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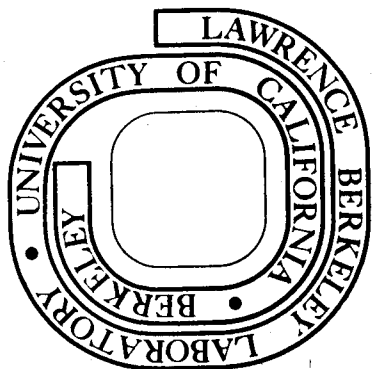
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A NEW LOOK AT AN OLD PROBLEM: INVERSION IN  $\text{MX}_3(\text{C}_{3v})$  MOLECULES

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## ABSTRACT

A pseudo Jahn-Teller formalism has been used to construct a potential function for the inversion of  $\text{MX}_3(\text{C}_{3v})$  molecules. This potential has the form

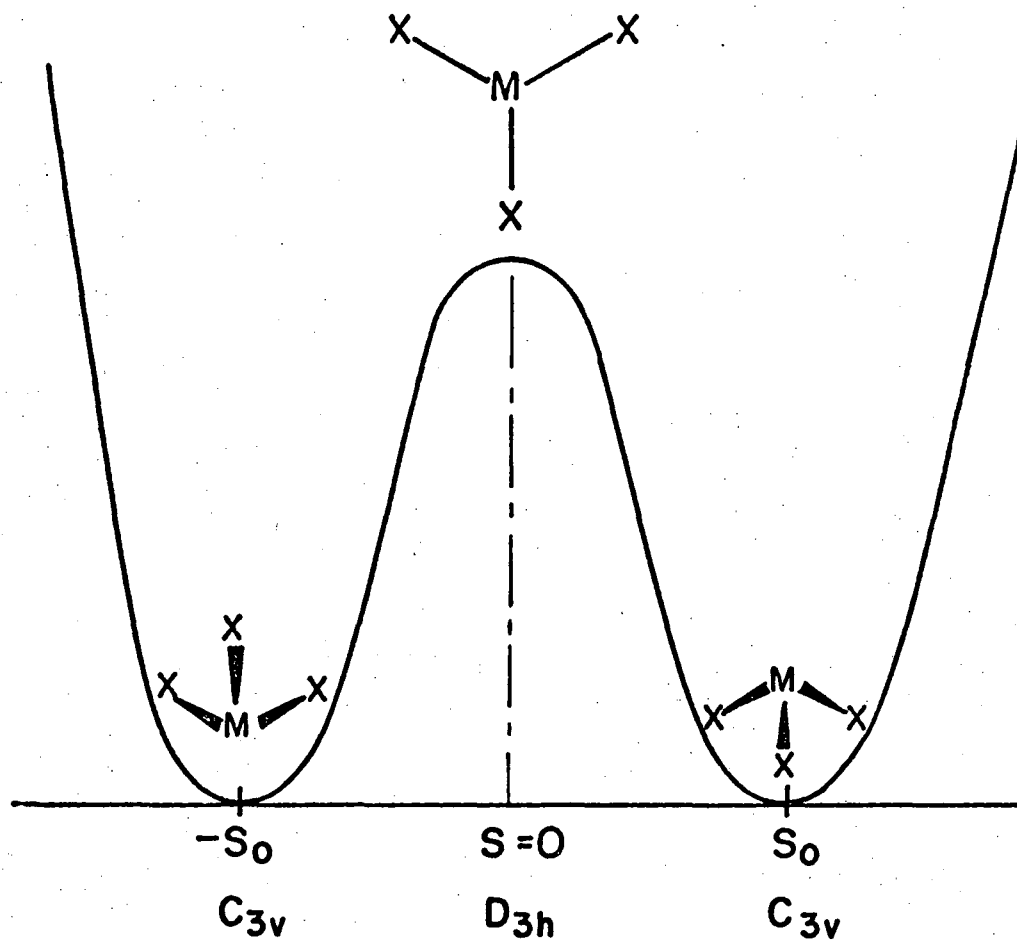
$$V(S_2) = \frac{1}{2} \Delta\epsilon_0 [1 - (1 + 4\alpha^2 S_2^2)^{\frac{1}{2}}] + \frac{1}{2} C_2 S_2^2$$

where  $S_2$  is the inversion coordinate,  $\Delta\epsilon_0$  is the  ${}^1A_1' \rightarrow {}^1A_2''$  electronic transition, and both  $\alpha$  and  $C_2$  are fixed by the values of  $\Delta\epsilon_0$ , the curvature of the potential at the equilibrium geometry, and the value of  $S_2$  at the equilibrium geometry. The actual curvature of the potential at the equilibrium geometry, which is chosen to fit the average  $\nu_{0 \rightarrow 1}({}^1A_2'')$  transition (i.e., averaging inversion splittings), is the only adjustable parameter of the potential. This approach yields a fit to the first eight  $A_2''$  vibrational levels in  $\text{NH}_3$  comparable to that obtained with a freely adjustable five parameter model. With this potential the barriers to planarity have been determined in  $\text{NH}_3$  ( $2179 \text{ cm}^{-1}$ ),  $\text{PH}_3$  ( $16452 \text{ cm}^{-1}$ ),  $\text{AsH}_3$  ( $14798 \text{ cm}^{-1}$ ), and  $\text{SbH}_3$  ( $17514 \text{ cm}^{-1}$ ).

## I. INTRODUCTION

This article will develop a general treatment of large amplitude motion with application to the familiar problem of inversion in  $\text{MX}_3$  ammonia-like molecules of  $\text{C}_{3v}$  symmetry. The  $\text{MX}_3$  system was chosen as a test for this theory because it is well studied both experimentally and theoretically. In later papers the theory presented here will be applied to equatorial-axial interchange in bipyramidal molecules  $\text{MX}_5$  ( $\text{D}_{3h}$ ), ( $\text{PF}_5$ ,  $\text{AsF}_5$ ,  $\text{NbF}_5$ ,  $\text{TaF}_5$ ,  $\text{VF}_5$ ) molecules, two dimensional pseudorotation in  $\text{MX}_7$  (distorted  $\text{D}_{5h}$ ), ( $\text{IF}_7$ ,  $\text{ReF}_7$ ) molecules, and three dimensional pseudorotation in  $\text{MX}_6$  (distorted  $\text{O}_h$ ,  $\text{XeF}_6$ ) molecules.

By large amplitude motion we mean any internal motion which carries the molecule along a path or surface in coordinate space far away from the potential minimum. In  $\text{MX}_3$  molecules two equivalent permutations of the X-atoms are separated by a relatively long one dimensional path along the  $\text{S}_2(\text{A}_2'')$  symmetry coordinate in Fig. 1. The two equivalent  $\text{C}_{3v}$  forms at  $-\text{S}_0$ , and  $\text{S}_0$  are at potential minima, while the  $\text{D}_{3h}$  form at  $\text{S} = 0$ , represents a potential maximum. The molecular properties computed from a double minimum potential are sensitive to more than just the symmetry of the potential. For instance, a Gaussian barrier might yield a better fit to the experimental energy levels than a quadratic barrier even though both types of potentials are symmetrically equivalent.<sup>1</sup>



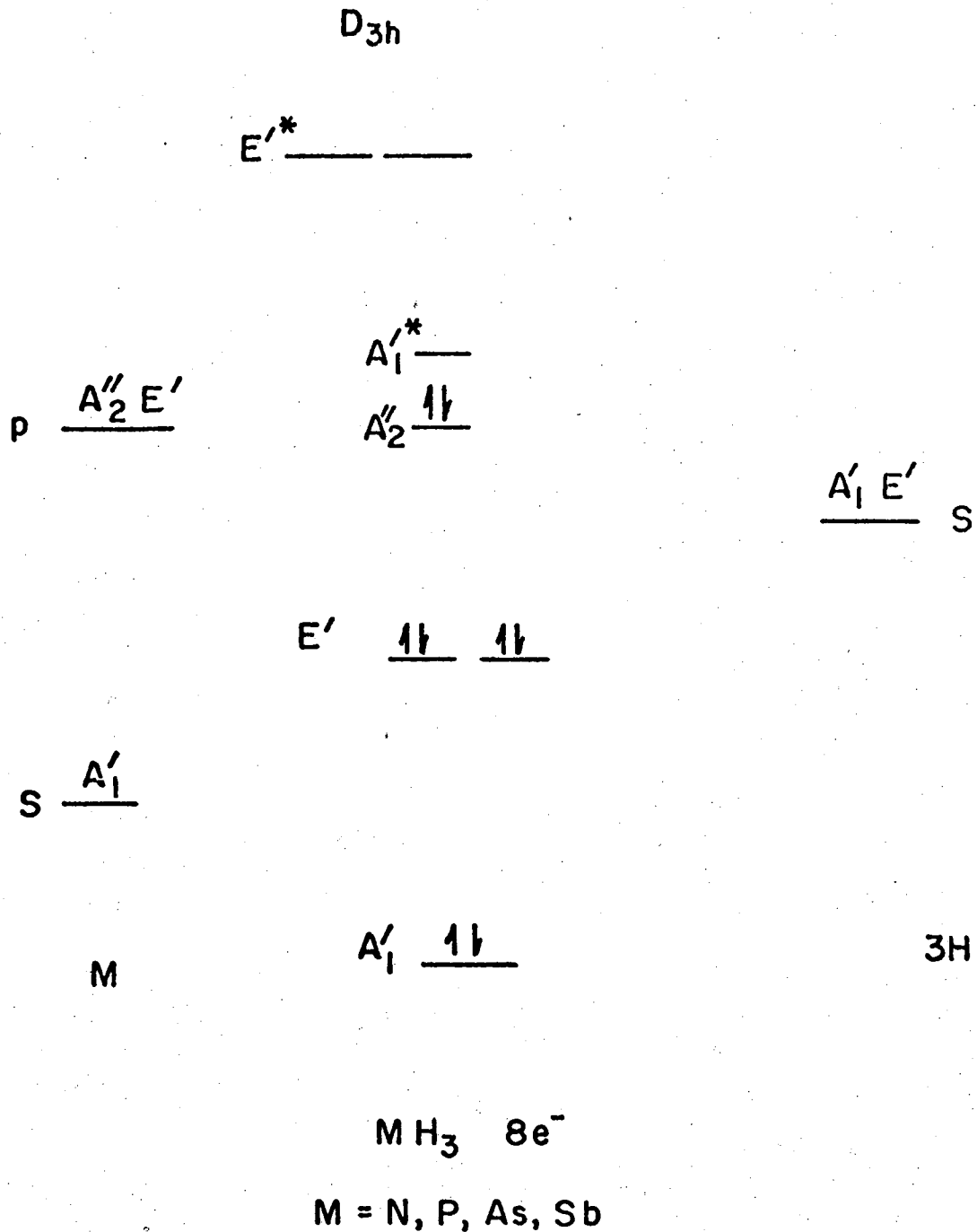
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Figure 1. Double minimum potential along the  $S_2(A_2'')$  path.

While many empirical potentials of the double minimum variety have been employed in the past, we will show here that it is possible to deduce a "preferred" form for the inversion potential.

The theoretical formalism used to construct the inversion potential is an application of the pseudo Jahn-Teller effect.<sup>2-3</sup> The Jahn-Teller<sup>4-8</sup> theorem states that a molecule in an orbitally degenerate electronic state will distort itself in order to lift the orbital degeneracy. The basis of the pseudo Jahn-Teller effect is that a molecule in a nondegenerate electronic state which is relatively close to other electronic states of proper symmetry may distort itself to increase the relative distance between these states.

The pseudo Jahn-Teller effect can be used as an explanation of why  $\text{NH}_3$  prefers a  $C_{3v}$  configuration rather than the more symmetrical  $D_{3h}$  form. From the molecular orbital diagram of a hypothetical  $\text{MH}_3(D_{3h})$  molecule in Fig. 2., we see that the ground electronic state is relatively close to the first excited state formed by promotion of an electron from the  $A_2''$  orbital to the  $A_1'^*$  orbital. As we will see in more detail shortly these two states can interact via a distortion of the proper symmetry. As the symmetry of the molecule is lowered from  $D_{3h}$  to  $C_{3v}$ , the  $A_2''$  and  $A_1'^*$  representations both decompose to the  $A_1$  representation under the  $C_{3v}$  point group. It is well-known that two states of the same symmetry will tend to repulse each other with one state lowering in energy and the other gaining energy (non-crossing rule). The



Ground State:  $(A'_1)^2 (E'_x)^2 (E'_y)^2 (A''_2)^2 \quad {}^1A'_1$

1st Excited State:  $(A'_1)^2 (E'_x)^2 (E'_y)^2 (A''_2) (A'^*_1) \quad {}^1A''_2, {}^3A''_2$

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Figure 2. Molecular orbital diagram for  $MH_3$  molecules.



end result for  $\text{NH}_3$  is that it can lower its ground electronic state energy by distorting to a  $C_{3v}$  symmetry. The final  $C_{3v}$  geometry will be determined by the increasing nonbonded repulsive interactions of the H atoms which approach each other as the symmetry is lowered from  $D_{3h}$ , and opposes the decrease in the ground electronic state energy.

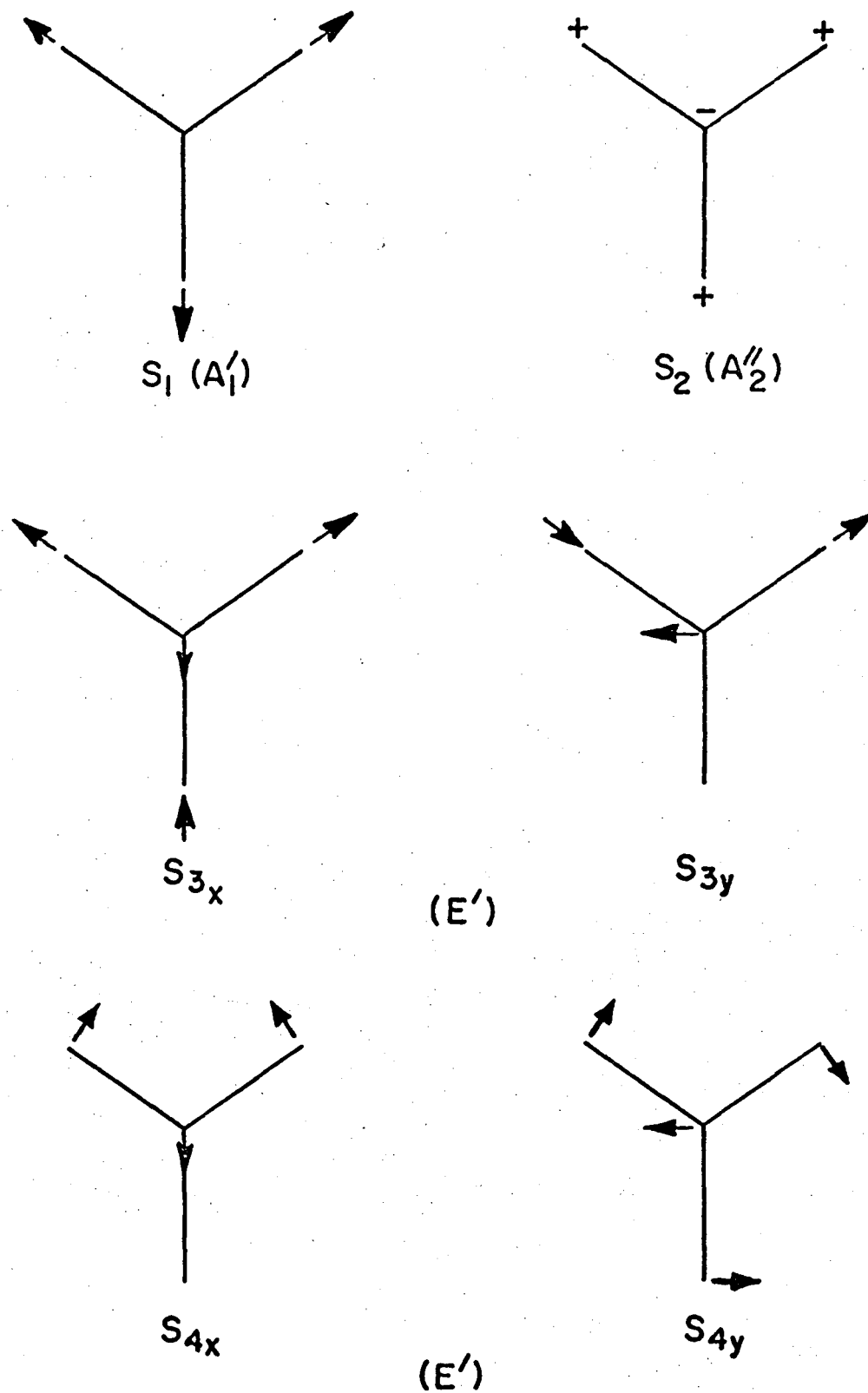
## II. THEORY

The vibronic Hamiltonian,  $H_v$ , for an arbitrary  $\text{MX}_3$  molecule can be expanded about a  $D_{3h}$  reference configuration in a complete set of symmetry coordinates (Fig. 3).

$$H_v = H_N + H_v(S_0) + \sum_K \left( \frac{\partial H_v}{\partial S_K} \right)_{S_0} S_K + \frac{1}{2} \sum_K \sum_L \left( \frac{\partial^2 H_v}{\partial S_K \partial S_L} \right)_{S_0} S_K S_L + \dots \quad (1)$$

The first term,  $H_N$ , is the nuclear kinetic energy. The second term,  $H_v(S_0)$ , is the nonrelativistic Hamiltonian for the electrons moving in the  $D_{3h}$  potential due to the fixed nuclear framework,  $S_0$ . The remaining terms couple the motion of the electrons to the motion of the nuclei, and also describe nuclear-nuclear repulsion. A purely vibrational Hamiltonian can be obtained if a suitable average over the electronic coordinates can be performed in those terms which couple the electronic and nuclear motions. The most convenient electronic basis in which to perform this average is defined by the eigenfunctions of the electronic Hamiltonian,  $H_v(S_0)$ .

$$H_v(S_0) |\psi_n\rangle = \epsilon_n |\psi_n\rangle \quad n = 0, 1, 2, \dots \quad (2)$$



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Figure 3. Symmetry coordinates for  $MX_3(D_{3h})$  molecules.

The lowest order approximation to the ground state vibrational potential can be obtained by using just the electronic ground state,  $|\psi_0\rangle$ , in the averaging process.

$$\begin{aligned}
 V_0(S) = \langle \psi_0 | H_V - H_N | \psi_0 \rangle = \xi_0 + \sum_K \langle \psi_0 | \left( \frac{\partial H_V}{\partial S_K} \right)_{S_0} | \psi_0 \rangle S_K \\
 + \frac{1}{2} \sum_K \sum_L \langle \psi_0 | \left( \frac{\partial^2 H_V}{\partial S_K \partial S_L} \right)_{S_0} | \psi_0 \rangle S_K S_L + \dots
 \end{aligned}
 \tag{3}$$

Group theoretical considerations can be used to determine whether some of the matrix elements in Eq. (3) vanish. It will be assumed that the ground electronic state is non-degenerate in its spatial dependence. For non-degenerate electronic states it is well-known that the linear term in  $S_K$  vanishes.<sup>7,8</sup>

In general the matrix elements associated with the quadratic terms,  $S_K S_L$ , will be nonzero. The original approximation to the vibrational potential in Eq. (3) simplifies to,

$$V_0(S) = \xi_0 + \frac{1}{2} \sum_K \sum_L C_{KL} S_K S_L
 \tag{4}$$

$$C_{KL} = \langle \psi_0 | \left( \frac{\partial^2 H_V}{\partial S_K \partial S_L} \right)_{S_0} | \psi_0 \rangle.$$

The potential in Eq. (4) is the usual quadratic approximation used as the starting point for a normal coordinate analysis. This approximation to the vibrational potential of an arbitrary  $MX_3$  molecule does not have the flexibility to express a

double minimum potential. An improved approximation to the ground state vibrational potential is to include the effect of higher electronic states on the linear term. We are interested in terms of the form

$$\langle \psi_0 | \left( \frac{\partial H_v}{\partial S_K} \right)_{S_0} | \psi_n \rangle \quad n > 0. \quad (5)$$

The nonvanishing terms of this type are defined by

$$\Gamma_{\psi_0} \times \Gamma_{S_K} \times \Gamma_{\psi_n} = A_1' \quad (6)$$

where  $\Gamma$  is an irreducible representation of the  $D_{3h}$  point group. If we consider the  $NH_3$  series ( $NH_3$ ,  $PH_3$ ,  $AsH_3$ ,  $SbH_3$ ) then  $\Gamma_{\psi_0} = {}^1A_1'$  (Fig. 2), and Eq. (6) simplifies to

$$\Gamma_{S_K} \times \Gamma_{\psi_n} = A_1'. \quad (6a)$$

This condition will be satisfied in those cases in which  $\Gamma_{\psi_n} = \Gamma_{S_K}$ . From the molecular orbital diagram (Fig. 2) it is clear that the lowest excited electronic states for the  $NH_3$  series are  ${}^3A_2''$  and  ${}^1A_2''$ . The triplet excited state,  ${}^3A_2''$ , will not mix with the ground electronic state,  ${}^1A_1'$ , even if condition (6a) is satisfied because of orthogonality of the triplet and singlet spin functions. The  ${}^1A_1'$  and  ${}^1A_2''$  states can be coupled by any symmetry coordinate transforming as  $A_2''$ . The symmetry coordinates for a  $D_{3h}$  configuration of an  $MX_3$  molecule are displayed in Fig. 3 where it is seen that there is a mode of  $A_2''$  symmetry. Furthermore, this is the motion involved in the inversion of  $MX_3(C_{3v})$  molecules.

The contribution of the  ${}^1A_2''$  excited electronic state to the ground state vibrational potential can be determined by finding the lowest root of the determinant of the matrix element of the linear term in the  $|\psi_{1A_1'}\rangle, |\psi_{1A_2''}\rangle$  electronic basis.

$$\begin{array}{c} |\psi_{1A_1'}\rangle \\ |\psi_{1A_2''}\rangle \end{array} \begin{vmatrix} -\xi & a S_2 \\ a S_2 & b S_1 + \Delta\epsilon_0 - \xi \end{vmatrix} = 0 \quad (7)$$

where  $a$ ,  $b$ , and  $\Delta\epsilon_0$  are defined by

$$a = \langle \psi_{1A_1'} | \left( \frac{\partial H_V}{\partial S_2} \right)_{S_0} | \psi_{1A_2''} \rangle \quad (8a)$$

$$b = \langle \psi_{1A_2''} | \left( \frac{\partial H_V}{\partial S_1} \right)_{S_0} | \psi_{1A_2''} \rangle \quad (8b)$$

$$\Delta\epsilon_0 = \xi_{1A_2''} - \xi_{1A_1'} = \xi_{1A_2''} \quad (8c)$$

where we can define  $\xi_{1A_1'} = 0$ .

The eigenvalues of Eq. (7) are

$$\xi = \frac{1}{2} [(\Delta\epsilon_0 + bS_1) \pm (\Delta\epsilon_0 + bS_1)(1 + 4a^2 S_2^2 / (\Delta\epsilon_0 + bS_1)^2)^{1/2}]. \quad (9)$$

The presence of the symmetrical stretching coordinate  $S_1$  in addition to the inversion mode  $S_2$  allows an analytic description of the change in bond length (M-X) in going from the planar to the pyramidal form. Only a small change in bond length is expected since the symmetry and nature of the bonding do not change much during the inversion. This assumption is quantitatively justified by SCF calculations on  $\text{NH}_3$ <sup>9</sup> and  $\text{PH}_3$ .<sup>10</sup> If we now ignore the coupling of  $S_1$  to  $S_2$  by setting  $b$  equal to zero the eigenvalue simplifies to,

$$\xi_L = \frac{1}{2} \Delta\epsilon_0 [1 - (1 + 4\alpha^2 S_2^2)^{\frac{1}{2}}] \quad \alpha = \frac{a}{\Delta\epsilon_0} \quad (10)$$

where  $\xi_L$  represents the lower root of Eq. (9) because we are interested in the ground state vibrational potential. The potential contributed by the  $\xi_L$  term is added to the previous approximation to the ground state vibrational potential,  $V_0(s)$  (Eq. (4)). Because  $\xi_L$  involves only the inversion coordinate,  $S_2$ , the other coordinates in  $V_0(s)$  can be ignored which leaves just the inversion potential.

$$V(S_2) = \frac{1}{2} \Delta\epsilon_0 [1 - (1 + 4\alpha^2 S_2^2)^{\frac{1}{2}}] + \frac{1}{2} C_2(D_{3h}) S_2^2. \quad (11)$$

Expansion of the first term in Eq. (11) yields

$$\xi_L \approx -\alpha^2 \Delta\epsilon_0 S_2^2 + \alpha^4 \Delta\epsilon_0 S_2^4 + \dots \quad (12)$$

We can define a truncated form of the potential in Eq. (11) by

$$V_{\text{Trunc}}(S_2) = (-\alpha^2 \Delta\epsilon_0 + \frac{1}{2} C_2(D_{3h})) S_2^2 + \alpha^4 \Delta\epsilon_0 S_2^4. \quad (13)$$

From a physical point of view the quantity  $C_2(D_{3h})$  chiefly represents the nonbonded repulsive forces between the X atoms and should be a positive quantity. If the following situation occurs

$$\alpha^2 \Delta \epsilon_0 > C_2(D_{3h})/2 \quad (14)$$

then the quadratic term in  $S_2$  will have a negative coefficient and the molecule will have a nonplanar equilibrium configuration. In this instance the truncated potential becomes

$$V_{\text{Trunc}}(S_2) = -aS_2^2 + bS_2^4 \quad a, b > 0. \quad (15)$$

The improved version of the potential in Eq. (11) is seen to have the flexibility to describe a double minimum vibration. Furthermore, in the limit of  $1 \gg 4 \alpha^2 S_2^2$  over the range of  $S_2$  of interest the expansion of the potential is reasonable and the potential takes a very simple form (15).

There are other contributions to the ground state vibrational potential which were ignored in our derivation. First there are terms which arise from coupling of the ground electronic state to higher electronic states of symmetry other than the first excited state,  ${}^1A_2''$ . These will give contributions of the same form as found in Eq. (10) for  $\xi_L$ , however, the relevant symmetry coordinate would be other than  $S_2$ , which is the coordinate of primary interest in the inversion process. These other contributions will be less significant than that for  $S_2$  because the relevant  $\Delta \epsilon$  associated with the other electronic transitions are much larger than for the

$1_{A_1}' \rightarrow 1_{A_2}''$  transition. A larger  $\Delta\epsilon$  implies a smaller  $\xi_L$  because the leading term in the expansion of  $\xi_L$  is proportional to  $1/\Delta\epsilon$  and the n'th order terms in  $\xi_L$  are proportional to  $(1/\Delta\epsilon)^{n-1}$ . There are also terms which were ignored due to truncation of the expansion of the vibronic Hamiltonian to second order in Eq. (1). What we are asserting in this theory is that for the mode in which the large amplitude motion occurs the higher order terms which result from the linear term (Eq. (12)) are more important than the corresponding terms which were ignored in the expansion of  $H_V$ . More precisely we are implying that

$$\left| \frac{2^{n-1} \cdot 1 \cdot 1 \cdot 3 \cdot 5 \cdots |2n-3| \left| \langle \psi_{1A_1}' \left| \left( \frac{\partial H_V}{\partial S_2} \right)_{S_0} \right| \psi_{1A_2}'' \rangle \right|^{2n}}{n! \Delta\epsilon_0^{2n-1}} \right| \gg$$

$$\left| \frac{\langle \psi_{1A_1}' \left| \left( \frac{\partial^{2n} H_V}{\partial S_2^{2n}} \right)_{S_0} \right| \psi_{1A_1}' \rangle}{(2n)!} \right| \quad (16)$$

$$n = 1, 2, 3 \dots$$



where there are no odd terms because the molecule contains a plane of symmetry with respect to motion along the  $S_2$  coordinate. The quantity to the left of the above inequality may be much more slowly convergent for large  $n$  than the quantity to its right. In fact, for values of the ratio of the linear matrix element to  $\Delta\epsilon_0$  on the order of unity the quantity on the left diverges for large  $n$ . The quantity on the right has a coefficient,  $\frac{1}{(2n)!}$ , which drops off rapidly for large  $n$ . We already know that this inequality must exist for the quadratic terms in  $S_2$  or the molecule would not have a  $C_{3v}$  geometry (see Eq. (14)). It is reasonable to expect that the same inequality will also be valid for the higher order terms in  $S_2$ .

Now that the form of the inversion potential has been established in Eqs. (11 and 15) it remains to give the various parameters a quantitative meaning. Working first with Eq. (11), values are needed for  $\Delta\epsilon_0$ ,  $\alpha$ , and  $C_2(D_{3h})$ .  $\Delta\epsilon_0$  can be found from the ultraviolet absorption spectrum of the  $MH_3$ <sup>11-13</sup> molecules and is just the  ${}^1A'_1 \rightarrow {}^1A''_2$  transition. Strictly speaking

$\Delta\epsilon_0$  is the excitation with respect to the planar form, which we will label  $\Delta\epsilon_0(D_{3h})$  from now on to distinguish it from the experimentally observed quantity  $\Delta\epsilon_0(C_{3v})$ . The correction of  $\Delta\epsilon_0(C_{3v})$  to  $\Delta\epsilon_0(D_{3h})$  will be discussed later. Values for  $C_2(D_{3h})$ , the force constant for the  $A_2''$  motion in the (hypothetical)  $D_{3h}$  configuration, and  $\alpha$ , the linear matrix element, can be established by the following procedure. Take the first derivative of the potential (11) and set it equal to zero at the potential minimum ( $C_{3v}$ ) and potential maximum ( $D_{3h}$ ) (Fig. 1).

$$\frac{\partial V}{\partial S_2} = -2\Delta\epsilon_0\alpha^2(1+4\alpha^2S_2^2)^{-1/2} S_2 + C_2(D_{3h}) S_2 = 0 \quad (17)$$

This yields

$$S_2 = 0 \quad D_{3h} \text{ maximum} \quad (17a)$$

$$-2\Delta\epsilon_0\alpha^2(1+4\alpha^2S_2^2)^{-1/2} + C_2(D_{3h}) = 0 \text{ at the } C_{3v} \text{ minimum } (S_2=S_0). \quad (17b)$$

The second derivative must equal the curvature ( $k_0$ ) at the  $C_{3v}$  configuration ( $S_0$ ).

$$\frac{\partial^2 V}{\partial S_2^2} = -2\Delta\epsilon_0\alpha^2(1+4\alpha^2S_2^2)^{-1/2} + 8\Delta\epsilon_0\alpha^4(1+4\alpha^2S_2^2)^{-3/2} S_2^2 + C_2(D_{3h}) = k_0 \quad (18)$$

$$\text{at } S_2 = S_0.$$

Initially,  $k_0$  can be approximated by the  $A_2''$  force constant in the actual  $C_{3v}$  conformation. To obtain the true  $k_0$ , the force constant, which is based on a normal coordinate analysis,

must be corrected for anharmonicity effects. This correction will be discussed later. Equations (17b) and (18) can be solved simultaneously to yield  $\alpha$  and  $C_2(D_{3h})$ .

$$\frac{\alpha^4}{(1+4\alpha^2 S_0^2)^{3/2}} = \frac{k_0}{8\Delta\epsilon_0 S_0^2} \quad (19a)$$

Solve Eq. (19a) iteratively for  $\alpha$ , then  $C_2(D_{3h})$  is

$$C_2(D_{3h}) = 2\Delta\epsilon_0 \alpha^2 (1+4\alpha^2 S_0^2)^{-1/2} \quad (19b)$$

The same analysis can be applied to the truncated potential (15) to define the constants a, and b.

$$a = \frac{k_0}{4} \quad b = \frac{k_0}{8S_0^2} \quad (20)$$

We return now to the correction of  $\Delta\epsilon_0(D_{3h})$  and  $k_0$ . The relationship between  $\Delta\epsilon_0(D_{3h})$  and  $\Delta\epsilon_0(C_{3v})$  can be visualized as in Fig. 4.  $NH_3$  is definitely known to have a planar excited state ( ${}^1A_2''$ ) while the remaining  $MH_3$  molecules are also thought to have a planar first excited state.<sup>11</sup> Then the correction for the  $MH_3$  molecules is

$$\Delta\epsilon_0(D_{3h}) = \Delta\epsilon_0(C_{3v}) - \delta_1 - \delta_2 \quad (21)$$

where  $\delta_1$  is just the barrier height and  $\delta_2$  is the energy required to bend the excited state from the ground state pyramidal geometry to the planar form.  $\delta_2$  is known for  $NH_3$ <sup>11</sup> from spectroscopic measurements and has a value of  $5400 \text{ cm}^{-1}$ . For  $PD_3$ ,<sup>11</sup>  $\delta_2$  is known spectroscopically to be  $\delta_2 \approx 5000 \text{ cm}^{-1}$ .

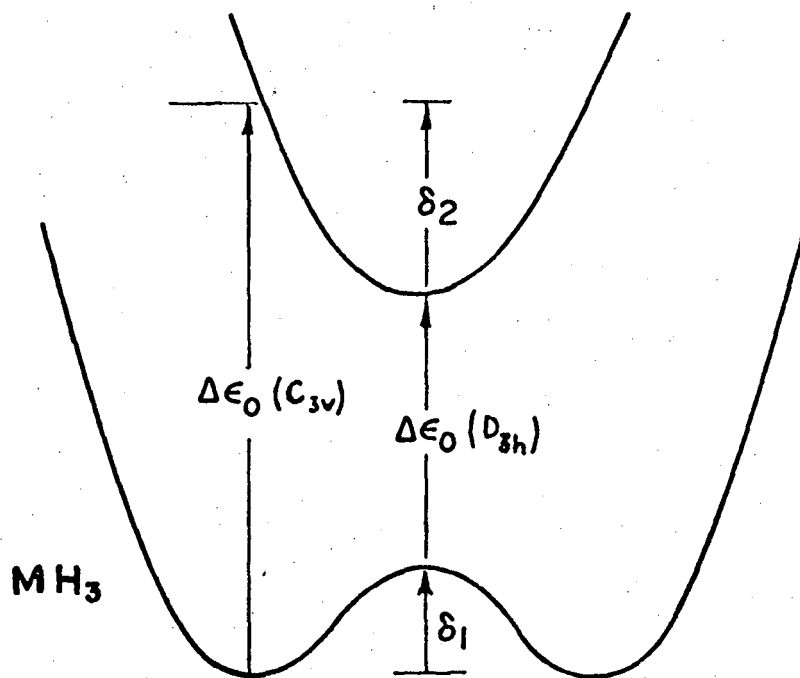


Figure 4. Relationship of  $\Delta\epsilon_0(C_{3v})$  to  $\Delta\epsilon_0(D_{3h})$  in  $MH_3$  molecules.

We will assume this same value for  $\text{PH}_3$ , and due to a lack of published spectra this same value for  $\delta_2$  will be assumed for  $\text{AsH}_3$  and  $\text{SbH}_3$ .  $\Delta\epsilon_0(\text{D}_{3h})$  can now be corrected in an iterative manner. Using the initial guess of the potential, where it was assumed  $\Delta\epsilon_0(\text{D}_{3h}) = \Delta\epsilon_0(\text{C}_{3v})$ , calculate the barrier height and apply Eq. (21). This can be repeated until the correction becomes negligible. The justification for this procedure is that  $\Delta\epsilon_0(\text{C}_{3v})(\sim 10^4 \text{ cm}^{-1})$  is roughly an order of magnitude larger than the barrier height ( $\sim 10^3 \text{ cm}^{-1}$ ) in  $\text{MH}_3$  molecules.

The correction of  $k_0$  for anharmonicity is also an iterative procedure. The initial approximation is that

$$k_0 = C_2(\text{C}_{3v}) \quad (22)$$

where  $C_2(\text{C}_{3v})$  is the  $A_2''$  force constant in the actual  $\text{C}_{3v}$  configuration. The usual method of calculating  $C_2(\text{C}_{3v})$  assumes that the  $0 \rightarrow 1(A_2'')$  transition is harmonic. In any real potential, terms of order three or greater in the displacement coordinate will also contribute somewhat to the  $0 \rightarrow 1$  transition. Thus in cases where these higher order contributions are non-negligible the curvature (second derivative) of the actual potential will be different from the harmonically approximated force constant. The first step in the correction of  $k_0$  for these effects is to apply the above approximation (22) and use the resulting potential (11) to calculate the average  $\nu_{0 \rightarrow 1}(A_2'')$  transition energy (i.e., averaging the inversion splittings).

The difference between the calculated and observed transition energy can then be compensated by appropriately adjusting  $k_0$ . This adjustment of  $k_0$  is then repeated until the calculated and observed transitions are sufficiently close. Each time  $k_0$  is changed one must re-evaluate the potential parameters [Eqs. 19 and 20]. In addition, a change in these potential parameters will cause a change in the calculated barrier height which means  $\Delta\epsilon_0(D_{3h})$  must be readjusted according to Eq. (21). This parametric dependence of the quantities  $\Delta\epsilon_0(D_{3h})$ ,  $C_2(D_{3h})$ , and  $\alpha$  on  $k_0$  establishes the earlier claim that this is a one parameter model for the inversion potential.

### III. CALCULATIONS

The inversion coordinate  $S_2$  is defined by

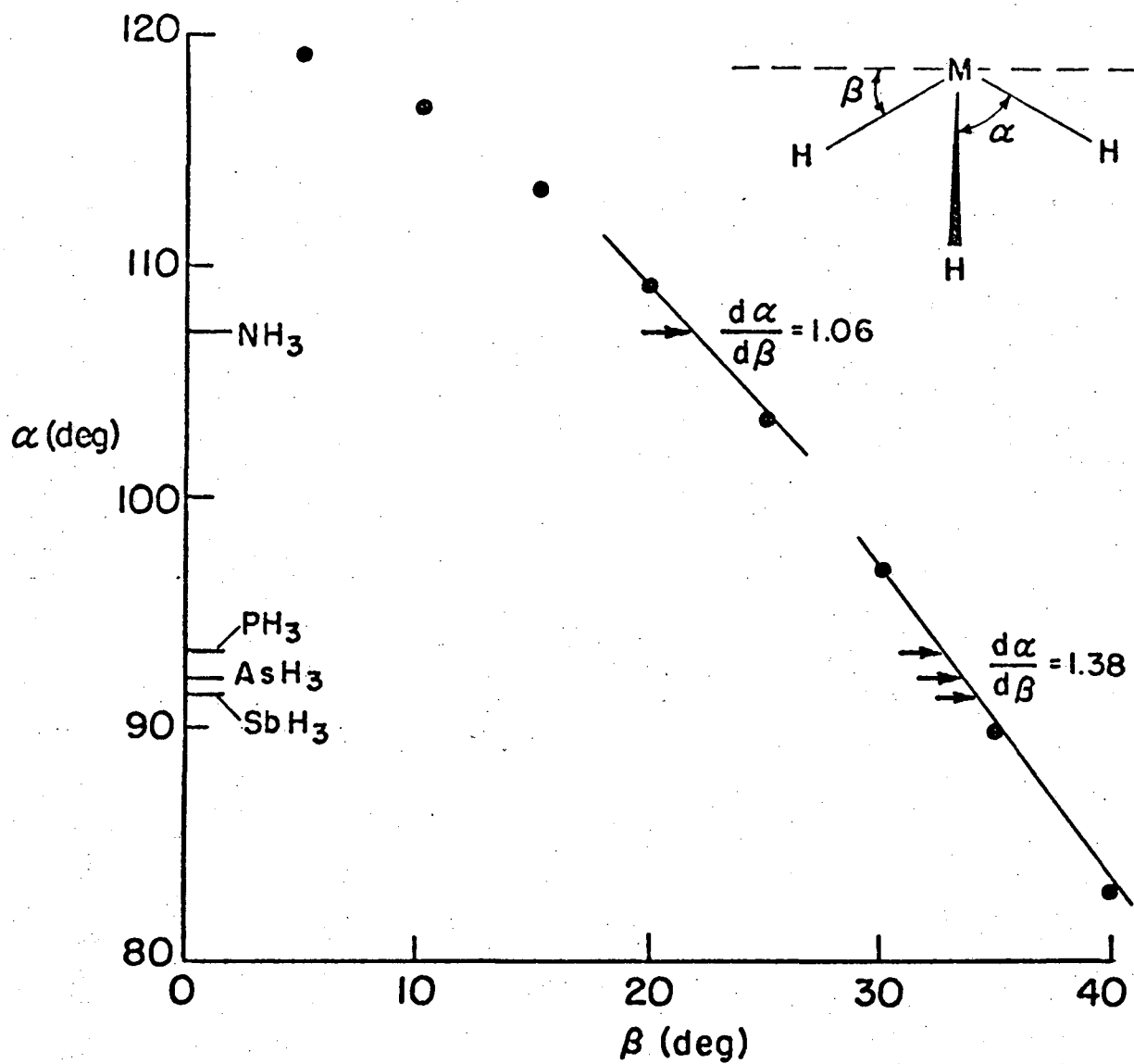
$$S_2^\beta = \sqrt{3} r(\beta - \beta_{D_{3h}}) = \sqrt{3} r\Delta\beta \quad (23)$$

where  $r$  is the M-X bond length and  $\beta$  is the angle of an M-X bond with respect to a planar configuration (Fig. 5).

Because the literature values of the  $C_{3v}$  force constant<sup>14</sup>  $C_2(C_{3v})$  are based on the coordinate

$$S_2^\alpha = \sqrt{3} r(\alpha - \alpha_{C_{3v}}) = \sqrt{3} r\Delta\alpha \quad (\text{Fig. 4}) \quad (24)$$

we must adjust  $C_2(C_{3v})$  to our inversion coordinate  $S_2^\beta$ . The reason for changing coordinates from  $S_2^\alpha$  to  $S_2^\beta$  is the anomalous



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Figure 5. Plot of  $\alpha$  versus  $\beta$  for  $\text{MX}_3$  molecules.

behavior of  $\Delta\alpha$  in the neighborhood of the planar conformation.  $\Delta\alpha$  does not change sign in passing through the planar form, which means it does not have the proper symmetry to express the inversion process. However, if the equilibrium structure is not too close to  $D_{3h}$ ,  $\Delta\alpha$  is suitable for expressing small equilibrium displacements. The relationship between  $C_2^\alpha(C_{3V})$  and  $C_2^\beta(C_{3V})$  in the region of the equilibrium structure ( $C_{3V}$ ) is

$$C_2^\beta(C_{3V}) = C_2^\alpha(C_{3V}) \left( \frac{d\Delta\alpha}{d\Delta\beta} \right)_{C_{3V}}^2 \quad (25)$$

A similar relationship exists between the reduced mass based on the two coordinates.

$$m_2^\beta = m_2^\alpha \left( \frac{d\Delta\alpha}{d\Delta\beta} \right)_{C_{3V}}^2 \quad (26)$$

The value of  $(d\Delta\alpha/d\Delta\beta)_{C_{3V}}$  for a specific molecule can be determined from the slope of a plot of  $\alpha$  versus  $\beta$  (Fig. 5). The reduced masses used in the calculations were based on Wilson's<sup>15</sup> high frequency approximation. In this case the high frequency was taken as the  $A_1$  stretch and the low frequency as the  $A_1$  bend, relative to the  $C_{3V}$  form (App. 1). The initial value of the force constants  $C_2^\beta(C_{3V})$  used in the calculations were based on the derived masses (26).

$$C_2^\beta(C_{3V}) = (5.90 \times 10^{-7}) (\nu_{0+1}^{\text{exp}})^2 m_2^\beta \quad (27)$$

$\nu_{0+1}$  is entered in  $(\text{cm}^{-1})$ , and  $m_2^\beta$  in (amu) then  $C_2^\beta(C_{3V})$  will be in  $(\text{mdyn}/\text{A})$ . Formula (27) is just a rearrangement of



$\nu_0 = \frac{1}{2\pi} (K/m)^{1/2}$ , which shows how the frequency of a harmonic oscillator is determined by its force constant (K) and mass (m). The appropriate experimental <sup>11-13,16</sup> information used as input to the potential function can be found in Table 1.

The energy levels calculated from the potential were obtained by computer diagonalization of the vibrational Hamiltonian in a 40-member harmonic oscillator basis set. The final results can be found in Tables 2 and 3. In Table 2 we see that it took 3 iterations to converge on the final  $\text{NH}_3$  potential, while for all the other molecules almost exact agreement between  $\nu_{0 \rightarrow 1}^{\text{calc}}$  and  $\nu_{0 \rightarrow 1}^{\text{exp}}$  was obtained on the first iteration. Because of the relatively small barrier in  $\text{NH}_3$  compared to  $\nu_{0 \rightarrow 1}^{\text{exp}}$  we would expect a large anharmonicity correction while for the other molecules the well is so deep that it is very nearly harmonic about the potential minima. A small correction ( $3 \text{ cm}^{-1}$ ) to  $\nu_{0 \rightarrow 1}^{\text{calc}}$  in  $\text{PH}_3$  could be made, however, it would not significantly alter the indicated parameters.

#### IV. DISCUSSION

For the  $\text{MH}_3$  series we have found that the parameters  $\alpha$ , and  $S_0^\beta$  are very close to unity (Table 2). Therefore the condition for using the truncated potential ( $1 \gg 4\alpha^2 S_2^2$ ) is not met. This is why only the full potential (Eq (28A)) was used in the calculations.

It is interesting to compare the various potentials used to describe  $\text{NH}_3$  inversion <sup>17-19</sup> with the one derived in this work.

$$V(S) = \frac{1}{2} \Delta \epsilon_0(D_{3h}) [1 - (1 + 4\alpha^2 S^2)^{\frac{1}{2}}] + \frac{1}{2} C_2(D_{3h}) S^2 \quad (\text{This work}) \quad (28a)$$

$$V(S) = -C \operatorname{sech}^2 \left( \frac{S}{2p} \right) + D \operatorname{sech}^4 \left( \frac{S}{2p} \right) \quad (\text{Manning})^{17} \quad (28b)$$

$$V(S) = K(a + bS^2)^2 / (1 + S^2)^2 \quad (\text{Newton})^{18} \quad (28c)$$

$$V(S) = \frac{1}{2} aS^2 + \frac{1}{2} bS^4 + v \exp(-cS^2) \quad (\text{Swalen})^{19} \quad (28d)$$

where  $S$  is the inversion coordinate. In Manning's potential  $C$ ,  $p$  and  $D$  are arbitrary constants which were adjusted to obtain the best fit to the available experimental energy levels. The arbitrary constants  $K$ ,  $a$ , and  $b$  in Newton's potential were fit to the first four levels of  $\text{NH}_3$  with extra weighting given to the  $0_S \rightarrow 0_A$  splitting. This accounts for Newton's success at fitting  $0_S \rightarrow 0_A$  while not managing as well with the  $1_S \rightarrow 1_A$  transition (Table 3). In Swalen's potential the constants  $a$ ,  $b$ , the reduced mass ratio of  $\text{NH}_3$  to  $\text{ND}_3$ , and the pyramid height were least squares fit to the first fourteen (seven each) levels of  $\text{NH}_3$  and  $\text{ND}_3$ .

The potential used here (28a) differs greatly in form and concept from its predecessors (28b, c, d). Both Manning and Newton picked their particular form to obtain a reasonably easy to solve form for the Schrödinger equation. Swalen chose his very flexible five parameter potential in order to get a very exact fit to the energy levels (Table 3), and hence closely approximate the true potential. In this work the parameters of the potential have a direct physical interpretation and more importantly the form of the potential is not arbitrary but

derived, with various approximations, from the exact non-relativistic Hamiltonian. It should be stressed that in the fit for our potential listed in table 3 only two constraints were placed on the potential constants: (1) that the average  $v_{0 \rightarrow 1}^{\text{exp}}$  transition was fit and, (2) that the experimental equilibrium geometry was a potential minimum. If one least squares adjusted the constants  $m_2^\beta$ ,  $\alpha$ ,  $\Delta\epsilon_0(D_{3h})$ , and  $C_2(D_{3h})$  to the first fourteen levels in  $\text{NH}_3$  and  $\text{ND}_3$  one could probably obtain a considerably improved fit to the spectra.

We have focused our discussion primarily on  $\text{NH}_3$  simply because a comparable amount of experimental and theoretical information is not available for the other  $\text{MH}_3$  ( $\text{PH}_3$ ,  $\text{AsH}_3$ ,  $\text{SbH}_3$ ) molecules. The absence of an observable inversion splitting in the lower levels of these molecules makes it impossible to fit an arbitrary double minimum potential to the splitting in order to obtain an estimate of the barrier height. In this work detailed experimental information (inversion splittings) is not needed and we have calculated the inversion potentials for the entire  $\text{MH}_3$  series (Table 2).

From the energy level computations we have estimated the  $0_S \rightarrow 0_A$  inversion splittings to be  $\sim 10^{-5} \text{ cm}^{-1}$  for  $\text{AsH}_3$ ,  $\sim 10^{-8} \text{ cm}^{-1}$  for  $\text{PH}_3$  and  $\sim 10^{-9} \text{ cm}^{-1}$  for  $\text{SbH}_3$ . The best available data on the inversion potential in the molecules other than  $\text{NH}_3$  is an SCF calculation on  $\text{PH}_3^{10}$  in which the barrier height was calculated to be  $13,012 \text{ cm}^{-1}$ . We calculate the  $\text{PH}_3$  barrier to be  $16,452 \text{ cm}^{-1}$  (Table 2). A recent SCF

calculation on  $\text{NH}_3^9$  placed the barrier at  $2,589 \text{ cm}^{-1}$  compared to the average experimental determination (table 3) of  $2,123 \text{ cm}^{-1}$ . This is a difference of about 25%. In view of the  $\text{NH}_3$  case it is not unreasonable that the two calculated barriers for  $\text{PH}_3$  differ by approximately 25%.

Table 1. Experimental Data for  $MH_3$  Molecules

	$\Delta^{\beta} C_{3v}$ (DEG)	$r_{M-H}(\text{\AA})$	$S_o^{\beta}(\text{\AA})$	$\nu_{0 \rightarrow 1}^{\text{exp}}(\text{cm}^{-1})$	$m_2^{\beta}$ (amu)	$C_2^{\beta}(C_{3v})$ $(\frac{\text{mdyn}}{\text{\AA}})$	$\Delta \epsilon_o(C_{3v})$ (mdyn- $\text{\AA}$ )
$NH_3$	21.8	1.011	.669	950	.832	.443	1.024
$PH_3$	32.4	1.419	1.390	991	.951	.551	1.103
$AsH_3$	33.6	1.523	1.549	906	.951	.412	1.085
$SbH_3$	34.0	1.712	1.764	782	.951	.343	1.008

Table 2. Refined Parameters for  $MH_3$  Potential Function

	$\alpha$ ( $\text{\AA}^{-1}$ )	$\Delta\epsilon_0(D_{3h})$ (mdyn- $\text{\AA}$ )	$k_0$ ( $\frac{\text{mdyn}}{\text{\AA}}$ )	$C_2(D_{3h})$ ( $\frac{\text{mdyn}}{\text{\AA}}$ )	$E_{\text{Barrier}}$ ( $\text{cm}^{-1}$ )	$\nu_{0 \rightarrow 1}^{\text{calc}}$ ( $\text{cm}^{-1}$ )	$\nu_{0 \rightarrow 1}^{\text{exp}}$ ( $\text{cm}^{-1}$ )
$NH_3^a$	.826	.881	.443	.806	1,787	859.5	950
	.897	.873	.531	.890	2,226	961.6	950
	.890	.873	.522	.890	2,179	951.4	950
$PH_3$	1.273	.675	.551	.595	16,452	988	991
$AsH_3$	1.056	.691	.412	.450	14,798	904	906
$SbH_3$	1.176	.560	.343	.363	17,514	782	782

<sup>a</sup> The three lines give the results of successive iterations - see text.

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Table 3. Comparison of Observed and Computed Properties of  $\text{NH}_3$ 

	Obs.	This Work	Manning	Swalen	Newton
Barrier ( $\text{cm}^{-1}$ )		2179	2072	2018	2225
$0_S$	0.00	0.00	0.00	0.00	0.00
$0_A$	0.79	0.78	0.83	0.83	0.79
$1_S$	932.5	938	935	930	933
$1_A$	968.3	965	961	966	956
$2_S$	1597.6	1660	1610	1596	
$2_A$	1910	1892	1870	1882	
$3_S$	2383.5	2410	2360	2385	
$3_A$	2895.5	2891	2840	2897	

## APPENDIX 1.

High Frequency Approximation in Calculating the Mass for the Inversion Motion in  $\text{MX}_3(\text{C}_{3v})$  Molecules

The G-matrix element for the symmetrical stretch ( $A_1$ ) is

$$G_{11} = \frac{1}{m_x} + \frac{(1+2 \cos\alpha)}{m_M} \quad (1)$$

where  $m_x$ ,  $m_M$  are the masses of atoms x, and M respectively, and  $\alpha$  is defined in Fig. 4. The G-matrix element for the symmetric bend ( $A_1$ ) is

$$G_{22} = 2 \left( \frac{1+2\cos\alpha}{1+\cos\alpha} \right) \left( \frac{1}{m_x} + \frac{2(1-\cos\alpha)}{m_M} \right) \quad (2)$$

The cross term is

$$G_{12} = - \frac{2(1+2\cos\alpha)(1-\cos\alpha)}{m_M \sin\alpha} \quad (3)$$

The high frequency approximation becomes

$$G_{22}^o = G_{22} - \frac{G_{12}^2}{G_{11}} \quad (4)$$

The inversion mass can now be defined as

$$m_2^\alpha = (G_{22}^o)^{-1} \quad (5)$$



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