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# Q9 Lung Transplantation of COVID-19 Patients

## How I Do It

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The COVID-19 pandemic has caused acute lung injury in millions of individuals worldwide. Some patients develop COVID-related acute respiratory distress syndrome (CARDS) and cannot be liberated from mechanical ventilation. Others may develop post-COVID fibrosis, resulting in substantial disability and need for long-term supplemental oxygen. In both of these situations, treatment teams often inquire about the possibility of lung transplantation. In fact, lung transplantation has been successfully employed for both CARDS and post-COVID fibrosis in a limited number of patients worldwide. Lung transplantation after COVID infection presents a number of unique challenges that transplant programs must consider. In those with severe CARDS, the inability to conduct proper psychosocial evaluation and pretransplantation education, marked deconditioning from critical illness, and infectious concerns regarding viral reactivation are major hurdles. In those with post-COVID fibrosis, our limited knowledge about the natural history of recovery after COVID-19 infection is problematic. Increased knowledge of the likelihood and degree of recovery after COVID-19 acute lung injury is essential for appropriate decision-making with regard to transplantation. Transplant physicians must weigh the risks and benefits of lung transplantation differently in a post-COVID fibrosis patient who is likely to remain stable or gradually improve in comparison with a patient with a known progressive fibrosing interstitial lung disease (fILD). Clearly lung transplantation can be a life-saving therapeutic option for some patients with severe lung injury from COVID-19 infection. In this review, we discuss how lung transplant providers from a number of experienced centers approach lung transplantation for CARDS or post-COVID fibrosis. CHEST 2021; ■(■):■-■

**KEY WORDS:** ARDS; COVID-19; lung transplantation; pulmonary fibrosis

COVID-19 has infected over 150 million people worldwide since the start of the pandemic.<sup>1</sup> Critical disease, characterized by respiratory failure, shock, and multi-organ system failure, occurs in approximately 5% of infections, which equates to

**ABBREVIATIONS:** 6MWT = 6-minute walk test; AKI = acute kidney injury; CARDS = COVID-related acute respiratory distress syndrome; ECMO = extracorporeal membrane oxygenation; fILD = fibrosing interstitial lung disease; ILD = interstitial lung disease; LTx = lung transplantation; PFT = pulmonary function testing; rt-PCR = real-time polymerase chain reaction

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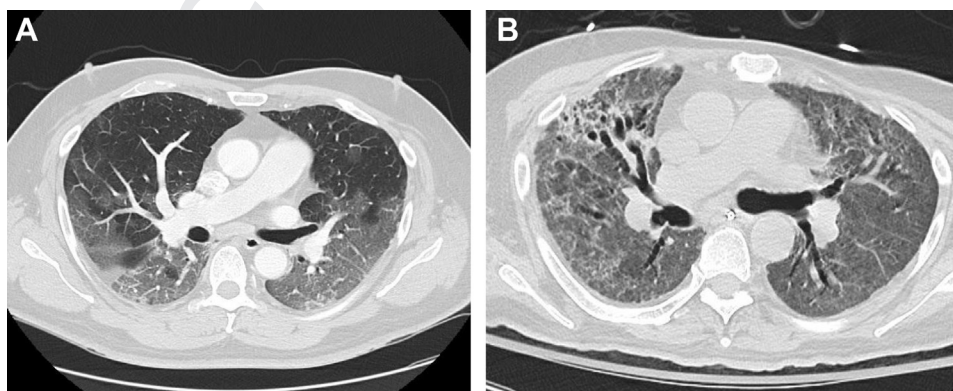
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111 approximately 7.5 million individuals struck down by  
 112 critical disease thus far.<sup>2</sup> Death rates amongst patients  
 113 with critical COVID-19 infections are high, at more than  
 114 30% in most series.<sup>3</sup> A proportion of survivors from  
 115 COVID-19 acute lung injury are left with residual lung  
 116 disease, resulting in the need for supplemental oxygen  
 117 and impaired mobility.<sup>4</sup> The massive influx of critically  
 118 ill patients has had profound impacts on health care  
 119 systems throughout the world. The field of lung  
 120 transplantation (LTx) has not been spared from this and  
 121 has been affected in a myriad of ways as well. Many of  
 122 the victims of critical COVID-19 lung injury are  
 123 relatively young and previously healthy individuals with  
 124 single-organ dysfunction, so LTx is often considered as a  
 125 salvage therapeutic option. Transplant centers have seen  
 126 a large uptick in the number of requests for evaluation  
 127 for LTx; often involving emotionally and intellectually  
 128 challenging situations in which patients do not meet  
 129 traditional criteria for acceptable LTx recipients but have  
 130 no other path forward to recovery. In this review, we  
 131 present two very different cases of patients affected by  
 132 COVID who were referred for LTx evaluation. We will  
 133 then discuss some common scenarios that can lead to  
 134 referral for LTx evaluation and discuss issues that must  
 135 be considered when performing transplantation on  
 136 patients with COVID-19.

### 141 Case 1

142 A 62-year-old man presented to the clinic for an LTx  
 143 evaluation in October 2020, approximately 5 months  
 144 after initially developing COVID-19. The patient was  
 145 previously healthy, specifically with no known lung  
 146 disease, until he was infected with SARS-CoV-2 and  
 147 required hospitalization. He was treated with remdesivir,  
 148 steroids, and tocilizumab but developed COVID-19



164 Figure 1 – Case 1: A, Diffuse ground-glass opacities on CT obtained at the time of admission; B, CT chest obtained at initial clinic follow-up 5 months  
 165 after developing COVID-19 demonstrates diffuse ground-glass opacities, upper lung peripheral consolidation and traction bronchiectasis.

166 acute respiratory distress syndrome (CARDS). Although  
 167 intubation was avoided, he remained reliant on high-  
 168 flow nasal cannula and noninvasive ventilation, with  
 169 marked desaturations that limited his mobility. Given  
 170 dysphagia and marked desaturation, the patient had a  
 171 tracheostomy and percutaneous gastrostomy tube placed  
 172 and was discharged to a long-term acute care facility  
 173 after a 3-month hospitalization. The patient had been  
 174 decannulated before returning to clinic but remained  
 175 quite debilitated, having difficulty with activities of daily  
 176 living and requiring 4 L supplemental oxygen at rest and  
 177 6 L with ambulation. CT of the lungs (Fig 1B) obtained  
 178 at the time of the clinic visit revealed diffuse ground-  
 179 glass opacities, upper lung peripheral consolidation, and  
 180 traction bronchiectasis that had progressed from a CT  
 181 obtained at the time of admission (Fig 1A). Pulmonary  
 182 function testing showed a moderately severe restrictive  
 183 defect (FVC, 1.82 L [45%]; FEV1, 1.55L [50%]). He was  
 184 unable to tolerate the diffusion capacity of the lung for  
 185 carbon monoxide maneuver. The patient was referred to  
 186 pulmonary rehabilitation and scheduled for follow-up in  
 187 clinic in several months to assess for clinical  
 188 improvement.

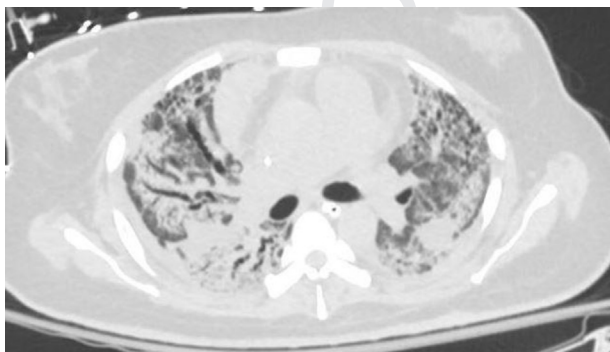
### 191 Case 2

192 A 37-year-old woman with no significant medical  
 193 history developed COVID-19 pneumonia with  
 194 progressive respiratory failure. She was treated with  
 195 remdesivir, dexamethasone, diuretics, and empiric  
 196 antibiotics, with no significant improvement. She was  
 197 intubated and subsequently placed on venous-venous  
 198 extracorporeal membrane oxygenation (ECMO)  
 199 approximately 20 days after her initial symptoms. Her  
 200 hospital course was complicated by ventilator-associated  
 201 *Stenotrophomonas* and methicillin-resistant

221 *Staphylococcus aureus* pneumonia, for which she  
 222 received appropriate antibiotics. Over time she was  
 223 weaned off sedation to a point at which she could be  
 224 awake, interactive, and able to participate in physical  
 225 therapy. However, her lung mechanics showed no  
 226 significant improvement, and after 8 weeks she  
 227 remained on full support from both the ventilator and  
 228 the ECMO circuit. Her chest CT (Fig 2) showed upper  
 229 lobe predominant pulmonary fibrosis and traction  
 230 bronchiectasis along with areas of ground-glass  
 231 opacities throughout.

### 234 What Is the Current Status of Lung 235 Transplantation for COVID-19 Lung Injury? 236

237 Despite the COVID pandemic generating a tremendous  
 238 number of potential candidates for LTx, as well as  
 239 significant interest and enthusiasm for use of LTx as a  
 240 salvage option for residual COVID-19 lung disease, the  
 241 actual number of transplants performed worldwide is  
 242 fairly small. Although the exact number of transplants  
 243 is not known, the available data give us some sense of the  
 244 scope of LTx for COVID-19. A query of the United  
 245 Network for Organ Sharing showed that as of April 30,  
 246 2021, only 78 LTxs carrying a recipient diagnosis of  
 247 COVID-19 had been performed in the United States, 50  
 248 for CARDS and 28 for COVID fibrosis.<sup>4</sup> This number is  
 249 likely lower than the true number of transplants, as the  
 250 United Network for Organ Sharing implemented  
 251 COVID diagnoses on October 28, 2020, and therefore  
 252 LTx performed before that date would not be captured  
 253 unless centers retroactively re-coded prior transplants.<sup>4</sup>  
 254 The European experience seems similar. As of April 23,  
 255 2021, the Eurotransplant consortium (responsible for  
 256 organ allocation in Austria, Belgium, Croatia, Germany,  
 257 Hungary, Luxemburg, the Netherlands, and Slovenia)  
 258 reported only 21 patients undergoing transplantation for  
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 273  
 274 Figure 2 – Case 2: CT chest with upper lobe predominant pulmonary  
 275 fibrosis and traction bronchiectasis along with areas of ground-glass  
 opacities.

a diagnosis of COVID-19 (Personal communication, 276  
 Juergen Behr). The relatively small number of LTxs 277  
 proportional to such a high number of potential 278  
 recipients is likely multifactorial. Health care systems 279  
 overwhelmed by the pandemic may not have had 280  
 adequate resources to provide the intensive support 281  
 required in recipients. In fact, many transplant programs 282  
 were placed on hold during the peak of the pandemic. 283  
 Clinical uncertainty regarding best practices 284  
 surrounding this new indication for LTx likely also 285  
 contributed. Finally, many of the referred patients likely 286  
 had significant relative contraindications to 287  
 transplantation that precluded their candidacy. Moving 288  
 forward, it will be essential to review outcomes from the 289  
 cohort of COVID-19 patients who underwent 290  
 transplantation to ensure their outcomes are comparable 291  
 to other indications for LTx and to identify predictors of 292  
 success. 293  
 294  
 295  
 296

### 297 How Should One Approach the Outpatient 298 Evaluation for Transplantation of a COVID 299 Fibrosis Patient? 300

#### 301 *Should COVID-19 Fibrosis Be Approached 302 Differently From Other Forms of Fibrotic Interstitial 303 Lung Disease?*

304 Likely the COVID-19 pandemic will affect the  
 305 management of fibrotic interstitial lung disease (fILD)  
 306 for years to come. COVID-19 acute lung injury will be  
 307 added to the differential diagnosis or contributory  
 308 exposure for all fILD, and assessing for a history of  
 309 COVID-19 pneumonia will become a requisite standard  
 310 during history taking. Indeed, we posit that any COVID-  
 311 19 infection might emerge as a risk factor for the  
 312 subsequent development of interstitial lung disease  
 313 (ILD), akin to burn-pit exposures and World Trade  
 314 Center exposures, which only became evident years  
 315 later. These patients, particularly those more severely  
 316 affected, are already being referred to ILD and LTx  
 317 programs. However, the optimal approach in the  
 318 evaluation and treatment of these patients is yet to be  
 319 determined. An essential element in the decision to list a  
 320 patient for LTx is weighing the risk of transplantation  
 321 vs that of their underlying lung disease. Transplant  
 322 pulmonologists and surgeons take into consideration  
 323 their knowledge of the natural history of the patient's  
 324 lung disease and only advise listing for LTx when doing  
 325 so is likely to improve longevity and the patient's quality  
 326 of life. The International Society of Heart and Lung  
 327 Transplantation provided criteria for both referral and  
 328 listing for LTx in a consensus guideline released in  
 329  
 330

331 2014.<sup>5</sup> Many of the criteria for ILD could be applied to  
 332 COVID-19 fibrosis, because they are meant to apply to  
 333 progressive fILD. However, the rate of progression and  
 334 the potential for improvement in COVID-19 fibrosis are  
 335 largely unknown yet and render application of those  
 336 criteria questionable.

### 339 What Is the Natural History of, Risk Factors 340 for, and Pathogenesis of COVID-19 Fibrosis?

342 Decisions regarding the appropriateness of LTx for  
 343 COVID-19 in the outpatient setting hinge on knowledge  
 344 and understanding of the natural history of the disorder,  
 345 and therefore better insight into the probability of  
 346 progression or improvement in COVID-19 fibrosis is  
 347 essential. Unfortunately, data regarding this are limited.  
 348 The Swiss Covid-19 lung study reported on pulmonary  
 349 function testing (PFT) and radiographic features  
 350 4 months after initial symptoms in 113 patients  
 351 representing the spectrum of COVID-19 disease,  
 352 including patients with mild or moderate as well as those  
 353 with severe disease.<sup>6</sup> Patients with prior severe or critical  
 354 disease had lower lung volumes than patients with mild  
 355 or moderate disease and had abnormally reduced  
 356 diffusion capacity, reduced functional capacity, and  
 357 demonstrated exertional oxygen desaturation. Over  
 358 50% of patients had mosaic attenuation, reticulations, or  
 359 architectural distortion on CT scan after severe or  
 360 critical disease.<sup>6</sup> Fibrotic changes on chest CT were  
 361 demonstrated in 35% of patients from a prospective  
 362 cohort of 114 patients who survived severe COVID-19  
 363 pneumonia, an additional 27% had interstitial  
 364 thickening or ground-glass opacification, and 38% had  
 365 complete radiographic resolution.<sup>7</sup> Another series  
 366 reported 3-month follow-up data on a cohort of 62  
 367 patients who required ICU care for CARDS.<sup>8</sup> Of these  
 368 post-CARDS patients, 49% of patients had evidence of  
 369 reticular lesions, and a further 21% had more distinctive  
 370 fibrotic patterns. Risk factors for the development of  
 371 post-COVID fibrosis identified thus far include  
 372 advanced age, greater severity of illness and longer ICU  
 373 stay, need for mechanical ventilation, and history of  
 374 smoking or alcoholism.<sup>9</sup> The pathogenesis of pulmonary  
 375 fibrosis after COVID is incompletely understood. It is  
 376 believed that the virus activates profibrotic pathways  
 377 through alteration of the renin-angiotensin system  
 378 balance and activation of growth factors, including  
 379 fibroblast growth factor, epithelial growth factor, and  
 380 transforming growth factor beta. Additionally, direct  
 381 cellular injury of alveolar epithelial and endothelial cells  
 382 and macrophages, inflammation, and damage from

mechanical forces can lead to fibroblast/myofibroblast  
 activation with resultant fibrosis.<sup>10</sup> Likely some patients  
 have a genetic predilection to fibrosis formation after  
 COVID-19 as well, akin to the purported mechanisms in  
 other fILD.

Based on the available data and extrapolating from other  
 cause of ARDS, likely the vast majority of patients with  
 COVID-19 fibrosis will improve or remain stable.<sup>11</sup> The  
 duration of time that one can expect ongoing recovery  
 remains unclear. Anecdotally, the authors have observed  
 ongoing improvement over the course of many months.  
 However, patients should be closely followed-up because  
 there may be a minority who develop progressive  
 fibrosis, either from post-COVID fibrosis alone or from  
 exacerbation of a previously unrecognized fibrotic lung  
 disease. For example, an estimated 2% to 7% of  
 nonsmokers and 4% to 9% of smokers have interstitial  
 lung abnormalities, with most of these likely going  
 undiagnosed or without consequence in the absence of  
 CT imaging of the chest.<sup>12</sup> How many of such cases are  
 uncovered by an intercurrent COVID-19 infection and  
 whether the existence of these lesions represent a risk  
 factor for a more fibrotic response is uncertain. In such  
 cases, whether COVID is the cause or simply uncovers  
 occult ILD is open to speculation.

### How Do the Authors Approach the Evaluation and Management of Post-COVID Fibrosis?

The authors' approach to post-COVID fibrosis is very  
 similar to that taken for ILD in general. It starts with a  
 careful assessment for previously unrecognized fibrotic  
 lung disease (Table 1). The history should assess for  
 dyspnea before the development of COVID-19. Patients  
 should be queried about exposures both occupational  
 and otherwise known to be associated with ILD, family  
 history of ILD, and signs, symptoms, or history of  
 connective tissue disease. Chest imaging obtained before  
 COVID infection, if available, should be carefully  
 reviewed for signs of ILD. Baseline PFT, chest CT, and  
 6-minute walk test (6MWT) should be obtained.  
 Consideration can be given to obtaining connective-  
 tissue disease serologies, particularly if signs or  
 symptoms exist. Some centers advocate reviewing post-  
 COVID fibrosis cases at a multidisciplinary pulmonary  
 meeting to get input regarding the optimal diagnostic  
 and therapeutic strategy. Patients with any residual  
 pulmonary sequelae should be referred to pulmonary  
 rehabilitation, especially if significant debility exists.  
 Given mounting data on potential benefit, a course of  
 corticosteroids should be considered in patients with

TABLE 1 ] ■■■

Considerations Before Transplantation in Outpatients With Post-COVID Fibrosis

#### Assess for evidence of preexisting ILD

- History: Symptoms before COVID-19 infection, family history of ILD, connective tissue disease history or signs/symptoms, occupational or other exposures associated with chronic hypersensitivity pneumonitis
- Review available chest imaging from before COVID-19 infection
- Consider connective tissue disease testing

Obtain baseline PFTs, 6MWT, and imaging, and monitor serially

Consider a trial of corticosteroids

Consider anti-fibrotic (pirfenidone or nintedanib) if evidence of progression

Refer for pulmonary rehabilitation

Transplantation is reserved for severe debility failing to improve with time, medical therapy, and rehabilitation or progressive disease

6MWT = 6-minute walk test; ILD = interstitial lung disease; PFT = pulmonary function testing

radiographic evidence of organizing pneumonia.<sup>8,13,14</sup>

Patients should have repeat PFT and 6MWT performed on serial follow-up. Licensed anti-fibrotic therapy, either pirfenidone or nintedanib, can be considered in patients demonstrating evidence of progression.<sup>15</sup> Clinical trials to define the role of antifibrotic therapy in CARDS and post-COVID fibrosis are ongoing.<sup>16-19</sup> LTx should be reserved for patients with progressive disease or static disease with substantial disability directly attributable to lung disease. Patients should be screened for anxiety, depression, and posttraumatic stress disorder after their illness, and if identified be referred for proper medical and psychological treatment. In patients with static disease, efforts at medical treatment and rehabilitation should be undertaken, and adequate time for recovery should be allowed before entertaining LTx. Where there is uncertainty regarding eventual recovery, evaluation and education about LTx can proceed with the hope that the patient will recover to the point of not requiring a LTx.

### How Should One Approach the Inpatient Evaluation for Transplant of a COVID Fibrosis Patient?

Inpatient evaluation of COVID-19 patients for LTx presents a unique set of challenges from that for outpatients and requires different considerations. In the authors' collective experience, two general phenotypes of

patients are referred for LTx evaluation for COVID. The first are CARDS patients on either invasive mechanical ventilation or ECMO and failing to improve. The second phenotype are patients that survived their initial COVID infection, but remain dependent on a significant amount of supplemental oxygen, which precludes safe discharge and also limits activity because of exertional desaturation. Clinicians face the difficult dilemma of not performing transplants in patients who are likely to recover from their illness, but also not waiting so long that the patient develops complications or severe deconditioning that precludes transplantation (Fig 3). In the following section, we walk through an algorithm of how the authors approach inpatients with CARDS or fibrosis regarding LTx (Fig 4). Although we provide a general roadmap to LTx, it should be recognized that not only is each potential recipient unique, but so too are individual lung transplant programs. Every LTx program must take into consideration their own experience and expertise in undertaking high-risk transplants, while factoring in the adequacy of hospital resources, especially at times of a COVID-19 surge. Lung transplantation is by nature triage medicine in which the likelihood of a successful outcome for individual patients needs to be weighed against the societal need in light of an ongoing donor shortage.

### Does the Patient Have Traditional Contraindications for Transplantation?

This question should be considered first, because if patients have a well-established contraindication to transplantation, then the question of lung transplantation as a salvage option can be quickly laid to rest. Absolute medical contraindications include active or recent malignancy (minimum 2-year disease-free interval in cancer with a low likelihood of recurrence, although a 5-year interval is preferred), significant chest wall deformity, uncorrectable bleeding diathesis, BMI <17 or >35, or untreatable major organ dysfunction (cardiac, liver disease).<sup>5</sup> Irreversible neurologic dysfunction represents an absolute contraindication to transplantation. Establishing neurologic status can be difficult in unstable patients who develop marked hypoxemia or hemodynamic instability when not sedated. Other contraindications to transplantation include inability to follow a complex medical regimen, active substance abuse before illness (alcohol, illicit or prescription drugs, nicotine), and inadequate social (no postoperative caregiver) or financial support. Although patients may have apparent

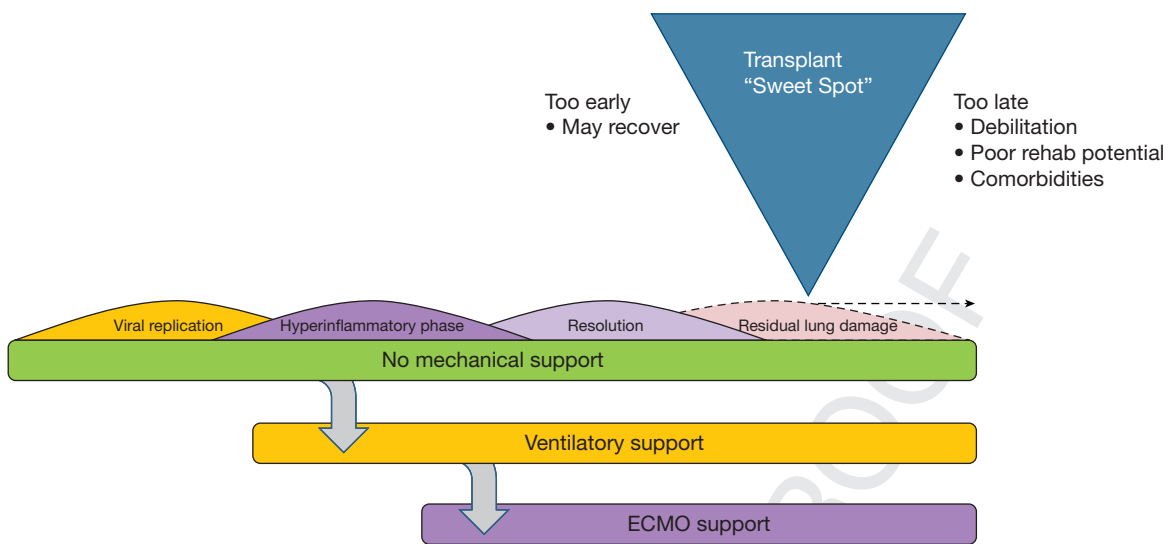


Figure 3 – Figure illustrating the clinical course of COVID-19 acute lung injury and the optimal timing of lung transplantation.

single-organ failure, it should not be forgotten that COVID-19 can be a multisystem disease. Much of the multiorgan involvement can be explained by endothelial

dysfunction, excessive inflammation, and coagulation abnormalities.<sup>20</sup> Although most of these extrapulmonary sequelae manifest during the acute phase of the disease,

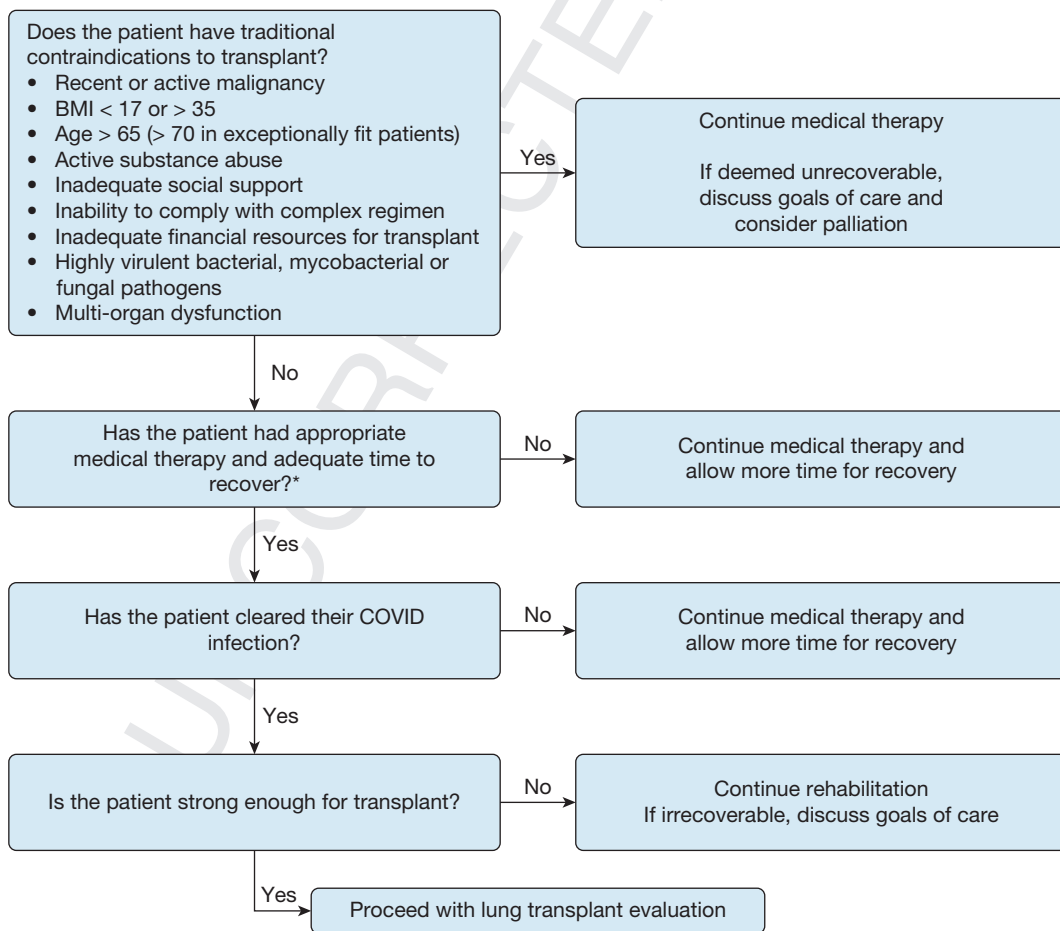


Figure 4 – Algorithm for potential diagnostic approach to evaluation of inpatient lung transplant candidates with COVID-19. \*Adequate time to recovery should consider the individual clinical situation of the patient and must weigh the likelihood of recovery against the risk of development of complications that may be fatal without transplantation.



661 any residual end-organ dysfunction needs to be ruled  
 662 out as part of the standard transplant evaluation,  
 663 because these need to be factored into the patient's  
 664 overall transplant candidacy. In general in the United  
 665 States, insurance coverage is required for adequate  
 666 financial support to proceed with transplantation.  
 667 Advanced age also represents a contraindication for  
 668 transplantation. In general, patients being evaluated for  
 669 LTx who require advanced life support and are in the  
 670 midst of a prolonged hospitalization should be younger  
 671 than age 65, although exceptionally robust individuals  
 672 older than this can be considered on a case-by-case  
 673 basis. The age criteria provided here are somewhat  
 674 arbitrary, but are generally agreed on for post-COVID  
 675 LTx given the relative lack of experience with this  
 676 indication. As the experience with post-COVID  
 677 transplantation grows, perhaps the acceptable age range  
 678 will grow as well.

681 In general, all efforts should be made to wake patients up  
 682 before transplantation to obtain consent for the  
 683 procedure, provide education, assess interest, and engage  
 684 them in active rehabilitation. If mechanical ventilation  
 685 and sedation requirements preclude mobilization and  
 686 rehabilitation, then ECMO support should be strongly  
 687 considered. All patients and their caregivers should  
 688 undergo rigorous LTx education and evaluation by a  
 689 multidisciplinary care team before transplantation.

### 692 Is the Patient's Lung Injury Irreversible?

693 This is often a particularly difficult question to answer  
 694 and requires the best judgment of the lung transplant  
 695 team. Patients should receive appropriate standard-of-  
 696 care medical therapy for their COVID-19 infection to  
 697 optimize the chances for recovery with adequate time  
 698 allowed for lung recovery. Best clinical practices  
 699 regarding lung protective ventilation and negative fluid  
 700 balance are essential to prevent potentiation of lung  
 701 injury. Although arbitrary, a minimum of 4 weeks' time  
 702 for recovery has been suggested in the medical literature,  
 703 unless a life-threatening complication that cannot be  
 704 managed without LTx arises earlier.<sup>21,22</sup> The authors  
 705 agree that 4 weeks is considered an absolute minimum,  
 706 and more often wait for 8+ weeks before seriously  
 707 considering transplantation. Review of CT imaging may  
 708 be helpful as well. Findings suggestive of irreversible  
 709 change include traction bronchiectasis and subpleural  
 710 fibrosis. Anecdotally, we have seen cases with CT  
 711 evidence of "fibrosis" that has subsequently improved.  
 712 On the other end of the spectrum, ground-glass  
 713 infiltrates are commonly encountered early on and are

716 typically due to an alveolar-filling process and hence  
 717 regarded as potentially reversible. However, this  
 718 radiographic pattern can also be attributable to early  
 719 "fine fibrosis," which should be suspected in patients  
 720 who are further out, especially if seen in the context of  
 721 traction bronchiectasis or bronchiolectasis. If evidence of  
 722 organizing pneumonia is present on CT scan, a trial of  
 723 corticosteroids and possibly azithromycin is reasonable.  
 724 CT scanning is also useful in assessing for other  
 725 potentially treatable causes, including pulmonary edema,  
 726 pleural disease, and bacterial pneumonia. Nosocomial  
 727 infection is a potential cause of ongoing lung  
 728 dysfunction as well and should be assessed for and  
 729 treated before making a determination of irreversible  
 730 lung disease. Multidrug-resistant bacterial infections  
 731 were noted to complicate the course of many reported  
 732 patients who underwent transplantation for CARDS,  
 733 and they likely contributed to the irreversible lung  
 734 damage they developed.<sup>22</sup>

### 736 Has the Patient Cleared Their COVID-19 737 Infection?

738 One major concern with transplantation for patients  
 739 with COVID-19 is the potential impact of lingering  
 740 active virus. Even a small inoculum of residual viable  
 741 virus could have potentially devastating consequences,  
 742 especially in the context of profound  
 743 immunosuppression typically employed in the early  
 744 posttransplantation period. With unbridled viral  
 745 proliferation, COVID-19 could result in acute lung  
 746 injury, thereby mimicking and perhaps being  
 747 misdiagnosed as primary graft dysfunction, and thus  
 748 jeopardizing the patient's outcome. To our knowledge,  
 749 this concern has not been realized since transplant  
 750 programs have taken a conservative approach for the  
 751 patients receiving transplants thus far.<sup>21,22</sup> The diagnosis  
 752 of COVID-19 is most commonly established with real-  
 753 time polymerase chain reaction (rt-PCR) testing to  
 754 detect COVID-19 RNA.<sup>23</sup> Testing is generally  
 755 performed on upper respiratory tract samples, although  
 756 lower respiratory tract samples have a higher viral load  
 757 and are less likely to yield a false negative result.<sup>23</sup>  
 758 Having a positive rt-PCR result does not necessarily  
 759 translate into having actively replicating virus, because  
 760 RNA from viral fragments may still yield a positive rt-  
 761 PCR. Unfortunately no test aside from viral culture can  
 762 establish the presence of active virus; however,  
 763 performance of viral culture is not widely available and  
 764 presents infection control issues.<sup>24</sup> Data suggest that  
 765 immunocompetent patients affected with severe or  
 766

771 critical disease do not have replication-competent virus  
 772 20 days after symptom onset; however, severely  
 773 immunocompromised patients may continue to harbor  
 774 active virus for significantly longer periods.<sup>25,26</sup> The  
 775 prolonged harboring of active virus has potential  
 776 implications in patients with preexisting ILD  
 777 exacerbated by COVID-19 who have been managed with  
 778 chronic immunosuppression. It is also conceivable that  
 779 persistent virus may be fostered by therapy with  
 780 corticosteroids or immunomodulators such as  
 781 tocilizumab. As such, a cautious approach to confirming  
 782 clearance of COVID-19 is warranted. Bharat and  
 783 colleagues<sup>21</sup> advocated for two negative rt-PCR tests,  
 784 obtained at least 24 hours apart, from BAL samples in  
 785 intubated patients before proceeding with LTx. For  
 786 patients with no tracheostomy or endotracheal tube, two  
 787 negative upper respiratory tract rt-PCR tests obtained at  
 788 least 24 hours apart would be the minimum threshold  
 789 the authors would require to proceed with LTx.<sup>22</sup>  
 790 Because of persistent positive testing, this approach may  
 791 result in delays in transplantation, but it seems to be a  
 792 reasonable albeit conservative approach to adopt until  
 793 further data are available on this issue.  
 794  
 795  
 796

### 797 Is the Patient Physically Conditioned Enough 798 for Transplantation? 799

800 Most patients with critical COVID-19 will have endured  
 801 prolonged hospitalization and immobilization,  
 802 compromised nutritional status from critical illness, and  
 803 treatment with corticosteroids and neuromuscular  
 804 blockade, all of which predispose to critical illness  
 805 polyneuropathy/myopathy and marked deconditioning.  
 806 Before transplantation, every effort should be made to  
 807 optimize nutritional status and achieve a wakeful,  
 808 interactive state in which patients can participate  
 809 meaningfully in the transplantation process and  
 810 rehabilitation. ECMO support may be required to  
 811 achieve these goals. In exceptional circumstances, a  
 812 patient with a normal baseline functional status and  
 813 good potential for recovery post-LTx whose pulmonary  
 814 status precludes rehabilitation before transplantation  
 815 could be considered. Whether rehabilitation potential  
 816 and frailty present a contraindication to LTx must be  
 817 interpreted in the context of the patient's global clinical  
 818 picture and must rely on the clinical judgment of the  
 819 multidisciplinary transplant team. In addition to their  
 820 physical functional ability, their mental resilience is  
 821 equally important in withstanding the acute  
 822 psychological stress of transplantation, as well as the  
 823 long-term commitment to a strict medical regimen. This  
 824  
 825

is especially difficult for patients who were well before  
 their COVID-19 infection and who have not had the  
 time to accept or adapt psychologically to their new  
 reality.

### Should Dual Organ Transplantation Be Considered?

The experience with dual organ transplantation for  
 COVID-19 patients is limited. Acute kidney injury  
 (AKI) is estimated to occur in approximately 35% of  
 patients hospitalized with COVID-19, with 12% to  
 15% requiring renal replacement therapy.<sup>27,28</sup>  
 Mechanical ventilation is a risk factor for severe AKI.  
 Given the potential reversibility of AKI in COVID-19,  
 the appropriateness of proceeding with renal  
 transplantation in this setting is questionable. Some  
 centers have elected to pursue LTX in patients with  
 COVID-19 complicated by AKI requiring renal  
 replacement therapy who were deemed to have a high  
 likelihood of renal recovery. A lung-kidney  
 transplantation has been performed in a patient with  
 lung and renal failure deemed irreversible.<sup>29</sup> One heart-  
 lung transplant for COVID-19 in a patient with  
 preexisting cardiomyopathy has been reported.<sup>30</sup> To our  
 knowledge, no lung-liver transplantations for COVID-  
 19 have been performed as of yet. Although it is possible  
 that dual organ transplantation could be entertained in  
 the future for highly select candidates, at this time, the  
 authors believe that multi-organ dysfunction should  
 preclude candidacy for LTx in most candidates.

### Are There Other Issues Specific to COVID-19 to Consider When Performing a Transplantation?

Given that the LTx recipient will be tested for and  
 proven clear of COVID-19 infection before  
 transplantation, the operation need not be performed in  
 a negative-pressure environment. Surgical teams may  
 consider wearing N-95 or equivalent masks and eye  
 protection in addition to standard gown and gloves.  
 Bilateral lung transplantation for COVID-19 has been  
 recommended, because many patients develop  
 significant pulmonary hypertension.<sup>23,24</sup> Additionally,  
 explants from COVID-19 LTX recipients revealed  
 cavitory areas of pneumonia that could serve as a nidus  
 of infection if a single-lung transplantation was  
 performed.<sup>23</sup> Single-lung transplantation can be  
 considered on a case-by-case basis, even in the presence  
 of pulmonary hypertension, especially in patients who  
 are in dire straits with a short window to receive a

881 transplant. There may be added theoretic attraction to  
 882 single-lung transplants, because in some patients this  
 883 could serve as a “bridge to recovery” of the remaining  
 884 native lung. Intraoperatively, surgical teams should be  
 885 prepared for bleeding given the likelihood of pleural  
 886 adhesions and platelets dysfunction in patients managed  
 887 with preoperative ECMO support.<sup>24</sup> Transplant centers  
 888 undertaking these cases should be experienced in high-  
 889 acuity transplantation, with robust resources for  
 890 extracorporeal support and postoperative rehabilitation.  
 891 Our collective experience in performing transplantation  
 892 in these patients is that their course and risk of specific  
 893 posttransplantation complications, pulmonary or  
 894 extrapulmonary, such as acute kidney injury, is no  
 895 different from that of a general transplant population.  
 896 This is likely because of these patients being closely  
 897 vetted for end-organ dysfunction before acceptance.  
 898  
 899

### 900 Case 1 Follow-up

901 The patient was treated with a course of corticosteroids  
 902 and completed pulmonary rehabilitation. On follow-up  
 903 6 months after his initial hospitalization, his FVC had  
 904 increased by approximately 100 mL to 1.9 L  
 905 (50% predicted), and his 6MWT had increased by 75 m  
 906 to 316 m, with decreased need for supplemental oxygen.  
 907 He felt less dyspneic with activities of daily living. The  
 908 decision was made to continue rehabilitation and follow-  
 909 up in several months, but to defer initiation of a lung  
 910 transplant evaluation and assess for ongoing  
 911 improvement.  
 912  
 913

### 914 Case 2 Follow-up

915 In the setting of no significant clinical improvement  
 916 despite maximum respiratory support, the patient  
 917 underwent an expedited lung transplant evaluation.  
 918 After 10 weeks in the hospital, 7 of which were on  
 919 venous-venous ECMO and mechanical ventilation, she  
 920 received a bilateral lung transplant. Of note, she had two  
 921 negative COVID swabs and cleared COVID precautions  
 922 per the hospital epidemiology team before being listed  
 923 for transplantation. She had a full recovery with minimal  
 924 complications, and on postoperative day 16 was  
 925 discharged to an acute rehabilitation facility without any  
 926 subsequent oxygen needs.  
 927  
 928  
 929

### 930 Conclusion

931 COVID-19 can result in severe, irreversible lung injury.  
 932 In these cases, LTx may represent the only viable  
 933 therapeutic option, albeit in a very small, highly select  
 934 group of patients. This patient population presents a  
 935

number of unique challenges for providers that require  
 careful consideration. Likely COVID-19-associated lung  
 disease will impact the field of ILD and LTx for years to  
 come. Further study is required to determine the natural  
 history of COVID-19-related lung disease. Questions to  
 be addressed through future research include which  
 patients are likely to fully recover, who will be left with  
 residual lung injury, and who will progress to develop  
 persistent or progressive fibrosis, requiring transplant  
 consideration. Further study is also required to  
 determine whether outcomes from LTx for COVID are  
 equivalent to other indications and whether these  
 patients are at risk for unique post-LTx complications,  
 including VTE and neurocognitive issues. An  
 International Registry of COVID-related lung  
 transplants could provide a foundation for expediting  
 the answers to these and other emerging questions in  
 this nascent area.

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