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# Copy Number Variant Analysis from Exome Data in 349 Patients with Epileptic Encephalopathy

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Infantile spasms (IS) and Lennox–Gastaut syndrome (LGS) are epileptic encephalopathies characterized by early onset, intractable seizures, and poor developmental outcomes. De novo sequence mutations and copy number variants (CNVs) are causative in a subset of cases. We used exome sequence data in 349 trios with IS or LGS to identify putative de novo CNVs. We confirm 18 de novo CNVs in 17 patients (4.8%), 10 of which are likely pathogenic, giving a firm genetic diagnosis for 2.9% of patients. Confirmation of exome-predicted CNVs by array-based methods is still required due to false-positive rates of prediction algorithms. Our exome-based results are consistent with recent array-based studies in similar cohorts and highlight novel candidate genes for IS and LGS.

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The epileptic encephalopathies (EEs) are a devastating group of epilepsies in which epileptic activity and seizures contribute to cognitive impairment or regression.<sup>1</sup> Most EEs begin in infancy or early childhood and are associated with poor developmental outcome. Although the cause is unknown in the majority of cases, recent studies confirm that de novo mutations and copy number variants (CNVs) play an important role.<sup>2,3</sup> We recently reported exome sequencing data in 264 parent–proband trios with infantile spasms (n = 149) or Lennox–Gastaut syndrome (LGS; n = 115) without syndromic features or magnetic resonance imaging (MRI) abnormalities from the Epilepsy Phenome/Genome Project (EPGP) cohort, identifying likely pathogenic, de novo sequence changes in >10% of patients.<sup>2</sup> Here we report results of copy number analysis derived from the exome data of this cohort and 85 additional patients to further elucidate the genetic architecture of these paradigmatic EEs. Our exome-based CNV calling yields similar results to array-based studies for confirmed, de novo, likely pathogenic CNVs.

## Patients and Methods

### Samples

Probands and family members were collected as part of the EPGP cohort (Supplementary Table 1) as described previously<sup>2,4</sup> with approval by site-specific institutional review boards; 1,047 individuals comprising 349 parent–child trios were included in the present analysis. Of these, 264 were previously analyzed for de novo single nucleotide variants (SNVs).<sup>2</sup> Prior clinical CNV testing included chromosome microarray and/or karyotype analysis in 131 of 349 patients (38% of cohort). Detailed inclusion criteria have been published<sup>4</sup>; briefly, participants were required to have electroencephalographic findings consistent with LGS (slow or disorganized background, and slow spike and wave < 2.7Hz or generalized paroxysmal fast activity) or infantile spasms (IS; hypsarrhythmia, hypsarrhythmia variant, or electrodecremental discharge).<sup>4</sup> Exclusion criteria included evidence of a known genetic syndrome or chromosome abnormality. Extensive phenotype analysis of patients enrolled in the study are published elsewhere<sup>5</sup> (and Madou et al, manuscript in preparation). All available clinical records were re-reviewed for those patients found to have a de novo CNV, and evidence of syndromic features was often noted upon reexamination of the medical records.

### CNV Calling and Validation

CNVs were detected by analyzing exome data using the CoNIFER pipeline, a depth-of-coverage–based algorithm using the conifer-tools package, which implements DNACopy.<sup>6,7</sup> Briefly, exome reads were mapped to exons and 300bp flanking sequence using mrsFAST. RPKM (mapped reads per kilobase per million reads) values were calculated, and the first 30 singular value decomposition (SVD) components were removed to minimize systematic noise and bias. The following filtering criteria were applied: CNVs of 3 to 5 probes average SVD-transformed signal > 1; CNVs of ≥6 probes, average signal > 0.5. CNVs >50% in repetitive or duplicated genomic space were removed. CNV calls were manually curated, and curated calls were compared to control CNV data sets to filter out common CNVs present in >1% of the general population. Control CNV data sets included (1) CNV calls from the ARIC (Atherosclerosis Risk in Communities) study (n = 11,305)

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Additional supporting information can be found in the online version of this article.

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TABLE 1. De Novo CNVs in 349 Trios

Trio	CNV	Size	Candidate or Known Epilepsy Genes or Known Disease Association	De Novo SNV Calls from Exome <sup>2</sup>	Validation Platform	Gene(s) Enriched in CNVs Found in Patients with Neurodevelopmental Phenotypes <sup>a</sup>	Age at Onset	Seizure Types <sup>b</sup>
Likely pathogenic CNVs								
fx	2q24 dup	7.5Mb	SCN2A, SCN1A	SMG9 (mis), EPHB1 (synon)	CGH	SCN1A, SCN2A	7 mo	IS
iq	2q24 del	296kb	SCN1A	None	CGH, SNP	SCN1A	<1 yr	GTC, aA
hj	5p15 del	3.8Mb	SEMA5A, CTNND2	SDCBP2 (mis)	CGH	TAS2RI, FAM173B, CCT5, MTRR	6 mo	FS, focal, GTC, aA, SE
cy	7q11 del	11.4Mb	MAGI2, YWHAG, HIP1	ZNF12 (UTR), FPGT-TNNI3K (mis), FAM50A	CGH, SNP	HIP1	3 mo	IS, aA
aia	9p ter del	8.7Mb	9p deletion syndrome	None	SNP	DMRT2, DMRT3	5 mo	IS
iz	14q23 del	585kb	GPHN	HRG (mis), PCDHBI3 (mis)	CGH	— <sup>c</sup>	2.5 yr	FS+SE, T, drop
eh	15q11 dup	5.0Mb	15q11q13 dup syndrome; GABRB3	PAQR8 (synon)	CGH	GABRB3	2 wk	IS, multiple other
ag	15q11 dup <sup>d</sup>	12.0Mb	15q11q13 dup syndrome; GABRB3	MLL4 (mis)	CGH, karyo	GABRB3	8 mo	IS
gg^	15q11 dup	8.4Mb	15q11q13 dup syndrome; GABRB3	None	CGH, SNP	GABRB3	8 mo	GTC, T, atonic
fu	t(15;16) <sup>d</sup>	1.8Mb del, 16.3Mb dup	Large unbalanced translocation	None	CGH, karyo	SNRPA1, FANCA	8 mo	IS
CNVs of uncertain clinical significance								
ig	1p22 dup	140kb	1 gene: ZNF644	IQSEC1 (mis)	CGH, SNP	— <sup>c</sup>	2 yr	A, GTC, M, T, drop
ad	1q21 dup	249kb	TAR region dup	NFE2L1 (mis)	SNP	LIXIL	8 mo	IS

TABLE 1: Continued

Trio	CNV	Size	Candidate or Known Epilepsy Genes or Known Disease Association	De Novo SNV Calls from Exome <sup>2</sup>	Validation Platform	Gene(s) Enriched in CNVs Found in Patients with Neurodevelopmental Phenotypes <sup>a</sup>	Age at Onset	Seizure Types <sup>b</sup>
atb	2q37 del	154kb	4 genes: <i>PPP1R7</i> , <i>HDLBP</i> , <i>ANO7</i> , <i>SEPT2</i>	<i>CXXC11</i> (synon)	SNP	<i>PPP1R7</i>	5 mo	IS, T
gc	7q22 del	622kb	15 genes in region	<i>NRIH2</i> (mis)	CGH	<i>MUC17</i> , <i>MYL10</i> , <i>TRIM56</i>	8 mo	IS
ahp	7q31 dup	94kb	2 genes: <i>CPED1</i> , <i>ING3</i>	<i>ADAMSL4</i> (mis), <i>PPP6R2</i> (mis)	SNP	— <sup>c</sup>	7 mo	IS
le	8p23 del	140kb	2 genes: <i>MCPH1</i> , <i>AGTP2</i>	<i>DACH2</i> (mis)	CGH, SNP	<i>ANGPT2</i>	3 y 10 mo	GTC, drop, T, M, A, aA
bda	17q12 del	1.5Mb	15 genes in region	None	h.c.		8 mo	IS, M, SE, GTC

Additional information is available in Supplementary Table 7.

<sup>a</sup>Genes listed represent those with mean probability value < 0.05 for known disease gene(s) in region or peak probability value < 0.05 for novel regions as described by Cooper and colleagues.<sup>21</sup> See Supplementary Table 5 for details.

<sup>b</sup>Seizure types include all reported; first type listed was the initial seizure type.

<sup>c</sup>No gene within region with  $p < 0.05$ .

<sup>d</sup>Upon review of records, diagnosis made prior to enrollment.

A = absence; aA = atypical absence; CGH = comparative genome hybridization; CNV = copy number variant; FS = febrile seizures; GTC = generalized tonic clonic; h.c. = high-confidence CNV call by CoNIFER; IS = infantile spasms; M = myoclonic; SE = status epilepticus; SNP = status epilepticus; SNV = single nucleotide polymorphism; SNV = single nucleotide variant; T = tonic; UTR = untranslated region.

analyzed using Affymetrix AFFY\_6.0 single nucleotide polymorphism (SNP) microarray; and (2) CNV calls from the National Heart, Lung, and Blood Institute GO Exome Sequencing Project (ESP;  $n = 2,972$ ) from CoNIFER analysis of exome sequence data. CoNIFER-predicted de novo CNVs and a subset of predicted large ( $>500\text{kb}$ ), inherited CNVs were validated using oligonucleotide (Agilent Technologies, Santa Clara, CA) and/or SNP (HumanCore 12v1; Illumina, San Diego, CA;  $n = 295,393$  probes) microarray. De novo CNVs were considered pathogenic if the CNV (or largely overlapping CNV) was previously associated with epilepsy or related neurodevelopmental disorders or contained a known epilepsy gene.

## Results

### CNV Discovery and Validation

As CNV detection from exome data is still an emerging technique, we initially performed comprehensive validation studies in 43 probands to estimate our overall validation rate for CoNIFER calls in this data set. We validated 53 of 80 (66%) predicted inherited CNVs, consistent with our previous studies<sup>8</sup> (Supplementary Table 2). Twenty-four were paternally inherited and 29 were maternally inherited, with a size range of 5.2kb to 8.8Mb (mean = 377kb). For the same 43 probands, we validated 5 of 21 (24%) predicted de novo CNVs (Supplementary Table 3). The lower validation rate is not unexpected, given that any false-positive call in a proband will appear to be de novo, whereas inherited CNV predictions are supported by the same predicted CNV in 2 individuals (proband and 1 parent).

As the majority of causative CNVs in this cohort were expected to be de novo, we targeted the remainder of our validation studies to predicted de novo CNVs. We confirmed a total of 18 de novo CNVs in 17 patients (Table 1). The de novo CNVs ranged in size from 94kb to 16Mb and involved 1 to 163 genes. Notably, none of these 17 individuals had clearly pathogenic de novo SNVs by exome sequencing. In 10 patients, the de novo CNV(s) was likely pathogenic based on size, previous association with epilepsy, or gene content.<sup>9</sup> One pathogenic CNV (15q11 dup) recurred in 3 cases. In 7 patients, the de novo CNV was of uncertain clinical significance (see Table 1).

Because whole genome array comparative genome hybridization was used to validate de novo CNVs, we also confirmed a large number of inherited CNVs across the cohort. We confirmed 69 inherited CNVs in 54 individuals ranging from 5.2kb to 8.8Mb (mean = 305kb; Supplementary Table 4). Eight individuals (2.3%) each had an inherited CNV  $>500\text{kb}$ ; 4 (1.2%) of these were  $>1\text{Mb}$  (Table 2). We also looked specifically for inherited CNVs within 3 recurrent deletion regions that have been previously associated with risk for epilepsy<sup>10,11</sup>:

15q11.2, 15q13.3, and 16p13.11. Two patients had inherited deletions of 15q11.2 that may have contributed to their phenotype; another 2 patients each had a small, inherited duplication within the 16p13.11 region of uncertain significance. Aside from the large 15q11-q13 duplications described above, there were no additional CNVs within the 15q13.3 region. Although de novo CNVs are more likely to be pathogenic,<sup>12</sup> it is possible that 1 or more of the inherited CNVs in our cohort was contributory. Three individuals with rare inherited CNVs had a pathogenic SNV, and 1 had a de novo 15q11 duplication, making it less likely that the inherited CNV was causative (see Table 2).

There were 540 unique genes within the 18 de novo CNV regions in our cohort (Supplementary Table 5), 3 of which were known EE genes: *SCN1A*, *SCN2A*, and *GABRB3*. All 5 individuals with CNVs involving these genes had phenotypes consistent with those described for the CNVs they carried (Supplementary Table 7). Eight additional genes (*GLIS3*, *KIAA1324L*, *NIPAI*, *PLCG2*, *RCL1*, *RFX3*, *SPG7*, *YWHAG*) within de novo CNV regions were also found to have a de novo sequence variant by trio exome sequencing in the same cohort (see Supplementary Table 5, Allen et al,<sup>2</sup> and unpublished data); these cannot be regarded as confirmed EE genes, but finding both a de novo SNV and a de novo CNV involving each of them suggests that follow-up in a larger cohort is warranted. In addition, 3 and 30 genes within de novo CNVs were found to have de novo mutations by trio exome sequencing in intellectual disability<sup>13,14</sup> and autism,<sup>15–18</sup> respectively; these genes may warrant follow-up given the overlapping genetic susceptibility of these disorders.

## Discussion

We detected CNVs from exome sequencing data in 349 trios from patients with IS or LGS. We confirmed 18 de novo CNVs in 17 of 349 probands (4.8%), providing a definitive diagnosis in 2.9% of patients and a possible explanation for another 2.0%. Notably, 38% of the current cohort had already undergone karyotype and/or chromosome microarray testing prior to enrollment in the study and had not arrived at a diagnosis through clinical testing. Evaluation of patients without prior screening may result in a higher yield; we observed a de novo CNV in 5.6% of the 218 participants in our cohort without previous clinical testing. These results are similar to our prior studies in a broader spectrum of EE, where 4.1% had a definitely pathogenic CNV,<sup>3</sup> and to our recently reported findings in a large clinically ascertained cohort with a broad range of epilepsy diagnoses, where 5% of cases had a causative CNV.<sup>19</sup>

**TABLE 2. Selected Inherited CNVs**

Trio	CNV (inheritance)	Size, kb	No. of Genes; Possible EE Candidates	Causative d.n. SNV?	Validation Platform
Large [ $>500$ kb] inherited CNVs					
jp	2p22 dup (paternal)	620	3 genes; <i>BIRC6</i> , <i>TTC27</i> , <i>LTBP1</i>	No	SNP
ip	17q dup (paternal)	737	13 genes	No	CGH
ad	10q21 del (maternal)	858	1 gene; <i>PCDH15</i>	No	SNP
jj	4p16 dup (maternal)	885	5 genes	<i>WDR45</i> frameshift	SNP
ki	7q11 dup (paternal)	1,000	9 genes	<i>DNM1</i> missense	SNP
dg	Xp22 del (paternal)	1,900	8 genes	<i>ALG13</i> missense	h.c.
bj	Xp22 dup (maternal)	2,000	9 genes	No	h.c.
gq	1q31 dup (paternal)	8,800	23 genes	No; de novo 15q11 dup	CGH, SNP
Recurrent CNV regions previously associated with epilepsy					
j	16p13 dup (paternal)	30	<i>NTAN1</i> , <i>PDXDC1</i> ( <i>16p13.11</i> )	No	h.c.
r	16p13 dup (maternal)	58	<i>ABCC1</i> , <i>ABCC6</i> ( <i>16p13.11</i> )	No	h.c.
d	15q11.2 del (maternal)	213	<i>NIPA2</i> , <i>CYFIP1</i>	No	h.c.
in	15q11.2 del (paternal)	213	<i>NIPA2</i> , <i>CYFIP1</i>	No	SNP
CGH = comparative genome hybridization; CNV = copy number variant; d.n. = de novo; EE = epileptic encephalopathy; h.c. = high-confidence CNV call by CoNIFER; SNP = single nucleotide polymorphism; SNV = single nucleotide variant.					

Three individuals each had a de novo duplication consistent with 15q11q13 duplication syndrome, characterized by hypotonia, seizures, developmental delay, and behavior problems. A late onset LGS phenotype has been described in some patients. Other de novo CNVs in our cohort that have been previously associated with epilepsy include 7q11 deletion, 9p terminal deletion, 2q24 duplication, and *SCN1A* deletion. One patient harbored a de novo intragenic deletion of the *GPHN* gene, which encodes a protein that is responsible for the clustering of glycine and  $\gamma$ -aminobutyric acid receptors at inhibitory synapses. Inherited or de novo deletions involving *GPHN* were recently described in 6 patients with autism, schizophrenia, or seizures.<sup>20</sup> The deletion in our patient is the largest of those described and also involves the *FAM17D* and *MIPP5* genes.

Comparison of the genes within de novo CNV regions in our cohort to those in which at least 1 other patient in this cohort had a de novo sequence variant identifies several novel candidate genes that deserve follow-up in a larger cohort. Furthermore, several

patients harbor de novo CNVs involving only 1 to 4 genes. Although these CNVs are of uncertain significance, identification of de novo SNVs in the same genes encompassed by certain CNVs would support the finding that these CNVs are related to disease.

In the large EPGP cohort of IS and LGS patients, the addition of this CNV data to the de novo SNV findings shows that a definitive genetic diagnosis can be reached in  $>15\%$  of cases for which there was previously no known cause. As whole exome sequencing is becoming widely used, one might ask whether CNV data can be efficiently and reliably extracted in a clinical setting, thus bypassing the need for array-based CNV assays. Our experience, especially as shown by the false-positive rate, suggests that array-based technologies are currently still required. A logical clinical approach to a patient with IS or LGS of unknown etiology should include a chromosome microarray for patients with epilepsy and additional findings such as abnormal MRI, developmental delays, or dysmorphic features, followed by an epilepsy-focused targeted gene panel and then whole exome sequencing in



cases that remain undiagnosed. As prediction algorithms improve, exome and eventually whole genome sequencing will provide a genetic diagnosis in an even greater proportion of patients in the clinical setting, improving medical management and genetic counseling in this patient population.

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### Authorship

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### Potential Conflicts of Interest

Nothing to report.

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