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Title

The Effect of Moisture Content on the Acoustic Properties of Regolith Analogs

Permalink

<https://escholarship.org/uc/item/3902b0q6>

ISBN

9780979497582

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Publication Date

2023-06-25

DOI

10.56952/arma-2023-0162

Peer reviewed

40 rock physics models are intrinsic quantities like bulk porosity, mineralogy, the relative amounts of
41 pore fluids, and the physical properties of those fluids. As subsurface engineering technologies have
42 developed, so have the complexity of rock physics models, with descriptions of effects like
43 anisotropy and stress dependence began accounted for albeit as second order corrections to better fit
44 the observations. However, as rock physics moves out of the oil patch, we begin to be faced with
45 new challenges and are forced to ask different questions. When the target formations defy common
46 assumptions, e.g. have low, anisotropic porosity and permeability, when fluids are out of equilibrium
47 and reactive, nontraditional effects begin to dominate. Lower injectivity can lead to higher pumping
48 pressures and low effective stresses. In this paper, we present a case study of the acoustic response
49 of a nontraditional reservoir rock, a naturally fractured dolomite. The data indicate that under certain
50 conditions, particularly at low effective stress, the state of stress exerts primary control of the
51 acoustic response of the sample.

52 Our study focuses on core samples from Kevin Dome, Montana. The particular rock we study is the
53 Duperow dolomite, a relatively low porosity carbonate with pre-existing fractures. This sample
54 location was chosen because it was the site of a pilot geological carbon storage (GCS) project and the
55 unconventional characteristics of the potential reservoir illustrate the challenges of interpreting the
56 physical behavior of such materials for advanced subsurface engineering efforts such as GCS.

57 In this paper, we present the results of a suite of ultrasonic tests to investigate the role of pore fluid
58 substitution and changes in effective stress on the seismic characteristics of fractured carbonate rock.
59 We also investigate the role of natural fracture features e.g. asperity distribution, permeability, on the
60 acoustic response of the system as a function of stress and fluid properties. Anisotropy of both shear
61 wave velocity and shear attenuation are also measured. Our results show that at low effective stress,
62 nonlinear stress effects dominate fluid effects, suggesting strategies for using seismic data to infer
63 stress state in the subsurface.

64 **2 Materials and methods**

65 **2.1 Sample description**

66 As mentioned previously, this study focuses on samples from the Kevin Dome site near Kevin, MT,
67 evaluated by the Big Sky Carbon Sequestration Partnership (BSCSP) as part of a broader study. The
68 project focused on potential CO₂ storage in the Devonian age Duperow Formation, a marine
69 carbonate unit dominated by dolostones. The Duperow formation also contains natural accumulations
70 of CO₂ at some locations at the crest of Kevin Dome, likely sourced from intrusive igneous activity
71 in the nearby Sweetgrass Hills. The test site and broader structure were also the target of a 3D 9C
72 characterization survey as documented by Clochard et al. (2018), the baseline of a proposed 4D 9C
73 dataset.

74 At the test location, the Duperow has multiple seals including the tight upper Duperow and the
75 Potlach Anhydrite, a ~175' thick regional seal. The Duperow formation sits above the Souris River
76 and below the Niskiue Limestone at. Samples were obtained from two test wells drilled by BSCSP,
77 the Danielson 33-17 well (test producer) and the Wallewein 22-1 well (test injector), both of which
78 terminated in the Souris River formation. Extensive continuous core was acquired from both wells in
79 addition to an extensive log suite (Spangler et al., 2020). A key goal in selection of samples from
80 each well was to explore the seismic response of natural fractures in the Duperow that would be
81 relevant to 4D seismic response i.e. fractures with as close to intact morphologies as possible. Since
82 the Middle Duperow was the proposed injection target, our study focused on naturally fractured

83 sections of this subunit; Omozebi et al. (2018) provides a broader description of the Duperow at the
84 site and the associated depositional setting.

85 In order to find candidate samples, cores from the Danielson and Wallewein wells were inspected.
86 We identified a natural fracture at 1029.9 m (3379 ft) in core from the Danielson well (Figure 1c,d).
87 A 38mm diameter by 25.4mm long subcore and several 8mm by 25.4mm subcores that intersect the
88 natural fracture were cored and precision ground to assure planar, parallel faces (Figure 1e). The
89 fracture appears partially mineralized, but came apart easily. An additional 38mm diameter by
90 25.4mm long subcore of intact rock was also made and analyzed for comparison to the fractured
91 sample.

92 The carbonate matrix for the samples was dense; processed density and neutron logs indicated a bulk
93 porosity near the core from which the sample was taken of 3.6%. A non-fractured plug taken near
94 this sample 1029.5 m (3377.61 ft) exhibited a gas permeability of 0.01 mD under 2.76 MPa (400 psi)
95 of confinement and a helium porosity of 3.41% (Spangler et al. 2020). Both secondary measurements
96 suggest that any flow in this section of the Duperow would likely be dominated by fracture rather
97 than matrix permeability.

98 **2.2 Experimental details**

99 **2.2.1 Profilometry**

100 Once machined, the cores were imaged using a white light profilometer to characterize the structural
101 characteristics of the surface. Surface topography was directly measured using an optical scanning
102 profilometer (Nanovea PS50/3000 μ m optical pen). The spatial resolution was 50 μ m along the
103 fracture surface and 0.5 μ m in height. Once collected, the data was imported into MATLAB
104 (MathWorks) for analysis. The natural fracture from the large sample was rough with a relief of ~4
105 mm, and was very well mated.

106 **2.2.2 Pressure Sensitive Film**

107 Pressure sensitive film was used to measure the changing real contact area and local stress with
108 normal stress on the fracture, similar to the approach of Saltiel et al. (2017). The same sample used
109 for ultrasonic tests was put in a uniaxial press and Fujifilm Prescale medium range (12–50 MPa)
110 pressure-sensitive film placed in the fracture. A nominal normal stress of 0.1 to 15 MPa was applied
111 to the sample and held for 5 minutes. The imprint left on the film was then digitized and a
112 calibration applied (Selvadurai and Glaser, 2015) to quantify the local stress on asperities. Beyond 6
113 MPa, the local stress at asperities saturated and accurate measurements could not be made. The
114 calibration and data analysis were performed using MATLAB.

115 **2.2.3 Synchrotron x-ray microtomography**

116 Synchrotron x-ray microtomography was performed at beamline 8.3.2 at the Advanced Light Source
117 (ALS). The sample was scanned using white light and an optical chain consisting of a 500 mm Ce-
118 doped LuAG scintillator (Crytur), 2x Mitutoyo objective lenses with long working distance (0.055
119 numerical aperture), and a pco.edge 2560 pixel x 2160 pixel sCMOS detector, resulting in a pixel
120 size of 3.22 microns with a lateral FOV of 8.24 mm. Small (8mm) cores from the same fracture used
121 for ultrasonic tests were measured. Samples were jacked and put in an X-ray transparent triaxial
122 pressure vessel (e.g. Voltolini et al., 2017) and subjected to hydrostatic pressures from 0 to 15MPa.
123 Scans were collected after steps of several MPa to capture high resolution images of changes in the
124 fracture aperture with confining stress. Once the data was reconstructed, an anisotropic diffusion

125 filter was applied using ImageJ to reduce noise and the fracture was thresholded out from the solid
 126 carbonate. The data was then imported into MATLAB for further analysis.

127 **2.2.4 Ultrasonic tests**

128 The high-pressure ultrasonic system consists of a bolted closure pressure vessel (High Pressure
 129 Equipment) with several electrical feed-throughs (Connex), within which high-pressure ultrasonic
 130 transducer assemblies (New England Research) are installed. A schematic of the experimental
 131 apparatus is presented in Figure 1a. The ultrasonic transducers have a central frequency between 500
 132 kHz and 1 MHz and are capable of recording P-waves and two orthogonally polarized S-waves and
 133 are driven by a high voltage pulser (Panametrics 5077PR) at 100 V. Waveforms are captured with a
 134 digital oscilloscope (Tektronix DPO3014) and transferred to MATLAB for analysis. The coreholders
 135 are plumbed to two high-pressure syringe pumps (ISCO HP500) allowing upstream and downstream
 136 pore fluid pressure control and well as allowing for flow through and pore fluid replacement at high
 137 pressure. Confining pressure is provided by a pressurized nitrogen gas cylinder and regulator.

138 The sample was jacketed with compliant rubber and loaded into the pressure vessel with ultrasonic
 139 transducers and a thermocouple. Assuming a lithostatic overburden (27 MPa) and hydrostatic pore
 140 pressure (10 MPa), the approximate in situ effective stress at 1029.9 m would be 17 MPa. While dry,
 141 the sample was loaded to 17 MPa effective stress at a rate of 0.7 MPa/minute. The pressurization
 142 caused an increase in pressure vessel temperature, so the sample was then allowed to equilibrate until
 143 thermal equilibrium was reached for at least 10 minutes. Ultrasonic velocity measurements were
 144 made at several effective stresses during a depressurization cycle. Measurements were made only
 145 after thermal equilibrium from depressurization steps was complete. The sample was then flooded
 146 with brine and air bubbles purged using a vacuum pump. Maintaining an effective stress of 1 MPa,
 147 the pore pressure and confining pressure were then raised to 10 and 11 MPa, respectively. The pore
 148 pressure was then held constant as the confining pressure was raised to 27 MPa and the temperature
 149 allowed to equilibrate. Ultrasonic measurements were made at several effective stresses during a
 150 depressurization cycle and the sample was allowed to equilibrate thermally between each step. The
 151 brine was then replaced by carbon dioxide until the sample was thoroughly dry. Maintaining an
 152 effective stress of 1 MPa, the pore pressure and confining pressure were once again raised to 10 and
 153 11 MPa, respectively. At room temperature (22°C) and 10 MPa, carbon dioxide is a liquid. The
 154 pore pressure was again held constant as the confining pressure was raised to 27 MPa and the
 155 temperature allowed to equilibrate. Ultrasonic measurements were made at several effective stresses
 156 during a depressurization cycle and the sample was allowed to equilibrate thermally between each
 157 step. The unfractured core was measured using the same loading procedure, but with no pore fluid.
 158 An example of the data collected during a single pressure cycle is presented in Figure 2.

159 **2.3 Waveform analysis**

160 512 waveforms were collected and averaged at each condition. Collected data was of high quality
 161 (high signal-to-noise ratio), so no filters were applied to waveforms prior to analysis. Waveform first
 162 arrivals were determined by manually picking a first arrival for the highest effective stress and using
 163 a cross-correlation method to determine successive lags. The largest uncertainty in calculated
 164 velocities is from the single manual pick, which we estimate to be ± 10 m/s and would be systematic
 165 at every stress condition. Velocities were calculated using the measured sample length and assuming
 166 the length was constant during experiments.

167 We calculate Q using the spectral centroid shift method (Quan and Harris, 1997) using an aluminum
 168 sample as a high-Q standard for spectral comparison. The attenuation coefficient, α , is calculated as,

169
$$\alpha = \frac{\Delta f}{L\sigma_0^2}$$

170 where Δf is the centroid frequency shift between the transmitted and received waveform, L is the
 171 distance traveled and σ is the variance of the spectral centroid of the source. This attenuation
 172 coefficient can be used to calculate the quality factor, Q , as,

173
$$Q = \frac{\pi}{\alpha v}$$

174 where v is the wave velocity.

175 Spectral analysis was performed using only the first arriving waveform and windowed using a half-
 176 cosine taper, following the method of Pyrak-Nolte et al., 1990. VS anisotropy is presented as the ratio
 177 of the fast polarization to the slow polarization and QS anisotropy is presented as the ratio of the
 178 high-Q polarization to the low-Q polarization.

179 **3 Results**

180 **3.1 Effect of pore fluid**

181 The Duperow dolomite is generally a low porosity formation, as is this sample in particular, so the
 182 amount of fluid injected per unit volume is small. As such, the effect of fluid substitution is expected
 183 to be small. Our observations show this to be true; however, measurable differences between the
 184 response of the sample saturated with different fluids types do exist. Plots of the ultrasonic velocities
 185 as a function of effective stress are presented in Figure 3. Consistently, the sample saturated with
 186 atmospheric pressure air has the slowest velocities. This is true for both VP and both polarizations of
 187 VS (Figure 3a,b). The sample saturated with liquid carbon dioxide at 10 MPa has intermediate
 188 velocities and the sample saturated with brine has the highest velocities. The pore fluid has no
 189 discernable effect on VP/VS (Figure 3c) or shear anisotropy (Figure 3d) in the fractured sample.

190 More information can be gained by looking at the attenuation or quality factor, Q , of the samples. Q
 191 data are presented in Figure 4. Similar to velocity, the fractured sample saturated with air has the
 192 lowest Q , carbon dioxide intermediate and brine the highest. This is consistent for both QP and both
 193 polarizations of QS (Figure 4a,b). The sample saturated with air shows slightly elevated QP/QS
 194 compared to carbon dioxide and brine, which show very similar values (Figure 4c). For most
 195 pressures measured, the sample saturated with air and carbon dioxide exhibits slightly elevated Q_s
 196 anisotropy compared to the sample saturated with brine (Figure 4d).

197 **3.2 Effect of effective stress**

198 The large fracture in the sample is appreciably more compliant than the low porosity matrix and
 199 pressure dependence of wave velocities are consistent with closure and stiffening of that feature. VP
 200 increases by ~10% between 0 and in-situ stress conditions while Vs increases by ~20% across the
 201 pressure range. The largest changes in velocity occur between 0 and 5 MPa, though velocities
 202 continue to increase up to in situ stress conditions and the slope of the velocity-pressure curves are
 203 near constant between 5 and 17 MPa, indicating that the crack continues to stiffen. Comparison of
 204 the data from the fractured sample to the intact sample shows that both VP and VS are faster in the
 205 intact sample (Figure 1a,b). The qualitative effective stress dependence of VP in the intact sample is

206 similar to the fractured sample, although there is slightly less stress dependence at the higher stresses.
207 The stress dependence of VS in the intact sample is different than that of the fractured sample,
208 showing less stress dependence over the entire measured stress range. In the fractured sample,
209 VP/VS is reduced as pressure increases. Vs is more sensitive to the state of the fracture than VP, so
210 the reduction of VP/VS with pressure is consistent with fracture closure and stiffening. There
211 appears to be a break in the slope of the VP/VS-pressure curve around 5 MPa, which suggests a
212 change in the nature of the crack closure at that point. The intact sample show much less stress
213 dependence in VP/VS with a generally positive trend. The data from the intact sample have the same
214 slope break around 5 MPa, suggesting that this represents a closure stress for microcracks or open
215 grain boundaries. In the fractured sample, Vs anisotropy is reduced from near 9% at low pressure to
216 between 4 and 5% at 17 MPa. There is more scatter in this data, but there also appears to be a
217 transition from high pressure dependence to low pressure dependence around 5 MPa. The intact
218 sample shows no stress dependence to shear anisotropy.

219 Attenuation exhibits similar stress dependence to velocity, though results vary by saturating fluid. In
220 the brine saturated experiment, QP increases from 60 to 100 from 0 to 17 MPa, while air and CO₂
221 saturated samples exhibit a larger increase from initially lower values, from 30 to 100. The whole
222 sample increases from 85 to 110 across the same stress range. QS varies less between saturating
223 fluids, with all fractured samples increasing from ~50 to 80 between 5 and 17 MPa effective stress.
224 Below 5 MPa, the signals from S-waves in the fractured sample were swamped by converted energy
225 from the P-wave, making it impossible to recover the spectral information necessary to compute QS;
226 however, this observation in itself attests to the substantial reduction of Qs below this 5 MPa
227 threshold. The whole sample increases from 40 to 130 over the stress range 2 to 17 MPa. QP/QS is
228 reduced as pressure is increased for all samples. Without QS values below 5 MPa, we cannot
229 calculate this ratio for very low stress, but stress-dependence is reduced as stress increases.
230 Interestingly, QS anisotropy exhibits the opposite trend to VS anisotropy and increases with stress.
231 Consistently, the stress dependence appears to be greater at low stress. The intact sample exhibits
232 higher QP and QS than the fractured sample at all stresses and consistently lower QP/QS and
233 anisotropy.

234 **3.3 Fracture microstructures**

235 Close investigation of the physical state of the fracture yields some clues as to the mechanism of the
236 observed changes. Profilometry data from the initial characterization are presented in Figure 5.
237 Initial inspection of the profilometry scan shows that the fracture is slightly inclined, being slightly
238 higher in the upper right quadrant and slightly lower in the lower left quadrant as shown in Figure 5.
239 Additionally, there a linear trend to the topography from the upper left to the lower right. Line
240 profiles parallel and normal to this trend show that the fracture is generally rougher in the lineation
241 normal direction than in the lineation parallel direction. This intrinsic geometric anisotropy is likely
242 what results in the observed anisotropic wave propagation, but still the opposite pressure
243 dependences of attenuation and velocity anisotropy suggest that these two behaviors are the result of
244 different mechanisms.

245 As pressure is increased and the fracture deforms, there are two main structural changes: changes in
246 asperities and changes in fracture aperture. To look at changes in asperity geometry and stress state,
247 pressure sensitive film measurements were made at a small range of pressures (Figure 6). Analysis
248 of pressure sensitive film data are presented in Figure 7. It can clearly be seen that both the number
249 and size of asperities grows as stress increases, as would be expected. This in itself does not contain
250 information about anisotropy. Previous theoretical work has suggested that elliptical asperity shape

251 can lead to elastic anisotropy (Misra, 1999). We use asperity shape data from the pressure sensitive
 252 film to test this hypothesis. First the asperities are segmented and watershed partitioning used to
 253 separate individual asperities. When a best fit ellipse is fit to each asperity, it can be seen that mean
 254 asperity eccentricity decreases as the fracture closes (Figure 7c). The evolution of asperity geometry
 255 is consistent with the trend we observe in velocity anisotropy. As shear waves do not propagate
 256 through fluid-filled voids, it follows that shear waves propagate through asperities, and therefore will
 257 be sensitive to the shape of those asperities.

258 As the fracture closes and asperities grow and evolve, the fracture aperture is also shrinking and its
 259 structure evolving. To quantify the changes in the fracture aperture under pressure, we subjected a
 260 sample from the same fracture measured in ultrasonic experiments to a range of effective stress
 261 while imaging using synchrotron X-ray microCT. The subcores used for microtomography
 262 experiments were displaced roughly 1 cm laterally along the natural from the subcore used for
 263 ultrasonic measurement. Using these data, we can measure the structural changes in the fracture
 264 during deformation. Aperture maps and quantification of the data are presented in Figures 8 and 9.
 265 The mean aperture is reduced as confining stress increases and the fracture closes, but several deep
 266 channels remain open. The mean aperture is reduced roughly linearly with pressure (Figure 9a), but
 267 that cannot explain the changes in attenuation anisotropy. The preferred orientation that was
 268 observed from profilometry can be seen in tomography data as well. To assess the effect of this
 269 change in structure with pressure, the tomography data was used to set up digital flow simulations
 270 and the results were used to calculate the permeability anisotropy of the fracture with pressure (e.g.
 271 Zuo et al., 2017). For the purposes of the digital flow simulation, the permeability of the matrix
 272 outside the fracture was assumed to be negligible. Permeability anisotropy is increased as pressure is
 273 increased and aperture is reduced as more flow is focused in open channels. This effect is greatest at
 274 low pressure and levels off at higher pressure. The trend of evolution in permeability anisotropy with
 275 pressure is consistent with the trend of shear attenuation anisotropy (Figure 9b). The majority of the
 276 attenuation at ultrasonic frequencies is due to scattering of structures comparable in size the
 277 wavelength of passing waves, so it is likely the large acoustic impedance contrast between the solid
 278 rock and open fracture channels results in this correlation between attenuation and permeability.

279 **4 Discussion**

280 **4.1 Comparison to literature**

281 Data from this study are consistent with ultrasonic velocities of dolostones from the literature, but the
 282 further information garnered from documenting stress dependence and shear wave anisotropy offer
 283 additional insight into the physical processes at play. The ranges of velocities observed in this study,
 284 ~5600-6200 m/s for VP and ~2500-3200 m/s for Vs, are typical for dolostones with low porosity
 285 (Nur and Simmons, 1969; Baechle et al., 2008). However, the pressure dependence of our samples is
 286 substantially greater than typically measured. For example, a compilation of ultrasonic velocity
 287 pressure dependence data for several carbonate rocks by Anselmetti and Eberli (1993), found
 288 changes in velocity of 2 to 5% across a similar pressure range, compared to the changes in VP of
 289 10% and VS of up to 20% in our sample. This is likely due to the orientation and compliance of the
 290 single fracture in our experiment compared to distributed fractures and equant pores more typical of
 291 samples used for ultrasonic characterization. Utilizing similar sample geometry, but loading the
 292 sample axially instead of hydrostatically, Pyrak-Nolte et al. (1990) found changes in both VP and VS
 293 of 10-20% across the pressure range 1.4 to 85 MPa. This is generally comparable to our results, as
 294 the stress dependence of velocity is significantly decreased above 10 MPa, although the effect of
 295 differences in loading geometry is difficult to assess.

296 Data on seismic attenuation across single fractures are sparser, but the values we recover are of the
 297 same order of previously reported values with some notable exceptions. Comparison of our data to
 298 that in the literature suggests discrepancies may be due to methods of data analysis. The spectral
 299 ratio method is typically used to calculate attenuation from ultrasonic data. This method requires the
 300 selection of an appropriate frequency band across which the spectral ratio of a sample and a known
 301 sample are a linear function of frequency. Using this method, values of QP of 46 for salt (Sears and
 302 Bonner, 1981), 25 for sandy sediment (Prasad, 2002), and 30 for high porosity carbonates (Adam et
 303 al., 2009). Corresponding QS values are 10 for salt, 20 for sediment, and 50 for carbonates.
 304 However, it is often the case that a sufficiently linear frequency band cannot be identified and this
 305 method cannot be used accurately. Pyrak-Nolte et al. (1990) report this to be the case in their
 306 analysis, and therefore apply a slightly modified method to recover values between 8 and 39 for QP
 307 and between 12 and 71 for QS.

308 A recent study of ultrasonic wave propagation across a single joint used a similar modified method to
 309 recover QP values between 6 and 13 (Yang et al., 2019). If the original and modified spectral ratio
 310 methods of analysis are consistent, that means that more energy is attenuated across a single fracture
 311 in an otherwise intact rock sample than in loosely consolidated sediments. It seems possible that this
 312 modified method produces artificially low Q values. We also were not able to find a sufficiently
 313 linear frequency band across which to calculate Q, so chose instead to use the frequency shift method
 314 (Quan and Harris, 2002). This method requires a priori knowledge of the source spectra, but this is
 315 easily achievable in the lab, and the results are remarkably consistent and stable without the need to
 316 choose a particular frequency band for the analysis. Using this method, we recover values of QP and
 317 QS similar to sediments and high porosity carbonates at low pressure when the fracture is open and
 318 values more typical of stiff, low porosity rocks at higher pressures when the fracture is closed.

319 4.2 Failure of a Gassmann model

320 Comparing our results to Gassmann's fluid substitution model allows us to assess the applicability of
 321 common rock physics models to low porosity, fractured rocks. Gassmann's (1951) theory predicts
 322 saturated bulk and shear moduli based on the physical properties of constituent materials:

$$323 \quad K_{sat} = K_{dry} + \frac{\left(1 - \frac{K_{dry}}{K_{min}}\right)^2}{\frac{\phi}{K_{fl}} + \frac{1 - \phi}{K_{min}} - \frac{K_{dry}}{K_{min}^2}}$$

$$324 \quad \mu_{sat} = \mu_{dry}$$

325 K_{sat} is the saturated bulk modulus, K_{dry} is the bulk modulus of the dry frame, K_{min} is the bulk
 326 modulus of the constituent mineral, K_{fl} is the bulk modulus of the fluid, ϕ is the porosity, μ_{sat} is
 327 the shear modulus of the saturated rock and μ_{dry} is the shear modulus of the dry frame. We use a
 328 K_{min} of 76.4 GPa (Nur and Simmons, 1969), a K_{Brine} of 2.15 GPa (Batzle and Wang, 1992), a
 329 $K_{(CO_2)}$ of 0.158 GPa (calculated from the NIST webbook of the thermophysical properties of
 330 fluid systems), a ϕ of 3%, and we calculate K_{dry} and μ_{dry} from our own experimentally measured
 331 values of VP and VS from the dry, air-saturated experiment using the equations:

$$332 \quad \mu = \rho V_S^2$$

$$K = \rho V_p^2 - \frac{4}{3}\mu$$

333

334 We use a ρ of 2.851 g/cm³, as measured from our sample. These equations are also used to calculate
 335 predicted velocities based on the calculated saturated moduli. The results of this model are presented
 336 in Figure 10.

337 The poor fit of the model should come as no surprise as our experiment violates many of the
 338 assumptions of the Gassmann model. This should be instructive to those who would apply such a
 339 model uncritically to field data, as has been cautioned by others as well (Smith et al., 2003). The
 340 model fits the VP data for the brine-saturated sample fairly well at the highest pressures investigated,
 341 less so the carbon dioxide-saturated sample. At low pressures, the model fails worse. At all
 342 pressures, the model overpredicts VP for both samples. The dry moduli we use are derived from an
 343 ultrasonic experiment, with frequencies over 1 MHz, while the Gassmann model is formulated at the
 344 low-frequency limit. Dispersion of the wave velocity may account for the general overprediction.
 345 This does not, however, explain the worse fit at low pressures. One possibility is that the opening of
 346 the fracture at low pressures leads to an increase in porosity that we do not account for in our model;
 347 however, even at the lowest pressure the volume of the fracture is no more than 0.9% of the volume
 348 of the sample, which cannot account for this difference. Another possibility is the heterogeneity of
 349 the pore structure, i.e. the presence of a large through-going fracture in a low porosity rock, violates
 350 the assumption that fluids are in homogeneous communication throughout the porous solid. Lastly, a
 351 third possibility is that dispersion itself is pressure dependent, with greater dispersion at lower
 352 pressures. Theoretical work has been done to suggest this may be due to decreasing numbers of
 353 asperities at lower pressure (Rubino et al., 2014), but it is clear this phenomenon bears further study.
 354 The model under-predicts Vs for both samples at all pressures. This may be due to the violation of
 355 another assumption of the Gassmann model, namely that the pore fluid does not react with the dry
 356 frame. Our data indicate that the saturation of the sample with both brine and carbon dioxide yield
 357 small increases in the shear modulus compared to the dry sample. Saturation with brine has been
 358 observed to modify the shear modulus of carbonate rocks (e.g. Adam et al., 2009), but in that case the
 359 effect of the fluid was to reduce the modulus. This effect was attributed to small amounts of clay in
 360 the rocks. The pore structures of the rocks measured in that study were significantly different than
 361 the single fracture measured in this study. The shear stiffness of our sample is largely controlled by
 362 the asperity structure of the through-going fracture. It is possible that the wetting of the pore fluid to
 363 the asperities modifies the effective mechanical properties of the fracture, though this speculation
 364 also requires more study.

365 4.3 Isolated fracture properties

366 Data from the intact and fractured samples can be used to isolate the properties of the fracture itself.
 367 First, the velocity data are used to calculate elastic moduli. The expression for shear modulus is
 368 presented in equation 5 and the formula for calculating Young's modulus, E, is

369

$$E = \frac{\rho V_s^2 (3V_p^2 - 4V_s^2)}{V_p^2 - V_s^2}$$

370 We use our experimental data to calculate the moduli as a function of effective stress, presented in
 371 Figure 11. The shear and Young's moduli of the intact sample are both greater than those of the
 372 fractured sample. The stress dependence of both moduli is lower in the intact sample. In the

373 fractured sample, the brine and carbon dioxide saturated Young's moduli are very similar, while the
 374 moduli of the air saturated sample are consistently lower. This is likely due to the higher
 375 compressibility of air compared to liquid brine and carbon dioxide. Interestingly, the shear moduli
 376 show greater variation due to different pore fluids. This is contrary to common assumptions about
 377 the effect of fluids on shear deformation, although this deviation has been observed before (i.e. Adam
 378 et al., 2006).

379 The moduli are related to the inverse of the sample compliance. If we assume the compliance of the
 380 intact sample represents the compliance of the matrix, this can be subtracted from the fractured
 381 sample compliance to recover the fracture compliance itself. Using the sample geometry, this
 382 compliance can be converted to a stiffness. Analogously, the quality factors are the inverse of
 383 sample attenuation. If we subtract the attenuation in the intact sample, we can recover the attenuation
 384 introduced by the fracture. Fracture-specific stiffness and quality factor are presented in Figure 12.
 385 With the exception of the data points at the highest effective stress, both the shear and normal
 386 stiffness of the fracture show a quasi-linear trend with effective stress. It is unclear why the data
 387 show such large deviation at the highest effective stress, but the brine and carbon dioxide
 388 experiments were performed after the air experiment, so we cannot rule out the possibility that the
 389 sample was somehow plastically deformed due to the repetitive loading. Neglecting this data, the
 390 normal stiffness shows very little variation due to different pore fluids at all stresses. The shear
 391 stiffness, however, does appear to exhibit more variation with different pore fluids, again pointing to
 392 some nontraditional interaction between the pore fluid and the solid.

393 Among the fracture specific properties, attenuation is a better indicator of fluid composition than
 394 stiffness. Compressional attenuation is higher in the carbon dioxide saturated sample than the brine
 395 saturated sample at low effective stress, but this effect is minimized at elevated stress. Assuming the
 396 majority of attenuation is due to scattering, this observation is consistent with variations in acoustic
 397 impedance of the different fluids leading to different amounts of scattering. Shear attenuation also
 398 discriminated between the brine sample and the carbon dioxide sample at low effective stress. This
 399 effect is harder to explain. Because shear waves do not propagate in fluids, the shear impedance of
 400 each fluid is the same, so variations in scattering cannot be attributed to variations in impedance.
 401 This suggests that whatever mechanism is leading to changes in shear modulus is also leading to
 402 changes in dissipation. This is an observation that deserves further inquiry.

403 4.4 Fracture reflectivity

404 If we are to apply our laboratory data to the field, we need to produce a quantity that can be observed
 405 using common techniques. Fractures are common interpretations of scatterers in seismic data. After
 406 Pyrak-Nolte et al., 1990, we can use our fracture stiffness data to calculate fracture reflectivity, R

$$407 \quad R(\omega) = \frac{-i\omega}{\left(-i\omega + 2\left(\frac{k}{Z}\right)\right)}$$

408 where ω is the angular frequency, k is the stiffness and Z is the acoustic impedance. Assuming
 409 minimal dispersion, we can use this to plot reflectivity at 1Hz as a function of effective stress for our
 410 sample, presented in Figure 13.

411 These data indicate that changes in stress, perhaps those due to injection or other related activities,
 412 lead to greater changes in reflectivity than changes in fluid composition. It is clear that changes in

413 fluid composition result in little change in compressional reflectivity. There is a slightly larger effect
 414 of fluids on shear reflectivity, but the effect is still in the range of a few percent. For both
 415 compressional and shear reflectivity, the increase or reductions of a few MPa of stress can result in
 416 half and order or magnitude or more change in reflectivity. This can be a tool for monitoring the
 417 interrelated processes of fluid migration and geomechanical response in the subsurface. It also
 418 suggests that caution should be used when interpreting seismic data in fractured reservoirs. Changes
 419 in seismic response due to stress could easily be mis-interpreted as fluid-substitution effects.

420 **4.5 Nonlinearity**

421 Our data suggest another possible tool for monitoring stress in the subsurface: nonlinearity. Both
 422 velocity and attenuation are highly stress dependent, particularly at low effective stress. This is
 423 particularly evident if you look at the stress dependence by taking a numerical derivative of the data
 424 as a function of effective stress, presented in Figure 14.

425 The effective stress dependence of the acoustic properties could be leveraged to infer stress state in
 426 the subsurface. The stress dependence of both velocities and attenuations is roughly constant above
 427 about 10 MPa, but below this threshold, there is significant nonlinear behavior. If observations are
 428 made over time and there is some variation in effective stress, this behavior would result in changes
 429 in velocity and attenuation. Naturally occurring cyclic variations in stress could be leveraged for this
 430 purpose. Recent work has used tidal stress changes to map nonlinearity as a function of azimuth in
 431 order to infer stress orientation in areas where no other data are available, yielding very promising
 432 results (Delorey et al., 2021). This technique is relatively immature, but may prove an invaluable
 433 noninvasive tool for monitoring the evolution of stress in fractured rock.

434 **5 Conclusion**

435 We conducted ultrasonic velocity measurements on intact and naturally fractured dolostone samples
 436 from Kevin Dome, Montana, while saturated with brine, air and carbon dioxide to assess the relative
 437 effects of fluid substitution and stress variation on acoustic response. Across the measured effective
 438 stress range, V_p increases by $\sim 10\%$ while V_s increases by $\sim 20\%$. Both Q_p and Q_s increase by
 439 $\sim 200\%$. Fluid substitution yields changes in V_p between 1 and 2% and V_s nearly 1% while Q_p
 440 changes up to 35% and Q_s , 30%. The experiments also show substantial changes in shear anisotropy
 441 due to changes in pressure. Shear velocity anisotropy correlates closely with asperity geometry and
 442 shear attenuation anisotropy correlates with changes in aperture. Over the conditions measured, the
 443 effect of stress dominates the effect of fluid composition for our sample. At low effective stress, both
 444 velocity and attenuation are nonlinear, a property that should be explored in greater detail.

445 **6 Conflict of Interest**

446 *The authors declare that the research was conducted in the absence of any commercial or financial*
 447 *relationships that could be construed as a potential conflict of interest.*

448 **7 Author Contributions**

449 HL and JAF conceived of and designed the experiments as well as contributed to the preparation of
 450 the manuscript. HL performed the experiments and analysed the data.

451 **8 Funding**

452 All core samples discussed were acquired by the Big Sky Carbon Sequestration Partnership, funded
453 under DOE Award Number DE-FC26-05NT42587. Research presented was funded in part by the Big
454 Sky Carbon Sequestration Partnership (BSCSP) by the U.S. Department of Energy and the National
455 Energy Technology Laboratory through award number DE-FC26-05NT42587 and in part by the U.S.
456 Department of Energy, Office of Science, Office of Basic Energy Sciences, Chemical Sciences,
457 Geosciences, and Biosciences Division, through its Geoscience program at LBNL under Contract
458 DEAC02-05CH11231. Parts of this research used resources of the Advanced Light Source, which is
459 a DOE Office of Science User Facility under contract no. DE-AC02-05CH11231.

460 **9 Acknowledgments**

461 The authors would like to thank Paul Cook and Todd Wood for technical assistance with the
462 ultrasonic equipment, Seiji Nakagawa for assistance in performing the profilometry, Seth Saltiel and
463 Paul Salvadurai for providing guidance on pressure sensitive film measurements, Marco Voltolini,
464 Alastair MacDowell and Dula Parkinson for assistance running microtomography experiments and
465 permeability simulations, Thomas Tamarkin for sample preparation and Brian Bonner for general
466 laboratory expertise.

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528 11 Data Availability Statement

529 The datasets generated for this study are available upon request from the corresponding author.

530 Figure 1. Experimental apparatus and sample. a) Schematic of high-pressure ultrasonic setup, b)
 531 side view of naturally fractured core from Danielson well, red outline indicates the location the sub-
 532 sample was taken from, c) top view of the core with sub-sample location in red, d) final 38.1mm
 533 diameter sub-core with square, polished ends showing natural, through-going fracture, e) picture of
 534 jacketed sample and experimental apparatus.

535 Figure 2. P-waves and power spectra from 0.2 MPa to 17 MPa effective stress for the sample
 536 saturated with brine. Both quantities are presented in arbitrary units. Darker shades indicate lower
 537 pressures. Increasing pressure leads to a systematic reduction of the first arrival time and an increase
 538 in the spectral centroid and maximum amplitude.

539 Figure 3. Velocity data for all experiments. Data from the fractured sample saturated with air are in
 540 green, carbon dioxide in red and brine in blue. Data from the whole core are in black. a) P-wave
 541 velocity versus effective stress, b) S-wave velocity versus effective stress. S1 is triangular symbols,
 542 S2 is circles and the average of the two is crosses. c) VP/VS versus effective stress. d) Shear
 543 velocity anisotropy versus effective stress.

544 Figure 4. Q data for all experiments. Data from the fractured sample saturated with air are in green,
 545 carbon dioxide in red and brine in blue. Data from the whole core are in black. a) QP versus
 546 effective stress, b) QS versus effective stress. S1 is triangular symbols, S2 is circles and the average
 547 of the two are crosses. c) QP/QS versus effective stress. d) QS anisotropy versus effective stress.

548 Figure 5. Profilometry data. a) Data from the entire surface shows total relief of nearly 4.5mm. A
 549 lination is indicated by the black lines extending from the top left to bottom right of the map. b)
 550 Line profiles parallel and normal to the lination direction show increased roughness normal to the
 551 lination.

552 Figure 6. Data from pressure sensitive film at a) 0.1 MPa, b) 1 MPa, c) 3.5 MPa and d) 6 MPa
 553 nominal normal stress on the fracture. Colorbar indicates stress in MPa. Real area of contact is a
 554 relatively low percentage of the nominal area of the fracture and asperities exhibit significant stress
 555 intensification at all nominal stresses.

556 Figure 7. Analysis of pressure sensitive film data. a) Number of asperities versus effective normal
 557 stress, b) Percent real contact area versus effective normal stress and c) Mean asperity eccentricity
 558 versus effective normal stress. Shear anisotropy versus effective stress from ultrasonic experiments
 559 is also plotted for reference, using effective effective stress to approximate effective normal stress.

560 Figure 8. Fracture aperture as measured from synchrotron micro-CT at a) 2 MPa, b) 6 MPa, c) 11
 561 MPa and d) 15 MPa. Colorbar is aperture in microns. White patches are asperities. Sample
 562 diameter is 8.92 mm. As pressure increases, asperities grow and the aperture becomes more
 563 channelized.

- 564 Figure 9. Analysis of tomography data. A) Fracture aperture versus effective stress. B) Permeability
565 anisotropy from Stokes flow simulations versus effective stress. QS anisotropy versus effective
566 stress from ultrasonic experiments is also plotted for reference.
- 567 Figure 10. Comparison of measurement to Gassmann fluid substitution model. a) VP versus effective
568 stress, b) Predicted versus measured VP, c) VS versus effective stress, b) Predicted versus measured
569 VS.
- 570 Figure 11. Elastic moduli calculated from velocity data. a) Young's modulus, E, and b) shear
571 modulus, μ .
- 572 Figure 12. Fracture specific properties as a function of effective stress. a) Normal stiffness, b) shear
573 stiffness, c) compressional attenuation, d) shear attenuation.
- 574 Figure 13. Reflectivity calculated from fracture specific stiffness values. a) Compressional
575 reflectivity versus effective stress, b) shear reflectivity versus effective stress. Data from the fractured
576 sample saturated with air are in green, carbon dioxide in red and brine in blue.
- 577 Figure 14. Effective stress dependence of acoustic properties. Effective stress derivative of a)
578 compressional velocity, b) shear velocity, c) compressional quality factor, d) shear quality factor as a
579 function of effective stress. Data from the fractured sample saturated with air are in green, carbon
580 dioxide in red and brine in blue. Data from the whole core are in black.