UC Santa Cruz

UC Santa Cruz Previously Published Works

Title

Existence of good sweepouts on closed manifolds

Permalink

https://escholarship.org/uc/item/3qh4j8qb

Journal

Proceedings of the American Mathematical Society, 138(11)

ISSN

0002-9939

Authors

Lin, Longzhi Wang, Lu

Publication Date

2010-11-01

DOI

10.1090/s0002-9939-2010-10451-3

Peer reviewed

PROCEEDINGS OF THE AMERICAN MATHEMATICAL SOCIETY Volume 00, Number 0, Pages 000-000 S 0002-9939(XX)0000-0

EXISTENCE OF GOOD SWEEPOUTS ON CLOSED MANIFOLDS

LONGZHI LIN AND LU WANG

(Communicated by Richard A. Wentworth)

ABSTRACT. In this note we establish estimates for the harmonic map heat flow from S^1 into a closed manifold, and use it to construct sweepouts with the following good property: each curve in the tightened sweepout, whose energy is close to the maximal energy of curves in the sweepout, is itself close to a closed geodesic.

1. Introduction

Given a minimizing sequence of sweepouts of the width (see (3.1)), we apply the harmonic map heat flow on each curve in the sweepout to pull it tight while preserving the sweepout. Moreover the tightened sweepout has the following good property (see Theorem 3.4): each curve in the tightened sweepout whose energy is close to the maximal energy of curves in the sweepout is itself close to a closed geodesic. In particular, the width is the energy of some closed geodesic. On closed nonsimply-connected surfaces, Grayson showed that there exist simple closed geodesics in each nontrivial π_1 homotopy class by the curve shortening flow; see [8]. On the 2-sphere whose π_1 homotopy group is trivial, Birkhoff used sweepouts to find non-trivial closed geodesics; see [1], [2], [3], [4], [5], [13] and section 2 in [7] about Birkhoff's idea. The argument works equally well on other Riemannian manifolds. The Birkhoff's curve shortening process is a discrete gradient flow of the length functional and the key point is a convexity formula for the energy functional which controls the distance of curves from closed geodesics explicitly; see Lemma 4.2 in [5] and cf. Theorem 3.1 in [6]. However, it requires some work to show the discrete shortening process preserves the homotopy class of sweepouts. Instead, we use a continuous method, i.e. the harmonic map heat flow, to tighten sweepouts, which provides a natural homotopy of sweepouts. There are several applications of the existence of good sweepouts on closed manifolds. For instance, in [5], Colding and Minicozzi bounded from above, by a negative constant, the rate of change of the width for a one-parameter family of convex hypersurfaces that flows by mean curvature. The estimate is sharp and leads to a sharp estimate for the extinction time. And a similar bound for the rate of change for the two dimensional width is shown for homotopy 3-spheres evolving by the Ricci flow; see [6] and [15].

Received by the editors October 8, 2009, and, in revised form, February 4, 2010. 2000 Mathematics Subject Classification. Primary 53C22; Secondary 53C44.

2. Harmonic Map Heat Flow

Throughout we use the subscripts θ and t to denote taking partial derivatives of maps with respect to θ and t; u satisfies the harmonic map heat flow equation, which is defined in (2.1). Let (M,g) be a closed Riemannian manifold. By the Nash Embedding Theorem, M can be isometrically embedded into Euclidean space $(\mathbb{R}^N, \langle, \rangle)$. Given a closed curve $\gamma \in H^1(S^1, M)$, define the energy functional $E(\gamma) = \frac{1}{2} \int_{S^1} |\gamma_\theta|^2 d\theta$. The harmonic map heat flow is the negative L^2 gradient flow of the energy functional. Thus the equation of the harmonic map heat flow from S^1 into M is

(2.1)
$$u_t = u_{\theta\theta} - A_u(u_{\theta}, u_{\theta})$$
 on $(0, \infty) \times S^1$; $\lim_{t \to 0+} u(t, \cdot) = u_0$ in $H^1(S^1, M)$,

where A_u is the second fundamental form of M in \mathbb{R}^N at point $u(\theta)$. We study the long time existence and uniqueness of the solution of (2.1). For relevant results of the two dimensional harmonic map heat flow, the reader could refer to [16]. Also we would like to thank Tobias Lamm for bringing to our attention the paper [14] of Ottarsson, which has some overlap with our paper and in which Theorem 2.1 was proved under the stronger assumption of C^1 initial data (and thus the C^1 continuity at t=0)¹.

Theorem 2.1. Given $u_0 \in H^1(S^1, M)$, there exists a unique solution $u(t, \theta) \in C^{\infty}((0, \infty) \times S^1, M)$ of (2.1).

The following is devoted to the proof of Theorem 2.1. First, by the corollary on page 124 of [10], given any initial data $u_0 \in C^{\infty}(S^1, M)$, there exists $T_0 > 0$ and a unique solution $u \in C^{\infty}([0, T_0) \times S^1, M)$ of (2.1). We show that the solution u can be extended smoothly beyond T_0 . First, note that the energy is non-increasing under the harmonic map heat flow:

Lemma 2.2. For $0 \le t_1 \le t_2 < T_0$,

(2.2)
$$E(u(t_1,\cdot)) - E(u(t_2,\cdot)) = \int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt.$$

Proof. Multiply the harmonic map heat equation by u_t and integrate over $[t_1, t_2] \times S^1$,

$$\int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt = \int_{t_1}^{t_2} \int_{S^1} \langle u_{\theta\theta}, u_t \rangle d\theta dt = -\int_{t_1}^{t_2} \int_{S^1} \langle u_{\theta}, u_{\theta t} \rangle d\theta dt$$
$$= E(u(t_1, \cdot)) - E(u(t_2, \cdot)).$$

Next we derive the gradient bound of u.

Lemma 2.3.
$$(\partial_t - \partial_\theta^2)|u_\theta|^2 \leq 0$$
.

Proof.

$$\partial_t |u_{\theta}|^2 = 2\langle u_{\theta}, u_{\theta t} \rangle = 2\langle u_{\theta}, u_{\theta \theta \theta} \rangle - 2\langle u_{\theta}, (A_u(u_{\theta}, u_{\theta}))_{\theta} \rangle$$
$$= 2\langle u_{\theta}, u_{\theta \theta \theta} \rangle + 2\langle u_{\theta \theta}, A_u(u_{\theta}, u_{\theta}) \rangle$$

¹In our setting, the C^1 continuity at t=0 may not be true. For our purpose that the harmonic map heat flow preserves the homotopy class of sweepouts, we use a different argument to show the H^1 continuity at t=0.

$$= \partial_{\theta}^{2} |u_{\theta}|^{2} - 2|u_{\theta\theta}|^{2} + 2|A_{u}(u_{\theta}, u_{\theta})|^{2} \le \partial_{\theta}^{2} |u_{\theta}|^{2}.$$

Since $u \in C^{\infty}((0, T_0) \times S^1, M)$, it follows from Lemma 2.2 and the local maximum principle (see Theorem 2.1 in [9] or Theorem 7.36 in $[12]^2$) that for any $\tau > 0$ and $(t, \theta) \in [\tau, T_0) \times S^1$,

$$(2.3) |u_{\theta}|^{2}(t,\theta) \leq C_{0} \max\{1, \tau^{-1/2}\} E(u_{0}),$$

where C_0 is a positive constant. Furthermore, by Proposition 7.18 in [12], $|u_{\theta\theta}|$ and $|u_t|$ are bounded on $[2\tau, T_0) \times S^1$. And by induction, for any $\tau > 0$, the higher order derivatives of u on $[2\tau, T_0) \times S^1$ are bounded uniformly by constants depending only on M, $E(u_0)$, τ and T_0 . Hence u can be extended smoothly to a solution of (2.1) beyond T_0 . In other word, there exists a unique solution $u \in C^{\infty}([0, \infty) \times S^1, M)$ of (2.1), if $u_0 \in C^{\infty}(S^1, M)$.

Next, in general, given $u_0 \in H^1(S^1, M)$, we can find a sequence $u_0^m \in C^{\infty}(S^1, M)$ approaching u_0 in the H^1 topology. Let u^m be the solution of the harmonic map heat flow with initial data u_0^m . Thus by (2.3) and discussion above, for any $\tau > 0$ and $T_0 > \tau$, u^m and all their derivatives are bounded uniformly, independent of m. Hence by the Arzela-Ascoli Theorem and a diagonalization argument, there exists a map $u \in C^{\infty}((0,\infty) \times S^1, M)$ solving the harmonic map heat flow with $E(u(t,\cdot)) \leq E(u_0)$. And it follows from the lemma below that $t \longrightarrow u(t,\cdot)$ is a continuous map from $[0,\infty) \longrightarrow H^1(S^1,M)$.

Lemma 2.4. Given $\epsilon > 0$, there exists $\delta > 0$, depending on M, u_0 and ϵ , so that if $0 \le t_1 < t_2$ and $t_2 - t_1 < \delta$, then

$$||u(t_2,\cdot) - u(t_1,\cdot)||_{H^1(S^1)} \le \epsilon.$$

Proof. Note that by Lemma 2.2, $\lim_{t\to 0} u(t,\cdot) = u_0$ in the $L^2(S^1,M)$ topology. Moreover, we have

$$\int_{S^1} |u(t_2, \theta) - u(t_1, \theta)|^2 d\theta \le \int_{S^1} \left| \int_{t_1}^{t_2} u_t dt \right|^2 d\theta \le (t_2 - t_1) \int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt.$$

Next, by Lemma 2.2 and the Cauchy-Schwarz inequality,

$$\begin{split} &\int_{S^1} |u_{\theta}(t_2,\theta) - u_{\theta}(t_1,\theta)|^2 d\theta \\ &= \int_{S^1} |u_{\theta}(t_1,\theta)|^2 d\theta - \int_{S^1} |u_{\theta}(t_2,\theta)|^2 d\theta - 2 \int_{S^1} \langle u_{\theta}(t_2,\theta), u_{\theta}(t_1,\theta) - u_{\theta}(t_2,\theta) \rangle d\theta \\ &= 2 \int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt + 2 \int_{S^1} \langle u_{\theta\theta}(t_2,\theta), u(t_1,\theta) - u(t_2,\theta) \rangle d\theta \\ &\leq 2 \int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt + 2 \left(\int_{S^1} |u_{\theta\theta}(t_2,\theta)|^2 d\theta \right)^{\frac{1}{2}} \left(\int_{S^1} |u(t_2,\theta) - u(t_1,\theta)|^2 d\theta \right)^{\frac{1}{2}} \\ &\leq 2 \int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt + 2 (t_2 - t_1)^{\frac{1}{2}} \left(\int_{S^1} |u_{\theta\theta}(t_2,\theta)|^2 d\theta \right)^{\frac{1}{2}} \left(\int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt \right)^{\frac{1}{2}}. \end{split}$$

²Note the orientation of the time direction in Gruber's paper [9] is opposite to that in our paper and [12]. And we apply the local maximum theorem referred with p = 1.

If $C_0^2(t_2 - t_1) < 1$, then, by Lemma 2.2, (2.1) and (2.3),

(2.5)
$$\int_{S^1} |u_{\theta\theta}(t_2, \theta)|^2 d\theta \le \int_{S^1} |u_t(t_2, \theta)|^2 d\theta + (t_2 - t_1)^{-1} \sup_{M} |A|^2 \cdot E(u_0)^2.$$

We derive the evolution equation of $|u_t|^2$:

$$\begin{aligned} \partial_t |u_t|^2 &= 2\langle u_t, u_{tt} \rangle = 2\langle u_t, u_{\theta\theta t} \rangle - 2\langle u_t, (A_u(u_\theta, u_\theta))_t \rangle \\ &= \partial_\theta^2 |u_t|^2 - 2|u_{t\theta}|^2 + 2\langle u_{tt}, A_u(u_\theta, u_\theta) \rangle \\ &= \partial_\theta^2 |u_t|^2 - 2|u_{t\theta}|^2 + 2\langle A_u(u_t, u_t), A_u(u_\theta, u_\theta) \rangle. \end{aligned}$$

Thus by (2.3), if $t > t_3 = t_1 + (t_2 - t_1)/2$,

$$(2.6) \qquad (\partial_t - \partial_\theta^2)|u_t|^2 - 4(t_2 - t_1)^{-1} \sup_M |A|^2 \cdot E(u_0)^2 \cdot |u_t|^2 \le 0.$$

Hence

$$\begin{split} \int_{S^1} |u_t|^2 (t_2, \theta) d\theta & \leq \inf_{t_3 \leq t \leq t_2} \int_{S^1} |u_t|^2 (t, \theta) d\theta + C (t_2 - t_1)^{-1} \int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt \\ & \leq (C + 2) (t_2 - t_1)^{-1} \int_{t_1}^{t_2} \int_{S^1} |u_t|^2 d\theta dt, \end{split}$$

where C depends on M and $E(u_0)$. Combining the inequality above, (2.5) and Lemma 2.2, there exists $\delta > 0$ so that (2.4) holds.

It follows from Lemma 2.4 and (2.3) that there exists $R_0 > 0$, depending only on M and u_0 , so that for $t \geq 0$, $2\pi \cdot \sup_M |A|^2 \cdot \int_{\{t\} \times I_{R_0}} |u_\theta|^2 d\theta < 1/64$, where I_{R_0} is any segment on unit circle of length $2R_0$. To prove the uniqueness of the solution of (2.1), we need the following lemma.

Lemma 2.5. Suppose that u is a solution of (2.1) in $C^{\infty}((0,\infty)\times S^1,M)$. Then

(2.7)
$$\int_0^T \int_{S^1} |u_{\theta\theta}|^2 d\theta dt \le \frac{T}{4R_0^2} E(u_0) + 2 \left[E(u_0) - E(u(T, \cdot)) \right].$$

Proof. The following estimate is inspired by the proof of Lemma 6.7 on page 225 of [17]. Fix $(t_1, \theta_1) \in (0, \infty) \times S^1$. Let $I_R(\theta_1)$ denote the arc segment on the unit circle centered at θ_1 with length 2R. And let ϕ be identically one on $I_{R_0/2}(\theta_1)$ and cut off linearly to zero on $I_{R_0}(\theta_1) \setminus I_{R_0/2}(\theta_1)$. Thus,

$$\begin{aligned} &|u_{\theta}|^{4}(t_{1},\theta_{1}) = \phi^{2}|u_{\theta}|^{4}(t_{1},\theta_{1}) \\ &\leq \left(\int_{S^{1}} 2|\phi||u_{\theta}||u_{\theta\theta}|(t_{1},\theta)d\theta + \int_{S^{1}} |\phi_{\theta}||u_{\theta}|^{2}(t_{1},\theta)d\theta\right)^{2} \\ &\leq 8\left(\int_{S^{1}} |\phi||u_{\theta}||u_{\theta\theta}|(t_{1},\theta)d\theta\right)^{2} + 2\left(\int_{S^{1}} |\phi_{\theta}||u_{\theta}|^{2}(t_{1},\theta)d\theta\right)^{2} \\ &\leq 8\int_{I_{R_{0}}(\theta_{1})} |u_{\theta}|^{2}(t_{1},\theta)d\theta \cdot \int_{S^{1}} |u_{\theta\theta}|^{2}(t_{1},\theta)d\theta + \frac{8}{R_{0}^{2}}\left(\int_{I_{R_{0}}(\theta_{1})} |u_{\theta}|^{2}(t_{1},\theta)d\theta\right)^{2}, \end{aligned}$$

where the last inequality follows from Hölder's inequality and that ϕ is supported in $I_{R_0}(\theta_1)$ with $|\phi_{\theta}| \leq 2/R_0$. Hence, for $0 < t_0 \leq T$,

$$\int_{t_0}^T \int_{S^1} |u_{\theta}|^4 d\theta dt \le 16\pi \cdot \epsilon(R_0) \cdot \left(\int_{t_0}^T \int_{S^1} |u_{\theta\theta}|^2 d\theta dt + R_0^{-2} \int_{t_0}^T \int_{S^1} |u_{\theta}|^2 d\theta dt \right),$$

where

(2.8)
$$\epsilon(R_0) = \sup_{t \ge 0, \ \theta_1 \in S^1} \int_{\{t\} \times I_{R_0}(\theta_1)} |u_\theta|^2 d\theta.$$

Therefore, it follows from (2.1) and Lemma 2.2 that

$$\int_{t_0}^{T} \int_{S^1} |u_{\theta\theta}|^2 d\theta dt \le \int_{t_0}^{T} \int_{S^1} |u_t|^2 d\theta dt + \sup_{M} |A|^2 \cdot \int_{t_0}^{T} \int_{S^1} |u_{\theta}|^4 d\theta dt
\le [E(u_0) - E(u(T, \cdot))] + \frac{1}{2} \int_{t_0}^{T} \int_{S^1} |u_{\theta\theta}|^2 d\theta dt + \frac{T}{8R_0^2} E(u_0).$$

Absorbing the righthand side into the lefthand side and noting that the estimate is independent of t_0 , (2.7) follows immediately.

Now we are ready to show the uniqueness of the solution of the harmonic map heat flow.

Lemma 2.6. Given $u_0 \in H^1(S^1, M)$, let u and \tilde{u} be solutions of (2.1) in $C^{\infty}((0, \infty) \times S^1, M)$. Then $u = \tilde{u}$.

Proof. Define $v = u - \tilde{u}$, thus

$$(2.9) v_t = v_{\theta\theta} - A_u(u_{\theta}, u_{\theta}) + A_{\tilde{u}}(\tilde{u}_{\theta}, \tilde{u}_{\theta}).$$

Multiplying both sides of (2.9) by v and integrate over $[0, t_0] \times S^1$, we get

$$\int_{\{t_0\}\times S^1} |v|^2 d\theta + 2 \int_0^{t_0} \int_{S^1} |v_\theta|^2 d\theta dt
= 2 \int_0^{t_0} \int_{S^1} \langle A_{\tilde{u}}(\tilde{u}_\theta, \tilde{u}_\theta) - A_u(u_\theta, u_\theta), v \rangle d\theta dt
\leq C(M) \int_0^{t_0} \int_{S^1} |v|^2 (|\tilde{u}_\theta|^2 + |u_\theta|^2) d\theta dt + C(M) \int_0^{t_0} \int_{S^1} |v| |v_\theta| (|\tilde{u}_\theta| + |u_\theta|) d\theta dt
\leq C(M) \int_0^{t_0} \int_{S^1} |v|^2 (|\tilde{u}_\theta|^2 + |u_\theta|^2) d\theta dt + \int_0^{t_0} \int_{S^1} |v_\theta|^2 d\theta dt
\leq C(M) \int_0^{t_0} \left(||u_\theta||_{C^0(\{t\}\times S^1)}^2 + ||\tilde{u}_\theta||_{C^0(\{t\}\times S^1)}^2 \right) \int_{S^1} |v|^2 d\theta dt + \int_0^{t_0} \int_{S^1} |v_\theta|^2 d\theta dt.$$

By Lemma 2.2, 2.5 and Sobolev embedding theorem, there exists $\delta > 0$, depending on M and u_0 , so that if $t_0 \leq \delta$, then

$$C(M) \int_0^{t_0} \|\tilde{u}_{\theta}\|_{C^0(\{t\} \times S^1)}^2 + \|u_{\theta}\|_{C^0(\{t\} \times S^1)}^2 dt$$

$$\leq C(M) \int_0^{t_0} \int_{S^1} |\tilde{u}_{\theta\theta}|^2 + |u_{\theta\theta}|^2 d\theta dt$$

$$\leq C(M) \left[E(u_0) \frac{t_0}{2R_0^2} + 4E(u_0) - 2E(u(t_0, \cdot)) - 2E(\tilde{u}(t_0, \cdot)) \right] \leq \frac{1}{2}.$$

Thus, absorbing the righthand side into the lefthand side,

(2.10)
$$\sup_{0 \le t \le \delta} \int_{\{t\} \times S^1} |v|^2 d\theta + 2 \int_0^{\delta} \int_{S^1} |v_{\theta}|^2 d\theta dt \le 0.$$

Since [0, T] is compact, Lemma 2.6 follows by iteration.

3. Width and Good Sweepouts

In [5], Colding and Minicozzi introduced the crucial geometric concepts: sweep-out and width.

Definition 3.1. A continuous map $\sigma : [-1,1] \times S^1 \longrightarrow M$ is called a sweepout on M, if $\sigma(s,\cdot) \in H^1(S^1,M)$ for each $s \in [-1,1]$, the map $s \longrightarrow \sigma(s,\cdot)$ is continuous from [-1,1] to $H^1(S^1,M)$ and σ maps $\{-1\} \times S^1$ and $\{1\} \times S^1$ to points.

The sweepout σ induces a map $\tilde{\sigma}$ from the sphere S^2 to M and we will not distinguish σ with $\tilde{\sigma}$. Denote by Ω the set of sweepouts on M. The homotopy class Ω_{σ} of σ is the path connected component of σ in Ω , where the topology is induced from $C^0([-1,1],H^1(S^1,M))$.

Definition 3.2. The width $W = W(\Omega_{\sigma})$ of the homotopy class Ω_{σ} is defined by

(3.1)
$$W = \inf_{\hat{\sigma} \in \Omega_{\sigma}} \max_{s \in [-1,1]} E(\hat{\sigma}(s,\cdot)).$$

For $\alpha \in (0,1)$ fixed, let $\gamma: S^1 \longrightarrow M$ be a smooth closed curve and G be the set of closed geodesics in M. Define $\operatorname{dist}_{\alpha}(\gamma, G) = \inf_{\tilde{\gamma} \in G} \|\gamma - \tilde{\gamma}\|_{C^{1,\alpha}(S^1)}$. We prove the following proposition for the solution of (2.1), which is the key to the proof of Theorem 3.4:

Proposition 3.3. Given $0 < \alpha < 1$, $W_0 \ge 0$, $t_0 > 0$ and $\epsilon > 0$, there exists $\delta_0 > 0$ so that if $W_0 - \delta_0 \le E(u(t_0, \cdot)) \le E(u_0) \le W_0 + \delta_0$, then $dist_{\alpha}(u(t_0, \cdot), G) < \epsilon$.

Proof. If Proposition 3.3 fails, then there exist $0 < \alpha < 1$, $W_0 \ge 0$, $t_0 > 0$, $\epsilon > 0$, and a sequence of solutions u^j of the harmonic map heat flow satisfying that $W_0 - 1/j \le E(u^j(t_0, \cdot)) \le E(u^j_0) \le W_0 + 1/j$ and $\operatorname{dist}_{\alpha}(u^j(t_0, \cdot), G) \ge \epsilon$. It follows from the evolution equation of $|u^j_t|^2$ (see (2.6)), (2.3), Lemma 2.2 and the local maximum principle (see Theorem 2.1 in [9] or Theorem 7.36 in [12]) that

(3.2)
$$\sup_{\theta \in S^1} |u_t^j|^2(t_0, \theta) \le C \left[E(u^j(t_0/2, \cdot)) - E(u^j(t_0, \cdot)) \right],$$

where C depends on M, t_0 and W_0 . Thus $\sup_{\theta \in S^1} |u_t^j|(t_0, \theta) \longrightarrow 0$ and it follows from (2.3) that $||u^j(t_0, \cdot)||_{C^2(S^1)}$ is uniformly bounded by constants depending on M, t_0 and W_0 . Therefore by Arzela-Ascoli's Theorem and Theorem 1.5.1 in [11], there exists a subsequence (relabelled) $u^j(t_0, \cdot)$ converging to u^{∞} in $C^{1,\alpha}(S^1, M)$ and u^{∞} is a closed geodesic in M. This is a contradiction.

Let σ be a sweepout on closed manifold M and σ^j be a minimizing sequence of sweepouts in Ω_{σ} , that is

(3.3)
$$W \le \max_{s \in [-1,1]} E(\sigma^{j}(s,\cdot)) \le W + 1/j.$$

Applying the harmonic map heat flow to each slice of σ^j , we get a map Φ^j : $[-1,1] \times [0,\infty) \times S^1 \longrightarrow M$ and for each $s \in [-1,1]$ fixed, $\Phi^j(s,t,\theta)$ solves (2.1) with $\Phi^j(s,0,\theta) = \sigma^j(s,\theta)$. It follows from the proof of the long time existence and uniqueness of the solution of (2.1) that for any $t_0 \geq 0$, the map $s \longrightarrow \Phi(s,t_0,\cdot)$ is continuous from [-1,1] to $H^1(S^1,M)$ and thus $\Phi^j(\cdot,t_0,\cdot)$ is still a sweepout on M. Since [-1,1] is compact, for any $\epsilon > 0$, there exists $\delta > 0$ so that: if $0 \leq t_1 < t_2 \leq t_0$ and $t_2 - t_1 < \delta$, then $\int_{t_1}^{t_2} \int_{S^1} |\Phi^j_t(s,t,\theta)|^2 d\theta dt < \epsilon$ for any $s \in [-1,1]$. Hence by Lemma 2.4, for any $t_0 > 0$, $\Phi^j(\cdot,t_0,\cdot)$ is homotopic to σ^j . Therefore it follows from Proposition 3.3 that the $\Phi^j(\cdot,t_0,\cdot)$ are good sweepouts on M. That is,

Theorem 3.4. Given $0 < \alpha < 1$, $t_0 > 0$ and $\epsilon > 0$, there exists $\delta > 0$ so that if $j > 1/\delta$ and $s \in [-1, 1]$ satisfies $E(\Phi^j(s, t_0, \cdot)) \ge W - \delta^3$, then $dist_\alpha(\Phi^j(s, t_0, \cdot), G) < \epsilon$.

In [5], Colding and Minicozzi show that the width is non-negative and is positive if σ is not the zero element in $\pi_2(M)$. In fact, assume that $W(\Omega_{\sigma}) = 0$ and $\hat{\sigma} \in \Omega_{\sigma}$ is such that the energy of each slice of $\hat{\sigma}$ is sufficiently small. Then each slice, $\hat{\sigma}(s,\cdot)$, is contained in a strictly convex neighborhood of $\hat{\sigma}(s,\theta_0)$ and note that $s \longrightarrow \hat{\sigma}(s,\theta_0)$ is a continuous curve on M. Hence a geodesic homotopy connects $\hat{\sigma}$ to a path of point curves and thus $\hat{\sigma}$ is homotopically trivial. Since G is closed in the $H^1(S^1,M)$ topology, we have

Corollary 3.5. If M is a closed Riemannian manifold and $\pi_2(M) \neq \{0\}$, then there exists at least one non-trivial closed geodesic on M.

Remark 3.6. Instead of using the unit interval [-1,1] as the parameter space for the curves in the sweepout and assuming that the curves start and end in point curves, we could have used any compact space \mathcal{P} and required that the curves are constants on $\partial \mathcal{P}$. In this case, $\Omega^{\mathcal{P}}$ is the set of continuous maps $\sigma: \mathcal{P} \times S^1 \longrightarrow M$ so that for each $s \in \mathcal{P}$ the curve $\sigma(s,\cdot)$ is in $H^1(S^1,M)$, the map $s \longrightarrow \sigma(s,\cdot)$ is continuous from \mathcal{P} to $H^1(S^1,M)$, and finally σ maps $\partial \mathcal{P}$ to point curves. Given $\sigma \in \Omega^{\mathcal{P}}$, the homotopy class $\Omega^{\mathcal{P}}_{\sigma}$ is the set of maps $\hat{\sigma} \in \Omega^{\mathcal{P}}$ that are homotopic to σ through maps in $\Omega^{\mathcal{P}}$. And the width $W = W(\Omega^{\mathcal{P}}_{\sigma})$ is defined by

(3.4)
$$W = \inf_{\hat{\sigma} \in \Omega^{\mathcal{P}}_{\sigma}} \max_{s \in \mathcal{P}} E(\hat{\sigma}(s, \cdot)).$$

Theorem 3.4 holds for general parameter space; the proof is virtually the same when $\mathcal{P} = [-1, 1]$.

ACKNOWLEDGEMENTS

We would like to thank our advisors Prof. Tobias Colding and Prof. William Minicozzi for their continuous guidance. And we would also like to thank Jacob Bernstein for his careful proofreading of the manuscript of this note.

References

- G.D. Birkhoff, Dynamical systems with two degrees of freedom, Trans. Amer. Math. Soc. 18 (1917), no. 2, 199–300.MR1501070
- [2] G.D. Birkhoff, Dynamical systems, Amer. Math. Soc. Colloq. Publ. vol 9, Providence, RI, 1927.MR0209095
- [3] T.H. Colding and C. De Lellis, *The min-max construction of minimal surfaces*, in Surv. Differ. Geom. VIII, Int. Press, Somerville, MA, 2003.MR2039986 (2005a:53008)
- [4] T.H. Colding and W.P. Minicozzi II, Estimates for the extinction time for the Ricci flow on certain 3-manifolds and a question of Perelman, J. Amer. Math. Soc. 18 (2005), no. 3, 561–569.MR2138137 (2006c:53068)
- [5] T.H. Colding and W.P. Minicozzi II, Width and mean curvature flow, Geom. Topol. 12 (2008), no. 5, 2517–2535.MR2460870 (2009k:53165)
- [6] T.H. Colding and W.P. Minicozzi II, Width and finite extinction time of Ricci flow, Geom. Topol. 12 (2008), no. 5, 2537–2586.MR2460871 (2009k:53166)
- [7] C.B. Croke, Area and the length of the shortest closed geodesic, J. Differential Geom. 27 (1988), no. 1, 1–21.MR0918453 (89a:53050)
- [8] M.A. Grayson, Shortening embedded curves, Ann. of Math. (2) 129 (1989), no. 1, 71–111.MR0979601 (90a:53050)

³Such s exists, since $W \leq \max_{s \in [-1,1]} E(\Phi^j(s,t_0,\cdot)) \leq W + 1/j$.

- [9] M. Gruber, Harnack inequalities for solutions of general second order parabolic equations and estimates of their Hölder constants, Math. Z. 185 (1984), no. 1, 23–43.MR0724044 (86b:35089)
- [10] R.S. Hamilton, Harmonic maps of manifolds with boundary, Lecture Notes in Math. vol. 471, Springer-Verlag, Berlin-New York, 1975.MR0482822
- [11] F. Hélein, Harmonic maps, conservation laws and moving frames, Cambridge Tracts in Math. vol. 150, Cambridge University Press, Cambridge, 2002.MR1913803 (2003g:58024)
- [12] G.M. Lieberman, Second order parabolic differential equations, World Scientific Publishing Co., Inc., River Edge, NJ, 1996 (revised edition 2005).MR1465184 (98k:35003)
- [13] L. Lin, Closed geodesics in Alexandrov spaces of curvature bounded from above, to appear in J. Geom. Anal.arXiv:0909.4981
- [14] S.K. Ottarsson, Closed geodesics on Riemannian manifolds via the heat flow, J. Geom. Phys. 2 (1985), no. 1, 49–72.MR0834094 (87g:58024)
- [15] G. Perelman, Finite extinction time for the solutions to the Ricci flow on certain three manifolds, math.DG/0307245.arXiv:math/0307245
- [16] M.Struwe, On the evolution of harmonic mappings of Riemannian surfaces, Comment. Math. Helv. 60 (1985), no. 4, 558–581.MR0826871 (87e:58056)
- [17] M. Struwe, Variational methods. Applications to nonlinear partial differential equations and Hamiltonian systems, Second edition. Ergebnisse der Mathematik und ihrer Grenzgebiete (3) [Results in Mathematics and Related Areas (3)], 34. Springer-Verlag, Berlin, 1996.MR1411681 (98f:49002)

Department of Mathematics, Johns Hopkins University, 3400 N. Charles St., Baltimore, MD 21218

E-mail address: lzlin@math.jhu.edu

Department of Mathematics, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139

E-mail address: luwang@math.mit.edu