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Observation of Double Excitations in the Resonant Inelastic X-ray Scattering of Nitric Oxide

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Abstract

The nitrogen K-edge resonant inelastic x-ray scattering (RIXS) map of nitric oxide (NO) has been measured and simulated to provide a detailed analysis of the observed features. High-resolution experimental RIXS maps were collected using an *in situ* gas flow cell and a high-transmission soft x-ray spectrometer. Accurate descriptions of the ground, excited, and core-excited states are based upon restricted active space self-consistent-field calculations using second order multiconfigurational perturbation theory. The nitrogen K-edge RIXS map of NO shows a range of features that can be assigned to intermediate states arising from $1s\rightarrow\pi^*$ and $1s\rightarrow$ Rydberg excitations; additional bands are attributed to doubly excited intermediate states comprising $1s\rightarrow\pi^*$ and $\pi\rightarrow\pi^*$ excitations. These results provide a detailed picture of RIXS for an open-shell molecule and an extensive description of the core-excited electronic structure of NO, an important molecule in many chemical and biological processes.

TOC Graphic



Advances in synchrotron-based light sources have led to the emergence of x-ray spectroscopic techniques as powerful tools for the study of molecular structure and ultrafast molecular dynamics.¹⁻⁶ Among these techniques, resonant inelastic (soft) x-ray scattering (RIXS) is a two-photon technique, wherein the initial state of the system is excited to an intermediate core-excited state and the emission from the subsequent de-excitation is measured.⁷ RIXS provides a wealth of information on aspects such as the electronic structure, screening effects, non-adiabatic processes, and ultrafast dynamics that occur within the lifetime of the intermediate state.^{2-4,8,9} Using a suitable high-transmission spectrometer,¹⁰ the emission can be measured in a densely spaced series of excitation energies, leading to a "RIXS map" that shows the intensity as a function of the excitation and emission energies.^{10,11}

RIXS maps have been reported for a variety of systems, including molecules in the gas phase. For example, gas-phase water has been studied in detail, revealing the occurrence of core-excited ultrafast dissociation.^{4,12-14} Furthermore, diatomic molecules, such as molecular nitrogen, carbon monoxide, and molecular oxygen, have been studied using RIXS. A resonant x-ray emission study of molecular nitrogen showed the presence of a parity selection rule,¹⁵ and Rubensson and co-workers found that, for near-threshold states, the core-excited Rydberg electron acts as a spectator in the resonant emission process.¹⁶ Screening effects in resonantly excited emission spectra of carbon monoxide have been discussed in detail,¹⁷ and the presence of dark two electron-one photon final states attained by non-adiabatic dynamics driven by Coulomb coupling has been observed.¹⁸ Symmetry effects upon core-hole excitation have been investigated for molecular oxygen,^{19,20} and a vibrational RIXS study observed a spatial quantum beat effect arising from interference between two wave packets with different momenta for dissociative core-excited states.²¹ The x-ray

absorption (XAS) and resonant Auger spectra for the $1s \rightarrow \sigma^*$ transition in molecular oxygen have been reported, and the theoretical analysis suggests a complex picture with multiple crossings of the potential energy curves for the core-excited states and a breakdown of the Born-Oppenheimer approximation.²²

The focus of this work is nitric oxide (NO), a stable open-shell molecule with a $X^2\Pi$ ground state. It is important in many chemical and biological processes, including the formation of smog and acid rain, as well as the depletion of ozone in the atmosphere. NO also plays a role in the control of blood circulation, nerve transmission, and the function of the immune system.²³ The UV photoemission spectrum of NO has been studied in detail, revealing that the $\pi \rightarrow \pi^*$ transition leads to a set of valence excited states including $B^2\Pi$, $L'\Phi$, and $L^2\Pi$, while excitation to the 3s and 3p Rydberg orbitals gives $A^2\Sigma^+$, $C^2\Pi$, and $D^2\Sigma^+$ states.²⁴⁻²⁶ Furthermore, a significant lengthening of the bond occurs for the $\pi \rightarrow \pi^*$ excitation, while excitation to the Rydberg orbitals leads to a shortening of the bond.

In comparison, there have been relatively few studies focused on the *core-excited* states of NO. The x-ray absorption spectrum of NO at the nitrogen K-edge has been measured using electron energy-loss spectroscopy^{27,28} and also in a high-resolution photoionization study.²⁹ The spectrum is dominated by an intense band at approximately 400 eV, arising from the $1s \rightarrow \pi^*$ transitions, with weaker bands at higher energies due to transitions to Rydberg states. The $1s^{-1}\pi^{*2}$ electron configuration gives rise to one quartet and three doublet electronic states, namely ${}^{4}\Sigma^{-}$, ${}^{2}\Sigma^{-}$, ${}^{2}\Delta$, and ${}^{2}\Sigma^{+}$. However, only transitions to the doublet states make a significant contribution to the observed band. The orbital occupancies of these states are sketched in Figure S1. There has been some debate regarding the energy ordering of the three doublet states, but high-level calculations suggest that the order is, from low to high energy, ${}^{2}\Delta$, ${}^{2}\Sigma^{-}$, ${}^{2}\Sigma^{+}$.²⁹ It has also been noted that the potential energy surfaces for the ${}^{2}\Delta$ and ${}^{2}\Sigma^{-}$ states are similar, while the surface for the ${}^{2}\Sigma^{+}$ state is different.³⁰ There is a significant lengthening of the bond in these core-excited states relative to the ground state, and vibrational structure is observed both in the nitrogen and oxygen K-edge XAS spectra of NO.^{31,32} Theoretical simulations of the absorption and de-excitation spectra associated with the 1s $\rightarrow\pi^{*}$ transitions using potential energy surfaces determined from the multiconfiguration reference coupled electron pair approximation give good agreement with the experimental coreexcitation energies.³⁰ Other studies have measured the angular distribution of the nitrogen K-edge photoelectron ionization and Auger decay of NO, which show that interference between excitation and decay channels of different symmetries plays a significant role.^{33,34}

In this Letter, the nitrogen K-edge RIXS map of the NO molecule is presented, providing a detailed picture of RIXS in an open-shell molecule and an extensive description of the core-excited electronic structure of NO. This, in turn, allows gaining insight into the processes that occur on the lifetime of the core-excited states. The measured RIXS map is interpreted using simulated maps based upon multiconfigurational perturbation theory calculations, allowing the observed features to be assigned to electronic states. The results reveal the presence of distinct features in the RIXS spectra that can be attributed to the formation of doubly excited states.

The measured nitrogen K-edge RIXS map for gas-phase NO is shown in Figure 1 (a), with detailed regions shown in Fig. 1 (b). A simulated map based upon *ab initio* calculations is depicted in Figure 1 (c). Different detail regions of the map are labelled i-iii and also indicated in the measured

and calculated maps. Also shown is the non-resonant x-ray emission spectrum (Figure 1 (d)), which will be discussed in more detail below. The electronic structure of NO represents a challenge for electronic structure theory, owing to the multiconfigurational nature of the wave functions and the necessity to describe both valence states and diffuse Rydberg states. To achieve an accurate description of the ground, excited, and core-excited states, the simulated map is based upon restricted active space self-consistent-field (RASSCF)³⁵ calculations, with the state energies improved via addition of dynamical correlation using restricted active space second order perturbation theory (RASPT2)³⁶ and the RIXS intensity evaluated using the Kramers-Heisenberg formalism.^{37,40} The simulated map provides a good foundation for a detailed analysis of the observed features.

Before analyzing the RIXS map, we will discuss the non-resonant emission spectrum [Figure 1 (d)]. The experimental spectrum (solid purple line) shows strong peaks at ~402.5 and ~394.5 eV, the latter with a shoulder at lower energy. Closer inspection of the spectrum shows weaker features at 389 eV and in the region 397 – 400 eV, which can be observed more clearly in the magnified spectrum (15x, dark green sections). Simulations of the non-resonant emission spectrum based on equation-of-motion coupled cluster calculations (see Supporting Information) show that the peak at 402.5 eV is attributed to the π^* orbital, while the peak at 394.5 eV and its shoulder correspond to the 5 σ and π orbitals, respectively. The feature at 389.0 eV can be attributed to emission from the 4 σ orbital, although the intensity of this transition is very low, in accordance with the dipole selection rules. The calculated emission spectrum shows no evidence of transitions in the 397 – 400 eV energy range. Similar "satellites" have been observed previously for other small molecules, e.g., gas phase water, and attributed to double excitations.⁴¹ We have thus computed the emission

spectra for the case when the core-ionization is accompanied by $\pi \rightarrow \pi^*$ and $5\sigma \rightarrow \pi^*$ excitations, respectively. The calculation results are shown as blue and red bars in Figure 1 (d) and in more detail in Figures S2 and S3. The doubly excited states including a $\pi \rightarrow \pi^*$ transition lead to 5σ emission at 397.7 and 398.0 eV, which is consistent with the weak bands observed in the experimental spectrum. Similarly, π and π^* emission peaks for doubly excited final states are expected at 392.5, 395.5, and 402.3 eV, overlapping with the strongest features for the core-ionized state.

We now turn back to the RIXS maps in Figure 1. Region i is dominated by two intense and broad features at an absorption energy of ~400 eV and with emission energies of ~400 eV and ~392 eV, respectively. This region arises from the $1s\rightarrow\pi^*$ excitation, which gives the N1s¹ π^{*2} electron configuration leading to ${}^{2}\Delta$, ${}^{2}\Sigma^{-}$, and ${}^{2}\Sigma^{+}$ intermediate states. Note that we do not consider the ${}^{4}\Sigma^{-}$ state due to its vanishing intensity. The calculated excitation energies for these states are given in Table 1, predicting an energy ordering consistent with previous multiconfiguration reference coupled electron pair approximation calculations.³⁰ The calculated energies are close to those derived from the experimental spectra.²⁹ The feature at an emission energy of ~400 eV corresponds to the participant decay involving the π^* orbital and lies on or close to the diagonal line of elastically scattered photons at equal excitation and emission energies (i.e., the Rayleigh line). It extends to lower emission energies due to vibrational excitations that lead to a vibrational envelope (not resolved into individual peaks in the present experiment).



Figure 1: (a) Experimental resonant inelastic x-ray scattering (RIXS) map at the nitrogen K-edge for NO gas, with various highlighted regions (labeled i-iii) shown in larger detail in (b). (c) Simulated nitrogen K-edge RIXS map. (d) Experimental non-resonant x-ray emission spectrum with simulated peak intensities shown below.

The feature at an emission energy of \sim 392 eV exhibits a rich substructure that is also reflected in the simulations. This substructure can be largely attributed to the energy separation of the intermediate and final states. In total, six spectral contributions, arising from emission from π and

 5σ orbitals for the three N1s¹ π *² states, are predicted by the simulation of that region. The corresponding emission energies are also given in Table 1. We find the emission energy for transitions involving the π orbital to be greater than for those involving the 5 σ orbital for all three of the intermediate states. This comparison suggests that the presence of an additional electron in the π^* orbital leads to an increase in repulsion for the electrons in the π orbital relative to the 5σ orbital. While the qualitative agreement between simulation and experiment is reasonable, the experiment shows further fine structure and broadening that is not reflected in the simulation. It can be attributed to vibrational coupling and nuclear dynamics on the timescale of the x-ray emission process. We note that this discussion underlines the need for a multiconfigurational description of the electronic structure, since single determinant-based methods do not adequately describe the energy separation between the involved states. On the low energy side of the π and 5σ emissions, the experimental map exhibits some weak intensity in the 384 – 389 eV emission energy range, which is magnified in the lower left panel of Figure 1 (b). We attribute this intensity to the weak 4σ transitions, which will be split due to the ${}^{2}\Delta$, ${}^{2}\Sigma^{-}$, and ${}^{2}\Sigma^{+}$ intermediate states (but further double excitations might also contribute).

Region ii of the experimental map contains two sets of features that lie at emission energies of ~394 and ~402 eV. These features show a strong intensity variation as a function of excitation energy, with several distinct peaks. The first set of features appears at an excitation energy of 406.8 eV, then again at 407.9 and 409.3 eV, and finally continuing with less pronounced variations at higher excitation energies. The second set of features is observed at an excitation energy of 408.1 eV, then at 409.3 and 410.6 eV, and then continues with fewer variations at higher excitation energies. The simulated map also predicts peaks in these regions that correspond to intermediate

states arising from 1s \rightarrow Rydberg excitations. In the simulated map, only excitation to the 3s, $3p_{\pi}$ (Rydberg p orbitals perpendicular to the internuclear axis), and $3p_{\sigma}$ (Rydberg p orbital parallel to the internuclear axis) was accounted for; consequently, only features in the lower energy portion of Region ii were captured. Excitation to these Rydberg orbitals generates a large number of potential intermediate states. Excitation to the 3s and $3p_{\sigma}$ orbitals will lead to three ${}^{2}\Pi$ states and one ${}^{4}\Pi$ state each, whereas excitation to the $3p_{\pi}$ orbital will give six doublet states (three ${}^{2}\Lambda$, two ${}^{2}\Sigma^{+}$ and ${}^{2}\Sigma^{-}$), in addition to two further quartet states. This results in a total of twelve doublet Rydberg intermediate states included in the simulations. Here, we denote the Rydberg states that arise from the 1s \rightarrow ϕ transition as R_i(ϕ), where ϕ is a Rydberg orbital. The calculated absorption and emission energies for these states are summarized in Table 1.

The simulated map predicts bands arising from the Rydberg intermediate states consistent with the features observed in experiment. In particular, the series of features at an emission energy of ~394 eV is associated with emission from the 5σ and π orbitals. For this series, the peak at an excitation energy of 406.9 eV corresponds to the intermediate state arising from the $1s \rightarrow 3s$ transition, while the feature at an excitation energy of 407.9 eV is predominantly associated with the Rydberg $3p_{\pi}$ and Rydberg $3p_{\sigma}$ intermediate states. Higher excitation energies will lead to higher energy Rydberg states; however, these are not included in the calculations. For the series of features assigned to the π^* emission, the calculations show that the first peak at an excitation energy of ~408.1 eV is associated with the Rydberg $3p_{\pi}$ and Rydberg $3p_{\sigma}$ intermediate states. Other features in the map are also reproduced by the calculations: Notably, the π , 5σ , and π^* emission lines shift to ~2 eV higher emission energies for the Rydberg intermediate states as compared to

the transitions involving $1s \rightarrow \pi^*$ excitation. This can be attributed to screening effects (i.e., "spectator shifts"). Furthermore, both experiment and simulation show two weak resonances of the Rayleigh line at ~406.8 and ~407.9 eV.

Table 1. Calculated absorption and emission energies (in eV). Numbers in parentheses denote

 transitions with very low or vanishing intensity.

	Absorption	Emission		
		π*	π	5σ
Region i				
$^{2}\Delta$	399.7	399.7	391.7	391.0
$2\Sigma^{-}$	400.2	400.2	392.4	391.4
$^{2}\Sigma^{+}$	400.5	400.5	391.7	390.5
Region ii				
$R_1(3s)$	406.8	(400.8)	394.4	394.0,393.1
$R_2(3p_{\pi})$	407.8	(400.8)	394.	(394.0)
$R_3(3p_{\sigma})$	(407.8)	(400.8)	(394.2)	(394.2)
$R_4(3p_\pi)$	(407.9)	(400.9)	394.3	394.0
$R_5(3s)$	408.0	(402.0)	394.3	(395.1)
$R_{6}(3s)$	(408.2)	402.2	(394.5)	(395.3)
$R_7(3p_{\pi})$	(408.2)	(401.2)	(394.6)	(394.4)
$R_8(3p_{\pi})$	(408.5)	(401.6)	(395.0)	(394.6)
R ₉ (3p _π)	(409.1)	402.1	(395.5)	(395.3)
$R_{10}(3p_{\sigma})$	(409.1)	(402.1)	(395.5)	(395.5)
$R_{11}(3p_{\sigma})$	(409.1)	(402.1)	(395.5)	(395.5)
$R_{12}(3p_{\pi})$	(409.2)	402.2	(395.7)	(395.4)
Region iii				
D_1	(406.2)	397.4-398.4		
D_2	(407.7)	398.8-399.9		
D_3	(407.7)	398.8-399.9		
D_4	(408.0)	(399.1-400.2)		

Finally, we discuss Region iii of the experimental map, which is shown in detail in the top right panel of Figure 1 (b). We find intensity predominantly at emission energies of 397.2 and 398.0 eV, but also extending to slightly higher emission energies. In the excitation energy direction, the feature at 398.0 eV emission energy shows distinct maxima at excitation energies of 405.6 and 407.7 eV, with the lower energy feature being particularly broad. The feature at 397.2 eV emission energy has its first weak maximum at an excitation energy of 407.7 eV, with a long tail extending to lower excitation energies, and shows the most intense peak at an excitation energy of 408.7 eV. The assignment of the electronic transitions that lead to these features is not straightforward. Within a single electron excitation and emission framework there are no transitions predicted in this region, which indicates a more complex explanation for the origin of these features. In contrast, the simulated map does show peaks with significant intensity in this region, which arise from doubly excited states and correspond to $1s \rightarrow \pi^*$ excitation in combination with $\pi \rightarrow \pi^*$ excitations. This corroborates the assignment of the weak features in the 397 - 400 eV emission energy region in the non-resonant emission spectrum. The large number of possible doubly excited states makes a comprehensive account of all these states beyond the scope of our calculations, which are limited to the four lowest energy doubly excited states in this energy region (denoted D_i in Table 1). Furthermore, emission from these states can lead to a variety of final states, and hence a range of energies is given in Table 1 to reflect this.



Figure 2. Calculated RASPT2 core-excited potential energy curves for the low-lying Rydberg and doubly excited states of NO. The vertical dashed blue line indicates the equilibrium ground state bond length.

It is important to note that the features in Region iii are responsible for a weak broad feature at ~405 eV in the nitrogen K XAS spectrum of NO, the nature of which has been discussed in literature. In an early work, it was assigned to a dissociative $1s \rightarrow 2p\sigma^*$ transition.³² However, our calculations show that this transition lies at a significantly higher energy. In contrast and as discussed above, our simulations show doubly excited states as the origin of the feature at 405 eV in the nitrogen K XAS, consistent with a recent theoretical study.⁴²

While the simulated map in Figure 1 (c) qualitatively explains the presence of emission features in Region iii, the quantitative agreement is not very good. This can be attributed to the fact that the simulation only accounts for some of the possible doubly excited states. Furthermore, as will be discussed in the following, nuclear dynamics / vibronic coupling occurring on the timescale of the x-ray emission process also play a role. A study of the related O₂ system has also highlighted the complexity of the electronic structure of the core-excited states for open-shell molecules. In

particular, multiple crossings of potential energy curves were observed, and vibronic coupling was shown to influence the intensity of the calculated spectra.²² Figure 2 shows potential energy curves for the low-lying Rydberg and doubly excited states of NO. Similar to O₂, multiple crossings between the curves for the different states are observed in the region of the equilibrium bond length, which suggests that non-adiabatic effects may play a role. Furthermore, the potential energy curves show that the doubly excited states are dissociative, caused by three electrons in the π^* anti-bonding orbitals. We have explored the dissociative nature of the doubly excited states in more detail in the context of the vertical (excitation-energy) broadening of the feature in Region iii. Figure S4 in the Supporting Information compares the simulated RIXS maps with the equilibrium and an extended bond length, respectively. We find that the predicted bands arising from the $1s \rightarrow \pi^*$ and $1s \rightarrow Rydberg$ excitations are affected, but their energetic position only changes slightly. However, the features arising from the double excitations shift to significantly lower excitation energies, e.g., from 406.0 to 404.5 eV. This might explain the maxima observed at similar excitation energies in the experimental map and suggests that vibrational effects play an important role for these transitions.

In summary, the nitrogen K-edge RIXS map for the stable radical molecule NO has been discussed by comparing an experimental and a simulated map, the latter based upon RASSCF/RASPT2 calculations. The measured RIXS map shows a number of features that involve intermediate states arising from $1s \rightarrow \pi^*$ and $1s \rightarrow Rydberg$ excitations. Other distinct bands in the RIXS map cannot be rationalized within a one electron transition picture, but the simulations rather suggest that these features arise from doubly excited intermediate states comprising $1s \rightarrow \pi^*$ and $\pi \rightarrow \pi^*$ excitations. This is consistent with the observation of weak bands in the (simpler) non-resonant x-ray emission spectrum, which can now also be attributed to double excitations.

Experimental Methods

XES and RIXS experiments were carried out at beamline 8.0.1 of the Advanced Light Source (ALS), Lawrence Berkeley National Laboratory (Berkeley, USA) with the dedicated Solid And Liquid Spectroscopic Analysis (SALSA) roll-up experimental station.⁴³ NO gas from a gas bottle (purity 99.5%) was introduced into and continuously pumped (2 sccm) through a gas cell, separated from the ultra-high vacuum of the analysis chamber by a thin SiC membrane (150 nm thick, NTT); it is described in more detail elsewhere.⁴⁴ Spectra were collected using a high-transmission soft x-ray spectrometer¹⁰ with a variable line space (VLS) grating and a combined (x-ray spectrometer and beamline) resolving power $E/\Delta E$ of better than 1000. The excitation energy scale was calibrated by measuring the XAS spectrum of N₂ gas.⁴⁵ The emission energy scale was then adjusted using the elastically scattered (Rayleigh) lines, resulting in an uncertainty of the absolute energy scales of approximately ±0.05 eV.

Theoretical Methods

The non-resonant x-ray emission spectrum was simulated based upon EOM-CCSD calculations using a protocol described elsewhere.^{46,47,48} All states involved in the RIXS calculations have been calculated by the restricted active space self-consistent field (RASSCF)³³ method, with the state energies determined using restricted active space second order perturbation theory (RASPT2).^{35,36} Transition dipole moments between states were computed using the restricted active space state

interaction method (RASSI).⁴⁹ All calculations were performed using the Molcas 8.2⁵⁰ software package. Further details of the calculations are given in the Supporting Information.

Supporting Information

Further details of the calculations, simulated non-resonant x-ray emission spectra, and simulated RIXS map for an extended bond length.

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