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1The Hip Fracture Surgery in Elderly Patients (HIPELD)
2study to evaluate xenon anaesthesia for the prevention of
3postoperative delirium: a multicentre, randomised,
4controlled clinical trial

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15

16**The HIPELD Study Investigators are listed in the Supplementary material.

17**Running title:** Xenon anaesthesia for POD in hip fracture surgery

18

1Abstract

2**Background.** Postoperative delirium (POD) occurs frequently in elderly hip fracture surgery
3patients and is associated with poorer overall outcomes. Because xenon anaesthesia has
4neuroprotective properties, we evaluated its effect on the incidence of POD and other
5outcomes after hip fracture surgery.

6**Methods.** This was a phase II, multicentre, randomised, double-blind, parallel-group,
7controlled clinical trial conducted in hospitals in six European countries (September 2010 to
8October 2014). Elderly (≥ 75 years-old) and mentally functional hip fracture patients were
9randomised 1:1 to receive either xenon- or sevoflurane-based general anaesthesia during
10surgery. The primary outcome was POD diagnosed through postoperative day 4. Secondary
11outcomes were POD diagnosed anytime after surgery, postoperative sequential organ failure
12assessment (SOFA) scores, and adverse events (AE).

13**Results.** 256 randomised patients were treated with xenon (N=124) or sevoflurane (N=132).
14Through postoperative day 4, the incidence of POD with xenon (9.7%, 95% confidence
15interval [CI]: 4.5–14.9) or with sevoflurane (13.6%, 95% CI: 7.8–19.5) were not significantly
16different (P=0.33). Overall SOFA scores were significantly lower with xenon (least-squares
17mean difference: -0.33 , 95% CI: -0.60 to -0.06 ; P=0.017). The incidences of serious AE
18(8.0% vs 15.9%; P=0.05) and fatal AE (0% vs 3.8%; P=0.06) were lower with xenon than
19with sevoflurane, respectively, but not significantly different.

20**Conclusions.** Xenon anaesthesia did not significantly reduce the incidence of POD after hip
21fracture surgery. Nevertheless, exploratory observations concerning postoperative SOFA-
22scores, serious AE, and deaths warrant further study of the potential benefits of xenon
23anaesthesia in elderly hip fracture surgery patients.

24**Key words:** anaesthesia, general; aged; delirium; hip fractures; xenon

25**Clinical trial registration:** EudraCT 2009-017153-35; ClinicalTrials.gov NCT01199276

1With an ever-aging population, hip fracture is a major medical problem that imposes huge
2medical, financial, and societal burdens, and impairs the quality of life for patients, care-
3providers, and care-givers.^{1,2} In the UK alone, there were over 67,000 hip fractures reported
4for the health care system in 2014.³ Hip fracture is also associated with high 30-day mortality
5rates (8–10% in the UK) and high one-year mortality rates, which were reported to be
619–40% across several European countries.^{3,4}

7 Postoperative delirium (POD) is also strongly associated with hip fracture surgery in
8older patients, with reported incidence rates of 13–50%.⁵⁻¹⁰ POD is an acute state of confusion
9associated with changes in the levels of consciousness, arousal, and cognition following
10surgery.¹¹ While usually short-lived, POD is associated with increased hospital stays and
11costs, higher morbidity and mortality, higher risks of institutionalisation, cognitive decline,
12dementia, and poorer overall outcomes.^{5, 12-14}

13 The aetiology of POD is complex, poorly understood, and multifactorial.^{15, 16} The risk
14of POD increases with age, pre-existing cognitive impairment, dementia, depression,
15comorbidity and vascular disease.^{11, 16, 17} Recent data support the proposal that POD is a
16*cognitive disintegration* with a breakdown in neural network connectivity, possibly mediated
17through an increase in inhibitory γ -amino-butyric acid (GABA)-ergic tone, resulting in
18impaired integration of information in fronto-parietal networks.¹⁵⁻¹⁸ Indeed, many of the
19modifiable risk factors for POD interact with GABAergic signaling.^{11, 15, 17, 19, 20}

20 The noble gas xenon is an anaesthetic that blocks *N*-methyl-D-aspartate receptors and
21activates two-pore-domain potassium channels but has no activity on GABA receptors.²¹⁻²³
22Xenon has been demonstrated to exert organoprotective effects including neuro- and cardio-
23protection, and to maintain haemodynamic stability better than other anaesthetics.²¹⁻³⁰ In two
24small studies in cardiac surgery patients, xenon has exhibited potentially promising, though

1inconsistent, effects in preventing POD.^{29, 31} However, neither study was designed or powered
2to specifically address the prevention of POD by xenon.

3 Due to the potentially beneficial qualities of xenon, we hypothesised that the incidence
4of POD in hip fracture surgery patients would be lower with xenon-based anaesthesia than
5with sevoflurane-based anaesthesia. Thus, we conducted an international, multicentre,
6randomised, controlled clinical trial to specifically compare the incidence of POD and other
7outcomes in hip fracture surgery patients anaesthetised with either xenon or sevoflurane. The
8primary outcome was the incidence of POD within 4 days of surgery, while secondary
9exploratory outcomes included postoperative organ dysfunction, safety, and mortality.

10

1Methods

2Study Design

3The design and protocol of the study have been published previously³² and are summarised in
4the online Supplementary material. Briefly, this was a phase II, observer-blinded, parallel-
5arm, multicentre, randomised controlled trial conducted at 13 university or tertiary hospitals
6in six European countries (France, Belgium, Germany, Spain, UK, and Italy) between
7September 2010 and October 2014. The study protocol and subsequent substantial
8amendments were approved by local independent ethics committees and the competent
9regulatory authority in each country for each investigational site. The study was registered
10with EudraCT (2009-017153-35) and ClinicalTrials.gov (NCT01199276), and conducted
11according to Good Clinical Practice guidelines, any local guidelines, the Declaration of
12Helsinki (2008), and European Directive 2001/20/CE. Written informed consent was obtained
13from all subjects.

14 During the course of the study, there were several protocol amendments. Due to
15enrolment that was slower than anticipated with five centres, the recruitment period was
16extended on four successive occasions, and eight study sites were added to achieve the target
17enrolment (one in Belgium, five in France, and two in Germany). The collection of survival
18information at 28-days post-surgery was also added because it was identified as a key
19outcome parameter in the UK's National Hip Fracture Database.³

20Participants

21Hip fracture patients ≥ 75 years old with planned surgery within 48 hours of fracture were
22eligible for study participation. Notable exclusion criteria included a history of severe
23dementia, Alzheimer's disease, schizophrenia, or moderate to severe depression; a recent

1 brain trauma or history of stroke; delirium, as determined by a shortened version of the
2 Confusion Assessment Method (CAM),³³ which is a worksheet version adapted from the
3 original CAM by SK Inouye;³⁴ or a score of < 24 in the Mini-Mental State Examination
4 (MMSE). Complete exclusion criteria are listed in the online Supplementary material and in
5 Coburn, et al 2012.³²

6 Procedures

7 Patients were randomised to the xenon or sevoflurane treatment group using a blocked
8 randomisation scheme stratified by centre, with a block size of six, and assigned to groups
9 from a computer-generated list. Block size was not specified in the protocol nor
10 communicated to the investigators to avoid predictability of the next treatment. Patient
11 selection and follow-up visits and assessments were performed by a study physician who was
12 blinded to the allocated anaesthetic (Physician 1). The identity of the randomisation-allocated
13 anaesthetic was contained in an envelope bearing the sequential randomisation number of the
14 patient and was revealed by the attending anaesthesiologist (Physician 2) who opened the
15 envelope only immediately prior to surgery. Study Physicians 1 and 2 had no access to the
16 case report forms of their physician counterparts. Study eligibility, vital signs, baseline scores
17 for (i) delirium as determined by the CAM,³³ for (ii) Sequential Organ Failure Assessment
18 (SOFA),³⁵ and for (iii) pain (by the visual assessment score [VAS]), as well as concomitant
19 medications and diseases, were assessed at the selection visit.

20 Benzodiazepine premedication was avoided. General anaesthesia was induced with
21 propofol (1–2 mg/kg), which was continued at 0.05–0.15 mg/kg per min for approximately 10
22 min until maintenance anaesthesia with the randomisation-allocated anaesthetic (either
23 sevoflurane or xenon gas delivered using a Felix Dual™ Workstation [Air Liquide Medical
24 Systems, France]) could be initiated. Patients in the xenon group received $60 \pm 5\%$ xenon
25 (approximately 1 minimum alveolar concentration [MAC]) in oxygen ($FiO_2 = 0.35$ to 0.45);

1patients in the sevoflurane group received 1.1–1.4% sevoflurane (1 MAC adjusted to age) in
2oxygen and medical air ($\text{FiO}_2 = 0.35$ to 0.45).³⁶ Depth of anaesthesia was monitored
3continuously using the Bispectral Index (BIS VISTA™, Aspect Medical Systems, Norwood,
4MA) and was kept between 40 and 60.

5 After weaning from anaesthesia, vital signs, recovery parameters, and the Aldrete
6score were monitored every 15 min until recovery was complete with a score of ≥ 9 .
7Beginning at 3 hours after surgery and at twice-daily visits ($10 \text{ am} \pm 30 \text{ min}$ and $6 \text{ pm} \pm 30$
8min) through discharge (or for a maximum of 28 days), patients were assessed for POD,
9severity of pain (VAS), vital signs, concomitant medications, adverse events (AEs), and
10serious adverse events (SAEs). SOFA scores and laboratory analysis results were recorded at
11each visit through day 4 and were optional thereafter.

12**Outcomes**

13The primary endpoint was the occurrence of at least one episode of POD as assessed by the
14shortened worksheet version of the CAM within 4 days post-surgery. This worksheet includes
15the first four criteria of the full CAM, all of which are necessary and sufficient for detecting
16delirium.³³ The CAM assessment was performed by investigators (Physician 1 or a research
17nurse) who were blinded to the group allocation and who received extensive and specific
18training prior to the study according to the CAM training manual and coding guide.³⁴ Training
19was conducted by an external study-sponsored physician via a remote presentation during
20study site initiation. Secondary exploratory endpoints were POD from post-operative day 5
21through discharge; SOFA on postoperative days 1–4; recovery parameters; and mortality.
22Safety was assessed from the AEs and SAEs recorded throughout the study and from
23laboratory parameters. Diagnostic criteria for specific AEs were those used in standard
24practice at each study site and were not standardised across the study sites.

1 Statistical analysis

2 The sample size was calculated based on an expected POD event rate of 30% within 4 days
3 after surgery with sevoflurane anaesthesia.³² It was estimated that this POD event rate would
4 be 50% lower with xenon yielding an event rate of 15%. We estimated a large effect size
5 (odds ratio of 0.50) for this older population, which is larger than what would be considered
6 as a clinically significant improvement. Type I error was set to $\alpha=0.05$ (two-sided conditions),
7 and power was 80% to detect the 50% reduction. Power calculations were performed using
8 nQuery Advisor® Version 6.01 (Statistical Solutions, Saugus, MA) and yielded 121 patients
9 per group. With an expected dropout rate of 5%, the target enrolment was set to 256
10 randomised patients (128 per group).

11 In the primary analysis of the primary outcome, the POD incidence within 4 days post-
12 surgery in each group in the intention-to-treat population was compared using a Pearson's X^2
13 test that included d observed cases only. The Pearson's analysis was also repeated for the per-
14 protocol population (patients with no major protocol deviations) in sensitivity analyses and to
15 handle missing data. Sensitivity, secondary, exploratory, and post-hoc analyses are described
16 in the Supplementary material. Statistical analyses were performed using SAS® software
17 (SAS Institute, Cary, NC, USA) Version 9.2. Statistical significance for all tests was fixed at
18 $\alpha=0.05$ except for the selection of potentially important factors in the multivariate regression
19 model in which $\alpha=0.10$ was applied.

20

1Results

2From over 2000 hip fracture patients screened for the study, only 268 were enrolled and 260
3were randomised to the treatment groups between September 2010 and October 2014 (Figure
41). Most pre-enrolment exclusions were due to low MMSE scores. Among these, 256
5randomised patients were treated and eligible for analysis. Fourteen patients who had major
6protocol deviations were included in the intention-to-treat population but were excluded from
7per-protocol analyses. Most were excluded for multiple (≥ 5) missing CAM evaluations (9
8patients) after surgery or for missing CAM evaluations at selection (3 patients). A total of 110
9patients in the xenon group and 120 in sevoflurane group completed the study.

10Patient Population

11Baseline characteristics were similar for both groups (Table 1). Most patients in each group
12were women and the mean age was 84 years. Most patients had an ASA status of II or III and
13a moderate level of pain. Pre-operative SOFA scores were low; however, concomitant
14diseases such as hypertension, cardiac disorders, and musculoskeletal disorders were frequent
15(95%).

16Hip Fracture Surgeries and Anaesthesia

17Surgery-related data and duration of the procedures were similar for the two groups (Table 2).
18During recovery from anaesthesia, the times to open eyes, to react to verbal commands, and to
19extubation were all significantly shorter for xenon than for sevoflurane ($P < 0.001$). The time to
20reach an Aldrete score of 9 was similar for both groups. Total length of hospital stay was
21similar for both groups, and $\geq 95\%$ of the patients in each group were discharged from the
22hospital within 30 days after surgery. Depth of anaesthesia during surgery (BIS values;

1Supplementary material, Figure S1) and haemodynamic variables during surgery
2(Supplementary material, Figure S2) were similar across groups.

3POD Incidence

4In the primary analysis, a total of 12 out of 124 (9.7%) patients in the xenon group vs. 18 out
5of 132 (13.6%) patients in the sevoflurane group had at least one POD episode during the first
64 days after surgery (Table 3). These incidence rates were not significantly different (P=0.33).
7Similar results were obtained for the per-protocol population (P=0.40) and in sensitivity
8analyses performed for only those patients who had undergone all planned CAM assessments
9up to the afternoon of day 4 and if all patients who were withdrawn due to an AE or who died
10were included in the analysis and considered to have had a POD episode (Supplementary
11material, Table S1).

12 Incidence rates for POD at 5 or more days after surgery or at any time after surgery
13were not significantly different (P=0.46 for each; Table 3). Six (4.8%) patients in the xenon
14group and 11 (8.3%) patients in the sevoflurane group had multiple POD episodes during the
15study. The mean time to a first POD episode during the first 4 days after surgery (also the
16Kaplan-Meier diagram in Supplementary material, Figure S3) and the mean duration of POD
17episodes were similar in both groups, with most episodes lasting 0.5 days.

18 In multivariate-factor logistic regression analyses of patient factors possibly associated
19with POD within the first 4 days after surgery, four were identified as important in
20preliminary screening: male gender, ASA status III, being a current smoker, and the presence
21of a previously diagnosed mild neurologic disorder at selection (Supplementary material,
22Table S2). Of these, only being a current smoker (adjusted odds-ratio [AOR] 5.35
23[1.65–17.32]; P=0.005) and the presence of a previously diagnosed mild neurologic disorder
24(AOR 3.27 [1.12–9.57]; P=0.030) were statistically significant (P<0.05). The adjusted odds-

1ratio (AOR) for POD with xenon treatment was not statistically significant (0.50 [95% CI
20.20–1.20]; P=0.12; Supplementary material, [Table S2 and](#) Figure S4).

3 Excessively deep anaesthesia and long delays before surgery have been reported to be
4risk factors for POD.^{19, 37} However, in post-hoc analyses, we found no significant associations
5between POD and cumulative time at low BIS values (< 40; P=0.86) during surgery or
6between POD and time-to-surgery (P=0.34) (Supplementary material, Table S3).

7**SOFA Scores**

8Mean total SOFA scores (\pm SD) increased after surgery and were highest at day 1, with scores
9of 0.87 ± 0.94 in the xenon group and 1.19 ± 1.49 in the sevoflurane group (Supplementary
10material, Figure S5). Mean total score in the xenon group (0.57 ± 0.84) was significantly
11lower than in the sevoflurane group (1.01 ± 1.77) on day 3 only (P=0.04). Comparison of the
12overall difference in SOFA scores over time by repeated ANCOVA analysis yielded a
13statistically significant least-squares mean difference of -0.33 [95% CI -0.60 – ($-$) 0.06]
14(P=0.02) in favour of xenon.

15**Safety**

16AEs were reported for 114 of 125 patients (91.2%) in the xenon group (495 AEs) and for 125
17of 132 patients (94.7%) in the sevoflurane group (573 AEs; Table 4). Most AEs were
18treatment-emergent and of mild-to-moderate severity, and about 50% in each group were
19considered by the investigators to be related to study treatment. SAEs were nearly twice as
20common in the sevoflurane group (45 for 21 patients) than in the xenon group (22 for 10
21patients; P=0.05). The proportion of patients with SAEs that were graded severe was
22significantly greater in the sevoflurane group than in the xenon group (P=0.008).

1Mortality

2By the end of the study, only one patient in the xenon group and three patients in the
3sevoflurane group had ongoing SAEs (Table 4). No patients in the xenon group died but five
4patients in the sevoflurane group (3.8%) succumbed to fatal SAEs ($P=0.06$). Causes of death
5were septic shock and multi-organ failure; pneumonia and respiratory failure; pneumonia,
6septic shock and acute renal failure; right ventricular failure; and cardiac failure. Three of the
7patients who died had at least one POD episode within 4 days of surgery. Vital status at 28
8days after surgery was available for 103 (83%) patients in the xenon group and 110 (83%)
9patients in the sevoflurane group; no additional deaths were reported.

10

1 Discussion

2 In this international randomised clinical trial, xenon-based anaesthesia did not significantly
3 reduce the incidence of POD in elderly hip fracture surgery patients. Differences in secondary
4 outcomes were either statistically significant and not clinically meaningful in this study
5 (SOFA scores) or potentially clinically pertinent but not statistically significant (SAEs,
6 mortality).

7 The incidence of POD following hip fracture surgery in the elderly is typically high.^{5-9,}
8¹¹ In the studies we used to calculate the sample size needed to evaluate the primary efficacy
9 criterion of at least one POD episode within 4 days after surgery, the incidence varied between
10 28% and 50%;^{6-10, 32, 38, 39} however, the actual incidence of POD in the sevoflurane control
11 group (13.6%) was much lower than the expected rate (30%). The lower-than-expected
12 incidence of POD in the sevoflurane group likely reflects our use of strict inclusion criteria;
13 patients were excluded for any pre-operative signs of delirium, moderate to severe depression,
14 or a poor functional mental state (MMSE score < 24). As a consequence, the patient
15 population in the study may have differed from the general elderly population that routinely
16 undergoes hip fracture surgery, in whom the incidence of POD is higher.^{13, 16} Indeed, it proved
17 difficult to recruit patients into the study because many patients who fulfilled the other
18 inclusion criteria failed to satisfy the mental state criteria. We estimate that less than 15% of
19 those screened were eligible for enrolment. Another contributing factor to the low incidence
20 of POD may have been the use of BIS technology to monitor the depth of anaesthesia; in a
21 recent meta-analysis, the incidence of POD was found to be lower with BIS-guided
22 anaesthesia than with BIS-blinded anaesthesia or clinical judgment.⁴⁰

23 The POD incidence in the xenon group was not 50% lower than in the sevoflurane
24 group as required by the power analysis, but only 33% lower. Despite this, an overall

1reduction of 33% in POD, if statistically significant, would still represent a clinically
2meaningful benefit, which future studies should consider. Nonetheless, the overestimations of
3both the POD-incidence rate and the effect size rendered the power of the study insufficient to
4detect significant differences between the two groups for the primary efficacy endpoint.
5Despite the low incidence of POD in the study, we were able to identify two patient factors
6that were significantly associated with POD across groups: being a current smoker and having
7a previously diagnosed mild neurologic disorder.^{13, 16, 41, 42}

8 The association of POD with the type of anaesthesia or anaesthetic agent used for
9surgery is unclear. There is some evidence that the incidence of POD may increase with the
10depth of anaesthesia, but regional anaesthesia was not found to be preventative, perhaps due
11to sedation in the regional anaesthesia group.^{19, 43} In a small pilot study in 42 patients who
12received either xenon or sevoflurane-based anaesthesia during cardiac surgery, the incidence
13of POD was significantly lower in the group that received xenon,²⁹ although these latter
14results were not confirmed in our hip fracture surgery patients, the potential benefits of xenon
15in cardiac surgery patients await confirmation in a larger clinical trial.⁴⁴

16 While xenon anaesthesia has previously demonstrated organoprotective properties and
17a superior haemodynamic profile compared to other anaesthetic agents,^{22, 24-26, 29, 45, 46} we could
18not confirm these effects in hip fracture surgery patients. Though patients in the xenon-group
19had a slightly lower overall SOFA score (which could be interpreted as a sign for a certain
20degree of organoprotection), this difference was of marginal clinical relevance. Likewise,
21there were no significant differences between the groups in patients with SAEs (P=0.05) or in
22patients with fatal SAEs (P=0.06), though the proportion of patients with SAEs graded as
23severe was significantly smaller in the xenon group (P=0.008).

24 The study has several strengths and limitations. Specific inclusion and exclusion
25criteria resulted in a well-defined study population that was similar for the prospective risk of

1developing POD across the treatment groups. The high temporal resolution consequent to the
2twice-daily CAM evaluations ensured that a high proportion of the POD episodes could be
3detected. The secondary efficacy endpoints and safety data facilitated assessment of the
4potential benefits of xenon anaesthesia on organoprotection and mortality. One limitation
5regarding mortality may be that 28-day follow-up results were available for only ~80% of the
6patients in each group. We used BIS technology to avoid variations in and excessively deep
7anaesthesia during surgery and to prevent depth of anaesthesia from becoming a confounding
8factor between treatment groups. BIS values were carefully monitored and mean values were
9consistently maintained and similar during surgery for both groups suggesting that similar
10levels of consciousness and exposure were obtained for these two different anaesthetics. A
11major limitation was the low overall incidence of POD, likely due to the restrictive exclusion
12criteria that eliminated many patients at high risk for developing POD, and may have been
13additionally reduced through our use of BIS to monitor the depth of anaesthesia.⁴⁰ It is also
14possible that some POD episodes were missed due to some inconsistencies in administration
15of the CAM across different staff and centres and by our use of the shortened, worksheet
16version of the CAM. Although the full 9-item CAM is recommended for maximum
17sensitivity, we considered the shorter CAM to be far more practical and reasonable for an
18international clinical trial employing twice-daily post-operative assessments. In addition, the
19four essential and validated criteria for determining delirium are included in the shortened
20CAM worksheet.^{33, 47} Finally, while some training is recommended for optimal use,⁴⁷ and our
21study personnel received extensive and specific training according the CAM training manual
22prior to the study, we cannot be certain that the CAM was administered consistently across all
23study centres. Indeed, training can be a factor in delirium recognition by the CAM.⁴⁸ One
24aspect of delirium not considered in the current study was severity. The CAM-S tool provides
25a revised delirium scoring system that allows assessment of delirium severity.⁴⁹ Investigators

1should bear these aspects in mind when designing clinical trials to investigate preventative
2measures for POD.

3**Conclusions**

4The incidence of POD in this study was not significantly lower with xenon anaesthesia than
5with sevoflurane anaesthesia. Our observations concerning postoperative SOFA-scores, SAEs,
6and mortality should be considered hypothesis-generating and warrant further study to assess
7the potential benefits of xenon anaesthesia in elderly hip-fracture surgery patients.

1Declaration of interests

2The institutions of MC, SR, BG, JAC, MLGP, AS, PK, MN, MSS, BB, HvO, AT, LA, LE,
3OL, XC, GMA, and RR received grant funds and/or patient inclusion fees from Air Liquide
4Santé International to conduct the study. MC, RDS, MM, AS, and RR received consulting fees
5and/or travel funds from Air Liquide Santé International. MC received grants, consulting fees,
6and travel funds from Baxter Healthcare and grants from German Research Foundation
7outside the submitted work. SR received unrestricted grants from Air Liquide Santé
8International and Air Liquide Belgium and speaking fees from Orion Pharma. MM is a co-
9founder of NeuroproteXeon that seeks to develop xenon for protection against acute ongoing
10neurological injury and could receive royalties from sales of xenon as a neuroprotective agent.
11MLNP was a full-time employee of Air Liquide Santé International during the study. MS is
12currently a full-time employee of Air Liquide Santé International.

13Funding

14The study was sponsored by Air Liquide Santé International, France

15Contributors

16A writing committee (MC, RDS, MM, SR, and RR) and a sponsor representative (MLNP)
17interpreted the results, prepared and reviewed the manuscript, and made the decision to
18submit it for publication. The writing committee had full access to all study data and final
19responsibility for the integrity and accuracy of the analyses. A steering committee of academic
20medical experts (MC, RDS, MM, and RR) and a sponsor representative (MLNP) oversaw the
21design and conduct of the study. The study sponsor participated in study design, data
22collection, data analysis according to a predefined statistical analysis plan, and data
23interpretation. All co-authors except RDS and MM acquired data. All authors reviewed the
24manuscript for important intellectual content and approved the final draft of the manuscript

1and the decision to submit it for publication. Members of the steering committee (MC, RDS,
2MM, and RR) are the guarantors.

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7

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22

1Figure Legend.

2Figure 1. Patient disposition.

3Among the over 2000 patients who were screened for enrolment in the HIPELD study, 268
4were enrolled. Records were not kept for patients not enrolled, but most of these patients
5failed to meet the MMSE score criterion. Of the enrolled patients, 260 patients were
6eventually randomised and 257 were treated and followed for safety. One non-randomised
7patient was treated with xenon anaesthesia and included in the safety population but was not
8included in any other analyses and did not complete the study (*). Of the 124 randomised
9patients treated with xenon, 118 participated in the study according to protocol and 110
10completed the study. Of the 132 randomised patients treated with sevoflurane, 124
11participated in the study according to protocol and 120 completed the study. Most patients
12excluded from the per-protocol analyses had multiple missing CAM evaluations (9 patients).

13

14.

1 Tables

2Table 1. Patient demographics and characteristics at selection.

Patient characteristics	Xenon (N=124)	Sevoflurane (N=132)
Men, n (%) ^a	34 (27.4)	29 (22.0)
Women, n (%)	90 (72.6)	103 (78.0)
Age, years		
Mean (SD)	83.8 (5.1)	84.4 (4.6)
Range	75.1–98.5	75.5–95.4
Body mass index, mean kg/m ² (SD)	23.7 (3.8)	24.2 (4.3)
Type of hip fracture, n (%)		
Displaced femoral neck	50 (40.3)	52 (39.4)
Non-displaced or impacted femoral neck	31 (25.0)	26 (19.7)
Stable intertrochanteric fracture	15 (12.1)	20 (15.2)
Unstable intertrochanteric fracture	13 (10.5)	17 (12.9)
Other hip fracture	15 (12.1)	17 (12.9)
Smoking history, n (%)		
Never smoked	92 (75.4)	109 (83.2)
Ex-smoker	19 (15.6)	14 (10.7)
Current smoker	11 (9.0)	8 (6.1)
Alcohol consumption, n (%)		
Never	86 (70.5%)	92 (70.8%)
Occasionally	29 (23.8%)	36 (27.7%)
Regularly	7 (5.7%)	2 (1.5%)
ASA status, n (%)		
ASA I	5 (4.2)	7 (5.5)
ASA II	74 (61.7)	75 (58.6)
ASA III	41 (34.2)	46 (35.9)
ASA IV	0 (0.0)	0 (0.0)
Pain/VAS, mean mm (SD)	38 (25)	36 (23)
Total MMSE score, mean (SD)	27.1 (1.8)	27.1 (1.7)
Delirium diagnosis by CAM, n (%)		
Yes	0 (0)	0 (0)
No	122 (100)	131 (100)
Missing	2	1
Total SOFA score, mean (SD) ^b	0.61 (0.95)	0.69 (1.03)
Concomitant diseases, n (%)		
At least one concomitant disease	120 (96.8)	125 (94.7)
Hypertension	89 (71.8)	92 (69.7)
Dyslipidaemia	19 (15.3)	14 (10.6)
Diabetes mellitus	10 (8.1)	18 (13.6)
Hypercholesterolemia	12 (9.7)	14 (10.6)

Type 2 diabetes mellitus	11 (8.9)	15 (11.4)
Cardiac disorders	42 (33.9)	46 (34.8)
Musculoskeletal/connective tissue disorders	32 (25.8)	26 (19.7)
Renal/urinary disorders	23 (18.5)	29 (22.0)
Gastrointestinal disorders	26 (21.0)	25 (18.9)
Nervous system disorders	19 (15.3)	20 (15.2)
Psychiatric disorders	20 (16.1)	15 (11.4)
Respiratory/thoracic/mediastinal disorders	19 (15.3)	16 (12.1)
Eye disorders	14 (11.3)	13 (9.8)

1ASA, American Society of Anesthesiologists; CAM, Confusion Assessment Method; MMSE,

2mini mental state examination; n, number of patients with the characteristic or for which

3results are available; N, number of patients in the group; SD, standard deviation; SOFA,

4sequential organ failure assessment; VAS, visual analogue scale.

5^aPercentages are calculated for patients without missing data, which included >95% of the

6patients in each group, except where noted otherwise.

7^bMean total scores calculated for 85 patients in the xenon group and 72 patients in the

8sevoflurane group without missing values.

Table 2. Intra-operative and post-operative characteristics of hip fracture surgeries.

Characteristic	Xenon (N=124)	Sevoflurane (N=132)	P value
Type of hip fracture surgery performed, n (%)			
Hemi-arthroplasty of the hip	31 (25.0)	23 (17.4)	
Total hip replacement: cemented	21 (16.9)	19 (14.4)	
Dynamic hip screw	12 (9.7)	12 (9.1)	
Total hip replacement: non-cemented	4 (3.2)	3 (2.3)	
Other	56 (45.2)	75 (56.8)	
Mean time interval between hip fracture and surgery, hours (SD)	47.9 (40.1)	37.4 (27.4)	
Duration of anaesthesia, minutes (SD)			
Mean duration of induction	21.6 (14.1)	20.5 (12.8)	
Mean duration of maintenance	105.2 (47.9)	89.9 (37.7)	
Mean total duration	125.8 (50.9)	109.3 (38.7)	
Mean duration of surgery, minutes (SD)	72.4 (39.1)	62.0 (31.1)	
Anaesthesia recovery parameters			
Mean time to Aldrete score of ≥ 9 , hours (SD)	0.70 (1.20)	0.72 (0.72)	0.22 ^a
Median time to open eyes, minutes (range)	4.0 (0–363) ^b	8.0 (0–33)	<0.001 ^c
Median time to react on verbal command, minutes (range)	5.0 (0–363) ^b	8.5 (1–33)	<0.001 ^c
Median time to extubation, minutes (range)	5.4 (0–373) ^b	9.1 (1–35)	<0.001 ^c
Hospitalization			
Mean time to discharge, days (SD)	10.8 (5.2)	11.4 (6.2)	0.53 ^b
Patients discharged within 30 days, n	120	125	
Patients not discharged within 30 days, n	4	2	
Patients who died, n	0	5	

n, number of patients with the characteristic; N, number of patients in the group; SD, standard deviation.

^aTreatment groups compared using the log-rank test.

^bOne patient in the xenon group had an extraordinarily long recovery time of 363 minutes. No

other patient in either group had a recovery time longer than 33 minutes.

^cTreatment groups compared using the Wilcoxon rank sum test for quantitative variables.

Table 3. Incidence and characteristics of POD episodes in hip-fracture surgery patients.

Metric	Xenon (N=124)	Sevoflurane (N=132)	P value^a
At least one POD episode by post-surgery day 4, n (%) [95% CI] – intention-to-treat	12 (9.7) [4.5–14.9%]	18 (13.6) [7.8–19.5%]	0.33
At least one POD episode by post-surgery day 4, n (%) [95% CI] – per-protocol ^b	12 (10.2) [4.7–15.6%]	17 (13.7) [7.7–19.8%]	0.40
At least one POD episode on post-surgery day 5 or later, n (%) [95% CI]	5 (4.0) [0.6–7.5%]	8 (6.1) [2.0–10.1%]	0.46
At least one POD episode during the study, n (%) [95% CI]	14 (11.3) [5.7–16.9%]	19 (14.4) [8.4–20.4%]	
Number of POD episodes, n (%)			
0	110 (88.7)	113 (85.6)	
1	8 (6.5)	8 (6.1)	
2	3 (2.4)	5 (3.8)	
≥3	3 (2.4)	6 (4.5)	
Mean time to first POD episode within post-surgery day 4, hours (SD)	28.9 (34.3)	24.4 (25.8)	
Duration of <i>first</i> POD episode within post-surgery day 4			
Episodes, n	12	18	
Mean duration, days (SD)	0.87 (0.96)	0.91 (0.80)	
0.5 day, n (%)	9 (75.0)	10 (55.6)	
1–2 days, n (%)	2 (16.7)	7 (38.9)	
3–4 days, n (%)	1 (8.3)	1 (5.6)	

²Results shown for all randomised, treated patients (intention-to-treat population). All POD

³episodes diagnosed by CAM. CAM, Confusion Assessment Method; CI, confidence interval

⁴for percentage of patients with a POD episode of the type described; n, number of patients

⁵with the characteristic or number of episodes; N, number of patients in treatment group;

⁶POD, post-operative delirium.

^{7a}Treatment groups compared by Pearsons’s X^2 test.

^{8b}Per-protocol population: xenon (N=118); sevoflurane (N=124).

1Table 4. Safety summary.

	Xenon		Sevoflurane		P value
	(N=125)		(N=132)		
	Patients		Patients		
	with at least one, n (%)	Total AEs, n	with at least one, n (%)	Total AEs, n	
AEs	114 (91.2)	495	125 (94.7)	573	0.27 ^a
Severe	13 (10.4)	19	22 (16.7)	50	0.14 ^a
Treatment-emergent	114 (91.2)	457	123 (93.2)	540	0.55 ^a
Severe	12 (9.6)	18	21 (15.9)	49	0.13 ^a
Considered to be related to study treatment	65 (52.0)	150	62 (47.0)	157	0.42 ^a
Most common AEs (>20% of patients)					
Anaemia	45 (36.0)	--	60 (45.5)	--	ND
Hypotension	44 (35.2)	--	53 (40.2)	--	ND
Elevated CRP	29 (23.2)	--	25 (18.9)	--	ND
Gastrointestinal disorders	36 (28.8)	--	34 (25.8)	--	ND
SAEs	10 (8.0)	22	21 (15.9)	45	0.05 ^a
Treatment-emergent	10 (8.0)	22	21 (15.9)	45	0.05 ^a
Severe	4 (3.2)	6	16 (12.1)	30	0.008 ^a
Considered to be related to study treatment	1 (0.8)	1	5 (3.8)	8	0.21 ^c
Most common SAEs (> 2% of patients)					
Pneumonia	0 (0)	--	4 (3.0)	--	ND
Acute myocardial infarction	1 (0.8)	--	3 (2.3)	--	ND
Respiratory failure	0 (0)	--	3 (2.3)	--	ND
SAE outcomes					
Ongoing	1 (0.8)	1	3 (2.3)	3	0.62 ^b
Recovered	9 (7.2)	19	13 (9.8)	26	0.45 ^a
Recovering	1 (0.8)	2	3 (2.3)	4	0.62 ^b
Recovered with sequelae	0 (0.0)	0	2 (1.5)	2	0.50 ^b
Death	0 (0.0)	0	5 (3.8)	9	0.06 ^b
Unknown	0 (0.0)	0	1 (0.8)	1	1.00 ^b

2Results shown for all treated patients (Safety set). AE, adverse event; CRP, C-reactive

3protein; n, number of patients with the specified category or type of AE; N, number of

4patients in the group; ND, not determined; SAE, serious adverse event.

5^aX² test for patients with at least one specified AE.

6^bFisher's exact test for patients with at least one specified AE.