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Water Oxidation Investigated by Rapid-Scan FT-IR Spectroscopy

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Abstract

Oxidation of water provides the electrons and protons needed for the generation of fuels in any solar fuel system. In order to guide catalyst performance improvement, knowledge of the detailed mechanism of the four-electron oxidation of water to oxygen at the elementary step level is required. This has proved especially challenging for robust, Earth abundant metal oxide catalysts. Electrokinetic methods and ex situ or in situ steady state spectroscopy have revealed some insights into rate limiting steps or structural phase transformations of the catalyst under applied potential. Recent observation of surface reaction intermediates with rapid-scan FT-IR spectroscopy under reaction conditions with temporal resolution of milliseconds has allowed to establish the molecular structure and kinetic relevancy of transient species, and to identify their role in the catalytic cycle.

Introduction

Oxidation of water is a mandatory reaction of any photosystem for converting sunlight to the chemical energy of a fuel. The reason is that the end products of spent fuel are carbon dioxide and water, which means that a cyclic process for fuel generation and utilization requires the use of water molecules as electron source. In light of the large scale deployment required for artificial photosystems to have an impact on global fuel consumption, oxides of first row transition metals as catalysts for water oxidation have attracted special attention in recent years because of their robustness, Earth abundance, and availability in a variety of nanostructured forms. Nanostructuring provides the high surface area needed to support a sufficiently large number of catalytic sites for achieving useful product yields per geometrical area, as well as increased intrinsic rate per surface metal site. While solid oxide anodes are routinely used in commercial alkaline electrolyzers [1], it is recent breakthroughs in catalytic activity at moderate overpotential of nanosized catalysts that have opened up the use of abundant metal oxides in artificial photosystems. High surface area amorphous electrodeposits of cobalt oxide [2] and nickel oxide [3], and nanostructured crystalline Co_3O_4 or manganese oxide clusters embedded in mesoporous silica scaffolds are among early examples of substantially improved first row transition metal catalysts [4-6]. Activities expressed in terms of turnover frequency (TOF) for O_2 formation per surface metal center for the extensively studied Co oxide catalysts are in the range $10^{-3} - 10^{-2} \text{ s}^{-1}$ under close to neutral pH conditions. These are determined either electrochemically or by a photosensitization method using an oxygen selective electrode or mass spectroscopic analysis of O_2 gas that accumulates in the head space of the aqueous solution [2,4-12]. For Co oxide nanoparticles or mesoporous Co_3O_4 electrocatalysts at alkaline pH, the more facile oxidation of hydroxide ion compared to H_2O results in TOF values around 0.1 s^{-1} [13-18].

In order to guide further improvement of the efficiency of metal oxide catalysts and expand the design space for catalyst structures and morphologies, a crucial question to address is whether the observed TOF per surface Co center implies that all surface centers operate at a similar rate, or whether the value merely represents an average of widely different activities. If there are sites operating at substantially higher rates while others are much slower or inactive, it is important to identify the structural differences among these sites. Equally importantly, knowledge of the structure and kinetics of transient surface intermediates would reveal the nature of the rate limiting step. These structural and mechanistic insights will provide guidance for increasing the efficiency of the catalyst by maximizing abundance and rate of the most active sites through synthetic modification or catalyst pretreatment. In this article, recent advances in the mechanistic understanding of water oxidation by structure specific, rapid-scan FT-IR spectroscopy under reaction conditions will be presented after a brief overview of key results from electrokinetic and steady state spectroscopic studies in the past few years.

Observations by steady state operando spectroscopy and electrokinetic methods

Understanding of how water is oxidized on metal oxide surfaces has thus far mainly been based on electrokinetic and cyclic voltammetry studies combined with operando X-ray or Raman spectroscopy under steady state conditions. Among first row transition metals, Co oxide electrocatalysts have attracted the most attention in the past few years. Electrokinetic measurements have provided knowledge about fast pre-equilibria that precede the reaction with H₂O on the catalyst surface to form an OO bond [19[♦],20], which was supported by a computational study of a Co₄O₄ cubane model cluster [21]. Steady state X-ray absorption

spectroscopy of electrocatalytic films revealed structural changes such as the formation of an oxy-hydroxide phase at applied potentials under which oxygen evolution takes place [22,23], in agreement with Raman spectroscopic measurements and theory [24,25]. This includes reversible structural change in the top surface layer of crystalline Co_3O_4 at applied potential exceeding the onset potential for driving water oxidation [26[♦]], or the accumulation of Co^{IV} centers under these conditions [27,28]. Optical spectroelectrochemical monitoring of water oxidation over hematite photocatalyst ($\alpha\text{-Fe}_2\text{O}_3$) under steady state conditions allowed the detection of a species absorbing at 572 nm tentatively assigned to an oxo Fe^{IV} site based on spectral similarity with a molecular complex featuring a $\text{Fe}^{\text{IV}}=\text{O}$ group [29[•]].

Identification of the molecular structure of catalytic surface intermediates and determination of their kinetic relevancy eludes electrochemical and steady state operando methods, awaiting studies by a structure specific spectroscopy with sufficient temporal resolution to reveal the kinetics of elementary steps of the catalytic cycle under reaction conditions. This has recently been enabled by time-resolved FT-IR spectroscopy of aqueous suspensions of Co_3O_4 crystalline nanoparticles in the attenuated total reflection configuration (ATR), starting with the rapid-scan method.

Rapid-scan FT-IR spectroscopy under reaction conditions

Transient spectroscopic monitoring of catalysis requires pulsed initiation of the reaction while probing the sample continuously. For the rapid-scan FT-IR method, one or a few spectra are measured during the light pulse, and a series of spectra after termination of the pulse (schematically presented in Figure 1A). It is the kinetic behavior during the dark period that

reveals the reactivity of a given intermediate. A widely used visible light sensitization system for driving water oxidation catalysts consisting of $[\text{Ru}(\text{bpy})_3]^{2+}$ complex and $\text{S}_2\text{O}_8^{2-}$ electron acceptor allows the generation of oxidized $[\text{Ru}(\text{bpy})_3]^{3+}$ species with a laser light pulse of any desired duration. Upon collision with a Co_3O_4 nanoparticle in close to neutral aqueous solution, a hole is transferred from $[\text{Ru}(\text{bpy})_3]^{3+}$ to the catalyst at well-defined energy (+1.26 V), as illustrated in Figure 1B. Sequential transfer of four holes to a particle converts two H_2O molecules to O_2 and four H^+ .

When illuminating an aqueous suspension of surfactant-free Co_3O_4 nanocrystals (4 nm, pH 7.5) containing $[\text{Ru}(\text{bpy})_3]^{2+}$ and persulfate electron acceptor with a 476 nm laser pulse of 300 ms duration (Figure 1C), two surface intermediates with distinct kinetic behavior were detected [8*]. One is a superoxide species absorbing at 1013 cm^{-1} which exhibits an O-O stretch mode characteristic for interaction with two surface metal centers, shown in Figure 2Aa. Isotopically shifted bands at 995 and 966 cm^{-1} observed when conducting an experiment using unlabeled $\text{Co}_3^{16}\text{O}_4$ nanoparticles suspended in pure H_2^{18}O show a 1:1 ratio of superoxide $^{18}\text{O}^{16}\text{O}$ (995 cm^{-1}) and fully labeled $^{18}\text{O}^{18}\text{O}$ (966 cm^{-1}) after a single 300 ms pulse (Figure 2Ab and Figure 3A). The large, 47 cm^{-1} isotope shift of the 966 cm^{-1} band confirms the assignment to an O-O bond mode, while a modest D isotope shift of 38 cm^{-1} in D_2O (975 cm^{-1} , Figure 2Ac) indicates hydrogen bonding interaction of the superoxide moiety, most likely with an adjacent Co hydroxyl group. Most of the rise of the superoxide intermediate occurs during the 300 ms illumination pulse, with continued growth after termination of the pulse until all hole-injecting $[\text{Ru}(\text{bpy})_3]^{3+}$ species are consumed, as can be seen in Figure 2D (black trace). The concentration of the superoxide so produced does not change appreciably in the subsequent dark period even on the several second

timescale, which is to be expected given the known stability of superoxide species on metal surfaces; this 3-electron oxidation intermediate requires the delivery of one additional hole by continued illumination for liberating O₂ and restarting the catalytic cycle.

Electrochemical detection of O₂ by Clark electrode measurement following a 300 ms light pulse indicates that a substantial fraction of the catalytic sites complete the cycle in this short illumination period.[8•] Mass spectrometric analysis of the isotopic composition of the oxygen gas accumulating in the head space in experiments with unlabeled Co₃¹⁶O₄ in pure H₂¹⁸O on the several minute time scale gave a ratio of 8:1 for fully labeled ¹⁸O₂ versus partially labeled ¹⁸O¹⁶O (no unlabeled ¹⁶O₂ was detected, Figure 2C). The agreement between the isotopic composition of the O₂ product and the superoxide intermediate after 5 light pulses (inset of Figure 2Ab) constitutes compelling evidence that the surface superoxide is a kinetically relevant intermediate of the catalytic cycle for water oxidation by Co₃O₄.

The second transient infrared band observed at 840 cm⁻¹ does not exhibit any isotopic shift when conducting the photocatalysis in H₂¹⁸O or D₂O as shown in Figure 2B, which points strongly to a Co^{IV}=O oxo intermediate [8•] (resonant with oxyl Co^{III}-O, which may more accurately reflect the electronic structure of the site). In contrast to superoxide, the oxo species decreases spontaneously in the dark with a rate constant of 1 s⁻¹ (Figure 2D, red trace), which is attributed to O-O chemical bond formation with a H₂O molecule. Because the decay kinetics is much slower than the rise of the superoxide species, the 840 cm⁻¹ Co^{IV}=O intermediate cannot be the precursor of the observed superoxide at 1013 cm⁻¹. The 840 cm⁻¹ oxo group therefore belongs to a much less active site that closes the O₂ producing catalytic cycle on an at least a 150 times

slower time scale [8[•]]. The Co^{IV}=O surface species has not been detected before by vibrational spectroscopy, but observed ex situ by low temperature EPR following electrochemical water oxidation using Co oxide electrodeposits [30].

Mechanism of water oxidation catalysis

Our proposed motif for the fast catalytic site are adjacent oxo-bridged octahedral Co^{III}-OH groups, i.e. Co(OH)-O-CoOH based on insights from cyclic voltammetry of Co oxide electrocatalysts and Pourbaix analyses of layered Co double hydroxides mentioned above, which feature this motif [19[♦]]. Therefore, the most plausible mechanism of the fast catalytic cycle on Co₃O₄ surfaces starts with a Co^{III}(OH)-O-Co^{III}OH moiety that is converted by two sequentially arriving holes to Co^{IV}(=O)-O-Co^{IV}=O intermediate accompanied by deprotonation, as shown in Figure 3B. This site is expected to undergo facile O-O bond formation by spontaneous nucleophilic attack (in the dark) of H₂O on one of the Co^{IV}=O groups to yield a Co^{III}OOH hydroperoxide surface species. Subsequent arrival of another hole leads to the formation of the observed superoxide intermediate absorbing at 1013 cm⁻¹. Elimination of O₂ upon delivery of a further hole opens a coordination site for a H₂O molecule, thereby regenerating the Co^{III}-OH center. Because O₂ product is already observed for a 300 ms light pulse, this fast site has a TOF of approx. 3 s⁻¹, exceeding the average value of 0.02 s⁻¹ derived from steady state measurements by over 2 orders of magnitude. Hence, the rapid-scan FT-IR study reveals that even for single crystal Co₃O₄ nanoparticles, surface sites with widely different catalytic activity exist. In recent DFT computational work on water oxidation on Co₃O₄ that include for the first time calculation of activation barriers, Plaisance and van Santen found Co^{IV}(=O)-O-Co^{IV}=O moieties to be sites with the highest TOF at low and moderate overpotential depending on the Co₃O₄ crystal facet.

[31,32[♦]] The $\text{Co}^{\text{IV}}(\text{=O})\text{-O-Co}^{\text{IV}}\text{=O}$ features nucleophilic attack by a water molecule as proposed in our mechanism, although preferentially on the bridging O according to the calculation rather than a terminal oxo proposed in Figure 3B.

For $\text{Co}^{\text{III}}\text{-OH}$ sites on the Co_3O_4 surface that lack an adjacent $\text{Co}^{\text{III}}\text{-OH}$ group illustrated by the $\text{Co}^{\text{III}}\text{OH}$ site in the left corner of the $\text{Co}_3\text{O}_4(111)$ facet depicted in the cartoon of Figure 3C, the $\text{Co}^{\text{IV}}\text{=O}$ intermediate generated upon hole transfer is unable to couple to another $\text{Co}^{\text{IV}}\text{=O}$.

Nucleophilic attack of an H_2O molecule on such isolated oxo Co^{IV} groups faces barriers not present for the $\text{Co}^{\text{IV}}(\text{=O})\text{-O-Co}^{\text{IV}}\text{=O}$ sites. Specifically, reduction of the Co center proceeds to Co^{II} which likely requires spin flip and involves deprotonation of H_2O , the latter accompanied by an activation barrier not present for reaction of H_2O at the $\text{Co}^{\text{IV}}(\text{=O})\text{-O-Co}^{\text{IV}}\text{=O}$ site.

Furthermore, the site lacks the extra oxidation power of an adjacent, electronically coupled Co^{IV} center. Hence, the O-O bond forming step of an isolated Co site by nucleophilic attack of H_2O is expected to be a slow spontaneous reaction. We attribute the 840 cm^{-1} species and its long decay of 1 s^{-1} to such Co surface centers that lack the ability to couple via O bridge to a Co^{IV} site during the catalytic cycle (Figures 3B, bottom). In the recent DFT study, isolated Co surface centers were calculated to exhibit high TOF under large overpotential involving highly oxidized Co^{V} surface centers [31,32[♦]].

Recent reports from other labs using different experimental techniques provide interesting insights which corroborate the findings of the rapid-scan FT-IR work. In a study of TOF of Co oxide electrocatalysts by a fast, spatially resolved surface interrogation SEM technique, Ahn and Bard found a TOF of Co^{IV} oxo sites of 3.2 s^{-1} , in good agreement with the value for the fast

catalytic site found by the rapid-scan FT-IR method [33,34]. When monitoring electrochemically driven water oxidation over Ni oxy hydroxide by steady state surface enhanced Raman spectroscopy, Koper and coworker detected the formation of superoxide surface intermediate absorbing at 1000 cm^{-1} [35]. Hence, superoxide as catalytic surface intermediate seems not be confined to water oxidation on Co_3O_4 surfaces, suggesting that the reaction path identified by rapid-scan FT-IR measurements might be of more general significance for water oxidation on metal oxide surfaces.

For observing the yet to be detected one- and two-electron oxidation intermediates and associated kinetics (Figure 3B, top), especially the O-O bond forming step of the fast catalytic site of water oxidation on Co_3O_4 surfaces, recording of FT-IR spectra at higher time resolution is required. Rapid-scan FT-IR spectroscopy at acceptable spectral resolution (4 cm^{-1}) can be conducted at 20 ms temporal resolution, and step-scan FT-IR spectroscopy affords resolution as short as 20 ns. These methods have been applied to various heterogeneous catalytic systems and are well established [36]. Hence, the challenge of FT-IR monitoring at high time resolution is not the spectroscopic technique per se, but establishing adequate sensitivity for detecting short lived infrared species. A recent example for such a situation is the observation of surface IrOOH (hydroperoxide) intermediate of water oxidation at Ir oxide nanoparticles by its OO stretch absorption at 830 cm^{-1} by the rapid-scan FT-IR method [37]. In order to detect the infrared mode in ATR measurement configuration, illumination with visible light photosensitization pulses of 1 s duration was required. While allowing us to observe the surface intermediate and identify its structure by ^{18}O and D isotopic labeling, the pulse length was too long for temporally resolving the fast decay of the species, reflecting the well known high efficiency of Ir oxide catalysts

[38,39]. Only light-on/light-off spectra could be recorded. Therefore, future efforts need to focus on spectral sensitivity improvement of the ATR FT-IR method at the solid-aqueous interface. Furthermore, time-resolved X-ray spectroscopic studies would be extremely useful for elucidating the electronic structure and dynamics of the surface metal centers that accompany the formation and reaction of molecular intermediates detected by dynamic FT-IR spectroscopy. In fact, in the case of water oxidation at Ir oxide nanoparticles, recent high pressure XPS measurements under electrocatalytic conditions revealed the formation of Ir^V suggesting that the surface intermediate observed in the rapid-scan FT-IR study is Ir^{III}OOH, formed by reaction of H₂O at Ir^V=O sites [40•]. Combined transient FT-IR and time-resolved X-ray absorption measurements should reveal the molecular structure and kinetics of surface intermediates and the change of the electronic state of the surface metal centers along the catalytic path.

Outlook

The direct observation of intermediates of water oxidation and their kinetic behavior at the aqueous-solid interface of heterogeneous catalysts by time-resolved rapid-scan FT-IR opens up insight into elementary bond making/breaking steps at the molecular level. The temporal resolution of this structure specific spectroscopy allows us to evaluate of the role of surface intermediates in the catalytic cycle under reaction conditions and, together with the isotopic signature of sequential intermediates and final product, to determine the catalytic relevancy of observed species. Knowledge of the molecular structure of transient surface intermediates and identification of kinetic bottlenecks provide powerful guidance for catalyst improvements. If combined with simultaneous time resolved X-ray monitoring of accompanying electronic

structure changes of surface metal centers, catalytic mechanisms can be unraveled at unprecedented level of detail.

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