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Executive Summary: International Clinical Practice Guidelines for Pediatric Ventilator Liberation, A Pediatric Acute Lung Injury and Sepsis Investigators (PALISI) Network Document.

### Permalink

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### Journal

American Journal of Respiratory and Critical Care Medicine, 207(1)

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### Publication Date

2023

### DOI

10.1164/rccm.202204-0795SO

Peer reviewed

# STATE OF THE ART

## Executive Summary: International Clinical Practice Guidelines for Pediatric Ventilator Liberation, A Pediatric Acute Lung Injury and Sepsis Investigators (PALISI) Network Document

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### Abstract

**Rationale:** Pediatric-specific ventilator liberation guidelines are lacking despite the many studies exploring elements of extubation readiness testing. The lack of clinical practice guidelines has led to significant and unnecessary variation in methods used to assess pediatric patients' readiness for extubation.

**Methods:** Twenty-six international experts comprised a multiprofessional panel to establish pediatrics-specific ventilator liberation clinical practice guidelines, focusing on acutely hospitalized children receiving invasive mechanical ventilation for more than 24 hours. Eleven key questions were identified and first prioritized using the Modified Convergence of Opinion on Recommendations and Evidence. A systematic review was conducted for questions that did not meet an *a priori* threshold of  $\geq 80\%$  agreement, with Grading of Recommendations, Assessment, Development, and Evaluation methodologies applied to develop the guidelines. The panel evaluated the evidence and drafted and voted on the recommendations.

**Measurements and Main Results:** Three questions related to systematic screening using an extubation readiness testing bundle and a spontaneous breathing trial as part of the bundle met Modified Convergence of Opinion on Recommendations criteria of  $\geq 80\%$  agreement. For the remaining eight questions, five systematic reviews yielded 12 recommendations related to the methods and duration of spontaneous breathing trials, measures of respiratory muscle strength, assessment of risk of postextubation upper airway obstruction and its prevention, use of postextubation noninvasive respiratory support, and sedation. Most recommendations were conditional and based on low to very low certainty of evidence.

**Conclusions:** This clinical practice guideline provides a conceptual framework with evidence-based recommendations for best practices related to pediatric ventilator liberation.

**Keywords:** airway extubation; clinical protocols; mechanical ventilators; pediatric intensive care units; ventilator weaning

(Received in original form April 25, 2022; accepted in final form August 12, 2022)

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These guidelines were developed by the PALISI network and are not official guidelines from the American Thoracic Society. However, they were endorsed by the American Thoracic Society and the Society of Critical Care Medicine.

Supported by the Eunice Kennedy Shriver National Institute of Child Health and Human Development and the National Heart, Lung, and Blood Institute of the National Institutes of Health (R13HD102137), in addition to funds from the Department of Pediatrics at the Indiana University School of Medicine, Indianapolis, Indiana.

Author Contributions: All authors contributed to the conception or design of the work or the acquisition, analysis, or interpretation of data for the work. All authors participated in drafting the work or revising it critically for important intellectual content and have approved and are responsible for the final version submitted for publication.

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Am J Respir Crit Care Med Vol 207, Iss 1, pp 17–28, Jan 1, 2023

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Originally Published in Press as DOI: 10.1164/rccm.202204-0795SO on August 15, 2022

Internet address: www.atsjournals.org

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Pediatric critical care providers balance minimizing invasive mechanical ventilation (IMV) duration against the risk of extubation failure and its associated morbidities (1–3). Adult clinical practice guidelines for IMV liberation have been published (4). Although there have been several observational and interventional studies related to aspects of pediatric ventilator liberation, most of the pediatric literature is limited to narrative reviews and meta-analyses (5–9). There is also significant practice variation and limited adoption of ventilator liberation protocols in children (10). We sought to develop the first international pediatrics-specific ventilator liberation clinical practice guidelines focused on acutely hospitalized children receiving IMV for more than 24 hours.

## Methods

Please refer to the online supplement for detailed methods and extensive justifications for all recommendations in this executive summary. The guidelines panel was a

multiprofessional international group, including two coauthors (S.A.S. and R.G.K.), a lead methodologist (N.B.I.) and assistant methodologist (S.K.K.), and two medical librarians (E.C.W. and H.J.C.). The panel included 19 pediatric intensive care specialists, two respiratory therapists, four nurses, and one expert in human and translational physiology (14 from North America, three from South America, seven from Europe, and two from Asia). Panelists were chosen on the basis of their publications in the area of pediatric ventilator liberation in past 10 years. Panelists were divided into subgroups in charge of literature review, data extraction, and preparing draft recommendations and manuscripts for each clinical question. The committee identified clinical questions and outcomes of importance. As suggested by Grading of Recommendations Assessment, Development, and Evaluation (GRADE), only outcomes that were “critical” or “important” were used to formulate recommendations (11). Abbreviations and nomenclature are defined in detail in Table 1.

As part of the modified Convergence of Opinion on Recommendations and Evidence (CORE) process, panelists were asked to select a recommendation for the intervention in each of the clinical questions: 1) in favor, 2) neither for nor against, or 3) against. Three questions had  $\geq 80\%$  agreement on the direction of the recommendation, which were accepted as CORE recommendations without a formal systematic review (Figure 1) (12). For questions for which consensus was not reached, we used the GRADE approach (13, 14) to identify and summarize relevant evidence and to develop recommendations for clinical practice (Figure 1).

Eight PICO (Population, Intervention, Comparator, Outcome) questions, encompassing five comprehensive literature searches, were run in MEDLINE (Ovid), Embase (Elsevier), and CINAHL Complete (EBSCOhost) in March 2021 and rerun in January 2022. Risk of bias was assessed using the Cochrane Risk of Bias-2 tool for randomized trials and the ROBINS-I tool for observational studies (15, 16). We used

This article has a related editorial.

This article has an online supplement, which is accessible from this issue's table of contents at [www.atsjournals.org](http://www.atsjournals.org).

<p><b>Contents</b>  <b>Methods</b>  <b>Results</b>  <b>CORE Recommendations</b>  <b>(Recommendations 1–3)</b></p>	<p><b>Systematic Review</b>  <b>Recommendations</b>  <b>(Recommendations 4–15)</b></p>	<p><b>Conclusions: Synthesizing These</b>  <b>Recommendations into Clinical</b>  <b>Practice</b></p>
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GRADEpro Guideline Development Tool online software to develop evidence profiles for each PICO question (13, 17, 18). To pool quantitative data, we performed meta-analyses using random effects models and Review Manager software (RevMan). For recommendations 9–12, we performed a random effects model network meta-analysis in a Bayesian framework (19).

When randomized controlled trials (RCTs) were available, only these were used to create the evidence profiles. Observational studies were used only when relevant outcome data were not available from RCTs (20). We used the GRADE framework to determine the certainty of evidence (21). For one question (recommendation 6), there was no direct or indirect evidence to inform the recommendation. To provide expert opinion using a systematic process, we used the RAND-UCLA Appropriateness Method to ascertain the panel’s judgment on different spontaneous breathing trial (SBT) durations for different extubation contexts (22). Recommendations were described as “strong” or “conditional” and the categorization was based on the GRADE’s Evidence to Decision framework (11). Recommendations developed using the CORE process were considered conditional because this method does not include the rating of the certainty of evidence. The guidelines PICO questions and summary of recommendations are provided in Table 2. The implication of the strength of recommendations for different stakeholders is provided in Table 3. We offered good practice statements in the absence of direct evidence, using guidelines provided by GRADE, when it was clear that implementing the recommendation will result in a large net positive effect (23). These guidelines apply to all children (aged 1 d–18 yr). Although many of these principles extend to preterm neonates and young adults, ventilator liberation in those populations is not specifically covered in these guidelines. This clinical practice guideline was endorsed by the Society of Critical Care Medicine on June 27, 2022, and by the American Thoracic Society on July 27, 2022.

**Results**

**CORE Recommendations (Recommendations 1–3)**

**Recommendation 1.** We suggest the use of protocolized screening compared with no screening to assess eligibility for extubation readiness testing (ERT). (CORE statement, ungraded, 100% agreement)

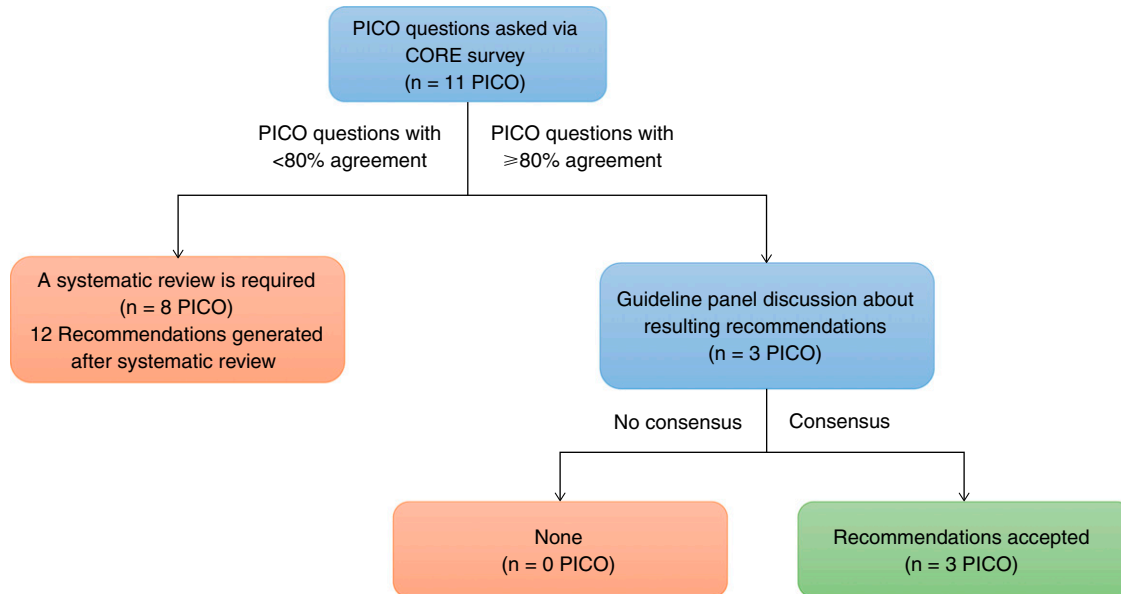
**REMARKS.** Protocolized screening for eligibility for ERT should be conducted at regular intervals to identify when a patient has met prespecified targets for physiologic parameters, ventilator settings, or pathology-specific milestones to safely conduct ERT.

**RATIONALE.** Panelists based this recommendation on data from five RCTs (24–28) and three quality improvement (QI) studies (29–31). Most studies identified a reduction in IMV duration or time of weaning for those undergoing systematic ERT screening, ranging from several hours to several days (24, 25, 28, 31). In addition, several studies identified lower rates of extubation failure (27, 29), although many

studies do not specifically separate protocolized screening from other elements of the ERT bundle. There are likely no patient-related undesirable effects with judicious screening criteria. There are potential undesirable effects related to staff burden and screening fatigue that may contribute to low rates of compliance (30), although these effects can be minimized when screening is integrated into the clinical workflow (29, 31). Some studies have observed increased use of a postextubation high-flow nasal cannula (HFNC) (29–31) and noninvasive ventilation (NIV) (28, 30). Protocolized screening should include a series of physiologic parameters, ventilator targets, or pathology-specific milestones that are applied to all eligible patients at regular, periodic intervals to determine whether they have reached an appropriate point from which to proceed with ERT. Examples of ERT safety screening criteria are shown in Table E1 in the online supplement. Screening can be conducted by any qualified member of the care team.

**Table 1.** Nomenclature Used during the Guideline Development Process

Term	Definition
Continuous positive airway pressure (CPAP)	Positive pressure with a single continuous distending pressure delivered through endotracheal tube, tracheostomy, or noninvasive interface (e.g., nasal mask, nasal pillows/prongs, full face mask or helmet)
Extubation failure	Need for reintubation typically within 72 h of extubation
Extubation readiness test (ERT)	A bundle of items that are used to assess the patient’s eligibility to be liberated from invasive mechanical ventilation
High-flow nasal cannula (HFNC)	Flow that is delivered through a heated humidified nasal cannula circuit and interface
Noninvasive ventilation (NIV)	Positive pressure with variable levels of pressure delivered without an artificial airway (e.g., nasal mask, nasal pillows/prongs, full face mask or helmet)
Noninvasive respiratory support (NRS)	HFNC, CPAP, or NIV
Spontaneous breathing trial (SBT)	A systematic method of reduction of ventilator support to assess patient’s ability to independently maintain gas exchange without excessive respiratory effort



**Figure 1.** Guidelines development process. Adapted with permission from Reference 12. PICO = Population, Intervention, Comparator, Outcome.

**Recommendation 2.** We suggest using a protocolized ERT bundle compared with clinical assessment of extubation readiness. (CORE statement, ungraded, 88% agreement)

**REMARKS.** This ERT bundle includes elements that are used to assess if the patient is ready to be liberated from IMV. In addition to an SBT, this may include factors such as assessment of sedation level, adequacy of neurologic control of the airway (i.e., cough and gag), likelihood of postextubation upper airway obstruction (UAO), assessment of respiratory muscle strength, magnitude of airway secretions, hemodynamic status, and a plan for postextubation respiratory support.

**RATIONALE.** Panelists based this recommendation on data from three QI studies (29–31). The implementation of a protocolized ERT bundle resulted in lower extubation failure rates (absolute risk reduction between 3.3% and 11.7%) (29, 31), with sensitivity and positive predictive value for extubation success with the use of an ERT bundle of 90% and 94%, respectively (31). No study demonstrated a significant difference with respect to IMV duration, but one study observed a significant reduction in pediatric ICU (PICU) length of stay (LOS) (31). Very few adverse effects were reported after the implementation of an ERT bundle (29), with similar rates of unplanned extubation between those subjects managed with and without extubation readiness protocols. There may be a risk of higher postextubation NIV use after ERT bundles

are implemented (30). ERT bundles provide a systematic approach within the process of evaluating whether a pediatric patient is ready to be successfully liberated from IMV: a daily screening followed by an SBT and a series of pulmonary and nonpulmonary criteria to help with decision making.

**Recommendation 3.** We suggest performing an SBT as part of an ERT bundle to objectively assess the patient's ability to independently maintain adequate minute ventilation and gas exchange without excessive respiratory effort if liberated from IMV. (CORE statement, ungraded, 96% agreement)

**RATIONALE.** Panelists based this recommendation on data from three RCTs (24, 28, 32), three QI studies (29–31), and two observational studies (27, 33). The use of SBTs was associated with lower extubation failure rates in several studies (28, 29, 32, 33), although others showed no difference in extubation failure rates (24, 30, 31). No studies showed higher extubation failure rates with the use of SBTs. The diagnostic accuracy of SBTs in predicting extubation success is high, with positive predictive value >90% (27, 33). Almost all studies have shown that IMV duration or length of the weaning phase is either shorter or no different in patients who receive an SBT compared with patients not subjected to an SBT. Reductions in IMV duration were as large as 30% (hazard ratio, 0.70; 95% confidence interval [CI], 0.53–0.9) (median, 1.2 d) (24) in some

studies, although other studies report smaller differences (i.e., median of 6.1 h [28] or no difference [29, 31, 32]). No studies showed longer IMV duration with SBTs. There is no clear signal of increased harm with the use of SBTs identified in these studies. An additional risk relates to potential higher use of postextubation NIV or HFNC, although this finding is not consistent (24, 28, 29). Conduct of the SBT should include a procedure to reduce ventilator settings to prespecified values (see recommendations 4 and 5) with systematic evaluation by bedside providers of the patient's ability to maintain adequate minute ventilation and gas exchange without excessive respiratory effort.

### Systematic Review Recommendations (Recommendations 4–15)

#### Recommendations 4 and 5.

- We suggest using either pressure support (PS) augmentation with continuous positive airway pressure (CPAP) or CPAP alone during SBTs in mechanically ventilated children at standard risk for extubation failure (Table 4). (Conditional recommendation, very low certainty of evidence)
- For children at higher risk of extubation failure (Table 4), we suggest using CPAP without PS augmentation during SBTs for better assessment of extubation readiness. (Conditional recommendation, very low certainty of evidence)

**Table 2.** Guidelines PICO Questions and Summary of Recommendations

PICO Question	Recommendations	Strength of Recommendation	Certainty of Evidence
Should acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h have protocolized screening to assess eligibility for ERT?	1. We suggest the use of protocolized screening compared with no screening to assess eligibility for ERT.	CORE statement	N/A
Should acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h have a protocolized extubation readiness bundle performed?	2. We suggest using a protocolized ERT bundle compared with clinical assessment of extubation readiness.	CORE statement	N/A
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h, should an SBT be included in determining extubation readiness?	3. We suggest performing an SBT, as part of an ERT bundle to objectively assess the patient's ability to independently maintain adequate minute ventilation and gas exchange without excessive respiratory effort if liberated from IMV.	CORE statement	N/A
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h who are undergoing an SBT as part of extubation readiness assessments, should inspiratory pressure augmentation (i.e., PS or automatic tube compensation) be used?	4. We suggest using either PS augmentation with CPAP or CPAP alone during SBTs in mechanically ventilated children at standard risk of extubation failure.	Conditional	Very low
	5. For children at higher risk of extubation failure, we suggest using CPAP without PS augmentation during SBTs for better assessment of extubation readiness.	Conditional	Very low
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h who are undergoing an SBT to assess for extubation readiness, should the SBT be conducted for 30 min or 60–120 min?	6. We suggest the SBT be conducted for either 30 min or 60–120 min.	Conditional	Very low
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h, should a measure of respiratory muscle strength during airway occlusion (i.e., NIF or PiMax) or function be included in determining extubation readiness?	7. We suggest using PiMax as an element of the ERT bundle for critically ill children at risk for muscle weakness or at risk for extubation failure.	Conditional	Very low
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h, should an endotracheal tube air leak test be measured before extubation to predict postextubation UAO?	8. We suggest using the air leak test, in children with cuffed ETT, as part of the ERT bundle, to assess the risk for the development of postextubation UAO.	Conditional	Very low
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h, should systemic corticosteroids be administered before extubation to prevent postextubation UAO?	9. We suggest using dexamethasone at least 6 h before extubation in children at high risk of developing postextubation UAO.	Conditional	Very low
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h, should planned noninvasive respiratory support (HFNC, CPAP, or NIV) be used after extubation?	10. For children at high risk for extubation failure, we suggest using NRS (which includes HFNC, CPAP, or NIV) over conventional oxygen therapy immediately after extubation.	Conditional	Very low
In acutely hospitalized children being extubated to planned noninvasive respiratory support (HFNC, CPAP, or NIV), would CPAP/NIV be superior to HFNC?	11. For children developing respiratory distress while receiving conventional oxygen therapy after extubation, we suggest using NRS over continued use of conventional oxygen therapy.	Conditional	Very low
	12. For children <1 yr of age who are being started on NRS (either planned or rescue), we suggest the use of CPAP over HFNC.	Conditional	Low

(Continued)

Table 2. (Continued)

PICO Question	Recommendations	Strength of Recommendation	Certainty of Evidence
In acutely hospitalized children receiving conventional mechanical ventilation for more than 24 h, should a goal-directed sedation protocol be used compared with nonprotocolized sedation management to guide sedation management during mechanical ventilation and endotracheal extubation?	13. We recommend that the level of sedation, cough effectiveness, and capacity to manage oropharyngeal secretions be evaluated before extubation.	Good practice statement	N/A
	14. We recommend a targeted sedation management strategy using a validated, reliable tool to set sedation targets.	Good practice statement	N/A
	15. We suggest either the use of a standardized sedation titration protocol or no standardized protocol to guide targeted sedation management during IMV and ERT.	Conditional	Moderate

*Definition of abbreviations:* CPAP = continuous positive airway pressure; ERT = extubation readiness testing; ETT = endotracheal tube; HFNC = high-flow nasal cannula, IMV = invasive mechanical ventilation; NIF = negative inspiratory force; NIV = noninvasive ventilation; NRS = noninvasive respiratory support (HFNC, CPAP, or NIV); PiMax = maximal inspiratory pressure during airway occlusion; PS = pressure support; SBT = spontaneous breathing trial; UAO = upper airway obstruction.

**RATIONALE.** One RCT evaluated critical outcomes related to extubation failure, mortality, or LOS (34) and showed no significant difference between PS-augmented and T-piece SBT. Three observational studies have shown that work/effort of breathing was significantly lower during PS-augmented SBTs versus CPAP alone and that PS augmentation significantly underestimates postextubation work/effort of breathing (35–37). Underestimation of effort of breathing may result in premature extubation and an increased extubation failure rate. Conversely, perceived high work of breathing on CPAP alone compared with PS with CPAP may result in delayed extubation for several patients who potentially could be extubated successfully, leading to longer IMV duration. This effect was not demonstrated in the only pediatric RCT. We considered avoidance of extubation failure and its associated sequelae as the most critical outcome for patients and therefore gave it the highest

weight. On the basis of the available evidence, we are unable to state an overall benefit of one approach to SBTs over the other. In patients who may be at higher risk of extubation failure, the panel valued a higher degree of accuracy in predicting extubation failure (i.e., positive predictive value) and therefore recommended the use of CPAP only for SBTs in these subpopulations.

**Recommendation 6.** We suggest the SBT be conducted for either 30 minutes or 60–120 minutes, depending on the patient's risk for extubation failure. (Conditional recommendation, very low certainty of evidence)

**REMARKS.** For children at high risk of extubation failure (Table 4), the panel considered a longer SBT of 60–120 minutes as more appropriate.

**RATIONALE.** There were no studies directly comparing different SBT durations. Data from seven RCTs (24, 26, 28, 32, 34, 38, 39) and 11 observational cohort studies (29, 31,

33, 40–47) were used to provide indirect evidence about SBT duration. A shorter SBT (i.e., 30 min) is likely to result in more patients passing the SBT, potentially shortening the IMV duration. In contrast, a longer SBT (i.e., 60–120 min) is likely to result in a lower rate of extubation failure, although none of the studies were able to confirm these theoretical benefits. It is likely that a 60–120-minute SBT, when compared with a 30-minute SBT, can better approximate the effort of breathing after extubation, especially in patients at higher risk of extubation failure (e.g., cardiac disease, neuromuscular condition, prolonged IMV). We considered avoidance of extubation failure and its associated sequelae as the most critical outcome for patients and therefore weighted this outcome more importantly for patients at higher risk for extubation failure. Most panelists considered an SBT <30 minutes inappropriate for any mechanically ventilated child who has been ventilated for

Table 3. Implications of Strength of Recommendations to Stakeholders

Stakeholder	Strong Recommendation	Conditional Recommendation
Patients	Most individuals in this situation would want the recommended course of action, and only a small proportion would not.	The majority of individuals in this situation would want the suggested course of action, but many would not.
Clinicians	Most individuals should receive the recommended course of action.	Recognize that different choices will be appropriate for different patients and that you must help each patient arrive at a management decision consistent with her or his values and preferences.
Policy makers	The recommendation can be adapted as policy in most situations, including for use as performance indicators.	Policy making will require substantial debates and involvement of many stakeholders. Policies are also more likely to vary between regions.

**Table 4.** Populations to Consider as Potentially High Risk for Extubation Failure

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Younger age
Prolonged invasive mechanical ventilation (>14 d)
Chronic lung disease
Chronic critical illness
Preexisting CPAP/NIV use for any reason
Myocardial dysfunction
Neurologic impairment
Neuromuscular disease
Upper airway anomalies/surgical interventions
Trisomy 21 and other genetic syndromes
Previously failed extubation
Borderline passing SBT

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*Definition of abbreviations:*

CPAP = continuous positive airway pressure;  
 NIV = noninvasive ventilation;  
 SBT = spontaneous breathing trial.

more than 24 hours. For standard-risk patients, SBT durations between 30 and 60 minutes were considered the most appropriate because lowering the already low risk of extubation failure does not clearly outweigh the benefit of a potentially more accurate SBT. For high-risk patients, SBT durations between 60 and 120 minutes were considered the most appropriate, given that preventing extubation failure is a higher priority, and a 60–120-minute SBT was considered to have higher diagnostic accuracy. Risk factors considered for high-risk patients are summarized in Table 4.

**Recommendation 7.** We suggest using measurement of maximal inspiratory pressure during airway occlusion (PiMax) as an element of the ERT bundle for critically ill children at risk for muscle weakness or at risk for extubation failure. (Conditional recommendation, very low certainty of evidence)

**Table 5.** Populations to Consider as Potentially High Risk for Upper Airway Obstruction

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Multiple intubation attempts
Traumatic intubation
Use of large-for-age ETT
ETT air leak pressure >25 cm H <sub>2</sub> O for cuffed ETT
Anatomical anomaly of upper airways

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*Definition of abbreviation:* ETT = endotracheal tube.

**REMARKS.** Based on existing evidence, the optimal cutoff for PiMax cannot be recommended. A PiMax <20 cm H<sub>2</sub>O suggests increased risk of extubation failure due to inspiratory muscle weakness, whereas a PiMax >50 cm H<sub>2</sub>O suggests preserved inspiratory muscle strength and therefore reduced risk of extubation failure because of poor inspiratory muscle function.

**RATIONALE.** Nineteen studies assessing associations between respiratory muscle function before extubation and extubation outcomes were identified. Nine studies evaluated maximal inspiratory pressure (PiMax or an equivalent measure) (40, 48–55), seven studies evaluated diaphragmatic ultrasound (56–62), and three studies evaluated respiratory muscle electromyography (63–65). Compared with studies of PiMax, studies of diaphragmatic ultrasound and respiratory muscle electromyography recruited fewer participants, were more heterogeneous, and required technologies and expertise that are not readily available or easily implementable at most institutions. All but one of the included studies assessing PiMax showed an association between PiMax and extubation success. Studies report various PiMax thresholds (20–50 cm H<sub>2</sub>O) with wide ranges for sensitivity for extubation success (12.5–100%) and specificity (50–96%) (40, 48, 49, 51–55). In one study, a PiMax threshold of 20 cm H<sub>2</sub>O was associated with the lowest sensitivity but the highest specificity for extubation success (40), whereas other studies have shown that a PiMax of 50 cm H<sub>2</sub>O had higher sensitivities (50–100%) but variable specificities (50–94%) (51, 53, 55). Hence, PiMax measurement can be beneficial to improve the diagnostic accuracy of extubation failure risk and may be particularly important in children who have a higher baseline risk of extubation failure (Table E7). No studies reported any adverse events from PiMax measurement. Because the diagnostic accuracy of PiMax for predicting extubation success is variable, there is a potential that systematic measurement of respiratory muscle function may result in delayed extubation if PiMax is considered inadequate. Furthermore, we cannot recommend a specific PiMax threshold for discriminating children with respiratory muscle weakness. Although pediatric evidence is limited, risk factors of respiratory muscle weakness include prolonged IMV, neuromuscular disease, prolonged use of

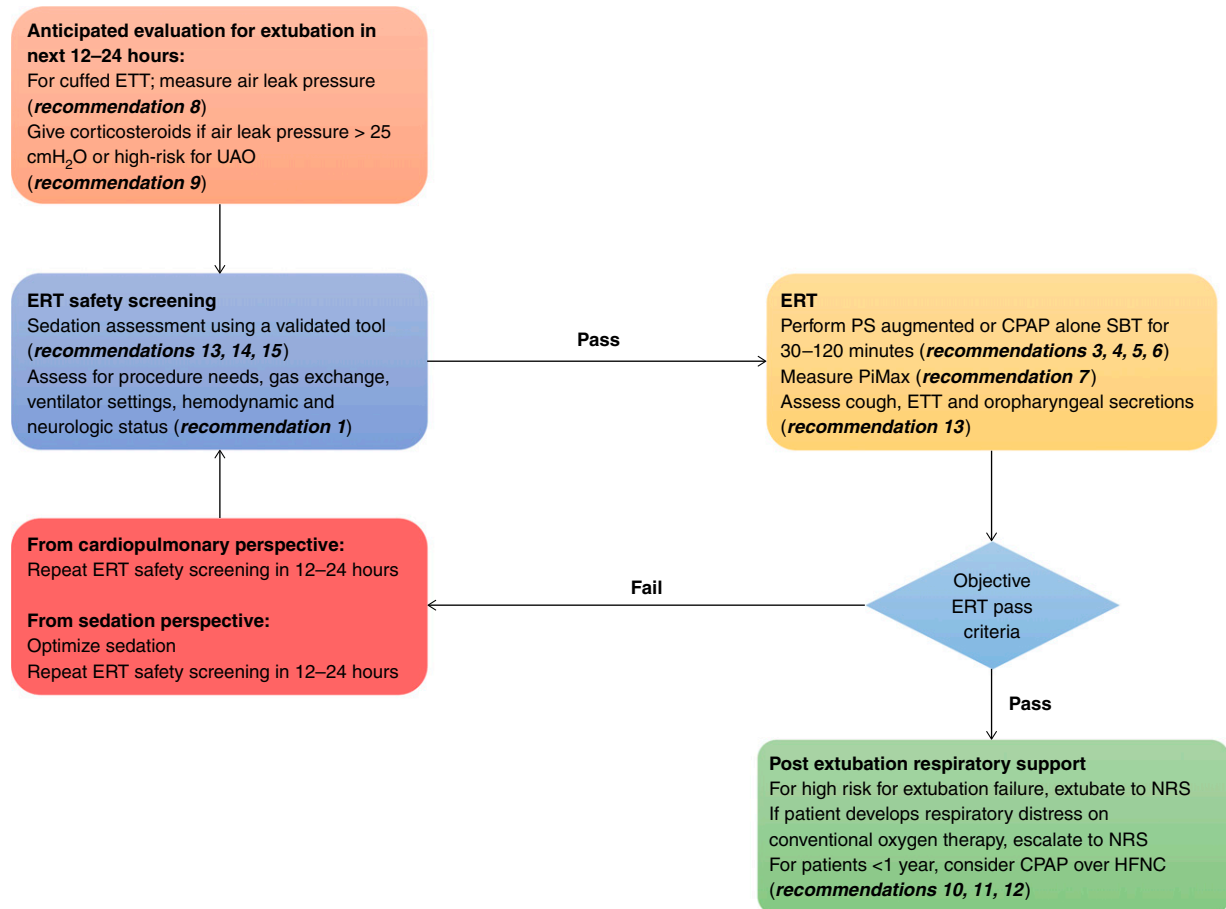
corticosteroids or neuromuscular blocking agents, sepsis, malnutrition, and chronic illnesses. Identification of respiratory muscle weakness was considered to be important for patients and clinicians because it could identify patients at higher risk of extubation failure and may prompt additional preventive or therapeutic strategies.

**Recommendation 8.** We suggest using the air leak test in children with a cuffed endotracheal tube (ETT) as part of the ERT bundle to assess the risk for the development of postextubation UAO. (Conditional recommendation, very low certainty evidence)

**REMARKS.** For children with an uncuffed ETT, an air leak test is an unreliable method to assess the risk for the development of postextubation UAO.

**RATIONALE.** We identified eight observational studies (66–73) using air leak at the time of extubation. The diagnostic accuracy of air leak testing varies, depending on whether the ETT is cuffed or uncuffed. For children with cuffed ETTs, the presence of an air leak at the time of extubation (below 25–30 cm H<sub>2</sub>O) did not have a clear relationship with extubation failure (pooled sensitivity, 0.33 [95% CI, 0.13–0.60]; pooled specificity, 0.80 [95% CI, 0.54–0.93]). For the outcome of postextubation UAO, the presence of an air leak at the time of extubation had some diagnostic accuracy (pooled sensitivity, 0.57 [95% CI, 0.39–0.73]; pooled specificity, 0.91 [95% CI, 0.32–1.00]) (67, 70–72) (Table E11). For children with uncuffed ETTs, the presence of an air leak (below 25–30 cm H<sub>2</sub>O) at the time of extubation has no clear relationship with extubation failure (pooled sensitivity, 0.44 [95% CI, 0.27–0.62]; pooled specificity, 0.58 [95% CI, 0.32–0.80]) (69). The results were similar for the outcome of postextubation UAO (pooled sensitivity, 0.37 [95% CI, 0.23–0.54]; pooled specificity, 0.56 [95% CI, 0.40–0.71]) (66–68, 70, 73) (Table E11). The potential benefits of identifying patients at higher risk of postextubation UAO include administering dexamethasone (see recommendation 9) to prevent subglottic postextubation UAO. Although the risk of performing an air leak test itself at the time of extubation is negligible, the actions that may follow because of the air leak test could have unintended negative consequences. Given the low sensitivity, identifying patients who do not have an air leak could result in a delay in extubation to administer dexamethasone, which may prolong IMV duration.





**Figure 2.** Extubation readiness testing conceptual framework and bundle elements. CPAP = continuous positive airway pressure; ERT = extubation readiness testing; ETT = endotracheal tube; HFNC = high-flow nasal cannula, NRS = noninvasive respiratory support (HFNC, CPAP, or NIV); PiMax = maximal inspiratory pressure during airway occlusion; PS = pressure support; SBT = spontaneous breathing trial; UAO = upper airway obstruction.

**Recommendation 9.** We suggest using dexamethasone at least 6 hours before extubation in children at high risk of developing postextubation UAO. (Conditional recommendation, very low certainty of evidence)

**REMARKS.** Although data from our network meta-analysis estimated a benefit with the use of dexamethasone to prevent UAO in all subgroups, there was unclear benefit in decreasing extubation failure caused by UAO. As such, the panel considered that extubation should not be delayed by administering a course of dexamethasone, particularly in standard-risk children.

**RATIONALE.** Data from eight RCTs (74–81) were used for pairwise and network meta-analyses (82). In the pairwise analysis, compared with placebo, prophylactic dexamethasone did not result in a statistically significant reduction in extubation failure

rates (odds ratio [OR], 0.55 [95% CI, 0.21–1.46]; absolute risk reduction, 73 fewer per 1,000 patients [95% CI, 137 fewer reintubations to 63 more reintubations]) (Table E12). However, prophylactic dexamethasone did result in a decrease in the incidence of UAO (OR, 0.40 [95% CI, 0.21–0.73]; absolute risk reduction, 205 fewer per 1,000 patients [95% CI, 306 to 76 fewer]) (Table E12).

As part of the guidelines, Iyer and colleagues published a network meta-analysis which identified that early use of dexamethasone ( $\geq 12$  h before extubation) was likely the most important factor to consider, and, when started early, high- or low-dose regimens were associated with a similar likelihood of UAO prevention and were likely better than either high- or low-dose regimens started later (83). Similar results were seen when using  $>6$  hours

before extubation as the definition of early use, although the effect size was slightly smaller and credible intervals wider. When dexamethasone was administered within 6 hours of extubation, use of higher-dose dexamethasone ( $\geq 0.5$  mg/kg/dose) was likely to have some benefit for prevention of postextubation UAO, whereas lower-dose dexamethasone ( $<0.5$  mg/kg/dose) within 6 hours of extubation appeared to have minimal impact on preventing extubation failure or postextubation UAO. Given the preference for early administration of dexamethasone, there is therefore a theoretical concern for delayed extubation when clinicians wait for dexamethasone administration before extubation.

For patients at high risk for postextubation UAO (Table 5), the benefits of prophylactic dexamethasone administered at least 6 hours before extubation for

preventing extubation subglottic postextubation UAO and failure outweigh potential risks, including delaying extubation by up to 6 hours. However, the panel believed that in patients at standard risk for postextubation UAO, incremental benefits of dexamethasone are not outweighed by potential delays in extubation.

**Recommendations 10, 11, and 12.**

- For children at high risk for extubation failure, we suggest using noninvasive respiratory support (NRS; including HFNC, CPAP, or NIV) over conventional oxygen therapy immediately after extubation (Table 4). (Conditional recommendation, very low certainty of evidence)
- For children developing respiratory distress while receiving conventional oxygen therapy after extubation, we suggest using NRS over continued use of conventional oxygen therapy. (Conditional recommendation, very low certainty of evidence)
- For children <1 year of age who are being started on NRS (either planned or rescue), we suggest the use of CPAP over HFNC. (Conditional recommendation, low certainty of evidence)

**REMARKS.**

- For children >1 year of age who are started on NRS; CPAP, HFNC, and NIV are appropriate first-line therapies, and the choice will depend on the clinical setting and patient circumstances.
- NIV can be considered if CPAP or HFNC does not relieve postextubation respiratory distress or for children who receive NIV for other chronic conditions.

**RATIONALE.** We identified two RCTs comparing the effectiveness of HFNC with that of CPAP after extubation as planned or rescue treatment (84, 85) and five RCTs comparing HFNC (86–88), CPAP (89), or NIV (90) against conventional oxygen therapy. Treatment with NRS versus conventional oxygen therapy had an OR for reducing extubation failure of 0.6 (95% CI, 0.31–1.14) (Figure E15). Treatment with NRS after extubation would result in 30 fewer extubation failures per 1,000 patients in a control population with an expected extubation failure rate of 8% and 83 fewer extubation failures in high-risk populations where the expected failure rate

is 25%. To try to understand which NRS therapy was most effective (i.e., HFNC vs. CPAP/NIV), we conducted a network meta-analysis in which both HFNC (OR, 0.53; 95% credible interval, 0.23–1.2) and CPAP/NIV (OR, 0.49; 95% credible interval, 0.19–1.2) had better odds than conventional oxygen therapy of preventing extubation failure (Table E15). For preventing extubation failure, CPAP/NIV had the highest probability of being ranked the most effective therapy (60%), followed by HFNC (38%) (Table E15). For the combined outcome of treatment failure, CPAP/NIV also had the highest probability of being ranked the most effective therapy (69%), followed by HFNC (31%) (Table E15). In pairwise meta-analysis comparing HFNC with CPAP in mostly patients <1 year of age, CPAP had 5% less reintubations at any time after the first extubation (OR, 0.7; 95% CI, 0.47–1.04) and lower in-hospital mortality than HFNC (OR, 0.38; 95% CI, 0.15–0.97). In terms of risks, the use of NRS could result in a prolonged PICU and hospital LOS. In the few studies in which these outcomes were reported, conventional oxygen therapy was associated with a 0.74-day (95% CI, –0.72 to 2.19) reduction in PICU LOS and 9-day (95% CI, –0.97 to 18.9) reduction in hospital LOS, although there is significant imprecision in these estimates (88). Treatment with CPAP/NIV may be poorly tolerated in some children, but this outcome is rarely reported (85, 90).

**Recommendations 13, 14, and 15.**

- We recommend that the level of sedation, cough effectiveness, and capacity to manage oropharyngeal secretions be evaluated before extubation. (Ungraded, good practice statement)
- We recommend a targeted sedation management strategy using a validated, reliable tool to set sedation targets. (Ungraded, good practice statement)
- We suggest either the use of a standardized sedation titration protocol or no standardized protocol to guide targeted sedation management during IMV and ERT. (Conditional recommendation, moderate certainty of evidence)

**REMARKS.** There were no studies specifically focused on sedation management in the periextubation period; the panel thus voted to examine the clinical impact of

protocolized sedation over the entire course of IMV.

**RATIONALE.** We identified two RCTs ( $n = 11,292$ ) (28, 91) that randomized by PICU. One study included mechanically ventilated children with acute respiratory failure with an expected length of IMV >24 hours (RESTORE [Randomized Evaluation of Sedation Titration for Respiratory Failure]) (91). The other RCT included all patients receiving IMV but reported a prespecified analysis of patients with expected duration of IMV >24 hours at the time of admission based on diagnosis (SANDWICH [Sedation AND Weaning In Children]) (28). Both RCTs compared usual PICU care with an intervention consisting of protocolized sedation assessment, targeted sedation goals, and ERT. Both studies used validated sedation tools to assess level of consciousness and the patient's ability to comfortably accept ventilation, breathe spontaneously, and respond to stimulation and console. The SANDWICH trial demonstrated a statistically significant 0.25-day reduction in IMV duration (95% CI, –0.34 to –0.22 d) for patients receiving the intervention (Table E18) (28), although this difference did not meet the panel's *a priori* threshold for clinical significance, which was 12 hours. The RESTORE trial demonstrated no difference in IMV duration (91). Absolute extubation failure rates were 0.5–0.6% lower in patients in the intervention groups in both RCTs, but neither was statistically different from the usual care group. The SANDWICH trial demonstrated a significantly shorter hospital LOS for the usual care group (median, 0.91 d shorter; interquartile range, 0.84–0.97) (28), increased use of NIV postextubation among intervention patients (adjusted relative risk, 1.22; 95% CI, 1.01–1.49), and a higher frequency of unplanned extubation (adjusted relative risk, 1.62; 95% CI, 1.05–2.51) (28). The RESTORE trial showed a higher rate of postextubation stridor among the intervention group (adjusted relative risk, 1.6; 95% CI, 1.15–2.22) (91). In addition to these potential harms, there is a potential burden on PICUs to incorporate protocolized sedation management, which may increase human costs and personnel. Although the benefits of a sedation titration protocol are not clear, critical care providers should work on strategies of

incorporating the use of valid and reliable sedation assessment scales with a targeted goal in their daily workflow.

## Conclusions: Synthesizing These Recommendations into Clinical Practice

As has been shown in several pediatric studies, extubation failure is often

multifactorial. For this reason, extubation evaluation should consider multiple factors and requires clinical judgment. A systematic approach to evaluate parameters that characterize risk for extubation failure should be used and can be operationalized in an ERT bundle. We believe that the elements proposed as part of this guideline characterize the most important factors to consider before ventilator liberation in children. We synthesized these concepts in a

flowchart (Figure 2) and provide more guidance on implementation considerations in the online supplement. Unfortunately, the certainty of evidence was low or very low for nearly all our recommendations, highlighting the need for high-quality research in each of these domains. ■

**Author disclosures** are available with the text of this article at [www.atsjournals.org](http://www.atsjournals.org).

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