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Simplified Green-Ampt Model, Imbibition-Based Estimates of Permeability, and Implications for Leak-off in Hydraulic Fracturing

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# 1 Simplified Green-Ampt model, imbibition-based estimates 2 of permeability, and implications for leak-off in hydraulic 3 fracturing

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## 8 9 **Key Points:**

- 10 • The capillary pressure associated with the imbibition front can be  
11 approximated as a power function of permeability
- 12 • Scaling the wetting front capillary pressure to the permeability reduces  
13 uncertainty in the Green and Ampt imbibition equation
- 14 • A new correlation was developed to estimate permeabilities based on  
15 imbibition measurements

## 16 17 **Abstract**

18 Predicting water imbibition into porous materials is important in a wide  
19 variety of fields, and hydraulic fracturing of low permeability hydrocarbon  
20 reservoirs has emerged as an application that is imposing a large water  
21 footprint. Reliable predictions of imbibition are needed to better manage  
22 water use, yet are challenging because of uncertainties in both the  
23 permeability and capillary pressure driving force. Here, this uncertainty is  
24 reduced through evaluating correlations between the permeability and the  
25 effective capillary pressure associated with the wetting front,  $P_{c,f}$ . These  
26 correlations allow elimination of  $P_{c,f}$  from the Green and Ampt equation, and  
27 concentrates all uncertainties in fluxes on the effective permeability  $k$ . Over  
28 a wide range of  $k$  and porosities  $n$ , imbibition scales approximately with  $k^{1/3}$ .  
29 Although Leverett  $k^{1/4}$  scaling for predicting  $P_{c,f}$  is shown to be inferior when  
30 tested with data spanning a wide range of  $n$ , it nevertheless predicted  
31 imbibition fairly well. From simple imbibition measurements, both the  
32 empirical and Leverett scaling approaches allow estimates of  $k$  that have  
33 root mean-square deviations of about 1 order of magnitude relative to  
34 measurements that ranged over 10 orders of magnitude in  $k$ .

## 35 **1 Introduction**

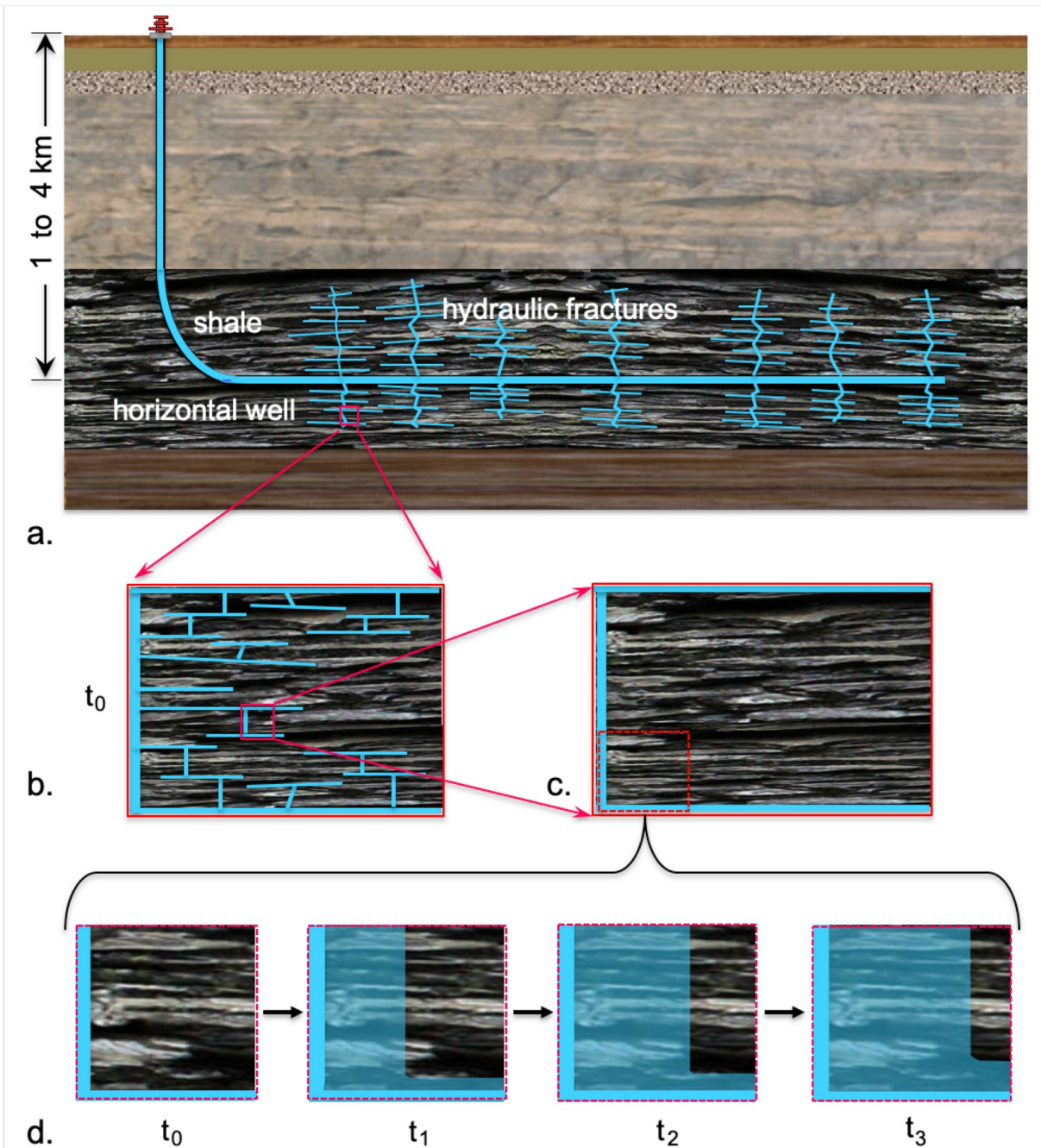
36 Water imbibition into porous media has a long history of investigation,  
37 beginning over a century ago in agriculture (Bell & Cameron, 1906; Green &

38 Ampt, 1911), and receiving subsequent studies in soil physics and other  
39 fields including materials science, petroleum engineering, and nanoscience  
40 (Leech et al., 2008; Mason & Morrow, 2013; Mattax & Kyte, 1962; Morrow &  
41 Mason, 2001; Philip, 1957a; Stukan, Ligneul, Crawshaw, & Boek, 2010; Tas,  
42 Haneveld, Jansen, Elwenspoek, & van den Berg, 2004; Washburn, 1921). The  
43 wide range of materials (soils, rocks, cements, ceramics) and fluids (water,  
44 oil, gas) involved in different imbibition processes has motivated the  
45 development of a variety of scaling analyses for providing unified predictions  
46 of immiscible displacement processes (Cai, Perfect, Cheng, & Hu, 2014;  
47 Leverett, 1941; Miller & Miller, 1956; Morrow & Mason, 2001; Philip, 1957a;  
48 Rapoport, 1955; Schmid & Geiger, 2012, 2013). In recent decades, hydraulic  
49 fracturing of unconventional gas reservoirs has emerged as a technology  
50 that consumes vast quantities of water as a consequence of imbibition into  
51 low permeability formations. Development of a typical horizontal well  
52 requires injection of about a million gallons of water, and most of this water  
53 remains in the reservoir where it can restrict production of hydrocarbon  
54 fluids (Agrawal & Sharma, 2013; Birdsell, Rajaram, & Lackey, 2015;  
55 Makhanov, Habibi, Dehghanpour, & Kuru, 2014; Zhou, Abass, Li, & Teklu,  
56 2016). Thus, hydraulic fracturing of low permeability reservoirs constitutes  
57 an activity where imbibition is important to predict on an industrial scale.  
58 Indeed, the continued growth in water consumption for hydraulic fracturing  
59 (Kondash, Lauer, & Vengosh, 2018) combined with growing demands on  
60 water resources (Rosa, Rulli, Davis, & D'Odorico, 2018) warrants better  
61 understanding on how water is lost within reservoirs. Such understanding  
62 could provide part of the foundation for reducing the amounts of water used  
63 during reservoir stimulation.

64 The large volumes of water consumed for hydraulic fracturing of low  
65 permeability reservoirs and the immiscibility of water-based fracturing fluids  
66 with gas motivates the need to estimate the propagation of wetted zones  
67 from fractures into the unsaturated matrix (Figure 1). The early period of  
68 fluid injection and subsequent well shut-in is particularly important to  
69 understand because it is associated with the highest rates of water loss into  
70 reservoir rock. This initial period may be most amenable to simplified  
71 analysis because imbibition is presumably closest to being one-dimensional,  
72 advancing nominally orthogonally from fractures and microfractures into  
73 adjacent matrix rock. The laminated structure of shales can cause significant  
74 anisotropy in  $k$  (Armitage et al., 2011; Gensterblum et al., 2015;  
75 Roychaudhuri, Tsotsis, & Jessen, 2013), such that wetting front advance  
76 along bedding planes is expected to progress much more rapidly than across  
77 bedding planes (Figure 1d). Nevertheless, flow is approximately locally one-  
78 dimensional during times when imbibition distances are short. In comparison  
79 to the general problem of immiscible fluid displacement, water imbibition  
80 into initially gas-filled porous media is further simplified because the of  
81 relatively low viscosity of the native gas phase.

82 The simplifications allowed by the locally one-dimensional geometry  
83 and by the large viscosity contrast justify the application of the Green and

84 Ampt (GA) model for describing the early phase of hydraulic fracturing fluid  
85 distribution in unconventional reservoirs. It should be noted that although  
86 hydraulic fracturing fluids generally have complex rheology, Newtonian  
87 behavior will be assumed for flow through the matrix pores because the  
88 range of shear rates is limited by the low  $k$  of shale. The novel aspect of the  
89 analysis developed here is associated with evaluating the GA model's  
90 wetting front capillary pressure,  $P_{c,f}$ , based on scaling the matrix permeability  
91  $k$ . It will be shown that by correlating  $P_{c,f}$  with  $k$ , a simpler expression for  
92 wetting fluid imbibition is obtained, with potential reduction in uncertainty  
93 associated with imbibition volumes. The analyses will also show that simple  
94 imbibition measurements can be used to estimated  $k$  to within about an  
95 order of magnitude, in materials spanning over 10 orders of magnitude in  $k$ .  
96 These advantages are expected to be broadly applicable to interpreting early  
97 stages of imbibition, and example calculations for hydraulic fracturing are  
98 provided.



99

100 **Figure 1.** Conceptual model of early stages of water imbibition from  
 101 hydraulic fractures into shale matrix. **a.** Distribution of water in vertical  
 102 hydraulic fractures from perforated horizontal well, filling connected natural  
 103 and stimulated horizontal fractures along shale bedding planes. **b.** Local  
 104 distribution of water-filled microfractures immediately after fluid injection  
 105 (time-zero). **c.** Representative local volume bounded by water-filled  
 106 horizontal and vertical microfractures. **d.** Time evolution of wetted zones  
 107 advancing into matrix with anisotropic  $k$ , along and transverse to bedding  
 108 planes.

109

## 110 **2 The Green & Ampt model, and the sorptivity**

111 The profile of water advancing into an unsaturated porous medium is  
 112 complex because of the saturation-dependence of the hydraulic conductivity,  
 113 resulting in its nonlinear behavior (Richards, 1931). However, the earliest  
 114 models describing capillary imbibition in porous media (Bell & Cameron,  
 115 1906; Green & Ampt, 1911) employed two simplifications to represent the  
 116 basic physical process: treatment of the wetting profile as one having a  
 117 constant saturation up to the wetting front, and the assignment of a distinct  
 118 capillary pressure head to the wetting front,  $h_{c,f}$ . With these approximations,  
 119 the instantaneous Darcy flux is equated to the product of the effective  
 120 hydraulic conductivity  $K_e$  of the approximately uniformly wet transmission  
 121 zone (Bodman & Coleman, 1944) times the hydraulic head gradient.  
 122 Furthermore, when the gradient in gravitational head is negligible relative to  
 123 that of the capillary pressure head, the latter gradient largely determines  
 124 flow. This condition applies at short times for nearly all porous media, and  
 125 over longer times in unsaturated shales because of very high  $P_c$  associated  
 126 with wetting and draining shale matrix pores (Busch & Amann-Hildenbrand,  
 127 2013; Chenevert, 1970; Donnelly et al., 2016; Makhanov et al., 2014;  
 128 Schmitt, Forsans, & Santarelli, 1994; Tokunaga et al., 2017; Yang et al.,  
 129 2017). Thus, the hydraulic gradient is effectively equal to the difference  
 130 between the pressure head at the inlet ( $h_{c,0}$ , here being the fracture-matrix  
 131 interface) and at the wetting front, divided by the wetting length (distance of  
 132 wetting front penetration,  $L$ ). With the volumetric water content increased by  
 133  $\Delta\theta$  within the wetted zone, the Darcy flux entering the matrix in the GA  
 134 model depends on time  $t$  as

135

$$136 \quad \frac{dL}{dt} = \frac{K}{\Delta\theta} \frac{h_{c,f} - h_{c,0}}{L} \quad (1)$$

137

138 which integrates to

$$139 \quad L(t) = \sqrt{\frac{2K}{\Delta\theta} (h_{c,f} - h_{c,0})} \sqrt{t} \quad (2)$$

140

141 In terms of permeability  $k$ , water viscosity  $\eta$ , and capillary pressure  $P_c$ , this  
 142 relation is

143

$$144 \quad L(t) = \sqrt{\frac{2k}{\eta \Delta\theta} (P_{c,f} - P_{c,0})} \sqrt{t} \quad (3a)$$

145

146 In the GA model, the volumetric water flux per unit area  $I(t) = \Delta\theta L(t)$ , thus

147

148

$$I(t) = \sqrt{\frac{2 \Delta \theta k}{\eta} (P_{c,f} - P_{c,0})} \sqrt{t} \quad (3b)$$

149

150 which is equivalent to Equation (8) in Handy, who was apparently unaware of  
 151 the much earlier GA model (Handy, 1960). Thus, the wetting front and  
 152 cumulative imbibition advance with the square-root of time because the  
 153 instantaneous flux is inversely proportional to the wetted distance. This basic  
 154 relation due to Green and Ampt has been extended through a number of  
 155 modifications (Ma, Zhang, Lu, Wu, & Wang, 2015; Mein & Larson, 1973;  
 156 Selker & Assouline, 2017). It is important to note that uncertainties are  
 157 associated with both  $k$  and  $P_{c,f}$  in Equation (3), and because these  
 158 parameters are multiplied, predictions of imbibition and  $L$  have significant  
 159 uncertainty.

160

161 Before further developing the GA analysis, it is worth recognizing two  
 162 factors that can limit its range of applicability: heterogeneity and structural  
 163 stability. When  $k$  varies significantly along the imbibition length, a spatially  
 164 averaged  $k$  will not generally be reliable for predicting water uptake.  
 165 Similarly, when the porous matrix changes with time,  $k$  becomes time-  
 166 dependent and deviations from the GA analysis are expected. This latter  
 167 limitation is encountered when the influence of clay swelling is important,  
 168 and thus applies to some soils and shales. Indeed, swelling during water  
 169 imbibition can destroy the structural integrity of unconfined shale cores that  
 170 contain high fractions of smectite (Chenevert, 1970) or even illite clays  
 171 (Dehghanpour, Lan, Saeed, Fei, & Qi, 2013). Such samples are susceptible to  
 172 disaggregation, especially along bedding planes, because they previously  
 173 existed under very high confining stresses. The following developments  
 174 apply on materials where  $k$  is relatively homogeneous and stable.

174

175 A new extension of the GA approach will be developed to eliminate the  
 176 need for the  $P_c$  terms, thereby potentially reducing uncertainty associated  
 177 with imbibition calculations.  $P_{c,f}$  has commonly been evaluated as

177

$$P_{c,f} = \int_0^{P_{c,l}} k_r dP_c \quad (4)$$

179

180 where  $k_r$  is the relative permeability and  $P_{c,l}$  is the arbitrary upper limit of  
 181 integration where  $k_r$  becomes negligible (Bouwer, 1964; Neuman, 1976).  
 182 However, given that  $k_r(P_c)$  is seldom measured, determining  $P_{c,f}$  is commonly  
 183 challenging. For example, numerical simulations of infiltration for a variety of  
 184 soils at different initial water saturations yielded ratios of  $P_{c,f}$  relative to the  
 185 gas (air) entry  $P_c$  (denoted  $P_{c,g}$  here) that varied from 0.232 up to 1.369 (Ma  
 186 et al., 2015). This wide range underscores the need to reduce uncertainty in  
 187  $P_{c,f}$ .

188

189 The first step in this analysis is to assume that  $P_{c,f}$  can be approximated  
 190 as a simple power function of  $k$  through

190

$$P_{c,f} = j_2 k^\alpha \quad (5)$$

191  
192 with the parameters  $j_2$  and  $\alpha$  dependent on the selected scaling approaches  
193 described shortly. For later scaling comparisons, it will be useful to express  
194  $P_{c,0}$  as  $bP_{c,f}$ , with  $b < 0$  when the water source is under positive pressure  
195 (boundary conditions for shut-in hydraulic fractures and ponded soils). With  
196 these relations, the cumulative imbibition becomes  
197

$$198 \quad I(t) = \left[ \left( \frac{2j_2 \Delta \theta}{\eta} (1-b) \right)^{1/2} k^{(1+\alpha)/2} \right] t^{1/2} \quad (6)$$

199  
200 The square-root of time proportionality of infiltration under negligible  
201 influences of gravity and  $b = 0$  is commonly termed the sorptivity  $S$  (Philip,  
202 1957a)

$$203 \quad I(t) = S \sqrt{t} \quad (7)$$

204  
205 which encompasses the influences of porous media properties, fluid  
206 properties, and the pressure gradient. Thus, with eq. 5 and 6, the sorptivity  
207 is  
208

$$209 \quad S = \left( \frac{2j_2 \Delta \theta}{\eta} \right)^{1/2} k^{(1+\alpha)/2} \quad (8)$$

210  
211 In the following developments, the initial moisture contents of the porous  
212 media are assumed to be close to zero, recognizing that imbibition rates are  
213 slower under higher initial saturations. For example, at 40% initial  
214 saturation, values of  $S$  are about 25% lower relative to initially dry conditions  
215 (Philip, 1957b; Stewart, Rupp, Abou Najm, & Selker, 2013).  
216

### 217 218 219 **3 Estimating permeability from sorptivity**

220 Although several relations have been developed for calculating  $k$  from  $S$ ,  
221 most require information on unsaturated hydraulic properties (Dirksen, 1979;  
222 Stewart et al., 2013; White & Perroux, 1987). The simplicity of imbibition  
223 experiments relative to measurements of  $k$  motivates interest in developing  
224 estimates of  $k$  based solely on  $S$ . Previously, a model for predicting wetting  
225 front advance (Kao & Hunt, 1996) was used to develop one simple  $k - S$   
226 relation (Tokunaga & Wan, 2001b)

$$227 \quad k = \left( \frac{\eta}{\sigma} \right)^2 \left( \frac{S}{B \Delta \theta} \right)^4 \quad (9a)$$

228  
229 where  $\sigma$  is the water-gas interfacial tension and  $B$  is an empirical factor  
230 about equal to 0.5. Based on the GA model and eq. 8,  
231



232 
$$k = \left( \frac{\eta}{2 j_2 \Delta \theta} \right)^{\left( \frac{1}{1+\alpha} \right)} S^{\left( \frac{2}{1+\alpha} \right)} \quad (9b)$$

233  
234 As part of this study, two alternative  $k - S$  relations will be developed based  
235 on approaches that provide different values of  $\alpha$  and  $j_2$  in eq. 9b.

236 With  $P_{c,0} = 0$ , the first new  $k - S$  relation is developed based on  
237 applying Leverett scaling to eq. 9b. Using  $P_{c,f}$  and approximating the porosity  
238  $n$  with  $\Delta \theta$ , the Leverett  $J$  function is

239 
$$J = \frac{P_{c,f}}{\sigma} \left( \frac{k}{\Delta \theta} \right)^{1/2} \quad (10)$$

240 and

241 
$$P_{c,f} = J \sigma \left( \frac{\Delta \theta}{k} \right)^{1/2} \quad (11)$$

242  
243 Thus, under Leverett scaling,  $\alpha = -1/2$ , our generic  $j = J \sigma \Delta \theta^{1/2}$ , and eq. 9b  
244 becomes

245  
246 
$$k = \left( \frac{\eta}{2 \sigma j} \right)^2 (\Delta \theta)^{-3} S^4 \quad (12)$$

247  
248 a result that is similar to eq. 9a, except for the  $\Delta \theta$  term. The second new  $k -$   
249  $S$  relation will be obtained after identifying the  $\alpha$  parameter that best fits the  
250 compiled  $P_{c,g} - k$  data, and applying it in eq. 9b.

251 Note the above approaches for estimating  $k$  do not account for  
252 influences of different types of pore network geometries. Shale pore  
253 networks are not scaled down versions of those in sandstones, much less  
254 those in unconsolidated granular media. For unconsolidated porous  
255 materials, analyses that include grain aspect ratios (Katagiri, Saomoto, &  
256 Utsuno, 2015) could lead to improved predictions. However, refinement of  
257 predictions of  $k$  based on pore structure information are beyond the scope of  
258 this work. This present study is limited to evaluating correlations between  $S$   
259 and  $k$  so that the latter can be easily estimated from simple measurements  
260 of imbibition rates.

## 261 **4 Methods**

262 In order to develop predictions of imbibition that are simpler than the original  
263 GA model while being based on measurements of  $P_c$ ,  $k$ , and  $k_r(P_c)$ , the  
264 correlation between  $P_{c,g}$  and  $k$  is first determined. Then, literature data were  
265 assembled to determine correlation between  $P_{c,g}$  and  $P_{c,f}$ , with the latter  
266 calculated according to eq. 4. This allows determination of the desired  $P_{c,f} - k$   
267 correlation, and the best fit  $\alpha$  value. An earlier data set on  $P_{c,g}$  and  $k$  for air-  
268 water systems (Tokunaga & Wan, 2001a) was expanded with additional  
269 values, especially for consolidated materials. Following that earlier study,  
270 drainage resulting in 97% water saturation was used as the criterion for

271 assigning  $P_{c,g}$  values. Data from air-water measurements were scaled for  
 272 methane-water for purposes of estimating fracturing fluid imbibition in  
 273 reservoirs. The adjustment needed to address reservoir conditions was  
 274 obtained through the ratio of the interfacial tension of air-water at room  
 275 temperature and pressure (72 mN/m), and the interfacial tension of gas  
 276 (methane)-water at reservoir temperatures and pressures. These latter  
 277 conditions were taken as 70 °C and 20 MPa, for which the interfacial tension  
 278 of methane-water is about 50 mN/m (Sachs & Meyn, 1995; Schmidt, Folas, &  
 279 B., 2007). Thus, the ratio of interfacial tensions of about 0.70 was used to  
 280 adjust capillary influences from measurements obtained under ambient (air-  
 281 water) to elevated temperatures and pressures for methane-water. A very  
 282 large data set on low permeability sandstones (Byrnes, Cluff, & Webb, 2009)  
 283 was also added, with its mercury (Hg) intrusion  $P_c$  values scaled to methane-  
 284 water assuming 485 mN/m for the Hg-gas interfacial tension (Giesche, 2006).

285 While a fairly large database was compiled for estimating  $P_{c,g}$  based on  
 286  $k$ , estimates of  $P_{c,f}$  values are needed in order to simplify the GA imbibition  
 287 equation. For this purpose, experimentally determined  $k_r(P_c)$  relations  
 288 available in the literature were integrated according to eq. 4, to calculate  $P_{c,f}$ .  
 289 Finally, in order to test  $S$ -based predictions of  $k$ , literature values of  $k$  and  $S$   
 290 were compiled from sources where both parameters were experimentally  
 291 determined on the same materials.

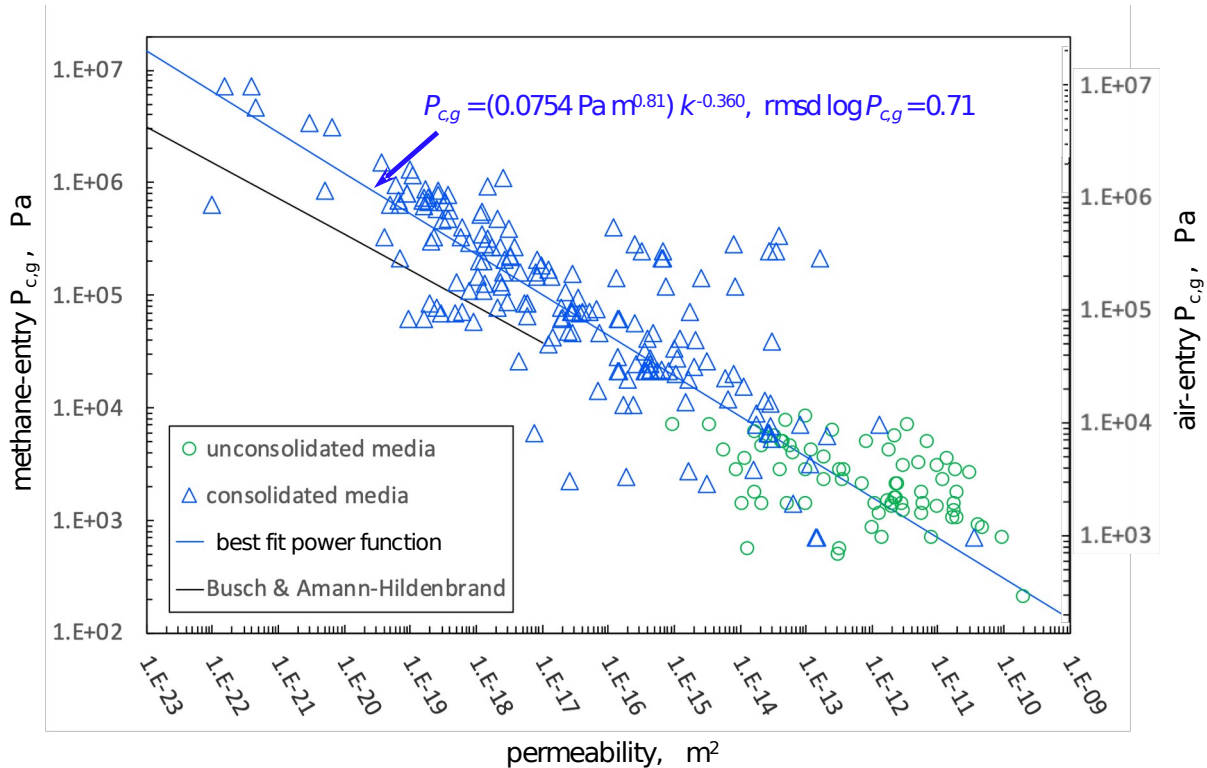
## 292 5 Results

293 **5.1 Predicting  $P_{c,g}$  from permeability** Literature data collected on  $P_{c,g}$ - $k$   
 294 span 12 orders of magnitude in  $k$ , over 4 orders of magnitude in  $P_{c,g}$ , and  
 295 have porosities ranging from 0.013 to 0.57 (Supporting Information, Table S1  
 296 and Table S2). The  $P_{c,g}$  values associated with  $k$  are plotted in Figure 2, and  
 297 tabulated in Supporting Information. Although there exists considerable  
 298 scatter in this plot, the correlation between  $P_{c,g}$  and  $k$  is described fairly well  
 299 by a power function with  $\alpha = -0.360$

$$300 \quad P_{c,g} = j_1 k^\alpha = (0.0754 \text{ Pam}^{0.720}) k^{-0.360} \quad (13)$$

302 which has a root mean square deviation (rmsd) in  $\log P_c$  of 0.705. The  
 303 regression relation for  $k$  and methane breakthrough  $P_c$  reported by Busch  
 304 and Amann-Hildenbrand has been added to this plot (Busch & Amann-  
 305 Hildenbrand, 2013), and has nearly the same slope ( $k^{-0.32}$ ) as our regression  
 306 relation, but its predicted  $P_c$  values run about a factor of about 3.6 lower than  
 307 ours. The offset between these correlations is consistent with lower  $P_c$  values  
 308 associated with percolation breakthrough of the nonwetting phase relative to  
 309 water drainage to 97% saturation. The considerable scatter in the data is  
 310 reasonable, given the wide range of porosities, pore structures, and  
 311 wettabilities expected in this very wide range of materials. It should be noted  
 312 that rather than being -0.360, the exponent for  $k$  in Equation 13 is exactly  
 313 equal to -1/2 when Leverett scaling is valid (eq. 11). However, this frequently  
 314

315 applied relation is properly restricted to materials with a common porosity  
 316 and geometrically similar pore structure (Miller & Miller, 1956).  
 317

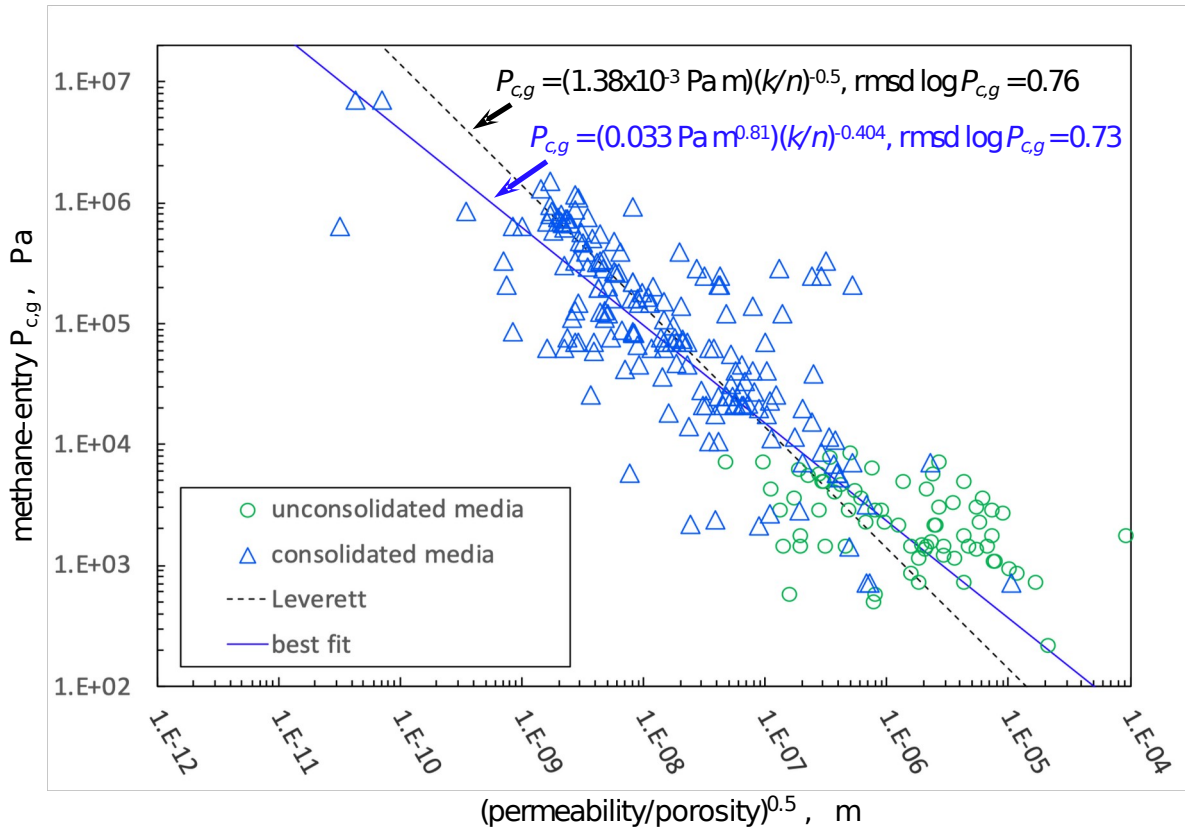


318  
 319

320 **Figure 2.** Correlation between gas entry  $P_{c,g}$  and permeability  $k$ , for  
 321 methane-water at 70 °C, 20 MPa (left ordinate), and for air-water at 20 °C,  
 322 0.1 MPa (right ordinate). The regression relation is for the methane case.

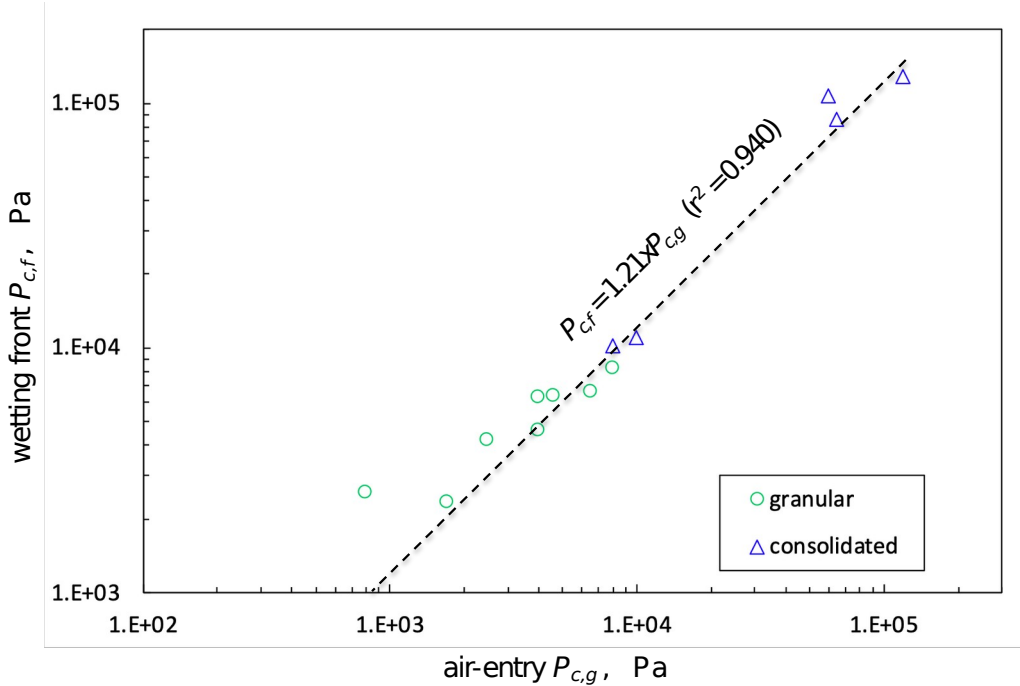
323

324 Although the Leverett  $J$  function contains the ratio  $(k/n)^{-0.5}$ , this  
 325 approach is not expected to be advantageous over large variations in  $n$   
 326 because required geometric similitude (Miller & Miller, 1956) is not  
 327 maintained. Inclusion of porosity (here,  $\Delta\theta$ ) in scaling appears to have  
 328 originated from reliance on a capillary tube bundle analogy and experimental  
 329 testing over only a narrow range of  $n$ . The original experiments on packed  
 330 sand columns by Leverett only spanned  $0.39 < n < 0.49$  (Leverett, 1941). To  
 331 test the utility of scaling with  $n$ , the  $P_{c,g}$  data from Figure 2 were replotted  
 332 with respect to  $(k/n)^{-0.5}$  in Figure 3 (excluding a few points that lacked  
 333 reported values for  $n$ ). The best fit to Leverett scaling  $(k/n)^{-0.5}$  and an  
 334 empirical power function fit are included in this plot. Again, there is  
 335 considerable scatter in the data, but Leverett scaling clearly performs poorly  
 336 when applied over wide ranges in both  $k$  and  $n$  ( $\text{rmsd } \log P_{c,g} = 0.76$ ) The  
 337 empirical power function depending on  $(k/n)^{-0.404}$  provides a better fit, with a  
 338 0.73 rmsd for  $\log P_{c,g}$ .  
 339



340  
 341 **Figure 3.** Correlations between  $P_{c,g}$  and the square-root of  $k/n$ , showing  
 342 poorer predictions based on Leverett scaling.  
 343

344  
 345 Although  $P_{c,g}$  can now be estimated based on  $k$ , estimates of  $P_{c,f}$  values  
 346 are needed in order to simplify the GA imbibition equation. For this purpose,  
 347 the correlation between  $P_{c,g}$  and  $P_{c,f}$  is next evaluated. Only a small subset of  
 348 the assembled data included relations between relative permeability and  $P_c$   
 349 needed to evaluate  $P_{c,f}$  using Equation 4 (Supporting Information, Table S3).  
 350 Nevertheless, Figure 4 shows that the values from consolidated and  
 351 unconsolidated media are highly linearly correlated over two orders of  
 352 magnitude, with  $P_{c,f} \approx 1.21P_{c,g}$ . Recall that this analysis is restricted to low  
 353 initial moisture contents, and that higher initial saturations decrease  
 354 imbibition rates.  
 355



356  
 357 **Figure 4.** Correlation between  $P_c$  for air-entry and  $P_c$  at the wetting front.  
 358 The highest  $P_{c,f}$  values are from samples of Woodford Shale (Tokunaga et al.,  
 359 2017).

360  
 361  
 362 Multiplying eq. 13 by 1.21 yields the relation between  $P_{c,f}$  and  $k$ ,

$$P_{c,f} = j_2 k^{-0.360} \quad (14)$$

363  
 364  
 365 where  $j_2 = 0.0912 \text{ Pa m}^{0.72}$ . Pressurization required for hydraulic fracturing  
 366 ensures that  $P_{c,0} < 0$ , and we assign a constant local shut-in  $P_{c,0} = bP_{c,f}$  at the  
 367 fracture-matrix boundary of interest, where  $b$  is negative.

368 **5.2 Predicting wetting front advance and cumulative imbibition** With  
 369 these relations applied to Equations 3a and 3b, the imbibition distance and  
 370 volume per unit area are approximately

$$L(t) = \left[ \frac{2j_2(1-b)}{\eta\Delta\theta} \right]^{1/2} k^{0.32} t^{1/2} \quad (15a)$$

371 and

$$I(t) = \left[ \left( \frac{2\Delta\theta j_2(1-b)}{\eta} \right)^{1/2} k^{0.32} \right] t^{1/2} \quad (15b)$$

372  
 373  
 374  
 375  
 376 respectively. Thus, when considering imbibition under constant pressure,  
 377 over a wide range of both  $k$  and  $n$ , fluxes are approximately proportional to  
 378 the cube-root of  $k$ . It should be noted that when Leverett's  $P_c$  scaling  
 379

380 proportionally with  $(k/n)^{-0.5}$  is assumed, and when capillary tube analogies are  
 381 used (Kao & Hunt, 1996), imbibition is predicted to be proportional to  $k^{1/4}$ .

### 382 **5.3 Predictions of permeability based on sorptivity measurements**

383 The various relations developed above for calculating  $k$  based on imbibition  
 384 rates can now be tested. Recall that two of these relations were presented  
 385 earlier; one developed in Tokunaga and Wan [2001] based on imbibition  
 386 correlations evaluated by Kao and Hunt [1996] is eq. 9a, and the second  
 387 combines the  $P_{c,f}$ - $k$  relation in Leverett scaling to give eq. 12. A third relation  
 388 is obtained when  $\alpha = -0.360$  is used in the empirical relation between  $k$  and  
 389  $S$  introduced in eq. 9b,

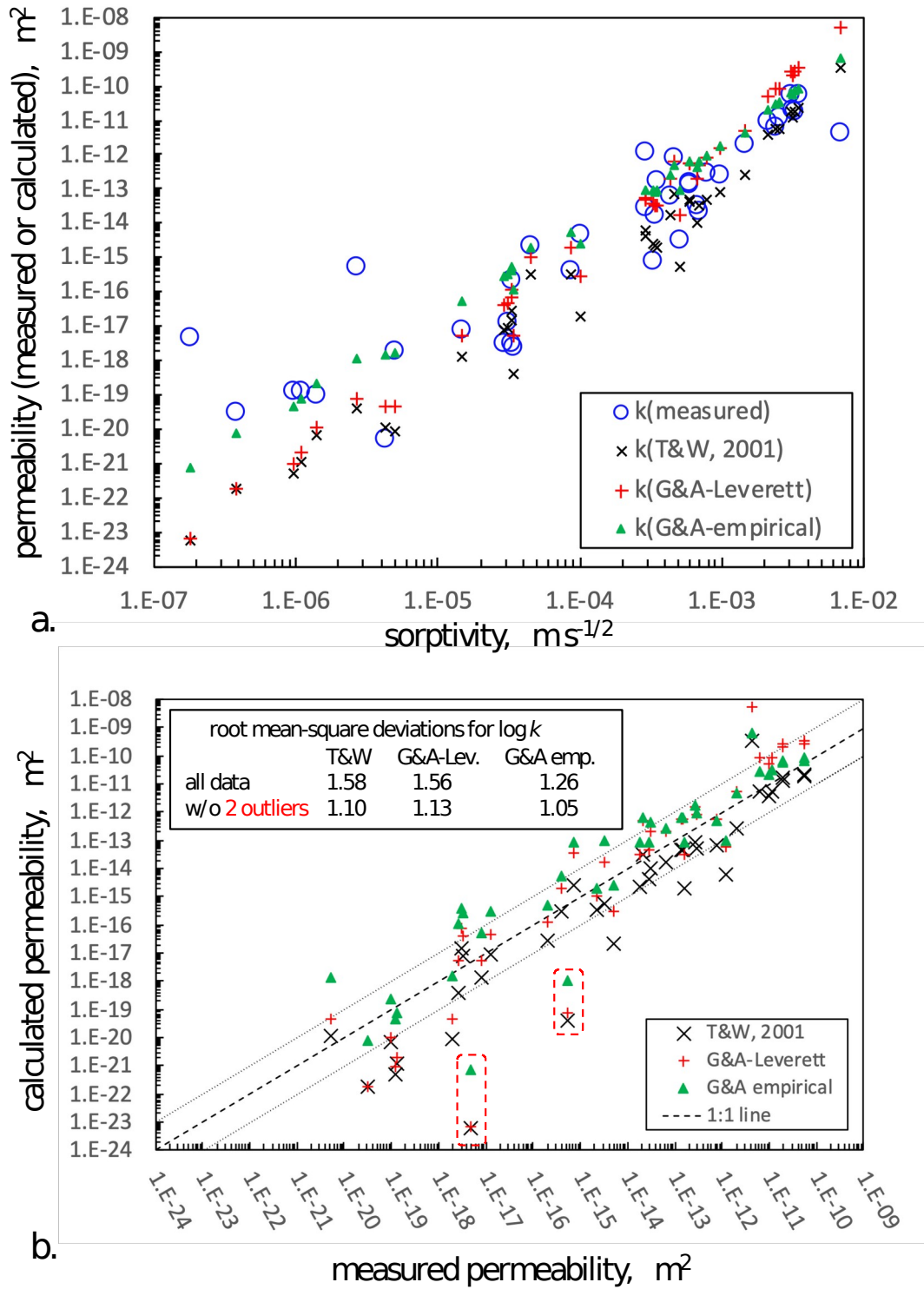
390

$$391 \quad k = \left( \frac{\eta}{2 j_2 \Delta \theta} \right)^{1.56} S^{3.12} \quad (16)$$

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393 Comparisons between measured  $k$  and the sorptivity-predicted  $k$  based on  
 394 Leverett scaling of the GA model (eq. 12), the Tokunaga & Wan model (eq.  
 395 9a), and the new  $P_{c,f}$ -fit GA model (eq. 16) are presented in Figure 5. It  
 396 should be noted that the Leverett-scaled results were obtained by setting  $J =$   
 397  $0.0196$  in eq. 12 in order to minimize the rmsd of  $\log k$ , while no further  
 398 adjustments were applied for the Tokunaga & Wan or the  $P_{c,f}$ -fit GA models.  
 399 While all three approaches are in general agreement, predictions based on  
 400 eq. 16 performed the best. It should also be noted that this analysis is not  
 401 applicable for materials that are weakly wetting or hydrophobic. Although  
 402 water contact angles are often used to account for wettability effects, such  
 403 adjustments in scaling are qualitative because they do not satisfy  
 404 requirements of geometric similitude (Tokunaga & Wan, 2013). Qualitatively,  
 405 imbibition into less water-wettable materials would underestimate  $k$ .

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**Figure 5.** Estimating permeability from sorptivity. **a.** correlations between measured  $S$  and  $k$  (measured, and  $S$ -based calculated). **b.** Comparisons between measured  $k$  and  $k$  estimated based on Tokunaga and Wan (eq. 9a), Leverett-scaled GA model (eq. 12), and empirically scaled GA model (eq. 16).

412 The two  $k$  that significantly deviate from predictions are frame in the red-  
 413 dashed rectangles.

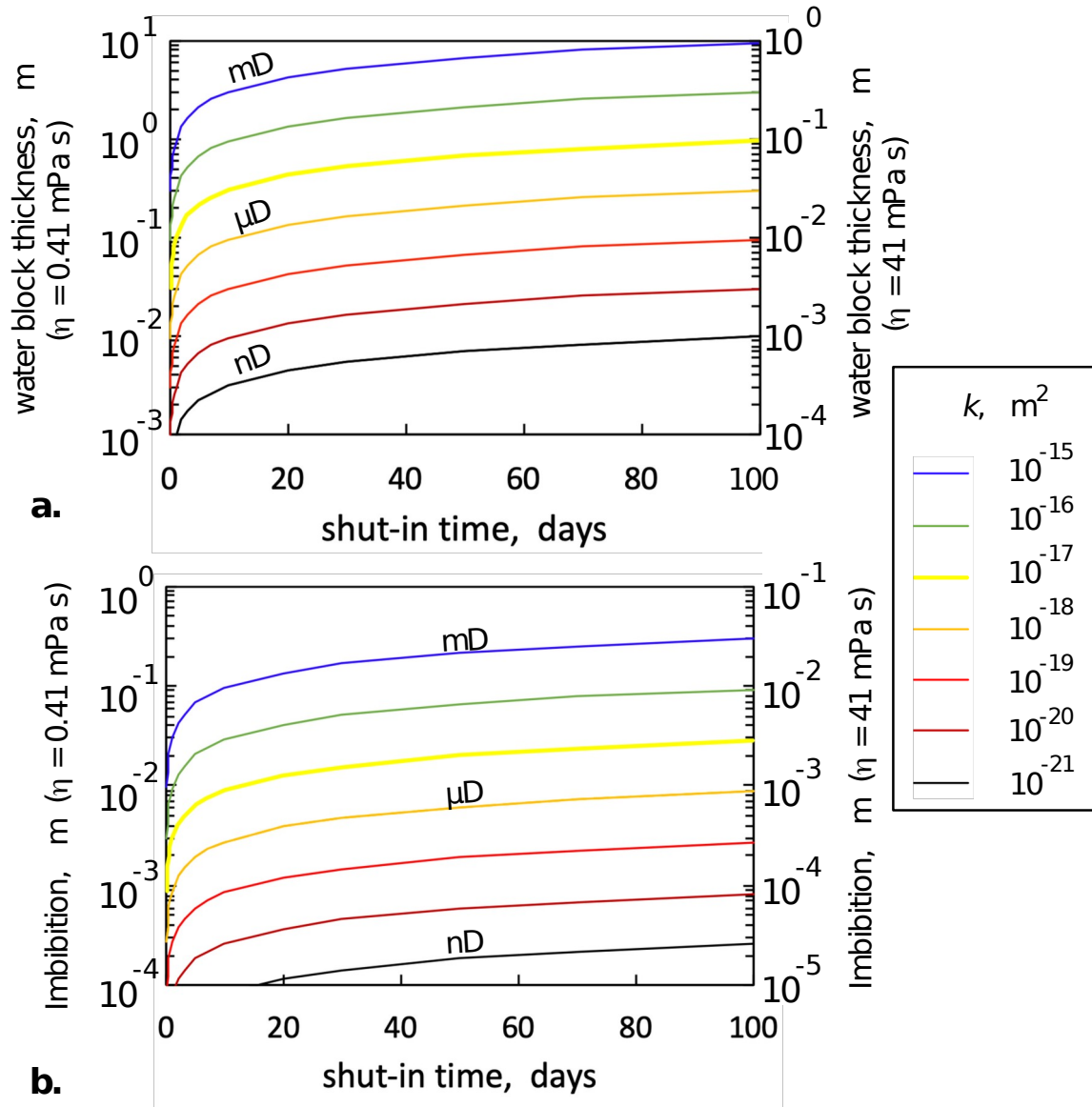
414 **5.4 Permeability-dependence of imbibition during hydraulic**  
 415 **fracturing** Under the high effective stresses associated with deep shale  
 416 reservoirs, very high pressures act along fracture-matrix boundaries. In the  
 417 following example calculations, imbibition across a hydraulic fracture-matrix  
 418 interface at a depth of 2.00 km is assumed to occur under constant  $P_{c,0} = -45$   
 419 MPa estimated from the fracture gradient (Feng & Gray, 2017; Gunarathna &  
 420 da Silva, 2019). It is worth noting that the large magnitude of  $P_{c,0}$  dominates  
 421 as the driving force for imbibition, even when the matrix has extremely low  
 422  $k$ . For  $k = 10^{-21} \text{ m}^2$  (nano-darcy),  $P_{c,f} = 3.48 \text{ MPa}$  from eq. 14. Despite this  
 423 high  $P_{c,f}$ , the magnitude of  $P_{c,0}$  in this example comparison is nearly thirteen  
 424 times greater. The dominance of  $P_{c,0}$  in this hydraulic fracturing scenario is  
 425 even greater in more permeable media because  $P_{c,f}$  is approximately  
 426 proportional to  $k^{-0.36}$  (eq. 13).

427 The shut-in time-dependence of the water block thicknesses calculated  
 428 with equation 15a are shown in Figure 6a, for  $k$  ranging from  $10^{-21} \text{ m}^2$  up to  
 429  $10^{-15} \text{ m}^2$  (milli-darcy), for  $\eta = 0.41$  and  $41 \text{ mPa s}$ , and  $\Delta\theta = 0.05$ . The two  
 430 viscosity values represent water at  $70 \text{ }^\circ\text{C}$  and  $20 \text{ MPa}$ , and fluid that has  
 431 been thickened to achieve a 100-fold increase in viscosity relative to this  
 432 reference value. The corresponding cumulative imbibition amounts for  $\eta =$   
 433  $0.41$  and  $41 \text{ mPa s}$  are plotted on the left and right ordinates, respectively.  
 434 Note from equation 15a that the wetting depth depends on the inverse  
 435 square-root of viscosity, hence a 100-fold increase in  $\eta$  reduces  $L$  by a factor  
 436 of 10. The high  $k$  values were included for completeness, but are not  
 437 representative of shales. For the lower  $k$ , the water block thickness will not  
 438 even extend 10 cm into the shale matrix. It should also be noted that the 1-  
 439 dimensional treatment of imbibition is suitable for describing wetting in the  
 440 complex fracture network only until influences of wetting front convergence  
 441 become important, and that depends on the characteristic distance between  
 442 fractures.

443 The volumetric uptake of fracturing fluid amounts to scaling down the  
 444  $L$  values in Figure 6a by a factor of  $\Delta\theta = 0.05$ , and the corresponding  
 445 quantities are shown in Figure 6b. Here again, comparisons are provided for  
 446 water and fluid with 100-fold higher viscosity. Note that for materials with  $k$   
 447  $\leq 10^{-18} \text{ m}^2$  ( $\mu\text{D}$ ), imbibition remains  $\leq 0.3 \text{ m}$  by day 100, even for ordinary  
 448 water without increased viscosity. This is consistent with the importance of  
 449 densely distributed microfracture networks in facilitating large volumes of  
 450 water loss during hydraulic fracturing.

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**Figure 6.** Time-dependence of **a.** wetting front advance (water block thickness) and **b.** cumulative water imbibition ( $\text{m}^3$  per  $\text{m}^2$  of fracture-shale interface area), for different matrix permeabilities. The injection pressure at the fracture-matrix boundary is  $45 \text{ MPa} = -P_{c,0}$ . Cases for viscosities of  $0.41$  and  $41 \text{ mPa s}$  correspond to the left and right ordinates of each graph.

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## 5 Conclusions

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Predicting imbibition rates and determining  $k$  are important across a wide range of disciplines. Through identifying a correlation between  $P_{c,f}$  and  $k$ , results presented here facilitate predictions of both imbibition rates and  $k$ . By eliminating the need for assigning  $P_{c,f}$  in the GA model, uncertainties in imbibition are largely reduced to selection of  $k$ . Conversely, results presented here show how  $k$  can be reasonably well estimated from

466 imbibition measurements of  $S$ . Because of the ease with which imbibition  
 467 measurements are made relative to measurements of  $k$ , this new approach  
 468 to estimating  $k$  has considerable practical advantage. The G&A model  
 469 modified with the  $P_{c,f}$ - $k$  correlation permits order of magnitude estimates of  
 470  $k$ , over a 10 order of magnitude range. This advantage is particularly  
 471 important for measurements of low permeability materials because  
 472 conventional methods are more difficult to perform and susceptible to  
 473 greater experimental artifacts (Cui, Bustin, & Bustin, 2009; Tokunaga,  
 474 1988).

475 Given the large volumes of water consumed in hydraulic fracturing of  
 476 low  $k$  gas reservoirs, these insights into both  $k$  and imbibition are relevant for  
 477 understanding water loss during reservoir development. The above results  
 478 facilitate calculations of local hydraulic fluid behavior at fracture-matrix  
 479 boundaries, and provide predictions of the leak-off water loss rates per unit  
 480 area of fracture-shale interface. Combined with information on overall leak-  
 481 off losses during shut-in, these results can help estimate effective fracture-  
 482 shale interfacial area within the stimulated reservoir volume. Better  
 483 understanding on the dynamics of imbibition during hydraulic fracturing is a  
 484 prerequisite to developing rational approaches to reducing water use.

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