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# BioMove – Improvement and Parameterization of a Hybrid Model for the Assessment of Climate Change Impacts on the Vegetation of California

Prepared For:

California Energy Commission

Public Interest Energy Research Program

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PIER FINAL PROJECT REPORT



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## **Preface**

The Public Interest Energy Research (PIER) Program supports public interest energy research and development that will help improve the quality of life in California by bringing environmentally safe, affordable, and reliable energy services and products to the marketplace.

The PIER Program, managed by the California Energy Commission (Energy Commission), conducts public interest research, development, and demonstration (RD&D) projects to benefit California.

The PIER Program strives to conduct the most promising public interest energy research by partnering with RD&D entities, including individuals, businesses, utilities, and public or private research institutions.

PIER funding efforts are focused on the following RD&D program areas:

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- Environmentally Preferred Advanced Generation
- Industrial/Agricultural/Water End ☐ Use Energy Efficiency
- Renewable Energy Technologies
- Transportation

BioMove – Improvement and Parameterization of a Hybrid Model for the Assessment of Climate Change Impacts on the Vegetation of California is the final report for the project (contract number 500-02-004), conducted by the University of California, Santa Barbara. The information from this project contributes to PIER's Energy-Related Environmental Research Program.

For more information about the PIER Program, please visit the Energy Commission's website at  $\underline{www.energy.ca.gov/pier}$  or contact the Energy Commission at  $916 \Box 654 \Box 5164$ .

# **Table of Contents**

Preface3
List of Figures5
List of Tables8
Abstract9
Executive Summary
1.0 Introduction
1.1 Background13
1.2 Project Objectives
1.3 Report Organization15
2.0 BioMove Model Description
2.1 Model Overview
2.2 Processes Simulated Within BioMove
2.3 Model Flow
2.4 User Interface23
2.5 Parameter Requirements30
2.6 Operating Requirements31
3.0 Model Applications
3.1 Threatened Species Assessment
3.2 Management Coordination and Decision Support33
3.3 Invasive Species Modeling35
3.4 Advanced Climate Change Research35
4.0 Simulation Examples
4.1 Dynamic modeling of Sugar Pine, (Pinus lambertiana), north-central Sierra
Nevada Mountains, California38
4.2 Dynamic modeling of Joshua Tree (Yucca brevifolia), Mojave Desert of
California44
4.3 Dynamic modeling of Blue Oak (Quercus douglasii), southern Coastal
Mountains, California52
4.4 Dynamic modeling of Blue Oak (Quercus douglasii), northern Central Valley
California57
4.5 Dynamic modeling of Red Brome (Bromus madritensis), north-central Sierra
Nevada Mountains, California63
5.0 Statewide Species Distribution Models72
6.0 Conclusions and Recommendations
7.0 References80
8.0 Appendix 186

## **List of Figures**

- Figure 2.3.1. Schematic flow diagram of BioMove model.
- Figure 2.3.2. Schematic flow of BioMove demographic model (target species).
- **Figure 4.1.1**. Modeled current distribution species occurrence for *Pinus lambertiana* in California.
- **Figure 4.1.2.** Modeled projected changes in species distribution for *Pinus lambertiana* for two GCMs (C; Canadian Center Model and H; Hadley Center Model). Each GCM was run using two sets of greenhouse gas emissions scenarios (A2 and B2).
- **Figure 4.1.3** Number of total mature individuals for the entire study area across the 200 year simulation. Each line represents a different simulation. The HB2 (green) and CA2 (yellow) scenarios produce markedly different outputs. There was no difference between the runs with and without fire (purple and green overlapping lines), attributable to the lack of overlap between the PFT and target species habitat.
- **Figure 4.1.4.** Matrix display of the HB2 (selected as an example) simulation with fire disturbance simulation (bottom row). Five fire size classes were used: 1, 4, 16, 64 and 256 km<sup>2</sup>.
- **Figure 4.1.5** Total mature individuals for target species *P. lambertiana* under two simulations; with a land ownership mask (a) and without (b).
- **Figure 4.2.1.** Current range suitability for *Yucca brevifolia* as predicted from overlapping five BioMOD species distribution models.
- **Figure 4.2.2.** With moderate dispersal capacity, 2080 CA2 BioMove output for Joshua Trees indicates persistence in the eastern part of the range (top row, center & left).
- **Figure 4.2.3.** With moderate dispersal capacity and fire, 2080 CA2 BioMove output for Joshua Trees indicates persistence in the eastern part of the range (top row, center & left). The fires shown in the last square are the cumulative fires on this landscape over the 200 years of the simulation. The PFT declines in abundance in the southern half of the range.
- **Figure 4.2.4**. Population with moderate dispersal (2 pixel, 1% long distance dispersal), showing progression towards a steady state population. Each line represents a different life stage: seedling (green), immature (orange), mature (yellow) and senescent (blue).
- **Figure 4.2.5.** Population curve with limited dispersal (0.1% long-distance dispersal). This image shows the loss of the species within 100 years.
- **Figure 4.2.6**. Low long distance dispersal causes the loss of the species in California, represented by the few populations left seen in panels 2-4. Moderate fire was included in this model run (panel 5). Fire did but did not appear to extirpate the Joshua Tree populations. The invasive PFT in the lower panels remained much the same as in the initial climate run.
- **Figure 4.2.7.** This shows another run of the BioMove model with Joshua Trees configured with short long-distance dispersal.

- **Figure 4.3.1**: (a) Current predictions for current *Q. douglasii* habitat probability (0-1) generated from BioMOD using principal components analysis. Low probabilities of occurrence are pictured in yellow and high probabilities in blue. (b) Changes (loss=red, no change=peach, gain=green) in habitat suitability for *Q. douglasii* generated from the difference between current prediction of habitat suitability and future 2080 projected habitat suitability for the Hadley B2 scenario. The boxed region used in this study is comprised of mixed coastal sage scrub, grassland and blue oak woodland.
- **Figure 4.3.2.** BioMove results for two climate change scenarios (B2, A2) and two GCMs (C=Canadian, H=Hadley). Each panel contains modeled abundances for two variable outputs, seedling (left) and mature (right) abundances. Numbers correspond to total number of individuals in entire study region.
- **Figure 4.4.1**: (a) Current predictions for *Q. douglasii* habitat probability (0-1) generated from BioMOD using principal components analysis. Low probabilities of occurrence are pictured in yellow and high probabilities are in blue. (b) Changes (loss=red, no change=peach, gain=green) in habitat suitability for *Q. douglasii* generated from the difference between current prediction of habitat suitability and future 2080 projected habitat suitability for the Hadley B2 scenario. The boxed region used in this study is the leading edge of the species distribution.
- **Figure 4.4.2.** BioMove results for first 10 years of simulation of blue oak simulation. Envelopes used to designate habitat suitability for target species, "DHARMA", and three PFTs, shrub, grass and pine are pictured in top row.
- **Figure 4.4.3.** BioMove results for 50 years of simulation for blue oak. Envelopes used to designate habitat suitability for target species, "DHARMA", and three PFTs, shrub, grass and pine are pictured in top row.
- **Figure 4.4.4.** BioMove results for 80 years of simulation for blue oak. Envelopes used to designate habitat suitability for target species, "DHARMA", and three PFTs, shrub, grass and pine are pictured in top row.
- **Figure 4.5.1**: Current statewide *Bromus madritensis* range as determined by the overlap of predicted ranges from BioMOD using five different species distribution models year 2000 climate data.
- **Figure 4.5.2.** Detail of current and 2080 HA2 (for example) climate modeled range probability for *B. madritensis* in BioMove scenario study area. Green values indicate moderate saturation; upslope habitat gain is indicated on both sides of the Sierra Nevada.
- **Figure 4.5.3.** *B. madritensis* moved upslope during the 200 year run, and the 80 years of climate change. The mixed-conifer PFT narrowed in extent and is much less abundant, as seen in 7a, middle row, 3<sup>rd</sup> box being with green as highest abundance value rather than blue in the spin up run. New red brome populations establish along the upper edges of its suitable habitat range.
- **Figure 4.5.4.** No crown fires, 0.01% seed long distance dispersal ability, 4 km dispersal range. The conifer PFT is narrowed. Populations of the invasive grass are visible throughout the region, even though the environmental conditions may be unfavorable. However, the large number of senescent populations (middle row left box) indicates that these may not persist.

**Figure 4.5.5.** No crown fires, 0.01% seed long distance dispersal ability, 10km long distance dispersal range. Increasing the long distance dispersal capacity from 4 km to 10 km did not make much difference in the capacity of red brome to disperse potential colonies throughout the study region. This may be due to the high number of individuals of red brome in the regions that are environmentally suitable.

**Figure 4.5.6.** Most invasive scenario. Crown fires are seen in bottom center, and the effects of the environmental envelope on red brome are minimized, and there were frequent long distance dispersal events. In this scenario, crown fires were permitted to burn large portions of the mixed conifer zone, as seen in the white sections of the PFT squares (center and right middle row). This lead to more open areas, in which red brome could establish, seen in the first four boxes as the new bluish polygon along the left (west flank Sierra) side of the white region. In contrast to the previous scenarios, this scenario shows major ecosystem type conversion to grasses for those areas.

**Figure 5.0.1**. Example output from species distribution modeling for *Pinus coulterii*. For each species, a present range (a) was predicted using compiled point data. Future range predictions for 2080 were also created for two GCMs; Hadley (b and c) and Canadian (d and e) and two emissions scenarios, A2 (b and d) and B2 (c and e), for the year 2080. Outputs also were produced for the year 2050 although not pictured here.

**Figure 5.0.2**. Locations of plant presence and absence data, used as response variables for species distribution modeling in California.

## **List of Tables**

- **Table 4.1.1.** Demographic and physical parameters used to define target species, *Pinus lambertiana* in BioMove.
- **Table 4.2.1.** Demographic and physical parameters used to define target species, *Y. brevifolia* in BioMove.
- **Table 4.3.1:** Demographic and physical parameters used in BioMove model of blue oaks.
- **Table 4.4.1:** Demographic and physical parameters used in BioMove model of blue oaks.
- **Table 4.5.1.** Demographic and physical parameters used to define target species, *B. madritensis* in Biomove.

#### **Abstract**

There is substantial evidence that climate change is affecting ecosystems worldwide. California is no exception. With insights from historic climate change and subsequent species' responses, scientists are developing refined tools to evaluate how species change may continue in the future and what impact this may have on biodiversity and conservation. Bioclimatic envelope modeling is one approach to modeling species distribution. However, it has many shortcomings by neglecting to account for individualistic species response or inter specific competition. Furthermore, bioclimatic envelope models do not account for species dispersal constraints or those imposed by disturbances such as land use change or fire. BioMove is a novel spatially explicit, dynamic species modeling approach developed to address these issues. It simulates a target species in a dynamic landscape, competing with a target species in competition with one or many PFTs. It combines various sub-models to integrate competition, dispersal and disturbance. It has important application potential for threatened species assessment, management coordination and decision support, invasive species modeling and other advanced climate change research.

**Keywords:** Climate change, emissions scenarios, species distribution modeling, dynamic species modeling, dispersal, disturbance

## **Executive Summary**

#### Introduction

The importance of species-based models is supported by evidence from the past, which indicates that species, rather than communities, are the unit of response to climate change. There is scant evidence for adaptation in place or large-scale extinction in response to most climate changes of the past 2 million years. Species movements by tracking suitable climate are the most ubiquitous responses to past climate change. As species move in their own way to track suitable climate for persistence, communities are torn apart and reassembled. This same response is expected in the future, making deciphering many questions of future climate change dependent on models that can simulate the fate of individual species.

Past climate change has driven species range movements over broad distances. Historically, migrations on continental scales were common. In montane areas, thousands of species moved through an individual site in times of rapid climate change. It is evident now that dispersal mechanisms are therefore critical in determining whether species can realize any or all of newly opening suitable climates. In this sense, the future will be like the past - tracking of climate will depend in large part on species' dispersal abilities.

However, the future also holds many differences for the fate of species movement as compared to the past. Land use changes will have affected most of the planet as climate change, more rapid than most in the past millions of years and more rapid than any in the past 10,000 years, unfolds. Disturbance regimes such as fire frequency have been greatly altered by human presence. The combination of these factors with dispersal capability will determine whether a species can reach newly suitable climate.

An advanced modeling tool is therefore needed to simulate elements of shifts in suitable climate, dispersal, land use and disturbance. Because no model fits all situations, ideally such a tool would allow interchange of sub-modules, allowing, for instance, sophisticated fire modeling of disturbance in some cases and simulation of grazing regimes in other applications. Dispersal must also be integrated to reflect life history parameters of individual species.

#### **Purpose**

The purpose of this project was to develop a tool to dynamically model species' distributions in the context of climate change.

## **Project Objective**

The objectives of this project were twofold; 1) Develop a hybrid, dynamic modeling approach (BioMove) to model plant niche realization under climate change and 2) Parameterize the model to illustrate potential applications for BioMove.

## **Project Outcomes**

Results from this project provide a new tool available for public use to model species movement under climate change. This project developed an advanced, hybrid model for the simulation of species range shifts in response to climate change. This model, BioMove, incorporates niche, population, dispersal and disturbance elements. As a model that can simulate many biogeographic and landscape processes, BioMove allows exploration of problems in climate change biology that have been problematic for other modeling tools. BioMove can simulate dynamics critical to estimating species extinction risk due to climate change, biological implications of climate change policy, and other biogeographic problems associated with environmental change.

BioMove is implemented in the Landscape Modeling Shell (Lamos), and can incorporate differing dispersal, disturbance and land use scenarios,. BioMove uses climate suitability as a starting point, then examines how dispersal, population establishment, growth and reproduction can lead to population spread into newly suitable climates. The population elements of BioMove allow simulation of trailing edge population mortality and decline.

Examples presented in this report include modeling of sugar pine, blue oak, Joshua tree, invasive grasses and species distribution models for a wide variety of unique California species.

#### Conclusion

As a result of this project, a novel tool, BioMove is available for public use to dynamically model species distribution. It provides many unique attributes that allow users to parameterize a simulation for specific species and PFTs. Climate change impacts are driven by system dynamics, something BioMove is uniquely positioned to address among species-based models. This novel approach to modeling species distribution under climate change has many applications for California and beyond.

#### Recommendations

Natural resource managers, private land holders and policy makers should consider BioMove as a tool for informed decision making when assessing likely impacts from climate change. Applications of BioMove include assessing the extinction risk of species due to climate change, selection of protected areas that are viable both now and in the future, management of timber and fire in public lands, assessing threats posed by invasive species favored by climate change, measuring changes in ecosystem services due to climate change, quantifying alterations in vegetation contributions to air pollution and examination of the biological implications of different policy options for combating climate change.

#### **Benefits to California**

Among the potential future applications of the model are the exploration fire-vegetation interactions under climate change, site-scale modeling, investigation of stabilization scenarios of greenhouse gases and their effects on biodiversity, examination of the effect of land use management options on species composition as climate changes (for instance in timber lands), and integrating BioMove with models of ecosystem services. All of these are imminent issues in California and can be addressed through modeling. Biogeographic question involving landscape dynamics are an especially fertile ground for BioMove enquiry. In California, perhaps chief among these opportunities is the exploration of fire-vegetation interactions as climate changes. Broad availability of BioMove to the research community will stimulate many additional applications.

In tests of BioMove, one of the most striking features in California landscapes is the importance of fire in determining the long-term fate of species. For instance, oak species at lower elevations in the Sierra Nevada will seek suitable climates at higher elevations as climate warms, but may have difficulty occupying these newly suitable areas since they are already occupied by mixed conifer forest in which the lowland oaks have difficulty recruiting. When fire enters this system, the conifer forests are removed, allowing upslope migration of the oaks. In the absence of fire, mature oaks are slowly squeezed out of their current range. Fire management is therefore a critical element in managing climate change impacts on California species - a complex and politically charged issue made more complex by climate change.

Case studies of climate change impacts on species and ecosystems indicate that the model is useful for addressing fire dynamics, land use mosaics and varied topography - all critical elements of the dynamics of climate change and nature in California.

#### 1.0 Introduction

## 1.1 Background

Climate change is affecting ecosystems worldwide (Parmesan and Yohe, 2003; Root et al. 2003). Species ranges are shifting, corals are bleaching and the timing of biological events is advancing as the world warms (Walther et al. 2002). These impacts have important consequences for conservation (Hannah et al. 2002). Extinction risk from climate change has been projected to affect from under one tenth to as much as half of all species in biodiversity hotspots such as California (Malcolm et al. 2005). To anticipate and respond to these looming impacts, scientists are constructing models of possible biotic response to climate change. Models of coral bleaching (Hoegh-Guldberg et al. 2007), change in vegetation structure (Cramer et al. 2001), change in timing of events and shifts in species ranges (Peterson et al. 2002) are all important tools in understanding and responding to the threat that climate change poses to nature.

To predict how the biological consequences of climate change may unfold in the future, it is helpful to examine records of historic climate change and associated ecosystem responses (Overpeck et al. 2003). These insights from the past allow scientists to construct models of possible future ecosystem and species responses to climate change.

As the climate oscillated between glacial and interglacial periods throughout the Pleistocene, species distribution and community composition also changed (Graham and Grimm 1990, Huntley and Webb 1998). In some cases, levels of diversity remained consistent. For example, a biodiversity hotspot in the Peruvian Andes maintained high levels of biodiversity through a 6 degree warming transition into the Holocene (Bush et al. 2004). Vegetation responded to changes in climate by migrating with latitude or elevation, avoiding extinction (e.g. Flenley 1998).

Past biotic response to climate change was clearly dominated by species range migrations, and these range changes were unique to each species (Huntley 1991). Species tracked suitable climatic conditions during past climate change, with ranges tracking climate latitudinally but also up and down slope in warming and cooling episodes. These range changes covered hundreds of kilometers and entire continents in some cases (Coope 1995).

A major difference between past and present climate change is that many of the historic shifts that took place were on a much longer time scale of climate change than the athropogenically induced climate change we are experiencing now (Overpeck et al. 2003, Bush et al. 2004). Present day increased greenhouse gas emissions are associated with increased global mean annual temperatures which are expected to keep rising throughout the next several *decades* (Houghton et al. 2001). Under our current trajectory of greenhouse gas emissions, general circulation models predict continued warming and drying throughout much of California (Hayhoe et al. 2004).

In addition to gradual historic climate change, there were rapid events, more analogous to the present day changing climate. Historic pollen records suggest that rapid migrations in vegetation did occur in response to these short term changes in climate (Gear and Huntley 1991) allowing species persistence

Following these same principles of tracking species movement in response to past climate change, scientist have developed methods to predict where species will be found in the future. One such approach to examining individual species' response to climate change is bioclimatic envelope modeling, or niche-based modeling. The primary strength of bioclimatic envelope modeling is its reliance on the concept of the ecological niche (Grinnell 1917; Hutchinson 1959) and the abundance of data that can be used to derive the niche. Given a scenario of future climate conditions, it is possible to predict the new geographic realization of the niche. Prior studies have used bioclimatic envelope models to predict individual species distributions under modeled future climates (e.g. Heikkinen et al. 2006; Pearson and Dawson 2003; Thuiller 2003). The compilation of many individual species distribution models for a common region can serve as arguments for habitat conservation or be used in hybrid models. One such study predicts >40% species extinction in Mexico, including birds, mammals and butterflies (Peterson 2002).

Recent review papers suggest that current approaches in predicting species' response to climate change are inadequate and highlight a need for a more comprehensive approach (e.g. Botkin et al. 2007, Thuiller et al. 2008). Indeed, there are several shortcomings of species distribution models. Firstly, they do not realistically account for population-level processes especially at the leading and trailing edges of geographic ranges. They do not consider such population phenomena such as persistence in sub-optimal climate conditions and dispersal and establishment of populations in new geographic ranges that may affect extinction risk (Harte et al. 2004). Secondly, they do not account for community interactions and processes such as inter- or intra-specific competition (Davis et al. 1998) or disturbances such as fire (Davis 1994).

It is evident that species distribution models fail to address the dynamic nature of populations and do not account for dispersal constraints, changes in disturbance regimes or species interactions. Regardless of the niche described by climatic and/or soil limitations, a species will not realize a potential niche if limited by certain life history constraints. Life history traits and mean dispersal rates seemingly should aptly describe the rate at which a species could migrate across the landscape. However, evidence from the pollen record challenges this explanation of species movement, known as 'Reid's Paradox' (Clark et al. 1998). It states that evidence from the pollen record suggests that migration happened much faster than predicted from simple radiation dispersal models. There must be another mechanism that allows species to colonize new habitats.

One such mechanism could be long distance dispersal events (e.g. carried by a river, stuck to a mammal, human assisted transport). However, the importance of long distance dispersal to species tracking environmental change may vary depending on landscape fragmentation (Pearson and Dawson 2005). Advances in modeling long distance dispersal help to elucidate how these events may effect species migration (Pearson and

Dawson 2005, Clark 1998). These advances must be incorporated with species distribution models to more comprehensively project if and where species may migrate in the future.

More advanced methods of simulating how species distribution will shift under climate change are needed for multiple reasons. California is an especially fertile testbed for application of such methods. California hosts a heterogeneous array of vegetations types including many species endemic to the California Floristic Province. How vegetation in California will respond to climate change is of paramount interest to foresters, land managers, conservation groups, developers and individual land owners. It will also affect the persistence of dependent species thus affecting overall ecosystem health and stability. In California, climate change is likely to result in the poleward and upslope movement of species, effects already seen in other parts of the earth (Parmesan et al. 1999). These effects may be confounded by land use change as California's population continues to grow and place greater demands on its natural resources. In order to maintain the biological integrity of California's ecosystems and the services they provide, we must develop better predictive tools for assessing species' response to climate change.

## 1.2 Project Objectives

To address the shortcomings of current predictive modeling approaches for species under climate change this project has developed a modeling approach that utilizes species distribution models as input but includes sub-models to account for other factors that may affect if and where a species can migrate. The purpose of this study was two fold:

- Develop a hybrid, dynamic modeling approach (BioMove) to model plant niche realization under climate change
- Parameterize the model to illustrate potential applications for BioMove

## 1.3 Report Organization

The remainder of the report consists of 3 sections. Section 2 provides a description of the BioMove model, the component models and its user operation. Section 3 outlines potential applications of BioMove. Section 4 contains five examples of BioMove simulations. Five ecoregions consisting of different target species-PFT combinations throughout the state are described in detail. Specific attributes of the model were implemented in each simulation to highlight the unique qualities of BioMove. The description of each case study includes the parameterization inputs and model results to provide readers with a more comprehensive experience of BioMove. In section 5, the authors provide a synthesis of recommendations for future research. The appendices contain additional screenshots of model simulations, species distribution modeling methods used to generate input data and parallel type examples for mammal species.

## 2.0 BioMove Model Description

The BioMove model is presented below. This section includes an overview of the model's theory, describes the processes simulated within BioMove, and describes the model flow and user interface. It is also describes the parameter and operating system requirements.

#### 2.1 Model Overview

BioMove is an integrated niche and population modeling approach for assessing species range dynamics and persistence under climate change. The model is implemented within the Landscape Modeling Shell (Lamos) software, which allows ready interchange of other model elements, including disturbance modules and dispersal modules. Competition is represented between a target species and user-defined PFT, both of which respond to disturbance and changing climatic suitability. BioMove allows investigation of species' ability to occupy newly available niche space as climate changes, in a simulated landscape in which relevant factors such as disturbance, competition, and dispersal are represented.

The central idea behind BioMove is to simulate a species' ability to realize newly available suitable climatic space as climate changes. Since that new niche space may be separated from existing niche space by non-natural land uses and be affected by the species' dispersal ability, these factors have been built into the BioMove/Lamos framework. Dispersal is often constrained by disturbance events in the landscape, particularly fire. Consequently, fire simulation capability is built into BioMove. A grazing simulator is available as well, and other disturbance events may be defined by the user. When climatic suitability moves across large distances, as is expected in human-induced climate change this century, multiple generations of a species may be involved. Individual propagules may need to disperse to a newly suitable location, grow, reproduce and disperse again to keep pace with rapidly moving suitable climate. BioMove includes a population model to simulate these processes.

BioMove is a flexible tool thanks to its implementation platform, Lamos. Multiple disturbance and dispersal regimes can be represented, either in parallel or as alternatives to one another. For instance, three fire simulators are available in Lamos. In testing of BioMove, and in the descriptions below, we have used a base configuration which consists of a contagion fire simulator and a kernel dispersal function. The capabilities of BioMove will be described under this base configuration. Where other configurations are possible, the base and alternative configurations will be mentioned.

BioMove has been designed and tested to work with plant species. This is because plant species disperse relatively poorly relative to most vertebrate species, making simulation of their dispersal dynamics more complex and challenging. BioMove might be adapted for vertebrate application with relatively minor modifications, but many aspects of the model needed to usefully simulate plant dispersal would not be engaged in a vertebrate simulation.

BioMove models an individual "target" species within an interacting landscape. The target species reacts to climate change through its climatic niche. As the climate changes, the target species' niche migrates to track suitable conditions and the range within BioMove is updated. There are several options for loading a climatic niche into BioMove, the base configuration uses a niche model or PCA analysis. Niche models such as GAM, GARP or Maxent may also be used. BioMove has been tested using both the PCA and statistical niche (or "envelope") models.

The BioMove target species is affected by climate and landscape interactions and dynamics, including competitor plant life forms and disturbances. Competition can affect a species ability to grow, reproduce and track suitable climate. To account for this effect, a competition model, FATE has been adapted to operate within BioMove. The competition routine uses generic plant types which compete with the target species. The competitors affect the target species through user-provided parameters. For instance, an understory species can be parameterized to do poorly in open, low competition but high light settings.

The model uses a niche model (see introduction) or a PCA of present and future climate variables to derive the habitat suitability for the target species in any given pixel. The basis of the PCA approach is that the distance to the origin of the PCA for multiple climate variables equates to the distance to the centroid of the niche in multi-dimensional niche space. The geographic fit obtained with the PCA approach is "looser" (more commission) than most statistical niche models (eg, GAM, GLM), but it provides a better approximation of fundamental niche. One way to conceptualize the use of PCA in BioMove is that the PCA provides the fundamental niche, while the other components of BioMove help to resolve the realized niche.

The model is implemented annually. In the base configuration, climate change is implemented in decadal timesteps driven by user-provided surfaces. However, the climate timestep is user defined, and any interval of climate change may be defined using the scheduler. Decadal steps have been used in testing because annual climate change requires longer runs and more input preparation without substantially altering most results. Decadal climate change increments are recommended unless unusual very rapid change is indicated.

The grain of the simulation is defined by the user, and is often determined by the resolution of available climatologies. Testing has been conducted at a 1km (30 arc second, approximately 0.86km) grain, which is the finest grain at which downscaled global climatologies are available. 1km is appropriate for landscape-to-biogeographic scale analyses for many species. Coarser grain may be more practical for regional or continental studies of more widespread species, because run time scales with the number of grid cells in the domain. Finer grains can be implemented in BioMove for site-scale analyses, but climatologies at finer scales would have to be constructed from non-traditional (i.e., non-GCM) sources such as microclimate models.

BioMove simulates the vegetation structure of the selected area defined by PFTs using the FATE succession model (Fig. 1) (Moore & Noble 1990). These PFTs are chosen based on their relevance to the ecosystem studied, and are therefore finer than those used in dynamic vegetation models (DVM, Smith et al. 2001). For instance, a usual PFT used by DVM is C3 grass. Although highly relevant for ecosystem functioning, it lacks the response to disturbance for instance, where C3 grasses can be either a seeder or resprouter. In highly disturbed habitats like alpine ecosystems (fire, grazing), a PFT must include such a discrimination. For instance in the fire prone Cape Floristic Region, a practice simulation created five different PFTs based on fire response, shade tolerance/intolerance, and structure. One PFT was evergreen trees, not adapted to fire, non- re-sprouter, shade-tolerant at germinant and immature stages. Another PFT was "Protea", tall shrubs, fire-adapted species, re-sprouter, shade intolerant at germinant and immature stage. Such PFTs, relevant to particular ecosystems are relatively easy to develop with the basic knowledge of field biologists.

BioMove needs basic parameters to simulate the PFTs, including their competitive ability at different life stages, their dispersal ability (dispersal model), their mortality and regeneration responses to fire, grazing and other disturbances relevant to the study area (disturbance model). This information is taken either from literature, trait databases, field measures and detailed knowledge of the researchers. Until now, only competition for light is simulated using recent developments from the FATE model (Moore & Noble 1990), but competition for soil resources will be a substantial addition in the next developments. For seed dispersal, the dispersal module of Lamos enables alternative assumptions about the dispersal ability of each PFT (Higgins *et al.* 2003b) such as the presence or not of stochastic long-distance dispersal events.

Soil characteristics could also be parameterized from existing maps and used to limit seed dispersal and growth rate. In a similar way to any landscape model, the succession model simulates the dynamics of PFTs assuming that the environment is optimal. The novelty here is to simulate the potential habitat suitability (HS) of the PFTs with a niche-based approach using environmental variables (e.g. climate, topography, soil) under present conditions. This HS allows the abundance of the PFTs to be constrained using different functions (linear, Gaussian, logarithmic). A habitat suitability of one is assumed to be an optimal habitat, while a HS of 0 for instance, prevents the establishment of the given PFT. The niche model allows the production of the habitat suitability for each modelled PFT every year based on different climate and/or land use change scenarios, see after) (Fig. 2. Flow diagram). Finally, BioMove runs using 4 or more age-classes and track cohorts at every time-step (in this case annual time-step).

#### 2.2 Processes Simulated Within BioMove

Four types of processes are simulated in BioMove. Classes of model processes in a typical implementation include: ecosystem, population, community and landscape.

1) **Ecosystem** processes: the interaction of physical and biological processes. They only address life history issues where they differently parameterise processes relevant to light

and water functions. The key ecosystem process simulated in BioMove is the influence of climate (present and future) on the distributions of species and PFTs.

- **2) Population** process: a single species demographic model counting discreet quantities of individuals. By implementing a population model in landscape format it becomes a meta-population model exchanging individuals through migration or dispersal between sites. Population processes birth and death rates for a single species -- parameters describing interactions are not required. For a meta-population model, landscape type parameters must be introduced.
- 3) Community process: the interplay of life history (genetics) attributes between species or PFTs. (Reproductive strategies, disturbance response, shade tolerance and height).
- **4) Landscape** process: Any process that is modelled through the spatial propagation or correlation of events/material/energy through time. These include seed dispersal and fire. This is not to say that these processes must be dealt with as landscape processes but are good candidates. Landscape processes have both qualitative and quantitative parameters addressing distance and rates.

Climate change is the primary ecosystem process BioMove is intended to simulate. It does this by driving geographic change in the distribution of the target species through a niche simulation. As climate changes, the niche changes, resulting in change in habitat suitability across the range of the species, as well as shifts in the areas inside and outside of the niche (suitable climate space). As described above, climate change occurs in 10 year timesteps, each timestep interpolated between 30 year normal climatologies obtained from a global climate model (GCM).

Population processes are modelled using a stage and age-based population simulator. The model initiates by populating suitable cells with an initial population comprising four life stages, and spins up for several hundred years to achieve a stable meta-population. A mixed age/stage population demographic model is implemented in each cell, with age to first reproduction defined, but a stage-based matrix implemented post-reproductive age. Reproduction is parameterized per life stage, but spatially stochastic reproductive processes such as mast fruiting are implemented using a spatially discrete approach that simulates measured or inferred spatial and temporal variation.

Community processes, especially competition, are modelled using a PFT approach. BioMove generates a dynamic community of competing plant life forms (functional types) at the landscape level, which also perform according to climate suitability. This landscape of competing functional types is able to suppress the target species (depending on parameterization through shading or other forms of resource depletion), but the target species does not affect the functional types (asymmetric competition). The functional types also serve to develop the biomass necessary to drive other landscape level processes, such as to fuel fire disturbances. Competition is expressed through functional types rather than individual species to reduce the number of parameters needed to define the competitive interactions. Because competition is exerted from the PFTs to the target

species, but not the reverse, the practical impact of consolidating many species into a few functional types is minimal.

Landscape processes simulated in BioMove include dispersal and disturbance, particularly fire. Lamos provides the opportunity to implement multiple dispersal and disturbance modules. In the base configuration, dispersal is modelled using a kernel approach, with seeds dispersed annually or on an environmental cue such as fire (for serotinous species), though other dispersal modules may be substituted by the user. Disturbances are user defined, and can include fire, grazing, windfall or management impacts. A contagion fire model is implemented in the base configuration, but other fire models are available. A dynamic grazing disturbance module, 'patchgraze' is available. An unlimited number of additional disturbances can be programmed by the user as masks, each with user-defined impacts on the target species and PFTs, using the scheduler.

#### 2.3 Model Flow

BioMove has two major functional components, one for the target species and one for the PFT. Within each, dispersal, disturbance and demographics are simulated, as well as the effect of climate change, as illustrated in Figure 2.3.1, below. The two functional components interact through the competition term, in the figure illustrated as competition for light. The PFTs exert influence on the target species through this interaction. It is a unidirectional interaction, in that the target species does not exert competitive pressure on the PFTs, as indicated by the one-way arrow in the figure. The target species and PFT interact in other ways mediated by disturbance and the landscape. For instance, the presence of a PFT can increase fire frequency and decrease target abundance due to frequent burning. These indirect interactions are difficult to capture in a simple schematic and are therefore omitted from Figure 2.3.1.

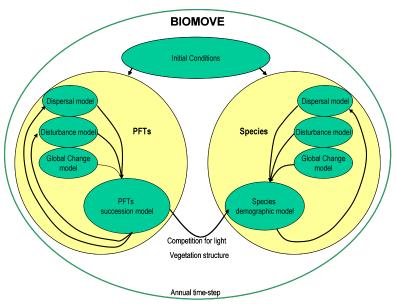


Figure 2.3.1. Schematic flow diagram of BioMove model.

The model proceeds from initial conditions (see the top of Figure 2.3.1) which inform abundance and location of the target species and PFTs being used, among other factors. These initial conditions are usually generated by spinning up the model for several hundred years based on neutral or estimated values. The initial conditions are then saved to a file which can be used in model initiation for runs involving that target species and PFT combination.

Climate change is simulated in the Global Change sub-module of both the PFT and target species. It is generated internally from external climate files when the PCA approach is used, thus it is shown as an internally-sourced element in Figure 2.3.1. However, it can also be simulated using range maps of target species distribution at different timesteps, generated by niche models such as GAM or by expert opinion, in which case it is generated offline and supplied external to the model.

Within the two major functional components, the demography of the target species is the most complex sub-component, as illustrated in Figure 2.3.2. PFT demography is a simplified version of the same scheme. The growth and reproduction of individuals is simulated in three life-stages, each comprised of multiple timesteps. For example, it can be defined that there is a juvile, non-reproductive lifestage that lasts 5 years, or 5 timesteps, a subadult life stage that lasts 20 years, and then an adult, fully reproductive life stage that lasts 100 years, in the case of a tree.

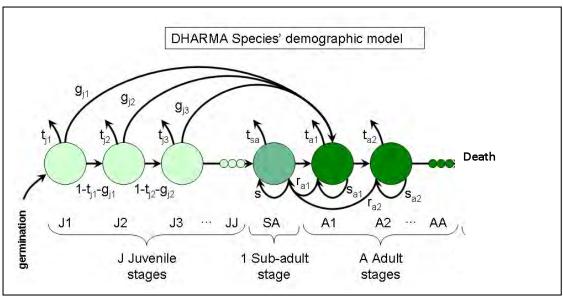


Figure 2.3.2. Schematic flow of BioMove demographic model (target species).

Reproduction is parameterized per life stage, but spatially stochastic reproductive processes such as mast fruiting are implemented using a spatially discrete approach that simulates measured or inferred spatial and temporal variation. It differs from animal population viability analysis (PVA) in only having undirected movement between patches (kernel seed dispersal).

Different biological traits are necessary to parameterize this demographic model, like life-span, whether the species is a re-sprouter or a seeder, if the species needs a disturbance to release propagules (fire or grazing/mowing). Similarly, the dispersal ability of the species is also required (mean dispersal distance, fraction of seeds long distance dispersed).

A unique feature of BioMove is the fact that the PFT-succession model defines the vegetation structure of each modeled pixel and thus the light availability (or other resource competition) at different inferred height strata (or other resource competition mediating functions inferred from vegetation age or population density). This simulated light or other resource availability is then used inside the species demographic model to simulate the competition for resources between the vegetation and the modeled species, depending on user defined resource effects (such as shade tolerance or intolerance at different life stages).

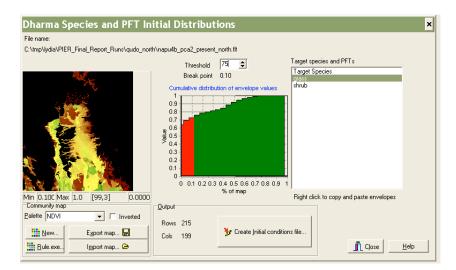
BioMove runs for as many years as the operator defines, with vegetation age and composition updating at each step from the demographic model and influences such as disturbance. Changes in PFT in one timestep affect target species growth and reproduction and disturbance intensity and other factors in the subsequent step. The length of run depends on the properties of interest. Runs of multiple centuries will be required to assess the ultimate conservation fate of species, while runs of decades my be sufficient to assess transient behavior in this century. So for instance BioMove can run

for many centuries to a new presumed equilibrium climate, or it can be run for 50-100 years to simulate only the upcoming period of rapid transition this century.

#### 2.4 User Interface

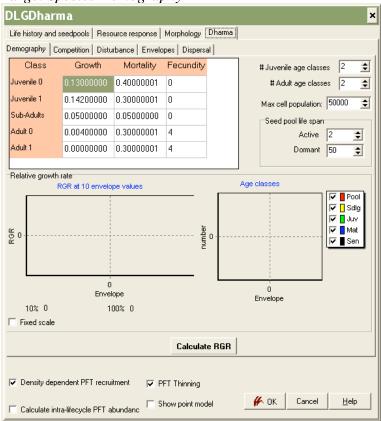
The BioMove user interface is a fully operational GUI, with dialog boxes and parameter entry capability for all values necessary for model operation. The overall interface is implemented with Lamos, the Landscape Modeling Shell software. Access to dispersal and disturbance modules is through Lamos. The BioMove base configuration is the combination of the BioMove succession/population module with the kernel dispersal and contagion fire modules. To edit modules composing the model, for instance to substitute a different fire model, the operator uses the edit model configuration interface in Lamos. Within Lamos, there are parameter entry tabs for life history, resource response, morphology and BioMove (or 'Dharma' in beta versions). The life history, resource response and morphology tabs all refer to the PFT. The BioMove tab is used to parameterize the target species. Within the target species space, there are entry tabs for demography, competition, disturbance, envelopes and dispersal. These entry pages will be described with reference to accompanying screen shots on the pages that follow. The BioMove users manual is intended to provide detailed guidance on parameter entry for the model, so this overview is provided as a complement to that resource, to give those not yet familiar with the model an overview of its parameter requirements and capabilities.

## Initial Conditions



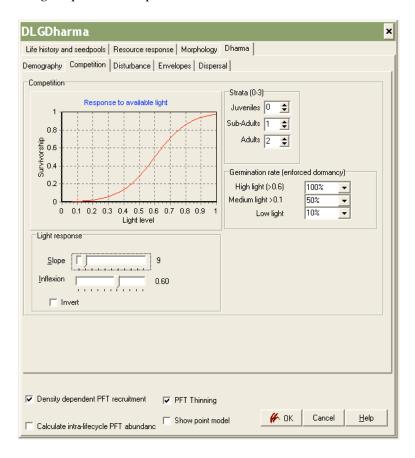
The initial conditions file provides the baseline from which the model simulation begins to run. The file is built from the "Initial Conditions" menu by adding a map for the target species and each of the PFTs. A threshold is set discriminate low envelope values which will affect the time to which a species reaches equilibrium. Once an initial conditions file is created, it can be used to spin-up the system to equilibrium. This is then saved and used as the initial conditions file for subsequent simulations.

## Target Species Demography



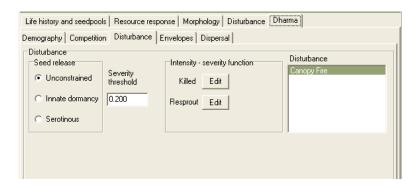
The target species demography dialog allows user entry of species growth, mortality and fecundity for several life stages. The number of classes within each life stage is first defined (upper right of dialog box), then the appropriate growth, mortality and fecundity values are entered for each of the life stages (upper left of dialog box). The total population allowed in each grid cell is set in the dialog just below the dialogs for setting the number of classes in each life stage. The relative growth rate (RGR) resulting from the parameters given for each life stage may be calculated in the lower portion of the tab by clicking on the 'Calculate RGR' button. If the relative growth rates do not agree with values expected, growth and mortality values must be readjusted.

## Target Species Competition



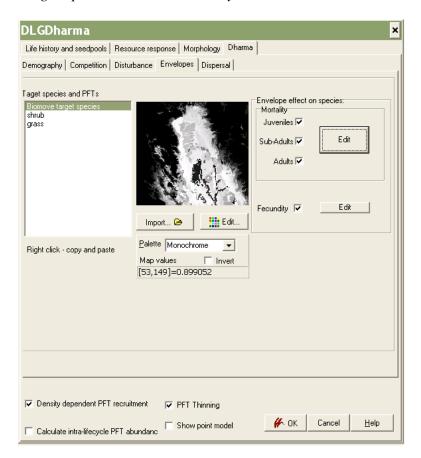
The target species competition tab allows definition of the relative response of the target species to the resource mediating competition. The dialog boxes are denominated for light competition, but other resource competitions, such as competition for water in arid environments in which light is not limiting may be conceptually treated by the model. Adjustments are provided that allow the response curve to the competition resource to be shaped (slope, inflexion, invert).

## Target Species Disturbance Response



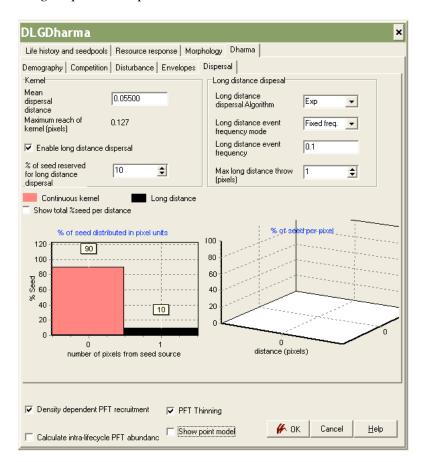
Disturbance response constrains target species behaviour when a disturbance occurs. Disturbances are loaded in the model configuration window (not shown) and appear in the right hand side window (eg, "canopy fire" in figure above). Any number of disturbances may be loaded, so an actual run might list "canopy fire", "ground fire", "grazing" and other disturbances. This tab allows each disturbance to exert a different response in the target species. For instance ground fire can be configured to kill all juveniles of a tree species, while mature trees are spared. For the same species, canopy fire response can be high mortality in all life stages, including mature trees. Disturbances can be dynamically simulated in the case of fire and grazing, or loaded as masks in the case of other disturbances. Dynamics in other disturbances can be simulated offline and added as annual masks, with the scheduler calling a new mask at each timestep. In the case of fire, relevant life history characteristics are requested. The user may define whether the target species reseeds or resprouts after fire, and whether such behaviour is obligate. Serotiny, the characteristic of holding seeds in cones over multiple years that are only released by fire, can be defined in the appropriate checkbox. In total, the disturbance interface provides users with a complex set of disturbance responses that can be simulated for any number of disturbance types, all of which may operate simultaneously.

## Target Species Habitat Suitability



Target species habitat suitability and therefore response to climate change, is defined in the 'envelopes' dialog. The 'envelope' is generally the envelope of suitable climatic conditions for the species, roughly analogous to the species' niche. Habitat suitability is calculated internally in the PCA routine or externally with species distribution models such as GAM or MaxEntropy. Loading species distribution models based on future climatologies from global climate models allows climate change to be simulated. Climate change can be simulated at timesteps as fine as annual by interpolating climatologies from GCMs. BioMove has been tested using climate change at decadal timesteps. This captures time dynamics more robustly than using only 2050 and 2080 scenarios, yet is much less data-preparation intensive than annual change. The envelope dialog tab allows setting differential effects of climate change on different life stages. For example, juveniles may be more sensitive to climate change and can be set to suffer greater mortality, for instance in drier scenarios.

## Target Species Dispersal

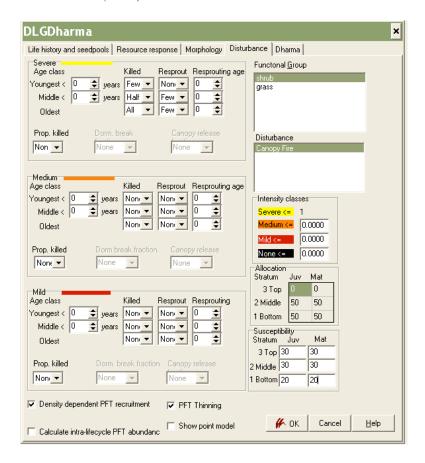


Target species dispersal is simulated as a dispersal kernel - a distribution of propagules away from a grid cell. Every grid cell in the simulation that has target species population will produce 'seed' or propagules. How far those propagules can establish from the parent cell is determined by the dispersal function. Recent dispersal research and theory suggests that 'long tails' in this dispersal function, that is, rare long distance events, play an especially important role in species' tracking of rapid climate change across long distances. This long distance dispersal probability is simulated especially well by this dispersal kernel approach. The user defines the mean dispersal distance and maximum distance of dispersal as well as the proportion of seed in each distance category. The interface returns both a bar graph (left in illustration) and 3-D representation (on the right) of the decline in dispersal with distance. For species with unusually heavy seeds or unique dispersal syndromes, long distance dispersal can be turned off. PFT Inputs

BioMove has input dialogs for PFT life history, resource competition and dispersal that are similar to the tabs just described for the target species, so example screens for these tabs will not be illustrated. For disturbance, PFT inputs are more detailed than for the target species inputs (interface illustrated below). This is because the PFTs provide the vegetation structure for the simulation. More detailed information about response to disturbance, particularly fire, is required to adequately simulate vegetation structure. PFT

response to fire is defined for multiple age classes and multiple severity of disturbance. Mortality due to different classes of fire can be defined. The number of individuals in a stratum in turn determines the intensity of fire. For instance, a canopy fire cannot be carried in a landscape with no mature (canopy) trees. The PFTs therefore provide the structure in which target species range movement and disturbances operate in the simulation.

#### Disturbance (PFT)



#### 2.5 Parameter Requirements

Parameters are the information required to run a simulation. BioMove requires extensive information about target species and PFTs. The majority of the required information can be gathered from the literature for well-known species. For rare species, estimates of parameters may have to be made, for instance from known responses in better-known relatives of the target species.

Parameterization of BioMove for several target species and PFTs is described in the case studies presented later in this report. Settling on values for BioMove parameters is a very useful exercise for forcing resource managers and other users of the model results to be specific about the assumed behaviour of the species of interest and the systems in which they exist.

Among the parameters required for BioMove are target species life history parameters, such as number of years to reproductive maturity; fecundity - number of seeds produced; mortality in each age class; and disturbance response such as whether a species reproduces by seed or by resprouting after a fire. Many of these same parameters, but not all, are required for PFTs. More detailed information on response to fire is required for PFTs, for the reasons described above.

## **2.6 Operating System Requirements**

BioMove operates on any PC running Windows 2000 or above and with at least 5 gigabytes of disk space for file storage. If the time-series output is utilized, larger memory requirements may be necessary depending on extent size and time interval specified. The model can typically be implemented on a laptop computer, with simple runs taking several minutes and more complex runs (abundant species, large study area) requiring hours. Off-line written text for parameterization can be pasted into the command-prompt operation using the *Scheduler* function.

## 3.0 Model Applications

This section provides an overview of four unique applications for BioMove; threatened species assessment, management coordination and decision support, invasive species assessment and advance climate change studies.

## 3.1 Threatened Species Assessment

One of the critical policy-relevant questions in climate change biology is the magnitude of extinction risk associated with climate change (Thomas et al. 2004). International policy uses ecological integrity as a benchmark (O'Neill & Oppenheimer 2002), yet international mechanisms for identifying threatened species have flagged only a tiny fraction of the species likely to be at risk of extinction due to climate change. Species threatened by climate change need to be identified for a wealth of other management and policy purposes, but species-level assessment for these purposes is also in its infancy. BioMove is uniquely positioned to improve this situation.

The United Nations Framework Convention on Climate Change (UNFCCC) of which the United States is a signatory, has as its goal "avoidance of dangerous anthropogenic interference with the climate system" (Markham 1996). "Dangerous" is in turned defined in terms of developmental, agricultural and nature conservation goals (O'Neill & Oppenheimer 2002). For nature conservation, the goal is to constrain climate change within limits that "allow ecosystems to adapt naturally". Extinction is one of the main consequences of failure of ecosystems to adapt to climate change, so assessing extinction risk has an important role to play in driving international policy (Lovejoy & Hannah 2005; Thomas et al. 2004).

The IUCN red list of threatened species is the main international vehicle for identifying species at risk of extinction (Commission 2001). To date, fewer than 2% of species on the red list have been identified as at risk due to climate change. National lists, such as the US endangered species listings, are not much further advanced (Bloomgarden 1995).

Existing extinction risk estimates are largely based on static, or 'envelope' species distribution models (Thomas et al. 2004). These models use present climate to calibrate a statistical model, then run the model with projected future climatologies generated by global climate models (General Circulation Models, GCM). The change in area of a species range between the present and the future can be used in conjunction with the Species Area Relationship (SAR) or Endemic Area Relationship (EAR) which simply state that fewer species exist in smaller areas, in a power law relationship that allows quantification of extinction risk (i.e., the number of species lost) (Kinzig & Harte 2000).

The problem with the species-area approach is that it can't speak to whether a species can attain newly suitable climatic space (Pearson 2006). The species distribution model can say where future suitable climate may be, but if that suitable climate space does not overlap or lie contiguous to current range, the model is mute on whether the species can reach that range. Dispersal ability and intervening land uses will govern attainment of

the newly suitable climatic space. To deal with this limitation in distribution models, studies of extinction risk typically assume two extreme outcomes that bracket the range of dispersal options: either the species can disperse perfectly to all suitable future climate space regardless of location, or that the species can only persist where current and future suitable climate overlap (Malcolm et al. 2005; Peterson et al. 2002; Thomas et al. 2004). In the literature, these are known as the 'perfect dispersal' and 'no dispersal' options. The issue is that reality lies somewhere in between these extremes and having a very low and a very high estimate leaves a large in-between area of high uncertainty.

BioMove is designed to exactly address the limitations of the species-area approach, and therefore can greatly improve and speed the process of listing species as threatened due to climate change. The attributes of BioMove that allow it to simulate the dispersal of a species to newly suitable habitat as climate changes are described above in the Model Description section.

The IUCN international red list process has now initiated a working group to help improve the listing of species threatened by climate change. This group is using combinations of species distribution models and population models. They anticipate a 3-5 year development process for this integrated modeling approach. This approach has some similarities to BioMove. While BioMove can model the spatial dynamics of populations seamlessly, the working group approach is still in the development stage, with many issues arising relating to transfer of information between the two model types they are attempting to join. This group will begin to test BioMove as an alternative next year, which may greatly speed the international red listing process for climate change.

Resource managers, national and regional threatened species authorities and others can use BioMove to greatly improve the process of assessing the extinction threat posed by climate change. Because BioMove explicitly simulates the processes that mediate a species transition from current suitable climate to future suitable climate, its ability to discriminate factors that may reduce extinction risk is much greater than other available methods. In BioMove, management options may be simulated and their effect measured, allowing informed choices between different approaches to saving species threatened by climate change and allowing significant savings where the costs of management interventions varies.

## 3.2 Management Coordination and Decision Support

Site managers increasingly are realizing the need to factor climate change into current management decisions. Wildfires of greater frequency and intensity than historical norms are already affecting forest lands and protected areas throughout the US west, for instance (Brown et al. 2004; Westerling et al. 2006). Managers responsible for these areas are concerned that unprecedented climatic and disturbance regimes may push reserve ecosystems 'over-the-edge' into degraded states or states with no historical analog. To better manage or prevent these system conversions, managers need research tailored to decision support (Brown et al. 2004).

BioMove is well placed to provide a research tool that can fill the information needs of managers facing climate-driven changes in the systems they manage. BioMove incorporates disturbance modeling capability, which allows simulation of changed fire frequencies or intensities, one of the factors of greatest concern as climate changes, particularly in California (Westerling et al. 2003).

Equally importantly, BioMove can simulate how changed disturbance regimes interact with vegetation structure and composition. This allows the model to serve in support of decisions concerning management manipulations such as vegetation thinning or prescribed burning. The ability of the model to treat multiple disturbances, such as the effects of fire and grazing together, give it even greater versatility and management relevance.

Decision support using BioMove may be most effective where researchers and managers work together to parameterize and run the model. Because BioMove requires an extensive array of life history and disturbance response parameters, it is very good at forcing researchers or managers to be explicit about their assumptions about how a system functions. If researchers make parameter estimates, the opportunity to tap manager's knowledge of the system is lost, as is the ability for managers to use the model as a learning tool. When managers and researchers implement the model together, management assumptions about how species and disturbances operate are made explicit, and learning about the system by varying parameters and observing modeled responses is promoted.

Some management agencies have in-house research capacity which will allow easy adoption of BioMove. Many agencies will want to form partnerships with University research groups able to run BioMove, in order to reduce in-house science needs and to allow the agency focus on management. Through these partnerships BioMove can be implemented in a decision support mode, in which managers are actively involved in choosing parameters and testing the effects of changes in model configuration.

Applications for which BioMove may have value in regional or site management include examining the impact of changing fire regimes on vegetation and species, examining the effect of changing species composition due to climate change on fire or air pollution, management of endangered species, anticipation of habitat needs of species of concern, land use planning for counties in which nature conservation is a long-term goal, and other timely management issues. Application to each one of these management challenges will require investments of time and staff resources, but repay management agencies with a better understanding of the future behavior of the systems they manage.

#### 3.3 Invasive Species Modeling

Understanding invasive species behavior is one of the critical research agendas for climate change. From nature conservation to agriculture to forestry applications, the behavior of invasives in changed climates will have a large influence on system behavior. Modeling has been used to look at invasions globally (Ficetola et al. 2007; Richardson &

Thuiller 2007), regionally (Parker-Allie et al. 2007) and in individual sites or for individual species (e.g. (Ficetola et al. 2007). These assessments have in common the use of relatively simple species distribution models which do not incorporate temporal or spatial dynamics.

BioMove allows the introduction of spatial and temporal dynamics into studies of alien invasions at all spatial scales. Local and regional studies are easily conducted in BioMove. An example is provided in the case studies below for *Bromus madritensis*, or cheatgrass, in California. The global scale is most challenging for BioMove because of computational issues, but use of very coarse grain can allow global simulations in BioMove. Simulations in BioMove at all scales can help resolve the temporal dynamics of invasive species spread and identify factors that may favor more rapid spread. These applications may include tracking spread of diseases, agricultural pests, ecosystem disruptors and watershed-damaging species as climate changes.

## 3.4 Advanced Climate Change Research

BioMove provides a platform for advanced climate change studies far more refined than that provided by distribution modeling alone, and distinct from some other types of modeling, such as Dynamic Global Vegetation Modeling. BioMove provides species-denominated answers to dynamic questions. Many such research questions have had no or only marginally appropriate methods with which to be addressed until now. The breadth of these possible research applications will depend on the ingenuity of climate change biologists, but two examples will be offered here to illustrate the potential.

One example is the assessment of "overshoot" greenhouse gas stabilization policies. Current international climate policy has begun to address the issue of "overshoot" - the possibility that global greenhouse gas trajectories will exceed target levels for some time, then gradually decrease to meet the target (Huntingford & Lowe 2007). One reason for this is the greenhouse gas levels may already exceed the threshold for important physical changes, such as loss of all arctic sea ice, that are undesirable. To reach acceptable levels below current concentrations, a temporary exceedance of the desirable target may be inevitable, to be followed by a reduction to meet the target. The biological consequences of such a strategy are unknown, however. Putting species through two climate transitions may result in greater range loss than a single transition to a higher target. BioMove can test the effects of a double versus single transition on individual species. It can therefore help inform whether higher stabilization levels or "overshoot" are preferred policy strategies from the point of few of maintaining healthy ecosystems and conservation of biodiversity.

A second example is the development of more sophisticated models of species response to climate change. BioMove itself is an advanced hybrid model, but the field will continue to advance, and tomorrow's models will be built on the advances of the past few years. As one of the most advanced and nuanced climate change biology models available, BioMove will allow the exploration of additional routines to address poorly understood aspects of species response to climate change. The implementation of

BioMove is particularly suitable for these types of applications, as other landscape and biogeographic models may be joined to BioMove in the Lamos interface. For example, a hydrology model could be added to BioMove to examine the interactive effects of vegetation and water availability in altered climates.

Because BioMove will be widely available, many researchers will be able to experiment with its capabilities and develop new applications. Here red listing, decision support, invasive species assessments and advanced research have been highlighted. Other applications can be expected which have not been anticipated in the development or testing process. The strength of BioMove will undoubtedly move the field ahead to more advanced questions, which more sophisticated models and more advanced versions of BioMove will be required to address. The speed and complexity of those advances will be one measure of the contributions of BioMove.

## 4.0 Simulation Examples

The following section provides several examples of BioMove applications. Within each section an introduction to the target species is provided as well as an explanation of extent selection, parameterization and results. Each case study explores a unique capability of BioMove. The first case study illustrates the potential for BioMove to model *Pinus lambertiana* (sugar pine) under several climate change scenarios with a fire disturbance and land-use mask. The second case study highlights the importance of long distance dispersal in a case study with *Yucca brevifolia* (Joshua tree) as the target species. The third case study models the intra-community competition by integrating three PFTs that fill various niches in the *Quercus douglasii* (blue oak) woodland mosaic habitats. Also using blue oaks as the target species, a fourth case study uses BioMove to simulate the dynamics of a 'leading edge' under climate change where long distance dispersal and inter-community competition limit species movement. The fifth case study highlights the applicability of BioMove to model an invasive species, *Bromus madritensis* (red brome).

# **4.1** Dynamic modeling of Sugar Pine, (*Pinus lambertiana*), north-central Sierra Nevada Mountains, California

### Introduction

Pinus lambertiana (Sugar pine) is a characteristic mixed-conifer species found throughout the Cascade Mountains of Oregon, Sierra Nevada Mountains of California and Sierra San Pedro Martir in Baja California (Kinloch and Scheuner 1990). It is found at elevations ranging from near sea level up to 3,000 km in the Transverse and Peninsular ranges of southern California. In California it is found throughout the western slopes of the Sierra Nevada, where mixed-conifer is bordered down slope by interior live oak woodlands. Over the past two decades, mortality rates have increased for *Abies* spp. and *Pinus* spp. while recruitment rates have not changed (van Mantgem and Stephenson 2007). This suggests a potential for species die back in this region. Mortality trends could be exacerbated if water limitations and drought stress continues under climate change (van Mantgem and Stephenson 2007).

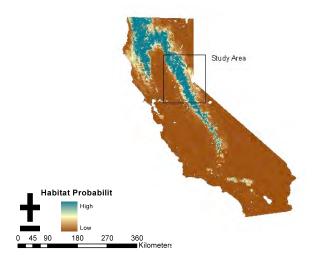
In addition to its ecological role in mixed-conifer systems, *P. lambertiana* is highly valued as a timber species in California. Managing this commercially important species under climate change is particularly challenging in this region because of the complex 'checkerboard' pattern of public and private land ownership. The future extent of this species will therefore be governed by bioclimatic limitations and the associated competition with other species as well as those threats posed by disparate land management practices.

This case study highlights BioMove's unique capability to model climate change impacts, disturbance and land use affects on species distribution. Decadal climate change envelopes were integrated to the model using the *scheduler* function. These envelopes impose new effects of climate on mortality and fecundity every 10 years through 2080. The type of output generated from this case study has important application to management coordination and decision support, particularly in regions such as the Sierra Nevada that produce many of California's natural resources.

#### Methods

Species and extent selection

To predict where this species may be found in the future, the authors modeled shifts in *P. lambertiana* in the northern Sierra Nevada, California in the context of climate change, competition with a neighboring PFT and dispersal constraints. They selected a study area that captures much of the "checkerboard" ownership but also contains *Quercus* habitat representing potential competition for *P. lambertiana* as the bioclimatic envelopes of these two species shift under climate change (Figure 4.1.1). In this region, *Quercus* habitat is dominated by *Q. wislizenii*, an evergreen, shade intolerant oak. The modeled future distribution for *Q. wislizenii* predicts an upslope movement (i.e. eastward) in the Sierra Nevada, suggesting a possible increased competition with *P. lambertiana*.

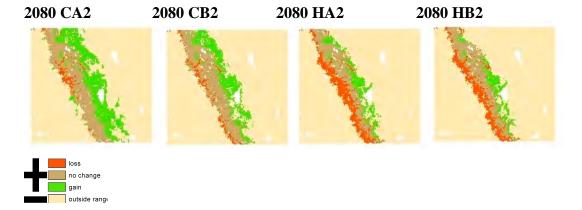


**Figure 4.1.1**. Modeled current distribution species occurrence for *Pinus lambertiana* in California.

## Species parameterization

Input data (1 km) for Biomove included current and future *P. lambertiana* probability distributions derived from the BioMod technique (Thuiller 2003) based on species presence/absence and environmental data. Future climate data used in the future projections for probability distributions were based on the Hadley Centre (HADCM3) and Canadian Center (CCM) general circulation models for two IPCC greenhouse gas (GHG) emissions scenarios; A2 and B2 for the years 2050 and 2080. The greatest loss of *P. lambtertiana* distribution is predicted by the HADCM2 model with relatively consistent patterns between the A2 and B2 GHG scenarios (Figure 4.1.2). This loss is seen in lower elevation areas where as the CCM predicts little or no change in these down slope areas. In contrast, the upslope areas are projected by the CCM to gain potential *P. lambertiana* habitat. Only minor increases in upslope habitat probability for the central region are predicted with the HADCM2.

A decadal time series of probability distributions was calculated by linearly interpolating from calculated future projections to generate a new envelope every 10 years. The model was run for 700 time steps (years) to reach equilibrium. The subsequent output was then used as the initial conditions for a simulation that included modeled bioclimatic envelopes every 10 years.



**Figure 4.1.2.** Modeled projected changes in species distribution for *Pinus lambertiana* for two GCMs (C; Canadian Center Model and H; Hadley Center Model). Each GCM was run using two sets of greenhouse gas emissions scenarios (A2 and B2).

The target species, *P. lambertiana*, was parameterized based on the current literature to explicitly define life history characteristics for each stratum (seedling, immature, mature and senescent), mean and long-distance dispersal distances and response to competition with the PFT (Table 4.1.1). Age range for each stratum (Kinloch and Scheuner, Fowells and Shubert 1965), mortality (Kinloch and Scheuner, van Mantgem 2004), fecundity (Krugman and Jenkinson 1974) were derived or calculated from several sources in the literature. The maximum cell population was based on studies in the Sierra Nevada looking at pathogen and fire exclusion on demography. An estimate was determined based on values from the controls plots used in the experiment (van Mantgem et al. 2004).

	Seedlings	Saplings	Mature	Senescent	
Age range (years)	1 to 15	16 to 30	31 to 300	301 to 400	
Annual mortality (%)	80%	20%	10%	3	
Effect of envelope (exponent)	1	0.5	0	0	
Effective Fecundity (seeds/tree)	0	0	10	2	
Physical Stratum	0	1	2	2	
Max cell population (trees/km)	20000				

**Table 4.1.1.** Demographic and physical parameters used to define target species, *Pinus lambertiana* in BioMove.

## Plant functional type parameterization

An interior live oak PFT was selected due to its neighboring bioclimatic envelope and potential competition with *P. lambtertiana*. In this case, BioMove is used to look at interecosystem competition as opposed to within ecosystem competition. Interior live oak, an evergreen oak is found in foothills, floodplains, valley bottoms and upland slopes up to 5000 feet. Found throughout southern Klamath and southwestern Cascade ranges and on the western slope of the Sierra Nevada Mountains. Similar to *P. lambertiana*, it is also found scattered throughout the Transverse and Peninsular ranges of southern California (Pavlick et al. 1991).

In Biomove, the PFT is parameterized similarly to the target species but with fewer specific parameters. For example, mortality is designated as yes/no for each age class at three light levels (low, medium, high) as opposed to a continuous function expression as used for the target species. In this regard, the PFT is not as explicitly characterized as a single species but allows for an agglomeration of species' to constitute a single PFT.

#### Disturbances

Fire is a dominant disturbance throughout the Sierra Nevada. Disparate fire management practices between public and private lands can lead to incongruent rates of ecosystem succession for neighboring parcels of mixed-conifer habitat. Fire suppression can pose threats to *P. lambertiana*, a relatively shade intolerant species (van Mantgem et al. 2004). As fire return intervals increase, the understory and leaf area index can increase shade and decrease the ability of *P. lambertiana* to successfully recruit.

To address the role of fire in this landscape, a submodule simulated fire and the effects on the target species and PFT. Five fire size classes were created (1, 4, 16, 64 and 256 km²). Each fire size class was parameterized individually for its effect on the PFT and target species' mortality and ability to resprout. Fires were characterized using the "step contagion" fire sub-module in BioMove. This fire spread model works on a yearly time step although frequency of disturbance prone years and attempted fire starts per time step can be used to further define fire frequency.

Land use is also an important consideration for this region of the Sierra Nevada. Land ownership will influence management practices (e.g. harvest rates, planting regimes) thus affecting growth rates, reproduction and dispersal ability. In a separate simulation, the authors used a land ownership mask on the target species to differentiate public from private land holdings in the 'checkerboard' region of the Sierra Nevada. The model was run for all public lands (including areas outside of the checkerboard for which we did not designate land ownership) while the private land was masked. This simulated privately owned timber harvest upon reaching maturity, limiting contributions to dispersal pools and not following regular recruitment patterns.

#### Simulation

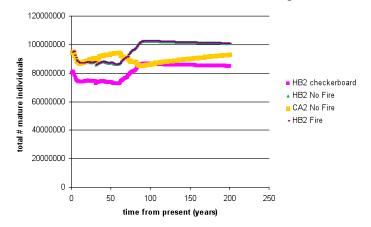
The model ran using current distribution data for 700 years in order to reach a state of equilibrium for each model variable. Only the current envelopes were used for the target and PFTs. These results were used as the initial conditions for the subsequent model runs which reloaded new bioclimatic envelopes every 10 years for the years between present and 2080. The model was then run for an additional 120 years with the 2080 bioclimatic envelope. First a simulation using the Hadley B2 scenario was run, with and without fire. Another simulation was run to compare the Hadley B2 scenario with an extreme scenario, the Canadian A2. A subsequent run used a land ownership mask on the Hadley B2 scenario.

#### **Results**

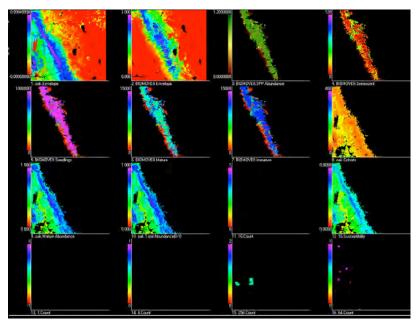
The HB2 scenario was used to test disturbance effects on mature abundance for the simulation with fire. There was little effect from fire on mature abundance (Figure 4.1.3).

This is mainly attributed to the lack of spatial overlap between the PFT range and the target species range. Because only the PFT can 'bring' fire into a habitat, although it can affect both the PFT and target species, fires will only burn where the PFT is present. Therefore, there was no effect on the *P. lambertiana* from fire except in the marginal area where its range overlapped with the oak PFT. This region of overlap was not substantial enough to produce any effect from fire. Figure 4.1.4 is a matrix output display from the simulation with fire including target species' seedlings, immature, mature and senescent abundances, envelopes and PFT total abundance.

The abundance of mature individuals over time varied both in quantity and trajectory between the HB2 and CA2 scenarios (Figure 4.1.3). This is not suprising given the bioclimatic envelopes for *P. lambertiana* differ most between the retreating, downslope edges of the Hadley and Canadian. The differences between the GCMs are much greater than those between scenarios A2 and B2 (Figure 4.1.2).

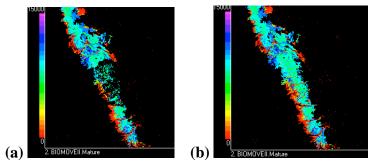


**Figure 4.1.3** Number of total mature individuals for the entire study area across the 200 year simulation. Each line represents a different simulation. The HB2 (green) and CA2 (yellow) scenarios produce markedly different outputs. There was no difference between the runs with and without fire (purple and green overlapping lines), attributable to the lack of overlap between the PFT and target species habitat.



**Figure 4.1.4.** Matrix display of the HB2 (selected as an example) simulation with fire disturbance simulation (bottom row). Five fire size classes were used: 1, 4, 16, 64 and 256 km<sup>2</sup>

The land use mask reduced the total number of mature individuals. The mask blocked all dispersal into and out of the designated regions creating an overall depression in total number of trees (Figure 4.1.5).



**Figure 4.1.5** Total mature individuals for target species *P. lambertiana* under two simulations; with a land ownership mask (a) and without (b).

## **Future Direction**

BioMove has been applied to a target species found in mixed-conifer ecosystems. Although this species bears similar life-history traits to the other species in this ecosystem, it does not represent all members in this mixed-conifer community. An additional application of BioMove may be to examine intra-community competition with a functional type, instead of intra-community as illustrated with the *Quercus* PFT.

The role of fire in this study should also be considered with more depth. By including a PFT that provided intra-community competition, fire could be simulated within the mixed-conifer habitat, as opposed to only the neighboring communities as seen with the *Querucs* PFT.

# **4.2** Dynamic modeling of Joshua Tree (*Yucca brevifolia*), Mojave Desert of California

## Introduction

Background

The Joshua Tree (*Yucca brevifolia*) is an iconic plant in the California Mojave desert, with a National Park and best-selling rock album among it's many tributes. It is a long lived, slow growing, tree-like endemic desert perennial of the California Mojave desert (Hickman 1993, Turner 1982). It grows to 15m tall, has dark green leaves to 35 cm, 4-7 cm long flowers that are moth-pollinated, and inflorescence to 50cm. It produces a 15 cm fruit that is spongy that sheds seeds in August (Went 1948). The seeds are facultative, sprouting after a rain and have been shown to be dispersed by mammals. The species is found between 500 and 2000m in the California Mojave Desert, in southwest Utah and in western Arizona. Its leaves have been shown to be tolerant of temperatures as high as 59°C (Smith et al. 1983). Measured dispersal of seeds is limited, with maximum measured distance of 251 m (Lenz 2001). Considerable research over the years has led to a well-documented review of the species

(http://www.fs.fed.us/database/feis/plants/tree/yucbre/all.html).

Studies on the sensitivity of Joshua Tree to fire have varied in their results, with some indicating the capacity to resprout (Gorder et al. 2005) and others that the trees die upon burning (Brooks and Berry 1999). However, the vegetation types of the Mojave desert are generally agreed to have very low natural fire frequency, and this has been altered through the arrival of invasive annual species, particularly Bromes (*Bromus madritensis* subsp. *rubens* and *B. tectorum*), which are capable of carrying fire in these ecosytems (Emming 2005). These species increase the fire frequency, which in turn drives mortality of native species (Brooks and Esque 2002, Brooks et al. 2004). The fate of Joshua Tree may be closely tied to its ability to disperse seed over long distances and its ability to compete with invasive species in the context of changing fire regimes. It is important to consider all of these variables when modeling the future distribution of Joshua Tree.

BioMove is an ideal platform for modeling such a complicated system due to its ability to define short-lived invasive species in the demography model, simulate fire and allow for long distance dispersal. The objective of this BioMove run was to model the response of *Y. brevifolia* to changing climate conditions, and to examine the potential impact of invasive species on *Y. brevifolia* through its interaction with a PFT.

## **Methods**

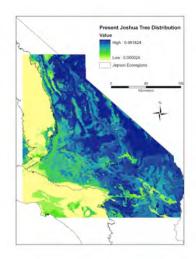
Input data (1 km) for BioMove included current (Figure 4.2.1) and future *Y. brevifolia* probability distributions derived from the BioMod technique (Thuiller 2003) based on species presence/absence and environmental data. Future climate data used for creating future species distribution projections were based on the Hadley Centre (HADCM3) and Canadian Center (CCM) global circulation models for two IPCC greenhouse gas (GHG) emissions scenarios; A2 and B2, for the years 2050 and 2080. Environmental predictor weightings for future species distributions were derived from the present species

distribution model and applied to the futures scenarios. All species distribution models used were consensus approach models, in which model results from four approaches (GAM, GLM, ANN, GBM and CART) were input to a principal components analysis, and predictor variable weightings derived from the first axis components.

Different climate scenarios generally showed range contraction along the western edge of the range for *Y. brevifolia*, however the Hadley Center scenarios had less impact than the two Canadian climate scenarios. Generally, our model results indicated more suitable habitat remained in California than model results from Dole et al. (2003), who modeled a double CO<sub>2</sub> scenario for Joshua Tree across its whole range at 10km scale, an order of magnitude coarser spatial resolution than the models presented here.

The Canadian A2 scenario was used as input to BioMove. A decadal time series of probability distributions was calculated by linear interpolation from modeled future species projections to generate a new environmental envelope for Joshua Tree every 10 years, from 2000 to 2080. The 2000 *Y. brevifolia* envelope was input into BioMove, along with the demographic, dispersal, disturbance, competitive PFT, and competition parameters. BioMove was run for 300 time steps (years) to reach equilibrium. BioMove output from the initial conditions run was saved as an "initial conditions file" (filename.icd) which was then used as the initial conditions for a simulation that included modeled bioclimatic envelopes every 10 years, and which was run for 200 years.

To simulate fire effects BioMove ran with the fire module turned off, and then with the fire module turned on. When fire was turned on, it was assumed to cause high mortality in all age classes except mature individuals, for which a 50% mortality rate was assumed.



**Figure 4.2.1.** Current range suitability for *Yucca brevifolia* as predicted from overlapping five BioMOD species distribution models.

Target Species Parameterization

The target species, *Y. brevifolia*, was parameterized based on the current literature to explicitly define life history characteristics for each stratum (seedling, immature, mature and senescent), mean and long-distance dispersal distances and response to competition with the PFT (Table 4.2.1). The age structure was modified to reflect mortality in the second year, thereby permitting the functioning stage-based dynamics required of a matrix-based modeling approach such as BioMove. Age range, fecundity, mortality, and maximum population possible per 1 km<sup>2</sup> were derived from a variety of sources (see review: <a href="http://www.fs.fed.us/database/feis/plants/tree/yucbre/all.html">http://www.fs.fed.us/database/feis/plants/tree/yucbre/all.html</a>).

**Table 4.2.1.** Demographic and physical parameters used to define target species, *Y. brevifolia* in BioMove.

	Seedlings	Immature	Mature	Senescent
Age range	0	15	30	150
Annual mortality (%)	N/A	70	5	80
Effect of envelope (exponent)	0.4	0.1	0.1	0.4
Effective Fecundity (seeds/plant)	0	0	150	0
Physical Stratum	0	1	2	2
Max cell population (individuals/km2)		2000	)	

## Plant functional type parameterization

Competition was modeled with a PFT whose distribution is controlled by the combined envelopes for *Bromus madritensis* and *Erodium cicutarium* as modeled in BioMOD. These were selected to represent invasive annuals in the Mojave Desert. Inter-specific competition for light in BioMove is accomplished by specifying the slope and inflextion of a light response curve in addition to the allocation of different age classes to different strata. Joshua Trees are not thought to have much competition for light, although the facultative nature of annual species seed sprouting suggests there might be competition for water after rains. However, the main possible interaction between the target Joshua Tree, and the PFT used is that the PFT enables fire in these ecosystems and consequently increases the fire frequency.

#### Disturbance

Simulations were run both with and without a fire disturbance. When fire was included, it was with a constant 15 fires per year, with a maximum spread of 50 pixels (50 km<sup>2</sup>).

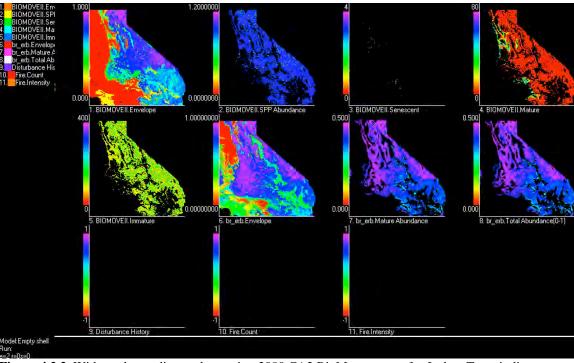
#### **Simulation**

Using the present modeled distribution for the target species and PFT, a 200 year simulation without fire was run to bring the species distribution to equilibrium (Figure 4.4.4). Using the output generated from the spin-up, a 200-year simulation followed, starting with the current envelope at year 0 and applying the decadal climate envelopes in 2010, 2020, 2030, etc., up to 2080. The simulation ran for an additional 80 years using the 2080 scenario to see if the model outputs stabilized under the new climate values.

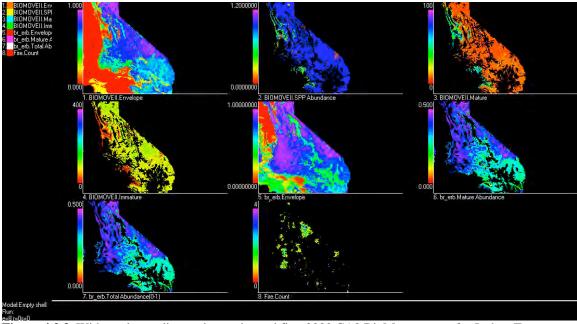
## **Results**

When Joshua Trees were assigned moderate dispersal capacity, BioMove indicated that the species would persist on the California landscape through climate change (Figure 4.2.2). This was true even with a moderate number of fires (Figure 4.2.3).

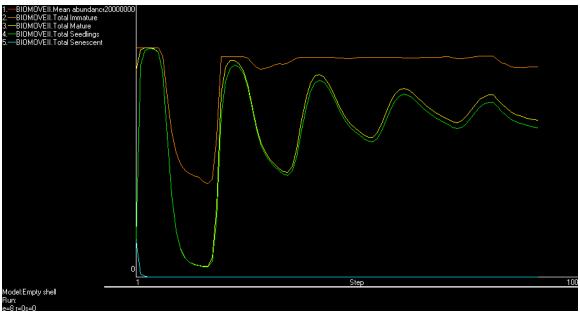
However, long distance dispersal may prove to be an important constraint for this species. Figures 4.2.4 & 4.2.5 plot the difference in persistence of populations with moderate and low levels of dispersal. Figures 4.2.6 & 4.2.7 show the spatial result of two runs with low long distance dispersal in conjunction with the same fire frequency used in Figure 4.2.6.



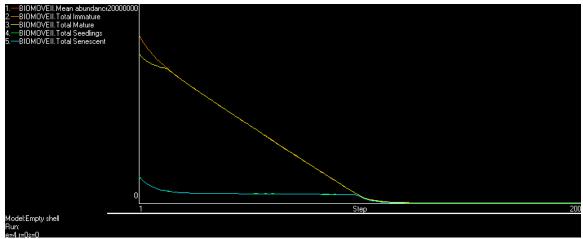
**Figure 4.2.2.** With moderate dispersal capacity, 2080 CA2 BioMove output for Joshua Trees indicates persistence in the eastern part of the range (top row, center & left).



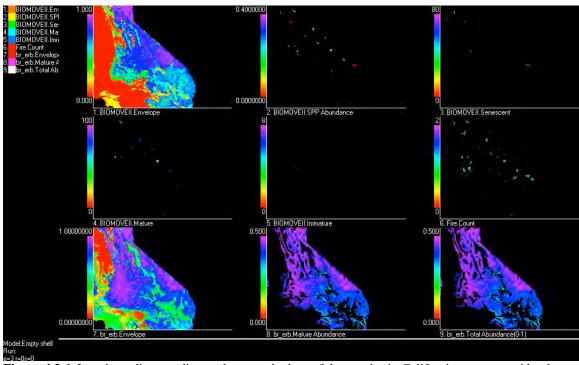
**Figure 4.2.3.** With moderate dispersal capacity and fire, 2080 CA2 BioMove output for Joshua Trees indicates persistence in the eastern part of the range (top row, center & left). The fires shown in the last square are the cumulative fires on this landscape over the 200 years of the simulation. The PFT declines in abundance in the southern half of the range.



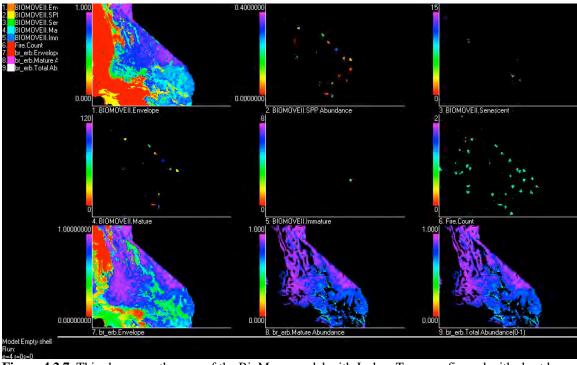
**Figure 4.2.4**. Population with moderate dispersal (2 pixel, 1% long distance dispersal), showing progression towards a steady state population. Each line represents a different life stage: seedling (green), immature (orange), mature (yellow) and senescent (blue).



**Figure 4.2.5.** Population curve with limited dispersal (0.1% long-distance dispersal). This image shows the loss of the species within 100 years.



**Figure 4.2.6**. Low long distance dispersal causes the loss of the species in California, represented by the few populations left seen in panels 2-4. Moderate fire was included in this model run (panel 5). Fire did but did not appear to extirpate the Joshua Tree populations. The invasive PFT in the lower panels remained much the same as in the initial climate run.



**Figure 4.2.7.** This shows another run of the BioMove model with Joshua Trees configured with short long-distance dispersal.

#### **Future Research**

Long-distance dispersal capacity seemed to be key in these model simulations. The literature suggests that the only known manner of dispersal for Joshua Tree is via desert rodents (Vander Wall et al. 2006), although some Pleistocene megafauna have been speculated to have been dispersal agents. Long distance dispersal events were set to equal 2 pixels, or 2 km. When these events occurred at a frequency of 1 per 1000 seeds, the population of Joshua Trees declined to zero, regardless of whether there was fire or not. When the frequency of dispersal was increased to 1 per 100 seeds, the population of Joshua Trees maintained itself, even in the event of fires. Even though some studies have been made of Joshua Tree dispersal, the results of this modeling exercise suggest that more work is necessary to be confident of the long-term persistence of this important species.

Joshua Tree populations tend to be spatially isolated. Genetic studies could potentially inform us about Joshua Tree dispersal by quantifying the genetic distance (and estimated rates of genetic exchange) of existing isolated populations. Additionally, closer examination of the dispersal modes, potentially including wind and bird assisted dispersal experiments would be useful in determining how truly restricted the seed dispersal of the species is.

Joshua Trees are potentially very long-lived species. This simulation ran for 200 years, but it is possible that runs of much longer periods of time would be necessary to effectively model the population dynamics of this species. How to handle the response of Joshua Trees over periods relevant to their lifespans, when the climate projection models

only go out 80 years is a challenge for the next round of research. This is compounded by the possibility that good recruitment years may be episodic, and follow some combination of weather events that cause particularly good establishment conditions. Establishment for these plants is also slow, a phenomenon typical of many desert shrubs, and may take 100 years to establish (Guo 2004). Following grazing and other human disturbance, perennials in some North American deserts took over 50 years to re-establish (Guo 2004). This suggests that disturbance-initiated stand replacement could lead to vegetation type conversion. This dynamic also points to the importance of Joshua Tree establishment period, something not explicitly modeled, but which likely combines rainfall, lack of fire, and successful competition with invasive annuals.

Along these lines, a possible model development challenge for BioMove would be the ability to include extreme rain events. Some studies suggest that Joshua Trees have abundant seed production/recruitment in years of high rainfall. Since the annuals modeled also are facultative sprouters, competition for moisture in these years might play a pivotal role. This leads to another observation- competition in these systems may be related to root competition for moisture. BioMove currently models competition for light. In this system, the broadly spaced patterns of native shrubs means canopies are not closed (Went 1948). This suggests that the constraints of moisture have led to root competition. Shrub spatial patterns may be changing with incursion of annuals, something that might be a good research area. From the perspective of future BioMove development, the recognition of different classes of competition may be a feature to consider for future addition.

# 4.3 Dynamic modeling of Blue Oak (*Quercus douglasii*), southern Coastal Mountains, California

#### Introduction

Blue oak woodlands are among the most diverse communities in North America, supporting more than 1,400 species of flowering plants, 29 species of amphibians and reptiles, 57 species of birds, and 10 species of mammals (Ritter 1998). Blue oak woodlands are found throughout the coastal ranges and the foothills of the Sierra Nevada in California. They are dominated by *Quercus douglasii* (blue oak) but also host valley oak, coast live oak and black oak as well as many grass and shrub species in different portions of their range. Urban and sub-urban expansion, agriculture and grazing threaten the persistence of blue oak woodlands. Climate change may exacerbate these current threats and must be considered when developing management strategies to help protect this unique community type endemic to California.

Although blue oak is a widely studied species in California (Borchert et al. 1989, Tyler et al. 2006, 2008), many questions remain regarding its patterns of recruitment. Some studies have indicated that populations of blue oaks may not be regenerating quickly enough to sustain a stable population. Absence of blue oak saplings and seedlings has been noted throughout much of the species' range (Bartolome et al. 2001; Bolsinger 1988; McClaran 1983). Regeneration is an area of concern in oak woodland conservation efforts, but the evidence tends to be contradictory and site specific (Tyler et al. 2006).

Blue oak woodlands are unique in that they are found within a mosaic of grasslands, shrublands and forest communities. To comprehensively model how blue oak may dynamically change under climate change, it is important to consider competition with these other plant communities. If there is substantial competition for light with other species, blue oak could be outcompeted, particularly in areas where its bioclimatic envelope is less suitable for its persistence. Therefore, it is important to consider all of these communities when modeling BioMove in the face of climate change.

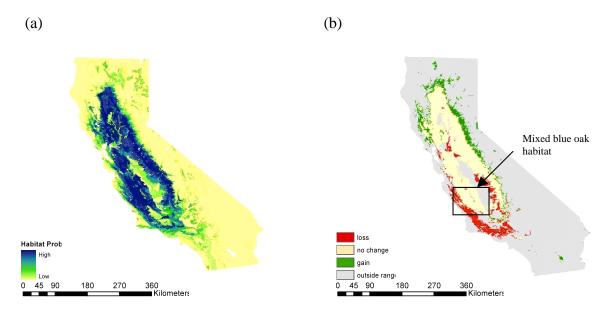
This application of BioMove utilizes the competition sub-model to simulate multiple levels of intra-community competition. BioMove is well-suited for this because it allows the user to define infinite PFTs that can compete with the target species for a resource. The competition sub-model allows the user to designate different life stages to different strata, thus defining how much light is available to lower strata individuals. Additionally germination occurs at different rates according to light requirements and availability defined for both target species and the PFTs. BioMove is particularly useful for modeling blue oaks in the southern coastal range because of its ability to integrate all PFTs cooccuring with blue oak woodlands.

#### Methods

Extent and plant functional type selection

Blue oak is found throughout much of central California (Figure 4.3.1a). This particular extent was selected for simulation because of its highly heterogeneous pattern of plant

communities within a relatively small geographic area. In this region of the southern coastal range, blue oak is found with perennial and annual grasses, sage scrublands and chamise shrublands. Results from BioMOD using a principal components analysis predict loss of blue oak habitat for this portion of its range (Figure 4.3.1b).



**Figure 4.3.1**: (a) Current predictions for current *Q. douglasii* habitat probability (0-1) generated from BioMOD using principal components analysis. Low probabilities of occurrence are pictured in yellow and high probabilities in blue. (b) Changes (loss=red, no change=peach, gain=green) in habitat suitability for *Q. douglasii* generated from the difference between current prediction of habitat suitability and future 2080 projected habitat suitability for the Hadley B2 scenario. The boxed region used in this study is comprised of mixed coastal sage scrub, grassland and blue oak woodland.

Two PFTs were used for the competition submodule. The shrub PFT was constructed by calculating the maximum of the presences of two shrub species, *Artemisia californica* (California sagebrush) and *Adenostoma fasciculatum* (chamise). Both are shade-intolerant perennial shrubs with high fire and drought tolerance. Although *Artemisia californica* is deciduous and *Adenostoma fasciculatum* is evergreen, they were merged together as a single PFT for the purposes of this modeling exercise since BioMove does not address phenological patterns. The grass PFT used was a perennial native grass, represented by the distribution of *Nassella pulchra*.

#### Species parameterization

Table 1 summarizes the key demographic and physical parameters used in the BioMove model of blue oaks.

**Table 4.3.1:** Demographic and physical parameters used in BioMove model of blue oaks.

	Seedlings	<b>Immature Trees</b>	<b>Mature Trees</b>	<b>Senescent Trees</b>
Age range (years)	1-7	8-30	31-300	301-400
Annual mortality (%)	50	5	0.3	3
Effect of envelope	Strong (exp=1.0)	Weak (exp=0.5)	None	None
Effective Fecundity (seeds/year)	0	0	4	4
Physical stratum	0	1	2	2
Maximum cell population		50,000 (sum of	all age classes)	

Blue oaks are a long-lived species with high seedling mortality and low adult mortality. Allen-Diaz and Bartolome (1992) found blue oak seedling mortality to be approximately 50% per year under natural field conditions. Swiecki et al. (1993) found adult mortality to be in the range of 2-4% per decade, equivalent to 0.22-0.45% per year, so an intermediate value of 0.3% per year is used here. Fewer data are available for seedling and sapling mortality, but the sapling mortality of 5% used here is taken simply as a midrange value between seedling and mature mortality rates. The rate of senescent mortality cannot be changed by the user but is calculated automatically in BioMove such that 95% of individuals die over the length of the age class.

The maximum abundance was chosen to produce a realistic density of reproductive individuals, comparable to dense oak woodland. Using a maximum abundance of 50,000 individuals per cell, the equilibrium age distribution on suitable habitat has between 5,500 and 7,000 reproductive (mature and senescent) individuals per km<sup>2</sup>. This corresponds to a reproductive tree density of approximately one tree every 12-14 meters.

The effect of envelope values on mortality for the different age classes is based on the factors that are expected to control the current distribution of the species. The effect of climate on mature and senescent blue oaks is likely to be negligible, since they have deep tap roots that provide them with reliable sources of water. Likewise, the envelope has a very subtle effect on fecundity. The species distribution is likely driven by the mortality of seedlings and immature individuals, which are more strongly impacted by moisture availability near the surface. Thus the mortality of juveniles and sub-adults are affected by the climate envelope with a logarithmic type function, such that low envelope values are strongly affected by the envelope and high envelope values are less affected.

The effective fecundity (number of successfully-sprouting acorns per year) is 4 for both mature and senescent. This value was based on a rough calculation using measurements of average seedling recruitment and acorn production for blue oaks in Borchert et al. (1989). Seeds are dispersed randomly into the neighborhood of the parent grid cell according to an exponentially-weighted probability function. Dispersal occurs at both local and long-distance scales. Local dispersal accounts for 99.9% of all dispersal and has a mean "throw" distance of 10 m, which essentially restricts all local dispersal to the

parent cell. The remaining 0.1% of dispersal is allocated to long-distance events, which have a maximum throw distance of 3km, equivalent to a mean distance of 650 m.

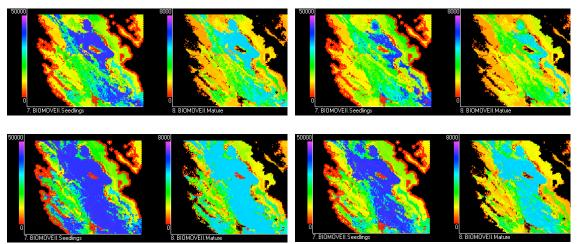
## **Simulation**

This BioMove simulation used the envelopes produced by the principal components analysis in BioMOD. This method uses a consensus method to identify those areas with highest individual model agreement for species abundance. Four scenarios (Hadley A2, B2 and Canadian A2 and B2) produced with BioMOD were used for bioclimatic envelopes and initials conditions. Starting with an initial distribution that followed the shape of the current envelope for blue oaks, the model ran a 500 year simulation to bring the species distribution to equilibrium. A 300-year simulation starting was run with the current envelope at year 0 and with new climate envelopes decadally between the present and 2080.

## Results

Intra-community competition is an important aspect of dynamic species modeling when considering the potential threat of climate change on habitat suitable for species' persistence. Microclimatologies in this region are reflected by the heterogeneous pattern of species distribution in the southern coastal range. BioMove captures these dynamics through incorporating multiple PFTs to compete with blue oak.

Blue oak was modeled for four future climate scenarios, C (Canadian) A2 and B2 and H (Hadley) A2 and B2. All of the simulations produce markedly different results for all variables. Figure 4.3.2 shows two selected variables, blue oak total seedlings and blue oak total mature individuals.



**Figure 4.3.2.** BioMove results for two climate change scenarios (B2, A2) and two GCMs (C=Canadian, H=Hadley). Each panel contains modeled abundances for two variable outputs, seedling (left) and mature (right) abundances. Numbers correspond to total number of individuals in entire study region.

The Hadley B2 scenario predicts the greatest number of seedling and mature blue oaks for the study region. The difference between the B2 and A2 scenarios are slight but

noticeable. The A2 scenario predicts lower abundances on the western slope of the coastal mountains. This pattern is exacerbated in the Canadian scenarios, both of which predict smaller abundances than either of the Hadley scenarios.

# 4.4 Dynamic modeling of Blue Oak (*Quercus douglasii*), northern Central Valley, California

#### Introduction

Blue oak (*Quercus douglasii*) is a widely-distributed California endemic species. Throughout its range, it is neighbored by different plant community types. In the northern portion of the blue oak range (Figure 4.4.1a), blue oak woodlands are neighbored at lower elevations by annual and perennial grasses and at higher elevations by mixed conifers. Species distribution models predicts northward movement under climate change.

This northern 'leading edge' portion of its range where colonization of new habitat is taking place, is found in the northern portion of the Central Valley and the upslope margins of the western slope of the Sierra Nevada and the Coastal Range. The study region selected reflects the leading edge of the current distribution and includes the portion to which it is predicted to expand under the modeled climate change distributions (Figure 4.4.1b). In this area of its range, conspecifics maintain the population's ability to disperse into new areas provided they are able to overcome other competition and dispersal limitations.

Suburban and exurban development present some of the most immediate threats to blue oak woodland throughout California. Seventy-five percent of blue oak habitat falls under private ownership. While most of this land has traditionally been used for grazing livestock (Davis *et al.* 1998), ranching has become less profitable, and landowners have experienced increasing economic pressure to sell their lands for development. As oak woodlands are converted to agriculture or development, the remaining habitats continue to become highly fragmented. For this reason, the ability for blue oak to disperse possibly long distances over fragmented habitat, may become requisite for its persistence.

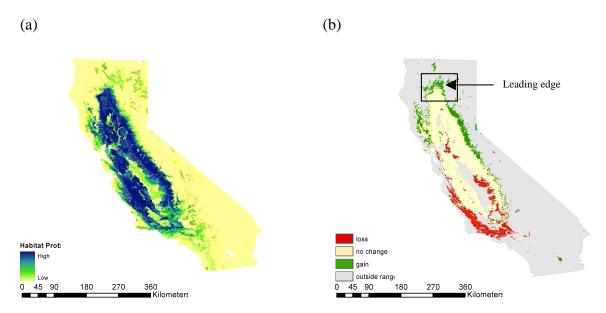
This simulation highlights BioMove's ability to simulate inter- and intra-community competition while taking into account long distance dispersal limitations. BioMove permits the dynamics associated with a 'leading edge' of a species' distribution to be modeled in competition with co-occurring species as well as those species that pose competition in areas of colonization. These type of model outputs could have important applications for land management when designating new conservation areas under climate change.

#### Methods

Extent and plant functional type selection

Blue oak's leading edge, where colonization of new habitat is taking place, is found in the northern portion of the California's central valley. The study region selected for this case study reflects the leading edge of the current distribution and the portion to which it is predicted to expand under our modeled distributions under climate change (Figure 4.4.1b). Climate change over the coming century will drive the range of suitable habitats for blue oaks northward and upslope. Figure 4.4.1b shows the changes in blue oak habitat

suitability generated from the difference between current range predictions and those for the 2080 Hadley B2 scenario. This future scenario is just one example of the projected habitat suitabilities produced with BioMOD. For this simulation, BioMove used the projected Canadian A2 and B2 future habitat suitabilities from BioMOD to model the dynamic response of blue oaks to climate change.



**Figure 4.4.1**: (a) Current predictions for *Q. douglasii* habitat probability (0-1) generated from BioMOD using principal components analysis. Low probabilities of occurrence are pictured in yellow and high probabilities are in blue. (b) Changes (loss=red, no change=peach, gain=green) in habitat suitability for *Q. douglasii* generated from the difference between current prediction of habitat suitability and future 2080 projected habitat suitability for the Hadley B2 scenario. The boxed region used in this study is the leading edge of the species distribution.

In this area of its range, conspecifics maintain the population's ability to disperse into new areas provided they are able to overcome other competition and dispersal limitations. Both competition with PFTs and dispersal limitations present themselves as obstacles to blue oak persistence in this region. Three PFTs were used in the competition sub-model; shrub, grass and pine. The shrub PFT was constructed by calculating the maximum of the presences of two shrub species, *Artemisia californica* (California sagebrush) and *Adenostoma fasciculatum* (chamise). Both are shade-intolerant perennial shrubs with high fire and drought tolerance. Although *Artemisia californica* is deciduous and *Adenostoma fasciculatum* is evergreen, they were merged together as a single PFT for the purposes of this modeling exercise since BioMove does not address phenological patterns. The grass PFT used was a perennial native grass, represented by the distribution of *Nassella pulchra* (purple needlegrass). A mixed-conifer PFT, represented by *Pinus lambertiana* (sugar pine), was also added to account for competition in upslope areas where blue oak may try to colonize under climate change,

#### *Species parameterization*

Table 4.4.1 summarizes the key demographic and physical parameters used in the BioMove model of blue oaks.

**Table 4.4.1:** Demographic and physical parameters used in BioMove model of blue oaks.

	Seedlings	<b>Immature Trees</b>	<b>Mature Trees</b>	<b>Senescent Trees</b>
Age range (years)	1-7	8-30	31-300	301-400
Annual mortality (%)	50	5	0.3	3
Effect of envelope	Strong (exp=1.0)	Weak (exp=0.5)	None	None
Effective Fecundity (seeds/year)	0	0	4	4
Physical stratum	0	1	2	2
Maximum cell population	50,000 (sum of all age classes)			

Blue oaks are a long-lived species with high seedling mortality and low adult mortality. Allen-Diaz and Bartolome (1992) found blue oak seedling mortality to be approximately 50% per year under natural field conditions. Swiecki et al. (1993) found adult mortality to be in the range of 2-4% per decade, equivalent to 0.22-0.45% per year, so an intermediate value of 0.3% per year is used here. Fewer data are available for seedling and sapling mortality, but the sapling mortality of 5% used here is taken simply as a midrange value between seedling and mature mortality rates. The rate of senescent mortality cannot be changed by the user but is calculated automatically in BioMove such that 95% of individuals die over the length of the age class.

The effective fecundity (number of successfully-sprouting acorns per year) is 4 for both mature and scenescent. This value was based on a rough calculation using measurements of average seedling recruitment and acorn production for blue oaks in Borchert et al. (1989). Seeds are dispersed randomly into the neighborhood of the parent grid cell according to an exponentially-weighted probability function. Dispersal occurs at both local and long-distance scales. Local dispersal accounts for 99.9% of all dispersal and has a mean "throw" distance of 10 m, which essentially restricts all local dispersal to the parent cell. The remaining 0.1% of dispersal is allocated to long-distance events, which have a maximum throw distance of 3km, equivalent to a mean distance of 650 m.

The maximum abundance was chosen to produce a realistic density of reproductive individuals, comparable to dense oak woodland. Using a maximum abundance of 50,000 individuals per cell, the equilibrium age distribution on suitable habitat has between 5,500 and 7,000 reproductive (mature and senescent) individuals per km<sup>2</sup>. This corresponds to a reproductive tree density of approximately one tree every 12-14 meters.

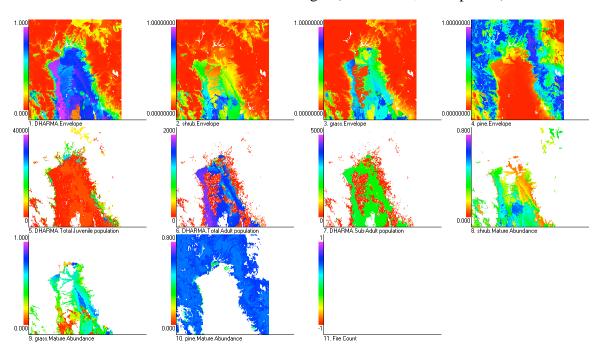
Regeneration of oaks is not fully understood despite the multitude of demographic studies. More recent literature studies have concluded reproduction may be a function of size rather than age (Tyler et al. 2006). Because age is difficult to determine for blue oak, individual size may be a more realistic parameter to define in modeling oak reproduction. A new demographic sub-module was developed that models the transition of individuals through time based on life stages as opposed to age. This allows the user to define number of stage classes each with a corresponding probability of individuals staying in a stage class (e.g. juvenile, sub-adult, adult).

## Simulation

The model was run for 500 year so that the target species and PFTs could reach equilibrium. This was then used as the initial conditions file for the subsequent simulations. Envelopes for modeled habitat suitability were introduced on a decadal time step using the Scheduler function. The Canadian A2 scenario was used in all simulations. Long distance dispersal was enabled for target species and all of the PFTs.

#### Results

The top row of Figure X illustrates the initial bioclimatic envelopes used in the simulation. The blue oak (referred to here as "DHARMA"), grass and shrub PFT all have highest probability of occurrence (blue/purple) in the central portion of the modeled extent. This corresponds to the northern limit of California's central valley. The pine PFT is found in the foothills surrounding the central valley (top row, right panel). The initial abundances of blue oak varies between life stages (middle row, 3 left panels).

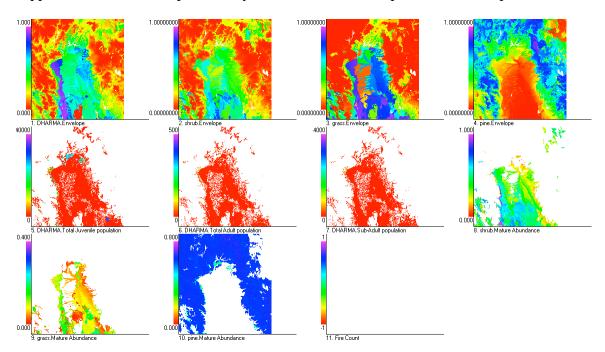


**Figure 4.4.2.** BioMove results for first 10 years of simulation of blue oak simulation. Envelopes used to designate habitat suitability for target species, "DHARMA", and three PFTs, shrub, grass and pine are pictured in top row.

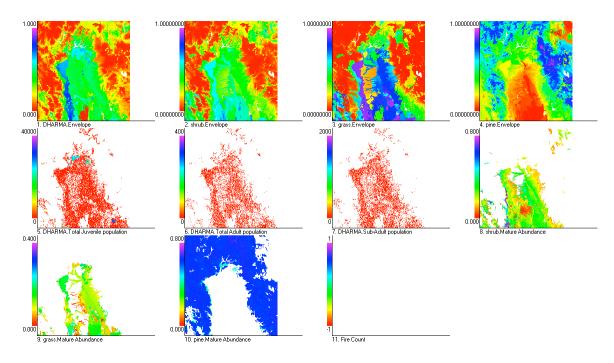
The results show little success in the blue oak's ability to move upslope into the mixed-conifer habitat. There is some blue oak northward expansion of the leading edge as seen in the increasing distribution in each of the life stages. However, the abundances in these cells are very low, denoted by the red in the center row of panels (Figure 4.4.3) for the year 2050. By the year 2080 (Figure 4.4.4), the abundances have decreased even more as illustrated by fewer cells with oaks present. This may be attributed to the competition with the shrub and grass PFTs. Mature abundance for both the grass (middle row, right

panel) and shrub (bottom row, left panel) PFTs do not decline in the same proportions as the blue oak, as illustrated by higher probabilities of mature abundances (Figure 4.4.4).

Overall, there is little actualization of the potential niche in the leading edge of the blue oak. BioMove does predict movement into the bioclimatic envelope through long distance dispersal. However, the habitat suitability may be too low in this region to support oak recruitment, particularly in the context of competition with the pine PFT.



**Figure 4.4.3.** BioMove results for 50 years of simulation for blue oak. Envelopes used to designate habitat suitability for target species, "DHARMA", and three PFTs, shrub, grass and pine are pictured in top row.



**Figure 4.4.4.** BioMove results for 80 years of simulation for blue oak. Envelopes used to designate habitat suitability for target species, "DHARMA", and three PFTs, shrub, grass and pine are pictured in top row.

## **Future Research**

The demographic model in the most recent version of BioMove must be carefully defined. This simulation does not necessarily reflect the most realistic blue oak scenario for two reasons. Recruitment and growth rate vary extensively in oaks and there seems to be little consensus in the literature. The values used in the juvenile mortality had to be altered to allow the species to reach equilibrium. A sensitivity analysis of the demographic model would allow a more comprehensive definition of the growth and mortality values used. This only stresses the importance of spatially explicit demographic studies to provide parameter data. The second reason is that the envelopes provided in the climate change simulation may be having too strong of an effect on fecundity, thus preventing the spread of the species northward. An additional sensitivity analysis would help to determine whether this is having a significant role in the blue ability's to migrate northward.

# 4.5 Dynamic modeling of Red Brome (*Bromus madritensis*), north-central Sierra Nevada Mountains, California

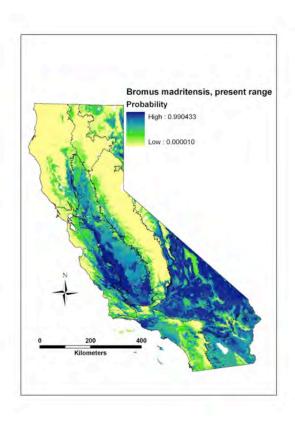
## Introduction

Background

Red brome [*Bromus madritensis* subsp. *rubens* (L.) Husn.] is an annual grass native to the Mediterranean region introduced to the western US in the late 1800s (Hunter 1991, Beatley 1966, Salo 2005) and has widely invaded California. Red brome has the capacity to convert vegetation in various ecoregions to grassland. It can impact both desert and chaparral ecosystems by affecting the fire frequency, which in turn drives mortality of native species, further facilitating its establishment (Brooks & Esque 2002, Keeley 2005). The species' current California distribution covers the Mojave & Sonoran deserts, the Central Valley, the coast ranges from Mexico to Napa and Lake counties, parts of the Modoc plateau and the western Sierra Nevada foothills (Figure 4.5.1).

Future climate change is expected to increase the range of red brome, with suitable habitats becoming available for invasion at higher elevations. This potential change could permit red brome to invade conifer systems, with potentially the same dynamic of increasing fire frequency coming into play in the conifer-dominated ecosystems of the Sierra Nevada (Keeley 2005). While the effects of such an increase of fire frequency are not known- would it lead to shift from conifer-dominated ecosytems to grasslands?- this modeling exercise attempts to illustrate the possible range of incursion of the grass into these systems.

This case study illustrates the application of BioMove to study the movement of an invasive species into an established ecosystem. Fire was used to create an avenue of establishment for the invasive species in the context of climate change, competition with a neighboring PFT and dispersal constraints. This simulation used the same extent used for the sugar pine (*Pinus lambertiana*) and oak (*Quecus spp.*) BioMove case study. The idea was to develop additional information for a concentrated region in the central and northern Sierra, which might permit the emergence of additional insights into the landscape-level dynamics of vegetation under climate change, and to create models which might also be further developed in later modeling efforts.



**Figure 4.5.1**: Current statewide *Bromus madritensis* range as determined by the overlap of predicted ranges from BioMOD using five different species distribution models year 2000 climate data.

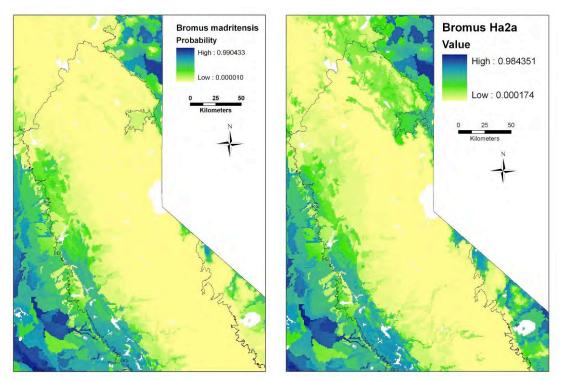
## Methods

## Species parameterization

Input data (1 km) for BioMove included current and future *B. madritensis* probability distributions derived from the BioMod technique (Thuiller 2003) based on species presence/absence and environmental data. Future climate data used for creating future species distribution projections were based on the Hadley Centre (HADCM3) and Canadian Center (CCM) global circulation models for two IPCC greenhouse gas (GHG) emissions scenarios; A2 and B2, for the years 2050 and 2080. Environmental predictor weightings for future species distributions were derived from the present species distribution model and applied to the futures scenarios. All species distribution models used were consensus approach models, in which model results from four approaches (GAM, GLM, ANN, GBM and CART) were input to a principal components analysis, and predictor variable weightings derived from the first axis components.

The current modeleld and future HA2 scenario were used for input to BioMove for this case study (Figure 4.5.2). A decadal time series of probability distributions was calculated by linear interpolation from modeled future species projections to generate a new environmental envelope for *B. madritensis* every 10 years, from 2000 to 2080. The 2000 *B. madritensis* envelope was input into BioMove, along with demographic, dispersal, disturbance, competitive PFT, and competition parameters. BioMove ran for

300 time steps (years) to reach equilibrium. BioMove output from the initial conditions run was saved as an "initial conditions file" (filename.icd) which was then used as the initial conditions for a simulation that included modeled bioclimatic envelopes every 10 years, and which was run for 200 years.



**Figure 4.5.2.** Detail of current and 2080 HA2 (for example) climate modeled range probability for *B. madritensis* in BioMove scenario study area. Green values indicate moderate saturation; upslope habitat gain is indicated on both sides of the Sierra Nevada.

## Target Species Parameterization

The target species, *B. madritensis*, was parameterized based on the current literature to explicitly define life history characteristics for each stratum (seedling, immature, mature and senescent), mean and long-distance dispersal distances and response to competition with the PFT (Table 4.5.1). Since *B. madritensis* is an annual, its age structure was adjusted to reflect mortality in the second year, thereby permitting the functioning stage-based dynamics required of a matrix-based modeling approach such as BioMove. Age range, fecundity, mortality, and maximum population possible per 1 km² were derived from a variety of sources, with review also covering the sister taxa *Bromus tectorum* (Huxman et al. 1999, Beckstead et al. 2007, Mack and Pike 1983, Norton et al. 2007, Pierson and Mack 1990, Rice and Mack 1991, Salo 2004, Salo 2005).

**Table 4.5.1.** Demographic and physical parameters used to define target species, *B. madritensis* in Biomove.

	Seedlings	Immature	Mature	Senescent
Age range	0	1	1	2
Annual mortality (%)	N/A	70	30	30
Effect of envelope (exponent)	0.5	0	0	0
Effective Fecundity (seeds/plant)	0	0	150	0
Physical Stratum	0	1	1	1
Max cell population (individuals/km2)		15000	00	

## Plant functional type parameterization

A mixed conifer PFT was defined whose distribution is controlled by the envelope of Douglas fir (*Pseudotsuga menziesii*) as modeled in BioMOD, and represents the extent of the Sierra mixed coniferous forests. Inter-specific competition for light in BioMove was accomplished by specifying a response curve of the fir to light and the allocation of different age classes to different strata. The mixed conifer forest functional type impacted *B. madritensis* through shading, but was not impacted by the grass, excepting that the grass can establish more quickly, and promotes fire that could kill seedlings. It is possible that the grass' upper-elevation range limit is governed partly by competition with conifers. It is also possible that grasses will manage to invade mixed coniferous ecosystems, in a manner similar to their successful establishment in desert shrub and chaparral systems in southern California.

#### Disturbance

Two fire scenarios were modeled. First, 30 fire starts per year were modeled, with a maximum area disturbance of 50 km<sup>2</sup>. Second, 10 crown fires were set to occur every 4<sup>th</sup> year. The two scenarios were set to see if *B. madritensis* would establish if mixed conifer forest areas burned and served as additional invasion sites.

### **Simulation**

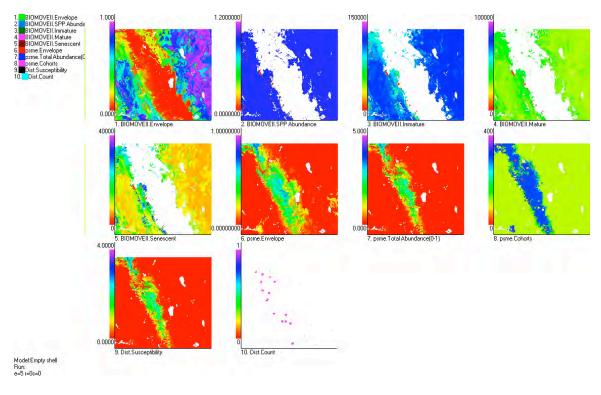
The model ran with no fire for 600 years to bring the species distribution to equilibrium. Using the output from the initial run, the model ran a 200 year simulation starting with the current envelope at year 0 and applying the decadal climate envelopes in 2010, 2020, 2030, etc... up to 2080. The simulation continued for 120 years using the 2080 scenario.

### Results

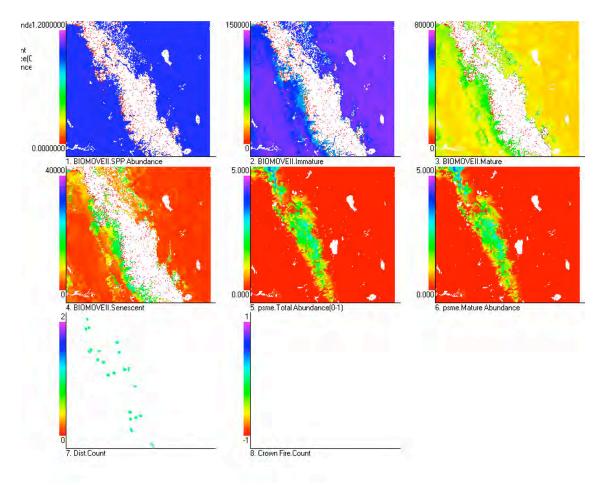
The BioMove models show a general upslope establishment of the target species (*B. madritensis*), and considerable narrowing of elevational distribution of PFT mixed conifer forest, simulated by the range of Douglas fir (Figure 4.5.3). This pattern held true whether or not fire was permitted to burn in the simulations. Since the mixed coniferous forest and the grass range distributions were adjacent to each other, with the grass at lower elevation, the default scenario essentially showed the influence of climate. Competition between the large trees and grass played out as limiting grass recruitment upslope from the range it occupied.

Long distance dispersal varied between 4 a 10 km for the grass, and had a probability of dispersal from 0.0001 to 0.01. The longer the distance and the more frequent the dispersal events, the more the background landscape of the mixed coniferous forest elevations were sprinkled with small populations of the grass (Figures 4.5.4 & 4.5.5).

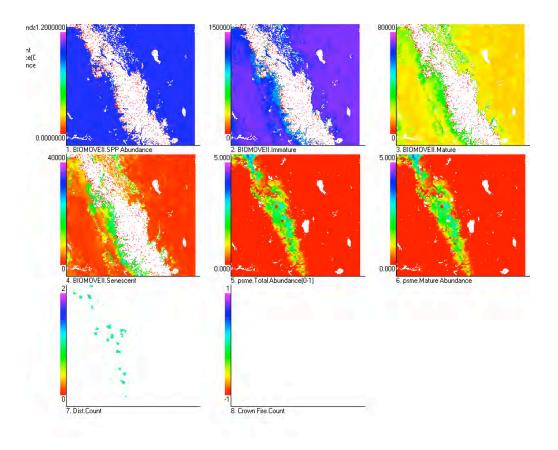
Addition of crown fires led to loss of the majority of the Douglass fir within its band- the large mature stands were burned out. However, establishment of the grass in these open areas was limited- even when long distance dispersal was increased from a base case of 4km to 10km, when the influence of the climate envelope was minimized, and with a long-distance dispersal probability of 0.0001. When conditions for invasion were pushed to highly favorable for the grass (long distance dispersal occurring for 1 in every 100 seeds, large crown fires, and low influence of environmental envelopes on the grass), presence of *B. madritensis* was broadly distributed in the mixed coniferous zone (Figure 4.5.6). This was evident as a large swath of new populations established itself further upslope on the west side of the Sierras, a phenomena not evident in the runs where crown fires were restricted, and the climate envelope of the grass had a higher effect on the mortality of the grass.



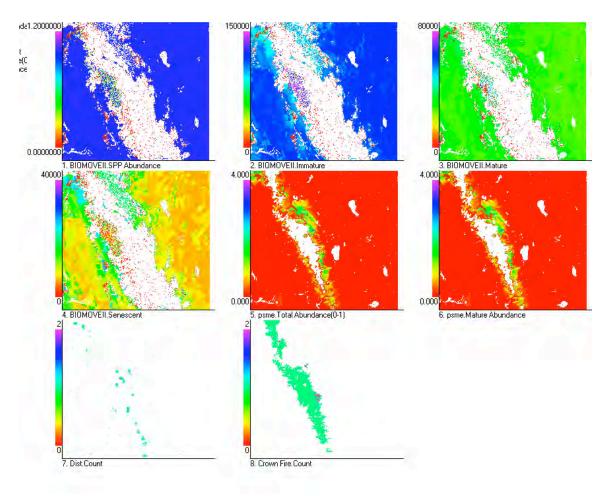
**Figure 4.5.3.** *B. madritensis* moved upslope during the 200 year run, and the 80 years of climate change. The mixed-conifer PFT narrowed in extent and is much less abundant, as seen in 7a, middle row, 3<sup>rd</sup> box being with green as highest abundance value rather than blue in the spin up run. New red brome populations establish along the upper edges of its suitable habitat range.



**Figure 4.5.4.** No crown fires, 0.01% seed long distance dispersal ability, 4 km dispersal range. The conifer PFT is narrowed. Populations of the invasive grass are visible throughout the region, even though the environmental conditions may be unfavorable. However, the large number of senescent populations (middle row left box) indicates that these may not persist.



**Figure 4.5.5.** No crown fires, 0.01% seed long distance dispersal ability, 10km long distance dispersal range. Increasing the long distance dispersal capacity from 4 km to 10 km did not make much difference in the capacity of red brome to disperse potential colonies throughout the study region. This may be due to the high number of individuals of red brome in the regions that are environmentally suitable.



**Figure 4.5.6.** Most invasive scenario. Crown fires are seen in bottom center, and the effects of the environmental envelope on red brome are minimized, and there were frequent long distance dispersal events. In this scenario, crown fires were permitted to burn large portions of the mixed conifer zone, as seen in the white sections of the PFT squares (center and right middle row). This lead to more open areas, in which red brome could establish, seen in the first four boxes as the new bluish polygon along the left (west flank Sierra) side of the white region. In contrast to the previous scenarios, this scenario shows major ecosystem type conversion to grasses for those areas.

## **Future Research**

BioMove scenarios were able to explore several hypotheses about whether mixed coniferous forests will be vulnerable to invasion by *B. madritensis*. The model showed that if the grass is not restricted by it's climate envelope- an open question, whether the grass is currently found at lower elevations due to environmental restrictions, competition for light from trees, or some combination – it can at least place propagules throughout the mixed coniferous zone.

Red brome produces dense populations with the potential high seed production. Under appropriate establishment conditions, it appears that parts of the mixed coniferous forest belt would be affected. However, the largest amount of establishment in the zone was observed when the mixed conifer belt was also experiencing crown fires across the

majority of the region. Under this scenario, the grass was able to show the broadest distribution.

The results suggest altitudinal planting experiments with invasive bromes would help determine whether these species (*B. madritensis and B. tectorum*) are actually limited by climate envelopes at the current time or not.

This version of BioMove is suitable for setting landscape scale ecological questions in a spatially explicit framework. However, the model format should be considered a prototype, with considerably more model sensitivity testing needed. In addition, the stage-based life history matrix had to be adapted to handle the dynamics of invasive annuals. Perhaps different modules could be developed that could be better attuned to the great range of survival strategies exhibited by different plant species. This scenario tested a rather extreme example, by modeling long-lived trees in competition with an invasive annual grass.

A promising area of BioMove is the potential capacity to model the interaction between plant competition and disturbance, in which the competitive advantage switches from one plant to another as a result of disturbance and changing baseline environmental conditions. This scenario showed the capacity for BioMove to simulate such an interaction, and to identify the outer bounds of the dynamics involved. Further research could lead to much better understanding of what landscape patterns would be expected under the differently weighted parameter values.

## **5.0 Statewide Species Distribution Models**

Species distribution models (SDMs) of the ranges of over 300 plant species under current and future climate scenarios were developed by the project as a necessary input to the BioMove simulations. These SDMs are a major modeling resource for assessments of climate change impacts on species in California in their own right. Appendix A lists the species modeled. An example of the output for each species is illustrated in Figure 5.0.1.

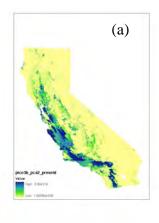
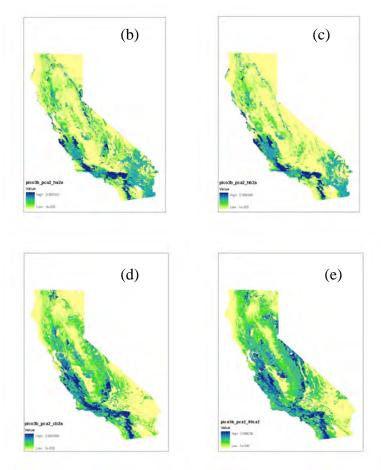


Figure 5.0.1. Example output from species distribution modeling for *Pinus coulterii*. For each species, a present range was predicted using compiled point data (a). Future range predictions were also created for two GCMs; Hadley (b and c) and Canadian (d and e) and two emissions scenarios, A2 (b and d) and B2 (c and e), for the year 2080. Outputs also were produced for the year 2050 although not pictured here.



Development of the SDMs required assembly of species presences and absence data. Both presence *and* absence data were because of the range of modeling techniques used, some of which required absence data. Therefore, the traditional source of specimen records was not sufficient for the SDM modeling task. In addition, the majority of herbarium specimens in California have not yet been geo-referenced, so the actual data available from herbaria was relatively low.

Using the presence and absence data, vegetation plots were then assembled. Vegetation plots are useful because, at least for easily identified species, an absence of record at a plot may be assumed to be a real absence. Generous contributions from a variety of groups permitted the assembly of 36,561 vegetation plots (Table 5.1), derived from US National Parks, US Forest Service, California Dept of Fish and Game, US Geological Survey Biological Resources Division, and scientific researchers. Presence only records were then added to this collection, totaling 4197 for a variety of species that were accessed from digital herbaria repositories at the Jepson Herbarium (UC Berkeley), UC Davis, and Chico State. All georeferenced records used have an original spatial precision within 50m, with the exception of the 1930 Wieslander Vegetation Type Map data, which have spatial precision of +/- 300m (Kelly et al. 2005). All vegetation plot datums and projections were standardized to commonly used California standards: Albers Equal Area projection and North American Datum 1983.

The 40,758 total locations with information about plant species' presence (Figure 5.0.2) were then processed to be used as response variables in the SDM. Processing required several steps: 1) evaluation of which locality data were suitable to use for modeling which species; 2) development of a list of species to be modeled; 3) preparation of locality data for each species and re-sampling of presence and absence of each species to our base scale for modeling in the state (1km²); and 4) development of predictor variables for the same localities.

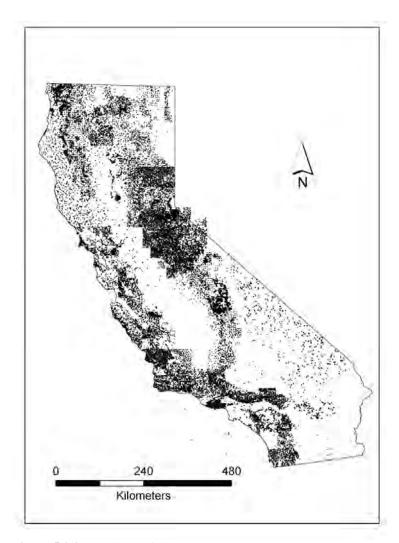
- 1) To be suitable for modeling, a plant species had to have a minimum of 20 presence records in the collection, resulting in a list of 671 species that were suitable to model. Trees, invasive species and certain shrubs were modeled with greatest confidence.
- 2) This led to a total of 315 species selected for modeling. These species were classified into endemic or non-endemic, and tree, shrub, perennial, annual, or invasive.
- 3) Once the presence and absence localities for each species were established, the data were resampled to a 1 km x 1 km grid scale for California, resulting in 410,000 grid cells for the state. If any record in a cell indicated a presence of a species, that cell was termed present for that species. Similarly, for absence, if the vegetation plots in a cell did not contain a species' presence, the grid cell was termed absent for that species. Not all P/A records were used for all species sampled- if certain vegetation plots were deemed to not have been surveyed in a manner that would permit identification of certain groups, particularly annual plants, then those sets of plots were excluded from processing for annuals. This was the case, for example with the US Forest Service FIA plots, which

were not used for annual species processing. Table 5.2 indicates which data sets were used for which species.

**Table 5.2**. Source of vegetation plots & records used for SDM development.

	Number of	Used for Pres/Abs
Dataset	plots used	(PA) or Pres Only (PO)
American River Survey	338	PO
Central Coast BLM	565	PO
US Forest Service FIA	5517	PO
Serpentine Soils Survey	218	PA
Hastings reserve veg plots (stromberg and griffin)	80	PA
Joshua Tree National Park	300	PA and PO (Rel & RAP)
Los Padres National Forest (Ecoplots)	1299	PA
Sacramento River Plots	92	PA
Mojave Desert Survey (USGS)	1242	PA
PoreCVIS (Point Reyes and GoGa RA)	366	PA
Redwoods National Park	194	PO
Santa Monica Mtns. NRA	2875	PA
Sequioia National Park (CVIS)	627	PA
Sequoia National Park (RAP)	123	PO
Sequoia National Park VM AA	2821	PO
Sequoia National Park VMPlots	423	PO
Cal Dept Fish & Game Sierra Foothills RAP	648	PO
Cal Dept Fish & GameSierra Foothills Relevees	296	PA
Sudden Oak Death Surveys	98	PO
Suisun Marsh	67	PO
USFS No Cal Ecolplots ("USFSN")	5643	PA
USFS So Cal Ecolplots ("White Data")	906	PO
Wieslander Vegetation Type Maps	10860	PO
Western Riverside (USGS)	368	PO
Yosemite National Park	595	PO
Total plots available	36561	
Herbarium records	4197	PO
Total Data	40758	

4) Development of predictor variables. Predictor variables were developed at a 1 km<sup>2</sup> grid scale. Not all absences were used in determining response variables for the SDMs. The literature suggests that a 2:1 ratio of absence to presence is an appropriate level of absences to avoid model overfit. The number of presences for each species was doubled to identify the number of absences to use. Absences were sampled at random from the total collection of absences identified for each species.



**Figure 5.0.2**. Locations of plant presence and absence data, used as response variables for species distribution modeling in California.

### Soil and Climate Predictor Variable Assembly

WorldClim (Hijmans et al. 2005) datasets for predictor climate variables for current climate were used, selecting six of 19 grids available by running a principal components analysis on them over the 410,000 cells of California. This permitted selection of vectors that represented the breadth of California environment, that were biologically important, and that were minimally cross-correlated. The six predictor variables selected were: annual temperature range (Bio 7), mean temperature of the driest quarter (Bio 9), mean temperature of the coldest quarter (Bio 11), precipitation seasonality (Bio 15), precipitation in the wettest quarter (Bio 16), and precipitation of the warmest quarter (Bio 18). WorldClim data are available in 1km² cells. These were used as the geographic framework into which the plot data, as discussed above, was sampled.

Future climatology data were derived from the Hadley Center A2 and B2 scenarios, and the Canadian A2 and B2 scenarios. From these scenario outputs, the same predictor variables were derived as described in the current scenario above. Model outputs were re-

sampled to the 1 km<sup>2</sup> framework such that both current and future climate values were available for every cell.

The State Soil Geographic Data Base (STATSGO), which is appropriate for regional-scale research, was developed by the USDA-NRCS. The mapping scale for STATSGO map is 1:250000. STATSGO delineates soil map units comprised of similar combinations of soil components or series as a GIS layer. In addition, the STATSGO database contains soil characteristics data on many physical, chemical, and biological attributes of each component and layer (or horizon), including their proportional fraction of the map units. Each characteristic is described as a range, with a low and high value. EPA aggregated the layer and component soil characteristics to map unit soil characteristics for 27 variables related to water quality (Shirazi et al. 2001).

EPA provided a table of the map unit-level characteristics for the study area. This table was joined to the STATSGO GIS layer of map units and converted to a grid of soil characteristics at the same 1 km<sup>2</sup> resolution as the climate and species plot data. Of the 27 characteristics in the EPA table, five that could strongly influence plant species distribution in California were selected for this project, using the low value of the range for each. The five soil characteristic variables were: Available water capacity (cm cm<sup>-1</sup>), soil depth (m), pH, salinity (mmhos cm<sup>-1</sup>) and depth to water table (m).

A probabilistic model based on Bayesian decision rules was used to examine the impact of including static environmental predictor variables such as soils maps in combination with dynamic variables such as climate on the identification of potential range and a consensus model based on PCA to reduce the uncertainty of predictive range mapping using a variety of individual model outputs. The AUC statistics were calculated to evaluate the effects of model. The AUC was recorded for each model run of each species.

## **Running Models**

Species presences and absences and predictor environmental values were compiled for each grid cell, and extracted to tabular form. Once the data were in tabular format, they were exported to R (R Development Core Team 2006), where five SDMs (General Boosted Regression (GBM), Classification and Regression Tree analysis (CART), Artificial Neural Net (ANN), General Additive Model (GAM), and General Linear Model (GLM) were run using BioMod, a modeling framework developed for use in R (Thuiller 2003). SDM model outputs from R were stored in tabular format (.dbf). A principal components analysis was conducted on the model outputs to derive a single, best estimate output for each climate scenario. The final model outputs were converted to floating point grid files and made available for use as inputs to BioMove.

#### **6.0 Conclusions and Recommendations**

BioMove is a hybrid model uniquely suited to modeling species range shifts in response to climate change. Its hybrid nature is facilitated by implementation in the Landscape Modeling Shell, Lamos. Elements simulating dispersal, disturbance and competition are available for interface with BioMove, while BioMove itself simulates population dynamics and succession. The model is therefore a versatile tool, applicable to many of California's unique biogeographic settings.

Tests of BioMove indicate that it is useful for examination of the influences of fire, life history and land use on species' response to climate change. Species' range shifts across land ownership mosaics have been tested, as has the spread of invasive species and the effect of fire on the response of trees and grasses to climate change. Assessment of flagship species such as the Joshua tree have been demonstrated with BioMove. Many other applications are anticipated as the tool is distributed to the research community.

An important input to BioMove are species distribution models, which have been developed for over 300 species from a database of over 25,000 vegetation plots statewide. Each species has been modeled using 6 distribution models for each of eight climate scenarios, making for 48 scenarios available for each species. This modeling archive is in itself a remarkable resource for climate change and biodiversity impact studies in California. It will be archived at the National Center for Ecological Analysis and Synthesis and updated periodically.

Among the future uses of BioMove are assessments of species extinction risk from climate change, examination of policy questions and examination of fire dynamics. Members of the BioMove development team are helping design tools for international red-list designation of threatened species. Applications in state and federal endangered species programs are foreseen. Policy questions can be addressed using BioMove, such as the biological impact of overshoot of greenhouse gas stabilization targets and return to lower levels. Fire dynamics in California forest and shrub communities as climate changes can be productively explored. Many problems with unique dynamic elements, including land use change problems, will be amenable to assessment with the model.

BioMove is especially relevant for interface with novel fine-scale climatologies for site assessment. The model is scale independent, meaning that it can be implemented at any scale. Applications at 10m-30m are within the capability of BioMove and supported by environmental information layers such as digital elevation models. The limiting factor to date in these fine-scale applications has been the availability of future climate scenarios, since global and regional climate models typically operate on scales of hundreds and tens of kilometers respectively, three orders of magnitude coarser than the fine scale grains at which many biological processes might suitably be simulated.

NCEAS, the Bren School of Environmental Science and the Nature Conservancy are collaborating on a working group to develop methods for creating fine-scale climate scenarios for site assessment that will be implementable in BioMove. The first of these

meetings will be held in Sept 2008. By combining lines of evidence and global and fine-scale modeling, this group hopes to produce a ground-breaking method for producing climatologies with resolutions of tens of meters. BioMove will stand well situated to take advantage of these climatologies for site assessment.

Understanding of fire ecology in a changing climate is another research frontier in which BioMove can feature prominently. The interchangeable fire module in BioMove implementation makes the model a flexible fire simulation tool on broad-to-meso scales (and with suitable climatologies, on site scales as well). More sophisticated implementation of BioMove in support of fire climate change ecology studies is a major research goal for the development team.

Beyond its free availability, BioMove will be promoted in the international research community through publications, presentations and management applications. Test versions of the model have already been requested by researchers around the world with no advance publicity other than word of mouth, and the model has been used in applications ranging from the San Diego 2050 assessment to air pollution and conservation planning assessments in the south coast and Sierra foothills. Upcoming publication of the model methods in a major peer-review modeling journal will feature online access and a user's manual.

Advanced applications of the model will be promoted by the C4 collaboration, a joint initiative of NCEAS, the Nature Conservancy, Conservation International, the Wildlife Conservation Society and local conservation groups such as the Greater Yellowstone Coalition and Fanamby (Madagascar). BioMove will be available for all C4 working groups at NCEAS, in combination with species data sets and advanced fine-scale climate projections with which to run the model. These applications will provide high-profile publications using BioMove as well as facilitating its application in local climate change management exercises - the purpose of C4 is to link local applications with world-class appropriate science - and BioMove is a tailored to meet both of these needs.

Finally, because BioMove will be widely available, many researchers will be able to experiment with its capabilities and develop new applications. Site-scale modeling, explorations of fire-vegetation interactions and facilitating the interface of world-class science and local impact assessment are but a few of its potential applications. Other applications can be expected which have not been anticipated in the development or testing process. BioMove will undoubtedly move the field ahead to more advanced questions, which more sophisticated models and more advanced versions of BioMove will be required to address. The speed and complexity of those advances will be one measure of the contributions of BioMove.

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# **Appendix A.** Species modeled with BioMOD.

ABCO Abies concolor
ABMA Acacia greggii

ACSH Acamptopappus shockleyi

ACSP Acamptopappus sphaerocephalus

ACNEC2 Acer negundo californicum var. californicum

ACHY Achnatherum hymenoides
ACCO21 Achnatherum coronatum
ACSP12 Achnatherum speciosum
ADFA Adenostoma sparsifolium
ADSP Adenostoma fasciculatum

AECA Aesculus californica
ALIN Allionia incarnata
ALAM2 Allium amplectens
ALRH2 Alnus rhombifolia

ALIN2 Alnus incana ALRU2 Alnus rubra

AMFI Amaranthus fimbriatus AMDU2 Ambrosia dumosa AMUT Amelanchier utahensis

AMCAC Amorpha californica var. californica

ARME Arbutus menziesii

ARCA5 Arctostaphylos canescens
ARGL3 Arctostaphylos glandulosa
ARGL4 Arctostaphylos glauca
ARLU4 Arctostaphylos luciana
ARMA Arctostaphylos manzanita
ARNE Arctostaphylos nevadensis

ARPA6 Arctostaphylos patula
ARPU5 Arctostaphylos pungens
ARVI4 Arctostaphylos viscida
ARMA3 Arenaria macradenia

ARNO4 Aretmesia nova
ARPU9 Aristida purpurea
ARCA11 Artemisia californica
ARDO3 Artemisia douglasiana

ARDR4 Artemisia dracunculus
ARLU Artemisia ludoviciana
ARRO4 Artemisia rothrockii
ARTR2 Artemisia tridentata

ARTRV Artemisia tridentata vaseyana

ARDO4 Arundo donax Asclepias erosa ASER2 ASSU Asclepias subulata ASCA5 Aspidotus californica ASLE8 Astragalus lentiginosus ATCA2 Atriplex canescens ATCO Atriplex confertifolia ATHY Atriplex hymenelytra BAPI Baccharis pilularis BASA4 Baccharis salicifolia

BEJU Bebbia juncea

BEAQD Berberis aquifolium var. dictyota

BOBA2 Bouteloua barbata
BOER4 Bouteloua eriopoda
BRAT Brickellia atractyloides

BRCA5 Bromus carinatus
BRDI3 Bromus diandrus
BRHO2 Bromus hordeaceus
BRMA3 Bromus madritensis
BRTE Bromus tectorum

**CABR** Calamagrostis breweri GACA3 Calium californicum Calocedrus decurrens CADE27 CAAL2 Calochortus albus CAAM5 Calochortus amoenus CAIN3 Calochortus invenustus **CASP** Calochortus splendens CAOC5 Calycanthus occidentalis CACH6 Castanopsis chrysophylla

CAAF Castilleja affinis

CAAFA2 Castilleja affinis subsp affinis

CAAND Castilleja angustifolia CAFO2 Castilleja foliolosa CALE18 Castilleja lemmonii CANA3 Castilleja nana

CECR Ceanothus crassifolius
CECU Ceanothus cuneatus

CECUF2 Ceanothus cuneatus var. fascicularis

CEGR Ceanothus greggii

CEOR Ceanothus greggii
CEIN3 Ceanothus integerrimus
CEJE Ceanothus jepsonii
CELE2 Ceanothus leucodermis
CEME Ceanothus megacarpus
CEOL Ceanothus oliganthus
CEPR Ceanothus prostratus
CESP Ceanothus spinosus

CETO Ceanothus tomentosus
CEVE Ceanothus velutinus
CEME2 Centaurea melitensis
CESO3 Centaurea solstitialis

CECAT Cercis occidentalis

CEOCO Cercis occidentalis var. orbiculata

CEBE3 Cercocarpus betuloides
CELE3 Cercocarpus ledifolius
CHDO Chaenactis douglasii
CHFO Chamaebatia foliolosa

CHLA Chamaecyparis lawnsoniana

CHPA4 Cheilanthes parryi
CHVI2 Cheilanthes viscida
CHRI Chorizanthe rigida

CHSE11 Chrysolepis sempervirens CHVI8 Chrysothamnus viscidiflorus

CIOC Cirsium occidentale

CIOCV Cirsium occidentale var. venustum

COBI Coreopsis bigelovii
CONU4 Cornus nuttallii
COSE16 Cornus sericea
COSE3 Cornus sessilis
CRCR Cryptogamic crust
CUMA Cupressus macnabiana

DAWR2 Datura wrightii

DERI Dendromecon rigida
DIVO Dichelostemma volubile

ECPO2 Echinocactus polycephalus ECEN Echinocereus engelmannii

ELEL5 Elymus elymoides
ENFA Encelia farinosa
ENVI Encelia virginensis
EPCA2 Ephedra californica
EPFU Ephedra funerea
EPNE Ephedra nevadensis
EPVI Ephedra viridis

EPCAL Epilobium canum ssp. latifolium

ERAR27 Ericameria arborescens ERCO23 Ericameria cooperi ERCU7 Ericameria cuneata ERLA12 Ericameria linearifolia

ERPAP Ericameria palmeri var. pachylepis

ERPI7 Ericameria pinifolia
ERCR2 Eriodictyon crassifolium
ERCI5 Eriogonum cinereum
EREL6 Eriogonum elongatum
ERFA2 Eriogonum fasciculatum

ERHEH2 Eriogonum heermannii var. heermannii

ERIN4 Eriogonum inflatum
ERNU3 Eriogonum nudum
ERSA6 Eriogonum saxatile
ERWR Eriogonum wrightii
ERPU8 Erioneuron pulchellum
ERCI6 Erodium cicutarium

ERCAP Erysimum capitatum var. perenne

ESCA2 Eschscholzia californica

ESVI2 Escobaria vivipara

FECY Ferocactus cylindraceus

FRDI2 Fraxinus dipetala FRLA Fraxinus latifolia

FRCA6 Fremontodendron californicum

GAAN2 Galium angustifolium
GAFL2 Garrya flavescens
GAFR Garrya fremontii
GAVE2 Garrya veatchii
GRSP Grayia spinosa

GUMI Gutierrezia microcephala
GUSA2 Gutierrezia sarothrae
HAMU Hackelia mundula
HAVE Hackelia velutina
HASQ2 Hazardia squarrosa

HESC2 Helianthemum scoparium
HEAR5 Heteromeles arbutifolia
HODI Holodiscus discolor
HYSA Hymenoclea salsola

HYEM Hyptis emoryi
IRHA Iris hartwegii
ISAR Isomeris arborea
JUCA Juglans californica
JUBA Juncus balticus

JUCA7 Juniperus californica
JUOC Juniperus occidentalis
JUOS Juniperus osteosperma

KRER Krameria erecta KRGR Krameria grayii

KRLA2 Krascheninnikovia lanata

LATR2 Larrea tridentata
LECA3 Lepechinia calycina
LEFR2 Lepidium fremontii

LESQ Lepidospartum squamatum
LECA7 Leptodactylon californicum
LIDE3 Lithocarpus densiflorus
LIBO5 Lithophragma bolanderi

LOSU2 Lonicera subspicata

LOSUD Lonicera subspicata var. denudata

LORI3 Lotus rigidus

LYAN Lycium andersonii LYCO2 Lycium cooperi

MAFA Malacothamnus fasciculatus MAFR2 Malacothamnus fremontii

MALA6 Malosma laurina MESP2 Menodora spinescens

MIAU Mimulus aurantiacus
MIBI8 Mirabilis bigelovii
MUPO2 Muhlenbergia porteri

MUPO2 Muhlenbergia porteri

NAPU4 Nassella pulchra

NIOC Nicolletia occidentalis

NOBI Nolina bigelovii
NOPA Nolina parryi
OPBA2 Opuntia basilaris
OPEC Opuntia echinocarpa
OPER Opuntia erinacea
OPLI3 Opuntia littoralis

OPPH Opuntia phaeacantha
OPRA Opuntia ramosissima
PETH4 Petalonyx thurberi
PESC4 Peucephyllum schottii
PHBR4 Phyllodoce breweri

PHBR4 Physiologic breweri
PHCR4 Physialis crassifolia
PIBR Picea breweriana
PIMO5 Pickeringia montana

PIAL Pinus albicaulis

PIAT Pinus attenuata
PIBA Pinus balfouriana
PIBA Pinus balfouriana

PICO Pinus contorta

PICOM Pinus contorta murryana

PICO3 Pinus coulteri
PIFL2 Pinus flexilis
PIJE Pinus jeffreyi
PILA Pinus lambertiana
PIMO Pinus monophylla
PIMO3 Pinus monticola

PIMU Pinus muricata
PIPO Pinus ponderosa
PISA2 Pinus sabiniana
PIBR Pivea breweriana
PLRA Platanus racemosa
PLRI3 Pleuraphis rigida
POSE Poa secunda

POBA2 Populus balsamifera POFR2 Populus fremontii POTR5 Populus tremuloides PRGL2 Prosopis glandulosa PREM Prunus emarginata
PRFA Prunus fasciculata
PRIL Prunus ilicifolia
PRSU2 Prunus subcordata

PSMA Pseudotsuga macrocarpa
PSME Pseudotsuga menziesii
PSAR4 Psorothamnus arborescens
PSEM Psorothamnus emoryi
PSFR Psorothamnus fremontii

PSFR Psorotnamnus fremontis
PSSP3 Psorotnamnus spinosus
PTAQ Pteridium aquilinum
PUTR2 Purshia tridentata
QUAG Quercus agrifolia
QUBE5 Quercus berberidifolia

QUCH2 Quercus chrysolepus QUCO7 Quercus cornelius-mulleri

QUDO Quercus douglasii QUDU4 Quercus durata

QUEN Quercus engelmannii QUGA4 Quercus garryana QUJO3 Quercus john-tuckeri QUKE Quercus kelloggii QULO Quercus lobata QUSA2 Quercus sadleriana QUVA Quercus vacciniifolia **QUWI2** Quercus wislizenii

RHCR Rhamnus crocea
RHIL Rhamnus ilicifolia
RHRU Rhamnus rubra

RHCA

Rhamnus californica

RHTO6 Rhamnus tomentella RHIXO Rhus integrifolia

RHOV Rhus ovata RHTR Rhus trilobata

RICA Ribes californicum
RIIN Ribes indecorum
RIMA Ribes malvaceum
RIME Ribes menziesii
RIRO Ribes roezlii

RISP Ribes speciosum
ROPI Rosa pinetorum
RUDI2 Rubus discolor
RUUR Rubus ursinus

SAME Salazaria mexicana

SABR2 Salix breweri SATR12 Salsola tragus SAAP2 Salvia apiana SADO4 Salvia dorrii SAGR3 Salvia greatae SALE3 Salvia leucophylla SAME3 Salvia mellifera SAMO3 Salvia mohavensis SASO Salvia sonomensis SAME5 Sambucus mexicana

SAGI2 Sarracenia × gilpinii Bell & Case [psittacina ×

rubra]

SCBA Schismus barbatus

SEAR8 Senna armata

SESE3 Sequoia sempervirens SPAM2 Sphaeralcea ambigua SPDE Spiraea densiflora SPAI Sporobolus airoides

STEL Stanleya elata

STPA4 Stephanomeria pauciflora

STOFR Styrax officinalis var. redivivus SYRO Symphoricarpos rotundifolius TACA8 Taeniatherum caput-medusae

TABR2 Taxus brevifolia Nutt.
THMO Thamnosma montana
TOCA Torreya californica

TODI Toxicodendron diversilobum

TRLA3 Trichostema lanatum
TSHE Tsuga heterophylla
TSME Tsuga mertensiana

UMCA Umbellularia californica

VAOV2 Vaccinium ovatum

VAPA Vaccinium parvifolium

VIPA14 Viguiera parishii

VIRE Viguiera reticulata
WYMO Wyethia mollis
XYTO2 Xylorhiza tortifolia
YUBA Yucca baccata
YUBR Yucca brevifolia
YUSC2 Yucca schidigera
YUWH Yucca whipplei