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Posttraumatic Stress Disorder Enters the Age of Large-Scale Genomic Collaboration

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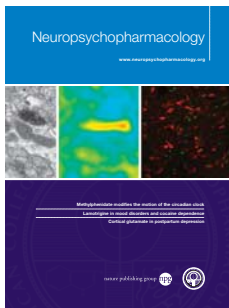
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The Psychiatric Genomics Consortium Posttraumatic Stress Disorder Workgroup: Posttraumatic stress disorder enters the age of large-scale genomic collaboration.

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Abstract

The development of posttraumatic stress disorder (PTSD) is influenced by genetic factors. Although there have been some replicated candidates, the identification of risk variants for PTSD has lagged behind genetic research of other psychiatric disorders such as schizophrenia, autism and bipolar disorder. Psychiatric genetics has moved beyond examination of specific candidate genes in favor of the genome-wide association study (GWAS) strategy of very large numbers of samples, which allows for the discovery of previously unsuspected genes and molecular pathways. The successes of genetic studies of schizophrenia and bipolar disorder have been aided by the formation of a large-scale GWAS consortium: the Psychiatric Genomics Consortium (PGC). In contrast, only a handful of GWAS of PTSD have appeared in the literature to date. Here we describe the formation of a group dedicated to large-scale study of PTSD genetics: the PGC-PTSD. The PGC-PTSD faces challenges related to the contingency on trauma exposure and the large degree of ancestral genetic diversity within and across participating studies. Using the PGC analysis pipeline supplemented by analyses tailored to address these challenges, we anticipate that our first large-scale GWAS of PTSD will comprise over 10,000 cases and 30,000 trauma-exposed controls. Following in the footsteps of our PGC forerunners, this collaboration—of a scope that is unprecedented in the field of traumatic stress—will lead the search for replicable genetic associations and new insights into the biological underpinnings of PTSD.

Introduction

Posttraumatic stress disorder (PTSD) occurs in only a minority of persons exposed to traumatic events (Breslau *et al*, 1998; Kessler *et al*, 1995). Factors that influence PTSD susceptibility include sex, age, early life adversity, the nature and timing of traumatic event exposure(s), the cumulative burden of these exposures, as well as various other psychosocial and personality factors (Zoladz and Diamond, 2013). In the U.S., race/ethnicity impacts the rate, type, and age at traumatic-event exposure, as well as the risk for development of PTSD after exposure (Roberts *et al*, 2011). Moreover, some events are more pathogenic than others. Events of an interpersonal nature, e.g., rape, partner violence, and assault, confer greater risk of developing PTSD than other types of trauma, e.g., natural disasters (Kessler *et al*, 1995). Twin studies have indicated that risk of exposure to some types of trauma may itself be heritable, which is known as a gene-environment correlation (rGE) effect. Lyons *et al*. (1993) using data from the Vietnam Era Twin Registry (Eisen *et al*, 1987; Goldberg *et al*, 1987), found that the heritability of combat exposure ranged from 35 to 47%. In civilians, Stein *et al*. (2002) found that exposure to interpersonal traumatic events was modestly heritable (~20%). The rGE for trauma exposure appears to be largely explained by genetic influences on personality (Afifi *et al*, 2010; Jang *et al*, 2003). For example, sensation seeking is a heritable personality trait that is characterized by engaging in behavior, such as driving at high speeds (Zuckerman, 1994), which may increase the likelihood of trauma exposure. In addition, the risk of PTSD following trauma exposure is moderately heritable, even

after controlling for the genetic influences on trauma exposure. Twin studies established that genetic influences explain a substantial proportion of vulnerability to PTSD, from approximately 30% in male Vietnam veterans (True *et al*, 1993), to 38% in a sample of male and female civilians (Stein *et al*, 2002), with an upward heritability estimate of 72% in young women (Sartor *et al*, 2011). This is comparable to other internalizing disorders such as major depressive disorder and panic disorder (Kendler and Prescott, 2007). Furthermore, genetic influences on PTSD may overlap with those for other mental disorders. The genetic influences on major depressive disorder and PTSD may substantially overlap (Fu *et al*, 2007; Koenen *et al*, 2007; Sartor *et al*, 2012). Phenotypes like alcohol and drug dependence (Sartor *et al*, 2011; Xian *et al*, 2000) and nicotine dependence (Koenen *et al*, 2005) share approximately 40% genetic risk with PTSD. Genetic influences common to generalized anxiety disorder and panic disorder symptoms account for approximately 60% of the genetic variance in PTSD (Chantarujikapong *et al*, 2001).

The search for genetic markers of PTSD is a relatively new endeavor, with the majority of studies conducted within the last decade. These investigations involve genotyping (measuring variation at) a particular location along the genome. Individuals' particular genetic code, (genotype) at a location (locus) is then compared for a sample of cases and controls. Most research to date has employed the candidate-gene approach, in which genes are selected for study based on their theorized involvement in biological pathways implicated in the pathophysiology of PTSD. Given that PTSD has historically been conceptualized as a disorder of pathological fear and stress (Wilker and Kolassa, 2013), most studies have focused

on candidate genes involved in biological systems associated with the fear response, including the hypothalamic-pituitary-adrenal (HPA) axis (e.g., *FKBP5*, *CRHR1*) and the locus coeruleus-noradrenergic system (e.g., *COMT*, *ADRB1* & *ADRB2*).

Additional work has examined serotonergic and dopaminergic systems involved in the neural pathways underlying emotion (e.g., *SLC6A4*, *SLC6A3*), and systems involved in memory consolidation and stabilization (e.g., *WWC1*, *PRKCA*). Candidate gene studies of PTSD have produced a large body of literature (Pitman *et al*, 2012; Wilker *et al*, 2013). However, candidate gene studies have, for the most part, failed to replicate when the definition of replication is restricted to the observation of a significant association in the same allele with the same effect direction (see Sullivan, 2007 for a discussion of replication in candidate genes studies). To date, relatively few candidate gene studies of PTSD have examined gene-environment (GxE) interactions, an approach that may be particularly well-suited for examining genetic risk in PTSD. However, candidate gene GxE studies in psychiatric literature have been prone to false positives and suitable replication has been difficult to obtain (Duncan and Keller, 2011). Thus, as in the larger psychiatric genetics literature (Psychiatric Gwas Consortium Coordinating Committee *et al*, 2009), for the most part, robust support for markers associated with risk or resilience for PTSD has not emerged from candidate gene studies.

In contrast to candidate gene studies, in a genome-wide association study (GWAS), genetic variation—primarily single nucleotide polymorphisms (SNPs)—is examined without hypothesizing the role of any particular gene or biological function (Psychiatric Gwas Consortium Coordinating Committee *et al*, 2009). The

viability of a GWAS strategy is predicated on the relatively low cost of chip-based genotyping which reliably and cheaply assesses thousands or even millions of SNPs distributed throughout the genome. Chip-based genotyping cannot yield information about rare or even private (present in only one person or shared within a particular family) mutations, except in the case of rare or private large copy number variants (CNVs) which can be detected by examining the assays across multiple SNPs. To examine other types of rare variants, more costly whole-genome or whole-exome sequencing is required. Consequently, the investigation of rare variants has primarily been addressed through sequencing, while common-variant associations have been assessed through chip-based genotyping. It is customary to examine hundreds of thousands or millions of SNPs in a single GWAS. As the number of SNPs examined is great, and the number with individually detectable effects is presumably small, strict multiple-testing control is required to reduce the number of false positives. The current customary significance threshold is $p < 5 \times 10^{-8}$ for a genome-wide study regardless of the particular number of SNPs examined. This strict threshold is useful in that it gives some assurance that the detected loci will be robustly associated with the disorder under study.

To date, four GWAS of PTSD have been published (Guffanti *et al*, 2013; Logue *et al*, 2013; Nievergelt *et al*, 2015; Xie *et al*, 2013). The genome-wide significant findings of each are summarized in Table 1. Although the roles of these GWAS-identified genes in risk for PTSD have not been elucidated, the top loci identified in the extant GWAS have been implicated in a variety of processes, including neuroprotection, actin polymerization, neuronal function, and immune function

(Almli *et al*, 2014b; Guffanti *et al*, 2013; Logue *et al*, 2013; Xie *et al*, 2013). Notably, the GWAS have identified variants in novel pathways that would not have been examined using the biologically driven candidate-gene methodology. So far, the findings from the different studies have not consistently implicated a primary set of PTSD risk loci. Numerous factors may contribute to this including one or more of them being false positives, heterogeneity across samples, and a statistical artifact of the “winner’s curse” which implies that effect size estimates will be inflated for moderately powered studies (Xiao and Boehnke, 2009). It is important to note that samples sizes under 5,000 or even 10,000 are now considered to be relatively 'small' by modern GWAS standards (Sullivan *et al*, 2012). Convincing demonstrations of association now come from GWAS of tens or even hundreds of thousands of individuals (Lango Allen *et al*, 2010).

The PGC and Progress in Psychiatric Genetics.

While the results of the PTSD GWAS published to date may prove useful, experience from GWAS of other psychiatric disorders has made it clear that large-scale collaborations are necessary to yield consistently replicable findings. The Psychiatric Genomic Consortium (PGC) was organized in 2007 as an outgrowth of the Genetic Association Information Network (GAIN)—a joint public-private funded venture to study attention deficit/hyperactivity disorder (ADHD), diabetic nephropathy, major depressive disorder, psoriasis, schizophrenia and bipolar disorder (Gain Collaborative Research Group *et al*, 2007). The PGC had as its goal to

conduct GWAS studies of ADHD, bipolar disorder, major depressive disorder, and schizophrenia, and later autism spectrum disorder (Psychiatric Gwas Consortium Coordinating Committee *et al*, 2009; The Psychiatric GWAS Consortium Steering Committee, 2009). The PGC was designed to bring together psychiatric GWAS from around the world to enable adequately powered analyses. By centralizing analyses under a consortium umbrella, the PGC has overcome the substantial challenges of harmonizing quality control procedures, analytic methods, and phenotype definitions to enable GWAS meta- and mega-analyses (Sullivan, 2010). By adequately powering analyses, and standing by strict definitions of significance from the outset, the PGC has encouraged the production of high-quality replicable genetic associations.

The PGC has become the largest collaborative effort in the history of psychiatry and, as of this writing, comprises more than 500 scientists from more than 100 countries. More than 172,000 subjects have been included, and genotyping of an additional 90,000 is currently underway. PGC efforts have established that sufficiently powered GWAS is a viable strategy for identifying neuropsychiatric disorder susceptibility loci for bipolar disorder (Psychiatric GWAS Consortium Bipolar Disorder Working Group, 2011) and schizophrenia (Ripke *et al*, 2011). The PGC has enabled discovery of a large number of reliably associated genetic loci, 108 for schizophrenia alone at last count (Schizophrenia Working Group of the Psychiatric Genomics, 2014). The PGC analyses have also given us an insight into the genetic architecture of psychiatric disorders (Collins and Sullivan, 2013). In particular, these analyses have demonstrated that psychiatric disorders are

polygenic (having hundreds or even thousands of risk loci) and that common variation accounts for a substantial component of the underlying genetic architecture. Their results have indicated that GWAS-significant loci represent the tip of the iceberg in terms of the proportion of variance explained by inherited genetic variation, and the remaining variation is likely to represent a mix of rare and common genetic effects. For example, in schizophrenia, the proportion of variation explained by the 108 genome-wide significant loci was 3.4% (Schizophrenia Working Group of the Psychiatric Genomics, 2014), while estimates of the total proportion of variation explained by common genotyped SNPs has been estimated to be approximately 25% and 45% depending on the population and method used (International Schizophrenia *et al*, 2009; Lee *et al*, 2012; Ripke *et al*, 2013). In addition to common variants, rare variants such as CNVs were found to explain a proportion of risk for schizophrenia, bipolar disorder, and autism (Malhotra and Sebat, 2012). Results in schizophrenia also suggest that many of the genome-wide significant loci obtained at smaller sample sizes will turn out to be significant once the sample size gets large (Schizophrenia Working Group of the Psychiatric Genomics, 2014). The polygenic nature of the psychiatric disorders is such that once the sample size is sufficiently large, the genome-wide distribution of association statistics will differ from the expected null distribution (Schizophrenia Working Group of the Psychiatric Genomics, 2014). A new method called LD regression has been developed to test whether or not genomic inflation in this context represents a polygenic risk component to disease or inflated significance due to population substructure (Bulik-Sullivan *et al*, 2015b).

Also importantly, as the list of risk loci has expanded, they have begun to coalesce into biological pathways, illuminating disease pathogenesis and implicating new targets for drug development (Cross-Disorder Group of the Psychiatric Genomics Consortium, 2013; Nurnberger *et al*, 2014; Schizophrenia Working Group of the Psychiatric Genomics Consortium, 2014). For example, recent analyses have highlighted the role of immune system and glutamatergic function in schizophrenia (Schizophrenia Working Group of the Psychiatric Genomics Consortium, 2014) and calcium channel signaling across childhood- and adult-onset disorders (Cross-Disorder Group of the Psychiatric Genomics Consortium, 2013). The PGC Cross-Disorder Workgroup identified several loci that appear to confer risk across autism, ADHD, bipolar disorder, major depressive disorder, and schizophrenia (Cross-Disorder Group of the Psychiatric Genomics Consortium, 2013). Aggregate genome-wide analyses (using SNP-heritability estimates and polygene scores) showed significant genetic overlap among these disorders, with the strongest overlap between bipolar disorder and schizophrenia (genetic correlation = +0.68; Cross-Disorder Group of the Psychiatric Genomics Consortium *et al*, 2013). By highlighting shared biologic vulnerability, this work may inform efforts to refine psychiatric nosology. Recently Bulik-Sullivan *et al.* (2015a) have developed a new computationally efficient technique for estimating cross-trait genetic correlation based on LD regression. The results obtained with this new method mirror earlier work showing genetic correlation between schizophrenia and bipolar disorder. However, this new method has the advantage that it can be run on summary statistics from both traits, rather than necessitating individual-level data.

The PGC has also led the development of the PsychChip. The PsychChip is an Illumina (San Diego, CA, USA) genotyping chip that assesses approximately 560,000 markers. It is designed to be suitable for analysis of psychiatric traits and for use in the imputation of genome-wide SNP genotypes (described in the Supplementary Materials).

The PGC-PTSD Workgroup

Drs. Koenen, Ressler and Liberzon founded the PGC-PTSD Workgroup (PGC-PTSD) in May 2013 with a satellite meeting at the Society of Biological Psychiatry co-sponsored by NIMH and One Mind, a patient advocacy non-profit organization (<http://onemind.org>). The PGC-PTSD has as its goal the bringing together of a large number of PTSD researchers for the purpose of large-scale GWAS studies of PTSD.

The Sample: The size and characteristics of the groups anticipated to participate in the PGC-PTSD are summarized in Table 1. First, six groups have uploaded genotype data that will be used in the initial PGC-PTSD analysis. This includes a combined sample size of 20,468 subjects (4,487 cases and 15,981 controls). Second, an additional 53,552 subjects from 13 studies have been genotyped (19,408 cases and 34,144 controls). Third, there are 20 studies with genotyping in process or planned (N=71,757; 24,439 cases and 47,318 controls). Many of these studies will be using the PsychChip. Data collection sites are from across the U.S. (e.g., Atlanta, San Diego, New Haven, Detroit) and include three additional countries (Denmark, the Netherlands and South Africa). Like other PGC

disorders, we expect that this initial sample is merely the first foray into large-scale meta-analyses.

The vast majority (>80%) of controls across these studies have experienced a trauma fulfilling the exposure criterion for PTSD. Hence, the PGC-PTSD sample will have a large trauma-exposed control group available for comparison to PTSD cases. Focusing on trauma-exposed individuals may be useful, as any PTSD risk allele, which will have an increased rate in PTSD cases, will presumably have a lower frequency in PTSD-negative trauma-exposed controls than in trauma negative or unscreened controls. Hence, all other things being equal, the greater difference in allele frequency between PTSD cases and trauma-exposed controls will lead to greater power to detect associations than a sample that includes trauma-negative or unscreened controls. Utilizing unscreened controls in the presence of rGE effects could result in associations representing a mix of loci, some of which were associated with risk of PTSD in the presence of trauma exposure and some of which were related to the risk of trauma exposure itself. The use of only trauma-exposed controls and inclusion of degree of trauma exposure as a covariate in analyses should be adequate to place our focus tightly on loci that increase risk of PTSD directly.

Phenotype and exposure measurement complexity: The harmonization of data across PGC-PTSD groups, like that for other psychiatric disorders, is complicated by variability in the assessment methods used. Two major approaches to the assessment of PTSD symptoms and diagnosis—structured clinical interviews and self-report instruments—are represented, with the primary distinction

between them related to the source of the data (i.e., clinician ratings versus participant self-report). Of the 6 samples already uploaded to the PGC-PTSD, 5 used self-report measures and one used clinician ratings. The major limitation of clinical interviews is the considerable time and expense involved in training and administration, which renders this approach impractical for many studies. Studies featuring some of the largest samples have used self-report instruments to assess symptoms and estimate diagnosis. Additionally, although not yet investigated within the PGC-PTSD group, there is the possibility of using diagnostic information from additional sources such as from electronic health records, which can provide evidence of convergent validity. Finally, methods for determining diagnostic status (i.e., cases versus controls) differ between interview and self-report approaches, as well as across traumatized populations. Interview-based studies, based on the judgment of trained clinicians, typically apply the DSM algorithm (i.e., for DSM-IV, one reexperiencing symptom, three avoidance and numbing symptoms, and two hyperarousal symptoms). With self-report measures, diagnostic classifications are somewhat less straightforward. The DSM-IV algorithm can also be applied by defining symptoms endorsed above a given severity threshold level as present (i.e., causing moderate or greater distress). However, patterns of item endorsement tend to vary across items and populations, so the application of a uniform criterion can yield significant differences in composition of cases across samples. Alternatively, PTSD diagnostic status can be determined in relation to a total symptom severity score cutoff. Studies that have examined the relationship between probable diagnoses derived from this approach versus interview measures of PTSD have

found acceptable, though not excellent, agreement (see e.g. McDonald *et al*, 2009; McDonald and Calhoun, 2010). Studies have shown that for any given measure the optimal score for differentiating cases from controls differs across samples and can be influenced by a host of factors, most notably, the base rate of the diagnosis in the sample (for a meta-analysis of PCL studies, see Terhakopian *et al*, 2008). Thus, because the same instrument can yield different classification performance across different samples, our cutoff score selections will take into account independent estimates of the true base rate of the sample.

The harmonization of measures of trauma exposure across studies is an additional complication for PTSD genetics research. Though the DSM offers a broad definition of the types of events known to cause PTSD, there is no uniform or generally agreed-upon framework for categorizing or measuring them. A variety of self-report measures of trauma exposure are represented among PGC-PTSD studies. Most consist of a list of events that meet the DSM-IV PTSD Criterion A1 trauma definition including exposure to sexual or physical assault, combat or warfare, sudden death of friend/loved one, etc. Most also make it possible to re-classify events for harmonization purposes into broader categories such as childhood versus adult trauma, or interpersonal versus non-interpersonal trauma, or to compute a measure of total trauma load (i.e., a sum of event exposure categories across the lifespan).

The instruments used in the various studies also differ with respect to the way that they link PTSD to the trauma. In clinical interview instruments such as the Clinician Administered PTSD Scale for DSM-IV (CAPS-IV; Blake *et al*, 1990) and the

Structured Clinical Interview for DSM-IV Axis I Disorders (SCID; First *et al*, 1994), interviewers identify an index event(s) and then evaluate its link to subsequent symptoms while accounting for confounding factors such as comorbidity, substance abuse, medical issues, and reporting style. For self-report measures (e.g. the PTSD Checklist [PCL]; Weathers *et al*, 1993), approaches range from those that link symptoms to a single event, to those that do not reference a single event, to those that reference military experience broadly.

Ancestry: Most extant PGC GWAS have been restricted to a single ancestral population because population stratification can lead to Type I and Type II errors in genetic association studies (Marchini *et al*, 2004). Psychiatric research in the U.S. and Europe has traditionally enrolled a relatively large proportion of subjects of European ancestry, and consequently, GWAS in the PGC have been performed primarily using subjects of European ancestry (Figure 1A). In contrast, PTSD studies have recruited subjects primarily from high-risk populations, such as combat-veteran cohorts, or in urban areas with high rates of violent crime, and thus PGC-PTSD samples include a large proportion of subjects of African American and Hispanic/Latino ancestry (Figure 1B). GWAS on such heterogeneous and admixed samples require additional considerations (e.g., Pasaniuc *et al*, 2011). Combining across multiple ancestry groups via meta-analysis has become more common in the recent past (see e.g. Nievergelt *et al*. 2014 and Li and Keating, 2014 for review).

Research Strategy

PTSD meta-analysis: Our proposed analysis strategy is described in the Supplementary Materials and is briefly outlined here. Standardized quality control procedures and GWAS analysis based on the PGC GWAS-analysis pipeline will be used (Ripke *et al*, 2013). Harmonized versions of continuous predictive variables and outcomes (e.g. PTSD severity) will be generated based on within-study normalization of the instruments available. Categorical outcome and predictor variables will, for the most part, be based on the diagnostic schema adopted by the principal investigator of the particular study taking into account sample- and measurement- factors that affect prevalence estimates. The efficacy of the harmonization will be assessed using descriptive statistics and by examining correlations between predictive variables, outcomes, and reported demographic information from each group. Our primary analysis will be a GWAS meta-analysis of PTSD followed by a GWAS of PTSD severity, both controlling for potential sources of bias as well as trauma-exposure variables. Based on a consensus of participating group members at in-person PGC-PTSD planning meetings, we determined to utilize dichotomous DSM-IV diagnosis as the primary phenotype. Initially, this analysis will be restricted to trauma-exposed controls. The pipeline will be modified to account for greater population stratification between and within PGC-PTSD groups compared to the typical PGC analysis. Both within-ancestral group and cross-ancestral group meta-analysis will be performed. Subsequent investigation will include analyses of rare variants, including structural variants such as copy number variation (CNV).

The PGC-PTSD has already assembled a substantial aggregate sample size, as well as an extensive set of samples that will be genotyped if funding allows. The power to detect a SNP effect in a GWAS analysis varies as a function of the size of the effect, the allele frequency of the SNP, and the sample size. This is illustrated in Figure 2, which displays the minimum effect size that yields 80% power as a function of the SNP allele frequency and sample size. An analysis including the 45,000 the samples that have currently funded genotyping will have 80% power to detect a locus with a genotype relative risk (GRR) between 1.2 and 1.11 for allele frequencies between 5% and 20%. Increasing the sample size to 60,000 will allow us to detect a locus with a GRR between 1.17 and 1.1, respectively.

GxE analyses. In addition to the standard GWAS meta-analysis, a secondary aim of the PGC-PTSD is to conduct a series of GxE analyses. Some readers may be surprised that this is not the primary analysis for PTSD. Although we are well aware of the conceptual relevance of GxE models to PTSD, the statistical challenges associated with GxE analyses are formidable. First, although PTSD clearly results from the interaction of trauma with genetic predisposition, it is unclear whether or not the biological realities of such an interaction are captured by testing deviations from a multiplicative logistic regression model (Thompson, 1991). Second, the significance of the GxE interaction term estimated using standard regression models can be inflated under commonly occurring conditions (Almli *et al*, 2014a; Voorman *et al*, 2011). Third, obtaining reasonable power in GxE analysis takes sample sizes larger than those required for main effect analyses. A sample four times as large has been proposed as a rule of thumb (Thomas, 2010). Finally, the power and bias of

different GxE analysis methods vary depending on the nature of the interaction (Cornelis *et al*, 2012; Mukherjee *et al*, 2012).

Approaches used previously in PTSD-genetics studies have ranged from including cumulative lifetime 'trauma load' as a covariate in the analysis (Kolassa *et al*, 2010) to explicitly testing for GxE interactions (Digangi *et al*, 2013). To date, there have been no genome-wide GxE studies of PTSD. Although the single genome-wide GxE study published in psychiatry to date (a study of ADHD) did not yield significant findings (Sonuga-Barke *et al*, 2008), genome-wide GxE studies have been successful in other areas (e.g. Beaty *et al*, 2011).

The PGC-PTSD will use a two-stage strategy to examine GxE effects. First, given the likelihood of developing PTSD increases with exposure to childhood trauma, interpersonal violence, and with increasing trauma load, GxE models will test the hypothesis that the effects of risk variants for PTSD (identified through the primary GWAS) are moderated by these environmental variables. The second approach is a 'genome-wide GxE' meta-analysis approach that will systematically interrogate the genome for GxE effects between SNPs and these three environmental variables. This will include fitting a logistic regression model of PTSD and linear model of PTSD severity as a function of a SNP x childhood trauma, SNP x interpersonal trauma, and SNP x total trauma load interaction effects using robust standard errors to combat genome-wide inflation of significance. Follow-up analyses will examine the effect of multiple characteristics of trauma exposure, including trauma load, type, timing, and severity. Finally, we note that the data

gathered here will provide a resource for secondary analysis and methodological development, as has been the case for other PGC disorders such as schizophrenia.

Comorbidity. PTSD is highly comorbid with other psychiatric disorders, and a substantial proportion of this comorbidity may be explained by common genetic influences (Koenen *et al*, 2003; Wolf *et al*, 2010). Hence, in this context comorbidity may present an opportunity to explore potential overlapping genetic effects in our sample. We propose to follow the PGC cross-disorder model and perform a polygenic architecture analysis with polygenic risk scores and LD regression to determine the proportion of genetic variance (heritability) common across PTSD and other psychiatric disorders.

PGC-PTSD Subgroups.

The PGC-PTSD also represents the confluence of vast reserves of PTSD-related information that will enable large-sample investigations of PTSD-associated epigenetic, neuroimaging, and other neurobiological measures. In order to facilitate the analysis of these data, a pair of focus groups have been created within the PGC-PTSD workgroup: the PGC-PTSD Epigenetics Workgroup and the PGC-PTSD Neuroimaging Genetics Workgroup.

PGC-PTSD Epigenetics Workgroup. Recent, “stand alone” genome-scale studies of PTSD epigenetics and gene expression have provided initial insight into molecular dysregulation associated with the disorder (Mehta *et al*, 2013). Epigenetics provides a molecular context to GxE interactions by offering a biological mechanism through which gene expression can vary in response to an

environmental exposure (see e.g. Latham *et al*, 2012). Genetic variation has been shown to influence DNA methylation and gene expression levels, often in tissue-specific and developmental stage-specific manners; so-called methylation trait quantitative loci (meQTLs) and expression trait quantitative loci (eQTLs) have been identified across the genome in numerous studies to date (see e.g. Smith *et al*, 2014). Although genome-scale studies of PTSD-associated meQTLs and eQTLs have yet to be reported, focused candidate gene studies have revealed notable examples of each (see e.g. Klengel *et al*, 2013; Mehta *et al*, 2011; Ressler *et al*, 2011). Within the PGC-PTSD, there are several groups with both genome-wide genotype and methylation data, with a current total $n=1,114$. The PGC-PTSD Methylation Workgroup has as its goal the creation of a large PTSD-focused methylation dataset that can be used to identify whether gene expression or methylation act as mediators of the association between SNPs and PTSD risk as well as identifying PTSD-relevant eQTLs and meQTLs that can be examined for association to PTSD and trauma exposure.

PGC-PTSD Neuroimaging Workgroup. The PGC group members have a large number of participating groups with neuroimaging data. Within the PGC-PTSD there are over 5,000 samples that will have both structural MRI and GWAS data available. Smaller datasets of DTI, resting-state fMRI, MEG and other imaging modalities are also available. These data will allow the investigation of how genomic markers modulate neuroimaging quantitative traits (QTs) associated with PTSD. The uncertainty associated with psychiatric nosology makes reference to an intermediate biological variable attractive, as the heritability of intermediate phenotypes such as regional brain volumes is often 80% or higher (den Braber *et al*,

2013). However, these will not represent a magic bullet. Given the results of the ENIGMA group, a neuroimaging GWAS meta-analysis consortium, effect sizes observed for individual SNPs on brain structures are likely to be modest and require large sample sizes to be adequately powered (Hibar *et al*, 2015; Stein *et al*, 2012). The PGC-PTSD Neuroimaging Workgroup will facilitate the creation of a large PTSD-focused neuroimaging dataset to investigate genomic markers for association to cortical and subcortical volumes such as hippocampus, amygdala, and medial prefrontal cortex structures as well as regional cortical thickness changes that are associated with PTSD. Genomic markers found to predict imaging QTs may play a role in PTSD symptoms or diagnoses (Meyer-Lindenberg and Weinberger, 2006).

Discussion

There are several ways in which the PGC-PTSD will represent and advance the current cutting-edge of PTSD genetics research. First and foremost, the PGC-PTSD will build on what the PGC has learned in other disease domains. We believe that the PGC-PTSD, through its investigation of genetic variation, epigenetic variation, and neuroimaging characteristics of PTSD will provide new and important insights into the biological underpinnings of PTSD risk. The PGC-PTSD additionally has the goal of developing clinically useful biomarkers of PTSD. The work of the PGC-PTSD will inform the development of at least three types of clinical biomarkers. The first are *predictive* biomarkers that reliably distinguish persons at high versus low risk for the development of PTSD following trauma. A gene or combination of genes associated with PTSD may, in conjunction with other information, contribute

to an algorithm for estimating the risk of developing PTSD. Such a risk algorithm could be used in first-response settings or the military to better target preventive interventions.

The second type of biomarker likely to be informed by the discoveries of the PGC PTSD working group is related to *treatment matching*. There are several effective interventions for PTSD including prolonged exposure, cognitive processing therapy, skills training in affective and interpersonal regulation, and pharmacological interventions. However, little is known about which of these treatments might be most effective for which patients. One of the long-term goals of the PGC-PTSD will be to examine whether patients with specific combinations of genetic variants and environmental exposures respond differentially to evidence based treatments.

The third type of biomarker that may be informed by the work of the PGC-PTSD is *relapse prediction*. Several of the studies included in the PGC-PTSD meta-analysis are longitudinal and a few are truly prospective (Baker *et al*, 2012; Goldmann *et al*, 2011). Thus, we will be able to examine whether genetic variants associated with PTSD also predict the clinical course of the disorder. If patients with a specific combination of genetic and environmental risk factors are at higher risk of relapse, such patients could be targeted with relapse prevention strategies.

Knowledge of the genetic and environmental architecture of PTSD has the potential to advance our understanding of the pathophysiology of the disorder and inform treatment development. Of particular interest is the development of preventive pharmacological interventions for PTSD that could be administered in

the acute aftermath of traumatic events. Many pharmacological agents have been explored in this regard including propranolol and hydrocortisone, but none have shown decisive efficacy for PTSD prevention in large RCTs. The success of GWAS of schizophrenia and bipolar disorder has led to the identification of new treatment targets (Cross-Disorder Group of the Psychiatric Genomics Consortium, 2013; Nurnberger *et al*, 2014; Schizophrenia Working Group of the Psychiatric Genomics Consortium, 2014). Clinical trials are underway to determine whether these will translate into more effective treatments. Rather than simply generating a list of associated DNA variants, our goal is to produce the same successes for PTSD.

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Tables:**Table 1.** Results of GWAS of PTSD.

First Author (year)	# Markers	Discovery Sample(s)		Sample	Gender	Ancestry	Replication Sample(s)		Characteristics	Locus	Genes (SNP)	p-value
		# Cases	# Controls				# Cases	# Controls				
Logue et al. (2012)	2.5 million	295	196	Trauma-exposed veterans and their intimate partners	60% male	EA	a) 43	41	AA trauma-exposed veterans and their intimate partners AA trauma-exposed community sample	15q22.2	<i>RORA</i> (rs8042149)	2.5 x 10 ⁻⁸
							b) 100	421				
Xie et al. (2013)	~870,000	a) 300	1,278	Participants in genetics of substance use studies; trauma-exposed controls only in secondary analysis	60% male	EA	a) 207	1,692	EA participants in genetics of substance use studies	7p12	<i>COBL</i> (rs406001)	3.97 x 10 ⁻⁸
		b) 444	2,322				b) 89	655		AA participants in genetics of substance use studies	---	---
Guffanti et al. (2013)	730,525	94	319	Trauma-exposed community sample	100% female	83% AA	578	1,963	EA trauma-exposed female nurses	2q32.1	Long intergenic non-coding RNA <i>AC068718.1</i> (rs10170218)	5.09 x 10 ⁻⁸
Nievergelt et al. (2015)	888,113 directly genotyped; >21 million imputed	940	2,554	Trauma-exposed military sample	100% male	86% EA, 25% Hispanic ¹	313	178	EA trauma-exposed veterans and their intimate partners	10p12.1	<i>PRTFDC1</i> (rs6482463)	2.04 x 10 ⁻⁹

Note. GWAS=genome-wide association study. EA=European American ancestry. AA=African American ancestry.

¹Conducted analyses separate

Table 2. Summary of Participating PGC-PTSD groups.

	Principal Investigator	Sample Name	Cases	Controls	Total	Ancestry	Illumina Platform
Previously Genotyped Samples	Ressler, Kerry	Grady Trauma Project	1,503	3,249	4,752	AA - Mixed	1M Omni-Quad
	Aiello, Allison	Detroit Neighborhood Health Study	192	620	812	AA	OmniExpress
	Gelernter, Joel	Genetics of Substance Dependence	818	4,633	5,451	60% EA	OmniQuad
	Nievergelt, C.	Marine Resilience Study	538	3,477	4,015	EA 60%	OmniExpressExome
	Bierut, Laura	Family Study of Cocaine Dependent	471	3,568	4,039	Mixed	1M Beadchip
	Miller, Mark	Boston-VA	600	500	1,100	Mixed	Omni 2.5M
	Stein, Murray	Army STARRS	4,500	15,500	20,000	Mixed	OmniExpressExomeC
	Beckham, Jean	MIRECC	1,156	1,156	2,312	Mixed	650/1M-Duo/Omni2.5
	Ressler, Kerry	Grady Trauma Project	497	1,751	2,248	AA - Mixed	1M Omni-Quad
	Stein, Murray	VA Cooperative Study	10,000	10,000	20,000	Mixed	OmniExpressExomeC
	DeLisi, Lynn	UCSD VA	1,000	1,000	2,000	Mixed	
	Smith, Nicholas	VET Study	492	377	869	Mixed	
	Hollegaard, Mads	Danish Blood Spot Cohort	500	2,500	3,000	EA	
		Subtotal	22,267	48,331	70,650		
Samples with Funded Genotyping	Koenen, Karestan	Nurses Health Study II	680	700	1,380	EA	PsychChip
	Liberzon, Israel	Ohio national Guard Study	170	200	370	EA	PsychChip
	Lyons, Michael	Vietnam Era Twin Registry	350	350	700	EA	PsychChip
	Ressler, Kerry / Dan Stein	Civilian South African Cohort	200	400	600	S. African	PsychChip
	Vermetten, Eric	Military Research (PRISMO)	35	965	1,000	EA	PsychChip
	Bryant, Richard	Australian Injury Vulnerability Study	205	796	1,001	EA	Psych Chip
	Ressler, Kerry	Predictive Biomarkers Project	200	400	600	80% AA	PsychChip
		Subtotal	1,840	3,811	5,651		
Additional Samples identified for future genotyping once funding is obtained.	Ressler, Kerry	Grady Trauma Project*	200	1,000	1,200	AA - Mixed	
	Ressler, Kerry / Holly Orcutt	NIU Shooting Sample	70	230	300	80% EA	
	Aiello, Allison	Detroit Neighborhood Health Study	72	197	269	AA	
	Liberzon, Israel	Gracy Detroit Mother's Study	200	220	420	75%EA, 23%AA	
	Liberzon, Israel	Ohio national Guard Study	10	860	870	85%EA, 13%AA	
	Koenen, Karestan	Nurses Health Study II	170	1,463	1,633	EA	
	Kessler, Ronald	World Mental Health Surveys	318	6,969	7,287	Other	
	Amstadter, Ananda	Service Experience and Alcohol Preference Study	80	80	160	80%EA, 20%AA	
	Amstadter, Ananda	Disaster-Affected Adolescents and Families	82	698	780	70%EA, 25% AA	
	Yehuda, Rachel	Improving PTSD Outcomes in OIF/OEF Returnees	121	300	421	Mixed	
	Yehuda, Rachel	Suicidality and PTSD	90	0	90	Mixed	
	Yehuda, Rachel	Holocaust PTSD	45	0	45	EA	
	Feder, Adriana	World Trade Center Responders	50	200	250	Mixed	
	Baker, Dewleen	Marine Resiliency Study*	117	583	700	EA 60%	

	Stein, Murray	Army STARRS	1,800	12,200	14,000		
		Genetic and Environmental Risk/Resilience Factors for Posttraumatic Stress Disorder in OEF/OIF Veterans					
	Bradley, Bekh		200	600	800	AFR	
	Beckham, Jean	MIRECC*	152	758	910	Mixed	
	Herringa, Ryan	Neural Basis of Emotion Regulation in PTSD	50	50	100		
	Bisson, Jonathan	Wales PTSD Study	462	960	1,422	EA	
	Hollegaard, Mads	Danish Blood Spot Cohort	20,000	20,000	40,000	EA	
	Risbrough, Victoria	Norman VA Exposure Therapy	200	0	200	60% EA	
		Subtotal	25,489	51,368	76,857		
		TOTAL	48,596	99,510	148,158		

Figures Legends:

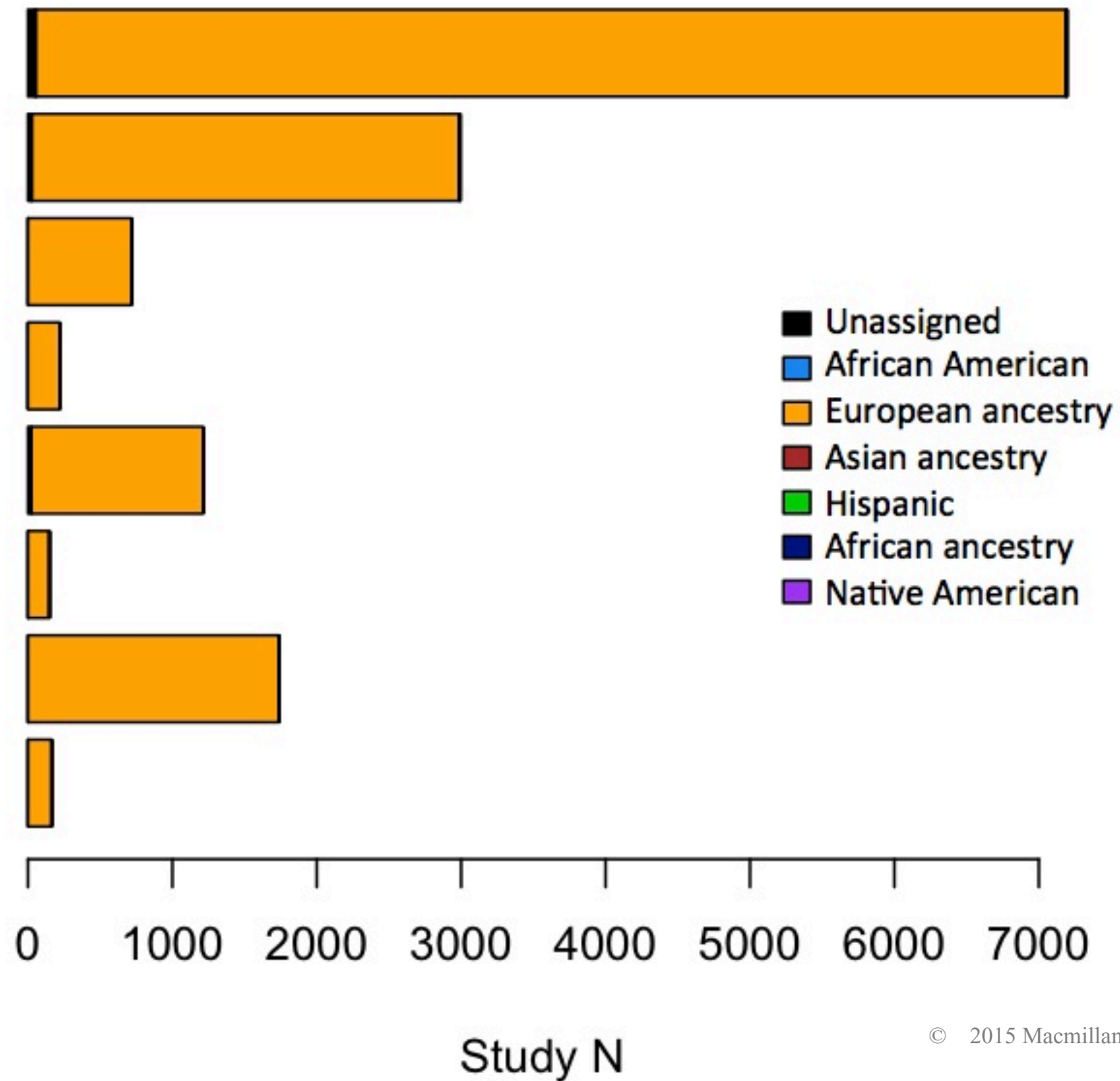
Figure 1: A comparison of ancestral diversity in **A)** representative Psychiatric Genomics Consortium (PGC) samples of primarily European ancestry and **B)** representative PGC-PTSD samples.

Figure 1 Key: mrsa , mrsb - subsets (a,b) of the Marine Resilience Study (Nievergelt); gtpx - Grady Trauma Project (Ressler); gsdx - Genetics of Substance Dependence (Gelernter); fscd - Family Studies of Cocaine Dependence (Bierut); dnhs - Detroit Neighborhood Health Study (Aiello); cogb , coga - subsets (a,b) of the COGEND study (Bierut); Note that African American refers to subjects from the USA who typically have a mix of African and European ancestry, while African Ancestry refers to subjects from Africa without admixed ancestry.

Figure 2: Effect size necessary to have 80% power for case-control and quantitative-trait association analyses demonstrating the relation between increasing sample size and ability to detect loci of smaller effect sizes.

Figure 2 Key: Calculated assuming PTSD prevalence of 15%, additive model, a type I error rate of 5×10^{-8} , and perfect LD between marker and trait allele for $MAF > 5\%$). Calculations were based on a 1:3 PTSD case-control ratio or quantitative traits such as PTSD symptoms.

PGC – representative samples (Predominately European ancestry)



PGC – PTSD (Diverse ancestry)

