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Transcriptome-wide association study of schizophrenia and chromatin activity yields mechanistic disease insights

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WEB RESOURCES

BRAINSPAN transcriptomes:

[http://www.brainspan.org/static/download.html/\(p\)\(p\)CommonMind consortium:](http://www.brainspan.org/static/download.html/(p)(p)CommonMind%20consortium)

[https://www.synapse.org/cmc/\(p\)\(p\)YRI chromatin data:](https://www.synapse.org/cmc/(p)(p)YRI%20chromatin%20data)

[http://chromovar3d.stanford.edu/\(p\)\(p\)PGC summary data:](http://chromovar3d.stanford.edu/(p)(p)PGC%20summary%20data)

[https://www.med.unc.edu/pgc/downloads/\(p\)\(p\)PLINK:](https://www.med.unc.edu/pgc/downloads/(p)(p)PLINK)

[https://www.cog-genomics.org/plink2/\(p\)\(p\)PsychENCODE knowledge portal:](https://www.cog-genomics.org/plink2/(p)(p)PsychENCODE%20knowledge%20portal)

[https://www.synapse.org/#!Synapse:syn4921369/wiki/235539/\(p\)\(p\)SNPWeights for principal component analysis:](https://www.synapse.org/#!Synapse:syn4921369/wiki/235539/(p)(p)SNPWeights%20for%20principal%20component%20analysis)

[http://www.hsph.harvard.edu/alkes-price/software/\(p\)\(p\)CEU chromatin data \(provided by the authors\):](http://www.hsph.harvard.edu/alkes-price/software/(p)(p)CEU%20chromatin%20data%20(provided%20by%20the%20authors))

[http://gardeux-vincent.eu/Cell2015/description.peaks.zip,](http://gardeux-vincent.eu/Cell2015/description.peaks.zip)

[http://gardeux-vincent.eu/Cell2015/quantified.peaks.zip,](http://gardeux-vincent.eu/Cell2015/quantified.peaks.zip)

<http://gardeux-vincent.eu/Cell2015/quantified.peaks.PEER.centered.zip>

AUTHOR CONTRIBUTIONS

AG, BP, ALP designed this study. AG, NM, HW, HKF, YR conducted analyses. MK, LS, AS, GEC, DHG, NK, PFS conducted and supervised experiments. Psychiatric Genomics Consortium, SM, BN, RAO, MCO, PFS collected the data. AG, BP, ALP wrote the paper.

DATA AVAILABILITY AND ACCESSION CODE AVAILABILITY STATEMENTS

The data that support the findings of this study are available at the following:

TWAS results:

[http://www.gusevlab.org/projects/chromatinTWAS/\(p\)\(p\)TWAS methods:](http://www.gusevlab.org/projects/chromatinTWAS/(p)(p)TWAS%20methods)

<http://www.gusevlab.org/projects/FUSION/>

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Abstract

Genome-wide association studies (GWAS) have identified over 100 risk loci for schizophrenia, but the causal mechanisms remain largely unknown. We performed a transcriptome-wide association study (TWAS) integrating a schizophrenia GWAS of 79,845 individuals from the Psychiatric Genomics Consortium with expression data from brain, blood, and adipose tissues across 3,693 primarily control individuals. We identified 157 TWAS significant genes, of which 35 did not overlap a known GWAS locus. 42/157 genes were associated to specific chromatin features measured in independent samples, highlighting potential regulatory targets for follow-up. Suppression of one identified susceptibility gene, *MAPK3*, in zebrafish showed a significant effect on neurodevelopmental phenotypes. Expression and splicing from brain captured the majority of the TWAS effect across all genes. This large-scale connection of associations to target genes, tissues, and regulatory features is an essential step in moving towards a mechanistic understanding of GWAS.

INTRODUCTION

Genome-wide association studies (GWAS) have yielded thousands of robustly associated variants for schizophrenia (SCZ) and many other complex traits, but relatively few of these associations have implicated specific biological mechanisms^{1,2}, as GWAS association signals often span many putative target genes, may affect gene expression through regulatory³ or structural elements⁴, and may affect genes at considerable genomic distances via chromatin looping^{5,6}. A growing body of research has demonstrated the enrichment of SCZ GWAS risk variants and heritability within regulatory elements identified through maps of chromatin modifications and accessibility^{1,7–13}. Since chromatin modifications are themselves under genetic control^{6,14–19}, a plausible causal mechanism for most SCZ loci is genetic variation leading to regulatory changes marked by chromatin, to gene expression, and finally to disease risk. Indeed, QTLs for chromatin (and other molecular phenotypes) are enriched within GWAS associations, further supporting this hypothesis^{6,18,20,21}.

In this work, we leveraged gene expression and splicing data from SCZ and bipolar (BIP) cases and controls in brain, as well as gene expression data from controls in other tissues, to

perform a transcriptome-wide association study (TWAS)^{22–24} in a large SCZ GWAS data set¹ and identify genes whose expression is genetically correlated with SCZ. We subsequently performed a TWAS for a diverse set of chromatin phenotypes to connect putative SCZ susceptibility genes with specific regulatory elements. To our knowledge, this is the first TWAS of any disease to integrate analysis of gene expression, splicing, and chromatin variation, moving beyond top SNPs to implicate SCZ-associated molecular phenotypes across the regulatory cascade (Fig. 1).

RESULTS

TWAS for SCZ identifies new susceptibility genes

We analyzed gene expression and genome-wide SNP array data in 3,693 individuals across four expression reference panels: RNA-seq from the dorsolateral prefrontal cortex (PFC) of 621 individuals – including 283 SCZ cases, 47 BIP cases, and 291 controls – collected by the CommonMind Consortium (CMC)²⁵, expression array data measured in peripheral blood from 1,245 unrelated control individuals from the Netherlands Twin Registry (NTR)²⁶, expression array data measured in blood from 1,264 control individuals from the Young Finns Study (YFS)²³, and RNA-seq measured in adipose tissue from 563 control individuals from the Metabolic Syndrome in Men study (METSIM)²³. We further characterized splicing events²⁷ in the CMC/brain RNA-seq data (Online Methods). Average cis and trans estimates of SNP-heritability of expression (h_g^2 , Online Methods) were highly significant in each panel, with nominally significant cis- h_g^2 ($P < 0.01$) for a total of 18,084 genes summed across the four panels (10,819 unique genes; Supplementary Table 1), as well as an additional 9,009 splicing events in brain (in 3,908 unique genes; Supplementary Table 1).

We performed a TWAS using each of the four gene expression reference panels and summary-level data from the PGC SCZ GWAS of 79,845 individuals¹ in order to identify genes associated to SCZ (Fig. 1, Supplementary Fig. 1A). Briefly, this approach integrates information from expression reference panels (SNP-expression correlation), GWAS summary statistics (SNP-SCZ correlation), and LD reference panels (SNP-SNP correlation) to assess the association between the cis-genetic component of expression and phenotype (expression-SCZ correlation)²³. In practice, the expression reference panel was used as the LD reference panel, and cis SNP-expression effect sizes were estimated using a sparse mixed linear model²⁸ (Online Methods). As SCZ is a highly polygenic trait, we expect these control reference samples to carry disease-affecting regulatory variants. By leveraging genetic predictors of expression our approach is immune to reverse causality (disease → expression), but pleiotropic effects on expression and trait cannot be ruled out without additional analyses (see Discussion)²³.

The TWAS identified 247 transcriptome-wide significant gene-SCZ and intron-SCZ associations (summed across expression reference panels) for a total of 157 unique genes, including 49 genes that were significant in more than one expression panel (Fig. 2, Supplementary Fig. 2, Table 1, Supplementary Table 2, 3). We observed no significant differences when performing the TWAS using brain expression from SCZ/BIP cases or controls separately, confirming that the presence of cases in the reference panel did not

affect our results (Supplementary Note, Supplementary Table 4). We observed hotspots²⁹ of multiple TWAS-associated genes at 33 loci (defined by genes <500kb apart). However, only 6/33 loci exhibited evidence of statistically independent genetic effects using a summary-based joint test³⁰, suggesting that most of these loci can be explained by a single genetic effect (Online Methods, Supplementary Table 3). Across all TWAS associations, the implicated gene was the nearest gene to the top SNP at the locus in only 56% of instances (using the 10,819 cis-heritable genes as background; decreasing to 24% of instances when using all 26,469 known RefSeq genes) underscoring previous findings^{23,24,29,31}. We confirmed that the summary-based approach was consistent with individual-level predictions using individual-level PGC data and replicated the associations in aggregate using out-of-sample SCZ+BIP phenotypes (Supplementary Note, Supplementary Table 5, 6, Supplementary Fig. 1A, 3, 4, 5).

Of the 108 published PGC GWAS regions¹, 47 regions were located near ($\pm 500kb$) at least one TWAS gene (accounting for 122/157 genes), with the remaining 35/157 genes implicating novel targets. The GWAS association statistics at novel TWAS loci were often well below genome-wide significance (Supplementary Fig. 6), and we hypothesized that some of the new discoveries may be driven by the TWAS aggregating partially independent effects on SCZ that operate through a single gene. As evidence of this, the TWAS association was stronger than the lead SNP for 27% of TWAS associations that did not overlap a genome-wide significant SNP, but only 3% of TWAS associations that did (Fisher's exact $P=8.1\times 10^{-07}$). Across all TWAS associations 21/247 were more significant than the lead GWAS SNP, with the percent of cis expression heritability explained by the top eQTL for these 21 genes significantly lower than for the rest (56% vs. 88%, $P=9.6\times 10^{-05}$), indicative of secondary QTL effects. We excluded the MHC region (chr6:28–34MB) from our primary analyses due to its complex haplotype and LD structure. However, as a positive control we specifically tested the *C4A* gene recently fine-mapped for SCZ⁴, which lies inside the MHC, and confirmed a highly significant TWAS association between *C4A* expression in brain tissue and SCZ ($P=1.8\times 10^{-18}$).

Splicing events in brain accounted for 46 transcriptome-wide significant gene associations (of which 10 were at novel loci), comparable to the 44 significant gene associations from brain (Table 1, Supplementary Table 3), despite the fact that splicing events accounted for 30% fewer significantly cis-heritable genes than total expression (Supplementary Table 1). Overall, 20/46 associations corresponded to genes that were not tested in the analysis of total gene expression due to non-significant expression heritability, and 19 of the remaining 26 did not have a transcriptome-wide significant association for total gene expression. This is consistent with the recent observation that sQTLs are typically independent of eQTLs at the same gene²⁷. We caution that effect direction for splice events is difficult to interpret because alternatively spliced exons are often negatively correlated (Supplementary Note, Supplementary Fig. 7). Although the largest number of associations came from brain the enrichment was not striking after accounting for the total number of heritable genes (Table 1), suggesting that expression data quality and sample size currently play a more important role than tissue-specificity in finding significant associations.

TWAS associations may be caused by coincidental overlap between eQTLs and non-causal disease variants at a GWAS locus³², which we investigated using formal colocalization and conditional analyses. First, we used the COLOC method³³ to estimate the posterior probability of a single shared causal variant for TWAS implicated genes and SCZ using the marginal association statistics. We calibrated a 5% false discovery threshold for considering a gene “colocalized” using randomly selected heritable genes in the same SCZS GWAS regions (Online Methods). Colocalization between eQTLs and SCZ was observed for 55% of the TWAS implicated genes (Supplementary Fig. 8, Supplementary Table 3). We note that COLOCs posterior is highly dependent on the prior probability of a single shared causal variant (Supplementary Fig. 9) and conservative when multiple causal variants mediate the effect on expression and trait²³, so that colocalization at the remaining loci may be underestimated. For the 45% genes that did not colocalize significantly, the percent of cis expression heritability explained by the top eQTL was lower than for the rest (79% vs. 89%), suggestive of secondary effects, but the difference was not statistically significant. Second, conditioning on the predicted expression of a TWAS-associated gene (using summary-level data³⁰, Online Methods) reduced the χ^2 of the lead GWAS SNP at the locus (including genome-wide significant and non-significant loci) from 42 to 10 on average, and explained more of the association signal than conditioning on the corresponding top expression-QTL (eQTL) (Supplementary Table 7). For the 43 lead GWAS SNPs at genome-wide significant loci that were correlated ($r^2 > 0.05$) with the predicted expression of at least one TWAS-significant gene (out of 47 overlapping index SNPs), joint conditioning on the predicted expression of all such genes reduced the median SNP P-value from $P = 1.2 \times 10^{-10}$ to $P = 0.028$ (Online Methods, Supplementary Table 8). Given that the expression predictor typically captures only 60-80% of the cis component of gene expression at the expression panel sample sizes used here²³, the complete elucidation of the cis component could potentially explain the entire GWAS signal at these loci.

This SCZ GWAS data¹ was recently evaluated in a TWAS with gene expression in blood using Summary-based Mendelian Randomization (SMR)²⁴, identifying 16 transcriptome-wide significant associated genes (in contrast to 157 identified here). Of the 16 gene associations identified by SMR, 12 were tested in our study in blood, all replicated at nominal $P < 0.05$ (with consistent sign), and 9 were transcriptome-wide significant – a striking concordance given the different methods and independent expression panels used.

Functional validation of TWAS-associated genes using chromatin interaction data

We leveraged recently published chromatin interaction (Hi-C) data in developing human brain³⁴ to investigate whether TWAS-associated genes are supported by physical chromatin interactions that occur during brain development (Supplementary Fig. 1B). We used the Hi-C data to construct a set of comparison SCZ risk genes based on 3D chromatin interactions between gene transcription start sites (TSS) and SNPs in the fine-mapped 95% causal credible set (Online Methods). This yielded a set of 59 loci with both TWAS and fine-mapped Hi-C data, containing 474 Hi-C predicted SCZ risk genes. The 474 Hi-C predicted genes overlapped with 105/157 TWAS-associated genes (Supplementary Fig. 10; Fisher’s exact test $P = 1.03 \times 10^{-18}$, OR=4.68 compared to random heritable genes at these loci), indicating that most of the TWAS associated genes were supported by 3D chromatin

interactions with an SCZ SNP in developing brain. TWAS associations were also significantly correlated with increased expression during mid-fetal developmental in independent samples ($P < 0.05/19$; Supplementary Note, Supplementary Fig. 11, 12) further underscoring the etiological relevance of mechanisms active during brain development.

Polygenic TWAS signal largely explained by expression in brain

To assess the full polygenic architecture of the TWAS associations, we relaxed the transcriptome-wide significance threshold and constructed gene-based polygenic risk scores (GE-PRS) from their predicted expression in the CMC (SCZ+BIP) case-control samples (Supplementary Fig. 1C). For each out-of-sample individual, the GE-PRS is the sum of predicted expression weighted by its signed SCZ TWAS Z-score (Online Methods). The GE-PRS was significantly associated with SCZ status (conditioned on ancestry) across the full spectrum of TWAS association P-values (Fig. 2), as seen with SNP-based polygenic scores^{1,35,36}. Although the prediction was significant in all tissues individually, there was clear evidence of increased effect in brain (in contrast to the transcriptome-wide significant results), with the prediction from brain (genes and splicing events) capturing 92% of the joint prediction from all tissues (Fig. 2, Supplementary Fig. 13). A GE-PRS from actual measured expression and differential splicing in brain was significant but substantially less so than the genetic GE-PRS (Supplementary Fig. 13). Based on polygenic theory^{37,38}, the best TWAS GE-PRS was estimated to account for 26% of the total SCZ SNP-heritability, providing an upper-bound on the amount of trait variance that could be mediated by the steady-state expression in these tissues (Supplementary Note).

Chromatin TWAS identifies specific regulatory features associated with expression

We next sought to identify relationships between the expression of TWAS genes and cis-regulatory elements marked by chromatin activity. We used population-level ChIP-Seq chromatin phenotypes measured in 76 HapMap YRI LCLs for H3k27ac (marking active enhancers), H3k4me1 (enhancers), H3k4me3 (promoters), and DNase (open chromatin)⁶, and in 45 HapMap CEU LCLs for H3k27ac, H3k4me1, H3k4me3, PU1 (regulatory transcription factor) and RNA polymerase II (RBP2, associated with active transcription)¹⁸. For each of the nine chromatin phenotypes, regions with an excess of ChIP-Seq reads were segmented into local peaks, and the chromatin abundance within each peak treated as a quantitative trait^{6,18}. Both cohorts additionally had gene expression measured by RNA-seq in the same samples, and we confirmed that the genetic correlation was highly significant between expression and each chromatin mark (as well as between different chromatin marks) and persisted as far as 500kb from the TSS (Supplementary Fig. 14, 15, 16, Supplementary Table 9, Online Methods).

We applied individual-level TWAS methods²³ to predict expression of the 10,819 significantly heritable genes and 9,009 differentially spliced introns into samples with chromatin phenotypes and searched for expression-chromatin associations (Fig. 1, Supplementary Fig. 1D). Prediction was performed from expression to chromatin phenotype samples (instead of from chromatin phenotype to expression samples) due to increased prediction accuracy in the larger expression panels, but we note that this choice was agnostic to the direction of causality (Supplementary Note). Our approach yielded an average of 2.4×

more Bonferroni significant expression-chromatin associations than the conventional approach using in-sample cis-eSNP/cQTL overlap^{39,40}, primarily due to associations $>10\text{kb}$ from the TSS (Fig. 3, Supplementary Fig. 17); with similar results when overlapping all cis-eQTLs^{6,18} and in simulation (Supplementary Note; Supplementary Fig. 18, 19, 20; Supplementary Table 10). Across all tissues, 806 unique genes had a transcriptome-wide significant association (Online Methods) with at least one chromatin phenotype (Supplementary Fig. 18B, Supplementary Table 11), and 4,294 genes were significant at the 10% (per-phenotype) FDR used in previous studies^{6,18} (Supplementary Table 12). In contrast, only 224 of 9,009 splicing events in the CMC had a transcriptome-wide significant chromatin association, corresponding to 2-3 \times fewer associations than identified using total CMC gene expression (depending on the chromatin phenotype, Supplementary Table 13). Half of the chromatin associations were distal (10–500kb from TSS) and these were significantly enriched for Hi-C interactions in LCLs⁶ relative to random (distance-matched) gene-peak pairs (Supplementary Fig. 22, 23, 21, 1G, 24). No other differences in chromatin mark usage or mark-gene distance were observed across the expression reference panels. However, we found that genes with associations to multiple chromatin peaks were more likely to be driven by a single eQTL (Supplementary Table 14), suggesting that multiple chromatin TWAS peaks were typically related by a single genetic mechanism.

We used the measured RNA-seq expression in the chromatin individuals to confirm these associations. Across the 806 chromatin TWAS-associated genes, the correlation between measured expression and an associated chromatin phenotype was highly significant when compared against a distance-matched background null (Supplementary Fig. 1E, 14B), with the average TWAS-associated chromatin peak explaining a striking 20% of the variance in expression of its target gene in CEU (Supplementary Fig. 25, 26, 27, 28, Supplementary Table 16). For the three chromatin phenotypes that were measured in both CEU and YRI, chromatin TWAS peaks implicated in one population were predictive of correlation with measured expression in the other (Supplementary Fig. 1F, 29, 30, Supplementary Table 17), supporting our use of chromatin phenotypes from multiple populations.

Putative regulatory mechanisms for SCZ-associated genes

Focusing on the 157 transcriptome-wide significant genes from the SCZ TWAS, we identified 42 genes (including 7 genes at novel loci) that also had Bonferroni significant chromatin TWAS associations (to a total of 78 individual chromatin peaks) in analyses using the same expression reference panel (Supplementary Fig. 1H, Table 1, Supplementary Table 2, 3, 18, 19). Only 8 of the 78 chromatin peaks underlying joint SCZ TWAS and chromatin TWAS associations were within the promoter ($\pm 2\text{kb}$ of the TSS) of their associated gene, suggesting that most regulatory elements affecting SCZ are distally located, as previously observed in other traits^{6,8,20}. SCZ TWAS genes were nominally enriched for chromatin TWAS associations ($OR=1.53$, $P=4\times 10^{-4}$) but the effect was largely dampened after matching on cis-genetic properties of genes ($P=0.01$; Supplementary Table 20) and could potentially be explained by other unknown properties.

Significant evidence of chromatin-SCZ association and colocalization was observed for the majority of the identified peaks using independent statistical methods (Supplementary Fig.

1H). We analyzed the subset of SCZ TWAS loci with expression-chromatin associations by applying COLOC to (1) SNP-expression and SNP-chromatin association data to investigate expression-chromatin colocalization and (2) SNP-chromatin and SNP-SCZ association data to investigate chromatin-SCZ colocalization. Colocalization was observed for 100% of the expression-chromatin associations and 97% of the chromatin-SCZ associations in CEU (Supplementary Fig. 8, Supplementary Table 19). The chromatin associations in YRI pose a model violation for COLOC due to differences in LD structure between populations, but colocalization still remained much higher than background, with 70% (43%) of expression-chromatin (chromatin-SCZ) associations colocalized (Supplementary Fig. 8). Predicting chromatin into SCZ using SMR²⁴ (which tests the best cQTL) or a TWAS-like test (testing all SNPs in the BSLMM predictor) replicated >60% of the associations at Bonferroni significance and >90% at $P < 0.05$ (Supplementary Note, Supplementary Table 21, 3, 19). However, the chromatin sample size was insufficient to robustly estimate genetic predictors of chromatin and carry out a full chromatin-wide association study.

Examples of SCZ and chromatin TWAS loci

We highlight three examples of TWAS associations to both SCZ and chromatin phenotypes. We visualize these loci using a “TWAS scatterplot” of the relationship between each marginal GWAS/QTL association (Z-score, y axis) and the correlation (x axis) between TWAS predicted expression (GE_{pred}) and the marginal GWAS/QTL association. This relationship is expected to be linear and without outliers under the TWAS model (Supplementary Note, Fig. 4, 5, 32, 33, 34).

First, total expression of *PPP2R3C* in NTR/blood was associated with SCZ ($P = 3.4 \times 10^{-6}$) – despite no genome-wide significant SNPs at the locus – as well as four distal chromatin peaks (minimum $P = 1.0 \times 10^{-9}$; Fig. 4). Conditioning each GWAS SNP on the predicted expression of *PPP2R3C* explained all significant marginal associations for the implicated phenotypes and formal colocalization was supported between all features and SCZ (average posterior=92%; Supplementary Table 24). *PPP2R3C* was the nearest gene to the most significantly associated SNP at the locus and to the implicated chromatin peaks. However, because the locus was not genome-wide significant, this association would not have been identified in a conventional analysis of known GWAS loci. *PPP2R3C* was recently identified by SMR analysis of SCZ in an independent expression panel²⁴ and our findings pinpoint specific regulatory elements for experimental follow-up.

Second, a splicing event at *KLC1* in CMC had an SCZ TWAS $P = 6.7 \times 10^{-12}$ and overlapping H3k4me1/me3 chromatin TWAS associations (minimum $P = 2.5 \times 10^{-07}$) (Fig. 5). Conditioning on the top sQTL explained all significant SCZ GWAS signal at the locus whereas conditioning on the most significant eQTL had a negligible effect, highlighting an effect on SCZ explained by splicing independent of total expression. Notably, both chromatin TWAS associations were supported by Hi-C interactions to the *KLC1* promoter in developing brain³⁴ (FDR 0.01 significant and the most significant interaction in the locus), serving as a functional validation of coordinated activity (Fig. 5, Supplementary Fig. 35). We performed a TWAS-like test for chromatin-SCZ association, which was highly significant for both peaks (best $P = 2.6 \times 10^{-13}$; Supplementary Table 3). Evidence for

colocalization was high for *KLC1* splicing and SCZ (posterior=58%) as well as for the chromatin phenotypes and both *KLC1* splicing and SCZ (posterior >80%), in spite of the fact that the chromatin phenotypes were identified in YRI and may exhibit LD differences across populations (Supplementary Table 24). Differential DNA methylation⁴¹ and expression at *KLC1* in SCZ cases versus controls was recently identified in two independent analyses of brain tissue, further supporting a cis-regulatory effect on SCZ.

Third, total expression of *MAPK3* in CMC/brain was associated with SCZ ($P=1.3\times 10^{-6}$) as well as two chromatin peaks near the TSS: H3k27ac ($P=7\times 10^{-6}$) and RPB2 ($P=1\times 10^{-11}$). In the CEU chromatin phenotype samples, where *MAPK3* expression was also measured in LCLs, the H3k27ac and RPB2 peaks explained 36% ($P=7\times 10^{-6}$) and 23% ($P=5\times 10^{-4}$) of the variance in measured expression, respectively, with only the H3k27ac peak significant in a joint model. Formal colocalization analysis supported a single shared causal variant across all combinations of eQTL/cQTL/GWAS for the implicated features (posterior probabilities 54–97%; Supplementary Table 24). We confirmed that the associated peaks were observed in epigenetic data from H3k27ac, H3k4me3 and ATAC-seq measured in brain tissues⁴² and contained two SNPs with significant allele-specific effect⁴³ on *MAPK3* (Supplementary Note, Supplementary Fig. 36, 37, 38, 39). Strikingly, these peaks overlapped two recently identified human-gained neuro-developmental enhancers in independent fetal cortex tissues⁴⁴ (Supplementary Fig. 36). This class of enhancers clusters with genes important to cortical development and neuronal differentiation, and has been hypothesized to play a key role in human cortical evolution.

Functional interrogation of *MAPK3* in zebrafish

MAPK3 maps within the 16p11.2 600kb copy number variant (CNV) that has been associated with both SCZ and autism^{45–49}. Previous studies have shown that dosage perturbation of another transcript in that region *KCTD13* can induce reciprocal head size and neuro-proliferative defects consistent with the anatomical pathology in patients⁴⁵. Critically, pairwise dosage analyses showed a genetic interaction of *KCTD13* with *MAPK3* (as well as a third locus, *MVP*)⁴⁵, while independent transcriptional studies in human cells and mouse models highlighted a functional "cassette" composed of *KCTD13*, *MVP* and *MAPK3*, a set of coregulated genes associated with the head size phenotype⁴⁸. Together with our TWAS observations, these data implicate a transcriptional relationship between these genes in the 16p11.2 region and suggest that *MAPK3* (and its expression thereof) might be a functional trigger. If so, suppression of *MAPK3* should rescue the pathology induced by increased expression of *KCTD13*. To test this hypothesis, we performed an experimental assay in zebrafish embryos (Online Methods). Consistent with prior studies, overexpression of human *KCTD13* (associated with microcephaly in humans) induced both a decrease in head size and a concomitant decrease in the number of cycling cells in the brain (Fig. 6). However, suppression of endogenous *mapk3* in *KCTD13* over-expressants was able to rescue both phenotypes reproducibly (Fig. 6; n=37-69 embryos per injection, replicated, scored blind).

DISCUSSION

The landmark PGC SCZ GWAS paper concluded that “if most risk variants are regulatory, available eQTL catalogues do not yet provide power, cellular specificity, or developmental diversity to provide clear mechanistic hypotheses for follow-up experiments”¹. In this work, we integrate data from GWAS, expression, splicing, and chromatin activity to identify mechanistic hypotheses. We found 157 unique genes with transcriptome-wide significant associations to SCZ, which were significantly supported by chromatin contact measured during brain development. Genes below the transcriptome-wide significance threshold continued to be strongly associated with SCZ and exhibited enrichment for expression and splicing in the brain (though this can also reflect expression data quality). Associations for splicing events that were independent of total expression highlighted an important source of disease-relevant variation²⁷ with potential therapeutic implications^{50,51}. 42/157 SCZ-associated genes were significantly associated with nearby chromatin phenotypes, implicating specific regulatory features for functional follow-up. We interrogated one TWAS association, *MAPK3*, in zebrafish embryos and observed a significant effect on neurodevelopmental phenotypes with consistent direction, prioritizing this as a candidate for further follow-up.

We conclude with several limitations and future directions of this study. First, although TWAS is not confounded by reverse-causality (disease → expression independent of SNP), instances of pleiotropy (where a SNP or linked SNPs influence SCZ and expression independently) are statistically indistinguishable from truly causal susceptibility genes. As molecular studies grow and the chance of incidental QTL/GWAS overlap increases, experimental causal inference is necessary to validate these findings. Second, the chromatin phenotypes analyzed here were measured in LCLs (as population-level chromatin data from other tissues is currently unavailable), preventing us from identifying expression-chromatin associations that are brain-specific. Third, the use of summary-based data necessitates linear predictors of expression, which may misinterpret relationships between expression and disease/chromatin where, for example, the strongest eQTLs/cQTLs have weak effect on the trait due to context specificity. Lastly, although we did not observe significant pathway/ontology enrichment for the identified susceptibility genes, we posit that these genes and chromatin features can serve as anchors for network-based analyses of genome-wide co-expression and co-regulation; we view this as an intriguing future direction.

As tissue acquisition may be the biggest hurdle for producing larger data sets, methods that do not depend on measurements from the same samples will remain critical. Beyond specific mechanistic findings for SCZ, this work outlines a systematic approach to identify functional mediators of complex disease.

ONLINE METHODS

Data and quality control

Genotypes and expression from the NTR²⁶, YFS²³, and METSIM²³ were processed as described in ref²³ (and below) and the corresponding expression weights were downloaded directly from the TWAS web-site (see Web Resources). Genotypes and expression data from

the CMC²⁵ were processed using the GTEx Consortium guidelines for eQTL analysis of RNA-seq data. Specifically, RNA-seq RPKM was quantile normalized across samples; genes having >10 individuals with zero reads were removed; each gene was rank-normalized; 15 PEER factors were computed; and the residual expression used.

For alternative splice variants in brain, we used the LeafCutter algorithm^{27,52} to quantify de novo intron excision in the CMC RNA-seq data by clustering reads that spanned intron junctions. These clusters correspond to individual isoforms and enable an estimate of differential intron splicing computed from the ratio of reads spanning an intron relative to the total isoform read count. Splice variants were quantified using default parameters: a minimum of 50 reads per cluster, and a maximum intron length of 500kb. Based on the guidelines in ref.⁵², the following quality controls were applied to the inferred isoform clusters: clusters having >10 individuals with zero reads were removed; clusters with <100 individuals having >20 reads were removed; and introns with <5 individuals having non-zero counts were removed. The inferred per-sample abundance for each intron was then treated as a molecular phenotype, normalized, and PEER-corrected as with total expression above. This process identified 123,480 splicing events, of which 99,562 mapped to canonical gene introns. We treated the differential splicing of these 99,562 splicing events as quantitative traits in the same manner as total expression.

For genotype data in the above studies, individuals failing a sex check or having 5% missing SNPs were removed. Additionally, SNPs were removed if they had >5% missing calls; $P < 0.05$ case-control missing association; $P < 5 \times 10^{-6}$ Hardy-Weinberg disequilibrium; $P < 5 \times 10^{-3}$ association to batch; $P < 5 \times 10^{-8}$ missing haplotype association; or frequency <1%. Principal components (PCs) were computed using \times all samples for the NTR, YFS, and METSIM data directly and using SNPweights (v2.1)⁵³ for the CMC data, outliers were removed (samples >6 standard deviations away the mean along any top component), and PCs included as fixed-effects in estimating h_g^2 .

For all datasets, related individuals with GRM values >0.05 were also removed prior to estimating h_g^2 .

For chromatin data, we used population-level ChIP-Seq chromatin phenotypes measured in 76 HapMap YRI LCLs for H3k27ac (marking active enhancers), H3k4me1 (enhancers), H3k4me3 (promoters), and DNase (open chromatin)⁶, and in 45 HapMap CEU LCLs for H3k27ac, H3k4me1, H3k4me3, PU1 (regulatory transcription factor) and RNA polymerase II (RPB2, associated with active transcription)¹⁸. We did not perform any additional QC of the functional features, which were previously adjusted for PEER/covariates and normalized^{6,18}.

h_g^2 estimation

Cis and trans h_g^2 were estimated using variance-components, modeling the phenotype as a multi-variant Normal $y \sim \sigma_{g, cis}^2 K_{cis} + \sigma_{g, trans}^2 K_{trans} + \sigma_e^2 I$ where K are the standard genetic relatedness matrices from SNPs in the cis locus (K_{cis}) and in the rest of the genome (K_{trans}).

The σ^2 parameters were fit for each gene using AI-REML as implemented in the GCTA software⁵⁴, with principal components and sex included as fixed effects. For h_g^2 of splicing events, the intron ratios condition out isoform abundance but total gene expression was also included as a covariate to account for any residual correlation. As in previous studies²⁶, individual estimates outside the plausible 0-1 range were allowed in order to achieve unbiased mean estimates. The standard error of each estimate was approximated as the standard deviation divided by the square root of the number of genes tested; however, significant differences were confirmed by permutation tests (see below).

To evaluate the contribution of low-frequency variants, we imputed the NTR data to the Haplotype Reference Consortium reference, yielding high-quality imputed SNPs down to MAF of 0.001. On average, we did not observe a significantly non-zero contribution of imputed rare variants to $cis-h_g^2$, nor did we see a significant change in common $cis-h_g^2$ due to denser imputation relative to array SNPs (Supplementary Table 1). Though recent work has identified biases in estimates of h_g^2 from rare variants⁵⁵, we expect these biases to be small in the cis region and largely mitigated by the two-component model. We did not further evaluate the contribution of rare variants to $trans-h_g^2$. No difference was observed when using dosages to construct the cis GRM.

In the CMC data, where SCZ/BIP and control status was also available, the average cis -genetic correlation of expression between (SCZ/BIP) cases and controls was 1.00 (s.e. 0.02), indicating consistent direction of eQTL effect sizes between cases and controls and motivating us to use the full cohort as a TWAS reference panel (Supplementary Table 25).

Schizophrenia TWAS

We performed a Transcriptome Wide Association Study (TWAS) using publicly available summary statistics from the PGC GWAS of 79,845 individuals¹ and four gene expression reference panels in independent samples (Web Resources). For a given gene, SNP-expression weights in the 1MB cis locus were first computed using the Bayesian Sparse Linear Mixed Model (BSLMM)²⁸, which models effects on expression as a mixture of normal distributions to account for the sparse expression architecture. Given weights w , SCZ Z-scores Z , and SNP correlation (LD) matrix D , the association between predicted expression and SCZ (i.e. the TWAS statistic) is estimated as $Z_{TWAS} = w'Z/\sqrt{w'Dw}$ (see ref²³ for methodological details). We computed TWAS statistics using either the SNPs genotyped in each expression reference panel, or imputed HapMap3 SNPs (which typically represent well-imputed SNPs). To account for multiple hypotheses, we applied Bonferroni correction within each expression panel that was used. This threshold was chosen so as to maximize consistency with previous published results and not penalize for additional (and often highly correlated) expression panels tested. Specifically, we report “transcriptome-wide” significance after correcting for the number of genes tested within each of the five reference panels (CMC, CMC-splicing, NTR, YFS, METSIM; 5,419 tests on average). This is consistent with the correction applied in previous TWAS results of multiple expression references²³.

Summary-based joint/conditional tests and figures

Conditional and joint analysis was performed using the summary statistic-based method described in ref.³⁰, adapted to genes instead of SNPs. This joint test aims to distinguish genes with independent genetic predictors (that are also SCZ associated) from those that are merely co-expressed with a shared genetic predictor. This requires marginal association statistics (i.e. the main TWAS results) and a correlation/LD matrix to evaluate the joint/conditional model. The correlation matrix was estimated by predicting the cis-genetic component of expression for each TWAS gene into the 1000 Genomes genotypes and computing Pearson correlations across all pairs of genes as well as between all gene-SNP pairs (with correlations below 0.01 set to zero due to sampling noise). The 247 transcriptome-wide significant TWAS associations across four reference panels were then added to the model one at a time in decreasing order of significance and retained if their conditional TWAS association remained significant after Bonferroni correction for 247 tests. To quantify strongly independent gene associations at hotspot loci, this procedure was repeated with the additional constraint that genes were only added to the model at each step if they had $r^2 < 0.30$ with all genes already in the model. To assess how much of the SCZ GWAS association signal remained after the TWAS signal was removed, each GWAS SNP association was conditioned on the joint gene model one SNP at a time. For Manhattan plots in Fig. 4, 5 each GWAS SNP was conditioned on the predicted expression of the single target TWAS gene. For TWAS scatterplots in Figs 4, 5 the correlation of each SNP to the TWAS predicted phenotype was computed by predicting expression into the 1000 Genomes reference.

Colocalization analyses

We used the COLOC software³³ to estimate the posterior probability of two phenotypes sharing a causal variant (which we refer to as “colocalization”). For a locus and pair of traits (e.g. chromatin and SCZ) the corresponding SNP-trait QTL effect sizes (and standard errors) were tested with the coloc.abf function, with molecular phenotypes treated as quantitative traits and SCZ input treated as a case-control trait with 43% cases. The posterior probability of one shared causal variant (H_4) was reported. The default prior on sharing ($P=1 \times 10^{-5}$) was used for all primary analyses, with priors $P=1 \times 10^{-4}$ and $P=1 \times 10^{-3}$ evaluated separately with all other parameters unchanged.

Functional validation of TWAS-associated genes using chromatin interaction data

The TWAS genes were validated by presence of chromosome conformation capture (Hi-C) interaction with finemapped SCZ GWAS SNPs in the locus.

SCZ GWAS loci were fine-mapped as described³⁴. First, independent, genome-wide significant SNPs and all nearby SNPs in LD ($r^2 > 0.6$) having $P < 1 \times 10^{-5}$ were selected. The CAVIAR fine-mapping algorithm⁵⁶ was then applied in each locus (allowing for a maximum of 2 causal variants) to identify the 95% credible set of causal SNPs. Functional SNPs (SNPs that cause nonsense and missense variation as well as that reside within gene promoters) were directly assigned to their target genes, while non-annotated SNPs were assigned to the genes of action based on chromatin interactions in developing human cortices³⁴.

Hi-C interactions were defined as described³⁴. We fit background interaction profiles as a function of distance from all 9,444,230 imputed PGC GWAS SNPs using a Weibull distribution. The significance for a given Hi-C contact was then measured by calculating the probability of observing a stronger contact under this null. Fine-mapped GWAS SNPs were assigned to 10kb bins (which is the highest resolution available for the fetal brain Hi-C data), and the significance of interactions for every bin within a 1Mb flanking region (500kb upstream to downstream) was calculated. Significant Hi-C interacting regions (FDR<0.01) are then overlapped with Gencode v19 gene coordinates to identify the potential gene targets, and only genes with significant SNP heritability estimates ($cis-h_g^2$ $P<0.01$) were evaluated, resulting in 474 genes. These Hi-C defined schizophrenia risk genes were then overlapped with the genes and splice variants identified by TWAS. Overrepresentation analysis was performed by Fisher's exact test with a background gene list of 1,392 genes that reside within a ± 500 kb window to any credible SNP and have nominally significant $cis-h_g^2$ ($P<0.01$).

Polygenic TWAS signal from gene-based polygenic risk scores (GE-PRS)

We extend to genes the SNP-based polygenic risk score³⁵ to evaluate TWAS predictive accuracy and validation. Given a 1-by- M vector z of signed association statistics in the discovery study (e.g. PGC) and an N -by- M matrix X of predicted expression for the corresponding M genes in the replication study, we constructed a GE-PRS $S=Xz$. The M genes were either all transcriptome-wide significant genes (Supplementary Note) or all genes passing relaxed p-value thresholds. This risk score was then tested with ancestry against case/control status by a standard linear model $y\sim S+P+e$ where S is the risk score and P is a matrix of principal components accounting for ancestry. Risk-score performance was measured as the linear R^2 from the above model less the R^2 from the model $y\sim P+e$ to account for ancestry, and converted to the liability scale assuming a prevalence of 1%.

For the TWAS using METSIM, YFS, and NTR expression reference panels, the cis-genetic component of expression was predicted in CMC samples. For the TWAS using the CMC expression panel, either the total expression was used (Supplementary Fig. 13) or the cis-genetic component of expression was estimated directly using BSLMM (equivalent up to a scaling factor to estimating genetic values by dropping each individual in turn). We stress that the case/control label from the CMC data was never used to identify the TWAS associations, and that the GePRS from the CMC expression panel were thus evaluated against an independent CMC case/control phenotype. Ascertaining cases in the CMC expression panel may increase the frequency of causal variants and make the prediction more accurate than using a randomly ascertained expression panel, however, we observed little difference when performing the TWAS using an expression panel consisting of CMC controls only (Supplementary Table 4).

Individual-level chromatin TWAS

We used cis SNP-expression effect sizes computed by BSLMM scores in the four expression reference panels (including splicing events) to predict individual-level expression into the 45 CEU¹⁸ and 76⁶ YRI individuals with measured chromatin phenotypes. We retained only

post-QC SNPs that were typed in both studies and removed strand-ambiguous SNPs. We note that even though the YRI target samples are of different ethnicity, this prediction does not require an LD-reference panel and is therefore only expected to suffer loss in power (but not increased type I error) due to the differences in LD. For each predicted gene, we identified all chromatin peaks within a given window of the TSS (primary results used $\pm 500kb$) and tested each mark for association to predicted expression by linear regression.

Top eSNP/cQTL overlap analysis

We compared the chromatin TWAS to a traditional approach of identifying SNPs that are significant both as cQTLs and eQTLs in real data (Fig. 18B). For each population and given distance to TSS, we performed this analysis in two stages. Stage 1: We used fastQTL⁴⁰ to identify the most significantly associated eSNP for each gene by permuting the expression and re-testing the cis-locus, and restricted to those genes with eSNP $P < 0.01$ (for consistency with the TWAS gene selection). Stage 2: Each significant eSNP from Stage 1 was then tested for an association to all nearby chromatin peaks by standard linear regression, and those passing Bonferroni correction for all gene-peak pairs for each chromatin phenotype (e.g. H3K27ac in CEU) were reported. This analysis was compared to the chromatin TWAS analysis where each gene was tested against any peak within the given distance (by standard linear regression), and number of significant results reported after Bonferroni correction for total number of gene-peak pairs tested in that phenotype. We separately considered an approach where all significant eQTLs in a gene are evaluated for overlap (as in refs.^{6,18}), which underperformed the permuted top eSNP/cQTL approach here (Supplementary Note).

Multiple hypothesis correction for chromatin TWAS

The large number of correlated phenotypes analyzed – expression from five experiments and chromatin from nine experiments in two populations – allows for several approaches to multiple testing correction. For the chromatin TWAS, we corrected for the number of gene-peak pairs tested within a single expression reference and chromatin phenotype experiment (for example, number of gene-peak pairs when evaluating predicted CMC expression with the CEU:H3k27ac chromatin phenotype). This is directly comparable to the experiment-wide corrections applied in previous eQTL/cQTL analyses^{6,18}. The same correction was applied for the SCZ/chromatin TWAS overlap: for example, the 44 SCZ TWAS genes identified using CMC expression were within 500kb of 1,528 total peaks in the CEU:H3k27ac experiment and “overlap” was reported for any peak that had a chromatin TWAS association $P < 0.05/1,528$.

For comparison, we separately calculated the number of associations that were significant at 5% FDR across all molecular experiments. This yielded approximately 3.5× more chromatin TWAS associations and 1.2× more SCZ and chromatin TWAS associations (Supplementary Table 2), demonstrating that the above experiment-wide Bonferroni correction strategy corresponds to a conservative study-wide FDR.

Estimating support for mediation by expression/chromatin

We sought to evaluate the evidence in support of two models of mediation: M_{CH} , where SNP → chromatin → expression → disease; and M_{EX} , where SNP → expression → chromatin

→ disease. Under the assumption of linear, additive variance across molecular phenotypes, this can be estimated via the ratio of genetic covariance (cov_g) between chromatin-SCZ and expression-SCZ. Conceptually, the genetic effect of a given molecular phenotype on SCZ will be attenuated by environmental noise, which will manifest itself as lower cov_g to SCZ for phenotypes further along the molecular cascade. The fraction of environmental variance on expression (env_{EX}) under each model of mediation can then be computed from the following equation (see Supplementary Note for derivation):

$$cov_{g,CH}/cov_{g,EX} = 1/\sqrt{1 - env_{EX}^2}$$

We inferred these quantities from both CEU and YRI using cov_g estimates from cross-trait LD score regression⁵⁷ and computed significance by comparing to randomly sampled gene-pair pairs within 500kb of the TSS. We separately considered a partial correlation approach using residuals of expression and chromatin in turn (Supplementary Note). We caution that the estimate of env in the above equation was computed from an average across all loci, and could also be consistent with confounding from different levels of measurement error for ChIP-seq and RNA-seq, a mixture of models M_{CH} and M_{EX} that favors model M_{CH} , or mediation by other unobserved molecular phenotypes.

In vivo complementation in zebrafish embryos and whole-mount immunostaining

The human wild-type (WT) mRNA of *KCTD13* (NM 178863) was cloned into the pCS2+ vector and transcribed in vitro using the SP6 Message Machine kit (Ambion), as described⁴⁵. To suppress endogenous *mapk3* we identified ENSDART00000103746.5 with 93% similarity and 88% identity to human *MAPK3* (NM_002746) as the sole zebrafish ortholog, against which we designed a splice blocking morpholino (MO) targeting exon 2 (*mapk3* sb: CTGTGAGTGTTTAAGGATACACATC). We injected 10ng of *mapk3* MO and 150pg of WT *KCTD13* RNA alone and in combination into wild-type zebrafish embryos at the 1-to 4-cell stage. For the evaluation of neuronal proliferation, experiments were performed as described⁵⁸. Proliferating neurons were quantified by counting all positive cells on a dorsal view of 3 days post fertilization (dpf) embryos, excluding the eyes from the scored area, using the ITCN ImageJ plugin that considers cells with 20 pixel width and 5 pixel minimum distance between them in order to be considered as separate cells. Statistical significance for this assay was established using Student's t-test. For the head size assay, injected larvae were grown to 4 dpf and imaged live on dorsal view. The area of the head was traced excluding the eyes from the measurements and statistical significance was calculated using Student's t-test. The sample size for the head size assay consisted of control=67, *mapk3*_MO=59, *KCTD13*_WT=61 and *mapk3*_MO+*KCTD13*_WT=60; for PH3 it consisted of control=37, *mapk3*_MO=40, *KCTD13*_WT=39 and *mapk3*_MO +*KCTD13*_WT=40. All experiments were repeated in duplicate and scored blind to injection cocktail.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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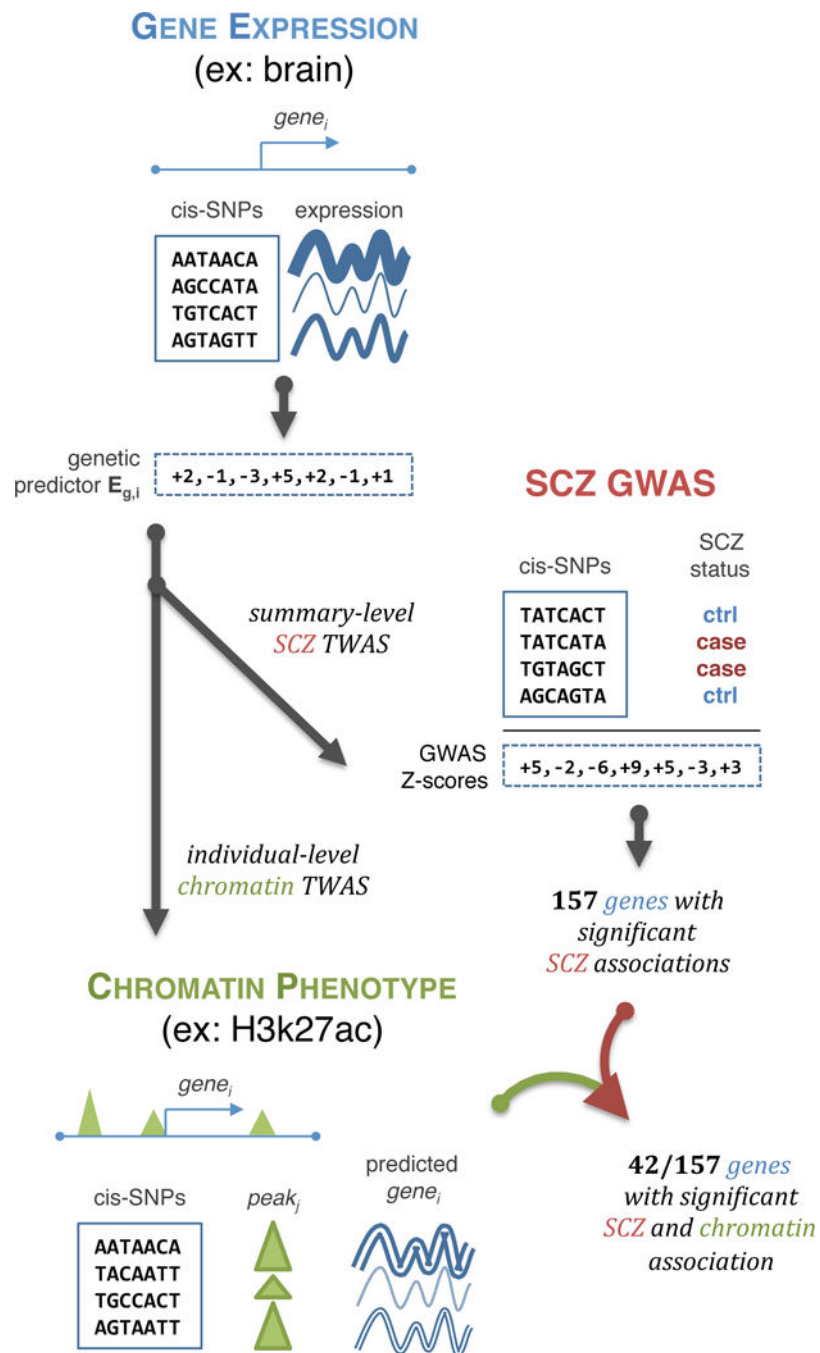


Figure 1. Schematic of TWAS approach

Illustration of the TWAS approach: genetic predictor of gene expression (E_g) is learned in a reference panel (**top**); integrated with SCZ GWAS association statistics to infer SCZ- E_g association (**middle**); further integrated with individual-level chromatin phenotypes to infer genes with SCZ and chromatin- E_g associations (**bottom**). See Supplementary Fig. 1 for detailed analysis flowchart.

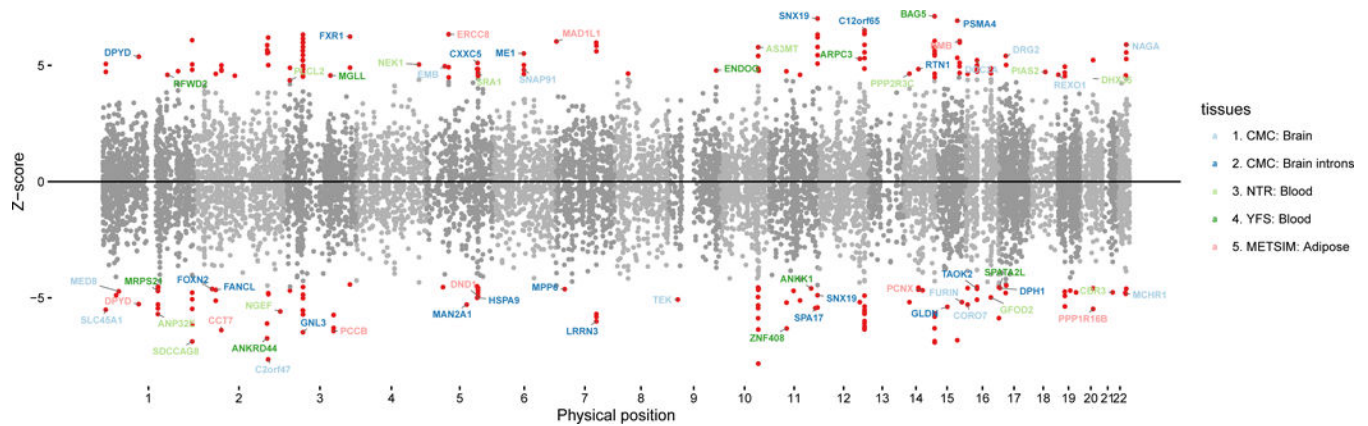


Figure 2. SCZ TWAS associations and polygenic effects

(top) Manhattan plot of all TWAS associations. Each point represents a single gene tested, with physical position plotted on x-axis and Z-score of association between gene and SCZ plotted on y-axis. Transcriptome-wide significant associations are highlighted as red points, with jointly significant independent associations (see Methods) labeled with gene names and color-coded by expression reference (red CMC; blue METSIM, purple YFS, green NTR, black ALL). **(bottom)** Polygenic TWAS effects across reference tissues. Out of sample SCZ prediction R^2 for gene-based polygenic risk scores (GE-PRS) as a function of significance cutoff. Significant correlations (after Bonferroni correction for number of thresholds tested) are indicated with a (*) and the most significant P-value reported. Right-most panel shows prediction from all tissues jointly (black) and from CMC/brain genes + splicing events jointly (red). R^2 computed after subtracting ancestry principal components and converting to liability scale with population prevalence of 1%.

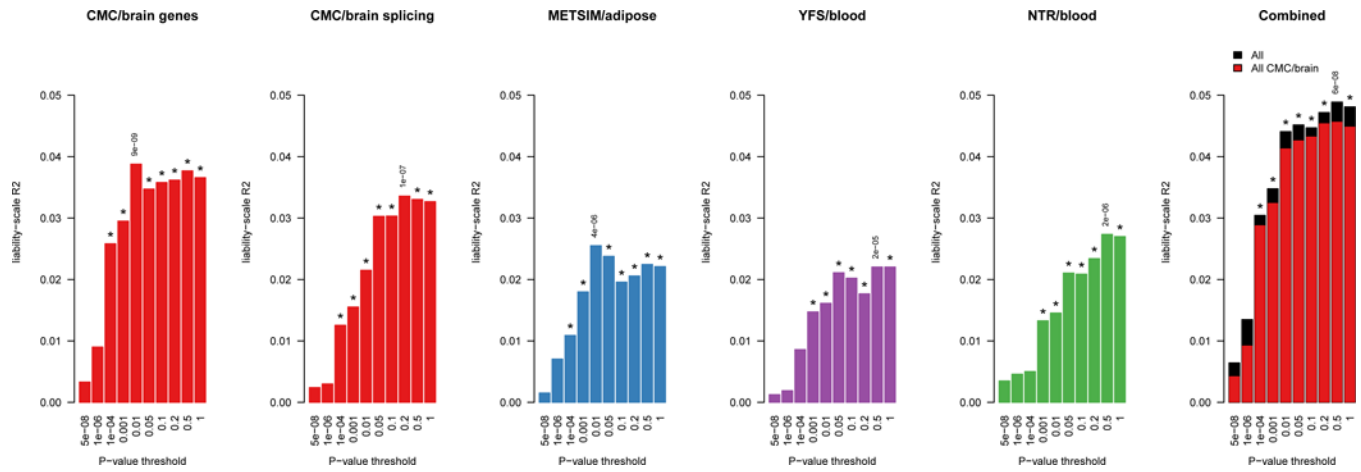


Figure 3. Chromatin TWAS associations compared to top eSNP/cQTL associations
 Number of unique genes significantly associated with a chromatin peak after Bonferroni correction for a given distance from the gene (x-axis): **(left)** using top eSNP in chromatin cohort; **(right)** using chromatin TWAS from all reference panels. Results from CEU (YRI) populations shown in top (bottom) panels.

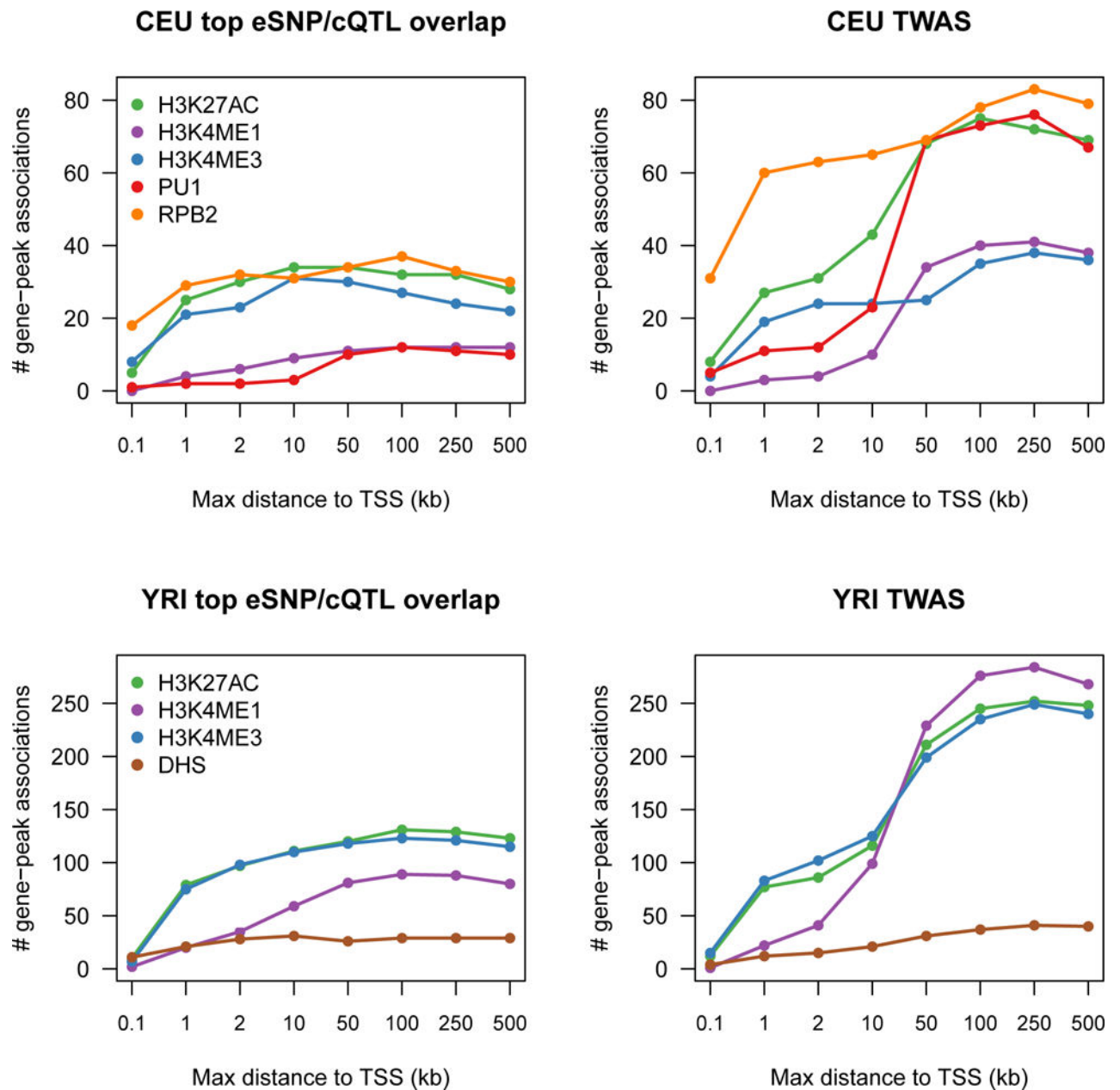


Figure 4. Chromatin and SCZ TWAS association at *PPP2R3C*

Example association of *PPP2R3C* gene expression and SCZ and four nearby chromatin peaks. (A) locus schematic with all nearby genes and chromatin peaks; TWAS associated features highlighted in blue and green. (B–G left) Manhattan plots of marginal association statistics before and after conditioning on the TWAS predicted expression (colored/dark dots, respectively). Dashed line shows local significance threshold after Bonferroni correction for number of SNPs. (B–G right) Relationship between marginal GWAS/QTL association (y axis) and the correlation (x-axis) between TWAS predicted expression (GE_{pred} estimated in the 1000 Genomes reference) and marginal GWAS/QTL association. The color of each point reflects the eQTL effect size of the expression used for GE_{pred} and size of each point reflects absolute significance of the eQTL. (B) SCZ GWAS association; (C) *PPP2R3C*

expression phenotype used for TWAS prediction and associated with SCZ/chromatin; **(D)** 1st TWAS associated H3k27ac peak in CEU; **(E)** 2nd TWAS associated H3k27ac peak in CEU; **(F)** 1st TWAS associated H3k4me1 peak in CEU; **(G)** 2nd TWAS associated H3k4me1 peak in CEU. See Supplementary Note, Supplementary Fig. 32, 33, 34 for additional examples and simulations.

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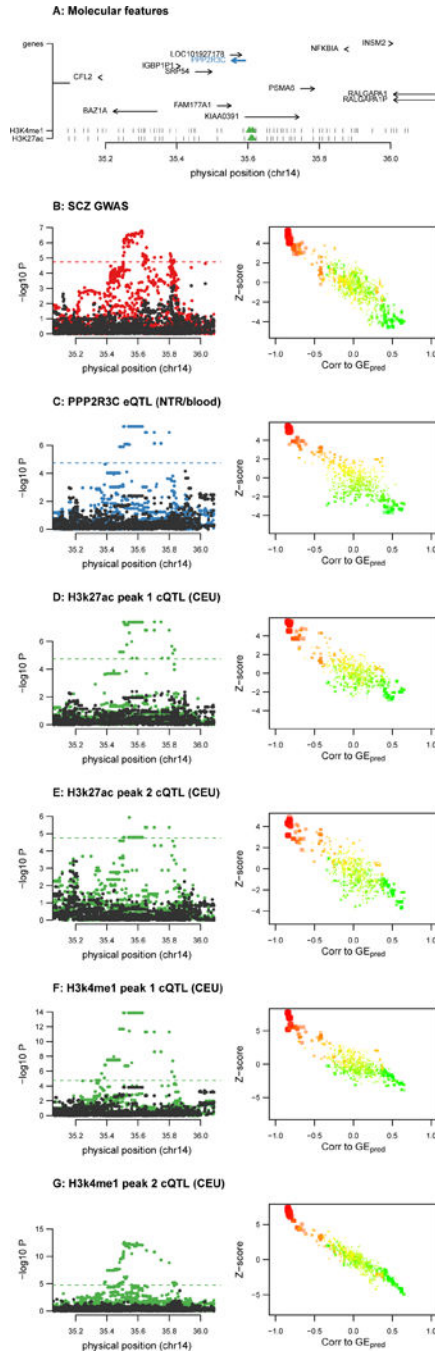


Figure 5. Chromatin and SCZ TWAS association at *KLC1*

Example association of *KLC1* splice event to SCZ with evidence of chromatin interaction in Hi-C from developing brain. (A) locus schematic with all nearby genes and chromatin peaks; TWAS associated features highlighted in blue and green. Hi-C GZ/CP rows show significance of Hi-C chromatin interaction between the 10kb block containing the associated chromatin peaks (gray, with neighboring white blocks not tested) and every other 10kb block in the region; 10kb being the highest resolution for this Hi-C data. Dark red shading indicates more significant and interactions significant at 0.01 FDR are labeled with stars.

The most significant interaction in the locus overlaps the *KLC1* promoter. The interactions are shown for fetal brain data from CP (cortical and subcortical plate) and GZ (germinal zone) and corresponding topological domains (TADs) are outlined with solid black lines. **(B–F left)** Manhattan plots of marginal association statistics before and after conditioning on the TWAS predicted expression (colored/dark dots, respectively). Dashed line shows local significance threshold after Bonferroni correction for number of SNPs. **(B–F right)** Relationship between marginal GWAS/QTL association (y axis) and the correlation (x-axis) between TWAS predicted expression (GE_{pred} estimated in the 1000 Genomes reference) and marginal GWAS/QTL association. The color of each point reflects the eQTL effect size of the expression used for GE_{pred} and size of each point reflects absolute significance of the eQTL. **(B)** SCZ GWAS association; **(C)** *KLC1* total expression, both panels show independence from the TWAS predicted expression; **(D)** *KLC1* splicing event phenotype used for TWAS prediction and associated with SCZ/chromatin; **(E)** TWAS associated H3k4me1 chromatin peak in YRI; **(F)** TWAS associated H3k4me3 chromatin peak in YRI. See Supplementary Note, Supplementary Fig. 32, 33, 34 for additional examples and simulations.

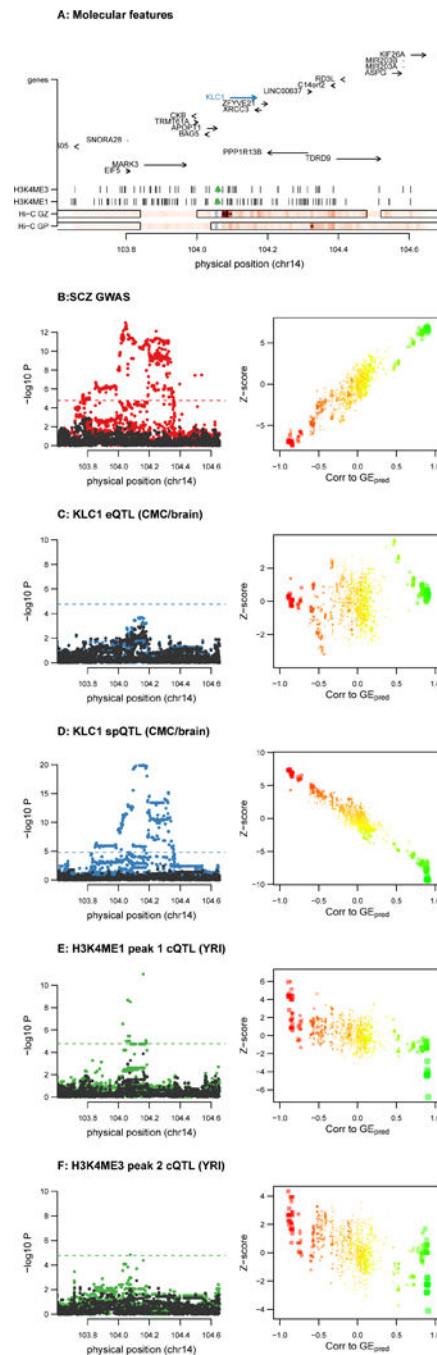


Figure 6. Suppression of endogenous *mapk3* rescues the microcephaly and neuronal proliferation phenotypes induced by overexpression of wild-type *KCTD13*

Dorsal view of 4 days post fertilization (dpf) control larvae (A) and embryos injected with either morpholino (MO) against endogenous *mapk3* (B), human capped wild-type (WT) *KCTD13* mRNA (C) or combinatorial administration of *mapk3* MO and WT human *KCTD13* mRNA (D). Quantification of the headsize phenotype across the four conditions (E). Dorsal view of 3dpf embryos stained with an antibody against phospho-histone 3 (PH3), a marker of neuronal proliferation of control larvae (F) or embryos injected with either MO against *mapk3* (G), human capped wild-type (WT) *KCTD13* mRNA (H) or combinatorial

administration (I). Graph showing quantification of the proliferating neuronal count across the four conditions (J). Student's t-test was used to determine statistical significance.

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Table 1

Number of TWAS-associated genes across all phenotypes and tissues.

	CMC/brain introns*	CMC/brain	NTR/blood	YFS/blood	MET/adipose	Total**
Heritable	(9,009) 3,890	5,514	2,743	5,418	4,654	11,749
SCZ associated	(80) 46	44	35	48	39	157
SCZ associated (novel) [†]	(12) 10	9	6	6	7	35
Chromatin associated	(224) 125	244	182	346	232	806
SCZ and chromatin associated	(10) 8	11	10	13	7	42

* Number of splicing events shown in parenthesis.

** Total number of unique gene associations.

[†] Novel defined as not overlapping ($\pm 500kb$) 108 published PGC SCZ GWAS regions.

Table 2
TWAS genes with association to schizophrenia and chromatin phenotypes

42 genes (including 7 genes at novel loci, highlighted with a [*]) had a significant TWAS association with SCZ and chromatin phenotypes. For each significant TWAS association with SCZ, the number of significant genechromatin associations (FWER 5% among TWAS gene-mark associations, by Bonferroni correction) are reported. In the middle columns ‘.’ represents genes that were not heritable in the study and therefore not TWAS-associated. In the right columns ‘.’ represents no identified association; genes with no chromatin associations are not shown. Top panel shows results from genes, with TSS listed as position; bottom panel shows results from splicing events in CMC with exon-exon junction listed as position (details in Supplementary Table 18). The minority of loci without subsequent evidence of colocalization of molecular phenotype with SCZ are indicated with † (see Supplementary Table 3 for full numerical results).

Gene	Chr	Position	TWAS P-value					Associated marks					
			YFS/blood	MEI/adipose	NTR/blood	CMC/brain	DHS	H3K27AC	H3K4ME1	H3K4ME3	PUI	RPB2	
<i>REER</i>	1	8,483,747	4e-07	2e-06	2e-06	3
<i>SLC45A1</i>	1	8,378,144	.	.	.	4e-08	1
<i>MAP7D1*</i>	1	36,621,565	6e-04	.	1e-06	1
<i>MED8</i>	1	43,855,483	5e-01	.	.	2e-06	1	.	.
<i>ANP32E</i>	1	150,207,026	.	.	1e-08	1	.
<i>MRPS21</i>	1	150,266,261	3e-06	3e-03	6e-03	2e-02	.	.	.	1	.	.	.
<i>RFWD2*†</i>	1	176,176,380	4e-06	1	.	.	.
<i>C2orf69</i>	2	200,775,978	.	6e-10	1	.	.
<i>GLTSD1†</i>	3	52,737,714	.	.	5e-08	3e-08	.	1
<i>GLYCTK</i>	3	52,321,835	2e-08	1
<i>GNL3</i>	3	52,719,935	7e-09	6e-07	.	5e-02	1	.	.
<i>NEK4†</i>	3	52,804,965	.	.	.	2e-09	1	.	.
<i>NT5DC2†</i>	3	52,567,793	6e-06	6e-06	.	7e-01	.	1	.	.	1	.	.
<i>PPM1M</i>	3	52,279,808	2e-07	2e-07	.	2e-03	.	1
<i>TMEM110</i>	3	52,931,597	1e-02	4e-01	1e-08	6e-06	.	1	1	2	.	.	.
<i>PCCB</i>	3	135,969,166	1e-08	1e-10	.	3e-10	1	.	3
<i>RPI1-53019.3</i>	5	44,826,178	.	6e-06	1	.	.	.
<i>DND1</i>	5	140,053,171	.	8e-07	1e-02	.	.	1	.	.	1	.	.
<i>IK1†</i>	5	140,027,383	4e-06	1e-06	.	5e-05	.	1	.	.	1	.	.

Gene	Chr	Position	TWAS P-value						Associated marks					
			YFS/blood	MET/adipose	NTR/blood	CMC/brain	DHS	H3K27AC	H3K4ME1	H3K4ME3	PUI	RPB2		
<i>NDUFA2</i>	5	140,027,370	2e-06	.	.	4e-06	.	1	.	.	2	.	.	
<i>PCDHA2</i>	5	140,174,443	.	.	.	7e-06	.	1	.	.	1	.	.	
<i>ZMAT2</i>	5	140,080,031	5e-06	1e-03	.	3e-06	1	.	.	
<i>AS3MT</i>	10	104,629,209	.	6e-08	7e-09	1e-05	.	1	
<i>MPHOSPH9[†]</i>	12	123,717,785	4e-09	1e-05	.	2e-08	.	1	.	.	1	.	.	
<i>KIAA0391[*]</i>	14	35,591,526	7e-01	2e-07	5e-01	.	.	2	1	
<i>PPP2R3C[*]</i>	14	35,591,748	6e-05	1e-01	3e-06	2e-02	.	2	2	
<i>MAPK3</i>	16	30,134,630	5e-05	.	.	1e-06	.	1	1	
<i>GFOD2</i>	16	67,753,273	.	.	6e-07	2e-05	.	1	.	.	2	.	.	
<i>TSNAXIP1</i>	16	67,840,780	.	.	.	2e-06	.	.	.	1	.	2	.	
<i>DUS2L</i>	16	68,038,024	1e-06	.	3e-06	4e-04	2	.	.	
<i>PRMT7[†]</i>	16	68,344,876	1e-05	8e-04	.	8e-06	.	.	.	1	1	.	.	
<i>GRAP[*]</i>	17	18,950,336	.	.	5e-07	1	
<i>RNF112[*]</i>	17	19,314,490	8e-06	1	
<i>ACTR5[†]</i>	20	37,377,096	2e-07	2e-04	.	7e-01	.	1	.	1	.	.	.	
<i>CBR3</i>	21	37,507,262	6e-03	2e-03	2e-06	5e-04	.	1	.	2	.	.	.	
CMC/brain splicing														
<i>TBC1D5</i>	3	17,255,862 - 17,279,655	.	.	.	3e-06	.	.	.	1	.	.	.	
<i>NEK4[†]</i>	3	52,800,010 - 52,800,194	.	.	.	1e-06	.	.	.	1	.	.	.	
<i>CCDC90B</i>	11	82,985,783 - 82,991,184	.	.	.	3e-07	.	1	
<i>SBNO1[†]</i>	12	123,821,038 - 123,825,535	.	.	.	4e-10	1	.	
<i>KLCI</i>	14	104,145,855 - 104,151,323	.	.	.	7e-12	.	.	.	1	1	.	.	
<i>RTN1[*]</i>	14	60,074,210 - 60,193,637	.	.	.	1e-06	.	.	.	1	.	.	.	
<i>TAOK2[†]</i>	16	29,997,825 - 29,998,165	.	.	.	4e-06	† ₁	
<i>PPP4C[†]</i>	16	30,094,168 - 30,094,715	.	.	.	2e-06	† ₁	

^{*} Novel, not overlapping 108 PGC SCZ GWAS loci.

[†] No significant SCZ colocalization posterior in any reference (excluding chromatin features in YRI).