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Clinical factors associated with baseline history of atrial fibrillation and subsequent clinical outcomes following initial implantable cardioverter-defibrillator placement.

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Authors

Giancaterino, Shaun
Nishimura, Marin
Birgersdotter-Green, Ulrika
[et al.](#)

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Title:

Clinical Factors Associated with Baseline History of Atrial Fibrillation and Subsequent Clinical Outcomes Following Initial Implantable Cardioverter-Defibrillator Placement

Shortened Title:

Clinical Factors Associated with Atrial Fibrillation and Subsequent Clinical Outcomes Following Implantable Cardioverter-Defibrillator Placement

Authors:

Shaun Giancaterino, MD, Marin Nishimura, MD, Ulrika Birgersdotter-Green, MD, Kurt S. Hoffmayer MD, PharmD, Frederick T. Han, MD, Farshad Raissi, MD, MPH, Gordon Ho, MD, David Krummen, MD, Gregory K. Feld, MD, and Jonathan C. Hsu, MD, MAS

From the Cardiac Electrophysiology Section, Division of Cardiology, Department of Medicine, University of California, San Diego, La Jolla, California 92037

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Corresponding Author:

Jonathan C. Hsu, MD, MAS
9452 Medical Center Dr. 3rd Fl, Rm 3E-417
La Jolla, CA, 92037
Fax: (858) 246-2958
Phone: (858) 246-2985
Jonathan.Hsu@ucsd.edu

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1 **Conflicts of Interest**

2 Dr. Ho has received fellowship support from Medtronic, Boston
3 Scientific, Abbott, and Biotronik, owns equity in Vektor Medical Inc, and
4 has received research grants from American Heart Association (AHA
5 19CDA34760021), National Institutes of Health (NIH 1KL2TR001444),
6 and Abbott.

7

8 Dr. Feld, as Director of the EP Fellowship Training Program, has
9 received EP Fellow's stipend support from Medtronic, Biotronik,
10 Biosense Webster, Boston Scientific, Abbott (St. Jude)

11

12 Dr. Hsu has received honoraria from Medtronic, Abbott, Boston
13 Scientific, Biotronik, Janssen Pharmaceutical, Bristol-Myers Squibb, and
14 Bio-sense-Webster and has received research grants from Biosense-
15 Webster and Biotronik

16

17 All other authors have no relevant disclosures to report.

18

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Abstract

1

2

3 **Background:**

4 Atrial fibrillation (AF) is frequently present in patients with heart failure
5 (HF) and an implantable cardioverter-defibrillator (ICD). This study
6 aims to identify clinical factors associated with a baseline history of AF
7 in ICD recipients, and compare subsequent clinical outcomes in those
8 with and without a baseline history of AF.

9 **Methods:**

10 We studied 566 consecutive first-time ICD recipients at an academic
11 center between 2011-2018. Logistic regression multivariable
12 analyses were used to identify clinical factors associated with a
13 baseline history of AF at the time of ICD implant. Cox-proportional
14 hazard regression models were constructed for multivariate analysis to
15 examine associations between a baseline history of AF with
16 subsequent clinical outcomes, including ICD therapies, HF readmission,
17 and all-cause mortality.

18 **Results:**

19 Of all patients, 201 (36%) had a baseline history of AF at the time of
20 ICD implant. In multivariate analyses, clinical factors associated with a
21 baseline history of AF included hypertension, valvular heart disease,
22 body weight, PR interval, and serum creatinine level. After multivariate
23 adjustment for potential confounders, a baseline history of AF was
24 associated with an increased risk of anti-tachycardia pacing (HR= 1.84,

1 95% CI= 1.19-2.85, $p=0.006$), appropriate ICD shocks (HR= 1.80, 95%
2 CI= 1.05-3.09, $p=0.032$) and inappropriate ICD shocks (HR= 3.72, 95%
3 CI= 1.7-7.77, $p=0.0001$), but not other adverse outcomes.

4 **Conclusion:**

5 Among first-time ICD recipients, specific clinical characteristics were
6 associated with a baseline history of AF at the time of ICD implant.

7 After adjustment for potential confounders, a baseline history of AF
8 was associated with a higher risk of all ICD therapies in follow-up.

9
10 **Keywords:** Atrial fibrillation, Implantable cardioverter-defibrillator,
11 Heart failure, Inappropriate shock

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14

1 **Abbreviations List:**

2

3 AF = atrial fibrillation

4 CHA₂DS₂-VASc = acronym for congestive heart failure, hypertension,

5 age, diabetes, stroke, vascular disease, sex

6 CI = confidence interval

7 CRT-D = cardiac resynchronization therapy defibrillator

8 DOAC = direct oral anticoagulant

9 ECG = electrocardiogram

10 HF = heart failure

11 HR= hazard ratio

12 ICD = implantable cardioverter defibrillator

13 NCDR= national cardiovascular data registry

14 NYHA= New York Heart Association

15

1 **1. Introduction**

2
3 Implantable cardioverter-defibrillators (ICDs) are guideline-
4 recommended therapy for the prevention of sudden cardiac death in
5 survivors of ventricular arrhythmias and in patients with symptomatic
6 heart failure (HF) with reduced left ventricular ejection fraction (1).
7 Atrial fibrillation (AF) is common in patients with HF and its prevalence
8 increases with severity of NYHA functional class, with prevalence
9 estimates ranging from 10-50% (2, 3). In a patient population
10 implanted with ICDs, the prevalence of AF has been reported to be
11 35% in one large national registry (4). Previous studies have shown
12 that the presence of AF in patients implanted with an ICD has been
13 variably associated with increased risk of recurrent ventricular
14 arrhythmias, appropriate and inappropriate shocks, heart failure
15 hospitalizations, and mortality (5-8). Many of these studies involved
16 incident AF after initial ICD implant, included patients enrolled in
17 clinical trials, and were conducted around the time of expansion of ICD
18 indications for primary prevention (9). More contemporary studies are
19 lacking.

20 It is projected that the incidence of AF, HF, and ICD implantation
21 are all expected to grow (10-12). While the characterization and
22 prognostic implications of comorbid AF at the time of ICD implant may
23 become increasingly important, they remain incompletely understood.
24 The present study aims to add to the available evidence by

1 investigating patients outside of a clinical trial undergoing first-time
2 ICD implantation. We sought to delineate the clinical factors associated
3 with a baseline history of AF versus no history of AF in patients
4 undergoing *de novo* ICD implantation, and compare long-term clinical
5 outcomes among those with and without a baseline history of AF at the
6 time of ICD implantation.

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2. Methods

10 2.1 Patient Population:

11 Baseline characteristics, procedural data, in-hospital outcomes,
12 and discharge medications were prospectively collected at our
13 institution based upon data definitions from the American College of
14 Cardiology's National Cardiovascular Data Registry (NCDR) ICD registry
15 (13). Additional data not included in the ICD registry were collected
16 retrospectively via review of the electronic medical record. Patient
17 specific data between March 2011 and March 2018 were used for data
18 collection and analysis. Outcomes were recorded by follow-up clinic
19 visits, hospital readmissions, and both in-person and remote ICD
20 interrogations in the medical record. Medical record data was reviewed
21 by study authors SG and MN independently, and further reviewed by
22 SG, MN, and JCH when unclear or discrepancies existed.

23 All patients undergoing ICD implantation for either primary or
24 secondary prevention between March 1, 2011 through March 30, 2018
25 at the University of California, San Diego (UCSD) medical center were

1 evaluated (n=1347). All patients considered for primary prevention ICD
2 were optimized on guideline-directed medical therapy prior to implant.
3 Only patients undergoing first-time ICD implantations were selected
4 (n=566). Those who had previous ICD implants and were undergoing a
5 generator explant or change (n=622), or a lead revision or implant
6 only (n=159) were excluded (n=781). ICD models from various
7 manufacturers, including Biotronik (Berlin, Germany), Boston Scientific
8 (Marlborough, Massachusetts), Medtronic (Minneapolis, Minnesota),
9 and Abbott/St. Jude Medical (Saint Paul, Minnesota), were implanted.
10 Multiple operators performed the ICD implants. Initial device settings
11 were at the discretion of implanting physician.

12 Patients were considered to have a diagnosis of a baseline
13 history of AF at the time of ICD implant if they had AF documented on
14 any prior 12-lead ECG, or duration greater than 30 seconds on Holter
15 monitor or event monitor. Patients without a baseline history of AF
16 were considered to have a diagnosis of incident AF in follow up if they
17 were found to have new AF on a 12-lead ECG, duration greater than 30
18 seconds on Holter monitor or event monitor, or AF based on device
19 interrogation. AF subtypes (paroxysmal, persistent, permanent) were
20 classified by consensus guideline definitions (14).

21 *2.2 Statistical Analyses:*

22 For the analyses of clinical factors associated with a baseline
23 history of AF, the primary outcome of interest was a baseline history of

1 AF at any point versus no previous history of AF. In-hospital outcomes
2 were prospectively collected based upon data definitions from the
3 NCDR ICD registry, and included time from implant to discharge (i.e.
4 length of hospital stay), any procedural complication (including cardiac
5 arrest, MI, cardiac perforation, coronary venous dissection, cardiac
6 tamponade, stroke, TIA, hematoma, infection requiring antibiotics,
7 hemothorax, pneumothorax, urgent cardiac surgery, venous
8 obstruction, conduction block, peripheral emboli, valve injury, set
9 screw problem, and lead dislodgement), and in-hospital mortality.

10 For the analyses of the association between a baseline history of
11 AF and subsequent clinical outcomes, long-term clinical outcomes of
12 interest included anti-tachycardia pacing (ATP) therapy, appropriate
13 and inappropriate shocks, HF readmission, and all-cause mortality.
14 Outcomes were reviewed and adjudicated by study authors SG and MN
15 independently. ATP was defined as presence of timed pacing stimulus
16 delivered to interrupt monomorphic ventricular tachycardia (VT).
17 Instances of inappropriate ATP, such as those delivered for atrial
18 tachyarrhythmia, were excluded when possible to identify. Appropriate
19 shocks were defined as those delivered for ventricular tachyarrhythmia
20 with a rate in a programmed therapy zone, that successfully
21 terminated the arrhythmia. Inappropriate shocks were defined as
22 shocks delivered for anything other than true ventricular arrhythmias.
23 Distinguishing between appropriate versus inappropriate ICD therapies

1 was at times limited in patients whom had received a single chamber
2 or subcutaneous ICD. HF readmission was defined as hospital
3 readmission any time following ICD implantation where the primary
4 diagnosis was acute decompensated heart failure. All-cause mortality
5 was defined as death due to any cause.

6 Categorical variables were compared by chi-squared tests and
7 reported as simple proportions and percentages. Normally-distributed
8 continuous variables were compared by unpaired t-tests and reported
9 as the mean and one standard deviation. To identify clinical factors
10 associated with a baseline history of AF in patients undergoing ICD
11 implantation, multivariable logistic regression models were constructed
12 using the backwards stepwise elimination method to identify
13 statistically significant clinical factors (p value for entry = 0.20, p value
14 for retention = 0.05). Due to their potential association with a baseline
15 history of AF all covariates in **Table 1**, with the exception of
16 medications at discharge, were considered in this model. Multivariable
17 logistic regression models were also used to identify clinical factors
18 associated with incident AF in follow up.

19 To evaluate the association between a baseline history of AF
20 versus no history of AF with subsequent clinical outcomes, time-to-
21 event analyses with Kaplan-Meier curves were constructed for
22 univariate analysis and evaluated with log-rank p values. Cox-
23 proportional hazard regression models were constructed for

1 multivariate analysis, adjusting for selected important covariates (age,
2 sex, serum creatinine, left ventricle ejection fraction, QRS duration,
3 CRT, NYHA functional class, and use of beta blocker) that could be
4 possible confounders. To determine which covariates to include for
5 adjustment in the final multivariate model, a group of possible
6 confounders were specified a priori and included for face validity.
7 Other possible confounders were generated using a directed acyclic
8 graph, which was constructed from general clinical knowledge and
9 data from prior studies (8).

10 To examine any effect of widespread device programming
11 changes to extend detection time on the incidence of inappropriate
12 therapies, a sub-analysis was done. Two groups were analyzed
13 separately by univariate and multivariate analyses described
14 previously, before and after January 1st, 2013.

15 Statistical tests were two-sided and considered significant for
16 any p value <0.05 . Analyses were performed using STATA statistical
17 software release 11 (Stata Corp 2010, College Station, TX). The UCSD
18 institutional review board approved analysis of this data for this study.

19

20 **3. Results**

21

22 *3.1 Baseline characteristics:*

23 A total of 566 consecutive first-time ICD recipients were
24 analyzed, and 36% (n=201) had a baseline history of AF at the time of
25 ICD implant. Of those with a baseline history of AF, 56% (n=112) had

1 paroxysmal AF, 27% (n=54) had persistent AF, and 17% (n=35) had
2 permanent AF. The mean CHA₂DS₂-VASc score in the AF group was
3 3.9±1.8. Baseline characteristics, clinical, diagnostic and procedural
4 data, and discharge medications, stratified by a baseline history of AF
5 versus no baseline history of AF, are shown in **Table 1**. Of all patients,
6 81% (n=460) had heart failure, with an average LV ejection fraction of
7 34±16%. A primary prevention indication for ICD implantation was
8 present in 74% (n=419) of patients, whereas a secondary prevention
9 indication was present in 26% (n=147).

10 **Table 1** shows clinical variables stratified by a baseline history
11 of AF versus no AF. Patients with a baseline history of AF were more
12 often male, of white or Hispanic ethnicity, of advanced age, and had
13 valvular heart disease, hypertension, chronic kidney disease or
14 concurrent indication for a pacemaker. Patients with a baseline history
15 of AF were also more likely to be discharged on a calcium channel
16 blocker, diuretic, vitamin K antagonist, DOAC, or amiodarone.

17 *3.2 Clinical factors associated with baseline AF:*

18 In multivariable adjusted logistic regression models constructed
19 to evaluate clinical factors associated with a baseline history of AF
20 (**Table 2**), statistically significant clinical factors included body weight
21 (odds ratio [OR]=1.06 per 1 kg increase in body weight, 95%
22 confidence interval [CI]=1.03-1.09, $p=0.02$), PR interval (OR=1.14 per
23 10 msec increase in PR interval, 95% CI=1.06-1.22, $p=0.001$),

1 hypertension (OR=2.09, 95% CI=1.01-4.31, $p=0.046$), valvular heart
2 disease (OR=6.19, 95% CI=2.41-15.8, $p<0.001$), and serum
3 creatinine level (OR=1.44 per 1 mg/dL increase in serum creatinine,
4 95% CI=1.03-2.02, $p=0.033$).

5 3.3 *In-hospital outcomes:*

6 A total of 25 in-hospital complications were observed
7 (**Supplementary Data Table 1**), 10 in the group with a baseline
8 history of AF and 15 in the group without a baseline history of AF (5%
9 versus 4%, $p=0.632$). There were no significant differences between
10 the two groups in the length of hospital stay or in risks of specific
11 complications (e.g. cardiac arrest, MI, cardiac perforation, coronary
12 venous dissection, cardiac tamponade, stroke, TIA, hematoma,
13 infection requiring antibiotics, hemothorax, pneumothorax, urgent
14 cardiac surgery, venous obstruction, conduction block, peripheral
15 emboli, valve injury, set screw problem, or lead dislodgment). Four
16 patients died during hospitalization for ICD implant, 1 in the group with
17 a baseline history of AF versus 3 in the group with no history of AF
18 (<1% versus 1%, $p=0.659$). None of these deaths were associated with
19 the ICD implant procedure.

20 3.4 *Incident AF:*

21 Of those without a baseline history of AF (n=365), 49 (13%)
22 patients developed incident AF in follow up. Multivariable adjusted

1 logistic regression models found no statistically significant clinical
2 factors associated with incident AF.

3 *3.5 Long-term outcomes:*

4 Long-term clinical outcomes included ICD therapies, HF
5 readmission, and mortality. Median follow-up was 469 (interquartile
6 range 47-1223) days. A total of 112 patients (20% of all patients)
7 received ATP therapy during follow-up. Fifty of 201 (25%) patients with
8 a baseline history of AF received ATP therapy compared to 62 of 365
9 (17%) patients without AF ($p=0.035$) (**Fig. 1a**). After multivariate
10 adjustment for potential confounders, a baseline history of AF was
11 associated with a higher risk of ATP therapy (adjusted HR=1.84, 95%
12 CI= 1.19-2.85, $p=0.006$). Long-term outcomes are reported in **Table 3**.

13 A total of 71 patients (13% of all patients) received appropriate
14 shocks during follow-up. Thirty-four of 201 patients (17%) with a
15 baseline history of AF received appropriate shocks compared to 37 of
16 365 patients (10%) without AF ($p=0.050$) (**Fig. 1b**). After multivariate
17 adjustment for potential confounders, a baseline history of AF was
18 associated with a higher risk of an appropriate shock (adjusted
19 HR=1.80, 95% CI= 1.05-3.09, $p=0.032$).

20 A total of 37 patients (7% of all patients) received inappropriate
21 shocks during follow-up. Twenty of 201 patients (10%) with a baseline
22 history of AF received inappropriate shocks compared to 17 of 365
23 patients (5%) without AF ($p=0.023$) (**Fig. 1c**). After multivariate

1 adjustment for potential confounders, a baseline history of AF was
2 associated with a higher risk of inappropriate shock (adjusted HR=
3 3.72, 95% CI= 1.78-7.77, $p=0.0001$). Between 2011-2012, 10 of 54
4 patients (19%) with a baseline history of AF received inappropriate
5 shocks compared to 5 of 94 patients (5%) without AF ($p=0.015$). After
6 multivariate adjustment for potential confounders, a baseline history of
7 AF was associated with a higher risk of inappropriate shock in this time
8 period (adjusted HR= 6.47, 95% CI= 1.80-23.21, $p=0.004$). Between
9 2013-2018, 10 of 147 patients (7%) with a baseline history of AF
10 received inappropriate shocks compared to 12 of 271 patients (4%)
11 without AF ($p=0.394$). There were no significant differences in
12 inappropriate shocks between the two groups after multivariate
13 adjustment for potential confounders in this time period (adjusted
14 HR=2.35, 95% CI=0.85-6.50, $p=0.101$) (**Supplementary Data Table**
15 **2**).

16 A total of 152 patients (27% of all patients) had at least one
17 hospital readmission with decompensated HF during follow up. Sixty-
18 four of 201 patients (32%) with a baseline history of AF had a HF
19 readmission compared to 88 of 365 patients (24%) without AF
20 ($p=0.140$) (**Fig. 1d**). There were no significant differences in HF
21 readmissions between the two groups after multivariate adjustment for
22 potential confounders (adjusted HR=1.13, 95% CI=0.78-1.63,
23 $p=0.529$).

1 A total of 60 patients (11% of all patients) died during follow-up.
2 Twenty-seven of 201 patients (13%) with a baseline history of AF died
3 compared to 33 of 365 patients (9%) without AF ($p=0.325$) (**Fig. 1e**).
4 There were no significant differences in all-cause mortality between
5 the two groups after multivariate adjustment for potential confounders
6 (adjusted HR=1.10, 95% CI=0.61-2.00, $p=0.738$).

7 8 **4. Discussion** 9

10 The main findings of our study can be summarized as follows: 1)
11 In our patient population, over one-third of patients had a baseline
12 history of AF at the time of ICD implantation (with over half of these
13 having paroxysmal AF and the remainder with either persistent or
14 permanent AF); 2) Several patient characteristics were associated with
15 a baseline history of AF at the time of ICD implantation, including
16 hypertension, valvular disease, body weight, PR interval, and serum
17 creatinine; and 3) After multivariate adjustment for potential
18 confounders, a baseline history of AF was associated with an increased
19 risk of anti-tachycardia pacing, and both appropriate and inappropriate
20 ICD shocks.

21 Our study adds to the previous literature in reporting on
22 prevalence of AF, clinical factors associated with a baseline history of
23 AF, and the association of a baseline history of AF with increased risk
24 of ICD therapies in a contemporary real-world ICD patient population
25 outside of a clinical trial. Our Kaplan-Meier curves demonstrate a later

1 separation over time for anti-tachycardia pacing, appropriate shocks,
2 and heart failure readmissions. This might suggest that the negative
3 impact of AF increases over several years after device implant. Studies
4 such as ours, with a long duration of follow up, are important for
5 determining the true impact of AF on clinical outcomes in patients with
6 ICDs.

7 The prevalence of AF in our cohort (36%) is comparable to that of
8 other published studies of ICD populations and to that of the general
9 heart failure population (4, 8). This is likely due at least in part to the
10 fact that over 80% of patients in our study had heart failure. In light of
11 this, we suggest that novel rhythm control therapies for AF in patients
12 with HF, such as catheter ablation, atrioventricular node ablation with
13 biventricular pacing, optimized CRT pacing (e.g. AdaptivCRT™,
14 Medtronic, Inc., Minneapolis, MN), and atrial anti-tachycardia pacing
15 algorithms (e.g. Reactive ATP™, Medtronic, Inc., Minneapolis, MN), may
16 become increasingly important in the future to reduce AF burden and
17 improve clinically meaningful outcomes (15-17).

18 Our findings are consistent with known risk factors for AF
19 previously reported in the literature, including hypertension, valvular
20 disease, and obesity (14). Previous studies have shown that predictors
21 of incident AF in patients with HF include hypertension, renal
22 impairment, and left atrial volume (18, 19). Our study is unique in that
23 we analyzed clinical factors associated with a baseline history of AF

1 rather than incident AF in patients implanted with ICDs, which may
2 capture a broader patient population from which to apply our findings.
3 Predictors and risk factors of AF are important, as the presence AF has
4 prognostic implications in this population. Zareba et al. showed that
5 both a baseline history of AF and incident AF in patients with ICD were
6 associated with an increased risk of combined endpoint of HF
7 hospitalization or death and mortality, respectively (6). Our
8 contemporary data show the importance of AF in modern day clinical
9 practice.

10 Atrial fibrillation with rapid ventricular response is a known cause
11 of inappropriate shocks, along with other supraventricular
12 tachycardias. In comparison to previous studies, the proportions of
13 patients receiving inappropriate shocks (7%) and any ICD shock (19%)
14 were lower in our study overall (20). A possible explanation for this
15 difference is the lack of structured follow-up in our study, perhaps
16 leading to underestimation of true event rates.

17 Prior studies have reported on the association between AF and
18 increased risk of both appropriate ICD therapies and inappropriate
19 shocks in patients implanted with ICDs. Both Rienstra et al. and
20 Borleffs et al. reported that permanent AF, but not paroxysmal or
21 persistent AF, was associated with twice the risk of appropriate
22 therapies compared to those without AF (7,8). Our study by
23 comparison, in which half of all AF patients had paroxysmal subtype,

1 found that all-type AF was associated with appropriate therapies. In a
2 secondary analysis of the Multicenter Automatic Defibrillator
3 Implantation Trial (MADIT) II cohort, Daubert et al. found that 11.5% of
4 ICD patients received inappropriate shocks, accounting for 31.2% of
5 total shock episodes in a cohort of primary prevention patients
6 receiving first-time ICDs (21). AF was the most common reason (44%)
7 for inappropriate shocks and was found to be a predictor (HR 2.90,
8 95% CI 1.65-5.09, $p < 0.01$) in that study. Borleffs et al. found that
9 15% of all ICD patients received inappropriate shocks and 40%
10 received any ICD shock (8). In subgroup analysis, patients with
11 permanent (HR 2.7, 95% CI 1.7-4.4), persistent (HR 2.5, 95% CI 1.4-
12 4.4), and paroxysmal AF (HR 2.9, 95% CI 1.7-4.8) were all associated
13 with significantly increased risk of inappropriate shocks.

14 ICD shocks have been associated with decreased quality of life,
15 anxiety, depression, and post-traumatic stress (21). Subgroup analyses
16 from large randomized controlled trials, as well as large prospective
17 studies, have shown that both appropriate and inappropriate shocks
18 are independently associated with increased mortality (21-23). As AF
19 can cause inappropriate shocks, use of rhythm control therapies may
20 be of increased clinical importance in an ICD population. In the
21 Multicenter Automatic Defibrillator Implantation Trial-Reduce
22 Inappropriate Therapy (MADIT-RIT) study, Moss et al. showed that ICD
23 programming with rate settings of 200 bpm or higher, or a prolonged

1 delay in therapy at rate setting of 170 bpm or higher, was associated
2 with a reduction in inappropriate ICD therapies and all-cause mortality
3 during long-term follow up (24). In our sub-analysis of patients before
4 and after 2013 (following publication of the MADIT-RIT study) a
5 baseline history of AF was associated with a higher risk of
6 inappropriate shock in 2011-2012, but not 2013-2018. These results
7 suggest that widespread adoption of device programming changes to
8 increase detection time may have had an effect on the incidence of
9 inappropriate therapies in our cohort. In patients with a baseline
10 history of AF implanted with ICDs, these settings should be strongly
11 considered.

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Limitations:

15 This was a non-randomized observational study at a University
16 Hospital. Given the observational design, only associations can be
17 drawn, and we cannot exclude the possibility that residual confounding
18 explains our results. Our University Hospital population may also not
19 be representative of the general population of patients undergoing ICD
20 implantation, and thus our results may not be fully generalizable to all
21 populations of patients undergoing ICD implantation. Furthermore, this
22 study was limited to a small sample size, which may have resulted in a
23 lack of power to detect true associations. The decreased statistical
24 power explains why logistic regression and Cox proportional hazard
25 regression analysis showed wide confidence intervals. In the presence

1 of single chamber devices (n=118), adjudication of appropriate and
2 inappropriate therapies was imperfect, given the absence of atrial
3 electrocardiography. The lack of structured follow-up in our study may
4 have led to underestimation of true event rates. Lastly, ICD settings in
5 patients included in this study were non-standardized, and providers
6 performed adjustments as clinically indicated during follow up.

7 **5. Conclusions**

8
9
10 Our study demonstrates that among first-time ICD recipients,
11 specific clinical characteristics including hypertension, valvular heart
12 disease, body weight, PR interval, and serum creatinine were
13 associated with a baseline history of AF at the time of ICD implant.
14 After adjustment for potential confounders, a baseline history of AF
15 was associated with a higher risk of anti-tachycardia pacing,
16 appropriate and inappropriate ICD shocks in follow-up. Clinicians
17 should be aware of the increased risk of both appropriate therapies
18 and inappropriate ICD shocks in patients with a baseline history of AF
19 and the potential harm of such occurrences. The use of rhythm control
20 therapies for AF may be of increased clinical importance in an ICD
21 population and ICD device settings should be optimized when possible
22 to prevent inappropriate shocks.

23

1 **Tables:**

2

3 Table 1. Baseline characteristics of all first-time ICD recipients
4 stratified by a baseline history of atrial fibrillation versus no history of
5 atrial fibrillation at the time of implant.

6

7 Table 2. Statistically significant clinical factors associated with a
8 baseline history of atrial fibrillation in ICD patients at the time of
9 implant after multivariate adjustment.

10

11 Table 3. Event rates for clinical outcomes in follow-up in patients with
12 and without a baseline history of atrial fibrillation.

13

14 Supplementary Data Table 1. In-hospital outcomes after ICD
15 implantation stratified by a baseline history of atrial fibrillation versus
16 no baseline history of atrial fibrillation.

17

18 Supplementary Data Table 2. Sub-analysis of inappropriate shocks in
19 follow up
20 in patients with and without a baseline history of atrial fibrillation.

21

22

1 **Table 1.** Baseline characteristics of all first-time ICD recipients
 2 stratified by a baseline history of atrial fibrillation versus no history of
 3 atrial fibrillation at the time of implant.
 4

	Baseline AF n=201	No AF n=365	P value
Age (years)	67.7 ± 13.2	59.3 ± 15.1	<0.00 1*
Height (cm)	173.6 ± 11.8	169.7 ± 12.2	<0.00 1*
Weight (kg)	85.3 ± 23.2	82.1 ± 22.4	.113
Body mass index	28.1 ± 6.3	28.2 ± 6.5	0.827
Male Gender	159 (79%)	232 (64%)	<0.00 1*
Ethnicity			
White	120 (60%)	171 (47%)	0.013*
Black	16 (8%)	33 (9%)	0.842
Hispanic	47 (23%)	128 (35%)	0.012*
Asian	10 (5%)	17 (5%)	0.925
American Indian	1 (1%)	1 (<1%)	0.855
Native Hawaiian/PI	1 (1%)	1 (<1%)	0.855
Heart Failure	168 (84%)	292 (80%)	0.296
Ejection Fraction	33.1 ± 13.9	35.1 ± 16.7	0.138
NYHA			
Class I	36 (18%)	68 (19%)	0.832
Class II	63 (31%)	115 (32%)	0.968
Class III	86 (43%)	157 (43%)	0.958
Class IV	12 (6%)	14 (4%)	0.246
Non-ischemic cardiomyopathy	88 (44%)	167 (46%)	0.652
Ischemic cardiomyopathy	102 (51%)	161 (44%)	0.130

Previous myocardial infarction	63 (31%)	138 (38%)	0.124
Prior PCI	58 (29%)	102 (28%)	0.818
Prior CABG	39 (19%)	54 (15%)	0.157
Coronary artery disease	109 (54%)	171(47%)	0.093
Primary valvular heart disease	43 (21%)	23 (6%)	<0.001*
Syncope	50 (25%)	78 (21%)	0.340
Ventricular Tachycardia	74 (37%)	114 (31%)	0.177
Cardiac arrest	37 (18%)	54 (15%)	0.263
Indication for pacemaker	74 (37%)	50 (14%)	<0.001*
History of CVA	34 (17%)	37 (10%)	0.020*
Chronic lung disease	24 (12%)	35 (10%)	0.381
Diabetes	69 (34%)	132 (36%)	0.662
Hypertension	148 (74%)	224 (61%)	0.003*
CKD (eGFR <60)	76 (38%)	101 (28%)	0.013*
On renal dialysis	7 (3%)	16 (4%)	0.603
History of smoking	96 (48%)	186 (51%)	0.428
Paroxysmal AF	112 (56%)	-	-
Persistent AF	54 (27%)	-	-
Permanent AF	35 (17%)	-	-
CHA ₂ DS ₂ -VASc score	3.9 ± 1.8	3.3 ± 1.9	<0.001*
ICD indication			
Primary prevention	140(70%)	279 (76%)	0.078
Secondary prevention	61 (30%)	86 (24%)	0.078
ICD type			
Single chamber or subcutaneo	30 (15%)	88 (24%)	0.010*

	us			
	Dual Chamber	77 (38%)	146 (40%)	0.693
	CRT-D	94 (47%)	131 (36%)	0.011*
Laboratories				
	Hemoglobin	12.7 ± 2.2	12.6 ± 2.0	0.523
	Blood urea nitrogen	26.1 ± 14.3	22.1 ± 11.8	<0.001*
	Serum creatinine	1.40 ± 1.2	1.23 ± 1.2	0.127
ECG characteristics				
	PR	193.9 ± 43.4	176.4 ± 36.8	<0.001*
	QRS duration	124.0 ± 32.5	121.7 ± 32.2	0.446
	QT	440.2 ± 69.5	436.1 ± 53.5	0.440
	Systolic blood pressure	125.6 ± 20.7	122.1 ± 19.1	0.043*
	Diastolic blood pressure	72.1 ± 14.2	70.4 ± 12.4	0.147
Discharge Medications				
	ACE inhibitor	94 (47%)	198 (54%)	0.080
	ARB	48 (24%)	84 (23%)	0.831
	MRA	48 (24%)	92 (25%)	0.694
	Beta-blocker	172 (86%)	330 (90%)	0.058
	Calcium channel blocker	13 (6%)	10 (3%)	0.032*
	Diuretic	148 (74%)	224 (61%)	0.004*
	Aspirin	125 (62%)	245 (67%)	0.215
	Statin	133 (66%)	227 (62%)	0.370
	VKA	88 (44%)	39 (11%)	<0.001*
	DOAC	60 (30%)	6 (2%)	<0.001*

	Amiodarone	43 (21%)	24 (7%)	<0.001*
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2 *= statistically significant p value of less than or equal to 0.05

3

4 ACE, angiotensin-converting enzyme; AF, atrial fibrillation; ARB,

5 angiotensin II receptor blocker; CABG, coronary artery bypass graft;

6 CKD, chronic kidney disease; CRT-D, cardiac resynchronization therapy

7 defibrillator; CVA, cerebrovascular accident; DOAC, direct oral

8 anticoagulant; eGFR, estimated glomerular filtration rate; MRA,

9 mineralocorticoid receptor antagonist; NYHA, new york heart

10 association; PCI, percutaneous coronary intervention; PI, pacific

11 islander; VKA, vitamin K antagonist

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Table 2. Statistically significant clinical factors associated with a baseline history of atrial fibrillation in ICD patients at the time of implant after multivariate adjustment.

Variable	Adjusted odds ratio	95% confidence interval	P value
Ejection fraction	0.97*	0.95-1.00	0.011
Dual-chamber ICD	2.11	1.12-3.96	0.02
Weight	1.06 [†]	1.03-1.09	<0.001
Hispanic	0.48	0.24-0.98	0.044
PR interval	1.14 [‡]	1.06-1.22	0.001
Valvular heart disease	6.19	2.41-15.8	<0.001
Hypertension	2.09	1.01-4.31	0.046
Creatinine	1.44 [§]	1.03-2.02	0.033
Previous myocardial infarction	0.40	0.20-0.79	0.008
Body mass index	0.82**	0.74-0.91	<0.001

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For quantitative variables odds ratios are expressed as the following:

* per 1% in ejection fraction

† per 1kg in weight

‡ per 10msec in PR interval

§ per 1mg/dL in creatinine

** per 1kg/m² in body mass index

1 **Table 3.** Event rates for clinical outcomes in follow-up in patients with
 2 and without a baseline history of atrial fibrillation.
 3

	Baseline history of AF n=201	No baseline history of AF n=365	HR (95% CI)	P value	Adjusted HR [†] (95% CI)	P value
ATP	50 (25%)	62 (17%)	1.49 (1.03-2.17)	0.035*	1.84 (1.19-2.85)	0.006*
Appropriate shock	34 (17%)	37 (10%)	1.59 (1.00-2.54)	0.050*	1.80 (1.05-3.09)	0.032*
Inappropriate shock	20 (10%)	17 (5%)	2.12 (1.11-4.05)	0.023*	3.72 (1.78-7.77)	0.0001*
HF readmission	64 (32%)	88 (24%)	1.27 (0.92-1.75)	0.140	1.13 (0.78-1.63)	0.529
All cause mortality	27 (13%)	33 (9%)	1.29 (0.78-2.13)	0.325	1.10 (0.61-2.00)	0.738

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 5 *= statistically significant p value of less than or equal to 0.05
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7 † Hazard ration (HR) adjusted for age, sex, renal clearance, ejection
 8 fraction, QRS duration, CRT, NYHA, use of beta-blocker
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10 AF, atrial fibrillation; ATP, anti-tachycardia pacing; CI, confidence
 11 interval; HF, heart failure; HR, hazard ratio
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1 **Supplementary Data Table 1.** In-hospital outcomes after ICD
2 implantation stratified by a baseline history of atrial fibrillation versus
3 no baseline history of atrial fibrillation.
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Adverse event	Total	AF (n=201)	No AF (n=365)	P value
Length of hospital stay (implant to discharge), days	-	2.2 (4.3)	2.2 (5.3)	0.997
Any complication	25	10 (5%)	15 (4%)	0.632
In-hospital mortality	4	1 (<1%)	3 (<1%)	0.659

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6 AF, atrial fibrillation

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1 **Supplementary Data Table 2.** Sub-analysis of inappropriate shocks
 2 in follow up
 3 in patients with and without a baseline history of atrial fibrillation.
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2011-2012	Baseline history of AF n=54	No baseline history of AF n=94	HR (95% CI)	P value	Adjusted HR [†] (95% CI)	P value
Inappropriate shock	10 (19%)	5 (5%)	3.78 (1.29-11.06)	0.015*	6.47 (1.80-23.31)	0.004*
2013-2018	Baseline history of AF n=147	No baseline history of AF n=271	HR (95% CI)	P value	Adjusted HR [†] (95% CI)	P value
Inappropriate shock	10 (7%)	12 (4%)	1.44 (0.62-3.33)	0.394	2.35 (0.85-6.50)	0.101

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 6 *= statistically significant p value of less than or equal to 0.05
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8 † Hazard ration (HR) adjusted for age, sex, renal clearance, ejection
 9 fraction, QRS duration, CRT, NYHA, use of beta-blocker

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 11 AF, atrial fibrillation; CI, confidence interval; HR, hazard ratio

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1 **Figures:**

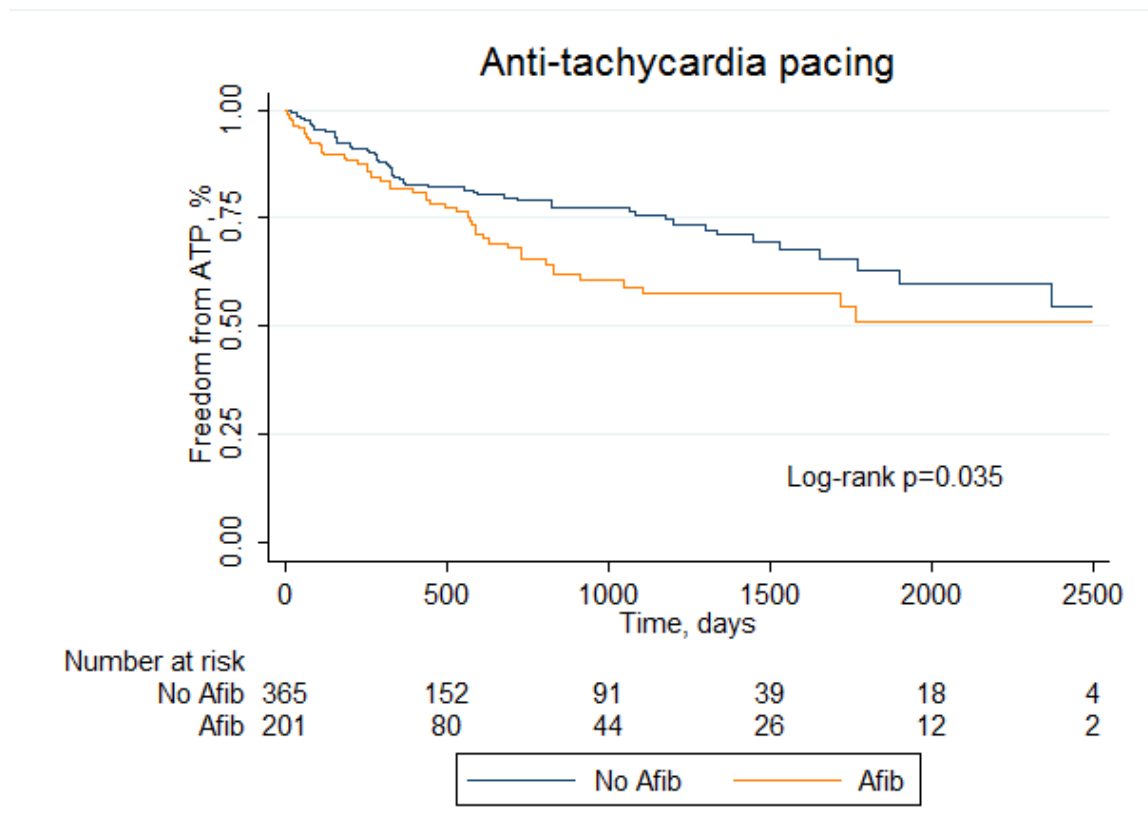
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3 Fig. 1a-e Kaplan-Meier curves for ATP, appropriate shock, inappropriate
4 shock, HF readmission, and all-cause mortality stratified by the
5 presence of baseline atrial fibrillation versus no atrial fibrillation

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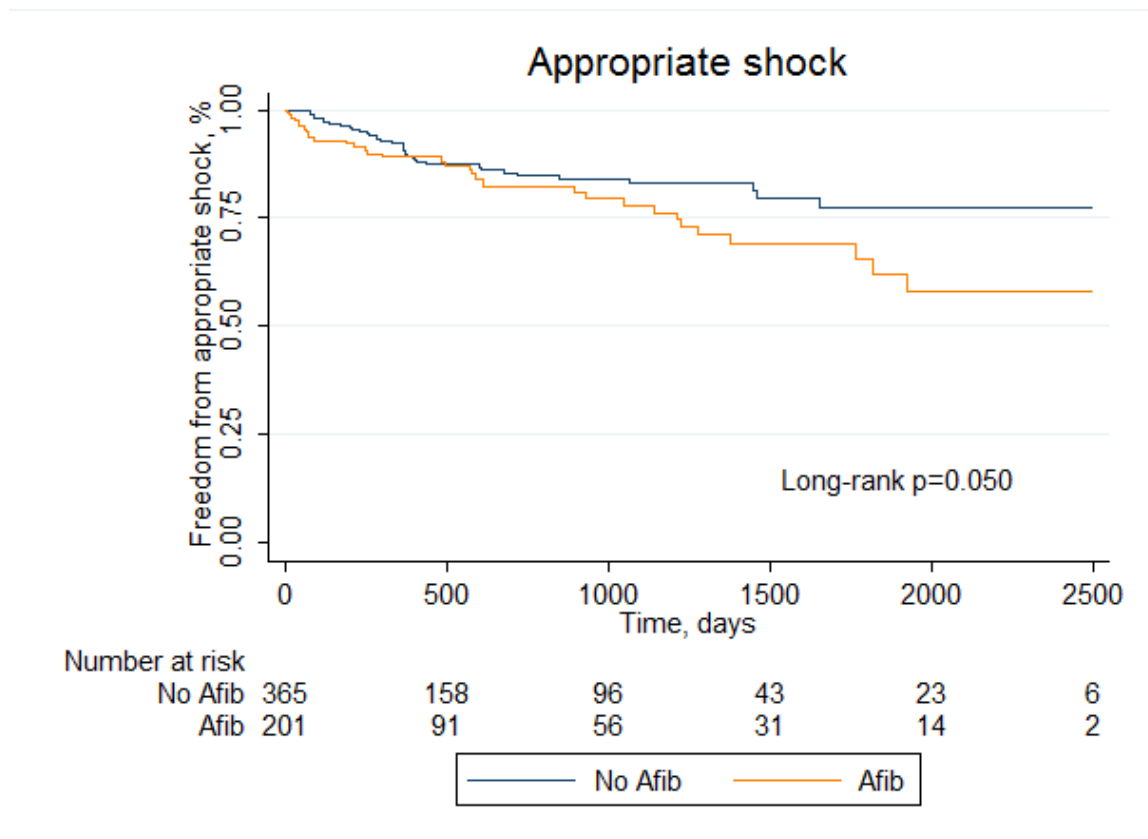
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1 Fig. 1a
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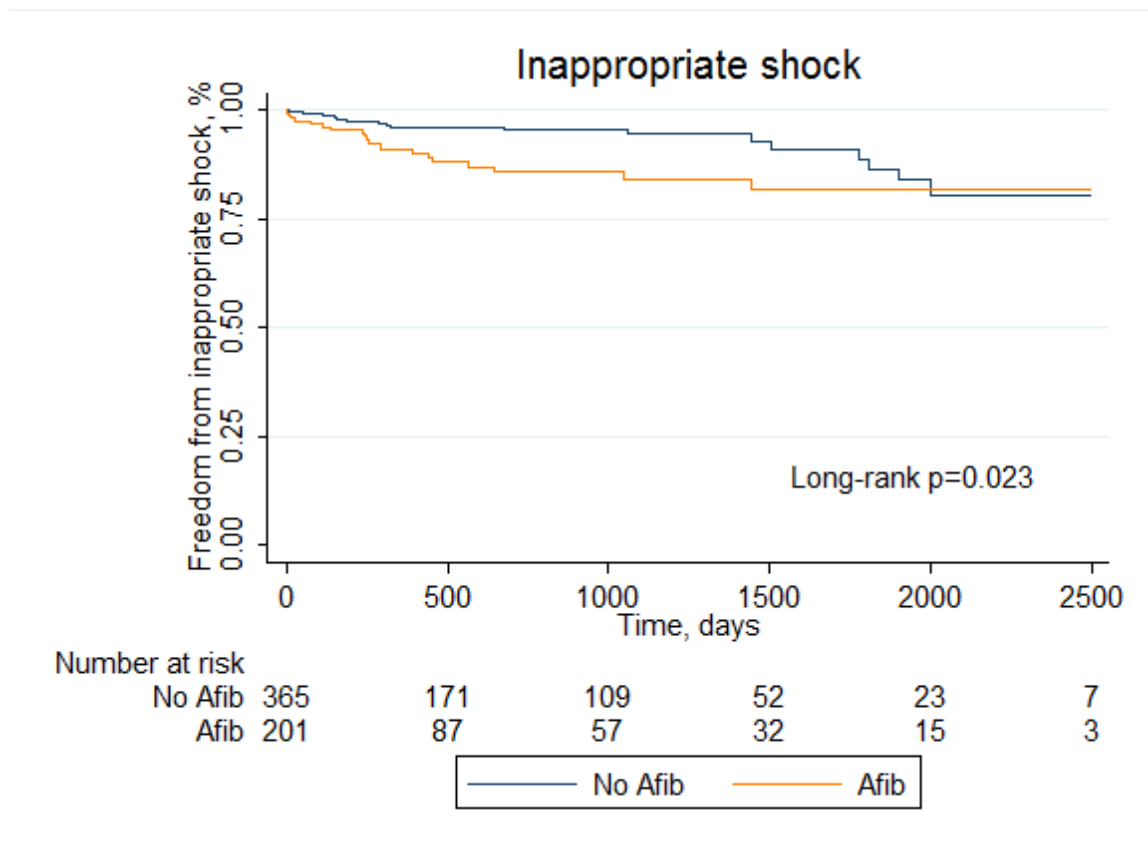
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1 Fig. 1b
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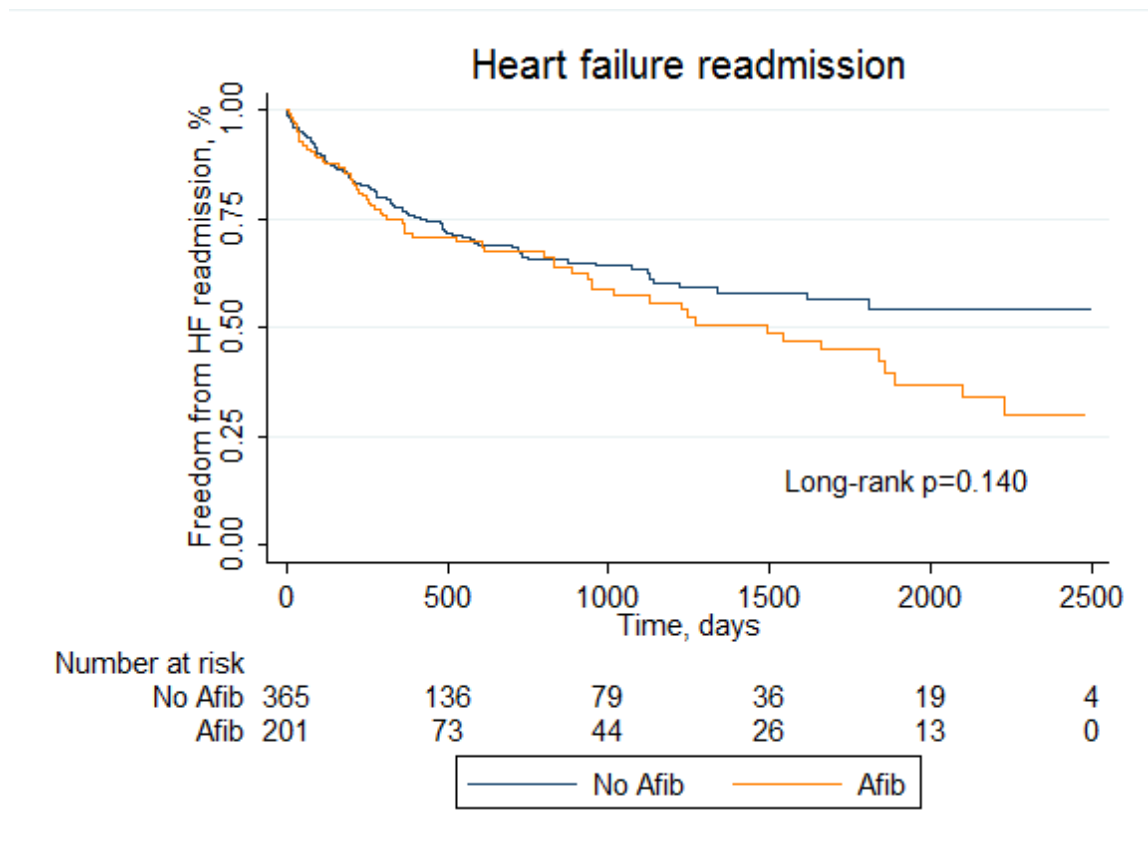
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1 Fig. 1c
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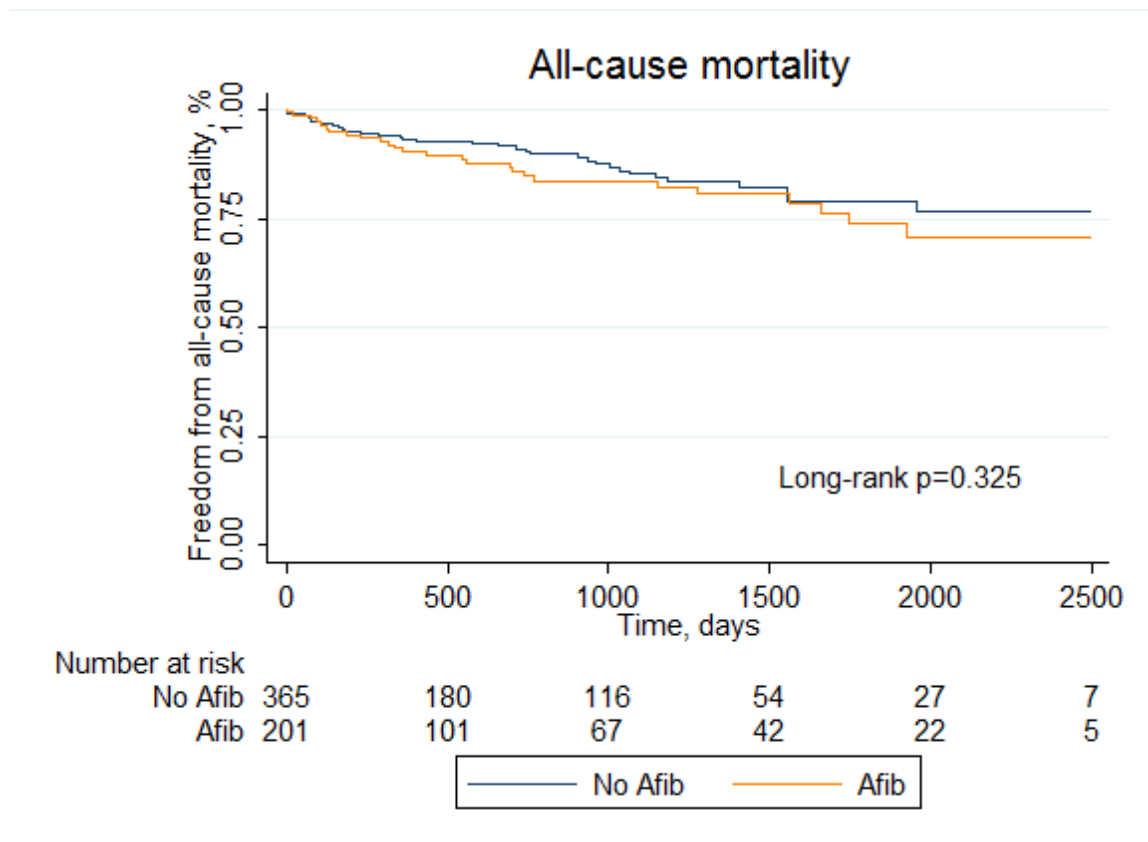
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1 Fig. 1d
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1 Fig. 1e
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