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1 Evaluation of semi-static enclosure technique for rapid surveys of biogenic

2 volatile organic compounds (BVOCs) emission measurements

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14 Abstract

Biogenic volatile organic compounds (BVOCs) are important drivers of atmospheric chemical 15 16 composition and accurate model simulations require characterization of the emissions associated with 17 specific vegetation types which is typically determined using enclosure measurements. Static 18 enclosure techniques were used for past BVOC emission measurement studies, especially in the 1960s 19 to 1980s, but are no longer widely used because of concerns that the resulting emission rates are not 20 representative. The main advantages of the static approach are the capability for rapid measurements 21 and a lower detection limit that enables the use of less sensitive analytical techniques. We evaluate a 22 version of the static approach which we call the semi-static approach. In order to evaluate the 23 performance of the semi-static approach for BVOCs emission measurements, multiple replicate measurements for different plants were conducted in a laboratory growth chamber using both 24 semi-static and dynamic enclosure techniques. Variability of replicate measurements was calculated 25

and the results from the two techniques were compared. The semi-static technique provided consistent measurements for isoprene but not for α -pinene, β -pinene and other compounds that are stored in leaves. The measured isoprene emission factors were much higher than dynamic measurements. There were a large number of compounds to be detected by dynamic technique that could not be detected by the semi-static technique. But the semi-static technique could still provide qualitative information on categorization of non, low and high emitters for some compounds.

32 Keywords: BVOC emission; semi-static enclosure technique; dynamic enclosure technique; emission
 factor

34

35 **1. Introduction**

36 Biogenic volatile organic compounds (BVOCs) play important roles in formation of ozone (O_3) and 37 secondary organic aerosol (SOA) (Nozière et al., 2011; Sartelet et al., 2012). It is essential to 38 accurately estimate BVOC emissions to support air quality evaluations and effective decision-making regarding air pollution control. BVOC emission factor measurements are the primary basis for 39 40 emission inventory development (Guenther et al., 2006; 2012). Both static and dynamic enclosure 41 techniques have been employed in the past to measure branch and leaf-level emission factors but the 42 dynamic approach is now recommended for accurate measurements (Niinemets et al., 2011). A static 43 system does not have air circulation and environmental control (Tsui et al., 2009; Prendez et al., 2013), 44 while a dynamic system has air circulation and can have full environmental control (Ortega et al., 45 2008; Helmig et al., 2013). In the simple static enclosure system, no air is purged in, thus, the temperature inside the chamber will rise dramatically due to the greenhouse effect, if the chamber is 46 47 exposed to sunlight, while photosynthesis and transpiration by the plant will lead to unrealistically 48 low CO₂ and high H₂O concentrations which can perturb the BVOC emission rates (Ortega and 49 Helmig, 2008). As a result, static systems are expected to yield unrealistic estimates of BVOC 50 emission rates (Niinemets et al., 2011). The dynamic system can provide an enclosure environment 51 that is more representative of natural conditions through keeping a large amount of purged air in and

52 out of the chamber continually. The dynamic system is often run for a long period of time (one or 53 more days) to ensure that BVOC emissions are not perturbed by any disturbance while enclosing the 54 vegetation. While more representative emission rates can be obtained with a dynamic enclosure, the 55 concentration dilution from the high flow rate of purge air results in measurements by the dynamic 56 enclosure technique that are generally limited by the minimum detectable trace gas concentration 57 difference between incoming and outgoing air, especially for the compounds with lower emission 58 potential.

59 Semi-static enclosure technique, a derivative of the static enclosure technique, was used for some 60 of the earliest measurements of BVOC emissions (Rasmussen 1972, Zimmerman, 1979, Lamb et al., 61 1985) and continues to be used in some regions (Li, 2015). The semi-static system introduces a large 62 amount of zero air into the enclosure but without outflow. This system is relatively simple to operate 63 and is rapid (~10 min or less) which means that environmental variations within the sampling 64 chamber are minimized because of the short enclosure time and purged zero air.

65 BVOC emission measurements are still needed to characterize dominant vegetation in many regions of the world including China, where some whole-canopy flux studies and branch and 66 67 leaf-level enclosure (static, semi-static and dynamic) studies have been conducted (e.g., Bai et al., 68 1994; Bai et al., 2012, 2015; Bao et al., 2014; Chang et al., 2012; Klinger et al., 2002; Guo, 2012; Tsui et al., 2009; Zhao et al., 1996; Zhao et al., 2004; Li, 2015) but there are still important ecosystems and 69 70 plant species that have not been sufficiently characterized. It is currently difficult to determine 71 whether the available database of semi-static enclosure measurements are useful for incorporating into 72 BVOC emission databases in order to establish local emission rate datasets. Since a shorter time is 73 needed for each experiment using semi-static technique, the semi-static approach could also be useful 74 for plant emission screening studies. Thus, we have evaluated the performance of semi-static BVOC 75 emission measurements to determine whether it can be applied to obtain useful emission rate data. 76 Therefore, in this study, we conducted multiple replicate measurements with different individuals of 77 two plant species in an environmental controlled plant growth chamber using both semi-static and dynamic enclosure techniques. First, repeatability was examined to characterize the reliability of measurements by the semi-static system. Then, the results from the semi-static enclosure were compared with those from a dynamic enclosure to demonstrate the capability of the semi-static system.

82 **2.** Methodology

83 2.1 Plant material

84 Replicate measurements were conducted on three individuals of Populus trichocarpa and three individuals of Liquidambar styraciflua. Both are widely distributed tree species in the US. They were 85 all potted plants of 1–2 years of age purchased from the Forest Farm nursery (www.forestfarm.com). 86 The heights of individuals were about 40 cm for Populus trichocarpa and 88-108 cm for 87 88 Liquidambar styraciflua. They were repotted in plastic pots filled with a blend of 85–95% Canadian Sphagnum peat moss, perlite, dolomite lime, and wetting agent. The plants were grown in a plant 89 growth chamber (2.5 m length \times 2.5 m width \times 2.5 m height) with \sim 1000 µmol m⁻² s⁻¹ of 90 91 photosynthetically active radiation (PAR) at the top leaves for a daylength of 12 hours. The relative 92 humidity was maintained around 60% and the temperature was 23 during day and night. All trees 93 were irrigated every other day.

94 **2.2 Enclosure measurements**

95 For each plant species, replicate emissions from three individuals were collected under the same laboratory conditions using both semi-static and dynamic enclosure techniques. The experiments were 96 97 performed in the Biosphere Atmosphere Interactions Laboratory at University of California, Irvine, 98 USA in summer 2017. Teflon bags (Welch Fluorocarbon, Inc., USA) were used to enclose the whole 99 plant for each individual, which were almost 100% transparent to PAR as determined by direct 100 measurement. The volume of bags used for Populus trichocarpa and Liquidambar styraciflua were 18 L (24"×20") and 110 L (44"×32"), respectively. For dynamic enclosure system, the zero air stream 101 102 leading to the enclosure was supplied by a high capacity vacuum pump (Gast Manufacturing, Inc., 103 USA). The zero air was scrubbed of VOC using a charcoal filter before pumping into the bag. For semi-static enclosure system, the zero air was supplied by a zero air generator (Aadco Instruments,
USA). For both enclosure systems, zero air was delivered to the bag enclosure through 0.25 inch o.d.
Teflon tubing.

107 For the semi-static enclosure, there was only inflow of zero air but no outflow. A Teflon sample 108 line extended from the enclosure to Silonite[™] coated 1-L bottle canisters (Bottle-Vac Samplers, 109 Entech Instruments, USA) for instantaneous sample collection. To sample BVOCs using the 110 semi-static enclosure system, a background sample of air outside the bag was collected immediately 111 after enclosing the plant. Then, the 110-L bag was purged with zero air at a larger flow rate of 8 L min^{-1} for ~6 min (2.5 L min⁻¹ for ~3 min for 18-L bag). Next, the air flow rate was decreased to 3 L 112 min^{-1} and the purge was continued for an additional ~2 min (1 L min⁻¹ for ~1 min for 18-L bag), which 113 114 was expected to allow the air in the bag to be well mixed. Finally, a grab sample of air in the bag was 115 collected into an evacuated bottle. We assumed that the air in the bag had been mixed well in the 116 enclosure so that the collected sample could be expected to be representative for the average 117 concentration inside the enclosure, and that the volume and VOC concentration were constant during 118 the emission sample collection. After the experiments, we confirmed that the enclosure had been 119 relatively airtight, and the total volume of the residual air in the bag at the beginning of enclosure and 120 the purged zero air was not large enough to cause gas to leak from the bag. In this study, we assumed 121 that plants emitted no Freon113 and so the mass of Freon113 in the background and emission sample 122 could be used as a tracer to calculate the BVOC emission factors measured by semi-static enclosure 123 technique.

The dynamic enclosure was equipped with inlet and outlet air flow. Zero air was continuously pumped into the enclosure. After enclosing, enclosures were allowed to equilibrate for 2–3 hours prior to sampling. BVOC samples were collected onto solid adsorbent cartridges, filled with preconditioned Tenax TA and Carbotrap, by pumping air from the enclosure at a constant flow of 200 mL min⁻¹ using a mass flow controlled air sampling pump (GilAir Plus Air Sampling Pump, Sensidyne, USA). The sample time was 30 min and sample volumes were 6 L. Before enclosing each plant, blank samples were taken from the empty enclosure with the same equipment inside the plant enclosure, such as Teflon lines and temperature sensor. The BVOC emission factors were calculated based on the difference in the BVOC concentration in outflow and inflow air and the flow rate of inflow air.

133 The collected samples, both bottle and cartridge samples, were analyzed with a TD-GC-TOF-MS system (Markes International, USA). The VOCs were cryofocused at -10 134 onto a cold trap and then 135 desorbed at 285 and transferred to a 30-m Agilent DB-5 column for separation at a flow rate of 136 1.20 mL/min with Helium as carrier gas. The column was programmed at the initial temperature of and the highest temperature of 260 and the running time was 35 min. The measured 137 -30 compounds included isoprene, monoterpene and sesquiterpene species, some alkanes and alkenes, 138 139 aromatics, and Freon compounds.

140 **2.3 Calculation of Emission factor**

For each semi-static enclosure experiment, after determining the background and emission sample
VOC concentrations, the emission factor was calculated with Equation (1):

143
$$EF = \frac{C \times (V + V_0) - C_0 \times V_0}{\Delta t \times A}$$
(1)

where EF is the emission factor ($\mu g m^{-2} h^{-1}$) of the VOC species; C and C₀ are the VOC species concentrations ($\mu g m^{-3}$) in the emission and background samples, respectively; V is the total volume (m^{-3}) of zero air purged into the enclosure bag; V₀ is the volume, m^{-3} , of residual air in the bag after enclosing but before purging zero air. It was estimated based on the mass of Freon113 before and after enclosing. In our study, the V₀ values were estimated as 7 L for the 18-L chamber and 35 L for the 110-L chamber averagely; Δt is the total enclosure time (h); and A is the leaf area (m^{-2}) of the leaves on the enclosed branch.

151 Dynamic enclosure measured emission factors were calculated based on Equation (2):

152
$$\text{EF} = \frac{F \times (C_{\text{emission}} - C_{\text{blank}})}{A}$$
 (2)

Where F is the flow rate of zero air into the enclosure (L min⁻¹); $C_{emission}$ and C_{blank} are VOCs concentrations ($\mu g m^{-3}$) of outflow air sample with plant and no plant enclosed, respectively.

After obtaining the emission results for each emission sample measured by semi-static and dynamic enclosure, the emission factors of each individual plant were calculated by averaging all its replicated measurements for each enclosure technique. The emission factors for each plant species, *Populus trichocarpa* and *Liquidambar styraciflua*, could be calculated by averaging the results of three individuals of each plant species.

160 **3. Results and discussion**

161 **3.1 Uncertainties of semi-static measurements**

162 The emission results of replicate measurements for Populus trichocarpa and Liquidambar styraciflua trees are shown in Table 1. The variabilities of emission measurements for all the BVOCs are listed in 163 Table S1 and S2. The variability of isoprene emissions from the replicate measurements using the 164 165 semi-static system for each individual plant were 10.2%–14.0%, while the differences of α -pinene and β -pinene emissions were 25.4%–134.2%. For *Populus trichocarpa*, the differences of isoprene, 166 α -pinene, and β -pinene emissions between different individuals of the same plant species were 22.7%, 167 9.0%, and 37.3%, respectively. For Liquidambar styraciflua, their variability was 31.4%, 82.8%, and 168 169 51.2% for semi-static, but only 15.2%, 26.0%, and 6.8% when using the dynamic system.

170

171Table 1. The variability (standard deviation % of mean) of isoprene, α -pinene, and β -pinene emission172factor (μ g m⁻² h⁻¹) observed for replicate semi-static and dynamic enclosure measurements. ND

173

indicates the emission factor was below the detection limit.

| Trees ^a | Semi-static enclosure | | | | Dynamic enclosure | | | |
|--------------------|-----------------------|----------|----------|----------|-------------------|----------|----------|----------|
| | Replicates | isoprene | α-pinene | β-pinene | Replicates | isoprene | α-pinene | β-pinene |
| P1 | 14 | 10.2 | 73.0 | 52.9 | 10 | 9.1 | ND | ND |
| P2 | 12 | 12.2 | 74.1 | 85.2 | 9 | 2.7 | ND | ND |
| P3 | 10 | 13.9 | 54.1 | 72.6 | 10 | 4.1 | ND | ND |
| individua | l differences | 22.7 | 9.0 | 37.3 | | 1.4 | - | - |
| L1 | 6 | | 40.6 | 25.4 | 7 | 10.7 | 22.1 | 9.8 |

| L2 | 6 | 14.0 | 85.8 | 68.8 | 8 | 14.3 | 8.6 | 2.9 |
|------------|-------------|------|-------|-------|---|------|------|------|
| L3 | 6 | 10.3 | 128.9 | 134.2 | 7 | 2.0 | 27.0 | 11.4 |
| individual | differences | 31.4 | 82.8 | 51.2 | | 15.2 | 26.0 | 6.8 |

^a P1–P3 indicates the three individuals of *Populus trichocarpa*; L1–L3 indicates the three individuals of
 Liquidambar styraciflua.

176

177 For α -pinene and β -pinene emissions, semi-static enclosure measurements had much higher 178 variability than dynamic measurements, not only within the same individuals but also among different 179 plants. The variability for monoterpene emissions determined with the semi-static technique was 180 much higher than the variability for isoprene emission. α -Pinene and β -pinene are released from 181 specialized storage structures in leaves of these plants, which were disturbed during enclosure. In the 182 semi-static system, the chamber was not equilibrated to steady state for enclosed plants after enclosing and before sampling. The observed burst of monoterpene emission was expected due to disturbance 183 184 when enclosing (Niinemets et al., 2011). This disturbance results in emission rate errors, especially for plant species with specialized storage tissues for these compounds. So for these compounds, the 185 186 measurement results using a semi-static system would tend to overestimate emissions and have much 187 larger uncertainty. This demonstrates the difficulty in obtaining quantitative monoterpene emission 188 measurements using the semi-static enclosure technique. However, despite the large uncertainties of 189 α -pinene and β -pinene emission measurements using semi-static method, they could still provide 190 qualitative information on which plants have the capacity to emit monoterpenes and which 191 compounds dominate the total emission. It could detect low levels of monoterpene emissions from 192 Populus trichocarpa whereas the dynamic system would have characterized this species as a 193 non-emitter for monoterpenes. *Populus trichocarpa* had the lower emission potential of α -pinene and 194 β -pinene in steady state under the detection limit of dynamic method. While they had a burst of 195 emissions due to disturbance and concentration accumulation during the semi-static enclosure so that 196 they could be detected but not quantified accurately. In the case of Liquidambar styraciflua, the

197 semi-static approach correctly identified this species as a high monoterpene emitter with emissions dominated by a-pinene. The observations shown in Table 1 also demonstrate that the semi-static 198 199 technique has better performance for isoprene emission measurement than α -pinene and β -pinene, which are compounds stored in leaves. In addition, semi-static enclosure measurements had much 200 higher variability than dynamic measurements because BVOCs emissions could be disturbed much 201 during enclosure and influenced by the CO₂ deficiency in the zero air when using the semi-static 202 203 technique. Notably, the large variability of measurements by semi-static approach might also partially 204 result from estimation of V_0 . When calculating the emission factors using Equation (1), the accuracy 205 of Freon113 was the key to the accurate calculation. The precision of Freon113 measurements in our 206 study was 5.6% which still might introduce errors to the calculation.

207 **3.2** Comparison of semi-static and dynamic system measurements

208 The average emission factors measured by using semi-static and dynamic enclosure were also 209 compared for the same plant (Table 2). Isoprene emissions measured using the semi-static method 210 were 1.4–2.4 times higher than those by the dynamic method. One possible cause for this is because 211 there was no CO_2 in the zero air which flushed into the chamber during semi-static enclosure which is 212 known to increase the emission of isoprene (Possell et al., 2005; Wilkinson et al., 2009). This could be corrected for the semi-static system measurement of isoprene emission by including CO₂ in the zero 213 air. There were even larger differences for the mean values of α -pinene and β -pinene for the dynamic 214 215 and semi-static systems with emissions measured using the semi-static method that were about 10 to 216 24 times higher than by the dynamic method. In addition, the variability was higher for monoterpene 217 compounds emissions measured by semi-static system than for the dynamic system.

- 218
- Table 2. Comparison between the emission results measured by semi-static and dynamic system. ND
 indicates the emission factor was below the detection limit

| Emission factor | Popul | us trichocarpa | Liquidambar styraciflua | | |
|-------------------------|----------|-------------------|-------------------------|----------|----------|
| $(\mu g m^{-2} h^{-1})$ | isoprene | α-pinene β-pinene | isoprene | α-pinene | β-pinene |

| semi-static enclosure | 2776±631 | 0.8±0.1 | 0.2±0.1 | 1152±362 | 72±60 | 173±89 |
|-----------------------|----------|---------|---------|----------|--------|--------|
| dynamic enclosure | 1981±29 | ND | ND | 487±74 | 3±1 | 18±1 |
| difference (%) | 40.1 | - | - | 136.8 | 2293.3 | 879.1 |

221

Table 3. The number of each VOC categories observed with semi-static and dynamic system

| Number of observed | Populus tri | ichocarpa | Liquidambar styraciflua | | |
|--------------------|--------------------|----------------|-------------------------|----------------|--|
| compounds | semi-static system | dynamic system | semi-static system | dynamic system | |
| monoterpenes | 8 | 2 | 10 | 14 | |
| sesquiterpenes | 2 | 4 | 2 | 6 | |
| alkanes | 7 | 18 | 1 | 11 | |
| alkenes | 1 | 4 | 0 | 3 | |
| aromatics | 1 | 1 | 4 | 2 | |

223

224 In addition to the emission factors of the compounds shown in Table 2, we also compared the 225 semi-static and dynamic method measurements of other monoterpenes and sesquiterpenes, and other 226 VOCs including alkanes, alkenes, and aromatics. Table 3 shows the number of monoterpenes, 227 sesquiterpenes, alkanes, alkenes, and aromatics observed with each system for each tree species. The 228 dynamic approach suggested that these plants emitted a large number of compounds that could not be 229 detected using the semi-static approach. The semi-static measurements observed some other VOCs 230 that have not previously been reported as emissions from plants. This estimated emission with the 231 semi-static system could be a result of the ambient air that remained in the chamber after enclosing. 232 However, some of these compounds were also observed with the dynamic branch enclosure system. 233 For the dynamic enclosures, this means that the compounds were observed at higher levels in the plant 234 enclosure than in the blank (without plant) chamber. This included some alkanes and aromatics. Monoterpene compounds, including α -pinene, β -pinene, α -thujene, camphene, 3-carene, D-limonene, 235 E- β -ocimene, and γ -terpinene, were detected from the emissions of *Populus trichocarpa* using 236

237 semi-static enclosure technique, while only α -pinene, β -pinene, β -carene, and E- β -ocimene were detected by the dynamic enclosure technique. However, the dynamic enclosure detected more 238 239 sesquiterpene compounds, such as aromadendrene, humulene, and delta-cadinene. This may be because these compounds are lost on chamber walls during the short sampling period of the 240 241 semi-static system but the enclosure surfaces eventually reach an equilibrium for the dynamic enclosure system. In addition, the less purged zero air into the enclosure and large uncertainty of 242 243 semi-static technique in emission measurements may partially contribute to their wall loss. More researches should be conducted to investigate the wall loss effect for these compounds if conducting 244 rapid surveys of BVOCs using semi-static technique in the future. For Liquidambar styraciflua trees, 245 246 both monoterpene and sesquiterpene had more compounds detected when using dynamic enclosure 247 technique. For both plant species, more alkanes and alkenes compounds could be detected by dynamic 248 approach. Generally, semi-static enclosure technique could detect fewer compounds than dynamic one. 249 But there were still some observed compounds with semi-static system that could not be detected by 250 the dynamic approach.

4. Conclusions

252 The semi-static technique is useful for characterizing plants as emitters or non-emitters for 253 compounds such as α -pinene and β -pinene, which are stored in leaves of the investigated plants and 254 may be useful for semi-quantitative measurements to characterize plants as high, low and 255 non-emitters for isoprene emission. The measured isoprene emission factors had a larger variability 256 than those measured by dynamic technique, and their mean was higher than that determined for the dynamic measurements. We conclude that semi-static enclosure technique can be used as a screening 257 tool to characterize emitters and non-emitters of compounds such as monoterpenes that are stored in 258 259 specialized storage tissues. The performance for isoprene is better and enables categorization of non, low and high emitters. 260

For accurate quantitative measurements of BVOCs emissions, dynamic enclosure technique is required as recommended by Niinemets et al. 2011. Also, dynamic enclosure technique tended to work at least as well as the semi-static technique for the number of compounds detected and should beused to explore more BVOC species from plants.

265

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