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AN EFFECTIVE LAGRANGIAN FOR DYNAMICALLY BROKEN GAUGE THEORIES

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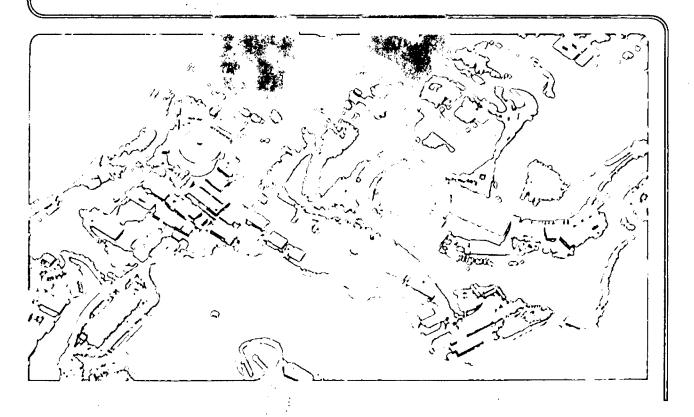
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EFFECTIVE LAGRANGIAN FOR DYNAMICALLY

AN

BROKEN GAUGE THEORIES*

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ABSTRACT

Dynamical symmetry breaking in non-Abelian gauge theories is studied by computing an effective potential for composite operators. We obtain consistent solutions of chiral and gauge symmetry breaking which are, in some cases, compatible with a short distance behavior. The effective theory determined is in agreement with the tumbling hypothesis.

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1. INTRODUCTION

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Many of the present studies in gauge theories are devoted to the gauge symmetry breaking mechanism. In order to circumvent the problems, which arise in the Higgs mechanism with fundamental scalar bosons,1,² different models have been proposed, such as the so called technicolor models,^{2,4} which imply the existence of dynamical symmetry breaking (= compositeHiggs bosons), and the supersymmetric models, where supersymmetry save us from the mixing of different scales in grand unified theories.⁵

The technicolor hypothesis brought on interesting ideas such as the tumbling hypothesis,⁶ in spite of the failures to achieve a realistic model.⁷ Analytical studies were done towards a better understanding of this hypothesis, but were not successful in explaining how that mechanism occurs in a realistic four-dimensional non-Abelian gauge theory.^{8,9} Parallel to these works, recent Monte Carlo simulation on the scales of chiral symmetry breaking of gauge theories, gives support to the tumbling hypothesis, showing that these scales are not necessarily related to the confinement scale.¹⁰

This paper is an attempt to improve the knowledge on dynamical symmetry breaking (DSB) of non-Abelian gauge theories. We will calculate the effective potential for composite fermionic operators as introduced in Ref. (11), with the aim to show fermion condensation. Our results indicate that there is not necessity of confining forces to achieve condensation, which is what one can expect from a naive comparison with the similar phenomenon in solid-state physics.

It is clear that if a theory has a non-vanishing vacuum expectation value (VEV) at large momentum,¹⁰ it can only be explained by a nonperturbative behavior. Consequently, we believe that an effective potential approach, based on the non-perturbative asymptotic behavior of two-point functions, must be a good way to show this effect. In Section 2 we mostly deal with the effective potential calcu-

lation. Section 3 contains the analysis of a general non-Abelian gauge theory, showing the existence of fermion condensation, discussing the stability of the effective potential, and what kind of dynamics produces this phenomenon.

We discuss the problem of gauge hierarchies in dynamically broken gauge theories, and comment on the hypothesis of condensation in the maximally attractive channel (MAC).⁶ The conclusions are given in Section 4. Appendix A is devoted to a brief discussion of dynamical symmetry breaking in an Abelian case.

2. THE EFFECTIVE ACTION FOR COMPOSITE OPERATORS

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The effective action to be computed (r), is a functional of the Green's functions \boldsymbol{G}_1 , and is stationary with respect to variations of

$$e_{1} \cdot \frac{\delta \Gamma}{\delta G_{1}} = 0 \quad (1)$$

The effective potential is defined by

$$V(G_i) \int d^4 x = - \Gamma(G_i) \int T_{EANSLATION INVARIANT} (2)$$

For a non-Abelian theory it has the form¹¹

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$$5, D, G) = -i \int \frac{d^4 p}{(2\pi)^4} T_{\pi} (l_{\rm sh} S_o^{-1} S - S_o^{-1} S + 1)$$

$$-i \int \frac{d^4 p}{(2\pi)^4} T_{\pi} (l_{\rm sh} G_o^{-1} G - G_o^{-1} G + 1)$$

$$+ \frac{i}{2} \int \frac{d^4 p}{(2\pi)^4} T_{\pi} (l_{\rm sh} D_o^{-1} D - D_o^{-1} D + 1)$$

$$+ \sqrt{2} (S, D, G)$$

$$(3)$$

In Eq. (3) S,D and G are respectively the complete propagators of fermions, gauge bosons and Fadeev-Popov ghosts; S_0 , D_0 and G_0 the respective bare propagators.

V (S,D,G)is the sum of all two particle irreducible vacuum 2 diagrams, as drawn in Fig. 1. It is determined by requiring that the solutions of the equations

 $\frac{\delta V}{\delta S} = \frac{\delta V}{\delta D} = \frac{\delta V}{\delta G} = 0$

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(4)

give the Schwinger-Dyson equations for fermions, gauge bosons and ghosts.

The physically meaningful quantity we need to compute is the vacuum energy density given by

where we are subtracting from the asymmetric potential the symmetric one, denoted by $V(S_n,D_n,G_n).$

Due to our ignorance of the complete propagators, we use their asymptotic linearized approximation. Therefore, Ω may be trusted as far as the linearized solutions reflect the true behavior of the complete propagators.

The energy density has a graphical representation given in Fig. 2. The determination of which diagrams contribute to Ω , is summarized in Appendix B.

In the diagrams of Fig. 2, the insertions correspond to the linearized solution of the Schwinger-Dyson equation for the fermions self-energy ($\Sigma(p^2)$), and the blobs to linearized gauge boson self-energy ($\Pi(p^2)$). The double gauge boson lines correspond to the complete gauge boson propagator, which is given by (in Landau gauge)

$$D^{\mu\nu}(p) = \frac{-i(g^{\mu\nu} - \frac{p^{\mu}p^{\nu}}{p^{2}})}{p^{2} - T(cp^{2})}$$

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The linearized $\Sigma(p^2)$ can be determined by transforming the integral Schwinger-Dyson equation into a differential equation, with the condition $\Sigma(p^2 = 0) = \mu .^{12}$ One obtains the following asymptotic solutions

$$\sum_{\mathbf{I}} (p^2) \sim \sum_{p^2 \to \infty} \mu \left(\frac{p^2}{\mu^2} \right)^{-\delta}$$
 ($\sharp \alpha$)

$$\sum_{\mathrm{II}} \left(p^{2} \right) \sim \frac{\mu^{3}}{p^{2} \to \infty} \left(\frac{p^{2}}{\mu^{2}} \right)^{\delta} , \quad (\sharp b)$$

where

$$\delta = \frac{3 g^2(p^2)}{16 \pi^2} C$$

and in the case where we have, for example, two fermion representations R and R , c is given by F1

$$c = \frac{1}{2} \left[C_2(R_{\psi}) + C_2(R_{\chi}) - C_2(R_{\phi}) \right] .$$
(8)

 $c_2(R_1)$ is the second Casimir operator for the representation R_1 , and R_φ is the representation, contained in the product $R_\psi \propto R_\chi$, which minimizes the effective action.

It has been argued that the solution (7b) should be the physical

one^{14,15} since it can be derivable from operator product expansion (OPE), and gives the known power counting behavior for the pion electromagnetic form factor $(\mathbf{F}_{\pi}(q^2))$. However, this is not enough to rule out solution $(7a)^{16,F2}$. We shall see in the next section, that only $\Sigma_{\mathbf{I}}(\mathbf{p}^2)$ leads to an effective potential with a VEV proportional to $1/g^2$, invalidating the naive OPE technique.

There are two arguments in favor of $\Sigma_{T}(p^{2})$ as the good physical solution: 1) when inserted in the effective potential, $\Sigma_{T}(p^{2})$ leads to the deepest minimum (as we will see in the next section), 2) in a recent renormalization group analysis, of the dynamical symmetry breaking in QCD based on the Nambu-Jona-Lasinio approach, solution (7a) was determined as the physical one.¹⁷

We parametrize $\Sigma_{I}(p^{2})$ possessing the asymptotic behavior (7a) in the following form:

$$\sum_{I} (p^{2}) = -i \oint \left[1 + b g^{2}(\mu^{2}) \ln \frac{p^{2}}{\mu^{2}} \right]^{-3C/16 \pi^{2} b}, \quad (q)$$

where

$$\phi = \sum_{i} \mu P^{i} \qquad (10)$$

b is the coefficient of g^3 in the expansion of the β function

$$o = \frac{1}{16 \pi^2} \left[\frac{11}{3} C_2(G) - \sum_{R_1} \frac{4}{3} T(R_1) \right]$$

 $T(R_1)$ is the index of the representation R_1 . φ will act as a variational parameter to be determined from the effective action.

Notice that ϕ looks like a composite field, in the sense that the Schwinger-Dyson equation which dresses the fermion $(\Sigma(p^2))$, is related to the Bethe-Salpeter kernel ($\tilde{\phi}_{BS}(p,q)$), which binds a fermion-antifermion pair together in a pseudoscalars wave at zero momentum transfer

$$\sum_{i} (p^2) = \Phi_{BS}(p,q_i) \Big|_{q \to \infty}$$
(11)

As we choose Eq. (7a), the vacuum polarization tensor will be given by^{13}

$$\Pi(p^{2}) = -i M_{G}^{2} \left[1 + b g^{2}(\mu^{2}) \ln \frac{p^{2}}{\mu^{2}} \right]^{-3c/8 \pi^{2} b}, (12)$$

where in the same way as ϕ , M_G^2 will be considered as a variational parameter. Equation (12) is the result of the interaction of the gauge bosons with the composite mesons (fermion condensates), and M_G^2 is proportional to $-\operatorname{Tr}\left[\{t^a,\phi\}|\{e^b,\phi\}\}\right\}$, which is zero in the case of singlet condensation.

Using Eq. (9) and (12) we are able to determine the energy density Ω (all the calculations were done in Landau gauge). Computing the diagrams of Fig. 2 (see Appendix B, and Eq. (B.(7)), taking the proper vertexs at the lowest order, and using the running coupling constant, we arrive at

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$$\begin{split} \Omega &= \frac{1}{|6|^2} \, \delta^{-1} (i + \frac{1}{2} \delta)^{-1} \pi \phi^{-1} - \frac{1}{|6|^2} \pi \gamma \mu' (i + \frac{1}{\mu})^{-1} h' (i + \frac{1}{\mu})^{-1} h' (i + \frac{1}{\mu})^{-1} \pi \gamma \mu' (i + \frac{1}{\mu})^{-1} h' (i + \frac{1}{\mu})^{-1} \pi \gamma \mu' (i + \frac{1}{\mu})^{-1} \mu' (i + \frac{1}{\mu})^{-1} \eta' (i + \frac{1}{\mu})^{-1} \eta'$$

aside from some corrections of O(1) factors).

(Notice that Eq. (13) can be reduced to the Abelian result of Ref. (11),

The variation of $^{\Omega}$ with respect to M_{G}^{2}

The Fourier transform of Eq. (19) can be written as -12-S given by Notice that in the first term of Eq. (18) we have two contributions $16 \, \pi^2 \mu^{-4} \, \Omega = - \left[\frac{3}{16 \pi^4} \frac{c^2 \, \delta^{-1}}{(3c/8\pi^2 - b)^2} - \delta^{-1} + \frac{11}{3} - \frac{q}{32 \pi^4} \frac{c^2}{(3c/8\pi^2 - b)^2} \right] T_n \left(\frac{\phi}{\mu} \right)^4$ (18) of order $1/g^2$, while all the other terms are of 0(1). If we take into consideration only the $0(1/g^2)$ contribution, we arrive at an unstable We will see in the next section that (18) has a stable solution the study of the stability of dynamically broken gauge theories. $^{19}\,$ potential, which can explain negative results obtained earlier, in energy density. The O(1) is essential to study the effective $+ \frac{1}{15} T_{n} \left(\frac{\Phi}{\mu}\right)^{6} + \frac{1}{140} T_{n} \left(\frac{\Phi}{\mu}\right)^{8} + \cdots$ + $\frac{3}{16\pi^4} \frac{c^2}{(3c/8\pi^2 - b)^2} \overline{1}n \left(\frac{\Phi}{\mu}\right)^4 \int_0^1 \int_0^1 \frac{c(\Phi/\mu)^2}{2\pi^2(3c/8\pi^2 - b)}$ for ($\phi/\,\mu)$ different from zero.

true ground state by $|\Omega>$. Taking into account the structure of the kinetic term of the effective action. To start with, let us suppose that the real vacuum leads to fermion condensation, and denote the We can determine a complete effective theory, including the real vacuum, the fermion propagators are described by a fermion bilinear which is not translationally invariant

(P1)
$$\langle \Omega | [(\gamma_{\frac{1}{2}}^{2} - x) \beta_{\beta} (\gamma_{\frac{1}{2}}^{2} + x) \lambda_{\alpha}] \tau] \tau \langle \Omega \rangle = -i \langle \Omega \rangle$$

where $S_o(p,k)$ is the bare propagator (which is translationally invariant)

$$S_{o}(p_{1}k) = (2^{\text{II}})^{4} \delta^{4}(p-k) / k^{2}$$
, (21)

and $\boldsymbol{\Sigma}(\boldsymbol{p},\boldsymbol{k})$ is a gap equation, which can be separated in its regular part $(\Sigma(k))\,,$ and its singular symmetry breaking part $(\Sigma_{\mathbf{b}}(\mathbf{p},k))$

$$(p,k) = (2\pi)^{4} \sum (k) \delta^{4}(p-k) + \sum_{b} (p,k) \qquad (22)$$

Notice that $\Sigma^{}_{T}(p^2)$ given by Eq. (7a), is nothing other than the linearized solution of $\Sigma_h(p,k)$.

a consequence of the truncation of the Schwinger-Dyson equation, and

also of the neglect of higher order loops (which can contribute to

0(1)) in the dressed expansion of the effective action.¹⁸

the approximation in the trial functions (Eq. (9) and Eq. (12)), as

Actually, our procedure is not free of ambiguities at 0(1), due to

If we suppose that the expectation value of the fermion bilinear has the following operator expansion¹⁸

$$(\Omega|T[\gamma(x+\frac{1}{2}\gamma)\psi(x-\frac{1}{2}\gamma)]|\Omega \sum \sum_{\gamma=0}^{\infty} C(\gamma)\psi(x), \quad (23)$$

(C(y) is a c-number function, and $\phi(x)$ acts like a scalar field with anomalous dimension 26) we can write

$$\sum_{b} (p,k) \sim -i \phi(k) \left[1 + b g^{2}(\mu^{2}) \lim_{\mu^{4}} \frac{p^{2}}{\mu^{4}} \right]^{-3c/16\pi^{2}b} (2^{4})$$

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As seen in Eq. (24), working in the true vacuum generates a nontrivial dependence on the momentum k for our variational parameter ϕ .

The kinetic term for our effective theory is obtained inserting $\label{eq:phi} ^\phi(k) \mbox{ in the effective action, and expanding around $k=0$.}$

The diagrams contributing to the kinetic part of the energy density in order $1/g^2$ are drawn in Fig. 3. We could say, in an intuitive way, that they are related to the vacuum polarization tensor of some composite Higgs field $_{\varphi}(x)$. The computation of these diagrams give

$$\Omega_{kinetic} = \frac{1}{2} \int d^4 x \frac{\left(\partial_\mu \phi(x) \right)^2}{4\pi^2 g^2 (3c/8\pi^2 - b)}$$
(25)

Comparing (25) with a common scalar field kinetic term, we

can define

$$\overline{\Phi}(x) = Z^{-1/2} \Phi(x) , \qquad (26)$$

where ϕ plays the role of the physical field, and Z is a renormalization

constant

$$\chi = 4 \pi^2 g^2 (3c/8\pi^2 - b)$$
 (2)

With Eqs. (26), (27) and (17) we finally arrive at an effective

Lagrangian with the following form

$$\chi_{0}^{e_{\beta}} = \int d^{4}x \left[\frac{1}{2} \left(\partial_{\mu} \Phi \right)^{2} + \frac{A_{1}}{4} \Phi^{4} - \frac{A_{2}}{6} \Phi^{6} - \cdots \right], (28)$$

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where λ_1 and λ_2 are the effective coupling constants, and are functions of g^2 , c, b and μ^2 . (There is an implicit trace in the ϕ polinomial.)^{F3} The characteristics of this effective Lagrangian will be investigated in the next section.

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APPLICATIONS TO DYNAMICALLY BROKEN GAUGE THEORIES.	We so arrived at a lower bound on the gauge coupling constant,
	related to the scale where chiral symmetry is broken. In a realistic
In this section we are going to analyze the effective theory	model we can expect a phase transition, near the critical coupling
obtained above, looking for the existence of fermion condensation.	constant determined by Eq. (31). Actually, this would be a good
For the sake of simplicity we will discuss separately the cases	value, if the chiral symmetry breaking phenomenon is associated to
of chiral and gauge symmetry breaking, and, for completeness, we	a short distance behavior. However, we expect that for some values
describe in Appendix A how this formalism works, in the Abelian case	of c and $b_j g^2$ will not be small enough to justify our procedure, and
(represented by the electrodynamics of Baker, Willey and Johnson).	to exclude a big contribution from low momenta.
a. Chiral symmetry breaking	It is possible to give a prediction for the critical coupling
In the case where the bound-state (fermion self-energy) is a	constants, in the case of chiral symmetry breaking of a SU(N) theory,
singlet, only the chiral symmetry can be broken (M_G^2 = 0), and the	with fermions in the fundamental representation ${ m F4}$
energy density in Eq. (18) is reduced to	
$16 \pi^{2} \mu^{-4} \Omega = -\left[\frac{11}{3} - \delta^{-1}\right] T_{\pi} \left(\frac{\Phi}{\mu}\right)^{4} + \frac{1}{15} T_{\pi} \left(\frac{\Phi}{\mu}\right)^{6} + \frac{1}{140} T_{\pi} \left(\frac{\Phi}{\mu}\right)^{8} + \cdots (29)$	$\alpha_{calt} \approx 1.43 \left(\frac{4}{6} N - \frac{3}{N} + \frac{1}{3} N_{f} \right)^{-1}, (32)$
· · · · · · · · · · · · · · · · · · ·	N_{f} is the number of flavors. Notice that the number of flavors is
Equation (29) has a minimum for $(\phi/\mu)^2 \neq 0$ manifesting the	important in the determination of the critical scale.
existence of condensation. But as previously said we have the condition	We can test the above result for QCD with 6 flavors. From Eq. (32)
$0 < (\phi/u)^2 < 1$ (30)	we obtain $\alpha(\ \mu^2)$ $\stackrel{z}{\sim}$ 0.39, which corresponds to μ =9.9 A q_{CD} (using
	naively the running coupling constant). This gives a value of $\boldsymbol{\mu}$ of
neccessary for consistency of the approach.	0(1 GeV), which is 3 times bigger then the best estimate to the
From (28) and (30) we see that γ is bounded, and we obtain	dynamical mass of QCD. ²²
	One might think how the above scenario is modified by the low
$0.273 \leq q^{2}(\mu^{2}) \left(\frac{1}{4\pi^{2}} - b \right) \geq 0.280$ (31)	momentum effects, if the theory is described not by Eq. (7a), but by
	a linear combination of (7a) and (7b) (Eq. (7b) has a behavior more
The upper bound has no physical meaning, because in this case	characteristic to the large distance physics), or, as another
($\phi/\mu)^2$ \ddot{z} 1, and the method loses its consistency.	interesting possibility, if the theory exhibits a self-energy
	solution proportional to $1/p^4$ (which is expected to give the linear

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confining potential). ²⁹ Our intention is to check the validity of	or others decreasing faster (expected in the low momentum region),
our high momentum approach.	at least in our approximation do not contribute to condensation,
Let us first compute the contribution of $rac{\Sigma}{11}$ to the energy	but are important in the stabilization of the effective potential.
density.	If the scale of chiral breaking is distinct from the confinement
(33)	scale, this can only be due to the effect of the irregular solution.
$\Delta L \Sigma_{xx} = 1 \int (2\pi)^4 (\pi \omega (1 - \omega \omega)) = 2\pi \omega (1 - \omega \omega)$	The effects of softer solutions almost disappear at high momenta.
	We claim that the irregular solution is the physical one, since it
Due to the extremely convergent character of $\Sigma_{\Pi},$ we can take	leads to the deepest state of minimum energy.
$\Sigma_{ m II}$ $\mu^3/ m p^2$ in a good approximation, and obtain	The effective Lagrangian for the chiral symmetry breaking case
(φ) _e	is given by Eq. (28), where
$16\pi^{2}\mu^{2}\Delta\Sigma_{21} = \frac{1}{20} Tn \left(\frac{1}{\mu}\right) + 0(10) \frac{1}{2} n \left(\frac{1}{\mu}\right) + \cdots $ (34)	x 12.4 (3.0 m2 L) ² (11/3 - x ⁻¹) (36a)
Notice that $\Sigma_{f II}$ gives a stable potential, but does not lead to	<u>,</u>
	Λ [2, 1 ² g ² (3c/8π ² -b)] ³ (3c, k)
Assuming that we have a linear combination of Eq. (7a) and Eq. (7h) we arrive to	•
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$_{1,2,\pi^{2},\pi^{4}}$, $_{-}$, $_{-}$, $_{-}$, $_{11-(3/20)A}$, $_{-}$, $_{-1}$, $_{1\pi}$, $_{0}$, $_{+}$, $_{+}$, $_{-}$, $_{-}$, $_{0}$, $_{10}$, $_{2}$, $_{B}$, $_{1\pi}$, $_{-}$, $_$	From (28) we define a mass, as the second derivative of $\mathcal L$ with
$\int \frac{1}{2\pi} \int \frac{1}{2\pi$	respect to ϕ , $rac{m_{\phi}^2}{m_{\phi}^2}$ ~ λ_1/λ_2 . The positivity of mass gives us the
where A and B are factors taking into account the linear combination	
of (7a) and (7b). The inclusion of Σ_{II} contributes to an increase of	$\left(\frac{2}{8\pi^2} - D\right) > 0$ (37)
$^2_{ m critical}$ (A needs to be of O(10) to give μ ~300 MeV for QCD).	
Some comments on expression (35) are in order. We have to	which one can also find from equations (16) and (25). We thus
point out that only the irregular solution (${\Sigma_1}({ m p}^2)$) gives a VEV	recover a result of Lane, ¹⁴ which was a consequence of Mandelstam's
proportional to $1/\mathrm{g}^2$. (Softer solutions, as the regular one, do not	condition of Beth-Salpeter wave function normalization ²⁴ to solution
show this quasi-divergent aspect of $\Sigma_{I})$. Solutions like Σ (p^{2}) ~ $1/p^{2}$	(7a).

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For a SU(N) gauge theory with fermions in the fundamental

representation Eq. (37) gives a constraint on the number of flavors $(\mathtt{N}_{\mathbf{f}})$

$$V_{f} > N + \frac{9}{2} N^{-1}$$
 (38)

If we apply Eq. (38) to QCD, the stability of the effective potential can only be achieved for $N_f \ge 5$. This strong result is a consequence of $\Sigma_T(p^2)$ (Eq. (7a)), and only appears in the case of a four dimensional space-time. (Condition (37) puts also a constraint in the formation of diquarks, in which case we must have $N_f > 10$.)

In Table 1 we give the result of calculations of critical couplings, and constraints in the number of flavors, for the chiral breaking of SU(2) with fermions in the representations $\underline{2}$, $\underline{3}$ and $\underline{4}$.

3b) Gauge symmetry breaking

If we form a non-singlet condensate it will break the gauge symmetry. The effective potential in this case is given by Eq. (18), and the value of $(\phi/\mu)^2$ at minimum is F^5

$$\frac{\Phi}{\mu} \int_{\min}^{2} = \left\{ \frac{15}{8\pi^{4}} \frac{c^{2}}{(3c/8\pi^{2}-b)^{2}} \left[\chi^{-1} - 2 - \int_{\infty}^{\infty} \frac{c(\phi/\mu)^{2}}{2\pi^{2}(3c/8\pi^{2}-b)} \right] - 10 \, \chi^{-1} + \frac{110}{3} \right\}$$
(39)

To see if $(\phi/\mu)_{min}^2$ given by Eq. (39) satisfies condition (30), we can study two cases: a) $3c/8\pi^2 \sim b$ and b) $3c/8\pi^2 >> b$, and give some numerical results for specific models.

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In the case where $3c/8\pi^2 \sim b$, the first term in the right hand side of Eq. (39), which is proportional to $(3c/8\pi^2-b)^{-2}$, dominates, and to maintain (30) we must have

$$\left[\frac{\frac{1}{q^2(3c/4\pi^2-b)}-2-\lambda_M\frac{C(\varphi/\mu)^2}{2\pi^2(3c/8\pi^2-b)}\right]\longrightarrow 0 \quad (40)$$

as $(3c/8\pi^2 - b) \rightarrow 0$. Since the logarithm argument gets bigger, the only way to obey (40) is decreasing the value of g^2 (because $(3c/4\pi^2 - b)$) $\not= 0$). This scenario is consistent with small critical coupling constants. For asymptotically and anomaly free theories, we can obtain $3c/8\pi^2$ $\sim b$ only for groups of lower rank.

When $3c/8 \pi^2 >> b$ it is difficult to satisfy the condition given by Eq. (30), without getting out from the regime of small coupling constants. In general we obtain $3c/8\pi^2 >> b$ for groups of higher rank. In this case we could expect more influence of the low momenta behavior.

Table 1 gives the numerical computation of the critical coupling constants, for the gauge symmetry breaking of a SU(5) theory with fermions in the representations $\underline{5}$ and $\underline{10}$, and for a SU(7) theory with fermion in the representations $\overline{7}$, $\overline{7}$ and $\underline{35}$.

We call the attention to the fact that the numbers in Table 1 are approximate, since there is a small range of coupling constants for which the condition (30) is not violated.

Table 1 gives also the calculation of the critical coupling constants from the naive expectation that αc \approx 1.⁶ We can see that in some cases there is a noticeable difference in the scale of symmetry

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breaking in those two calculations (these differences can also be seen from the results presented in Ref. (10)). This means that the aspects of gauge hierarchy in technicolor models should be reviewed,²⁵ mainly due to the fact that now not only the attractiveness given by the coefficient c, but also the coefficient b are involved in the calculation. For example, in one theory which tumbles twice, with attractiveness c_1 and c_2 , even if c_1 is almost equal c_2 , their coefficients b_1 and b_2 shall be different, if we assume a complete decoupling of the heavy particles.

Up to now we have found that the non-Abelian gauge theories admit condensation, and this phenomenon starts happening, in a short distance region, eventually receiving contribution from the low momenta physics, in accord with the theory studied. This can be expected from the naive comparison with superconductivity, where condensation is not associated to a strong confining force. For non-Abelian gauge theories, if the force between fermions reaches some given strength, which is related directly to the values of c and b, the theory goes through a phase transition to the broken phase.

The effective Lagrangian for the dynamical gauge symmetry breaking is given by

$$\mathcal{J}_{e_{\beta}} = \int d^{4}x \left[\frac{1}{2} \left(\partial_{\mu} \Phi \right)^{2} + \frac{\Lambda_{1}}{4} \operatorname{Tr} \overline{\Phi}^{4} - \frac{\Lambda_{2}}{6} \operatorname{Tr} \overline{\Phi}^{6} - \cdots \right]$$
(41)

$$-\frac{3}{16\pi^2} c^2 g^4 \operatorname{Tr} \tilde{\Phi}^4 \operatorname{Im} \frac{2 c g^2}{\mu^2} \tilde{\Phi}^2 \right],$$

where

 $\Lambda_{1} = 4 \pi^{2} g^{4} (3c/8\pi^{2}-b)^{2} \left[\frac{3c}{16\pi^{4}} \frac{c^{2} \, 8^{-1}}{(3c/8\pi^{2}-b)^{2}} - 8^{-1} + \frac{11}{3} - \frac{q}{32\pi^{4}} \frac{c^{2}}{(3c/8\pi^{2}-b)^{2}} \right], \ (4.2)$

-22-

and λ_2 is the same as in Eq. (36b).

We assumed in the calculations that the condensation always occurs in the maximally attractive channel (MAC). If we just look at the effective Lagrangian (Eq. (41)), we see that the term responsible for the symmetry breaking is proportional to c, and computing the value of the potential at minimum, we see that it is associated to the biggest value of c, which confirms the MAC hypothesis. The only problem with this simple reasoning, is that a true minimization is only valid locally, since we are restrained to $0 < (\phi/\mu)^2 < 1$. We might have cases, in which two different channels can exist in two completely distinct regions of coupling constants, and we are not formally able to compare them. Besides that, the concept of MAC arises naturally, and, even more, in many cases the constraint given by Eq. (37) is enough to determine the MAC.

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4. CONCLUSIONS

We have calculated an effective action for composite operators in a non-Abelian gauge theory, and obtained an effective Lagrangian which describes the chiral and gauge symmetry breaking, showing the existence of condensation, when the coupling constants are bigger then some value determined by the characteristics of the theory.

Most of our conclusions are based on the use of the irregular solution of the Schwinger-Dyson equation for the fermion propagator, and we have shown that this is the only physical solution since it leads to the deeper minimum. As a consequence of the irregular solution behavior, we have a strong constraint on the fermion representations, and on the number of families, in order to obey the condition $(3c/8\pi^2 - b) > 0$. In the case of QCD with 6 flavors, this inequality tells us that we only can form bound states in the singlet channel.

Our results are in agreement with the tumbling hypothesis, with the result that for some values of c and b, the scale of symmetry breaking can really be associated with a short distance phenomenon which is also in agreement with recent Monte Carlo simulations. We were able to determine the critical scale for chiral and gauge symmetry breaking, with a better accuracy always when the phenomenon occurs at higher momentum.

We hope to discuss in another paper some phenomenological aspects of our results. Among those, one consequence of the use of the irregular solution for the self-energy, is that this shall help to solve the problem of flavor changing neutral currents in technicolor

models, 7 allowing a raise of the extended technicolor boson masses.

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Note added: After we finished this work our attention has been drawn to the paper of Mahanthappa and Randa (Phys. Lett. <u>121B</u>, 156 (1983)), in which they study the dynamical breaking in a SU(2) x U(1) model. Their unsuccessful results can be explained by the violation of the constraint given by Eq. (37), and are not an indication of failure of the effective potential computation. In conformity with our results, we do not expect to break the symmetry of a realistic SU(2) x U(1) model, without the introduction of new interactions.

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1	APPENDIX A	THE EFFECTIVE POTENTIAL FOR FINITE QED	It is interesting to study the chiral breaking in the Abelian	case, using the effective potential for composite operators. We	are going to analyze the Baker, Willey and Johnson finite $Q_{\rm ED},^{20}$	and see if it has a stable potential and can generate fermion con-	densation.	The asymptotic fermionic self-energy in finite QED is given by	$\sum_{1} (p^{2}) \sim \mu \left(\frac{-p^{2}}{\mu^{2}}\right)^{-\epsilon}, (A-1)$	where	ال ا	and $\alpha = e^2/4\pi$.	The terms which contribute to the effective potential are	$\Omega = \frac{i}{2} T_{R} \Sigma_{I} S_{0} \Sigma_{I} S_{0} + i T_{R} I_{M} (1 - \Sigma_{I} S_{0})$	+ L/2 T _R 5.8 Σ ₁ 5.0 C ₁ + 2 7 5.2 5.0 5.2 5.0	which correspond to the fermionic contributions in the expression	(B-17) of Appendix B, and to some of the last fermionic diagrams in Fig. 2. The commutation of the effective notential is straight-	•
	ACKNOWLEDGMENT	I am grateful to B. Machet for useful discussions and to Dr. M. Suzuki for critically reading the manuscript. This work	was supported by the Director, Office of Energy Research, Office	of High Energy and Nuclear Physics, Division of High Energy Physics	of the U.S. Department of Energy under contract DE-AC03-76SF00098.											•		

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forward, and the result is

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$$16\pi^2 \Omega = \frac{\Phi^4}{4\epsilon} - \mu^4 \left(1 + \frac{\Phi}{\mu}\right)^4 \beta_M \left(1 + \frac{\Phi}{\mu}\right)^2 + \frac{4}{2} \Phi^2 \mu^2 \quad (A.3)$$

where we used Σ_I ~ $\phi(-p^2/\mu^2)^{-c}$ and assumed the existence of a mass μ in the theory.

As we did in Section 3, we expand the logarithmic term in (A-3) (even if this is not a good procedure for many values of ϵ), which simplifies our analysis, and obtain

$$16 \pi^2 \mu^{-4} \Omega = -\left(\frac{25}{6} - \frac{1}{4\epsilon}\right) \left(\frac{\Phi}{\mu}\right)^4 + \frac{1}{15} \left(\frac{\Phi}{\mu}\right)^6 + \frac{1}{140} \left(\frac{\Phi}{\mu}\right)^8 + \dots (A^{-4})$$

We can also calculate the kinetic term which is given by (Fig. (3)).

$$\Omega_{kin} = \frac{1}{2} \int d^{4}x \frac{\left(\delta_{\mu} \phi\right)^{2}}{8 \pi^{2} \epsilon} , \qquad (A-5)$$

with a physical effective field which can be defined as

$$\tilde{\rho}^2 = \frac{1}{8 \pi^2} \epsilon^2$$

(H-6)

From (A-4) we can see that the theory is stable for small α (e²/4 π), and that finite QED can generate condensation, but this will happen only for $\alpha \ge 1/4$, where QED probably has already lost its meaning, since its coupling constant reaches these values at scales, where we cannot neglect the gravitational effects or a possible unification with other interactions.

From these results we do not expect any possibility to use a vectorial Abelian theory to generate masses through condensation in an Euclidean space-time.

-30-	In (B-2) Γ_{μ}^{a} , Γ_{μ}^{abc} , $T_{abc}^{\mu \vee \sigma}$ and $Q_{\alpha\beta\gamma\delta}^{abcd}$ are respectively the proper vertex of fermions, ghosts, triple and quartic gauge boson coupling. Eq.(B-2) is drawn in Fig. 1. For the sake of simplicity we will write Eq. (B-1) (with (B-2)) removing all indices and integrations	$V(S,D,G) = -iT_{R} (l_{m} S_{o}^{-1} S - S_{o}^{-1} S + 1)$ $-iT_{R} (l_{m} G_{o}^{-1} G - G_{o}^{-1} G + 1) + \frac{i}{2} T_{R} (l_{M} D_{o}^{-1} D - D_{o}^{-1} D + 1)$ $+ \frac{i}{2} T_{R} (\Gamma S \Gamma S D) + \frac{i}{2} T_{n} (F G F G D) $ (B-3)	$-\frac{L}{6} \int_{\Omega} (\mathcal{T} \mathcal{D} \mathcal{T} \mathcal{D} \mathcal{D}) - \frac{L}{8} \int_{\Omega} (\mathcal{Q} \mathcal{D} \mathcal{D}) $ From (B-3), and remembering that we want to compute the vacuum energy density defined in Eq. (5):	$\Omega = \sqrt{(5, D, \varsigma)} - \sqrt{(5, m, Dm, \varsigma, m)}$ and also making use of the definitions (3.4)	(B-2) $S_{0m}^{-1} = S_m^{-1} + \Sigma_m$ (B-5a) (B-2) $G_{0m}^{-1} = G_m^{-1} + \Sigma_{Gm}$ (B-5b) $D_{0m}^{-1} = D_m^{-1} + \Pi_m$, (B-5c) we arrive at
-29-	APPENDIX B DETERMINATION OF Ω In this appendix we give a brief description of how to determine the analytical expression for Ω , which gives the result in Eq. (13).	The effective potential is given by Eq. (3) which we repeat here for convenience $\sqrt{(S,D,G)} = -i \int \frac{d^4p}{(2\pi)^4} T_n (g_n S_0^{-1} S - S_0^{-1} S + 1)$ $-i \int \frac{d^4p}{(2\pi)^4} T_n (g_n G_0^{-1} G - G_0^{-1} G + 1)$ (3.1)	$+\frac{i}{2} \int \frac{d^4p}{(2\pi)^4} T_n (g_n D_o^{-1} D - D_o^{-1} D + 1) \\ + \sqrt{2} (5, D, \zeta) , , $ where S,D, G (S_o, D_o, G_) are the complete (bare) propagators of fermions, gauge bosons and ghosts, and $\gamma_2(S,D,G)$ is the sum of all	two particle irreducible vacuum diagrams, and can be written as $i V_2(5,0,G) = -\frac{1}{2} \int \frac{d^4 p d^4 k}{(2\pi)^8} T r \Gamma^{\mu\alpha}(k,p) S(p) \Gamma^{\nu}(k,p) S(p+k) D^{\alpha b}_{\mu\nu}(k)$ $-\frac{1}{2} \left\{ \frac{d^4 p d^4 k}{2\pi} T r T^{\alpha b c}_{\mu}(k,p) G^{b d}(p) T^{\alpha b}_{\nu}(k,p) G^{\beta}(p+k) D^{\mu\nu\alpha b}_{\mu\nu\alpha b}(k) \right\}$	+ $\frac{1}{6}\int \frac{d^4p}{(21)^8} T_{tr} T_{abc} D_{\mu\alpha}^{cd}(p) T^{\mu}\beta^{\kappa} D_{\alpha\beta}^{\alpha}(k) D_{\delta\beta}^{b\beta}(p+k)$ + $\frac{1}{2}\int \frac{d^4p}{(21)^8} T_{tr} Q_{abcd}^{abcd}(k_p) D^{\mu}\beta^{\alpha}d(k) D^{\delta}\delta^{cd}(p)$ (B.

-32-	$\Omega = -i T_{n} (f_{n} S_{o}^{-1} S_{o} - S_{o}^{-1} S_{+1}) + \frac{i}{2} T_{n} (f_{m} D_{o}^{-1} D_{-} D_{o}^{-1} D_{+1})$ $+ \frac{i}{2} T_{n} (F G_{o} F G_{o} (D_{-} D_{o})) - \frac{i}{8} T_{n} (f_{0} D D_{-} Q D_{o} D_{o})$ $- \frac{i}{6} T_{n} (T D T D D_{-} T D_{o} T D_{o} D_{o}) + \frac{i}{2} T_{n} (r S \Gamma S_{-} \Gamma S_{o} \Gamma S_{o}) (D_{-} D_{o})$ $+ \frac{i}{2} T_{n} (\Gamma (S_{-} S_{o}) \Gamma (S_{-} S_{o}) D_{o} (S_{-} S_{o}) D_{o}$ If we multiply (B-5c) by D, the resulting equation can be used to	rewrite the fourth term of (B-8) $-\frac{i}{8} T_{n} (GDD - GD_{o}D_{o}) = -\frac{i}{4} T_{n} GDTD_{o}D$ (B-9) $+\frac{i}{8} T_{n} GDTD_{o}DTD_{o}$	The fifth term in (B-8) can also be rewritten in the following form $-\frac{1}{6} T_n (TDTDD - TD_oTD_oD_o) = (B-10)$	- <u>i</u> T ₁ T ₁ T (D-D ₀)T (D-D ₀)(D-D ₀) - <u>i</u> T ₁ T DT (D-D ₀)D ₀ , Making use of Eqs. (B-9) and (B-10) we transform (B-8) into	
-31-	$\Omega = -i T_{R} (\lim_{M} S^{-1}_{m} S \cdot S^{-1}_{m} S + i) - i T_{R} (\lim_{M} S^{-1}_{m} S - S^{-1}_{m} S + i) + i T_{R} (\lim_{M} S^{-1}_{m} S - S^{-1}_{m} S + i) + i T_{R} (\sum_{M} (S - S_{M}) + i T_{R} (\sum_{M} (S - S_{M}) - i) + i T_{R} (\sum_{M} (S - S_{M}) - i) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i) + i T_{R} (\sum_{M} S_{M}) + i) + i $	<pre>% % where the Green functions labeled by n are those of the normal theory, i.e., with no symmetry breaking. We can simplify (B-6), using the definitions of the fermionic</pre>	self-energy and vacuum polarization $\sum_{m} = -\Gamma S_{m} \Gamma D_{m} \qquad (B^{4}a)$ $\prod_{m} = \Gamma S_{m} \Gamma S_{m} \qquad (B^{-4}b)$	and assuming that we do not have poles in the Schwinger-Dyson equation for the Fadeev-Popov ghosts ($\Sigma_{\rm Gn}$ = 0). And also with the following approximations: $S_{\rm n} = S_{\rm o}$ and $D_{\rm n} = D_{\rm o}$ we arrive at	

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-34-	$\sum_{r} = -\Gamma S \Sigma_{r} S \Gamma D , (B-15)$	which corresponds to Fig. 5. Now it is possible to expand the terms -i Tr $n S_0^{-1}S$ and $1/2$ Tr $n D_0^{-1}D$ contained in (B-11). The first will be expanded in powers of Σ_T (notice that Σ_s falls of faster then Σ_T , and Σ_n is swept in the subtraction $\Omega - \Omega_n$), the second is expanded in powers of Π . With these expansions, and some algebraic manipulation we get	$\Omega = \frac{1}{2} \operatorname{Tr} \mathfrak{g}_{M}(1-\operatorname{TD}_{o}) - \frac{1}{2} \operatorname{Tr} \frac{\operatorname{TD}_{o}}{(1-\operatorname{TD}_{o})}$ + $\frac{1}{2} \operatorname{Tr} (\operatorname{FrG}_{o}\operatorname{FrG}_{o}\operatorname{D}\operatorname{TD}_{o}) - \frac{1}{4} \operatorname{Tr} \operatorname{QD}\operatorname{TD}_{o}\operatorname{D}$	+ <u>-</u> т _п ФрМ D。D M D。 - <u>-</u> т _п т D T D D。 ^{M D} 。 - <u>-</u> т _п т D M D。T D M D。 D M D。 - <u>-</u> ⁻ т _п т D M D。 T D M D。 D M D。 (B-16)	+ ½ Τ _α Σ _I S ₀ Σ _I S ₀ + i T _n J _M (1 - Σ _I S ₀) + ½ T _n S ₀ Σ _I S ₀ Σ _I S ₀ Γ S ₀ Σ _I S ₀ Γ D ₀	+ ἰ Τη (Γ5Γ5-Γ5.Γ5.Γ5.)(D-D.) Rewriting and expanding the last term in (B-16) in powers of Σ _τ	we finally arrive at
-33-	$\Omega = -i T_{n} (\lim_{t \to 0} S_{0}^{-1} S - S_{0}^{-1} S_{+1}) + \frac{i}{2} T_{n} (\lim_{t \to 0} D_{0}^{-1} D_{0} + 1)$ $+ \frac{i}{2} T_{n} (F G_{0} F G_{0} (D_{0} D_{0})) - \frac{i}{4} T_{n} (\& D \ T D_{0} D)$	$+ \frac{i}{8} T_{n} (QD T_{0} D T_{0} + \frac{i}{2} T_{n} (PS PS - PS_{n} P_{0})(D_{0}) + \frac{i}{8} T_{n} (PS P_{0}) (D_{0} - D_{0}) + \frac{i}{2} T_{n} (S - S_{0}) P_{0} + \frac{i}{6} T_{n} T_{0} (D - D_{0}) (D - D_{0}) + \frac{i}{2} T_{n} TD T (D - D_{0}) D_{0} + \frac{i}{6} T_{n} TD T (D - D_{0}) D_{0} + \frac{i}{2} T_{n} TD T (D - D_{0}) D_{0} + \frac{i}{6} T_{n} TD T (D - D_{0}) D_{0} + \frac{i}{2} T_{n} TD T (D - D_{0}) D_{0} + \frac{i}{6} T_{n} TD T (D - D_{0}) + \frac{i}{6} T_{n} T$	We can expand the fermionic propagator as a function of its self-energy, which can be divided in three pieces, given by	$\Sigma = \sum_{m} + \sum_{s} + \sum_{s} (B.12)$	\sum_{n} is the self-energy part without symmetry breaking, and is given by $\sum_{n} = -\Gamma S \Gamma D$, (8-13)	$^_{n}$ is drawn in Fig. 4(a). Σ_{s} in (B-12) is the part that has insertions, but with a symmetric result	$\sum_{5} = \sum_{5}^{(1)} + \sum_{5}^{(2)} ,$ (B-14)

-33-

 $\Sigma_{s}^{(1)}$ and $\Sigma_{s}^{(2)}$ are drawn in Fig. 4(b). Finally Σ_{I} in (B-12) is the

asymmetric part of the self-energy

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$\Omega = -\frac{i}{2} \operatorname{Tr} \mathcal{U}_{M} \left(1 - \Pi D_{o} \right) - \frac{i}{2} \operatorname{Tr} \frac{\Pi D_{o}}{(1 - \Pi D_{o})}$	+ ½ Tr (76.76.070.) - ¼ Tr QDTD.D	+ LTA QDND. DND LTATD D. TD.	8	6 · - Λ (, ~ c) + ≟Τη 5, Ζη 5, Ζη 5, Ζη 5, Ζη 5, ΓD,	1	+ ½ Τη 5, Σ ₁ S, Γ S, Σ ₃ S, Γ D Π D, (8-14)		۰٬۱۰٬ ۱۰٬ ۲۰ مرد ۲۰ مرد ۲۰ مرد ۲۰ مرد ۲۰				Eq. $(B-17)$ contains all diagrams that contribute to the effective	potential, and are described in Fig. 2.										

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		FOOTNOTES
•	FI.	c is given by the solution of $\sum_{n=1}^{n} t^{\alpha} p^{1} s^{\alpha} = c p^{1}$ where t^{α} and s^{α}
(1975) .		are respectively the generators of the representations R, and
ссит-нер-82-14.		$^{\psi}_{X}$, and P^{1} is a projection operator which select a particular
Rev. <u>D18</u> , 1216 (1978).		representation ${ m R}_{\phi}$ from the product ${ m R}_{\psi}$ x ${ m R}_{\chi}$. c needs to be
82 (1976).	·	positive as a necessary condition for the existence of solutions
. Rev. <u>B111</u> , 136 (1964);		(7a) and (7b).
• • •	F2.	The pion electromagnetic form factor decreases at least as a
, 1948 (E) (1973).	,	power oflogárithm for $\Sigma_T(p^2)$. Unfortunately there is little
43.		experimental knowledge of $\mathrm{F}_{\pi}\left(\mathrm{p}^{2} ight)$ to compare the asymptotic
30).		predictions. We hope to discuss this aspect in a future paper
<u>75C</u> , 205 (1981).		about the phenomenological consequences of $\Sigma_{T}(\mathrm{p}^{2})$.
(1955).	F3.	The absence of a ϕ^2 term in Eq. (28) is explained in Ref. (11).
Lett. 102B, 315 (1981).	F4.	Some of the results presented here are in disagreement with
		those of Ref. (21), where the author says that $c < 0$ for fermions
		in the fundamental representation.
	F5.	We are supposing that ¢is in a vector representation.
	1. 	

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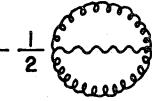
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	FIGURE CAPTIONS	Fig. 1. Two particle irreducible diagrams contributing to $V_2(S,D,G)$.	. Diagrams contributing to the energy density $\Omega.$. Diagrams contributing to the kinetic term in the effective	action.	. Diagrams contributing to the self-energy. In Figure 4(a) we	have the completely symmetric contribution to Σ , and in Fig. 4(b)	we have symmetric contributions resulting from a combination of	asymmetric insertions.	Asymmetric part of fermion self-energy.								· · ·			
	,	Fig. 1.	Fig. 2.	Fig. 3.		Fig. 4.				Fig. 5.			. *		* <u>.</u> .						
·	α _c ~ 1/c				1.33	1.33	, 0.50	0.27		0.42	0.42		0.19	number of	MAC and	ve potential					
	α _c ef. pot.				0.61	0.49	0.18	0.02		0.19	0.24	•	0.13		(Eq. (37)), the	rom the effecti		· .			
	MAC				1	1	ы	1		iv l	(م ا	;	-	up, repre	t. on N _f	ulated fi					
	Constraint	on # families			$N_{f} \ge 5$	$^{\rm N_f} \ge 5$	$N_{f} \ge 1$	Nf = 1		$N_{f} \ge 2$	$N_{f} \ge 2$		$N_{f} \ge 1$	For a given gauge group, representation and	show the constrain	ing constants calc	hesis that αc ~ 1.		•		
	Group,	Representation, # familes		SU(2)	$\frac{2}{2}$, $N_f = 6$	$\frac{2}{1}$, N _f = 8	$\frac{3}{2}$, $N_{f} = 1$	$\frac{4}{1}$, N _f = 1	SU(5)	$\frac{5}{2} + \frac{10}{10}$, $N_{f} = 2$	$\overline{5} + \underline{10}, N_{\rm f} = 3$	su(7)	$\overline{7} + \overline{7} + 35$, $N_{\rm f} = 1$	Table 1. For	families (N $_{ m f}$), we show the constraint on N $_{ m f}$ (Eq. (37)), the	the critical coupling constants calculated from the effective potential	and from the hypothesis that ∞		•	· -	

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 $V_{2}(S,D,G) = -\frac{1}{2}$



 $+\frac{1}{6}$

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FIGURE

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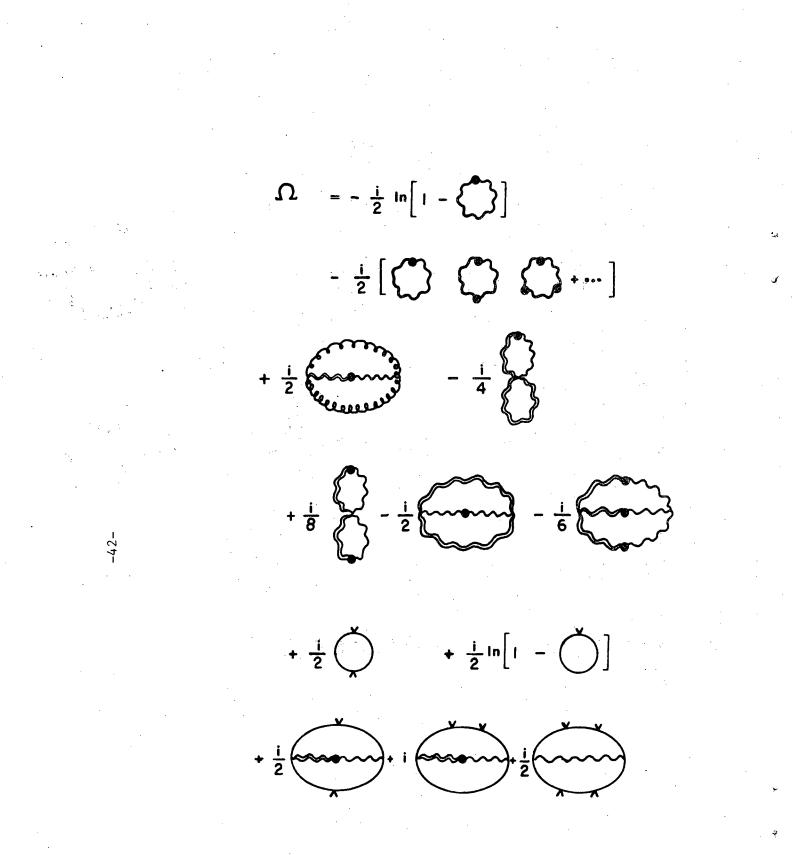


FIGURE 2

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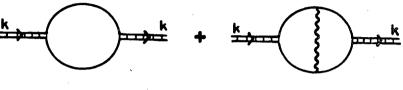
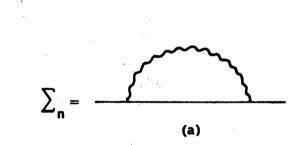


FIGURE 3



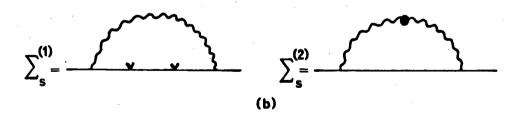


FIGURE 4

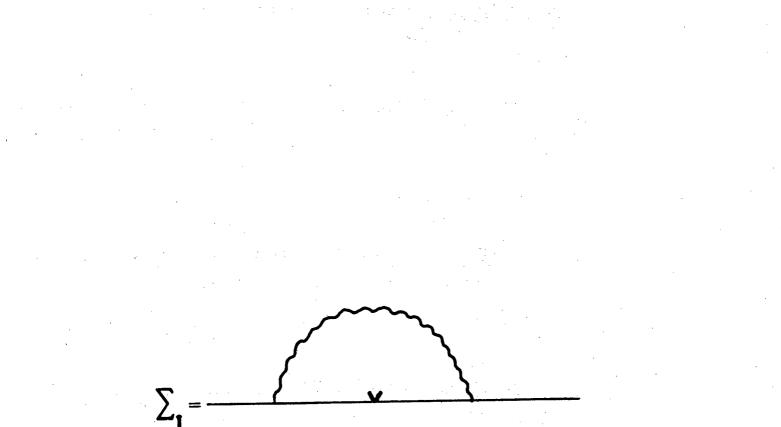


FIGURE 5

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