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## **Intrinsic fundamental frequency of Amharic vowels**

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Intrinsic fundamental frequency (IF0) is the cross-linguistic tendency for high vowels to have a higher fundamental frequency (F0) than low vowels. IF0 is often thought to result from mechanical coupling between the tongue and larynx, though the exact causal relationship remains unknown. The purpose of the present study is to test the predictions of four articulatory hypotheses using audio and electroglottographic data from Amharic vowels. The current study analyzed data from 6 adult native speakers of Amharic. F0 and contact quotient (CQ) were measured using audio and electroglottography (EGG) recordings. The results suggest that IF0 may have multiple sources. The acoustic analysis reveals that F0 is positively correlated with vowel height: as F1 lowers, F0 increases. This may be due to a combination of jaw lowering and tongue compression. However, contrary to some articulatory hypotheses, CQ results suggest that changes in tongue or jaw height do not induce changes on vocal fold tension. In addition, a phonological grouping of vowel F0 emerged, such that vowels within a phonological height category did not differ from each other in terms of F0, even if they differed in F1. This finding suggests that additional factors, such as auditory enhancement, may also contribute to the IF0 effect.

1 **I. INTRODUCTION**

2 Cross-linguistically, the fundamental frequency (F0) of vowels has been found to correlate  
3 with vowel height, with high vowels having a higher F0 than low vowels (Whalen & Levitt, 1995).  
4 This phenomenon is referred to as the intrinsic fundamental frequency of vowels (IF0) or intrinsic  
5 pitch of vowels (IPV). In a study of 35 languages representing 11 different language families, Whalen  
6 and Levitt (1995) found evidence of intrinsic F0 of vowels in every reported language, with effect  
7 size varying from 5 Hz to over 20 Hz (Whalen & Levitt, 1995). The authors suggest that the  
8 phenomenon is universal, but the cause of the phenomenon remains unclear. Commonly cited  
9 hypotheses attribute IF0 to mechanical coupling of the oral vocal tract and laryngeal structures,  
10 where the F0 perturbation is due to tongue or jaw movement inducing changes on laryngeal  
11 structures (Ladefoged, 1964; Ohala, 1978; Ewan, 1979; Chen, Whalen & Tiede, 2019). Yet, precisely  
12 how tongue or jaw movement might lead to changes in vocal fold vibration remains unclear. Other  
13 hypotheses posit that IF0 is due to volitional control for vowel production, either as auditory  
14 enhancement to cue vowel category (Diehl, 1991) or as part of the articulatory gesture for the vowel  
15 (Sapir, 1989). The goal of the present study is to test predictions of articulatory accounts of IF0 and  
16 examine the relationship between oral vocal tract movement (i.e., tongue and jaw) and laryngeal  
17 movement proposed by each hypothesis.

18 **a. Background**

19 Perhaps the most frequently cited account of IF0 is the TONGUE-PULL HYPOTHESIS,  
20 originally proposed by Ladefoged (1964). The tongue-pull hypothesis states that IF0 is driven by the  
21 tongue body's high position pulling on the hyoid bone. The upward movement of the hyoid bone in  
22 turn pulls the thyroid cartilage forward, leading to increased laryngeal height and vocal fold tension.

23 Together, the increased laryngeal height and vocal fold tension are believed to raise F0 (Ladefoged,  
24 1964). This is schematized in Figure 1.

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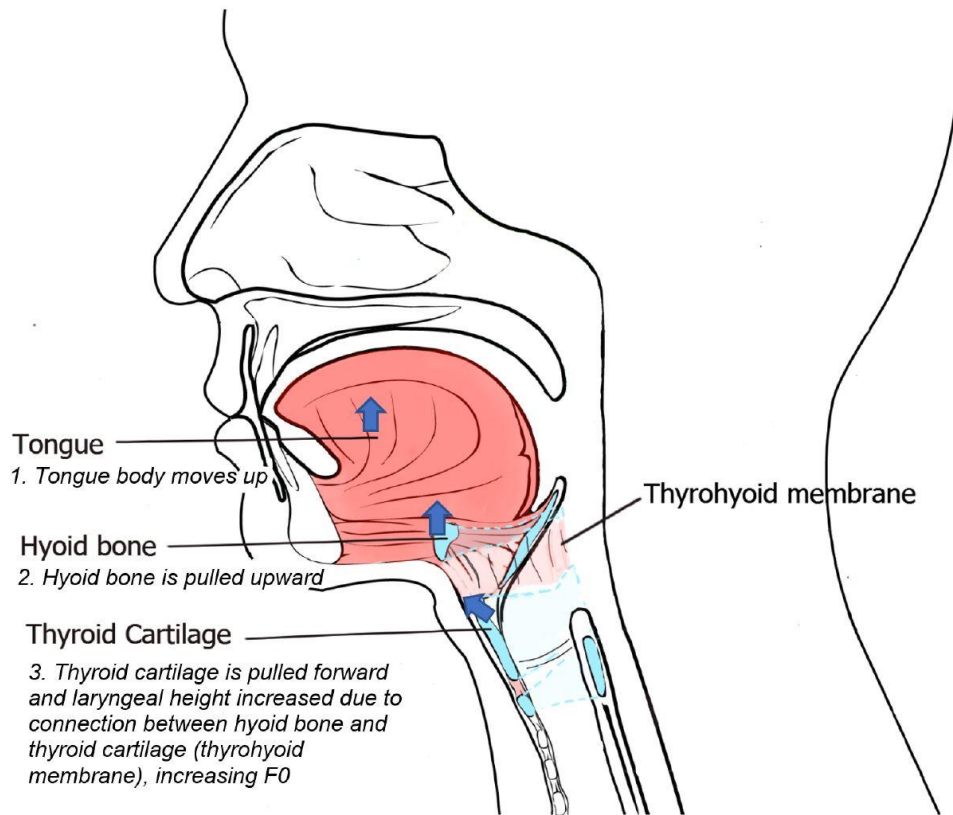
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**Figure 1.** Schematic of the tongue-pull hypothesis with arrows indicating direction

of articulator movement. As tongue body moves upward (1), the tongue pulls on the hyoid bone (2), causing the thyroid cartilage to move forward (increased laryngeal height) (3), which leads to increased F0 (Color online).

Image source: OpenStax AnatPhys fig.22.6 - Divisions of the Pharynx -

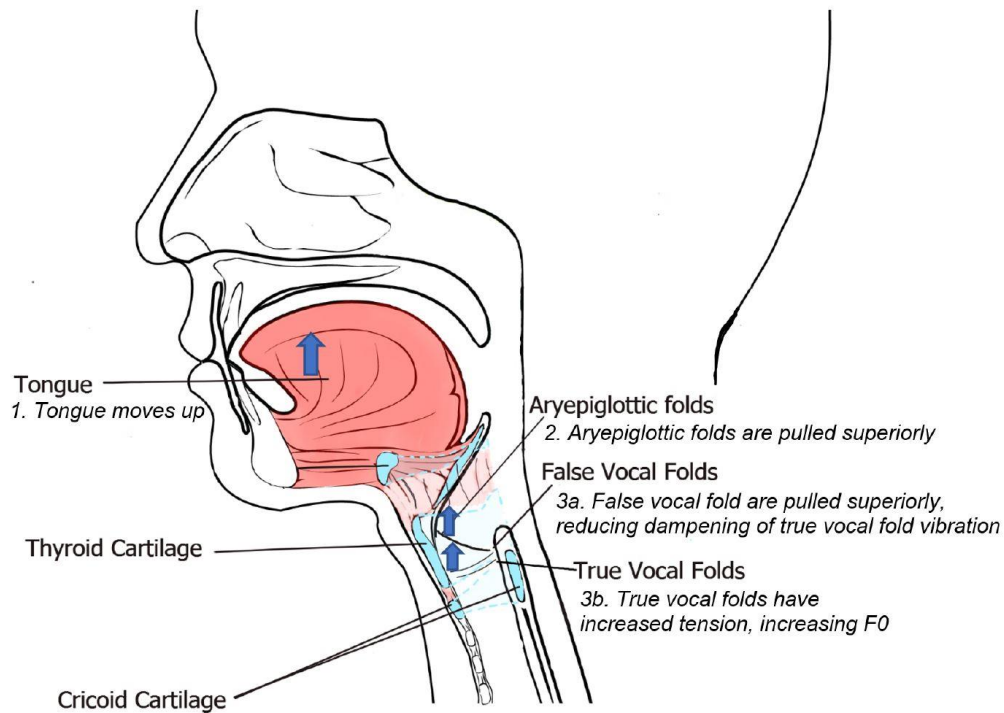
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40 Evidence for the tongue-pull hypothesis has been inconsistent. Since the tongue-pull  
41 hypothesis assumes that the high tongue position causes laryngeal raising, it predicts that a high  
42 laryngeal position correlates with high F0. Generally, laryngeal height has been found to correlate  
43 with fundamental frequency (Hong, Hong, Jun & Hwang, 2015); however, this is not always the  
44 case. Sapir's (1978) study of laryngeal height during vowel production found that English speakers  
45 tended to produce high-vowel /u/ with a lower laryngeal height compared to low-vowel /a/, even  
46 though /u/ had a higher F0 than /a/ (Sapir, 1989). Based on Sapir's (1989) findings regarding  
47 laryngeal height during vowel production, we would expect /a/ to have a higher F0 than /u/;  
48 however, data from Whalen and Levitt (1995) and Sapir (1989) suggest the opposite pattern holds:  
49 /u/ has a higher F0 than /a/ despite having a lower laryngeal position. It is also important to note  
50 that an increase in F0 is not caused exclusively by larynx raising. Volitional F0 raising is primarily  
51 caused by activation of the cricothyroid, the muscle located between thyroid and cricoid cartilage  
52 (refer to Figure 2 for identification of thyroid and cricoid cartilage). Contraction of this muscle  
53 causes the thyroid cartilage to tilt forward, lengthening the vocal folds and increasing F0. F0 can also  
54 raise due to an increase in vocal fold stiffness and increased airflow (Zhang, 2016). Therefore, it is  
55 not surprising that laryngeal height alone does not explain F0 despite finding correlation between  
56 laryngeal height and fundamental frequency in other tasks (e.g., pitch glides, singing).

57 Ohala (1978) provides another version of the tongue-pull hypothesis. Rather than relating  
58 tongue position to laryngeal height, he proposes that the high tongue position of high vowels leads  
59 to a pulling of the aryepiglottic folds, which then pull the ventricular ("false") vocal folds away from  
60 the true vocal folds; this in turn increases vertical tension of the true vocal folds. The vertical  
61 movement of the false vocal folds reduces the damping effect of the false vocal folds on the true  
62 vocal folds; together with the increase of vertical tension of the true vocal folds, this leads to the  
63 increased F0 in high vowels (Ohala, 1978; Ohala & Eukel, 1987). This is schematized in Figure 2.



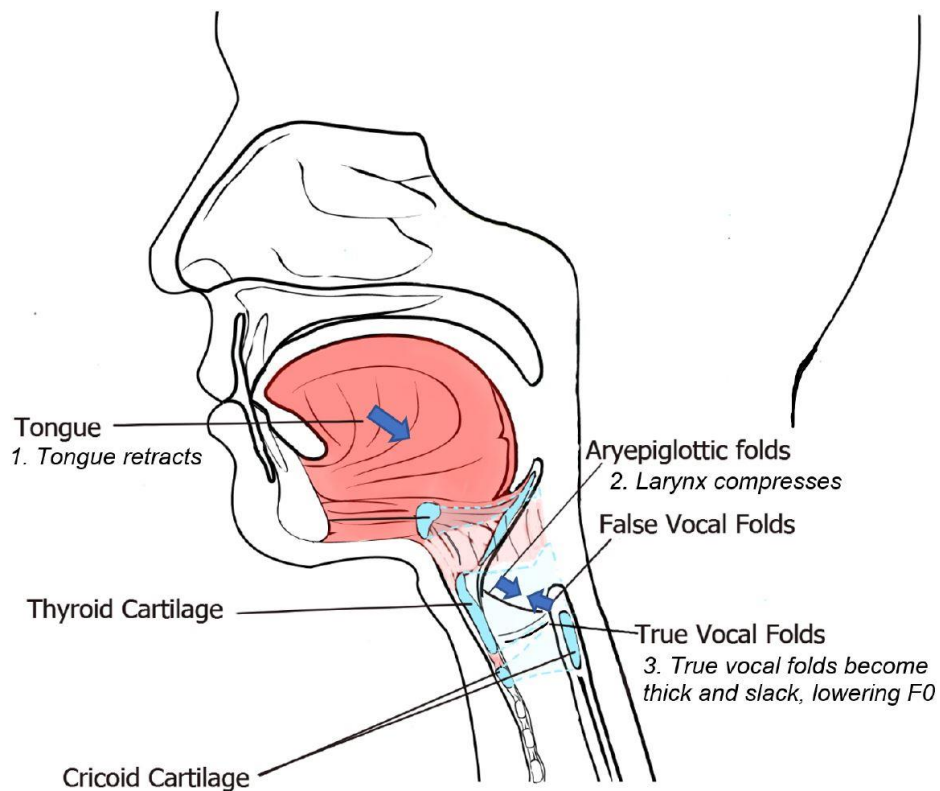
**Figure 2.** Schematic of revised tongue-pull hypothesis with arrows indicating direction of articulator movement. Tongue moves upward (1), pulling on the aryepiglottic folds (2), which causes the false vocal folds to move away from the true vocal folds (3a), reducing dampening of true vocal fold vibration and (3b) increasing vertical tension of the true vocal folds (Color online). Image source: OpenStax AnatPhys fig.22.6 - Divisions of the Pharynx - English labels" at AnatomyTOOL.org by OpenStax, license: Creative Commons Attribution. Source: book 'Anatomy and Physiology', <https://openstax.org/details/books/anatomy-and-physiology>. Adapted by B. Ramos for this paper.

64 To test the tongue-pull hypothesis, Ohala and Eukel (1987) had participants produce 6  
 65 English vowels with bite blocks of varying widths; under these conditions, it was hypothesized that  
 66 the size of the bite block would be correlated with the magnitude of the F0 effect, reflecting the

67 increased tongue-pull for bite blocks of greater width. They found that found high vowels had a  
68 higher pitch than low and mid vowels in all conditions, with low and mid vowels pairing together.  
69 The magnitude of the effect increased only with the largest bite block (10 mm). These findings were  
70 interpreted as support for the revised tongue-pull hypothesis.

71 This hypothesis has several underlying assumptions, some of which remain untested. The  
72 first is that IF0 is due to passive laryngeal movement that is an automatic consequence of tongue  
73 movement for vowel articulation. Evidence from Ohala and Eukel's (1987) bite block study as well  
74 as findings in infant speech (Whalen, Levitt, Hsiao & Smorodinsky, 1995) and speech of Deaf adults  
75 (Bush, 1981) support this assumption. Additionally, Whalen et al. (1998) completed an EMG study  
76 of cricothyroid activity during vowel production. If IF0 were volitional (i.e., not passive), they would  
77 expect to see increased cricothyroid activity for high vowels (high F0) compared to low vowels (low  
78 F0). Instead, the authors found that cricothyroid activation did not follow the F0 pattern found in  
79 vowels. They interpreted their results as support of the hypothesis that IF0 is a result of passive  
80 laryngeal movement and an automatic consequence of vowel articulation since there was no  
81 evidence of volitional muscle contraction leading to changes in F0 (Whalen, Gick, Kumada &  
82 Honda, 1998). Second, Ohala's tongue-pull hypothesis assumes that the false vocal folds dampen  
83 true vocal fold vibration in a neutral (i.e., mid) tongue position and that vocal fold dampening lowers  
84 F0; therefore, the tongue pulling the false vocal folds vertically allows for increased F0 by reducing  
85 the dampening effect. There is some research to bear on these assumptions: Bailly et al. (2014)  
86 found that medial compression of the false vocal folds (without false fold vibration) leads to reduced  
87 fundamental frequency (Bailly, Bernardoni, Muller, Rohlf's & Hess, 2014). This suggests that the  
88 false vocal folds can, indeed, dampen true vocal fold vibration, as predicted by Ohala. However,  
89 Bailly's (2014) results pertained to medial compression (adduction) of the false vocal folds, not  
90 vertical distance between the true vocal folds and false vocal folds. Still, it stands to reason that if

91 medial compression of the false vocal folds leads to reduced F<sub>0</sub>, then reduction in ventricular space  
92 would be expected to impede true vocal fold vibration as well. Whether vertical tongue movement  
93 leads to increased ventricular space is an open question. Finally, the hypothesis also assumes that  
94 vertical tongue motion leads to increased vertical tension of the true vocal folds, though it is unclear  
95 what is meant by *vertical* vocal fold tension as opposed to longitudinal vocal fold tension (e.g., via



**Figure 3.** Schematic of tongue-compression hypothesis. Tongue retraction (1) causes laryngeal compression in anterior-posterior dimension (2). This causes vocal folds to become thick and slack (3), reducing F<sub>0</sub> (Color online). Image source: OpenStax AnatPhys fig.22.6 - Divisions of the Pharynx - English labels" at AnatomyTOOL.org by OpenStax, license: Creative Commons Attribution. Source: book 'Anatomy and Physiology, <https://openstax.org/details/books/anatomy-and-physiology>. Adapted by B. Ramos for this paper.



96 thyroarytenoid contraction), and the assumption that vertical fold tension is increased with tongue  
97 raising remains to be validated.

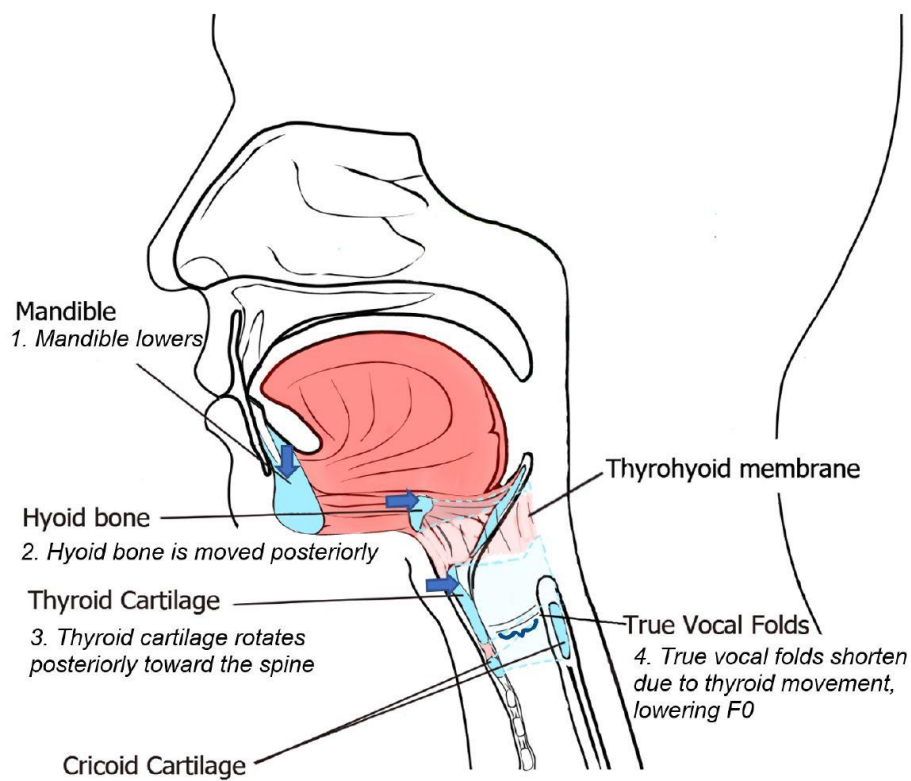
98         In contrast to the tongue-pull hypothesis, the TONGUE-COMPRESSION HYPOTHESIS proposes  
99 that the tongue lowering and retraction during the production of low vowels leads to anterior-  
100 posterior pharyngeal compression, which causes the vocal folds to thicken and slacken, leading to  
101 the lowered F0 of low vowels (Ewan, 1979). This is schematized in Figure 3. Like the tongue-pull  
102 hypothesis, the tongue-compression hypothesis assumes that IF0 is an automatic consequence of  
103 vowel articulation. In his study, Ewan (1979) demonstrated that vowels following Arabic pharyngeal  
104 approximants had lowered F0 compared to other Arabic consonants; he proposes that this is due to  
105 the compression of the tongue for the pharyngeal consonants causing the F0 perturbation on the  
106 following vowel. However, studies of pharyngeal consonants suggest that the primary articulatory  
107 motion is epiglottal retraction, not tongue lowering (Laufer & Condax, 1979; Esling, 1996). Laufer &  
108 Condax (1979) also found that the vowel /a/ in Hebrew is produced with epiglottal retraction as the  
109 primary articulation, similar to pharyngeal consonants. Nevertheless, the predictions of the tongue-  
110 compression hypothesis remain unchanged whether the epiglottis retracts as the primary articulation  
111 for low vowels or as a consequence of tongue retraction, because both scenarios are hypothesized to  
112 have the same effect of laryngeal compression. Under the tongue-compression hypothesis, high and  
113 mid vowels would be expected to pair together given the lack of tongue compression during  
114 production for those vowels, and /a/ would have the lowest fundamental frequency.

115         The tongue-compression hypothesis remains largely untested in the literature, though results  
116 from some studies can be used to support the claim that tongue compression leads to lowering of  
117 F0. In an MRI study of laryngeal configuration during vowel production, Moisik et al. (2019) found  
118 that tongue retraction led to laryngeal compaction and that low vowels are more likely to have  
119 creaky voice quality as a result of this unless compensatory adjustment is made (Moisik, Esling,

120 Crevier-Buchman & Halimi, 2019). Similarly, Lancia and Grawunder (2014) found that /a/ was  
121 more prone to laryngealization compared to a more fronted vowel, such as /i/, due to tongue  
122 retraction required for /a/ (Lancia & Grawunder, 2014). This is consistent with the model of the  
123 vowel space provided by Esling (2005), who claims that low-back vowels are produced by the  
124 *laryngeal articulator*, that is, vowels such as /a/ can be considered pharyngeal vowels given the  
125 relationship between tongue retraction and the larynx. In contrast, other vowels do not involve the  
126 laryngeal articulator as a primary articulator. Esling adds that vowels involving tongue retraction are  
127 more susceptible to increased laryngeal constriction. With this view, the findings presented in Esling  
128 2005, Lancia and Grawunder 2014, and Moisik et al. 2019 partly support Ewan's tongue-  
129 compression hypothesis that a retracted tongue position leads to low F0, under the assumption that  
130 increased laryngeal constriction or creakiness for low back vowels is correlated with decreased F0.  
131 The support for the tongue-compression hypothesis is only partial, however. Recall that Ewan's  
132 tongue-compression hypothesis also stated that tongue retraction leads to vocal fold slackening. Yet  
133 findings from the studies cited here suggest that tongue retraction causes decreased F0 by *increasing*  
134 laryngeal constriction, not by vocal fold *slackening*, as Ewan predicts.

135 In addition to movement of the tongue, there is some evidence that jaw height also  
136 influences IF0, though the results can vary by speaker (Zawadzki & Gilbert, 1989; Pape &  
137 Mooshammer, 2006; Chen et al., 2019). Zawadzki and Gilbert (1989) found that jaw height was a  
138 better predictor of vowel F0 than tongue height for 3 of 5 participants in their study of 11 American  
139 English vowels. Similarly, Pape and Mooshammer (2006) found both jaw height and tongue height  
140 to be important articulatory movements in predicting F0, though neither articulator explained F0  
141 differences between German tense and lax vowels in their study. Recently, Chen et al. (2019)  
142 completed a study of IF0 in 8 English vowels using x-ray microbeam data of 40 speakers from two  
143 databases. While tongue height and F1 show high correlations with F0, their findings suggest that

144 jaw height contributes more to IF0 than tongue height. The authors state that the muscular chain  
 145 between the mandible, hyoid, and larynx may be behind the connection between jaw height and  
 146 changes in F0, though they do not offer a predicted sequence of actions responsible for IF0.  
 147 Erickson et al. (2017) propose that jaw opening leads to backward translation of the hyoid bone.  
 148 The hyoid bone is connected to the thyroid cartilage through thyrohyoid muscles; when the hyoid  
 149 bone is moved back, they propose that the thyroid cartilage is also forced to rotate posteriorly



**Figure 4.** Schematic of jaw height hypothesis. As jaw lowers (1), hyoid is pushed posteriorly (2). The thyroid is then rotated posteriorly (3) due to connection with the hyoid bone. Thyroid movement causes the vocal folds to shorten (4), reducing F0 (Color online). Image source: OpenStax

AnatPhys fig.22.6 - Divisions of the Pharynx - English labels" at

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150 toward the spine and away from the cricoid cartilage, inducing vocal fold shortening, which lowers  
151 F0 (Erickson, Honda, and Kawahara, 2017) (Figure 4).

152         The hypothesized link between jaw movement and IF0 remains untested in the literature.  
153 Interestingly, in both Zawadzki & Gilbert (1989) and Chen et al. (2019), /a/ and /ɔ/ seem to have  
154 lower F0 values than expected given their jaw height alone when compared to vowels of similar jaw  
155 height. Though neither study compared F0 of front and back low vowels, the results would be  
156 compatible with the tongue compression hypothesis and suggest that IF0 has multiple sources rather  
157 than a link between the vocal folds and a single articulator, such as the jaw.

158         Unlike the previous hypotheses, Sapir (1989) proposes instead that the laryngeal  
159 configuration during vowel production is volitional and forms part of the articulatory goal for the  
160 vowel to reach its acoustic target. His HORIZONTAL-VERTICAL PULL HYPOTHESIS proposes that IF0  
161 is due to extrinsic laryngeal muscle activation leading to changes in laryngeal configuration during  
162 vowel production (Sapir, 1989). According to his account, extrinsic laryngeal muscle activation, not  
163 tongue movement, causes changes in laryngeal and pharyngeal configurations in order to reach an  
164 acoustic target for vowel quality (i.e., F1 and F2 targets). The laryngeal configurations also have  
165 consequences for fundamental frequency. As summarized in Sapir (1989), during production of /i/,  
166 contraction of the suprahyoid muscles cause the hyoid to move forward and superiorly, which leads  
167 to increased pharyngeal space in the anterior-posterior dimension and lowers F1 for /i/. This same  
168 movement causes increased true vocal fold tension, which increases F0. Since low vowels do not  
169 target a low F1, there is less activation in the suprahyoid muscles, and the vocal fold tension is not  
170 altered, leading to a lower F0 for low vowels compared to high vowels. This hypothesis remains  
171 largely untested outside of Sapir's own studies (Sapir 1989), though evidence from Hong et al. (2015)  
172 suggests that extrinsic laryngeal muscles are involved in pitch control. The results of Hong et al.  
173 (2015) are based on their participants' productions of /a/ at continuously increasing pitch from

174 minimum to maximum. It is unclear if the same extrinsic laryngeal muscle activation found under  
 175 these conditions apply to the patterns found in speech, where F0 varies within a smaller range. A  
 176 summary of articulatory accounts of IF0 are presented in Table I.

177

178 **TABLE I.** Summary of articulatory explanations of IF0

Hypothesis	Mechanism
Tongue-pull (Ohala)	High tongue → False folds move away from true folds + increased vertical tension of true vocal folds → Increased F0
Tongue-compression (Ewan)	Retracted tongue → Laryngeal compression → Thick and slack vocal folds → Reduced F0
Jaw height (Erickson et al.)	Jaw lowers → Hyoid moves posteriorly → Thyroid rotates posteriorly → Vocal folds shorten → Reduced F0
Horizontal-vertical pull (Sapir)	Phoneme-specific extrinsic laryngeal muscle activation leads to changes in F0

179

180 Non-articulatory explanations of IF0 have also been proposed, including the acoustic  
 181 coupling hypothesis (Flanagan & Landgraf, 1968) and the auditory enhancement hypothesis (Diehl,  
 182 1991). The auditory enhancement hypothesis proposes that phonetic features of consonants and  
 183 vowels covary to enhance the auditory feature of that segment. With respect to IF0, the hypothesis  
 184 suggests that changes in IF0 are due to purposeful enhancement of vowels to make contrasts  
 185 perceptually distinct (Diehl, 1991). Whalen and Levitt (1995) point out that the near universality of  
 186 IF0 has been used as an argument against the hypothesis (Whalen & Levitt, 1995). If the difference  
 187 in F0 is driven by linguistic factors and is not merely an automatic consequence of articulation, we  
 188 would predict that some languages do not show the IF0 effect. Moreover, the effect has been  
 189 demonstrated in infant babbling prior to the onset of meaningful speech production (Whalen et al.,

190 1995) and in the speech of Deaf adults (Bush, 1981), suggesting that the F0 of vowels is not due to  
191 auditory enhancement of the vowel contrasts. However, it is important to note that evidence against  
192 the hypothesis as the *cause* of the cross-linguistic phenomenon does not rule out the possibility that  
193 IF0 can be exploited for language-specific enhancement. In fact, Van Hoof et al. (2011) found that  
194 Dutch, with a 12-vowel system, had a greater IF0 effect than Moroccan Arabic, with only 3 vowels.  
195 The authors argue that languages with a more crowded vowel space might exploit IF0 to enhance  
196 the differences between high and low vowel contrasts (Van Hoof et al., 2011). Additionally, studies  
197 have found that the effect size of IF0 varies by sociolinguistic factors such as regional variety  
198 (Jacewicz & Fox, 2015) or ethnolect (Holt, Jacewicz, & Fox, 2019). Finally, IF0 has also been found  
199 in esophageal speech, where no laryngeal structures are present (Gandour & Weinberg, 1980), which  
200 could be taken as evidence in support of auditory enhancement. In sum, evidence suggests that  
201 auditory enhancement may be a factor in the differences in magnitude of the IF0 effect between  
202 languages and language varieties. If IF0 were entirely dependent on tongue position or jaw height,  
203 then we would expect similar effect sizes cross-linguistically. The fact that we see such variations  
204 suggests that volitional control may play a role in IF0 either as auditory enhancement or as part of  
205 the articulatory goal as proposed by the horizontal-vertical pull hypothesis.

206 Finally, the acoustic coupling hypothesis proposes that the increased F0 of high vowels is an  
207 effect of the first formant on the fundamental frequency (Flanagan & Landgraf, 1968). The  
208 hypothesis states that when the vocal folds vibrate at a rate that is near the resonant frequency of F1,  
209 acoustic coupling between the vocal tract and vocal folds occurs, such that F0 increases due to  
210 coupling with F1. Since high vowels have a low F1 that can be near to F0, acoustic coupling occurs  
211 for high vowels, causing an increase in F0 for high vowels. Ewan (1979) tested the acoustic coupling  
212 hypothesis, comparing nasal /m/ in two phonetic environments, /ama/ and /umu/. Despite the  
213 first nasal formant being low in both environments, the F0 of /m/ differed depending on the vowel

214 context. The nasal in /ama/ had lower F0 than the nasal in /umu/. In both cases, the F0 of the  
215 intervocalic /m/ was consistent with the vowel context and did not appear to be influenced by the  
216 first nasal formant (Ewan, 1979). Guérin and Boë (1980) also found evidence that acoustic coupling  
217 cannot account for IF0. Using a two-mass voice source model to simulate the effect of vocal tract  
218 changes on F0, the authors found that F0 was positively correlated with F1. This is the opposite  
219 pattern found in natural speech as it predicts increased F0 with low vowels, not high vowels (Guérin  
220 & Boë, 1980). Due to the evidence suggesting acoustic coupling does not account for IF0, this  
221 hypothesis has generally been abandoned in the literature as a possible explanation.

## 222 b. Current Study

223 The cause of IF0 remains unknown, though the summary of previous research presented above  
224 suggests IF0 may have multiple sources. The purpose of the present study is to investigate the  
225 predictions of articulatory hypotheses by comparing high, mid, and low vowels in Amharic using  
226 acoustic data and electroglottography (EGG) to examine the relationship between tongue position,  
227 F0, and Contact Quotient (CQ), a measure of vocal fold contact area during vibration that is  
228 thought to relate to vocal fold tension (Herbst, 2020). Amharic is a Semitic language spoken in  
229 Ethiopia and by a large diaspora community. Its seven-vowel inventory allows for a detailed  
230 comparison of IF0 along the dimensions of both height and frontness as it contrasts front, central,

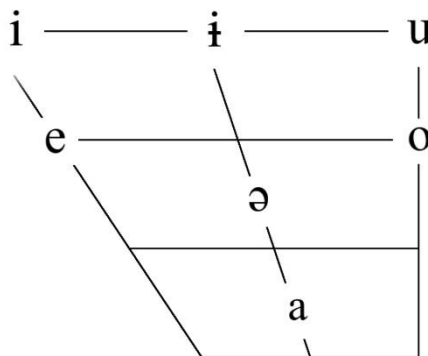


Figure 5. Amharic vowel inventory

231 and back vowels among high and mid vowels in addition to a single low vowel, /a/ (Figure 5)  
232 (Hayward & Hayward, 1992). Although previous research by Ado (2011) on Amharic vowels did not  
233 find a statistically significant difference between F0 of high vowel /i/ and low vowel /a/, the  
234 difference in F0 values for /i/ and /a/ was consistent with effect sizes found in Whalen and Levitt  
235 (1995). Previous studies examining the cause of IF0 have relied heavily on data from European  
236 languages (e.g., English or German). This study contributes acoustic and articulatory data from an  
237 under-represented language to address a long-standing question in phonetics.

238 The predictions of each hypothesis are as follows (Table II): under the tongue-pull hypothesis,  
239 high vowels in Amharic should have the highest F0, followed by mid and low vowels, which should  
240 pair together. Conversely, under the tongue-compression hypothesis, high and mid vowels would be  
241 expected to pair together given the lack of tongue retraction required for those vowels. However,  
242 since we know from the recent body of literature on IF0 in vowels that IF0 is gradient, it is likely  
243 that both tongue pull and tongue compression would be responsible for IF0. In this case, high, mid,  
244 and low vowels should all differ in F0. Additionally, since both hypotheses ultimately relate the  
245 cause of IF0 to differences in vocal fold tension, differences in contact quotient would be expected  
246 to follow the predicted F0 patterns. Since testing the horizontal-vertical pull hypothesis requires  
247 measuring muscle activation (e.g., with electromyography), this hypothesis is not tested directly in  
248 this study. Similarly, the current study does not directly assess the contribution of jaw height to F0,  
249 but predictions based on these hypotheses are provided in Table II.

250 Three of the articulatory hypotheses (tongue-pull, tongue-compress, and jaw height) assume that  
251 IF0 is an automatic consequence of articulator movement. That is, as the tongue or jaw moves, it  
252 induces changes on the larynx leading to F0 perturbations. It follows, then, that these changes  
253 should occur regardless of vowel category. For example, under the tongue-pull hypothesis, an /i/  
254 that is produced with a higher tongue position should have a higher F0 and more tense vocal folds



255 than an /i/ produced with a lower tongue position. Therefore, predictions are also provided for F0  
 256 and CQ based on F1 and F2, independent of vowel category in Table III.

257

258 **TABLE II.** Summary of F0 and CQ predictions for vowel categories based on articulatory  
 259 explanations of IF0.

Hypothesis	Vowel category predictions for F0 and CQ
Tongue-pull (Ohala)	/i, ī, u/ > /e, ə, o, a/
Tongue-compression (Ewan)	/i, ī, u, e, ə, o/ > /a/
Jaw height (Erickson et al.)	/i, ī, u/ > /e, ə, o/ > /a/; no CQ predictions
Horizontal-vertical pull (Sapir)	Phoneme-specific extrinsic laryngeal muscle activation; F0 and CQ may vary by vowel category

260

261 **TABLE III.** Summary of F0 and CQ predictions as a factor of F1/F2 based on articulatory  
 262 explanations of IF0.

Hypothesis	F1/F2 category predictions for F0 and CQ
Tongue-pull (Ohala)	F0: As F1 increases, F0 decreases; no F2 effect CQ: Increased for low F1 values (increased tension)
Tongue-compression (Ewan)	F0: Decreases with high F1 and low F2 CQ: Decreases under same condition (reduced tension)
Jaw height (Erickson et al.)	F0: As F1 increases, F0 decreases; no F2 effect CQ: No predictions
Horizontal-vertical pull (Sapir)	N/A; F0 is dependent on vowel category

263

264 **II. METHODS**

265 **a. Participants**

266 Participants included 8 native speakers of Amharic (5 men and 3 women) living in the San  
267 Diego area but who were originally from Addis Ababa, Ethiopia (Table IV). All participants were L2  
268 English speakers and speak Amharic as the primary language in their home. Recordings from  
269 Speaker 1 and Speaker 7 were excluded due to noisy EGG signals that resulted in inability to  
270 calculate contact quotient. Individuals with a history of speech, language, hearing, or neurological  
271 disorders were excluded from participation, as were those who were not literate in Amharic, because  
272 the study protocol required reading the Amharic orthography.

273

274 **TABLE IV.** Participant demographics. Years in the US for Speaker 1 is unknown.

Speaker	Sex	Age	Years in US	Other Languages
1	F	45	NA	English, Arabic
2	M	44	17	English
3	M	36	7	English
4	M	36	13	English
5	F	19	5	English
6	M	19	5	English
7	F	19	13	English
8	M	19	10	English

275

276 **c. Stimuli**

277 Stimuli consisted of /tVtV/ frames, where the first vowel was the target Amharic phoneme /i,  
278 i, u, e, ə, o, a/ and the second vowel alternated between six Amharic vowels /i, u, e, ə, o, a/. The  
279 sixth order vowel, /i/ was not included as a second vowel in the /tVtV/ frame as it cannot occur  
280 word-finally. All permutations resulted in nonce words, which were reviewed by a native Amharic  
281 speaker prior to use in the study to ensure that none of the words were in fact real words. Nonce  
282 words were chosen for maximal control of the phonetic environment of the target vowel because a  
283 minimal set of real words with all vowels in the same CVCV context could not be found. This was  
284 important because stress and consonant voicing are known to cause F0 perturbations on the  
285 adjacent vowel (Kirby & Ladd, 2016, 2015; Gordon & Roettger, 2017). Since the effect size of IF0  
286 can be as small as 5 Hz (Whalen & Levitt, 1995), F0 perturbations due to differences in the phonetic  
287 environment could result in amplification or masking of an effect. Stimuli were embedded in a  
288 carrier phrase, /jɪh k'al \_\_\_\_\_ nəw / (*This word is \_\_\_\_\_*) to control for phrasal effects on F0. The  
289 complete list of stimulus words can be found in the Appendix.

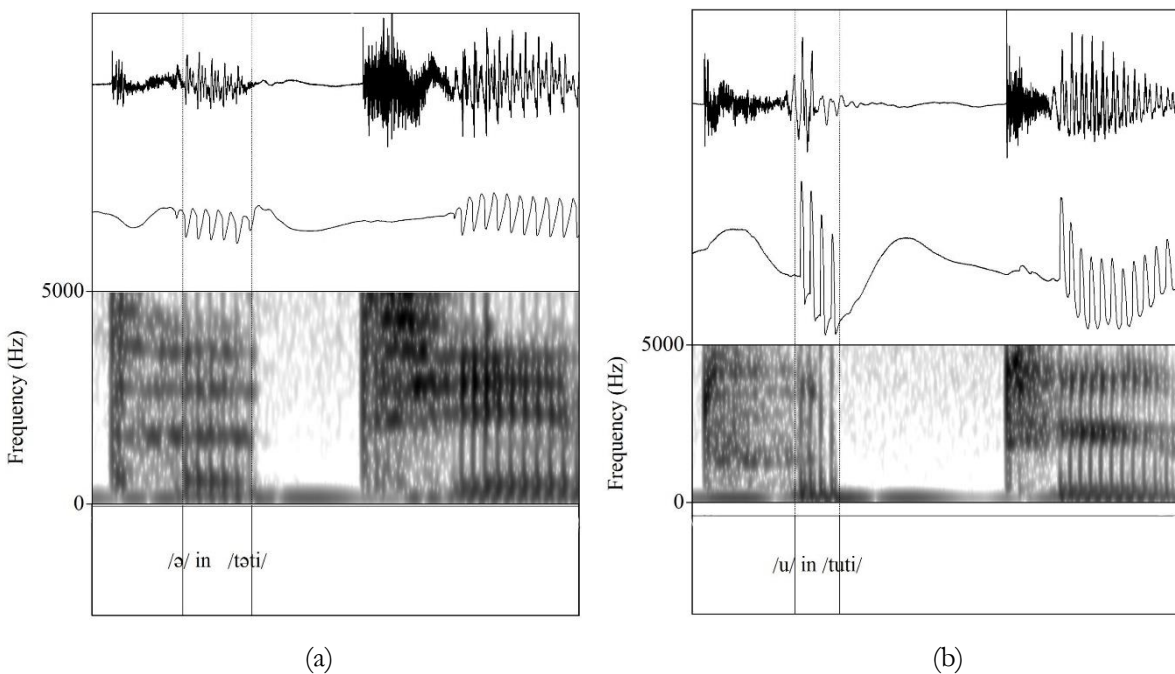
290 **d. Task**

291 Stimulus sentences were presented one at a time on a screen using Amharic orthography, which  
292 is an alphasyllabary, where one character represents a CV syllable. Participants were instructed to  
293 read each sentence aloud at a comfortable rate and loudness and to self-advance to the next sentence  
294 at their own pace. Target words within the carrier sentences were repeated five times for each  
295 participant, with sentences presented in the same order each time. A sixth recording was collected  
296 for Speaker 6 due to frequent reading disfluencies during the first recording. There were 30  
297 repetitions of each target vowel, yielding 210 stimulus items per participant. The author was present  
298 in the recording booth at the time of the recording to ensure participants read all stimulus items. If a  
299 word was read disfluently or in error, the speaker was asked to repeat it, and the second production

300 was used for analysis. Reading was judged to be disfluent if the speaker produced repetition,  
301 prolongation, or blocking of segments. In the case of Speaker 6, who had a higher rate of  
302 disfluencies in reading compared to other participants, 88% of his recordings were segmented for  
303 analysis (compared to 100-98% for other participants), though the overall number of tokens  
304 analyzed was equal to other participants given the additional recording.

### 305 e. Instrumentation and segmentation

306 Recordings were done in a sound-attenuated booth. Audio data were recorded at a 44.1 kHz  
307 sampling rate and 16-bit quantization rate using a Shure SM10A head-mounted microphone. The  
308 electroglottography (EGG) signal was recorded using an EG2-PCX electroglottograph from Glottal



**Figure 6.** Example of vowel segmentation. The top waveform in both images corresponds to the audio signal and the second waveform corresponds to EGG signal. 6a on the left shows an example of segmentation of vowel /ə/ in /təti/ with boundaries at the beginning and end of clear formants. 6b on the right shows segmentation of vowel /u/ in /tuti/ with boundaries beyond the beginning and end of clear formants to capture all glottal pulses in EGG signal.

309 Enterprises. EGG and audio signals were collected simultaneously using a Focusrite Scarlett 6i6 2nd  
310 generation pre-amplifier and digitizer. EGG measures vocal fold contact area during vocal fold  
311 vibration (Herbst, 2020). Contact quotient (CQ) is a measure of the duration vocal fold contact in  
312 relation to the total duration of the vibratory cycle. An increase in contact quotient should be  
313 interpreted as increased vocal fold adduction (e.g., through general laryngeal and pharyngeal  
314 constriction) or increased contraction of the thyroarytenoid--the muscle responsible for increased  
315 true vocal fold tension (Herbst, 2020). Therefore, CQ can be used to examine differences in tension  
316 proposed by the tongue-pull and tongue-compression hypotheses.

317 Audio and EGG data were segmented in Praat (Boersma & Weenink, 2020). Target vowels were  
318 segmented from the onset of clear formants to the end of clear formants (Figure 6(a)). In cases of  
319 short vowels consisting of three or fewer glottal pulses in the EGG waveform, the boundary for  
320 vowel segments were extended just beyond the beginning and end of glottal pulses regardless of  
321 formant onset and offset in the spectrogram. This was done in order to capture all EGG pulses for  
322 analysis (Figure 6(b)).

323 Independent variables include phonemic vowel category, F1, and F2. Dependent variables  
324 include F0 and contact quotient. Mean F0, F1, and F2 were collected automatically every millisecond  
325 over the duration of the segmented vowel using the STRAIGHT algorithm for F0 (Kawahara, de  
326 Cheveigné & Patterson, 1998) and the Snack algorithm (Sjölander, 2004) for F1/F2 in VoiceSauce  
327 (Shue, Keating, Vicenik & Yu, 2011). F1 and F2 were corrected for vowels that were judged to be  
328 mistracked based on values that fell outside of the normal range for the vowel category (e.g., an of  
329 F2 of 2000+ Hz for /u/). To correct mistracked values, F1 and F2 were first re-collected  
330 automatically in VoiceSauce using the Praat settings. If those values were also judged to be  
331 mistracked by the same criteria, values were corrected manually in Praat. EGG waveforms were  
332 analyzed using EGGworks. Mean CQ was collected over the duration of the segmented vowel using

333 the hybrid method (Howard, 1995). The hybrid method uses two different methods for defining  
334 vocal fold contacting and decontacting. Onset of vocal fold closure is taken to be the peak in the  
335 derivative of the EGG signal. The end of contact was determined using a 25% threshold. Contact  
336 quotient values below 0.2 and above 0.8 were excluded, as these values are believed to be outside of  
337 the range of normal voicing. Mean values were calculated for all measures from the middle one third  
338 of each vowel for analysis. This was done to further control for co-articulatory effects of the  
339 adjacent consonants on F0, F1, or F2 of the vowel. F1, F2, F0, and CQ values were z-scored for  
340 each speaker, and values greater than 2.5 standard deviations away from each speaker's mean were  
341 excluded as these represented outliers.

#### 342 f. Analysis

343 The data were analyzed in R (version 3.6.2, R Core Team, 2019) using raw values from the  
344 middle one-third of each vowel. Raw data for each token were z-scored for each speaker and values  
345 falling greater than 2.5 standard deviations away from the mean were excluded as these were  
346 believed to represent outliers. Linear mixed effects analyses were performed using *lme4* (Bates,  
347 Machler, Bolker, & Walker, 2015) to compare the effect of vowel category on F0 and CQ. The  
348 contribution of duration was also assessed as a fixed effect to account for microprosody, as shorter  
349 vowels might be expected to exhibit F0 perturbations in the middle one third of the vowel, while  
350 longer vowels (such as /a/) would not. Nested model comparison was used to assess the  
351 contribution of each fixed effect to the model. Post-hoc pairwise comparison with Tukey alpha  
352 correction was performed to compare F0 between vowel categories using the *lsmeans* package  
353 (Length, 2016). Additionally, since tongue position is predicted to have an effect on F0 and CQ as a  
354 result of mechanical coupling, independent of vowel category, linear mixed effects analyses were  
355 done to investigate the relationship between F0, F1 and F2 (acoustic correlates of tongue height and  
356 backness, respectively) as well as between CQ, F1 and F2. F1 and F2 were treated fixed effects; their

357 interaction was also included. Participant was entered as random intercepts in all models to account  
 358 for speaker variability. Nested model comparison was used to examine the factors that predicted F0  
 359 and CQ.

### 360 III. RESULTS

#### 361 a. Fundamental Frequency

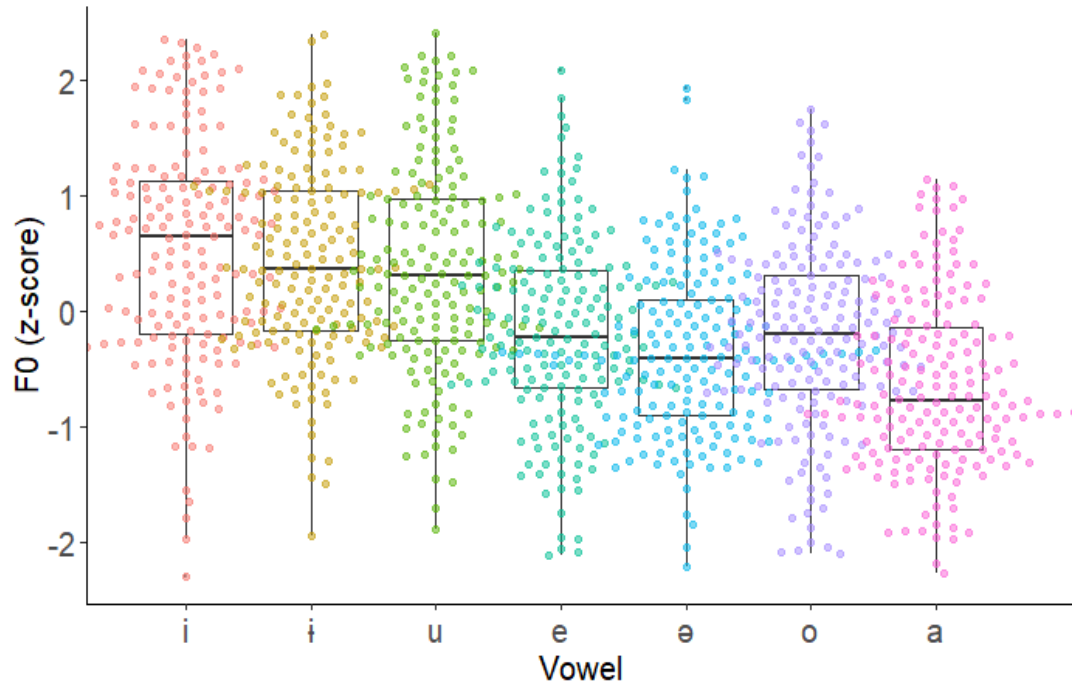
362 **TABLE V.** Mean and standard deviation of F0, CQ, F1, and F2 for each vowel category.

Vowel	F0 Hz (sd)	CQ Hz (sd)	F1 Hz (sd)	F2 Hz (sd)	Duration ms (sd)
i	155.15 (43.66)	0.55 (0.08)	341.68 (59.08)	2246.27 (235.38)	90.04 (26.96)
ɪ	152.99 (40.56)	0.55 (0.09)	432.12 (44.86)	1770.81 (192.99)	96.31 (39.05)
u	152.15 (45.17)	0.54 (0.09)	397.26 (115.23)	1322.86 (197.7)	88.7 (30.11)
e	146.48 (40.39)	0.54 (0.07)	437.5 (41.64)	2145.02 (252.16)	117.4 (31.46)
ə	144.49 (40.99)	0.54 (0.07)	598.18 (83.23)	1664.41 (187.7)	106.27 (34.6)
o	146.97 (40.97)	0.55 (0.07)	492.43 (95.94)	1110.4 (352.45)	115.08 (28.56)
a	141.03 (38.98)	0.55 (0.07)	764.33 (110.7)	1371.89 (147.56)	126.3 (26.85)

363  
 364 The means and standard deviations of fundamental frequency (F0), contact quotient (CQ),  
 365 F1, F2, and duration for each vowel category are provided in Table V. F0 is highest for /i/ and  
 366 lowest for /a/, consistent with findings of previous studies in IF0 (Whalen & Levitt, 1995; Ado,  
 367 2011). Overall, high vowels have the highest mean F0, followed by mid vowels, and finally /a/,  
 368 though there are within-height differences between high and mid vowels. CQ results will be  
 369 discussed in section 3.2.

370 A linear mixed effects analysis was performed to assess the effect of each factor (vowel, F1,  
 371 F2) on F0. Significance of a targeted factor was assessed with nested model comparison between a  
 372 model that included the targeted factor to a baseline one where that factor was removed from the

373 model, but whose random error structure was identical. This was done using the *anova()* function in  
 374 R (R Core Team, 2019) There was a significant main effect of *vowel quality* on F0 ( $X^2(1) = 271.33$ ,  $p$   
 375  $< .001$ ). See Table VI for coefficients and standard errors for the model of F0 as a function of *vowel*  
 376 *category*. Post-hoc pairwise comparisons between vowels in the model with Tukey alpha correction  
 377 found no difference between any two pairs of high vowels /i, i, u/, but F0 values for all high vowels



**Figure 7.** Beeswarm plots and overlaid boxplots of F0 (z-scored) as a function of vowel category (Color online).

378 were significantly higher than mid and low vowels. Mid vowels /e, ə, o/ were not significantly  
 379 different from each other with respect to F0. All non-low vowels differed significantly from F0 of  
 380 /a/, with /a/ having the lowest F0, as expected. These findings are illustrated in Figure 7, where  
 381 high vowels have the highest F0s, followed by mid vowels, and finally low vowel /a/. Notably, there  
 382 are no significant differences in F0 between front and back vowels at the same phonological height  
 383 category.



384 **TABLE VI.** Coefficients, standard errors, t-values, and p-values of F0 as a function of vowel  
 385 category with participant as a random intercept. P-values for this model were obtained using the  
 386 *lmerTest* package (Kuznetsova et al., 2017).

Fixed Effect	Estimate	Standard Error	T-Value	P-Value
i (Intercept)	158.50	17.59	9.26	< .001
ɪ	-1.018	0.84	-1.21	0.23
u	-1.19	0.83	-1.43	0.15
e	-6.48	0.83	-7.82	< .001
ə	-8.07	0.83	-9.77	< .001
o	-6.22	0.83	-7.49	< .001
a	-10.99	0.82	-13.35	< .001

387  
 388 To ensure that differences across vowel categories were not due to inherent differences in  
 389 duration of Amharic vowels (Fantaye, Yu, & Hailu, 2019), a model with fixed effects of duration and  
 390 vowel was compared to the model with vowel as the only fixed effect. The main effect of *duration*  
 391 was not found to be significant ( $X^2(1) = 0.7568$ ,  $p = 0.3843$ ), which suggests that duration does not  
 392 affect F0 once vowel quality is accounted for.

393 Next, we turn to the effect of F1 and F2 on F0. Recall, that the tongue-pull, tongue-  
 394 compression, and jaw height hypotheses state that intrinsic F0 of vowels is caused by mechanical  
 395 coupling of the tongue and larynx. Based on this, we would predict that F0 perturbations would  
 396 differ even within vowel categories if tongue or jaw height differs between two vowels of the same  
 397 category. Therefore, the effects of F1 and F2 on F0 were also examined independent of vowel  
 398 category to investigate changes in F0 as a result of tongue position. The relationship between F1, F2,  
 399 and F0 was examined with a linear mixed effects model including participant as a random intercept.

400 Table VII shows the summary of the full model with coefficients and standard errors of fixed  
 401 effects. The interaction between F1 and F2 was not found to be significant ( $X^2(1) = 0.7121, p =$   
 402  $0.3988$ ). That is, changes in F1 do not contribute to the effect of F2 on F0. This is expected as we  
 403 do not see an effect of vowel backness on F0 that differs depending on vowel height in Figure 8.

404 Results revealed a significant main effect of F1 ( $X^2(1) = 192.31, p < 0.001$ ), indicating that  
 405 F0 decreases as F1 increases. This is seen in Figure 8 and Figure 9(a), which show that F0 decreases  
 406 as speakers produce vowels lower in the vowel space. The main effect of F2 was not significant ( $X^2$   
 407  $(1) = 0.2034, p = 0.652$ ), indicating that vowel frontness does not have an effect on F0. This is seen  
 408 in Figure 9(b); as F2 increases (increased frontness), F0 remains largely unchanged.

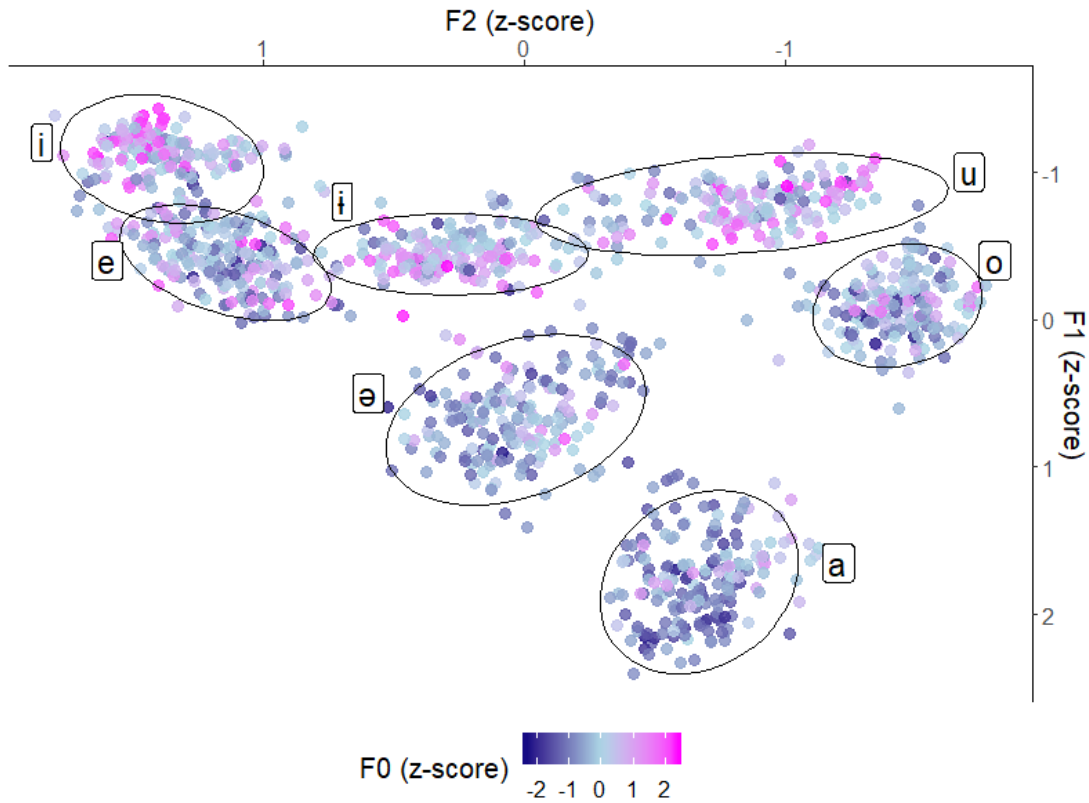
409

410 **TABLE VII.** Coefficients, standard errors, and t-values, and of F0 as a function of F1 and F2 with  
 411 participant as a random intercept. Nested model comparison revealed a significant main effect of F1;  
 412 F2 and F1\*F2 did not significantly improve the model.

Fixed Effect	Estimate	Standard Error	T-Value
Intercept	158.5	17.59	9.01
F1	-177	8755	-2.02
F2	1793	2492	0.72
F1*F2	<0.01	<0.01	-0.84

413

414

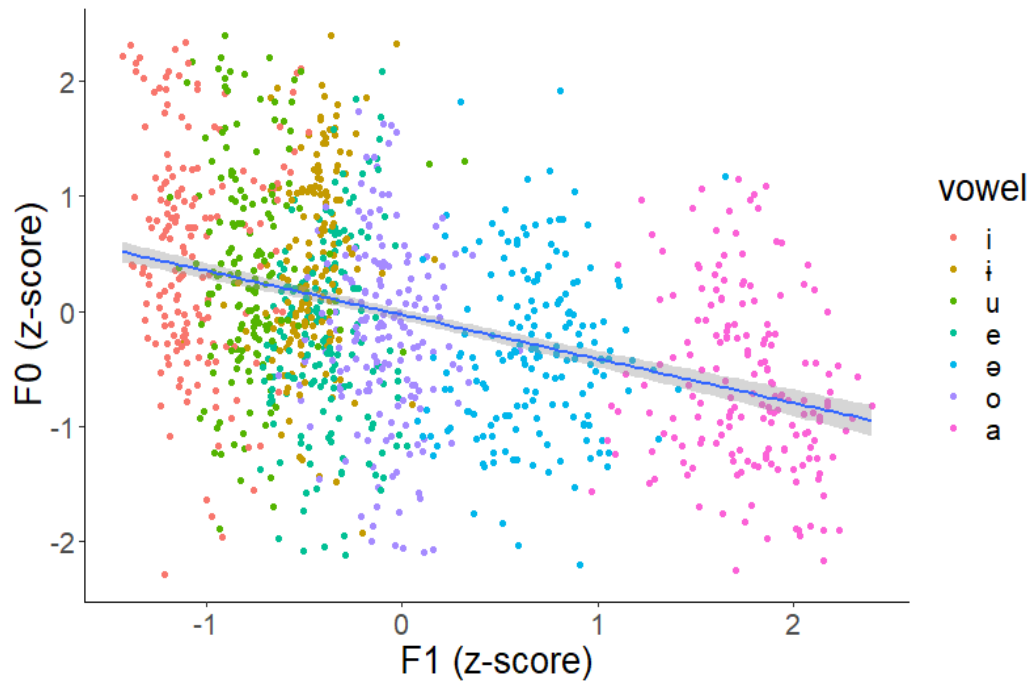


**Figure 8.** Scatterplot of z-scored F1~F2 with z-scored F0 represented as color gradient; magenta indicates higher F0 and blue indicates lower F0 (Color online).

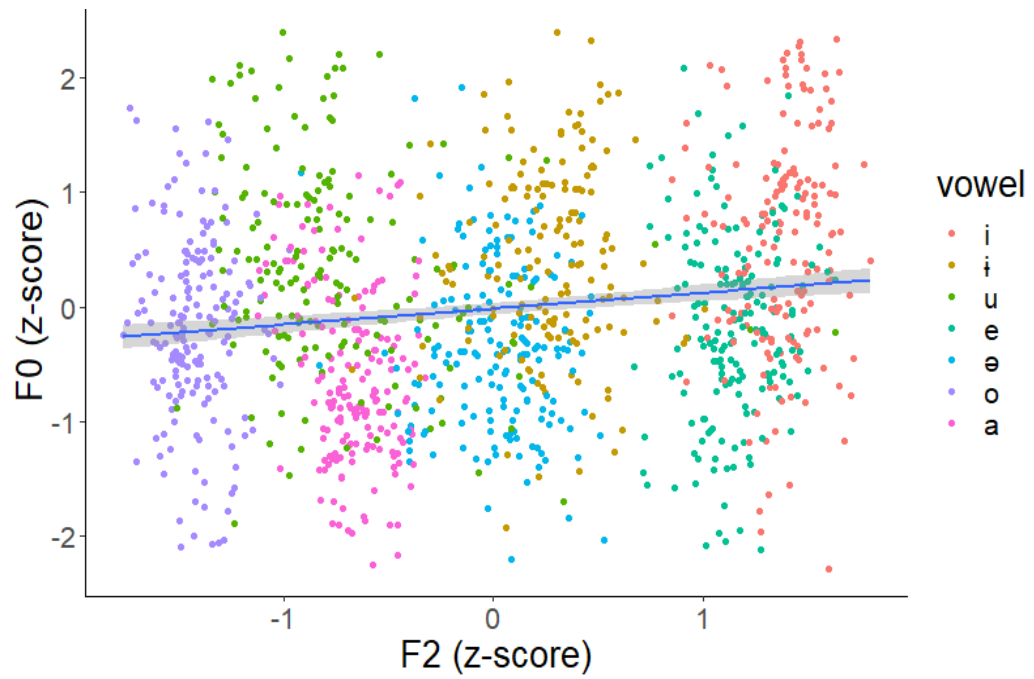
415 **b. Contact Quotient**

416 CQ was used as measure of vocal fold tension. Recall that CQ is a measure of the relative  
 417 duration vocal fold contact during a vibratory cycle, and an increase in contact quotient is typically  
 418 interpreted as increased vocal fold adduction or contraction of the thyroarytenoid (Herbst, 2020)  
 419 Mean CQ values for each vowel category can be seen in Table V. Based on the mean values from  
 420 the middle one-third of each vowel, CQ does not appear to vary by vowel height or backness. The  
 421 main effect of *vowel* was not significant, indicating that CQ does not vary as a function of vowel ( $X^2$   
 422 (1) = 5.5879,  $p = 0.4709$ ). These results are seen in Figure 10, which shows similar CQ values across  
 423 vowel categories.

424

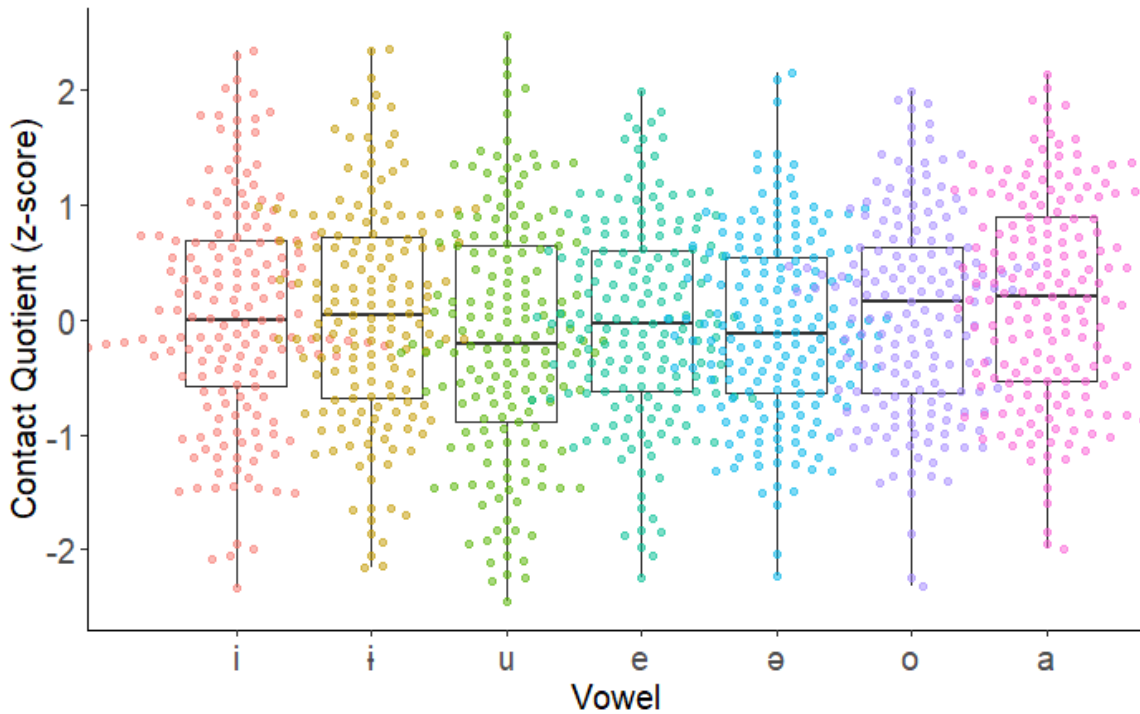


(a)



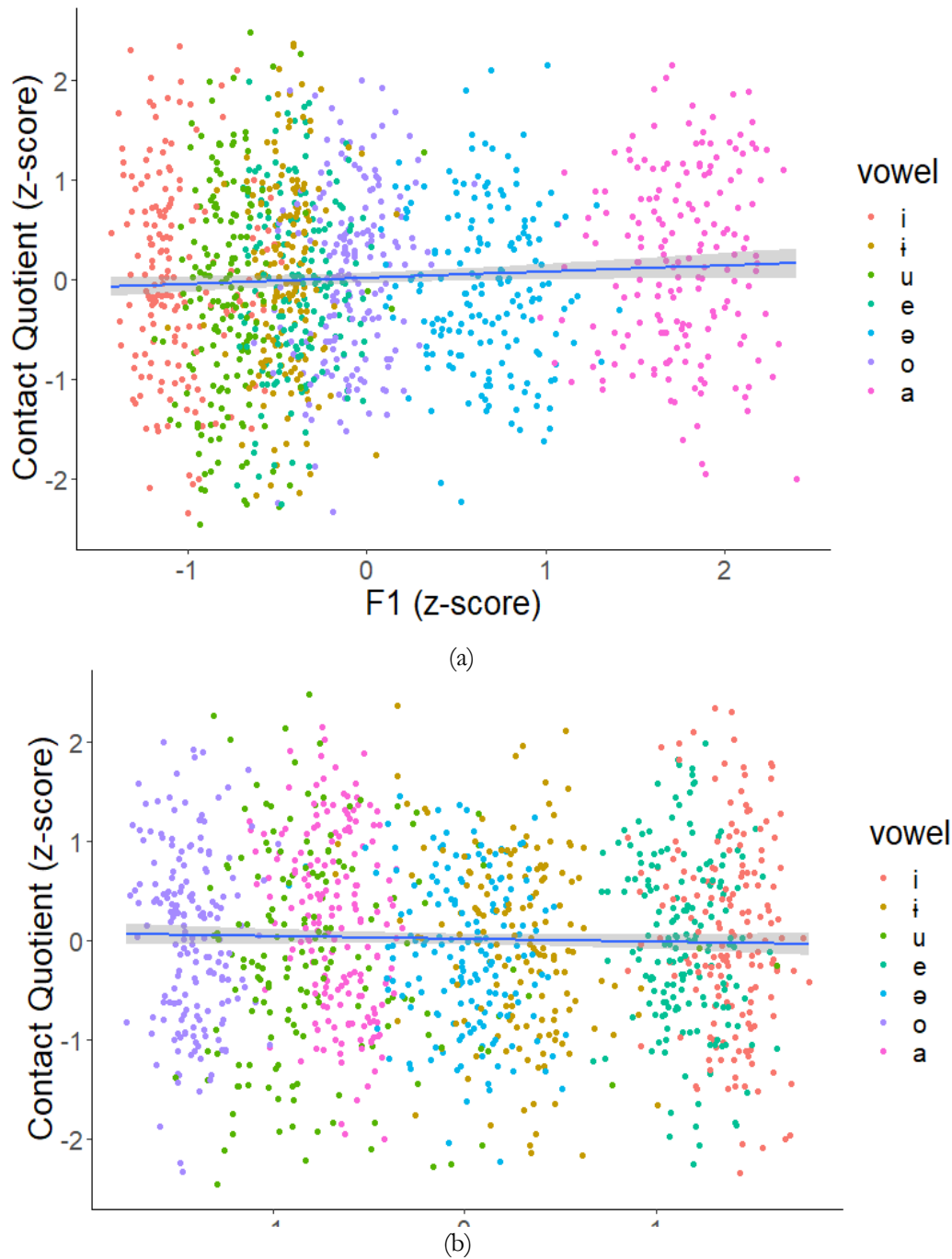
(b)

**Figure 9.** Scatterplots of F0 (z-scored) as a function of F1 (z-scored) in Figure 9a and F0~F2 (z-scored) in Figure 9b with vowel represented in color (Color online).



**Figure 10.** Beeswarm plots and overlaid boxplots of CQ (z-scored) as a function of vowel category  
(Color online).

427 As previously stated, the tongue-pull, tongue-compression, and jaw height hypotheses state that  
 428 intrinsic F0 of vowels is caused by mechanical coupling of the tongue and larynx. Under the tongue-  
 429 pull and tongue-compression hypotheses, tongue movement induces changes in vocal fold tension,  
 430 which in turn lead to F0 perturbations. Based on this, we would predict that vocal fold tension  
 431 would differ even within vowel categories for the tongue-pull or tongue-compression hypothesis.  
 432 The effects of F1 and F2 on CQ were examined independent of vowel category to investigate  
 433 changes in CQ as a result of tongue movement. The relationship between F1, F2, and CQ was  
 434 examined with a linear mixed effects model including participant as a random intercept and F1 and  
 435 F2 as fixed effects. Table VIII shows the summary of the full model with coefficients and standard  
 436 errors of fixed effects. Nested model comparison revealed the interaction between F1 and F2 to be  
 437 significant ( $X^2(1) = 9.9346, p < 0.05$ ); however, the significance was due to improved model fit



**Figure 11.** Scatterplots of CQ (z-scored) as a function of F1 (z-scored) in Figure 11a and as a function of F2 (z-scored) in 11b with vowel represented in color (Color online).

438 *without* the interaction term compared to *with*. The effect of F2 was found to be not significant ( $X^2$

439 (1) = 0.8707,  $p = 0.3508$ ). Similarly, was the effect of F1 found to be not significant ( $X^2$  (1) =

440 2.5164,  $p = 0.1127$ ). That is, tongue height and backness, as measured by acoustic correlates F1 and  
 441 F2, do not independently contribute to changes in vocal fold tension, as measured by CQ. This can  
 442 be seen in Figure 11, where CQ remains generally unchanged as a function of F1 (Figure 11a) or F2  
 443 (Figure 11b).

444

445 **TABLE VIII.** Coefficients, standard errors, and t-values of CQ as a function of F1 and F2 with  
 446 participant as a random intercept. Nested model comparison revealed that none of the factors  
 447 improved model fit (F1, F2, or the interaction).

Fixed Effect	Estimate	Standard Error	T-Value
Intercept	<0.01	<0.01	13.60
F1	<0.01	<0.01	2.91
F2	<0.01	<0.01	2.72
F1*F2	<0.01	<0.01	-3.16

448

#### 449 IV. DISCUSSION

450 The purpose of the study was to examine IF0 of Amharic vowels and compare predictions  
 451 of different articulatory hypotheses that have been proposed in the literature. Predictions of each  
 452 hypothesis are outlined in Table II. Under the tongue-pull hypothesis, high vowels in Amharic were  
 453 expected to have the highest F0, followed by mid and low vowels, which were expected to pair  
 454 together. According to the tongue-compression hypothesis, high and mid vowels were expected to  
 455 pair together, and low vowel /a/ was predicted to have the lowest F0. If both tongue-pull and  
 456 tongue-compression both play a role in IF0, high, mid, and low vowels were expected to differ in  
 457 F0. Additionally, since both hypotheses relate the cause of IF0 to differences in vocal fold tension,  
 458 differences in contact quotient were expected to follow the predicted F0 patterns. Since testing the

459 horizontal-vertical pull hypothesis requires measuring muscle activation (e.g., with  
 460 electromyography), predictions for this hypothesis were not described. Similarly, the current study  
 461 did not directly assess the contribution of jaw height to F0, though F0 was expected to vary  
 462 generally by vowel height based on previous studies.

463 The study found that F0 varied as a factor of vowel category due to differences in vowel  
 464 height. High vowels had the highest F0, followed by mid vowels, and finally, low vowels. No  
 465 significant differences were found within height groups (e.g., /i/ was not different from /u/).  
 466 Similarly, when examining the effect of F1 and F2 on F0, the study found that F1 is predictive of F0  
 467 differences, while F2 is not. F0 varied by vowel height but not by backness. With respect to CQ, the  
 468 study revealed no effect of vowel category on CQ, nor was there an effect of F1 or F2 on CQ  
 469 independent of vowel category.

470

471 **TABLE IX.** Coefficients, standard errors, t-values, and p-values of F1 as a function of vowel with  
 472 participant as a random intercept to compare /i/ to /i/ and mid vowels. P-values for this model  
 473 were obtained using the *lmerTest* package (Kuznetsova et al., 2017).

Vowel	Estimate	Standard Error	T-Value	P-Value
i (Intercept)	429.11	22.61	19.32	<.001
i	-88.36	4.33	-20.40	<.001
e	10.02	4.31	2.33	0.02
u	-47.24	4.33	-10.91	<.001
ə	170.36	4.30	39.66	<.001
o	52.62	4.31	12.21	<.001
a	331.9	4.28	77.58	<.001

474



475 Another interesting finding emerged from the data. Vowel F0 seemed to pattern together  
 476 based on phonological height, despite differences in F1 within a phonological height group. For  
 477 example, /i/ and /i/, both high vowels, have different F1 values despite having similar F0 values in  
 478 the pairwise comparison. A post-hoc linear mixed effects model was fitted to the data with *vowel*  
 479 entered as a fixed effect and *participant* as a random intercept to explore differences in F1 as a  
 480 function of vowel category. The model revealed a significant difference between /i/ and /i/. The  
 481 coefficients and intercepts of the model are presented in Table IX.

482

483 **TABLE X.** Coefficients, standard errors, t-values, and p-values of F1 as a function of vowel with  
 484 participant as a random intercept to compare /e/ to /ə/ and /o/. P-values for this model were  
 485 obtained using the *lmerTest* package (Kuznetsova et al., 2017).

Vowel	Estimate	Standard Error	T-Value	P-Value
e (Intercept)	439.12	22.19	19.79	<.001
i	-10.02	4.31	-2.33	0.02
i	-98.37	4.26	-23.09	<.001
u	-57.26	4.26	-13.44	<.001
ə	160.35	4.22	37.98	<.001
o	42.61	4.24	10.06	<.001
a	321.88	4.20	76.56	<.001

486

487 In a similar vein, all mid vowels, /e, ə, o/ were found to have similar F0 values as they did  
 488 not differ significantly from each other in the pairwise comparison; however, mid vowels also had  
 489 different F1 values from each other. An additional post-hoc linear mixed effects model was  
 490 performed with factor re-leveling to allow for comparison of mid vowels. The results revealed

491 significantly different F1 values between /e/ and /o/ as well as between /e/ and /ə/ (Table X).  
492 While /ə/ and /o/ were not compared to each other, given the difference in coefficients and t-  
493 values, it is likely these two vowels have significantly different F1 values as well.

494         The findings from these exploratory analyses are similar to those by Turner & Verhoeven  
495 (2011), who found that F0 varied by vowel height in Belgian Standard Dutch, though close-mid and  
496 open-mid vowels did not differ from each other despite having differences in F1. This suggests that  
497 there may be multiple factors driving IF0. On the one hand, the universality of IF0 and evidence  
498 from articulatory studies suggest IF0 is an automatic consequence of mechanical coupling between  
499 articulators. Indeed, the general trend of F0 varying by height in this study seems to support the  
500 hypothesis that IF0 is a result of mechanical coupling. On the other hand, the phonological  
501 grouping of F0 despite differences in F1 suggests that other factors contribute to F0, such as  
502 auditory enhancement or forming part of the articulatory goal for vowel production (Sapir's  
503 horizontal-vertical pull hypothesis). The implications of these findings as they relate to the proposed  
504 causes of IF0 are discussed below.

505         The tongue-pull hypothesis cannot account entirely for the results of the study for three  
506 reasons. First, F0 of mid and low vowels did not pair together. Instead, F0 was found to be gradient  
507 with different values for high, mid, and low vowels; this finding was expected based on results of  
508 previous studies. Second, the study found that high vowels, /i/ and /i/, and mid vowels /e, ə, o/  
509 differed significantly with respect to F1 yet did not differ in F0. In other words, differences in F1 did  
510 not correlate with differences in F0 for these groups of vowels, which does not support the  
511 prediction that higher tongue position necessarily leads to increased F0. Instead, a phonological  
512 grouping of F0 was found between high and mid vowels. Finally, there were no differences found in  
513 CQ, suggesting no changes in vocal fold tension. Therefore, the tongue-pull hypothesis does not

514 appear to be the best account of IF0. It is possible that tongue-pull contributes to the effect for high  
515 vowels, but tongue-pull alone does not account for the data.

516         Likewise, the tongue compression hypothesis cannot fully account for this study's findings  
517 for the reasons cited above: namely, the gradient effect and lack of difference in CQ for /a/  
518 compared to other vowels. Tongue retraction may contribute to laryngeal changes that cause  
519 reduced F0, but the laryngeal changes due to tongue retraction do not seem to be those predicted in  
520 the hypothesis: thick, slack vocal folds. Instead, we see that vocal fold tension, as measured via CQ,  
521 during low vowel /a/ is similar to other vowels. Interestingly, the CQ results did not reveal *increased*  
522 contact/tension for /a/, predicted by Esling 2005 and Moisik et al. 2019.

523         There are possible explanations for the lack of difference in CQ between vowels. Recall that  
524 EGG measures vocal fold contact area and increased contact is typically a result of increased  
525 laryngeal constriction or thyroarytenoid contraction. Previous studies that use CQ as a measure of  
526 vocal fold tension compared very different phonation patterns, such as normal and disordered  
527 voicing (Childers, 1990), hypophonic and hyperfunctional voicing (Szkiekowska, Krasnodebska,  
528 Miaskiewicz & Skarżynski, 2018), or modal and non-modal phonation (Scherer, 1987; Kochetov,  
529 2020; Herbst, 2020). Participants in the present study were judged to have normal voice quality,  
530 reported no history of voice or speech disorders, and produced speech with modal phonation during  
531 the study task. Therefore, it might be the case that there are small changes in vocal fold *tension*, but  
532 they were not captured in this study because there were not significant changes in vocal fold *contact*  
533 *area* that are typically seen with different types of phonation. In this case, CQ might not be  
534 appropriate to measure small differences in tension with modal voicing, or the sample size needs to  
535 be much larger to capture the very small effect size with modal voicing. Of course, it is also possible  
536 that there were no changes in vocal fold tension that caused the F0 changes seen in the IF0 effect. If  
537 the mechanism behind IF0 causes a passive stretching of the vocal folds without activation of the

538 cricothyroid or thyroarytenoid, there would be no increase in thyroarytenoid activation and  
539 potentially no laryngeal constriction causing changes in vocal fold contact area. In this case, the CQ  
540 results correctly captured the null effect.

541 Finally, the F0 findings may be consistent with the jaw height hypothesis. Recall that though  
542 F1 is thought to reflect tongue height, Chen et al. (2019) found that jaw height was a better predictor  
543 of F0 than tongue height. Therefore, differences in F0 between high, mid, and low vowels, may  
544 reflect different jaw heights. For the mid vowels, tongue height might differ while jaw height is  
545 similar, explaining the finding of different F1 values for mid vowels while they had similar F0 values.  
546 In addition, as previously stated, tongue compression may play a role in further lowering F0 beyond  
547 what is expected by jaw height alone. Therefore, IF0 may be an effect of multiple mechanisms  
548 including lingual and mandibular movement. Additionally, auditory enhancement cannot be ruled  
549 out as a contributing factor in the F0 results of this study. The F0s values may be a result of auditory  
550 enhancement of the phonological grouping of the vowels to contrast high, mid, and low vowels in  
551 Amharic. Sapir's vertical-horizontal pull hypothesis also cannot be ruled out as a contributing factor  
552 as the phonological grouping of F0 might be due to differences in the articulatory goal of the  
553 vowels. While no independent evidence from Amharic explains why these phonological groupings  
554 emerge, findings for Dutch in Turner & Verhoeven 2011 indicate that the phonological grouping of  
555 F0 is not unique to Amharic and warrants further cross-linguistic investigation.

## 556 V. CONCLUSION

557 The cause of intrinsic fundamental frequency of vowels has been long studied yet still  
558 remains unknown. Proposed hypotheses that account for the universal phenomenon primarily relate  
559 IF0 to mechanical coupling between oral vocal tract structures and the larynx, where movement of  
560 the oral structures causes changes in vocal fold tension, which lead to perturbations in F0. The  
561 purpose of the present study was to investigate IF0 in Amharic vowels in an effort to compare

562 hypotheses. The results of the current study suggest that IF0 may be due to multiple mechanisms  
563 including the effect of jaw displacement creating gradient perturbations in F0 and tongue  
564 compression further decreasing pitch for low back vowels, though the exact link between tongue or  
565 jaw movement and the larynx remains an open question.

566 Hypotheses appealing to categorical distinctions arising from the articulatory gesture for the  
567 vowel (horizontal-vertical pull hypothesis) or auditory enhancement may also play a role in the effect  
568 as they could not be ruled out based on the current study. In this study, F0 differed by categorical  
569 distinctions (i.e., high vs. mid vs. low vowels). However, within height categories, vowels were found  
570 to have significantly different F1 values, despite having similar F0 values. This finding can be  
571 explained with two possible hypotheses: first, jaw height between the vowels did not differ but F1  
572 did; second, the F0s values of high, mid, and low vowels is a result of auditory enhancement of the  
573 phonological grouping of the vowels to contrast them. Both causes may contribute to results seen in  
574 this study.

575 Results of the current study raise questions and directions for future work. First, the role of  
576 tongue compression in lowering F0 merits further investigation. Currently, the connection between  
577 tongue retraction and laryngeal movement remains understudied in the literature. Esling (2005) and  
578 Moisik et al. (2019) suggest that tongue retraction leads to increased laryngeal constriction. However,  
579 no evidence of laryngeal constriction with /a/ was found in this study. Further cross-linguistic  
580 studies comparing low front vowels to low back vowels may address the question of whether tongue  
581 retraction affects F0. Additionally, articulatory studies such as ultrasound or MRI may shed light on  
582 the mechanical link between oral vocal tract structures and the larynx. In particular, the effect of jaw  
583 movement vs. tongue-height on F0 merits further systematic, cross-linguistic investigation as such  
584 studies are limited in the literature. Finally, future studies of IF0 should include all vowels in the  
585 vowel space to examine potential phonological constraints on IF0.

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590 **APPENDIX**

591 List of stimulus words in carrier phrase ይህ ቃል \_\_\_\_\_ ነው, /jɨh k'al \_\_\_\_\_ nəw / (*This word is*  
 592 \_\_\_\_\_). Each Amharic character represents a CV syllable in the stimulus word.

Amharic	IPA	Amharic	IPA
1. ተቲ	/təti/	22. ተተ	/tətə/
2. ቱቲ	/tuti/	23. ቱተ	/tutə/
3. ቲቲ	/titi/	24. ቲተ	/titə/
4. ታቲ	/tati/	25. ታተ	/tatə/
5. ቴቲ	/teti/	26. ቴተ	/tetə/
6. ትቲ	/titi/	27. ትተ	/tītə/
7. ቶቲ	/toti/	28. ቶተ	/totə/
8. ተቱ	/tətu/	29. ተቶ	/təto/
9. ቱቱ	/tutu/	30. ቱቶ	/tuto/
10. ቲቱ	/titu/	31. ቲቶ	/tito/
11. ታቱ	/tatu/	32. ታቶ	/tato/
12. ቴቱ	/tetu/	33. ቴቶ	/teto/
13. ትቱ	/tītu/	34. ትቶ	/tīto/
14. ቶቱ	/totu/	35. ቶቶ	/toto/
15. ተታ	/təta/	36. ተቴ	/təte/
16. ቱታ	/tuta/	37. ቱቴ	/tute/
17. ቲታ	/tita/	38. ቲቴ	/tite/
18. ታታ	/tata/	39. ታቴ	/tate/
19. ቴታ	/teta/	40. ቴቴ	/tete/
20. ትታ	/tīta/	41. ትቴ	/tīte/
21. ቶታ	/tota/	42. ቶቴ	/tote/

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