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UNIVERSITY OF CALIFORNIA
RIVERSIDE

The Role of Autophagy in the Human Malaria Parasite, *Plasmodium falciparum*

A Dissertation submitted in partial satisfaction
of the requirements for the degree of

Doctor of Philosophy

in

Cell, Molecular, and Developmental Biology

by

Serena Stacie Cervantes

August 2013

Dissertation Committee:

Dr. Karine Le Roch, Chairperson

Dr. Emma Wilson

Dr. Ernest Martinez

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The Dissertation of Serena Stacie Cervantes is approved:

Committee Chairperson

University of California, Riverside

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Dedication

I would like to dedicate this dissertation to my family. My mother and father inspired me to be persistent and they have always supported me. To my brother Michael, thank you for always being there for me in the lab, at home, and on the softball field. I cannot imagine what graduate school would have been like without you. To my sister Celina I am glad our relationship has blossomed during this process and I am going to miss our weekly hangouts. To my niece Jordyn, you brought so much joy to my life. Brandon and Ahn, thank you for being part of my family. To my pets that provided me unconditional love and comfort, thank you Coco, Dot, and Molly. My fiancé Daniel “Bucko” Montgomery, has been extremely patient and I appreciate all the compassion you showed me while writing my dissertation. Luciana Manriquez I miss you terribly, but I am grateful for all the wonderful times we had. Thank you all for encouraging me to pursue my dream.

ABSTRACT OF THE DISSERTATION

The Role of Autophagy in the Human Malaria Parasite, *Plasmodium falciparum*

by

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Doctor of Philosophy, Graduate Program in Cell, Molecular, and Developmental
Biology

University of California, Riverside, August 2013

Dr. Karine G. Le Roch, Chairperson

The human malaria parasite remains a major public health burden in developing nations. Despite many years of research, the mechanisms controlling gene expression in the parasite are still poorly understood. While the *P. falciparum* genome lacks more than fifty percent of the transcription factors anticipated to regulate its 6372 genes, it encodes a large amount of genes involved in RNA metabolism and chromatin remodeling. Furthermore, preliminary data in the laboratory showed extensive nucleosome remodeling during the parasite's asexual cycle. Therefore, we hypothesized that change in chromatin structure plays an important role in controlling parasite development. To understand the role of histone post-translational modifications (PTMs) in

transcriptional regulation and histone turnover, we used a shotgun proteomic approach. A total of 246 histone PTMs were identified with 126 being novel. Parasite histones were highly acetylated and methylation marks associated with transcriptional silencing were detected at low levels. To elucidate the mechanism regulating histone turnover, we treated parasite cultures with inhibitors of two distinct pathways that degrade bulk amounts of protein; the ubiquitin-proteasome system and the autophagosome-lysosome pathway. Parasites treated with inhibitors of the autophagy pathway displayed an accumulation of histone protein. The autophagy pathway was overlooked in the parasite; thus, we investigated it at the comparative genomic, cellular, biological and genetic levels. PfATG8, an autophagosome membrane marker, was detected throughout the erythrocytic stages in the apicoplast and the cytoplasm. Proteins associated with PfAtg8 were isolated by immunoprecipitation and identified by mass spectrometry. Gene ontology enrichment showed an enrichment of proteins involved with the digestive food vacuole, the phagolysosome, and the nucleus. In summary, we determined that the autophagy pathway is multifunctional and is likely involved in vesicle traffic, apicoplast biogenesis, and protein catabolism. To further validate its role in histone turnover, we took a cellular approach and colocalized histones and PfATG8 vesicles. Collectively, our work provides key information of mechanism regulating epigenetic and its effects on gene expression in the human malaria parasite.

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Introduction

Malaria

Malaria is a mosquito-borne infectious disease caused by protists of the genus *Plasmodium*, an apicomplexan parasite. For millennia the malaria parasite has been transmitted throughout the world and remains a major public health issue in developing nations. The most recent World Health Organization (WHO) report estimated half the world's population was at risk of transmission, over 200 million people were infected, and approximately 660,000 malarial deaths occurred worldwide [1]. Most victims are children under the age of five and pregnant women from sub-Saharan Africa (figure 1). Back in 2000, the United Nations Millennium Declaration resolved to halt or reverse the disease by 2015, and mortality rates have decreased globally by more than 25% since then. In 2013, a child dies from malaria every minute. Populations most at risk are young children who have not developed protective immunity, pregnant women have high rates of miscarriage and maternal death, those with HIV/AIDS, international travellers from non-endemic areas, and immigrants who return back home to endemic regions. Unfortunately, funding for global malaria control has remained stagnant and without further increases in funding the international goal is unlikely to be achieved [2].

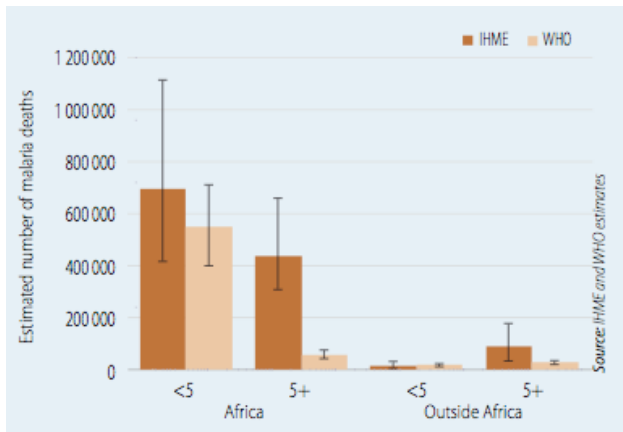


Figure 0.1 Estimates of number of malaria deaths in 2010, by age group and geographical region (Source: WHO World Malaria Report 2012 [1])

There are five species of Plasmodium that are transmitted to humans (*P. falciparum*, *P. vivax*, *P. malariae*, *P. ovale*, and *P. knowlesi*), with *P. falciparum* and *P. vivax* the most common infections. The most virulent and lethal species, *P. falciparum*, is responsible for up to 90% of malarial deaths and is prevalent in Africa for multiple reasons. First, *P. vivax* requires the presence of the Duffy factor on red blood cells (RBCs) to invade properly, but over 90% of sub-Saharan Africans lack the binding site. Thus, the *P. vivax* species is absent from this area. Second, the vector *Anopheles gambiae* is found throughout Africa and are strongly anthropophilic, preferring almost exclusively humans to feed on [3]. This particular mosquito is an efficient vector for *P. falciparum* because it has a long life span that allows the parasite to differentiate and develop properly. Third, tropical areas with high humidity and warm temperatures are breeding grounds for *A. gambiae*. Vector control in sub-Sahara Africa have had limited success, but increases in funding for insecticide-treated bed nets have reduced deaths in children by 20% and episodes of malaria by half [4, 5]. Fourth, severe malaria can be found where

there is a low-income level. Although countries, such as Oman, while unable to eliminate malaria because of their geographical location, have the resources to provide vigilant medical healthcare to treat and control the disease.

Malaria treatments

Malaria is a preventable and curable disease. Symptoms of the disease begins with fever, headache, chills and vomiting and can be easily mistaken for the flu. Due to its rapid growth rate, if not treated within 24 hours the disease can progress into a severe illness and result in death. Symptoms can escalate to severe anemia, acidification of the blood, organ failure and cerebral malaria. The most successful malaria remedies have come from natural products. Peruvian natives used the bark of cinchona trees, which led to the purification of quinine. Chloroquine, was derived from quinine in 1934 but not readily used until the end of World War II [6]. Chloroquine treatment was cost effective and reliable, and was found to be superior to quinacrine and quinine for treatment of acute attacks of *P. vivax* [7]. The wormwood *Artemisia annua L.* has been used in traditional Chinese medicine for thousands of years. During the Vietnam War, the Chinese military created Project 523 that employed scientists to produce antimalarial drugs and to screen synthetic chemicals and recipes from traditional holistic medicine [8]. Extraction of the active ingredient, artemisinin, was optimized by

1971. In the late 90's, artemisinin-based combination therapies (ACTs) were recommended for treatment of resistant infections to prolong drug resistance to artemisinin. ACTs are currently the most effective antimalarial drug treatment [1].

Unfortunately increasing drug resistance has become a major obstacle in eradication efforts. Stories of drug resistance, identification of a gene responsible for resistance, and polymorphism found in field isolates with a geographical location echo with all commonly available antimalarials (sulfadoxine-pyrimethamine, mefloquine, quinine, artesunate, and dihydroartemisinin). Indeed, drug resistance to commonly used antimalarials can be found worldwide. The first resistant *P. falciparum* strain was detected in the late 1950s in Colombia and at the Cambodia-Thailand border [6]. By 1978 Chloroquine-resistant strains of *P. falciparum* were observed in East Africa, and resistant parasites had spread throughout tropical Africa by 1988. PfCRT, a transporter on the digestive vacuole membrane that is believed to regulate drug flux and/or pH regulation and is thought to be responsible for chloroquine resistance. Various polymorphisms in PfCRT have been found from field isolates. Resistance to artemisinins has also been detected over the past few years [9] and resistance seems to spread into Cambodia, Myanmar, Thailand and Viet Nam (figure 2) [1].

Figure 0.2 Sites where suspected or confirmed artemisinin resistance has been detected in therapeutic efficacy studies, Mekong subregion, 2007-2012 (Source: WHO World Malaria Report 2012 [1]).



There are currently no vaccines available against malaria. A recent vaccine candidate, known as RTS,S/AS01, is in stage III clinical trials in 7 African countries. If effective, the earliest it could be used as a malaria control would be 2015. Unfortunately, recent reports show dismal efficacy of 16.8% over a 4-year period [10]. With no available vaccine and increasing resistance to common drug therapies, it is imperative to discover new antimalarial drugs. A major hurdle for antimalarial drug discovery is the limited knowledge of the mechanisms that regulate the parasite's complex life cycle (figure 2).

The malaria parasite life cycle

P. falciparum has 3 main parasitic stages that occur in the liver, bloodstream, and within the mosquito vector. A female mosquito harboring sporozoites in her salivary glands will infect a human when taking a blood meal. Hundreds of

sporozoites will be released into the bloodstream, and a few will migrate into hepatocytes where they differentiate into a schizont. The schizont will egress 7 to 10 days later and release thousands of merozoites into the bloodstream. The erythrocytic stage occurs within 48 hours, and begins when a merozoite invades a host red blood cell (RBC). Within the RBC the parasites differentiate into a ring form, grow into a trophozoite where DNA replication and global increases in transcription rates occur, and partition into daughter cells during schizogony. The blood stage is completed when up to 32 daughter merozoites egress and invade new RBCs. The health of the human host declines as parasites consume hemoglobin causing severe anemia, respiratory distress due to metabolic acidosis, or even cerebral malaria. These stresses on the host triggers parasites to undergo sexual differentiation into gametocytes, which can take up to 2 weeks to fully mature. Transmission of this disease occurs when another female mosquito takes another blood meal. Within the mosquito gametocytes enter the midgut a decrease of temperature and pH induce another transformation. Females become macrogametes, while males undergo 3 rounds of nuclear division, extrude their mitochondria and apicoplast, and become eight flagellated microgametes. Sexual reproduction occurs within the gut to form an ookinete that migrates through the gut wall and creates a cyst of the exterior of the gut. When the oocyst ruptures hundreds of sporozoites are released. These motile parasites

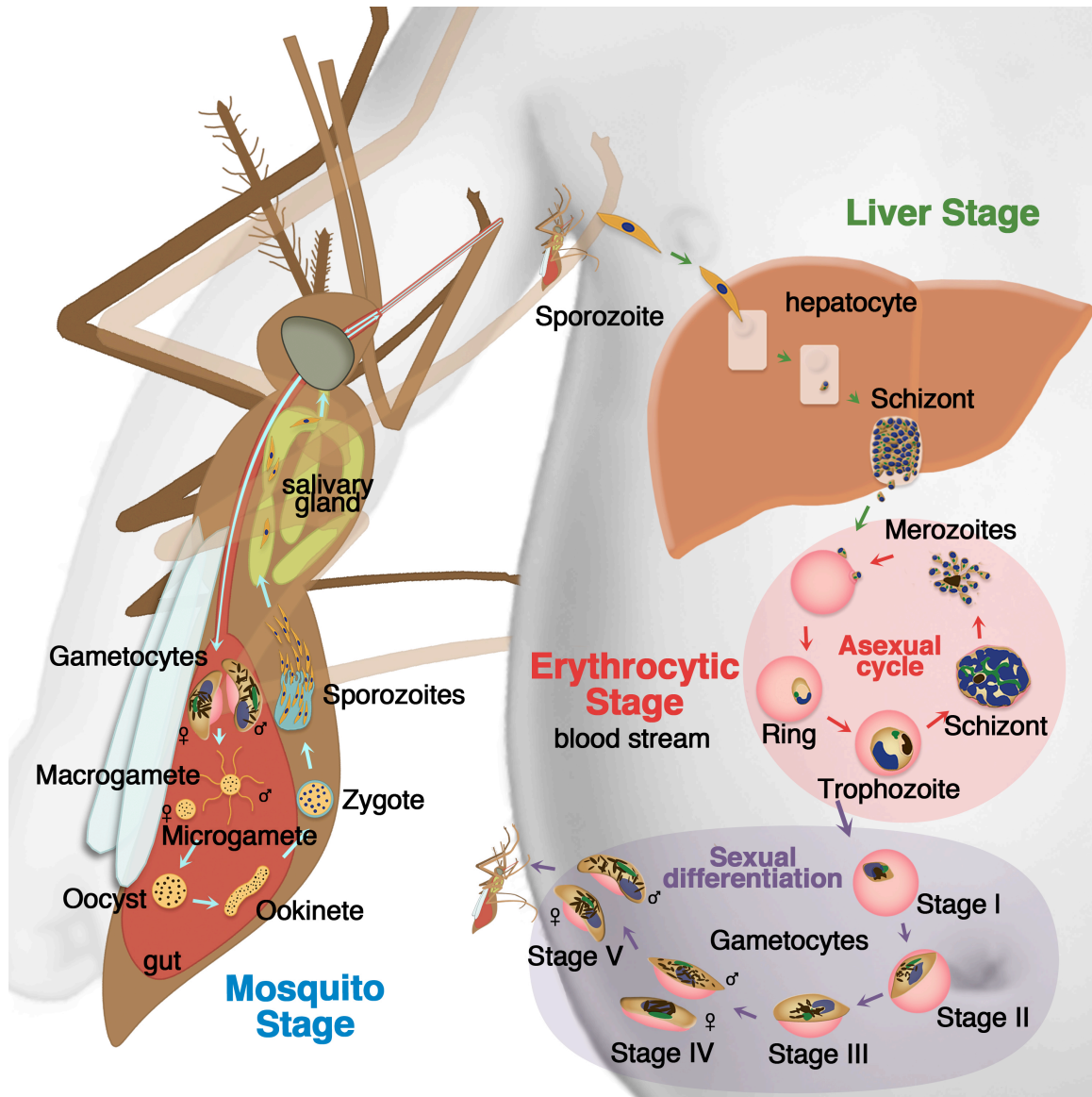


Figure 0.3: Malaria Life Cycle. Sporozoites enter the bloodstream from the salivary glands of an anopheles mosquito bite, and invade hepatocytes. Differentiation of sporozoites into a schizont occurs and 7 to 10 days later, merozoites enter the bloodstream and invade red blood cells. Parasites mature into ring, trophozoite, and schizont stages within 48 hours, and up to 32 new daughter cells are released as merozoites. At the ring stage, parasites can undergo gametogenesis following exposure to stress to form male and female gametocytes. Sexually mature gametocytes are taken up during a female mosquito's blood meal, and sexual reproduction occurs in the mosquito's midgut. The cycle continues when sporozoites are released into the salivary glands.

migrate into the mosquito salivary glands and wait for the mosquito to take another blood meal. By understanding the molecular processes required for parasite life cycle progression, we can develop new antimalarial therapies.

The Plasmodium falciparum Genome

In 2002, the *P. falciparum* genome was sequenced and was found to be 23 Mb consisting of 14 chromosomes that encodes approximately 5,500 genes [11]. The *P. falciparum* genome remains the most (A+T) –rich sequenced genome at 80.6% and up to 90-95% in intergenic regions [12]. The sequenced genome established a foundation for utilizing high-throughput techniques to examine transcript and protein profiles throughout the parasite's life cycle.

Characterization of transcript and protein profiles provided interesting information on the parasite biology. However, transcription regulation in the malaria parasite remains ambiguous. To date there is no defined canonical promoter and there is shortage of homologous transcription factors found in *P. falciparum* [13-17]. When the parasite genome was compared to other free-living eukaryotic genomes, it was found to encode a third of the expected transcription-associated proteins [18]. In addition, the CCCH-type zinc finger, commonly found in proteins that modulate mRNA decay and translation rates, was most abundant in the *P. falciparum* genome. When mRNA transcript and protein abundance

levels were compared throughout the erythrocytic cycle a delay between mRNA and protein accumulation was observed [19]. Parasite cultures treated with various drugs or environmental perturbations exhibit small changes in transcriptional responses compared to other eukaryotic systems [20-23]. Whether transcription profiles have significant differences between treated and untreated parasite samples remains unresolved, but cell cycle arrest or hibernation and induction of sexual differentiation is commonly observed in treated parasites. Collectively, these studies imply that gene expression may be regulated at the chromatin level and protein levels could be more precisely determined by posttranscriptional mechanisms.

Chromatin structure in Plasmodium and its role in transcriptional regulation

Chromatin consists of DNA wrapped around a core of histone proteins called nucleosomes. The structure of chromatin depends largely on nucleosome composition. There are two copies of histone proteins, H2A, H2B, H3, and H4, which assemble into an octamer, and 145-147 base pairs of DNA wrap around it to form a single nucleosome. The protein octamer is divided into four 'histone-fold' dimers, a H3-H4 and H2A-H2B histone pairs [24]. Unlike other eukaryotes, the linker histone H1 that compacts linear DNA is absent in *P. falciparum*. Nucleosome positioning is dependent on DNA sequence flexibility and histone-

induced bendability. Histones can restrict DNA transcription by distorting DNA that leads to a direct block of transcription factor binding sites. Homology with the histone-fold is well conserved, but the N-terminal tail domain can be highly variable.

Histone tails protrude away from the globular domain and can be easily assessed for posttranslational modifications (PTMs), which add an additional layer of regulating chromatin structure. These tail domains can undergo distinct covalent PTMs that include acetylation, methylation, formylation, phosphorylation, ubiquitination, hydroxylation, and crotonylation (figure 0.4).

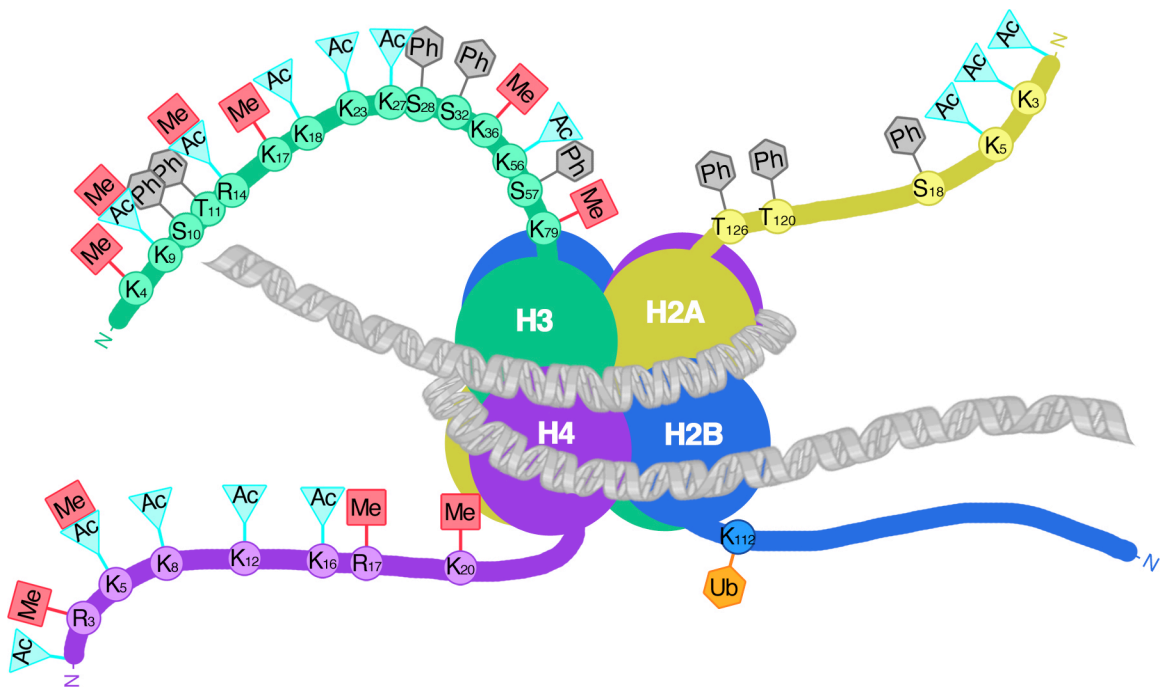


Figure 0.4. A schematic illustration of a nucleosome in *P. falciparum*. The four canonical histone proteins (H2A, H2B, H3, and H4) and the covalent PTMs are indicated on the histone tail domain (methylation, red; acetylation, light blue; phosphorylation, grey; ubiquitination, orange).

PTMs may alter chromatin structure by effecting histone-DNA and histone-histone interactions. For instance, histone tails are highly basic and acetylation decreases their affinity to DNA, which allows transcription factors to access and bind DNA. Conversely, methylation increases its basicity and hydrophobicity of histones. Methylation has a dual role and been implicated in gene silencing and heterochromatin formation, but has been found to be enriched in active promoters. Regulation of chromatin structure is believed to be important to modulate accessibility to regulatory sites and therefore play a central role in transcription regulation [25]. In addition, most PTMs are distributed in distinct patterns along DNA strands, such as, the upstream region, the promoter region, and the 5' end or 3' end of the open reading frame. The 'histone code' is a theory that histone PTMs can act sequentially or in combination to recruit effector proteins that lead to specific downstream effects.

Epigenetic regulation in the malaria parasite

Several groups have started to decipher the histone code in *P. falciparum*, but little is known about epigenetic regulation in the parasite and studies are still underway to determine all histone modifications and their functions. Mass spectrometry and immunoblotting assays have shown that acetylation occurs at a relatively steady state throughout the blood stage [26]. A histone modifier

responsible for H3K9ac lead to the identification of the histone acetyltransferase PfGCN5. Inhibition of PfGCN5 reduced H3K9ac in promoter regions and gene expression [27]. In contrast to active transcription epigenetic marks, H3K9me3 has been found on silenced genes and display dynamic changes throughout the erythrocytic cycle. *In silico* analysis and *in vitro* studies have identified potential methyltransferases and demethylases, but their function and substrate specificities need to be characterized [28]. Global histone analysis showed a high number of modifications associated with a transcriptionally active state and low levels of modifications associated with a repressive state [29]. When histone H3 PTMs were examined the inactive marker H3K4me3 and active marker H3K9ac were found to be homogenous across genes during the ring stage, but enriched at the 5' end of active genes at the schizont stage [30]. Overall, multiple studies have shown that *P. falciparum* has an extensive euchromatic epigenome and only variant surface antigen gene families are restricted to heterochromatin.

Since the late 1990's it has been speculated that epigenetic mechanisms are involved in *var* gene regulation [31]. There are approximately 60 *var* genes, but only a single *var* gene is expressed at a time, which produces a protein called PfEMP1 that is exported to the surface of infected erythrocytes. Monoallelic expression and the ability for parasites to switch expression allow parasites to evade host immune responses. Actively transcribed *var* genes and those poised for re-activation had tri- and dimethylation of H3K4 in the 5' upstream region [32].

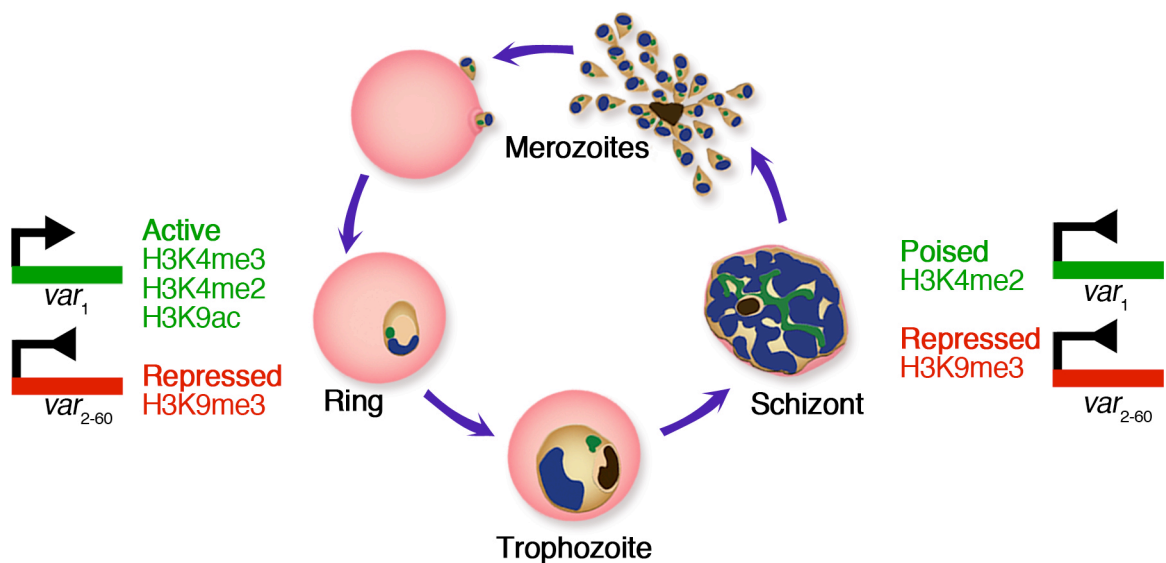


Figure 0.5. *Histone marks linked to var gene expression.* Schematic illustration of histone post-translational modifications associated with control of expression and epigenetic memory of *var* genes. Three different transcriptional states of *var* genes: Actively transcribed *var* gene in ring stages (green) is associated with H3K4me2, H3K4me3, and H3K9ac in 5'-flanking regions. In the trophozoite/schizont stage the previously active *var* gene is transiently silenced (poised) (green) but maintains the enrichment of H3K4me2. This association may transmit memory of the active *var* gene during cell division. Stably silenced *var* genes (5'-flanking region and exon 1) (red) are enriched in H3K9me3 throughout the asexual life cycle.

Conversely, H3K9me3 was found to stably silent *var* genes along the 5' flanking and coding region (figure 0.5). In the 5' flanking competition between H3K9 methylation and H3K9 acetylation exists. ChIP-chip showed H3K9me3 localizes with clonally variant gene families to the nuclear periphery and clusters on subtelomeric ends and some chromosome internal regions [33]. The histone deacetylase PfSir2 was found to regulate H3K9me3 and inhibition of PfSir2 disrupts monoallelic transcription of *var* genes. In addition, the heterochromatin protein 1 homolog, PfHP1, binds to tri- and dimethylated H3K9 and may participate in antigenic variation [34]. Collectively, this data implies that

expression of a single *var* gene is mutually exclusive and regulated by different epigenetic factors.

Histone depletion at the trophozoite stage

Nucleosome turnover adds an additional layer of complexity to regulating gene expression and initiation of replication. From yeast to mammalian cell lines, core histones turnover at a similar rate and histones with transcriptionally active PTMs exhibit a faster turnover rate than those with silencing PTMs [35, 36]. In *Drosophilla* cells, rapid replacement of nucleosomes has been mapped back to active gene bodies, epigenetic regulatory elements and replication origins [37]. In yeast, most nucleosome turnover occurs over promoters and small RNA genes, but also over coding regions that have high transcription rates [35]. Nucleosome depletion increases accessibility of DNA to transcription factors, but this process can erase histone modifications and maintain active or silent gene expression. In addition, DNA replication origins may be determined by chromatin structure and not by specific sequence features; therefore, nucleosome depletion facilitates initiation of replication.

We hypothesize that chromatin structure drives transcription and DNA replication in *P. falciparum*. Unlike most other eukaryotes that implement target specific chromatin remodeling complexes, the malaria parasite undergoes

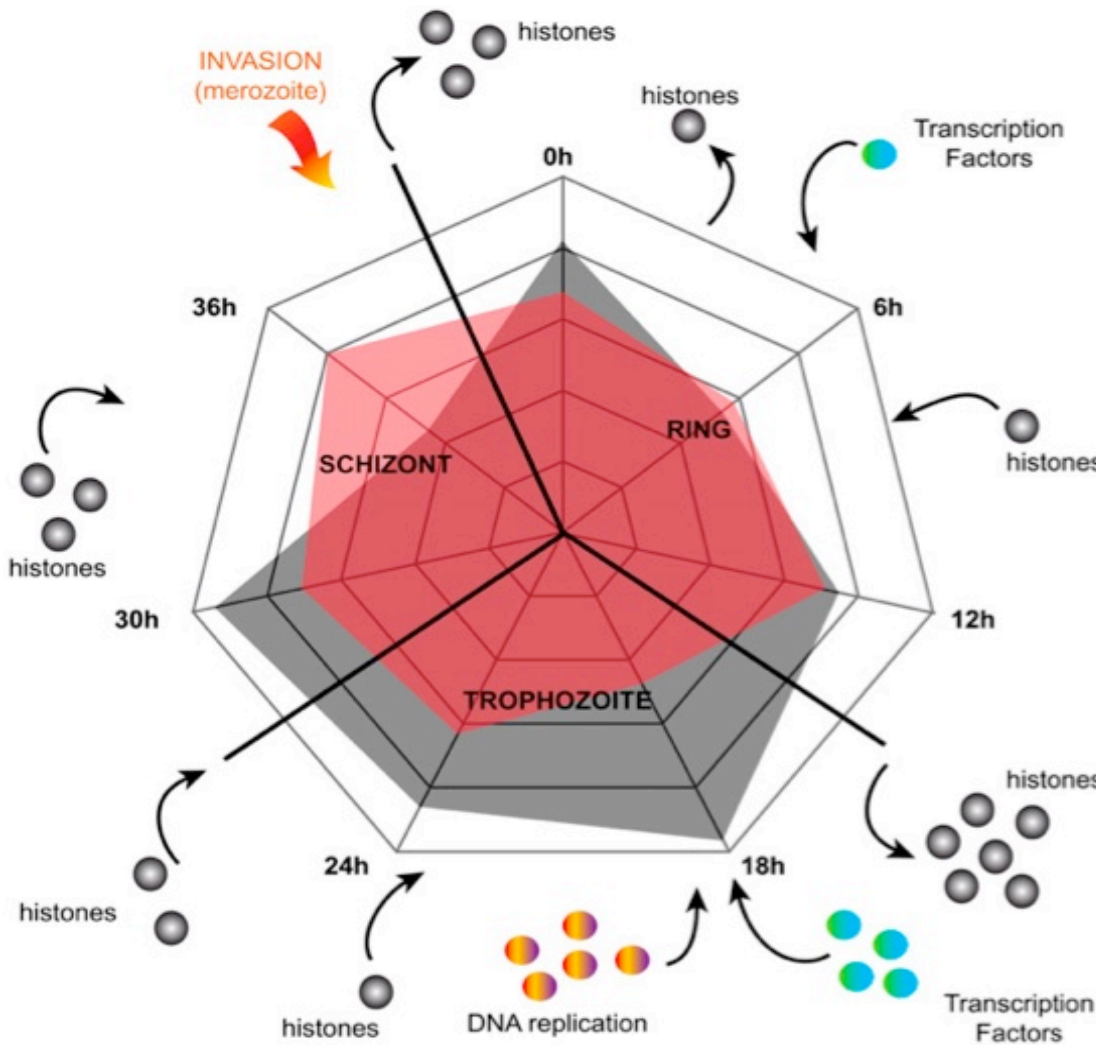


Figure 0.6. Proposed model of chromatin remodeling throughout *P. falciparum*'s erythrocytic cycle. Genome-wide variations of coverage's densities are represented in two complementary assays. In red is the MNase-mediated purification of mononucleosomes to extract histone-bound DNA (MAINE) and black is the formaldehyde-assisted isolation of regulatory elements to extract protein-free DNA (FAIRE). Parasites invade host RBCs and histones are depleted and chromatin is open. At the early trophozoite stage, a significant amount of histone proteins are degraded to allow replication and transcription machinery to access DNA. After replication, DNA condenses at the schizont and parasites divide and egress as merozoites. (Source: Ponts et. al [38])

dramatic genome-wide changes to chromatin structure (figure 0.6)[38]. At 18 hours post invasion, there is a decrease in histone proteins that lead to DNA replication and an increase in transcripts. This temporally regulated event highlights the importance of histone clearance as a prerequisite for global transcription rates to increase. Previous studies showed transcript levels of histone increase throughout the cell cycle of *P. falciparum*, but there is a significant decrease in histone protein during the trophozoite stage when DNA replication is occurring [39]. Investigation of the nuclear proteome revealed a decrease in histone protein as well [40]. These observations are surprising since histone protein levels remain at a steady level throughout the cell cycle in most other eukaryotic systems [41]. Chromatin structure undergoes dynamic changes without histones and potentially gives access to transcription and replication machinery, which would subsequently lead to a global increase of transcription rates and DNA synthesis. Therefore, we hypothesize that histone protein turnover may be involved in regulating parasite life cycle progression during the blood stage.

The molecular process that regulates histone depletion remains unknown in *P. falciparum*. There are two predominant routes that lead to bulk amounts of protein clearance in eukaryotes, 1) the ubiquitin-proteasome and 2) the autophagy-lysosome pathway (figure 0.7). The ubiquitin-mediated pathway

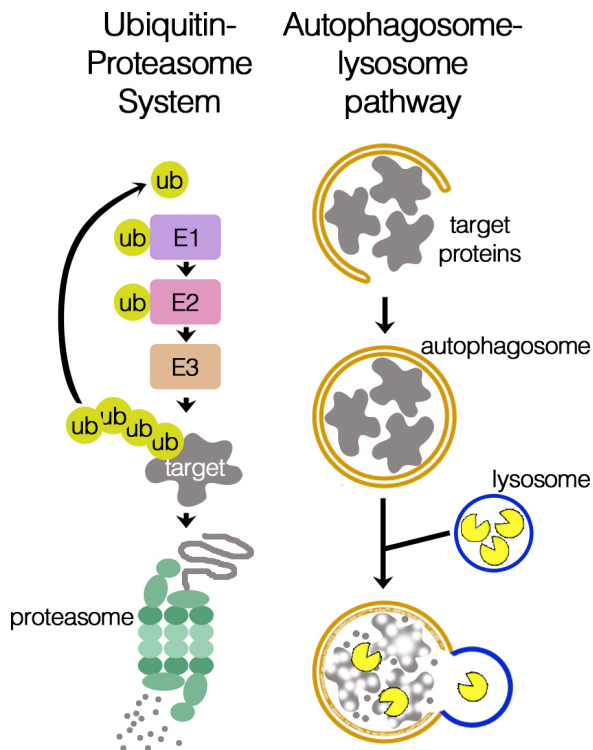


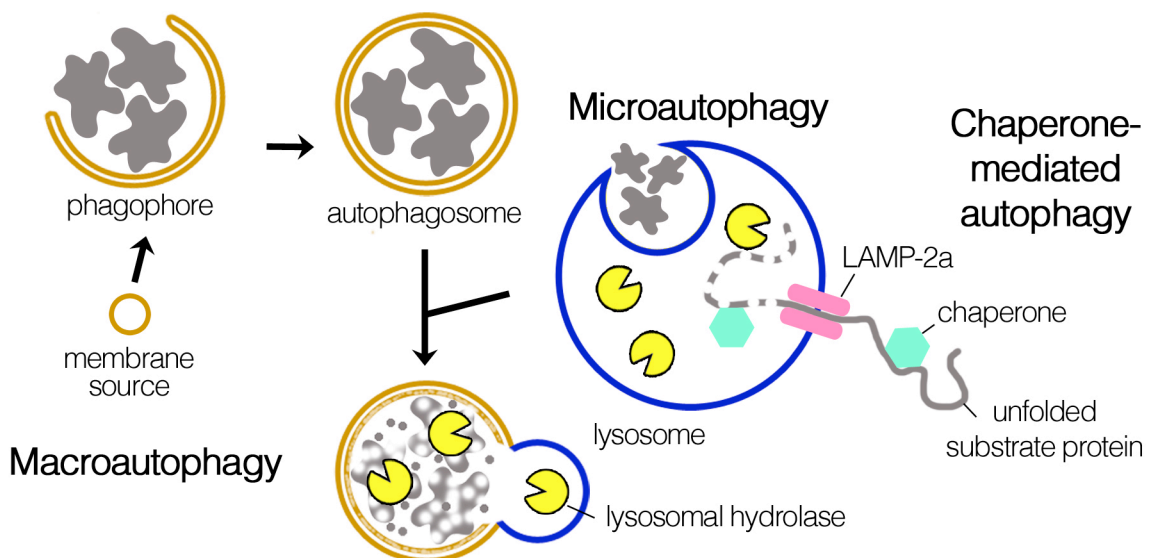
Figure 0.7. Illustration of the ubiquitin-proteasome system (UPS) and the autophagosome-lysosome pathway. The UPS uses ubiquitin to target proteins that will be degraded by the proteasome. The autophagosome-lysosome pathway is a membrane mediated process that engulfs material to be degraded after fusion with the lysosome.

hydrolyse peptide bonds to break proteins apart into amino acids. The ubiquitin proteasome system (UPS) typically degrades short-lived and misfolded proteins. Autophagy is a process that engulfs cellular material into vesicles that ultimately fuse with lysosomes to degrade proteins. Initially this pathway was found to be activated under nutrient limitations, but autophagosome formation occurs under numerous stimuli to maintain amino acid levels and recycle old or damaged organelles [42]. Since autophagy degrades bulk amounts of long-lived proteins and the autophagy machinery can degrade the nuclear components, we hypothesize that histone protein degradation may be regulated by autophagy. Preliminary data treating synchronized parasites with inhibitors of both the UPS and autophagy pathway suggests that the UPS does not play a role with histone protein degradation.

The autophagy pathway

The autophagosome-lysosome pathway is involved in recycling proteins and organelles. Similar to the UPS, the autophagy pathway consists of a number of sequential steps: selection and tagging of cargo, recognition and transport to proteolytic machinery, degradation of cargo, and recycling of small polypeptides or amino acids. Proteins and organelles are enveloped by a double membrane to form an autophagosome that fuses with a lysosome to degrade cargo proteins. There are three main membrane-mediated processes conserved through most eukaryotes: 1) macroautophagy (referred to as autophagy hereafter) removes unnecessary proteins and damaged organelles [43], 2) microautophagy occurs when the lysosome envelops cytoplasmic material [44], and 3) chaperone-mediated autophagy (CMA) relies on a chaperone protein to translocate individual proteins into the lysosome [45] (figure 0.8). Once thought to be a

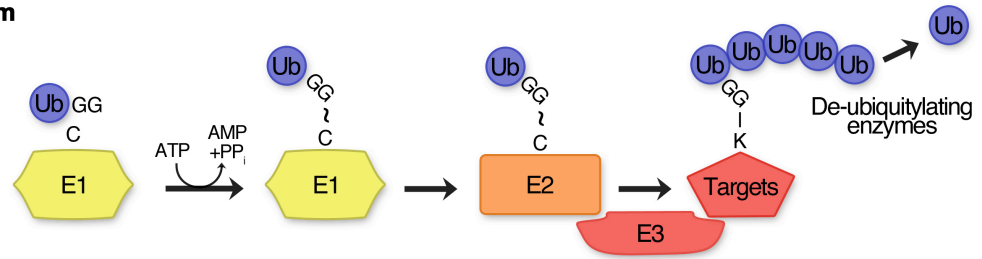
Figure 0.8. Schematic illustration of the three different types of autophagy.



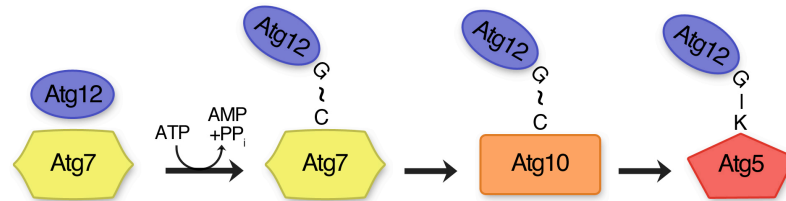
nonspecific molecular process that recycles proteins for survival under nutrient-limited conditions, autophagy has been shown to be involved in aging, degradation of pathogens, cell differentiation, and removal of old or damaged organelles.

Over 30 autophagy-related (Atg) genes were first discovered in *S. cerevisiae* after screening for mutants unable to survive nitrogen starvation [46]. Atg genes are evolutionarily conserved in eukaryotes and have been classified into six functional units. 1) The Atg1 kinase complex (involving Atg1, Atg13, Atg17, Atg29, and Atg31) is induced by nutrient starvation and is negatively regulated by target of rapamycin (TOR) [47, 48]. 2) Atg9 is a transmembrane protein that is required for autophagosome formation and localizes to the outer membrane of the preautophagosomal structure (PAS) [49]. 3) The PI3K complex (involving Atg14, Atg6/Vps30, Vps15, and Vps34) is essential for canonical autophagosome formation [50]. Autophagy inhibitors wortmannin and 3-methyladenine (3-MA) work by inhibiting PI3K activity. 4) The Atg2-Atg18 complex completes sequestration of the autophagosome by facilitating recruitment of Atg8-phosphatidylethanolamine (PE) [51]. 5) Analogous to the ubiquitin conjugation system, the Atg12 system involves activation of Atg12 by Atg7 (E1-like enzyme), transfer to Atg10 (E2-like enzyme), and conjugation to Atg5 (Figure 0.9)[52]. The Atg12-Atg5 conjugate then interacts with Atg16 to facilitate the lipidation of Atg8. 6) Likewise, the Atg8 conjugation system involves

Ubiquitin system



Atg12 system



Atg8 system

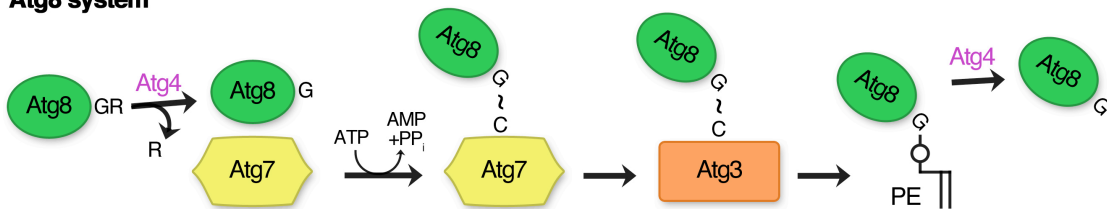


Figure 0.9. Conjugation processes of the *Atg12* and *Atg8* systems in yeast. See text for details. Atg, autophagy-related; PE, phosphatidylethanolamine; PPi, pyrophosphate; Ub, ubiquitin.

cleavage of the C-terminal of Atg8 by the cysteine protease Atg4 to expose a glycine residue, activation of Atg8 by Atg7 (E1-like enzyme), transfer to Atg3 (E2-like enzyme), and lipidation by PE [53]. Atg8-PE localizes to the developing autophagosome and is necessary for membrane expansion and completion.

Autophagy in parasitic protists

The canonical machinery of autophagy in parasitic protists has become diverse as a consequence of their adaptive life cycles. *Trypanosomatidae brucei*

is responsible for sleeping sickness in sub-Saharan Africa, and Chagas' disease in Latin America is caused by *T. cruzi*. In *T. brucei* there are three isoforms of Atg8, and *T. cruzi* has two isoforms of Atg8 and Atg4, indicating either functional redundancy and/or the ability to carry out additional function(s) [54]. In Trypanosomes, autophagy is inducted during metacyclogenesis, differentiation from the log phase to stationary phase of growth, and starvation periods. *Leishmania* have high peptidase activity during differentiation from metacyclic promastigotes to amastigotes. *L. major* contains an expansive set of 25 'Atg8' genes that undergo proteolysis by two Atg4 genes [55]. Other possible Atg gene candidates are Atg5, Atg10, and Atg12, indicating modifications and substantial loss of the canonical autophagy machinery [56]. *Toxoplasma gondii*, an Apicomplexa parasite, infects warm-blooded mammals does not have any clear orthologs of the Atg5-Atg12 conjugation system, but has a complete Atg8 conjugation system [57]. These intercellular parasites have an Atg1 ortholog and starvation has been found to induce autophagosome formation [58]. Recently, it was found that Atg3 is essential for regulating mitochondrial homeostasis during tachyzoite development [59]. Overall, comparative bioinformatics studies have revealed that Atg genes in parasitic protists have been modified, lost, or elaborated, but autophagy seems to play a role in development.

In *P. falciparum*, the autophagy-lysosome pathway has been largely overlooked. Recent studies demonstrated that under chloroquine drug pressure,

parasites die not by apoptosis, but underwent cytoplasmatic vacuolization, analogous to autophagic cell death [60]. A recent study of PfAtg8 expression implies that the parasite's Atg8 conjugation system participates in apicoplast biogenesis [61]. Similarly, in the rodent malaria *P. berghei*, the function of PbAtg8 was found to be restricted to apicoplast biology in the liver-stage [62]. In comparison, a rudimentary autophagy machinery may be involved with removing unnecessary organelles during differentiation from the motile sporozoite to a metabolically active trophozoite [63].

Summary

By discovering the epigenetic molecular mechanisms that regulate histone degradation, we can target proteins required for life cycle progression and develop new therapies. We present a comprehensive map of histone PTMs throughout the asexual cycle of *P. falciparum*. By precipitating histones and identifying PTMs by a quantitative mass spectrometry, specifically multidimensional protein identification technology (MudPIT), we detected a total of 246 histone PTMs with 126 identified as novel modifications. Low levels of methylation associated with transcriptional silencing were detected. Conversely, acetylation marks were detected a high levels and represents active transcription. In addition, histone depletion was observed and we sought to uncover the

mechanisms regulating its degradation. Surprisingly, preliminary data indicated that a rudimentary autophagy pathway was involved with histone degradation. In *P. falciparum*, the autophagy pathway has been largely overlooked. It had been suggested to participate in apicoplast biogenesis, but we determined that the autophagy pathway is multifunctional in the parasite and is involved with, but not limited to, protein catabolism, vesicle transport, and the secretory pathway. We validated the role of autophagy in histone degradation by incubating parasite cultures with inhibitors of autophagosome-lysosome fusion and observing an accumulation of histone proteins at the trophozoite stage. Therefore, we have identified an important pathway in the parasite that will lead to novel drug targets. Drug resistance remains a major problem for the field of malaria. Malaria parasites have the ability to mutate quickly, creating a challenging hurdle when the availability of effective drugs is often limited to derivations of natural compounds. By understanding the molecular mechanisms required for parasite development, we can identify new targets for therapeutic drug intervention. Collectively, this work will be the first contribution to fill in the significant gap in the current picture of epigenetic regulation of nucleosome turnover and degradation by the autophagy pathway in an important human pathogen.

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Chapter 1

Global patterns of histone modifications throughout the malaria parasite cell cycle

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Summary

Posttranslational modifications (PTMs) on histone tails can regulate the accessibility of DNA to transcription factors and DNA replication machinery. Thus, histone PTMs plays a role in gene regulation by acting sequentially or in combination to recruit effector proteins. Investigations of histone PTMs in *P. falciparum* are minimal having only examined histone H3 and a mixed population. By using a shotgun proteomic approach on histone precipitations, this study provides a quantitative assessment of histone PTMs throughout the asexual erythrocytic stage. In total, 246 histone marks were identified with 126 novel histone marks. Interestingly, PTMs associated with transcriptional silencing (methylation) were detected at very low levels and are found on genes that are involved with parasite virulence.

Abstract

While histone modifications are known to have an important role in the human malaria parasite's biology, histone modification sites and their patterns throughout the parasite cell cycle have been poorly defined. Using a comprehensive and quantitative mass spectrometry approach, we determined the global abundance of histones, histone variants, and their covalent post-transcriptional modifications throughout the parasite erythrocytic cycle. We detected a total of 246 distinct post-translational modifications on 8 *Plasmodium* histones. While about half of these were conserved in higher eukaryotes, 204 had never been detected in *Plasmodium* and 126 had never been identified in any other species. Intriguingly, we detected a very low level of modifications commonly associated with transcriptional silencing. Altogether, our data provide the most accurate and comprehensive view of dynamic changes in histone modifications throughout a eukaryotic cell cycle and suggest that the malaria parasite has a unique histone modification signature that correlates with intensive replication state and pathogenicity.

Introduction

The protozoan parasite *Plasmodium falciparum* causes the most severe form of human malaria. The World Health Organization estimates 275 million malaria cases per year, with 655,000 associated deaths. The mortality can be twice as high when including cases that are undiagnosed (Murray et al., 2012; Shetty, 2012). The parasite has a complex life cycle and can adjust its lifestyle to different hosts (Anopheles mosquitos and human) and cell types (mosquito midgut and salivary glands, as well as human hepatocytes and erythrocytes). In humans, the pathogenesis is associated with an intensive replicative state in red blood cells. During this stage, *Plasmodium* has the capacity to develop phenotypic diversity by the selection of clonally variant parasites. The exact molecular processes regulating these mechanisms at the transcriptional level are still poorly understood. Emerging evidence indicates that the chromatin structure plays a critical role (Duraisingh et al., 2005; Freitas-Junior et al., 2005; Issar et al., 2009; Lopez-Rubio et al., 2009; Ponts et al., 2011; Ponts et al., 2010; Ralph et al., 2005; Stubbs et al., 2005; Tonkin et al., 2009).

In all eukaryotic genomes DNA is packed with histone proteins to form the “chromatin”. The structure of these chromatin fibers is involved in all DNA-related metabolic processes and transcriptional regulation events by modulating DNA accessibility. The chromatin unit consists of a nucleosome, *i.e.*, classically

~147 base pairs of DNA wrapped around an octamer core of histones containing two each of histones H2A, H2B, H3, and H4. Histone H1 can act as a linker to regulate the formation of the transcriptionally inactive and highly compact 30 nm chromatin fiber. Each core histone contains two functional domains: a histone-fold motif, which mediates histone/histone and histone/DNA interactions, and an N-terminal tail that projects from the core and can undergo a large number of post-translational modifications (PTMs). Known histone PTMs in model eukaryotes include acetylation, methylation, phosphorylation, ubiquitylation, sumoylation, ADP-ribosylation, propionylation, butyrylation, formylation, citrullination, proline isomerization (Martin and Zhang, 2007; Ruthenburg et al., 2007), and the recently reported crotonylation (Tan et al., 2011). These modifications can be found in complex combinations and are primarily localized in the accessible N-terminal tails but can also occur, although less frequently, in the core regions. Variant histones, found in subsets of nucleosomes, can also provide additional complexity. The combined occurrence of histone PTMs and their variants at a particular chromosomal locus is thought to play a key role in gene regulation. Some histone PTMs have been associated with specific cellular functions such as transcriptional activation (*e.g.*, H3 and H4 lysine acetylations), transcriptional elongation (*e.g.*, H3-K36 methylation), or transcriptional repression (*e.g.*, H3-K9 methylation, H3-K27 methylation, and H4-K20 methylation). Overall the dynamic changes in these modifications in a particular cell type on individual

gene *loci* correlate with the overall genetic activity of the cell. The histone code hypothesis suggests that histone PTMs represent an epigenetic code that triggers structural chromatin changes and downstream events controlling gene expression, DNA replication and repair (Strahl and Allis, 2000). It has become clear that the combinations of histone modifications that regulate the many critical activities associated with chromatin dynamics and cell differentiation are much more complex than previously thought.

Most canonical and structural variant histones (H2A, H2A.z, H2B, H2Bv, H3, H3.3, the centromeric-specific H3.c, and H4) have been identified in the malaria parasite but no clear homolog of the linker histone H1 has been found (Gardner et al., 2002). Its absence implies that the *Plasmodium* genome exhibits a lower level of chromosome packaging, and indeed, no clear highly compact chromosomes have been observed to date. The presence of a more relaxed chromatin structure is consistent with recent observations of an atypical euchromatin structure throughout the parasite asexual erythrocytic cycle (Ponts et al., 2010; Westenberger et al., 2009). These recent data are coherent with constitutive binding of the transcription pre-initiation complex on both active and inactive promoters (Gopalakrishnan et al., 2009), as well as a ubiquitous distribution of the transcriptionally permissive histone variant H2A.z in all intergenic regions (Bartfai et al., 2010). Moreover, initial genome-wide chromatin

studies demonstrated an abnormal distribution of histone silencing marks. The silencing H3-K9me3 mark is uniquely associated with genes involved in antigenic variation within restricted subtelomeric and chromosome internal regions (Flueck et al., 2009). Additional studies confirmed the co-localization of the silencing heterochromatin protein 1 to H3-K9me3 marks, within regions of the genome that code for *Plasmodium* virulence factors (Issar et al., 2009). On the contrary, active marks such as the H3-K4me3 and H3-K9ac have a broad distribution across the genome. Finally, preliminary mass spectrometry studies identified a significant number of active acetylated histone marks in the parasite genome (Trelle et al., 2009). Collectively, these data suggest a peculiar parasite chromatin structure with silencing marks restricted to the subtelomeric regions for a tight transcriptional control of genes involved in antigenic variation. As a whole, the role of chromatin and histone PTMs in transcriptional control and pathogenicity deserves a deeper investigation.

In the past, histone PTMs in eukaryotic cells have been identified with a variety of techniques, including immunohistochemistry, chromatography, spectroscopy and mass spectrometry. The characterization of all combinatorial histone PTMs is a great analytical challenge. Antibodies can only measure known PTMs independently and are not designed to achieve a complete combinatorial data set. Furthermore, adjacent modifications can occlude the

epitope that the antibody is designed to recognize, leading to biased results (Egelhofer et al., 2011; Fuchs et al., 2011). Recent studies in the human malaria parasite characterized a few histone PTMs using chromatin immunoprecipitation (ChIP) coupled with DNA microarray hybridization or high-throughput DNA sequencing (Bartfai et al., 2010; Lopez-Rubio et al., 2009; Salcedo-Amaya et al., 2009; Scherf et al., 2008). These antibody-based techniques have only determined the specific genomic location of a restricted number of PTMs in a few parasitic stages. The broad understanding of the role of epigenetic mechanisms in controlling the parasite life cycle will require a complete characterization of all histone modifications in a combinatorial manner. Recently, the ability to determine which PTMs co-exist in a particular cell on a genome-wide scale has emerged by the development of High-Throughput Histone Code Analysis (HT-HCA) methods using mass spectrometry (reviewed in (Young et al., 2010)). While not the most accurate to conserve the combinatorial information, bottom up mass spectrometry, which sequences small peptides from enzymatic digestions, provides a sufficient throughput and quantitative analysis for biologically meaningful information. Similar to how the current high-throughput next-generation DNA sequencers can rapidly analyze genomes, HT-HCA using mass spectrometry can significantly contribute to a better understanding of the epigenome in the malaria parasite.

Here we present a complete atlas of histone PTMs detected across the parasite erythrocytic cycle using a comprehensive and quantitative Multidimensional Protein Identification Technology (MudPIT). Overall, sequence coverages, spectral counts, and relative levels of each of the eight *Plasmodium* histones were consistent across the stages considered, and reproducible between the two independent biological replicates. This dataset allowed us to comprehensively detect PTMs and to reliably quantify whether differences in modification levels existed across *Plasmodium* erythrocytic cycle. Altogether we detected 246 distinct histone post-transcriptional modifications of which 204 had never been detected in *Plasmodium* and 126 had never been identified in other species. The dynamics of histone variants and histone modifications across the seven stages of the erythrocytic cycle were further investigated.

Results

Sequence coverage, purity, and abundance of Plasmodium histones

Synchronized *P. falciparum*-infected blood cultures were harvested at seven time points corresponding to the early ring morphological stage at 0 hours post-invasion (hpi), mid-ring at 6 hpi, late ring at 12 hpi, early trophozoite at 18 hpi, late trophozoite at 24 hpi, early schizont at 30 hpi, and late schizont at 36 hpi (Table 1.1 and Figure 1.1A). Four types of digestion were performed for each of the seven stages analyzed in replicate, resulting in reproducibly high sequence coverage, ranging from 95% to 100%, for each of the seven main *Plasmodium* histones (Figure 1.1B). *Plasmodium* proteins represented up to 82% of all protein identifications (Table S1.2 and Figure 1.1D). General protein levels were similar among the different stages and between replicates (Pearson correlation; Figure 1.1E) and duplicate samples clustered more closely together than with other time points (Figure 1.1F). These tests demonstrated the overall reproducibility of the analyses, both at qualitative and quantitative levels.

Spectra mapping to peptides from *Plasmodium* histones represented between 24% (18-hpi) to 52% (36-hpi) of all spectra, demonstrating a clear enrichment in *Plasmodium* histones (Figure 1.1A). Multiple comparison analysis showed that the increased histone levels observed at early ring and late schizont

Table 1. Overview of MudPIT analysis and SEQUEST Search Settings

For each *Plasmodium falciparum* histone preparation analyzed in this study are specified:
 The parasite blood stage from which proteins were acid-extracted;
 Whether the cells were treated or not with the MG132 proteasome inhibitor;
 The type of digestion used to generate the peptide mixture;
 The number of liquid chromatography steps coupled to mass spectrometry applied to the peptide mixture;
 The type of mass spectrometer used to acquire the MS/MS dataset;
 The data-dependent acquisition sequence used throughout the MudPIT runs;
 The software and settings used to abstract and recalculate the MS1 precursor ion mass and extract the MS2 coordinates from Xcalibur raw files;
 The peptide mass tolerance used in the SEQUEST search;
 The delta-masses applied statically or differentially to various amino acids during the SEQUEST searches;
 The number and type of proteins in the sequence database used to query the MS/MS dataset.

See Experimental Procedures for details.

Erythrocyte Stage	Sample Preparation		MudPIT Analysis Settings		SEQUEST Search Settings (see details in Table S2)				Sample Name (Supporting Tables S2-S4)				
	Proteasome inhibitor (MG132)	Digestion Type	MudPIT LC Steps	MS Instrument	Data Acquisition Settings	MS/MS Files Generated by	Peptide Mass Tolerance	Delta-Mass Parameters (See Supporting Table S2 for details)		Plasmodium NR Forward Amino Acid Sequences	Human NR Forward Amino Acid Sequences	NR Total	NR Shuffled
0 Hours Post-Invasion (hpi)	-	LysC + Trypsin	12	Thermo LTO-Orbitrap	PHISL ER 8µg OT-50K T1 1	RawDistiller (Fig 5)	10 ppm	Stalk C: +57.02146 Da; Dif KR: +14.0157 Da; Dif MKDY: +15.9949 Da; Dif KR: +27.9949 Da; Dif MKSTAV: +42.0106 Da; Dif M: +58.0055 Da; Dif K: +68.0261 Da; Dif S: +14.0423 Da; Dif R: +14.0423 Da	5439 Plasmodium falciparum (PlasmoDB release 5.3)	30552 human proteins (NCBI 2008-03-04 release)	72306		
	-	Elasbase			PHISL ER 8µg OT-50K Es 1								
	-	LysC + GluC			PHISL ER 8µg OT-50K RC 1								
	-	ArgC			PHISL ER 8µg OT-50K RC 1								
6 hpi	-	LysC + Trypsin	12	Thermo LTO-Orbitrap	PHISL ER-MG132 8µg OT-50K T1 1	RawDistiller (Fig 5)	10 ppm	Stalk C: +57.02146 Da; Dif KR: +14.0157 Da; Dif MKDY: +15.9949 Da; Dif KR: +27.9949 Da; Dif MKSTAV: +42.0106 Da; Dif M: +58.0055 Da; Dif K: +68.0261 Da; Dif S: +14.0423 Da; Dif R: +14.0423 Da	5439 Plasmodium falciparum (PlasmoDB release 5.3)	30552 human proteins (NCBI 2008-03-04 release)	72306		
	-	Elasbase			PHISL ER-MG132 8µg OT-50K Es 1								
	-	LysC + GluC			PHISL ER-MG132 8µg OT-50K RC 1								
	-	ArgC			PHISL ER-MG132 8µg OT-50K RC 1								
12 hpi	-	LysC + Trypsin	12	Thermo LTO-Orbitrap	PHISL LR 8µg OT-50K KCEC 1	RawDistiller (Fig 5)	10 ppm	Stalk C: +57.02146 Da; Dif KR: +14.0157 Da; Dif MKDY: +15.9949 Da; Dif KR: +27.9949 Da; Dif MKSTAV: +42.0106 Da; Dif M: +58.0055 Da; Dif K: +68.0261 Da; Dif S: +14.0423 Da; Dif R: +14.0423 Da	5439 Plasmodium falciparum (PlasmoDB release 5.3)	30552 human proteins (NCBI 2008-03-04 release)	72306		
	-	Elasbase			PHISL LR 8µg OT-50K RC 1								
	-	LysC + GluC			PHISL LR 8µg OT-50K RC 1								
	-	ArgC			PHISL LR 8µg OT-50K RC 1								
18 hpi	-	LysC + Trypsin	12	Thermo LTO-Orbitrap	PHISL LR-MG132 8µg OT-50K RC 3 ^(a)	RawDistiller (Fig 5)	10 ppm	Stalk C: +57.02146 Da; Dif KR: +14.0157 Da; Dif MKDY: +15.9949 Da; Dif KR: +27.9949 Da; Dif MKSTAV: +42.0106 Da; Dif M: +58.0055 Da; Dif K: +68.0261 Da; Dif S: +14.0423 Da; Dif R: +14.0423 Da	5439 Plasmodium falciparum (PlasmoDB release 5.3)	30552 human proteins (NCBI 2008-03-04 release)	72306		
	-	Elasbase			PHISL LR-MG132 8µg OT-50K T1 1								
	-	LysC + GluC			PHISL LR-MG132 8µg OT-50K RC 1								
	-	ArgC			PHISL LR-MG132 8µg OT-50K RC 1								
24 hpi	-	LysC + Trypsin	12	Thermo LTO-Orbitrap	PHISL LR 8µg OT-50K KCEC 1	RawDistiller (Fig 5)	10 ppm	Stalk C: +57.02146 Da; Dif KR: +14.0157 Da; Dif MKDY: +15.9949 Da; Dif KR: +27.9949 Da; Dif MKSTAV: +42.0106 Da; Dif M: +58.0055 Da; Dif K: +68.0261 Da; Dif S: +14.0423 Da; Dif R: +14.0423 Da	5439 Plasmodium falciparum (PlasmoDB release 5.3)	30552 human proteins (NCBI 2008-03-04 release)	72306		
	-	Elasbase			PHISL LR 8µg OT-50K RC 1								
	-	LysC + GluC			PHISL LR 8µg OT-50K RC 1								
	-	ArgC			PHISL LR 8µg OT-50K RC 1								
30 hpi	-	LysC + Trypsin	12	Thermo LTO-Orbitrap	PHISL MZ 8µg OT-50K T1 1	RawDistiller (Fig 5)	10 ppm	Stalk C: +57.02146 Da; Dif KR: +14.0157 Da; Dif MKDY: +15.9949 Da; Dif KR: +27.9949 Da; Dif MKSTAV: +42.0106 Da; Dif M: +58.0055 Da; Dif K: +68.0261 Da; Dif S: +14.0423 Da; Dif R: +14.0423 Da	5439 Plasmodium falciparum (PlasmoDB release 5.3)	30552 human proteins (NCBI 2008-03-04 release)	72306		
	-	Elasbase			PHISL MZ 8µg OT-50K Es 1								
	-	LysC + GluC			PHISL MZ 8µg OT-50K RC 1								
	-	ArgC			PHISL MZ 8µg OT-50K RC 1								
36 hpi	-	LysC + Trypsin	12	Thermo LTO-Orbitrap	PHISL MZ 8µg OT-50K T1 1	RawDistiller (Fig 5)	10 ppm	Stalk C: +57.02146 Da; Dif KR: +14.0157 Da; Dif MKDY: +15.9949 Da; Dif KR: +27.9949 Da; Dif MKSTAV: +42.0106 Da; Dif M: +58.0055 Da; Dif K: +68.0261 Da; Dif S: +14.0423 Da; Dif R: +14.0423 Da	5439 Plasmodium falciparum (PlasmoDB release 5.3)	30552 human proteins (NCBI 2008-03-04 release)	72306		
	-	Elasbase			PHISL MZ 8µg OT-50K Es 1								
	-	LysC + GluC			PHISL MZ 8µg OT-50K RC 1								
	-	ArgC			PHISL MZ 8µg OT-50K RC 1								

^(a) Because less protein material was obtained from the untreated 6 hpi sample, the fourth RC digest was done on the MG132-treated 6 hpi sample.

^(b) Because less protein material was obtained from the MG132-treated 18 hpi sample, the fourth RC digest was done on the untreated 18 hpi sample.

Note that protein detection and levels between the untreated and MG132-treated samples were highly reproducible as calculated by Pearson (See SuppFig S1E).

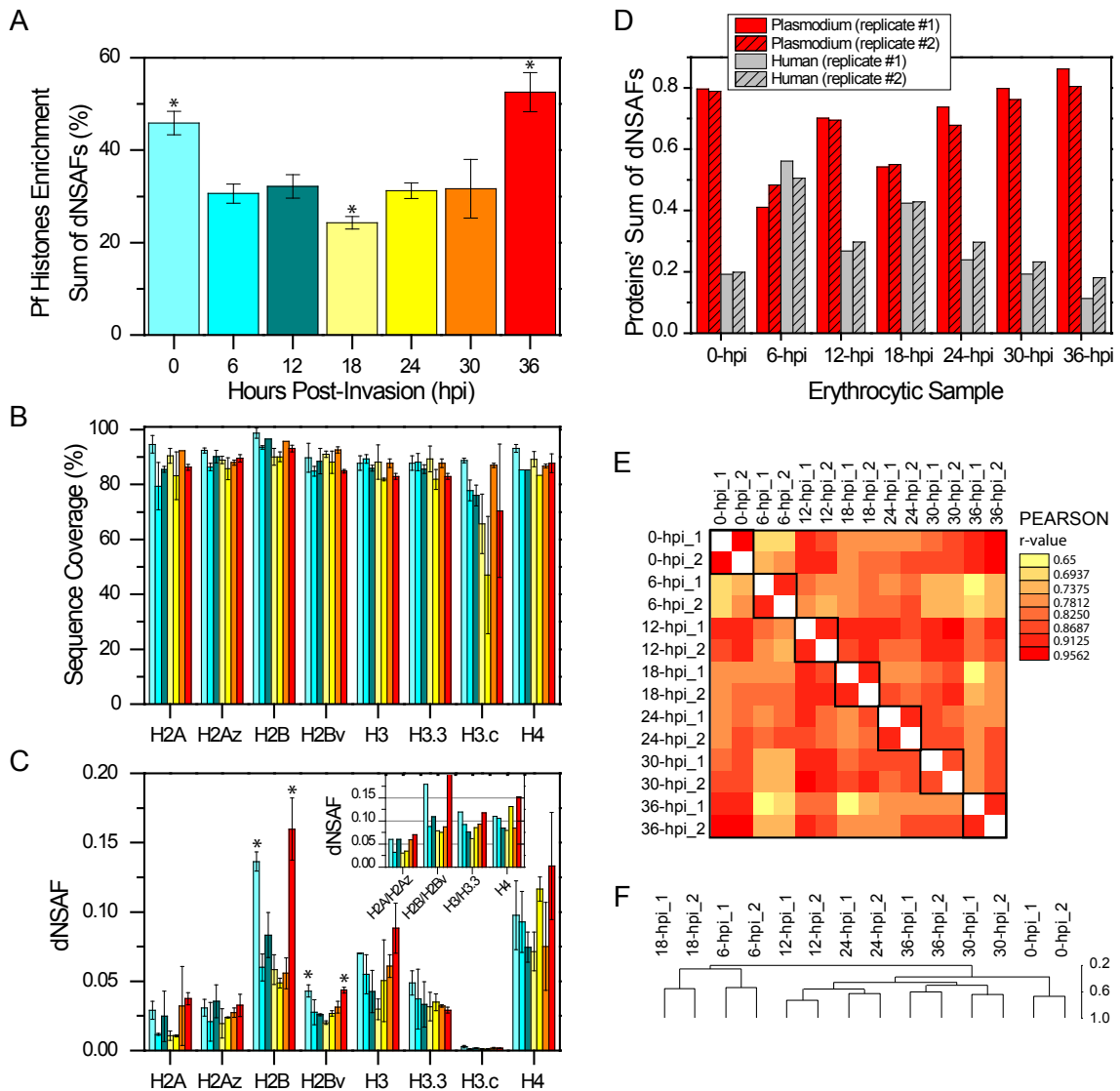


Figure 1.1: Sequence coverage, purity, abundance, and reproducibility. Synchronized *Plasmodium falciparum*-infected blood cultures were harvested every six hours from 0 hours post-invasion (hpi) to 36 hpi. The seven samples are early ring (0-hpi, light cyan bars), middle ring (6-hpi, cyan), late ring (12-hpi, dark cyan), early trophozoite (18-hpi, light yellow), late trophozoite (24-hpi, yellow), early schizont (30-hpi, orange), and late schizont (36-hpi, red). Two sets of acid-precipitated histones were independently prepared and analyzed for each time-point (Table 1.1). **A.** Enrichment of *Plasmodium* histones in each preparation is calculated by summing the normalized spectral counts values (Sum of dNSAF) of the eight histones (Table S1.2). To assess the variations in individual histone abundances across the intra-erythrocytic cycle, a multiple comparison analysis was performed using a bonferroni correction on histone abundances using matlab. Asterisks denote statistically significant differences in enrichment levels ($p < 0.05$). **B.** The percentage of amino acid sequence covered by peptides detected in each sample type is calculated for each *Plasmodium* histone. **C.** Relative protein levels in each sample type are estimated using dNASF values averaged between two independent biological replicates for each

stage submitted to multiple digestions (Table 1.1). Asterisks denote statistically significant differences in protein levels ($p < 0.05$). **D.** The relative content in plasmodium and contaminating human proteins detected by MudPIT analyses of each duplicate time-point was estimated by summing dNSAF values (Sum of dNSAF) for each group of proteins (Table S1.2). Summing the normalized spectral counts values (SdNSAF) of specific group of proteins (histones in Figure 1.3A, *Plasmodium* vs. human in Figure 1.1A) was used to assess their relative enrichment over all detected proteins as described in (Florens et al., 2006). **E.** Pearson correlation was calculated between all stages using the R environment function `cor()`. r -values obtained for each combination were plotted as a 14 x 14 symmetric matrix. Values of r close to +1 indicate a strong correlation, while values of r close to 0 indicate very poor correlation. **F.** Relative protein abundances expressed as dNSAF were used to cluster all detected proteins and each analysis (Table S1.2). Rows and columns were hierarchically clustered under the same criteria using MeV 4.8.1 (Mar et al., 2011) and HCL function with Pearson correlation as metric distance and average linkage as algorithm.

stages as well as the lower levels detected at early trophozoite stage were significantly different from those measured from other stages ($p < 0.05$, Figure 1.1A). This pattern was consistent with previously observed genome-wide variations of nucleosome-bound DNA levels (Ponts et al., 2011; Ponts et al., 2010).

Several isoforms of histones H2B and H3 are present in the *Plasmodium* genome and all were detected in our analysis. Although sequence identity exists between these isoforms, their respective abundances were calculated using the dNSAF equation (Zhang et al., 2010). H4 was the most abundant (Figure 1.1C), while H2B/H2Bv and H3/H3.3 added up to H4 levels (Figure 1.1C, insert). H3.c was the least abundant isoform, consistently with its centromere-restricted localization. Most interestingly, the transcriptionally permissive histone variant H2A.z, which has been characterized to be at least one order of magnitude less

abundant than H2A, in human and budding yeast, was recovered at levels similar to H2A (Figure 1.1C).

Comparison of the post-translational modifications detected on Plasmodium histones against previously reported PTMs

The MS/MS datasets were searched for oxidation/hydroxylation, formylation, methylation, dimethylation, trimethylation, acetylation, crotonylation, ubiquitination, phosphorylation, as well as N-terminal acetylation (Table S1.1). We identified a total of 256 modifications on the eight *Plasmodium* histones (Table 1.2 (Supplemental PDF available through dissertation publisher)). Twenty of these modifications were carried by peptides undistinguishable between the H3/H3.3 isoforms (same spectral counts, Table 1.2), and were therefore counted as one. All other “redundant” modifications on homologous residues within H3/H3.3 and H2B/H2Bv were detected on distinct peptides (different spectral counts; Table 1.2) and were hence considered independently.

Overall these 246 amino acid/PTM combinations (AA#PTMs) fell into three main categories (Table S1.3): 46 modifications had been described before in *Plasmodium* (of which 43 were previously known in other species); 74 AA#PTMs had been previously reported on evolutionary conserved residues in other organisms; and 126 were novel AA#PTMs (Figure 1.2B). Of these 126 new

PTMs, 57 were detected on residues that had been shown previously to be modified by

Table 2. Detailed spectral counts for modified and unmodified peptides mapping to Plasmodium falciparum histones measured across 7 erythrocytic stages.

In each replicate analysis, the following parameters are specified for the amino acid (AA) post-translational modification (PTM) combination detected within each histone:

- Total spectral counts for peptides bearing the amino acid residue specified in each row (column labeled "Total_SPC").
- Spectral counts for peptides bearing the specified AA/PTM combination listed in each row (column labeled "Modified_SPC").
- The ratio, expressed as a percentage, between modified and total spectral counts for each AA/PTM combination (column labeled "Mod/Total").
- The number of independently digested samples the specified AA/PTM combination were detected in (column labeled "Times Detected in 4 Digests").
- For each of the 7 sample types, average (AVG) and standard deviation (SDV) of the estimated modification levels (Mod/Total ratios) were calculated for each AA/PTM combination.
- AA/PTM combinations were detected in 2 biological replicates (column labeled "Times Detected in 2 Samples").
- The number of times each AA/PTM combination was detected in the 2 biological replicate analyses is reported in the column labeled "Times Detected in 2 Samples".

	ALL SAMPLES		0-hpi		6-hpi		12-hpi		18-hpi		24-hpi		30-hpi		36-hpi		
	1	2	1	2	1	2	1	2	1	2	1	2	1	2	1	2	
Acetylation	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxylation	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Phosphorylation	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Ubiquitination	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-methyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-ethyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-propyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-butyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-pentyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-hexyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-heptyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-octyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-nonyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-decyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-undecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-dodecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-tridecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-tetradecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-pentadecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-hexadecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-heptadecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-octadecyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-nineteenyl	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twenty	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twentyone	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twentytwo	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twentythree	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twentyfour	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twentyfive	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twenty-six	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twenty-seven	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twenty-eight	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-twenty-nine	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hydroxy-thirty	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10

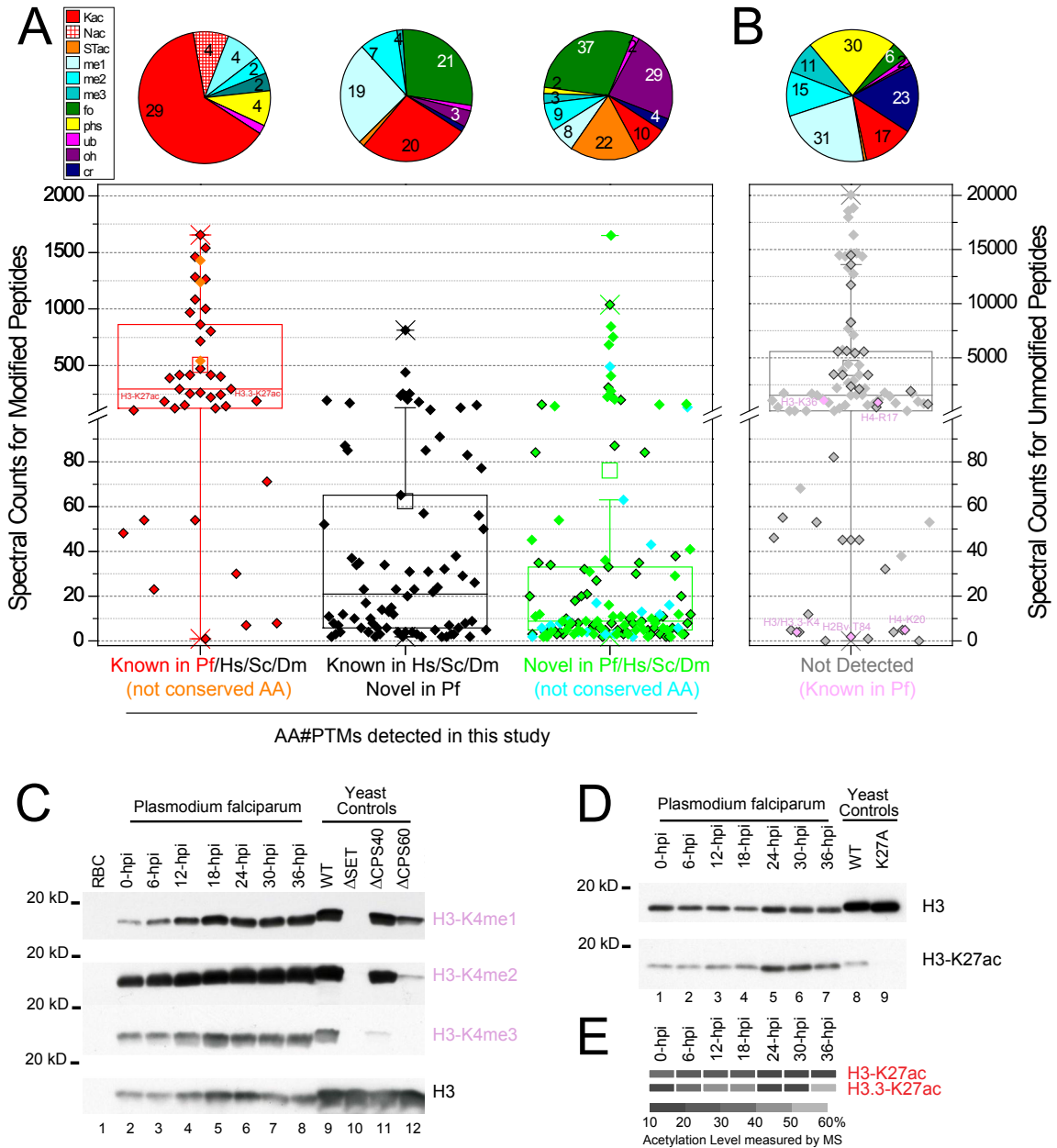


Figure 1.2: Summary statistics of detected (or not) post-translational modifications. A. Box plot representation of spectral counts for peptides bearing the 246 distinct modifications detected in this analysis. The summary statistics are shown for 1) PTMs previously reported in *Plasmodium*; 2) PTMs previously reported on conserved residues in human/mouse, yeast, or fly; and 3) the novel amino acid/PTM combinations uncovered in this dataset. In a box plot, the 25th and 75th percentile are represented by the upper and lower boundaries of the box, with the median being the line dissecting the box, and the mean being the small square in each box. The

5th and 95th percentiles are shown with lines attached to the box, the 'X' represents the 1st and 99th percentiles, and the stand alone '-' represents the complete range. The data points are overlaid within each box and the symbols color-coded as in Figure 1.2A. Above each category, the breakdowns of each modification type are plotted as pie charts. Pie slices with not values have 1 entry. **B.** *Summary statistics of total spectral counts for unmodified peptides bearing residues that have been previously detected with certain types of modifications but were not modified as such in our dataset.* Not detected modification sites previously reported in *Plasmodium* are plotted as labeled pink symbols, while not detected modified sites reported in human/mouse, yeast, or fly are represented by grey symbols. Grey symbols with a dark edge stand for residues that were never detected as modified in our dataset, while entirely grey symbols are for residues that were detected as modified by other types of modifications in our dataset. The box plot representation and pie chart are as described above. **C.** *Western blot analysis using antibodies directed against each of the three methylation states of S. cerevisiae H3-K4.* Histones isolated from seven *Plasmodium* erythrocytic stages and uninfected red blood cells (RBC) were separated on 18% SDS-PAGE and transferred for blotting. Whole cell extracts from wild-type yeast as well as compass-deficient mutants were analyzed in parallel to ensure proper behavior of each antibody (Takahashi et al., 2009). **D.** *Western blot analysis using an antibody directed against acetylated S. cerevisiae H3-K27.* Histone preparations isolated from seven erythrocytic stages as well as a *S. cerevisiae* strain expressing the H3-K27A mutant were processed as in **C.** In **C.** and **D.**, loading amounts in each lane were normalized using an antibody against yeast H3. **E.** *Acetylation levels on K27 in both H3 isoforms as measured by our MS analysis across the seven time points* (Table 1.2). The acetylation percentages were quantified using local spectral counts and plotted in grey scale from dark to light.

other PTMs ("known AA"), while 19 were detected on nine non conserved residues ("n.c. AA").

At the quantitative level, the peptides bearing the 46 PTMs previously reported in *Plasmodium* (Cui et al., 2008; Cui and Miao, 2010; Dastidar et al., 2013; Trecek et al., 2011; Trelle et al., 2009) were detected with large numbers of spectral counts (Figure 1.2A) and mostly consisted of acetylations and methylations on the N-terminal tails (Figure 1.4B). The peptides bearing the 74 PTMs on sites known in other species were overall less abundant, while the 126 entirely novel PTMs were detected within peptides with the lowest spectral counts with the exceptions of a few serine acetylations, formylations and

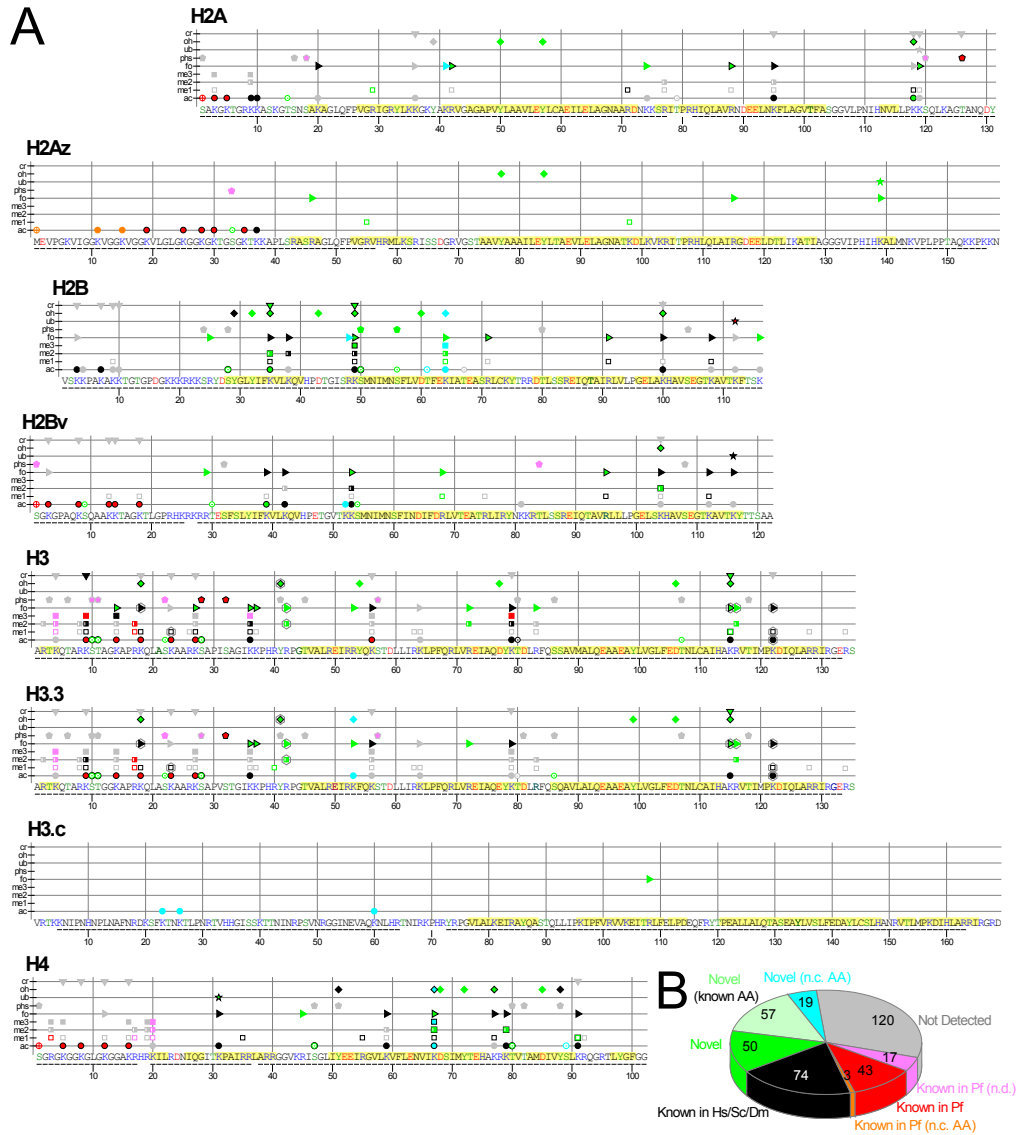


Figure 1.3: Qualitative overview of post-translational modifications along histone sequences. **A.** All 256 PTMs detected on *Plasmodium* histones H2A, H2Az, H2B, H2Bv, H3, H3.3, H3.c, and H4 (Table 1.2). Acetylation (circle), mono-, di-, trimethylation (open, half-filled and full square, respectively), formylation (triangle), phosphorylation (pentagon), ubiquitinations (star), hydroxylations (diamond), and crotonylations (downward triangle) are listed above the sequences from bottom to top. Underlined amino acid residues are covered by detected peptides. Amino acids predicted to be within structured domains based on sequence alignments (Figure 1.5) with histones of solved tridimensional structures are highlighted in yellow. Detected PTMs are split into three main color-coded categories: 46 PTMs previously reported in *Plasmodium falciparum* are indicated in red symbols with a black outline when these PTMs are also known in human, yeast, or fly, or orange symbols if these PTMs are on residues not conserved (n.c.) in other species (H2Az N-terminus); 74 PTMs previously reported in species other than *Plasmodium* are shown in black symbols; symbols for 116 novel AA position/PTM combinations are in bright green, with 57 of these symbols drawn with a black edge to denote that

the amino acid had been previously reported as modified by other types of PTMs; 19 novel modifications on residues not conserved in other species are colored in light cyan (Table S1.3). Twenty symbols within grey octagons in the H3 and H3.3 plots indicate that the peptides bearing these modifications are undistinguishable between the two isoforms. An additional 137 PTMs (removing isoform redundancies) that have been previously reported but not detected (n.d.) in our analysis are plotted as grey symbols. Among these, 17 modifications that have been detected on *Plasmodium* histones by mass spectrometry or using antibodies are colored in pink.

B. Qualitative summary of the PTMs detected or not in this dataset. Color-coded pie slices for each of the PTMs novelty categories are as defined above.

hydroxylations (Table S1.3). The majority of the novel sites were located within the histone fold domains (Figure 1.4A).

A total of 120 PTMs that had been previously reported on 84 residues conserved in *Plasmodium*, were not detected in our dataset (Table S1.3). The majority of these PTMs were methylations, phosphorylations, crotonylations, and acetylations (Figure 1.2B). Only 25% of the residues bearing these known PTMs were detected as entirely unmodified in our dataset (symbols with dark grey edges; Figure 1.2B). Some of these residues were in regions of low spectral coverage, therefore we could not conclude whether previously reported PTMs might have been present in the *Plasmodium* samples we analyzed. The majority of the “missing” PTMs were on residues that we detected as modified by another type of PTM(s) (light grey symbols; Figure 1.2B). It is then likely that these previously reported AA#PTMs were not present (or present below our detection limit) on the *Plasmodium* histones we analyzed. In addition to methylations, the two largest classes of PTMs missing from our dataset were phosphorylations and

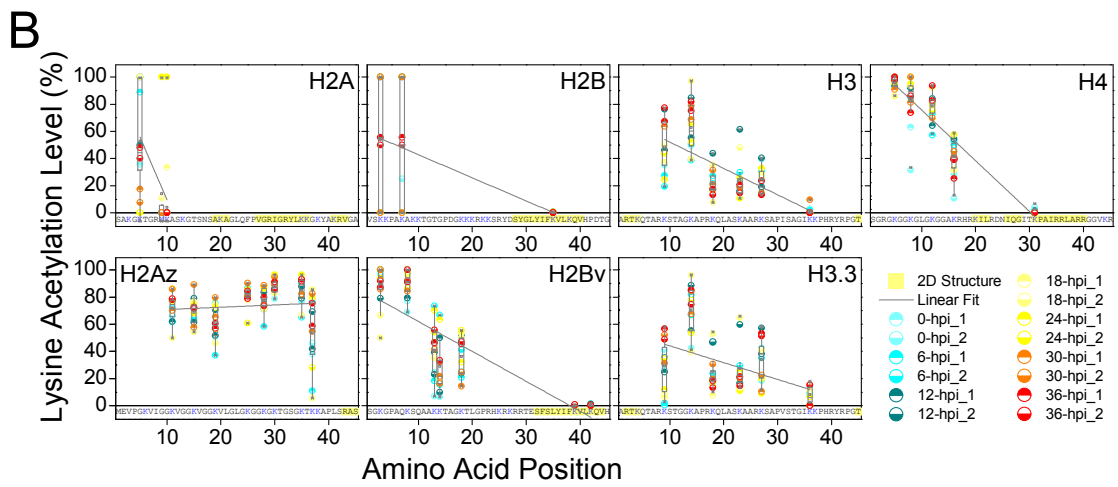
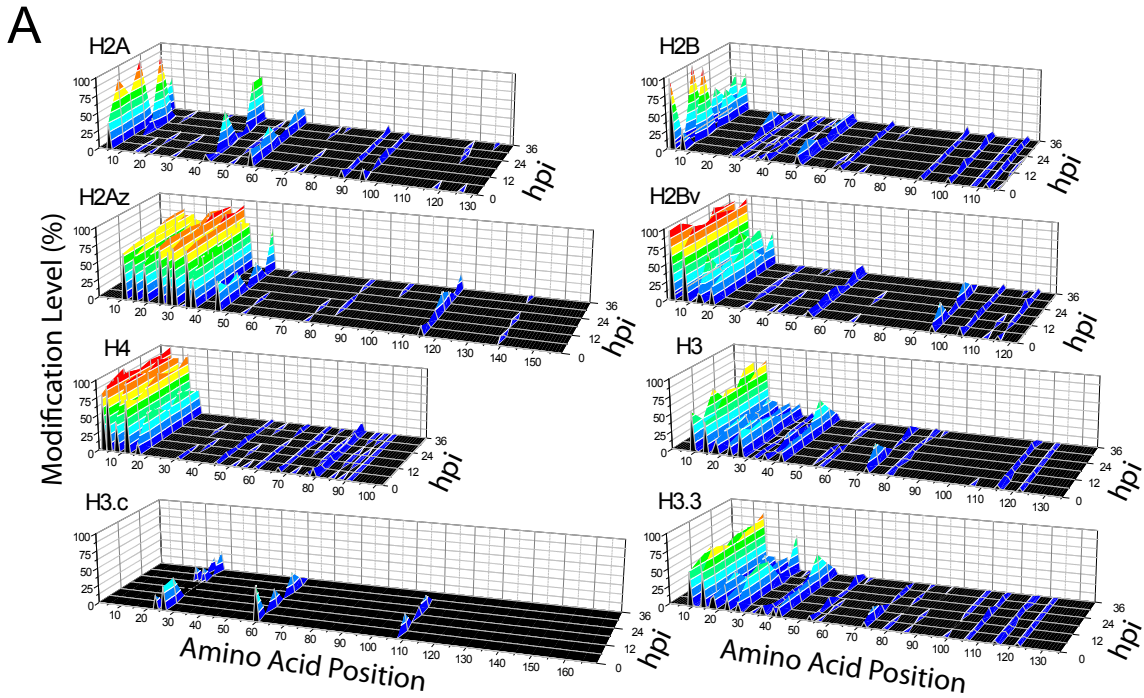


Figure 1.4: Dynamic landscape of PTM levels along histone sequences. A. Modification levels (z-axis) for all amino acid residues bearing the 252 PTMs detected on *Plasmodium* histones. Percentages of modification occupancy (Table 1.2) are plotted as a color-coded 3D-surface as a function of their positions along the sequences (x-axis) and the stages they appear in (hours post-invasion; y-axis). Note that 4 N-terminal acetylations on H2A, H2Az, H2Bv, and H4 are not plotted. When multiple types of PTMs are detected on the same residue, the modification levels are added for each of the seven time points. **B.** Acetylation levels measured in each of the 14 analyzed samples. Percentages of acetylation occupancy are plotted along the 45 first amino acid residues of *Plasmodium* histones H2A, H2Az, H2B, H2Bv, H3, H3.3, and H4 (Table 1.2). Box-plots are overlaid above the data points and are as described in Figure 1.3A. Linear regressions through the data points are plotted as a grey line.

crotonylations (Figure 1.2B). As we did not perform a phosphopeptide enrichment step, the low number of phosphorylated residues was not entirely surprising. However, a large number of these reported phosphorylation and crotonylation sites have been observed in yeast and human under particular cellular conditions. For example, histone phosphorylations have been linked to DNA damage (Cheung et al., 2005), mitotic chromosome condensation (Choi et al., 2005; Goto et al., 1999; Goto et al., 2002; Preuss et al., 2003), or apoptosis conditions (Ahn et al., 2005a; Ahn et al., 2005b; Cheung et al., 2003), while lysine crotonylations are enriched on sex chromosomes (Tan et al., 2011). Such cellular conditions are likely very different from the seven *Plasmodium* stages that we analyzed.

Of the 63 PTMs previously reported in *Plasmodium* (Cui et al., 2008; Cui and Miao, 2010; Dastidar et al., 2013; Treeck et al., 2011; Trelle et al., 2009), 17 were not detected in this dataset (Table S1.3). Half of these were phosphorylated sites that were detected in two recent mass spectrometry studies using phosphopeptide enrichment (Dastidar et al., 2013; Treeck et al., 2011). The H4 peptides bearing R17 were detected as unmodified with high spectral coverage (Figure 1.2B) in all of the 14 analyzed samples (Table 1.2). H3-K36 was detected as mono- and dimethylated, acetylated, and formylated on both H3 isoforms in our dataset. It is therefore likely that monomethylation of H4-R17 and trimethylation of H3-K36 were not present in the seven time points we analyzed.

Although we identified the previously reported H2A-K3ac, it was detected by a single spectrum and we hence considered this modification to be of very low abundance/confidence in our dataset (Table S1.3).

The peptides bearing H3/H3.3-K4, H4-K20, and H2Bv-T84 are in regions of very low spectral coverage (Figure 1.2B). Such low detection could be due to technical limitations in our analysis pipeline. For example due to the hydrophobic nature of the small tryptic peptide bearing H3-K4, we also had trouble detecting this H4-K4 methylation in other organisms including *S. cerevisiae* that have relatively high levels of this PTMs (Garcia et al., 2007). We therefore used highly characterized antibodies recognizing specific methylation states of H3-K4 to confirm that H3-K4me1, H3-K4me2 and H3-K4me3 are present across the erythrocytic cycle, at levels comparable to what is found in yeast cell extracts (Figure 1.2C). In support of the existence of H3-K4me3 in *Plasmodium*, trimethylation is likely carried out by the previously described PfSet1 protein (Cui et al., 2008), which shares a similar domain architecture to the MLL-like protein found in Ciliates (Smith et al., 2011). Western blot also confirmed the presence of H3-K27 acetylation in all seven time points (Figure 1.2D) and correlated well with our quantitation of H3-K27 and H3.3-K27 acetylation levels by local spectral counts in all stages analyzed (Figure 1.2E). The advantage of our MS-based dataset is that we are able to independently quantify modification levels on homologous residues present within histones isoforms.

Overview of the type of post-translational modifications detected on

Plasmodium histones

N-terminal processing and acetylation — Leading methionines were post-translationally removed from histones H2A, H2Bv, and H4, similarly to other eukaryotes. The serines in the new first position were 100% N-terminally acetylated (Table S1.3). Histones H3, H3.3, H3.c, and H2B were also N-terminally processed, although acetylation of their new first residues (alanine for H3 and H3.3, and valine for H3.c and H2B) was not detected. On the contrary, the leading methionine of histone H2A.z was not processed, but was 100% N-terminally acetylated (Table S1.3). N-terminal acetylation levels did not vary across the seven time-points we analyzed (Table 1.2). The differences in the nature of the first amino acid and the acetylation state may reflect differences in histone stability *in vivo*, possibly according to an N-end rule. The exact effect of each N-terminal ending is complex and unknown. For example, serine, alanine, and valine have been fairly recently classified as stabilizing (see Tasaki and Kwon, 2007 for a review), whereas acetylation could be destabilizing (Hwang et al., 2010). N-terminal acetylation is however not considered an epigenetic mark, and hence will not be discussed any further.

Lysine acetylation — A large number of the identified PTMs consisted of well-known acetylation events (Figure 1.2A) in agreement with previously analyzed histones isolated from an asynchronous mixture of *Plasmodium*

asexual stages (Trelle et al., 2009). A total of 10 new lysine residues were found to be acetylated (Figure 1.2A) and 7 of them were on lysines not conserved across species (Tables S5-6). Three residues contributed half of the novel PTMs on non-conserved positions: H2B-K64, H3.3-K53, and H4-K67, which are all substituted for arginines in other species (including in their *Plasmodium* H2Bv and H3 isoforms). The presence of lysines at these positions in *Plasmodium* histones allows for a larger array of modifications (acetylations, trimethylations, and hydroxylations) that are not possible on an arginine side chains. The Four of the novel PTMs were detected on PfH3.c (K23ac, K26ac, K60ac, and R108fo). The H3.c N-terminal tail is very divergent across species (Figure 1.5) and the three acethylated lysine located within this tail in Plasmodium are not conserved. The formylated arginine H3.c-R108, located in a turn at the end of the putative second alpha helix might be conserved in human H3.cAa-K76 and fly H3.c-K163 (Table S1.3). To our knowledge, these are the only modifications ever detected on centromeric histones H3 from any species.

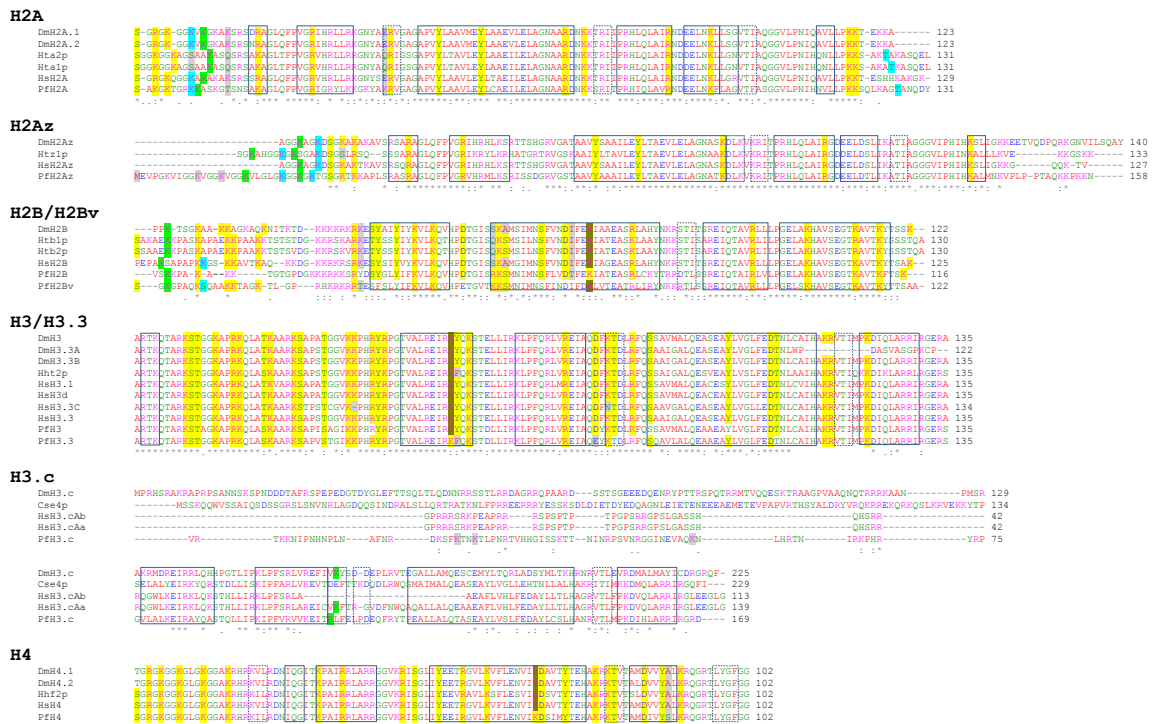


Figure 1.5: Alignments of histone sequences from *Plasmodium falciparum*, *Homo sapiens*, *Saccharomyces cerevisiae*, and *Drosophila melanogaster*. Multiple sequences alignments were mostly performed using ClustalW2 (Goujon et al., 2010; Larkin et al., 2007). However, the N-terminal sequences of the four H2Az proteins are very divergent, while the C-termini are very similar. Therefore, the alignment has been manually modified to align from the C-terminus toward the N-terminus.

Accession numbers for each amino acid sequence are:

H2A: PfH2A (psu|PFF0860c), HsH2A (NP_254280.1), ScHhta1 (NP_010511.1), ScHta2 (NP_009552.1), and DmH2A (NP_001027376.1);

H2Az: PfH2Az (psu|PFC0920w), HsH2Az (NP_002097.1), ScHhtz1 (NP_014631.1), and DmH2A.v (NP_524519.1);

H2AB/H2Bv: PfH2B (psu|PF11_0062), PfH2Bv (psu|PF07_0054), HsH2B (NP_066402.2), ScHtb1 (NP_010510.1), ScHtb2 (NP_009553.1), and DmH2B (NP_001027385.1);

H3: PfH3 (psu|PFF0510w), PfH3.3 (psu|PFF0865w), HsH3.1t (NP_003484.1), HsH3d (NP_003521.2), HsH3.3C (NP_001013721.2), HsH3.3 (NP_005315.1), ScHht2 (NP_014367.1), and DmH3 (NP_001027387.1), DmH3.3A (NP_723056.1), DmH3.3B (NP_788892.1);

H3.c: PfH3.c (psu|PF13_0185), HsH3.cAa (NP_001800.1), HsH3.cAb (NP_001035891.1), ScCse4 (NP_012875.2), and DmH3.c (NP_523730.2);

H4: PfH4 (psu|PF11_0061), HsH4 (NP_001029249.1), ScHhf (NP_014368.1), DmH4.1 (NP_001027377.1) and DmH4.2 (NP_001027382.1).

Boxed regions correspond to secondary structures based on solved tridimensional structures (solid box, alpha-helix; dotted box: turn; dashed box: strand; as described at <http://www.uniprot.org>). Residues detected as modified in our analysis are highlighted as well as the corresponding residues across species (grey highlights denoting non-conserved sites). These alignments were used to compare modified sites across species (Table S1.3).

Serine and threonine acetylation — Most of the novel acetylation sites were on 22 serine and threonine residues (Table S1.3). H2A was acetylated at position T15, a residue aligning with K15/K14 in human/fly H2A (Table S1.3 and Figure 1.5), which has also been shown to be acetylated (Portela and Esteller, 2010). H2A.z was acetylated on S33. Highly reproducible acetylation was detected on histone H2B residues S28, S50, S56, and T61, as well as H2Bv residues S9, T30, and S54 (Table S1.3). H2Bv-S9 aligns with K12 in human (Figure 1.5), which has been shown to be acetylated (Beck et al., 2006; Golebiowski and Kasprzak, 2005; Kim et al., 2006). Similarly H2Bv-T30 aligns with a lysine (Table S1.3) previously reported as formylated and crotonylated in other species (Tan et al., 2011; Wisniewski et al., 2008). Histone H4 was acetylated on S47, T80, and S89 (Table S1.3). Finally, H3/H3.3-S10, T11, S22, S28, as well as H3-T80, H3-T107, and H3.3-S86 were detected as acetylated multiple times. For the homologous positions in the H3 isoforms, these modifications were identified on distinct peptides. Recently, H3-T80 has been reported as acetylated in mouse H3 (Tweedie-Cullen et al., 2012).

Methylation — A large number of histone methylation marks were detected throughout the parasite erythrocytic cycle, the large majority of them being mono- and dimethylations and present at fairly low levels (Table 1.2). These methylations were mostly found within the histone cores and only histone H3 and its variant H3.3 had multiple methylation sites on their N-terminal tails

(Figure 1.3A). In addition to the classical methylations usually observed in eukaryotic cells, we identified 20 novel methylation marks (Figure 1.2A). Interestingly, two conserved arginine and lysine residues located at homologous positions within H2A and H2Az core domains (Figure 1.3A) were both found monomethylated. These residues, H2A-R29/R71 and H2Az-R55/K98 reside within the first and second putative alpha-helices of H2A and H2Az, respectively (based on H2A structure). H2B-K35 and K49, which had been shown to be me1 (Portela and Esteller, 2010) and me1/me2 (Tan et al., 2011) respectively, were further detected as K35-me2 and K49-me3 in our dataset (Table S1.3). H2B-K64 was newly identified in all three methylation states, while R68, its equivalent position in the H2Bv isoform, was monomethylated. H2Bv-K104 that has been described previously as monomethylated (Beck et al., 2006) was only detected as dimethylated in our samples. H3-K14 previously known to be me1/me2/me3 (Garcia et al., 2007; Tan et al., 2011; Tweedie-Cullen et al., 2012) was only detected as fully trimethylated. H3-R72 was dimethylated and formylated, the first report of any type of PTMs on this conserved residue. H3-K115, known to be acetylated (Lall, 2007), was newly discovered to be monomethylated, as well as formylated, hydroxylated and crotonylated (Figure 1.3A). R42 and R116 in H3/H3.3 were both newly found to be dimethylated and formylated, while H3.3 R40 was monomethylated. In our dataset, H4-K79 and H4-K91, both previously detected as acetylated and formylated (Olsen et al., 2006; Tan et al., 2011;

Wisniewski et al., 2008; Yang et al., 2011; Zhai et al., 2008), were also me2 and me1, respectively. In addition, H4-K67, which is substituted by an arginine residue known to be methylated in histones H4 from other species (Tan et al., 2011), was detected as me2/me3. Consistent identification of novel methylations on distinct peptides from isoforms and the detection of higher numbers of methyl groups on residues previously known to be methylated strengthen our confidence in such identifications.

Phosphorylation — A total of six phosphorylation sites were confidently identified in this dataset: H2A-T126, H2B-S50, H2B-S56, H3-S28, H3-S32, and H3.3-S32. The phosphorylations on H2A and H3 have been previously reported in *Plasmodium* (Treeck et al., 2011), human, and/or yeast (Table S1.3 and references therein), while H2B-S50 and S56 phosphorylations are novel despite the conservation of these residues across species (Table S1.3). Their abundance is extremely low and restricted to the beginning and the end of the erythrocytic cycle, with the exception of the conserved H3-S32phs that is present at all stages at slightly higher levels (on average 4% of all histones H3).

Ubiquitination — Ubiquitination was detected on H2B-K112, as previously reported in *Plasmodium* (Trelle et al., 2009), as well as on its homologous residue K116 in H2Bv. Ubiquitination of H2B-K112 is a well-characterized event associated with active transcription in both yeast (K123) (Tagwerker et al., 2006) and human (K120) (Pavri et al., 2006). On the other hand, the ubiquitination

marks on the conserved K31 within the H4 core domain, which had been previously reported as acetylated and formylated (Choudhary et al., 2009; Tan et al., 2011; Wisniewski et al., 2008), and K139 within the H2Az C-terminal tail are novel (Table S1.3). Abundance of these novel ubiquitylated residues was in general very low and restricted to a couple of stages. Exception are H2B-K112ub, which was present in all stages at slightly higher levels (6% of the PfH2B in average), and H2Bv-K116ub which was detected in 6 out of the 7 time points at about 5% of PfH2Bv. The roles of these rare ubiquitylation events are unknown.

Histone crotonylation — Crotonylation of lysine side chain has been recently reported on mammalian histones (Tan et al., 2011). While we were able to identify 5 crotonylated lysines in our dataset (H2B-K35, H2B-K49, H3.3-K115, H3-K115 are novel, and H3-K9 is known; Table S1.3), crotonylation constitutes one of the main classes of PTMs that was notably absent from our list (Figure 1.2B). Most of the missing crotonylations were reported on the N-terminal tail of H2B, H3 and H4 (Figure 1.3A) on lysine residues that were otherwise acetylated at high levels in the seven *Plasmodium* stages we analyzed (Figure 1.4B). Histone crotonylation has been shown to play a role in the post-meiotic stages of spermatogenesis (Tan et al., 2011), a specific epigenetic function that is not relevant to *Plasmodium* biology.

Histone hydroxylation — Hydroxylation is a reversible chemical process that attaches at least one hydroxyl group to a residue side chain. Aspartic acid and lysine may be hydroxylated on the β -C and the δ -C atom of their side-chains, respectively. Tyrosine may be hydroxylated in the meta position of its aromatic ring and converted to L-3,4-dihydroxyphenylalanine (L-DOPA) by tyrosine hydroxylase. Hydroxylation is less common than other types of PTMs (Kaelin, 2005), yet hydroxylations of 13 lysine, 7 aspartate, and 9 tyrosine residues constituted the second largest class of novel PTMs in our dataset (Figure 1.2A). A total of four tyrosine hydroxylations have recently been reported in the literature on H2B-Y29, H4-Y51, H4-Y88, and H2A-Y39 (Tan et al., 2011), all but the last one being detected in our dataset as well (Table S1.3). Among the novel hydroxylated tyrosines are H2A-Y50 and H2A-Y57 and their homologous positions Y77 and Y84 in H2Az, as well as H3/H3.3-Y41. These residues are conserved and H2A-Y58 and H3-Y41 have been shown by alanine substitution scanning to be essential in *S. cerevisiae* (Nakanishi et al., 2008). While H3-Y41 has been previously reported as phosphorylated (Yu et al., 2007), this is the first modification reported on these H2A and H2Az tyrosines.

Histone formylation — While some N6-formylation of lysine has previously been associated with histones (Table S1.3 and references therein) and other nuclear proteins (Jiang et al., 2007b), lysine and arginine formylations represented the highest number of novel PTMs identified in our dataset (Figure

1.2A). Combining 21 previously reported lysine and arginine formylations with 37 novel formylated residues, this PTM represented 24% of our dataset, on par with acetylations and methylations (Table 1.3).

Positional effect of modified sites on modification levels

To estimate to what extent a particular residue was modified, we divided the local spectral counts matching a particular modification by the total spectral count for this amino acid position (Xiang et al., 2007). For each modified site, we hence obtained modification levels (Figure 1.6) that were expressed as a percentage of the total spectral count for an amino acid position (Table 1.2). A clear dichotomy in modification levels was observed for all *Plasmodium* histones analyzed: accessible N-terminal tails (Figure 1.5) were heavily modified at high levels, while most PTMs detected within the structured domains were at much lower levels of relative abundance (Figure 1.4A).

We reproducibly detected the majority of the well-known acetylation events on the lysine residues located within the N-terminal tails of H2A, H2B, H3 and H4 (Table 1.2). However, acetylation levels appeared to be lower for residues located more internally within the N-terminal tails (Figure 1.4A). To investigate this trend further, we plotted all of the quantitative data-points that were available for each acetylated lysine within N-terminal tails (Figure 1.4B).

$$AA_x_PTM_y_Level = \frac{AA_x_PTM_y_SpectralCounts}{AA_x_Total_SpectralCounts} \times 100$$

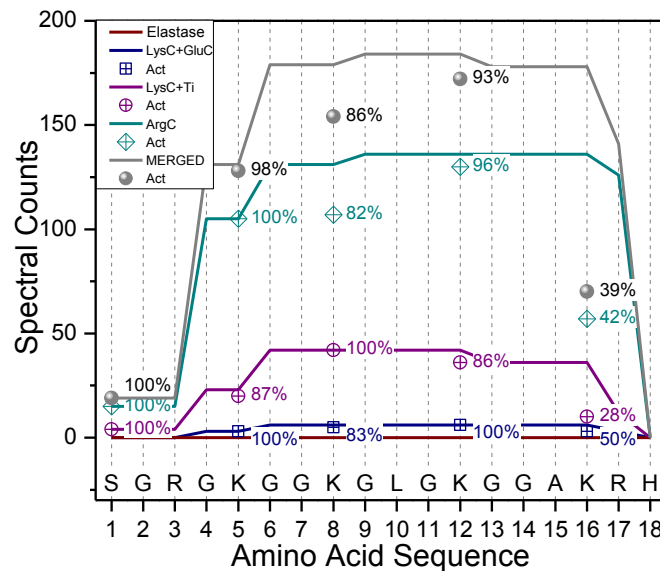


Figure 1.6: Quantification of modification levels by local spectral counts. The spectral coverage along the first 18 residues of Plasmodium histone H4 measured in the Elastase (brown line), LysC+GluC (navy line), LysC+Ti (purple line) and ArgC (dark cyan line) digested samples is plotted as an example (Plasmodium histones isolated from the 2nd biological replicate at 36-hpi). Spectral counts for acetylated residues are plotted as crossed symbols, while the percentages of spectral counts observed as acetylated vs. total spectral counts for this residue (equation above graph) are labeled next to the symbols. The final acetylated levels for histone H4 N-terminal tail in the 36-hpi_2 sample are calculated based on the spectral counts merged from all four digests (grey line, symbols, and lettering). Modification levels for all PTMs detected in this study were calculated as described here (Equation above graph) and reported in Table 1.2.

Linear decreases in lysine acetylation levels were observed for all canonical histones and were statistically significant for H4 ($R^2 = 0.87$) and H2Bv ($R^2 = 0.7$). H2A and H2B only had three acetylated lysines on their N-terminal tails and these residues were sporadically detected as acetylated across the 14 *Plasmodium* samples (Table 1.2), hence a lack of statistical support for the observed linear decrease. H3 and H3.3 N-terminal tails had six lysines, five of which were detected as acetylated at similar levels in all 14 samples (Table 1.2),

yet the linear regression R^2 values were low at 0.51 and 0.2, respectively. The main diversion from linearity was due to the fact that acetylation levels on K14 were consistently higher than on K9 in both isoforms. Surprisingly, lysine acetylation levels were high and stable across the long H2Az N-terminal tail, even for lysine residues close to its core domain.

Histones PTMs dynamics across the intra-erythrocytic cycle

Unsupervised hierarchical cluster analysis of the levels of 241 PTMs (excluding N-terminal acetylations) across the life cycle (Table 1.3) revealed three major groups, each exhibiting different patterns (Figure 1.7A). The first cluster (HC1) contained acetylation of lysine residues as dominating histone PTMs in all seven stages. The second cluster (HC2) contained formylation as the most predominant modification (22 sites in a group of 47 PTMs). It also contained modifications that were relatively frequently observed, yet not always present. The third cluster (HC3) contained PTMs that were only sporadically present in the seven stages at very low levels. This group was the largest and contained all possible types of PTMs, with methylations being the most represented (42 out of 158). This last cluster is potentially of great interest, since it encompasses

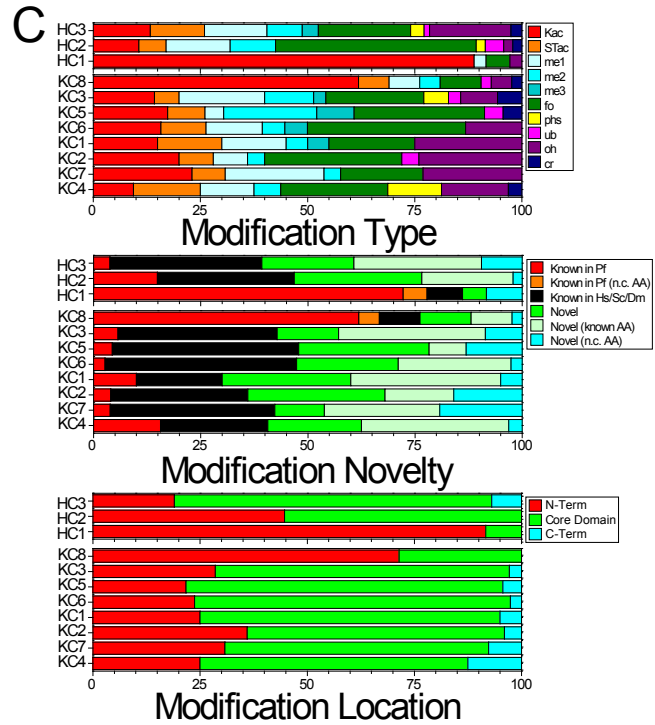
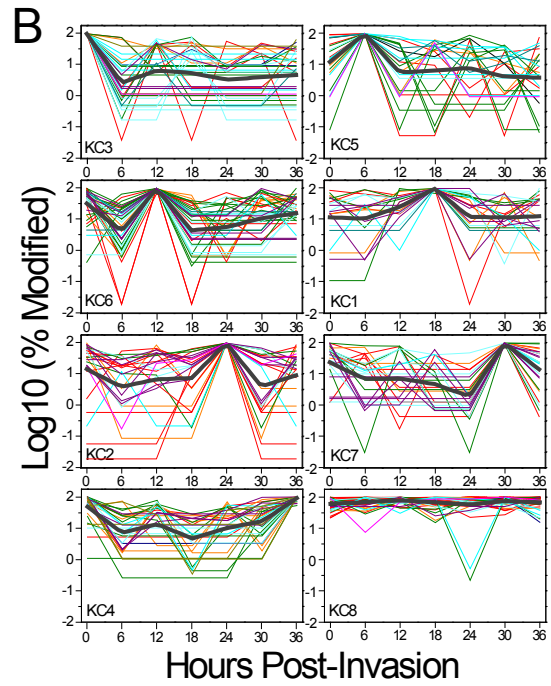
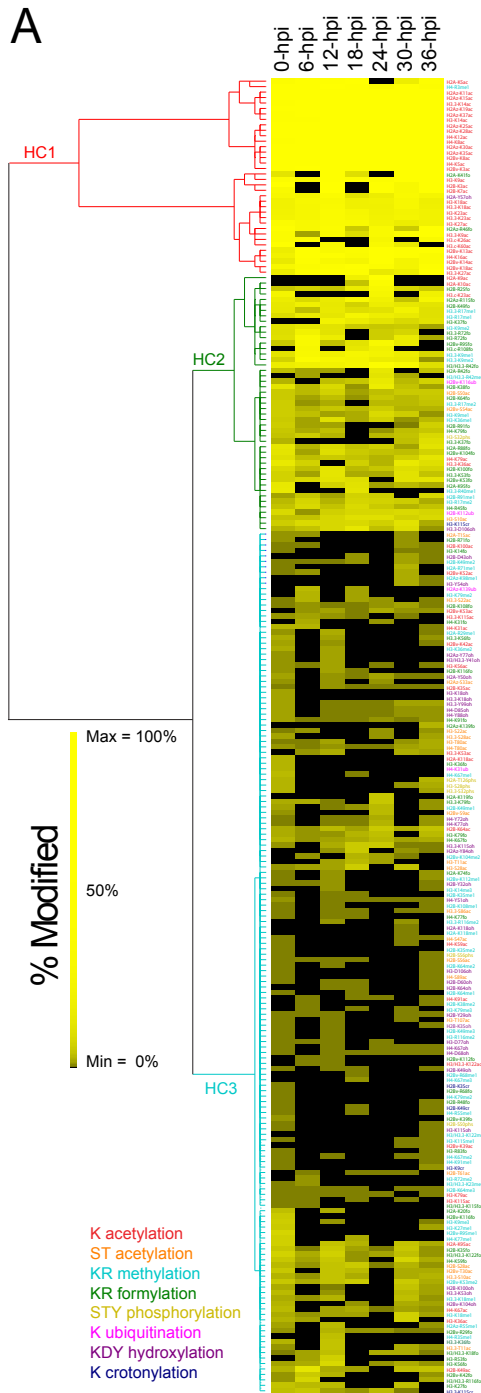


Figure 1.7: Clustering analyses of histone PTMs across seven erythrocytic stages of *P. falciparum*. **A.** *Unsupervised hierarchical clustering performed on the modification percentages of the 241 histone PTMs measured in each stage (241 x 7 matrix; Table 1.3).* Modifications levels (spectral counts merged between the two replicate analyses and expressed as a percentage of the modified spectral counts divided by the total spectral counts for a residue) observed in all seven time points of the *P. falciparum* life cycle (Table 1.2) were hierarchically clustered using Euclidean distance metric and WARD as a method using PermutMatrix software (Caraux and Pinloche, 2005). The tree was generated using the multiple-fragment heuristic algorithm (MF) as a seriation rule. The color intensity is proportional to the percentage of each modification with the brightest yellow indicating a high level and less intense yellow indicating residues modified at lower levels. Black symbolizes that the modification was not detected in a particular stage. The abbreviation “ac” corresponds to acetylation of lysine (K) marked in red, or serine (S), and threonine (T), both marked in orange. Mono-, di-, and trimethylations of lysines and arginines are indicated in blue. Phosphorylation and ubiquitination are indicated by “phs” in dark yellow and “ub” in pink, respectively. Hydroxylation of lysines, aspartates and tyrosines are in purple. Lysines and arginine formylation are dark green, while lysine crotonylations are written in navy. The tree lines for each of the three main hierarchical clusters are color-coded as described above to denote the type of modification that is the most represented in each cluster. **B.** *K-means clustering of histone modifications across Plasmodium seven erythrocytic stages performed on 241 PTMs.* For each AA#PTM combination, abundance values across the seven time points were normalized against the maximum measured value (maximum set at 100%; Table 1.3). We applied k-means clustering to this normalized matrix using the Hartigan-Wong algorithm (Wong, 1979) and a maximum number of iterations set at 50000. To determine the best partition, we continuously increased the number of clusters k from 3 to 20. The optimum number of k-means clusters was determined to be 8 based on the silhouette plot (see Figure 1.8). All computations were run using R environment using k-means function for the partition and daisy function to compute all the pairwise dissimilarities (Euclidean distances) between observations in the dataset for the silhouette. Log₁₀ values of the normalized modification levels for each AA#PTM combination partitioned in one of the 8 k-means clusters are plotted as a function of hours post-invasion. Clusters are ordered based on when their relative maximum modification levels were measured (from 0 to 36-hpi), with cluster KC8 containing PTMs detected at maximum levels across all seven time points. Each line was color-coded as in **A**. Displayed values were the merge between two replicates (Figure 1.5B). Multiple curves averaging was performed for each cluster and the resulting curves are plotted in thick dark grey lines. **C.** *The relative proportions of each type of PTMs within each of hierarchical (HC) or k-means (KC) clusters plotted as stacked bars.* The summary plots are divided into three main criteria: the type of modification, the novelty status of each AA#PTM combination as defined in Figure 1.3A, and the location of each modified residue with the histone structure (N- or C-Terminal Tails and Core Domain).

histone PTMs that change over the *Plasmodium* cell cycle and therefore reflect chromatin changes in the respective transitions.

To analyze the variations in relative histone PTM abundances across the asexual cycle, we clustered abundance profiles (expressed in percentage of the maximum measured level for each AA#PTM) with the k-means algorithm. Each cluster had similar silhouette width (above 0.6) and contained similar numbers of PTMs (Figure 1.8). Each AA#PTM was plotted within each cluster as a log₁₀ of the normalized abundance values measured in the seven time points (Figure 1.7B). Each profile within the clusters reached the maximum level in one of the seven stages (clusters KC1-7), or showed maximum levels across all time points (KC8). PTMs within each cluster were sorted based on three criteria: modification type, novelty status, and location of the modified residue within the histone structures (Figure 1.7C).

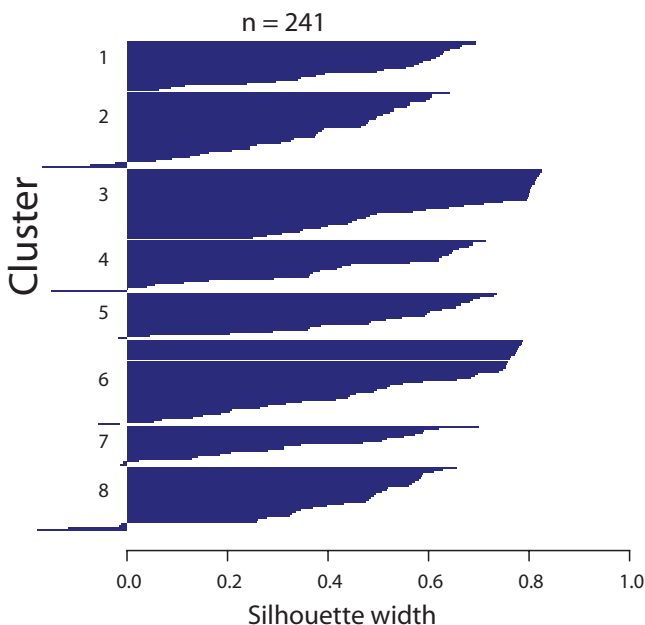


Figure 1.8: Evaluating k-means clustering. The silhouette representation after each k-means clustering is plotted to graphically evaluate how well each data point lies within its cluster. A number of clusters equals to 8 was deemed the optimal solution to this dataset (Table 1.3).

Clusters KC3, KC5, and KC6 contained PTMs with maximum relative abundances at the early, mid-, and late ring stages, respectively (Figure 1.7B). About 56% of the PTMs found in these clusters were novel and were largely located with the core domains (Figure 1.7C). Clusters KC1 and KC2 contained histone PTMs with maximum relative abundances at the early and late trophozoite stages, respectively (Figure 1.7B). These two clusters contained the most novel PTMs (about 70%). No particular enrichment in any type of PTMs was observed in any of these five clusters (Figure 1.7C). However, the six detected trimethylations fell in clusters KC3, KC5, KC6 and KC1, *i.e.* were the most abundant from early ring to early trophozoite (Figure 1.7C).

Clusters KC7 and KC4 contained PTMs with maximum relative abundances at early and late schizont stages, respectively. Most of the PTMs found in these clusters were also detected at fairly high levels at early ring stage (Figure 1.7B). Over 60% of the PTMs in these clusters were novel and located within the core domains. The six phosphorylations we identified neatly segregated into two clusters, KC3 and KC4, *i.e.* histone phosphorylations peaked in abundance at the beginning (0-hpi) and the end (36-hpi) of the erythrocytic cycle. Pfcrk-3, a kinase that phosphorylates histones, has recently been characterized in *P. falciparum* (Halbert et al., 2010) and is thought to play a crucial role in parasite proliferation.

Cluster KC8 mostly overlapped with hierarchical cluster 1 and contained the large majority of the histone acetylations previously reported in *Plasmodium* (Figure 1.7C). Abundance levels did not vary along the erythrocytic cycle. Over 75% of these PTMs were known and 71% were located on the N-terminal tails. Many PTMs detected on histone variants belonged to this group including all eight lysine acetylations on H2A.z N-terminal tail (vs. one for H2A; Table 1.3) and four out of five lysine acetylations detected on H2Bv N-terminal tail. Given their spatial and temporal co-localizations, these marks could be deposited by the same or closely related mechanisms. In addition, given their consistently high abundance (Table 1.3), it seems very likely that they concern a relatively large number of *loci* and regulate chromatin structure in a global manner.

Table 3: Clustering of post-translational modifications identified on Plasmodium Histones across the erythrocytic cycle

For each amino acid number / PTM type combination detected in this study, are specified:

The location of each amino acid as determined by the predicted location of N-terminal tail (NT), secondary structures forming the core domain (CD), and C-terminal tail (CT) based on known tridimensional structures (Figure S3).

Whether or not each AA#PTM combination had been previously detected in Plasmodium, human, yeast or fly on conserved or not conserved (n.c.) residues;

The total spectral counts (Total_Spc) mapping to peptides (modified or not) bearing each amino acid residue, combining all 56 MudPIT analyses of 14 histone samples;

The spectral counts matching modified peptides bearing each PTM type on this amino acid (Modified_Spc), combining all 56 MudPIT analyses of 14 histone samples;

The modification level estimated by Modified_Spc expressed as a percentage of the Total_Spc (Mod/Total %);

The number of times peptides bearing each AA#PTM combination were detected across the 56 MudPIT analyses;

The number of times peptides bearing each AA#PTM combination were detected across the 14 histone samples;

The modification level estimated by Modified_Spc expressed as a percentage of the Total_Spc merging spectral counts from both biological replicates for each time point (Mod/Total MERGED (%));

The order in which each AA#PTM combination is ranked after hierarchical clustering (Figure 4A);

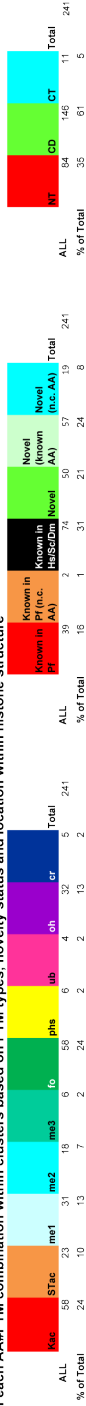
The hierarchical cluster (HC) in which each AA#PTM combination falls (Figure 4A);

The modification levels normalized against the highest value across the 7 time points (highest value set at 100%); i.e. input values for the k-means clustering;

The log10-transformed modification levels normalized against the highest value across the 7 time points (plotted in Figure 4B);

The k-means cluster (KC) in which each AA#PTM combination falls (Figure 4B).

Breakdown of each AA#PTM combination within clusters based on PTM types, novelty status and location within histone structure



Discussion

We analyzed two independent histone preparations from seven time points of the *Plasmodium falciparum* intra-erythrocytic cycle for PTMs. Digesting each sample with multiple enzymes of varying specificities allowed us to obtain high sequence coverage for both the N-terminal tails and core domains (Figure 1.1B). After removing the redundancies within the H3 isoforms, 246 unique amino acid position/PTM combinations were detected on the eight *Plasmodium* histones (Figure 1.3A). Over half of these are novel and 85% occur on residues conserved across species, most of them located within the histone core domains (Figure 1.3A). Altogether, this demonstrates that our approach was able to dig deeper into the *Plasmodium* proteome to gain access to previously unknown and potentially critical and conserved PTMs.

Main classes of novel modifications identified on Plasmodium histones

The largest class of novel PTMs we detected is formylation (Figure 1.2A). Formylation of lysine side chains has been shown to interfere with acetylation and methylation, in particular with their signaling functions involved in oxidative and nitrosative stresses (Jiang et al., 2007b). In this context, it is interesting to note that 67% of the residues we observed as formylated are modification hot-spots, *i.e.* also detected as otherwise acetylated, methylated, or ubiquitinated (Figure 1.3A). Formylation may also play a competing role on *Plasmodium*

histones and whether formylation levels might be regulated by stress remains to be tested.

About a quarter of the novel PTMs are hydroxylations on lysines, aspartates, and tyrosines (Figure 1.2A). Proline and lysine hydroxylation have been shown to stabilize the triple helix structure of collagen (Chopra and Ananthanarayanan, 1982). Interestingly, all but four of the 29 hydroxylated sites are located within the histone globular domains (Figure 1.3A), and 22 of these are involved in alpha-helices. Since hydroxylysines could still be potentially modified on their ϵ -N atom, it is unlikely that these hydroxylations should be *bona fide* epigenetic marks. However, the clear enrichment of these hydroxylations on residues involved in secondary structures hints at a stabilizing conformational role. Since such hydroxylations have not been systematically investigated in other species, we cannot conclude whether these hydroxylations are specific to *Plasmodium* histones or are a process common to all histones.

Acetylation on serine and threonine constitute one of the main classes of novel PTMs in our dataset (Figure 1.2A). Several serines and threonines that are usually found phosphorylated in other organisms are found exclusively acetylated in *Plasmodium* (Figure 1.3A and Table S1.3). For example, H2B-S28 aligns with S36, a known phosphorylation site in human H2B (Maile et al., 2004). Acetylations on serine and threonine are not widely known (Tweedie-Cullen et

al., 2012), but two studies have suggested that such acetylations may be a way to inhibit/prevent phosphorylation on these residues (Mittal et al., 2006; Mukherjee et al., 2006).

Activation vs. repression marks

Acetylations and monomethylations represent 37% of the PTMs we detected (Figure 1.2A and Table 1.3) and are associated with transcriptionally permissive states of chromatin. In contrast, dimethylations and trimethylations, which are usually associated with inactive states of chromatin, only constitute 7 and 2% of the detected PTMs, respectively.

Remarkably, most of the classical transcriptional repression marks were absent from the dataset or present at very low levels. For example, H3-K9me3, H3-K36me2, and H3-K27me1 were all detected at low levels during the parasite's asexual cycle and at a limited number of time points at the beginning and end of the erythrocytic cycle. The six trimethylations detected in our dataset fell in clusters KC3, KC5, KC6 and KC1 (Figure 1.7C), *i.e.* were the most abundant from early ring to early trophozoite. These observations suggest that important silencing events occur at the early trophozoite stage and could be related to the previously described deposition of H3-K9me3 in the sub-telomeric regions associated with silencing of the clonally variant “*Var*” genes (Scherf et al., 2008).

In contrast to the detection of H3-K36me3 in yeast and humans, we did not detect trimethylated H3-K36 by mass spectrometry, in agreement with (Trelle et al., 2009). A technical limitation cannot explain the absence of this modification since K36 was detected as acetylated and formylated on both H3 isoforms, and as mono- and dimethylated on H3, all of these PTMs being newly reported in *Plasmodium* (Figure 1.3A). While H3-K36me3 had previously been detected in *Plasmodium* using an antibody directed against yeast H3-K36me3 (Cui et al., 2008), a more recent study did not confirm this finding (Issar et al., 2009). Furthermore, *Plasmodium* H3 and H3.3 differ from yeast and human histones in their amino acid sequence in the few amino acids just N-terminal to K36, so it is unclear if the H3-K36me3 yeast antibody used in the initial study can recognize the *Plasmodium* me3. While *Plasmodium* possess a putative H3-K36 methylase, PfSet2 (Cui et al., 2008), which is a member of the Set2/Ash1/Nsd1-3 family of enzymes that methylates H3-K36 in other organisms, members of this family can differ in their ability to di- or trimethylate H3-K36 (Yuan et al., 2011).

Overall, the high abundance of activation marks and the low number of repressive modifications are consistent with the prevalence of euchromatin observed in the asexual cycle of the human malaria parasite (Ponts et al., 2010; Westenberger et al., 2009).

Plasmodium specific acetylation occupancy patterns

Although lysine acetylations on the N-terminal tails of all histones are consistently measured at high levels across all time points (Figure 1.4A), distinct patterns of acetylation occupancy are observed. The overall trend for all *Plasmodium* canonical histones is that acetylation levels decrease linearly as the lysines become more internally located (Figure 1.4B).

The statistically supported linear decrease in acetylation levels along *Plasmodium* H4 N-terminal tail is in stark disagreement with top down work on human H4, in which acetylation occupancy is higher on K16, followed by K12, then K8/K5 (Keen et al., 2003; Pesavento et al., 2008; Smith et al., 2003; Zhang et al., 2002). In the mouse brain (Tweedie-Cullen et al., 2012), H4 acetylation also occurs most commonly on K16 (46%), K12 (27%), K8 (22%) and K5 (13%). Such occupancy numbers in human and mouse H4 support the zip model suggested in earlier MS (Zhang et al., 2002) and Edman sequencing (Thorne et al., 1990) analyses: H4 acetylation is proposed to occur from the C- to N-terminus of the N-terminal tail, while deacetylation would occur in the opposite direction (from N- to C-terminus). The reverse occupancy pattern observed in *Plasmodium* has been previously raised at the qualitative level for *Plasmodium* H4-K8 and K12 (Trelle et al., 2009) and our comprehensive quantitative data supports this contradictory pattern in *Plasmodium*.

In PfH3/H3.3, the linear decrease is not statistically supported mostly because the acetylation levels are highest on K14. This pattern of acetylation occupancy has been observed in yeast H3 (Jiang et al., 2007a). This top down analysis on yeast H3 also reported K23 as highly acetylated, on par with K14, which was not the case in *Plasmodium*. In another recent analysis (Tweedie-Cullen et al., 2012), the highest levels are also observed on H3-K14, then K23. In both yeast and mouse, H3-K9 is not as highly modified as in *Plasmodium* contributing to the most discrepancy between the three analyses.

In H2B, the acetylation occupancy reported for mouse brain is again opposite in *Plasmodium* H2Bv and H2B where the two most N-terminal lysines (K3 and K8) display the highest acetylation levels, while their homologous residues in mouse H2B (K5 and K11) only show 1% acetylation (Tweedie-Cullen et al., 2012).

No acetylation occupancy data is available for H2Az in the literature. Our analysis provides 14 data points over eight lysines (five of which conserved) located within the first 37 residues. Our data shows reproducibly high levels of acetylation across these residues, even for the ones next to the first putative alpha helix (Figure 1.4B). The surprisingly stable acetylation levels on H2Az long N-terminal tail combined with the atypical abundance of this isoform in *Plasmodium* (Figure 1.1C) might play a direct role in the dynamic condensation/relaxation of chromatin.

Altogether, our results underline a general transcriptionally permissive state of the chromatin throughout the parasite cell cycle with a restricted number of histone modifications associated with transcriptional silencing. As a whole, our data suggest that the parasite has a unique histone modification signature that correlates with an intensive replication and transcriptional activity associated with the parasite pathogenicity. Understanding the molecular mechanisms that control these atypical features may offer novel therapeutic strategies.

Experimental Procedures

Parasite culture, synchronization, and harvest

The *P. falciparum* strain 3D7 was maintained in human red blood cells at 5% haematocrit as described previously (Le Roch et al., 2003). Cultures were synchronized twice at ring stage with 5% sorbitol treatments performed eight hours apart (Le Roch et al., 2003). Two independent sets of samples (Table 1.1 and Figure S1) were collected every six hours for 36 hours, starting exactly 48 hours after the first sorbitol treatment, for a total of seven samples from early ring (0-hpi), middle ring (6-hpi), late ring (12-hpi), early trophozoite (18-hpi), late trophozoite (24-hpi), early schizont (30-hpi), and late schizont (36-hpi).

Histones precipitation and multiple digestions

Parasites were extracted from infected red blood cells and nuclei were released in parasite lysis buffer (Ponts et al., 2010) before histone acid extraction with 0.25 M HCl (Longhurst and Holder, 1997). Histone preparations (*ca.* 8 μ g of total proteins) were independently digested using elastase, Lys-C+Glu-C, Arg-C, or Lys-C+trypsin (Florens and Washburn, 2006).

Data acquisition

Each differently digested sample was analyzed independently (Table 1.1) by Multidimensional Protein Identification Technology (MudPIT) as described previously (Florens and Washburn, 2006; Washburn et al., 2001) using an Eksigent NanoLC 2D system coupled to an LTQ-Orbitrap hybrid mass spectrometer (Thermo Scientific). Full MS spectra were recorded on the eluting peptides over a 400 to 1600 m/z range in the Orbitrap at 60K resolution, followed by fragmentation in the ion trap on the first to fifth most intense ions selected from the full MS spectrum.

Data Analysis

RAW files were extracted into .ms2 file format (McDonald et al., 2004) using RawDistiller v. 1.0 (Zhang et al., 2011). MS/MS spectra were searched using SEQUEST v.27 (rev.9) (Eng et al., 1994) with a peptide mass tolerance of 10 ppm and of +/- 0.5 amu for fragment ions. No enzyme specificity was imposed during the search against a protein database combining non-redundant *Plasmodium falciparum* and human proteins (Table 1.1). As described in (Xiang et al., 2007), 32 differential modification searches were set up (Table S1.1) in a recursive manner to query for peptides containing methylated lysines and arginines, oxidized methionines and hydroxylated lysines, aspartates and tyrosines, formylated lysines and arginines, dimethylated lysines and arginines,

trimethylated lysines, acetylated lysines, serines and threonines, crotonylated lysines, phosphorylated serines, threonines, and tyrosines, ubiquitinated lysines, as well as potentially N-terminally acetylated methionines, serines, alanines, and valines. Spectra/peptide matches were filtered using conservative criteria and compared using DTASelect/CONTRAST (Tabb et al., 2002). *NSAF v.7* (in-house written software) was used to create the final reports on all detected peptides and non-redundant proteins identified across the different runs (Table S1.2). Spectral and protein level FDRs were, on average, $0.24 \pm 0.13\%$ and $1.5 \pm 0.45\%$, respectively (Table S1.2 (Supplemental PDF available through dissertation publisher)). To estimate relative protein levels, distributed Normalized Abundance Factors (dNSAFs) were calculated for each non-redundant protein or protein group, as described in (Zhang et al., 2010). The PTMR option in *NSAF v.7* was used to extract total and modified spectral counts for each amino acid within *Plasmodium* histones (Table 1.2) and calculate modification levels based on local spectral counts (Figure 1.6). The mass spectrometry .RAW files, .ms2 files created by RawDistiller, the .sqt files generated by SEQUEST, and the DTASelect output files for all 56 analyses will be available to download from The Stowers Institute Original Data Repository (<http://srd.stowers.org/>).

Extended Experimental Procedures

Parasite harvest and histones precipitation

A first set of samples were collected every six hours for 36 hours, starting 48 hours exactly after the first sorbitol treatment, for a total of seven samples covering the intra-erythrocytic cycle from early ring stage to mature schizont. A second set of seven samples was treated with 400 nM of the proteasome inhibitor MG-132 for three hours prior to each harvest to prevent any bias related to proteasomal degradation of histone proteins (Figure S1). For each sample, cultures were washed once in PBS containing MG-132 200 nM and NEM 2 mM (MN-PBS) and parasites were extracted from infected red blood cells with ice-cold 1% acetic acid. Extracted parasites were then washed extensively with MN-PBS. Nuclei were released in parasite lysis buffer (25 mM Tris HCl pH 7.8, 1 mM EDTA, 0.25% [v/v] Igepal® CA-630, 2 mM PMSF, 20 mM NEM, and Roche complete mini EDTA-free protease inhibitor cocktail, see (Ponts et al., 2010) and washed with NaCl 0.8 M before histone acid extraction with 0.25 M HCl (Longhurst and Holder, 1997). Histones were precipitated with 25% TCA, followed by two acetone washes.

Preparation of peptide mixtures by multiple digestions

The two biological replicate sets of histone preparations, with or without prior treatment with MG-132, were independently processed by multiple digestions

(Table 1.1). The method follows that of (MacCoss et al., 2002) with modifications. TCA-precipitated protein samples were solubilized in freshly made 0.1 M Tris-HCl, pH 8.5, 8 M urea (Sigma-Aldrich), and 5 mM TCEP (Tris(2-Carboxylethyl)-Phosphine Hydrochloride, Pierce). After 30 minutes at room temperature, freshly made 0.5 M chloroacetamide (CAM, Sigma-Aldrich) was added to a final concentration of 10 mM, and the samples were left at room temperature for another 30 minutes in the dark. The denatured, reduced and alkylated protein samples were then split into aliquots of equal volume to be digested using elastase, Lys-C+Glu-C, Arg-C, or Lys-C+trypsin (*ca.* 8 μ g of total proteins as determined by Bradford protein assay (Bradford, 1976)).

For elastase digestions, samples were diluted with 0.1 M Tris-HCl pH 8.5 so that the final concentration of urea was 2 M. Elastase (Calbiochem) was added at 1:50 enzyme to protein ratio (w/w) and incubated for 8.5 hours at 37°C with constant shaking. For the endoproteinases Lys-C and Glu-C digestions, Lys-C (Roche) was first added to the samples at 1:50 enzyme to protein ratio (w/w) and incubated overnight at 37°C while shaking. Then, samples were diluted with 0.1 M Tris-HCl pH 8.5 for a final concentration 1 M urea and Glu-C, 1:20 enzyme to protein ratio (w/w), was added and incubated for over 12 hours at 25°C. For the trypsin digestions, Lys-C was first added to undiluted samples at 1:50 enzyme to protein ratio (w/w) and incubated overnight at 37°C. Samples were diluted with 0.1 M Tris-HCl pH 8.5 to a final concentration of 2 M urea, followed by the

addition of 0.5 mM CaCl₂. Trypsin (Promega) was added at a 1:100 enzyme to protein ratio (w/w) and incubated for over 12 hours at 37°C. For the endoproteinase Arg-C digestions, samples were diluted with 0.1 M Tris-HCl pH 8.5 to a final concentration of 4 M urea, followed by the addition of 2 mM CaCl₂. Then Arg-C (Roche) was added at 1:100 enzyme to protein ratio (w/w) along with the provided activation solution at 1:10 (v/v). Digestion was performed overnight at 37°C while shaking. All enzymatic digestions were finally stopped by the addition of formic acid to 5%.

Data acquisition

Each differently digested sample was analyzed independently (Table 1.1) by Multidimensional Protein Identification Technology (MudPIT) as described previously (Washburn et al., 2001), (Florens and Washburn, 2006). Peptide mixtures were pressure-loaded onto 250 μm fused silica microcapillary columns packed first with 3 cm of 5-μm Strong Cation Exchange material (Partisphere SCX, Whatman), followed by 1 cm of 5-μm C₁₈ reverse phase (Aqua, Phenomenex). Loaded 250 μm columns were connected using a filtered union (UpChurch) to 100 μm fused-silica columns pulled to a 5 μm tip using a P 2000 CO₂ laser puller (Sutter Instruments) and packed with 9 cm of reverse phase material (McDonald et al., 2002). The loaded microcapillary columns were placed in-line with an Eksigent NanoLC 2D system. The four channels of the

nanoLC were filled with: 5% acetonitrile and 0.1% formic acid (channels 1A and 2A); 0.5 M ammonium acetate, 5% acetonitrile, and 0.1% formic acid (channel 1B); 80% acetonitrile and 0.1% formic acid (channel 2B). Fully automated 12 step chromatography runs were carried out with a flow rate of 500 nL/min, measured at 250 nL/min at the tip of the column. Peptides were sequentially eluted from the SCX resin to the reverse phase resin by a 10 min-long salt step of increasing concentrations (0, 5, 10, 20, 30, 40, 50, 60, 70, 80, 100, and 100% for steps 1 through 12, respectively), followed by the organic gradient to 75% solvent. The application of a 2.5 kV distal voltage electrospayed the eluting peptides directly into a LTQ-Orbitrap hybrid mass spectrometer (Thermo Scientific) equipped with a custom-made nano-LC electrospray ionization source. Full MS spectra were recorded on the peptides over a 400 to 1600 m/z range in the Orbitrap at 60K resolution, followed by fragmentation in the ion trap (at 35% collision energy) on the first to fifth most intense ions selected from the full MS spectrum. Dynamic exclusion was enabled for 90 s (Zhang et al., 2009), with an exclusion window of -0.03 to +1.03. Mass spectrometer scan functions and HPLC solvent gradients were controlled by the XCalibur data system (Thermo Scientific).

MS/MS dataset query

RAW files were extracted into .ms2 file format (McDonald et al., 2004) using RawDistiller v. 1.0, in-house developed software (Zhang et al., 2011). RawDistiller D(g, 6) settings were used to abstract MS1 scan profiles by Gaussian fitting and to implement dynamic offline lock mass using six background polydimethylcyclsiloxane ions as internal calibrants (Zhang et al., 2011). MS/MS spectra were first searched using SEQUEST v.27 (rev.9) (Eng et al., 1994) with a peptide mass tolerance of 10 ppm and of +/- 0.5 amu for fragment ions. No enzyme specificity was imposed during the search against a protein database combining non-redundant 5439 *Plasmodium falciparum* (PlasmoDB release 5.5) and 30552 human proteins (NCBI 2008-03-04 release), as well as 162 usual contaminants such as human keratins, IgGs, and proteolytic enzymes (Table 1.1). To estimate false discovery rates (FDR), each protein sequence was randomized (keeping the same amino acid composition and length) and the resulting "shuffled" sequences were added to the database used for the SEQUEST searches, for a total search space of 72306 amino acid sequences. To account for alkylation by CAM, +57 Da were added statically to cysteine residues for all searches (Table S1.1).

As primarily described in (Xiang et al., 2007), 32 differential modification searches were set up (Table S1.1) to query a protein database containing only the sequences of *P. falciparum* and human histones (All Spectra vs. Few

Proteins” or ASFP searches, Figure 1.6). We used these combinatorial searches to query for peptides containing methylated lysines and arginines (+14.0157), oxidized methionines and hydroxylated lysines, aspartates and tyrosines (+15.9949), formylated lysines and arginines (+27.9949), dimethylated lysines and arginines (+28.0314), trimethylated lysines (+42.0470), acetylated lysines, serines and threonines (+42.0106), crotonylated lysines (+68.0261), phosphorylated serines, threonines, and tyrosines (+79.9663), ubiquitinated lysines (+114.0429), as well as potentially N-terminally acetylated methionines, serines, alanines, and valines (+42.0106). The maximum number of modified amino acids per differential modification in a peptide was limited to six. To circumvent SEQUEST limitations with heterogeneous modifications on the same type of residue within the same peptide, we used combinations of static delta-masses with differential modifications to detect K methylation marks within the same peptides as K acetylations (Table S1.1). An in-house written software, SQTMASSFixer (Zhang et al., 2011), was then used to insert the appropriate PTMs symbols after the statically-searched residues (Table S1.1 and Figure 1.6). After this round of combinatorial searches, *sqt-merge* (Zybailov et al., 2005) was used to combine the SEQUEST output files (.sqt files) generated from the normal search (*i.e.*, “All Spectra vs. All Proteins” or ASAP search, without modifications) and the 32 sets of ASFP PTMs searches described above into one set (Table S1.1 and Figure 1.6). This merging step allowed only the best matches out of *n*

differential SEQUEST queries to be ranked first based on cross-correlation scores (XCorr) and for normalized differences between XCorrs (DeltaCn) to be recalculated accordingly.

For the second round of searches, only spectra matching modified peptides were selected (-m 0 -t 0 DTASelect parameters), and their coordinates written out into smaller .ms2 files using the "-- copy" utility of DTASelect (Tabb et al., 2002). These subsetted ms2 files contained at best a few hundred MS/MS spectra and were subjected to the same 32 combinatorial searches against the complete protein database described above including shuffled sequences ("Modified Spectra vs. All Proteins" or MSAP searches, Table S1.1 and Figure 1.6). Again sqt-merge was used to bring together the results generated by these differential searches. This step allowed us to check that spectra matching modified peptides from *Plasmodium* and human histone sequences did not find a better match against the complete protein database. Finally, we used SQTMassFixer to correct each calculated (M+H⁺) in the .sqt files by adding an integer multiple of 1.0033 Da (¹³C-¹²C) to obtain the value closest to the measured monoisotopic (M+H⁺) as described in (Wenger et al., 2010).

Data Analysis

DTASelect v.1.9 (Tabb et al., 2002) was used to select and sort peptide/spectrum matches passing the following criteria set. Spectra/peptide matches were only

retained if they had a DeltCn of at least 0.08. Minimum XCorr values were set at 1.8 for singly-, 2.0 for doubly-, and 3.0 for triply-charged spectra for the Arg-C and trypsin-digested samples, and at 2.5 and 3.5 for +2 and +3 spectra, respectively, in the Lys-C+Glu-C and elastase-digested samples. In addition, the peptides had to be at least 7 amino acids long and comply with the specificity of the enzymes used to digest samples. All spectra matching modified peptides were visually assessed (Figures S3A-N) and given an evaluation flag (Y/M/N for yes/maybe/no) using SQTMASSFixer. The “no” matches were removed from the final data (-v 2 parameter in DTASelect).

Results from different analyses were merged and compared using CONTRAST (Tabb et al., 2002). Combining all runs, proteins had to be detected by at least three peptides and proteins that were subsets of others were removed using the parsimony option in DTASelect on the proteins detected after merging all runs. Proteins that were identified by the same set of peptides (including at least one peptide unique to such protein group to distinguish between isoforms) were grouped together, and one accession number was arbitrarily considered as representative of each protein group. NSAF v.7 (in-house written software) was used to create the final reports on all detected peptides and non-redundant proteins identified across the different runs (Table S1.2). FDRs were calculated by NSAF v. 7 as:

$$Spectral_FDR = \frac{2xSHUFFLED_SpectralCounts}{Total_SpectralCounts} \times 100$$

$$Protein_FDR = \frac{SHUFFLED_ProteinCounts}{Total_ProteinCounts} \times 100$$

Spectral and protein level FDRs were, on average, $0.24 \pm 0.13\%$ and $1.5 \pm 0.45\%$, respectively (Table S1.2). To estimate relative protein levels, distributed Normalized Abundance Factors (dNSAFs) were calculated for each non-redundant protein or protein group, as described in (Zhang et al., 2010):

$$dNSAF_i = \frac{dSAF_i}{\sum_{i=1}^N dSAF_i}$$

$$\text{with } dSAF_i = \frac{uSpC_i + \frac{uSpC_i}{\sum_{m=1}^M uSpC_m} \times sSpC_{i_j}}{Length_i},$$

in which shared spectral counts (sSpC) are distributed based on spectral counts unique to each protein i (uSpC) divided by the sum of all unique spectral counts for the M protein isoforms that shared peptide j with protein i . Summing the normalized spectral counts values (SdNSAF) of specific group of proteins (histones in Figure 1.3A, plasmodium vs. human in Figure 1.1A) was used to assess their relative enrichment over all detected proteins as described in (Florens et al., 2006).

The PTMR option in *NSAF v.7* was used to extract total and modified spectral counts for each amino acid within Plasmodium histones (Table 1.2) and calculate modification levels based on local spectral counts (Xiang et al., 2007) (Figure 1.6):

$$AA_x_PTM_y_Level = \frac{AA_x_PTM_y_SpectralCounts}{AA_x_Total_SpectralCounts} \times 100$$

Sequence coverage observed for each *Plasmodium* histone across the different runs, as well as the locations of different PTMs along the sequences, was also displayed using *NSAF v. 7* PTMR option. The mass spectrometry .RAW files, .ms2 files created by RawDistiller, the .sqt files generated by SEQUEST, and the DTASelect output files for all 56 analyses will be available to download from The Stowers Institute Original Data Repository (<http://srd.stowers.org/>).

Statistical analysis of protein levels

Summing the normalized spectral counts values (SdNSAF) of specific group of proteins (histones in Figure 1.1A, *Plasmodium* vs. human in Figure 1.1D) was used to assess their relative enrichment over all detected proteins as described in (Florens et al., 2006). To assess the variations in individual histone abundances across the intra-erythrocytic cycle, a multiple comparison analysis was performed using a bonferroni correction on histone abundances using matlab (Figure 1.1A and 1C). To assess the similarities in relative protein abundance (dNSAF) between all 14 samples, pairwise Pearson correlation coefficients were calculated using the R environment function *cor* (Figure 1.1E). Finally, individual proteins and independent analyses were hierarchically clustered under the same criteria using the HCL function of the MeV 4.8.1

software (Mar et al., 2011) with Pearson correlation as metric distance and average linkage as algorithm (Figure 1.1F).

Clustering of post-translational modifications across the erythrocyte cycle

Modifications levels (spectral counts merged between the two replicate analyses and expressed as a percentage of the modified spectral counts divided by the total spectral counts for a residue) observed in all seven time points of the *P. falciparum* life cycle (Table 1.2) were hierarchically clustered using Euclidean distance metric and WARD as a method using PermutMatrix software (Caraux and Pinloche, 2005). The tree was generated using the multiple-fragment heuristic algorithm (MF) as a seriation rule (Figure 1.5A). To group histone modifications based on their abundance profile across the seven time points, we first normalized each individual modification in each time point to the highest percent of modification across the seven stages (i.e. the highest value equals to 100%). We then applied k-means clustering to this normalized matrix using the Hartigan-Wong algorithm (Wong, 1979) and a maximum number of iterations set at 50000. To determine the best partition of our data, we continuously increased the number of clusters k from 3 to 20. The result showed that the optimal number of clusters was obtained when $k=8$ (Figure 1.5B), after carefully inspecting all the clusters and their silhouette and Hartigan indexes (Figure S6). All computations were run using R environment using k-means function for the

partition and daisy function to compute all the pairwise dissimilarities (Euclidean distances) between observations in the dataset for the silhouette.

Western blot analyses

Western blot analyses were performed using antibodies directed against each of the three methylation states of *Saccharomyces cerevisiae* H3-K4 (Figure 1.3C) and an antibody against H3-K27 acetylation (Figure 1.3D). Loading amounts in each lane were normalized using an antibody against *Saccharomyces cerevisiae* histone H3. Histone isolated from seven *Plasmodium* erythrocytic stages (treated with MG132) and uninfected red blood cells (RBC) were separated on 18% SDS-PAGE and transferred for blotting. Whole cell extract from wild-type yeast, yeast strains deficient in the H3-K4 methylating machinery, and a strain expressing the H3-K27A mutant were analyzed in parallel to ensure proper behavior of each antibody.

STEP 10: ASSEMBLE PEPTIDE INFORMATION INTO PROTEIN INFORMATION AND VISUALLY VALIDATE SPECTRA MAPPED TO MODIFIED PEPTIDES

Local Files	DTASMSSE Files	Output Files
*.msd *.ms2 *.ms3	DTASMSSE*.msd DTASMSSE*.ms2 DTASMSSE*.ms3	DTASMSSE*.msd DTASMSSE*.ms2 DTASMSSE*.ms3

STEP 11: INSERT Y/MIN VISUAL VALIDATION FLAGS FOR SPECTRA MATCHING MODIFIED PEPTIDES

Local Files	DTASMSSE Files	Output Files
*.msd *.ms2 *.ms3	DTASMSSE*.msd DTASMSSE*.ms2 DTASMSSE*.ms3	DTASMSSE*.msd DTASMSSE*.ms2 DTASMSSE*.ms3

STEP 12: SORT AND SELECT PEPTIDE SPECTRUM MATCHES PASSING CRITERIA, AND COMPARE MULTIPLE ANALYSES

Local Files	DTASMSSE Files	Output Files
*.msd *.ms2 *.ms3	DTASMSSE*.msd DTASMSSE*.ms2 DTASMSSE*.ms3	DTASMSSE*.msd DTASMSSE*.ms2 DTASMSSE*.ms3

STEP 13: CREATE REPORTS ON ALL DETECTED PEPTIDES AND NON-REDUNDANT PROTEINS AND CALCULATE dNSAFs AND FDRs

Local Files	NSAF Files	Output Files
*.msd *.ms2 *.ms3	NSAF*.msd NSAF*.ms2 NSAF*.ms3	NSAF*.msd NSAF*.ms2 NSAF*.ms3

STEP 14: EXTRACT TOTAL AND MODIFIED SPECTRAL COUNTS FOR EACH AMINO ACID WITHIN PEPTIDES AND CALCULATE MODIFICATION LEVELS

Local Files	PTM Files	Output Files
*.msd *.ms2 *.ms3	PTM*.msd PTM*.ms2 PTM*.ms3	PTM*.msd PTM*.ms2 PTM*.ms3

STEP 7: INSERT APPROPRIATE PTM SYMBOLS AFTER STATISTICALLY SEARCHED RESIDUES

Local Files	STOMASSE Files	Output Files
*.msd *.ms2 *.ms3	STOMASSE*.msd STOMASSE*.ms2 STOMASSE*.ms3	STOMASSE*.msd STOMASSE*.ms2 STOMASSE*.ms3

STEP 8: MERGE ALL DIFFERENTIALLY SEARCHED SQT FILES, RANK BASED ON XCORR AND RECALCULATE DRIBION

Local Files	DRIBION Files	Output Files
*.msd *.ms2 *.ms3	DRIBION*.msd DRIBION*.ms2 DRIBION*.ms3	DRIBION*.msd DRIBION*.ms2 DRIBION*.ms3

STEP 9: CORRECT CALCULATED M+H+ TO OBTAIN THE VALUE CLOSEST TO THE OBSERVED MONOISOTOPIC MASS M+H+

Local Files	STOMASSE Files	Output Files
*.msd *.ms2 *.ms3	STOMASSE*.msd STOMASSE*.ms2 STOMASSE*.ms3	STOMASSE*.msd STOMASSE*.ms2 STOMASSE*.ms3

Year	Project	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030			
500

Sheet	Year	Description	Fiscal Year																	
			01	02	03	04	05	06	07	08	09	10	11	12						
1000	2000	GENERAL FUND																		
1000	2001	GENERAL FUND																		
1000	2002	GENERAL FUND																		
1000	2003	GENERAL FUND																		
1000	2004	GENERAL FUND																		
1000	2005	GENERAL FUND																		
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1000	2026	GENERAL FUND																		
1000	2027	GENERAL FUND																		
1000	2028	GENERAL FUND																		
1000	2029	GENERAL FUND																		
1000	2030	GENERAL FUND																		

Line	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
APRIL 2019	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
APRIL 2019	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0		
00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000	00000000

Account	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
ACCOUNT	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

Account	12	11	10	09	08	07	06	05	04	03	02	01	12	11	10	09	08	07	06	05	04	03	02	01	12	11	10	09	08	07	06	05	04	03	02	01	Total
1100000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000	1000000000

Row ID	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200														
10101	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200

Table S3: Comparison of post-translational modifications identified on Histones from Plasmodium, Human, *Saccharomyces cerevisiae*, and *Drosophila*

For each amino acid number / PTM type combination detected in this study, are specified:

- The location of each amino acid as determined by the predicted location of N-terminal tail (NT), secondary structures forming the core domain (CD), and C-terminal tail (CT) based on known tridimensional structures (Figure S3);
- The total spectral counts (Total_SpC) mapping to peptides (modified or not) bearing each amino acid residue, combining all 56 MudPIT analyses of 14 histone samples;
- The spectral counts matching modified peptides bearing each PTM type on this amino acid (Modified_SpC), combining all 56 MudPIT analyses of 14 histone samples;
- The modification level estimated by Modified_SpC expressed as a percentage of the Total_SpC (Mod/Total);
- The number of times peptides bearing each AA#PTM combination were detected across the 14 histone samples;
- Whether or not (Y/N) each AA#PTM combination had been previously detected in Plasmodium (from [1] and/or [2]);
- Whether or not (Y/N) each AA#PTM combination had been previously detected in human (or mammals), yeast and/or drosophila (see References column);
- The homologous amino acids in human, yeast, and fly (sequence alignments reported in Figure S3);
- The type of modifications previously reported for this amino acid position in homologous histones from human (or mammals), yeast and/or drosophila (see References column);
- Previously reported PTMs with check marks in columns "ac-cr" were detected in this study, while PTMs in grey cells were not.

For each amino acid previously reported as modified in Plasmodium and/or other species but not detected as modified in this study (grey rows at the bottom of each histone table), are specified:

- The total spectral counts (Total_SpC) mapping to peptides (modified or not) bearing these unmodified amino acid residues, combining all 56 MudPIT analyses of 14 histone samples;
- The number of times peptides bearing this unmodified amino acid were detected across the 14 histone samples;
- Whether or not (Y/N) each AA#PTM combination had been previously detected in Plasmodium (from [1] and/or [2]);
- Whether or not (Y/N) each AA#PTM combination had been previously detected in human (or mammals), yeast and/or drosophila (see References column);
- The homologous amino acids in human, yeast, and fly (sequence alignments reported in Figure S3);
- The type of modifications previously reported for this amino acid position in homologous histones from human (or mammals), yeast and/or drosophila (see References column).

Abbreviations:

Nac, N-terminal acetylation; ac, acetylation; me1, monomethylation; me2, dimethylation; me3, trimethylation; fo, formylation; phs, phosphorylation; ub, ubiquitination; oh, hydroxylation; cr, crotonylation;
n.c., amino acid residue not conserved;
n.d., amino acid not detected as modified in this study.

Amino acid sequences were aligned using ClustalW2 (Figure S3):

PH2A (psu|PF0860c), HsH2A (NP_254280.1), ScHta1 (NP_010511.1), ScHta2 (NP_009552.1), and DmH2A (NP_001027376.1);
PH2Az (psu|PFC0920w), HsH2Az (NP_002097.1), ScHhtz1 (NP_014631.1), and Dm H2A.v (NP_524519.1);
PH2B (psu|PF11_0062), PH2Bv (psu|PF07_0054), HsH2B (NP_066402.2), ScHtb1 (NP_010510.1), ScHtb2 (NP_009553.1) and Dm H2B (NP_001027385.1);
PH3 (psu|PF0510w), PH3.3 (psu|PF0865w), HsH3.1t (NP_003484.1), HsH3d (NP_003521.2), HsH3.3C (NP_001013721.2), HsH3.3 (NP_005315.1), ScHht2 (NP_014367.1), and DmH3 (NP_001027387.1), DmH3.3A (NP_723056.1), DmH3.3B (NP_788892.1);
PH3.c (psu|PF13_0185), HsH3.cAa (NP_001800.1), HsH3.cAb (NP_001035891.1), ScCse4 (NP_012875.2), and DmH3.c (NP_523730.2);
PH4 (psu|PF11_0061), HsH4 (NP_001029249.1), ScHhf (NP_014368.1), DmH4.1 (NP_001027377.1) and DmH4.2 (NP_001027382.1).

Summary Table tallying all PTMS detected (or not) on all 8 Pf Histones (detailed tables for each Pf Histone are provided below)

Detected in this study	AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
Total	155	138	246	59	23	4	31	18	6	58	6	4	32	5
Known	79	76	120	49	1	4	23	9	3	21	4	2	3	1
Novel	73	60	126	10	22	0	8	9	3	37	2	2	29	4

Previously reported as modified	AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
All Known	128	113	255	60	3	4	54	23	16	22	39	4	4	26
n.d. PTM on AAs conserved in Pf	84	84	137	17	1	0	31	15	11	6	30	2	1	23
n.d. (AA detected as modified by other PTMs)	52	52	86	9	0	0	18	8	7	4	17	2	0	21
n.d. (AA n.d. as modified)	32	32	51	8	1	0	13	7	4	2	13	0	1	2
n.c. AA	15	0	21	4	1	0	4	1	1	1	6	1	0	2

Fig1B

	TOTAL	
Known in Pf	43	120
Known in Pf (n.c. AA)	3	
Known in Hs/Sc/Dm	74	
Novel in Pf/Hs/Sc/Dm	50	
Novel (AA known to be modified)	57	126
Novel (n.c. AA)	19	
Not Detected	120	137
Not Detected, Known in Pf	17	

Fig2A

	TOTAL	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
Known in Pf (and Hs/Sc/Dm)	46	29	0	4	4	2	2	0	4	1	0	0
Known in Hs/Sc/Dm (Novel in Pf)	74	20	1	0	19	7	1	21	0	1	3	1
All Known	120	49	1	4	23	9	3	21	4	2	3	1
Novel in Pf/Hs/Sc/Dm	126	10	22	0	8	9	3	37	2	2	29	4
Total	246	59	23	4	31	18	6	58	6	4	32	5

Fig2B

	TOTAL	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
Not Detected (on AAs conserved in Pf)	137	17	1	0	31	15	11	6	30	2	1	23
including n.d. PTM Known in Pf	17	0	0	0	3	2	3	0	9	0	0	0

H2A	PHistone-AA#PTM	Locati on	Total_SpC	Modified_S pC	Mod/ Total (%)	Times Detected	Known in Pf?	Known in others?	Previously reported AA#PTMs													References			
									Hs/Mm	Sc	Dm	ac	me1	me2	me3	fo	phs	ub	oh	cr					
1	H2A-S1Nac	NT	127	127	100	13	Y	Y	S1	S1	S1	Nac✓											[3-9]		
2	H2A-K3ac	NT	133	1	0.75	1	Y	Y	R3	K4	R3	ac✓	me1		me3								[10, 11]		
3	H2A-K5ac	NT	133	54	40.6	12	Y	Y	K5	K7	K5	ac✓											[4, 10, 12]		
4	H2A-K9ac	NT	68	4	5.88	3	N	Y	K9	n.c.	K8	ac✓		me2	me3								[11, 13, 14]		
5	H2A-K10ac	NT	204	2	0.98	2	N	Y	R11	K13	K10	ac✓											[14]		
6	H2A-T15ac	NT	1559	3	0.19	3	N	N (n.c./Kac)	K15	n.c.	K14	ac											[15]		
7	H2A-K20fo	NT	1609	8	0.5	2	N	N (known AA)	R20	K21	R19	ac											[16]		
8	H2A-R29me1	CD	3916	11	0.28	3	N	N	R29	R30	R28														
9	H2A-K41fo	CD	78	17	21.79	7	N	N (n.c.)	E41	Q42	E40														
10	H2A-K42fo	CD	1719	33	1.92	9	N	N (known AA)	R42	R43	R41		me1										[17]		
11	H2A-Y50oh	CD	3975	16	0.4	7	N	N	Y50	Y51	Y39														
12	H2A-Y57oh	CD	3298	754	22.86	12	N	N	Y57	Y58	Y56														
13	H2A-R71me1	CD	3820	20	0.52	3	N	Y	R71	R72	R70		me1✓										[11]		
14	H2A-K74fo	CD	1611	3	0.19	3	N	N (known AA)	K74	K75	K73	ac											[11]		
15	H2A-R88fo	CD	1441	87	6.04	11	N	N (known AA)	R88	R89	R87		me1										[17]		
16	H2A-K95fo	CD	1570	65	4.14	7	N	Y	K95	K96	K94	ac✓	me1	me2		fo✓						cr	[11, 13, 18]		
17	H2A-K118oh	CT	4355	5	0.11	1	N	N (known AA)	K118	K119	K117		me1✓			fo							cr	[17, 18]	
n.d.	H2A-K118me1	CT	4355	4	0.09	1	N	Y																	
n.d.	H2A-K118ac	CT	4355	5	0.11	2	N	N (known AA)																	
18	H2A-K119fo	CT	381	2	0.52	1	N	N (known AA)	K119	K120	K118	ac	me1							ub			cr	[11, 17, 19]	
n.d.	H2A-T126phs	CT	464	4	0.86	2	Y	Y	K125	T124/125	n.c.									phs✓			cr	[11, 20-22]	
n.d.	H2A-K13	CD	680	0	0	7	N	Y	K13	A14	K12	ac												[15]	
n.d.	H2A-S16	CD	1559	0	0	7	N	Y	S16	S17	S15									phs				[23]	
n.d.	H2A-S18	CD	1559	0	0	7	N	Y	S18	S19	S17									phs				[21]	
n.d.	H2A-K36	CD	45	0	0	7	N	Y	K36	R37	K35	ac				fo						oh	cr	[15, 18]	
n.d.	H2A-Y39	CD	82	0	0	11	N	Y	Y39	Y40	Y38													[17]	
n.d.	H2A-R77	CD	1	0	0	1	N	Y	R77	R78	R76		me1	me2										[24]	
n.d.	H2A-T79	CT	0	0	0	0	N	Y	I79/I79	I80	I78	ac												[11]	
n.d.	H2A-S120	CT	467	0	0	14	Y	Y	T120	S121	T119									phs				[11, 21, 22, 25]	
n.c.	H2A-G99	CD	n.c.				N	Y (n.c.)	R99/K99	N100	G98		me1	me2										[15, 23]	
n.c.	H2A-T126	CT	n.c.				N	Y (n.c.)	K125	K126	n.c.		me1											cr	[17]
n.c.	H2A-N128	CT	n.c.				N	Y (n.c.)	K127	S128	n.c.	ac								phs					[11, 26-28]
n.c.	H2A-D130	CT	n.c.				N	Y (n.c.)	K129	E130	n.c.	ac													[11]

H2A	Detected in this study													Previously reported as modified															
	AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr	AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr	
	Total	19	17	22	6	1	1	3	0	0	7	1	0	3	0	19	26	22	48	14	0	1	10	4	2	3	6	1	1
Known	9	9	10	5	0	1	2	0	0	1	1	0	0	0	11	11	20	4	0	0	5	2	2	1	1	1	0	4	
Novel	10	8	12	1	1	0	1	0	0	6	0	0	3	0	8	5	2	10	4	0	0	0	0	0	0	0	0	0	

H2Az	PHistone-AA#PTM	Locati on	Total_SpC	Modified_S pC	Mod/ Total (%)	Times Detected	Known in Pf?	Known in others?	Previously reported AA#PTMs													References							
									Hs/Mm	Sc	Dm	ac	me1	me2	me3	fo	phs	ub	oh	cr									
1	H2Az-M1Nac	NT	545	341	62.57	14	Y	N (n.c.)	2nd residue= E not conserved													[1]							
	H2Az-M1Nac-ox		545	204	37.43	14	N		Hs/Sc/Dm																				
2	H2Az-K11ac	NT	1653	1235	74.71	14	Y	N (n.c.)	n.c.	n.c.	n.c.	ac✓																	
3	H2Az-K15ac	NT	1988	1431	71.98	14	Y	N (n.c.)	n.c.	n.c.	n.c.	ac✓																	
4	H2Az-K19ac	NT	1929	1263	65.47	14	Y	Y	n.c.	K3	n.c.	ac✓																	[29, 30]
5	H2Az-K25ac	NT	1985	1654	83.32	14	Y	Y	n.c.	K8	n.c.	ac✓																	[29, 30]
6	H2Az-K28ac	NT	1936	1541	79.6	14	Y	Y	K4	K10	K4	ac✓																	[4, 13, 31, 32]
7	H2Az-K30ac	NT	1653	1461	88.38	14	Y	Y	K7	K14	K7	ac✓																	[4, 13, 31, 32]
8	H2Az-S33ac	NT	1466	3	0.2	3	N	N (known AA)	S9	S16	S9										phs								[21]
9	H2Az-K35ac	NT	1466	1282	87.45	14	Y	Y	K11	S18	K11	ac✓																	[13, 31, 32]
10	H2Az-K37ac	NT	1267	813	64.17	14	N	Y	K13	R20	K13	ac✓																	[31, 32]
11	H2Az-R46fo	CD	143	36	25.17	11	N	N	R22	R27	R22																		
12	H2Az-R55me1	CD	2488	11	0.44	3	N	N	R31	R36	R31																		
13	H2Az-Y77oh	CD	2613	6	0.23	3	N	N	Y53	Y58	Y53																		
14	H2Az-Y84oh	CD	2179	7	0.32	4	N	N	Y60	Y65	Y60																		
15	H2Az-K98me1	CD	2096	9	0.43	2	N	N	K74	K79	K74																		
16	H2Az-R115fo	CD	12583	1648	13.1	14	N	N	R91	R96	R91																		
17	H2Az-K139fo	CT	856	3	0.35	1	N	N	K115	K120	K115																		
n.d.	H2Az-V145	CT	n.c.				N	Y (n.c.)	K121	V126	K121															ub			[33]
n.c.	H2Az-	CT	n.c.				N	Y (n.c.)	n.c.	S137	n.c.															phs			[34]

H2Az	Detected in this study													Previously reported as modified															
	AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr	AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr	
	Total	17	14	18	8	1	1	2	0	0	3	0	1	2	0	12	10	12	8	0	0	1	0	0	0	0	2	1	0
Known	9	6	9	8	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	1	0	0	0
Novel	7	7	9	0	1	0	2	0	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

H3
H3.3

Detected in this study		AAs (Red)	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
Total		51	50	91	18	11	0	12	10	3	20	3	0	11	3
Known		24	24	47	17	1	0	10	6	3	6	3	0	0	1
Novel		27	26	44	1	10	0	2	4	0	14	0	0	11	2

Previously reported as modified		AAs (NR)	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
All Known		34	34	78	12	1	0	19	11	7	6	14	0	0	8
n.d. PTM on AAs conserved in Pf		29	29	45	2	0	0	12	7	3	2	12	0	0	7
n.d. (AA detected as modified by other PTMs)		16	16	25	0	0	0	6	4	2	1	7	0	0	6
n.d. (AA n.d. as modified)		13	13	20	2	0	0	7	3	1	1	5	0	0	1
n.c. AA		0	0	0	0	0	0	0	0	0	0	0	0	0	0

H3.c	PHistone-AA#PTM	Location	Total_SpC	Modified_SpC	Mod/Total (%)	Times Detected	Known in Pf?	Known in others?	Previously reported AA#PTMs														References
									Hs/Mm	Sc	Dm	ac	me1	me2	me3	fo	phs	ub	oh	cr			
1	H3.c-K23ac	NT	63	8	12.698	3	N	N (n.c.)	n.c.	n.c.	n.c.												
2	H3.c-K26ac	NT	63	16	25.397	6	N	N (n.c.)	n.c.	n.c.	n.c.												
3	H3.c-K60ac	NT	54	7	12.963	4	N	N (n.c.)	n.c.	n.c.	n.c.												
4	H3.c-R108fo	CD	205	12	5.8537	3	N	N	K76	n.c.	K163												

H3.c

Detected in this study		AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
Total		4	1	4	3	0	0	0	0	0	1	0	0	0	0
Known		0	0	0	0	0	0	0	0	0	0	0	0	0	0
Novel		4	1	4	3	0	0	0	0	0	1	0	0	0	0

Previously reported as modified		AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
All Known		0	0	0	0	0	0	0	0	0	0	0	0	0	0
n.d. PTM on AAs conserved in Pf		0	0	0	0	0	0	0	0	0	0	0	0	0	0
n.d. (AA detected as modified by other PTMs)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
n.d. (AA n.d. as modified)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
n.c. AA		0	0	0	0	0	0	0	0	0	0	0	0	0	0

H4	PHistone-AA#PTM	Location	Total_SpC	Modified_SpC	Mod/Total (%)	Times Detected	Known in Pf?	Known in others?	Previously reported AA#PTMs														References
									Hs/Mm	Sc	Dm	ac	me1	me2	me3	fo	phs	ub	oh	cr			
1	H4-S1Nac	NT	106	106	100	14	Y	Y	S1			Nac✓											
2	H4-R3me1	NT	106	71	66.98	12	Y	Y	R3			me1✓	me2	me3									
3	H4-K5ac	NT	758	719	94.85	14	Y	Y	K5			ac✓	me1	me3									
4	H4-K8ac	NT	1059	803	75.83	14	Y	Y	K8			ac✓											
5	H4-K12ac	NT	1221	969	79.36	14	Y	Y	K12			ac✓	me1		fo								
6	H4-K16ac	NT	1165	406	34.85	14	Y	Y	K16			ac✓	me1	me3									
7	H4-K31fo	CD	2258	7	0.31	3	N	Y															
	H4-K31ac	CD	2258	9	0.4	4	N	Y	K31			ac✓			fo✓								
	H4-K31ub	CD	2258	4	0.18	1	N	N (known AA)															
8	H4-R35me1	CD	2209	3	0.14	1	N	Y	R35			me1✓											
9	H4-R45fo	CD	4529	163	3.6	12	N	Y	R45														
10	H4-S47ac	CD	17970	5	0.03	4	N	N (known AA)	S47														
11	H4-Y51oh	CD	18534	26	0.14	8	N	Y	Y51														
12	H4-R55me1	CD	18480	8	0.04	3	N	Y	R55			me1✓											
13	H4-K59fo	CD	7123	154	2.16	11	N	Y	K59			ac✓	me1	me2	fo✓								
	H4-K59ac	CD	7123	2	0.03	2	N	Y															
14	H4-K67oh	CD	12670	6	0.05	5	N	N (n.c.)															
	H4-K67fo	CD	12670	157	1.24	13	N	N (known AA)															
	H4-K67me1	CD	12670	56	0.44	3	N	Y	R67			me1✓											
	H4-K67me2	CD	12670	20	0.16	4	N	N (known AA)															
	H4-K67me3	CD	12670	6	0.05	1	N	N (n.c.)															
	H4-K67ac	CD	12670	132	1.04	10	N	N (n.c.)															
15	H4-D68oh	CD	12656	11	0.09	8	N	Y	D68														
16	H4-Y72oh	CD	12237	41	0.34	8	N	Y	Y72														
17	H4-K77oh	CD	8372	35	0.42	8	N	N (known AA)															
	H4-K77fo	CD	8372	11	0.13	3	N	Y	K77			ac	me1✓		fo✓								
	H4-K77me1	CD	8372	77	0.92	2	N	Y															
18	H4-K79fo	CD	11516	193	1.68	10	N	Y															
	H4-K79me2	CD	11516	3	0.03	2	N	N (known AA)	K79			ac✓			fo✓								
	H4-K79ac	CD	11516	442	3.84	13	N	Y															
19	H4-T80ac	CD	14469	84	0.58	13	N	N (known AA)	T80														
20	H4-D85oh	CD	14407	45	0.31	11	N	Y	D85														
21	H4-Y88oh	CD	14366	50	0.35	10	N	Y	Y88														
22	H4-S89ac	CD	14366	2	0.01	2	N	N (n.c.)	A89														
23	H4-K91fo	CT	14368	52	0.36	13	N	Y				ac✓			fo✓								
	H4-K91me1	CT	14368	8	0.06	2	N	N (known AA)	K91														
	H4-K91ac	CT	14369	5	0.03	5	N	Y															
n.d.	H4-R17	NT	897	0	0	14	Y	Y	R17			me1	me2										
n.d.	H4-R19	NT	0	0	0	0	N	Y	R19			me1	me2	me3									
n.d.	H4-K20	NT	5	0	0	2	Y	Y	K20			ac	me1 (Ab)	me2 (Ab)	me3								
n.d.	H4-R23	NT	46	0	0	11	N	Y	R23			me1	me2	me3									
n.d.	H4-T82	CT	14451	0	0	14	N	Y	T82														
n.d.	H4-R92	CT	11714	0	0	14	N	Y	R92														
n.c.	H4-V60	n.c.					N	Y (n.c.)	V60	S60	V60												
n.c.	H4-N64	CD	n.c.				N	Y (n.c.)	N64	S64	N64												

H4

Detected in this study		AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
Total		23	21	37	9	3	1	6	2	1	7	0	1	7	0
Known		16	16	21	8	0	1	5	0	0	5	0	0	2	0
Novel		5	4	16	1	3	0	1	2	1	2	0	1	5	0

Previously reported as modified		AAs	Conserved	PTMs	Kac	STac	Nac	me1	me2	me3	fo	phs	ub	oh	cr
All Known		26	24	58	10	0	1	14	6	6	6	8	0	2	5
n.d. PTM on AAs conserved in Pf		19	19	33	2	0	0	8	5	6	1	6	0	0	5
n.d. (AA detected as modified by other PTMs)		13	13	21	1	0	0	4	2	3	1	5	0	0	5
n.d. (AA n.d. as modified)		6	6	12	1										

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Author Contributions

KLR and LF conceived and designed the experiments. SC, AS, JP, and DWDC performed the experiments. MS, ZW, MPW, JV, contributed reagents, materials, and analysis tools. LF, AS, NP and KLR analyzed the data. LF and KLR wrote the paper. All authors edited and approved the manuscript.

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Chapter 2

The multifunctional autophagy pathway in the human malaria parasite, *Plasmodium falciparum*

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Summary

Malaria remains a devastating disease in developing countries where a child succumbs every minute. The human malaria parasite, *Plasmodium falciparum*, has a complex life cycle with three major stages that occur within the mosquito vector, human liver, and the blood stream. We examine the role of autophagy during the sexual and asexual blood stages. Autophagy literally means self-eating and is a process that recycles proteins or organelles when nutrients are limited. Since *P. falciparum* is an intracellular parasite, it is constantly in a nutrient-rich environment and accordingly, we found that the parasite genome does not encode the autophagy proteins involved in the detection of nutrient starvation. Instead, the autophagy pathway in the parasite is highly divergent and has taken on additional functions during development including roles in apicoplast biogenesis, vesicular trafficking, and protein degradation. This study shows the importance of the autophagy pathway for parasite survival and reveals this modified pathway as a potential target for novel antimalarial drugs.

Abstract

Autophagy is a catabolic pathway typically induced by nutrient starvation to recycle amino acids, but can also function in removing damaged organelles. In addition, this pathway plays a key role in eukaryotic development. To date, not much is known about the role of autophagy in apicomplexan parasites and more specifically in the human malaria parasite, *Plasmodium falciparum*. Comparative genomic analysis has uncovered some, but not all, orthologues of autophagy-related (Atg) genes in the malaria parasite genome. Here, using a genome-wide *in silico* analysis, we confirm that Atg genes required for vesicle expansion and completion are present, while genes involved in induction of autophagy and cargo packing are mostly absent. We subsequently focused on the molecular and cellular function of PfAtg8, an autophagosome membrane marker and key component of the autophagy pathway, throughout the parasite asexual and sexual erythrocytic stages. In this context, PfAtg8 has a distinct and atypical role in parasite development. PfAtg8 localizes in the apicoplast and vesicles throughout the cytosol, presumably autophagosomes, during parasite development. Immunofluorescence assays of PfAtg8 in apicoplast-minus parasites confirm that PfAtg8 is involved in apicoplast biogenesis. Furthermore, treatment of parasite cultures with bafilomycin and chloroquine, both lysosomotropic agents that inhibit autophagosome and lysosome fusion, resulted in dramatic morphological changes of the apicoplast and parasite death.

Furthermore, deep proteomic analysis of components associated with PfAtg8 indicated that Atg8 might be involved in ribophagy and piecemeal microautophagy of the nucleus. Collectively, our data reveals the importance and specificity of the autophagy pathway in the malaria parasite and offers potential novel therapeutic strategies.

Introduction

Malaria continues to be a major global health problem with up to a million deaths per year.¹ The human malaria parasite has a complex life cycle consisting of three major stages in the mosquito, the human liver, and the human blood. In the blood stage, merozoites invade erythrocytes and within 48 hours the parasite progresses through ring, trophozoite, and schizont stages to produce up to 32 new daughter cells that egress and reinvade new red blood cells. This asexual cycle continues until the human host is stressed. Parasites then undergo gametocytogenesis, which can require up to two weeks for full differentiation. When another female mosquito takes a blood meal, mature male and female gametocytes are taken up and undergo sexual reproduction in the mosquito midgut to complete the cycle. Throughout its life cycle, the parasite undergoes cell differentiation and metamorphosis multiple times, requiring degradation of unnecessary stage-specific proteins and organelles within host cells. In the face of increased resistance of *P. falciparum* to current antimalarials, understanding the mechanisms that control the parasite degradation pathways may open new doors for the development of novel antimalarial drugs.

In eukaryotic cells, the autophagosome-lysosome pathway is known to be involved in degradation and recycling of proteins and organelles. Similar to the ubiquitin-proteasome system that degrades short-lived and misfolded proteins², the autophagy pathway consists of a number of sequential steps: selection and

tagging of cargo, recognition and transport to proteolytic machinery, degradation of cargo, and recycling of small polypeptides or amino acids. Proteins and organelles targeted for degradation are enveloped by a double membrane to form an autophagosome that fuses with a lysosome to degrade cargo. There are three main membrane-mediated processes conserved through most eukaryotes: 1) macroautophagy (referred to as autophagy hereafter) removes unnecessary proteins and damaged organelles, 2) microautophagy occurs when the lysosome envelops cytoplasmic material, and 3) chaperone-mediated autophagy (CMA) relies on a chaperone protein to translocate individual proteins into the lysosome. Once thought to be a nonspecific molecular process that recycles proteins for survival under nutrient-limited conditions, autophagy has been shown to be involved in aging, degradation of pathogens, cell differentiation, and removal of old or damaged organelles.³

Over 30 autophagy-related (Atg) genes were first discovered in yeast,⁴ and were thought to be generally conserved in eukaryotes.⁵ However, preliminary data show that the canonical machinery of autophagy in parasitic protists has become diverse as a possible consequence of their adaptive life cycles.⁶ Atg genes have been modified, lost or have expanded, but overall autophagy seems to play a critical role in parasite development.⁷ For example, in *Toxoplasma gondii*, an apicomplexan parasite related to *Plasmodium*, orthologues of the Atg5-Atg12 conjugation system have not yet been identified. However, the Atg8

conjugation system has been detected and Atg1 and TOR orthologues have been found to mediate autophagosome formation upon starvation.⁸ In addition, it was recently found that in toxoplasma, TgAtg3, an E2 conjugating enzyme for the LC3 lipidation process, is essential for regulating mitochondrial homeostasis during tachyzoite development.⁹ In the murine rodent malaria parasite, *P. berghei*, autophagy is required to remove unnecessary organelles during metamorphosis of the sporozoite into merozoites in hepatocytes.¹⁰ Genetic deletion of *P. berghei* Atg8 results in a lethal phenotype, indicating the importance of the autophagy pathway in *Plasmodium*.¹¹

Despite the publication of these recent studies, not much is known about the role of autophagy in the human malaria parasite, *P. falciparum*. Genome-wide analyses using BLAST searches for either homologues or putative orthologues of known autophagy components have uncovered a rudimentary set of Atg genes. Recently, PfAtg8, marker of the autophagosomal membranes, was shown to localize in the apicoplast membrane at the late schizont and merozoite stages.¹² In the rodent malaria, *P. berghei*, the function of PbAtg8 seems restricted to apicoplast biogenesis in the liver stage.¹³ The apicoplast is a plastid of secondary endosymbiotic origin that is essential to the parasite survival.¹⁴ The role and function of PfAtg8 in the apicoplast remains ambiguous, but it has been implied that PfAtg8 may be part of ER-related organelle biogenesis, which includes apicoplast membrane biogenesis.¹⁵ In this study, we used a combination of

genomic, molecular, cellular, and proteomic approaches to further investigate the role of Atg genes throughout the *P. falciparum* asexual and sexual blood stages. We demonstrate that the human malaria parasite has modified the canonical autophagy pathway to a rudimentary set of Atg genes that participate not only in apicoplast biogenesis, but also in additional functions of cellular development.

Results

Identification of autophagy genes in *P. falciparum*

Previous *in silico* analysis of *P. falciparum*'s genome using BLAST retrieved few homologues of autophagy-related (Atg) genes.^{6, 12} To uncover a complete list of Atg genes in *P. falciparum*, we conducted an HMM search for Atg protein domains. The HMM methodology has a high sensitivity for the detection of less conserved regions, and is therefore better applicable to apicomplexan parasites which seem to have modified the canonical autophagy pathway.¹⁶

Of the upstream autophagy pathway, none of the members of the Atg1 kinase complex functional unit were detected, even though putative Atg1 and Atg17 orthologues have been reported previously (Table 2.1).¹¹ Likewise, the transmembrane protein Atg9 was not identified. However, we detected potential candidates for PfAtg6 and PfAtg14, members of the class III PI3 kinase complex. In addition, a possible PfAtg2 candidate with homology in the C-terminal region was identified.¹⁷ Altogether, this *in silico* study suggests that the human malaria parasite lacks genes critical for detection of nutrient limitation and induction of the canonical autophagy pathway, unlike higher eukaryotes and the apicomplexan, *T. gondii*.

Stage of autophagy	Functional unit	<i>S. cerevisiae</i>	<i>P. falciparum</i>
		Atg1	
Induction	Atg1 kinase complex	Atg13	
		Atg17	
		Atg29	
		Atg31	
	Atg9	Atg9	
Formation	PI3K complex	Vps15	
		Vps34	PF3D7_0515300
		Vps30/Atg6	PF3D7_1306400
		Atg14	PF3D7_1345900
Retrieval	Atg2-Atg18 complex	Atg2	PF3D7_1346400
		Atg18	PF3D7_1012900
		Atg5	PF3D7_1430400
Vesicle expansion and completion	Atg12 conjugation system	Atg7	PF3D7_1126100
		Atg10	
		Atg12	PF3D7_1470000
		Atg16	PF3D7_1250900
		Atg3	PF3D7_0905700.2
Vesicle breakdown	Atg8 conjugation system	Atg4	PF3D7_1417300
		Atg7	PF3D7_1126100
		Atg8	PF3D7_1019900
		Atg15	PF3D7_1427100

Table 2.1. *P. falciparum* Atg genes identified by *in silico* comparative genomic studies.

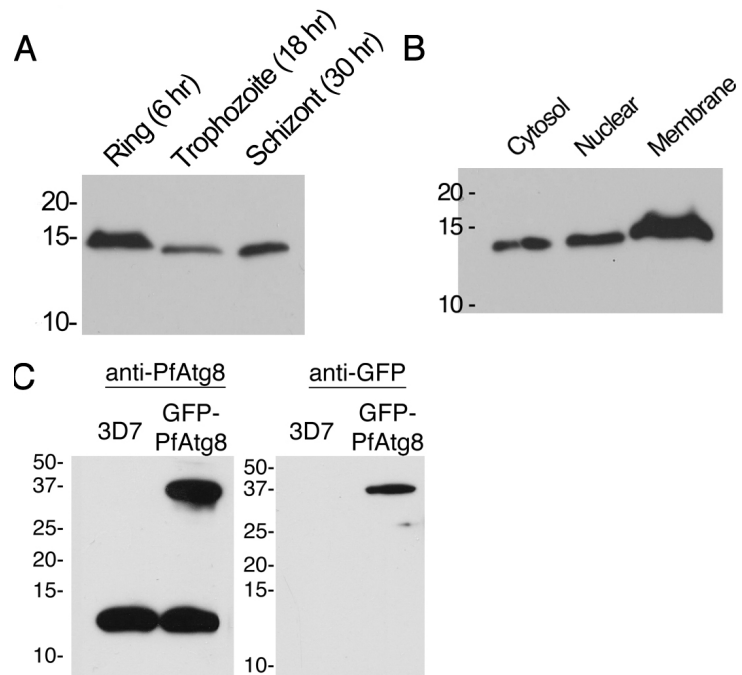
Of the downstream pathway, we identified most genes in the Atg12 conjugation system with the exception of the E2-like enzyme Atg10 (Table 2.1). In addition, all members of the Atg8 conjugation system (Atg7, Atg3 and Atg4) were detected. Finally, a homologue of the lipase PfAtg15, responsible for breakdown of intravacuolar membranes, was identified.¹⁸ As a whole, our comparative genomics analysis has expanded the Atg gene list found in *P. falciparum* and indicates that a rudimentary autophagy pathway is present and likely functional.

PfAtg8 and GFP-PfAtg8 expression and localization during the asexual erythrocytic stage

To investigate the role of autophagy in the human malaria parasite at the molecular and cellular levels, we first explored the role of Atg8 during the erythrocytic cycle. Atg8 is the standard autophagosome marker and is essential to autophagosome formation. It remains present on the autophagosome membrane until fusion with the lysosome.¹⁹ Using a custom PfAtg8-specific antibody, we detected Atg8 in all stages of the asexual erythrocytic cell cycle (Fig. 2.1A). In addition, PfAtg8 was found to be predominately incorporated into membranes (Fig. 2.1B).

Figure 2.1. Expression of *PfAtg8* throughout the erythrocytic cycle.

A) Western blot analysis using a custom-generated anti-PfAtg8 antibody. Expression of PfAtg8 was detected at lower levels in the trophozoite stage. B) Western blot analysis showing the expression of endogenous PfAtg8 in cytosolic, nuclear, and membrane fractions of parasite lysates. PfAtg8 is predominately bound to membranes. C) Western blot analysis using high resolution acrylamide gel (16.5% Tris-Tricine gel). A faint band detected above PfAtg8-PE indicates unconjugated PfAtg8. D) Western blot analysis in a



D) Western blot analysis in a GFP-PfAtg8 transiently transfected *P. falciparum* cell line. Endogenous PfAtg8 is detected using anti-PfAtg8 at 14 kDa, while the fusion protein GFP-PfAtg8 is detected by both anti-GFP and anti-PfAtg8 antibodies at the expected molecular weight of 41 kDa.

To determine the subcellular localization of PfAtg8, synchronized 3D7 parasite cultures were immunolabeled with anti-PfAtg8 at all stages of the asexual cell cycle. PfAtg8 was found on punctate structures of 200-350 nm diameter throughout the asexual cycle (Fig. 2.2). These structures are smaller than the classical autophagosome of 300 to 900 nm diameter and larger than the typical 150 nm diameter cytoplasm-to-vacuole targeting vesicles. During the ring stage,

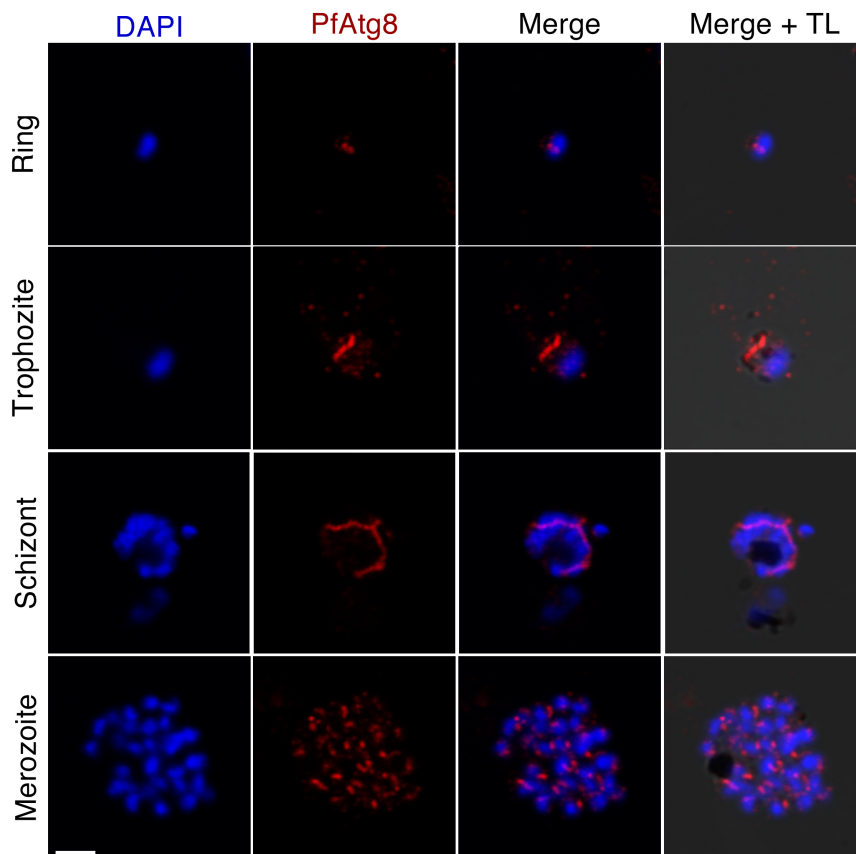


Figure 2.2. Immunolabeling of synchronized 3D7 parasites with anti-PfAtg8. At the ring and trophozoite stage small vesicles can be observed. During the schizont stage a large branched structure forms, but vesicles remain present in the cytosol. DAPI is used as a DNA marker in blue and scale bar indicates 2.5 μ m.

small punctate structures were observed, which merged into a circular structure during the trophozoite stage (Fig. 2.2). This circular structure branched out as the parasite underwent schizogony, and finally segmented into daughter cells (Fig. 2.2). To validate our results, we generated a GFP-PfAtg8 transiently transfected cell line that correctly expressed the fusion protein (Fig. 2.1C). No apparent difference in GFP-PfAtg8 parasite growth rate or propagation was observed, although blood smears of parasites did have an enlarged digestive food vacuole phenotype at the trophozoite stage. The GFP-PfAtg8 transfected cell line

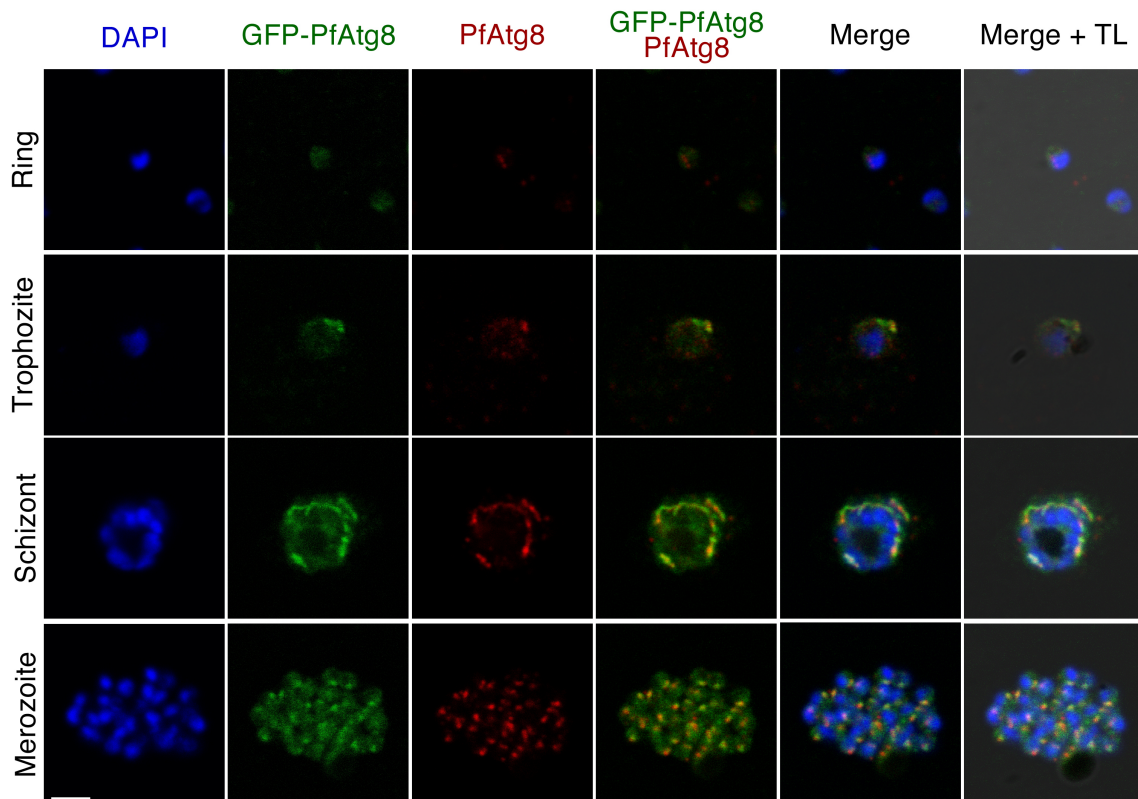


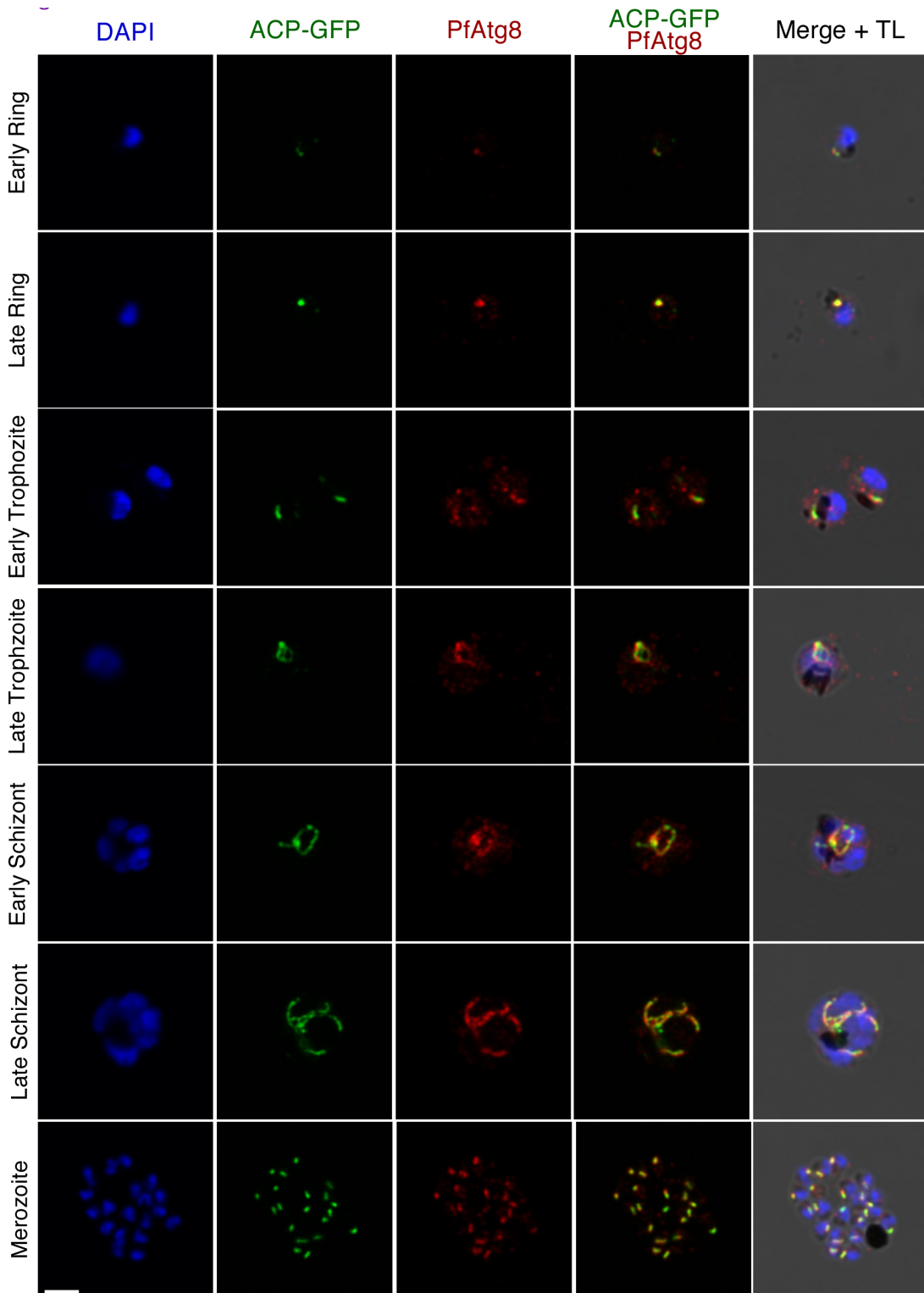
Figure 2.3. Visualization of GFP-PfAtg8 expression by epifluorescence microscopy. GFP-PfAtg8-labeled vesicles were observed at all stages. In addition, branching at the schizont stage was observed with a slightly diffused GFP signal throughout the cytosol. DAPI is used as a DNA marker in blue and scale bar indicates 5 μm .

displayed a similar pattern as compared to anti-PfAtg8 labeling with a diffuse GFP signal throughout the cytoplasm (Fig. 2.3). PfAtg8 was recently reported to be solely localized in the apicoplast during the merozoite stage.¹² To date, this is the first report of PfAtg8-decorated vesicles, presumably autophagosomes, observed at all stages of the asexual blood cycle.

PfAtg8 vesicles and localization with the apicoplast

The transgenic D10 strain with the apicoplast acyl carrier protein (ACP) fused to GFP was used to confirm the possible co-localization of Atg8 and the apicoplast.²⁰ PfAtg8 was indeed detected in close proximity to the apicoplast, suggesting a possible biological role of this protein in the biogenesis of the organelle (Fig. 2.4). However, additional small punctate structures that do not completely overlap with the apicoplast were observed throughout the asexual cycle. Quantification of vesicles revealed 1 to 2 puncta at the ring stage, while 10 to 15 puncta and 15 or more were observed at the trophozoite and the schizont stages, respectively. Moreover, the branching of PfAtg8 during the trophozoite

Figure 2.4. *PfAtg8 immunofluorescence staining throughout the erythrocytic cycle.* Synchronized *P. falciparum* D10 parasites were immunolabeled with anti-PfAtg8. D10 parasites express ACP-GFP that localizes to the apicoplast. Colocalization of ACP-GFP and PfAtg8 can be observed, although PfAtg8 appears to surround the apicoplast, indicating a possible association with the outer membrane. Additional PfAtg8 vesicles can be observed in the cytosol. DAPI is used as a DNA marker in blue. The scale bar indicates 5 μ m.



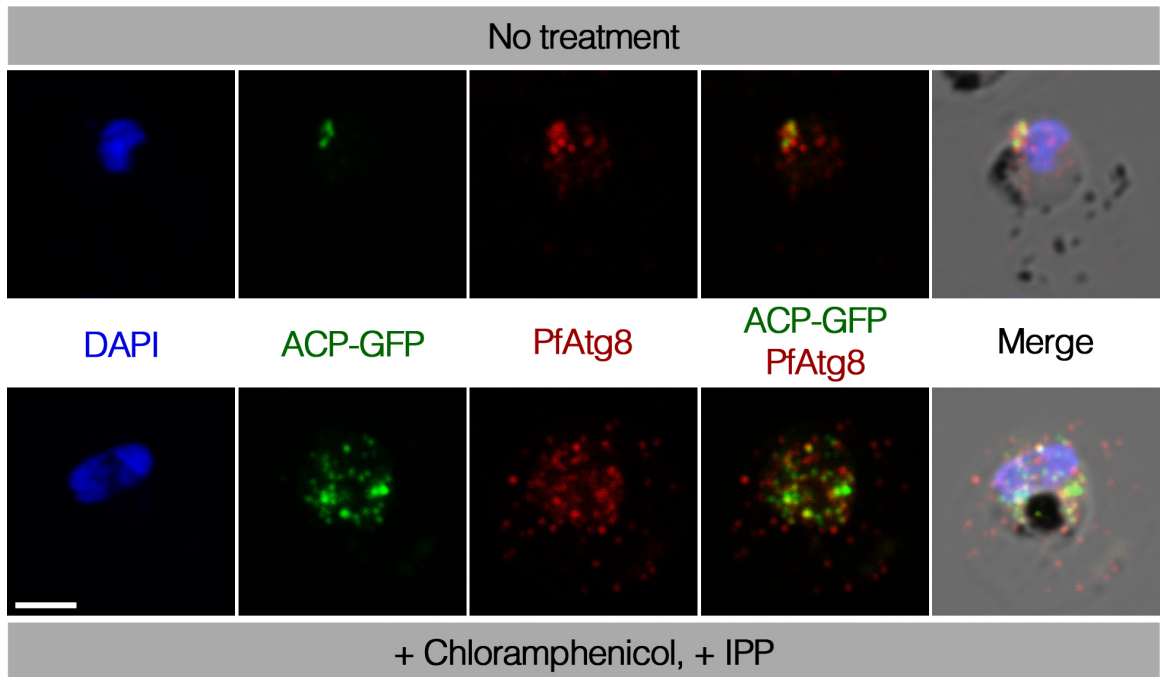


Figure 2.5. *PfAtg8* immunofluorescent staining in apicoplast-minus malaria parasites. The integrity of the apicoplast in these parasites was lost using treatment with the antibiotic chloramphenicol. GFP-ACP parasite cultures were rescued by supplementing media with isopentenyl pyrophosphate (IPP), the essential product from the non-mevalonate isoprenoid precursor pathway in the apicoplast. The large apicoplast *PfAtg8*-labeled branching, as observed in untreated parasites, is disrupted into multiple foci in apicoplast-minus parasites. These foci show colocalization of GFP-ACP and *PfAtg8* vesicles, although additional *PfAtg8* vesicles can be observed in the cytosol. DAPI is used as a DNA marker in blue and scale bar indicates 2.5 μm .

and schizont stages covered a larger area than ACP-GFP and appeared bulbous compared to the smooth branching of the apicoplast.

Recently, it was reported that apicoplast-minus *P. falciparum* strains could be established by treatment of the parasites with antibiotics that inhibit apicoplast gene transcription and translation, followed by rescue of the parasites by isopentenyl pyrophosphate (IPP) supplementation.²¹ In antibiotic-treated ACP-GFP parasites rescued with IPP, apicoplast proteins were localized in numerous

small foci spread throughout the cell with additional diffuse GFP staining, while branching of the apicoplast at the schizont stage was completely abolished. Labeling of apicoplast-minus parasites with PfAtg8 showed that PfAtg8 vesicles overlap with some, but not all, ACP-GFP vesicles (Fig. 2.5). Quantification of vesicles revealed that two-thirds of PfAtg8 vesicles did not overlap with the ACP-GFP foci.

PfAtg8 localization during gametogenesis

Next, we investigated the distribution of PfAtg8 during the sexual phase of the erythrocytic stage. In contrast to the asexual cycle which is completed within 48 hours, differentiation into gametocytes is a relatively slow process requiring 8-10 days.²² In the NF54 strain, that readily produces gametocytes, the apicoplast ACP-GFP cell line and our newly generated GFP-PfAtg8 parasite line, PfAtg8 localized in the apicoplast adjacent to the nucleus (Fig. 2.6). In addition, gametocytes of all stages showed numerous PfAtg8-associated vesicles throughout the cytosol. During stage II–IV, PfAtg8 was also present at the apical poles (Fig. 2.6). Interestingly, the apical pole staining differed depending on the sex of gametocytes. Stage IV male gametocytes displayed a large vesicle at both poles, whereas female gametocytes had vesicles more evenly dispersed outside the nucleus (Fig. 2.6B). Once gametocytes are in the mosquito gut, females will

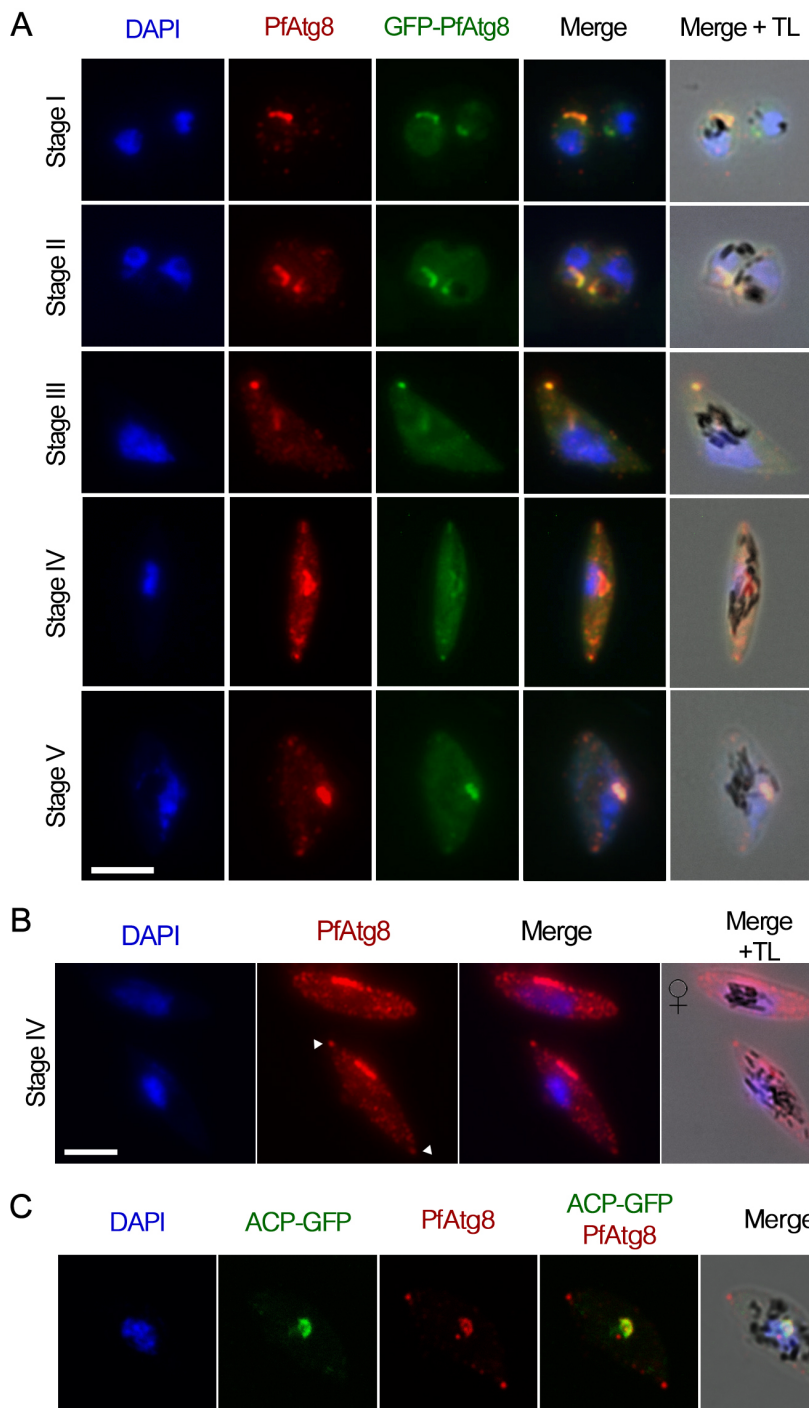


Figure 2.6. PfAtg8 immunofluorescent staining in gametocytes. A) Expression of PfAtg8 in GFP-PfAtg8 gametocytes. An abundance of PfAtg8-labeled autophagosomes is observed during gametocytogenesis. In stage II-IV, large vesicles are observed at the apical poles. B) Differential PfAtg8 pattern in male and female gametocytes. Female NF54 gametocytes at stage IV have rounded poles and PfAtg8 vesicles are more uniform in size and distribution in the cytosol, while male gametocytes have larger PfAtg8 vesicles at the apical poles (white arrowheads). C) Validation of PfAtg8 localization using the ACP-GFP cell line. A clear colocalization of GFP-PfAtg8 and the apicoplast can be observed. DAPI is used as a DNA marker in blue and scale bar indicates 5 μ m.

produce a single macrogamete while males will produce eight microgametes. During microgametogenesis, parasites exflagellate and develop axonemes, but the apicoplast and mitochondrion remain in the original gametocyte cytoplasm.²³ A temporal and sex-dependent regulation of the autophagy pathway in male and female gametocytes is therefore not surprising.

Absence of induction of autophagy pathway by starvation in *P. falciparum*

Autophagy is typically induced by nutrient starvation.⁴ However, the Atg genes involved with autophagy induction were not detected in our *in silico* genome-wide analysis (Table 2.1). To validate our *in silico* data and test whether autophagy could be induced by nutrient limitation in *P. falciparum*, parasite cultures were grown in both serum-free and minimal media devoid of amino acids and serum with minimal sugar. Ring stage parasites grown in media without human serum or albumax for 24 hours were arrested at the trophozoite stage, and normal growth was restored upon addition of complete media. A similar hibernatory state has been recently observed in isoleucine-starved parasites.²⁴ Parasites that were starved for 72 hours in minimal media and then given complete media produced 1% parasitemia of gametocytes when compared to control parasites. However, despite multiple attempts, incubation in minimal media for 6, 24, or 72 hours did not increase the amount of PfAtg8 autophagosomes (data not shown). These data indicate that unlike other

eukaryotic cells, autophagy in the asexual blood stage of *P. falciparum* cannot be activated under mild starving conditions, and that parasites starved for long periods of time preferably undergo gametocytogenesis.

Downstream inhibitory effects on *P. falciparum*'s autophagy pathway

Traditional methods to induce and inhibit autophagy do not seem to be effective in *P. falciparum*. The lack of classical induction by starvation or drug treatments may be due to the parasite's divergent upstream signaling kinases. Indeed, treatment of parasite cultures with the TOR complex inhibitor rapamycin did not induce autophagy, most likely because the parasite lacks a homologue for TOR (data not shown). Similarly, PfAtg8 localization was previously reported to remain unchanged upon treatment of parasites with wortmannin, a PI3K inhibitor.¹² Both rapamycin and wortmannin work by targeting upstream proteins of the autophagy pathway. Bafilomycin A1, on the other hand, is a V-type H⁺-ATPase inhibitor that has been found to inhibit the downstream fusion events between autophagosomes and lysosomes, and has effects on the transport within the endocytic pathway.²⁵ Here, we observed that bafilomycin A1 is a potent inhibitor of the chloroquine-sensitive 3D7 strain, and the chloroquine-resistant strains W2 and Dd2, as well as our GFP-PfAtg8 cell line, with IC₅₀

<i>P. falciparum</i> strain	IC ₅₀ (nM)	Standard error	R-value	Hill slope
3D7	25.7	3.61	0.996	3.668
Dd2	22	2.73	0.998	4.401
W2	20.5	0.68	0.999	3.663
GFP-PfAtg8	23.6	0.57	0.999	3.387

Table 2.2. IC₅₀ values of bafilomycin on various *P. falciparum* strains.

values ranging between 21 – 26 nM (Table 2.2). The morphological effects of bafilomycin were examined by treatment of synchronized 3D7 and GFP-PfAtg8 parasite cultures at the ring, trophozoite, and schizont stages for 6 and 12 hours. A rapid inhibition of parasite growth was observed, in particular at the mature stages (Fig. 2.7). The potent inhibitory effect of bafilomycin on parasite growth

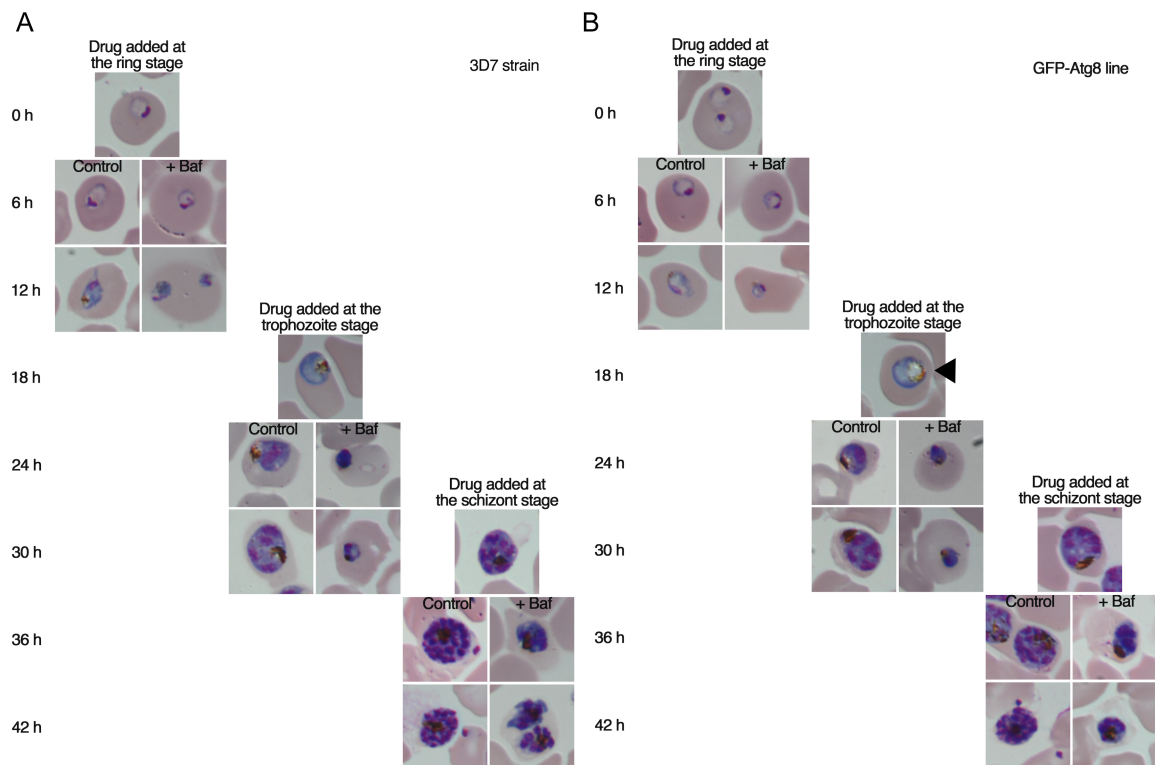


Figure 2.7. Inhibition of GFP-PfAtg8 transfected parasites by bafilomycin treatment during the erythrocytic cycle. A) Synchronized 3D7 parasite cultures were incubated with 75 nM bafilomycin and blood smears were taken at hours 6 and 12, followed by Giemsa staining. Inhibition of parasite growth occurred within 6 hours after the start of bafilomycin treatment. B) Synchronized GFP-Atg8 parasite cultures were incubated with 75 nM bafilomycin and blood smears were taken at hours 6 and 12, followed by Giemsa staining. Similar to what was observed for 3D7 parasites, growth of parasites was arrested within 6 hours of bafilomycin treatment. In addition, the GFP-PfAtg8 parasites have an enlarged food vacuole phenotype at the trophozoite stage (black arrowhead).

could be reversed by washing bafilomycin out of culture media within 3 hours (data not shown). After 3 hours of bafilomycin A1 incubation, early trophozoite parasites decreased in size with a slight decrease of PfAtg8-labeled vesicles, from an average of 11 punctate structures to an average of 8.5 puncta. This overall decrease of PfAtg8 staining was accompanied by dissociation from the apicoplast and an increased staining level in the cytosol (Fig. 2.8A). Western blot analysis of PfAtg8 expression after bafilomycin A1 treatment did not show a substantial difference when compared to the control (Fig. 2.8B). Similar to what we observed at the trophozoite stage, schizont stage parasites incubated with bafilomycin displayed a strong dissociation between PfAtg8 and the apicoplast and an increase in PfAtg8 vesicle staining from an average of 18 to an average of 30 puncta.

In eukaryotic cells, autophagy has also been shown to play a role in a particular form of cell death. *P. falciparum* was previously reported to undergo vacuolization after 24 hours of incubation with antimalarial compounds.²⁶ We examined the effects of artemisinin, currently the most effective anti-malarial agent²⁷, and chloroquine on PfAtg8 localization. ACP-GFP parasites treated at the trophozoite stage with a high concentration of 25 μ M artemisinin did not show any significant changes in Atg8 staining pattern (Fig. 2.8A). Parasites did exhibit a delay in cell cycle progression and remained stunted at the trophozoite stage

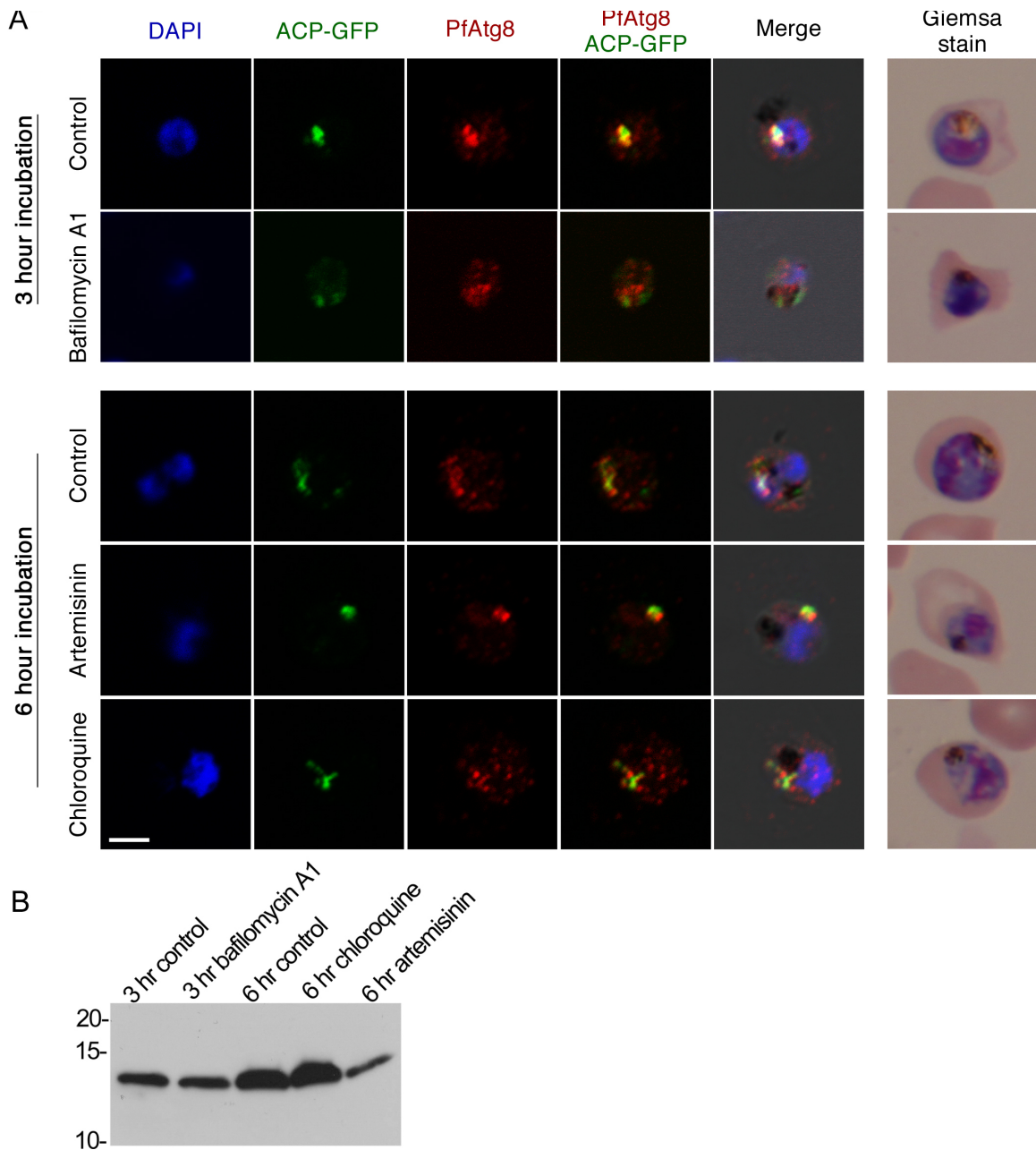


Figure 2.8. Effect of antimalarial treatment on PfAtg8 expression and localization. A) PfAtg8 immunofluorescent staining in 3D7 parasites treated with lysosomotropic agents and artemisinin. After 3-hour incubation with 75 nM of bafilomycin, branching of the apicoplast is disrupted and multiple PfAtg8 autophagosomes can be observed. Artemisinin treatment results in cell cycle progression arrest, and chloroquine treatment causes disruption of the apicoplast integrity. DAPI is used as a DNA marker in blue and scale bar indicates 2.5 μ m. B) Western blot analysis of PfAtg8 expression levels upon bafilomycin A1, chloroquine and artemisinin treatment.

when artemisinin was added (Fig. 2.8A). On the other hand, treatment with chloroquine, which was once the most successful antimalarial drug therapy, but is also often used as a tool to study the autophagy pathway in higher eukaryotes, showed a strong phenotype. In all eukaryotic cells, chloroquine is known to increase the lysosomal pH and prevent the fusion of autophagosomes to lysosomes, and therefore block lysosomal protein degradation. Trophozoite parasites treated with 100 nM of chloroquine (IC_{80}) for 6 hours displayed fragmentation of the apicoplast with a slight increase of PfAtg8 puncta from an average of 15.5 to an average of 17.4 puncta (Fig. 2.8A). Whether this phenotype is linked to vesicle traffic inhibition or an active fragmentation process remains to be determined.

Identification of proteins associated with PfAtg8

To further confirm the role of the autophagy pathway in parasite development and to identify additional proteins involved in this process, we performed an immunoprecipitation experiment using an anti-GFP antibody on synchronized GFP-PfAtg8 cultures in combination with a shotgun mass spectrometry approach. Parasites of early trophozoite and late schizont stages were either treated or not treated with bafilomycin for 3 hours before harvesting to potentially enrich for PfAtg8-associated proteins. Proteins were identified using a state of the art method to measure protein abundance, the multidimensional

protein identification technology (MudPIT).²⁸ Proteins were considered positive hits when detected in at least two of the three technical replicates of at least one sample type, and when either not detected in or more than five-fold enriched compared to our two independent negative controls (a precipitation without the anti-GFP primary antibody, and a GFP-precipitation from the 3D7-HT cell line that expresses GFP in all stages of the parasite life cycle).²⁹ The lack of significant overlap between proteins from our precipitations and controls validates the strength and specificity of our approach. In total, 176 proteins associated with GFP-PfAtg8 passed our selection criteria (Table 2.3). As the autophagy pathway is known to regulate a large number of macromolecules in eukaryotic cells, the small number of proteins detected in our pull down experiment can be surprising. However, it is likely that our stringent cut off generated a high rate of false negatives, since proteins that precipitated non-specifically in our negative controls could still be targeted for degradation by the autophagy pathway. Evidence for enrichment of PfAtg8-associated proteins by bafilomycin treatment was observed in the schizont stage, where we detected 113 proteins in the treated sample versus 19 proteins in the untreated sample. This correlates with an increased amount of PfAtg8 vesicles that were observed when parasites were treated with bafilomycin A1. Among the proteins found to be associated with PfAtg8 were PfAtg7 (E1-like) and two undistinguishable isoforms of PfAtg3, but not PfAtg4. PfAtg2, PfAtg18, and PfAtg6 were detected in the whole dataset but

did not pass our selection criteria, while PfAtg5 was only detected in one of our controls.

Proteins In Group	Description	Name	Length	MW	ET-nodrug_MERGED		
					GFP-Atg8_ET-nodrug_merged_dNSAF	GFP-Atg8_ET-nodrug_merged:IP-Control_merged	GFP-Atg8_ET-nodrug_merged # Out of
GFP_At8	GFP_At8	Atg8	317	36687	0.01624164	106.86	3
PF3D7_1126100	ThiF family protein, putative	Atg7	1316	156630	0.00019837	infinity	2
PF3D7_0905700	autophagy-related protein 3, putative (ATG3)	Atg3	319	37476	0.00013639	infinity	2
PF3D7_1346400	conserved Plasmodium protein, unknown function	Atg2	5988	697066	0.00001211	0.10	2
PF3D7_1012900	conserved Plasmodium protein, unknown function	Atg18	380	43520	0.00034349	0.90	1
PF3D7_1306400	26S proteasome regulatory subunit, putative	Vps30/Atg6	393	44677	0.00014761	0.27	2
PF3D7_1430400	conserved Plasmodium protein, unknown function	Atg5	863	102230	X	0.00	0
PF3D7_1339700	conserved Plasmodium protein, unknown function	Nvj1	1936	231556	X	0.00	0
PF3D7_1363400	conserved Plasmodium protein, unknown function	Ufd3	905	107614	X	0.00	0
PF3D7_1459000	ATP-dependent RNA helicase DBP5 (DBP5)	Bre5	741	84314	0.00005872	0.91	1
PF3D7_1431700	60S ribosomal protein L14, putative		165	19297	0.00070318	infinity	3
PF3D7_1412600	deoxyhypusine synthase		496	57242	0.00026316	infinity	2
PF3D7_1010200	DNA2/NAM7 helicase, putative		1839	217158	0.0000552	infinity	2
PF3D7_0920800	inosine-5'-monophosphate dehydrogenase		510	56150	0.00036969	infinity	2
PF3D7_0621900	conserved Plasmodium protein, unknown function		776	92452	0.00014952	infinity	2
PF3D7_1426100	basic transcription factor 3b, putative		171	19393	0.00025444	infinity	2
PF3D7_0424600	Plasmodium exported protein (PHISTb), unknown function		309	35962	0.00014081	infinity	2
PF3D7_1342400	casein kinase II beta chain (CK2beta2)		385	45285	0.00007534	infinity	2
PF3D7_0422300	alpha tubulin 2		450	49691	0.00006446	infinity	1
PF3D7_1305400	conserved Plasmodium protein, unknown function		609	73485	0.00004763	infinity	1
PF3D7_0810800	dihydropteroate synthetase (DHPS)		706	83374	0.00012326	infinity	1
PF3D7_1417500	pseudouridine synthase, putative		466	52942	0.00006225	infinity	1
PF3D7_1343700	kelch protein, putative		726	83665	0.00003995	infinity	1
PF3D7_0711500	regulator of chromosome condensation, putative		688	78897	0.00008432	infinity	1
PF3D7_1330400	ER lumen protein retaining receptor 1, putative		284	32806	0.00020427	infinity	1
PF3D7_0509000	SNAP protein (soluble N-ethylmaleimide-sensitive factor)		298	34646	0.00004867	infinity	1
PF3D7_1420700	surface protein, Pf113 (Pf113)		969	112574	0.00010477	infinity	3
PF3D7_1216900	DNA-binding chaperone, putative		939	111065	0.00004634	infinity	2
PF3D7_0622800	leucyl tRNA synthase		1447	170240	0.00004009	infinity	2
PF3D7_1464900	ATP-dependent protease Ia, putative		706	79815	0.00004109	infinity	2
PF3D7_0716300	conserved Plasmodium protein, unknown function		522	60601	0.00011113	infinity	2
PF3D7_0932300	M18 aspartyl aminopeptidase (M18AAP)		570	65635	0.00010178	infinity	2
PF3D7_1242800	rab specific GDP dissociation inhibitor (rabGDI)		459	52339	0.00009479	infinity	2
PF3D7_0108300	conserved Plasmodium protein, unknown function		2221	254993	0.00001959	infinity	2
PF3D7_1129400	RNA methyltransferase, putative		634	74369	0.00016013	infinity	2
PF3D7_1353100	Plasmodium exported protein, unknown function		285	32784	0.00015266	infinity	1
PF3D7_1439900	triosephosphate isomerase (TIM)		248	27935	0.00005848	infinity	1
PF3D7_1016300	glycophorin binding protein (GBP)		824	95844	0.00047522	infinity	1
PF3D7_0113900	Plasmodium exported protein (hyp8), unknown function		243	28234	0.00029842	infinity	1
PF3D7_1419300	glutathione S-transferase (GST)		211	24789	0.00006874	infinity	1

ET-drug_MERGED			LS-nodrug_MERGED			LS-drug_MERGED			-Control_MER		Control_MERG	
GFP-Atg8_ET-drug_merged_dNSAF	GFP-Atg8_ET-drug_merged	GFP-Atg8_ET-drug_Detected #	GFP-Atg8_LS-nodrug_merged_dNSAF	GFP-Atg8_LS-nodrug_merged	GFP-Atg8_LS-nodrug_Detected #	GFP-Atg8_LS-drug_merged_dNSAF	GFP-Atg8_LS-drug_merged	GFP-Atg8_LS-drug_Detected #	3D7-HT-GFP-mix-unsyn_Contr ol_Ti Detect ed #	d_DNSAF	2E-04	IP-Contr ol Detect ed #
0.011103	73.05	3	0.0139059	91.49	3	0.00962266	63.31	3	X	0	2E-04	1
0.00006325	infinity	2	0.00017077	infinity	1	0.00024946	infinity	3	X	0	X	0
0.00018637	infinity	1	X	0	0	0.00017152	infinity	2	X	0	X	0
0.00000993	0.080	2	0.00001732	0.14	2	0.00002513	0.20	2	5E-05	2	1E-04	2
0.00012517	0.329	1	0.00009098	0.24	1	0.00025198	0.66	3	X	0	4E-04	1
0.00015128	0.274	2	0.00105569	1.91	2	0.00059171	1.07	2	X	0	6E-04	2
X	0.000	0	X	0.00	0	X	0.00	0	X	0	6E-05	1
X	0.000	0	0.00001786	infinity	1	0.00005652	infinity	3	X	0	X	0
X	0.000	0	X	0	0	0.00004534	infinity	1	X	0	X	0
0.00009628	1.477	2	0.00004666	0.72	1	0.00033228	5.11	3	X	0	7E-05	1
0.00050445	infinity	2	0.00041908	infinity	2	0.00058032	infinity	2	X	0	X	0
0.00040754	infinity	3	0.00003485	infinity	1	0.00016547	infinity	3	X	0	X	0
0.00005173	infinity	2	0.0000376	infinity	2	0.00002975	infinity	2	X	0	X	0
0.00002332	infinity	1	0.0000339	infinity	1	0.00013411	infinity	3	X	0	X	0
0.00007662	infinity	1	0.00002228	infinity	1	0.00007051	infinity	2	X	0	X	0
0.00020861	infinity	1	0.00010109	infinity	1	0.00007999	infinity	1	X	0	X	0
0.00007696	infinity	1	0.00016783	infinity	1	0.00017707	infinity	1	X	0	X	0
0.00006177	infinity	1	0.0001347	infinity	1	0.00007106	infinity	1	X	0	X	0
0.00022302	infinity	2	0.00007683	infinity	2	0.00006079	infinity	3	X	1	X	0
0.00011715	infinity	2	0.00017031	infinity	1	0.00020215	infinity	3	X	0	X	0
0.00008421	infinity	2	0.00004897	infinity	1	0.00017438	infinity	2	X	0	X	0
0.00022965	infinity	2	0.0000371	infinity	1	0.00008806	infinity	1	X	0	X	0
0.00004914	infinity	2	0.00007143	infinity	1	0.00001884	infinity	1	X	0	X	0
0.00001728	infinity	1	0.00002513	infinity	1	0.00009941	infinity	3	X	0	X	0
0.00004187	infinity	1	0.00024348	infinity	1	0.00019266	infinity	2	X	0	X	0
0.0000798	infinity	1	0.00005801	infinity	1	0.0000918	infinity	2	X	0	X	0
0.00006136	infinity	2	X	0	0	0.00004235	infinity	2	X	0	X	0
0.00002533	infinity	2	X	0	0	0.0000437	infinity	2	X	0	X	0
0.00002465	infinity	2	X	0	0	0.00002836	infinity	2	X	0	X	0
0.00005053	infinity	2	X	0	0	0.00001938	infinity	1	X	0	X	0
0.00002278	infinity	1	X	0	0	0.00028825	infinity	2	X	0	X	0
0.00006258	infinity	1	X	0	0	0.00009599	infinity	2	X	0	X	0
0.00002591	infinity	1	X	0	0	0.00029801	infinity	2	X	0	X	0
0.00000535	infinity	1	X	0	0	0.00001232	infinity	2	X	0	X	0
0.00001876	infinity	1	X	0	0	0.00002158	infinity	1	X	0	X	0
0.00062583	infinity	3	X	0	0	0.00014399	infinity	2	X	0	X	0
0.00014384	infinity	3	X	0	0	0.00011031	infinity	2	X	0	X	0
0.0003319	infinity	2	X	0	0	0.00029881	infinity	2	X	0	X	0
0.0002936	infinity	2	X	0	0	0.00005629	infinity	1	X	0	X	0
0.00011271	infinity	2	X	0	0	0.00032414	infinity	1	X	0	X	0

PF3D7_1349800	nucleolar preribosomal associated cytoplasmic ATPase,	439	52158	0.00016518	infinity	3
PF3D7_0936000	ring-exported protein 2 (REX2)	94	10771	0.00077144	infinity	2
PF3D7_0612900	nucleolar GTP-binding protein 1, putative	686	79534	0.00021142	infinity	2
PF3D7_0830500	tryptophan/threonine-rich antigen (TryThrA)	675	80443	0.00008594	infinity	2
PF3D7_1451900	small subunit rRNA processing factor, putative	1029	120667	0.00007047	infinity	2
PF3D7_1454200	conserved Plasmodium protein, unknown function	1404	168932	0.00007231	infinity	2
PF3D7_0509400	RNA polymerase I (RNAPI)	2914	340678	0.00006968	infinity	2
PF3D7_0936800	Plasmodium exported protein (PHISTc), unknown funct	383	45500	0.00037867	infinity	2
PF3D7_1477500	Plasmodium exported protein (PHISTb), unknown funct	500	60553	0.00017404	infinity	2
PF3D7_0424500	serine/threonine protein kinase, FIKK family (FIKK4.1)	622	74443	0.00013999	infinity	2
PF3D7_0823900	dicarboxylate/tricarboxylate carrier (DTC)	318	35387	0.00013682	infinity	2
PF3D7_1319300	N2,N2-dimethylguanosine tRNA methyltransferase, put	804	94817	0.00012627	infinity	2
PF3D7_1218300	adaptor protein subunit, putative	621	72901	0.00009342	infinity	2
PF3D7_0520100	protein phosphatase, putative	706	83405	0.00008217	infinity	2
PF3D7_1410200	cytidine triphosphate synthetase	858	98517	0.00006761	infinity	2
PF3D7_1033100	S-adenosylmethionine decarboxylase/ornithine decarbo	1434	168169	0.00006068	infinity	2
PF3D7_1333600	U3 snoRNA-associated small subunit rRNA processing a	1335	159337	0.00004345	infinity	2
PF3D7_1457700	large ribosomal subunit nuclear export factor, putative	1478	177666	0.00002944	infinity	2
PF3D7_1241200	conserved Plasmodium protein, unknown function	2309	276530	0.00001884	infinity	2
PF3D7_1207100	small subunit rRNA processing factor, putative	840	100169	0.00003453	infinity	1
PF3D7_0811500	histone-arginine methyltransferase, putative (CARM1)	912	108427	0.00001599	infinity	1
PF3D7_1134700	DNA-directed RNA polymerase 1, subunit 2, putative	1517	175531	0.00001912	infinity	1
PF3D7_1206600	DNA-directed RNA polymerase III subunit, putative	1450	167292	0.00001	infinity	1
PF3D7_1329500	conserved Plasmodium protein, unknown function	1844	218437	0.00000787	infinity	1
PF3D7_1442100	conserved Plasmodium protein, unknown function	109	12610	0.000066528	infinity	3
PF3D7_1434800	conserved protein, unknown function	252	28872	0.00057552	infinity	3
PF3D7_0923900	RNA binding protein, putative	202	22979	0.00064618	infinity	2
PF3D7_1027300	peroxiredoxin (nPrx)	393	43930	0.00014761	infinity	2
PF3D7_0413900	ubiquitin carboxyl-terminal hydrolase a, putative	853	98471	0.00005101	infinity	2
PF3D7_0110700	chromatin assembly factor 1 protein WD40 domain, put	446	50733	0.00009755	infinity	2
PF3D7_1447900	multidrug resistance protein 2 (heavy metal transport f	1024	119012	0.00014163	infinity	2
PF3D7_1126000	threonyl-tRNA synthetase,Threonine--tRNA ligase	1013	119546	0.00011454	infinity	2
PF3D7_1244100	conserved Plasmodium protein, unknown function	1296	154902	0.00002238	infinity	2
PF3D7_0201800	RESA-like protein with PHIST and DnaJ domains	421	48283	0.00034449	infinity	3
PF3D7_0410600	conserved Plasmodium protein, unknown function	326	37065	0.00013346	infinity	2
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PF3D7_1405800	large subunit rRNA processing protein, putative	966	114239	0.00009008	infinity	2
PF3D7_0409200	40S ribosomal processing protein, putative	482	56661	0.00006018	infinity	2
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PF3D7_0925700	histone deacetylase (HDAC1)	449	51376	0.00064602	11.96	2
PF3D7_0813000	conserved Plasmodium protein, unknown function	599	70706	0.00043582	10.90	2
PF3D7_1341300	60S ribosomal protein L18-2, putative	187	21454	0.00139602	10.82	3
PF3D7_0401800	Plasmodium exported protein (PHISTb), unknown funct	560	60270	0.00036258	8.44	2
PF3D7_1230500	WD-repeat protein, putative	502	59270	0.00037558	7.83	2
PF3D7_1456800	V-type H()-translocating pyrophosphatase, putative	717	76417	0.00046523	6.94	2
PF3D7_1105000	histone H4 (H4)	102	11324	0.00156406	6.63	3
PF3D7_1227100	DNA helicase 60 (DH60)	742	87020	0.00060592	6.25	3
PF3D7_1409600	conserved Plasmodium protein, unknown function	768	92748	0.00018884	6.10	2
PF3D7_1120100	phosphoglycerate mutase, putative (PGM1)	250	28770	0.00174037	6.02	3
PF3D7_1347700	ethanolamine-phosphate cytidyltransferase, putative	573	66040	0.0002278	5.43	2
PF3D7_0521700	DEAD/DEAH box ATP-dependent RNA helicase, putative	841	97338	0.00015521	5.34	2
PF3D7_1323400	60S ribosomal protein L23a, putative	190	22093	0.00061066	4.81	3
PF3D7_1461300	40S ribosomal protein S28e, putative	67	7494	0.00064939	0.90	2

0.00005417	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00075898	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00006933	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00008808	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00003467	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00005928	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00005305	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00006209	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00009513	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00001912	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00003739	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00002958	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00001915	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00001684	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00002772	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00004146	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00000891	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00000805	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00000515	infinity	1	X	0	0	X	0	0	X	0	X	0
0.00002831	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00009127	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00003919	infinity	2	X	0	0	X	0	0	X	0	X	0
0.0000164	infinity	2	X	0	0	X	0	0	X	0	X	0
0.00001934	infinity	2	X	0	0	X	0	0	X	0	X	0
X	0.000	0	0.00047579	infinity	1	0.00050198	infinity	2	X	0	X	0
X	0.000	0	0.0001372	infinity	1	0.00027141	infinity	2	X	0	X	0
X	0.000	0	0.00042789	infinity	2	0.00013543	infinity	1	X	0	X	0
X	0.000	0	0.00013196	infinity	1	0.00031326	infinity	3	X	0	X	0
X	0.000	0	0.00010133	infinity	1	0.00016036	infinity	3	X	0	X	0
X	0.000	0	0.00003876	infinity	1	0.00006134	infinity	2	X	0	X	0
X	0.000	0	X	0	0	0.00004007	infinity	2	X	0	X	0
X	0.000	0	X	0	0	0.00008102	infinity	2	X	0	X	0
X	0.000	0	X	0	0	0.00007388	infinity	2	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
X	0.000	0	X	0	0	X	0	0	X	0	X	0
0.00039724	7.352	3	0.0001155	2.15	1	0.00042651	7.907407	2	X	0	5E-05	1
0.00019851	4.975	3	0.00011544	2.88	1	0.00029687	7.425	2	X	0	4E-05	1
0.00076304	5.915	2	0.00083199	6.45	2	0.00087779	6.806202	3	X	0	1E-04	1
0.00014863	3.465	2	0.00003087	0.72	1	0.00007328	1.697674	2	X	0	4E-05	1
0.00023687	4.938	2	0.00003444	0.71	1	0.00013624	2.833333	2	X	0	5E-05	1
0.00018242	2.716	2	0.00004822	0.72	1	0.00024801	3.701493	3	X	0	7E-05	1
X	0.000	0	X	0.00	0	0.00026821	1.135593	1	X	0	2E-04	1
0.00004808	0.495	1	0.00006989	0.72	1	0.00018435	1.896907	2	X	0	1E-04	1
0.00012386	4.000	1	0.00013505	4.35	1	0.00014249	4.580645	3	X	0	3E-05	1
0.00099882	3.457	3	0.00110636	3.83	3	0.0028452	9.844291	3	X	0	3E-04	1
0.00020752	4.952	2	0.00024135	5.74	1	0.00021485	5.119048	3	X	0	4E-05	1
0.00002828	0.966	1	X	0.00	0	0.00009759	3.37931	3	X	0	3E-05	1
0.00068841	5.417	2	0.00027295	2.15	2	0.00064795	5.102362	3	X	0	1E-04	1
0.00017747	0.246	1	0.00464425	6.46	3	0.00102081	1.420028	3	X	0	7E-04	1

PF3D7_0306800	T-complex protein beta subunit, putative	533	59199	0.00057142	3.15	2
PF3D7_0627500	4-methyl-5(B-hydroxyethyl)-thiazol monophosphate bic	189	20292	0.00046042	0.52	2
PF3D7_0205900	proteasome 26S regulatory subunit, putative	966	108358	0.00007507	1.50	2
PF3D7_1349200	glutamate--tRNA ligase, putative	863	101417	0.00003361	1.21	2
PF3D7_0302900	exportin 1, putative	1254	147938	0.00013879	3.66	2
PF3D7_1466300	26S proteasome regulatory subunit, putative	1172	132766	0.00008662	2.12	1
PF3D7_1012400	hypoxanthine-guanine phosphoribosyltransferase (HGP	231	26362	0.00056506	2.70	2
PF3D7_1360800	falcilysin (FLN)	1193	138862	0.00004863	1.23	1
PF3D7_0511800	myo-inositol 1-phosphate synthase, putative	604	69112	0.00021611	2.70	2
PF3D7_1104200	chromatin remodeling protein (SNF2L)	1426	167440	0.00005085	3.00	1
PF3D7_1311800	M1-family alanyl aminopeptidase (M1AAP)	1085	126062	0.00034754	2.25	2
PF3D7_1225800	ubiquitin-activating enzyme e1, putative (Uba1)	1140	131778	0.00002544	1.19	1
PF3D7_1434300	Hsp70/Hsp90 organizing protein (HOP)	564	66057	0.00007714	0.91	1
PF3D7_1361000	arginine methyltransferase 5, putative (PRMT5)	724	85552	0.00016026	2.39	2
PF3D7_1115300	cysteine proteinase falcipain 2b (FP2B)	482	55803	0.00081241	5.41	3
PF3D7_1461900	valine-tRNA ligase, putative	1090	128070	0.00007983	infinity	1
PF3D7_0601200	Pfmc-2TM Maurer's cleft two transmembrane protein (234	27302	0.00031875	infinity	1
PF3D7_1357900	pyrroline carboxylate reductase	262	28379	0.00027678	infinity	1
PF3D7_0304200	EH (Eps15 homology) protein (PAST1)	533	62303	0.00002721	infinity	1
PF3D7_0622200	radical SAM protein, putative	860	99459	0.00001686	infinity	1
PF3D7_0511500	RNA pseudouridylate synthase, putative	10061	1187631	0.00000288	infinity	1
PF3D7_1206800	conserved Plasmodium protein, unknown function	727	86315	0.00003399	infinity	1
PF3D7_1407500	tRNA m2G10 methyltransferase subunit, putative	125	14234	0.00011602	infinity	1
PF3D7_0932800	conserved Plasmodium protein, unknown function	1342	159114	0.00004323	infinity	1
PF3D7_1304500	small heat shock protein, putative	211	25195	0.00020621	infinity	1
PF3D7_0527100	ubiquitin-conjugating enzyme E2 N, putative (UBC13)	152	17411	0.00009542	infinity	1
PF3D7_0801800	mannose-6-phosphate isomerase, putative	1011	117662	0.00001435	infinity	1
PF3D7_0727800	cation transporting ATPase, putative	1918	225075	0.00001512	infinity	1
PF3D7_1037700	enhancer of rudimentary homolog, putative (ERH)	105	12320	0.00013812	infinity	1
PF3D7_0810200	ABC1 family, putative (ABCK1)	940	111728	0.00006172	infinity	1
PF3D7_1012600	GMP synthetase (GMPS)	555	63932	0.00005226	infinity	1
PF3D7_1127000	protein phosphatase, putative	287	34461	0.00005053	infinity	1
PF3D7_1243800	microtubule associated katanin, putative	370	42733	0.00003392	infinity	1
PF3D7_0903400	DEAD/DEAH box helicase, putative	2536	300924	0.00004003	infinity	1
PF3D7_1412800	N-myristoyltransferase (NMT)	410	47970	0.00003537	infinity	1
PF3D7_0625400	conserved Plasmodium protein, unknown function	412	48544	X	0.00	0
PF3D7_0807900	tyrosyl-tRNA synthetase, putative	373	43453	X	0.00	0
PF3D7_0815200	importin beta, putative	877	99964	X	0.00	0
PF3D7_0107000	centrin-1 (CEN1)	168	19599	X	0.00	0
PF3D7_0617200	conserved Plasmodium protein, unknown function	446	52981	X	0.00	0
PF3D7_0906500	arginase	411	46494	X	0.00	0
PF3D7_1109900	apicoplast ribosomal protein L36e precursor, putative	112	12787	X	0.00	0
PF3D7_0109800	phenylalanyl-tRNA synthetase, putative	575	67720	X	0.00	0
PF3D7_1434100	queuine tRNA-ribosyltransferase, putative	407	47829	X	0.00	0
PF3D7_0724100	conserved Plasmodium protein, unknown function	1003	124126	X	0.00	0
PF3D7_1138500	protein phosphatase 2c	924	105404	X	0.00	0
PF3D7_1367700	alanyl-tRNA synthetase,Alanine--tRNA ligase	1408	165135	X	0.00	0
PF3D7_1209200	U6 snRNA-associated Sm-like protein LSm7, putative (LS	108	11996	X	0.00	0
PF3D7_1205600	conserved Plasmodium protein, unknown function	333	39018	X	0.00	0
PF3D7_1436000	glucose-6-phosphate isomerase (GPI)	579	67368	X	0.00	0
PF3D7_1340600	RNA lariat debranching enzyme, putative (DBR1)	575	67555	X	0.00	0
PF3D7_0205400	conserved Plasmodium protein, unknown function	457	54351	X	0.00	0
PF3D7_0720400	ferrodoxin reductase-like protein	642	72722	X	0.00	0
PF3D7_0513600	deoxyribodipyrimidine photolyase (photoreactivating e	1113	129199	X	0.00	0
PF3D7_1335100	merozoite surface protein 7 (MSP7)	351	41276	X	0.00	0
PF3D7_1224500	chromatin assembly protein (ASF1), putative	273	31547	X	0.00	0

0.00033464	1.851	3	0.00094057	5.20	2	0.00089824	4.961326	3	X	0	2E-04	1
0.00050331	0.563	3	0.00457327	5.12	3	0.00101325	1.134378	3	X	0	9E-04	1
0.00003693	0.740	2	0.00025054	5.02	2	0.00024073	4.82	3	X	0	5E-05	1
0.00005511	1.964	2	0.00002003	0.71	1	0.00036456	13.03571	3	X	0	3E-05	1
0.00011379	3.000	2	0.00015164	4.00	1	0.00034906	9.184211	3	X	0	4E-05	1
0.00007102	1.732	2	0.00004425	1.07	1	0.00033847	8.243902	3	X	0	4E-05	1
0.00046327	2.215	2	0.00014967	0.72	1	0.00165804	7.933014	3	X	0	2E-04	2
0.00004984	1.250	1	0.00002898	0.73	1	0.00029811	7.45	3	X	0	4E-05	1
0.00007875	0.988	2	0.00005724	0.71	2	0.00058882	7.3625	3	X	0	8E-05	1
0.00001668	1.000	2	0.00003637	2.12	1	0.00010552	6.235294	3	X	0	2E-05	1
0.00019727	1.271	2	0.00007966	0.52	1	0.00089511	5.774194	3	X	0	2E-04	1
0.00001043	0.476	1	0.00001516	0.71	1	0.00010799	5.142857	3	X	0	2E-05	1
0.00023191	2.729	2	0.0000613	0.72	1	0.00043656	5.141176	2	X	0	9E-05	1
0.00018066	2.701	3	0.00009551	1.43	1	0.00034008	5.074627	3	X	0	7E-05	1
0.0005674	3.780	3	0.00025106	1.67	3	0.00045407	3.026667	3	1E-04	1	1E-04	1
0.00007636	infinity	1	X	0.00	0	0.00016314	infinity	3	X	0	X	0
0.00020326	infinity	1	X	0.00	0	0.00029228	infinity	2	X	0	X	0
0.00009077	infinity	1	X	0.00	0	0.00020884	infinity	2	X	0	X	0
0.00011155	infinity	1	X	0.00	0	0.00015398	infinity	2	X	0	X	0
0.00001383	infinity	1	X	0.00	0	0.00003181	infinity	2	X	0	X	0
0.00000118	infinity	1	X	0.00	0	0.00000408	infinity	2	X	0	X	0
X	0.000	0	0.00011889	infinity	3	0.00022579	infinity	3	X	0	X	0
X	0.000	0	0.00055318	infinity	3	0.00043772	infinity	2	X	0	X	0
X	0.000	0	0.00003864	infinity	1	0.00018347	infinity	3	X	0	X	0
X	0.000	0	0.00049157	infinity	1	0.00019449	infinity	2	X	0	X	0
X	0.000	0	0.00011373	infinity	1	0.00026998	infinity	2	X	0	X	0
X	0.000	0	0.0000171	infinity	1	0.00004059	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00002853	infinity	3	X	0	X	0
X	0.000	0	X	0.00	0	0.00065137	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00004366	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00009859	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00023831	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00011091	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00001618	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00006673	infinity	2	X	0	X	0
0.00020203	infinity	3	0.00004196	infinity	1	0.0000332	infinity	1	X	0	X	0
0.00009564	infinity	2	0.00018538	infinity	1	0.00011002	infinity	2	X	0	X	0
0.00008135	infinity	2	0.00003942	infinity	1	0.00007799	infinity	2	X	0	X	0
0.00007078	infinity	1	0.0001029	infinity	1	0.00024427	infinity	2	X	0	X	0
0.00005332	infinity	1	0.00003876	infinity	1	0.00015335	infinity	2	X	0	X	0
0.00011572	infinity	2	0.00004206	infinity	1	X	0	0	X	0	X	0
0.00053084	infinity	2	X	0.00	0	0.00061066	infinity	3	X	0	X	0
0.00004136	infinity	2	X	0.00	0	0.00009516	infinity	1	X	0	X	0
0.00005843	infinity	2	X	0.00	0	X	0	0	X	0	X	0
0.00001186	0.500	1	0.00015512	6.46	3	0.00004091	1.708333	1	X	0	2E-05	1
0.00003861	1.500	1	0.00003742	1.42	1	0.00014804	5.692308	3	X	0	3E-05	1
0.00000845	infinity	1	X	0.00	0	0.00003886	infinity	3	X	0	X	0
0.0003303	infinity	1	X	0.00	0	0.00025331	infinity	2	X	0	X	0
0.00003571	infinity	1	X	0.00	0	0.00016431	infinity	2	X	0	X	0
0.00008215	infinity	1	X	0.00	0	0.00016537	infinity	2	X	0	X	0
0.00004136	infinity	1	X	0.00	0	0.00004758	infinity	2	X	0	X	0
0.00005204	infinity	1	X	0.00	0	0.0000898	infinity	2	X	0	X	0
0.00001852	infinity	1	X	0.00	0	0.00008523	infinity	2	X	0	X	0
0.00001068	infinity	1	X	0.00	0	0.00002458	infinity	2	X	0	X	0
X	0.000	0	0.000197	infinity	2	0.00011691	infinity	1	X	0	X	0
X	0.000	0	0.00012664	infinity	2	0.00020042	infinity	1	X	0	X	0

PF3D7_0207700	serine repeat antigen 4 (SERA4)	962	108681	X	0.00	0
PF3D7_1346300	DNA/RNA-binding protein Alba 2 (ALBA2)	211	24984	X	0.00	0
PF3D7_1236900	conserved Plasmodium protein, unknown function	372	43712	X	0.00	0
PF3D7_1316600	choline-phosphate cytidyltransferase (CCT)	896	104782	X	0.00	0
PF3D7_0803200	filament assembling protein, putative	728	86743	X	0.00	0
PF3D7_0212900	Leu/Phe-tRNA protein transferase, putative	365	42940	X	0.00	0
PF3D7_1356600	regulator of chromosome condensation, putative	480	54595	X	0.00	0
PF3D7_1323500	plasmepsin V (PMV)	590	68481	X	0.00	0
PF3D7_1220100	pre-mRNA splicing factor, putative	618	72536	X	0.00	0
PF3D7_0106000	conserved Plasmodium protein, unknown function	922	109280	X	0.00	0
PF3D7_1013600	conserved Plasmodium protein, unknown function	2238	269271	X	0.00	0
PF3D7_1027700	centrin-3 (CEN3)	179	20913	X	0.00	0
PF3D7_0207500	serine repeat antigen 6 (SERA6)	1031	118836	X	0.00	0
PF3D7_1135400	conserved Plasmodium protein, unknown function	245	28496	X	0.00	0
PF3D7_1107400	Rad51 homolog (RAD51)	350	38592	X	0.00	0
PF3D7_0511000	translationally controlled tumor protein homolog, puta	171	19979	X	0.00	0
PF3D7_1349600	conserved Plasmodium protein, unknown function	307	35987	X	0.00	0
PF3D7_1127100	deoxyuridine 5'-triphosphate nucleotidohydrolase (dUT	173	19573	X	0.00	0
PF3D7_1113400	ubiquitin domain containing protein	388	42706	X	0.00	0
PF3D7_1348500	TBC domain protein, putative	347	41207	X	0.00	0
PF3D7_1237000	ubiquitin-activating enzyme, putative (Uba2)	686	81120	X	0.00	0
PF3D7_1454700	6-phosphogluconate dehydrogenase, decarboxylating, p	468	52994	X	0.00	0
PF3D7_0413700	lysine decarboxylase-like protein, putative	338	39021	X	0.00	0
PF3D7_0111500	UMP-CMP kinase, putative	371	44245	X	0.00	0
PF3D7_1033700	bromodomain protein, putative	488	55938	X	0.00	0
PF3D7_0217900	conserved Plasmodium protein, unknown function	519	60598	X	0.00	0
PF3D7_1466100	protein serine/threonine phosphatase	889	100775	X	0.00	0
PF3D7_0509100	chromosome condensation protein, putative	1708	201666	X	0.00	0
PF3D7_0705300	origin recognition complex subunit 2, putative (ORC2)	825	98010	X	0.00	0

X	0.000	0	0.00001797	infinity	1	0.00008532	infinity	3	X	0	X	0
X	0.000	0	0.00016386	infinity	1	0.00012966	infinity	2	X	0	X	0
X	0.000	0	0.00009294	infinity	1	0.00018386	infinity	2	X	0	X	0
X	0.000	0	0.00005788	infinity	1	0.0000916	infinity	2	X	0	X	0
X	0.000	0	0.00004749	infinity	1	0.00013153	infinity	2	X	0	X	0
X	0.000	0	0.00004736	infinity	1	0.00011243	infinity	2	X	0	X	0
X	0.000	0	0.00003601	infinity	1	0.000057	infinity	2	X	0	X	0
X	0.000	0	0.0000293	infinity	1	0.00009274	infinity	2	X	0	X	0
X	0.000	0	0.00002797	infinity	1	0.00004427	infinity	2	X	0	X	0
X	0.000	0	0.00001875	infinity	1	0.00002967	infinity	2	X	0	X	0
X	0.000	0	0.00000772	infinity	1	0.00001834	infinity	2	X	0	X	0
X	0.000	0	0.0003863	infinity	2	X	0	0	X	0	X	0
X	0.000	0	0.00021797	9.48	2	0.00018575	8.086957	3	X	0	2E-05	1
X	0.000	0	0.00028224	2.88	1	0.00050249	5.122449	2	X	0	1E-04	1
X	0.000	0	0.00014817	2.14	1	0.00035174	5.101449	2	X	0	7E-05	1
X	0.000	0	X	0.00	0	0.00063995	infinity	3	X	0	X	0
X	0.000	0	X	0.00	0	0.00035645	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00031627	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00014102	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00011826	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00011964	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00008768	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00008094	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00007374	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00005606	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00005271	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00004616	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00004004	infinity	2	X	0	X	0
X	0.000	0	X	0.00	0	0.00003316	infinity	2	X	0	X	0

Table 2.3. *Proteins identified by MudPIT analyses of GFP-PfAtg8 immunoprecipitations.* In each analysis, the following parameters are specified for each protein: peptide counts (column headers end with _P); total spectral counts (_S); spectral counts for peptides shared between protein isoforms (_sS); peptide counts for peptides uniquely matching the protein (_uDp); spectral counts for peptides uniquely matching the protein (_uS); spectral counts distributed according to the spectral count contribution of peptides unique to each isoform (_dS); sequence coverage as a percentage (_SC); distributed Normalized Spectral Abundance Factors (_dNSAF), calculated based on distributed spectral counts and protein length. The number of times a protein is detected across replicates is listed in the "Detected # Out of" columns.

Subsequently, a gene ontology (GO) analysis was performed to find functional enrichments among the proteins associated with PfAtg8. A relatively large overlap of significantly enriched GO terms was observed between the untreated and bafilomycin-treated early trophozoite samples, as well as between the treated early trophozoite and the treated late schizont samples (Fig. 2.9A).

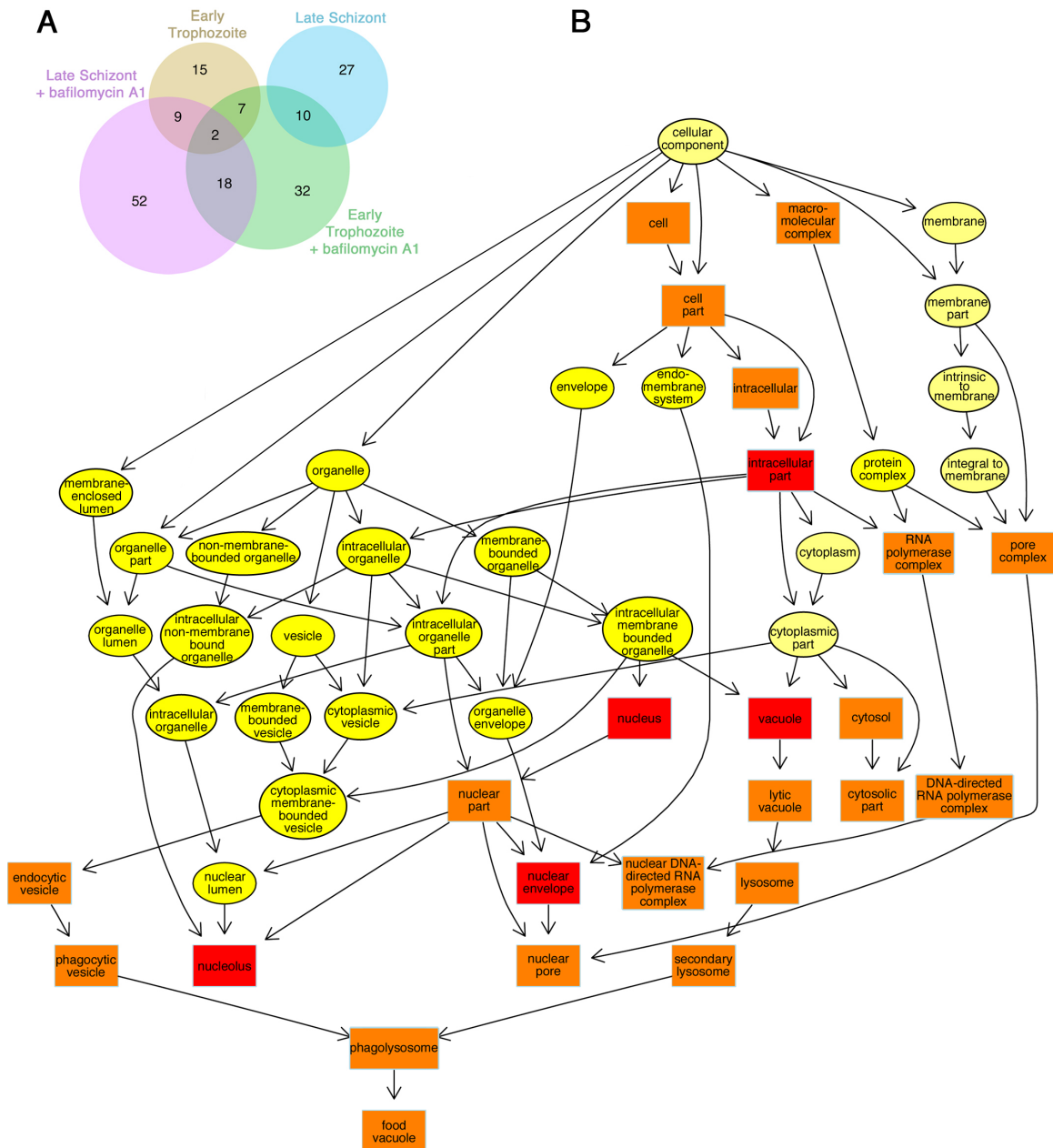


Figure 2.9. Gene ontology (GO) enrichment analysis of PfAtg8-associated proteins. A) Venn diagram of enriched GO terms among PfAtg8-associated proteins detected in mass spectrometry samples. The number of significantly enriched GO terms is shown for proteins associated with Atg8 in early trophozoite (ET) or late schizont (LS) stages, either untreated or treated with bafilomycin. B) Visualization of the network structure of significantly enriched GO terms. In total, 24 GO terms were enriched with a p-value < 0.01. These terms are shown in the diagram as rectangles. All interconnecting GO terms are shown in ovals. GO terms with lower p-values are labeled in red, while GO terms with higher p-values are labeled increasingly more yellow.

Cellular Component	P-value	Gene ontology term
GO:0044428	4.50399E-05	nuclear part
GO:0005634	0.000101356	nucleus
GO:0044424	0.000378797	intracellular part
GO:0005635	0.000443458	nuclear envelope
GO:0005730	0.000830602	nucleolus
GO:0032991	0.001189583	macromolecular complex
GO:0005829	0.001546748	cytosol
GO:0005622	0.001669865	intracellular
GO:0005773	0.002253456	vacuole
GO:0005643	0.0023142	nuclear pore
GO:0046930	0.0023142	pore complex
GO:0043234	0.002508582	protein complex
GO:0000428	0.003028735	DNA-directed RNA polymerase complex
GO:0030880	0.003028735	RNA polymerase complex
GO:0055029	0.003028735	nuclear DNA-directed RNA polymerase complex
GO:0005767	0.003864872	secondary lysosome
GO:0020020	0.003864872	food vacuole
GO:0032010	0.003864872	phagolysosome
GO:0045335	0.003864872	phagocytic vesicle
GO:0044446	0.004779569	intracellular organelle part
GO:0030139	0.004828474	endocytic vesicle
GO:0044422	0.005011077	organelle part
GO:0000323	0.005924728	lytic vacuole
GO:0005764	0.005924728	lysosome

Table 2.4. *Enrichment of gene ontology (GO) terms of proteins associated with PfAtg8.* Proteins associated with PfAtg8, as identified by MudPIT, were analyzed for enrichment of GO terms from the three GO domains (i.e. cellular component, biological process or molecular function).

Among all 176 proteins that were found to be associated with PfAtg8, significantly enriched GO terms included those involved with the digestive food vacuole, the phagolysosome, and the nucleus (Fig. 2.9B; Table 2.4).

In line with the fact that more than half of *P. falciparum*'s proteome is incompletely characterized, approximately one third of the proteins identified to be associated with PfAtg8 were hypothetical proteins. These hypothetical proteins were BLASTed against the yeast genome to find evidence for their function. We found homology of PF3D7_1339700 to the yeast protein Nvj1 that is present at the formation of nucleus-vacuole junctions.³⁰⁻³² In addition, we

detected homology of PF3D7_1459000 to yeast Bre5, which is associated with the process of ribophagy.^{33, 34} Further validation will be required to determine if these homologous proteins are indeed involved in selective autophagy pathways and whether they have a functional role in the human malaria parasite. Collectively, this data indicates that a functional Atg8 conjugating system is detected at the proteomic level and highlights the multiple facets of this pathway in the parasite's infectious cycle progression.

Discussion

The data presented in this study provide a basic understanding of the role of autophagy in the human malaria parasite. Our *in silico*, cellular, and molecular approaches demonstrate that the canonical autophagy pathway has become modified in *P. falciparum*. Similar to *T. gondii*, *P. falciparum* encodes homologues for only a subset of yeast Atg proteins.^{6, 7} Upstream kinases involved in detection of nutrient limitation and induction of autophagy are absent. *P. falciparum* is an intracellular parasite residing inside the red blood cell where hemoglobin, its primary nutritional source, is abundant. The parasite may have lost genes required for the nutrient-dependent induction of autophagy, as seen in other systems.³⁵ This was further confirmed by our observation that autophagy cannot be induced by mild nutrient starvation and specific inhibitors of key upstream kinase proteins in the pathway. Our results are in agreement with a recent study that showed that *P. falciparum* enters a hibernatory state in isoleucine free media.²⁴

On the contrary, most downstream proteins involved with autophagy were detected in *P. falciparum* and appear to be functional. PfAtg genes involved with autophagosome formation, retrieval, and vesicle breakdown were clearly identified. From the 12 Atg genes uncovered from our HMM search, our proteomic analysis identified 7 PfAtg proteins, although PfAtg2, PfAtg5, PfAtg6, and PfAtg18 were detected at low abundance levels. Proteins of the Atg8

conjugation system (PfAtg7, PfAtg8, and two isoforms of PfAtg3) were significantly enriched in the GFP-Atg8 pull-downs, with the exception of PfAtg4. The role of PfAtg4 in priming PfAtg8 for PE lipidation remains uncertain. PfAtg8 was primarily found conjugated to PE and proteolytic cleavage by PfAtg4 may not be required for lipidation since PfAtg8's C-terminal residue is a glycine. Further investigation will be required to determine the exact role of PfAtg4 in recycling PfAtg8 from membranes.

In addition to the detection of PfAtg8 localizing with the apicoplast^{12, 13} and its possible involvement in apicoplast biogenesis, we report for the first time the presence of PfAtg8-coated vesicles throughout the entire asexual and sexual erythrocytic cycle. Over the years, protein trafficking to the apicoplast has remained ambiguous^{15, 20, 36, 37}, and the inability to isolate the apicoplast from *P. falciparum* has been a limiting factor to understanding the biogenesis, trafficking, and segregation of the apicoplast. Apicoplast proteins derived from nuclear-encoded genes are known to utilize a bipartite N-terminal signal peptide to enter the secretory pathway and a transit peptide for apicoplast import.^{20, 36} The two outer membranes of the apicoplast are ER-related.³⁸ Similarly, the preautophagosomal structure may also derive from the ER membrane.³⁹⁻⁴¹ It is therefore possible that these two pathways could interact with each other. In apicoplast-minus parasites, PfAtg8 localized with vesicles that contain apicoplast-targeted proteins, which suggests an alternative form of bulk protein

trafficking to the apicoplast. Further examination into PfAtg8 cargo proteins will be necessary to determine the role of PfAtg8 and the autophagy machinery's involvement with apicoplast biogenesis.

Interestingly, known inhibitors of the autophagy pathway and parasite development seem to interfere with apicoplast biogenesis. The branch-like structure of the apicoplast observed during the schizont stage was destroyed into multiple foci in both apicoplast-minus parasites and cultures treated with lysosomotropic agents. Both chloroquine and bafilomycin A1 are (V)-ATPase inhibitors that cause vacuolar deacidification and inhibit fusion of autophagosomes to lysosomes. Bafilomycin A1 has also been reported to block transport within the endocytic pathway⁴², but this is the first report of bafilomycin blocking transport to a plastid organelle. Chloroquine is an antimalarial that is known to accumulate in the digestive food vacuole and inhibit the formation of hemozoin, the byproduct of hemoglobin catabolism.⁴³ In human fibroblasts, chloroquine is also known to raise intralysosomal pH and disrupt the intracellular pathway for newly synthesized acid hydrolases and uptake by pinocytosis.⁴⁴ Reports of cytoplasmatic vacuolization, similar to autophagic-like cell death, have been observed in parasites after chloroquine treatment, but whether or not unicellular protists undergo apoptosis remains ambiguous.²⁶ Our lysosomotropic drug-treated parasites displayed an accumulation of PfAtg8 vesicles and apicoplast fragmentation, which could be interpreted as either cytoplasmatic

vacuolization or an inhibition of vesicle fusion. In comparison, incubation with the antimalarial artemisinin did not induce autophagic-like cell death or vacuolization. Further investigations will be needed to determine whether these lysosomotropic agents inhibit fusion to the apicoplast or cause apicoplast fragmentation by vacuolization.

While the asexual stage of the erythrocytic cycle does not last for more than 48 hours, the differentiation into gametocytes can take up to two weeks.⁴⁵ During this time, the parasite resides within the same red blood cell and will need to recycle its proteins in order to survive. The abundance and atypical localization of PfAtg8 in gametocytes suggests that autophagy indeed plays an important role in protein turnover and parasite survival. It has been previously reported that the autophagy pathway degrades unnecessary organelles, such as micronemes, during sporozoite metamorphosis into merozoites in the liver stage.¹⁰ Our data shows that localization of PfAtg8 remains on the apicoplast, but there is an increase in autophagosomes during gametocytogenesis. Considering the apparent role of PfAtg8 in gametocyte development, the observation that harsh nutrient starvation induced gametocytogenesis within 48 hours without reinvasion of a new erythrocyte may be indicative of a specialized role of autophagy in cell differentiation. Possibly, a delayed or modified form of autophagy induction could trigger differentiation into the sexual stage in *P. falciparum*. Further studies into

this process are required to determine the developmental cues necessary for gametocytogenesis.

Conclusion

Collectively, this study presents the critical role of the modified autophagy pathway in the human malaria parasite. PfAtg8 has evolved to participate in multiple functions including, but not limited to, cell differentiation, protein turnover, apicoplast biogenesis, and partitioning into daughter cells. While macroautophagy is the most well studied type of autophagy, our proteomic approach validates the importance of this pathway, but suggests that ribophagy and piecemeal microautophagy of the nucleus may also occur in *P. falciparum*, although additional experiments will be necessary to validate these findings. A detailed understanding of the molecular mechanisms regulating this pathway throughout the human malaria parasite life cycle will not only increase our knowledge of parasite biology, but will most likely uncover novel antimalarial strategies.

Materials and Methods

P. falciparum parasite culture

The following reagents were obtained through the MR4 as part of the BEI Resources Repository, NIAID, NIH: *Plasmodium falciparum* 3D7, MRA-102, deposited by DJ Carucci; Dd2, MRA-156, deposited by TE Wellems; D10 ACP(leader)-GFP, MRA-568, deposited by AF Cowman; W2, MRA-157, deposited by DE Kyle; 3D7HT-GFP, MRA-1029, deposited by A Talman and R Sinden; and NF54, MRA-1000, deposited by M Dowler, Walter Reed Army Institute of Research. *P. falciparum* strains were cultured in human O⁺ erythrocytes as previously described.⁴⁶ The D10 ACP-GFP transfectant strain was supplemented with 100 nM pyrimethamine.⁴⁷

HMM search of Autophagy related Pfam domains

Functional Pfam domains found in Atg genes were examined in 11 genomes: *P. falciparum* (release version 8.1), *P. vivax* (release version 8.1), *P. knowlesi* (release version 8.1), *P. yoelii* (release version 8.1), *P. chabaudi* (release version 8.1), *P. berghei* (release version 8.0), *Toxoplasma gondii* (release version 7.1), *Cryptosporidium hominis* (release version 4.5), *C. parvum* (release version 4.5),

Saccharomyces cerevisiae, and *Homo sapiens*. The Pfam HMM profiles were downloaded at <http://pfam.janelia.org> from their Pfam_Is HMM library version 26.0. Additional information regarding Pfam accession numbers are given in Table 2.5. HMM searches were performed, as previously described, in a series of threshold E-values, increasing stringency from E-value <0.5 to E-value <0.05

Atg genes	Pfam accession number	Domain
ATG1	PF00069.19	Pkinase
ATG10	PF03987	autophagy_act_c
ATG11	PF10377.3	
ATG12	PF04110.7	AGP12
ATG13	PF10033.3	
ATG14	PF10186.3	
ATG15	PF01764.1	Lipase_3
ATG16	PF08614.5	
ATG17	PF04108.6	APG17
ATG18	PF00400.26	WD40
ATG19	PF12744.1	
ATG2	PF09333.5	ATG C
ATG20	PF00787.18	PX
ATG20	PF09325.4	Vps5
ATG21	PF00400.26	WD40
ATG22	PF11700.2	
ATG24	PF00787.18	PX
ATG24	PF09325.4	Vps5
ATG25	PF11559.2	ADIP
ATG26	PF00169.23	PH
ATG26	PF00201.12	UDPGT
ATG26	PF02893.14	GRAM
ATG26	PF03033.14	glyco_transf_28
ATG27	PF09451.4	
ATG3	PF03986.7	Nterm
ATG3	PF03987.9	autophagy_act_c
ATG3	PF10381.3	Cterm
ATG31	PF09795.3	
ATG4	PF03416.13	peptidase_C54
ATG5	PF04106.6	APG5
ATG6	PF04111.6	APG6 (Vps30)
ATG7	PF00899.15	ThiF
ATG8	PF02991.10	
ATG9	PF04109.10	ATG9
mTOR	PF00454.21	PI3_P14_kinase
mTOR	PF02259.17	FAT
mTOR	PF08771.5	Rapamycin_bind
Vac8	pf00514.17	ARM

(data not shown).¹⁶ An E-value of 0.05 gave the most consistent results when compared to previously published results.

Table 2.5. Pfam HMM profiles for autophagy related gene HMM search. Pfam accession numbers and domains that correspond to Atg genes are listed.

Generation of PfAtg8 antibody

PfAtg8 was amplified from cDNA using primers forward: CAA TCTAGA CCCGGG AATAATTTTGTTTAACTTTAAGAAG and reverse: CAA GGATCC CCATGG GG GTATAGGGGACATACCGCTGC, bearing the restriction enzyme sites XbaI/XmaI and BamHI/NcoI, respectively (underlined). The fragment was inserted into the pGS21a plasmid vector using the XbaI and BamHI restriction sites. PfAtg8-His_{6x} recombinant protein was expressed in arctic bacterial cells, pulled down using Ni-NTA agarose beads (Qiagen), and then purified and concentrated by 10 and 30 kDa size exclusion centrifugation (Millipore). Anti-PfAtg8 antisera were raised in rabbits and were then purified using protein A/G (Thermo Fisher Scientific).

Cloning of P.falciparum GFP-fused Atg8

The DNA corresponding to *P. falciparum* Atg8 orthologue (PF10_0193) was obtained by PCR from cDNA using primers forward: TCCC CCCGGG ATGCCATCGCTTAAAGACG and reverse: ACGC GTCGAC CTGCAG TTATCCTAGACAACTCTCACAAC, bearing the restriction enzyme sites XmaI

and Sall/PstI, respectively (underlined). The fragment was cloned into the pCC-1 vector using the XmaI and Sall restriction sites. GFP was then amplified from the pARL/GFP vector using the primers forward: CCTG CTCGAG ATGAGTAAAGGAGAAGAAGT and reverse: TCCC CCCGGG TTGTATAGTTCATCCATGCC, bearing the restriction enzyme sites XhoI and XmaI, respectively (underlined). The fragment was cloned into the N-terminal of the pCC-1/PfAtg8 vector to make pCC-1/GFP-PfAtg8. Using the primers forward: CAGG CTCGAG ACTAGT ATGAGTAAAGGAGAAGAAGT and reverse: GGTC ACCGGT CCCGGG GTCGAC TTATCCTAGACAAGTCTCAC, bearing the restriction enzyme sites XhoI/SpeI and AgeI/XmaI/Sall, respectively (underlined), the GFP-PfAtg8 fragment was amplified and inserted into the pARL vector using XhoI and AgeI restriction sites. The plasmid pARL/GFP-PfAtg8 was then used for transient expression of N-terminally GFP-tagged Atg8 in *P. falciparum*.

Generation of GFP-PfAtg8 transient expressing P. falciparum cell lines

Parasite transfection was carried out as previously described.⁴⁸ 3D7 parasite cultures were synchronized with 5% sorbitol and ring stage parasites were used for electroporation with 40 to 50 µg of plasmid DNA. Each electroporation was performed in a 0.2 cm cuvette (Bio-rad), with time constants ranging from 11-14 ms. The following day mature parasites were separated by magnetic purification and uninfected RBCs were electroporated with 40 to 50 µg of plasmid DNA. All

electroporations were pooled and 50 μ l of fresh RBC was added to the culture. The transfected cultures were supplemented with 5 nM WR99210 for drug selection.⁴⁷

Subcellular fractionation

Parasite subcellular fractions were performed as previously described.⁴⁹ Parasites were extracted from red blood cells using 0.15% saponin. Parasite pellets were incubated with cytoplasmic lysis buffer (0.65% Igepal, 10 mM Tris HCl pH 7.5, 150 mM NaCl, 1 mM EDTA, 1 mM EGTA, 2 mM AEBSF, 1X Roche protease inhibitor tablet) for 5 minutes followed by centrifugation at 2,000 rpm for 10 minutes. Samples were washed with PBS and were subsequently incubated with nuclear lysis buffer (10 mM HEPES pH 7.9, 0.1 mM EGTA, 0.1 mM EDTA, 1.5 mM MgCl₂, 420 mM NaCl, 0.5 mM DTT, 25% glycerol, 2 mM PMSF, 1x Roche protease inhibitor tablet) for 20 minutes, followed by centrifugation at 6,000 rpm for 10 minutes. Samples were washed with PBS, incubated with solubilization lysis buffer (2% triton x-100, 50 mM Tris-HCl, pH 7.5, 1 mM EDTA, 1 mM EGTA, 2 mM PMSF, 1x Roche protease inhibitor tablet) for 30 minutes and were then pelleted at 13,000 rpm for 10 minutes.⁵⁰ Protein concentration was determined by the Bradford method⁵¹ and fractions were analyzed by western blot.

Western blot analysis

Parasite cultures were incubated in lysis buffer (1% Triton x-100, 50 mM Tris-HCl, pH 7.5, 1 mM EDTA, 1 mM EGTA, 2 mM PMSF, 1x Roche protease inhibitor tablet) for 30 min on ice and then mechanically lysed by needle and syringe. Samples were then centrifuged at 10,000 rpm for 15 minutes and the supernatant was removed. Equal amounts of protein, 10 µg, were used for immunoblotting. Regular protein separations were performed using 16.5% Tris-Tricine SDS-PAGE gels (Bio-rad). Primary antibodies anti-PfAtg8 (Thermo Fisher Scientific) and anti-GFP (Abcam, ab290) were diluted 1:1,500 and 1:3,500, respectively. Secondary antibody goat anti-rabbit IgG HRP conjugate (Bio-Rad) was incubated at a dilution of 1:25,000.

Fluorescence microscopy

P. falciparum parasites were permeabilized with 0.015% saponin, fixed with 4% paraformaldehyde, and blocked with IFA buffer (2% BSA, 0.05% Tween-20, 100 mM glycine, 3 mM EDTA, 150 mM NaCl, 1x PBS). Cells were incubated with anti-PfAtg8 antibody (Thermo Fisher Scientific; 1:400) followed by anti-rabbit DyLight 550 (abcam ab98489; 1:500). DAPI (Invitrogen) was included in a wash at a final concentration of 5 µg/ml. Images were acquired using the Olympus BX40 epifluorescence microscope or the Leica SP5 confocal microscope.

Generation of apicoplast-minus P. falciparum

Following a similar protocol described by Yeh and DeRisi²¹, parasite cultures were synchronized using 5% sorbitol and ring-stage parasites at 3% parasitemia were grown in 6-well plates (Costar #3506). The antibiotic chloramphenicol (Sigma) was added to a final concentration of 100 μ M and incubated for 48 hours. After parasites reinvaded red blood cells, isopentenyl pyrophosphate (IPP; Isoprenoids LC) was added to a final concentration of 200 μ M to rescue apicoplast-minus parasites. Parasites were grown for an additional generation before sampling cultures for immunofluorescence assays.

P. falciparum starvation

P. falciparum cultures were synchronized by 5% sorbitol. Early and late stage parasites were grown for 6, 24, and 72 hours in serum-free complete media or in starvation media (0.043 mg/ml gentamicin, 38.5 mM HEPES, 0.18% sodium bicarbonate, 0.003 mM NaOH in RPMI 1640 (Corning)).

SYBR Green I-based fluorescence assay

Bafilomycin was diluted to 10 μ M and serially diluted by thirds into clear bottom 96-well plates (Costar #3904). *P. falciparum* cultures were added at a 2.5% hematocrit with a 1% parasitemia to 96-well plates and incubated for 72 hours at 37°C. Plates were frozen at -80°C overnight. Lysis buffer (20 mM Tris-HCl, 5 mM

EDTA, 0.008% saponin, 0.08% Triton X-100, and 0.2 μ l/ml SYBR Green I dye (Invitrogen) was added to thawed plates and incubated at 37°C. The plates were read using the Spectra Max Gemini EM reader (Molecular Devices). Data were then analyzed with SoftMax Pro v5 (Molecular Devices Software, Inc.).⁵²

Immunoprecipitation

Parasites were extracted from red blood cells using 0.15% saponin. To enrich for Atg8 vesicles, samples were incubated with 75 nM of bafilomycin for 3 hours before harvest. Approximately 1 ml of parasite pellets was homogenized by needle and syringe in a total volume of 3.5 ml of lysis buffer (50 mM Tris-HCl pH 7.5, 150 mM NaCl, 5 mM EDTA, 1 mM PMSF, 1% Triton x-100, 5 μ M E-64, Roche complete EDTA-free protease inhibitor cocktail). Lysates were centrifuged at 13,000 rpm for 10 minutes and the supernatant was transferred to a clean tube. The supernatant was precleared with PureProteome protein G magnetic beads (Millipore) for 1.5 hours. Precleared beads were removed and either anti-PfAtg8 or anti-GFP (Abcam #ab290) antibodies were incubated for 6 hours at 4 μ g/ml before fresh beads were added for 30 minutes. Our custom anti-PfAtg8 antibody was found to not efficiently pull-down endogenous PfAtg8 (data not shown). Resin-containing immune complexes were washed with wash buffers A (1% NP40, 1mM EDTA, 1%BSA in PBS), B (buffer A plus 0.5 M NaCl), C (1% NP40, 1mM EDTA in PBS), and D (1 mM EDTA in PBS). Proteins were first

eluted with 0.1 M glycine, pH 2.8 and neutralized with 100 mM Tris-HCl, pH 8.0, followed by a second elution using the same solution, a third elution using 10% 1,4-dioxane, and a fourth elution with elution buffer (50 mM Tris-HCl pH 6.8, 100 mM DTT, 2% SDS) for 40 min at 65° C. Eluates were pooled and precipitated with 20% trichloroacetic acid (TCA). The resulting pellet was washed once with 10% TCA and four times with cold acetone.

MudPIT analysis of immunoprecipitated proteins

TCA-precipitated proteins were solubilized in 100 mM Tris-HCl, pH 8.5, 8M Urea, 5mM Tris(2-Carboxylethyl)-Phosphine Hydrochloride before chloroacetamide was added to a final concentration of 10 mM. Endoproteinase Lys-C was used at 1:100 w/w to digest proteins at overnight at 37°C. Samples were then brought to a final concentration of 2 M urea with 100 mM Tris-HCl, pH 8.5 and 2 mM CaCl₂ before adding Trypsin at 1:100 w/w for a second overnight digestion at 37°C. Formic acid was added to a final concentration of 5% before samples were loaded onto a split-triple-phase fused-silica microcapillary column⁵³, and placed in-line with an quaternary agilent 1100/1200 series HPLC (ThermoScientific) LTQ ion trap mass spectrometer equipped with a custom-made nanospray ionization source. Full MS spectra were recorded on the peptides over a 400 to 1,600 m/z range, followed by 5 tandem mass (MS/MS) events sequentially generated in a data-dependent manner on the first to fifth most intense ions selected from the

full MS spectrum (at 35% collision energy).⁵⁴ The dynamic exclusion was enabled for 120 sec. A total of 3 technical replicates were acquired for each of the four types of samples analyzed (early trophozoite with and without bafilomycin treatment and late schizont with or without bafilomycin treatment). GFP-immunoprecipitations from two batches of asynchronized wild-type 3D7 cells and two batches of asynchronized 3D7-HT-GFP cells were also analyzed as negative controls.

MudPIT data analysis

The MS/MS datasets were searched using SEQUEST against a protein database consisting of 5538 *P. falciparum* (PlasmoDB rerelease 9.1) and 34521 *H. sapiens* (NCBI 2012-08-27 release) proteins, complemented with 177 sequences from usual contaminants (human keratins, IgGs, proteolytic enzymes). To estimate false positive discovery rates (FDR), each sequence was randomized (keeping amino acid composition and length the same) and the resulting “shuffled” sequences were added to the “normal” DB (doubling its size) and searched at the same time. The GFP-PfAtg8 sequence was added to this database. To limit the number of false positive “shuffled” peptides passing the selection criteria, the filtering criteria were strict, notably enforcing that both peptide ends match the enzyme used for the digestion. The average protein FDR was 2.6%, peptide FDR was 1.06%, and the spectral FDR is 0.40%.⁵⁵

Gene Ontology Analysis

Enrichment of gene ontology (GO) terms within each sample was analyzed using the software package 'topGO' (written in R and maintained by the BioConductor project).⁵⁶ For each GO domain (i.e. cellular component, biological process or molecular function), we compared the proteins identified by MudPIT to the full proteome of *P. falciparum* using the 'classic' algorithm in combination with a Fisher's exact test. In addition, GO enrichment was analyzed among all Atg8-associated proteins identified by MudPIT, irrespective of time point or drug treatment. Gene ontology structures were visualized for GO terms with a p -value < 0.01 using the R software package 'Rgraphviz'.⁵⁷ To illustrate overlaps in GO terms between the different samples, a Venn diagram was drawn including all GO terms with a p -value < 0.05 using the R software package 'venneuler'.⁵⁸

List of Abbreviations

Atg: autophagy related gene

PE: phosphatidylethanolamine

PMN: piecemeal microautophagy of the nucleus

PAS: preautophagosomal structure

RBC: red blood cell

HMM: hidden Markov model

MudPIT: multidimensional protein identification technology

GO: gene ontology

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Chapter 3

Degradation of histone proteins in *Plasmodium falciparum* by the autophagy machinery

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Summary

During the trophozoite stage of the human malaria parasite histone proteins are depleted. Chromatin structure has been shown to play an important role in gene regulation. Removal of histone proteins allows DNA transcription and replication machinery to access the DNA. The molecular process that regulates histone degradation in *Plasmodium falciparum* remains unknown. We hypothesize that an autophagic-like process envelops histone proteins into vesicles, which then transport these histones to the digestive food vacuole for degradation. Using inhibitors of the autophagy pathway, we show histone proteins accumulate in vesicles outside of the nucleus. Future studies will be necessary to validate these findings. This study highlights the unique transport system the malaria parasite employs to degrade histone proteins.

Abstract

During the asexual blood stage of the human malaria, *Plasmodium falciparum*, there is a significant decrease of histone proteins at the trophozoite stage. Since removal of histone proteins allows effector proteins to access DNA, it seems that *P. falciparum* has developed chromatin structure changes as an alternative mechanism to regulate transcriptional activity and initiation of DNA replication. Thus, histone protein removal is likely to be an important prerequisite for cell cycle progression. Here, we studied the molecular processes responsible for the time-dependent removal and degradation of histone proteins from the nucleus. We determined that the mechanism involved in degrading bulk amounts of histone protein is part of the autophagy machinery. Parasite cultures treated with bafilomycin A1, an inhibitor of autophagosome-lysosome fusion, displayed cell cycle arrest and an accumulation of histone proteins outside the nucleus. This is the first report of a rudimentary set of autophagy proteins participating in histone degradation. This previously unidentified, parasite-specific, pathway may lead to the development of agents to reduce cell cycle progression and transmission from human to mosquito.

Introduction

The human malaria parasite remains a major public health burden in poverty stricken countries. Recent estimates by the World Health Organization show that over 200 million cases of malaria and over 600,000 malarial deaths occurred in 2012 [1]. Currently, no vaccine is available and the most recent malaria vaccine candidate, RTS,S/AS01E, had a dismal efficacy of 16.8% over a 4-year period [2]. Treatment of malaria infections is largely dependent on a single antimalarial drug, artemisinin, which is the recommended and most effective drug therapy today. Resistance to artemisinin was first reported in 2008 on the Thai-Cambodia border [3] and has now spread to four countries (Burma, Cambodia, Thailand and Viet Nam). If these resistant strains appear in Africa, the consequences could be dire [1]. Understanding the complex life cycle of the human malaria parasite *Plasmodium falciparum* to uncover novel drug targets therefore remains a high priority.

The life cycle stage responsible for disease in humans is the erythrocytic cell cycle. During this 48-hour cell cycle, the parasite invades a red blood cell and develops through ring, trophozoite and schizont stages to release 16-32 daughter cells into the bloodstream. One of the processes that are critical for parasite development is gene expression. Disruption of mechanisms that control gene expression could thus serve as a potent strategy to fight malaria infections. Gene regulation in *P. falciparum* is ambiguous, but is likely to rely greatly on

posttranscriptional regulation and epigenetic mechanisms. The *P. falciparum* genome contains about a third of the number of transcription-associated proteins typically found in the genome of a free-living eukaryote, while it encodes a relatively high number of genes involved in regulating chromatin structure and mRNA metabolism [4,5]. Indeed, dramatic changes in chromatin structure have been observed during the transcriptionally active trophozoite stage of parasite's erythrocytic cell cycle [6,7], suggesting an important role of histone turnover in *P. falciparum* gene regulation.

Chromatin is a condensed DNA:protein complex that allows DNA to be stored in the confined space of the nucleus while still being accessible to the transcription machinery. The basic packaging unit, the nucleosome, consists of approximately 147 base pair of DNA wrapped around an octamer of histone proteins: two each of H2A, H2B, H3, and H4. In other eukaryotic organisms, histone displacement is associated with transcription and DNA replication. Higher rates of histone turnover are observed over coding regions with high transcriptional activity and other active DNA elements [8] [9]. Changes in histone deposition are mainly local, while global histone levels within the nucleus remain relatively stable during the cell cycle. In *P. falciparum* however, changes in histone abundance occur at a global level. Histone protein abundance in the ring stage is high but decreases by two to three folds during DNA replication in the early trophozoite stage, before reaching high levels again at the schizont stage

[7]. This histone depletion at the trophozoite stage has been validated at both the proteomic and chromatin structure level [7] [6] [10] [11]. Furthermore, it has been shown that post-translational modifications (PTM) of histone tails can influence the turnover rates of nucleosomes. Interestingly, global histone analysis revealed that the malaria parasite has a high content of acetylated lysine residues [12] [13] that could be linked to a significantly faster turnover rate. In this study, we investigated how the unusual depletion of histones during the erythrocytic cycle of the malaria parasite is regulated.

To maintain homeostasis of histone protein levels in yeast, non-chromatin bound histones are rapidly degraded in a Rad53 kinase-dependent manner by the ubiquitin-proteasome (UPS) pathway [14]. In addition to the UPS pathway, the autophagy pathway has been shown to remove damaged DNA that contains histone H1 and the variant histone γ H2AX, a marker of DNA double-stranded breaks [15]. The autophagy pathway is a membrane-mediated process that envelopes material and transports vesicles to the lysosome where cargo proteins are recycled back to amino acids. Autophagy proteins were first identified in yeast under nutrient starvation, but the autophagy machinery has since been shown to be capable of selective and organelle specific degradation. The molecular mechanisms that regulate micronucleophagy require a number of autophagy-related (ATG) genes, but remains poorly understood [16] [17] [18].

In *P. falciparum*, mass spectrometry analysis of histone PTMs failed to detect the modifications associated to histone turnover using the classical proteasome system [19] [13] [12]. We recently performed a functional analysis of the autophagy pathway in *P. falciparum* and discovered that autophagy is likely to be involved in protein turnover [Cervantes, manuscript submitted]. To study the molecular processes involved in histone degradation, we treated parasites with various inhibitors of both the UPS and autophagy pathway and analyzed the impact on histone degradation. Treatment of late ring staged parasite cultures with bafilomycin, a lysosomotropic agents that inhibit autophagosome and lysosome fusion [20] [21] [22], resulted in an accumulation of histone proteins. Histone degradation by the autophagy pathway was validated by localization experiments. Our results suggest that the autophagy pathway may be responsible for histone degradation. This overlooked pathway may thus regulate a key developmental event during the parasite asexual cell cycle.

Results

Inhibitors of the autophagy pathway affect histone protein degradation

Global histone proteins levels in *P. falciparum* are depleted at 18 hours post invasion (hpi) during the early trophozoite stage [6] [10] [11]. To determine which pathway plays a crucial role in histone degradation, we incubated tightly synchronized parasites for 6 hours with various inhibitors of the ubiquitin-proteasome system and the autophagy pathway (listed in Table 3.1). Treatment of parasites with the proteasome inhibitor MG-132 to reduce the amount of degradation of ubiquitinated substrates did not have an effect on histone protein levels (data not shown). Moreover, MG-132 treatment did not result in the detection of an enrichment of ubiquitinated residues on histone tails [Saraf 2013 unpublished]. Similarly, addition of the ubiquitin isopeptidase inhibitor NSC 632839 did not change histone protein levels (Figure 3.1). A combination of leupeptin and pepstatin A slightly increased histone protein levels (Figure 3.1).

Table 3.1. *Inhibitors used to treat parasite cultures and their effect on histone protein levels.*

Pathway	Molecule	Concentration	Effect	Histone protein
Ubiquitin-proteasome pathway	MG-132	400 nM	proteasome inhibitor	Unchanged
	NSC 632839	30 μ M	ubiquitin isopeptidase inhibitor	Unchanged
Proteases	E-64	25 μ M	cysteine protease inhibitor	Small increase
	Leupeptin/Pepstatin A	150 μ M/20 μ M	serine and cysteine protease inhibitors	Small increase
Vesicle transport	Nocodazole	75 μ M	microtubule polymerization inhibitor	Increase
Autophagy pathway	Bafilomycin A1	75 nM	inhibits autophagosome-lysosome fusion	Increase
	Wortmannin	100 nM	phosphoinositide 3-kinases	Increase

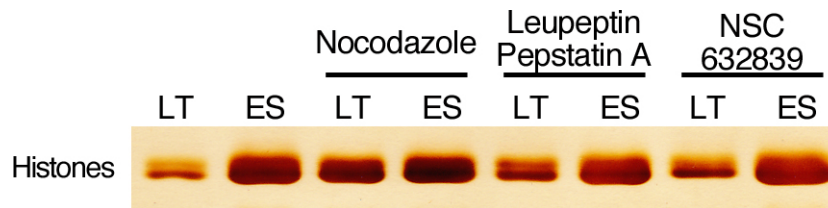


Figure 3.1. *Effect of degradation pathway inhibitor on global histone levels.* Histone proteins were extracted from equal amounts of synchronized parasites at the late trophozoite (LT) and early schizont (ES) stages, resolved on SDS-PAGE and detected by silver staining. Parasites were treated for 6 hours with 75 μ M nocodazole, 150 μ M leupeptin and 50 μ M pepstatin A, and 30 μ M NSC 632839. An increase of histone protein levels is observed when cultures were treated with nocodazole.

The protease inhibitor E-64 had a similar effect on histone levels in *P. falciparum* as leupeptin and pepstatin A (data not shown). However, when parasites were treated with nocodazole, which interferes with microtubule polymerization, an accumulation of histone protein levels was observed (Figure 3.1). These data led us to hypothesize that degradation of histone proteins may be dependent on vesicle-mediated transport via microtubules. To determine if the autophagy pathway may play a role in histone degradation, we incubated cultures with rapamycin and of wortmannin, both targeting phosphatidylinositol 3-kinases (PI3Ks). In the canonical autophagy pathway, PI3Ks are responsible for detecting nutrient levels and inducing autophagy. Rapamycin had no effect on histone protein levels, probably because *P. falciparum* does not have a homolog to the serine threonine kinase named “mammalian target of rapamycin” (mTor). Interestingly, the inhibitory concentration at 50% (IC_{50}) of rapamycin was 3.16 μ M, a relatively high concentration compared to the 10-500 nM that is commonly

used to induce autophagy. On the other hand, incubation of parasites with wortmannin resulted in an increase of histone proteins. Although the kinase that regulates the autophagy machinery in *P. falciparum* remains unknown, these results suggest that PI3K is involved in histone degradation.

To validate the role of the autophagy machinery in histone degradation, histone protein accumulation was studied after incubation of parasites with an inhibitor of the fusion between autophagosome vesicles and the lysosome.. An equal number of synchronized parasites were incubated with bafilomycin A1 for 3 hours and collected at the late ring, early trophozoite, and late trophozoite stage. Histone precipitations were immunoblotted for histone H3. An additional coomassie stained gel was used as a loading control. Parasite cultures incubated with bafilomycin A1 showed an increase in histone H3 levels (Figure 3.2). This data suggests that inhibiting autophagosome-lysosome fusion causes an increase of histone protein in the malaria parasite.

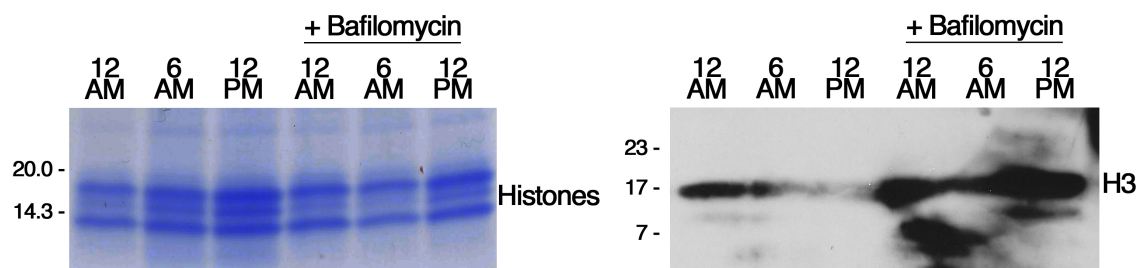
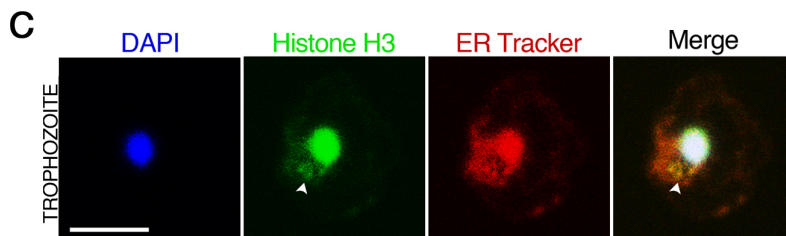
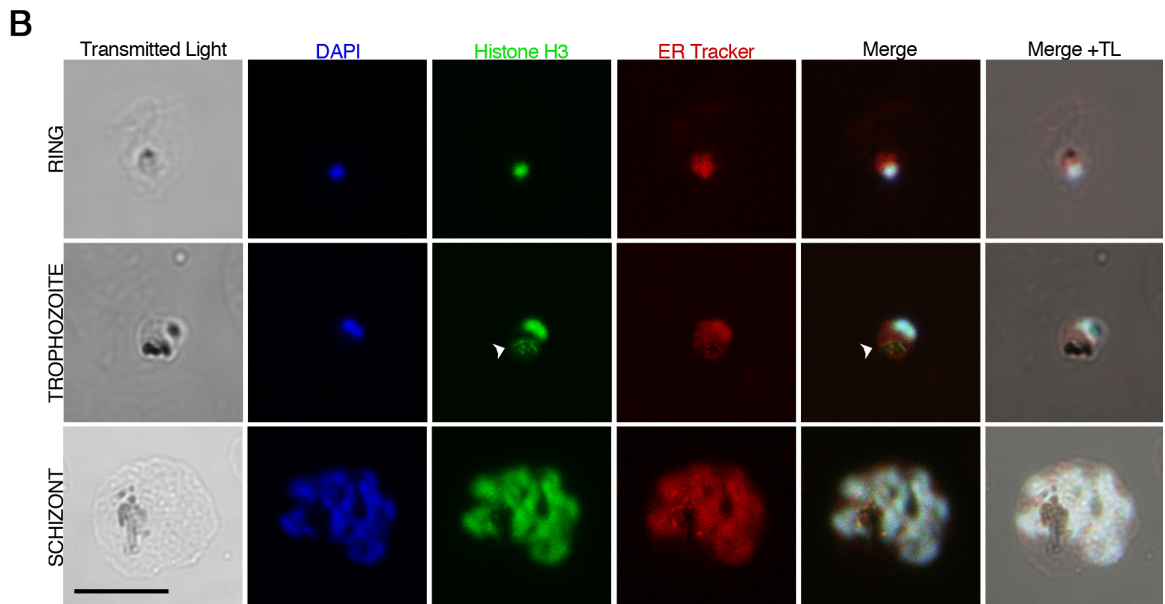
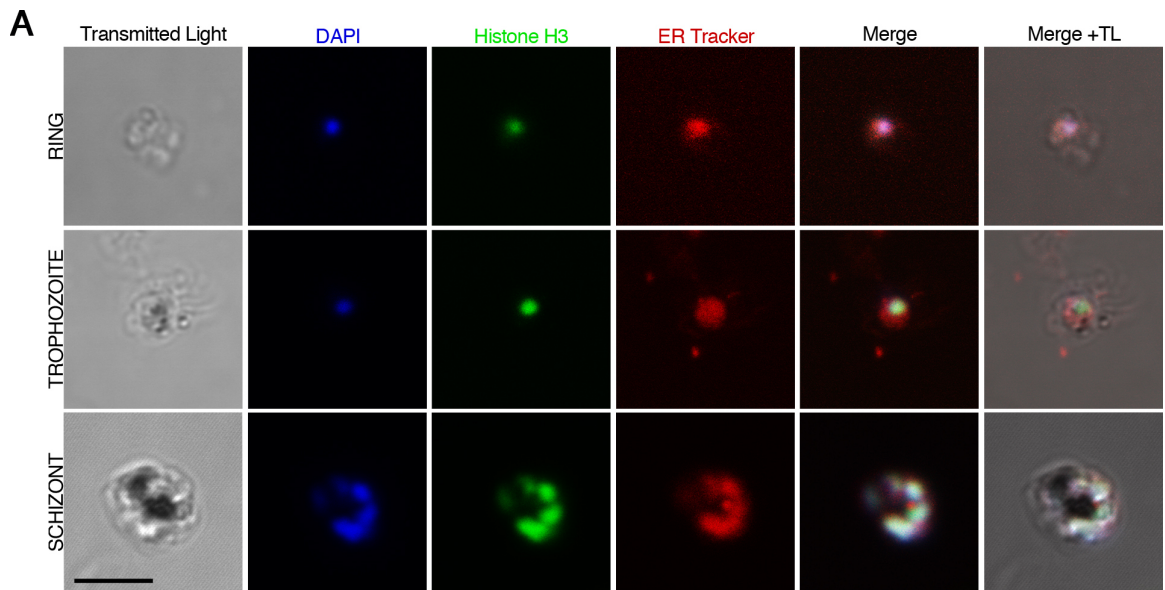


Figure 3.2. Western blot analysis of histone H3 on histone precipitations. Parasite cultures were tightly synchronized and treated with or without bafilomycin A1. Cultures were collected at the late ring (12 AM), early trophozoite (6 AM), and late trophozoite (12 PM) stages. Histones were precipitated and equal amounts of protein were loaded onto an SDS-PAGE. The coomassie stained gel was used as a loading control and an additional gel was immunoblotted for histone H3.

Localization of histone H3 in vesicles outside of the nucleus

To visualize the localization of histone proteins during the degradation process, we incubated synchronized parasites with bafilomycin A1 and nocodazole for 3 hours and subsequently performed immunofluorescence imaging by immunolabeling histone H3 on ring, trophozoite, and schizont stage parasites. Untreated parasites immunolabeled with histone H3 displayed overlap of histone proteins with the DNA marker DAPI (Figure 3.3A). A similar picture was observed for ring-stage and schizont-stage parasites treated with bafilomycin A1 (Figure 3.3B) or nocodazole (Figure 3.3C). However, treatment of parasites at the trophozoite stage, when histone proteins are degraded, resulted in localization of histone H3 both in the nucleus and in small vesicles outside of the nucleus. These results suggest that histones are exported out of the nucleus during the trophozoite stage, and that this process is dependent on vesicle transport.

Figure 3.3. *Extranuclear localization of histone H3 in trophozoite-stage parasites upon bafilomycin A1 or nocodazole treatment.* Parasite cultures were tightly synchronized and incubated with ER tracker (red) before immunolabeling with histone H3 (green). A) Untreated parasites show histone H3 localized with DNA marker DAPI (blue) at all stages of the asexual cycle. B) Parasites treated with 75 nM bafilomycin A1 for 3 hours before harvest. Histone H3 and DAPI show overlap at the ring and schizont stages. At the trophozoite stage histone H3 can be observed outside of the nucleus in small vesicles, indicated by white arrowheads. C) Trophozoite stage parasites incubated with 75 μ M nocodazole display histone H3 staining outside of the nucleus in small vesicles. Scare bar indicates 5 μ m.



Discussion

In *P. falciparum*, a large depletion of histone proteins has been observed at the trophozoite stage of the erythrocytic cell cycle [7] [11,26]. In this study, we show that this degradation of histones is most likely regulated through an autophagy-like process. At the trophozoite stage, treatment of parasites with an inhibitor of the downstream autophagy pathway resulted in accumulation of histone proteins outside the nucleus. Our data therefore suggest that proteins involved with the canonical autophagy pathway have adapted in *P. falciparum* to remove histone proteins in a time-dependent manner. Given the timing of histone degradation at the transcriptionally most active trophozoite stage, the removal of histone proteins may be a prerequisite for the global increase in transcription rates and initiation of DNA replication at this stage.

Autophagy is known to be involved in degradation of nuclear components. In yeast, piecemeal microautophagy of the nucleus (PMN) occurs at the nucleus-vacuole junction, where nonessential portions of the nucleus are pinched off and released into the vacuole [17]. Downstream ATG proteins are required for the PMN events, including proteins involved in vacuole enclosure and vesicle fusion. In addition, cells that undergo nuclear damage can have nuclear waste byproducts, such as histone variant γ H2AX, removed by autophagy [15]. The organization of the nuclear envelope and nuclear pores in *P. falciparum* has recently been under investigation. Electron microscopy has been used to

construct a 3D model of the *P. falciparum* nucleus, showing that the number of nuclear pores increases at the trophozoite stage [27]. These nuclear pores appear to be located nearby loose euchromatin regions and not adjacent to heterochromatin. Comparative genomic studies have shown that *Plasmodium* parasites lack clear orthologues of most nuclear pore components [28]. Identification of a domain containing phenylalanine-glycine (FG) pairs of amino acids have suggested that nuclear pore components contain FG-repeats, and using this model a novel nuclear pore component (PF3D7_1446500) was identified [11]. More recently, an unusual nucleoporin of *P. falciparum*, PfSec13, was found to be a fusion between Sec13 and Nup145c of yeast and to associate with euchromatin [29]. In yeast, Sec13 forms a heterodimer with Sec31 to form a cage that will cause ER membrane to bud into COPII-coated vesicles, which are required for ER to golgi transport [30]. Moreover, a recent study showed that parasites communicate via exosome-like vesicles that are capable of transferring genes between individual parasites [31]. It thus seems likely that nuclear pore complexes associate with euchromatin, possibly assisting in budding off portions of the nuclear membrane into vesicles. Although future studies will be required to investigate the formation, cargo, and transport of these vesicles, we speculate that histone proteins may be encapsulated at the nuclear membrane and transported to the lysosome to be degraded.

Additional experiments will be required to validate our hypothesis of an autophagic-like process regulating histone depletion in the malaria parasite. ATG8 is the standard autophagosome marker and is essential to autophagosome formation. In yeast, ATG8 becomes lipidated and incorporated into autophagosome membranes, and is essential for autophagy in eukaryotic systems. Using a parasite strain that is transiently transfected with a GFP-PfATG8 fusion construct, we are planning to immunolabel histone H3 to confirm the localization of histone proteins within PfATG8 vesicles by immunofluorescence microscopy. To deduce whether PfATG8-coated vesicles transport histone proteins outside the nucleus and ultimately fuse with the lysosome to degrade the histones, we will perform immuno-transmission electron microscopy, using gold-labeled antibodies directed against PfATG8 and histone H3. Preliminary experiments to immunoprecipitate GFP-PfATG8 and assess the association of histone with PfATG8 by immunoblot were unsuccessful. We will therefore extract PfATG8 vesicles using ultra-centrifugation, isolate their content and subsequently identify cargo proteins by mass spectrometry. . In order for proteins to be enveloped by a membrane, a chaperone or scaffold protein may be involved to bind the highly basic histone. To identify proteins associated with histone H3, we will treat trophozoite stage parasites with bafilomycin A1 to induce accumulation of histone outside the nucleus, isolate the cytoplasmic protein fraction, perform immunoprecipitation of histone H3 and subsequently analyze

H3-associated proteins by mass spectrometry. Overall, these future experiments will strengthen our current data and clarify the role of autophagy in histone degradation.

Conclusion

In this study we demonstrate that histone proteins are depleted at the trophozoite stage by an autophagic-like process. Using nocodazole, an inhibitor of microtubule polymerization, and bafilomycin A1, an inhibitor of autophagosome-lysosome fusion, we show that histone proteins accumulate outside of the nucleus. This data suggests that histone proteins may be enveloped within a vesicle and transported to the digestive food vacuole. We speculate that removal of histone proteins is a prerequisite for gene transcription and DNA replication. Future studies will be required to identify a histone chaperone protein and validate that histone proteins are transported to the lysosome for degradation.

Materials and Methods

P. falciparum parasite culture

The following reagents were obtained through the MR4 as part of the BEI Resources Repository, NIAID, NIH: *Plasmodium falciparum* 3D7, MRA-102, deposited by DJ Carucci; Dd2, MRA-156, deposited by TE Wellems; D10 ACP(leader)-GFP, MRA-568, deposited by AF Cowman; W2, MRA-157, deposited by DE Kyle; 3D7HT-GFP, MRA-1029, deposited by A Talman and R Sinden; and NF54, MRA-1000, deposited by M Dowler, Walter Reed Army Institute of Research. *P. falciparum* strains were cultured in human O⁺ erythrocytes as previously described [23]. The D10 ACP-GFP transfectant strain was supplemented with 100 nM pyrimethamine [24]. The GFP-PfAtg8 transfectant strain was supplemented with 5 nM WR99210. Cultures were treated with 75 nM bafilomycin, 400 nM MG-132, 75 μM nocodazole, 30 μM NSC 632829, 25 μM E-64, or 50 μM of pepstatin A and 150 μM leupeptin for 6 hours.

Immunofluorescence staining

Parasites cultures were rinsed in 0.015% saponin with incomplete media and air dried onto slides. ER-TrackerTM Red (Molecular Probes) was added for 30 minutes at a final concentration of 1 μM. Parasites were fixed with 4% paraformaldehyde in PBS for 15 minutes and permeabilized with 0.1% Triton x-

100 for 10 minutes. Anti-histone H3 (abcam 1791) antibody was incubated in IFA buffer (2% BSA, 0.05% Tween-20, 100 mM glycine, 3 mM EDTA, 150 mM NaCl, 1x PBS) at 1/200 for 1.5 hours at room temperature. Slides were washed with 0.1% Tween-20 before incubation with secondary antibody (goat anti-rabbit IgG, FITC conjugate (AP132F)) in IFA buffer for 1 hour. Images were acquired with the fluorescent confocal Leica SP2 microscope.

Histone Precipitation

Parasites were extracted from RBCs by 0.15% saponin treatment. Nuclei were released in lysis buffer (25 mM Tris HCl pH 7.8, 1 mM EDTA, 0.25% [v/v] Igepal® CA-630, 2 mM PMSF, 20 mM NEM, and Roche complete mini EDTA-free protease inhibitor cocktail) [6], and washed with 0.8 M NaCl before histone acid extraction with 0.25 M HCl [25]. Histones were precipitated by trichloroacetic acid and resuspended in SDS-PAGE loading buffer.

Immunoprecipitation

Parasites were extracted from red blood cells (RBCs) with 0.15% saponin treatment. Immunoprecipitation buffer (50 mM Tris-HCl pH 7.5, 150 mM NaCl, 5 mM EDTA, 1 mM PMSF, 1% Triton x-100, and Roche protease inhibitor tablet) was added to parasite pellet, followed by mechanical lysis of parasites by syringe and needle. After centrifugation for 15 minutes at 13,000 g, parasite lysates were

collected and PureProteome magnetic protein A beads (Millipore) were added to preclear lysate for 2 hours. Precleared lysate was collected, the anti-GFP antibody (Abcam, ab290) was added to a final concentration of 5 $\mu\text{g/ml}$ and the antibody-lysate suspension was incubated overnight at 4°C. Immunocomplexes were collected by 30-minute incubation with protein A beads. Magnetic beads and bound protein complexes were washed with four wash buffers (A: 1x PBS, 1% NP40, 1 mM EDTA, and 1% BSA; B: buffer A with 0.5 M NaCl; C: 1x PBS, 1% NP40, 1 mM EDTA; D: 1x PBS, 1 mM EDTA). Bound proteins were eluted with 0.1 M glycine pH 2.8 for 5 minutes at room temperature and neutralized to 100 mM Tris-HCl.

Western blot

Immunoprecipitation samples were resolved on a 16.5% tris-tricine gel (Bio-rad) and transferred to PDVF membrane. Proteins were subsequently detected by immunoblotting, using the following antibodies at the indicated concentrations: rabbit anti-Histone H3 (Abcam 1791) 1:3000; rabbit anti-GFP (Abcam, ab290) 1:3500; rabbit anti-PfAtg8 (ThermoFisher) 1:1500; goat anti-rabbit IgG HRP conjugate (Bio-rad) 1:25,000.

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Conclusion

In recent years, the malaria field has made substantial progress in understanding the mechanisms that regulate gene expression in the parasite. The data presented in this dissertation contribute to the understanding of parasite gene regulation, and support a model in which gene transcription during the asexual stage is regulated by opening and compacting of chromatin structure. When chromatin is “closed” during the ring and schizont stage, transcription rates are at low levels. Conversely, at the trophozoite stage DNA is “open”, the nucleus is larger in size, and transcription rates are high. With increasing support that the human malaria parasite is constitutively ready for active transcription, it becomes clear that the space required to access DNA must be regulated. Therefore, one of the interesting future directions for malaria research will be to focus on the movement of lipids from the host erythrocyte to the nuclear envelope at the early trophozoite stage. Understanding how the nuclear envelope (NE) enlarges to allow increases in transcription and initiation of DNA replication will be an important piece of the gene regulation puzzle.

Previous studies focused heavily on deciphering the ‘histone code’ in *P. falciparum*, with hopes that parasite specific post-translational modifications (PTMs) would regulate gene expression. Surprisingly, many genes in the parasite genome appear to be marked as transcriptionally active with high levels of

acetylation PTMs during the erythrocytic stage [1, 2]. Conversely, only a small number of genes with transcriptionally silencing PTMs, such as methylation, were detected. Methylation of histones appears to be restricted to virulence factors involved in antigenic variation that are clustered in subtelomeric regions [3]. Only a single *var* gene is expressed at a time, and switches in *var* variant expression contribute to evasion of detection by host immunity. In particular, methylation of H3K36 seems essential for transcriptional repression of *var* genes [4]. Our comprehensive map of PTMs data correlates with these previous findings. Interestingly, we detected additional novel PTMs sites on the globular domain of histone proteins, although their functions remain unknown. Characterization of these PTMs will be necessary to determine if they play a role in gene regulation.

From examining mass spectrometry data obtained to study histone PTMs, it appeared that histone proteins show a decrease in abundance at the trophozoite stage. Various groups with multiple experimental approaches have observed this phenomenon [5, 6]. Preliminary data indicated that the autophagy pathway may play a role in histone degradation, but at the time only a few publications examined autophagy in *Plasmodium*. The first research article describing the function of Atg8, an autophagosome membrane marker, in *P. falciparum* was published in 2012 and suggested PfAtg8 was involved with apicoplast biogenesis at the merozoite stage [7]. We made a similar observation, and in addition, we continued to localize and characterize PfAtg8 throughout the

entire erythrocytic stage and determined that the autophagy pathway is multifunctional. In addition to apicoplast biogenesis, the autophagy pathway is involved with, but not limited to, vesicle traffic, protein catabolism, and export and import into the host RBC. Moreover, we showed that the autophagy machinery is responsible for the transport of histone proteins before degradation by the food vacuole. This novel parasite pathway answers our hypothesis of the autophagy machinery regulating histone depletion, but it has created numerous questions regarding transport in *P. falciparum*.

Transport mechanisms remain ambiguous in *P. falciparum*, because the parasite has atypical features compared to model organisms. Within a single infected erythrocyte, there are additional organelles within the parasite (rhoptries, micronemes, the digestive food vacuole, and apicoplast) and sites within the host RBC (the parasitophorous vacuole and membrane, Maurer's clefts, and the cytoplasm and plasma membrane) that require proper transport of proteins. Transport from the nucleus is currently becoming a hot topic in cell biology. In 2012, the canonical view of nucleocytoplasmic transport changed from nuclear pore complexes (NPCs) being the sole gatekeepers to include nuclear envelope budding [8]. Large ribonucleoprotein particles that are too large to pass through the NPC, egress from the nucleus using a mechanism that was first discovered in the egress of herpes viruses. Instead of the virus disrupting the nuclear lamina during egress, it was shown that this pathway is an endogenous nuclear export

pathway. One year later, *P. falciparum* was found to communicate to each other and transfer DNA via extracellular vesicles that are exosome-like [9]. These exciting breakthroughs highlight gaps in vesicle traffic from the nucleus and will lead to subsequent characterization of nuclear transport systems. Deciphering the mechanisms that regulate vesicular traffic to and from the nucleus will remain a high priority within the field.

The atypical transport that occurs within the parasite may play a significant role in cell cycle progression and gene regulation. One area that has been largely overlooked is movement of lipids within the parasite and its contribution to NE expansion. The volume of the trophozoite nucleus is 14 times larger than the nucleus at the ring stage [10]. This dynamic change of the NE can be attributed to an increase in NPCs and lipids. The 3D structure of the nucleus throughout the asexual cycle was examined by an emerging electron microscope technique, known as 'slice and view', that allows acquisition of serial surface images using a scanning electron microscope [11]. At the ring stage a few NPC are clustered closely together, but NPC biogenesis at the trophozoite stage increases numbers up to 58 pores per nucleus, which are distributed evenly around the NE. NPC biogenesis stops before schizogony and subsequent mitotic divisions leave daughter merozoites with a few clustered NPCs. Although in *silico* analysis of the *Plasmodium* genome has been unsuccessful in identifying orthologues of most NPCs [12], studies are underway to identify novel nuclear pore components [5]. It

would be interesting to identify the composition in ring and trophozoite nuclei to determine the main protein contributor of membrane expansion. Speculation has been made that nuclear size does not determine the packing of the genetic matter, but whether nuclear size promotes gene transcription has not been addressed.

Currently, little is known about the mechanisms that regulate nuclear membrane remodeling in model organisms. NE lipid composition of rat liver is mostly composed of phospholipids at 65%, 15% are free fatty acids, 10% cholesterol, and the remaining lipids are cholesterol ester, diacylglycerol, and triacylglycerol [13]. To date the lipid composition of *P. falciparum*'s NE has not been studied and remains unknown. However, alterations in RBC membrane phospholipid organization [14] and *Plasmodium* uptake of phospholipids from RBC and human serum have been observed [15]. Blocking lipid transport may cause the NE to remain small and refrain chromatin from obtaining an "open" state. By restricting the size of the nucleus DNA transcription and replication effectors cannot access transcriptionally permissive DNA due to chromatin compaction. A recent report showed starved parasites enter a hibernatory state at the mid-trophozoite stage and genes involved in glycolysis, translations, transcription, and apicoplast and mitochondrial function appear to remain normal, albeit at a slowed rate [16].

In addition, apicoplast-minus parasites, generated using antibiotics and supplementing cultures with isopentenyl pyrophosphate (IPP), can be grown indefinitely and suggest the only essential function of the apicoplast is isoprenoid precursor synthesis [17]. Apicomplexan protists rely on the prokaryotic MEP/DOXP/non-mevalonate pathway for synthesizing isoprenoid precursors instead of the canonical mevalonate pathway most eukaryotes and all mammals use [18]. Interestingly, the downstream isoprenoid products and their function during the asexual cycle are unknown in *P. falciparum*. However, IPP in plants are building blocks to sterols, which stabilize plasma membranes [19]. If synthesizing isoprenoid precursors is the essential function of the apicoplast, could IPP be converted to sterols in order to stabilize the host RBC plasma membrane? Is there an exchange of sterol and phospholipids that allows the parasite to utilize the phospholipids from the host RBC plasma membrane to enlarge the nuclear envelope? To address these questions, future work should focus on determining the lipid transport mechanisms required to enlarge the NE. Although *P. falciparum* is far from being considered a model organism, understanding the atypical transport mechanisms that the human malaria parasite employs could impact the malaria field as well as the vesicle transport field.

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Appendices

Chapter 1a

High-content live cell imaging with RNA probes: advancements in high-throughput antimalarial drug discovery

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Summary

Malaria remains a major public health issue in developing countries. With increasing drug resistant parasite strains and no available vaccine, continuing the search for novel antimalarial compounds remains a high priority for malaria research. Antimalarial drug assays first utilized radioactive compounds and current screens employ DNA fluorescent dyes to measure parasite propagation. Additional tests are needed to examine parasite morphology and host red blood cell integrity. In this study, we screened a library of RNA probes and found 3 to fluoresce live *P. falciparum*. Using a high-content imaging platform, we can efficiently examine the effects of unknown compounds on individual parasites. Overall, we established a robust antimalarial screening method using high-content live cell imaging with RNA probes.

Abstract

Background: Malaria, a major public health issue in developing nations, is responsible for more than one million deaths a year. The most lethal species, *Plasmodium falciparum*, causes up to 90% of fatalities. Drug resistant strains to common therapies have emerged worldwide and recent artemisinin-based combination therapy failures hasten the need for new antimalarial drugs. Discovering novel compounds to be used as antimalarials is expedited by the use of a high-throughput screen (HTS) to detect parasite growth and proliferation. Fluorescent dyes that bind to DNA have replaced expensive traditional radioisotope incorporation for HTS growth assays, but do not give additional information regarding the parasite stage affected by the drug and a better indication of the drug's mode of action. Live cell imaging with RNA dyes, which correlates with cell growth and proliferation, has been limited by the availability of successful commercial dyes.

Results: After screening a library of newly synthesized styryl dyes, we discovered three RNA binding dyes that provide morphological details of live parasites. Utilizing an inverted confocal imaging platform, live cell imaging of parasites increases parasite detection, improves the spatial and temporal resolution of the parasite under drug treatments, and can resolve morphological changes in individual cells.

Conclusion: This simple one-step technique is suitable for automation in a microplate format for novel antimalarial compound HTS. We have developed a new *P. falciparum* RNA high-content imaging growth inhibition assay that is robust with time and energy efficiency.

Background

Malaria continues to be a major public health issue in many parts of the developing world [1]. Each year 300 to 500 million new clinical cases are officially reported. In the mid-fifties, the World Health Organization (WHO) launched a worldwide malaria eradication campaign using effective and inexpensive therapeutics and insecticides in designated malaria-infected areas. The program resulted in the elimination of endemic malaria in developed countries and a significant reduction of cases in developing parts of the world. The emergence of chloroquine-resistant parasites and DDT-resistant mosquito vectors has led to a reappearance and spread of malaria in most of the developing world. With the absence of an efficient vaccine, worldwide resistance to all commonly used antimalarial drugs (quinine, aminoquinolines and antifolate derivatives), and the concern of emerging resistance to our last defense against this disease (artemisinin-based combination therapies) [2], there is a dire need for new antimalarial strategies.

One approach to the discovery of new therapeutic agents involves the identification of inhibitory small molecules through whole parasite-based screens. In such assays, large collections of small molecule libraries can be tested against parasite growth in culture. For decades, accurate and reliable quantitative assessment of the drug effects on parasite growth has been achieved by blood smear microscopic examinations and *in vitro* measurement of parasitic uptake of

radioactive substrates [3-5]. However, these methods are relatively expensive, require multi-step procedures and are time-consuming. They become impractical as technology advances and the volume of small molecule libraries increases. Over the past few years, a number of new techniques have been developed to improve the cost and compatibility for today's automated high-throughput screening (HTS) facilities. These techniques include colorimetric assay [6]; such as, fluorescence-based assays that measure parasite nucleic acids using fluorescent dyes (e.g. Hoechst [7, 8], PicoGreen® [9], SYBR Green I [10, 11], YOYO-1 [12] and DAPI [13]), or stable expression of chimeric fluorescent protein [14]. All these techniques have been used and adapted in automated or semi-automated HTS analyses using microplate readers or flow cytometry, and have proven to be reliable and cost-effective [12, 13, 15, 16]. However, while these techniques allow the quantification of parasite growth in human erythrocyte cultures, they detect an average response from the whole population and are inapt to efficiently detect the drug effect at the morphological level. Morphological analysis can give additional information regarding the parasite stage affected by the drug and eventually an indication of the drug's mode of action. For such analyses, investigators are still compelled to examine Giemsa-stained infected blood smears under brightfield microscopy [15-17]. While today this methodology can be completed using a semi-automatic image analysis

system [18], it requires a laborious multi-step preparation of fixed, stained blood smears and an experienced technician.

Recently, the development of high-throughput cellular imaging has emerged as a crucial tool to allow the integration of biologically complex effects into drug discovery. Such techniques can overcome the limitations of cellular-based high-throughput screening that measure a “simple” survival count by detecting morphological changes of individual cells in a microplate well. Cellular imaging technologies have been used in all stages of drug discovery including target discovery or mode-of-action studies [19]. When confocal microscopes and image-analysis tools are combined, cellular imaging platforms can facilitate the detection of cytotoxicity or cellular phenotypic changes in a cell population, and lead to the discovery of new drug targets [20]. Membrane permeable fluorescent molecules have been one of the most viable tools for live cell imaging technology [13]. Several permeable dyes, such as nucleic acid dyes (e.g. Hoechst), are commercially available and have been used in malaria high-throughput screening. While DNA measurement has been shown to be efficient in detecting parasite growth in the high-throughput screening format, the use of such dyes for parasite structural analysis is limited. Specific RNA quantification, which also correlates with cell growth and proliferation, could significantly increase parasite detection and improve the spatial and temporal resolution of the malaria parasite under drug treatment. However, cell-permeable RNA specific dyes are not

readily available and are limited in their successful use, even though the exploitation of both permeate DNA and RNA dyes would be particularly valuable for high-throughput screening and high-content imaging in *Plasmodium*.

To identify malaria parasite specific RNA probes that could improve live cell microscopy imaging, we have screened a combinatorial library of 125 fluorescence styryl molecules [21, 22] with infected red blood cells in a microplate format. Microplates were analyzed using the BD Pathway HT, an automated confocal imaging workstation. This inverted confocal microscope with temperature and carbon dioxide regulation chamber allows suspension cultures to be viewed without a fixation step. Fourteen dyes were found to have fluorescence intensity comparable or superior to DAPI staining. Out of the fourteen dyes, three dyes displayed a higher affinity to RNA. They exhibit a specific RNA staining pattern representative of the different morphological stages of the malaria parasite erythrocytic cycle. Using the identified RNA dyes and a high-throughput confocal imaging system, we have developed a sensitive and simple one-step fluorescence-based assay for use in *Plasmodium* high-content imaging. Inhibitory concentrations of known antimalarials using our image-based assay were similar to current nucleic acid dye and spectrophotometer assays. This new technology is expected to enhance the malaria drug discovery program and could eventually be adapted as an automated solution to screen parasitemia in infected patients.

Results

Screening of styryl dye library and identification of three RNA dyes.

To identify new parasite RNA dyes, we screened a library of styryl compounds. Styryl dyes were synthesized and purified using a condensation reaction between methylated-pyridine derivatives and aldehydes, as previously described [21, 22]. Cultures were diluted in microplates and incubated with the styryl compounds for 30 minutes at 37°C in the dark. Live cell imaging was performed using the Pathway HT microscope with temperature regulated at 37°C. This automated spinning disk confocal microscope with a motionless stage allows a monolayer of erythrocytes to be observed without fixation and minimal sample disruption. The Pathway HT was programmed to auto-focus to acquire transmitted light and fluorescent images in a special optics 96-well microplate format. Our Pathway HT is customized with high efficiency Semrock bandpass filters, and samples were imaged with all fluorescence combinations of Semrock DAPI, GFP, FRET, CFP, YFP, and Texas Red BrightLine filter sets to measure fluorescence intensity of the initial 125 RNA probes screened (Figure a1.1). From the initial 125 styryl dyes, fourteen RNA-probes were found to have fluorescent intensities similar or superior to 4'-6-diamidino-2-phenylindole (DAPI) and SYBR Green DNA staining.

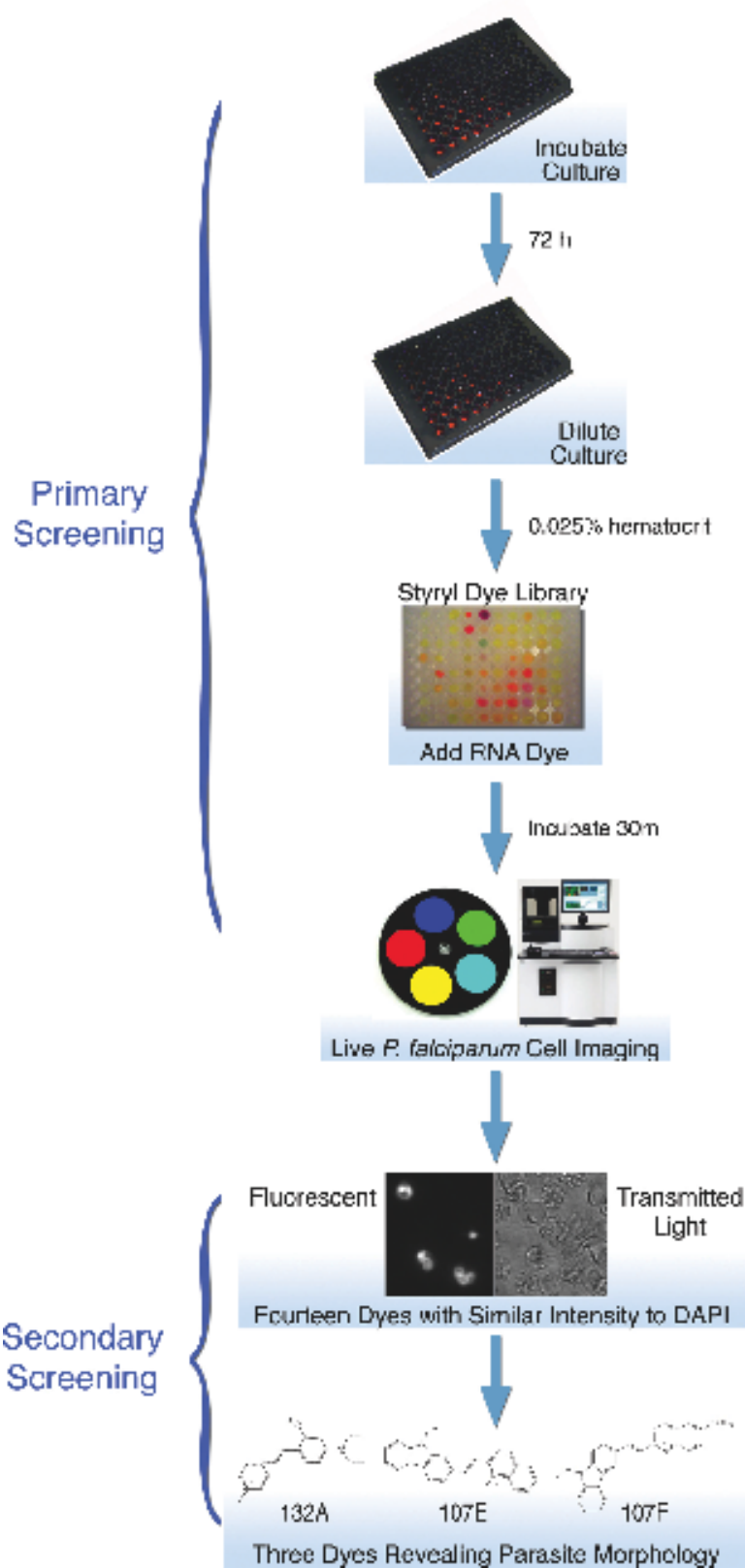


Figure a1.1. Design of the RNA imaging *P. falciparum* growth assay. Cultures were incubated in a 96-well microplate with antimalarial compounds in complete medium for 72 hrs under a standard gas environment at 37°C. After the drug incubation period, cultures were diluted to a 0.025% hematocrit to form a monolayer of erythrocytes in a 96-well microplate. RNA dyes were added and incubated at 37°C for 30 min to stain parasites in infected erythrocytes. An automated fluorescent microscope, the Pathway HT, was used to detect and obtain images of fluorescent stained parasites, as described in Materials and Methods. Transmitted light images were also obtained to verify erythrocyte infection. Fourteen dyes were found to have similar intensity to DAPI and SYBR Green I in the primary screen. 132A, 107E, and 107F dyes were found to have a higher affinity to RNA, displaying a diffused staining throughout the parasite, in the secondary screening

Out of the 14 dyes selected, three exhibited a higher affinity to RNA and displayed characteristic nucleolus targeting with a diffused staining pattern throughout the cytoplasm in all intraerythrocytic stages observed. Fluorescence properties of RNA-probes 132A and 107E were analyzed at the reported excitation 500 and emission 610 [21]. We found the YFP 500/24 excitation filter and Texas Red 624/40 emission filter gave the best fluorescent signal with dye 132A; while the long Stokes shift CFP 438/24 excitation and YFP 542/27 emission filter combination worked best for 107E and 107F (Figure a1.1). To determine the optimum working dyes concentration 10 μ M, 7.5 μ M, 5 μ M, and 2.5 μ M were tested in infected erythrocytes. Fluorescent images taken with a 5 μ M concentration provided an optimum signal to noise ratio. The three dyes demonstrated a signal to noise ratio of 14:1 for 107E, 11:1 for 107F, and 16:1 for 132A. The signal to noise ratio of 132A supports previous findings of the compound's fluorescent emission fold changes to RNA [21]. Little photobleaching and no visible change in cell morphology due to toxicity were detected during the analyses (Figure a1.2A). The selected RNA dyes were sensitive enough to identify small-sized parasites, merozoites, and provided detailed images to differentiate between asexual, trophozoite, and early sexual stages of the malaria parasite (Figure a1.2B).

To determine if the RNA probes specifically target RNA, an RNA versus DNA specificity solution assay was performed. 132A, 107E, and 107F at a 10 μ M

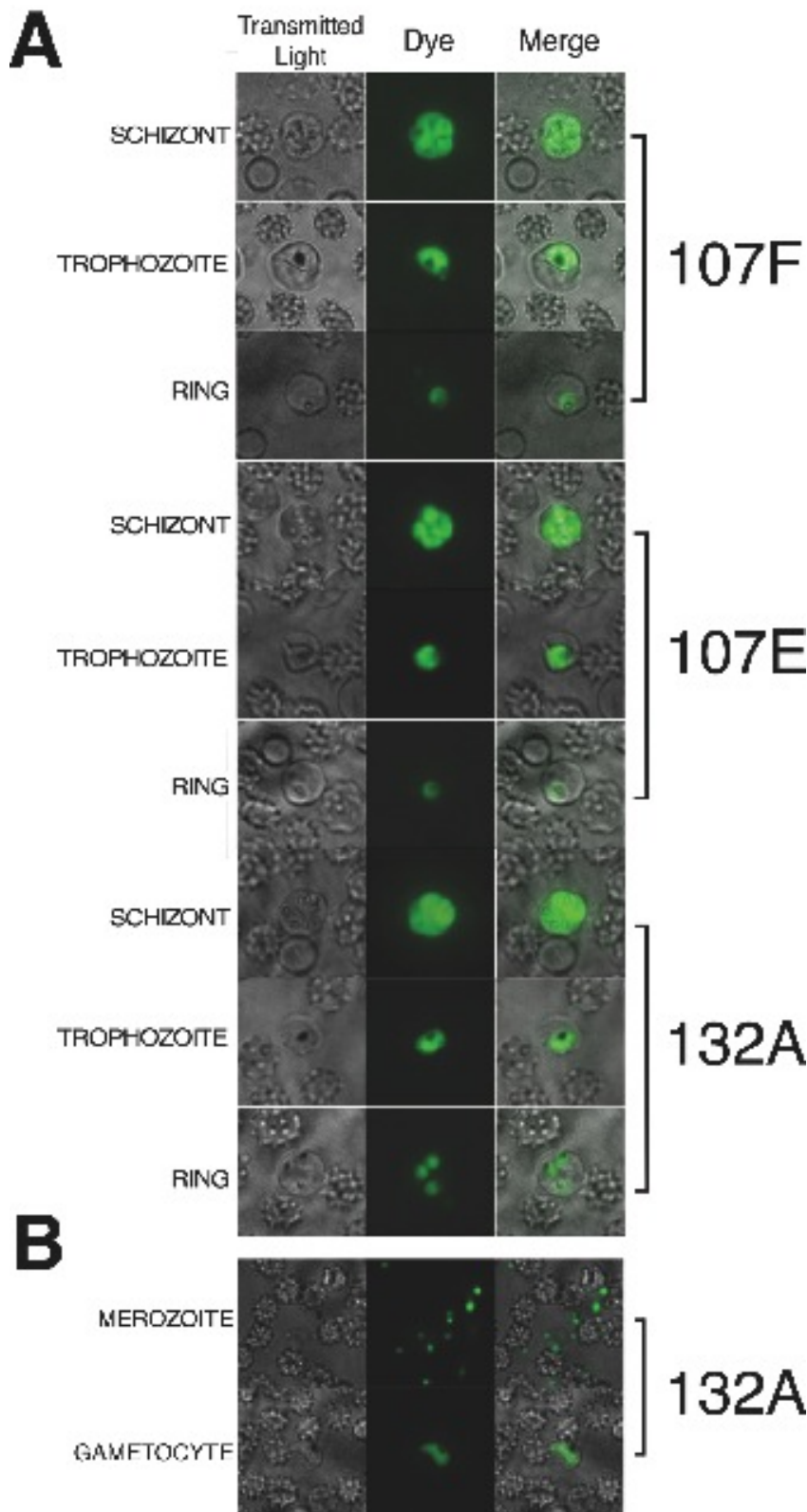


Figure a1.2.
 Morphological analyses of malaria parasite with RNA probes. A) RNA dye staining of *P. falciparum* during schizont, trophozoite, and ring stages of erythrocyte life cycle were colored in green. RNA dyes were obtained using excitation 438/24 and emission 542/27 for 107F and 107E, and a red filter excitation 500/24 and emission 624/40 for 132A. B) RNA dyes are sensitive enough to detect merozoites and gametocytes in culture.

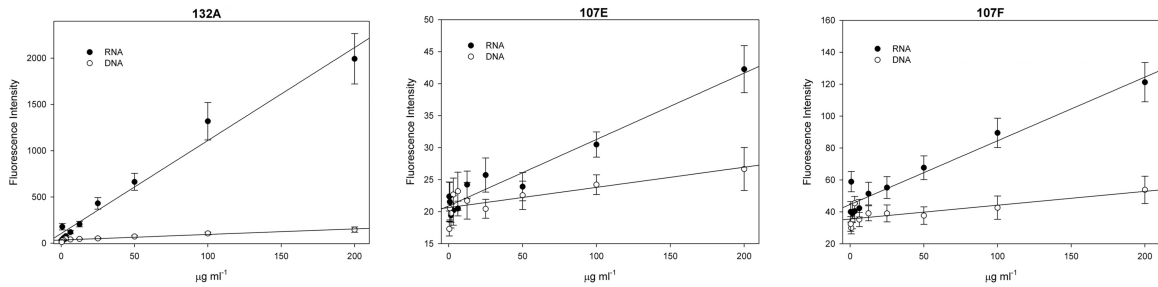


Figure a1.3. RNA versus DNA specificity solution assay. Fluorescence intensity of two-fold serial dilutions of *P. falciparum* RNA and DNA, with a $10\mu\text{M}$ concentration of RNA probes. Dyes display a higher fluorescence intensity with RNA than DNA.

concentration were added to two-fold serial dilutions of *P. falciparum* RNA and DNA. Fluorescence intensity as a function of RNA and DNA concentrations, displayed a higher response when bound to RNA when compared to DNA (Figure a1.3). These results correspond to better nucleoli targeting in the live cell [21]. Furthermore, 132A exhibited a higher response in fluorescence intensity when bound to RNA in a sample containing DNA (Figure a1.4).

To compare selectivity and sensitivity of our selected dyes with classical DNA staining, cell cultures were counterstained with the nucleic acid stain DAPI and Hoechst. Dyes 107E, 107F, and 132A efficiently permeated infected erythrocytes without the aid of lysis buffers or detergents. 132A displayed greater permeable properties and sensitivity in detecting parasites than DAPI (Figure a1.5A), which is used in current HT antimalarial screens. High-content imaging can benefit by using both DNA and RNA dyes to assist in parasite stage analyses.

Synchronized cell cultures stained with 107E, 107F, and 132A RNA dyes, and

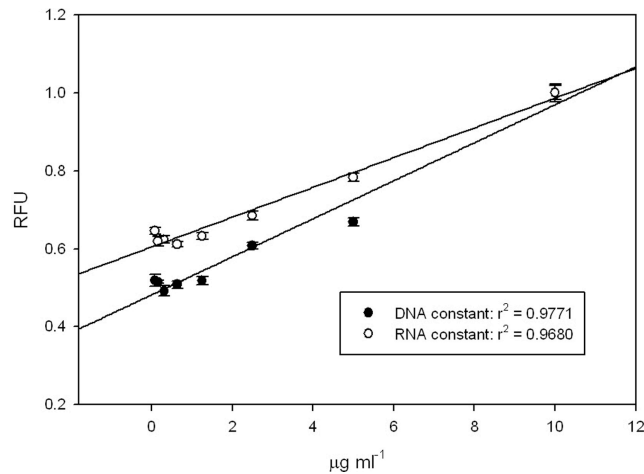
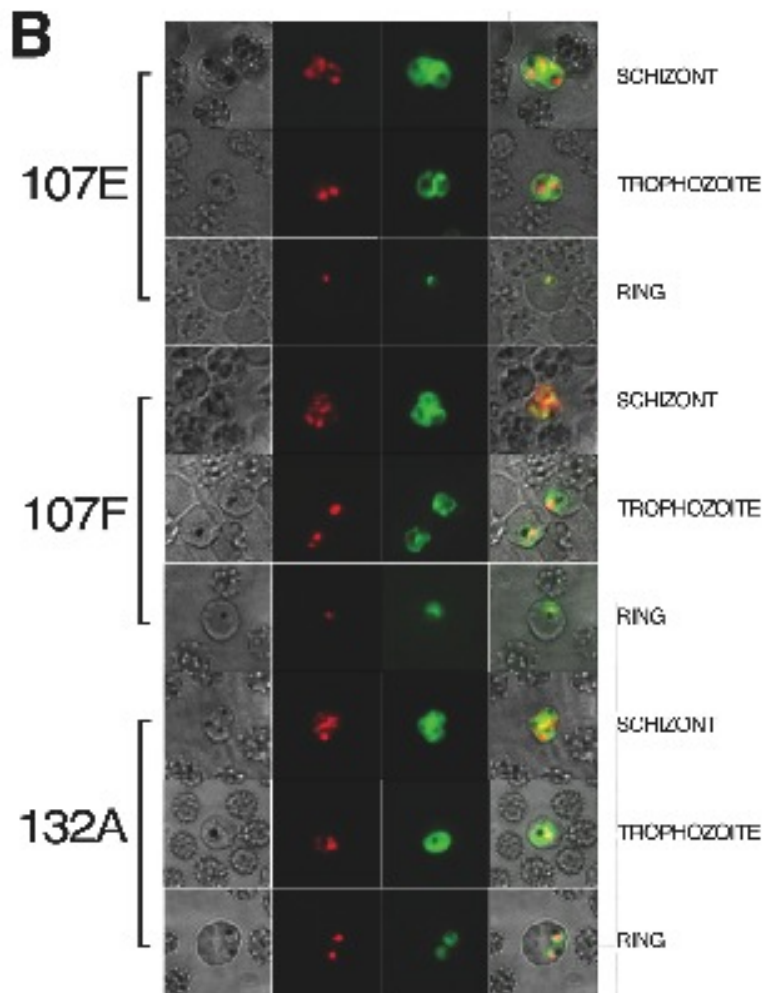
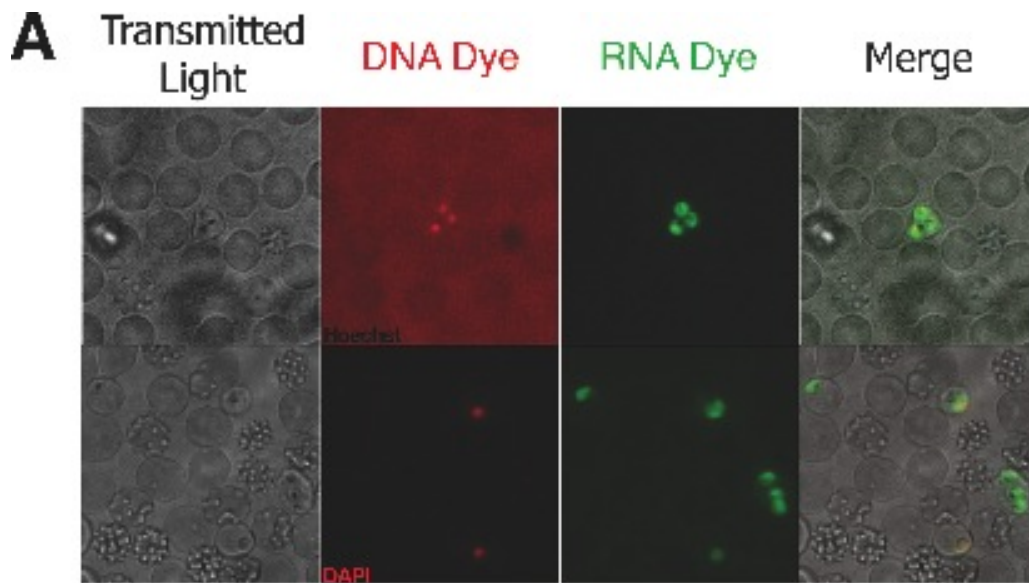


Figure a1.4. *DNA: RNA binding assay.* Graph represents 132A staining of RNA and DNA in a mixed population, with one nucleic acid kept at a steady state and the other serially diluted, where the maximum fluorescence intensity was set to one. Steady state RNA was observed to have a higher fluorescence intensity than serially diluted RNA, indicating 132A has a higher fluorescent signal when bound to RNA.

the DNA-specific dye DAPI, allowed the detection of all parasite asexual stages (Figure a1.5B). Co-localization was observed in the nucleus, but RNA dyes targeted the nucleolus and diffused throughout the parasite allowing the visualization of parasite morphology at every erythrocytic stage of the malaria parasite. These data demonstrate the capability of RNA dyes to permeate parasite membranes more efficiently than DAPI staining and significantly increases the quality of the parasite phenotypic analysis.

Figure a1.5. *Counter-staining analyses using fluorometric DNA and RNA dyes.*

A) Counter-staining with DNA dyes demonstrates the sensitivity, permeability and the adequate signal to noise ratio of RNA dyes. Hoechst staining has a significant amount of background compared to 132A. 132A detected parasites that were overlooked by DAPI staining. B) Counter staining with nucleic acid dye DAPI, in red, and RNA dyes 107F, 107E, and 132A, in green, at schizont, trophozoite, and ring stages of erythrocytic cycle. Images obtained by the Pathway HT were grayscale; red and green colors were artificially added to emphasize localization.



Relationship between parasitemia and fluorescence intensity and validation of RNA dyes for their use in a high-throughput malaria growth assay.

To determine the relationship between infected erythrocytes and relative fluorescence intensity when stained with RNA dye, we compared the fluorescence intensity to the percentage of parasite-infected red blood cells. Serial dilutions of infected erythrocytes with 5 μ M of RNA dye were prepared in triplicate and analyzed using the Pathway HT. As shown in Figure a1.5, an almost linear relationship between the percentages of infected red blood cells and the fluorescence signal was observed with an R^2 value of 0.868, 0.959 and 0.916 for 132A, 107E and 107F, respectively. To further validate our assay, we calculated

the Z-factor values for each of the selected dyes. The Z-factor is a statistical characteristic for HTS assays used to compare and evaluate the quality of high-throughput assays [25]. A Z-factor value equal to one is an ideal assay, while

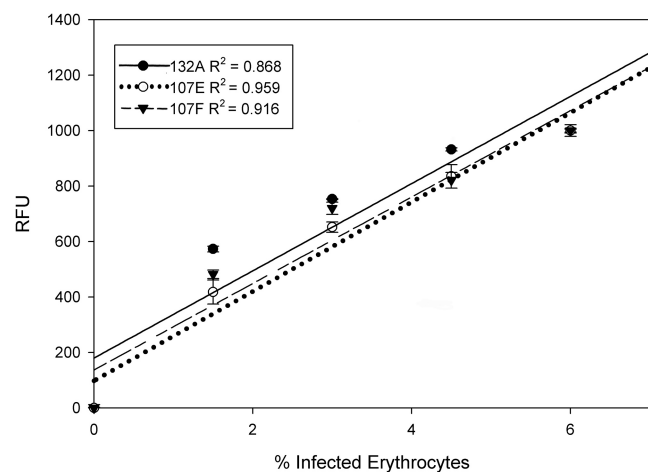
values between 1 and 0.5 are

considered excellent. The Z-factor

was

Figure a1.6. Evaluation of the percentage of *P. falciparum*-infected erythrocytes using fluorescence intensity obtained by the Pathway HT.

Serial dilution of synchronized infected cultures (6% parasitemia) of *P. falciparum* 3D7 strain were conducted as



determined for each of our three selected dyes in a 96-well microplate and exhibited 0.689, 0.706, and 0.728 Z'- values for 107E, 107F, and 132A, respectively. These data demonstrate the ability to determine parasitemia based on relative fluorescence units (RFU), and validates the use of RNA probes for high-throughput live cell imaging.

To ensure that the activities of antimalarials measured by RNA dye and image analysis were consistent with established parasite growth inhibition

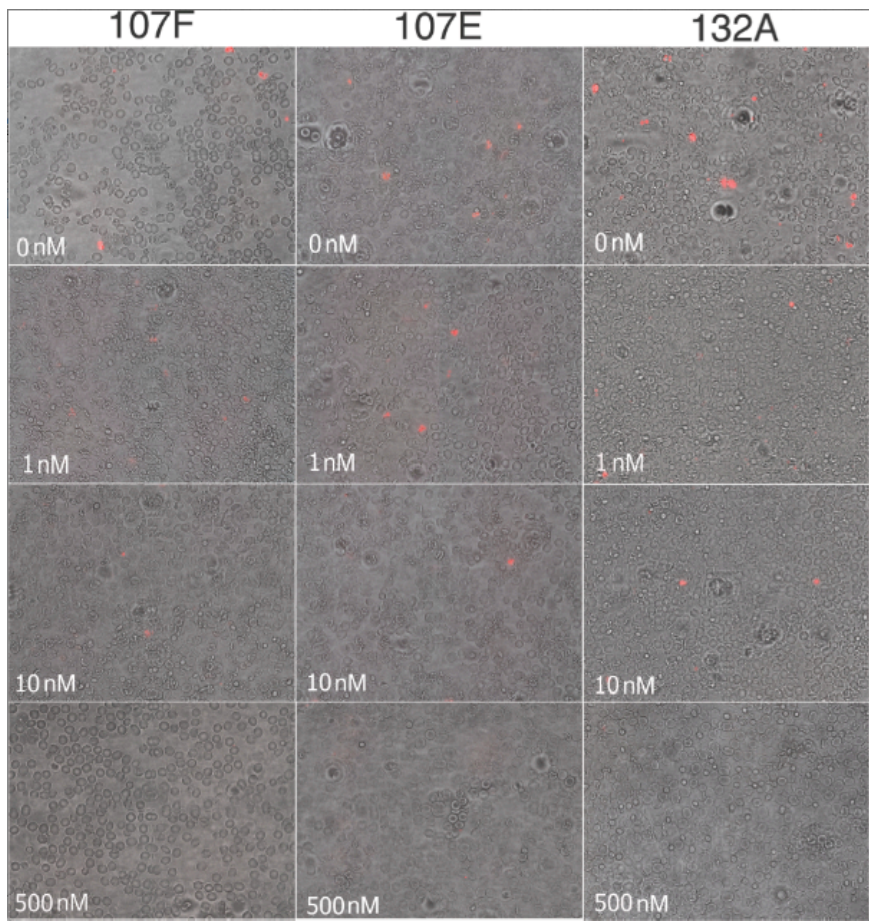


Figure a1.7. Merged montage images. A tiling of two by two images of transmitted light and fluorescent parasites, were taken with the Pathway HT. Decreased parasitemia with increasing concentration of chloroquine was observed with the 3D7 strain.

assays, we measured the IC_{50} values of known antimalarials (chloroquine and artemisinin), and of chloroquine sensitive and resistant parasite strains using the Pathway HT. The Pathway allows the detection of fluorescent parasites based on set parameters and numbers them according to size and intensity.

Furthermore, the Pathway HT montage function compiles multiple frames into one tiled image that provides a large area of observation (Figure a1.7). These data can then be used to determine percentage of parasite growth compared to drug dose. IC_{50} values obtained using RNA dye high-throughput confocal microscopy assay were comparable to IC_{50} values measured by the widely used SYBR Green assay (Figure a1.8). Data were further validated by microscopic analyses of Giemsa-stained blood smears (data not shown). While the IC_{50} values obtained with the three selected dyes are closely related to each other, they did not produce identical results. This can be explained by the differences observed in signal to noise ratios and the small disparate Z' -values obtained for each dye. To further validate the sensitivity of our assay, we calculated the minimum parasitemia detected by the Pathway HT above background. The Pathway HT detected 1 single parasite out of 12,000 RBCs, corresponding to a 0.0083% parasitemia, confirming the sensitivity of the high-content imaging assay.

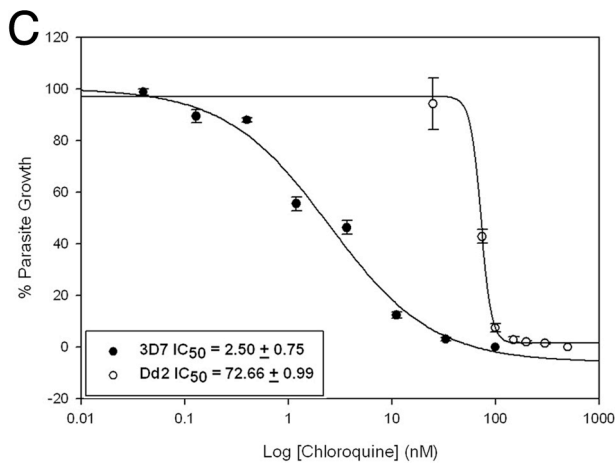
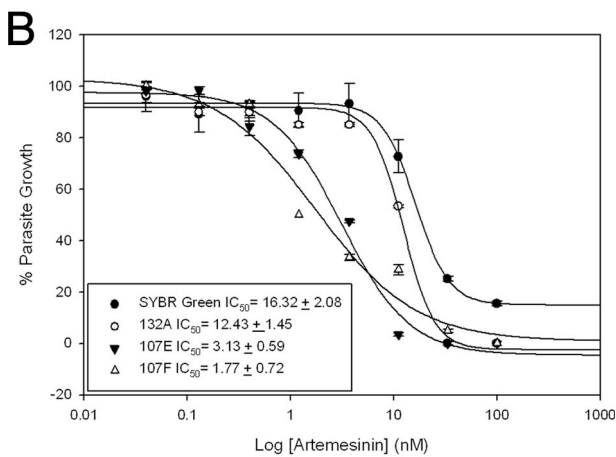
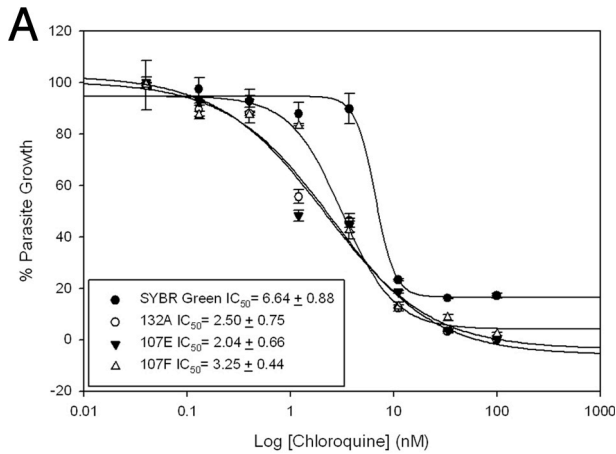
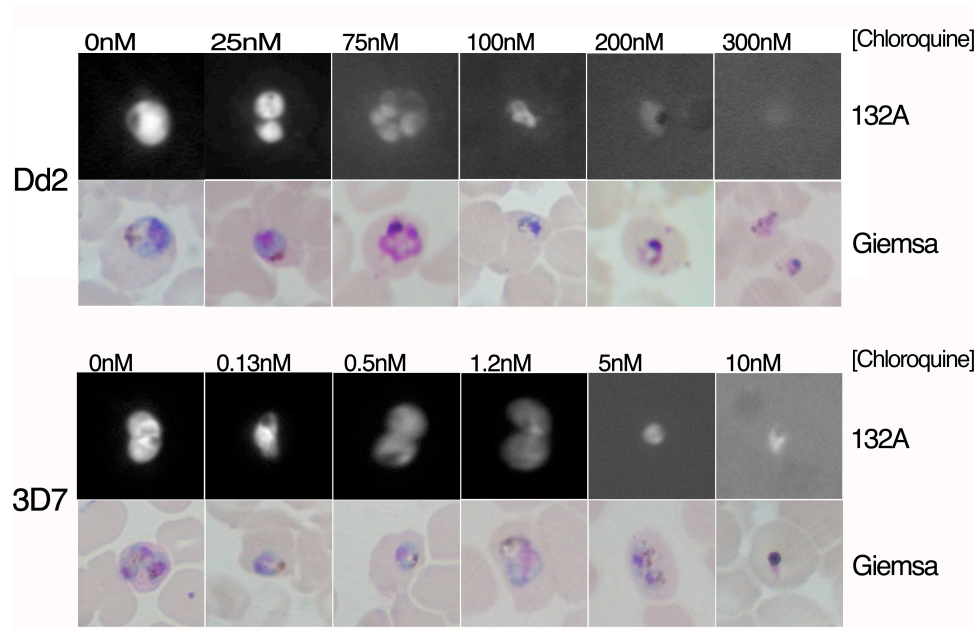


Figure a1.8. Validation of parasite growth inhibition measurement by known antimalarials. IC_{50} values for 3D7 parasite cultures treated with chloroquine (A) and artemisinin (B) were calculated using images obtained by the Pathway HT and compared to the classical SYBR Green assay. C) IC_{50} values determined with our high-content assay using fluorometric RNA dye 132A for parasite chloroquine sensitive 3D7 and chloroquine resistant Dd2 strains.

To confirm that the developed high-content live cell imaging assay can combine IC₅₀ values with morphological examination of the parasite under drug treatment, images obtained with parasite Dd2 and 3D7 strains were compared to Giemsa-stained blood smears (Figure a1.9). Morphological phenotypes of parasites observed between the two techniques were highly similar. Using the fluorometric RNA staining dye 132A, parasites display a loss of nucleolus staining, and a decrease in signal intensity with an increase in drug concentration. All together, these data demonstrate that RNA dyes are sufficiently sensitive and reproducible to identify the inhibition effects of antimalarial compounds in a HTS screening and high-content imaging format.

Figure a1.9. Morphological analysis of 3D7 and Dd2 parasites using the Pathway HT. Parasite synchronized cultures were incubated for 72 hours with various concentrations of chloroquine, live-stained with the fluorometric RNA dye 132A and fixed-stained with Giemsa. Detailed images of infected erythrocytes show a decrease in fluorescence intensity, loss of nucleolus staining, and change of parasite morphology with increasing drug concentration.



Discussion

In the past few years, cellular imaging has emerged as a critical tool to efficiently integrate the cellular complexity into drug discovery. Continuous technical improvements in fluorescence, confocal microscopy platforms, and image analysis have enhanced the detection and improved the resolution by which an individual molecule can act in a cell. High-content cellular imaging screenings recently lead to the discovery of small molecule inhibitors of novel cellular targets in human cancer cell lines [20]. Technological progress observed in cellular imaging toward human diseases needs to be adapted to the malaria parasite to enhance its drug discovery program. It is essential to integrate a spatial and temporal phenotypic analysis of the parasite under drug pressure in order to identify small molecule inhibitors that block major specific parasitic events (e.g. parasite invasion, division or egress). These types of phenotypic studies will improve the biological relevance of malaria HTS.

To address this need, we screened a combinatorial library of fluorescent styryl molecules, which contain RNA probing compounds that have been successfully identified in human cell lines [21, 22]. Using a confocal microscope platform, the Pathway HT, we identified three RNA dyes with strong imaging properties relative to commercially available DNA dyes in live parasites. The selected dyes, 107E, 107F, and 132A are membrane permeable, diffuse throughout the cytoplasm to display parasite morphology, target nucleoli, and can

be used in conjunction with DAPI staining. Out of the three dyes 132A exhibited the best signal to noise ratio and Z'-value, therefore this dye appears to have the best properties and will be used in future assays. Such characteristics allow the observation of RNA quantity and distribution in relation to the organization of the DNA within the parasite. Simultaneous DNA and RNA staining significantly increases the cell structural organization and facilitates the morphological analysis of *Plasmodium* in culture. While GFP fluorescence proteins have been exploited as tools to facilitate the dynamic behaviors of proteins and parasite phenotype examination in a real-time manner, these techniques require the use of modified cell lines, which are not the optimal choice for a large, small molecule screen against different *Plasmodium* drug resistant and sensitive isolates. In addition, optimum DNA staining, which has been successful in malaria HTS, requires the addition of lysis buffer and/or a fixation step that prevents the observation of live parasites and increases the screen's complexity. Although it is possible using DAPI staining to see numerous nuclei in close enough proximity to identify schizont stages, the differentiation between other stages of the erythrocyte life cycle is more challenging. The use of RNA dye together with DNA staining provides a dynamic morphology of all parasite erythrocytic cycle stages. It gives accurate information on parasite RNA content and its transcriptional activity, as well as information on cytotoxicity effects on erythrocytes and parasites.

Materials and Methods

P. falciparum Culture

3D7 and Dd2 *P. falciparum* malaria parasites (MRA-102, 156, MR4, ATCC® Manassas, Virginia) were cultured in human type O+ erythrocytes in complete medium (RPMI 1640, 10 mg/mL Gentamicin (Gibco), 1.36 g/L Hypoxanthine (Acros), 1M HEPES (Sigma), 7.5% Sodium Bicarbonate (Gibco), 20% Glucose (MP Biomedical), 1M NaOH (Sigma), 20% Albumax (Gibco), 5% human serum) as previously described [23]. Cultures were maintained in 25-cm² flasks (Corning) at a volume of 10 ml and were gassed for 30 s with an environment of 3% CO₂, 1% O₂, and 96% N₂, then incubated at 37°C. Synchronization of culture was achieved through sorbitol lysis of mature stage using 5% sorbitol (Fisher) fine-tuned by another lysis 8 hours later [24].

Dye Preparation

Microwell plates already containing a library of RNA-probes were diluted in DMSO to 10mM. A screening of 125 different RNA-probes were performed by diluting infected erythrocytes to 0.025% hematocrit with a 6% parasitemia into optical bottom 96-well assay plates (Costar #3614, Corning, NY) containing 240µl of complete medium. RNA-probes were added to a final concentration of 1µM, 5µM, 7.5µM, and 10µM with a total DMSO level of 0.5%. Plates were incubated in the dark for 30 minutes at 37°C. During microscopic analysis, the

Atto Pathway HT (BD Biosciences Bioimaging, Rockville, MD) temperature was regulated at 37°C. Each well was fluorescently imaged using fluorescence combinations of Semrock (Rochester, NY) DAPI, CFP, GFP, YFP and Texas Red BrightLine filter sets. We found that 5µM was the optimal working concentration.

RNA versus DNA specificity solution assay

DNA was extracted from *P. falciparum* using an adapted phenol/chloroform extraction. Infected erythrocytes were harvested and brought to a 50% hematocrit in PBS. Samples were incubated with cell lysis solution (Promega #A7933, Madison, WI) for 10 minutes at room temperature, followed by centrifugation. After removing the supernatant, pellet was incubated at 55°C for an hour in lysis buffer containing 4M guanidine HCl (Promega), 10% SDS (Promega), and 20mg/ml Proteinase K (New England Biolabs), and left overnight at 4°C. DNA was then extracted using Phenol/chloroform/isoamyl alcohol (Sigma) following the standard procedures.

RNA was extracted from infected erythrocytes with Trizol LS (Life Technology), as previously described in Le Roch *et. al.* 2003 [23].

To scan fluorescence emission, the SpectraMAX GeminiEM (MDS Analytical Technologies, Toronto, Canada) and the SoftMax Pro program were used. Using black, clear bottom, 96-well assay plates (Costar #3904), 10 two-fold serial dilutions were performed with extracted DNA and RNA starting at 200µg/ml. Steady state concentrations of RNA or DNA at 10µg/ml, were mixed

with two-fold serial dilutions of the opposing nucleic acid. 132A, 107E, and 107F RNA probes were added to wells at a 10 μ M concentration. Endpoint readings with an excitation of 500nm, cutoff at 515nm and emission of 605nm for 132A, and excitation of 435nm, cutoff at 605nm and emission of 610nm for 107E and 107F. DNA and RNA concentration as a function of fluorescence intensity was plotted with SigmaPlot.

Counter Stain

Synchronized infected erythrocytes were stained with nuclear dye DAPI (Molecular Probes #D21490, Eugene, OR) or Hoechst 34580 (Molecular Probes #H21486, Eugene, OR) diluted in H₂O and added to reach a final concentration of 20ng/ μ L or 5 μ g/ml respectively. RNA specific dyes were then added to reach a concentration of 5 μ M and plates were incubated with both dyes in the dark at 37°C for 30 minutes. Images were taken using the Atto Pathway HT with the temperature regulated at 37°C. A macro, an instruction set for the Pathway, was designed to take images of the same frame using the DAPI filter wheel, then the corresponding filter for RNA dye, then transmitted light, auto focusing for each image, moving to another frame in the same well, and to repeat in subsequent wells.

Relative Fluorescence

Synchronized infected erythrocytes were diluted with uninfected erythrocytes in complete media (i.e. 0%, 1.5%, 3%, 4.5%, 6%). Diluted probes were added to reach a final concentration of 5 μ M, incubated in the dark for 30 min at 37°C and the BD Pathway HT measured fluorescence intensity. Data analyses were performed using Microsoft Excel 2004 for Mac. Data points were graphed by 1) calculation of the mean of triplicates per sample condition, 2) subtraction of the fluorescence background, and 3) conversion to relative fluorescence units.

Quantitative assay evaluation

Three 96-well microplates with parasite-infected erythrocytes were used as a positive control, and three microplates with uninfected erythrocytes were used as a negative control. All plates were diluted to a 0.025% hematocrit, and 6% parasitemia. 107E, 107F, and 132A dyes were added to microplates and the Pathway HT determined fluorescence intensity. The formula $z' = 1 - (3SD_+ + 3SD_-) / |Ave_+ - Ave_-|$ was used to calculate the Z' value. Where SD_+ represents the positive control standard deviation, SD_- the negative control standard deviation, Ave_+ the mean value of the positive control, and Ave_- the mean value of the negative control.

IC₅₀

3D7 parasite cultures were incubated with 0.1nM, 0.4nM, 1.2nM, 3.7nM, 11.1nM, 33.3nM, 100nM, and 500nM concentrations of chloroquine or artemisinin (Sigma #C6628-25G, St. Louis, MO) for 72 hours. Dd2 parasite cultures were incubated with 5nM, 25nM, 75nM, 100nM, 150nM, 200nM, 300nM, and 500nM concentrations of chloroquine. RNA dyes were added to reach 5 μ M final concentration and incubated in the dark for 30 minutes at 37°C. Microscopic analysis was performed at a regulated temperature of 37°C. Montage images of 4 by 4 frames were acquired with transmitted light and the corresponding filter for RNA dyes. Images were merged to verify infected erythrocytes by having the fluorescent target overlap with visible hemazoin in transmitted light images. The Pathway HT calculated the parasitemia by using the Region of Interest (ROI) function to count fluorescent parasites. Parasitemia was determined by manual counts of fluorescent images and Giemsa-stained blood smears, and compared to Pathway HT counts. Samples of identical cultures were taken to perform a SYBR Green assay as previously described [16]. Data were analyzed using Microsoft Excel for Mac, and graphs were plotted using SigmaPlot 10 (Systat).

List of Abbreviations

HTS: high-throughput screen; **RFU:** relative fluorescence units

Authors' Contributions

YTC and KLR conceived the study. SC carried out screening and microscopic analysis. JP and SC prepared samples and collected spectrometer data. DC participated in the assay design. QL synthesized original library of probes, and GGK synthesized selected compounds. SC, JP, YTC and KLR contributed analyzing experimental studies. KLR drafted the manuscript. All authors read, edited and approved the final manuscript.

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Conclusion

Our IC₅₀ results clearly demonstrate the feasibility of RNA directed fluorescence-based assays for high-throughput screening of antimalarials. Furthermore, these type of HTS and high-content imaging assay analyses can be defined and programmed automatically on the Pathway HT, avoiding the need of an experienced or biased microscopist to analyze the images. This new *Plasmodium* RNA dye growth inhibition and high-content imaging assay is a robust, cost effective, fast, and simple one-step technique suitable for automation in 96- to 384-well plates. It will be an ideal technique for a secondary screen validation, help to determine the stage specificity of drugs and potentially indicate a drug's mode of action. When combined with a similar mammalian screen, we should be able to identify the parasite specific compounds. It is also important to highlight that the sensitivity of such technique should allow the method to be adapted for the identification of infected blood samples of patients.

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Chapter 2a

High content live cell imaging for the discovery of new antimalarial marine natural products

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Summary

There are drug resistant strains of malaria found worldwide to all available drug therapies. Screening chemical and natural products libraries is crucial to identify novel antimalarials. Traditionally, antimalarial screens are based on growth proliferation assays after 72 hours of incubation. We employed the RNA dyes, previously identified by our group, as part of a secondary screen. This high-content live cell-imaging (HCLCI) can be used to screen chemical libraries and identify parasite specific inhibitors with limited host cytotoxic effects. In addition, we can detect morphological changes to parasites, which provide a lead to the potential mode of action.

Abstract

Background: The human malaria parasite remains a burden in developing nations. It is responsible for up to one million deaths a year, a number that could rise due to increasing multi-drug resistance to all antimalarial drugs currently available. Therefore, there is an urgent need for the discovery of new drug therapies. Recently, our laboratory developed a simple one-step fluorescence-based live cell-imaging assay to integrate the complex biology of the human malaria parasite into drug discovery. Here we used our newly developed live cell-imaging platform to discover novel marine natural products and their cellular phenotypic effects against the most lethal malaria parasite, *Plasmodium falciparum*.

Methods: A high content live cell imaging platform was used to screen marine extracts effects on malaria. Parasites were grown *in vitro* in the presence of extracts, stained with RNA sensitive dye, and imaged at timed intervals with the BD Pathway HT automated confocal microscope.

Results: Image analysis validated our new methodology at a larger scale level and revealed potential antimalarial activity of selected extracts with a minimal cytotoxic effect on host red blood cells. To further validate our assay, we investigated parasite's phenotypes when incubated with the purified bioactive natural product bromophycolide A. We show that bromophycolide A has a strong

and specific morphological effect on parasites, similar to the ones observed from the initial extracts.

Conclusion: Collectively, our results show that high-content live cell-imaging (HCLCI) can be used to screen chemical libraries and identify parasite specific inhibitors with limited host cytotoxic effects. All together we provide new leads for the discovery of novel antimalarials.

Background

Malaria remains a major public health issue in developing nations. In 2006, the World Health Organization reported approximately 250 million cases of malaria, which caused 1 million deaths a year [1]. Despite such a high number of deaths per year, malaria is a curable disease and traditional medicinal plants have been used for treatment since antiquity. Native Peruvians used the bark of the *Cinchona succirubra* (Rubiaceae) tree for centuries before quinine was isolated from it in 1820 [2]. Its semi-synthetic derived compound, chloroquine (CQ), became the prophylactic treatment for malaria in 1947 and was the most effective treatment until CQ-resistant strains appeared in 1957. In 1972, a new natural product, artemisinin, was isolated from *Artemisia annua*, a plant used in traditional Chinese medicine for over 2000 years [3]. Artemisinin-based combination therapies (ACTs) are currently our last resort in combating malaria infection. Unfortunately, the first ACT-resistant strains appeared in Cambodia in 2009 and hasten the need for new antimalarials [4]. In the long history of drug discovery against the human malaria parasite it is clear that natural products have outlived many synthetic drugs and remain a valuable resource in identifying efficient and long lasting novel antimalarials.

One successful approach in discovering new chemical and natural therapeutic agents against the malaria parasite is based on high-throughput screening (HTS) on the whole organism. Large collections of small molecule libraries can be

tested directly against parasite growth in culture [5] [6-8]. Traditionally, the [^3H]hypoxanthine incorporation assay was the gold standard to determine, *in vitro*, the drug susceptibility of the malaria parasite [9]. This method has now been largely replaced by less hazardous, cost and labor efficient DNA dye intercalation assays (SYBR Green I [10,11], Pico green [12], 4', 6-diaminino-2-phenylindole (DAPI) [13]), assays using quantum dots labeling late stage infected erythrocytes [14], and assays using parasites that stably express cytoplasmic firefly luciferase [15,16]. While the various DNA dye assays are capable of quantifying parasite growth, they are limited to testing a simple survival count and do not efficiently detect the effect of drug treatment at the morphological level or provide information of a potential drug's cytotoxicity. Current screenings with parasite strains expressing green fluorescence protein (GFP) have facilitated the observation of the dynamic behaviors of parasite phenotype in a real-time manner. However, these techniques require the use of a modified cell line for all screening purposes.

Recently, we developed a semi-automated RNA fluorescence-based high-content live cell-imaging (HCLCI) assay that has multiple advantages [17]. It is a fast, simple and a one-step fluorescence-based assay that can be used with any type of *Plasmodium* laboratory and field isolate strains. It can detect a very low number of live parasites, their morphological stages and their transcriptional activities. When high-quality bioimaging microscopes and image- analysis tools

are combined, these screening platforms can facilitate the detection of cytotoxicity or cellular phenotypic changes in the parasite population and its host cell. Therefore, this assay can potentially lead to the discovery of novel drugs with novel modes of action and a hint toward the identification of their cellular targets.

In this study, we have used our new live cell confocal imaging platform to identify new parasite inhibitors from crude extracts and their specific morphological effects on *Plasmodium falciparum*. Our natural product library was first extracted from a rich source of various sessile marine organisms (e.g., red macroalgae, benthic cyanobacteria, cnidarians, and sponges). Sessile marine organisms are exposed to numerous pathogens and predators in seawater and have developed effective natural chemical defenses [18,19]. Their natural products have been shown to have biological activity against a wide range of human cancer cell lines and several infectious agents [20,21].

To screen our marine natural product library for antimalarial activity, we first employed a standard SYBR Green I based assay. Extracts that inhibited parasite growth at low concentrations were then subjected to a secondary HCLCI screen to examine parasite morphologies and the toxicity of natural product treatment on host red blood cells. Collectively, this live cell-imaging assay improves the spatial and temporal resolution of the malaria parasite screening assay, and provides

valuable information about the health of host cells under drug treatment. Potent novel antimalarials were identified and they produced phenotypic irregularities in the malaria parasite, but had minimal cytotoxic effects on host red blood cells. Further validation of our methodology was achieved using the newly purified natural compound bromophycolide A. All together our data demonstrate that this streamlined assay can be used to discover novel and efficient antimalarials.

Results and Discussion

Crude extract library preparation

To investigate novel antimalarial compounds, we prepared a library of crude extracts derived from various marine organisms including red, green, and brown macroalgae, cyanobacteria, cnidarians, and sponges collected from the Fiji Islands. Figure a2.1, a schematic flow chart, illustrates the key steps in our screen. Extracts were first separated by reversed-phased column chromatography and four fractions ranging in compound polarity were collected for each individual organism. All fractions were tested for antimalarial activity.

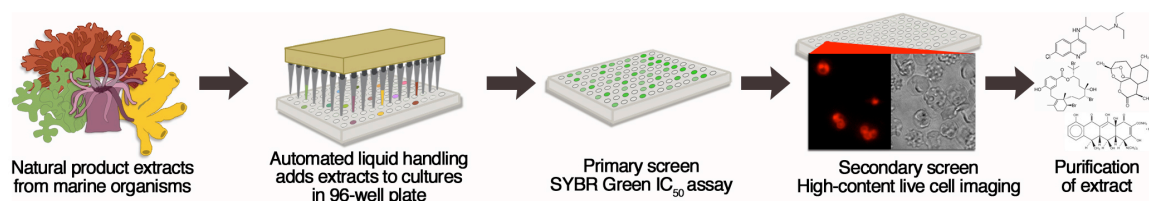


Figure a2.1. A schematic diagram of natural products antimalarial screen. Marine organisms were collected from the reef off the Fijian Islands. Extracts were aliquoted into 96-well microtiter plates and cultures were subsequently added. A SYBR Green I based assay was used as a primary screen of 2685 extracts. Extracts that inhibited *P. falciparum* growth were subjected to a high-content live cell imaging secondary screen

Primary bioactivity screen of natural products

Our extract library was screened for antimalarial activity using a standard high-throughput (HT) SYBR Green I based assay. We employed the Biomek automated liquid handling system to aliquot extracts into three different concentrations (100, 33, and 11 $\mu\text{g/ml}$) in 96-well plates. *P. falciparum* cultures

were added and incubated for 72 h before being subjected to a HT assay to evaluate parasite growth by relative fluorescence intensity of the nucleic acid dye SYBR Green I. To validate the quality of our HT SYBR Green I assay we calculated its Z-factor, which is a high-throughput assay quality assessment. An ideal Z-factor is 1.0, and below a 0.5 is a marginal assay. Our primary screen Z-factor value was 0.669, indicating an excellent primary assay to determine parasite growth inhibition based on relative fluorescence units. From the initial library of 2685 marine extracts examined, 27 extracts inhibited parasite growth below 11 $\mu\text{g}/\text{ml}$. With approximately 1% of extracts found to be potent inhibitors and based on our Z-factor score, our primary assay is efficient and effective for screening our library and marine organisms are a novel source for new antimalarial therapies. While this assay gives us an indication of the parasite survival, it does not provide any indication of the drug effects on the parasite morphology and potential toxicity on host red blood cells (RBCs).

Secondary high-content live cell imaging screen

To further validate antimalarial activity, extracts that inhibited parasite growth below 11 $\mu\text{g}/\text{ml}$ were selected and subjected to a secondary HCLCI screen. By using this technique, we could easily visualize our live parasites in host red blood cells. For this secondary screen, we used the Bioscience Pathway HT, an inverted confocal microscope with a motionless stage in a climate-controlled environment together with a fluorescent RNA selective probe (132A). This RNA

probe has previously been shown to permeate infected erythrocytes without additional lysis buffers or detergents [17]. When compared to DNA binding dyes (DAPI, Hoechst) used in current HT antimalarial screens, our selected RNA probe diffuses throughout the cytoplasm and provides detailed live cell staining of *P. falciparum*. Using this methodology we were able to evaluate the parasitemia, morphology and transcriptional activity under drug treatment. In addition to that information, the transmitted light images provide valuable information on potential toxic effects of the extracts on host RBC morphology. For example, it has been previously observed that erythrocytes crenate and transform into echinocytes when cell membranes are perturbed [24]. A characteristic of an altered RBC membrane is the formation of small knoblike spicules on the membrane surface that causes the cell to transform from a biconcave disk, discocyte, into a sea urchin-like shape, echinocyte. There are 3 stages of echinocyte transformation. In stage 1 RBCs start to have an irregular contour disk shape, stage 2 cells are still flat with spicules, and stage 3 cells have an even distribution of 10–30 spicules on the surface [25]. Transmitted light images provide insight whether extracts compromise host RBC integrity and therefore parasite survival, or if the extracts specifically target parasites. Cytotoxic effects of marine extracts on RBC were evaluated by the degree and amount of echinocyte transformation relative to untreated cultures. Extracts that caused cultures to have a 50% increase of

stage 3 echinocytes, when compared to untreated cultures, were considered toxic.

From the secondary screen, 10 of these extracts were observed to have minimal echinocyte transformation when compared to untreated parasite culture (data not shown). This second set of selected extracts most likely has a specific antimalarial effect with limited toxicity on RBC integrity.

Determination of antimalarial specificity of our selected extracts

To further validate our screening procedure, we determined the IC₅₀ values of our selected extracts using the classical SYBR Green I Assay. We found that their exact IC₅₀ ranged from 6.65 ng/ml to 0.85 µg/ml (Figure a2.2). Next we compared the antimalarial IC₅₀ values to the IC₅₀ values of these extracts against various infectious agents (e.g. methicillin-resistant *Staphylococcus aureus* (MRSA); vancomycin-resistant *Enterococcus faecium* (VREF); and both wild type and amphotericin B-resistant *Candida albicans* (WTCA and ARCA, respectively). MRSA is a pathogenic bacterium that causes skin and soft-tissue infections and is associated with sepsis and necrotizing pneumonia. VREF is another infectious bacterium that commonly causes urinary tract infections and can cause neonatal sepsis and meningitis. *C. albicans* is a fungus that lives in the gut of 80% of the human population, but can be lethal in immunocompromised patients. Most extracts were inactive against WTCA and ARCA. Results show that our selected

extracts inhibit growth of the *P. falciparum* 3D7 strain at 10–200-fold lower concentrations compared to other infectious agents (Table a2.1). Overall, our data obtained from the microscopic analysis of parasite and host RBC and other infectious agents indicated that our selected extracts are most active against *P. falciparum*.

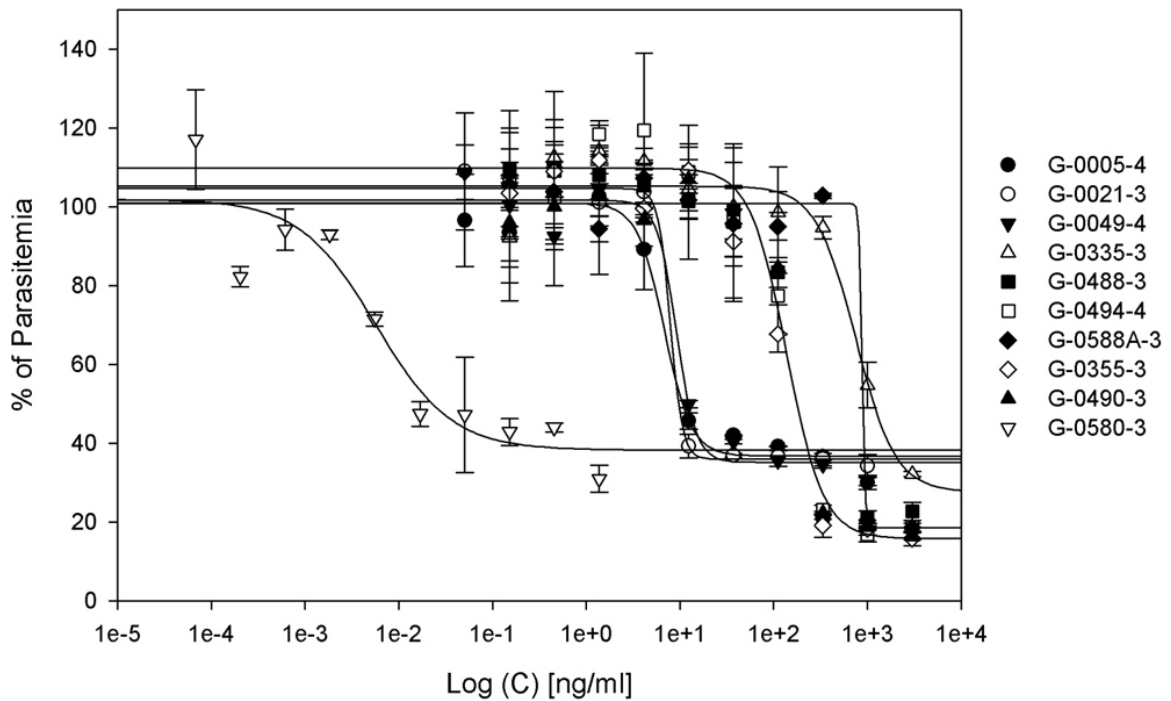


Figure a2.2. *IC₅₀* graph of potent antimalarial extracts. An inhibitory concentration at 50% growth (*IC₅₀*) graph of extracts inhibiting parasites at concentrations 6.65 ng/ml to 0.85 μg/ml

Table a2.1. IC_{50} values of seven extracts in *P. falciparum* and other microbes. Extracts are considered a 'hit' if $IC_{50} < 100 \mu\text{g/mL}$, and extracts with $>250 \mu\text{g/mL}$ are considered not active. IC_{50} values for 3D7 *P. falciparum* strain are significantly lower than other microbes (MRSA: methicillin-resistant *Staphylococcus aureus*; VREF: vancomycin-resistant *Enterococcus faecium*; WTCA: wild type *Candida albicans*; ARCA: amphotericin-B-resistant *Candida albicans*). NT indicates not tested, values for *P. falciparum* 3D7 samples are from duplicates, and the values for MRSA, VREF, WTCA, or ARCA screens are from a single experiment. Unit values are in $\mu\text{g/mL}$.

	3D7	MRSA	VREF	WTCA	ARCA
G-0005-4	0.680 ± 0.13	NT	16.4	227	156
G-0021-3	0.790 ± 0.17	11.9	15.2	>250	250
G-0049-4	0.916 ± 0.19	8.5	7.1	221	225
G-0335-3	0.775 ± 0.25	62.5	>250	>250	>250
G-0355-3	0.131 ± 0.02	1.9	69.2	>250	>250
G-0488-3	0.119 ± 0.03	0.5	>250	1.08	1.11
G-0490-3	0.163 ± 0.02	1.26	67.61	>250	>250
G-0494-4	0.137 ± 0.02	0.5	0.5	>250	>250
G-0580-3	0.0055 ± 0.01	92.26	153.11	>250	>250
G-0588A-3	0.847 ± 0.09	39.9	107.5	150	150

Phenotypic analysis of parasites incubated with extracts

To further investigate the potential mode of action of potent extracts, we examined *Plasmodium* morphology within 24 h of treatment. Synchronized parasite cultures were incubated with IC_{80} concentrations of extracts at the early and mature stages of the erythrocytic cycle. As shown in Figure a2.3A, untreated parasites displayed a robust fluorescent signal with an average of 1000 relative fluorescence units (RFUs) per frame, indicating a high level of RNA and consequently an active transcriptional state. In the transmitted light images, hemozoin, the byproduct of hemoglobin catabolism, can be observed in all

stages as a dark pigmented region within the parasites. We also detected a tight and continuous cell cycle progression during our first 24 h of observation. In contrast to this pattern, when treated with sponge extract G-0490-3, parasite growth was inhibited at the late ring and early trophozoite stage (Figure a2.3B). Parasites treated at the mature stage displayed a decrease of RNA fluorescence signal intensity to an average of 400 RFUs per frame in ring stage parasites. Following our phenotypic observations, it is highly possible that extract G-0490-3 targets a protein or a pathway essential to the early stage of the parasite intraerythrocytic cycle. This phenotype has been observed with *Cinchona* alkaloid treatment, which arrest development of *P. falciparum* at the mature trophozoite stage [3]. While extract G-0490-3 appeared to be ring stage specific, the cyanobacteria extract G-0580-3 produced a different effect on parasite phenotype (Figure a2.3C). When this extract was added to ring stage parasites, we observed enlarged vacuoles adjacent to hemozoin. When the same extract was added at the mature stage, multiple abnormal vacuoles appeared (16 h) and parasites were unable to egress properly (24 h). Giemsa stained blood smears confirmed the appearance of large vacuoles. This phenotype has been previously observed with inhibitors targeting protease in the parasite food vacuole. Enlarged food vacuoles are observed due to an accumulation of undegraded globin [26]. All together these data suggest that G-0580-3 may target a similar pathway. Similar phenotypes were observed with treatment with samples G-0005-4 (Figure

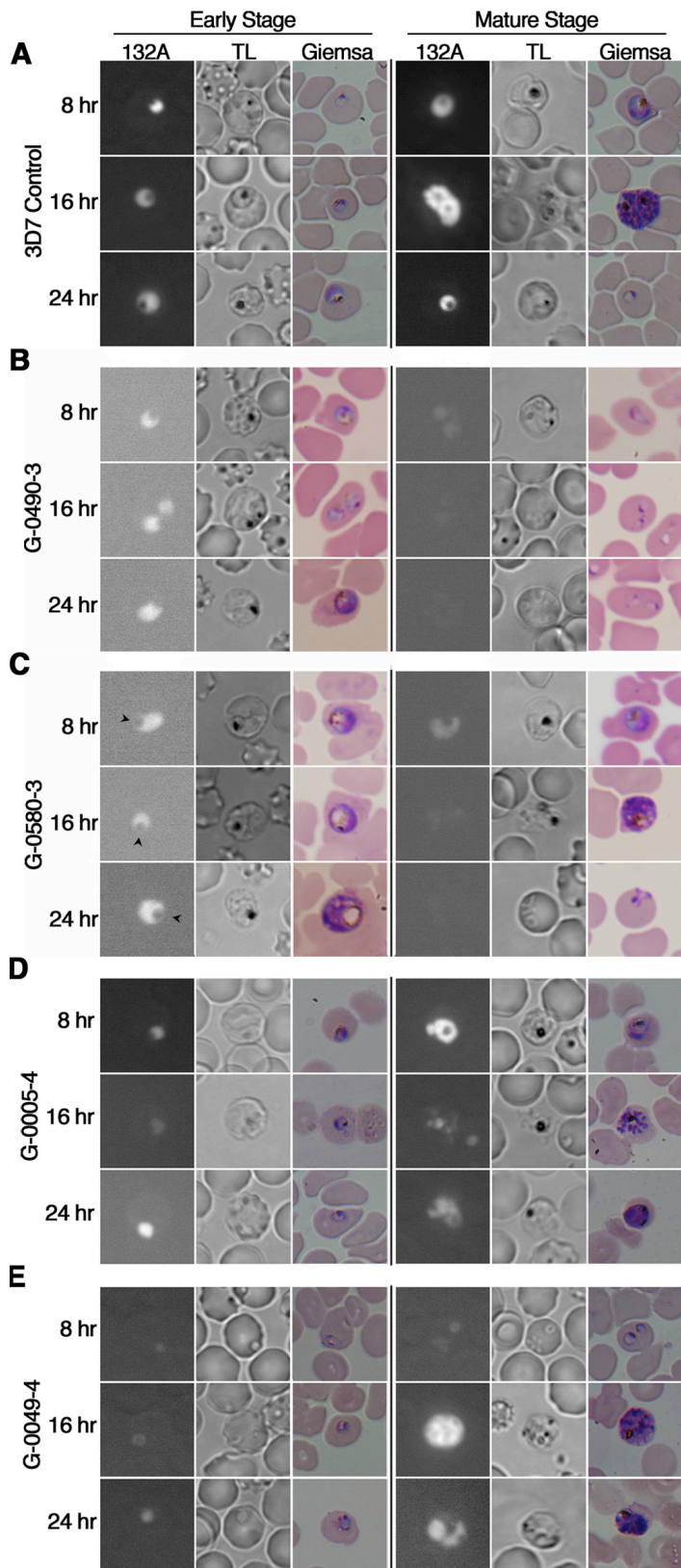


Figure a2.3. Morphological analysis of *P. falciparum* with IC_{80} concentrations of extracts. Infected erythrocytes were synchronized and live parasites were stained with RNA probe 132A. **A)** are images of the *P. falciparum* 3D7 strain without any drug treatment at the ring and mature stage (control). **B)** displays the phenotype of extract G-0490-3, stunted growth of parasites at the trophozoite stage. **C)** displays the phenotype of extract G-0580-3, arrowheads indicate the enlarged food vacuoles at the early stages of the cell cycle. **D** and **E)**, extract G-0005-4 and G-0049-4 respectively, inhibit parasite life cycle progression, decrease hemozoin, and hinder egression

3d), G-0049-4 (Figure a2.3E), and G-0021-3 (not shown) and all were extracted from the red macroalga *Callophycus serratus*. To investigate the morphological effects of our selected extracts, we further examined their effects on chloroquine-

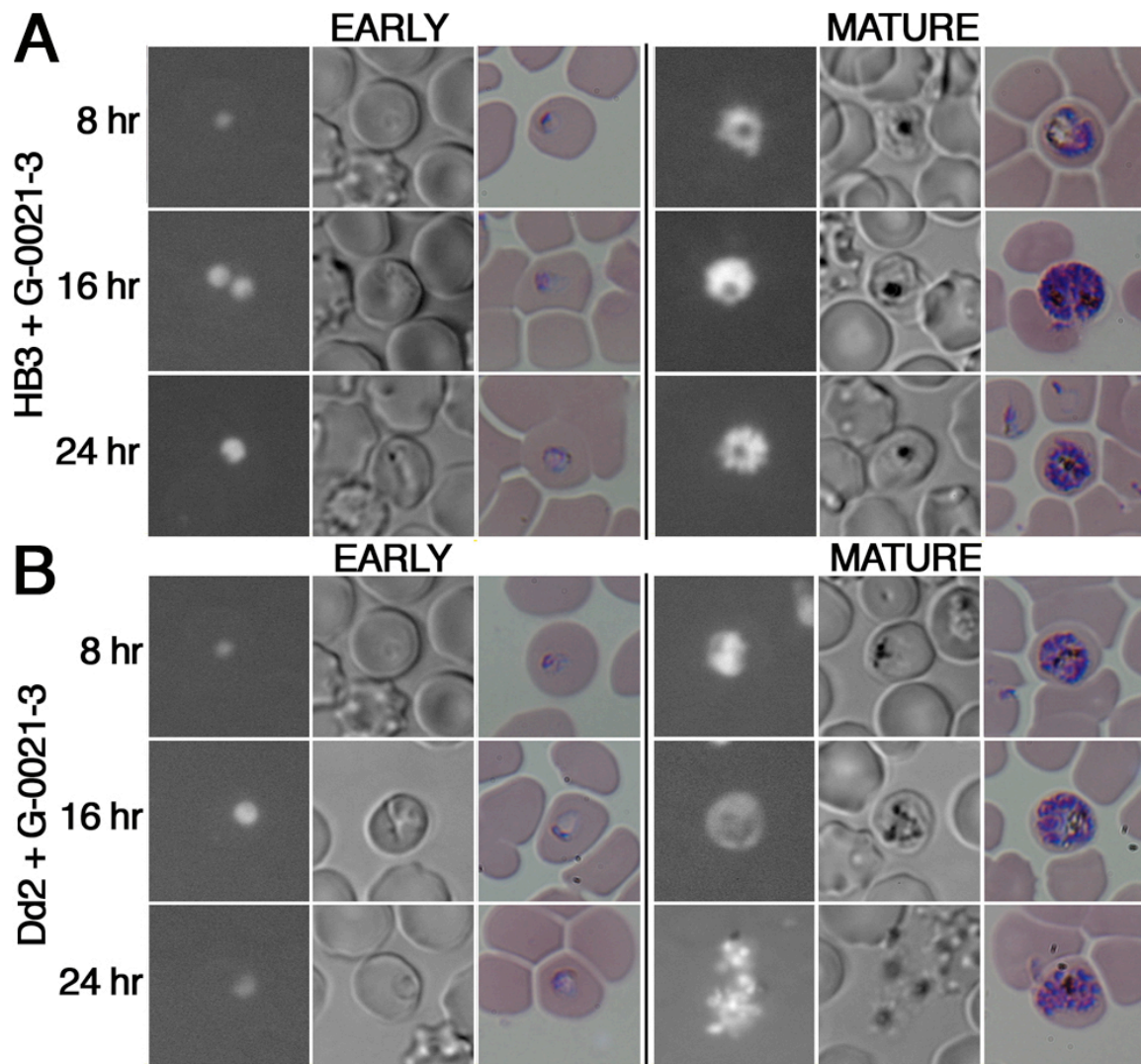


Figure a2.4. Morphological analysis of various strains of *P. falciparum*. Treatments with extract G-0021-3 on synchronized parasites at the ring and mature stage. A) chloroquine sensitive strain, HB3; and B) chloroquine resistant strain, Dd2

resistant, Dd2 (Figure a2.4a), and chloroquine-sensitive, HB3 (Figure a2.4b) strains. In Dd2, HB3 and the reference 3D7 strain, we observed the almost identical morphological phenotype of stunted hemozoin formation and cell cycle arrest. Collectively, by using the HCLCI assay we can observe phenotypic changes that can be used as a guide to identifying antimalarial properties on drug resistant and sensitive parasite strains.

Verification of method using initial extracts from purified bioactive compound bromophycolide A

The purified bioactive compound, (bromophycolides (A-U)), present in G-0005-4, G-0049-4, and G-0021-3 extracts isolated from *C. serratus* [20, 27-27] was shown to inhibit parasite growth at a sub-micromolar range (IC₅₀ value of 0.7 μM [28]; IC₈₀ value of 2.8 μM). To understand the morphological effects of pure bromophycolide A on *P. falciparum* 3D7 cultures, we synchronized parasites and treated cultures at its IC₈₀ value at the early and late stage of the parasite erythrocytic cycle (Figure a2.5). Using our high-content live cell-imaging assay, we detected an immediate arrest of cell cycle progression at every stage tested, a decrease in RNA intensity and an enlargement of the food vacuole in the parasite. Furthermore we observed a hindrance of hemozoin formation from the ring to trophozoite stage. All together, our newly developed screen is able to

identify new antimalarials and suggest starting hypotheses for understanding the molecular processes targeted by extracts and their purified bioactive compounds.

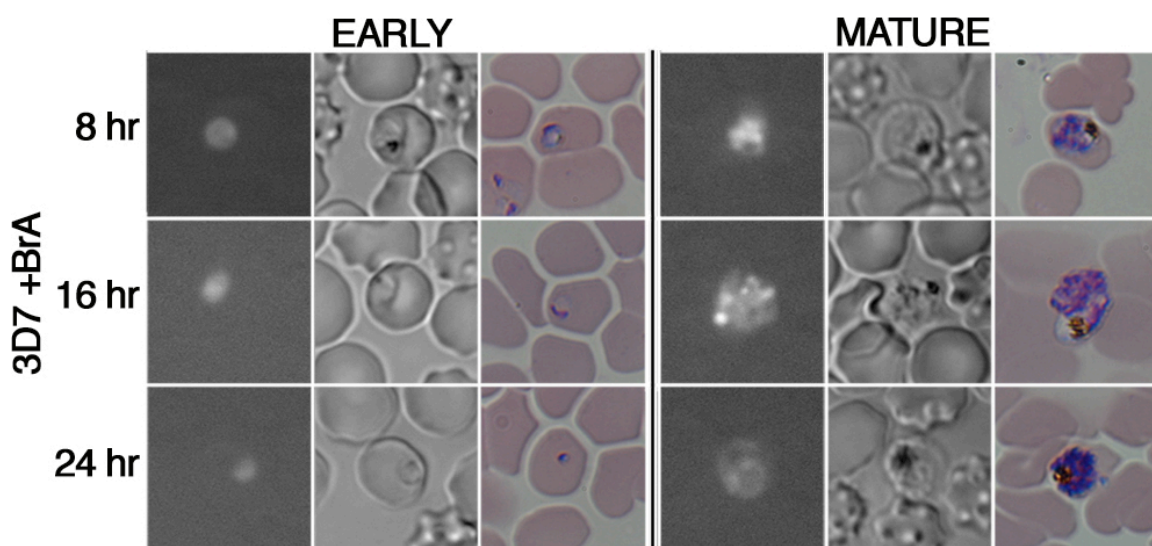


Figure a2.5. Morphological analysis of *P. falciparum* with bromophycolide A. Images of synchronized parasites at the ring and mature stage with bromophycolide A treatment at IC_{80} concentrations. Untreated control sample is shown in Figure a2.3A

Within the past decade significant advancements in high-throughput antimalarial drug screening have efficiently reduced time, labor and costs. However, it remains a challenge to determine the mode of action of active compounds [30].

We propose in this work to use our HCLCI assay to screen large libraries for antimalarial properties. The HCLCI assay is straightforward and allows for semi-automated image analysis of parasite drug resistant and sensitive strains without laborious steps (ex. blood smears, fixation, centrifugation, or aspiration steps).

An additional advantage of our assay is the ability to observe cytotoxic effects on host red blood cell. Our method can detect parasite morphological changes to

select for specific phenotypes, and further select the extracts for the purification of a single bioactive compound with activity against malaria parasite growth. We observed a distinct phenotype of cell cycle arrest and lack of hemozoin formation when cultures were incubated with extracts from which bromophycolide A was purified and thus pure bromophycolide A as well. These observations indicated that bromophycolide A's potential mode of action may prevent hemozoin formation. Further investigation using a fluorescent coumarin tagged bromophycolide A for sub-cellular localization and molecular target identification studies validated that heme crystallization was disrupted by the natural product bromophycolide A [31].

Conclusions

In this study we investigated 2685 extracts from sessile marine organisms collected in Fijian waters and successfully identified nine selective extracts that exhibited parasite growth inhibition without compromising RBC membrane integrity. Furthermore we were able to validate that the purified bioactive compound bromophycolide A extracted from the red macroalga *C. serratus*, had similar morphological effects compared to the initial tested crude extracts. Collectively, we demonstrate that by using our newly developed screening we can quickly select a desired phenotype from crude extracts to act as a guide to the purification of a single antimalarial compound. Specific parasite phenotypes can provide insight on how extracts act on the whole organism, thus presenting a starting point to test hypotheses regarding the potential drug's mode of action. In the antimalarial drug field, targeting the parasite at different stages of the life cycle by combinatorial drug treatment is highly recommended to prevent drug resistance. By implementing our screen to classical drug discovery methods, we can expedite the discovery of molecules that can target distinct parasitic stages and lead to new combination drug therapies.

Methods

Parasite culture

3D7, HB3 and Dd2 *P. falciparum* malaria parasites (MRA-102, 155, 156, MR4, ATCC® Manassas, Virginia) were cultured in human type O + erythrocytes in complete medium (RPMI 1640 w/L-Glutamine, w/o Phenol Red (Gibco), 0.043 mg/mL Gentamicin (Gibco), 0.014 mg/mL Hypoxanthine (Acros), 38.5 mM HEPES (Promega), 0.18% Sodium Bicarbonate (Gibco), 0.2% Glucose (MP Biomedical), 2.6 mM NaOH (Sigma), 0.20% Albumax (Gibco), 5% human serum) as previously described [22]. Cultures were maintained in 25-cm² flasks (Corning) at a volume of 10 ml and were gassed for 30 s with an environment of 3% CO₂, 1% O₂, and 96% N₂, then incubated at 37°C. Synchronization of culture was achieved through sorbitol lysis of mature stage using 5% sorbitol (Fisher) fine-tuned by another lysis 8 h later (27).

Marine organism extracts

Each marine organism was exhaustively extracted with methanol, and the extracts were combined, filtered, and reduced *in vacuo*. The crude extract was separated with HP20ss resin into four fractions, eluting with (1) 1:1 methanol: water, (2) 4:1 methanol: water, (3) 100% methanol, and (4) 100% acetone.

Plasmodium falciparum SYBR Green I-based fluorescence growth inhibition assay

Extracts were diluted into three different concentrations, 100, 33, and 11 µg/ml, by the Biomek FXP Laboratory Automation Workstation (Beckman Coulter, Inc., Brea, CA) into clear bottom 96-well plates (Costar #3904). *P. falciparum* cultures were added at a 2.5% hematocrit with a 1% parasitemia to 96-well plates and incubated for 72 h at 37°C. Plates were frozen at –80°C overnight. Lysis buffer (20 mM Tris–HCl, 5 mM EDTA, 0.008% saponin, 0.08% Triton X-100, and 0.2 µl/ml SYBR Green I dye (Invitrogen #S7585)) was added to thawed plates and incubated at 37°C. Microtiter plate was read using the Spectra Max Gemini EM reader (Molecular Devices). Data were analyzed with SoftMax Pro v5 (Molecular Devices Software, Inc., Sunnyvale, CA). The formula $z' = 1 - (3SD+ + 3SD-)/|Ave+ - Ave-|$ was used to calculate the Z' value. Where SD + represents the positive control standard deviation, SD- the negative control standard deviation, Ave + the mean value of the positive control, and Ave- the mean value of the negative control.

Bacterial growth inhibition assay

Methicillin-resistant *Staphylococcus aureus* (MRSA) and vancomycin-resistant *Enterococcus faecium* (VREF) as test pathogens were used to perform antibacterial assays. As previously described [20], these bacteria were grown in

GYT media (1 g glucose, 2.5 g yeast extract, 5.0 g tryptone, 1 L H₂O) overnight at 37°C. Cultures were diluted to an optical density of 0.04-0.06, diluted 10-fold, and then 250 µg/ml of extracts were added to microtiter plates. Extracts were serially diluted for a total of 8 dilutions, and the plates were incubated for 16–18 h at 37°C. The optical density was then measured at 600 nm using a Molecular Devices Emax microplate reader and the minimum inhibitory concentration (MIC) was calculated for each compound using the analysis program SOFTmax PRO.

Fungal growth inhibition assay

As previously described [20] amphotericin B-resistant *Candida albicans* was grown overnight at 30°C in RPMI media (Invitrogen). The indicator Alamar Blue 100× (TREK Diagnostic Systems) was added to the cell suspension at 1 × 10⁴ cells/mL, and then cells were added to microtiter plates. Extracts were added to 96-well plates at 250 µg/ml, serially diluted for a total of 8 dilutions, and incubated for 12–15 h at 37°C. The visual assessment of colorimetric changes was done using a BioTek model ELx800 microplate reader to detect the altered oxidation state of Alamar Blue. Plotting the absorbance versus compound concentration indicated cell proliferation.

High-content live cell imaging assay, Montage analysis

Samples were prepared in optical bottom 96-well assay plates (Costar #3614, Corning, NY). Parasite cultures were diluted to 0.025% hematocrit with a 6% parasitemia in complete medium and 240 μ l was added to each well. RNA-probe 132A was incubated with samples for 30 min at 37°C, in the dark at a final concentration of 5 μ M. The BD Pathway HT (BD Biosciences Bioimaging, Rockville, MD) incubation chamber was set at 37°C and 5% CO₂. Individual wells were fluoresced by Semrock (Rochester, NY) Texas Red BrightLine filter sets. A 3 \times 3 tiling composed montage images to examine approximately 1500 red blood cells.

IC₈₀ phenotypic assay

Parasite cultures were synchronized using 5% sorbitol as previously described [23]. Cultures were then diluted to 1.67% hematocrit with a 6% parasitemia for ring stage and 3% mature stage in complete medium to reach a final volume of 240 μ l. Treatment with extracts or bromophycolide A at their respective IC₈₀ values were added at 0, 8, and 16 h, and image analysis occurred at 24 h.

List of Abbreviations

HCLCI: high-content live cell-imaging; **RBC**: red blood cell.

Authors' contributions

KLR conceived the study and helped to draft the manuscript. JP and MB prepared samples for the primary screen. SC and DC participated in the design of the HCLCI assay. SC and MC prepared samples and carried out microscopic analysis. JK, MEH and WA provided extracts. SE and EPS established the library and purified extracts. SC drafted the manuscript, and all authors read and approved the final manuscript.

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Chapter 3a

Bromophycolide A Targets Heme Crystallization in the Human Malaria

Parasite *Plasmodium falciparum*

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Summary

The ability for *P. falciparum* to mutate quickly allows drug resistance to develop faster than most other eukaryotic pathogens. Identifying novel antimalarial compounds, instead of derivatives from current antimalarial drugs, is imperative. Macrocyclic meroditerpenes were previously isolated from the tropical red macroalga *Callophycus serratus* and found to have antimalarial properties. The natural product bromophycolide A was found to be the single bioactive compound. By adding a fluorescent probe onto bromophycolide A, we determined that inhibition of heme crystallization is the mode of action. Hemoglobin is the main nutrient source for parasites during the erythrocytic stage and when catabolized it creates toxic heme that needs to crystalize to become nontoxic. Disruption of heme crystallization is a physicochemical process and is not part of any parasite enzymatic reaction, therefore eliminating the possibility of rapid resistance development.

Abstract

Malaria is a major human health concern in many tropical and sub-tropical regions where current antimalarial drugs are becoming increasingly ineffective due to emerging and spreading resistance. This shortcoming has created a need for new leads with defined activities. Using a combination of cellular and molecular approaches, this study identifies a mode of action for a unique class of antimalarial macrocyclic meroditerpenes isolated from the tropical red macroalga *Callophycus serratus*. Derivatization of the natural product bromophycolide A into fluorescent probes facilitated the identification of a non-enzymatic mode of action against the human malaria parasite *Plasmodium falciparum*. Through a combination of structure-activity relationship studies, confocal fluorescent microscopy of live parasites, UV-visible spectroscopic analyses, and affinity biochemical techniques, bromophycolide A was found to suppress proliferation of the human malaria parasite by inhibition of heme crystallization. This inhibition prevents detoxification of heme to hemozoin in parasite food vacuoles, and ultimately resulting in parasite death. New classes of natural products that target heme crystallization are excellent candidates for further drug development due to the fact that heme crystallization is a physicochemical rather than an enzymatic process, removing some avenues for rapid evolution of drug resistance within the parasite. These studies identify a new class of natural products that target heme

detoxification in both drug-sensitive and drug-resistant *P. falciparum* and suggest new leads to alleviate drug resistant infections.

Introduction

Plasmodium falciparum, the most deadly human malaria parasite, poses a major threat to human health worldwide with over 500 million clinical cases and 1-2 million deaths annually (1). Natural products and their synthetic derivatives have provided the greatest number of successful antimalarial treatments to date, representing approximately 65% of prescribed drugs (2). Quinine, discovered from cinchona tree bark, has been used to treat malaria since the 17th century and was the primary antimalarial drug until it was replaced by chloroquine, a synthetic derivative, in the 1940s (3). Chloroquine became the mainstay antimalarial agent until resistant strains began to appear over a decade after its introduction. Artemisinin, isolated from the plant *Artemisia annua* used in traditional Chinese medicine, ushered in a new wave of antimalarials and became the most potent and rapid-acting drug available (4-5). Several artemisinin synthetic derivatives have since been developed, and artemisinin-based combination therapies are currently being used throughout the world to treat this parasitic disease. However, artemisinin-resistant strains have recently been reported (6-7), and new antiparasitic drugs are urgently needed to combat these strains.

Therapeutic development for malaria is complex due to the intricate host-guest interactions during the plasmodial life cycle. During its maturation, the malaria parasite is transferred to human hosts via mosquitoes, and after a

transitory stage in the liver, the parasite resides in the hosts' red blood cells. While residing in red blood cells, the parasite catabolizes hemoglobin within its food vacuole for its nutritional benefit. This hemoglobin catabolism can be lethal as it releases free heme molecules which are toxic to the parasite (8). However, the parasite overcomes heme toxicity through the crystallization of free heme into non-toxic hemozoin, a non-enzymatic process which is crucial to parasite survival and thus an excellent antimalarial drug target (9). Chloroquine has been shown to inhibit heme crystallization (10), and structurally related antimalarial drugs such as quinine, amodiaquine and mefloquine have also been proposed to disrupt hemozoin formation. Resistance to chloroquine has been reported to occur through multiple mutations in a *P. falciparum* transmembrane protein that, when mutated, pumps chloroquine out of the food vacuole, significantly reducing the concentration of the drug (11). The primary mode of action for artemisinin remains in debate, although several hypotheses have been reported, including alkylation of heme by carbon-centered free radicals (12-13), interference with proteins such as the sarcoplasmic/endoplasmic calcium ATPase (14), as well as damaging of normal mitochondrial functions (15). The identification of molecular targets for bioactive natural products is essential for understanding their modes of action, developing new drug leads, and anticipating evolution of resistance.

Results and Discussion

We previously discovered a unique group of brominated macrocyclic meroditerpenes from the Fijian red macroalga *Callophycus serratus* (16-17) and showed that this class of natural products is cytotoxic to *P. falciparum* (18-19). The most abundant natural product of this class with sub-micromolar inhibition of *P. falciparum* proliferation, bromophycolide A (**1**), induces a strong arrest of the parasite erythrocytic cycle (20), and was therefore used to develop a probe for both molecular imaging and molecular target identification studies to gain insights on potential modes of action of **1** against *P. falciparum*.

In order to maintain antimalarial activity while not compromising the integrity of potential receptor binding, attempts initially focused on connecting a linker through the tertiary alcohol at C11 since changes at this position resulted in only minor differences in activity, based on structure-activity relationship (SAR) trends gleaned from the known *C. serratus* natural products (see Figures a3.1 and a3.2). Unfortunately, all synthetic efforts failed at this position, likely due to a combination of poor reactivity of tertiary alcohols and steric hindrance. From a synthetic perspective, the most chemically reactive and accessible position in **1** is the phenolic hydroxyl at C18. Because the C18 phenol was conserved in all *C. serratus* metabolites and thus SAR data was lacking, we acetylated the phenol in **1** to test for changes in activity. Fortuitously, the acetylated derivative **7** resulted in an increase in activity (IC₅₀ value of 241 nM, Tables 1 and 2). Therefore, two

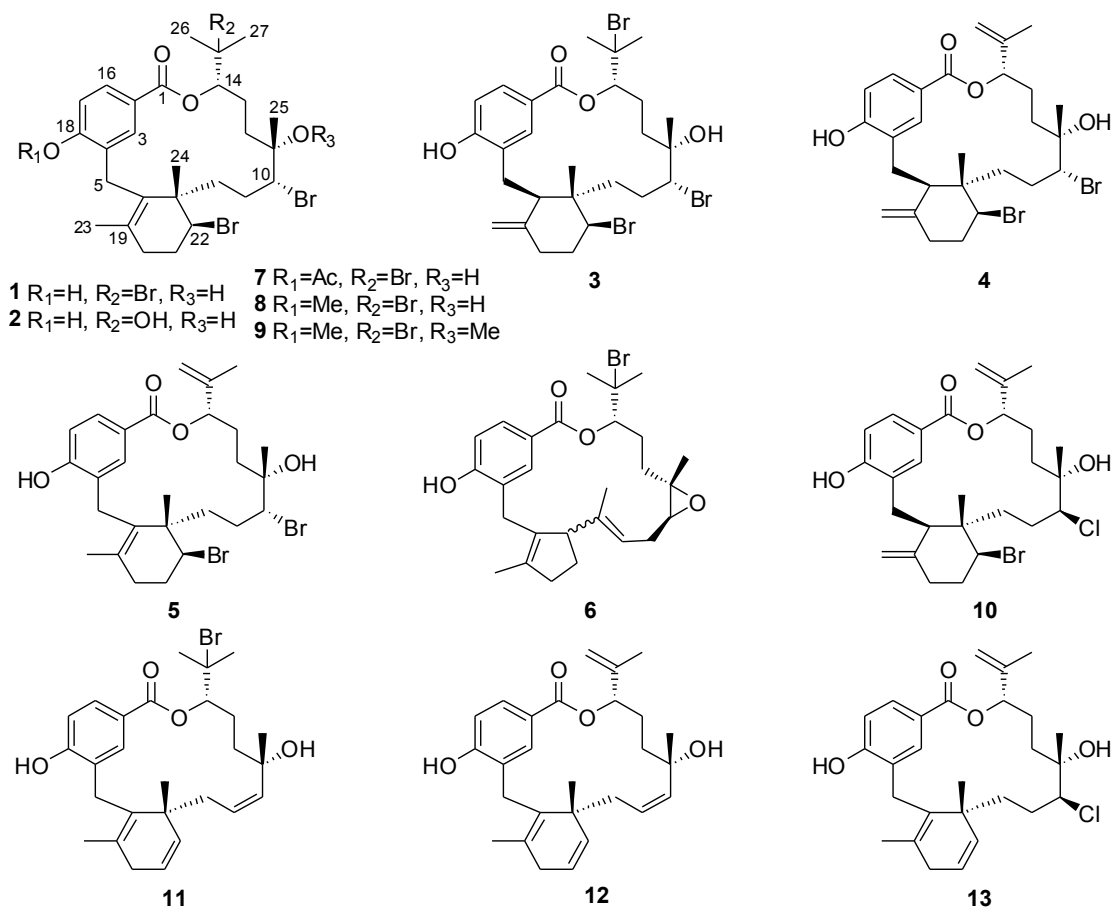


Figure a3.1. Structures of bromophycolides A (1), C (2), D (3), E (4), M (5), and debromophycolide A (6) isolated from *Callophycus serratus* (16-18) and semi-synthetic derivatives of bromophycolide A (7-13).

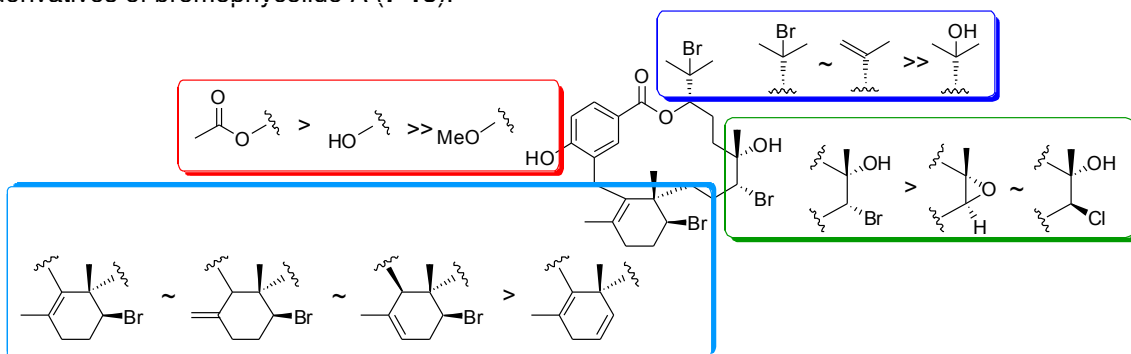


Figure a3.2. Structure-activity relationship summary of *C. serratus* natural products and bromophycolide A (1) semi-synthetic derivatives. Greater potency is indicated by ">", lesser potency by "<", similar potency by "~".

Table a3.1. Antimalarial activities of bromophycolides A (1), C (2), D (3), E (4), M (5), debromophycolide A (6), semi-synthetic derivatives of bromophycolide A (7-13), and probes 15 and 17.

cmpd	<i>P. falciparum</i> (3D7)
1	0.66
2	56
3	0.35
4	0.82
5	0.55
6	>100
7	0.24
8	23
9	21
10	3.1
11	4.6
12	3.5
13	2.7
15	0.27
17	0.53

Table a3.2. Efficacies of bromophycolide A (1), 18-OAc-bromophycolide A (7) and amodiaquine (AMQ) against three *Plasmodium falciparum* strains, in inhibition of heme crystallization, and cytotoxicity against healthy human cells.

cmpd	3D7 IC ₅₀	Dd2 IC ₅₀	HB3	IC ₅₀ for heme	Cytotoxicity (IC ₅₀ mM)		
	(nM) ^a	(nM) ^b	IC ₅₀	crystallization	Vero	J774	HepG2
1	658	497	565	2.5 ± 0.13	34.6	19.0	21.3
7	241	304	431	2.0 ± 0.15	74.0	24.7	38.5
AMQ	7.8 ^d	–	8.5 ^d	1.2 ± 0.19	–	–	–

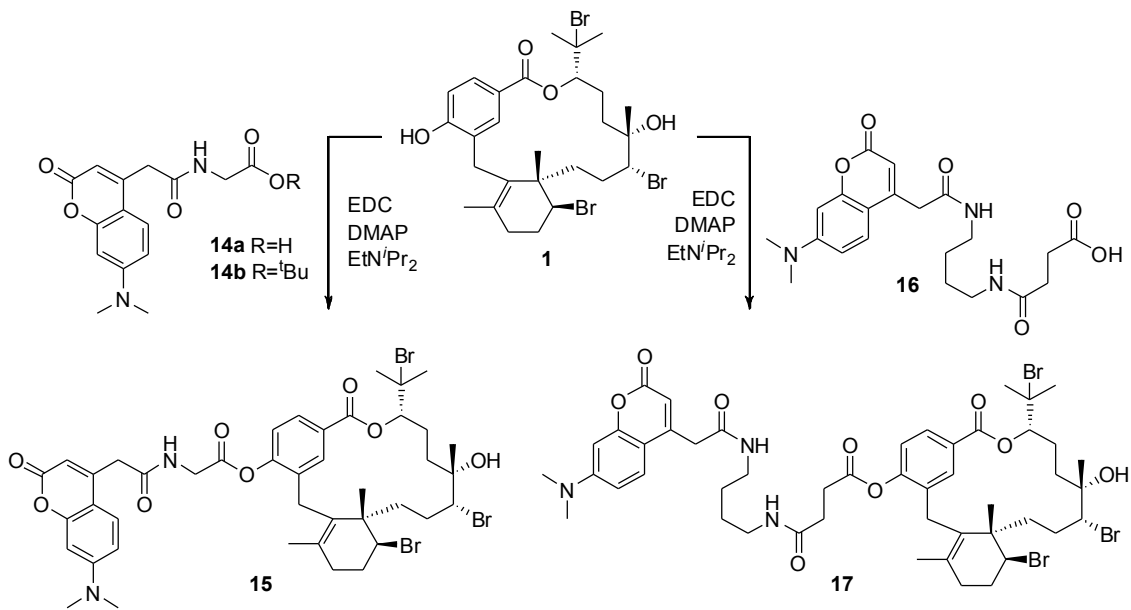
^a3D7 and HB3 = chloroquine-sensitive parasites

^bDd2 = chloroquine-resistant parasite

^cIC₅₀ values in Ncokazi & Egan (24) were reported as molar equivalents of drug to heme

^dFrom Hawley *et al.* (39)

fluorescent immunoaffinity fluorescent (IAF) tags (**21**) with varying chain lengths were each synthetically appended to **1** through an acetyl linkage (Scheme 1). Probe **15** exhibited an IC_{50} of 271 nM, similar to that of **7**. Furthermore, both tags **14a** and **14b** were completely inactive against *P. falciparum*, exhibiting IC_{50} values $>100 \mu\text{M}$ and confirming that the activity observed in probe **15** was a result of the natural product and not the IAF tag.



Scheme 1. Probes **15** and **17** were prepared by coupling acids **14** and **16**, respectively, with bromophycolide A (**1**). EDC = *N*'-(3-dimethylaminopropyl)-*N*-ethylcarbodiimide; DMAP = 4-dimethylaminopyridine; EtNⁱPr₂ = *N,N*-diisopropylethylamine; DMF = dimethylformamide.

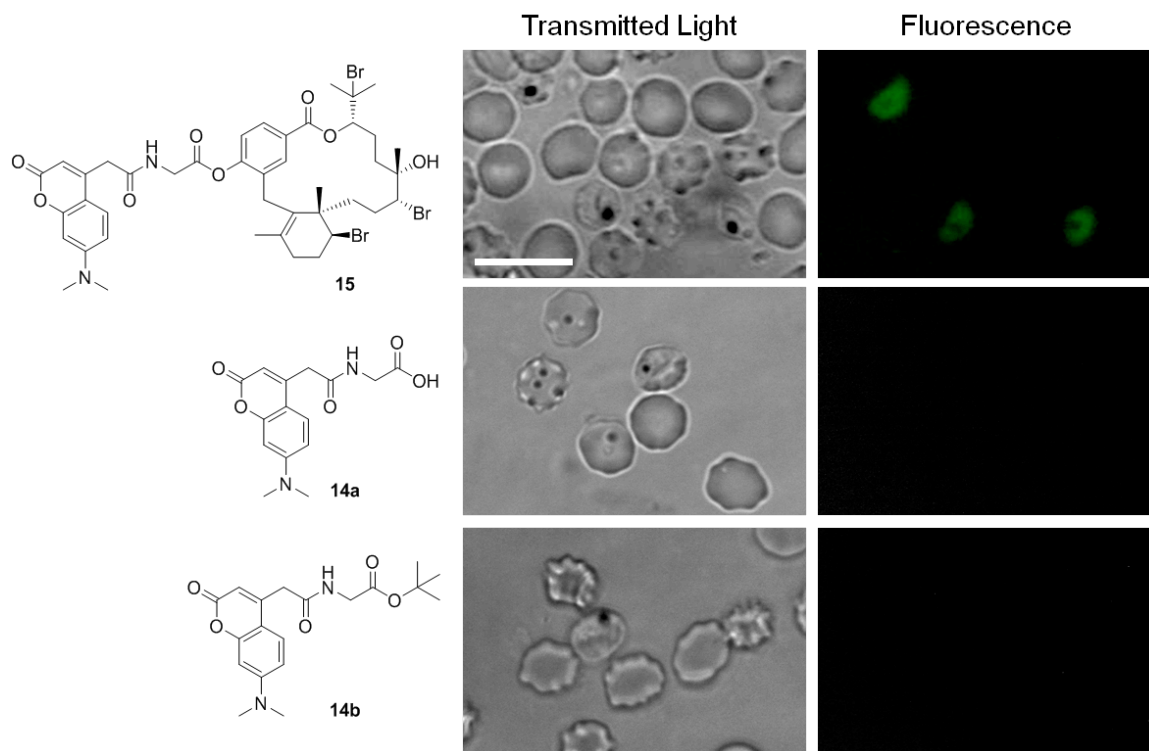


Figure a3.3. Confocal microscopy images of *live* mixed-stage *P. falciparum*-infected erythrocytes incubated with either 10 μM bromophycolide A probe **15**, 10 μM control tags **14a** or **14b** in complete medium. Hemozoin crystals were identified in the transmitted light image by dark crystals. Probe **15** localized only in *P. falciparum*-infected erythrocytes, as seen in the fluorescent image. No fluorescence was observed when *P. falciparum*-infected erythrocytes were incubated with either **14a** or **14b**. Scale bar denotes 10 μm .

With active probes in hand, we turned to confocal microscopy to study the intracellular distribution of probe **15** in *P. falciparum*-infected erythrocytes. Fluorescence was observed only in *P. falciparum*-infected erythrocytes (Figure a3.3) which were distinguished by the presence of hemozoin (dark crystals, Hz). No fluorescence was observed when *P. falciparum*-infected erythrocytes were incubated with either tag **14a** or **14b**, offering further evidence that **15** provided an effective mimic of natural product **1** (Figure a3.3). In order to determine its

specific intracellular target, the histological localization of probe **15** was compared with that of fluorescent organelle and lipid probes by co-incubation and imaging using multichannel fluorescence confocal microscopy. Incubation of infected erythrocytes with both **15** and Nile Red, a neutral lipid stain, repeatedly showed co-localization of the two stains, suggesting that **15** was associating with intracellular neutral lipids (Figure a3.4). Pisciotta established that heme crystallization occurs inside neutral lipid nanospheres, which encompass hemozoin in *P. falciparum* food vacuoles (22). Therefore, molecular imaging studies with **15** and infected erythrocytes provided the first suggestion that **1** could be targeting heme crystallization.

To further explore possible heme–bromophycolide *chemical* interactions, the absorbance spectra of ferriprotoporphyrin IX (Fe(III)PPIX), commercially available heme, was recorded in 20 mM HEPES, pH 7.4 containing 40% DMSO, conditions that were previously demonstrated to evaluate monomeric Fe(III)PPIX(23). Fe(III)PPIX gives a characteristic absorption spectrum with an intense Soret band at 402 nm, whose intensity was decreased by up to 45% by addition of **1**, suggesting that **1** forms a saturable complex with Fe(III)PPIX (Figure a3.5a).

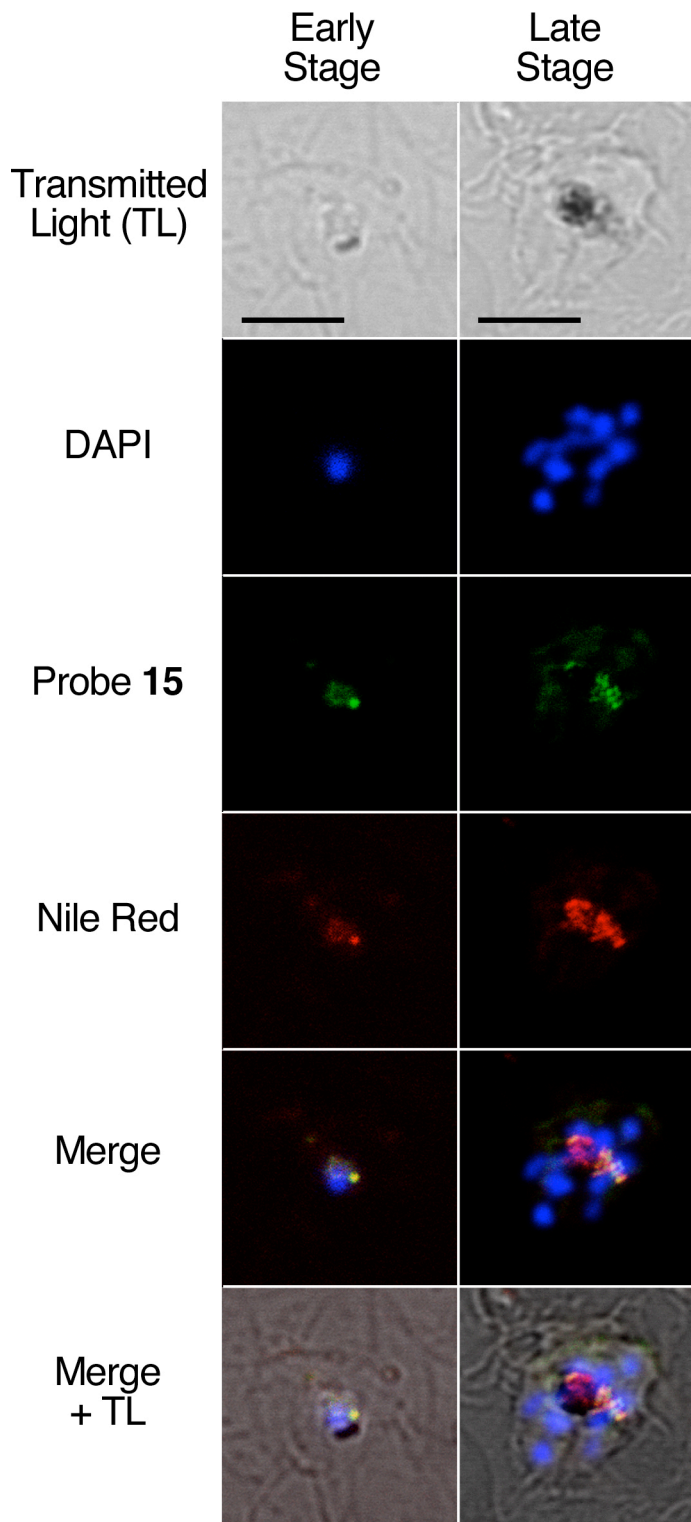


Figure a3.4. Confocal images of fixed parasites incubated with 2 μ M probe **15** (green), 30 nM Nile Red (red), and 70 nM DAPI (blue). Co-localization of **15** and Nile Red (neutral lipids) is indicated by the yellow merged image. Interestingly, while probe **15** emission spectra showed a maximum at 450 ± 10 nm (blue channel) in both complete medium and methanol, **15** fluoresced in the green channel after parasite fixation with paraformaldehyde. The latter effect could result from solvatochromic effects while localized within the lipid environment. Bars denote 5 μ m.

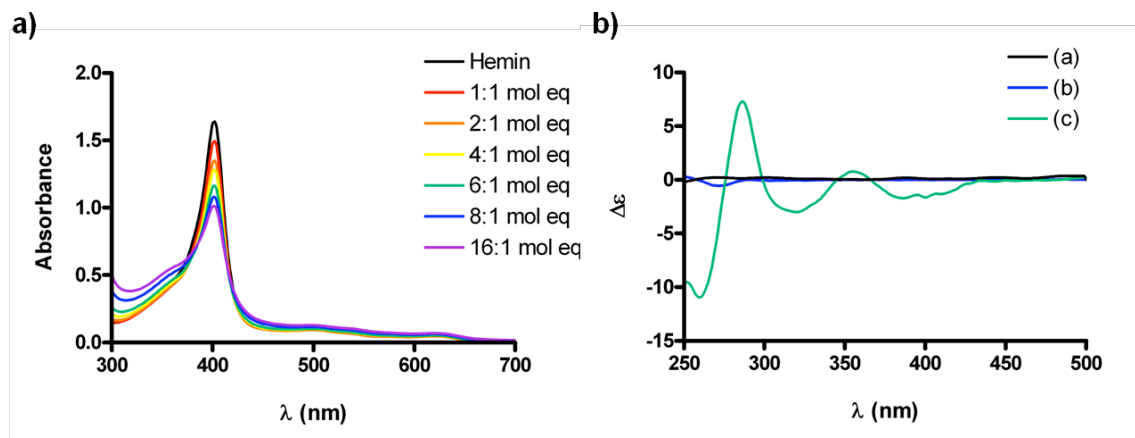


Figure a3.5. Spectroscopic changes observed when Fe(III)PPIX (hemin) is incubated with various concentrations of bromophycolide A (**1**). a) Changes in the Soret band (402 nm) of hemin. The absorbance (Abs) at 402 nm decreases as concentration of **1** increases. Molar equivalent (mol eq) ratios are shown as bromophycolide A (**1**) to hemin. b) CD spectra of hemin and **1**. Hemin is an achiral molecule and does not have a CD signal (black line (a), 12 μ M). The chiral **1** gives a weak Cotton effect at 270 nm (blue line (b), 72 μ M), and addition of **1** to a solution of hemin results in induced Cotton effects around 400 and 350 nm (green line (c), 12 μ M hemin + 72 μ M **1**). All spectra have been corrected for dilution by subtracting a reference cell. Conditions: 23 $^{\circ}$ C, 40% DMSO, 20 mM HEPES buffer, pH 7.4.

Using a 1:1 complexation model between Fe(III)PPIX and **1** (23), the association constant for the Fe(III)PPIX–(**1**) complex was calculated to have a log K value of 4.52 ± 0.18 , suggesting favorable interactions between these molecules (Supporting Information). This Fe(III)PPIX–(**1**) association constant is comparable to other known heme crystallization inhibitors, such as chloroquine and quinine, whose log K values are 5.52 ± 0.03 and 4.10 ± 0.02 , respectively (23). In addition, circular dichroism (CD) analyses indicated induced Cotton effects at 400 and 350 nm under similar conditions used for UV-vis titration experiments, further supporting a measurable association between heme and **1**, as the achiral Fe(III)PPIX alone does not produce a CD signal (Figure a3.5b).

Potential interactions of **1** with heme include hydroxyl group coordination to the iron center, π - π complexation, and hydrophobic interactions between heme and **1**. The increased potency of **1** (C18-OH) and **7** (C18-OAc) over **8** (C18-OMe) supports a hypothesis of coordination of the phenolic oxygen or adjacent carbonyl with the iron center in heme since modification at this position resulted in significant changes in antimalarial activity, although π - π complexation and hydrophobic interactions between heme and **1** are also possible. Fe-OH coordination of bromophycolides with heme may require that the C18-OAc derivative **7** undergoes deacylation by esterases within the parasite, making **7** a prodrug of the hydrophobic natural product **1**. This is seemingly unsupported by the cellular studies with probe **15** as shown in Figures a3.3 and a3.4, as release of the tags would fail to deliver the observed localization. However, proliferation assays need 72 h of compound-parasite incubation, whereas microscopy studies require 1-2 h of probe exposure.

Because heme crystallization is a non-enzymatic process, parasite-free colorimetric high-throughput screens for heme crystallization inhibitors have been developed (24) and refined (25). Both **1** and **7** were tested for heme crystallization inhibition compared to the potent antimalarial drug amodiaquine as positive control. Bromophycolide A (**1**) inhibited heme crystallization with an IC_{50} value of 2.5 molar equivalents of drug to heme, and **7** was slightly more effective than **1**, with an IC_{50} of 2.0 molar equivalents, consistent with growth inhibition

assays (Table a3.2); the IC₅₀ for amodiaquine (1.2 molar equivalents) was consistent with previously reported values (24). Collectively, these data provided compelling evidence of heme crystallization inhibition as a molecular target of **1**.

With one possible mode of action established for **1**, potential protein targets within the malaria parasite were also explored. Taking advantage of the dual utility of probes **15** and **17** as cellular visualization agents and for affinity chromatography, *P. falciparum* lysates were screened for bromophycolide-binding proteins. A selective monoclonal antibody (mAb) against the IAF tag (26) was available for immunoprecipitation (IP) with *P. falciparum* lysates incubated with probes **15** and **17**. Repeated IP experiments failed to result in isolation of any bromophycolide-binding proteins. This anti-IAF-mAb affinity method has been successful with IP of protein targets in multiple systems in our labs, from cancerous cells (26-27) to micro-invertebrates (28). While a negative IP experiment cannot prove the lack of a protein target, these data, along with the observation that probe **15** localized in a low protein environment (i.e., neutral lipid nanospheres), provide a strong suggestion that a mode of action of **1** involves heme crystallization inhibition. This hypothesis is further supported by recent studies which indicate that samples of heme isolated from *P. falciparum* trophozoites, a feeding stage, had minimal protein content but were rich in neutral lipids (22).

To date, we have isolated 33 unique *C. serratus* natural products whose IC₅₀ values ranged from 0.3 to >100 μM, providing a small library to analyze SAR trends (representative natural products **1–6**, Figure a3.1) (16-19, 29-30). Several semi-synthetic derivatives of **1** were also prepared (**7–13**) to further enhance SAR studies and to provide insights for potential future designs of bromophycolide-inspired synthetic compounds. When examining the natural product SAR trends, the most striking observation related to the diterpene head. While replacing the bromine at C15 with an isoprene functionality caused little change in antimalarial activity, substitution of a hydroxyl group at C15 resulted in dramatic loss of activity (Table a3.1), suggesting that a hydrogen bond donating group is poorly suited in this position (Figure a3.2).

Moving to functional groups in the aliphatic macrocycle, synthetic modifications at C10 and C11 also did not significantly affect activity, and replacing the bromine at C10 with chlorine resulted in a slight decrease in activity, although it should be noted that the configuration at C10 was inverted (**4** vs. **10**). Regioisomerization of the double bond in the cyclohexene ring in both natural products and synthetic derivatives also demonstrated only minor alterations in activity (Figure a3.2). Interestingly, elimination of all bromines from **1** only moderately decreased the IC₅₀ value from 0.65 to 3.5 μM (**1** vs. **12**) whereas the natural product **6** was completely inactive. The final portion of **1** to investigate was the *p*-hydroxybenzoate group. As previously discussed,

acylation of the phenol in **1** to **7** resulted in a slight increase in activity. Surprisingly, methylation of the phenol in **1** to **8** led to a 30-fold decrease in activity. Collectively, these data suggest that positions C15 and C18 have the most striking effects on antimalarial activity.

The discovery and development of heme crystallization inhibitors remains an excellent malaria drug target because heme crystallization is a physicochemical process and therefore requires the development of independent export mechanisms by the parasite (31). Drug resistance most commonly involves mutations in a drug's target protein or proteins which regulate efflux in order to remove the drug (32), as the latter was shown for chloroquine resistance. Given that both **1** and **7** effectively inhibited chloroquine-resistant parasites (Dd2) with IC₅₀ values of 497 and 304 nM, respectively (Table a3.2), it is clear that the efflux systems in chloroquine-resistant parasites do not recognize bromophycolides. Furthermore, **1** and **7** exhibited a respective 50- and 300-fold increase in activity for *P. falciparum* parasites over human Vero cells (Table a3.2), providing support for these molecules as antimalarial leads.

The molecular structures of the bromophycolides are notably different from quinine, chloroquine, and other heme crystallization inhibitors, thus suggesting a new class of therapeutic leads. Moreover, red algae may represent an excellent source for the discovery of novel antimalarials, as a recent study revealed a common evolutionary lineage for red algae and the malaria parasite (33). As

historically evident, natural products continue to provide a rich resource for the discovery of unique antimalarial drugs, and these studies now suggest a new class of marine meroditerpenes as discreet inhibitors of *P. falciparum*.

Methods

General

Commercially available reagents were purchased from Sigma-Aldrich (Milwaukee, WI) or VWR Scientific (Brisbane, CA), and used as received. All reactions were performed under a nitrogen atmosphere unless otherwise noted. Reactions were monitored using thin-layer chromatography (TLC) using Silica Gel 60 F254 plates from EMD Chemicals (San Diego, CA), and visualized with a UV lamp at 254 nm. Solvents used for HPLC and LC-MS were HPLC or Optima grade (Fisher Scientific). NMR solvents were purchased from Cambridge Isotope Laboratories. 2,5-Dimethylfuran was used as an internal standard for quantitative analyses by ^1H NMR spectroscopy (34).

Structural analyses

NMR spectra were recorded on a Bruker DRX-500 instrument in CDCl_3 using a 5 mm inverse detection probe for ^1H , COSY, HSQC, and HMBC experiments, and a 5 mm broadband probe for ^1H and ^{13}C experiments, and referenced to residual CHCl_3 (7.24 and 77.0 ppm, for ^1H and ^{13}C , respectively). ^{13}C NMR spectra were not collected for compounds < 1.0 mg due to inadequate sensitivity. In these cases, structures were determined by analysis of ^1H NMR and high-resolution mass spectra and comparison to the 33 previously identified natural products for which extensive 1D and 2D NMR spectral data were available, since synthetic

modifications occurred at positions throughout the macrocycle for which well-resolved ^1H NMR chemical signals were apparent. High-resolution mass spectra were generated using electrospray ionization with an Applied Biosystems QSTAR-XL hybrid Quadrupole-Time-of-Flight tandem mass spectrometer and Analyst QS software in the mass spectral facilities at Georgia Institute of Technology. LC-MS analyses were conducted using a Waters 2695 HPLC with Waters 2996 photodiode array UV detection and Micromass ZQ 2000 mass spectrometer with electrospray ionization. LC-MS chromatography was achieved with an Alltech Alltima C_{18} (3 μm , 2.1 \times 150 mm) column, using gradient mobile phases of aqueous acetonitrile with 0.1% acetic acid.

18-O-acetyl-bromophycolide A (7)

Acetic anhydride (10 μl , 108 μmol) was added dropwise to a solution of bromophycolide A (**1**) (1.0 mg, 1.5 μmol) in anhydrous pyridine (50 μl) and allowed to stir at rt for 4 h. The reaction was concentrated *in vacuo* and the product was purified by reversed-phase HPLC using a gradient of aqueous MeCN (Alltech Alltima C_{18} , 10 \times 250 mm, 5 μm) to yield **7** as a colorless oil (0.8 mg, 75%). ^1H NMR (CDCl_3 , 500 MHz) δ : 8.08 (s, 1H); 7.93 (d, 1H, $J = 10$ Hz); 7.16 (d, 1H, $J = 10$ Hz); 4.67 (dd, 1H, $J = 5, 15$ Hz); 4.46 (t, 1H, $J = 7.5$ Hz); 3.48 (dd, 1H, $J = 5, 15$ Hz); 3.41 (d, 1H, $J = 20$ Hz); 3.17 (d, 1H, $J = 20$ Hz); 2.25-2.29 (m, 2H); 2.22 (s, 3H); 1.85-2.18 (m, 7H); 1.81 (s, 3H); 1.8 (s, 3H); 1.68-1.78 (m,

3H); 1.38 (s, 3H); 1.29 (s, 3H); 1.26 (s, 3H); 1.08 (m, 1H). HRESIMS: $[M + H]^+$ m/z 705.0467 (calcd for $C_{29}H_{40}Br_3O_5$, 705.0425); $[M + Na]$ m/z 727.0170 (calcd for $C_{29}H_{39}Br_3O_5Na$, 727.0245).

18-methoxy-bromophycolide A (8)

A solution of **1** (3.0 mg, 4.5 μ mol) in THF (50 μ l) was added dropwise to a suspension of sodium hydride (60% dispersion in mineral oil pre-washed with Et_2O , 0.54 mg, 14 μ mol) in THF (150 μ l) at 0 °C. The mixture was stirred for 30 min at the same temperature. Methyl iodide (27 μ l of a 0.5 M solution in THF) was added dropwise to the solution at 0 °C and the resulting mixture was stirred for 7 h at rt. Saturated NH_4Cl (aq) was added to the solution at 0 °C, followed by extraction with $EtOAc$ (3 \times 300 μ l). The combined organic layers were washed with brine, dried over $MgSO_4$, and evaporated *in vacuo*. Purification of the product by reversed-phase HPLC using a gradient of aqueous $MeOH$ (Zorbax C_{18} , 9.4 \times 250 mm, 5 μ m) yielded **8** as a colorless oil (2.5 mg, 81%). 1H NMR ($CDCl_3$, 500 MHz) δ : 7.95 (s, 1H); 7.92 (d, 1H, $J = 10$ Hz); 6.89 (d, 1H, $J = 10$ Hz); 4.65 (dd, 1H, $J = 5, 10$ Hz); 4.48 (dd, 1H, $J = 5, 12$ Hz); 3.93 (s, 3H); 3.44 (m, 1H); 3.42 (d, 1H, $J = 18$ Hz); 3.21 (d, 1H, $J = 18$ Hz); 2.22-2.33 (m, 3H); 1.84-2.17 (m, 7H); 1.82 (s, 3H); 1.81 (s, 3H); 1.68-1.80 (m, 3H); 1.38 (s, 3H); 1.30 (s, 3H); 1.27 (s, 3H); 1.07 (m, 1H). ^{13}C NMR ($CDCl_3$, 125 MHz) δ : 165.9, 161.4, 133.1, 130.6, 130.4, 130.0, 127.5, 121.0, 109.7, 80.4, 73.9, 72.4, 67.3, 61.1,

55.6, 43.5, 37.6, 34.3, 33.7, 33.6, 31.6, 31.0, 30.5, 29.5, 28.6, 28.3, 26.1, 21.0.

HRESIMS: $[M + H]^+$ m/z 677.0404 (calcd for $C_{28}H_{40}Br_3O_4$, 677.0477).

11, 18-dimethoxy-bromophycolide A (9)

A solution of **8** (2.0 mg, 3.0 μ mol) in THF (25 μ l) was added dropwise to a suspension of sodium hydroxide (60% dispersion in mineral oil pre-washed with Et_2O , 0.48 mg, 12 μ mol) in THF (50 μ l) at 0 °C. The mixture was stirred for 30 min and allowed to reach rt. Methyl iodide (25 μ l of a 0.5 M solution in THF) was added dropwise to the solution at rt and the resulting mixture was stirred overnight. Saturated NH_4Cl (aq) was added to the solution, followed by extraction with $EtOAc$ (3 \times 200 μ l). The combined organic layers were washed with brine, dried over $MgSO_4$, and evaporated *in vacuo*. Purification of the product by reversed-phase HPLC using a gradient of aqueous $MeOH$ (Zorbax C_{18} , 9.4 \times 250 mm, 5 μ m) yielded **9** as a colorless oil (1.0 mg, 49%). 1H NMR ($CDCl_3$, 500 MHz) δ : 7.94 (d, 1H, J = 10 Hz); 7.88 (s, 1H); 6.89 (d, 1H, J = 10 Hz); 4.96 (dd, 1H, J = 7, 12 Hz); 4.50 (m, 1H); 3.93 (s, 3H); 3.62 (s, 3H); 3.53 (d, 1H, J = 18 Hz); 3.34 (d, 1H, J = 18 Hz); 3.07 (dd, 1H, J = 5, 10 Hz); 2.47-2.55 (m, 1H); 2.29 (m, 2H); 2.12-2.19 (m, 1H); 1.83-1.94 (m, 5H); 1.74 (s, 3H); 1.72 (s, 3H); 1.51 (s, 3H); 1.32-1.42 (m, 3H); 1.16 (s, 3H); 0.89 (s, 3H). ^{13}C NMR ($CDCl_3$, 125 MHz) δ : 166.3, 161.6, 133.3, 131.8, 131.0, 130.1, 128.1, 120.6, 109.5, 78.6, 68.7, 65.2, 63.8, 59.9, 55.5, 43.1, 39.9, 31.8, 30.9, 30.4, 29.3, 29.0, 28.1, 24.6, 24.5, 23.9,

20.7, 19.5, 19.4. HRESIMS: $[M + H]^+$ m/z 691.0584 (calcd for $C_{29}H_{42}Br_3O_4$, 691.0633).

10-(S)-Cl-bromophycolide E (10)

Lithium chloride (8 μ l, 8.2 μ mol, of a 1M solution in warmed DMF) was added dropwise to a solution of bromophycolide E (**4**) (1.6 mg, 2.7 μ mol) in DMF (100 μ l) at rt. The reaction was allowed to stir at 70 °C for 6 h. The reaction was allowed to cool and deionized H₂O was added (0.5 ml) to the solution, followed by extraction with EtOAc (3 \times 0.5 ml). The combined organic layers were washed with brine, dried over MgSO₄, and evaporated *in vacuo* and the product was purified by reversed-phase HPLC using a gradient of aqueous MeOH (Zorbax C₁₈, 9.4 \times 250 mm, 5 μ m) to yield **10** as a white powder (0.13 mg, 8.8%). ¹H NMR (CDCl₃, 500 MHz) δ : 7.83 (s, 1H); 7.68 (d, 1H, J = 10 Hz); 6.74 (d, 1H, J = 10 Hz); 5.38 (s, 1H); 5.26 (br s –OH); 5.14 (m, 1H); 4.95 (s, 1H); 4.92 (s, 1H); 4.85 (s, 1H); 4.34 (dd, 1H, J = 5, 12 Hz); 4.03 (d, 1H, J = 12 Hz); 3.12 (dd, 1H, J = 15, 20 Hz); 2.57 (d, 1H, J = 20 Hz); 2.47 (m, 1H); 2.29-2.38 (m, 3H); 1.99-2.10 (m, 5H); 1.91 (m, 2H); 1.79 (s, 3H); 1.66-1.75 (m, 3H); 1.37 (s, 3H); 0.96 (s, 3H). HRESIMS $[M - H]^-$ m/z 537.1283 (calcd for $C_{27}H_{35}BrClO_4$, 537.1407).

$\Delta^{9,10}$ - $\Delta^{21,22}$ -Bromophycolide A (**11**).

Lithium chloride (3.8 mg, 90 μ mol) was added to a solution of **1** (10 mg, 15 μ mol) in DMF (1 ml) at rt. The reaction was allowed to stir at 70 °C for 6 h. The reaction

was allowed to cool and deionized H₂O was added (5 ml) to the solution, followed by extraction with EtOAc (3 × 5 ml). The combined organic layers were washed with brine, dried over MgSO₄, and evaporated *in vacuo* and the product was purified by reversed-phase HPLC using a gradient of aqueous MeOH (Zorbax C₁₈, 9.4 × 250 mm, 5 μm) to yield **11** as a white powder (0.1 mg, 1.3%). ¹H NMR (CDCl₃, 500 MHz) δ: 8.37 (s, 1H); 7.68 (d, 1H, *J* = 10 Hz); 6.74 (d, 1H, *J* = 10 Hz); 5.42 (m, 1H); 5.41 (m, 1H); 5.11 (br s, –OH); 4.63 (m, 2H); 3.81 (dd, 1H, *J* = 8, 17 Hz); 3.65 (d, 1H, *J* = 20 Hz); 3.21 (d, 1H, *J* = 20 Hz); 2.51 (m, 2H); 1.92-2.10 (m, 5H); 1.74-1.82 (m, 1H); 1.45 (s, 3H); 1.39 (s, 3H); 1.37 (s, 3H); 1.32 (s, 3H); 1.28 (s, 3H); 1.20 (m, 1H). HRESIMS [M – H][–] *m/z* 501.1442 (calcd for C₂₇H₃₄BrO₄, 501.1640).

$\Delta^{9,10}$ - $\Delta^{21,22}$ -Bromophycolide **M** (**12**)

Lithium chloride (3.8 mg, 90 μmol) was added to a solution of **1** (10 mg, 15 μmol) in DMF (1 ml) at rt. The reaction was allowed to stir at 70 °C for 6 h. The reaction was allowed to cool and deionized H₂O (5 ml) was added to the solution, followed by extraction with EtOAc (3 × 5 ml). The combined organic layers were washed with brine, dried over MgSO₄, and evaporated *in vacuo* and the product was purified by reversed-phase HPLC using a gradient of aqueous MeOH (Zorbax C₁₈, 9.4 × 250 mm, 5 μm) to yield **12** as a white powder (0.15 mg, 2.4%). ¹H NMR (CDCl₃, 500 MHz) δ: 7.94 (s, 1H); 7.75 (d, 1H, *J* = 10 Hz); 6.75 (d, 1H, *J* = 10 Hz);

5.70 (br d, 1H, $J = 10$ Hz); 5.33 (br d, 1H, $J = 12$ Hz); 5.14 (br s, -OH); 5.10 (br d, 1H, $J = 10$ Hz); 5.00 (s, 1H); 4.89 (s, 1H); 4.61 (m, 1H); 3.62 (d, 1H, $J = 18$ Hz); 3.20 (d, 1H, $J = 18$ Hz); 2.64-2.78 (m, 2H); 2.59 (m, 1H); 1.78 (s, 3H); 1.63-1.72 (m, 4H); 1.54 (s, 3H); 1.45 (s, 3H); 1.30-1.37 (m, 2H); 1.24 (m, 1H); 1.13 (s, 3H).

HRESIMS: $[M - H]^-$ m/z 421.2430 (calcd for $C_{27}H_{33}O_4$, 421.2378).

10-(S)-Cl- $\Delta^{21,22}$ -bromophycolide M (13)

Lithium chloride (3.8 mg, 90 μ mol) was added to a solution of **1** (10 mg, 15 μ mol) in DMF (1 ml) at rt. The reaction was allowed to stir at 70 °C for 6 h. The reaction was allowed to cool and deionized H₂O was added (5 ml) to the solution, followed by extraction with EtOAc (3 \times 5 ml). The combined organic layers were washed with brine, dried over MgSO₄, and evaporated *in vacuo* and the product was purified by reversed-phase HPLC using a gradient of aqueous MeOH (Zorbax C₁₈, 9.4 \times 250 mm, 5 μ m) to yield **13** as a white powder (2.0 mg, 29%). ¹H NMR (CDCl₃, 500 MHz) δ : 8.01 (s, 1H); 7.79 (d, 1H, $J = 8$ Hz); 6.79 (d, 1H, $J = 8$ Hz); 5.77 (m, 1H); 5.46 (d 1H, $J = 10$ Hz); 5.39 (br s, -OH); 5.30 (m, 1H); 5.00 (s, 1H); 4.88 (s, 1H); 3.85 (d, 1H, $J = 10$ Hz); 3.49 (d, 1H, $J = 17$ Hz); 3.35 (d, 1H, $J = 17$ Hz); 2.75 (d, 1H, $J = 22$ Hz); 2.64 (d, 1H, $J = 22$ Hz); 1.90-2.02 (m, 3H); 1.77 (s, 3H); 1.63-1.75 (m, 5H); 1.51 (s, 3H); 1.23 (s, 3H); 1.16 (s, 3H); 0.85 (m, 1H). ¹³C NMR (CDCl₃, 125 MHz) δ : 165.5, 157.5, 143.1, 135.3, 131.9, 130.8, 129.8, 129.3, 125.4, 122.6, 122.4, 115.0, 111.1, 76.2, 74.6, 72.2, 40.7, 37.4, 33.7, 31.9,

30.2, 28.7, 28.3, 27.6, 26.6, 20.5, 18.9. HRESIMS $[M - H]^-$ m/z 457.1126 (calcd for $C_{27}H_{34}ClO_4$, 457.2145).

Bromophycolide A 'short-linker' probe (15)

N'-(3-dimethylaminopropyl)-*N*-ethylcarbodiimide (EDC) (11 μ l, 60 μ mol) was added to a solution of 2,5-dioxopyrrolidin-1-yl-2-(2-(7-(dimethylamino)-2-oxo-2H-chromen-4-yl)acetamido)acetate (**14**) (9.1 mg, 30 μ mol), 4-dimethylaminopyridine (DMAP) (6 μ l, 6 μ mol, of a 1M solution in DMF), and **1** (5 mg, 7.5 μ mol) in 3:2 DMF/ CH_2Cl_2 (0.3 ml), followed by slow addition of *N,N*-diisopropylethylamine (EtNⁱPr₂, 20 μ l). The reaction was allowed to stir at rt for 24 h and then was quenched with saturated NaHCO₃ solution (0.5 ml). The solution was extracted with EtOAc (3 \times 0.75 ml). The combined organic layers were washed with brine, dried over MgSO₄, and evaporated *in vacuo* and the product was purified by reversed-phase HPLC using a gradient of aqueous MeCN and 0.2% TFA (Alltech Alltima C₁₈, 10 \times 250 mm, 5 μ m) to yield **15** (1.6 mg, 22%). ¹H and ¹³C NMR data table has been provided in Supporting Information. HRESIMS: $[M + H]^+$ m/z 949.1286 (calcd for $C_{42}H_{52}Br_3N_2O_8$, 949.1274).

IAF tag 16

A three-step process was used to prepare tag **16**. HATU (570 mg, 1.5 mmol) was added to a solution of 7-dimethylamino-4-coumarin acetic acid (247.2 mg, 1

mmol) and EtNⁱPr₂ (0.42 ml, 3 mmol) in anhydrous DMF (3 mL). After 1 h at rt, *N*-Boc-1,4-diaminobutane (225.3 mg, 1.2 mmol) in anhydrous DMF (1 ml) was added and the mixture was stirred for 4 h at rt. The contents were dried via rotary evaporation. The crude product was suspended in DCM (10 ml) and applied to a Dry Column Vacuum Chromatography (DCVC) column containing 80 g of Silica Gel (15–40 μm Silica Gel 60 from EM Sciences) and eluted with 5% MeOH in EtOAc. Drying via rotary evaporation provided the Boc-protected amide (395.2 mg) along with approximately 10% of 7-dimethylamino-4-methylcoumarin (a product of the decarboxylation of 7-dimethylamino-4-coumarin acetic acid). This mixture was then dissolved in dry CH₂Cl₂ (10 ml) using ultrasonification and treated with TFA (2 ml) at rt. TLC analysis showed clean removal of the Boc protecting group within 4 h. The resulting mixture was dried by the flow of Argon and the residue was rotary evaporated twice from EtOAc (20 ml) and toluene (10 ml) and then dissolved in anhydrous DMF (5 ml) containing EtNⁱPr₂ (0.84 mL, 6 mmol). Solid succinic anhydride (250.1 mg, 2.5 mmol) was added and the mixture was stirred at rt. After 12 h, the mixture was dried via rotary evaporation and **16** was obtained by silica flash chromatography using a gradient consisting of 2:1 hexanes:EtOAc, 1:1 hexanes:EtOAc, EtOAc, 1:10 MeOH:EtOAc and 1:5 MeOH:EtOAc. Tag **16** (317.1 mg, 76%) was obtained as a light yellow powder after evaporation of selected fractions. ¹H NMR (DMSO-*d*₆, 400 MHz) δ: 12.05 (br s, –OH); 8.18 (t, –NH, *J* = 5.3); 7.81 (t, –NH, *J* = 5.5); 7.52 (d, 1H, *J* = 9.0);

6.72 (dd, 1H, $J = 2.3, 9.0$); 6.54 (d, 1H, $J = 2.3$); 5.98 (s, 1H); 3.57 (s, 2H); 3.05 (m, 4H); 3.00 (s, 6H); 2.40 (t, 2H, $J = 7.1$); 2.27 (t, 2H, $J = 7.0$); 1.37 (m, 4H). ^{13}C NMR (DMSO- d_6 , 100 MHz) δ : 173.9, 170.7, 167.6, 160.7, 155.4, 152.8, 151.4, 126.0, 109.4, 109.0, 108.2, 97.5, 38.5, 38.2, 30.0, 29.2, 26.6, 26.4. ESIMS $[\text{M} + \text{H} - \text{H}_2\text{O}]^+$ m/z 400.43; $[\text{M} + \text{H}]^+$ m/z 418.43; $[\text{M} + \text{Na}]$ m/z 440.41; $[\text{M} - \text{H}]^-$ m/z 416.50.

Bromophycolide A 'long-linker' probe (17)

EDC (15 μl , 81 μmol) was added to a solution of 4-(4-(2-(7-(dimethylamino)-2-oxo-2H-chromen-4-yl)acetamido)butylamino)-4-oxobutanoic acid (**16**) (11 mg, 27 μmol), DMAP (10 μl , 10 μmol , of a 1M solution in DMF), and **1** (4.5 mg, 6.8 μmol) in 3:2 DMF/ CH_2Cl_2 (0.3 ml), followed by slow addition of EtN^iPr_2 (20 μl). The reaction was allowed to stir at rt for 24 h and then was quenched with saturated NaHCO_3 solution (0.5 ml). The solution was extracted with EtOAc (3 \times 0.75 ml). The combined organic layers were washed with brine, dried over MgSO_4 , and evaporated *in vacuo* and the product was purified by reversed-phase HPLC using a gradient of aqueous MeCN and 0.2% TFA (Alltech Alltima C_{18} , 10 \times 250 mm, 5 μm) to yield **17** (2.1 mg, 29%). ^1H and ^{13}C NMR data table has been provided in Supporting Information. HRESIMS: $[\text{M} + \text{H}]^+$ m/z 1062.2021 (calcd for $\text{C}_{48}\text{H}_{63}\text{Br}_3\text{N}_3\text{O}_9$, 1062.2114).

Parasite culture

3D7, HB3 and Dd2 *P. falciparum* malaria parasites (MRA-102, 155, 156, MR4, ATCC Manassas, Virginia) were cultured in human type O+ erythrocytes in complete medium (RPMI 1640, 0.043 mg/ml gentamicin (Gibco), 0.014 mg/ml hypoxanthine (Acros), 38.5 mM HEPES (Sigma), 0.18% sodium bicarbonate (Gibco), 0.20% glucose (MP Biomedical), 2.57 mM NaOH (Sigma), 0.21% albumax (Gibco), and 4.3% human serum as previously described (35). Cultures were maintained in 25 cm² cell culture treated flasks (Corning) at a volume of 10 ml and were gassed for 30 s with an environment of 3% CO₂, 1% O₂, and 96% N₂, then incubated at 37 °C. Synchronization of culture was achieved through sorbitol lysis of mature stage using 5% sorbitol (Fisher) and fine tuned by another lysis 8 h later.

Growth inhibition assay with Plasmodium falciparum

Antimalarial activity was determined with a SYBR Green based parasite proliferation assay, adapted from Smilkstein (36) and Bennett (37). *P. falciparum* parasites were cultured in human O+ erythrocytes as described in the previous section. Compounds were diluted in complete medium and 40 µl transferred to 96-well assay plates. To this, 80 µl of complete media with malaria infected erythrocytes were dispensed in each well, with final assay percentages at 2.5% hematocrit and 0.5% parasitemia. Uninfected erythrocytes were added to the background wells at the same final hematocrit percentage. Plates were incubated

for 72 h in a low oxygen environment (96% N₂, 3% CO₂, 1% O₂) in a modular incubation chamber. The plates were sealed and placed in a -80 °C freezer overnight then thawed, and 120 µl of lysis buffer (20 mM Tris-HCl, pH 7.5, 5 mM EDTA, 0.08% Triton X-100, 0.008% saponin with 0.2 µl/ml Sybr Green I (Invitrogen)) was dispensed into each well and incubated at 37 °C in the dark for 6 h. Fluorescence of each well was read with a Molecular Devices SpectraMAX Gemini EM at excitation: 495 nm, emission: 525 nm with 515 nm cut-off.

Fluorescence microscopy – live cell confocal imaging.

Samples were prepared in optical bottom 96-well assay plates (Costar #3614, Corning, NY). Parasite cultures were diluted to 0.025% hematocrit and 6% parasitemia in complete medium and 240 µl was added to each well. Probe **15** was incubated with samples for 1 h at 37°C, in the dark at a final concentration of 2 µM. The BD Pathway HT (BD Biosciences Bioimaging, Rockville, MD) incubation chamber was set at 37 °C and 5% CO₂.

Confocal microscopy with fixed cells

Mixed-stage or synchronized *P. falciparum* cultures at 6-8% parasitemia were incubated either with 2 µM probe **15**, 2 µM control probe **14a**, or 2 µM control probe **14b** for 1 h at 37 °C. The following conditions were used for the sub-cellular labeling of parasites: 50 nM LysoSensor Green 189 for 1 min; 70 nM

DAPI for 10 min; 1 μ M ER-Tracker Green for 30 min, 500 nM MitoTracker Red 580 for 1 h, 500 nM BODIPY 505/515 for 30 min or 30 nM Nile Red for 10 min. All stains were diluted fresh into complete medium and incubated at 37 °C. Following incubation, infected red blood cells were washed in complete medium and 7 μ l of the resulting thick pellet was added onto a glass slide and allowed to dry in the dark. After drying, samples were fixed using 4% paraformaldehyde for 20 minutes at room temperature before mounting. Images were obtained using a Leica TCS SP2 confocal microscope (Leica Microsystems Inc., Bannockburn, IL).

Heme-bromophycolide A UV-vis and CD spectroscopic analyses.

UV-vis spectroscopic analyses were performed similar to those reported by Egan and Ncokazi (38). A stock solution of 0.0012 M porcine hemin (Sigma) was prepared using molecular grade DMSO and stored in the dark. Working Fe(III)PPIX solutions (12 μ M) were prepared fresh by mixing 20 μ l of stock hemin solution with 0.8 ml DMSO and 1.2 ml of HEPES buffer (20 mM, pH 7.4) in a 10 mm quartz cuvette. A stock solution (5 mM) of bromophycolide A (**1**) was prepared in DMSO and was used to titrate the natural product into the cuvette. The titrations involved addition of four 5 μ l aliquots, followed by four 10 μ l aliquots and two 20 μ l aliquots. The final two additions did not cause any more spectral changes. For circular dichroism experiments, both Fe(III)PPIX (12 μ M) and **1** (72 μ M) were recorded individually in 40% DMSO, 20 mM HEPES, pH 7.4

in 10 mm quartz cuvettes. Bromophycolide A (**1**) was titrated into a 10 mm quartz cuvette containing Fe(III)PPIX (12 μ M) so that the molar equivalents of **1** to Fe(III)PPIX was 6:1. All spectra were corrected for dilution by subtracting a reference cell. UV spectra were recorded on a Jasco V-630 double-beam spectrophotometer. Circular dichroism measurements were acquired on a Jasco J-810 grating spectropolarimeter.

Heme crystallization inhibition assay.

Heme crystallization inhibition assays were performed as previously described (24-25). Briefly, 30 μ l of a 0.3 mM solution of hemin in 0.1 M NaOH was added to rows B-H in a round-bottom 96-well microtiter plate, and 50 μ l of the 0.3 mM hemin solution was added to row A. Amodiaquine and DMSO were used as positive and negative controls, respectively. Controls, **1**, or **7** were added to wells in row A in 10 μ l DMSO (starting concentration of either 6, 5, or 4 mol eq relative to hemin) and serial diluted by half down each column, discarding 30 μ l from the final row. Next, 10 μ l of a 9.8 M sodium acetate solution (pH 4.8) was added to each well, and the plate was incubated for 2 h at 60 °C. After cooling for 15 min, 75 μ l of a 14% (v/v) pyridine solution in 20 mM HEPES (pH 7.5) was added to each well and the plate was centrifuged at 2000 rpm for 1 min. Next, 50 μ l of each supernatant was transferred into a flat-bottom 96-well plate and the absorbance was measured at 405 nm using a microplate reader. The IC₅₀ of

each compound was calculated using the dose concentration at 50% inhibition on a sigmoidal dose response curve generated using GraphPad Prism version 4.00.

Immunoprecipitation with P. falciparum cell lysates

A 0.15% saponin solution was used to lyse erythrocytes and isolate parasites, and samples were washed twice with PBS. Parasite pellet was incubated on ice for 5 minutes in cytoplasmic lysis buffer (20 mM HEPES pH 7.9, 10 mM KCl, 1 mM EDTA, 1 mM EGTA, 1 mM DTT, 0.5 mM PMSF, 0.65% Igepal, 1x Roche cocktail inhibitor tablet). Samples were centrifuged at 1500 g for 10 min at 4 °C, supernatant was collected, and the resulting pellet was re-suspended with nucleus lysis buffer (20 mM HEPES pH7.9, 0.1 M NaCl, 0.1 mM EDTA, 0.1 mM EGTA, 1.5 mM MgCl₂, 1 mM DTT, 25% glycerol, 1 mM PMSF, 1x Roche cocktail inhibitor tablet) and incubated for 20 min at 4 °C. After centrifugation at 6000 g for 10 min at 4 °C, the supernatant was collected and combined with cytoplasmic proteins. The remaining pellet was sonicated in cytoplasmic lysis buffer, centrifuged, and then all supernatants were combined. The total protein concentration was determined to be 5 mg/ml total protein by Bradford analysis. Probe **15** or **17** (2 μM or 20 μM) was added to lysates for 1 or 4 h and incubated at 4 °C with constant rotation. Next, 50 μl of Affigel 10 resin containing 3.5 mg/ml of the XRI-TF35 mAb was added to the probe/lysate mixture for an additional 2 h or 14 h with agitation at 4 °C. As negative controls, tag **14a** (2 μM), **14b** (2 μM),

or 0.7% DMSO was added to lysates. Samples were then washed with wash buffer (0.5% Tritonx-100, 25 mM Tris-HCl pH 7.5, 150 mM NaCl, 2 mM EDTA, 2 mM PMSF, 1x Roche cocktail inhibitor tablet) three times. Proteins were either eluted from the resin by incubation with 35 μ l of 1 mg/ml of **14a** in RIPA buffer for 1 h at rt, or laemmli buffer was added directly to samples and boiled for 5 min at 100 °C to elute proteins. Samples were resolved by 16% SDS-PAGE and detected with Coomassie stain or Silver stain. SDS-PAGE analyses of varying immunoprecipitation conditions with either probe **15**, **17**, or negative controls were identical as noted by a complete lack of protein.

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Conclusion

The need for novel antimalarial agents remains a high priority in the malaria field. Bromophycolide A was originally extracted from the red macroalga *Callophycus serratus*, and provides a unique class of meroditerpenes that inhibits parasite growth. Bromophycolide A was tagged with the fluorescent probe, coumarin, and we were able to localize this compound with hemozoin. Immunoprecipitations of the tagged bromophycolide A were unsuccessful at identifying an interacting parasite protein, but this compound did inhibit heme crystallization *in vitro*. Bromophycolide A is structurally different from quinine, and its derivatives, that inhibit heme crystallization, and chloroquine resistant and sensitive parasites exhibit the same inhibitory concentration at 50 percent. These results lead to testing bromophycolide A in a mouse model, which had some promising results albeit with solubility and metabolic issues. This study presents an interesting outlook for antimalarial drug discovery because most natural products tested for antimalarial properties are from terrestrial organisms. We present a new avenue for investigating extracts from the ocean for novel compounds with antimalarial properties.

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Chapter 4a

Post-translational modifications in *Plasmodium*: More than you think!

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Abstract

Recent evidences indicate that transcription in Plasmodium may be hard-wired and rigid, deviating from the classical model of transcriptional gene regulation. Thus, it is important that other regulatory pathways be investigated as a comprehensive effort to curb the deadly malarial parasite. Research in post-translational modifications in Plasmodium is an emerging field that may provide new venues for drug discovery and potential new insights into how parasitic protozoans regulate their life cycle. Here, we discuss the recent findings of post-translational modifications in Plasmodium.

1. Introduction

Malaria is one of the deadliest infectious diseases of the world. Each year, malaria infects over 300 million people world-wide and causes an estimated one to two million deaths [1–3]. With the increase of drug resistance to most of the widely used anti-malarial drugs, it is imperative to better understand key regulatory elements driving the Plasmodium life cycle, eventually leading to the discovery of new drug targets. The sequencing of the Plasmodia genomes, along with subsequent comparative bioinformatics approaches, transcriptome and proteome analyses, have created a vast amount of information regarding protein prediction and their hypothetical functions in both the human host and mosquito vector [4–7]. While microarray analyses have demonstrated a remarkable change in steady-state mRNA levels during parasite development, only relatively few regulatory motifs and transcription regulators have been uncovered so far [8]. In addition, unlike other organisms, there seems to be few transcriptional changes in Plasmodium following exposure to external stimuli [3,9,10]. These findings imply that parasite transcription could be hard-wired [10]. This rigidity in transcription suggests that post-transcriptional and post-translational mechanisms are likely to play major roles in regulating the parasite life cycle.

By definition, post-translational modifications (PTMs) must add or subtract a specific mass difference and not be particular to any one protein [11]. In addition to changing protein mass, PTMs can also alter the protein charge and

conformation. Such changes modify the protein's enzyme activity, binding affinity and hydrophobicity [12]. The spectrum of the PTMs within a cell is immense and varies with respect to specificity and abundance. For example, some types of PTMs such as phosphorylation and ubiquitination are universally employed to regulate a broad host of functions, have relatively high abundance, and have a wide range of target substrates. On the other hand, some PTMs such as acetylation are highly specific in their roles, have a relatively low abundance, and may target only a few proteins or even one target substrate at a time. Furthermore, PTMs can either modify a protein at one specific amino acid residue or be associated with numerous different residues. The potential diversity of protein modifications seem limitless due to the fact that an individual protein can undergo a single modification or multiple types of modifications at several sites, possibly producing multiple protein isoforms, each with a unique biological activity. One can easily see why PTMs are responsible for a major increase in complexity from genome to proteome. For example, the human genome contains approximately 30,000 open reading frames but is predicted to give rise to roughly 1.8 million different protein variants [13].

Furthermore PTMs are reversible and thus provide the flexibility and adaptability that are essential for mediating rapid cellular responses to the cell's constantly changing conditions. Thus, posttranslational modifications are vital for the survival of all kinds of cells, with *Plasmodium* not being an exception. Due to

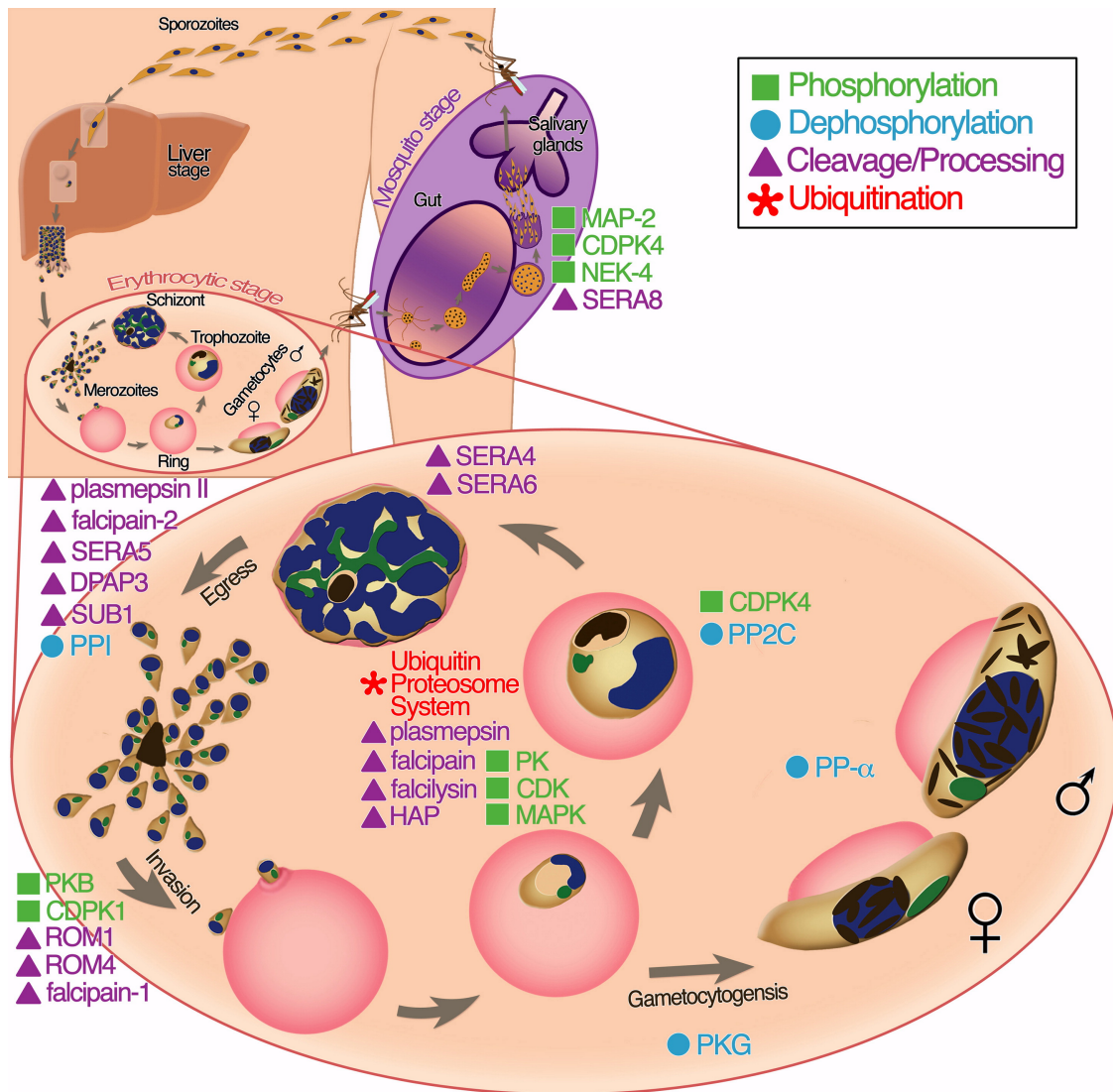


Figure a4.1. General depiction of the roles of a few selected proteins involved in post-translational modifications during the life cycle of the malaria parasite.

their diversity, essentiality, and wide-spread roles, post-translational modifications could present new major targets for effective and specific therapeutic intervention against the malarial parasite. Here we present an overview of the major post-translational modifications found so far in Plasmodium and discuss the recent developments of this rapidly expanding field. A summary

Modification	Type of protein	Protein name	Gene ID
Phosphorylation	Casein kinase	CK1	PF11_0377
	Cyclin-dependent kinase	PK5	MAL13P1.279
	Cyclin-dependent kinase	mrk	PF10_0141
	Serine/threonine protein kinase	PK7	PFB0605w
	Mitogen-activated protein kinase	map-1	PF14_0294
	Mitogen-activated protein kinase	map-2	PF11_0147
	Mitogen-activated protein kinase	map-2	PB000659.00.0
	Calcium-dependent kinase	CDPK4	PF07_0072
	cGMP-dependant protein kinase	PKG	PF14_0346
	NIMA-related kinase	nek-4	MAL7P1.100
	Calmodulin-like protein kinase	CDPK1	PFB0815w
	Protein kinase B	PKB	PFL2250c
	Protein kinase A	PKA	PFI1685w
	FIKK orphan kinases	Multiple proteins	Multiple genes
Dephosphorylation	Mg ²⁺ -dependent serine/ threonine protein phosphatase 2C	PP2C	Multiple genes
	VH1 family phosphatases	YVH1	Multiple genes
	Protein phosphatase 1	PP1	Multiple genes
	PPP-related protein serine/ threonine phosphatase	PP- $\hat{\pm}$	Multiple genes
Lipidation	Prenyl modifier	PFT $\hat{\pm}$ -subunit	PFL2050w
	Prenyl modifier	PFT $\hat{\pm}^2$ -subunit	PF11_0483
Ubiquitination	Ubiquitin	pUB	PFL0585w
	Ubiquitin	UbS27a	PF13_0346
	Ubiquitin	UbL40	PF14_0027
	SUMO	PfSUMO	PFE0285c
	E2 conjugating enzyme	UBC13	PFE1350c
	Deubiquitylating/DeNeddylating enzyme	UCH54	PF14_0576
Cleavage/Processing	Serine protease	Subtilisin-1 (SUB1)	PFE0370c
		Subtilisin-2 (SUB2)	PF11_0381
		Subtilisin-3 (SUB3)	PFE0355c
		ROM-1	PF11_0150
		ROM-1	PB000352.00.0
		ROM-4	PFE0340c
	Cysteine protease	SP1	PF13_0118
		Falcipain-1	PF14_0553
		Falcipain-2	PF11_0165
		Falcipain-3	PF11_0162
		SERA-4	PFB0345c
		SERA-5	PFB0340c
		SERA-6	PFB0335c
SERA-8	PFB0325c		

Putative role

<p>Unknown [20] Cell-cycle regulation [25], [26] and [27] Cell-cycle regulation [25], [26] and [27] Proliferation and development [31] Unknown [33] Asexual cycle regulation [33] Exflagellation in mosquito midgut [34] DNA replication, ookinete gliding mobility, mosquito midgut invasion [35], [36] and [37] Gametocytogenesis initiation [18] Ookinete maturation [38] Secretion of microneme contents, formation of tight moving junctions [40] Parasite gliding [41] Mediates erythrocyte anion channels and permeability of host plasma membrane [44] and [45] Targets parasite proteins to host membranes [46]</p>
<p>Transcription elongation [55]</p> <p>Nuclear protein activity [56] Release of infection merozoites [57] Cell-cycle control and signal transduction in sexual stages [50]</p>
<p>Unknown [88] Unknown [88]</p>
<p>Polyubiquitin gene that provides the ubiquitin monomers that are covalently attached to proteins. Cell-cycle regulation [106] Ubiquitin moiety that provide the ubiquitin monomers for attachment to proteins. Cell-cycle regulation [108] Ubiquitin moiety that provide the ubiquitin monomers for attachment to proteins. Cell-cycle regulation [108] Ubiquitin-like peptide that is covalently attached to proteins for DNA repair, cell-cycle regulation, nuclear localization and protein stability [110] Was found to be phosphorylated by PfPK9. Role in cell-cycle has to be validated in Plasmodium[111] Has dual deubiquitylating and deNeddylating activity. May have roles in maintaining stable apical membrane epithelial Na⁺ channels [118]</p>
<p>Activates SERA proteins. Primary regulator of egress [143] Shedding of MSP-1 and PfAMA-1 after invasion [151] Ubiquitous role [144] Shedding of adhesins [147] May have roles in invasion [149] Shedding of adhesins [146] Cleavage of transit peptide [123] Putative role in invasion [153] Hemoglobinase & role in egress [132] Hemoglobinase [132] Expressed in the PV at the late schizont stage [140] Role in merozoite egress [139] Expressed in the PV at the late schizont stage [140] Sporozoite release from oocysts [141]</p>

	DPAP3	PFD0230c
	Calpain	MAL13P1.310
Metallo protease	Falcilysin	PF13_0322
Aspartic protease	Plasmepsin I	PF14_0076
	Plasmepsin II	PF14_0077
	Plasmepsin IV	PF14_0075
	Histo-aspartic protease (HAP)	PF14_0078

Table a4.1. *Genes that are putatively involved in post-translational modifications in Plasmodium.*

Primary regulator of egress [142]
Unknown [132]
Hemoglobinase [125]
Initiate degradation of hemoglobin [133]
Initiate degradation of hemoglobin & role in egress [133]
Cleavage of denatured globin [133]
Cleavage of denatured globin [133]

of the major proteins involved in regulating PTMs in Plasmodium is also presented in Figure a4.1 and Table a4.1.

2. Phosphorylation/dephosphorylation

Phosphorylation involves the reversible esterification of a phosphate group to an amino acid residue by protein kinases (PKs) that transfer a phosphoryl group from an ATP to hydroxyamino acid residues, mostly serine, threonine and tyrosine [14]. As the most highly studied covalent modification of proteins in eukaryotic cells, phosphorylation events can be linked to practically most functions within a cell: cell growth, cell differentiation, receptor activations, metabolic pathways, enzyme activities, cytoskeletal organization, chromatin remodeling, protein activations/inhibitions and protein–protein interactions. Approximately 1.5–2% of genes in an eukaryotic genome are PK family genes, which reflects the importance of phosphorylation events. While protein kinases catalyze the phosphorylation of protein residues, phosphatases hydrolyze the phosphoester bond of the modified amino acid, restoring the hydroxyamino acid

to its unphosphorylated state [15]. In eukaryotes, the number of protein phosphatases is relatively small when compared to that of protein kinases. However, additional phosphatase regulatory proteins mediate specific regulation of these enzymes and it is speculated that the number of phosphatase complexes involved in regulatory pathways may exceed the protein kinases repertoires [16].

2.1. Kinases

Several kinase inhibitors have been shown to inhibit Plasmodium development at different stages of the life cycle [9,17–20] validating the importance of phosphorylation in maintaining the parasite. Depending on the stringency applied, computational analyses retrieved 86–99 PK-related enzymes from the Plasmodium falciparum (Pf) genome [21,22]. Phylogenetic studies have demonstrated that most of the eukaryotic families of PKs are present in the Plasmodium genome with the exception of two groups, ste- 20 (STE) and the tyrosine protein kinases (TyrK) families. The STE family includes PKs involved in Mitogen-activated protein kinase (MAPK) cascades and plays a central role in transduction signals (see reference Ward et al., 2004 for further details). This group is evolutionarily conserved and their absence in the parasite genome may indicate that the mode of activation of the MAPK pathway in the parasite differs from other eukaryotes. The TyrKs are known to function in hormone-response

receptor-linked pathways essential for intercellular communication in multicellular organisms and are therefore not expected in the malaria parasite.

Several “orphan” PKs have also been identified in the Plasmodium kinome. They display only limited similarities with yeast or mammalian kinases. Examples of these atypical kinases include the NIMA-related kinase Nek1 (PFL1370W) [17], twenty FIKK PK-related proteins, found only in apicomplexan parasites [22,23], and a family of calcium-dependant kinases (CDPKs) that are usually found in plants and alveolate but not in metazoans [24]. In the following section we briefly describe the key biological functions identified so far for a few selected Plasmodium kinases.

2.1.1. Cell-cycle regulation

In eukaryotes, kinases are known to play a major role in cell cycle progression. This is particularly the case for cyclin-dependent kinases (CDKs), several of which have been identified in the Pf genome [22]. Two Pf CDKs, PfPK5 (MAL13P1.279) and Pfmrk (PF10 0141), have been shown to be positively regulated by the binding of Plasmodium and mammalian cyclins as well as negatively regulated by CDK inhibitors [25–27]. These results demonstrate that regulatory activities of CDKs are well-conserved across species. While the targets of these Plasmodium kinases have not yet been identified, it is speculated that such proteins might play a major role in the regulation of the parasite

schizogony and its nuclear division cycles [28]. In vitro biochemical characterizations and crystal structure analyses have uncovered possible unique regulatory mechanisms of Plasmodium CDKs [27,29]. Such particularities indicate an atypical mode of DNA replication/mitosis in the parasite [30]. Furthermore, an atypical Pf orphan protein kinase, PfPK7 (PFB0605w), that exhibits maximal homology to a MEKKK and a fungal PKA has been shown to regulate parasite proliferation and development [31]. Discrepancies observed between parasite and host kinases may be exploited in the search of parasite-specific kinase inhibitors as potential anti-malarial drugs but would need to be further validated in vivo.

2.1.2. Cell proliferation and differentiation

MAPKs are known to be key players in signal transductions, cell development and differentiation in response to a variety of stimuli [32]. Though no classical MAPK kinase has been identified in the Plasmodium genome, two atypical MAPK homologs have been characterized, Pfmap-1 (PF14 0294) and Pfmap-2 (PF11 0147). While the exact role of Pfmap-1 is still speculated, Pfmap-2 appears to be essential for the completion of the parasite asexual erythrocytic cycle [33]. However in Plasmodium berghei (Pb), the Pfmap-2 orthologue seems to be essential to parasite exflagellation in the mosquito midgut [34]. Discrepancies observed between these two Plasmodium species will need to be

further clarified. Nonetheless, it is possible to envision a complementation effect induced by species-specific Plasmodium kinases.

2.1.3. Sexual differentiation

Several identified Plasmodium kinases have been implicated in sexual differentiation. In Pb, a calcium-dependant kinase (CDPK4, PF07 0072 in Pf) has been shown to regulate gamete formation with the initiation of DNA replication, ookinate gliding mobilities, and mosquitos midgut invasion [35–37]. cGMP-dependant protein kinase (PFPKG, PF14 0346 in Pf) seems to be essential for mediating initiation of gametocytogenesis [18]. Finally, the Pb Pbnk-4 (MAL7P1.100 in Pf) has been shown to be critical for ookinate maturation [38]. The detection of an increased number of protein kinases involved in sexual differentiation demonstrates that PKs have a crucial role in regulating gametocytogenesis. These preliminary results provide a framework for identifying substrates of these Plasmodium enzymes to further comprehend the signalling cascade involved in sexual differentiation.

2.1.4. Parasite egress and invasion

In contrast to other pathogens, apicomplexans such as Plasmodium can quickly exit and enter the cell using their own machinery. Several steps involving a large collection of proteins are required in erythrocytic egress and invasion [39].

From this collection, a few protein kinases have been shown to have a role in controlling these parasitic processes. The calmodulin-like PK (CDPK1, PFB0815w in Pf) is required for the secretion of the parasite microneme contents and the formation of tight moving junctions [40]. Two components of the actomyosin motor complex, the myosin A tail domain interacting protein (MTIP) and the glideosome-associated protein 45 (GAP45) have been identified to be CDPK1 substrates. In addition, PfPKB (PFL2250c) [41], an important member of the phosphatidylinositol 3-kinase-dependent signaling pathway, has been implicated to regulate parasite gliding mechanisms [42,43]. However, validating the role of PfCDPK1 and PfPKB in vivo remains to be established.

2.1.5. Host–parasite interaction

Recent works have begun to reveal the importance of Plasmodium PKs in modulating the erythrocyte membranes of infected hosts. PfPKA (PFI1685w) has been shown to alter both the activity of an erythrocyte anion channel and the permeability of the host plasma membrane [44,45]. Interestingly, the members of the Plasmodium FIKKs orphan kinases possess a Plasmodium export element (PEXEL) motif [46] that target parasite proteins to the host membrane [47,48]. Immuno-microscopy reveals that many FIKK proteins can be found in the erythrocyte cytoplasm and colocalized with Maurer's clefts protein. This finding suggests the importance of these FIKKs in the remodeling of the infected

erythrocyte membrane [46].

While it is increasingly apparent that a significant number of protein kinases regulate major parasite cell cycle and differentiation events, our understanding of their targeted substrates is extremely limited. In order to comprehend the function and essentiality of each kinase, a systematic biological approach involving reverse genetics, chemical genetics and phosphoproteome studies will need to be developed.

2.2. Phosphatases

A total of twenty-seven malaria protein phosphatases (PP) have been identified in the Pf genome (reviewed by [49]). The identified Plasmodium PP clustered with the four major established eukaryotic PP families: the Metallophosphatases (PPP), the Serine/Threonine Phosphatases (PPM), the Protein Tyrosine Phosphatases (PTP) and the NLI Interacting Factor-like phosphatases (NIF). Protein sequence information as well as biochemical characterizations studies of several of these PPs in Plasmodium have validated their phosphatase activities and revealed significant differences with other eukaryotic organisms [49–52].

The use of phosphatase inhibitors have shown that Plasmodium PPs are essential and may be involved in invasion [53] and cell growth [54]. Protein–protein interaction and localization studies have highlighted a role of Plasmodium

PPs in transcriptional elongation [55], nuclear protein activity [56] and the release of infectious merozoites [57]. Interestingly, highly conserved eukaryotic phosphatases seem to be either missing or too divergent to be detected in the Plasmodium genome. Among the missing phosphatases are the CDC25 homologue (known to play a major role in cell cycle control) [58], the cdc14 phosphatase (regulates mitotic events) [59] and tyrosine phosphatases [60] (though there is a report of a possible PRL tyrosine phosphatase) [61]. These findings further validate the phylogenetic distance observed between Plasmodium spp. and its vertebrate host. The identification of PP regulatory subunits, as well as their specific substrates, will need to be further investigated. However, it has become evident that these PPs are essential to the parasite and should be considered as potential targets for new anti-malarial strategies.

3. Acetylation

Acetylation is the addition of an acetyl functional group onto a protein substrate. Acetyltransferases transfer an acetyl group from acetyl coenzyme A onto conserved N-terminal lysine residues. The result of acetylation usually modifies DNA binding properties, protein stability, and protein–protein interactions.

Acetylation of actin at the N-terminus is a highly conserved PTM that has also been reported in Pf [62]. In addition to actin, Plasmodium histones are found

to be acetylated at their N-terminal lysine residues [63]. Histone PTMs are a vital part of the 'histone code' hypothesis that proposes specific combinations of PTMs that modify chromatin structure and act as platforms for the binding of transcriptional regulators of gene expression. Consistent with other eukaryotes, Plasmodium histone acetylation is believed to play a major role in transcriptional regulation. In a genome-wide investigation of histone modifications and their relationship with transcriptional activation/silencing, Cui et al. (2007) [64] employed a combination of immunoprecipitation and DNA microarray detection (ChIP-chip) to show that acetylation of histone H3 (H3K9ac) was associated with active genes across the Pf genome. PfGCN5 was the first characterized histone acetyltransferase (HAT) subunit identified in Plasmodium. Recombinant PfGCN5 displayed histone H3 acetylase activity in vitro and exists as a catalytic subunit of P. falciparum HAT complex. PfADA2, the yeast transcriptional coactivator homolog, has been characterized as another component of the trimeric catalytic core [65]. In vitro pull-down and yeast two-hybrid experiments suggest that PfADA2 and PfGCN5 are present in complex(es) and may have conserved chromatin remodeling functions. Natural compounds that inhibit HAT activity are being explored as potential antimalarials [66]. However, because HATs are conserved across species, toxicity studies will have to be further investigated.

Pf HDAC1 and PfSir2, two of the five putative Plasmodium Histone Deacetylases (HDACs), have been partially characterized in Plasmodium [67].

PfSir2, a yeast homolog of the silent information regulator 2 (SIR2) was found to bind to parasite telomeres and cause histone de-acetylation and silencing of the var multi-gene family [68]. Inhibitors of HDAC activity have also been investigated for potential antimalarial drugs [69,70].

4. Methylation

Methylation is catalyzed by methyltransferases that attach a methyl group onto its substrate. Such modification increases lipophilicity and reduces the substrate solubility in water. Methylation is associated with the regulation of gene expression and protein activity. In Plasmodium, the most commonly methylated substrates are the parasite histones. Genomewide analysis of histone modification showed that H3K9me3 is associated with gene silencing [64]. Recently, chromatin immunoprecipitation of transcriptionally active var gene loci assessed the enrichment of histone H3 di- and trimethylation marks (H3K4me2 and H3K4me3) in the 5' flanking region [71].

At least nine Plasmodium histone methyltransferases (HMT) (containing a SET-domain, characteristic of histone lysine methyltransferase), and two Plasmodium demethylases (containing a JumonjiC-domain, characteristic of Histone Lysine Demethylases (HDMs)) have been identified in silico. Phylogenetic analysis divided putative histone lysine methyltransferases (HKMTs) into five subfamilies with different putative substrate specificities.

HKMTs and HDMs not only have substrate specificity but also specificity for different methyl states (mono-, di-, tri-) [72].

5. Lipidation

Lipidation is the covalent binding of a lipid group to a peptide chain and can change the activity and/or cellular localization of the modified protein. Often times, the attachment of a hydrophobic chain can help to anchor soluble proteins, or proteins with weak membrane-affinity, to the inner face of a membrane. Some examples of lipidation include N-myristoylation, prenylation, GPI anchor addition, and palmitoylation. Discussed below are a couple of post-translational modifications via lipidation that have been studied so far in Plasmodium.

5.1. GPI-anchoring

The glycosylphosphatidylinositol (GPI) anchor is a glycolipid moiety that is added to the C-terminal of proteins after translation. Such modified proteins are attached to the outer leaflet of the cell membrane. GPI-anchored proteins are ubiquitous among eukaryotic organisms and represent a very functionally diverse group that is being extensively studied in a wide-array of organisms. Anchoring with GPI is the major type of glycosylation that are found in Plasmodium [73]. It is commonly postulated that Plasmodium proteins are glycosylated by the erythrocytic machinery (combinatorial metabolism) during the exchanges that

occur between the parasitophorous vacuole and the erythrocyte cytoplasm [74]. In the human host, the parasite's GPI anchors have been linked to the pathobiology of the disease [75] and are associated with increased levels of TNF- α leading to a systemic inflammation reaction [76,77]. It has been found that resistance to malaria in endemic areas is associated with the production of circulant antibodies directed against the parasite's GPI anchors [75] and are common targets for vaccine research. Also, most *P. falciparum* merozoite surface proteins are GPI-anchored and are involved in erythrocyte recognition and attachment to erythrocytes before invasion (reviewed by [78]). GPIs may also be involved in postinvasion processes. The *P. falciparum* GPI-anchored rhoptry protein Pf34 (PFD0955w) is suspected to play a role in the formation of the parasitophorous vacuole [79,80].

In the mosquito host (*Anopheles* spp.), *P. falciparum* GPIs may play a direct role in immune response [81,82]. The immune response in *Anopheles gambiae* is elicited by the parasite GPIs resulting in a significant reduction in fecundity (diminished egg production) [83]. The role of such effect on the host–parasite interactions remains open to discussion. The authors propose that the parasite may use its GPIs to trigger the mosquito immune response and “mis-direct” the specificity of the response against other pathogen-associated molecular patterns rather than ookinetes or microneme proteins essential for parasitic processes. Furthermore, the mosquito stage GPI anchored surface

proteins P25 (PF10_0303) and P28 (PF10_0302) are shed during ookinete maturation and play an important role in midgut invasion [84–86]. Finally, the GPI anchor of the circumsporozoite protein (PFC0210c) plays a crucial role in sporogenesis [87]. Due to their general importance, GPIs and their biosynthesis pathway are being investigated as potential targets to antimalarial strategies.

5.2. Prenylation

Prenylation, also known as isoprenylation, is the posttranslational modification of proteins by covalent attachment near the carboxyl terminal of isoprenyl lipids, a 15 carbon farnesyl or a 20 carbon geranylgeranyl group [88]. The attachment of isoprenyl lipids creates a hydrophobic tail that promotes membrane association and plays an important role in cell signal transduction, vesicle trafficking, and cell-cycle progression [89]. Unlike animals, fungi and archeabacteria, which use the classical mevalonate pathway for isoprenoid synthesis, the *Plasmodium* synthesizes its isoprenoid precursors via the 1-deoxy-D-xylulose 5-phosphate (DOXP) pathway within its apicoplast, a plastid-like organelle [90].

Prenylation is mediated by three enzymes: protein farnesyltransferase (PFT), protein geranylgeranyltransferase type I and type II. PFT in *Plasmodium* has been characterized by partial purification of protein farnesyltransferase, PfPFT, and radiolabeling of prenylated proteins [88]. Prenylation precursors are

incorporated into asexual synchronized parasites in a stage-specific manner with the highest amount occurring from trophozoite to schizont, and schizont to ring transitions. Dolichylation, the addition of 11 isoprene units, has also been reported in *P. falciparum* during trophozoite and schizont stages [91].

Pharmaceutical companies have invested into the development of PFT inhibitors for the treatment of cancer with a few drugs in clinical trials. Interestingly, PFT inhibitors have been found to be potent antimalarials [92] and validate farnesylation as essential in the malaria parasite. Drug development for antimalarials is taking a 'piggy-back' approach since the concentrations needed to inhibit parasites are significantly lower than that of mammalian cells [89].

5.3. Palmitoylation

Palmitoylation is the covalent attachment of fatty acids to cysteine residues of proteins, often giving soluble proteins (or proteins with weak membrane avidity) a hydrophobic membrane anchor [93]. In *P. falciparum*, a 45 kDa gliding-associated protein (GAP45) was found to be both palmitoylated and N-myristoylated [94]. It is hypothesized that GAP45 may play a role in binding acto-myosin motors to the outer face of the inner membrane complex, which is implicated as the underlying force driving both gliding motility and host cell invasion in *Plasmodium* merozoites.

6. Ubiquitination

Ubiquitin is a highly conserved 76 amino acid peptide found in eukaryotic organisms. Beyond the more familiar association with protein degradation, the modification of proteins by ubiquitin conjugation is known to serve as a regulatory signal for cell proliferation, cell-stress response, transcription, cell death, DNA repair, intracellular trafficking, endocytosis and signal transduction [95]. The reversible conjugation of ubiquitin to the lysine residues in target proteins is controlled by a series of enzymes: ubiquitin activating enzymes (E1), ubiquitin-conjugating enzymes (E2), and ubiquitin ligases (E3) [96]. Ubiquitin is activated by E1 and transferred to E2. Then, ubiquitin is either transferred to a monomeric E3 that catalyzes ubiquitination of the target substrate or ubiquitinated E2 forms a complex with the E3 to catalyze ubiquitination of the substrate. Deubiquitinating enzymes (DUBs) serve to reverse ubiquitin-conjugation by removing ubiquitin from substrate proteins and also help to replenish the free ubiquitin pool.

Diverse forms of ubiquitin modifications have been reported, each potentially mediating a specific function. K48-linked polyubiquitin chains to substrates often serve as signals for targeted protein degradation via the ubiquitin/proteasome system [97]. In Plasmodium, several studies have validated the essentiality of the proteasome and protein turnover in regulating the cell cycle progression. A collection of proteasome inhibitors has shown promising results in impeding the parasites [98–103].

Apart from protein degradation, poly-ubiquitination via K63- linkages seems to play important roles in DNA damage tolerances, endocytosis, ribosomal protein synthesis, and inflammatory response [104]. In addition to poly-ubiquitination, proteins can either be mono-ubiquitinated, which is the attachment of a single ubiquitin to a protein, or multi-ubiquitinated, which is the attachment of individual ubiquitin to a substrate at multiple sites. Both mono- and multi-ubiquitination are reported to have nonproteolytic roles such as endocytosis [105] and DNA repair [106]. In the following sections, we briefly describe what has been reported so far concerning ubiquitination in *Plasmodium*.

6.1. Ubiquitin and ubiquitin-like proteins

The *P. falciparum* poly-ubiquitin gene, PfpUB (PFL0585w) is present as a single-copy on chromosome 12 with five tandem repeats of the ubiquitin open reading frame [107]. Translation of PfpUB comprises of five ubiquitin monomers that have sequence identities of no less than 94% to that of other eukaryotic species. RT-PCR and northern analysis revealed that steady-state transcript levels of PfpUB are expressed at all stages of the intraerythrocytic cycles with significant increases at the late trophozoite and schizont stages. Under heat shock, polypeptide levels of PfpUB and ubiquitinated adducts showed dramatic increases without a significant increase in steady-state transcript levels. This observation suggests that the heat shock response appears to be maintained at

the level of translation [107].

Two additional ubiquitin moieties, UbS27a and UbL40, fused to the ribosomal proteins L40 (PF13 0346) and S27a (PF14 0027) have also been identified in the *P. falciparum* genome [108,109]. Expression data indicate that these ubiquitin genes are expressed throughout the *P. falciparum* life cycle [5,7]. Interestingly, though the Pf UbL40 shows high protein sequence fidelity with other eukaryotic species, Pf UbS27a harbors significant divergences within the ubiquitin domain [109].

In addition to ubiquitin, ubiquitin-like proteins (UBLps) have been identified as modifiers of cellular-processes. Though a number of UBLps (ISG15, FAT10, UFM1, FUB1) that are typical in higher eukaryotes were not found in *Plasmodium*, gene expression data suggests that SUMO, NEDD8, HUB1, URM1 and ATG8 are expressed at all life-cycle stages [108,110].

Recently, Issar et al. (2008) [111] were the first to investigate and characterize SUMO within *P. falciparum*. Amino acid sequence comparisons revealed that *P. falciparum* gene PFE0285c (PfSUMO) has significant sequence homology to that of known SUMO orthologs from other eukaryotic organisms such as yeast, human, mouse and *Saccharomyces pombe*, while immunoblot analysis confirmed the presence of SUMO in *P. falciparum*. In addition, enzyme homology searches have identified *P. falciparum* orthologs of all the necessary members of the SUMO pathway. Using LC-MS/MS analysis, more than 20

putative SUMO substrates of *P. falciparum* proteins were identified. These protein substrates varied from histones to transcription factors to RNA helicases, which implicates a wideranging regulatory scope of SUMO. Immunofluorescence assays indicate that PfSUMO localizes to distinctive subcellular compartments within the *P. falciparum* and also in the host cell cytoplasm within the parasite-derived structures called Maurer's clefts.

6.2. Ubiquitin enzymes

Computational studies have identified over a hundred proteins predicted to be involved in the reversible conjugation of ubiquitin or ubiquitin-like proteins in *P. falciparum* alone [108,110].

6.2.1. Ubiquitin activating enzymes (E1)

Eight putative E1 have been identified in the parasite genome [108]. While primary sequence identity has been observed in the core ubiquitin activating enzyme domain, sequences outside of this core diverge rapidly as the functional requirements for these E1 enzymes change to specifically interact with their respective E2 conjugating enzymes. Sequence analysis indicates the existence of Plasmodium E1 paralogs for UBA1 and UBA1-like proteins, and UBA2, UBA3, UBA4, ATG7 proteins, which mediate the activation of ubiquitin-like proteins SUMO, NEDD8, URM1, and ATG8, respectively.

6.2.2. Ubiquitin conjugating enzymes (E2)

Fourteen putative E2 paralogs were found in *P. falciparum* [108]. They exhibited extensive conservation with other eukaryotic E2 proteins. Gene expression data for nine of the fourteen Plasmodium E2s reveal a diverse pattern of steady-state mRNA at different stages of the intraerythrocytic cycle, suggesting the existence of a temporal profile of delivering ubiquitin or UbLps to different E3s, indicating a potential additional level of temporal control in ubiquitination during the parasite's life cycle.

Recently, a *Pf* homolog (PfUBC13) of the E2 ubiquitinconjugating enzyme 13 (UBC13) was characterized and found to be a substrate of the *Pf* protein kinase PfPK9 [112]. Reverse-phase HPLC and in vitro ubiquitination assay show that PfPK9 phosphorylates PfUBC13 at S106 and suppresses ubiquitin conjugating activity. Though the physiological role of PfUBC13 is unknown, the highlyconserved UBC13 (coupled with an ubiquitin E2-variant protein) assembles K63-linked ubiquitin chains [113], which mediate nonproteolytic pathways [114]. UBC13's conjugating activity regulates various cellular processes such asDNArepair [115], tumor suppressor p53 activity [116], and mitotic progression [117].

6.2.3. Ubiquitin ligating enzymes (E3)

Though Plasmodium E1 and E2 enzymes exhibited strong conserved homology with other E1 and E2 enzymes from other eukaryotes, Plasmodium E3 ligases were found to be highly divergent and the most abundant. Within the 54 putative E3 ligases identified, all superfamilies (HECT, RING, U-box, and cullin) of E3 ligases are represented within *P. falciparum* with E3 RING finger proteins making up the majority of the Plasmodium E3 ligases [108].

Functional annotation analysis reveals that these Plasmodium ligases have a wide array of potential roles including cell cycle regulation, trafficking, DNA repair, chromatin structure, and mRNA transport. However several of these proteins seem to be specific to the Apicomplexa phylum. While the functional analysis of these parasite-specific putative E3s will need to be further validated in vitro and in vivo, two selected E3 ligases seem to be essential to the parasite erythrocytic cycle and have already shown in vitro ubiquitination activity validating further the importance of this pathway in parasite development (Chung and Le Roch, unpublished data).

6.2.4. Deubiquitinating enzymes

While ubiquitin ligases catalyze the attachment of ubiquitin and UbLs, DUBs hydrolyze ubiquitin and UbLps [118]. Depending on the computational tools employed, 18 or 29 Plasmodium DUBs were found [108,110]. Five distinct gene families were identified: the ubiquitin C-terminal hydrolases (UCHs); the

ubiquitin-specific peptidases (USPs/UBPs); the ovarian tumor (OTU) domain proteins; the Josephin or Machado-Joseph disease (MJD) proteins and the JAMM(Jab1/MPN domain-associated metalloisopeptidase) domain proteins. In addition, DUBs for UbLps including SUMO, ATG8, and NEDD8 have also been identified.

PfUCH54 was the first DUB characterized in *P. falciparum* [119]. Using electrophilic probes that detect enzymes capable of removing ubiquitin and other ubiquitin-like proteins, PfUCH54 was found to possess both deubiquitination and deneddylation activity. Using known active site residues and crystal structure of homologous DUBs, PfUCH54 was found to have high homology to UCHL3 [119], an enzyme that has been reported to also have dual deubiquitinating and deneddylating activity [120].

Though the function of PfUCH54 is not known, mouse UCHL3 is required to maintain a stable apical membrane epithelial sodium channel, facilitating the dynamic recycling of sodium channels at the apical surface [121]. Though functional analysis studies will need to further validate the roles of ubiquitination pathways in Plasmodium, E3 ligases and DUBs may represent a good target for new therapeutic interventions due to their diversity and potential involvement in parasite-specific pathways.

7. Protein cleavage and processing

Proteases are major virulence factors in parasitic diseases as largely reviewed by [122] and [123]. Five main classes of proteases have been identified: (1) cysteine proteases, (2) serine proteases, (3) threonine proteases, (4) aspartic proteases, and (5) metalloproteases. A computational analysis identified 92 proteases in the genome of *P. falciparum*, 83 of them being transcribed during the erythrocytic cell cycle and 67 being translated during the parasite's life cycle [124]. Proteases are commonly involved in a wide array of biological processes such as lysosomal proteolysis, precursor protein processing or trafficking.

In *Plasmodium*, trafficking is a vital biological process, especially with regards to targeting proteins to the apicoplast. Trafficking pathways leading to the apicoplast have not yet been elucidated but are known to involve the presence of both a signal peptide and a transit peptide that are processed along the pathway [125]. Wu et al. identified a serine protease Signal Peptidase 1 (SP1, PF13_0118) that could be responsible for the cleavage of signal peptides [124]. In addition, metalloprotease falcilysin (PF13_0322 in *P. falciparum*) [126] has been recently implicated in transit peptide cleavage [127].

Another important parasite-specific pathway is the PEXEL-mediated pathway that targets parasite proteins to the surface of infected erythrocytes. This pathway is thought to promote parasite evasion from the host immune system [128]. Chang et al. (2008) demonstrated that exported proteins are

processed at their N-terminal end [129]. This processing involves acetylation and cleavage of the PEXEL motif in the endoplasmic reticulum. The authors suggest that this N-terminal processing may be more generally utilized for many exported soluble proteins. However, the protease responsible for such cleavage remains to be identified.

In *Plasmodium*, various proteases play key roles in hemoglobin degradation, egress and invasion during the parasite erythrocytic cycle. The roles of such proteases were usually determined from inhibitor studies, which emphasize the potential to target such enzymes by anti-malarial drugs.

7.1. Hemoglobin degradation

The degradation of hemoglobin involves various proteases called hemoglobinases [130] and provides the essential amino acids that are taken up by the parasite [122,131,132].

The cysteine proteases falcipain-2 (PF11 0165) and falcipain-3 (PF11 0162) are known to play a role in the early steps of hemoglobin degradation within the parasite food vacuole (see [133] for a review). In addition to these cysteine proteases, degradation of hemoglobin seems to involve metallo (falcilysin) and aspartic proteases such as plasmepsins [131]. Four plasmepsins are present and active in the food vacuole of *P. falciparum*, plasmepsin I (PF14 0076), II (PF14 0077), IV (PF14 0075) and a histo-aspartic

protease (HAP, PF14 0078) [134–136]. It was postulated that plasmepsins I and II are matured and released in the food vacuole by protein cleavage [134,137]. A recent study demonstrated that falcipain-2 and falcipain-3 are responsible for plasmepsins preprocessing and that auto-processing can occur when falcipain activities are inhibited, providing an alternative pathway to activate plasmepsins [138].

7.2. Parasite egress

Parasite proteases are also known to trigger the degradation of parasite and host membranes, leading to the egress of infectious parasites. The role of these proteases in cell egress has been recently reviewed [139]. Briefly, the proteases implicated in parasite egress are falcipain II, plasmepsin II and putative papain-like SERA proteases. SERA proteins are a family of nine members, which are activated by a subtilisin-like serine protease SUB1 (PFE0370c). Among them are SERA-4 (PFB0345c), SERA-5 (PFB0340c, [140]) and SERA-6 (PFB0335c), which are essential proteins that are expressed in the parasitophorous vacuole of the late trophozoite and schizont stage (erythrocytic cycle) [141]. Additionally, SERA-8 (PFB0325c), another member of the SERA protein family, is essential for sporozoite release from oocytes (mosquito stage) [142].

The involvement of the subtilisin-family serine protease PfSUB1 and the

cysteine protease dipeptidyl peptidase 3 (DPAP3, PFD0230c) as primary regulators of parasite egress have been recently evidenced using serine and cysteine protease inhibitors [143]. Just prior to egress, the essential serine protease PfSUB1 is discharged from the exonemes into the parasitophorous vacuole space [144]. Inhibition of both DPAP3 and PfSUB1 blocks the processing of the serine repeat antigen protein SERA-5, which correlates with the inhibition of membrane rupture. In Plasmodium, two other subtilisin serine proteases of unknown function can be found: PfSUB2 (PF11 0381) and PfSUB3 (PFE0355c) (reviewed in [145]). PfSUB2 is believed to play an important role in the erythrocytic cycle while PfSUB3 appears to be more ubiquitous as it is expressed during the asexual blood stage, in gametocytes and in sporozoites [6].

7.3. Parasite invasion

The critical role of proteases in merozoite invasion of erythrocytes has been largely studied and reviewed [39,146]. Briefly, invasion involves contact, interaction and junction between the merozoite and the red blood cell surface via adhesins and various GPI-anchored proteins, such as PfAMA-1 and MSPs followed by the active entry of the parasite within the host. In order for invasion to be completed, these interactions are interrupted by the shedding of the protein coat covering the merozoite surface made of various MSPs and adhesins. The proteases involved in such removal are called sheddases. For example, the

adhesins Duffy binding ligand erythrocyte-binding antigen (DBL-EBP) 175 (EBA-175, MAL7P1.176) is shed from the merozoite at around the point of invasion by the rhomboid protease PfROM4 (PFE0340c) [147]. Rhomboid proteases are ubiquitous intramembrane serine proteases (see [148–150] for an extensive description of the different types of rhomboid proteases). Also, sporozoite invasion of hepatocytes is reported to be mediated by the shedding of PfAMA-1 and the thrombospondin-related adhesive protein (TRAP) by a serine protease [151].

Double cleavage of MSPs is required for invasion and probably involves serine proteases 9 See Harris, Yeoh et al. (2005) [152] for further details 0. Very recently, it was suggested that MSP-1, MSP-6 and MSP-7 undergo proteolytic maturation catalyzed by PfSUB1 before egress, maturation that is essential for further processing of MSPs involved in invasion [153].

A role of cysteine proteases (falcipain) in erythrocyte invasion has also been proposed but remains elusive. Inhibitors of falcipain- 1 (PF14 0553) block invasion of host red blood cells [154] whereas parasites with a disrupted falcipain-1 gene were not affected in terms of asexual growth [155]. More recently, the presence of an endogenous cysteine protease inhibitor in Pf, falstatin, has been identified [156]. The presence of such an endogenous inhibitor may be another level of proteolytic control of certain parasite/host proteases in order to facilitate erythrocyte invasion.

Proteolytic processing is finally involved in the maturation of proteins secreted by the merozoite-specific organelles, rhoptries. Rhoptry-associated proteins (RAP) are believed to play a role in invasion and are activated upon proteolytic cleavage. For example, the rhoptry-associated protein RAP-1 is matured in vivo by multiple processing steps [157–159].

8. Concluding remarks

In addition to the PTMs presented above, there are several other PTMs in Plasmodium that are being investigated but, due to spaceconstraints, are unable to be fully expanded upon in this review. However, we would like to briefly mention a few. For example, a Plasmodium cathepsin-C-like protein is reported to be modified via O-sulfonation [160]. Also, polyglutamylation of tubulin was found in the microtubule organizing centers and post-mitotic microtubular structures of Plasmodium [161]. Lastly, several chaperone proteins, involved in the proper folding of proteins, have been described in Plasmodium and are reported to be involved in roles such as trafficking [162–165].

Today, transcriptional studies make up a significant amount of publications that are dedicated to understanding mechanisms regulating the Plasmodium developmental cycle. However, recent evidences suggest that Plasmodium may not follow the classical transcriptional model and may have rigid transcription machinery, which may indicate the need to reallocate investigative efforts into

other fields in order to better understand the parasite's life-cycle regulation. With the exception of phosphorylation/dephosphorylation, post-translational modifications in Plasmodium have been understudied and are largely overshadowed by the classical view that proteins are mainly regulated at the transcriptional level. With further investigation, post-translational regulation may reveal to be a bigger factor in parasite development than previously thought.

For example, though it is apparent that proteases are necessary to destabilize host cell membranes during invasion and egress, the mechanisms of how these proteases are activated and tightly controlled temporally are yet unclear. However, there are increasing reports that PTMs may play a major role in both malarial invasion and egress. As already described above, it is suggested that additional proteases, such as SUB proteases, may act as regulators of egress by processing, and thereby activating, distinct effector proteins or signal transduction pathways [139,144]. In addition, it is reported that Pf protein kinases, such as CDPK, may also be involved in regulating invasion and egress [19].

Besides having a major regulatory role within the parasite, PTMs may also play key roles outside the parasite by manipulating the host's signaling pathways thereby usurping normal cellular processes for survival and escape from immune responses. Currently, there are an increasing number of reports that show PTMs to play an integral role in host-pathogen interactions, or cross-talk, in bacteria, viruses and protozoa [166–168]. For example, it has been shown that host

organisms employ a wide range of posttranslational modifications to initiate their immune responses in order to avoid invasion by pathogens. For instance, host cells use ubiquitination in defense strategies as a way to degrade parasitic proteins and also to activate inflammatory and anti-apoptotic genes in a non-degradative fashion [169]. As a countermeasure, it has been shown that pathogens are able to avoid and exploit these ubiquitination defense strategies by secreting proteins of their own that either deubiquitinate or inhibit the host ubiquitinating enzymes [169,170]. It is also reported that bacterial pathogens are able to provoke histone modifications (via acetylation and phosphorylation) and chromatin remodeling in host cells, thereby manipulating the host's transcriptional programming and diminish the host innate immune response [167].

The utilization of PTMs within host–pathogen interactions for the purposes of pathogenic growth and immune evasion is an emerging field, which may prove to be both widespread and diverse in the mechanisms at work. The utilization of PTMs to manipulate host cell signaling and immune response may also be true in *Plasmodium*. In *P. falciparum*, it is reported that kinases and phosphatases were found among the 320+ proteins predicted to be secreted from the parasite [48]. More recently, over 30 proteins have been validated to be secreted from the Pf, of which 27 proteins are novel extracellular proteins [171]. Several post-translational modifiers, such as kinases, phosphatases, and proteases, were confirmed to be among the secreted proteins. Furthermore, preliminary functional

analysis suggests that these secreted proteins are possibly involved in immune evasion and signaling [171].

Our current understanding of the roles and extent of PTMs within Plasmodium is still greatly limited due to the relative novelty and inherent challenges of this particular field. More genomewide approaches, along with their proper biological validation, will provide significant advances in filling the gaps of our present understanding of the regulatory mechanisms driving this deadly parasite. Though there is still much more to uncover, current reports collectively show that Plasmodium PTMs have vital roles in all aspects of the parasites' life cycle, including host–pathogen interactions. Because of the numerous types and virtually incalculable combinations of PTMs, the possibilities of regulation at the post-translational level are vast. In addition to being vitally essential, many Plasmodium PTM proteins are both highly divergent and specific to the parasite, making Plasmodium PTM proteins excellent candidates for drug targeting. Thus, Plasmodium PTMs may open new venues for drug discovery and may prove to be more significant in the regulation of this deadly parasite than previously acknowledged.

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