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UNIVERSITY OF CALIFORNIA SAN DIEGO

Building Multiomics Analysis Tools For a Holistic Understanding of Biological Systems

A dissertation submitted in partial satisfaction of the  
requirements for the degree Doctor of Philosophy

in

Bioinformatics & Systems Biology

by

Joaquin Reyna

Committee in charge:

Professor Ferhat Ay, Chair  
Professor Lukas Werner Chavez Kuss, Co-Chair  
Professor Kyle J. Gaulton  
Professor Anjana Rao  
Professor Sheng Zhong

2024

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University of California San Diego

2024

## DEDICATION

This dedication is written in Spanish, Italian and English to make sure my family and friends can easily understand their importance to me and this dissertation.

### **En Español:**

Dedico esta tesis a mi esposa Sara. La vida nos ofrece muchas oportunidades si estamos listos. Nuestro cuento comenzó con una carta y terminó en una vida. Muchas gracias por todo el amor que me das y por los momentos más bonitos de mi vida y nuestra vida juntos. Hemos vivido tantas cosas bellas que nunca olvidaré. Qué bonito fue conocer Big Sur, el Gran Cañón, Inyo, Utah, París, Cinque Terre, Trentino y muchos otros lugares contigo. Me gusta tanto tu risa y la manera en que te inspira la naturaleza. Ves el mundo y sus colores como nadie más, ves lo que está más allá, y me da orgullo verte convertida en una artista. Sé que tendremos muchas más aventuras, y juntos podremos superar cualquier obstáculo. Grazie, mia vita, come prima, più di prima ti amerò.

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**In English:**

I dedicate this thesis to my wife Sara. Life offers us many opportunities if we are ready. Our story began with a letter and turned into a life together. Thank you so much for all the love you give me and for the most beautiful moments of my and our lives. We have experienced so many beautiful things that I will never forget. How amazing it was to discover Big Sur, the Grand Canyon, Inyo, Utah, Paris, Cinque Terre, Trentino, and many other places with you. I love your smile and the way nature inspires you. You see the world and its colors like no one else, you see beyond the expected, and it makes me proud to see you become an artist. I know we will have many more adventures, and together we will be able to overcome any obstacle. Grazie, mia vita, come prima, più di prima ti amerò.

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I also want to thank my entire family. Thank you, siblings: Celeste, Porfirio, Raiza, Celes, and Laiza and my brother-in-law Juan. I want to give a special thanks to my grandma Amparo and my godparents Pablo, Enrique and Marty. I also extend this thanks to my uncles Celestino, Claudia, Ana and absolutely all of my relatives. Sincere thanks to my Italian family: Mario, Bruna, Paolo, Emanuele, Paolo and Francesca, Giacoma, and María, Patrizio and Christina, Barbara and Iván, Corrado and Morena, Anna, and many more.

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## EPIGRAPH

It is like a voyage of discovery into unknown lands, seeking not for new territory but for new knowledge. It should appeal to those with a good sense of adventure.

*Federick Sanger*



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## LIST OF ABBREVIATIONS

**4DN** 4D Nucleome

**ChIP-seq** Chromatin Immunoprecipitation Followed by Sequencing

**ChIA-PET** Chromatin Interaction Analysis with Paired-End Tag

**CTCF** CCCTC-Binding Factor

**dbGaP** Database of Genotypes and Phenotypes

**EBI** European Bioinformatics Institute

**eQTL** Expression Quantitative Trait Loci

**ENCODE** ENCyclopedia Of DNA Elements

**FDR** False Discovery Rate

**FC Loops** FitHiChIP with ChIP-seq Peaks

**FH Loops** FitHiChIP with HiChIP Peaks

**GEO** Gene Expression Omnibus

**GWAS** Genome-wide Association Study

**HCREgLoops** High Confidence Regulatory Loops

**Hi-C** High-Throughput Chromosome Conformation Capture

**HiCCUPS** Hi-C Computational Unbiased Peak Search

**HiChIP** High-Throughput Chromosome Conformation Capture with ChIP

**KR** Knight and Ruiz (normalization)

**MAPQ** Mapping Quality (score)

**QC** Quality Control

**SCC** Stratum-Adjusted Correlation Coefficient

**VC** Vanilla Coverage (normalization)

**AD** Atopic Dermatitis

**FIMO** (MEME Suite) Find Individual Motif Occurrences

**LD** Linkage Disequilibrium

**NK** Natural Killer Cells

**RA** Rheumatoid Arthritis

**SEA** (MEME Suite) Simple Enrichment Analysis

**SGL** SNP-to-Gene Linking

**SNP** Single Nucleotide Polymorphism

**SRA** Sequence Read Archive

**PS** Psoriasis

**T1D** Type 1 Diabetes

**TF** Transcription Factor

**TSS** Transcription Start Site

**ZNF** Zinc Finger Protein

**jDR** joint Dimensionality Reduction



**MCIA** Multiple Co-Inertia Analysis

**PCA** Principal Components Analysis

**NIPALS** Non-linear Iterative Partial Least Squares

**NMF** Non-negative Matrix Factorization

**SVD** Singular Value Decomposition

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Chapters 1 and 2, in full, has been submitted for publication of the material as it may appear in *Genome Biology* 2024, Joaquin Reyna, Kyra Fetter, Romeo Ignacio, Cemil Can Ali Marandi, Nikhil Rao, Zichen Jiang, Daniela Salgado Figueroa, Sourya Bhattacharyya, Ferhat Ay. The dissertation author was one of the primary investigators and authors of this paper.

Chapter 3, in full, has been submitted for publication of the material as it may appear in *Bioinformatics* 2024, Max Mattessich, Joaquin Reyna, Edel Aron, Ferhat Ay, Misha Kilmer, Steven H. Kleinstejn, Anna Konstorum. The dissertation author was one of the primary investigators and authors of this paper.

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## PUBLICATIONS

### First Author

“Loop Catalog: a comprehensive HiChIP database of human and mouse samples.” *In Review: Genome Biology*. 2024

### Other Authorship

“nipalsMCIA: Flexible Multi-Block Dimensionality Reduction in R via Non-linear Iterative Partial Least Squares” *In Review: Bioinformatics*. 2024

“A multi-omics systems vaccinology resource to develop and test computational models of immunity” *Cell Reports*. 2024

“Rewiring of the 3D genome during acquisition of carboplatin resistance in a triple-negative breast cancer patient-derived xenograft” *Scientific Reports*. 2023

## ABSTRACT OF THE DISSERTATION

Building Multiomics Analysis Tools For a Holistic Understanding of Biological Systems

by

Joaquin Reyna

Doctor of Philosophy in Bioinformatics & Systems Biology

University of California San Diego, 2024

Professor Ferhat Ay, Chair  
Professor Lukas Werner Chavez Kuss, Co-Chair

The massive generation of genetic, epigenetic, transcriptomic, and other sources of data, allows us to pursue biological questions at scale while simultaneously adding a systems-level context to hypotheses in biology. Questions about gene expression have driven us to understand various chromatin components, most recently that has lead to the study of chromatin conformation via high-throughput methods such as HiC or HiChIP. To obtain a full understanding of chromatin conformation, integration with genetics variants (e.g. SNPs from GWAS and eQTL studies) and epigenetics signals (e.g. histone acetylation, open chromatin regions, transcription factor binding, etc) is essential. Similarly, complex diseases such as cancer can advance via

a system of distinct factors that interact to form a deliberate and potent pathogenic regulatory network. Thus, it is imperative we build the resources and tools necessary to integrate multiomics signals together.

Here, I present three chapters derived from two major works that demonstrate the importance of data integration for a holistic understanding of biology. First, I present a database of HiChIP data for over 1000 samples (chapter 1) with important applications for the analysis of motifs, GWAS and eQTL studies, and network analysis (chapter 2). Second, I showcase and described the nipalsMCIA R package which reduces datasets for a systems level analysis of multiomics data (chapter 3).

# Introduction

## 0.1 Integrating Diverse Biological Signals for a Holistic Understanding of Disease

Disease susceptibility and progression is driven by a variety of factors including both internal (e.g. genetic variation) and external (e.g. environment, bacteria). In the case of diseases associated with genetics, the root cause may be a single monogenic mutation as in the case of Duchenne muscular dystrophy [1] or multifactorial in the case of Type 1 Diabetes [2]. To better understand multifactorial disease we must generate and integrate diverse biological signals. Studies have steadily worked toward utilizing multiple sources of data, eventually leading researchers to coin the term "multiomics". Despite the enthusiasm, it remains a challenge to systematically and meaningfully integrate multiomic datasets. Depending on the data sources it may be possible to perform enrichment and/or correlation analyses for overlapping signals [3–7]. When applicable more sophisticated techniques can also be utilized such as joint dimensionality reduction [8–19]. Indeed, this has been the trajectory of the genetics field, driven by sequencing technologies that allow the interrogation of genetic variants, gene expression, chromatin marks, open chromatin region, chromatin interactions, and beyond. In the broader biology field, these high-throughput methodologies include technologies such as those measuring serological levels (antibody titers), cell frequency estimations, protein abundance and cytokine levels [20, 21]. The growing maturity of these techniques has also encouraged large-scale efforts and databases such as the Roadmap [22], ENCODE [23], 4DN [24], CMI-PB [25] and similar efforts [16, 17, 20, 26]. As alluded to previously, the utilization of multiomics data in genetic and epigenetic studies

has enabled several advancements that will be discussed in the following sections.

## **0.2 The Expanding Role of Multiomics Datasets in Uncovering Genetic Contributions to Disease**

Genome-wide association studies (GWAS) have revealed pinpoint regions of the genome with disease-associated variants that predispose us to disease [27, 28]. However, approximately 90% of these variants are located in non-coding regions making it difficult to understand their true function [29]. This has prompted researchers to develop techniques to study epigenetic signals in search of regulatory elements such as enhancers. ChIP-seq has contributed substantially to this endeavour by extracting regions of the genome that are bound by transcription factors or histones with specialized acetylation or methylation-based modifications [30]. Initial studies associated different histone marks with expression inducing marks such as H3K27ac, H3K9ac, H3K4me3 [31]. Ultimately, H3K27ac has been the preferred target for many researchers given its strong association with enhancer elements [31]. Naturally, several studies applying H3K27ac ChIP-seq have demonstrated the specificity of enhancer elements within different cell types thus meaningful integration with GWAS data could reveal whether candidate SNPs are enriched at these elements and provide evidence as to the cell type or tissue of action [32]. Indeed, methodologies [3–7] and studies testing the enrichment between ChIP-seq and GWAS signals have helped prioritize tissues/cell types [33, 34] and find radical difference between cancer and complex disease-associated variants [35]. Overall, the integration of genetic associations with enhancer activity has proven quite important however the goal of interpreting GWAS variants is far from concluded and we need to keep developing resources and frameworks that leverage other types of epigenetic data [32, 36].

Similarly, the integration of transcriptomics with genomics has been essential to detecting genetic variants with a strong influence on gene expression levels. Expression quantitative trait loci (eQTL) studies have undoubtedly been a powerful framework for identifying SNPs that are

highly correlated with expression of their nearby genes [37–39]. Like ChIP-seq, transcriptomics has revealed eQTLs specific for different tissues, contexts, and timing [40]. Altogether – GWAS, eQTLs and 1D epigenetic signals have made important advances thereby motivating the creation of large consortium such as the GWAS Catalog [28] and eQTL Catalogue [38] that systematically reprocess and make available data on functional variants. Other databases such as the Open Targets have further built upon omics datasets to provide genetically supported drug targets in the form of several QTL signals, enhancer-promoter correlation analyses and targeted chromatin conformation [41]. The design and implementation of powerful integrating methodologies such as those employed by Open Targets plays an essential role in functional variant characterization and investigation.

### **0.3 Linking Genetics with Chromatin Architecture to Connect Genes and Regulatory Regions**

Chromatin conformation enables the genome to interact across long distances, defying the constraint of the linear genome [42, 43]. This is accomplished through the formation of compartments, topologically associated domains and loops [44–48]. To study this phenomena at a genome-wide scale, the HiC assay was developed which captures interactions between all regions simultaneously, creating comprehensive maps of chromatin conformation [49, 50]. Briefly, HiC utilizes DNA crosslinking, digestion, biotin marking, re-ligation, and biotin pulldown to then build a sequencing library that, when fully processed, generates a matrix of interactions between loci at various resolutions. Studies have associated this organization with biological processes as diverse as chromatin accessibility [50], conservation of loops between human and mice [49], 1D epigenetic signals [46], transcriptional changes [51, 52], cell development and differentiation [53], and disease [54, 55]. To expand upon this methodology, HiChIP has fused HiC together with ChIP-seq to extract protein-centric interaction pairs [56]. This method has gone on contribute to similar findings such as changes in loop formation conditional on the presence of specific



transcription factors [57] and applied to variant prioritization studies [39, 58, 59]. The latter has been extensively applied to GWAS signals derived from skin [60], kidney [61], ovarian [62–64], heart [35, 65], and immune-associated [66–70] diseases. The linking nature of chromatin conformation will continue to promote its popularity within omics studies and the effective development of resources and tools will be essential to continuing this trend.

## **0.4 The Evolution of Multiomics within the Broader Biology Field**

Biological events do not occur in isolation, rather, they are the result of complex interactions between environments, cellular programs, and molecular events. The field of systems biology attempts to capture a holistic understanding of biology through integration of diverse yet complementary multiomics datasets. Within this broader field, multiomics stands as a significant topic that includes systems biology subfields such as vaccinology [25, 26, 71–74], pharmacology [75] as well as cellular [76, 77] and mechanistic biology [78]. Realizing this growth, several systems biology researchers have devoted considerable effort to build resources capable of analyzing and hosting multiomics datasets such as HIPC [21], CMI-PB [25], the Human Microbiome Project [79, 80], among others [15, 81]. Alongside the buildup of these datasets, the research community has developed and refined several integrative techniques, including methods based on joint dimensionality reduction, kernels, networks and deep learning [81]. Notably, joint dimensionality reduction (jDR) based methodologies can be further categorized into their underlying methods such as tensor extensions of PCA, canonical correlation analysis, non-negative matrix factorization, tri-factorization, Gaussian latent variable models, principle component analysis, co-inertia analysis and factor analysis [11]. With such a diverse array of analytical tools it is imperative we correctly utilize and interpret the results from multiomics tools like jDR methods. Moreover, the eagerness to conduct studies using multiomics datasets has also motivated their use for computational modeling, such as predicting vaccine responses

[25]. These trends underscore the significance of multiomics data to drive the next generation of biological discoveries and insights into disease.

## **0.5 Contributions**

As previously discussed, the biology field is rapidly evolving towards a landscape abundant with multiomics datasets, where each new assay introduces new analytical and resource challenges. This work highlights my contributions to large-scale multiomics analysis, particularly within the chromatin conformation and broader multiomics space. Specifically, I have developed a comprehensive database of HiChIP data, which I utilized for several applications including the dissection of GWAS and eQTL signals, as well as analyses of DNA motifs and the clustering of significant chromatin interactions. Additionally, I will showcase my contributions to the nipalsMCIA package, where my primary focus was enhancing the interpretability and visualization of jDR results.

**Note:** Supplementary tables have been uploaded as additional files. They are briefly mentioned at the end of chapters 1 and 2 with a title and short description.

# Chapter 1

## Developing a comprehensive HiChIP database of human and mouse samples

### 1.1 Abstract

HiChIP enables cost-effective and high-resolution profiling of regulatory and structural loops. To leverage the increasing number of publicly available HiChIP datasets from diverse cell lines and primary cells, we developed the Loop Catalog (<https://loopcatalog.lji.org>), a web-based database featuring HiChIP loop calls for 1319 samples across 133 studies and 44 high-resolution Hi-C loop calls. Our comprehensive catalog, spanning over 4M unique 5kb loops constitutes an important resource for studies in gene regulation and genome organization.

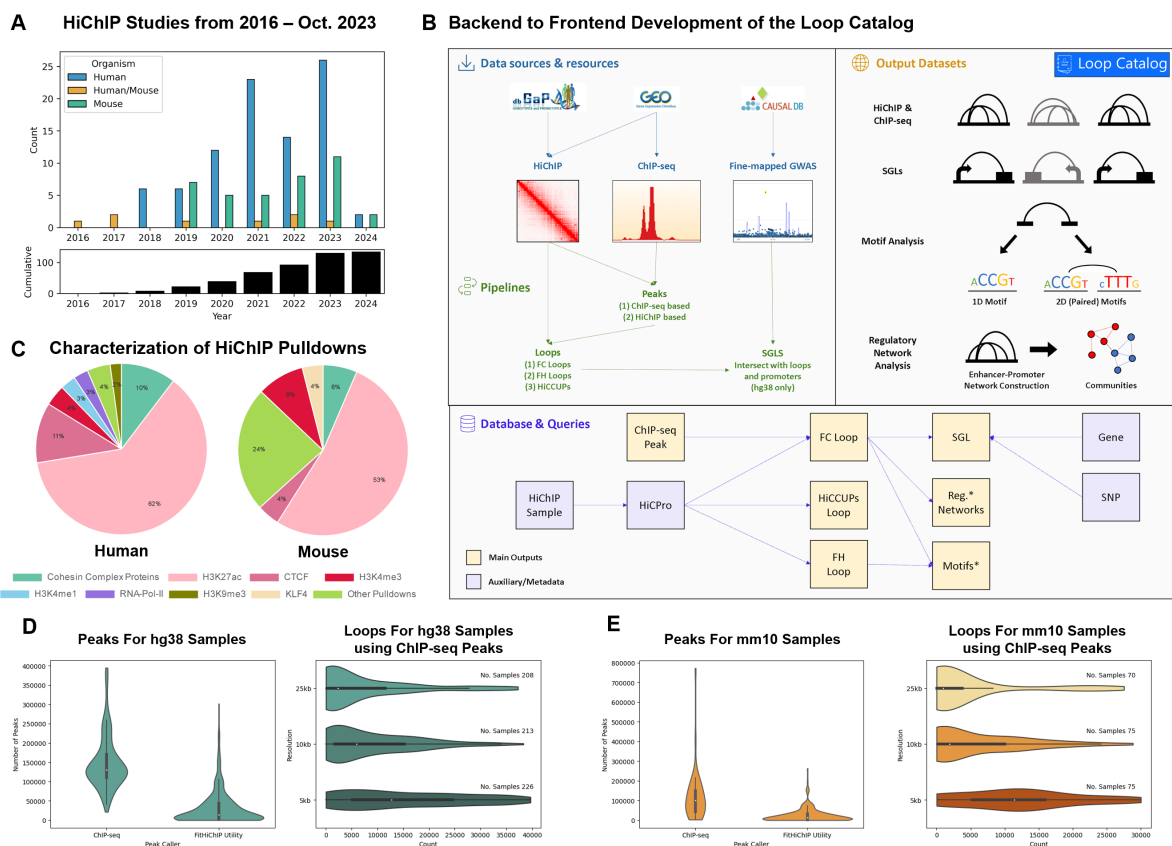
### 1.2 Background

Chromatin folding can impact cell-type-specific function and disease risk via altered 3D interactions between genetic loci [55, 82]. An important feature of chromatin folding is the existence of chromatin loops that connect regions separated by large genomic distances, often up to a megabase but with notable cases spanning even larger distances [42, 83–85]. These loops can be broadly categorized into: i) structural loops demarcating domains of interactions such as topological domains (TADs) and marked by the binding of CTCF and cohesin at their anchors, and ii) regulatory loops which join distal gene regulatory elements such as enhancers

and promoters to modulate gene expression [44, 47, 54, 57, 86–92]. Our understanding of the exact role these loops/interactions play in cell-type specific gene regulation and ultimately disease susceptibility is far from complete.

To capture such interactions, the Hi-C procedure was developed as a high-throughput genome-wide assay that carries proximity ligation either in dilution [50] or in-situ within intact nuclei [49]. As a subset of interactions, regulatory loops are often associated with regions enriched for histone modifications such as H3K27ac and H3K4me3, transcription factors or for accessible chromatin [56, 93–97]. The development of the HiChIP assay represents an extension of the in-situ Hi-C methodology that can facilitate capture of these protein-specific regulatory loops by using immunoprecipitation to enrich for interactions involving anchors associated with the binding of transcription factors (TFs) or histone modifications of interest. Given the ability to enrich signal for a subset of the genome (e.g., active regulatory elements), HiChIP enables high-resolution profiling of chromatin interactions of interest with lower sequencing depth compared to Hi-C [56, 94, 98]. Another advantage of HiChIP, at the time of its initial development, was that it allowed working with lower numbers of cells as input (1-5M), hence enabling the characterization of 3D organization in primary cells. Since the introduction of HiChIP in 2016, the number of publicly available human and mouse HiChIP studies published has consistently increased each year (Figure 1.1A). This indicates the growing popularity of the HiChIP assay, particularly as a method of investigating distal interactions between genetic variants, often in non-coding regions of the genome, and their potential target genes in a cell-type and context-specific manner [60–63, 66, 83, 99].

Here, we developed the Loop Catalog, a database containing the largest set of uniformly processed HiChIP data to date, curated from over 130 publications and a total of 1319 samples (from 2016 to January 2024) leading to over 5 million unique looping interactions at 5 kb resolution (10M total across all resolutions with 7.7M for human, 3.1M for mouse) with an accompanying web server that enables visualization, querying and bulk download of looping data (Figure 1.1B, Supp Figure 1.1). Recent publications and databases, namely HiChIPdb



**Figure 1.1. Data overview and high-level summary of the Loop Catalog.** **A)** Breakdown of the number of HiChIP samples generated from 2016 to 2024. The top panel shows the number of studies broken down by human (blue), mouse (teal), or both (orange). Bottom panel shows the cumulative trend. **B)** Breakdown of samples by target protein or histone modification and by organism. **C)** Schema for the development of the Loop Catalog starting from raw sequencing files to processing (top left), database storage (bottom) and web accessible analyses (top right). **D-E)** Number of peak calls (left) and FitHiChIP loop calls at three different resolutions (right) for HiChIP samples with ChIP-seq data for **D)** human and **E)** mouse samples.

**Table 1.1. Comparison of HiChIP processing methods and website features of Loop Catalog, ChromLoops, HiChIPdb, and Cohesin-DB.** Categories include specification and quantification of data types, implementation of loop calling (software, configurations, replicates), ease of data download and visualization, including the ability to select and visualize multiple samples simultaneously, and embedded biological analysis modules.\* annotation of genes, SNPs, E/PS, silencers, circRNA, TWAS, chromosome open access data, alternative splicing, transcription factors \*\* annotation of genes, SNPs \*\*\* annotation of genes

General Feature	Specific Feature	Loop Catalog	ChromLoops (Zhou et al., 2022)	HiChIPdb (Zeng et al., 2022)	Cohesin-DB (Wang et al., 2022)
<b>Data Types</b>	Organism	Homo sapiens, Mus musculus	Homo sapiens, Mus musculus, 11 others	Homo sapiens	Homo sapiens
	Reference Genome	hg38, mm10	hg38, mm10, 11 others	hg19	hg38
<b>HiChIP Processing</b>	Total HiChIP Samples	1319 total (1031 distinct)	772	200	42
	Loop Calling	FitHiChIP, HiCCUPS	ChIA-PET Tool (V3)	FitHiChIP, hicchipper	HiCCUPS
	Loop Resolutions	5kb, 10kb, 25kb	variable	1kb, 5kb, 10kb, 50kb, variable	5kb, 10kb, 25kb
	Peak Type Used for Loop Calling	HiChIP-Inferred, when available	HiChIP-Inferred	HiChIP-Inferred	N/A
<b>Data Download</b>	Replicate Handling	Technical/Biological Reps Merged, Multiple Donors Merged	Biological Replicates Merged	Technical/Biological Reps Merged	Technical Reps Merged
	Pipeline Code Released	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Loop Calls	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Peak Calls Used for Loop Calling	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Data Visualization</b>	Browser Track Files	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Embedded Visualization	Epigenome Browser (WashU)	Epigenome Browser (WashU)	IGV	Epigenome Browser (WashU)
	Multi-Sample Selection	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Data Analysis</b>	Multi-Sample Visualization	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Community Structure Analysis, Loop Motif Pair Analysis, SGL Analysis	Embedded Tools	Functional Anchor Annotation*, Cancer High-Frequency Loops, Species-Specific High Frequency Loops	Functional Anchor Annotation**	Functional Anchor Annotation***, Loop discovery given genome regions, Prediction of regulatory sites and target genes
<b>Additional Data</b>	High Resolution Hi-C (n=44)	Hi-C and Other Conformation Capture Data	High Resolution Hi-C (n=44)	PLAC-seq (n=89) ChIAPET (n=789)	Hi-C (n=385) ChIAPET (n=119)

[100], ChromLoops [101], and Cohesin-DB [102], were first attempts to catalog HiChIP loops by curating them from the broader literature. We provide a detailed comparison of Loop Catalog with these previous databases on the basis of the number of samples processed, the choice of tools for data processing including loop calling, integrated visualization and additional data types compiled (Table 1.1). In addition to having the largest number of HiChIP samples, unique features of Loop Catalog include utilization of matched ChIP-seq data when available, enabling a broader set of data download and visualization capabilities and additional modalities including SGL mapping, traditional and pairwise motif enrichment analysis and regulatory network analysis (Table 1.1; additional modalities will be covered in Chapter 2). The large set of loops cataloged in this work will enable not only 3D-informed prioritization of genetic variants and enhancers involved in gene regulation but also will stimulate development of machine learning, deep learning, and network construction approaches that require large scale data.

## **1.3 Results**

### **1.3.1 Curating a set of publicly available HiChIP samples for human and mouse cells**

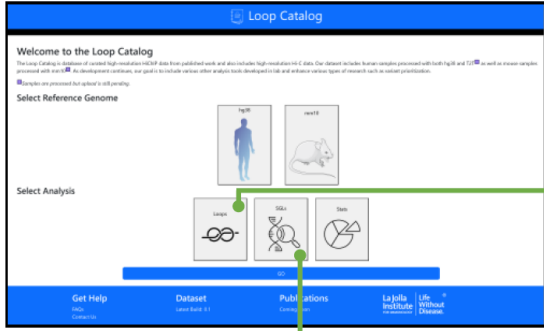
In total, we collected 750 human and 281 mouse samples from 133 studies that cover a diverse set of cell types and cell lines. For primary human samples there is a concentration of immune cell types that include monocytes, natural killer (NK) cells, T cell and B cell subsets, among others (175 designated samples; 140 and 35 for human and mouse, respectively). As expected, cancer cell lines are well represented (e.g., HCC15, NCI-H1105, MCF7) together with other cell lines from normal tissue including heart-derived samples (e.g., aortic valve interstitial, coronary artery smooth muscle, and aortic smooth muscle cells) as listed in Supp Table 1.1. Regarding the target protein in the HiChIP experiment, active regulatory element-associated histone mark H3K27ac was the most highly represented, accounting for 62% of human samples and 53% of mouse samples. Other frequently represented ChIP targets include CTCF for human

datasets and H3K4me3 for mouse datasets. Cohesin subunits such as SMC1A was also a frequent protein of choice in both human and mouse samples (Figure 1.1C). It is evident that, while the majority of studies target functional interactions via H3K27ac or H3K4me3 pulldown, structural interactions via CTCF and cohesin pull-down are also well-represented among human and mouse HiChIP studies. Overall, this dataset provides a comprehensive coverage of HiChIP samples that investigate structural as well as regulatory loops profiled across hundreds of samples.



**Figure 1.2. Layout of the Loop Catalog portal.** **A)** Screenshot of the main entry page to the Loop Catalog. **B)** Main data page which includes an embedding of the WashU Epigenome Browser followed by a table of HiChIP samples with various metadata fields. **C)** Screenshot of a HiChIP sample page with statistics on the loop calls and the enhancer-promoter network visualization with an associated table on ranking of identified network communities. Subcommunities are color coded with nodes belonging to either enhancers (circles), promoters (squares) or other (triangles). **D)** Screenshot of the SGL entry page where disease, locus/gene and HiChIP samples are selected. **E)** Screenshot of the SGL analysis page which includes an embedded browser and a table with SGL metadata including buttons for navigation.

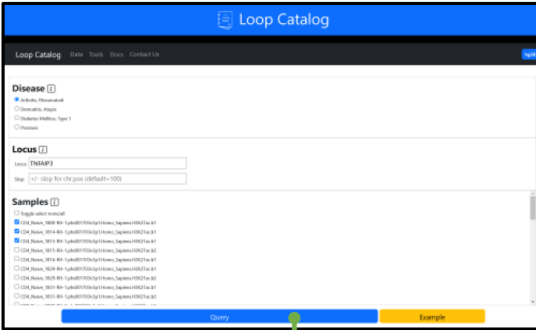
### A Landing Page



### B Main HiChIP Loop Page



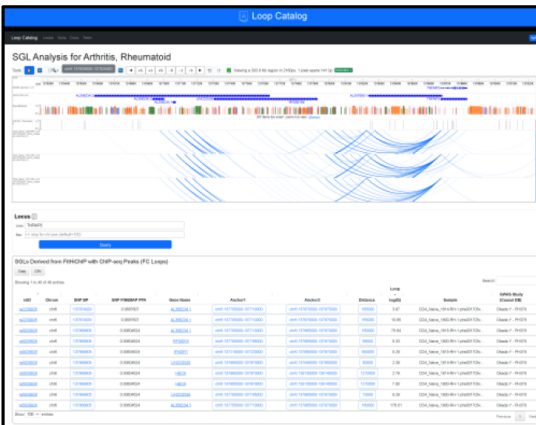
### D SGL Query Page



### C HiChIP Sample Page



### E SGL Visualizations Page



### 1.3.2 Uniform processing of HiChIP samples and quality controls

The raw HiChIP data aligned to human (hg38) or mouse (mm10) genomes were processed to generate high-confidence loops utilizing multiple loop calling approaches: i) FitHiChIP with ChIP-seq peaks (FC), ii) FitHiChIP with HiChIP-inferred peaks (FH), and iii) HiCCUPS (Supp Figure 1.1). In addition, we performed loop calling using Mustache [103] for 44 high-resolution Hi-C samples available from the 4DN data portal (Supp Table 1.9). To visualize these loops, we developed a webpage with a highly interactive table that allows selection of multiple samples, loop calling settings, resolutions and corresponding peaks (Figure 1.2). These selections can then be plugged into an embedding of the WashU Epigenome Browser, downloaded as track files or WashU hub files for additional use cases (Figure 1.2B). The Loop Catalog was initialized with loops called for 1031 unmerged HiChIP samples and 282 merged samples that were created after combining biological replicates from the same study that pass a correlation threshold for pairwise similarity of individual replicates (Supp Figure 1.3). An additional 6 immune cell-based mega-merged samples were created by merging across all donors and all biological replicates using data from two previous publications [39, 104]. Overall, the Loop Catalog provides access to loops for 1319 HiChIP samples with FH loop calls for all samples and, of these, 386 samples had matching ChIP-seq data that enable loop calling using FC. In addition, we called HiCCUPS loops genome-wide for 426 samples, for which we had at least a certain number of loop calls from chr1 (200 for human, 100 for mouse) (Supp Figure 1.4). In particular, when comparing these figures to other databases, the Loop Catalog stands out with the highest number of HiChIP samples, totaling 1031 distinct and 1319 overall with merged samples. ChromLoops provides loop calls for 816 samples (772 HiChIP), however this total is spread across 13 different species, whereas HiChIPdb and CohesinDB offer significantly fewer HiChIP samples, with only 200 and 42, respectively (Table 1.1). It is noteworthy that various loop-calling methods were employed across all databases. With this consideration, HiChIPdb is most similar to the Loop Catalog, using mainly FitHiChIP for loop calling (Table 1.1). However, unlike the Loop Catalog, HiChIPdb

derives peaks solely from HiChIP data, which tends to have a low recall rate with respect to ChIP-seq based peaks (Supp Figure 1.2). Conversely, Chromloops opted to use ChIA-PET Tool (V3), a tool specifically designed to address concerns for ChIA-PET experiments and may fail to correct for HiChIP biases. With regards to Cohesin-DB, this database used HiCCUPS, a software that is originally designed for high-depth Hi-C data [105] (Table 1.1). Despite using HiCCUPS in addition to FitHiChIP, we found that, for most of our curated HiChIP samples, the number of HiCCUPS loops were quite low (e.g., less than 200 for human chr1) likely limiting the utility of those loop calls for downstream analysis. Another important feature unique to Loop Catalog is the extent of quality control that is performed on both peak (ChIP-seq or HiChIP-derived) and loop level to provide QC flags for each set of loop calls to inform users of the inferred quality of the data they are about to visualize or download for further analysis (Supp Figure 1.5, Supp Table 1.7).

### **1.3.3 Visualization and exploration of loop calls through a web interface**

The Loop Catalog is underpinned by a comprehensive database that incorporates processed HiChIP data from GEO and dbGaP, high-resolution Hi-C data from the 4DN data portal, along with fine-mapped GWAS data for a number of immune-associated diseases and eQTLs for major immune cell types (Figure 1.1). When first accessing the platform, users are presented with an entry page featuring a selection for reference genome and analysis type including loops, SNP-Gene linking (SGLs) and statistics (Figure 1.2A). Subsequently, once an analysis has been selected, a secondary page will render the corresponding analysis with a navigation menu to switch between other analyses and website-related information. The data page offers immediate and extensive visualization of HiChIP and Hi-C samples with their associated loop calls, spanning various methods and resolutions, as illustrated in Figure 1.2B. For specific sample information, each sample is linked to a dedicated page displaying metadata, loop data, regulatory network analyses and a motif scanning analysis (Figure 1.2C). Furthermore, the SGL page grants access to the integration of immune-based HiChIP samples and fine-mapped GWAS SNPs and

eQTLs. Lastly, the statistics page provides a higher-level overview of all HiChIP data stored for a given reference genome.

## **1.4 Conclusion**

The HiChIP assay has empowered the field of chromatin structure by providing a relatively inexpensive, high-resolution and targeted approach to mapping chromatin interactions. It is no surprise that the number of HiChIP studies published annually keeps growing each year and will continue to do so until superior methods are developed. The Loop Catalog is a public hub that centralizes 1319 HiChIP samples from these studies and, through the use of user-facing tools, lowers the bar for exploring 3D genome organization datasets, which would require substantial bioinformatics skills otherwise. As previewed by our applications, we foresee the Loop Catalog becoming a valuable resource for a broad range of chromatin studies including but not limited to variant-gene prioritization, machine learning, and deep learning approaches for loop prediction or utilizing loops to predict other functional measurements including gene expression as well as benchmarking analyses of such methods.

At its current state, the Loop Catalog allows the community to access and bulk download uniformly processed chromatin looping data from HiChIP experiments and intermediate files, as desired. Looking ahead, we plan to continue expanding the Loop Catalog as newly published datasets become available. Our overarching and ongoing goal is to build a chromatin-centric database that seamlessly expands in terms of data as well as computational utilities offered that will eventually become the go-to platform for access and analysis of all published HiChIP data.

## **1.5 Methods**

### **1.5.1 Curating HiChIP and ChIP-seq Samples**

To identify a comprehensive list of publicly-released HiChIP datasets, we developed a pipeline that scans NCBI's Gene Expression Omnibus (GEO) database [106] for studies perform-

ing HiChIP experiments. To extract information on these studies the BioPython.Entrez [107] and metapub.convert (<https://pypi.org/project/metapub/>) packages were used. Raw sequencing data associated to these studies was then identified from the SRA database using the pysradb Python package (<https://github.com/saketkc/pysradb>) and the results were manually examined to extract HiChIP samples. ChIP-seq samples corresponding to these studies were also extracted if there was a record of them within the same GEO ID as the HiChIP sample.

### **1.5.2 Populating Sample Metadata**

To automatically add metadata, such as organ and cell type, to the curated HiChIP data, the BioPython.Entrez package was used to perform a search query within the GEO database. GSM IDs from the previous query were used with an esummary query to the biosample database [107]. From these results organism, biomaterial, celltype, GSM ID, SRA ID, disease, organ, treatment, tissue, and strain (only for mouse) were extracted. To improve classes for organ and biomaterial, a dictionary of classes as keys and synonyms as value (e.g. heart is a key and its synonyms are cardiovascular, atrium, aorta, etc) as used to search across GEO and Biosample reports. “N/A ” was used to indicate fields that are not applicable for a given sample and for the remaining fields, “Undetermined” was assigned when the information could not be retrieved.

### **1.5.3 Downloading Raw Sequencing Datasets**

HiChIP FASTQ files were systematically downloaded using one of three methods and prioritized in the following order: SRA-Toolkit’s prefetch and fasterq-dump (2.11.2) (<https://hpc.nih.gov/apps/sratoolkit.html>), grabseqs (0.7.0) [108], or EBI URLs generated from SRA Explorer (1.0) [109]. In addition, FASTQ files for phs001703v3p1 and phs001703v4p1 were downloaded that pertain to two previously published HiChIP studies from the Database of Genotypes and Phenotypes (dbGaP) [39, 104, 110] (Supp Table 1.1). Additionally, ChIP-seq FASTQ files were downloaded from GEO using grabseqs (0.7.0).

### **1.5.4 Processing Reads from HiChIP Data**

Human samples were aligned to hg38 while mouse samples were aligned to mm10 using the HiC-Pro (3.1.0) pipeline [111] (Supp Table 1.2). Reference genomes were downloaded for hg38 (<https://hgdownload.soe.ucsc.edu/goldenPath/hg38/bigZips/>), and for mm10 (<https://hgdownload.soe.ucsc.edu/goldenPath/mm10/bigZips/>) and indexed with bowtie2 (2.4.5) using default parameters. All technical replicates were grouped into their respective biological replicate before read alignment. Restriction enzymes and ligation sequences were determined during the HiChIP literature search (Supp Table 1.3) and restriction fragments were generated with the HiC-Pro digestion.py tool using default parameters. HiC-Pro was then run in parallel mode by splitting FASTQ files into chunks of 50,000,000 reads using HiC-Pro's split\_reads.py utility with a minimum MAPQ threshold of 30. HiC-Pro generated contact maps at the 1kb, 2kb, 5kb, 10 kb, 20kb, 40kb, 100kb, 500kb, and 1Mb resolutions. For all other HiC-Pro configuration parameters, the defaults were used.

### **1.5.5 Processing Reads and Calling Peaks for ChIP-seq Data Using ChIPLine**

Our previously developed ChIPLine pipeline (<https://github.com/ay-lab/ChIPLine>) was used to align ChIP-seq reads with Bowtie2 [112] and call peaks using MACS2 (2.2.7.1) [113] (Supp Table 1.4). If available, the corresponding input ChIP-seq files were used by MACS2 in ChIPLine for peak calling using input vs treatment mode. MACS2 peak calls were made without an input file if no such file was available for the sample. For ChIP-seq datasets with multiple biological replicates, the replicate with the largest number of peak calls was selected as the peak set to be used in downstream analyses for loop calling.

### **1.5.6 Calling Peaks from Reads of HiChIP Data Using FitHiChIP**

In the absence of matching ChIP-seq data, we turned to inferring peaks directly from HiChIP data. We called HiChIP peaks the PeakInferHiChIP.sh utility function of FitHiChIP 10.0

version [114], which takes processed interaction pairs generated by HiC-Pro as input (i.e., one valid read pair corresponds to two individual reads) and utilizes MACS2 for peak calling using the PeakInferHiChIP.sh script by specifying the correct HiC-Pro output directory, reference genome, and read length as reported by SRA Run Selector entry for a given sample (Supp Table 1.4). If read lengths differed across technical replicates for a single HiChIP biological replicate, the mode read length was used. For cases in which there was no single mode read length, the longest read length was selected. For merged biological replicates, the mode read length of all individual biological replicates for a given sample was chosen, and similarly, the longest read length was selected in the case of multiple modes. We additionally used HiChIP-Peaks (0.1.2) [60] with its default parameters to infer peaks from HiChIP data; however, these peak calls spanned untypically large-sized genomic regions (median 2.15 kb and up to 122 kb) and we decided to not utilize these peak calls.

### **1.5.7 Performing Recall Analysis of 1D Peaks Inferred from HiChIP Data**

Peaks called from ChIP-seq datasets were considered the ground truth set and peaks inferred directly from HiChIP datasets were assessed for their overlap with ChIP-seq peaks (Supp Figure 1.2). To measure the validity of HiChIP-inferred peaks, we compared them to the peaks from ChIP-seq data and computed the percentage of ChIP-seq peaks recovered using HiChIP-inferred peaks. To obtain the intersection, each pair of corresponding HiChIP and ChIP-seq peak sets were intersected using bedtools allowing for 1kb slack on both sides.

### **1.5.8 Integration of Biological Replicates of HiChIP Data**

In order to generate more deeply sequenced contact maps from the initial set of samples, we grouped together samples originating from the same study, pulldown and biological replicate set for both human and mouse datasets (Supp Table 1.1). Before merging the biological replicates, the reproducibility was assessed using hicreppy (0.1.0) which generates a stratum-adjusted



correlation coefficient (SCC) as a measure of similarity for a pair of HiChIP contact maps (<https://github.com/cmdoret/hicreppy>) (Supp Table 1.5). Briefly, contact maps in hic format were converted to cool format for hicreppy input at 1kb, 5kb, 10kb, 25kb, 50kb, 100kb, 250kb, 500kb, and 1mb resolutions using hic2cool (0.8.3) (<https://github.com/4dn-dcic/hic2cool>). hicreppy was run on all pairwise combinations of biological replicates from a given HiChIP experiment as follows: first, hicreppy htrain was used to determine the optimal smoothing parameter value, or h, for a pair of input HiChIP contact matrices at 5kb resolution. htrain was run on a subset of chromosomes (chr1, chr10, chr17, and chr19) and with a maximum possible h-value of 25. Default settings were used otherwise. Next, hicreppy scc was ran to generate a SCC for the matrix pair using the optimal h-value reported by htrain and at 5kb resolution considering chr1, chr10, chr17, and chr19 only. A group of biological replicates were merged if all pairwise combinations of replicates in that group resulted in a SCC greater than 0.8 (Supp Figure 1.3). Merging of biological replicates was performed by concatenating HiC-Pro validpairs files from which we performed all downstream peak and loop calling steps of our pipeline.

### **1.5.9 Identifying Significant Chromatin Loops from HiChIP Data**

Loop calling was performed for both unmerged and merged HiChIP biological replicates by (a) HiCCUPS (JuicerTools 1.22.01) [49], (b) FitHiChIP with HiChIP-inferred peaks (FH loops), and (c) FitHiChIP with ChIP-seq peaks (FC loops), when available [105] (Supp Table 1.6, Supp Figure 1.4). Briefly, HiC-Pro validpairs files for each sample were converted to .hic format using HiC-Pro's hicpro2juicebox utility with default parameters. HiCCUPS loop calling was initially performed for chr1 only with the following parameters: `-cpu, -ignore-sparsity, -c chr1, -r 5000,10000,25000, and -k VC`. Samples which passed the thresholds of at least 200 loops from chromosome 1 for human samples and at least 100 loops from chromosome 1 for mouse samples, both at 10kb resolution, were processed further with HiCCUPS for genome-wide loop calling using the same parameters. FitHiChIP loop calling was run with HiC-Pro validpairs as input at the 5kb, 10kb, and 25kb resolutions for the Stringent (S) background model with coverage bias

regression, merge filtering, peak-to-all interactions and an FDR threshold of 0.01. Default values were used for all other FitHiChIP parameters.

### **1.5.10 Assigning QC Flags to Peak and Loops Calls**

To assign a set of comprehensive QC flags to all HiChIP samples (unmerged and merged biological replicates), we derived criteria from quantifying numbers of peak and loop calls (Supp Table 1.7, Supp Figure 1.5). For both FH and ChIP-seq peaks, we assigned a “Poor” flag to samples with  $\leq 1000$  peaks, “Warning” to samples with  $> 1000$  and  $< 5000$  peaks, and “Good” to samples with  $\geq 5000$  peaks. To establish intermediate flags for loops, each one of the six FitHiChIP loop call configurations (Stringent 5kb, Loose 5kb, Stringent 10kb, Loose 10kb, Stringent 25kb, and Loose 25kb) was assigned a flag as follows: “Poor” if the number of Stringent loops was greater than the number of Loose loops at a given resolution or the sample possessed  $\leq 100$  loops, “Warning” for  $> 100$  and  $< 1000$  loops, or “Good” for  $\geq 1000$  loops. Lastly, we set a final loop flag on each loop call configuration which considers both the peak and intermediate loop flags. This final loop flag similarly takes on the values of “Poor”, “Warning”, or “Good”. “Good” was only assigned in cases where both the peak and intermediate flags were “Good”. All other possible cases are further described in Supp Table 1.7.

### **1.5.11 Establishing a Set of High-Confidence Regulatory HiChIP Loops**

We established a high-confidence set of 53 unique human H3K27ac HiChIP samples from the pool of 120 human H3K27ac HiChIP datasets with either merged or unmerged biological replicates and over 10,000 stringent 5kb FC loops, henceforth called the HCRegLoops-All sample set. We additionally established two subsets of the HCRegLoops-All sample set as follows: HCRegLoops-Immune contains 27 samples from immune-associated cell types and HCRegLoops-Non-Immune contains 26 samples from non-immune cell types (Supp Table 1.8).

### **1.5.12 Identifying Significant Chromatin Loops from High Resolution Hi-C Data**

Loop calling was performed for 44 high resolution Hi-C samples gathered from the 4DN data Portal's (<https://data.4dnucleome.org>) "High-Resolution Hi-C Datasets" Collection using Mustache (Roayaei Ardakany et al., 2020). Processed .hic files were downloaded and Mustache was run with default parameters across chr1 through chr22 using raw, KR, and VC normalized contact matrices (-norm) to determine loops at 1kb, and 5kb resolutions (-r) (Supp Table 1.9).

### **1.5.13 Designing the Internal Database and Filesystem**

The database is composed of two main parts, the first contains high sample-level and low-level loop information while the second contains SGL specific tables with auxiliary tables used to add additional annotations. For the first part, we atomized the data into the following tables: hic\_sample, celltype, hicpro, chipseq\_merged, fithichip\_cp, fithichip\_cp\_loop, hiccup, fithichip\_hp and reference which allowed us to capture important metadata and the uniqueness of loops using different loop callers. The second part includes the gwas\_study, gwas\_snp, snp, gene, fcp\_fm\_sgl, eqtl\_study, eqtl, and fcp\_eqtl\_sgl tables which allowed us to capture important relationships for SGLs and to facilitate their query. The full database schema can be found in Supp Figure 1.6.

### **1.5.14 Designing and Implementing the Web Interface**

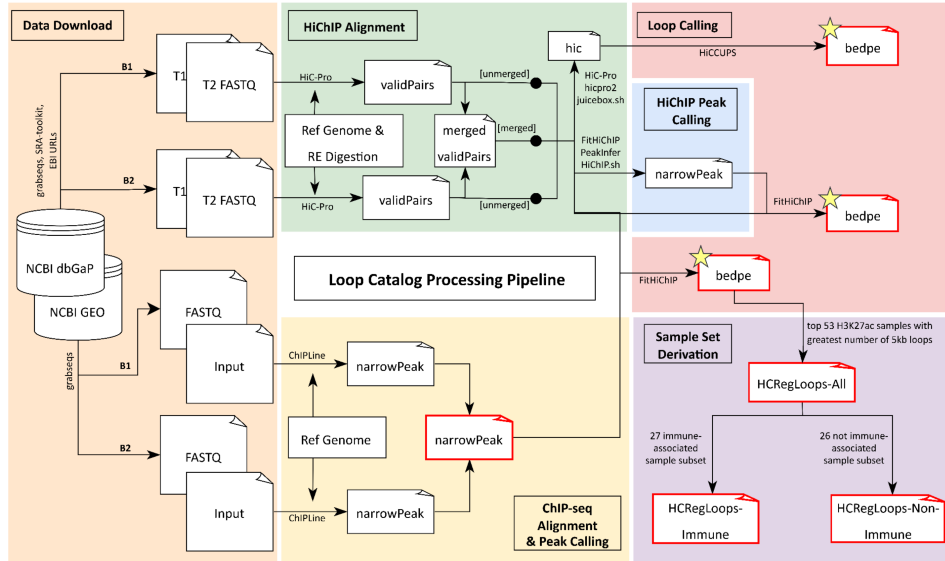
Loop Catalog was built using Django v3.2 (<https://www.djangoproject.com/>) as the backend framework with all data stored using the Postgresql v9 (<https://www.postgresql.org/>) database management system. To style the frontend interface, we used Bootstrap 5.2 (<https://getbootstrap.com/docs/5.2/>). For implementing advanced tables and charts DataTables v1.12.1 (<https://datatables.net>), Charts.js v4.0 (<https://www.chartjs.org/>), and D3 v4.13.0 (<https://d3js.org/>) were used. To visualize genetic and epigenetic data the WashU Epigenome Browser v53.6.3 (<https://epigenomegateway.wustl.edu/>) was used which maintains an easily accessible

web embedding. Lastly, CytoscapeJS v3.26.0 (<https://js.cytoscape.org/>) was used to visualize enhancer-promoter networks.

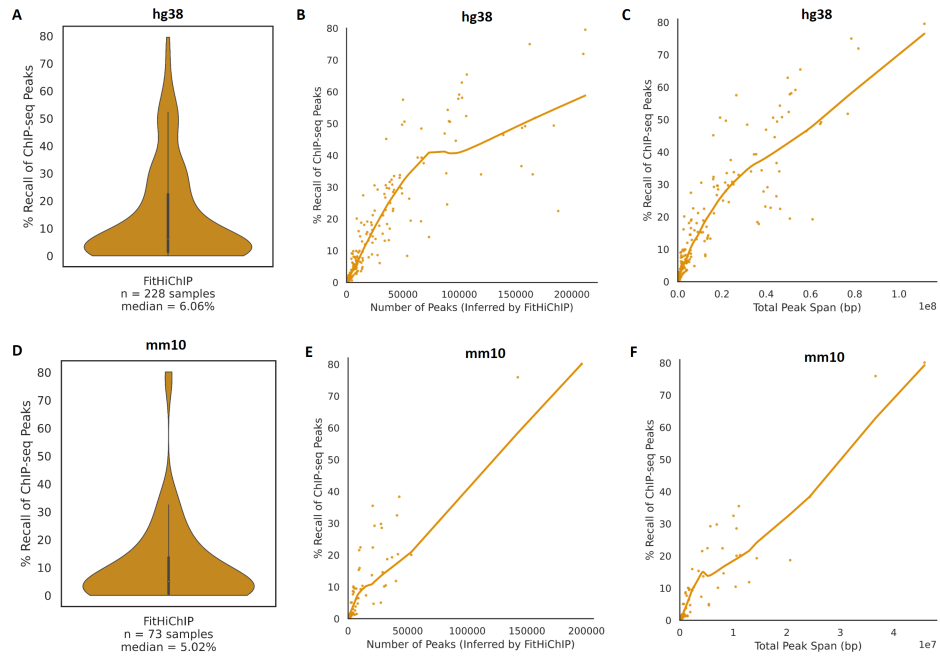
## **1.6 Availability of data and materials**

The Loop Catalog is freely available at <https://loopcatalog.lji.org> to all users without any log-in or registration requirements. The main processing pipeline has been released on Github as Loop-Catalog-Pipelines (<https://github.com/ay-lab-team/Loop-Catalog-Pipelines>). Similarly, we developed GEO-Resources (<https://github.com/ay-lab-team/GEO-Resources>) to locate HiChIP datasets in NCBI GEO. Versions of all software included in our pipeline are recorded (Supp Table 1.10). Raw sequencing reads for HiChIP and ChIP-seq were downloaded from NCBI GEO (<https://www.ncbi.nlm.nih.gov/geo/>) and NCBI dbGaP (<https://www.ncbi.nlm.nih.gov/gap>). Hi-C contact matrices were retrieved from the 4DN Data Portal (<https://data.4dnucleome.org>).

## 1.7 Supplementary Figures

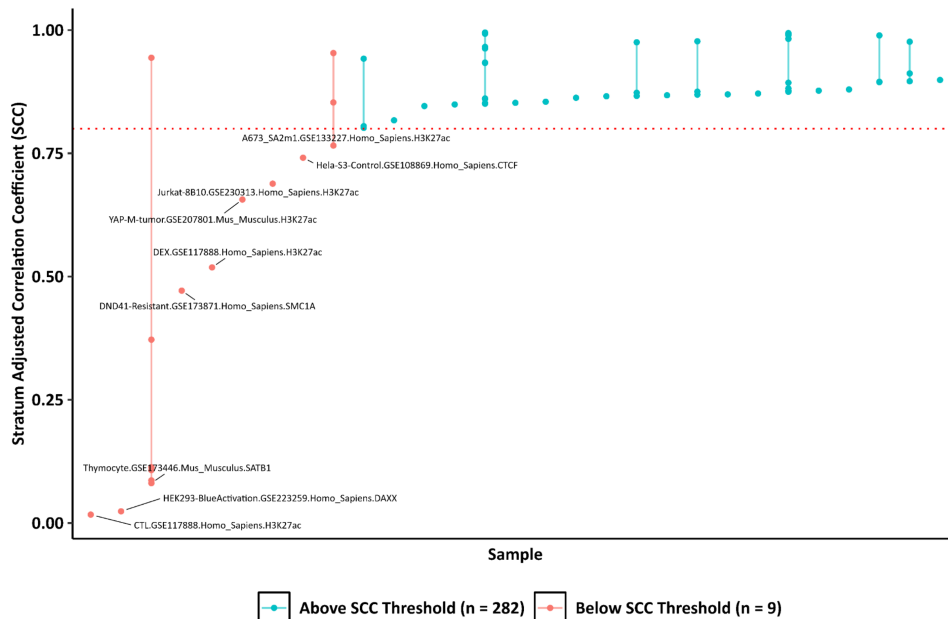


**Supp Figure 1.1. Schematic of the HiChIP and ChIP-seq data processing pipeline we developed for the Loop Catalog.** Raw sequencing reads are downloaded from NCBI GEO and dbGaP and are aligned to the reference genome (hg38 or mm10). Loops are called for HiChIP (both unmerged and merged biological replicates, as indicated by the shaded circles) using HiCCUPS and FitHiChIP at the 5kb, 10kb, and 25kb resolutions. Peaks derived from both ChIP-seq and HiChIP are used for FitHiChIP loop calling. High-confidence sample sets of top H3K27ac HiChIP samples are curated from the final set of loop calls. Red borders indicate usage in downstream database application analyses. Yellow stars indicate that the data type is available for download from the Loop Catalog web platform.

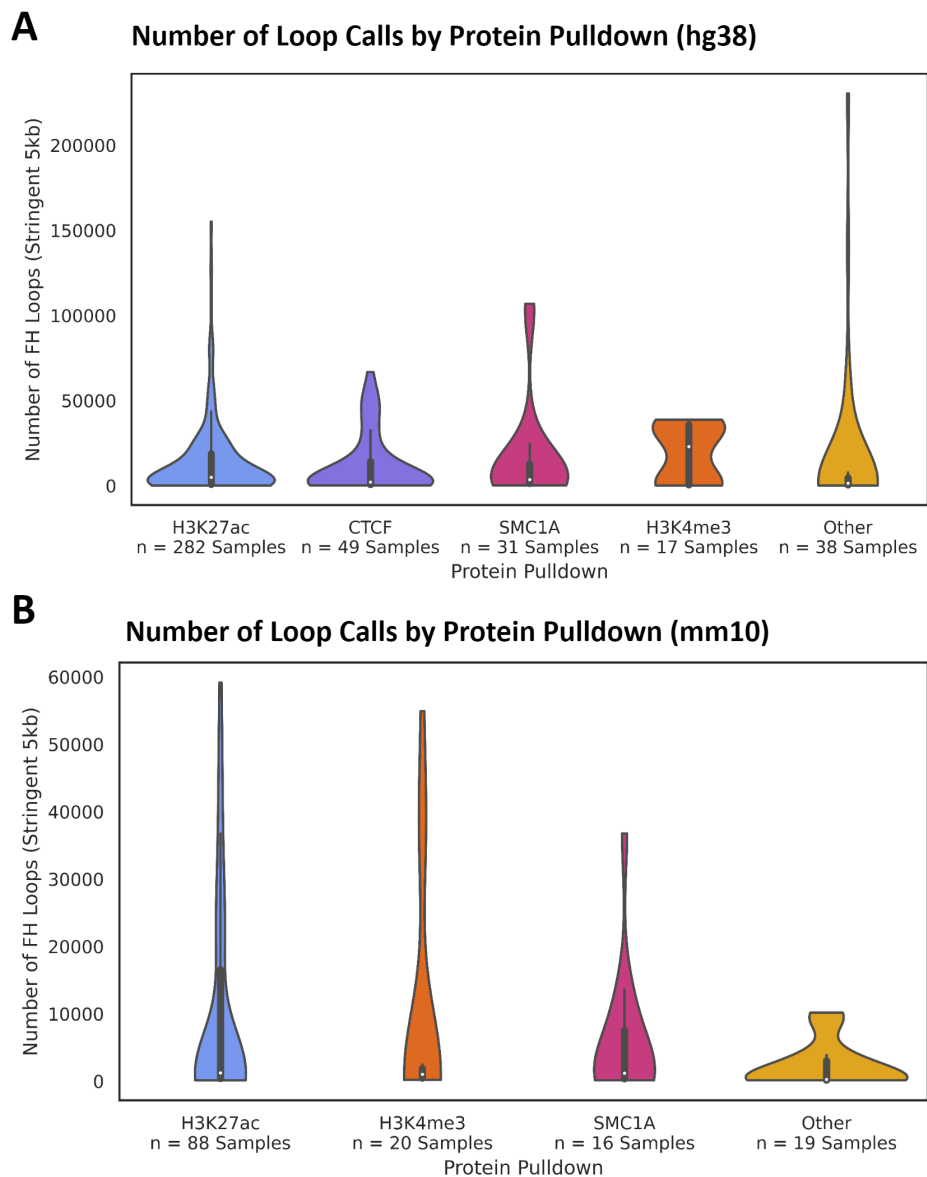


**Supp Figure 1.2. Recall analysis of ChIP-seq peaks using peaks called from HiChIP reads.**

**A)** Distribution of recall rates of ChIP-seq peaks by peaks inferred from HiChIP by FitHiChIP in hg38. **B)** Scatter plot of recall rate versus the number of peaks in hg38. Samples with zero percent recall or with zero peak calls derived from HiChIP are not included. **C)** Scatter plot of the recall rate versus the total peak span in hg38. Samples with zero peak calls derived from HiChIP are not included. **D-F)** Represent the same analysis as A-C but using mouse HiChIP samples.

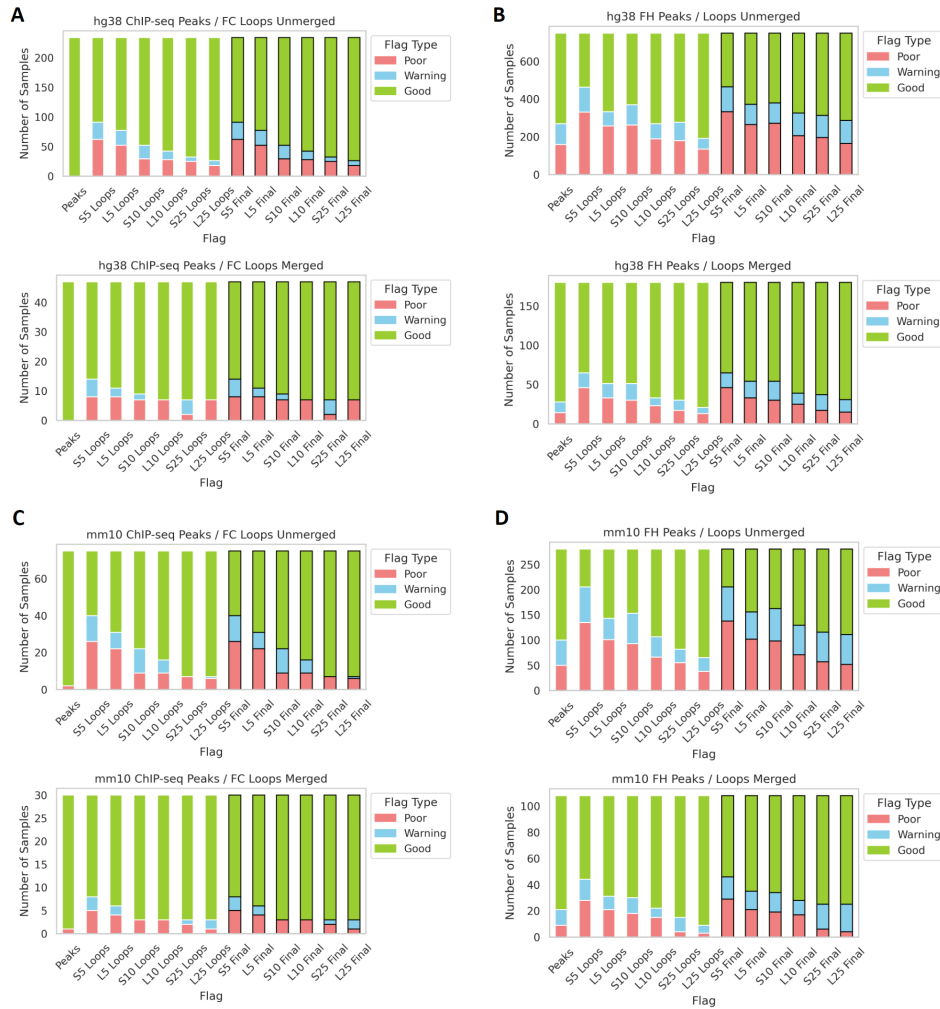


**Supp Figure 1.3. Reproducibility analysis for HiChIP biological replicates.** Stratum adjusted correlation coefficient scores (SCCs) for all pairwise combinations of HiChIP biological replicates for 291 HiChIP samples with at least two biological replicates were generated using hicroppy. SCCs for pairwise combinations of HiChIP biological replicates are displayed for the 29 HiChIP samples with a minimum SCC below 0.90. SCCs for pairwise combinations of replicates for samples with more than 2 replicates are connected with vertical lines. Samples passed the SCC threshold if the SCC of all pairwise combinations of replicates was greater than 0.80 (n = 282 samples, a subset of 20 passing samples shown in blue). Samples which possessed at least one replicate combination with a SCC less than 0.80 did not pass the threshold (n = 9 samples, all shown in red).



**Supp Figure 1.4. Number of FH loop calls by protein pulldown.** Distributions of number of FH loop calls by protein pulldown are displayed for **A**) human and **B**) mouse samples with >100 loops. Proteins represented by >10 samples are individually displayed while all others are grouped into the “Other” category. These include proteins such as RNA-Pol-II, GATA1, STAG1, STAG2, RAD21, etc.





**Supp Figure 1.5. Assignment of QC Flags to HiChIP Samples.** 13 flags were assigned to all samples. For peak calls, a flag of “Poor” was assigned if the sample possessed  $\leq 1000$  peaks, “Warning” to  $>1000$  and  $<5000$  peaks, and “Good” to  $\geq 5000$  peaks. Each configuration of FitHiChIP loop calls were each similarly assigned “Poor” (number of Stringent loops  $>$  number Loose loops at that resolution, or  $\leq 100$  loops), “Warning” ( $>100$  and  $<1000$  loops), or “Good” ( $\geq 1000$  loops). A final flag was assigned based on the logic in Supplemental Table 7. The distribution of each flag type is displayed for **A**) human FC loops, unmerged and merged, **B**) human FH loops, unmerged and merged, **C**) mouse FC loops, unmerged and merged, and **D**) mouse FH loops, unmerged and merged. Distributions of final flags are highlighted with black borders.



## 1.8 Supplementary Tables

**Supp Table 1.1:** Summary of HiChIP Sequence Files

**Supp Table 1.2:** HiC-Pro Quality Control Statistics

**Supp Table 1.3:** Restriction Enzyme Sequences Used in the HiC-Pro Pipeline

**Supp Table 1.4:** Peak Call Statistics for HiChIP and ChIP-seq

**Supp Table 1.5:** Assessment of HiChIP Reproducibility by Stratum-Adjusted Correlation Coefficients

**Supp Table 1.6:** HiChIP Loop Call Statistics for FitHiChIP and HiCCUPS Loop Calling

**Supp Table 1.7:** Quality Control Flag Definitions for FitHiChIP Loop Calling

**Supp Table 1.8:** List of High Confidence H3K27ac HiChIP Sample Sets

**Supp Table 1.9:** Hi-C Loop Call Statistics for Mustache Loop Calling

**Supp Table 1.10:** Software and Package Versions

Chapters 1 and 2, in full, has been submitted for publication of the material as it may appear in Genome Biology 2024, Joaquin Reyna, Kyra Fetter, Romeo Ignacio, Cemil Can Ali Marandi, Nikhil Rao, Zichen Jiang, Daniela Salgado Figueroa, Sourya Bhattacharyya, Ferhat Ay. The dissertation author was one of the primary investigators and authors of this paper.

## Chapter 2

# Utilizing the Loop Catalog to Identify Important Regulatory Elements

### 2.1 Abstract

Chromatin conformation is the infrastructure of the genome, from loop to compartments, the organization of elements within the genome is essential to cellular specialization and function. Thus, detection of regulatory elements within HiChIP data and integrating with functional variants can deliver meaningful insights. In this chapter we look at the following: i) linking of target genes for GWAS signals, ii) prioritizing of eQTL signals with an overlapping loop, iii) 1D motif analysis, iv) paired motif analysis, v) community detection, and vi) the incorporation of these analyses to the Loop Catalog website for wider use. From these efforts we can see that the Loop Catalog provides much more than just HiChIP loop calls, these can be widely analyzed and integrated with various other datasets to derive a new understanding of genetics and disease.

### 2.2 Background

In the context of human disease, HiChIP and similar assays provide a 3D view for the annotation of disease associations of non-coding genetic variants identified from GWAS [58, 59, 99, 115, 116]. Combined with efforts from multiple large consortia for cataloging putative regulatory elements spanning distinct cell types (e.g., ENCODE, BLUEPRINT and Roadmap

Epigenomics), mapping such 3D maps of chromatin organization has become a critical piece of the epigenetics puzzle, which led to formation of the 4D Nucleome Consortium [24, 117]. In parallel, other large-scale efforts identified SNPs associated with gene expression (i.e., expression quantitative trait loci or eQTLs) for different tissues and primary cell types [22, 23, 37, 104, 118, 119].

Motivated by these large scale analyses that demonstrate the importance of chromatin conformation, we expanded upon the Loop Catalog to develop three new analyses and full-fledged features that leverage our high confidence loop calls. In the first application, we intersected fine-mapped GWAS SNPs from CAUSALdb for four autoimmune diseases [27] with HiChIP loops derived from various immune cell types to identify potential target genes for these disease associated SNPs in each cell type (SNP to gene linking with loops or SGLs). Across all diseases we located 3048 unique SNPs, 1486 genes, 3411 loops and 13672 SGLs that span a median genomic distance of ~140kb. One example was a fine-mapped Type 1 Diabetes (T1D) GWAS SNP which was linked to multiple genes through HiChIP loops in multiple lymphoid cells but not in monocytes. As the second application, we investigated TF motifs in loop anchors using motif enrichment analysis [120] and pairs of TF motifs at loop anchors through pairwise motif enrichment using a bootstrapping approach. Our analysis of regulatory loop anchors conserved across a large majority of samples identified hundreds of enriched motifs including known and novel zinc finger transcription factors some of which were also enriched for significantly overrepresented combinations of motif pairs across samples (e.g., ZNF460, ZNF135). For the last application, we constructed enhancer-promoter networks using H3K27ac HiChIP loops as the underlying connections between these regulatory elements. Analysis of this network via community detection and community ranking algorithms resulted in the identification of tightly connected network communities, which may act as modular units of gene regulation. These features showcase the richness of chromatin conformation data from the Loop Catalog. From integration with GWAS, eQTLs, ChIP-seq and DNA motifs, the Loop Catalog enables the investigation of regulatory connectivity across the genome, that may influence molecular

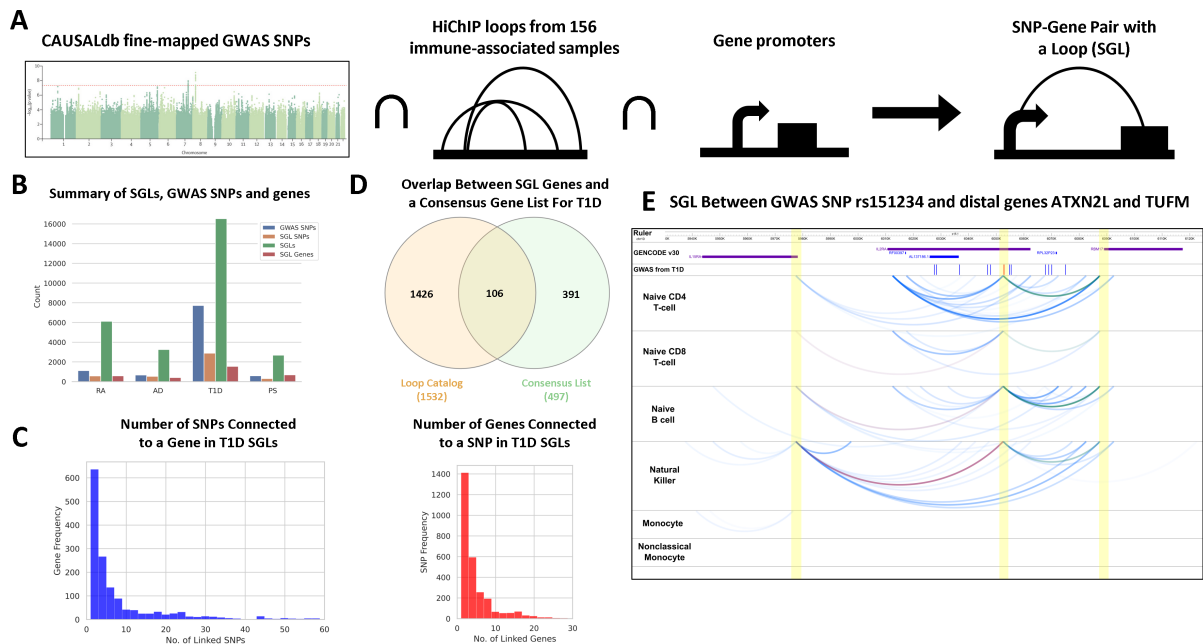
mechanisms and disease.

## 2.3 Results

### 2.3.1 Expanding the use of HiChIP data for annotating GWAS SNPs and eQTLs using SNP-to-Gene Loops

Leveraging the Loop Catalog we integrated loops for immune-related HiChIP samples, we identified 79 samples with nonzero FC loops at 5kb (63 unmerged, 10 bio-rep merged, and 6 all donors merged). We then used these loop calls together with fine-mapped GWAS SNPs from CAUSALdb to find target genes for each SNP that we term a SNP-gene pair with a loop (SGL) (Figure 2.1A). Briefly, across T1D, RA, PS and AD there are 7729, 1121, 590, and 674 unique fine-mapped GWAS SNPs, respectively. After overlapping these SNPs with our loop anchors and genes connected through such loops, we found 74 samples with at least one SGL and a total of 182,306 SGL instances across 18 studies covering the four diseases. When removing duplicate SGLs we found 28,162 distinct SGLs which included 4241 SNPs, 2354 genes and 7269 loops (Figure 2.1B, Supp Table 2.1). To store these results into the database and to allow querying, we constructed SNP, GWAS, gene and loop-level tables with genomic coordinates. To then browse these results, an SGL entry page allows users to first select their target disease, locus and samples from which the loops will be derived (Figure 1.2D). Subsequently, the Loop Catalog returns an SGL analysis page that includes an embedded WashU Epigenome Browser element loaded with a track for fine-mapped SNPs and loops tracks for each sample. Below the browser users will find an interactive table that lists all mapped SGLs for their selection. This table also allows navigating between loops and within loops including the left or right anchor and SNP positions (Figure 1.2E).

Similar to GWAS variants, we expanded our annotation of genomic elements using eQTL SNP-gene pairs. We started by downloading uniformly processed eQTL studies from the eQTL Catalogue and focused on cell types from Schmeddel et al 2018 dataset that include eQTLs for



**Figure 2.1. SGL analysis overview and results.** **A)** Schema of the SGL analysis using fine-mapped SNPs from CAUSALdb, 156 Loop Catalog immune-related samples, and TSS coordinates. **B)** Summary of results across all 4 diseases including the total number of GWAS hits (blue), SNPs found in a SGL (orange), genes found in SGL (green) and total SGLs (red). **C)** Distribution of SNP counts with respect to each SGL gene (left) and the distribution of gene counts with respect to each SGL SNP (right) for T1D. **D)** Evaluating the number of SGL genes which belong to a consensus list of T1D genes (green) and unique (orange). **E)** Example of an SGL between rs61839660 (red) and the genes *IL15RA* (red arc) and *RBM17* (blue arc). Tracks contain H3K27ac HiChIP tracks for naïve CD4 T-cell, naïve CD8 T-cell, naïve B cell, Natural Killer, monocytes, nonclassical monocytes derived from the Chanra et al 2021 and Schmiedel et al 2021 samples that were merged across all donors.

naive CD4 T-cells (n=64386), naive CD8 T-cells (n=67793), naive B cells (n=60629), NK cells (n=48221), monocytes (n=66024) and nonclassical monocytes (58069). For these cell types, we also have the HiChIP data derived from a subset of the same donors [39, 104, 121]. For these cell types, we then located 11604 unique eQTL-SGLs that cover 11053 SNPs and 1128 genes (Supp Figure 2.2, Supp Table 2.2). These results are made available through a similar web interface as SGLs derived from GWAS (Supp Figure 2.3). It is possible to extend our eQTL-based SGLs analysis to the remainder of the eQTL Catalogue, however, matching cell types from eQTL studies to those from HiChIP studies is not a trivial task for most of the cases.

### 2.3.2 Utilizing T1D SGLs for SNP and Gene Prioritization

To better understand the utility of using SGLs for linking GWAS SNPs and genes, we focused our attention on analyzing SGLs in T1D. When compared to all other diseases, T1D has the highest number of unique SGLs ( $n=16,534$ ) mainly due to the high number of fine-mapped GWAS SNPs as our starting point (Figure 2.1B). In post-GWAS analyses, it is important to distinguish putative causal SNPs among those that are in linkage disequilibrium (high LD). In cases where the phenotypic effect of the SNP is mainly through regulation of a distal gene, SGLs can corroborate important information to accurately annotate SNP function and to prioritize GWAS genes and SNPs while utilizing information on their 3D proximity. Investigating this for T1D, we observed that at least half of the CAUSALdb SNPs participate in an SGL, these SNPs are often in contact with multiple genes (Figure 2.1B). We further explored the multiplicity of SNP and gene links within T1D and found that 26% of SGL genes are linked to a single SNP, and the median number of SNP links per gene is 3. On the other hand, 27% of SGL SNPs are linked to a single gene and the median number of gene links per SNP is 3 (Figure 2.1C).

To understand if SGL genes overlap genes with known T1D associations, we built a consensus gene list using MalaCards [122], eDGAR [123], OpenTargets [41], and GWAS Catalog [28] and a T1D review paper [124]. The union of the gene lists across these five resources had 497 genes in total, of which 106 overlapped with our 1532 SGL genes identified for T1D. The 1426 genes uniquely found by our SGL approach, although likely to involve false positives, are potential targets for future investigations (Figure 2.1D). One of these genes was *IL15RA*, a cytokine receptor that binds the pro-inflammatory cytokine IL-15 with high affinity and through cis and trans presentation of IL-15 impacts cellular functions of CD8 T cells as well as Natural Killer (NK) cells [125]. Despite *IL15RA* not being within the consensus T1D gene list, the *IL15RA*/IL-15 axis has been associated with T1D but whether this axis has a pathogenic or protective role has not been clear [126–128]. Through our SGL analysis of H3K27ac HiChIP loop calls from major immune cells, we identified looping between the *IL15RA* promoter and



rs61839660, a SNP 75kb away that is highly associated with T1D and has been further prioritized by fine-mapping in three out of four T1D-GWAS studies with a posterior probability greater than 0.70. The corresponding loops are found for T cells, Naive B cells and NK cells but not for monocytes suggesting an important role for this SGL within the adaptive immune system. The more likely scenario is that rs61839660's T1D association is mediated through *IL2RA* given that this SNP falls within a constituent intron. However, specific loops connecting rs61839660 to *IL15RA* (P-value < 10<sup>-9</sup>) as well as to *RBM17* (P-value < 10<sup>-11</sup>) suggest the possibility of a pleiotropic effect for this SNP (Figure 2.1E, Supp Table 2.1). In addition, *RBM17*, an RNA-binding protein, has been previously shown to affect other autoimmune diseases such as rheumatoid arthritis [129]. As exemplified here, SGL analysis with the Loop Catalog may provide further evidence and/or mechanisms of action for a genetic variant and its target gene. In addition, it may help to find targets for GWAS variants whose target gene remains elusive.

### **2.3.3 Identifying Significant Sequence Motifs at Regulatory Loop Anchors**

In order to examine binding patterns of TFs in regulatory loops (H3K27ac HiChIP), we performed 1D motif enrichment analysis on highly conserved regulatory loop anchors from three high-confidence (HC) sample sets (Figure 2.2A). The HCRRegLoops-All sample set contains the 53 H3K27ac HiChIP samples with over 10000 stringent 5kb FC loops. These samples encompass diverse cell types including immune cells, heart cells, and various cancer cell lines. The HCRRegLoops-Immune sample set contains the subset of 27 samples from the HCRRegLoops-All set which are from immune-associated cell types and the remaining 26 samples are contained in HCRRegLoops-Non-Immune. In terms of conserved anchors that were derived for motif enrichment analysis, we annotated an anchor as conserved if was involved in at least one loop call in 90 or more of the samples from the given sample set and identified 879, 2766, and 721 anchors fitting this criterion for HCRRegLoops-All, HCRRegLoops-Immune, and HCRRegLoops-Non-Immune, respectively. Using the Simple Enrichment Analysis tool SEA from the MEME

Suite, we identified 205, 313, and 177 significantly enriched motifs (p-value < 1e-6) for the three sample sets, respectively (Supp Table 2.3). The top 3 most significantly enriched motifs were *ZNF460*, *ZNF135*, and *MEF2D* or *MEF2A* across all three sets (Figure 2.2B). *ZNF460* demonstrated strikingly high enrichment relative to other motifs, especially in the HCRegLoops-Immune set, with over 58-fold enrichment compared to control regions in this sample set, in comparison to 2.15-fold enrichment for CTCF (Figure 2.2C). Although similar to CTCF in regard to possessing 11 zinc finger domains [130], *ZNF460* also harbors a KRAB domain (like one third of all ZNFs [131]) that is associated with transcriptional repression. It is therefore surprising to have *ZNF460* motif enrichment in anchors of loops detected through enrichment of H3K27ac, an active histone mark. It is possible that *ZNF460* may be playing an important role in looping and one that is more pronounced for immune cells. However, recent studies highlight the importance of caution and the requirement for functional validation by showing that, for *ZNF143*, another ZNF with a presumed role in looping, such an association was a result of antibody cross-reactivity [132, 133]. Our motif enrichment analysis also identified two other ZNFs, MAZ and PATZ1, which are recently shown to have novel chromatin insulating activities, similar to CTCF [134, 135]. Regardless of the specific transcription factors, our provided analysis of TF enrichment at loop anchors for a diverse set of samples is useful for developing hypotheses about generic and cell-type-specific regulators or correlates of looping.

### **2.3.4 Identifying Significant Motif-Pairs Across Loop Anchors**

Transcription factors often work together, through co-binding, dimerization, or multimerization, to promote gene expression. With this motivation, we expanded our motif analysis at conserved anchors to a search for significantly overrepresented motif pairs connected by loops. Since the brute-force approach of scanning all possible motifs across the genome for enrichment would have been infeasible, we performed bootstrap analysis to calculate an empirical p-value. We used the frequency of a given motif pair across the entire set of loops as our statistic and tested whether the frequency of this motif pair appeared greater than expected by chance for



a given loop set. Bootstrap was used to build a simulated null distribution by shuffling loop anchors and thus motif pairs (see methods “Performing Enrichment Analysis for Motif Pairs”). A p-value was then calculated as the fraction of simulations greater than our observed frequency (Figure 2.2A (bottom); Supp Figure 2.1). We performed this analysis on the HCRRegLoops-ALL sample sets (Supp Table 2.4) and, to ensure our bootstrapping approach does not lead to inflated p-values, we investigated the Q-Q plots of our samples. We observed that most p-values lie along the diagonal for non-significant p-values suggesting no inflation (Figure 2.2D). Summarizing this analysis across all of our samples, we found signals for ZNF460 paired with motifs such as ZNF135 and THRA across a majority of samples (Figure 2.2E). Self pairs of ZNF135 and ZNF460 were also overrepresented across multiple samples. Overall, this is an interesting finding which suggests an association for zinc finger proteins other than CTCF with chromatin loops and their anchor regions.

### **2.3.5 Investigating topological properties of enhancer-promoter interactions networks derived from HiChIP loops**

In addition, for a subset of human H3K27ac HiChIP samples, we provide a network analysis with nodes being genomic regions (including regulatory elements such as enhancers and promoters) and edges representing connections by significant loops we detected. The network analysis was run for 240 human samples with 5kb FC loops of which 151 had at least one community (strongly connected set of nodes also referred to as network modules) detected. For these, we performed a two-level community detection for each chromosome where we first defined communities and then defined sub-communities for those with a large number of nodes. For both communities and sub-communities, we used CRank, an unsupervised technique for prioritization of network communities depending on their connectivity and topology parameters such as conductance, modularity and randomness [136]. Sub-communities are then visualized using CytoscapeJS to provide a dynamic interface and users can switch between sub-communities using an interactive table that allows filtering on various CRank variables (Figure 1.2C). The

provided functionalities for interrogating and ranking network communities at multiple levels will allow downstream analysis such as hyperconnected cliques [137] and multi-enhancer hubs [138] that were previously studied in the context of oncogenes and immune cell development, respectively.

## 2.4 Conclusion

The Loop Catalog portal offers a powerful way to access HiChIP data while providing additional analyses that are highly valuable to the scientific community. One such analysis is the SGL analysis, initially developed for GWAS studies in four immune-related diseases. This tool enables researchers to query variants or genes of interest, including GWAS SNPs or prioritized subsets like fine-mapped SNPs. SGL analysis has also been extended to eQTLs, allowing for the exploration of how gene expression influences chromatin conformation. For instance, the SNP rs61839660, associated with T1D, overlaps the intron of *IL2RA*. While many researchers might consider this association sufficient, chromatin conformation data from the Loop Catalog reveals that rs61839660 also interacts with the promoters of *IL15RA* and *RBM17* in various adaptive immune cells. This example showcases the invaluable insights that can be gained when integrating the Loop Catalog with genetic and other epigenetic datasets. Moreover, HiChIP data is rich in information, enabling analyses such as motif and paired motif analysis, which have uncovered the high prevalence of zinc finger family motifs. Additionally, enhancer-promoter networks facilitated by HiChIP data offer a deeper understanding of interaction clusters. Overall, we have leveraged the foundational HiChIP data from Chapter 1 to develop integrative analyses that significantly broaden our understanding of chromatin conformation. These additional features will benefit the scientific community, and as shown in this chapter, the Loop Catalog facilitates researchers' efforts to achieve a system-level understanding of their biological questions.

## **2.5 Methods**

### **2.5.1 Identifying SGLs in Immune-based Diseases**

SGLs were identified utilizing fine-mapped GWAS SNPs from CAUSALdb for Type 1 Diabetes (T1D), Rheumatoid Arthritis (RA), Psoriasis (PS), and Atopic Dermatitis (AD) which included 7, 7, 3, and 1 individual studies, respectively (Supp Table 2.1). The fine-mapped data was downloaded and lifted over from hg19 to hg38 using the MyVariantInfo Python package [139]. We downloaded the GENCODE v30 transcriptome reference, filtered transcripts for type equal to “gene” and located coordinates of the transcription start site (TSS) [140]. For genes on the plus strand, the TSS would be assigned as a 1bp region at the start site and, for the minus strand, the 1bp region at the end site. Lastly, we extracted all HiChIP samples whose organ was classified as “Immune-associated”. To integrate these datasets, loop anchors were intersected with fine-mapped GWAS SNPs and TSSs independently using bedtools pairtobed. Subsequently, loops were extracted as an SGL if at least one anchor contained a GWAS SNP and the opposing anchor contained a TSS.

### **2.5.2 Identifying SGLs with Immune-associated eQTL Studies**

SGLs were identified utilizing eQTLs from the eQTL Catalog which included CD4 T-cells, CD8 T-cells, B cells, Natural Killer cells and monocytes (Supp Table 2.2). Similarly to GWAS-SGLs, we used GENCODE v30 to locate the TSS and extracted a subset of the GWAS-SGL HiChIP samples whose cell type matched the eQTL studies. To integrate these datasets, loops were intersected with pairs of SNP-gene pairs using bedtools pairtopair. Subsequently, loops were extracted as an SGL if at least one anchor contained an eQTL SNP and the opposing anchor contained a promoter.

### **2.5.3 Building a Consensus Gene List for T1D**

From the MalaCards database (<https://www.malacards.org/>) a query was made using the term “type\_1\_diabetes\_mellitus” and the list of associated genes was downloaded. For eDGAR ([https://edgar.biocomp.unibo.it/gene\\_disease\\_db/](https://edgar.biocomp.unibo.it/gene_disease_db/)), “diabetes mellitus, 1” was queried under the Main Tables tab and all gene symbols were extracted. OpenTargets hosts a disease based search with gene association scores and a query was made to the MONDO ID for T1D (MONDO\_0005147). The corresponding genes were downloaded and filtered for an association score  $> 0.5$ . For the GWAS Catalog, a query was made using the previous MONDO ID and all associated genes were extracted. Table 1 of Klak et al [124] summarizes genes that have been associated with T1D and gene symbols were extracted from this resource.

### **2.5.4 Performing Motif Enrichment Analysis across Conserved Anchors**

Motif enrichment analysis on the HCRRegLoops-All, HCRRegLoops-Immune, and HCRRegLoops-Non-Immune sample sets was performed. Briefly, for each sample set, we identified highly conserved loop anchors by compiling loops across all samples in the set, extracting anchors, and filtering the anchors for those involved in at least one loop call in at least 90% of samples from the given sample set. We downloaded 727 known human motifs from the 2022 JASPAR CORE database [141]. Motif enrichment analysis was directly applied to the conserved anchor sites using MEME Suite SEA (Simple Enrichment Analysis) version 5.5.0 [120] using a match p-value threshold of  $1e-6$  and default values for all other parameters (Supp Table 2.3).

### **2.5.5 Identifying Pairs of Motifs Overlapping Loop Anchors**

Briefly, for each sample in HCRRegLoops-All, unique loop anchors were extracted and these were intersected with sample-specific corresponding ChIP-seq peaks using bedtools intersect with no slack. We selected the peak with the highest signal value to represent that anchor for cases in which multiple H3K27ac peaks overlapped one loop anchor. The resulting peak sets were deduplicated since a single peak may overlap multiple loop anchors. To determine

the genomic coordinates of motifs from the 2022 JASPAR CORE database (n = 727 motifs) in these representative peak sets, MEME Suite FIMO (Find Individual Motif Occurrences) version 5.5.0 [142] was applied to the HCRRegLoops-All sample set on a sample-by-sample basis using a match p-value threshold of 1e-6 and default values for all other parameters. For each sample, we intersected motif coordinates with loop coordinates using bedtools pairtobed and annotated loop anchors with the motifs falling within the anchor.

### **2.5.6 Performing Enrichment Analysis for Motif Pairs**

Statistical analyses were performed via a bootstrapping method for HCRRegLoops-All HiChIP samples (Supp Table 2.4, Supp Figure 2.1). Bootstrapping was performed using a block bootstrap with anchors as the unit analysis and anchors were randomly shuffled within their corresponding chromosomes. To get the null distribution, we shuffled the dataset through the location of unique instances of anchors. From there, we assigned a uniform probability of drawing a given anchor within each chromosome with replacement and used a total of 100,000 simulations. P-values were then determined for each sample by counting up the total number of simulated pairs that were greater than or equal to observed pairs in the original sample. Due to the large number of pairs ranging from tens to hundreds of thousands for each sample, we only focused on motif pairs with both motifs within the top 50 most frequently enriched motifs for that sample. A multiple testing correction using Benjamini-Hochberg was then utilized with these filtered pairs to obtain adjusted p-values.

### **2.5.7 Constructing Chromatin Interaction Networks using Loops**

To construct a network from chromatin loops, each anchor was considered a node and each significant loop an edge. In addition, anchors were labeled as promoters by intersecting with TSS coordinates (slack of +/- 2.5kb) and allowing the promoter label to take priority over any other possible label. For H3K27ac HiChIP data, non-promoter nodes/anchors are labeled as enhancers when they overlap with ChIP-seq peaks (no slack). All other nodes that are not a



promoter or an enhancer were designated as “other”. After obtaining the annotated anchors, we created a weighted undirected graph using the loops as edges and loop strength as edge weights calculated as the  $-\log_{10}(\text{q-value})$  of FitHiChIP loop significance. To trim outliers, we set values larger than 20 to 20 and further scaled these values to between 0 and 1 for ease of visualization.

### **2.5.8 Detecting and Prioritizing Communities**

Community detection was applied to the networks created using FC loops at 5kb. Two levels of community detection were applied, the first detected communities within the overall network created for each chromosome (high-level) followed by a second round that detected sub-communities of the communities reported in the first round (low-level). High-level communities were located by running the Louvain algorithm using default parameters as implemented by the CDlib Python package. Starting with each high-level community, subcommunities were called using the same Louvain parameters. CRank [136] was then applied at both levels to obtain a score that aggregates several properties related to the connectivity of a community into a single score for ranking.

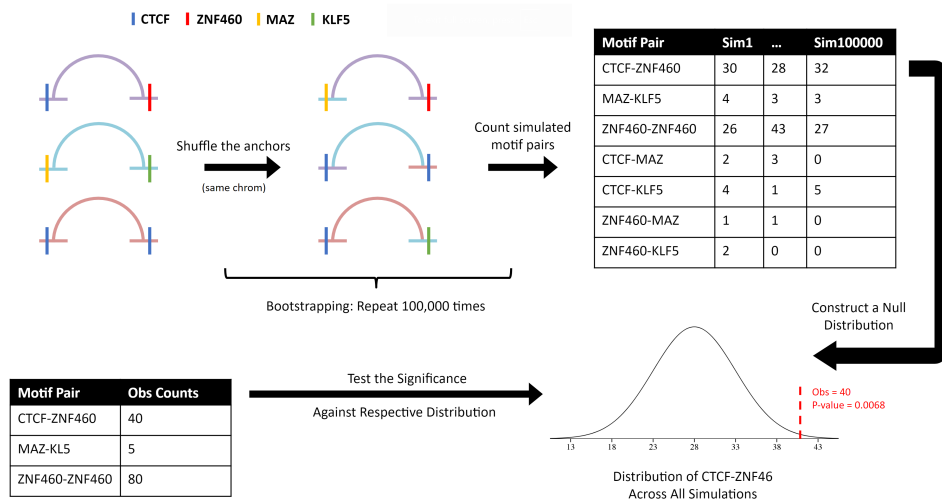
## **2.6 Availability of data and materials**

Relevant code for this chapter has been released on Github at `motif_pair_enrichment` ([https://github.com/ay-lab-team/motif\\_pair\\_enrichment](https://github.com/ay-lab-team/motif_pair_enrichment)) to perform enrichment analyses for motif pairs, `Community-Detection-Using-Chromatin-Loops` (<https://github.com/ay-lab-team/Community-Detection-Using-Chromatin-Loops>) to locate communities formed by chromatin loops, and `T1D-Loop-Catalog` (<https://github.com/ay-lab-team/T1D-Loop-Catalog>) to detect immune-associated SGLs. Versions of all software included in our pipeline are recorded (Supp Table 1.10). Fine-mapped GWAS SNPs were retrieved from CAUSALdb (<http://www.mulinlab.org/causaldb>).

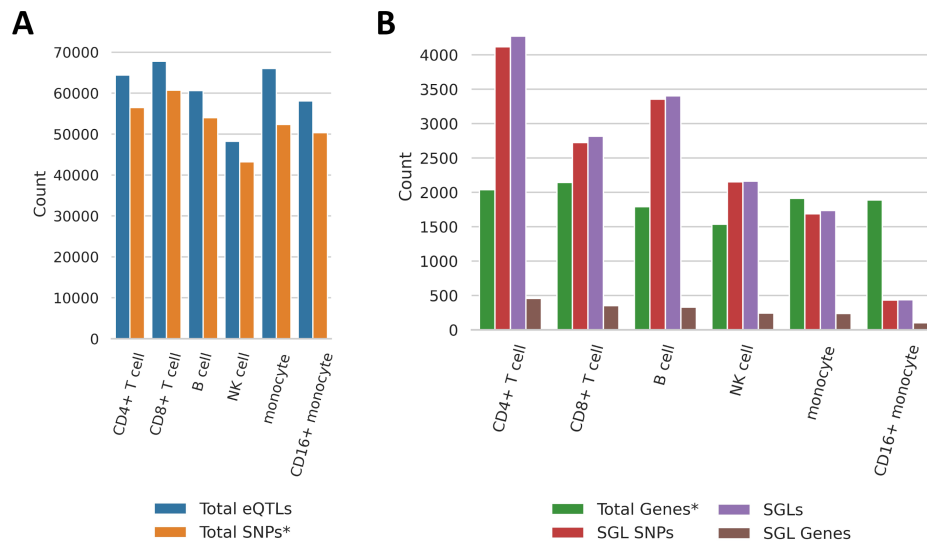
## **2.7 Authors' contributions**

Joaquin Reyna: Code development, Web Development, Formal analysis, Writing—original draft. Kyra Fetter: Data collection, Formal analysis, Writing—original draft. Romeo Ignacio: Formal analysis, Writing—original draft. Cemil Can Ali Marandi: Formal analysis, Writing—original draft. Nikhil Rao: Data collection, Web development. Zichen Jiang: Data collection, Web development. Daniela Salgado Figueroa: Data collection. Sourya Bhattacharya: Conceptualization, Writing—review & editing. Ferhat Ay: Conceptualization, Writing—review & editing.

## 2.8 Supplementary Figures



**Supp Figure 2.1. Schema of Bootstrap Analysis for Motif Pairs.** Loops are depicted with an arc and horizontal lines are used to denote their anchors. Each loop has a distinct color to emphasize the true loop composition and overlapping motifs are depicted with horizontal lines and a separate color for each (top right). Observed motif pairs are tabulated (bottom left). To simulate a new set of loops, anchors are shuffled thereby bringing together new combinations of motifs (top middle). Motif pairs from these simulations are tabulated across 100,000 simulations (top right) to then build a null distribution (bottom right). The observed counts for a given motif are then evaluated against their respective null distribution (bottom).



**Supp Figure 2.2. Summary of SGLs derived from HiChIP and eQTL intersections. A)** Breakdown by cell type for the total number of eQTLs (blue) and SNPs (orange) within the original eQTL dataset. **B)** Breakdown by cell type for the total number of genes from the eQTL study (green) followed by SGL summaries for unique SGLs (red), SNPs (purple) and genes (brown).



## **2.9 Supplementary Tables**

**Supp Table 2.1:** GWAS SGL Statistics for RA, AD, T1D, and PS

**Supp Table 2.2:** eQTL SGL Statistics for 6 Immune Cell Types

**Supp Table 2.3:** 1D Conserved Anchor Motif Enrichment Analysis Statistics

**Supp Table 2.4:** Paired Motif Enrichment Analysis Statistics

Chapters 1 and 2, in full, has been submitted for publication of the material as it may appear in Genome Biology 2024, Joaquin Reyna, Kyra Fetter, Romeo Ignacio, Cemil Can Ali Marandi, Nikhil Rao, Zichen Jiang, Daniela Salgado Figueroa, Sourya Bhattacharyya, Ferhat Ay. The dissertation author was one of the primary investigators and authors of this paper.

## Chapter 3

# **nipalsMCIA: Flexible Multi-Block Dimensionality Reduction in R via Non-linear Iterative Partial Least Squares**

### **3.1 Abstract**

With the increased reliance on multiomics data for bulk and single cell analyses, the availability of robust approaches to perform unsupervised analysis for clustering, visualization, and feature selection is imperative. Joint dimensionality reduction methods can be applied to multiomics datasets to derive a global sample embedding analogous to single-omic techniques such as Principal Components Analysis (PCA). We introduce `nipalsMCIA`, an MCIA implementation that solves the objective function using an extension to Non-linear Iterative Partial Least Squares (NIPALS), and shows significant speed-up over earlier implementations that rely on eigendecompositions for single cell multi-omics data. It also removes the dependence on an eigendecomposition for calculating the variance explained, and allows users to perform out-of-sample embedding for new data. `nipalsMCIA` provides users with a variety of pre-processing and parameter options, as well as ease of functionality for down-stream analysis of single-omic and global-embedding factors.

## 3.2 Background

Prior to the birth of high-throughput methods, the biology field has long relied on analyzing biological phenomena with limited data and assays per study. DNA sequencing, when first established in the 1970's, was a slow process that only sequenced about 10kb per day [143]. Fast forward to today and sequencing is available to study RNA [144], DNA binding proteins [145, 146], chromatin conformation [50], DNA accessibility [147, 148] and much more [149]. The same growth has been seen for other biological signals such as proteomics and cell frequencies via methods such mass spectrometry [150] and mass cytometry [151]. This surge in data generation has also results in the ability to ask more complex questions, demanding well-conceived integration strategies [149, 152, 153]. To pave the way, several methods known as joint dimensionality reduction (jDR) methods, have constructed mathematical formulation for deriving signals shared between blocks or derived from within a single block of data [11]. Canonical correlation analysis was an early pioneer of these methods that analyzes two blocks of data together, utilizing an optimization function that attempts to optimize for the covariance between blocks of data [154]. Naturally, there has been an extension of CCA and other methods to much larger blocks of data as our capacity to assay different phenomena has increased [11, 155].

The biology community needs a comprehensive and systems-wide understanding of mechanisms for various biological phenomena and sophisticated jDR methods can play a crucial role. The complexity of immune responses, cancer progression, human development and many other biological events has prompted the use of multiple assays with some advancements being attributed to the use of multiomics data [156–167]. MCIA is a member of the jDR family that extends unsupervised dimension reduction techniques such as Principal Components Analysis (PCA) and Non-negative Matrix Factorization (NMF) to datasets with multiple data *blocks* (alternatively called *views*) ([11, 168]). Such methods, also known as multi-block or multi-view analysis algorithms, are becoming increasingly important in the field of bioinformatics, where



data is often collected simultaneously using multiple *omics* technologies such as transcriptomics, proteomics, epigenomics, metabolomics, etc [14].

Here, we present a new implementation in R/Bioconductor of MCIA, `nipalsMCIA`, that uses an extension with proof of monotonic convergence of Non-linear Iterative Partial Least Squares (NIPALS) to solve the MCIA optimization problem [169]. This implementation shows significant speed-up over existing Singular Value Decomposition (SVD)-based approaches for MCIA [12, 170] on large datasets. Furthermore, `nipalsMCIA` offers users several options for pre-processing and deflation to customize algorithm performance, methodology to perform out-of-sample global embedding, and analysis and visualization capabilities for efficient results interpretation. We show application of `nipalsMCIA` to both bulk and single cell multi-omics data. The overall workflow that includes the optimization steps and analyses for `nipalsMCIA` is outlined in Figure 3.1.

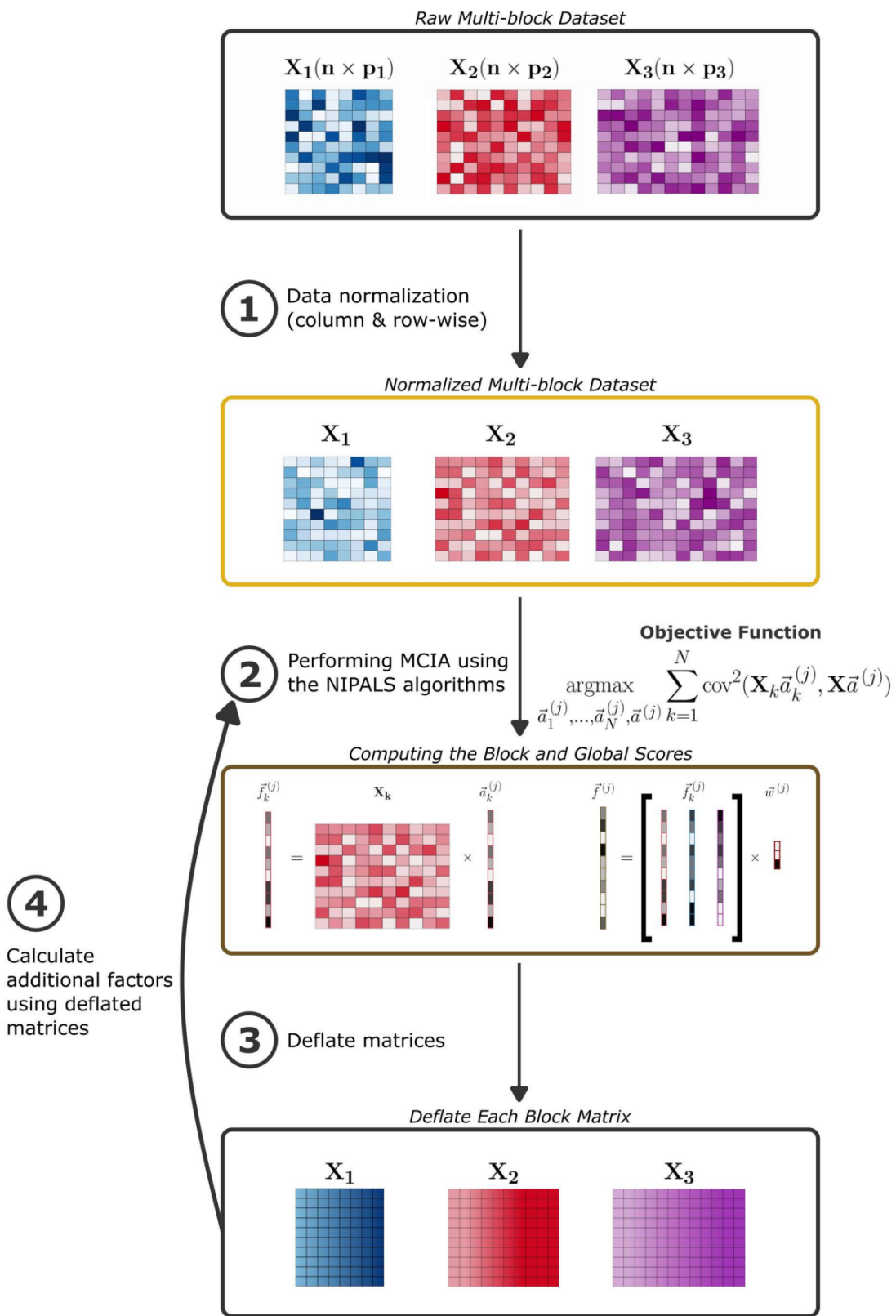
## 3.3 Results

### 3.3.1 Package Design and Goals

The overall goal of `nipalsMCIA` is to make multiomics analysis accessible and interpretable. Previous attempts at making packages for MCIA existed in the form of `Omicade` and `MOGSA` however their implementations made it difficult to use beyond integration and data reduction. To promote the adoption of these methods within the bioinformatics community, `nipalsMCIA` was developed as an extensible software hosted on Bioconductor. This ensured `nipalsMCIA` was rigorously evaluated and revisions were suggested. This process resulted in a highly accessible version of `nipalsMCIA` that utilizes `MultiAssayExperiment` to load multiomics data, several pre-processing options to allow within- and whole-block normalizations, and a `nipalsResults` object especially tailored for downstream analyses. The latter feature includes various visualization methods to extract insights from global scores, global loadings, weights as well as their block level counterparts. To succinctly demonstrate `nipalsMCIA`'s use, we

included three vignettes that cover: 1) the basics of jDR, 2) prediction of MCIA scores for novel datasets (not shown), and 3) application to single cell analysis. As will be shown, `nipalsMCIA` comes with an example dataset from the National Cancer Institute 60 tumor-cell line screen (NCI60 data) [13, 171]. It includes RNA-Seq, miRNA, and protein data from 21 cell lines that correspond to three cancer subtypes (brain, leukemia, and melanoma). Processed single cell data for is also available and sourced from 10x Genomics. It includes both gene expression and cell surface antibody data obtained from a CITE-seq experiment on approximately 5000 immune cells derived from blood [172].

**Figure 3.1. A breakdown of the NIPALS algorithm for performing MCI.** Data blocks are normalized before scores and loadings are computed to satisfy the objective function. Higher-order results are then computed after the data has been deflated with the current scores or loadings.



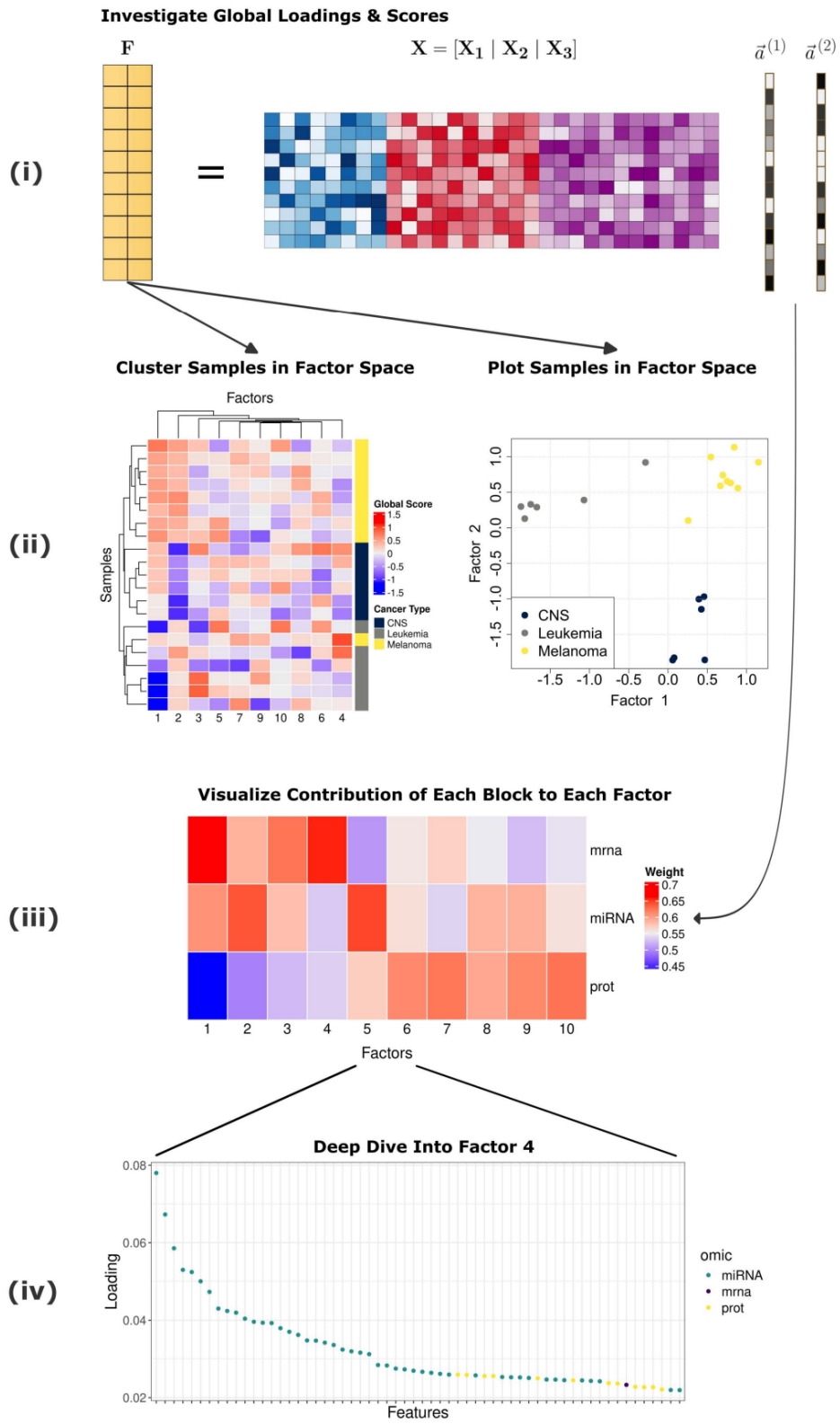
### 3.3.2 Analysis & Interpretation

The `nipals_multiblock` function is used to run MCIA in `nipalsMCIA`. The function outputs an object of the `NipalsResult` class, which includes the global scores and loadings, block scores and loadings, the global score eigenvalues, and the block score contributions vector for all orders up to the maximum specified via the `num_PCs` argument. The global scores represent the projection of the multi-block data in the reduced space (Figure 3.2i). MCIA is a completely unsupervised method, however, using the NCI60 dataset it can capture underlying signals that separate sample based on cancer type (Figure 3.2ii, left) (`global_scores_heatmap` function). In addition, factors can be plotted against each other to further dissect findings within this reduced space (Figure 3.2ii, right) (`projection_plot` function). This analysis is reminiscent of PC1 versus PC2 plots from PCA and, once again, highlights the ability of `nipalsMCIA` to derive informative factors for analysis goals such as sample separation. In addition, this last analysis can be extended by overlaying the corresponding block scores. These represent the projection of a sample given a single omic (square, triangle, circle) with lines connected to the global score (middle).

Each multiomics dataset is able to contribute a unique signal that may be captured in a single factor or across a variety of factors. These contributions to the global score can be easily described using the global weights and visualized using the `block_weights_heatmap` function (Figure 3.2iii). As shown in this figure, factor 1 signals are mainly derived from mRNA levels with some contribution from miRNA but very little contribution from proteins levels. This is in stark contrast to factor 5 where mRNA levels do not contribute but miRNA has a strong contributions with some additional contribution from protein levels. Deeper dives can also be performed for which we took factor 4 as an example. Unlike the other factors, contributions to factor 4 are concentrated most strongly within mRNA levels. The global loadings contains coefficients associated with the contribution of a single feature to the global score. To analyze these coefficients we made available the `vis_load_ord` function where features are on the X

axis and loading values are on the Y axis. Using this plot we can see that out of the top 60 features, 47 are coming from mRNA, 12 from protein and only one from miRNA (Figure 3.2iv).

**Figure 3.2. Scheme for interpreting the global loadings and scores performed on the three-block NCI60 data from the main text. (i)** Global scores are calculated from the global data matrix and global loadings. **(ii)** Global scores represent low-dimensional embeddings of the data used to cluster samples via hierarchical clustering. Colors represent the three different cancer types associated with each sample **(iii)** Block contributions vectors plotted to visualize the weight of each block on each order of global score. **(iv)** The first global loadings vector is plotted to identify the top features for the first global score.





Given the prevalence of RNAseq in many multiomics datasets we also integrated a GSEA analysis via the `gsea_report` function. GSEA analyzes genes utilizing an associated scoring metric for which the global loadings provide an impact score. When interpreted, these scores can reveal important gene expression pathways that are relevant at this system-wide level. Results are provided in table 3.1 where we see that cell cycle and DNA replication processes are enriched, reciprocating the cancer context of the NCI60 dataset.

**Table 3.1. GSEA results utilizing mRNA loading scores as input and the Reactome 6.2 gene set.**

Pathway	Adjusted P-value
REACTOME CELL CYCLE	1.59e-20
REACTOME CELL CYCLE MITOTIC	4.50e-18
REACTOME GENERIC TRANSCRIPTION PATHWAY	5.81e-13
REACTOME DNA REPLICATION	1.92e-09
REACTOME MITOTIC M M G1 PHASES	2.24e-09
REACTOME PROCESSING OF CAPPED INTRON CONTAINING PR...	4.43e-09

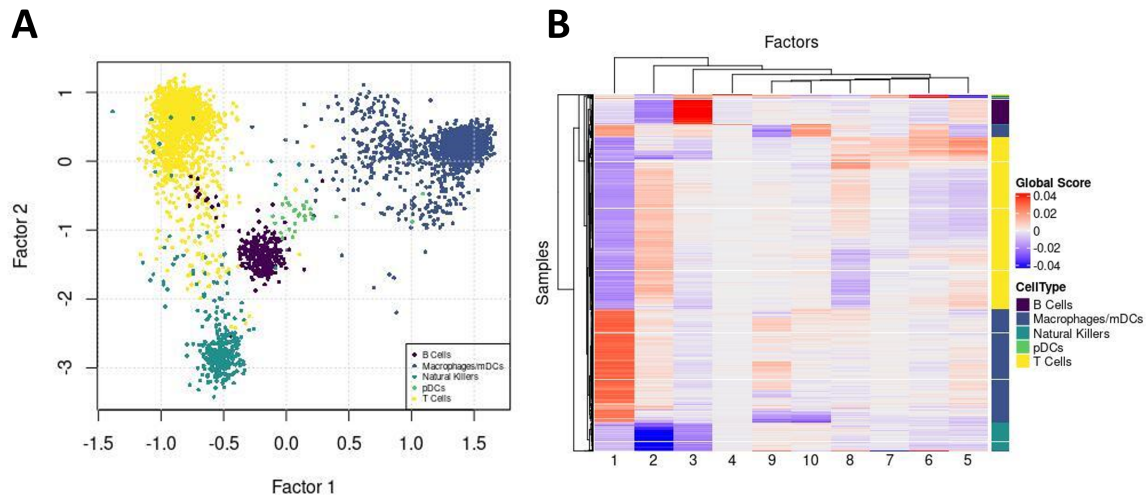
### 3.3.3 Application to scRNA-seq Data

Given the growth of single cell technologies with powerful platforms such as 10X we also demonstrate the utility of `nipalsMCIA` to decompose the resulting high dimensional data into biologically relevant factors. We downloaded CITE-seq data from the 10X website that contains approximately 5000 peripheral blood mononuclear cells from a single donor as well as 33,538 genes and 32 cell surface markers [172] and applied `nipalsMCIA` using 10 factors. Factors from this analysis are able to separate immune cells from one another (Figure 3.3A). By hierarchically clustering using global factor scores we can further dissect that signals for macrophages are strongly captured in factor 1 whereas B cells signals are captured by factor 3. In addition, Natural Killers appear to be captured by a strong negative signal in factor 2 (Figure 3.3B).

### 3.3.4 Out-of-sample embedding

The loadings vectors generated by MCIA on a dataset  $X$  represent linear combinations of the original features of  $X$ . Therefore, after computing MCIA on a training dataset, one can use the associated loadings vectors to predict global embeddings for a test dataset of new observations of the same features. `nipalsMCIA` provides the `predict_gs` function for this task.

This can be valuable for testing the quality of the embedding, as well as embedding new data without rerunning the decomposition. We provide a vignette in the package showing how this can be done using the NCI60 data set, using 70% of the data to train the model, and then deriving global scores for the remaining 30%.



**Figure 3.3. Analysis of global loading values derived from a CITE-seq dataset for 5,247 immune cells from the PMBC. A) Global factors scores for factor 1 and 2. B) Heatmap of global factor scores across all cells.**

## 3.4 Discussion

The accessibility of next-generation sequencing and other high-throughput biological assays are resulting in an increase of multi-block (or multi-modal) datasets [15–18]. Analysis of these data are facilitated by the application of joint dimensionality reduction methods such as MCIA. `nipalsMCIA` is a comprehensive R package that implements MCIA in a highly

efficient manner using the NIPALS algorithm. The package features various pre-processing and analysis options, is much faster for large input datasets compared with existing packages, supports the projection for out-of-sample scores, and offers visualization options for scores and top-magnitude loadings at each order. To showcase its applicability to emerging technologies, we applied `nipalsMCIA` to single cell data as part of our introductory vignettes and released our package on Bioconductor to further enhance its adoption across the wider bioinformatics community.

### **3.5 Supplementary Materials**

The Supplementary Materials include additional information on the NIPALS algorithm implemented in `nipalsMCIA`, in-depth discussion of data pre-processing options, detailed overview of the calculations for variance explained and out-of-sample embedding, as well as a summary of the results (detailed more fully in the vignettes) corresponding to out-of-sample embedding of NCI60 data and application of `nipalsMCIA` to single cell data.

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