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Centrifuge modeling of temperature effects on the pullout capacity of torpedo piles in soft clay

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32 **Abstract**

33 This study presents the results from centrifuge modeling experiments performed to understand  
34 the effects of temperature changes on the vertical pullout capacity of scale-model torpedo piles  
35 embedded in soft clay layers. The model torpedo pile is a pointed stainless-steel cylinder with  
36 fins at the top, installed by self-weight to the base of a clay layer using a stepper motor. An  
37 internal electrical resistance heater was used to control the pile temperature. The torpedo pile  
38 was first heated until the temperature and pore water pressure of the surrounding clay layer  
39 stabilized (drained conditions), after which the torpedo pile was cooled. Pullout tests performed  
40 on torpedo piles indicate that allowing drainage of excess pore water pressures induced by  
41 heating to different temperatures followed by cooling leads to an increase in axial pullout  
42 capacity with maximum temperature but does not affect the pullout stiffness. Push-pull T-bar  
43 penetration tests performed before and after pile heating indicate that an increase in undrained  
44 shear strength of the clay occurs near the torpedo pile, and post-test gravimetric water content  
45 measurements indicate a greater decrease in void ratio occurred in the soil layers heated to  
46 higher temperatures. The pullout capacity of the torpedo pile was found to follow a linear trend  
47 with maximum pile temperature change, but with a smaller slope than that observed for end-  
48 bearing energy piles tested in previous studies in the same clay.

49

50 **Keywords:** Thermal improvement, torpedo piles, centrifuge physical modelling, pullout testing

51

52 **List of symbols**

53	A	Area
54	$c_u$	Undrained shear strength
55	D	Pullout displacement
56	$e$	Void ratio
57	$H_w$	Height of water above the surface of the clay layer
58	N	Centrifuge g-level
59	$Q_{ult}$	Pullout capacity
60	$T_{pile}$	Temperature of the pile
61	$\Delta F$	Percent change in pile pullout capacity
62	$\Delta T$	Change in temperature
63	$\Delta H$	Change in soil layer height
64	$\Delta u_w$	Change in pore water pressure

65 **1. Introduction**

66 Torpedo piles are an economical method for anchoring offshore floating structures in deep  
67 water soil deposits (Bonfim dos Santos et al. 2006; Gilbert et al. 2008). Different sizes of  
68 torpedo piles are used in practice, with diameters varying from 0.75 to 1.10 m and lengths  
69 varying from 10 to 12 m. Larger torpedo piles are typically not encountered due to limitations  
70 in the capacities of transport vessels and offshore handling equipment. Although torpedo pile  
71 installation is cost effective as they are installed into the seafloor by self-weight penetration  
72 under high velocities associated with free fall through the water, the process of transporting the  
73 torpedo piles to a given location and rigging them for installation may be time consuming.  
74 Accordingly, any method to increase the pullout capacity of a torpedo pile so that the total  
75 number of piles required to anchor an offshore floating structure can be reduced will lead to  
76 significant cost savings. If the torpedo pile is embedded into clay layers in deep water conditions  
77 offshore, conventional soil improvement techniques like surcharge loading, vertical drains,  
78 electro-osmosis, are difficult to implement. Instead, thermal consolidation may be a useful  
79 approach for improvement of the soil surrounding the pile.

80 The concept of soil improvement using thermal consolidation is shown in Figure 1 for a  
81 torpedo pile installed within a clay layer. In this method, the torpedo pile is equipped with an  
82 internal heating element powered by either an electrical resistance heater or a chemical reaction.  
83 After installation, the heater can be operated to reach a target temperature or energy output.  
84 Depending on the drainage conditions of the soil, changes in pore water pressure  $\Delta u_w$  and soil  
85 surface settlement  $\Delta H$  are expected for a given change in temperature  $\Delta T$ . For undrained  
86 conditions, it is well known that changes in temperature will lead to an increase in pore water  
87 pressure (Campanella and Mitchell 1968), with a magnitude depending on the plasticity index,  
88 initial void ratio, and initial effective stress (Ghaaowd et al. 2017). Further, heating of soils in  
89 undrained conditions will coincide with an initial thermo-elastic expansion (upward  $\Delta H$ ) of the  
90 soil around the pile (Uchaipichat and Khalili 2009). However, fully undrained conditions are  
91 not expected in the field for the boundary conditions shown in Figure 1. Instead, it is expected  
92 that the low permeability clay surrounding the heated torpedo pile will have partial drainage  
93 conditions, with some increase in pore water pressure during heating but less than that observed  
94 in fully undrained conditions. Regardless, the increase in pore water pressure in the clay next  
95 to the heated pile will lead to a hydraulic gradient. This hydraulic gradient will cause water to  
96 flow away from the pile and dissipation of the excess pore water pressure, a process referred to  
97 as thermal consolidation (Zeinali and Abdelaziz 2021). An increase then decrease in pore water

98 pressure around a heating element in soft clay was observed in centrifuge modeling experiments  
99 by Maddocks and Savvidou (1984). It is important to maintain the elevated temperature during  
100 this water flow process, during which a permanent contraction of the soil is expected if the soil  
101 is normally consolidated or lightly overconsolidated (downward  $\Delta H$ ). The contraction of  
102 normally consolidated and lightly overconsolidated soils during drained heating has been  
103 observed in several element-scale studies (e.g., Baldi et al. 1988; Burghignoli et al. 2000;  
104 Cekerevac and Laloui 2004; Abuel-Naga et al. 2007a, 2007b; Towhata et al. 1993; Vega and  
105 McCartney 2015; Takai et al. 2016; Samarakoon and McCartney 2020). The coupling between  
106 changes in temperature and settlement have also been observed in the field. Bergenstahl et al.  
107 (1994) applied a change in temperature of approximately 60 °C to a 10 m-thick layer of clay  
108 over the course of 8.5 months and observed a thermally-induced settlement of 37 mm, while  
109 Pothiraksanon et al. (2010) applied a change in temperature of approximately 60 °C over the  
110 course of 200 days, and observed a thermally-induced settlement of approximately 120 mm.  
111 Cooling is expected to lead to a further contraction of the soil surrounding the pile, although  
112 contraction during cooling may depend on the rate of cooling. Thermal improvement is only  
113 appropriate for normally consolidated and lightly consolidated soils, as heavily  
114 overconsolidated soils are expected to expand and contract elastically during heating and  
115 cooling, respectively.

116 The in-situ heating-cooling process shown in Figure 1 is expected to lead to a reduction in  
117 void ratio  $e$  of the normally consolidated or lightly overconsolidated soil surrounding the  
118 torpedo pile. This is expected to lead to an increase in the average undrained shear strength of  
119 the soil layer along the length of the torpedo pile, which will in turn lead to an increase in the  
120 pullout capacity of the torpedo pile. The mechanisms of thermal improvement in the undrained  
121 shear strength of soft clay have been evaluated in element-scale tests in studies like Houston et  
122 al. (1985), Abuel-Naga et al. (2007c) and Samarakoon et al. (2018). These studies generally  
123 found that undrained shearing of soils after drained heating will lead to an increase in undrained  
124 shear strength. Houston et al. (1985) found that shearing of soils after undrained heating will  
125 lead to a decrease in undrained shear strength associated with the reduction in effective stress  
126 associated with the thermally induced pore water pressures. Accordingly, it is critical to ensure  
127 that the heating process is sufficiently long for the excess pore water pressures to dissipate.  
128 While most previous of the studies mentioned above focused on the increase in undrained shear  
129 strength due to heating alone, Samarakoon et al. (2018) found that a heating-cooling cycle leads  
130 to a further increase in shear strength of normally consolidated soil, and that the initial void  
131 ratio may play a role in the amount of change in shear strength of the soil. This observation is

132 supported by the thermal consolidation experiments of Burghignoli et al. (2000) and Vega and  
133 McCartney (2015) who found that a heating-cooling cycle will lead to a further reduction in  
134 volume of soils than that encountered after heating alone.

135 There is evidence in the literature that thermal consolidation may be a useful technique to  
136 improve the pullout capacity of torpedo piles. Centrifuge modeling studies like Ghaaowd et al.  
137 (2018) and Ghaaowd and McCartney (2018, 2021) found that the pullout capacity of end-  
138 bearing piles embedded in soft clays could be improved by thermal consolidation induced by a  
139 heater embedded within the pile. The piles investigated in these previous studies extended  
140 through the full length of the clay layer, so thermal consolidation only affected the side shear  
141 resistance. Ng et al. (2014, 2021) performed centrifuge modeling studies on semi-floating  
142 energy piles in soft clay and observed settlement of the piles during cycles of heating and  
143 cooling, which can be attributed to thermal consolidation. However, they did not load the  
144 energy piles to failure after the heating-cooling cycles. Yazdani et al. (2019) performed pressure  
145 chamber tests to study the effects of elevated temperature on the shaft resistance of an energy  
146 pile in soft clay and observed an increased side shear capacity with increased temperature.  
147 Yazdani et al. (2021) attributed this increase to an increase in lateral earth pressure because the  
148 heating period in the experiments was not sufficient to permit full drainage of the pore water  
149 pressures. Different from the previous studies, torpedo piles are typically fully embedded within  
150 a soil layer, so heating may lead to an improvement in both the side shear capacity and the  
151 upward end bearing capacity. This justifies the need to perform further experiments on the  
152 effects of temperature on the pullout capacity of torpedo piles.

153 This study uses a centrifuge modeling approach developed by Ghaaowd et al. (2018) and  
154 Ghaaowd and McCartney (2021) to evaluate the effects of drained heating-cooling cycles on  
155 the pullout capacity of torpedo piles embedded in soft clay layers. Centrifuge modeling was  
156 used in this study because the pore water pressure generation during undrained heating is  
157 sensitive to the initial mean effective stress in the clay layer, and the effective stresses in a  
158 centrifuge model clay layer are similar to those in a prototype clay layer. Centrifuge modeling  
159 also permits the use of time scaling to simulate the effects of long duration heating-cooling  
160 cycles in the field, as diffusive time scales according to  $1/N^2$  where  $N$  is the g-level (Ng et al.  
161 2020). The Actidyne C61-3 centrifuge at the University of California San Diego was used to  
162 perform four tests on torpedo piles in separate clay layers. The four tests involved installation  
163 of self-weight installation of the torpedo pile into the clay layers at a constant displacement rate,  
164 heating to different target temperatures (20, 45, 65, and 80°C), cooling back to ambient  
165 temperature of 20 C, and vertical pullout of the torpedo pile at a constant displacement rate. In

166 addition to presentation of the pullout versus displacement curves for the four tests, T-bar  
167 penetration results permit evaluation of the impact of the heating-cooling cycle on the undrained  
168 shear strength profiles of the clay layer near the torpedo piles.

## 169 **2. Materials**

170 The soil used in the centrifuge modeling experiments was kaolinite clay obtained from  
171 M&M Clays Inc. of McIntyre, Georgia. The liquid limit of the kaolinite clay is around 47% and  
172 the plastic limit was 28%, so the clay classifies as CL according to the Unified Soil  
173 Classification Scheme (USCS). An isotropic compression test performed by Ghaaowd and  
174 McCartney (2021) indicates that the slopes of the normal compression line ( $\lambda$ ) and the  
175 recompression line ( $\kappa$ ) for the clay are 0.080 and 0.016, respectively. The hydraulic  
176 conductivity of this kaolinite ranges from  $2.8 \times 10^{-9}$  to  $8.2 \times 10^{-9}$  m/s for void ratios ranging from  
177 1.05 to 1.45, respectively. These values are relatively small indicating that partially drained  
178 conditions can be expected during heating of the torpedo pile as shown in Figure 1. Ghaaowd  
179 and McCartney (2021) reported that the thermal conductivity of this kaolinite ranged from 1.1  
180 to 1.8 W/m°C for void ratios ranging from 3.0 to 0.8, respectively. Ottawa F-65 sand was used  
181 as a drainage layer at the base of the clay layers formed in this study. The grain size of this  
182 uniform sand varies from 0.1 mm to 0.5 mm, and the sand classifies as SP accordingly to the  
183 USCS. The hydraulic conductivity of the sand varies over a narrow range from  $2.2 \times 10^{-3}$  to  
184  $1.2 \times 10^{-3}$  for the loosest and densest states, respectively (Bastidas 2016).

## 185 **3. Experimental Setup**

186 The centrifuge physical modeling tests were performed using a 50<sup>th</sup>-scale-model torpedo  
187 pile that fabricated from stainless steel, as shown in Figure 2(a). Although torpedo piles used  
188 in the field often have fins that extend the length of the main pile body for hydrodynamic  
189 stability (e.g., Bonfim dos Santos et al. 2004), the scale-model torpedo pile was designed to  
190 have a uniform cylindrical body with a sharp tip and fins only on the tail to simplify the heat  
191 transfer process from the main body of the torpedo pile by replicating a cylinder heat source.  
192 The main body of the scale-model torpedo pile has an outer diameter of 15.75 mm (0.79 m in  
193 prototype scale) and a length of 108.6 mm (5.43 m in prototype scale) and is hollow to  
194 accommodate a cylindrical heating element. The Firerod 1707 heating element from Watlow  
195 Electric Manufacturing, Inc. of St. Louis, MO has a length of 101 mm, a diameter of 12.6 mm,  
196 and fits snugly within the main cylindrical body of the torpedo pile. The heating element has a  
197 maximum power output of 500 W and was operated in temperature-control mode using  
198 feedback from an internal thermocouple connected to a Watlow temperature controller mounted  
199 on the centrifuge arm. The tip and the tail of the torpedo pile can be threaded into the main

200 body of the torpedo pile to form the scale model torpedo pile having a total length of 160 mm  
201 (8 m in prototype scale). The tail of the torpedo pile incorporates a hole to pass the wires from  
202 the heating element and includes a horizontal hole that can be used to attach a cable for  
203 installation and pullout of the torpedo pile. The picture of the assembled scale-model torpedo  
204 pile is shown in Figure 2(b) after testing. The area for upward end bearing of the torpedo pile  
205 is complex due to the presence of the fins and the tapered tail section connected to the cabling,  
206 but it is clear from this picture that clay does interact with the tail. As will be discussed in the  
207 analysis section, an equivalent diameter of 15.75 mm equal to that of the main body of the  
208 torpedo pile was used to calculate the upward bearing capacity of the torpedo pile to account  
209 for these different bearing mechanisms.

210 A schematic of the container with an integrated loading system used to evaluate the effects  
211 of temperature effects on the pullout capacity of torpedo piles in clay is shown in Figure 3(a).  
212 The container consists of a cylindrical aluminum tank having an inner diameter of 550 mm, a  
213 wall thickness of 16 mm, and a height of 470 mm that resting atop an “O”-ring seal in a groove  
214 within a 620 mm-square base plate. The cylindrical tank is held down to the base plate via four  
215 hold-down tabs on the side of the cylinder that fit around 50-mm diameter threaded rods that  
216 are threaded into the 50 mm-thick base plate. A 620 mm-square upper reaction plate is mounted  
217 on the top of the threaded rods. The upper reaction plate supports stepper motors that are used  
218 for loading the torpedo pile (in the center of the container) and a T-bar penetrometer (offset  
219 from the center by 100 mm and not shown in Figure 3(a)) and serves as a mounting location for  
220 a 100 mm-long linear potentiometer used for tracking pullout displacement. The torpedo pile is  
221 connected via the stainless-steel cable to a load cell that is connected to the stepper motor in the  
222 center of the upper reaction plate, which permits the pile to be lowered into the clay layer under  
223 its self-weight and pulled out vertically after the thermal improvement process. Due to the  
224 length restrictions of the stepper motor drive rod within the centrifuge, the initial position of  
225 the T-bar was a depth of 60 mm (a prototype scale depth of 3 m) within the clay layer.  
226 Accordingly, the insertion and extraction of the T-bar only permitted characterization of the  
227 undrained shear strength profile from a depth of 60 mm to a depth of 220 mm (a prototype scale  
228 depth of 11 m) at a radial distance of 100 mm. Fortunately, the depth range of the T-bar  
229 penetration brackets the position of the torpedo pile prior to pullout. A picture of the container  
230 mounted in the centrifuge basket is shown in Figure 3(b) that shows the locations of the two  
231 stepper motors used for installation and extraction of the torpedo pile and T-bar along with the  
232 ports in the side of the container for installing sensors at different depths in the clay layer.

233



#### 234 4. Experimental Procedures

235 The clay layer was prepared by mixing dry kaolinite clay in powder form with water under  
236 vacuum to form a slurry having a gravimetric water content of 130% (2.8LL). The slurry was  
237 carefully poured into the container to avoid air inclusions atop a 20 mm-thick layer of Ottawa  
238 sand that acts as a drainage layer. A filter paper and a 50 mm-thick porous stone having the  
239 same diameter as the inside of the cylinder were placed atop the clay layer. After 24 hours of  
240 self-weight consolidation, dead-weights corresponding to vertical stresses of 2.4, 6.3, and  
241 10.2 kPa were added atop the porous stone in 24-hour increments. The first dead weight  
242 included an aluminum disk that helped to distribute the vertical stress of the dead weights atop  
243 the porous stone. The vertical stress was then increased to 23.6 kPa using a hydraulic piston  
244 that reacted against the upper reaction plate, which was maintained for another 24 hours. After  
245 this time, the upper reaction plate was removed, and the hydraulic piston was replaced with the  
246 stepper motor assemblies for the foundation and the T-bar. After the 1g consolidation of the  
247 clay layers was completed, six type K thermocouples and five miniature Druck PDCR 81 pore  
248 water pressure sensors were inserted through the container side wall into the clay layer. The  
249 sensor insertion was facilitated by attaching the sensor cable to a thin rod as summarized by  
250 Ghaaowd and McCartney (2021). After processing the data from the experiments, it was found  
251 that only two of the pore water pressure sensors gave meaningful results (PPT3 and PPT4) and  
252 the other pore water pressure sensors had been damaged in an early experiment. Because the  
253 positions of the sensors may change after consolidation in the centrifuge, the final positions of  
254 the sensors were verified at the end of the experiment when excavating the clay layer, as  
255 summarized in Table 1. Because the sensor locations were slightly different in each experiment  
256 as noted in Table 1, the sensors locations are not shown in Figure 3.

257 The assembled container was then placed inside the centrifuge basket for in-flight self-  
258 weight consolidation at 50 g. This procedure was found to produce a normally-consolidated  
259 clay layer with an overconsolidated portion near the surface. More details of the soil layer  
260 preparation are provided in Ghaaowd and McCartney (2021). During in-flight self-weight  
261 consolidation at 50 g, the excess pore-water pressures were monitored using the pore pressure  
262 transducers. A typical time series of the pore water pressure during centrifugation was presented  
263 in Ghaaowd and McCartney (2021) for a test on an end-bearing energy pile test that confirms  
264 that the clay layer reached more than 90% of primary consolidation before moving to the next  
265 testing stage. The void ratio at the end of self-weight consolidation in the four different tests  
266 was approximately 1.4, while after self-weight consolidation a decrease in void ratio with depth  
267 was observed in post-test measurements as will be reported later in the paper. The clay layer

268 thickness in the four experiments after 1 g consolidation was approximately 235 mm (11.75  
269 mm in prototype scale), and a thin layer of water was permitted atop the clay layer ranging from  
270  $H_w = 40$  to 70 mm. This water layer was connected via a standpipe to a drain at the bottom of  
271 the container, so the clay layer was effectively double drained during the duration of the  
272 experiment.

273 After stabilization at 50 g, the torpedo pile was installed in flight into the sedimented clay  
274 layers. Although torpedo piles are typically installed in the field by being dropped through water  
275 from a certain depth so that it penetrates at high velocity to a target depth, it was not possible  
276 to simulate this high velocity installation approach in the centrifuge. To lead to repeatability  
277 between the different experiments and to ensure the verticality of the torpedo pile after  
278 installation, the torpedo piles in this study were installed by lowering them under their self-  
279 weight into the soft clay using the cable connected to the stepper motor at a model-scale velocity  
280 of 0.1 mm/s. While this is a much slower velocity than torpedo piles may experience in the  
281 field, it is sufficient to lead to undrained conditions. Using this approach, the pile tip was able  
282 to pass through the clay layer and become embedded in the dense sand layer. This penetration  
283 depth was confirmed by the position of the stepper motor, and by the fact that the load cell  
284 connected to the torpedo pile cable indicated no load and the mooring cable went slack. The  
285 torpedo pile was inserted so that the height of clay above the torpedo pile is approximately 94  
286 mm (4.7 m in prototype scale). When the excess pore water pressure generated due to the pile  
287 insertion dissipated, the temperature of the heated torpedo pile was increased using the Watlow  
288 heat controller until reaching the target temperature at the pile wall. As mentioned, it was  
289 important for heating to continue during centrifugation until the soil temperature and pore water  
290 pressure stabilized, which typically required 5-10 hours in model scale (520 to 1040 days in  
291 prototype scale). However, for consistency in comparison of results all the tests on heated piles  
292 involved heating for approximately 30 hours in model scale, which was longer than necessary  
293 for thermo-hydraulic equilibrium and corresponds to a total duration of heating in prototype  
294 scale is 3125 days or 8.56 years. After this point, the pile was cooled for 10 hours in model  
295 scale and was then pulled out at the same velocity as used for installation.

296 After pullout testing of the pile the T-bar penetrometer, with a T diameter of 14 mm, a  
297 T length of 57 mm, and a shaft diameter of 11 mm, was used to measure the undrained shear  
298 strength profiles of the clay layers containing the heated and unheated torpedo piles. Insertion  
299 of the T-bar into the clay layer can be used to infer the undrained shear strength of the intact  
300 clay layer as a function of depth, while extraction of the T-bar can be used to infer the undrained  
301 shear strength of disturbed clay as a function of depth (Stewart and Randolph 1994). As

302 mentioned, the T-bar was designed to permit model-scale penetrations between 60 and 235 mm  
303 and was driven by a second stepper motor at a model-scale velocity of 0.2 mm/s to ensure  
304 undrained conditions during insertion and extraction. T-bar penetration tests were performed  
305 after pile pullout testing in each clay layer at a radius of 100 mm from the heated and unheated  
306 torpedo piles (6.35 pile diameters), which is far enough away to be undisturbed from the pile  
307 insertion and extraction based on the pore water pressure measurements, but close enough to  
308 be influenced by temperature based on the thermocouple measurements.

## 309 **5. Results**

### 310 **5.1 Thermo-Hydraulic Response of the Pile and Clay Layer**

311 Model-scale time histories of temperature at different locations in the four tests are shown  
312 in Figure 4. The maximum pile temperatures and maximum changes in pile temperature  
313 summarized in Table 2. There were generally 5 stages in each of the tests which are delineated  
314 in the figures using vertical dashed lines, including: (I) consolidation of the clay layer during  
315 centrifugation; (II) insertion of the torpedo pile under self-weight; (III) heating of the pile and  
316 surrounding soil; (IV) cooling of the pile and surrounding soil; and (V) pullout of the pile. In  
317 all the tests, there was a slight cooling effect as the centrifuge spun up followed by a slight  
318 warming due to centrifuge operation. The average surface temperature of 20 °C in the baseline  
319 Test T1 shown in Figure 4(a) was used as a reference temperature when calculating the changes  
320 in pile temperature. In the tests on heated torpedo piles in Tests T2, T3, and T4, the pile  
321 temperature rapidly increased to the target temperatures of 45, 65, and 80 °C, and approximately  
322 5-10 hours was required for the temperatures at the different monitoring points in the clay layer  
323 to stabilize. After the approximately 30 hours of heating in each of the tests, cooling back to  
324 ambient conditions was achieved in approximately 5 hours even though 10 hours was permitted.

325 Profiles of temperature with radial location at steady-state conditions, which was selected  
326 as a time of 25 hours after the start of heating in Stage (III) are shown in Figure 5. Two  
327 observations from these profiles are that the temperature of the clay was nonlinearly distributed  
328 with radial location, and that the clay even at locations relatively close to the pile did not  
329 approach the temperature of the torpedo pile. In Test T2 the closest thermocouple was 26 mm  
330 away from the torpedo pile, while in Tests T3 and T4 there were two thermocouples closer to  
331 the pile that indicated a sharp drop-off in temperature. Accordingly, it is likely that a similar  
332 sharp drop-off in temperature occurred in the clay near the torpedo pile in Test T2. It is  
333 interesting that even when applying a pile temperature of 80 °C (change in pile temperature of  
334 60 °C) that the clay temperature at a model-scale radial location of 11 mm (3.125 mm from the  
335 pile) only experienced a change in temperature of 35 °C. This is partly due to the high thermal

336 conductivity of the stainless-steel torpedo pile, which likely permitted upward and downward  
337 heat transfer in addition to lateral heat transfer. The implication of this sharp drop-off in the  
338 radial temperature distribution around the cylindrical heat source is that the magnitude of the  
339 change in pore water pressure in the clay may be smaller than that associated with the full  
340 change in pile temperature. While changes in temperature of the clay were observed out to a  
341 prototype-scale radial location of more than 7 m (a model-scale radial location 150 mm) or  
342 nearly 9.5 pile diameters, the largest changes in temperature were within 2 pile diameters of the  
343 torpedo pile. This indicates that the greatest thermal improvement of the clay layer will occur  
344 relatively close to the torpedo pile.

345 Model-scale time series of pore water pressure at two locations in the clay layers are shown  
346 in Figure 6. The magnitudes of pore water pressure depend on the ponded water height and the  
347 actual locations of the pore water pressure sensors as measured at the end of the tests. A  
348 decrease in pore water pressure is observed in Stage (I) while the clay layer consolidates in the  
349 centrifuge, and a small increase in pore water pressure occurs when the pile is inserted into the  
350 clay layer in Stage (II). Some additional consolidation of the clay layer likely occurred in Stage  
351 (II) during pile insertion, but this study is not focused on the penetration resistance of the  
352 torpedo pile during insertion. During heating in Stage (III), only a very small increase in pore  
353 water pressure was observed. During cooling in Stage (IV) a small decrease in pore water  
354 pressure was observed, but of a smaller magnitude than that observed during heating. During  
355 pullout in Stage (V) a large increase in pore water pressure was observed.

356 The pore water pressure response during heating is better highlighted in the excess pore  
357 water pressure versus the time of heating (model scale) in Figure 7. The maximum increases in  
358 pore water pressure in Tests T2, T3, and T4 were 1.5, 2.0, and 2.0 kPa, respectively. The similar  
359 excess pore water pressures in Tests T3 and T4 could be explained by the closer location of  
360 PPT3 in Test T3 and the similar changes in temperature of the pile at the radial locations of  
361 these sensors as shown in the change in temperature profiles in Figure 5. The excess pore water  
362 pressures all show a rapid generation followed by dissipation within approximately 5 hours of  
363 heating time. The thermal improvement process closer to the pile may have taken a longer  
364 duration, which was the reason for the longer model-scale heating duration of 30 hours in the  
365 centrifuge tests. Similar to the excess pore water pressure results presented in Ghaaowd and  
366 McCartney (2021) for end-bearing energy piles in clay, the magnitudes of excess pore water  
367 pressure were less than those obtained using the undrained thermal pressurization model of  
368 Ghaaowd et al. (2017), likely due to partial drainage in the centrifuge experiments.

369

## 370 **5.2 Torpedo Pile Pullout Response**

371 The prototype-scale pullout load versus displacement curves for the heated and unheated  
372 torpedo piles in clay layers having similar initial conditions are shown in Figure 8(a). All four  
373 pullout curves start from a self-weight load of 122 kN. An increase in pullout capacity with the  
374 increase in maximum pile temperature is observed. The maximum pullout force versus the  
375 maximum pile temperature is shown in Figure 8(b). A linear trend is observed in this figure,  
376 indicating that higher pile temperatures will lead to greater thermal improvement. The  
377 difference in pullout capacity for the piles with maximum temperatures of 20 and 80 °C was  
378 56 kN in prototype scale, which corresponds to a relative difference of 58% percent. This is a  
379 substantial increase in pullout capacity that indicates that thermal improvement was successful.

380 The stiffness of the pullout curves in Figure 8(a) is relatively similar for all four tests, which  
381 is a similar observation that that made by Ghaaowd and McCartney (2021) when analyzing tests  
382 on end-bearing energy piles in soft clay. It was expected that the increase in undrained shear  
383 strength of the clay layer with thermal improvement would also correspond to an increase in  
384 stiffness of the clay-pile interface. The similar stiffnesses observed in Figure 8(b) may have  
385 occurred because the heated torpedo piles experienced thermal axial strains in the direction  
386 opposite to gravity during heating that may have counteracted the positive effect of the thermal  
387 improvement in the stiffness. Further research is needed to understand the effects of thermal  
388 improvement on the stiffness response during torpedo pile pullout, but it is clear from these  
389 tests that thermal improvement has a positive effect on the magnitude of pullout capacity.

## 390 **5.3 T-bar Penetration Test Results**

391 The T-bar penetration results for the clay layers with unheated and heated torpedo piles  
392 permit evaluation of the effects of cyclic heating-cooling to different temperatures from an  
393 initial temperature of 20 °C on the behavior of normally consolidated clay layers. The undrained  
394 shear strength profiles for the clay layer at a model-scale distance of 100 mm from the four  
395 torpedo piles are shown in Figure 9(a). The correlations of Stewart & Randolph (1991) were  
396 used to interpret the undrained shear strength profiles from the T-bar penetration results. A  
397 problem with the stepper motor in Test T3 prevented a T-bar test from being performed after  
398 the pile was heated to 65 °C, but from the other three tests the T-bar tests indicate an increase  
399 in undrained shear strength in the middle section of the clay layer with increasing maximum  
400 pile temperature. The changes in clay temperature at a model-scale radial location of 100 mm  
401 (a prototype-scale radial location of 5 m) are much smaller than those of the pile as shown in  
402 Figure 5, but a clear improvement is still observed at this location. The positive undrained shear  
403 strengths during insertion of the T-bar were generally greater than the negative undrained shear

404 strength during extraction of the T-bar, but a typical bell-shaped curve was noted in all three  
 405 tests. The thickness of the sand layer may have been slightly greater in Test T1 which explains  
 406 the large increase in undrained shear strength at the bottom of the clay layer. The maximum,  
 407 minimum, and average undrained shear strengths along the length of the torpedo pile obtained  
 408 from the profiles in Figure 9(a) are summarized in the last column of Table 2.

409 At the end of testing, soil samples were obtained at the radial location of the T-bar on the  
 410 opposite side of the profile. Samples were not obtained from near the center of the profile due  
 411 as they may not be representative of the conditions after heating due to the disturbance caused  
 412 by pullout of the torpedo pile. The void ratios inferred from gravimetric water content samples  
 413 at the end of the tests are shown in Figure 9(b). Lower void ratios are observed in the clay layers  
 414 that experienced higher temperatures, which correspond well with the increases in the  
 415 undrained shear strength with temperature inferred from the T-bar penetration tests. While the  
 416 results from the T-bar and soil samples after testing cannot be directly correlated with the  
 417 pullout tests due to the differences in the locations of these measurements, they are good  
 418 indicators that thermal improvement occurred in the clay layer due to torpedo pile heating.

#### 419 **6. Analysis and Comparison with End Bearing Energy Pile Pullout**

420 The pullout capacity of torpedo piles  $Q_{ult}$  can be estimated using the following equation  
 421 used by Gilbert et al. (2004) for the pullout of torpedo piles:

$$Q_{ult} = Q_{side} + Q_{end} = \alpha c_{u,average} A_{side} + c_{u,end} N_c d_c s_c A_{end} \quad (1)$$

422 where  $Q_{side}$  is the side shear capacity,  $Q_{end}$  is the upward end bearing capacity,  $\alpha$  is a side shear  
 423 reduction factor to account for installation effects,  $c_{u,average}$  is the average undrained shear  
 424 strength along the length of the torpedo pile,  $A_{side}$  is the area of the sides of the torpedo pile in  
 425 clay,  $c_{u,end}$  is the undrained shear strength at the upper end of the torpedo pile,  $N_c d_c s_c$  is the  
 426 adjusted undrained bearing capacity factor for a deep foundation equal to 9, and  $A_{end}$  is the  
 427 equivalent cross-sectional area of the end of the torpedo pile to account for the taper and  
 428 presence of the fins as shown in Figure 2(a). Specifically, the value of  $A_{end}$  was assumed to be  
 429 equal to the cross-sectional area of the main body of the torpedo pile for simplicity. The upward  
 430 end bearing capacity was calculated for each of the piles using the minimum undrained shear  
 431 strength values from the T-bar tests in Table 2 (which correspond to the level of the upper end  
 432 of the torpedo pile). The undrained shear strength at the level of the upper end of the pile for  
 433 Test T3 was assumed to be linearly distributed between values measured at that level in Tests  
 434 T2 and T3. The calculated upward end bearing capacities are summarized in Table 3, along  
 435 with the side shear capacities calculated as the difference between the measured ultimate pullout  
 436 capacity and the calculated upward end bearing capacities. Using the calculated side shear

437 capacity for the torpedo pile tested at room temperature conditions, the average undrained shear  
438 strength from the T-bar test in Table 2 (which was assumed to be the same as at the soil-pile  
439 interface), and the side area of the pile, a value of  $\alpha$  was back-calculated to be 0.4, which  
440 represents both a lower interface shear strength than the undrained shear strength of the soil and  
441 the effects of installation on the interface shear strength. Gilbert et al. (2004) assumed  $\alpha = 1$ ,  
442 and found a consistent overprediction of the pullout capacity indicating that a lower value of  $\alpha$   
443 like that used in this study may be appropriate. This same value of  $\alpha$  was then used to back-  
444 calculate the average undrained shear strength values at the soil-pile interface for each of the  
445 tests on the heated torpedo piles, which are summarized in the last column of Table 3. An  
446 increase in average undrained shear strength at the soil-pile interface occurred with the increase  
447 in maximum pile temperature. The magnitudes are greater than the undrained shear strength  
448 values from the T-bar tests measured at a prototype-scale distance of 5 m from the pile and  
449 represent the undrained shear strength at the clay-pile interface. While this analysis is simple  
450 and could be improved through further testing of physical models, it is helpful to understand  
451 the different ways that thermal improvement can enhance the pullout capacity of torpedo piles.

452 A comparison of the percent increase in pullout capacity of the torpedo pile in soft clay with  
453 the maximum change in temperature during heating with similar values measured from  
454 centrifuge tests on end-bearing energy piles in the same clay layer in centrifuge tests performed  
455 by Ghaaowd and McCartney (2018, 2021) is shown in Figure 10. A linear trend is observed for  
456 the torpedo piles and the end-bearing energy piles, but the slope of the trend line is steeper for  
457 the end-bearing energy piles. The end-bearing energy piles extended through the full length of  
458 the clay layer having a similar thickness to the clay layer investigated in this study, so a greater  
459 length of clay was improved. In their experiments, thermal improvement only affected the side  
460 shear capacity of the piles as the top of the end-bearing energy piles was extending out of the  
461 clay layer. Overall, this comparison confirms the feasibility of thermal improvement of the  
462 pullout capacity of piles from soft clay in general and emphasizes the importance of the  
463 configuration of the energy pile in the clay subsurface when estimating increases in pullout  
464 capacity.

## 465 **7. Conclusions**

466 Torpedo piles in soft clay layers were evaluated at a scale of 1/50 in a geotechnical  
467 centrifuge to evaluate the impacts of a pile heating-cooling cycle on the behavior of the clay  
468 layer and the corresponding pullout capacity of the torpedo pile. Measurements of temperature  
469 and pore water pressure in the clay layer surrounding the piles supports the hypothesis that

470 thermal consolidation leads to the improvement in shear strength. These measurements also  
471 indicate that the zone of influence of the change in temperature is primarily within 2 pile  
472 diameters from the heated torpedo pile and that the thermal consolidation process is mostly  
473 complete after 500 days in prototype scale (a model-scale time of 5 hours). The undrained shear  
474 strength values of the clay layers surrounding the heated-cooled torpedo piles inferred from T-  
475 bar penetration tests and from the pile pullout tests were found to be greater than that of a clay  
476 layer surrounding an unheated torpedo pile. A reduction in void ratio was also observed at the  
477 end of testing for clay layers that had experienced higher temperatures. The pullout capacities  
478 of the torpedo piles that had experienced greater changes in temperature during the heating-  
479 cooling cycle were greater than that of the unheated torpedo pile. The slopes of the pullout load  
480 versus displacement curves were similar, which may be due to the impact of upward  
481 displacements associated with thermal expansion of the heated torpedo piles prior to pullout,  
482 leading to an initially elastic pullout response for all the torpedo piles. The amount of the  
483 improvement in the torpedo pile capacity was found to increase linearly with the maximum  
484 change in temperature of the pile, but with a lower slope than that measured for tests on end-  
485 bearing energy piles in the same soft clay in previous studies.

#### 486 **Acknowledgements**

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#### 489 **Declaration of Interests**

490 The authors have no conflicts of interest.

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582 **TABLE 1:** Sensor locations in the four tests

Sensor	Test T1		Test T2		Test T3		Test T4	
	r mm	Depth mm	r mm	Depth mm	r mm	Depth mm	r mm	Depth mm
TC1	Surface		26	225	16	240	11	225
TC3	-	-	36	190	21	205	22	190
TC4	-	-	56	145	26	160	46	145
TC2	-	-	61	160	46	175	31	160
TC5	-	-	91	165	86	180	78	165
TC6	-	-	141	180	135	195	121	180
PPT3	140	36	51	170	46	180	66	180
PPT4	140	36	66	220	66	190	66	160

583

584 **TABLE 2.** Summary of tests on the torpedo piles after a heating-cooling cycle involving  
585 different maximum pile temperatures during centrifugation at N=50g

Test	Pile Temperature during Heating °C	Change in Pile Temperature °C	Maximum Pullout Load (Prototype Scale) kN	Percent Improvement in Pile Pullout Capacity %	Min/Ave./Max. Undrained Shear Strength from T-bar* (kPa)
T1	20	0	-97	-	7.2/12.7/18.2
T2	45	25	-117	19	10.6/14.4/18.2
T3	65	45	-139	42	-
T4	80	60	-153	56	11.6/15.3/19.0

586

587

\* Defined over the length of the torpedo pile at a radius of 5 m or a distance of 4.6 m from the edge of the torpedo pile (prototype scale)

588

589 **TABLE 3.** Analysis of torpedo pile capacity components (prototype scale)

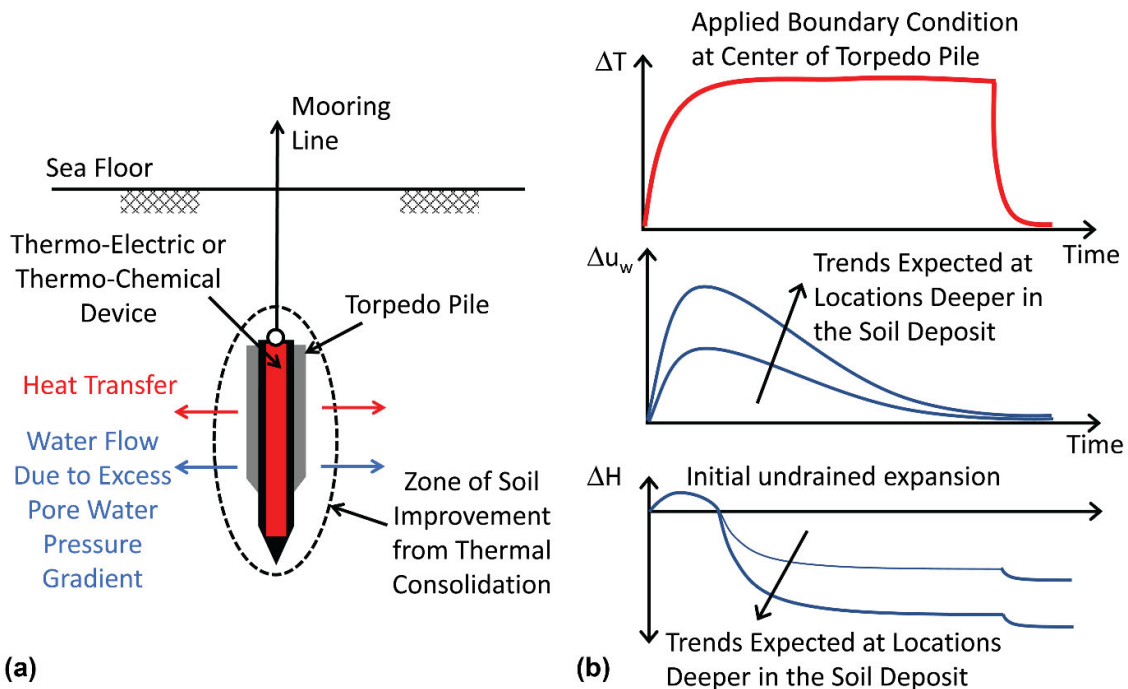
Test	Maximum Pullout Load kN	Calculated Upward End Bearing Capacity <sup>1</sup> kN	Calculated Side Shear Capacity kN	Back-Calculated Average Undrained Shear Strength at Pile-Clay Interface <sup>2</sup> kPa
T1	-97	-31	-66	12.2
T2	-117	-46	-71	13.1
T3	-139	-48	-90	16.7
T4	-153	-51	-102	19.0

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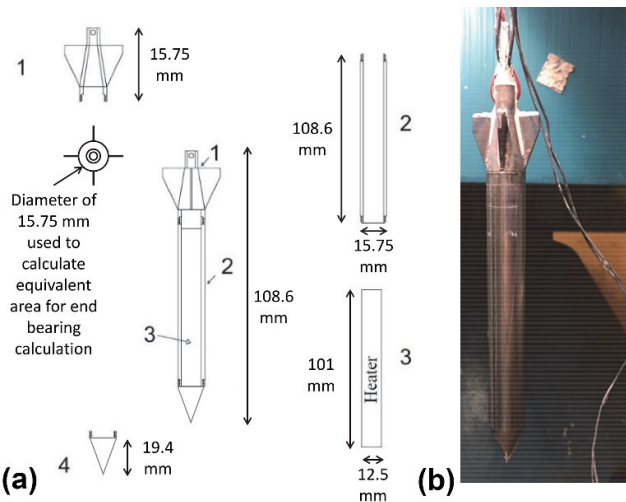
<sup>1</sup> Calculated assuming an end area corresponding to a circular area corresponding to the fins.

<sup>2</sup> Calculated assuming a reduction factor of  $\alpha = 0.4$



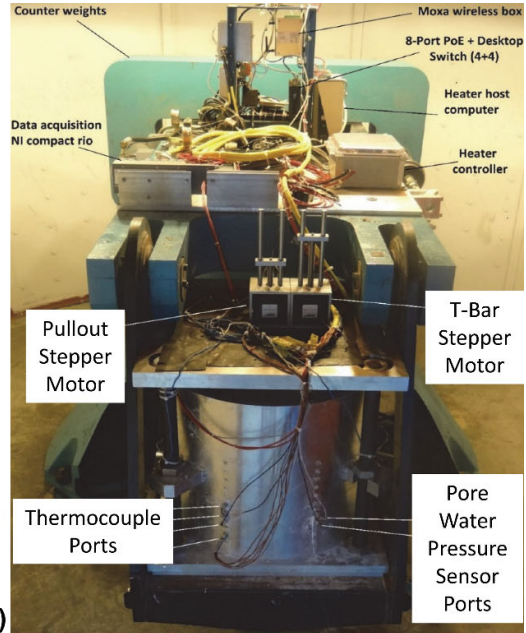
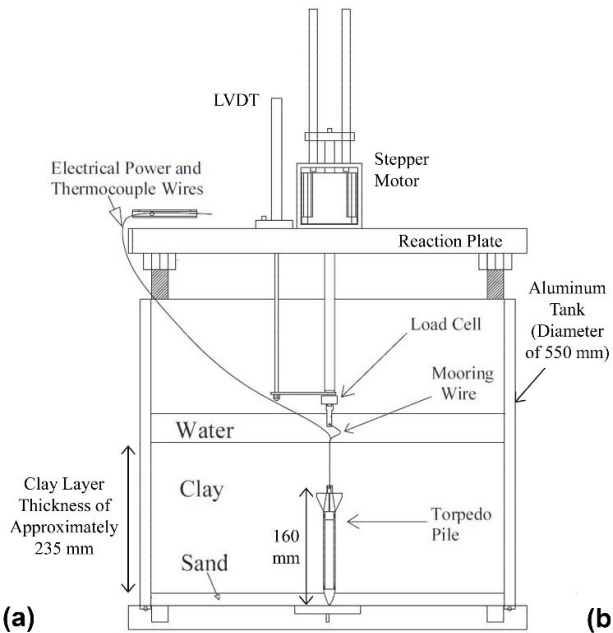
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**FIG. 1.** Concept of thermal improvement of soft clays around torpedo piles: (a) Schematic of an installed torpedo pile with an internal heater; (b) Hypothetical trends in pile temperature, excess pore water pressure in soil at different depths, and changes in soil volume surrounding the torpedo pile



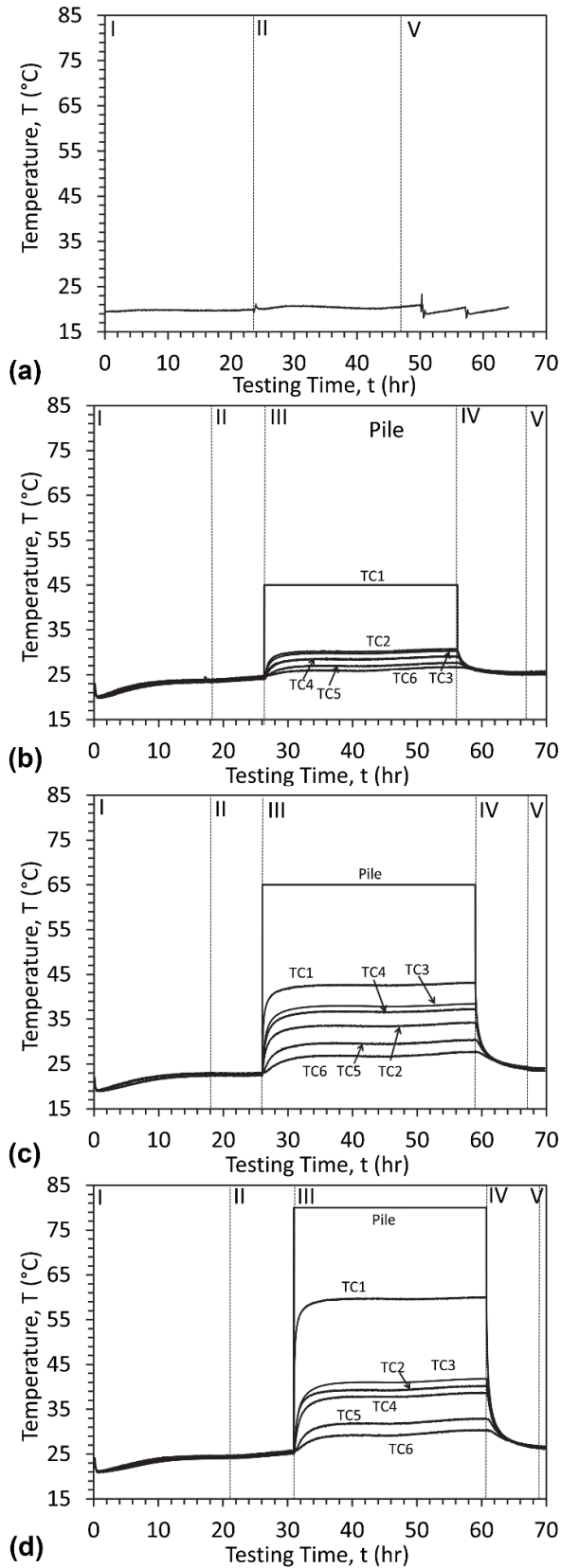
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**FIG. 2.** Centrifuge-scale torpedo pile (a): Schematic showing: (1) Top cap with fins, (2) Body, (3) Internal electric resistance heater, (4) Pointed tip; (b) Picture showing mooring line connection to the top of the torpedo pile



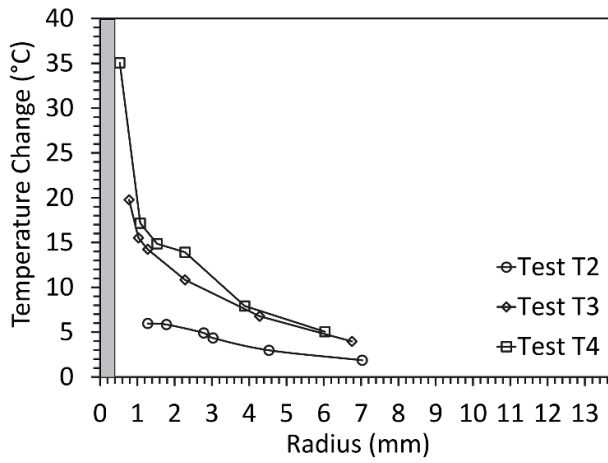
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**(a)** Cross-sectional schematic of the setup showing the installed torpedo pile location; **(b)** Picture of the setup mounted on the centrifuge basket



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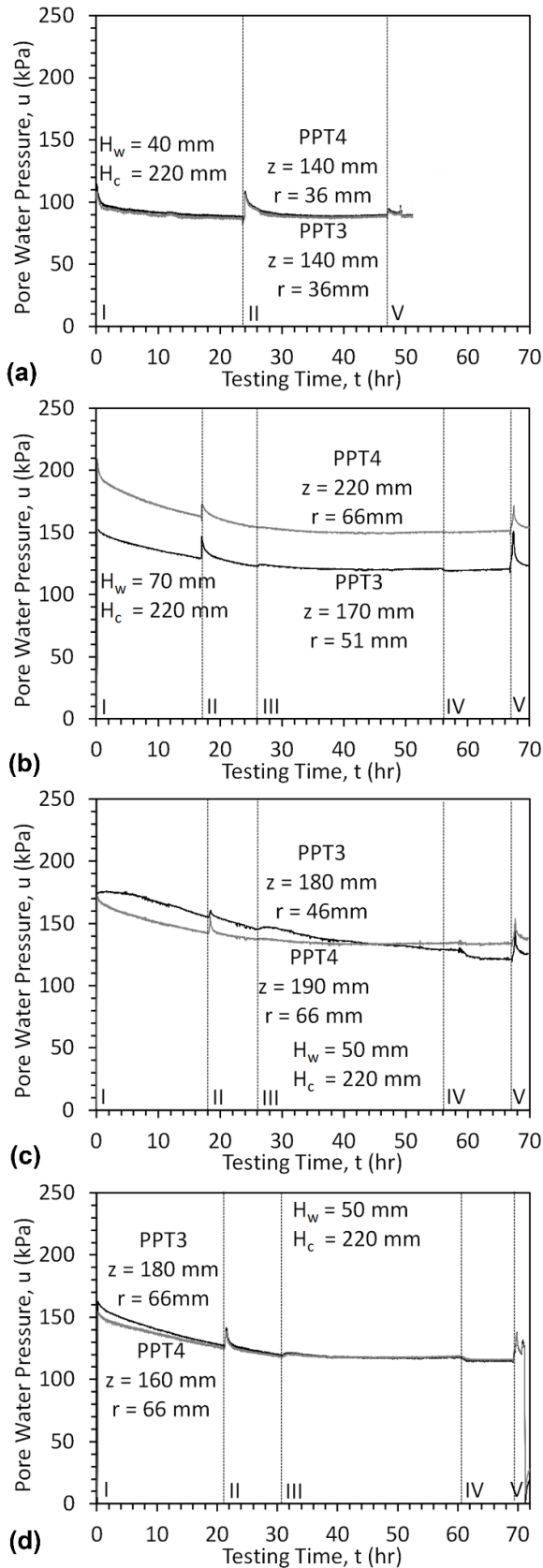
**FIG. 4.** Time series of temperature readings at different radii from the torpedo pile during the five testing stages: (a) Test T1 (clay surface only); (b) Test T2; (c) Test T3; (d) Test T4



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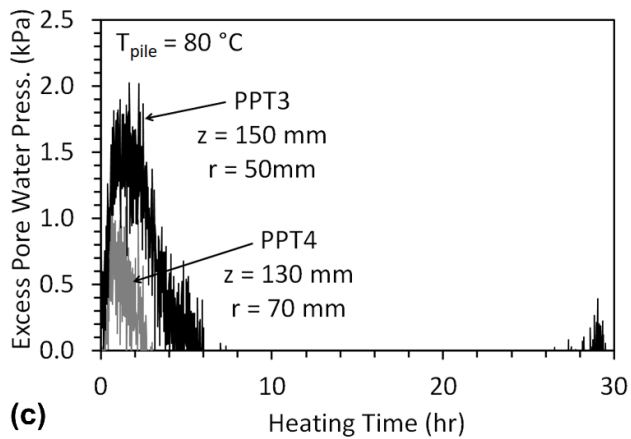
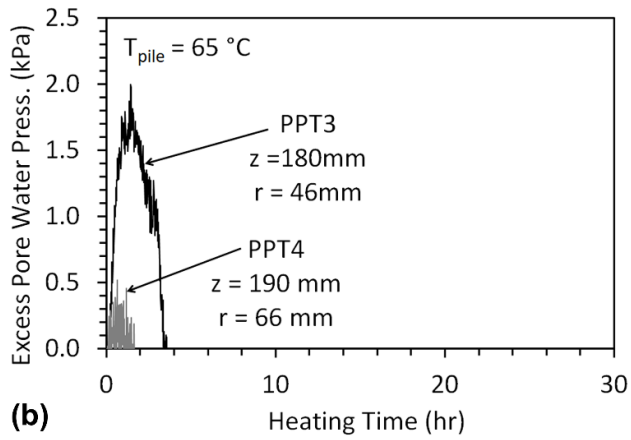
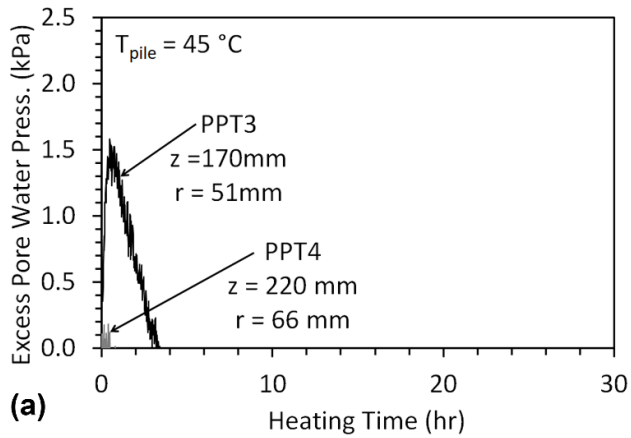
**FIG. 5.** Prototype-scale equilibrium temperature profiles in the three tests on heated torpedo piles





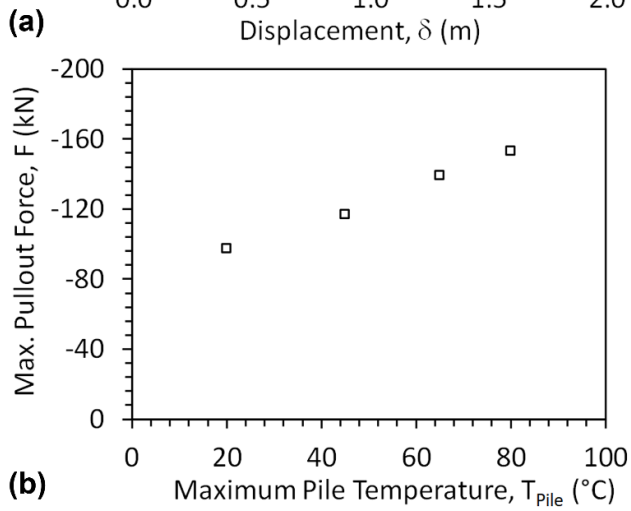
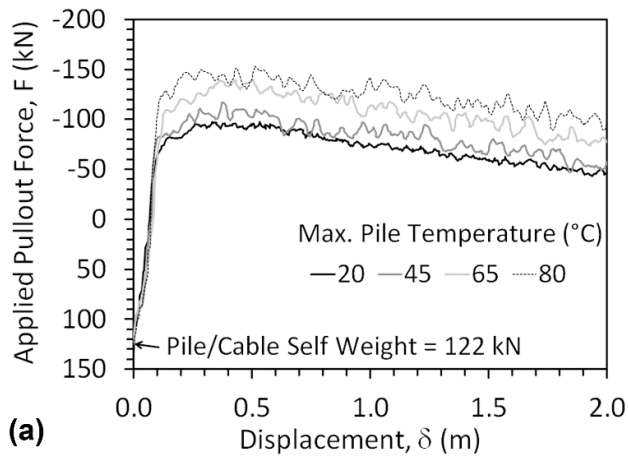
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**FIG. 6.** Time series of pore water pressure at different radii from the torpedo pile during the five testing stages: (a) Test T1; (b) Test T2; (c) Test T3; (d) Test T4



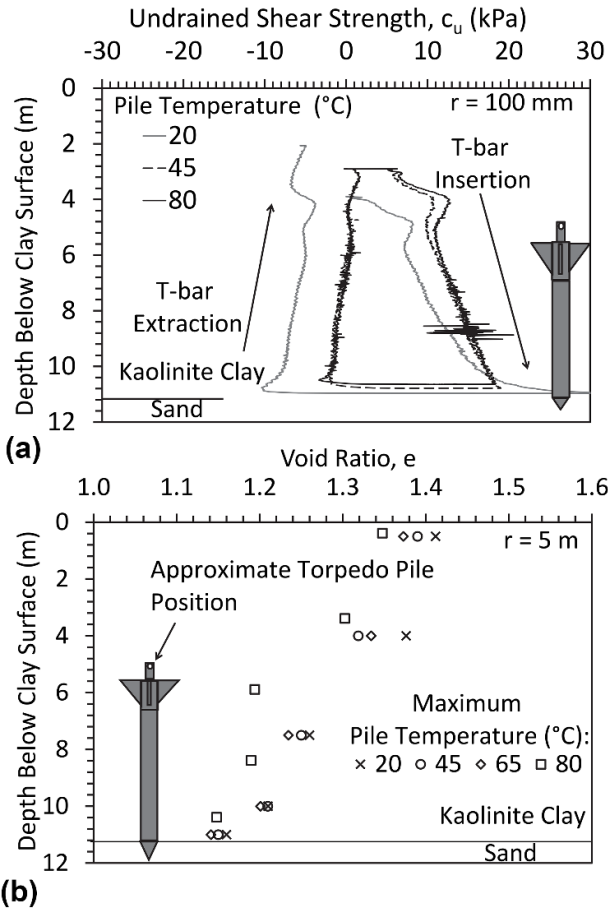
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**FIG. 7.** Time series of excess pore water pressure at different radii from the torpedo pile during heating stage: (a) Test T1; (b) Test T2; (c) Test T3; (d) Test T4

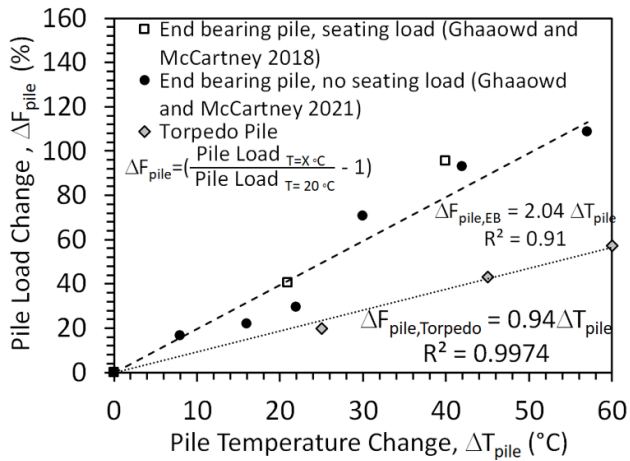


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**FIG. 8.** Results from pullout tests on torpedo piles after a heating-cooling cycle involving different maximum pile temperatures: (a) Applied pullout force versus displacement curves (prototype scale), (b) Pullout capacity as a function of the maximum pile temperature experienced during a heating-cooling cycle



625  
 626 **FIG. 9.** (a) Prototype-scale profiles of undrained shear strength measured by the T-bar in three  
 627 of the four tests on torpedo piles heated to different temperatures (positive values for  
 628 insertion and negative values for extraction); (b) Prototype-scale profiles of void ratio  
 629 with depth at the same radial location at the T-bar test (5 m in prototype scale or 100  
 630 mm in model scale)  
 631



632 **FIG. 10.** Percent increase in pullout capacity of the torpedo piles in soft clay after in-situ  
 633 heating to different changes in pile temperature compared with results from end bearing  
 634 energy piles in soft clay  
 635