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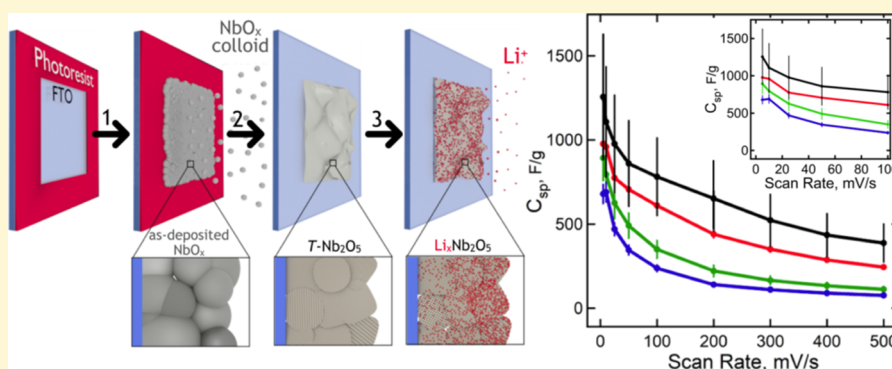
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1 Electrophoretic Deposition of Mesoporous Niobium(V)Oxide 2 Nanoscopic Films

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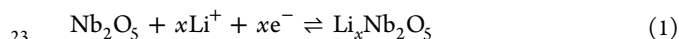
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7 **ABSTRACT:** Nb₂O₅ is a Li⁺ intercalation metal oxide that is of current interest for lithium ion battery electrodes. The
8 electrophoretic deposition (ED) of Nb₂O₅ thin-films from aqueous, NbO_x colloidal solutions is reported here. For films ranging
9 in thickness from 38 to 144 nm, the mass loading of Nb₂O₅ on the electrode is correlated with the coulometry of ED using
10 quartz crystal microbalance gravimetry. Crystalline, phase pure films of orthorhombic, *T*-Nb₂O₅, are obtained by postdeposition
11 calcination. These films exhibit unusually high specific capacities for Li⁺-based energy storage as a consequence of ≈70%
12 porosity. For example, a 60 nm thick film displays a specific capacity, *C*_{sp}, of 420 mAh/g at 5 A/g and 220 mAh/g at 50 A/g,
13 which can be compared with the theoretical Faradaic capacity of 202 mAh/g. *T*-Nb₂O₅ films also have a specific energy density
14 in the range from 770–486 Wh/kg, and a specific power density in the range from 9 to 90 kW/kg. These excellent energy
15 storage metrics are attributed to augmentation of the Faradaic capacity by high double-layer capacities enabled by the
16 mesoporous structure of these films.

17 ■ INTRODUCTION

18 Niobium pentoxide, Nb₂O₅, is a Li⁺ intercalation material that
19 exhibits intercalation pseudocapacitance as a consequence of
20 the availability of three accessible redox states for Nb centers:
21 Nb³⁺, Nb⁴⁺, and Nb⁵⁺.^{1–6} The Li⁺ insertion/deinsertion
22 reaction is written as



24 where *x* in the range from 1.6–2.0 has been reported.⁷ The
25 intercalation of Li⁺ according to reaction 1 causes a color
26 change of the Li_{*x*}Nb₂O₅ which has been investigated for
27 applications in electrochromic coatings.^{8–12} Nb₂O₅ is poly-
28 morphic, and the three most common polymorphs are *T*-
29 Nb₂O₅ (orthorhombic), *M*-Nb₂O₅ (monoclinic), and *H*-
30 Nb₂O₅ (pseudohexagonal). For Li⁺-based energy storage,
31 Dunn and others^{2,4,13,14} have demonstrated that orthorhombic
32 niobium pentoxide (*T*-Nb₂O₅) is capable of exhibiting
33 unusually high capacities coupled with rapid charge–discharge
34 rates, as compared with many other transition metal oxides
35 (Table 1). Grey and co-workers¹⁵ have attributed the high rate
36 capability of *T*-Nb₂O₅ to low activation barriers, on the order

of a few *k*_B*T*, for Li⁺ transport in this material, as measured
using nuclear magnetic resonance.

T-Nb₂O₅ is most often synthesized by hydrothermal
methods,^{1,2,4,13,14} and highly dispersed *T*-Nb₂O₅ on carbon
has demonstrated specific capacities of up to 590 F/g.¹⁴ Other
methods for preparing Nb₂O₅ films include electrospinning
followed by thermal annealing,¹⁶ spray pyrolysis,¹⁷ sol–gel
processing methods,^{9,10,18} electrospinning,¹⁹ and reactive
sputtering.¹¹

Electrodeposition has hardly been attempted, in spite of the
fact that it is an attractive method for both electrochromics and
battery/capacitor materials because it promotes an electrically
intimate contact with an electrode that can also serve as a
current collector. The reason is that electrodeposition of 50
Nb₂O₅ is difficult: the cathodic electrodeposition of niobium
oxides (NbO_{*x*}) is complicated by the very negative reduction
potential for Nb³⁺ (–1.1 V vs NHE) which makes disruptive 53

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Table 1. Comparison of Observed Photoelectron Binding Energies For Nb₂O₅ Films With Literature Values

compound	binding energy (eV)			Nb:O ^a	reference
	Nb 3d _{5/2}	Nb 3d _{3/2}	O 1s		
T-Nb ₂ O ₅ (SG, d = 120 nm)	207.3	210.1	530.6	0.40	18
Nb ₂ O ₅ (Nb ^o TO)	207.7	210.4	530.4	0.46	8
B-Nb ₂ O ₅	207.1	209.8	530	n.a.	44
a-Nb ₂ O ₅ (MS-ad, d = 377 nm)	207.4	210.1	530.5	0.40	12
Nb ₂ O ₅ -600 °C (MS-ta, d = 355 nm)	207.1	209.9	530.1	0.40	12
ED (this work)					
a-Nb ₂ O ₅ (d = 50 nm)	207.5	210.2	530.5	0.40	this work
T-Nb ₂ O ₅ (d = 50 nm)	207.2	209.9	530.1	0.43	this work

^aNb:O atomic ratio. ^bAbbreviations: SG = sol-gel, TO = thermal oxidation in air at 580 °C, MS-ad = magnetron sputtering (as-deposited), and MS-ta = magnetron sputtering (calcined at 600 °C).

54 H₂ coevolution unavoidable in aqueous solutions. Crayston
55 and co-workers²⁰ circumvented this issue by electrodepositing
56 NbO_x by the precipitation of niobium ions, immobilized in a
57 thin porous film prepared using sol-gel methods, using
58 electrogenerated OH⁻. Zhitomirsky²¹ reported an aqueous
59 cathodic electrophoretic deposition technique for the prepara-
60 tion of Nb₂O₅ films on Pt electrodes. The mechanism they
61 proposed is based upon the peroxo-precursor method used for
62 titania deposition.²² Both titanium and niobium salts react
63 promptly with water to form precipitates, but dissolution of the
64 precursor salt in dilute hydrogen peroxide at low temperature
65 (≈ 2 °C) allows for the formation of a stable peroxocation,
66 thought to be Nb(OH)₄(H₂O₂)⁺ or NbO₂(H₂O₂)⁺.²¹ This
67 complex is hydrolyzed by electrogenerated OH⁻ at the cathode
68 formed, for example, by H₂ evolution to produce Nb₂O₅.²¹ The
69 work of Crayston et al.²⁰ and Zhitomirsky provide the only
70 precedents for Nb₂O₅ electrodeposition, to our knowledge.
71 However, Nb₂O₅ films have also been obtained by the
72 anodization of electrodeposited niobium metal films,²³ which
73 can be prepared by electrodeposition from molten salts²⁴ and
74 propylene carbonate or acetonitrile using LiNbF₆ as a
75 precursor.²⁵

76 In this work, we describe a robust method for the
77 electrophoretic deposition (ED) of T-Nb₂O₅ from NbO_x
78 colloids. NbO_x colloids were obtained using a modification
79 of the Zhitomirsky method,²¹ involving the rapid injection of
80 niobium salt dissolved in methanol into a cold (≈ 2 °C)
81 hydrogen peroxide solution. Phase pure T-Nb₂O₅ films, with
82 thicknesses varying from 38 to 144 nm, are obtained after
83 calcination at 550 °C. The gravimetric specific capacity of
84 these films exceeds the theoretical Faradaic capacity (202
85 mAh/g) and capacitance values (403 F/g, 1.8 V window)
86 expected for Nb₂O₅, due to significant contributions from
87 double-layer capacitance. The wetted surface area of these
88 films is increased by a porosity of 64–72% that forms when H₂
89 bubbles formed during ED are adsorbed and trapped on the
90 nascent Nb₂O₅ surface. The T-Nb₂O₅ films prepared by ED
91 retain up to 70% capacity for 5000 charge-discharge cycles.

EXPERIMENTAL METHODS

92

Chemicals and Materials. Niobium pentachloride (NbCl₅, 99%), 93
hydrogen peroxide solution (H₂O₂, 30% (w/w) in H₂O), lithium 94
perchlorate (LiClO₄, battery grade, dry, 99.99% trace metal basis), 95
propylene carbonate (anhydrous, 99.7%), and fluorine-doped tin 96
oxide (FTO) coated glass slide (surface resistivity ≈ 3 Ω/sq) were all 97
used as received from Sigma-Aldrich. FTO was cleaned using a 98
commercial cleaning solution Hellmanex 3 obtained from Hellma 99
Analytics. Positive photoresist (Shipley S1808) and developer 100
(Shipley MF-319) were purchased from Microchem Corporation. 101
Acetone and methanol were used as received from Fisher (ACS 102
certified). 103

Electrophoretic Deposition of Nb₂O₅. An aqueous colloidal 104
solution of Nb₂O₅ nanoparticles was prepared as follows:²¹ 135 mg 105
NbCl₅ was dissolved in 4 mL methanol and rapidly injected into 96 106
mL of 0.052 M H₂O₂ at ≈ 2 °C, resulting in a clear colloidal solution. 107
The solution was aged at room temperature for 3 h at RT before ED. 108
Colloid solutions were discarded 10 h after the rapid injection 109
process. 110

After cleaning (Hellmanex 3 solution for 5 min, Millipore water 111
rinse, air-dry), 2 × 1 cm FTO glasses were masked with a positive 112
photoresist layer (Shipley S1808). This was spin-coated at 2500 rpm 113
for 80 s onto the FTO, soft baked at 90 °C for 30 min, and patterned 114
to produce a square 6 × 6 mm FTO exposed region (Figure 1a). 115 f1

ED was carried out in a 100 mL one compartment two-electrode 116
electrochemical cell, using a Gamry Series G 300 potentiostat. The 117
working electrode was the patterned FTO surface, which was 118
immersed into the aqueous colloidal solution of Nb₂O₅ nanoparticles, 119
leaving the top edge of the FTO outside the solution for contact with 120
the potentiostat. A platinum foil (1 × 2 cm) counter electrode was 121
positioned at a 2 cm distance from the center of the exposed FTO 122
surface. The deposition was conducted at constant potential of -2.0 123
V, until the required charge was deposited, as shown in Figure 1g. 124
After deposition, all photoresist was removed using acetone and the 125
sample was air-dried. The sample was heated on a 4 °C/min ramp to 126
550 °C and was annealed at this temperature for 6 h, followed by a 127
cool-down. 128

Electrochemical Characterization. All electrochemical measure- 129
ments were performed by a one-compartment three-electrode cell 130
using a Gamry Series G 300 potentiostat. Cyclic voltametry and 131
galvanostatic measurements were conducted in 1.0 M LiClO₄ (battery 132
grade, dry, 99.99%) in dry propylene carbonate inside a N₂ glovebox. 133
1 × 1 cm Pt foil was used as a unter electrode with a Ag/Ag⁺ 134
nonaqueous reference electrode (10 mM Ag⁺, DMSO) for the 135
electrochemical measurements. All potentials are quoted with respect 136
to the Li/Li⁺ couple, E_{Li/Li⁺} = -3.045 V versus normal hydrogen 137
electrode²⁶ by calibrating the Ag/Ag⁺ reference electrode against Li/ 138
Li⁺ using ferrocene/ferrocenium (Fc/Fc⁺) in 1 M LiClO₄ PC.²⁷ 139

Quartz Crystal Microbalance (QCM) Gravimetry. The mass of 140
the Nb₂O₅ deposited by ED was directly determined using QCM. The 141
QCM measurements were performed with a Stanford Research 142
Systems (SRS) QCM200 Quartz Crystal Microbalance Digital 143
Controller, in conjunction with a QCM25 5 MHz Crystal Oscillator 144
equipped with an Au-coated 5 MHz quartz crystal (area = 1.37 cm²). 145
QCM measures the mass deposited onto the quartz/Au electrode by 146
correlating it to the change in frequency, Δf, according to the 147
Sauerbrey equation.²⁸ 148

$$\Delta f = C_f \times \Delta m \quad (2) \quad 149$$

The sensitivity factor (C_f) of the immersed quartz/gold electrode 150
was calibrated by galvanostatically electrodepositing silver from 151
aqueous 0.5 M AgNO₃ + 0.5 M HNO₃ solution, following previously 152
reported methods.^{29,30} The C_f value obtained for silver deposition was 153
47.7(±0.8) Hz cm² μg⁻¹. QCM crystals were cleaned by immersion 154
into piranha solution 3:1, conc H₂SO₄:H₂O₂(30%) for 5 min, rinsing 155
in water, air drying, and then 2 h vacuum drying. The initial frequency 156
of the pristine crystal was recorded in air, immediately after vacuum 157
drying. The electrode holder containing the crystal was then 158
immersed in the colloidal NbO_x solution for deposition. After 159

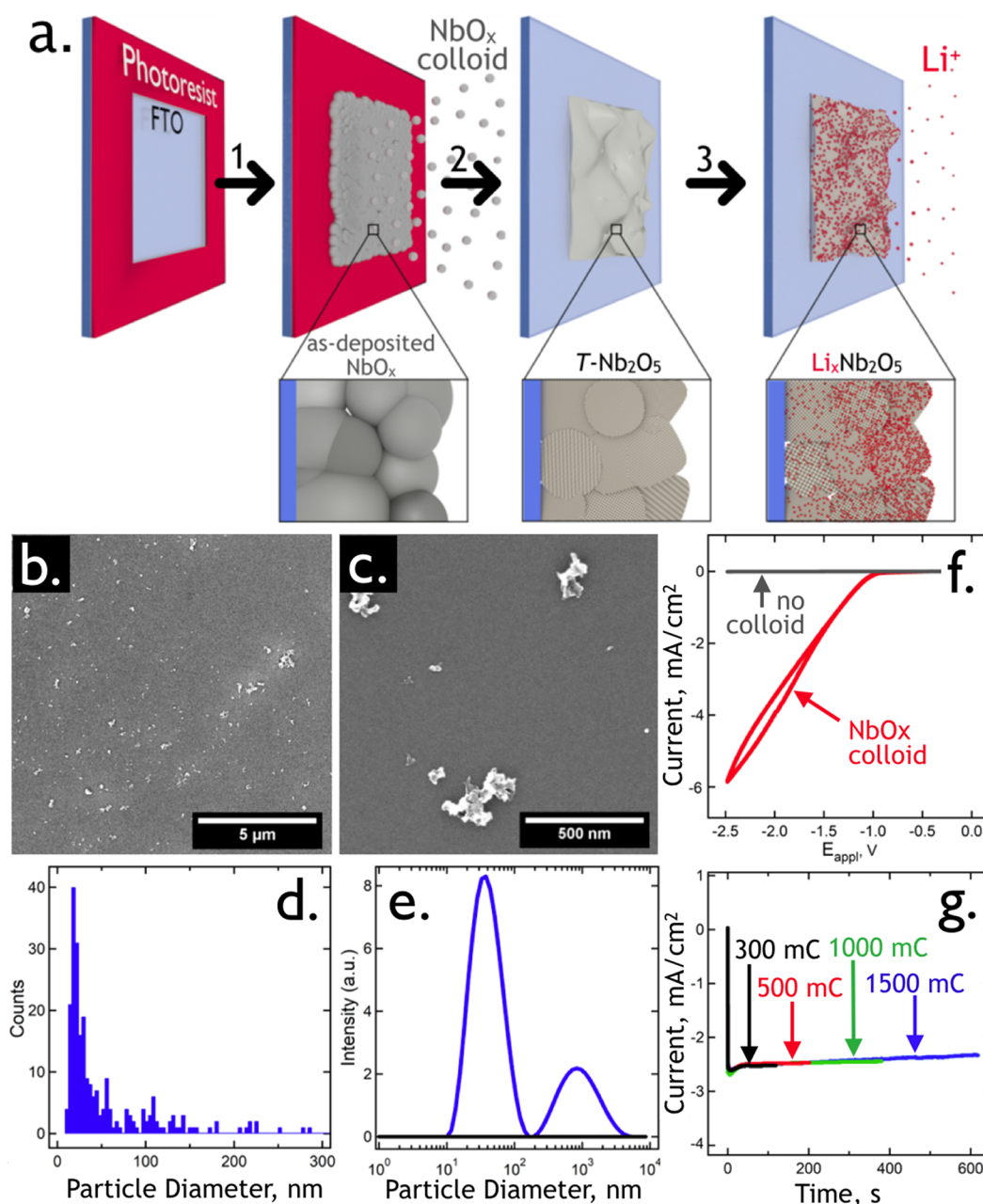


Figure 1. Electrophoretic deposition (ED) of $T\text{-Nb}_2\text{O}_5$ film. (a). Three-step process flow: starting with a masked FTO-coated glass (left) electrode with area, 6×6 mm area (0.36 cm^2), in step 1 potentiostatic ED is carried out from a colloidal NbO_x solution using two electrodes (FTO-glass and counter electrode). The deposited Nb_2O_5 film is amorphous. In step 2, this $a\text{-Nb}_2\text{O}_5$ film is calcined at $550 \text{ }^\circ\text{C}$ for 5 h, producing $T\text{-Nb}_2\text{O}_5$. In step 3, Li^+ can be reversibly intercalated into this $T\text{-Nb}_2\text{O}_5$ film. (b) SEM image of drop-cast NbO_x colloid particles on a clean silicon wafer, showing a dispersion of submicron particles. (c) Lower magnification SEM images showing several larger, 500–100 nm diameter colloid particles. (d) SEM-derived particle diameter histogram of NbO_x colloid particles showing the smaller mode, at ≈ 20 nm, seen in the dynamic light scattering (DLS) fractogram in (e). (e) DLS fractogram showing a bimodal distribution of NbO_x colloid particles. (f) Cyclic voltammograms (2 electrode) at 50 mV/s of aqueous $50 \text{ mM H}_2\text{O}_2$ (gray trace) and the colloidal NbO_x solution described above (red trace). (g) Current vs time plots for potentiostatic ED at -2.0 V (two electrode mode). Four plots are overlaid in this plot, corresponding to four Coulombic loadings as indicated, demonstrating the remarkable reproducibility of the ED process.

deposition, the crystal was removed from the holder, rinsed with water, air-dried, and vacuum-dried for 2 h. After drying, the crystal was remounted on the QCM controller to record the change in frequency for the dried sample after deposition. Mean mass versus mean charge produced a slope of $6.18 \mu\text{g/C}$, which was used to calculate the dry mass of the ED thin film (see below).

Structural Characterization. Scanning electron micrographs (SEMs) were acquired using a FEI Magellan 400 XHR system. Before imaging, samples were sputter-coated with $\approx 2 \text{ nm}$ of iridium.

Accelerating voltages of incident electron beams ranged from 5 to 15 kV, and probe currents ranged from 25 pA to 0.4 nA. All SEM specimens were mounted on stainless stubs and held by carbon tape. Grazing-incidence X-ray diffraction (GIXRD) patterns were obtained using a Rigaku SmartLab X-ray diffractometer employing the parallel beam optics. The X-ray generator was operated at 40 kV and 44 mA with $\text{Cu K}\alpha$ irradiation. X-ray photoelectron spectroscopy (XPS) was measured using the AXIS Supra by Kratos Analytical Inc. equipped with monochromatic Al/Ag X-ray source.

178 **Transmission Electron Microscopy.** Aberration-corrected scanning transmission electron microscopy (STEM) was performed on a
179 JEOL Grand-ARM transmission electron microscope equipped with
180 two spherical aberration correctors and a 300 kV cold field emission
181 gun. High-angle annular dark field (HAADF)-STEM images were
182 recorded using a convergence semi angle of 22 mrad and inner- and
183 outer collection angles of 83 and 165 mrad, respectively.

185 ■ RESULTS AND DISCUSSION

186 **Electrophoretic Deposition of Nb₂O₅ Thin Films.** In
187 the cathodic electrodeposition described by Zhitomirsky,²¹ the
188 aging of the peroxygen solution for 3 days induces
189 cloudiness and sedimentation in the solution; a consequence
190 of the formation of micron-sized NbO_x particles. We found
191 that such solutions yielded inconsistent results. To resolve this,
192 NbO_x colloid solutions were obtained by rapidly injecting 125
193 mM NbCl₅ in methanol into 52 mM H₂O₂ in water at ≈2 °C.
194 This colloidal solution was then aged for 3 h at RT. The
195 resulting NbO_x particles can be directly observed and
196 measured by scanning electron microscopy (SEM) after
197 drop-casting the colloid solution onto a silicon wafer (Figure
198 1, panels b and c). These colloids are mainly in the diameter
199 range from 20 to 30 nm (Figure 1d), but larger colloids
200 extending to 1.0 μm are also seen in these solutions (Figure 1,
201 panels b and c). The SEM analysis of these particles is
202 supported by dynamic light scattering (DLS) data (Figure 1e)
203 that shows two prominent modes at ≈20 nm and 1.0 μm.

204 Cyclic voltammograms (2 electrode) of the FTO-glass
205 working electrode in aqueous H₂O₂ (pH = 7.0) shows virtually
206 no Faradaic current, but in the presence of NbO_x colloid (pH
207 = 5.0), a reduction is observed that is attributed to migration of
208 the positively charged NbO_x colloids and H₂ evolution (HER)
209 coupled with ED of the NbO_x colloid (Figure 1f).
210 Potentiostatic ED at -2 V rapidly produces a steady-state
211 current of 2.5 mA/cm² (Figure 1g) that decreases gradually
212 with time. ED current versus time data traces for four
213 experiments actually overlay one another (Figure 1g),
214 demonstrating the reproducibility of this process. Samples
215 were prepared using one of four total charge values, Q_{dep}: 300,
216 500, 1000, and 1500 mC. It should be emphasized that Q_{dep}
217 encompasses both the charge associated with HER and that of
218 ED.

219 **Structural and Compositional Characterization.** SEM
220 images of as-deposited NbO_x films (Figure 2, panels a and c)
221 show a mud-cracked topography and some texturing of the film
222 surface on the ≈100 nm size scale. These cracks can be

attributed to dry shrinkage, as previously reported for Nb₂O₅ 223
films prepared for electrochromic applications,³¹ caused by the 224
contraction of metal oxides upon loss of capillary water. After 225
calcination, deep cracks become filled and a smoother 226
topography is seen for the film surface (Figure 2, panels d–f). 227

A cross-sectional STEM image of a *T*-Nb₂O₅ film at low 228
magnification shows several of these cracks (Figure 3a). Entry 229

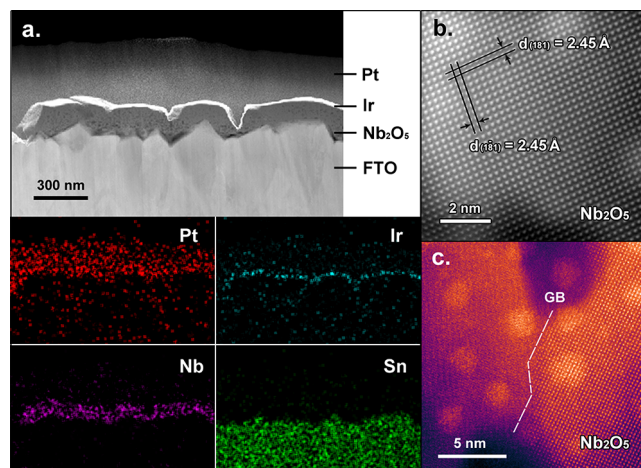


Figure 3. Cross-sectional TEM for AC-STEM characterization of *T*-Nb₂O₅ sample. (a) Cross-section view of a *T*-Nb₂O₅ on FTO. EDS elemental maps confirm the presence of Nb in the *T*-Nb₂O₅ region. Pt and Ir protecting layers were deposited for the TEM sample preparation. (b) Atomic-resolution STEM image of the *T*-Nb₂O₅ layer showing characteristic *d*₍₁₈₁₎-spacings of 2.45 Å. (c) STEM image of the *T*-Nb₂O₅ layer showing a grain boundary, confirming its polycrystalline structure.

dispersive X-ray spectroscopy (EDS) elemental maps (Figure 230
3a, bottom) confirm the *T*-Nb₂O₅ region, labeled in the STEM 231
cross section. At higher magnification, the crystallinity of the 232
T-Nb₂O₅ layer is revealed in atomic-resolution STEM images 233
(Figure 3b). In this image, (181) and (18-1) planes are 234
observed together with a measured *d*-spacing of 2.45 Å. 235
Defects are also observed in these layers, as seen for example in 236
Figure 3c where a white dashed-line marks a grain boundary 237
confirming the polycrystalline structure of the ED-deposited *T*- 238
Nb₂O₅ layer. 239

The surface chemical composition of ED-as-deposited NbO_x 240
films and *T*-Nb₂O₅ films were assessed by XPS (Figure 4, 241 f4
panels a and b, and Table 1). As-prepared films showed 242
binding energies (B.E.) for Nb 3d_{5/2}, Nb 3d_{3/2}, and O 1s at 243
207.5, 210.3, and 530.5 eV, respectively, all within 0.1 eV of 244
the B.E. reported for amorphous Nb₂O₅ (Table 1).¹² The 245
Nb:O stoichiometry of these films was 0.40, also as previously 246
reported.¹² B.E. values of Nb 3d_{5/2}, 3d_{3/2} peaks for calcined 247
films slightly decreased to 207.4 and 210.2 eV (Figure 4a), 248
respectively, while O 1s at 530.4 eV decreased by 0.2 eV 249
(Figure 4b). Similar B.E. decreases for metal oxides have been 250
attributed to a decrease in the bandgap of the oxide caused by 251
the creation of oxygen vacancies during annealing.¹² The O 1s 252
photoelectron envelope can be deconvoluted into a lower B.E. 253
component assigned to niobium oxide (≈ 532.2 eV), and the 254
higher B.E. component (530.5 eV) assigned to OH• and 255
peroxocomplex species which contribute a significant shoulder 256
on the low B.E. side of the O 1s.¹² However, these species 257
decompose upon calcination leading to a dramatic reduction in 258
the intensity of this shoulder (Figure 4b). 259

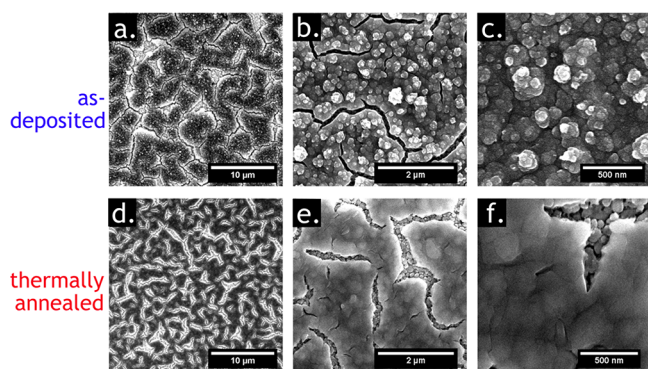


Figure 2. Scanning electron microscopy (SEM). (a–c) As-deposited NbO_x films at three magnifications, and (d–f) *T*-Nb₂O₅ films after calcination at 550 °C for 5 h.

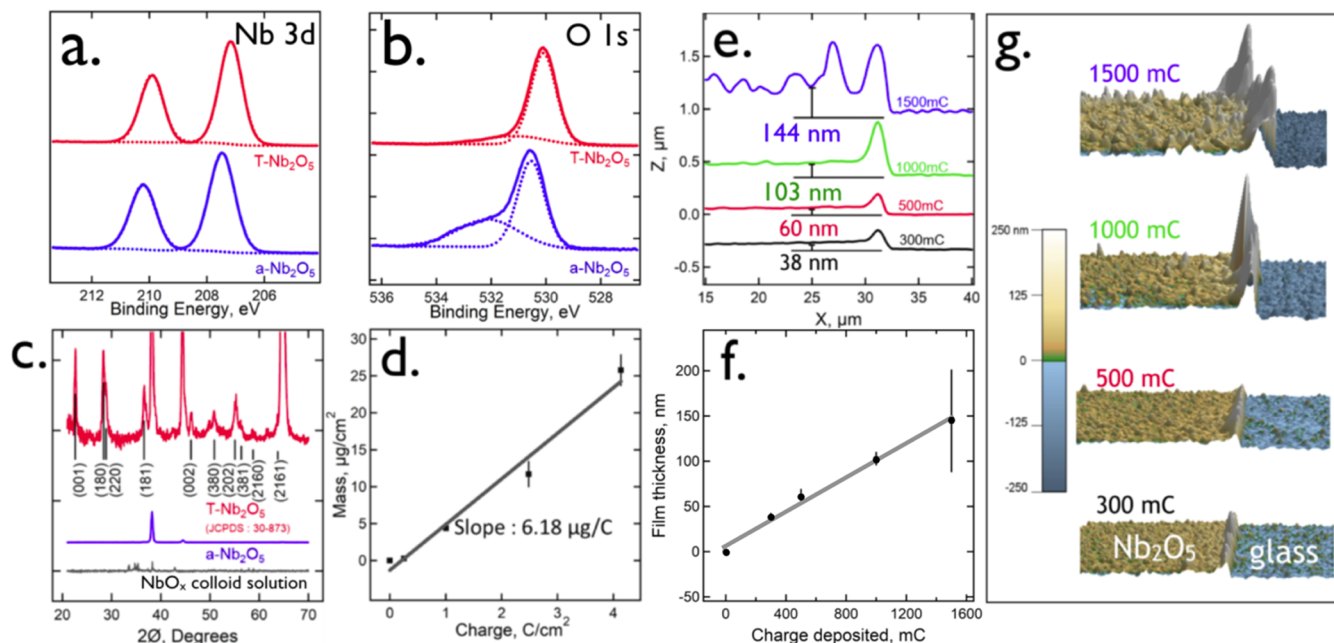


Figure 4. $T\text{-Nb}_2\text{O}_5$ film characterization. (a and b) High-resolution XPS spectra of 500 mC $T\text{-Nb}_2\text{O}_5$ and $a\text{-Nb}_2\text{O}_5$ films. The observed chemical shifts are compared with literature values in Table 1. (c) Powder XRD patterns for as-deposited Nb_2O_5 , $a\text{-Nb}_2\text{O}_5$, and $T\text{-Nb}_2\text{O}_5$, as indicated. (d) QCM measurements of the deposited Nb_2O_5 mass as a function of deposition charge. (e) AFM amplitude traces for four $T\text{-Nb}_2\text{O}_5$ films, prepared using 300, 500, 1000, and 1500 mC, as indicated. (f) AFM-measured film thickness as a function of deposition charge. (g) AFM images of film edges for the same four deposition charges shown in (e).

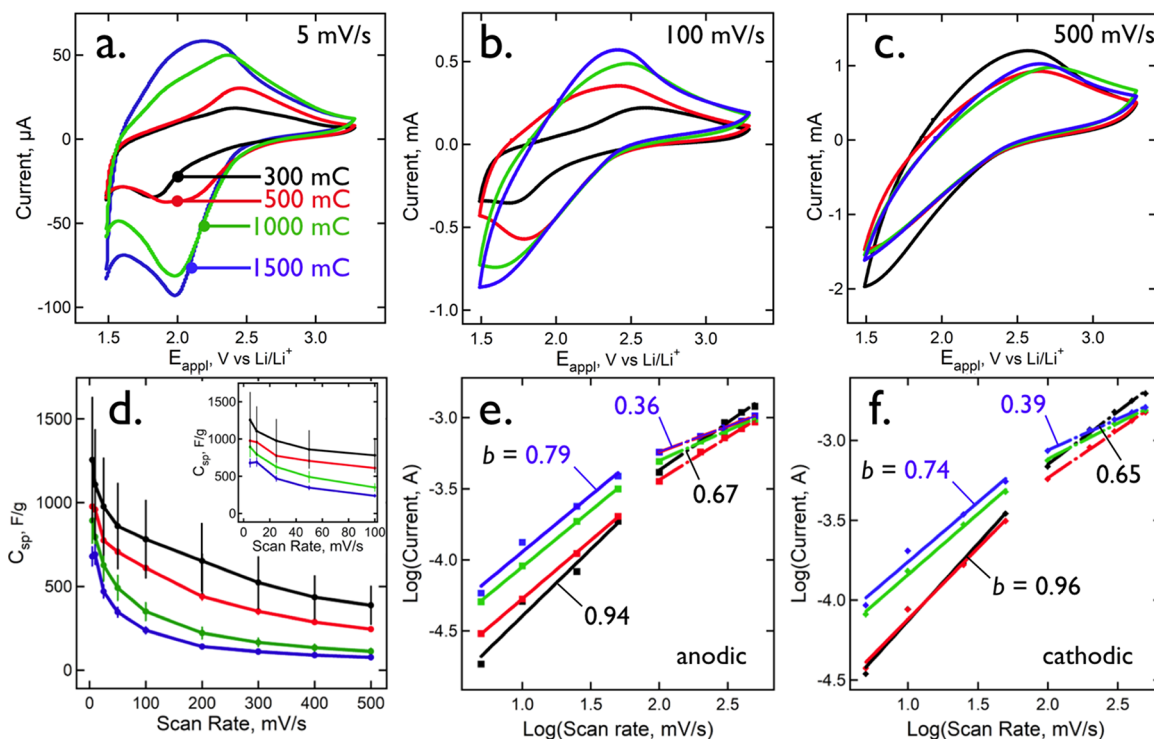


Figure 5. Cyclic voltammetry (CV) of $T\text{-Nb}_2\text{O}_5$ films. (a–c) CVs at scan rates of (a) 5, (b) 100, and (c) 500 mV/s, for $T\text{-Nb}_2\text{O}_5$ films prepared using four Q_{dep} values as indicated. (d) Specific capacity, C_{sp} , calculated from the CVs like those of (a–c). Error bars represent the standard deviation for 3 samples. (e and f) Plots of log (current) versus log (scan rate) showing two regimes at slow (5–50 mV/s) and fast (100–500 mV/s) scan rates. Calculated b values using eq 2 are tabulated in Table 2.

260 Grazing incidence X-ray diffraction (GIXRD) data were
 261 acquired for three films: (1) a spin-coated colloidal solution,
 262 (2) an as-deposited NbO_x film, and (3) a calcined Nb_2O_5 films
 263 (550 °C × 5 h in air) (Figure 4c). Samples 1 and 2 showed no

crystallinity (line at 38° and 45° are assigned to the gold
 264 substrate), but sample 3 produced reflections all of which were
 265 assignable to orthorhombic, $T\text{-Nb}_2\text{O}_5$ (JCPDS 30-873).
 266 Scherrer analysis³² of the line widths affords an estimate of 267

Table 2. Metrics for $T\text{-Nb}_2\text{O}_5$ Films Synthesized by ED

charge (mC)	mass (μg)	thickness (nm)	C_{sp} at 5 mV/s (F/g)	C_{sp} at 5 A/g (mAh/g)	CV cycles ^a at 200 mV/s	galvanostatic cycles ^b at 10 A/g
300	1.85	38	1255.7 \pm 375.2	560.5 \pm 167.5	1200	200
500	3.09	60	977.2 \pm 45.7	424.6 \pm 19.9	2800	>500
1000	6.18	102	892.7 \pm 133.7	251.5 \pm 37.7	2000	300
1500	9.27	144	679.0 \pm 56.0	230.0 \pm 18.9	1000	>500

^aCycles to 20% capacity fade. ^bCycles to 20% capacity fade.

the grain size for these films of 19.3 nm, calculated using the (001), (181) and (002) reflections. Quartz crystal microbalance gravimetry (QCM) was used to measure the mass of the dried films which increased in direct proportion to the Coulombic loading, Q_{dep} , yielding a slope of 6.18 $\mu\text{g}/\text{C}$ (Figure 4d). The film thickness, measured by AFM, also increased in proportion to Q_{dep} (Figure 4, panels e and f). The range of Q_{dep} values explored in this study corresponded to film thickness ranging from 38 nm (300 mC) to 144 nm (1500 mC).

Cyclic voltammetry (CV). The electrochemical properties of $T\text{-Nb}_2\text{O}_5$ film half-cells were investigated in dry, 1.0 M LiClO_4 , propylene carbonate electrolyte using a three-electrode cell with a nonaqueous Ag/Ag^+ reference electrode and Pt counter electrodes. In the following discussion and figures, we convert these potentials to Li/Li^+ (+3.04 V vs NHE) for convenience. Cyclic voltammograms (CVs) for a 1.8 V window from 1.5 to 3.3 V versus Li/Li^+ are shown at scan rates of 5, 100, and 500 mV/s for all four films (Figure 5, panels a, b, and c).

The theoretical Faradaic capacity of Nb_2O_5 is 726 C/g assuming $x = 2.0$ in eq 1.⁷ This corresponds to a specific capacitance, $C_{\text{sp}} = 202$ mAh/g or 403 F/g for the 1.8 V window measured in Figure 5. All four $T\text{-Nb}_2\text{O}_5$ films produce C_{sp} values considerably higher than this at 5 mV/s, ranging from 600 to 1250 F/g (Figure 5d and Table 2). In order for the measured specific capacity to be higher than the theoretical Faradaic capacity, charge must be stored by a non-Faradaic mechanism. Double-layer charging of the film is the most likely candidate for this mechanism. As shown in Figure 5d, C_{sp} is rapidly lost with increasing scan rate above 5 mV/s. But the retention of capacity at higher scan rates is very good, with the thinnest of these films ($Q_{\text{dep}} = 300$ mC, $d = 38$ nm) exhibiting the theoretical Faradaic capacity at a scan rate of 500 mV/s (Figure 5d).

A closer look at the CV data allows the influence of Li^+ transport on C_{sp} to be better understood. The cyclic voltammetric peak current, i_p , shows a scan rate, ν , dependence given by²⁶

$$i_p = a\nu^b \quad (3)$$

where a is a constant and the value of b provides insight into whether diffusional transport limits the measured i_p . $b = 0.5$ signifies a diffusion-controlled current, whereas $b = 1.0$ is produced by diffusion-independent processes which could be non-Faradaic (e.g., capacitive charging) or Faradaic (e.g., pseudocapacitive), provided ion transport is rapid enough.²⁶ In the thinnest $T\text{-Nb}_2\text{O}_5$ films examined here ($Q_{\text{dep}} = 300$ mC, $d = 38$ nm), b approaches 1.0 at slow scan rates (5–50 mV/s, Figure 5, panels e and f), suggesting that the diffusion of Li^+ within these films is sufficiently rapid to enable all Nb centers to be charge-compensated on the time scale of a single voltammetric scan. For example, at $\nu = 20$ mV/s, $\tau_{\text{CV}} \approx RT/F\nu$

$= 1.3$ s,²⁶ where R , T , and F are the gas constant, the temperature, and Faraday's constant, respectively. Since $n \approx 1.0$ (Figure 5, panels e and f), the film is behaving pseudocapacitively, implying that Li^+ is able to access the entire 38 nm $T\text{-Nb}_2\text{O}_5$ film. This requires that $D_{\text{Li}^+} \geq d^2/2\tau_{\text{CV}} = 6 \times 10^{-12}$ cm^2/s .²⁶ As d increases from 38 to 140 nm ($Q_{\text{dep}} = 1500$ mC), b decreases from ~ 0.95 to ~ 0.75 in this slow scan rate regime, suggesting that Li^+ diffusion is no longer able to access all Nb centers, and the Faradaic current is increasing diffusion-limited ($b = 0.5$).

At scan rates of 100 mV/s and above, $T\text{-Nb}_2\text{O}_5$ films of all four thickness show lower b values in the 0.36–0.67 range (Figure 5, panels e and f, and Table 3). Notably, b values even

Table 3. b -Values^a for Nb_2O_5 Films as a Function of Deposition Charge

	Q_{dep} ^b (mC)	slow scan rates	fast scan rates
		(5–50 mV/s)	(100–500 mV/s)
anodic	300	$b = 0.94$	$b = 0.67$
	500	0.82	0.6
	1000	0.8	0.42
	1500	0.79	0.36
cathodic	300	$b = 0.96$	$b = 0.65$
	500	0.89	0.59
	1000	0.76	0.44
	1500	0.74	0.39

^a b -Value, as defined by eq 2. ^b Nb_2O_5 ED deposition charge at a 6×6 mm FTO electrode with area 0.36 cm^2 .

lower than 0.5 are observed for the thickest films, indicating that uncompensated ohmic resistance and/or rate-limiting electron transfer act to limit the current in addition to Li^+ diffusion.²⁶ In this fast scan rate regime, thinner films are storing charge both capacitively ($n = 1.0$) and by accessing Nb centers via Li^+ diffusion ($n = 0.5$), but the entire film thickness is not accessible to Li^+ on these time scales. For example, if Li^+ transport is diffusion-controlled within the 38 nm film, this requires that $D_{\text{Li}^+} \leq d^2/2\tau_{\text{CV}} = 1.4 \times 10^{-10}$ cm^2/s . Thus, the cyclic voltammetric behavior of the 38 nm sample can be understood if D_{Li^+} satisfies this inequality: 6×10^{-12} $\text{cm}^2/\text{s} < D_{\text{Li}^+} < 1.4 \times 10^{-10}$ cm^2/s , or approximately 10^{-11} cm^2/s . This value is in the range of other transition metal oxides used for Li^+ storage.^{33–37}

At $\nu = 500$ mV/s the cyclic voltammograms for all four films converge (Figure 5c). This behavior is expected if τ_{CV} limits Li^+ diffusion to distances smaller than the thickness of all four films. In this limit, Li^+ is able to access the same volume, and the same number of Nb centers, for all four films. At 500 mV/s, $\tau_{\text{CV}} \approx 50$ ms.

As already noted, the C_{sp} measured by cyclic voltammetry at slow scan rates is higher than the theoretical Faradaic C_{sp} value of 403 F/g (1.8 V window). This implies that non-Faradaic

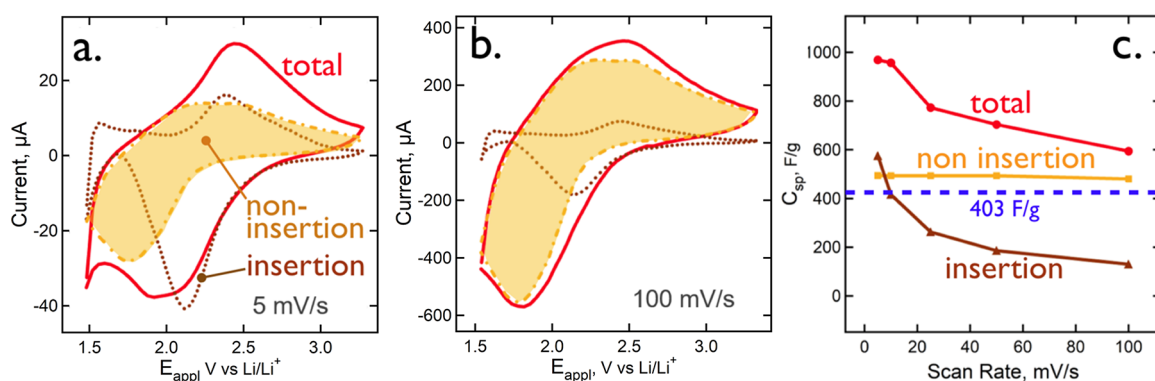


Figure 6. Deconvolution of insertion and noninsertion currents in a cyclic voltammogram using the method of Conway.³⁸ (a) CV at 5 mV/s for a 500 mC $T\text{-Nb}_2\text{O}_5$ film. (b) CV for the same film at 100 mV/s. (c) Plot of total C_{sp} , together with the insertion and noninsertion capacities as a function of scan rate, ν . The dash blue line marks the theoretical Faradaic capacity, 403 F/g for a 1.8 V window.

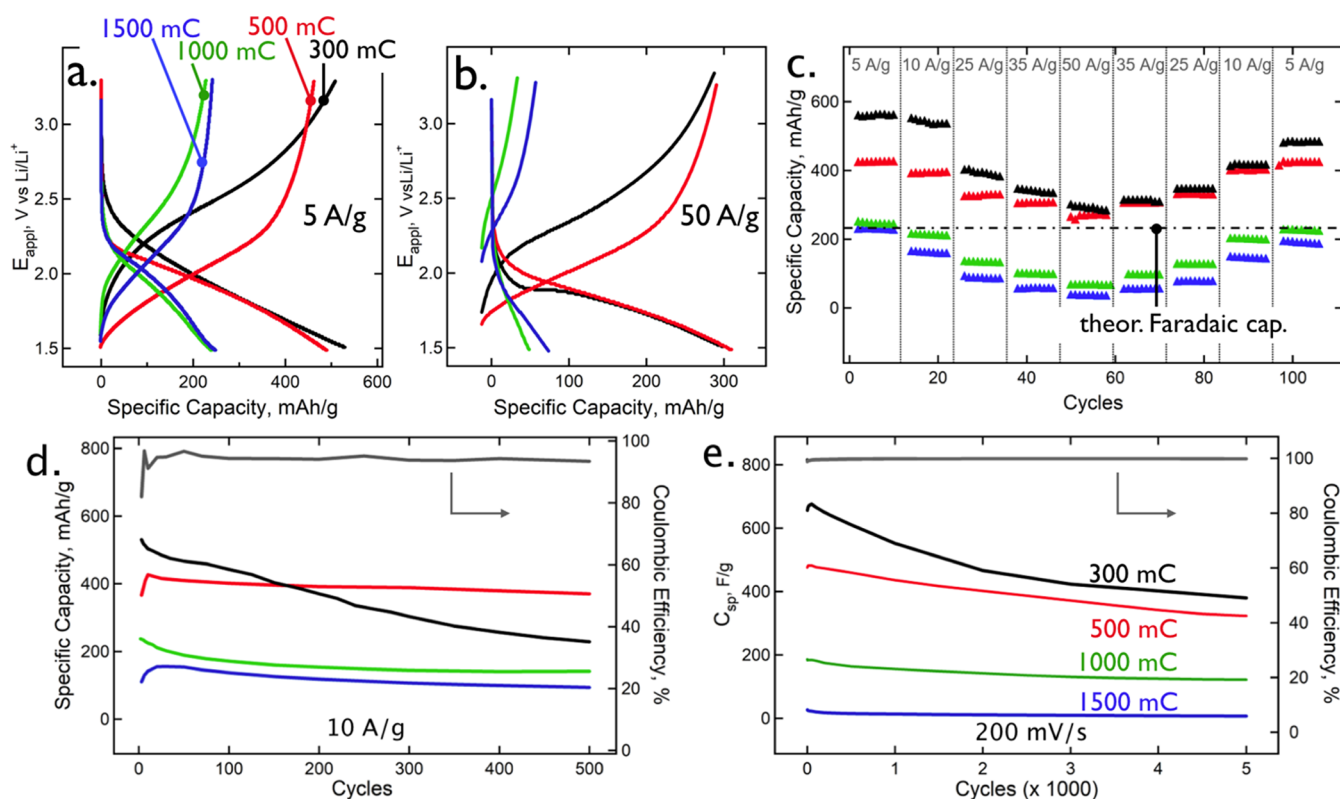


Figure 7. Galvanostatic charge/discharge and assessments of cycle stability. (a and b) Galvanostatic charge–discharge curves for rates of (a) 5 A/g and (b) 50 A/g. (c) Measurements of specific capacity for all four film thicknesses at five galvanostatic rates ranging from 5 to 50 A/g. (d) Cycle stability of C_{sp} for galvanostatic charge/discharge across 500 cycles. (e) Cycle stability of C_{sp} for cyclic voltammetric charge/discharge at 200 mV/s across a 1.8 V window and 5000 cycles.

355 energy storage contributes substantially to the measured C_{sp} ,
 356 but what experimental evidence supports the existence of this
 357 double-layer capacity? A version of eq 3 can be used to resolve
 358 the total current into insertion and noninsertion components,
 359 the latter of which includes double-layer charging as well as the
 360 Faradaic capacity of the $T\text{-Nb}_2\text{O}_5$ surface.^{38–40} The total
 361 current at any potential and scan rate, ν , can be written as^{38–40}

$$362 \quad i(\nu) = k_1\nu + k_2\nu^{1/2} \quad (4)$$

363 where the first term accounts for the noninsertion current (i.e.,
 364 nondiffusion controlled), the second term is the insertion
 365 current (diffusion-controlled), and k_1 and k_2 are scan rate
 366 independent constants. A plot of the quotient $[i(\nu)/\nu^{1/2}]$

versus $\nu^{1/2}$ at each potential point yields the values of k_1 and k_2
 as the slope and intercept, respectively.^{38–40} Application of this
 deconvolution algorithm to a 500 mC $T\text{-Nb}_2\text{O}_5$ film (Figure 6)
 allows the insertion and noninsertion currents to be plotted
 separately, together with the total current (Figure 6, panels a
 and b). At $\nu = 5$ mV/s, a large noninsertion current is observed
 that is similar in magnitude to the insertion current (Figure
 6a), and at 100 mV/s, the noninsertion capacity dominates
 (Figure 6b). The fraction of the total capacity, C_{sp} , comprised
 by insertion decreases smoothly with ν from 55% at 5 mV/s to
 19% at 100 mV/s (Figure 6c). This analysis suggests that a large
 noninsertion capacity that rivals or exceeds the insertion
 capacity operates in these ED $T\text{-Nb}_2\text{O}_5$ films, providing a

Table 4. Literature Review of Energy Storage Metrics for Nb₂O₅ Films^a

material	synthesis method	film thickness	voltage window (V)	sp. energy (Wh/kg)	sp. power (kW/kg)	cycle stability	ref
Nb ₂ O ₅ @CNTs	HT	3 μm	1.5	450-355	1.1–8.5		1
Nb ₂ O ₅ @CDC	HT	70 μm	1.8	158-130	0.9–3.6		4
T-Nb ₂ O ₅	HT	40 μm	1.8	252-87	0.3–86		13
ox. Nb ₂ CT _x	HT	50 μm	1.8	133-30	0.13–6		3
np Nb ₂ O ₅	A&A	250 μm	2.0	475-322	0.1–1.6		45
<i>o</i> -Nb ₂ O ₅ @C	HT	50 μm	2.0	278-117	2–100		46
Nb ₂ O ₅ nb	HT	15 nm	1.8	450-150	0.2–180		41
T-Nb ₂ O ₅							
Q _{dep} = 500 mC	ED	60 nm	1.8	770-486	9–90		this work
Q _{dep} = 1000 mC	ED	100 nm	1.8	421-84	9–90		this work

^aAbbreviations: ox = oxidized, np = nanoporous, nb = nanobelts, A&A = anodization and thermal annealing, HT = hydrothermal, ED = electrophoretic deposition, CNT = carbon nanotubes, CDC = carbide-derived carbon, T-Nb₂O₅ = orthorhombic Nb₂O₅, Nb₂CT_x = 2D niobium carbide MXene, and *o*-Nb₂O₅@carbon = orthorhombic-Nb₂O₅@carbon core-shell microspheres.

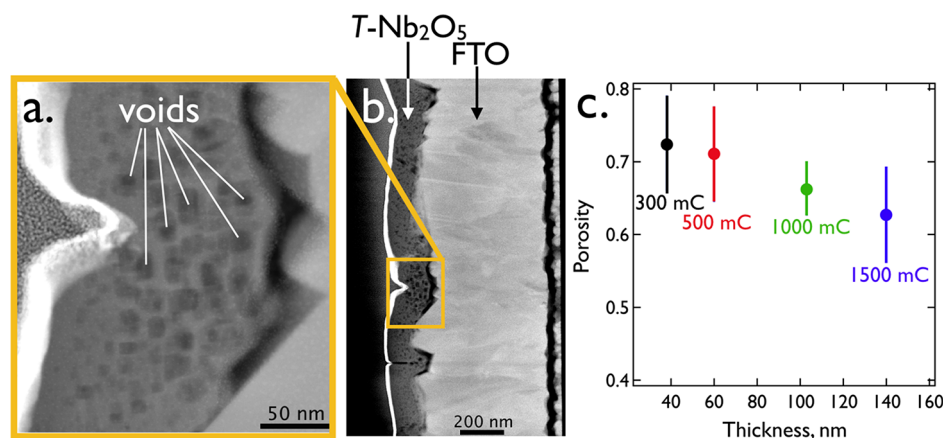


Figure 8. T-Nb₂O₅ film porosity. (a and b) Cross-sectional images of a 140 nm T-Nb₂O₅ film prepared with Q_{dep} = 1500 mC. The porosity of T-Nb₂O₅ films prepared by ED of 72%–64% is attributed to voids within the bulk of the T-Nb₂O₅ film seen in these high-angle annular dark field (HAADF)-STEM images. (c) Calculated porosity versus thickness for T-Nb₂O₅ films, derived from AFM measurements of thickness and QCM measurements of the mass of each film.

380 mechanism for this material to achieve a total C_{sp} value that is
381 up to twice the theoretical Faradaic value.

382 **Galvanostatic Charge/Discharge and Assessments of**
383 **Cycle Stability.** Galvanostatic charge/discharge curves for T-
384 Nb₂O₅ films, evaluated at 5–50 A/g, show appreciable capacity
385 for these films even at 50 A/g (Figure 6, panels a–c). For
386 example, 300 mC films (*d* = 38 nm) produce C_{sp} approaching
387 ~300 mAh/g, whereas 1000 mC and 1500 mC films (100, and
388 140 nm) show C_{sp} of 40–60 mAh/g at a 50 A/g rate (Figure
389 db). For the 500 mC sample (*d* = 60 nm), C_{sp} exceeds 400
390 mAh/g at 10 A/g, which translates to an energy density of
391 >700 Wh/kg, at a power density of 18 kW/kg (Figure 6c). As
392 indicated in Figure 6c, the two thinnest films exceed the
393 theoretical Faradaic capacity of Nb₂O₅ of 202 mAh/g even at
394 50 A/g. As discussed in greater detail below, this requires the
395 participation of non-Faradaic charge storage in the form of
396 double-layer charging (Figure 7).

397 The cycle stability of charge storage is critically important
398 for all technological applications of energy storage materials. In
399 prior work (Table 4), Zhou⁴¹ reported Nb₂O₅ nanobelts (15 ×
400 60 nm in width) produced C_{sp} values of 50 mAh/g and showed
401 virtually no fade for 50 cycles. Zhang and co-workers⁴²
402 demonstrated Nb₂O₅ nanoparticle/CNT composites that
403 demonstrated 128 mAh/g at a 5 A/g rate for 2000 cycles
404 with 95% retention of this capacity. Extraordinary cycle
405 stability of T-Nb₂O₅ nanoparticle-decorated carbon fibers

was reported by Yun and co-workers⁴³ who achieved C_{sp} 406
values in the range from 240–356 F/g (0.5–10 A/g) and 407
cycle stabilities to 70000 cycles, unfortunately, at an 408
unspecified rate. Dunn and co-workers¹ studied the same 409
composite system and reported 500 cycles with 5% fade at 380 410
C/g (80 mAh/g) cycled at a 20 C rate. These examples, which 411
are representative of the best in terms of demonstrating 412
excellent cycle stability of Nb₂O₅, all involve nanostructures or 413
dispersions of Nb₂O₅ nanoparticles on a conductive support. 414

T-Nb₂O₅ films represent a much different type of sample. 415
Since voltage cycling and galvanostatic cycling can produce 416
very different results for the same material, we investigated 417
both (Figure 8). All four films were cycled at 10 A/g for 500 418
cycles (Figure 6d) and, with different samples, across 1.8 V at 419
200 mV/s for up to 10000 cycles (5000 shown in Figure 6e). 420
These are amongst the highest rates at which stability has been 421
tested for this material, to our knowledge. Galvanostatic 422
cycling under these conditions often resulted in little 423
measurable capacity fade (Figure 6d, Q_{dep} = 1500, 1000, and 424
500 mC samples), but significant fade (55%) was also seen for 425
some samples (e.g., Figure 6d, Q_{dep} = 300 mC). These trends 426
are representative of a larger number of samples examined in 427
this study. T-Nb₂O₅ films exhibited significantly better stability 428
in CV cycling (Figure 6e) where films retained in excess of 429
60% of their initial C_{sp} to 5000 cycles, and more than 40% to 430
10000 cycles. 431

432 **Mesoporosity in ED T-Nb₂O₅ Films.** The nanometer-
433 scale thicknesses of the T-Nb₂O₅ film studied here precluded
434 the measurement of specific surface areas using the BET
435 method. But porosity data were acquired directly, by
436 measuring the apparent density of the film, ρ_{expt} , based upon
437 the AFM-measured film thickness, d , and the QCM-measured
438 film dry mass, m . In conjunction with the known density of
439 Nb₂O₅, ρ_{theor} , a porosity is obtained (Figure 7c):

$$\text{porosity} = 1.0 - \frac{\rho_{\text{expt}}}{\rho_{\text{theor}}} \quad (5)$$

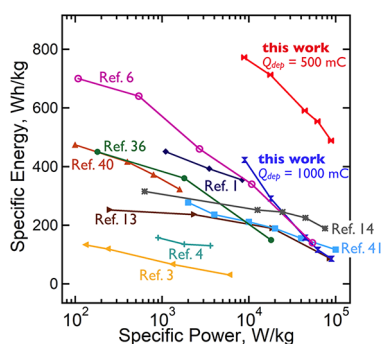
441 The measured values, ranging from 72% for the 300 mC ($d =$
442 38 nm) film to 64% for the 1500 mC (140 nm) film, are
443 surprisingly large.

444 This porosity can be directly imaged by STEM in cross-
445 sectional thin sections of these films (Figure 7, panels a and b).
446 These images show many spherical voids (dark regions in these
447 dark field images) within the ED T-Nb₂O₅ matrix. These voids
448 range in diameter from 1–10 nm where smaller voids
449 (diameter 1–2 nm) are uniformly distributed, but larger
450 voids (8–10 nm) tend to form clusters (one of these is shown
451 in Figure 7b). This mesoporosity provides an explanation for
452 the large noninsertion capacities demonstrated in Figure 6.
453 Both the double-layer capacity and the surface Faradaic
454 capacities are proportional to the wetted surface area of the
455 film.

456 How do these voids form? Our hypothesis is that hydrogen
457 nanobubbles that coevolve during the ED process (macro-
458 scopic H₂ bubbles are also seen on the electrode surface during
459 ED) adhere to the nascent NbO_x film and are covered and
460 encapsulated by NbO_x colloids during the ED growth process.
461 Confirmation of this mechanism must await further exper-
462 imentation; however, if this mechanism is correct, it could
463 provide a means for controlling the mesoporosity of these films
464 by adjusting the rate of H₂ evolution during the ED process.

465 ■ SUMMARY

466 The electrochemical preparation of high quality, crystalline T-
467 Nb₂O₅ thin films can be achieved using the ED process



468 **Figure 9.** Ragone plot for comparison of the half-cell performance for
469 T-Nb₂O₅ films prepared using ED with literature results. Data for $Q_{\text{dep}} =$
470 500 mC and $Q_{\text{dep}} = 1000$ mC represent the average measured for three
471 independent samples.

472 described here. This method affords control of film thickness
473 from 38 to 140 nm. Moreover, these ED T-Nb₂O₅ films
474 achieve capacities for Li⁺ storage of ≈ 1200 F/g at 5 mV/s (1.8
475 V window) and 610 F/g at 100 mV/s that exceed the
476 theoretical Faradaic capacities for this material (403 F/g) and

are unprecedented to our knowledge. A Ragone plot for two of
473 these films (Figure 9) is compared with prior work on Nb₂O₅,
474 highlighting the excellent energy storage performance of these
475 ED films.

476 These exceptional C_{sp} values are attributed to a significant
477 porosity of $\approx 70\%$ that enables a large double-layer capacity
478 that is 50% of C_{sp} at 5 mV/s and 80% at 100 mV/s. This
479 capacity is sustainable for many charge–discharge cycles, with
480 500 mC films retaining a C_{sp} of 350 F/g to 5000 cycles. A
481 similar trend is observed in the galvanostatic charge–discharge,
482 where high energy densities of ≈ 700 Wh/kg are obtained at
483 power densities as high as 18 kW/kg.

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493 Notes

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