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ABSTRACT

Using epitaxial stabilization, we synthesized single-phase (001)-oriented thin films of DyFe₂O_{4+x} on (111) MgAl₂O₄ substrates by molecular-beam epitaxy. The metastable DyFe₂O₄ polymorph formed is isostructural to known trigonal ferrimagnetic RFe₂O₄ phases with space group $R\bar{3}m$, where $R = \text{Ho to Lu}$. The epitaxial DyFe₂O₄ thin films have two in-plane orientation relationships: [100] DyFe₂O₄ || $[\bar{2}\bar{1}\bar{1}]$ MgAl₂O₄ plus a twin variant related by a 60° in-plane rotation. DyFe₂O₄ is not bulk stable and has never been synthesized before. Indeed, it has been predicted to be on the edge energetically of what may be possible to stabilize. The fact that the RFe₂O₄ phase is stable for all elements leading up to dysprosium (Ho–Lu) leads us to believe that DyFe₂O₄ could be a “remnant metastable phase,” one which, given the right thermodynamic conditions, could become the lowest free energy phase. We find that although we are able to get structurally very close to $R\bar{3}m$ DyFe₂O₄, the films are not stoichiometric as they have an increased c lattice parameter, indicative of extra oxygen as is sometimes seen in other RFe₂O₄ phases. The unintended surplus oxygen opens questions regarding what may be achievable using such tricks as epitaxial stabilization to access metastable phases and whether this indeed constitutes “remnant metastability.”

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INTRODUCTION

In the quest for new functional materials, trigonal and hexagonal oxides are relatively unexplored and show great promise as there are many unexplored phases with similar lattice parameters that could be combined epitaxially to form new heterostructures. Trigonal and hexagonal rare-earth ferrites are of particular interest because both high-temperature ferrimagnetism and ferroelectricity are exhibited in this class of materials, making them potentially relevant to technology. An exciting new method of creating multiferroic materials by combining rare-earth ferrites into superlattices has

been demonstrated using h -LuFeO₃ for its geometric ferroelectric properties and LuFe₂O₄ for its ferrimagnetism.¹ We believe exploring the phase space of hexagonal and trigonal oxides could lead to interesting new material discoveries.

The trigonal RFe₂O₄ phase with space group $R\bar{3}m$ can be formed in bulk with R rare earths ranging on the periodic table from holmium to lutetium (as well as Y, Sc, and In).^{2–7} The phase has a layered structure along the c axis with one layer of rare-earth atoms in octahedral oxygen coordination followed by two layers of iron atoms oxygen coordinated in trigonal bi-pyramids, as shown in Fig. 1(a). The irons are in a low oxygenated state with an equal

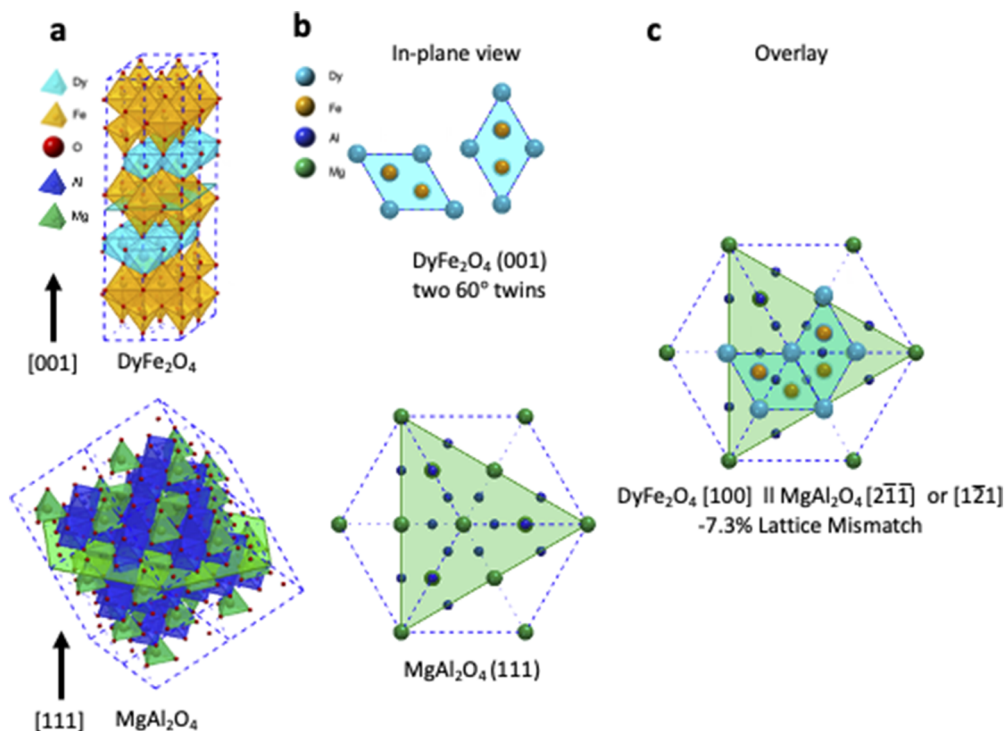


FIG. 1. Crystal structures and epitaxial orientation relationship between DyFe_2O_4 and MgAl_2O_4 . (a) Crystal structures of DyFe_2O_4 in the $R\bar{3}m$ phase and MgAl_2O_4 in the spinel $Fd\bar{3}m$ phase. Oxygen coordination polyhedra are shown for all of the cations. Planes corresponding to the orientation of the surface of the substrate and epitaxial film that grows upon it have been added to the center of the structures in teal and green. (b) Top views of the planes shown in (a) of the structure of the film and substrate. Shown here are two in-plane 60° rotated twins of (001) DyFe_2O_4 and the in-plane view of the (111) MgAl_2O_4 substrate. (c) Overlay showing the epitaxial match of the substrate and film, resulting in a -7.3% lattice mismatch for both twins.

mix of $2+$ and $3+$ valences. The spins on the iron atoms in the trigonal plane result in a frustrated ferrimagnet with a net moment along the c axis and a transition temperature around $220\text{--}250\text{ K}$.^{2,8–11} The $R\text{Fe}_2\text{O}_4$ phase is more stable for rare earths with smaller atomic radii, and LuFe_2O_4 is believed to be the most stable^{4,5} as lutetium has the smallest ionic radius of the rare-earth lanthanides. It is thought that dysprosium is too big to accommodate both the octahedral coordination of the dysprosium atoms and the trigonal bipyramid coordination of the iron atoms to form a DyFe_2O_4 phase that is isostructural with the known trigonal $R\text{Fe}_2\text{O}_4$ phases.^{4,5} The ionic radius of dysprosium $3+$ in octahedral coordination is 0.912 \AA , which is significantly larger than holmium at 0.901 \AA ,¹² the largest ion to form $R\text{Fe}_2\text{O}_4$ in bulk. DyFe_2O_4 has never been synthesized before and is not bulk stable. Indeed, the only known ternary phases in the Dy-Fe-O system are DyFeO_3 and $\text{Dy}_3\text{Fe}_5\text{O}_{12}$.^{3–5,13,14} Dysprosium has been substituted into the phase by doping YFe_2O_4 to form $\text{Y}_{0.95}\text{Dy}_{0.05}\text{Fe}_2\text{O}_4$, which was shown to increase the ferrimagnetic transition temperature from 230 K to around 270 K .¹⁵

Two key questions related to the quest of synthesizing DyFe_2O_4 are (1) how metastable is DyFe_2O_4 and (2) what reaction pathway might lead to its realization. According to the Materials Project,¹⁶ the enthalpy of DyFe_2O_4 (mp-756971) at $T = 0$ and $P = 0$ lies 104.92 meV/atom above the enthalpy of a mixture of stable phases

(i.e., 104.92 meV/atom above the convex hull of thermodynamic stability at $T = 0$ and $P = 0$). For comparison, a recent analysis of over 5500 metastable oxides in the Materials Project concluded that the median enthalpy above the convex hull of those phases that have been experimentally realized is 15 meV/atom and that the 90th percentile of enthalpies above the convex hull of oxides that have been synthesized is 62 meV/atom .¹⁷ The calculated metastability of 106 meV/atom thus puts DyFe_2O_4 at the high end of what might be achievable and makes it an interesting example to see if epitaxial stabilization^{18–23} can be used to make it following the “principle of remnant metastability” for what metastable phases can be synthesized.¹⁷ Interestingly, the other $R\text{Fe}_2\text{O}_4$ phases (which are bulk stable) also have similarly high calculated enthalpies above the complex hull, as demonstrated in Fig. 2, along with values for the structurally similar $P6_3cm$ phase.^{16,24} Most of the other $R\text{Fe}_2\text{O}_4$ are only calculated to be slightly more stable than DyFe_2O_4 with ErFe_2O_4 at 100.78 meV/atom , TmFe_2O_4 at 100.26 meV/atom , and LuFe_2O_4 at 101.70 meV/atom .

Epitaxial stabilization is a powerful method for the synthesis of metastable oxides.²³ It has been used to extend the range of rare earths that can be synthesized with hexagonal RMnO_3 and RFeO_3 structures; these structures are quite similar to the DyFe_2O_4 phase that are the subject of this study. This extension beyond the stable and metastable phases that have been achieved by bulk methods

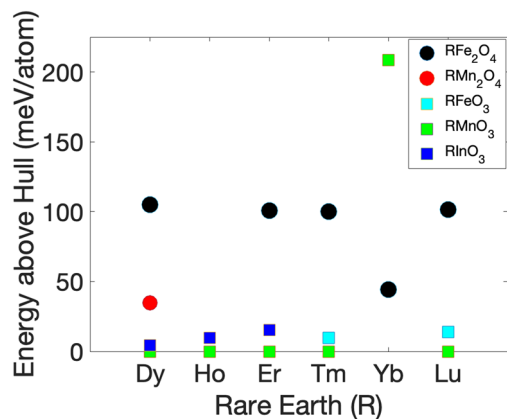


FIG. 2. Values calculated by the Materials Project (Refs. 16 and 24) for the energy per atom above the convex hull of thermodynamic stability at $T = 0$ and $P = 0$ for rare earth $R\bar{3}m$ (circles) and $P6_3cm$ (squares) phases.

is via two approaches: (i) epitaxial growth of $RMnO_3$ and $RFeO_3$ thin films on commercially available substrates with structural similarities, but not isostructural, and (ii) growth on single crystals of isostructural compounds. The former approach enabled the growth of metastable hexagonal $RMnO_3$ with $R = Sm$ to Gd ^{25,26} as well as metastable hexagonal $RFeO_3$ with $R = Eu$ to Lu ²⁷ and Sc .^{28,29} The latter approach enabled thicker films of hexagonal $RMnO_3$ with $R = Sm$ to Gd to be grown.³⁰ None of the aforementioned metastable compounds have been synthesized by bulk techniques. Nonetheless, hexagonal $RInO_3$ with $R = Eu$ to Ho and Y ³¹ is bulk stable with dysprosium forming as $DyInO_3$ with similar coordination, as in these hexagonal phases as well as $DyFe_2O_4$.

Motivated by the prior success of epitaxial stabilization to achieve new hexagonal $RMnO_3$ and $RFeO_3$ phases,^{25–28} we apply this method and are able to synthesize hexagonal $DyFe_2O_4$ by oxide molecular-beam epitaxy (MBE). The films are shown to be epitaxial and single-phase. Although our approach is to grow $DyFe_2O_4$ on a commercially available substrate with structural similarities, but not isostructural, interestingly, a single monolayer of hexagonal $DyFeO_3$ is seen to form between the commercially available substrate and the overlying $DyFe_2O_4$ film.

EXPERIMENT

One challenge when growing thin films of trigonal oxides is substrate selection as there are a limited number of commercially available substrates that are chemically and structurally compatible. For trigonal oxides, hexagonal substrates or the (111) face of cubic substrates can be used. Consideration also had to be taken into account for what substrates could act as an oxygen source, e.g., $SrTiO_3$ ^{32–35} and YSZ ^{36,37} are notorious, as the iron in $DyFe_2O_4$ is in an $Fe^{2.5+}$ oxidation state. A (111) $MgAl_2O_4$ substrate was selected to grow (001)-oriented $DyFe_2O_4$ because of these criteria as well as previous success synthesizing epitaxial $LuFe_2O_4$.¹¹ Unfortunately, the lattice mismatch is quite large at about -7.3% with the $DyFe_2O_4$ [100] aligned along $MgAl_2O_4$ $[2\bar{1}\bar{1}]$, as shown in Fig. 1(c). The large mismatch suggests the film will relax right

away by the introduction of dislocations and minimal homogeneous strain will be retained in the film. The aforementioned lattice mismatch, $\frac{a_{sub}-a_{film}}{a_{film}}$,³⁸ where a_{sub} is the relaxed lattice constant of the substrate and a_{film} is the relaxed lattice constant of the film, was estimated in two ways. The first way involved measuring the a lattice parameter of the epitaxial $DyFe_2O_4$ films by x-ray diffraction. The observed result differed greatly ($>7\%$) from the underlying substrate, consistent with the film relaxing immediately. The second method involved an extrapolation of the trend in the a lattice parameter of the known RFe_2O_4 phases. Both methods agreed within 0.1%.

Thin films of $DyFe_2O_4$ were grown by MBE in a Veeco GEN ten chamber. Previous work showed that other RFe_2O_4 phases including $InFe_2O_4$ and $LuFe_2O_4$ could be grown by adsorption control with the indium oxides and iron oxides acting as the volatile species, respectively.^{11,39} Here, we use shuttering to assist in the deposition after the “growth window”—the range of oxygen pressure and substrate temperature within which $DyFe_2O_4$ formed by adsorption-controlled growth—was determined. The best conditions were found to be at a substrate temperature around $775^\circ C$, an oxygen background partial pressure around 8×10^{-8} Torr, and a growth rate of about $3.5 \text{ \AA}/\text{min}$. The substrate temperature was measured by an optical pyrometer with a measurement wavelength of 980 nm focused on a platinum layer deposited on the backside of the substrate. The background oxygen partial pressure was determined by a residual gas analyzer located at the wall of the chamber. Similar to the growth of $LuFe_2O_4$,¹¹ it was found that when the oxygen pressure was too low or the substrate temperature too high, precipitates of FeO would form, while if the oxygen was too high or the substrate temperature too low, precipitates of Fe_3O_4 would form. These extra phases could be observed as extraneous spots using reflection high-energy electron diffraction (RHEED) during growth. An example of a RHEED pattern taken during the growth of a phase-pure $DyFe_2O_4$ film is shown in Fig. 3(a). After growth, the films were cooled to $250^\circ C$ in the same oxygen pressure as used during film growth. If the oxygen was turned off at higher temperature, precipitates of $Fe-O$ compounds could be seen to form by RHEED. Once the optimal substrate temperature, oxygen background partial pressure, and growth rate were established, the shutter time for dysprosium was adjusted to precisely correspond to one monolayer to decrease the amount of $h-DyFeO_3$ that formed. The unwanted $h-DyFeO_3$ impurity phase could be detected by x-ray diffraction by both distinct x-ray peaks at the expected position for $h-DyFeO_3$ when a large amount was formed or by a broadening of the $DyFe_2O_4$ peak and shifting toward higher 2θ when a smaller amount was formed, which we expect was due to syntactic intergrowths in which some of the $Fe-O$ bilayers of $DyFe_2O_4$ were replaced by the $Fe-O$ monolayers of $h-DyFeO_3$. Additionally, as has been previously seen in adsorption controlled growth of $LuFe_2O_4$,¹¹ excess iron above the 1:2 ($R:Fe$) stoichiometric ratio is needed during deposition to create a stoichiometric film. In $DyFe_2O_4$, a ratio of $\sim 1:2.5$ was found to be ideal. This is significantly different from the adsorption-controlled growth of $LuFe_2O_4$ where a ratio of 1:4 was used,¹¹ although in $DyFe_2O_4$, we are also using shuttering to supply the atomic fluxes separately. If less iron than this $\sim 1:2.5$ ratio was added, more $DyFeO_3$ would grow; however, if more iron was added, intergrowths of other iron oxide phases such as Fe_3O_4 were seen to form unlike during the deposition of $LuFe_2O_4$.

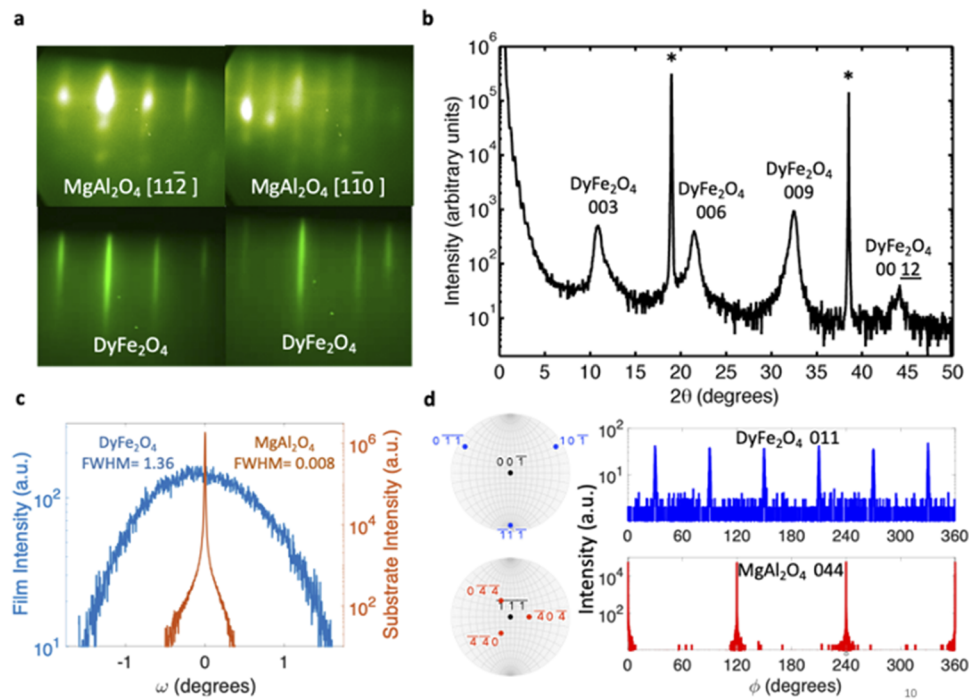


FIG. 3. RHEED and XRD on a 30 nm thick DyFe_2O_4 film. (a) RHEED of the bare (111) MgAl_2O_4 substrate before growth and of the epitaxial film at the end of the growth. (b) θ - 2θ XRD scan. (c) ω rocking curves of the substrate 111 peak (indicated by * in the θ - 2θ scan) and the DyFe_2O_4 003 peak. (d) Stereographic projections and ϕ scans of the substrate 044 and DyFe_2O_4 011 peaks showing the in-plane alignment and 60° in-plane rotational twinning of the DyFe_2O_4 film. $\phi = 0$ corresponds to the in-plane component of the diffraction vector aligned parallel to the [2-1-1] direction of the (111) MgAl_2O_4 substrate.

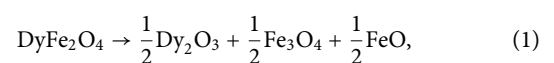
RESULTS

The films were grown to a total thickness of ~ 30 nm. A few attempts were made to grow significantly thicker films (~ 50 nm) using similar growth conditions, but phase-pure films (by XRD) of DyFe_2O_4 could not be stabilized. This suggests that the considerable metastability of DyFe_2O_4 significantly limits the thickness of films that can be grown. As shown in Fig. 3(b), 30 nm thick films of DyFe_2O_4 could be synthesized with no impurity phases detectable by XRD. Nonetheless, the Bragg peaks of DyFe_2O_4 in the θ - 2θ scan do appear asymmetric, which could indicate the presence of DyFeO_3 intergrowths,^{40,41} or this could be caused by other inhomogeneous disruptions to the structure such as variation in oxygenation. As a consequence of the large lattice mismatch between the substrate and film, the film relaxes right away, which is reflected in a large full width at half maximum (FWHM) of 1.36° of the ω rocking curve of the 003 peak of a 30 nm thick film [Fig. 3(c)]. ϕ scans revealed that although the films are aligned out of plane, the in-plane films contain 60° (which is symmetrically equivalent to 180°) rotation twins, resulting in the doubling of the number of expected peaks in the ϕ scan [Fig. 3(d)] compared to what an untwinned single crystal would show. One of the twins does align with DyFe_2O_4 [100] parallel to MgAl_2O_4 [211] as expected, with the other twin being 60° rotated with DyFe_2O_4 [100] along MgAl_2O_4 [121], as in Fig. 1(c).

Scanning transmission electron microscopy (STEM) images further elucidate the microstructure of the non-bulk stable ordered

DyFe_2O_4 phase that has formed. After growth, the air-exposed DyFe_2O_4 sample was first coated with a carbon layer to protect it during the focused-ion beam specimen preparation process. Figure 4 displays two STEM images showing the clear formation of the epitaxial structure resulting in bright dysprosium planes between double layers of Fe-O planes as expected for this phase. On the wider view STEM image in Fig. 4(b), we see that the majority of the film is in the DyFe_2O_4 phase although there is a small amount of h - DyFeO_3 forming near the interfaces. The structure of h - DyFeO_3 is very similar to DyFe_2O_4 but has only one Fe-O layer between puckered DyO_2 layers instead of the two Fe-O layers of DyFe_2O_4 . This structural similarity allows for epitaxial integration (or syntactic intergrowths) without disrupting the rest of the DyFe_2O_4 film.

Hexagonal DyFeO_3 (h - DyFeO_3) is itself not bulk stable. The stable polymorph of DyFeO_3 is an orthorhombic perovskite structure. Nevertheless, h - DyFeO_3 has been epitaxially stabilized before^{42,43} and appears to be a strong competitor in this system as films were observed to preferentially form in a mixture of DyFe_2O_4 and h - DyFeO_3 if there was any excess dysprosium or higher levels of oxygen. Rather than considering the metastability vs the convex hull of bulk stable phases, i.e.,



we see that what becomes relevant in this thin film system involving the substrate interface is the convex hull involving decomposition

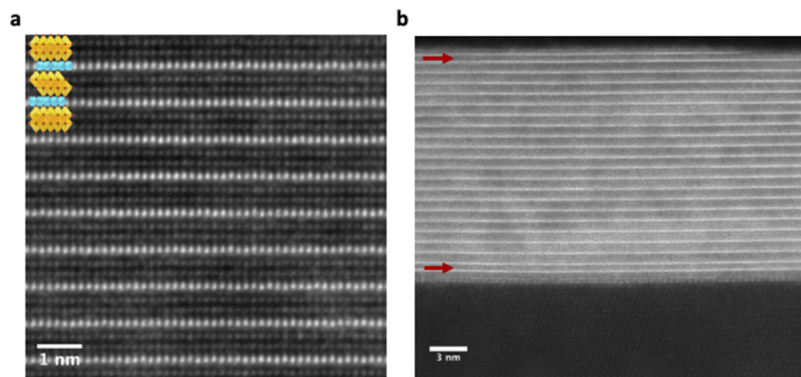


FIG. 4. Cross-sectional STEM images of a DyFe_2O_4 film. (a) Atomic resolution image of the DyFe_2O_4 film with an overlay of the atomic structure. (b) Wider field image of the film and substrate. Red arrows show the locations of single layers of Fe–O, corresponding to h - DyFeO_3 formation near the interfaces. Both images are aligned with MgAl_2O_4 $[\bar{1}\bar{1}0]$ and DyFe_2O_4 $[120]$ pointing out of the page and MgAl_2O_4 $[111]$ and DyFe_2O_4 $[001]$ pointing up.

into epitaxially stabilized phases, i.e.,



Usually, the Fe_3O_4 on the right-hand side of Eq. (2) is not incorporated into the film due to the adsorption-controlled growth regime utilized for film synthesis. Nonetheless, if the oxygen is increased above the adsorption-controlled window, precipitates of Fe_3O_4 are seen to form in the film. In the similar system of LuFe_2O_4 , although phase-pure LuFe_2O_4 was able to be deposited by MBE,¹¹ other groups could only find growth regimes where a mixture of LuFe_2O_4 and h - LuFeO_3 would form.⁴⁴ The a lattice parameter of h - DyFeO_3 is effectively smaller⁴² than that of DyFe_2O_4 and should therefore have a smaller misfit strain with the MgAl_2O_4 substrate, which could be encouraging its growth as the first interfacial layer. Even though the growth conditions were optimized for DyFe_2O_4 within the film, the fact that we see single layers of h - DyFeO_3 along both interfaces of an otherwise pure DyFe_2O_4 film indicates the thermodynamic stability regime near the interfaces, due to strain or surface adsorption, could be less favorable for DyFe_2O_4 formation and the single layers of h - DyFeO_3 could be helping to facilitate DyFe_2O_4 formation throughout the rest of the film.

The lattice parameters of the DyFe_2O_4 films were calculated from x-ray diffraction and yield $a = 3.540 \pm 0.007$ Å and $c = 24.90 \pm 0.06$ Å. The c lattice parameter was calculated using Nelson–Riley plots⁴⁵ of the first four $003l$ film peaks and then averaged over five films. The a lattice parameter was then calculated using the c lattice parameter found for a particular film and the location of the off-axis 011 peak of that same film and then averaged over four films. When these values are compared to the trends of the other rare earths in the RFe_2O_4 phase⁶ (Fig. 5), we find that the a lattice parameter of our films is as expected and falls nicely on the linear trend line with minimal error, but the c lattice parameter is larger than what is expected from the trend (24.71 Å) and is instead similar to what is found in HoFe_2O_4 or ErFe_2O_4 . It was also seen that when x is increased in $\text{LuFe}_2\text{O}_{4+x}$, the distance between rare-earth planes also increases,⁴⁶ leading to an increase in the c lattice parameter as we also see in our DyFe_2O_4 films. In addition, when dysprosium was doped into $\text{Y}_{1-t}\text{Dy}_t\text{Fe}_2\text{O}_{4+x}$, it was noted that as the amount of dysprosium was increased (t), the amount of excess oxygen (x) also increased, and stoichiometric samples were not able to be synthesized for $t > 0.05$.¹⁵ It thus appears that we are also likely seeing this effect

of over oxygenation in our DyFe_2O_4 films. This leads us to conclude that although it is possible to incorporate dysprosium into the non-bulk stable structure of DyFe_2O_4 , the larger ionic radius of dysprosium in this metastable structure leads to non-stoichiometry due to over oxygenation: $\text{DyFe}_2\text{O}_{4+x}$.

Although unintended, the ability of oxygen non-stoichiometry to lower the formation energy of the metastable phase we targeted is not unexpected. Oxygen excess or oxygen vacancies often arise when thin films containing multivalent species are strained as a way for the system to lower its overall energy.⁴⁷ For example, oxygen vacancies are induced and order in epitaxial $\text{La}_{0.5}\text{Sr}_{0.5}\text{CoO}_3$ films in response to substrate-imposed strains.⁴⁸ The oxygen content of $(\text{La}, \text{Sr})_2\text{CuO}_{4+\delta}$ films can be modulated by substrate-imposed strain in combination with the oxygen activity in the growth or annealing environment.⁴⁹ Even in bulk, the ability of the oxygen content of a material to change in response to stress—an effect known as chemical expansion⁴⁹—is also common in multivalent systems.⁵⁰ In the present case, $\text{DyFe}_2\text{O}_{4+x}$, the oxidation state of the iron is between 2+ and 3+. This flexibility of the iron oxidation state in combination with the stress-free boundary condition of thin films in the out-of-plane direction provides an opportunity for the system to reduce its free energy.

The RFe_2O_4 phase⁴⁶ as well as the similar RMnO_3 phase^{51,52} have both been suggested as promising materials for oxygen storage

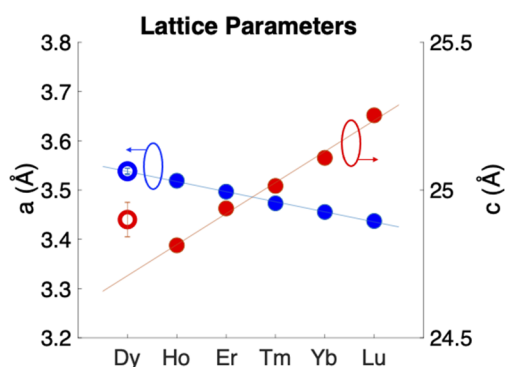


FIG. 5. Lattice parameters of the rare earths in the RFe_2O_4 phase. c lattice parameters are in red, and a lattice parameters are in blue. The values for DyFe_2O_4 are from this work and include error bars for 95% confidence intervals.

because of their ability to accommodate large amounts of excess oxygen and cycle it through their structures reversibly. As $\text{DyFe}_2\text{O}_{4+x}$ appears to show an affinity for excess oxygen as the most stable state, it may play an interesting role in helping to understand the basic science behind these phases' unique oxygen accommodating properties.

In conclusion, using MBE, the non-bulk stable phase of (001) DyFe_2O_4 was synthesized by epitaxial stabilization on (111) MgAl_2O_4 substrates. Although a new phase was synthesized, it appears to contain significant point defect densities; the increased c lattice parameter leads us to believe an increase in oxygenation is unavoidable in this rather metastable structure when synthesized by these methods. The unique abilities of MBE to use epitaxial stabilization in combination with a very tunable growth window (precise control of atomic flux, temperature, and background oxygen pressure) allowed us to find a growth regime where the barrier to formation for RFe_2O_4 was low enough that with just one last unintentional thermodynamic tuning parameter, oxygen stoichiometry, the phase was stabilized. It is possible that with a better matched substrate, a thick h - DyFeO_3 buffer layer, or precise annealing, this last imperfection could be eliminated and a stoichiometric DyFe_2O_4 revealed. If over oxygenation persists in this compound, it may open questions about what is truly accessible for other possible remnant metastable phases, as well as provide insight into the oxygen storage capacity of RFe_2O_4 compounds.

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DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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