiving nMAb treatment, but a higher risk of a poor outcome. For example, compared to patients on private insurance, Medicaid patients had 0.89 (95% $CI = 0.84 - 0.93$ times the odds of receiving nMAb treatment, but 1.18 (95% CI = 1.13–1.24) times the odds of a poor COVID-19 outcome. Age, comorbidities, and COVID-19 vaccination status had a stronger infuence on risk of a poor outcome than SDoH variables. nMAb treatment benefted all SDoH subgroups with lower rates of 14-day hospitalization and 30-day mortality.

CONCLUSION: Disparities existed in receiving nMAbs within SDoH subgroups despite the beneft of treatment across subgroups.

KEY WORDS: COVID-19; monoclonal antibodies; social determinants of health; treatment; efectiveness; disease risk

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INTRODUCTION

Over the course of the coronavirus disease 2019 (COVID-19) pandemic, historically disadvantaged groups have experienced disproportionate risks of COVID-19 infection, hos-pitalization, and death.^{[1](#page-9-0)} Due to these observations, there has been a heightened interest in understanding the social determinants, defned by the World Health Organization as "the conditions in which people are born, grow, work, live and age and their access to power, money and resources," that infu-ence uptake and effectiveness of COVID-19 treatments.^{1, [2](#page-9-1)}

Neutralizing monoclonal antibodies (nMAbs) targeting the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) became available in November 2020 for the early treatment of mild to moderate illness in non-hospitalized patients.^{[3](#page-9-2)[–5](#page-9-3)} The federal government and health systems developed guidance to ensure equitable distribution of nMAbs to historically underserved and disadvantaged populations. $6-8$ $6-8$ $6-8$

Despite this guidance, evidence exists that acceptance and receipt of nMAb treatment are lower in historically disadvantaged populations. $9-12$ $9-12$ In one health system, Bierle et al. (2021) reported that acceptance of nMAb treatment was higher in patients who were non-Hispanic white, spoke English, and had a spouse or life partner.⁹ In a recent consortium study, Boehmer et al. (2022) found that black and Hispanic patients were 23.3% and 51.3% less likely to receive outpatient nMAb treatment than white and non-Hispanic patients, respectively.^{[10](#page-9-8)} In a single-center study reported by Wu et al. nMAb delivery rates were lower in geographic areas with higher social vulnerability in the greater Chicago, Illinois area.[12](#page-9-7) However, the previous studies have been limited in sample sizes, patient-level data availability, and geographic scope. In addition, to our knowledge, no study has explored the relationship between disparities in receiving nMAb and severe COVID-19 outcomes.^{[2](#page-9-1)}

In this study, we used electronic health record (EHR) data from four health systems to abstract social determinants of health (SDoH) variables on the individual and geographic level, including race, ethnicity, insurance, marital status, Area Deprivation Index (ADI, higher scores indicating more vulnerable), and population density. We examined (1) the infuence of SDoH variables on whether a patient received outpatient nMAb treatment; (2) the infuence of SDoH variables on a COVID-19 disease risk score (DRS); and (3) whether nMAb treatment efectiveness difered across SDoH groups.

METHODS

Study Population

The Houston Methodist, Intermountain, Mayo Clinic, and University of California-Irvine health systems provided deidentifed EHR data to a centralized registry for COVID-19 positive patients. Patients were included in the registry if they were 12 years of age or older, were eligible for nMAb treatment, and had a laboratory-confrmed positive COVID-19 polymerase chain reaction or antigen test collected in the non-inpatient setting between November 2020 and January 2022. Patients were considered eligible for nMAb treatment if they had at least one of the conditions or factors listed in the Emergency Use Authorization (EUA).^{[3](#page-9-2)[–5,](#page-9-3) [13](#page-9-9)}

During our study period, patients could receive the following nMAb treatments: bamlanivimab, bamlanivimabetesevimab, casirivimab-imdevimab, or sotrovimab. Patient index date was the date of a positive COVID-19 test result or date of treatment referral. Patients were excluded from the study population if they had received a diferent COVID-19 therapeutic (e.g., molnupiravir, nirmatrelvir/ritonavir) in a non-hospitalized setting within the outcome window of 30 days.

SDoH and Clinical Variables

SDoH variables were collected through routine care and included birth sex, race, ethnicity, health insurance, marital status, and the geographic location of primary residence. Race and ethnicity variables were collapsed to include a white non-Hispanic group and a white Hispanic group. Non-white races who self-identifed as either Hispanic or non-Hispanic were labelled according to their race group. To comply with Safe Harbor guidelines, health systems provided only the 3-digit ZIP code of each patient's primary residence, which were then mapped to the ADI and population density estimates from the American Community Survey.[14–](#page-9-10)[16](#page-9-11) ADI scores for 5-digit ZIP codes were weighted by population densities to produce estimates of ADI scores for 3-digit ZIP codes. Population densities from the 2019 American Community Survey for 5-digit ZIP codes were weighted by the geographic areas to produce estimates by 3-digit ZIP code. COVID-19 vaccination status was obtained from vaccine registries and integrated into the EHR workfow. Patients were considered partially vaccinated if they received one Moderna or Pfzer vaccine and fully vaccinated if they received one Janssen vaccine or two Moderna/Pfzer vaccines. Patients were considered boosted if they had one additional vaccine after being "fully vaccinated." Comorbid conditions in the two years prior to the index date were grouped according to Elixhauser and internally developed comorbidity groupings to provide variables of comorbidity status.^{[17](#page-9-12)} Healthcare utilization included the number of total healthcare visits in the two years prior to the index date. Results by health system are not presented in accordance with the data use agreements.

Propensity Score Model

The propensity score (PS) in this study is the probability a patient received nMAbs conditional on a set of covariates and served two purposes. The frst purpose was to use the coefficients of the PS model to understand the influence of SDoH and clinical variables on the probability a patient received nMAbs. The second purpose was to use the PS to adjust for potential confounding via inverse probability of treatment weighting in our efectiveness models. Of note, our dataset does not provide information on whether a patient was ofered or declined nMAb treatment.

To build the PS model, continuous variables were categorized to enable a direct comparison to binary variable coeffcients. Age was categorized into 10-year bands (e.g., 20 to 29, 30 to 39), total number of healthcare visits and ADI were grouped by quintiles, and population density was grouped by quartiles. Quartiles for population density were necessary due to a large percent of the patients living in a single 3-digit ZIP code. A full list of the variables used in the PS model is described in Table S1. Logistic and decision tree PS models were built using a hyperparameter grid search and assessed for covariate balance.¹⁸ The selected PS model was a logistic regression with L1 regularization, an inverse regularization strength of 0.1, and balanced class weights, and used the stochastic average gradient acceleration (SAGA) solver. The selected PS model achieved adequate covariate balance, with all absolute standardized mean differences < 0.1 .^{[19](#page-9-14)}

Disease Risk Score Model

A patient's DRS is the risk of a poor outcome, defned as either a hospitalization or death within 30 days of a patient's index date. The DRS model used data only from non-treated patients to prevent the infuence of treatment on the DRS outcome. The DRS model was an L2 logistic regression with an inverse regularization strength of 10 and balanced class weights and used the SAGA solver. Logistic regression and decision tree models were evaluated for use in the DRS model. The highest-ranking logistic regression model was used for this study because it was less likely to overtrain the model than the tree-based methods, it was well-calibrated, and the results and coefficients are easily interpretable. The infuence of SDoH variables on the DRS was assessed via the coefficients of the DRS model. The DRS model included all the variables used in the PS model.

Treatment Efectiveness

Efectiveness outcomes included (1) hospitalizations within 14 days and (2) mortality within 30 days of a patient's index date. Hospitalizations on the index date or treatment date were not considered as outcomes. Marginal structural models (MSM) were used to study nMAb efectiveness within SDoH subgroups. To increase statistical power in the efectiveness models, patients who identifed as American Indians, Alaska Natives, Native Hawaiians, and Pacifc Islanders, and those self-identifying as other were collapsed into the "other" race category. To account for the missing data, which was missing at $<3\%$ for all variables, predictive mean matching was used to generate ten imputed datasets. 20 Stabilized weights derived from the propensity scores within each imputation group were used in logistic regression MSMs and effect estimates were pooled using Rubin's Rules. 21 21 21

RESULTS

Study Population Description

The study population included 167,183 patients, of which 25,241 (15.1%) received nMAb treatment. In unadjusted analyses, nMAb-treated patients were more likely to be older than the non-treated patients (Table [1\)](#page-4-0). Women outnumbered men in both treatment groups, but men had a higher proportion treated (16.6%) than women (14.0%). The race and ethnicity group with the highest proportion of treated patients was white non-Hispanic at 16.7%. Treatment rates for the remaining racial subgroups were lower, ranging from 10.1% for white Hispanic patients to 13.3% for American Indian or Alaska Native patients. In terms of insurance, the nMAb treatment rate was highest for patients with Medicare (30.6%), but lowest in patients who self-paid or had no insurance (7.3%).

Factors Associated with Receiving Treatment

The adjusted odds ratios (OR) from the PS models indicate subgroups with lower or higher odds of being treated with nMAb, after adjusting for additional covariates (Fig. [1](#page-5-0) and Table S1). In regard to the SDoH variables, females were less likely to be treated than males ($OR = 0.89$; 95% $CI = 0.87$ – 0.91). Compared to white non-Hispanic patients, black or African American patients were less likely to be treated $(OR = 0.86; 95\% CI = 0.82 - 0.91)$, whereas white Hispanic, Native Hawaiian or Other Pacifc Islander, and American Indian or Alaska Native were more likely to be treated with nMAbs. Compared to patients with private insurance, Medicaid patients and patients who self-paid or had no insurance were less likely to be treated with nMAbs. For example, Medicaid patients had 0.89 (95% CI = $0.84 - 0.93$) times the odds of nMAb treatment compared to patients with private insurance. Compared with married patients, patients who

 40 to 45 26,732 (18.8%) 2234 (8.9%) 7.7% 46 to 56 29,769 (21.0%) 9989 (39.6%) 25.1% 25.1% 27.5% 27.791 (15.4%) 9989 (39.6%) 22.5% 57+ 21,791 (15.4%) 6315 (25.0%) 22.5% Missing $66 \times 0.1\%$ 18 (0.1%) 21.4%

Table 1 Demographic Characteristics of Study Population by Treatment Group

were divorced, unmarried, or widowed all had lower odds of receiving nMAb treatment. We observed a non-linear relationship between our ADI categories and propensity to treat. Compared to patients living in low ADI areas $(ADI < 30)$, those in mid-range zones (ADI 40–45, 46–56) were more likely to receive nMAbs. Those with the highest deprivation $(ADI 57+)$ were less likely to receive nMAb treatment. We observed that patients in more dense geographic locations were more likely to be treated.

In general, the most infuential factors associated with receiving treatment were age, comorbidities related to immunosuppression, and index date year and month. As a patient's age increased, their odds of nMAb treatment increased. For example, patients $80 + \text{years}$ old had 7.11 (95% CI=6.37) – 7.94) times the odds of being treated compared to patients 12 to<20 years old. The most infuential comorbidity on

propensity to treat was if a patient had a diagnosis related to solid organ or blood stem cell transplantation in the year prior to the index date (OR = 3.36; 95% CI = $2.78 - 4.06$). Patients diagnosed in September or October 2021 had 15.39 (95% CI=14.27–16.60) and 16.28 (95% CI=15.04 – 17.62) times the odds of nMAb treatment, respectively, compared to patients diagnosed in November 2020, which was the frst month nMAbs received EUA.

Factors Associated with Disease Risk Score

The adjusted ORs from the DRS model indicate subgroups with lower or higher odds of a poor outcome, defned as hospitalization or death within 30 days of the index date, after adjusting for additional covariates and assuming no treatment (Fig. [2](#page-6-0) and Table S2). Females were less likely than males to have a

Adjusted Odds Ratio (95% CI)

Figure 1 SDoH, age, and clinical variables associated with receiving nMAb treatment from Propensity Score model. Only factors with a *p***-value of<0.01 are presented.**

poor outcome (OR = 0.77 ; 95% CI = $0.75 - 0.79$). Compared to white non-Hispanics, all other race groups except black or African American had increased risk of a poor outcome. Asian and Native Hawaiian or Other Pacifc Islander patients had 1.62 $(95\% \text{ CIs} = 1.50 - 1.75 \text{ and } 1.45 - 1.82,$ respectively) times the odds of a poor outcome compared to white non-Hispanic patients. Black or African American patients had similar odds

of a poor outcome as white non-Hispanic patients $(OR=0.99;$ 95% CI=0.94 – 1.05). Compared to patients with private insurance, Medicare and Medicaid patients had increased risk of a poor outcome, whereas patients who self-paid or had no insurance were less likely to experience a poor outcome $(OR=0.72;$ 95% CI=0.69 – 0.76). Compared to married patients, unmarried patients were less likely to experience a poor outcome,

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| Smoking status: Smoker Immunosuppressant recently prescribed Time from COVID-19 vaccination, baseline = no record Most recent COVID-19 immunization: <2 weeks Most recent COVID-19 immunization: 2 weeks to <3 months Most recent COVID-19 immunizat Most recent COVID-19 immunization: >=6 months COVID-19 immunization status, baseline = no record COVID-19 immunization status: Partial COVID-19 immunization status: Eul! COVID-19 immunization status: Full + boosted |
|---|
| |

Figure 2 SDoH, age, and clinical variables associated with risk of a poor COVID-19 outcome from Disease Risk Score model. Only factors with a *p***-value of<0.01 are presented.**

whereas divorced and widowed were more likely to experience a poor outcome. Patients living in geographical locations with less population density and higher ADIs were also more likely to experience a poor outcome.

Similar to the PS model results, the most important factors that predicted a poor outcome were clinical variables that included age, comorbidities, index date year and month, COVID-19 vaccination status, and pregnancy status. Patients with cystic fbrosis and severe renal failure had 3.47 (95% CI=1.54 – 7.80) and 3.38 (95% CI=2.81 – 4.08) times the odds of a poor outcome, respectively. We saw decreased odds of a poor outcome in patients who were fully vaccinated and fully vaccinated with a booster. Pregnant patients were more likely to experience a poor outcome ($OR = 17.69$; 95% $CI = 16.33$ – 19.16) since hospitalization became common practice for moderately ill COVID-19 positive pregnant patients.

Comparing Factors Influencing Receipt of Treatment and Disease Risk Score

We found important differences when we compared the influence of patient factors on receiving nMAb treatment with the infuence of patient factors on risk of a poor outcome (Figure S1). Although black or African Americans had approximately the same risk of a poor outcome as white non-Hispanic patients, they were less likely to receive nMAb treatment. Medicaid and divorced or widowed patients were more likely to experience a poor outcome, but less likely to receive nMAb treatment. Similarly, patients in geographical locations with the highest ADI (most vulnerable) and that were more rural were more likely to experience a poor outcome, but less likely to receive treatment. The comorbidities most related to a poor outcome (cystic fbrosis and severe renal failure) were not signifcantly associated with receiving nMAb treatment.

Treatment Efectiveness Within Subgroups

We evaluated nMAb treatment effectiveness based on SDoH variables and found nMAb treatment signifcantly reduced the odds of 14-day hospitalizations and 30-day mortality across most of the subgroups (Table [2\)](#page-7-0). In both males and females, nMAb treatment was efective at reducing 14-day hospitalizations and 30-day mortality. The direction of associations was consistent across all race and ethnicity groups when comparing nMAb treatment effect on 14-day hospitalizations; however, nMAb treatment was only signifcantly associated with reducing odds of 14-day hospitalizations in the white non-Hispanic, black or African American, and "other" race patients. All racial groups had reduced odds of 30-day mortality if treated with nMAbs, although white non-Hispanic, white Hispanic, and other racial groups had signifcant reductions. nMAb treatment signifcantly reduced 14-day hospitalizations and 30-day mortality in patients with private insurance or who were covered by Medicare. We found nMAb treatment was efective at reducing the odds of 14-day hospitalization and 30-day mortality independent of marital status, ADI, and population density.

Table 2 nMAb Treatment Efectiveness Results Within SDoH Subgroups. Odds Ratios and 95% CI from Marginal Structural Models Adjusting for Confounders. The Odds Ratios Compare Treatment Efectiveness Between the Treated and Non-treated Patients Within Each SDOH Group

DISCUSSION

During the COVID-19 pandemic, the federal government provided nMAbs free of charge. The health systems in our consortium created deliberate outreach plans to make treatment available through outpatient clinics, infusion centers, emergency rooms, and mobile vans.^{[8](#page-9-5), [22,](#page-9-17) [23](#page-9-18)} Furthermore, each health system was a regional center for nMAb treatment, accepting patients from neighboring health systems and actively seeking patients who may not have had frequent contact with traditional primary care services.

Despite this outreach effort, we observed disparities in the receipt of nMAb treatment based upon SDoH variables. Our fndings that black or African American patients were less likely to be treated with nMAbs are similar to fndings previously reported. $9-11$ $9-11$ In addition to studies exploring receipt of nMAbs, research has shown that people of color received other COVID-19 treatments including ritonavir-booster nirmatrelvir (Paxlovid) and remdesivir less often than other pop-ulations.^{10, [11](#page-9-19), [24](#page-9-20)} In our study, we cannot determine the reasons for the disparity in nMAb treatment among our SDoH groups as our dataset does not provide information on whether a patient was ofered or declined nMAb treatment. Bierle et al. (2021) found that the acceptance rate of nMAb treatment for black or African American patients was 47.5% versus a 60.0% acceptance rate in white patients. ^{[9](#page-9-6)} However, Wu et al. (2022) did not report a signifcant diference in patient refusal of nMAb treatment by racial composition.¹² Other reasons for disparities in receiving treatment may be due to reduced access to COVID-19 treatment facilities, $2⁵$ language barri- $ers, ¹²$ $ers, ¹²$ $ers, ¹²$ health system mistrust and treatment hesitancy, $²⁶$ and</sup> implicit or explicit bias by healthcare providers. 27

Risk factors for poor COVID-19 outcomes are a well-studied research area. $28-32$ $28-32$ While we reported variables associated with a poor outcome, our study is unique in comparing the infuence of patient characteristics on both propensity to treat and risk of disease progression. We found multiple instances where a SDoH variable was associated with decreased odds of treatment, but increased odds of a poor outcome. Specifcally, patients who were on Medicaid, divorced or widowed, living in a rural area, or living in areas with the highest ADI (most vulnerable) had lower odds of receiving nMAb treatment, but higher odds of a poor outcome. The intersection of these factors provides us with a roadmap to identify those populations most in need of better access and outreach.

In general, nMAb treatment efectiveness did not vary by SDoH factor and most groups experienced signifcantly lower 14-day hospitalization and/or 30-day mortality rates. When treatment effectiveness differences were observed, one of the comparison groups typically was a smaller subset of the total population and the diferences were not robust across both outcomes studied. Similar nMAb treatment efectiveness across SDoH subgroups is consistent with our a priori hypothesis. We had no reason to believe the nMAb binding affinity to the spike protein receptor-binding domain of SARS-CoV-2 would vary by SDoH subgroups, given that, except for birth sex, our SDoH variables are social constructs that refect culture, history, and socioeconomics.

To our knowledge, this is the largest study to investigate factors related to outpatient COVID-19 treatment with patient-level data. However, several limitations of our study are important to recognize. As discussed previously, our study did not know which patients were offered treatment and which patients declined treatment. To meet Safe Harbor requirements, this study only collected deidentifed data, which necessitated using 3-digit ZIP codes to represent a patient's primary residence. Our ADI and population density metrics would have provided more valuable information if we could have mapped 5-digit ZIP codes to those factors, as the 3-digit ZIP codes contained, on average, 43.5 5-digit ZIP codes with a standard deviation of the ADI of 15.9. We did not have access to some important SDoH variables including primary language spoken at home, household income, and patient education.¹ Finally, the nMAbs studied in this research are no longer in use. However, many of our results correspond with other study fndings that investigated utilization of current COVID-19 treatments including ritonavir-boosted nirmatrelvir and we believe our novel fndings can be applied to future treatment outreach efforts.

Previous strategies proposed to expand COVID-19 vaccine coverage in communities of color may provide a blue-print to improve outpatient treatment uptake.^{[33](#page-10-4), 34} Chhibber et al. (2022) provide comprehensive guidance for innovative delivery of care for vulnerable populations through partnerships with churches, extended-hours clinics, community health worker outreach, and other measures.³⁴ In addition, training healthcare providers to use specifc language when discussing potential outpatient COVID-19 treatments may help address COVID-19 mistrust and increase uptake.^{[35](#page-10-6)} Community-engaged research including topics on the dissemination and implementation of treatments for COVID-19 can help address care for historically disadvantaged groups and for those with greatest need.

Supplementary Information The online version contains supplementary material available at [https://doi.org/10.1007/s11606-023-08324-y.](https://doi.org/10.1007/s11606-023-08324-y)

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