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Tropospheric winds from northeastern China carry the etiologic agent of Kawasaki disease from its source to Japan

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Evidence indicates that the densely cultivated region of northeastern China acts as a source for the wind-borne agent of Kawasaki disease (KD). KD is an acute, coronary artery vasculitis of young children, and still a medical mystery after more than 40 y. We used residence times from simulations with the flexible particle dispersion model to pinpoint the source region for KD. Simulations were generated from locations spanning Japan from days with either high or low KD incidence. The postepidemic interval (1987–2010) and the extreme epidemics (1979, 1982, and 1986) pointed to the same source region. Results suggest a very short incubation period (<24 h) from exposure, thus making an infectious agent unlikely. Sampling campaigns over Japan during the KD season detected major differences in the microbiota of the tropospheric aerosols compared with ground aerosols, with the unexpected finding of the *Candida* species as the dominant fungus from aloft samples (54% of all fungal strains). These results, consistent with the *Candida* animal model for KD, provide support for the concept and feasibility of a windborne pathogen. A fungal toxin could be pursued as a possible etiologic agent of KD, consistent with an agricultural source, a short incubation time and synchronized outbreaks. Our study suggests that the causative agent of KD is a preformed toxin or environmental agent rather than an organism requiring replication. We propose a new paradigm whereby an idiosyncratic immune response, influenced by host genetics triggered by an environmental exposure carried on winds, results in the clinical syndrome known as acute KD.

northeastern China source | agriculture | heart disease | FLEXPART | cereal croplands

After more than four decades of intensive research on Kawasaki disease (KD) (1, 2), no agreement regarding its cause has yet emerged (3). In fact, it is not even clear whether KD should be considered an infectious disease or an abnormal host response in genetically susceptible children to one or more noninfectious environmental triggers (4). Although progress has been made in defining the genetic influence on KD susceptibility and disease outcome, the complex genetic variants that are responsible have only partially been identified. Based on both the clinical presentation and histopathology of tissues, it has been postulated that the trigger enters through the mucosa of the upper respiratory tract (5). A recent study demonstrated a role for both regional winds and large-scale atmospheric circulation in transporting an etiologic agent responsible for the seasonal and nonseasonal anomalous variation in the number of KD patients in both Japan and the United States (6). Certain patterns of winds in the troposphere above the earth's surface flowing from Asia were associated with the times of the annual

peak in KD cases and with days having anomalously high numbers of KD patients. It was demonstrated that these regional wind patterns first impact Japan and, when large-scale conditions are favorable, extend across the North Pacific to the West Coast of the United States (6, 7). In advance of peak KD occurrences, a regional pattern with decreased atmospheric pressure forms over Japan that pumps winds to the archipelago (Fig. S1). Further, this same study (7) demonstrated capabilities of predicting anomalous KD activity in Japan and in the West Coast of the United States with time leads of a few months, based on coupled ocean and atmosphere processes developing in regions of the tropical and north Pacific oceans. However, the nature of the etiologic agent, the source region from which the putative KD agent is lofted into the atmosphere, and the incubation time between exposure and onset of fever remained unknown. Previous studies of KD dynamics suggested a potential source region in northeastern Asia (6, 7). In the present study, we accurately define this region, with the sharpened temporal resolution afforded by the best record of KD available worldwide, that of daily case occurrences in individual prefectures in Japan. The exposure mechanism and incubation time are addressed using two different approaches: an air parcel trajectory analysis of the time taken by wind to travel from the inferred source region and

Significance

Kawasaki disease (KD), the leading cause of acquired heart disease in children worldwide, has remained a mystery for more than 40 y. No etiologic agent has yet been identified. By using simulations with the flexible particle dispersion model from different Japanese cities from each single high (low) KD incidence day, the source region KD is retrieved in cereal croplands in northeastern China. We infer the incubation time for KD ranges from 6 h to 2 d, thus favoring an antigenic or toxic exposure as the trigger. *Candida* sp. is reported as the dominant fungal species collected aloft (54% of all fungal DNA clones) demonstrating the potential for human disease in aerosols transported by wind currents traveling long distances.

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The large number of simulated days involved provides consistency to these results (902 in total, corresponding to KD days in Fig. 1 and in Figs. S2–S4).

Following the assertion that the KD agent is carried by the wind, it is expected that cases would occur simultaneously in neighboring locations because wind patterns operate over regional scales. In fact, for the largest KD epidemics shown in Fig. 2 (1982; 1986 in Fig. S4), a striking covariation was seen in the daily number of cases in the two major cities in Japan (Tokyo and Yokohama, 28 km apart). Such covariation between the anomalous KD occurrences in these same two prefectures and between Tokyo and Saitama also during the nonepidemic period from 1987 to 2010 is shown in Fig. S5. Delving further, a scale-dependent correlation (SDC) (10, 11) analysis was used to investigate possible commonalities in KD behavior over short time scales (only a few days) among the most populated cities of the Tokyo metropolitan area (Greater Tokyo, comprising Tokyo, Yokohama, Saitama, and Chiba; *SI Data and Methods* and Table S1). SDC analysis revealed surprisingly high in-phase correlations during epidemics occurring in the same year (see also Table S1). Results demonstrate that maximum numbers of KD cases occurred in these two cities on exactly the same days [Monte Carlo randomization test (MCR), $P < 0.001$]. Applying the same analysis to all major cities in the Tokyo metropolitan area resulted in similar temporal coherence. Table S1 reports the results of two-way SDC analyses among Tokyo, Kanagawa, Saitama, and Chiba, showing that in all city pairwise comparisons, maximum correlations consistently occurred at zero lag times, attaining correlation values between 0.56 and 0.86 (MCR, $0.04 < P < 0.000$). The total variability accounted for by the daily covariation among cases between cities is indeed remarkable (on average above 50%, in a range between 31% and 75%), as it represents a portion of variability not fully resolved in the seasonal–interannual scales addressed in previous studies (6, 7). The present analysis reveals that the two cities had their KD cases fully synchronized for a period of at least 3 wk during the 1982 epidemic. Furthermore, results from an SDC analysis of wind and Greater Tokyo KD fluctuations attained values above 0.6 (MCR, $P < 0.05$) during the same intervals and with maxima around lags of 2–3 d, indicating that this is the approximate time between the agent leaving the source, traveling for 2 d, arriving in Japan, and rapidly causing the onset of fever in a KD patient. Previous studies of KD examined temporal scales ranging from seasonality to the interannual variability (6, 7), whereas here we focus on the daily variation. In the present analysis, fluctuations

in daily KD cases are shown to be coherently associated with regional winds and their previous upstream history, an unprecedented result even compared with other well-known infectious diseases (12). The fact that exactly the same dates are associated with maximal numbers of KD cases in different locations and that the peak in KD cases is rapid after the arrival of the wind suggests that there is a short incubation time for KD.

Incubation Time for KD. To obtain an independent estimate of the incubation time (here time between exposure to the presumed agent and the onset of fever) from that suggested by the SDC analysis, an experiment was conducted using the ensemble of 10-d backward FLEXPART simulations for the 532 d cataloged as high KD occurrences (Movie S1). For comparison, we ran a second experiment with the ensemble of FLEXPART simulations for all 3,206 d with low KD occurrences (having 0 to 1 cases per day) in the postepidemic interval (1987–2010; Fig. S64). As for Movie S1, average residence times for simulations shown in Fig. S6B mark the time between the moment a particle leaves the source area and the onset of fever in patients to be in the range of 6 h to 2.5 d (see *SI Data and Methods* for details). This value matches the predicted incubation time inferred from the SDC analysis above to likely be less than 1 d, beyond the 2-d time necessary for the wind to traverse the distance from northeastern China to Japan. Although the confidence interval extends this incubation time to around 2 d more, this longer duration is less likely, and therefore these results imply that there is rapid host response following exposure to the KD agent. Similar results were obtained when days were selected for either Tokyo or the composite of cities in the entire Greater Tokyo Area (Tokyo, Kanagawa, Saitama, and Chiba, which includes over 35 million people).

Apart from the three main KD epidemics in Japan, there are seasonal peaks and troughs in disease occurrence (8). We therefore investigated coincidence in potential source regions for KD during periods out of epidemics, and conducted backward trajectory analyses also for high KD activity after the last big epidemic (in the postepidemic interval, 1987–2010). In this case, dates were selected with individual days displaying high ($\geq 95\%$) numbers of KD cases (around 2 SDs above the mean of the normalized distribution) in locations spanning Japan from north to south (Sapporo, Tokyo, and Nagoya). We used data for the entire 1970–2010 records (epidemic values were included also but only used to set the threshold for the selection of high KD days) and compared with days when KD occurrences were unusually low (days displaying zero KD cases having no high KD event in the preceding 10 d; *SI Data and Methods*). To properly characterize the time lag between an air parcel leaving the presumed source region and having an influence on KD in the selected location, the air parcel crossing times were varied from the same day to 1 mo in advance of the date associated with the peak in KD cases. We allowed such a variety of lag times to give equal chance to any value in the range of the suspected lag times for the potential KD incubation time, both according to previous hypotheses and known times for airway diseases (13–16). Average residence times were therefore computed for high and low KD dates and areas with residence times greater than the threshold for a high-residence time of 30 s in the preliminary high–low maps retained for further analyses (this threshold corresponds to 95% of the average simulated residence time; *Data and Methods*). Fig. 3A shows the average residence time map composited for days 1–3 to conform to results obtained above from the trajectory mapping and the SDC analysis of the KD epidemics. Furthermore, we sought for residence times maxima within the nonepidemic trajectories between 1 d and 1 mo, but, similar to the epidemic trajectory results, maximum residence times were centered around 1–2 d. Residence times were retrieved at each grid point for each of the three Japanese locations, namely Sapporo (green), Tokyo (blue), and Nagoya (yellow). Red areas in Fig. 3A indicate the overlap between

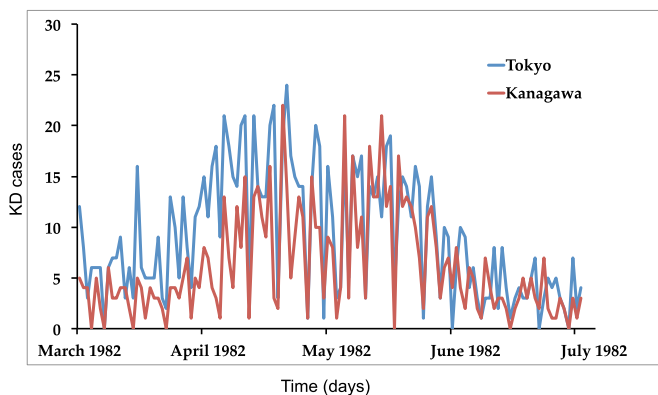


Fig. 2. Daily time series of KD date of onset for patients in Tokyo (blue) and Kanagawa (red) during the epidemics of 1982 (see Fig. S4 for 1986). Axes display cases (Y) and day since epidemic onset (X). See SDC (11) analysis between the KD datasets for Tokyo and Kanagawa, as well as for all cities in the Greater Tokyo Area in *Data and Methods* and Table S1. Maximum overall correlation is attained in this 1982 epidemic, with over 75% of the total KD variability synchronized between Tokyo and Kanagawa during at least 3 wk.

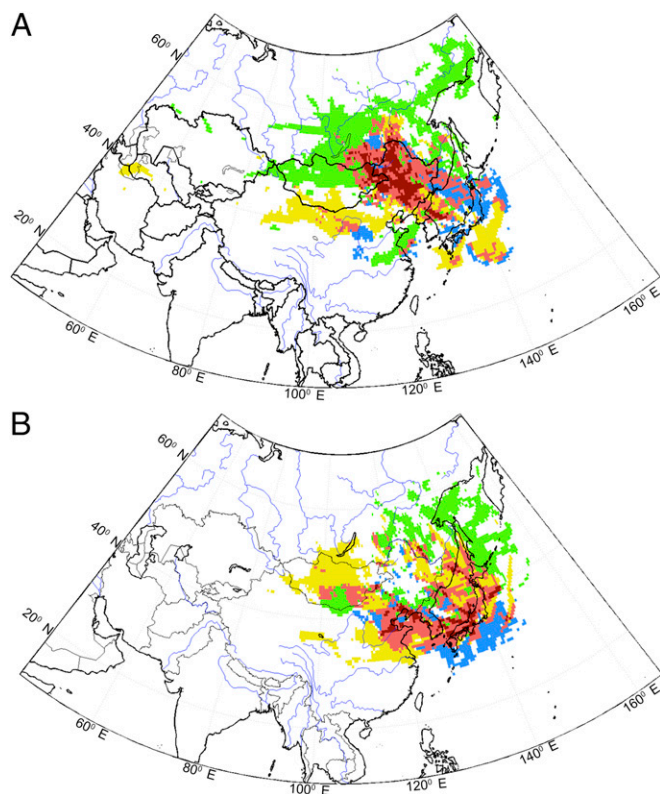


Fig. 3. Areas of (A) high–low or (B) low–high differences in the residence time between sets of cases with high KD and low KD occurrences in the postepidemic interval. Residence time differences higher than 30 s are shown for Tokyo (blue), Sapporo (green), and Nagoya (yellow). The ensembles included have been generated averaging residence time data corresponding to lags of 1–3 d, which appears to capture all of the potential range of values for the KD incubation time. The total number of dates used in the simulations was 1,344. Red areas indicate overlapping high-residence time differences from two cities (light) or three cities (dark) residence time anomaly areas.

plumes of ensemble FLEXPART simulations for two cities (light) or three cities (dark). These areas largely coincide with the ones identified in Fig. 1 and Fig. S2 in northeastern China that were associated with the source for the three KD epidemics in Japan. Remarkably, other smaller areas highlighted by the same analysis were associated with positive high–low residence times at lag times from 10 to 30 d but were instead residual and not coherently positioned in the spatial analysis when percentiles and intervals for data selection were varied. Therefore, striking similarities exist between Figs. 1 and 3 that identify the same region as being linked to high KD case numbers in and out of epidemic periods.

As a comparison, a similar analysis to determine upstream regions with the high-residence time from trajectories associated with anomalously low numbers of KD cases among the three cities was conducted. In contrast to the preferred upstream regions associated with peak KD events, the high-residence time regions associated with low KD incidence appear to lie mostly in the sea between China and Japan (Fig. 3B). Importantly, this observation demonstrates that there are other preferential air mass sources that supply wind flow when KD occurrence is low in Japan, and reinforces our conclusion that there is a KD source region over northeastern China. Also, any local influence on KD is at most small compared with the northeastern China region. This result underscores the need to identify possible KD agent(s) in land regions and appears to eliminate the possibility of a sea-related origin.

A surprisingly short interval between exposure and onset of fever is indicated by the trajectory analysis. In searching for candidate KD agents, such a short interval makes many infectious agents unlikely, which leads us to consider that the trigger may be a plant or microbial toxin or an inhaled antigen that would, therefore, not replicate inside the host. Under this model, a dose-dependent reaction may account for variance in disease severity among patients. As an alternative hypothesis, we explored the relationship of daily time series of atmospheric pollutants and pollen species with KD cases in Tokyo. Time series for SO_2 , O_3 , NO , NO_2 , NO_x , CO , and NMHC from three stations in the Tokyo Metropolitan area and pollen counts measured in Tokyo were compared with local wind and KD records, but no consistent relationship emerged. Neither seasonality nor trends in emissions of atmospheric mercury were consistent either with fluctuations in KD case occurrences (*SI Data and Methods*).

To investigate whether person-to-person spread of an infectious agent could fit the observed data, a simple susceptible–exposed–infected–recovered (SEIR) population disease model was built (*SI Data and Methods*). Results show that not even an extremely rapidly replicating infectious disease could propagate that quickly (even diseases with idealized incubation times of only 2 h, e.g., 10 times shorter than the fastest respiratory viruses known, e.g., influenza B and rhinovirus) (15, 16). No known infectious agent would be able to produce synchronous (same day) infections over distances between cities, such as those in the Tokyo metropolitan area on the basis of secondary infections only (*SI Data and Methods* and Fig. S7). In fact, at least 5.6 d would be needed for an infectious agent with only a 2-h incubation time and with the current city populations in the Greater Tokyo metropolitan area to cover the distance between any two cities using only secondary infections, even if there were up to 1% asymptomatic individuals in the population (Fig. 4). In this experiment, children younger than 6 mo were considered not susceptible due to protection from maternal antibodies (17). Indeed, the rarity of KD in very young infants suggests that there may be the acquisition of a transplacental antibody that is protective (18). The possibility that a known infectious agent is responsible for these dynamics is therefore remote. Instead, an immediate response in the form of an idiosyncratic immune reaction in genetically susceptible children that takes place within 24 h after inhalation of the etiologic trigger is further reinforced by our results.

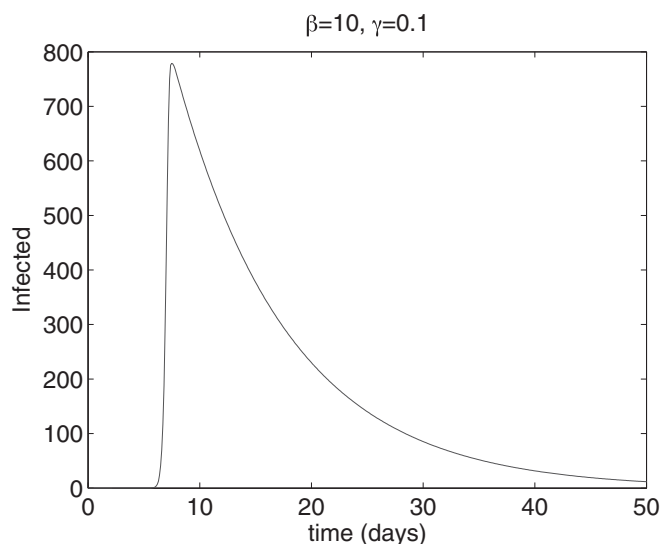


Fig. 4. Epidemic evolution 30 km from the initial site of infection, for $\beta = 10 \text{ d}^{-1}$ and $\gamma = 0.1 \text{ d}^{-1}$. The maximum number of infected denotes the time at which the disease reaches the next town (on average after 5.66 d).

symptoms in humans with the liver, kidneys, and brain being prominent targets. What mycotoxins share is a rapid onset of symptoms following exposure (30). Some notable examples of crop-associated mycotoxins are the aflatoxins, difuranocoumarin derivatives synthesized by a polyketide pathway by many strains of *Aspergillus*, the citrinins produced by *Penicillium* and *Aspergillus* species, the fumonisins produced by *Fusarium* species, and the ochratoxins produced by various *Aspergillus* species. None of the known mycotoxins, however, produce a vasculitis similar to the clinical picture in KD.

Results in this study on source regions and incubation times, as well as detailed analysis of nucleic acids in atmospheric samples provide important clues regarding both the potential source regions and the nature of the etiologic agent for KD in Japan. Avenues for future research should include detailed characterization of the organic and inorganic compounds and microbiome of tropospheric winds collected aloft over northeastern China and Japan during the annual peak in disease activity in Japan. These air sampling campaigns will need to be further expanded in the future in close connection with a simultaneous detailed surveillance of the occurrence of KD in Japan to further explore the role that microorganisms or their toxins may play in triggering KD. Study of the transport and circulation patterns for air masses before peaks and troughs in KD activity in other regions across the globe with substantial numbers of KD cases may further inform on their sources and the role that tropospheric winds and their chemistry play in the transport of the KD agent. Finally, future studies will be needed to directly test the capacity for microbes, antigens, or toxins contained in those aerosol samples to elicit a humoral and cellular immune response in KD patients.

Data and Methods

KD Datasets. Approved written consent was obtained from all participants. Human population epidemiology studies were approved by the Bioethics Committee for Epidemiologic Research, Jichi Medical University. Data used in this study for Japan are total daily counts of KD patients admitted to hospitals for each of the 47 prefectures of Japan. To account for possible effects of

different population sizes in cities, incidence was also calculated for comparison in the case of major Japanese cities from population censuses interpolated from 10-y national demographic records and only small differences emerged with results obtained for cases. KD cases were then weighed against the total pediatric population in that prefecture, with data covering the interval 1977–2010 (see KD case ascertainment protocol for Japan sites) (6). KD dates used in this study were in all case onset dates. The Japanese datasets were derived from 21 separate questionnaire surveys of hospitals in Japan spanning the period 1970–2010. For subjects with multiple admissions, only the first hospitalization date was used.

Backtrajectory Ensemble Simulations. Trajectories traced back in time 10 d for each dataset and location were generated using the FLEXPART Lagrangian particle dispersion model Version 8.2.3 in backward mode. FLEXPART, a Lagrangian model suitable for the simulation of a large range of atmospheric transport processes (9), was originally designed for calculating the long-range and mesoscale dispersion of air pollutants from point sources but has evolved into a comprehensive tool for atmospheric transport modeling and analysis. FLEXPART can be run in backward or forward mode. FLEXPART uses 3D atmospheric wind data, in this case supplied from the European Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis (ERA) 40 (31) for dates until June 1989 and after that to December 2010 from the ECMWF global ERA-Interim reanalysis at 1° resolution, 60 vertical levels and time resolution of 3 h. The particles modeled were air tracers, with 10,000 particles used on each model run. Residence time is the collective amount of time that a particular area upstream of, or including, the selected location was overlain by any of the air parcels from the trajectories in the sample set. Model output used was residence time, with an output grid of 0.5° latitude × longitude and a time resolution of 3 h (*SI Data and Methods* for further description).

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